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# 1979
# ANNUAL REPORT ON UTILITY AND CARRIER REGULATION OF THE NATIONAL ASSOCIATION OF REGULATORY UTILITY COMMISSIONERS

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Administrative Director and
General Counsel

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Editor

**National Association of**
**Regulatory Utility Commissioners**

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## ACKNOWLEDGMENT

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# PREFACE

The 1979 Annual Report on Utility and Carrier Regulation, like the earlier ones, is a one-stop publication of pertinent information about member agencies, and covers a broad base of reference that makes this Report a virtual encyclopedia of regulatory materials. The Report is in five major parts.

Part I is presented in alphabetical order by member agencies. It includes historical data on each agency as well as the names and abbreviated biographies of the commissioners and names and titles of key staff personnel.

Part II contains two sections covering rate cases. The information is arranged in tables by type of utility and carrier. The first section summarizes utility rate cases that were under consideration by the agencies during the year. The second section contains similar information concerning transportation rate cases.

Part III is composed of national tables listing the jurisdictional, ratemaking and other general aspects of utility regulation by the State and Federal agencies.

Part IV is composed of national tables listing parallel information on State and Federal regulation of transportation.

Part V contains national tables treating miscellaneous subjects such as commissioner terms and methods of selection, agency salaries, assessment of costs of regulation, judicial review, assistance to intervenors, news media coverage, administrative law judges, and consumer representatives.

A detailed subject index appears in the back.

This Annual Report is respectfully dedicated to the men and women of the State and Federal regulatory community who labor so unselfishly during these trying times to provide the American consumer with good service at reasonable cost.

Paul Rodgers
Administrative Director
and General Counsel

Geneva Beierlein
Editor

October 27, 1980
Washington, D. C.

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# PART I

## MEMBER AGENCIES

### Introductory Statement

Part I is presented in alphabetical order, by member agencies, with Federal agencies listed first. It includes two major sections.

The first section contains the names and abbreviated biographies of the commissioners and names and titles of key staff members. An organization chart is included for most agencies. This section also contains summaries of agency receipts and expenditures, volume of proceedings, and complaint tabulations.

The second section includes each agency's history, the qualifications a person must have to hold the office of commissioner, and the grounds and procedure for the removal of a commissioner from office. It also sets forth the agency practice and procedure, staff recruitment policy, and summarizes fringe benefits.

## CIVIL AERONAUTICS BOARD

Main Office - The Civil Aeronautics Board is located in the Universal Building, 1825 Connecticut Avenue, N.W., and the Universal Building North, 1875 Connecticut Avenue, N. W. The mailing address is Washington, D. C. 20428. Information: Area Code (202) 655-4000.

Other Offices - The Board maintains no regional offices as such. One or two Field Representatives (under the administrative direction of the Bureau of Enforcement) are stationed in Anchorage, Alaska; Des Plaines, Illinois; Ft. Worth, Texas; Jamaica, New York; Los Angeles, California; Miami, Florida; San Mateo, California; and Seattle, Washington. A small staff of auditors is also stationed at San Mateo.

### I. MEMBERS OF THE BOARD

Marvin S. Cohen, Chairman, 673-5164, Room 1010: Democrat; born October 16, 1931, in Akron, Ohio; University of Arizona (BA, LLB); Chief Civil Deputy County Attorney in Pima County (1958-60), First Assistant City Attorney in Tucson (1961), Special Assistant to the Solicitor of the Department of Interior (1961-63), private law practice (1963-78); assumed Membership October 10, 1978, current term ends December 31, 1979; married (Frances), three children.

Elizabeth E. Bailey, Member, 673-5222, Room 1015: Republican; born November 26, 1938, in New York City; Radcliffe College (BS, economics), Stevens Institute of Technology (MS, Mathematics), Princeton University (Economics) (Ph.D.); computer programmer, researcher, headed Bell Telephone Laboratories' Economics Research Department, author; assumed Membership August 8, 1977, current term ends December 31, 1983; divorced, two sons.

Gloria Schaffer, Member, 673-5226, Room 1016: Democrat; born October 3, 1930, in New London, Connecticut; Sarah Lawrence College (BA); Connecticut State Senator (1958-70), Secretary of State of Connecticut (1971-78), Chairman of Connecticut Council on Human Services (1974-76), Democratic candidate for U.S. Senate (1976); assumed Membership September 26, 1978, current term ends December 31, 1984; married (Eugene), two children.

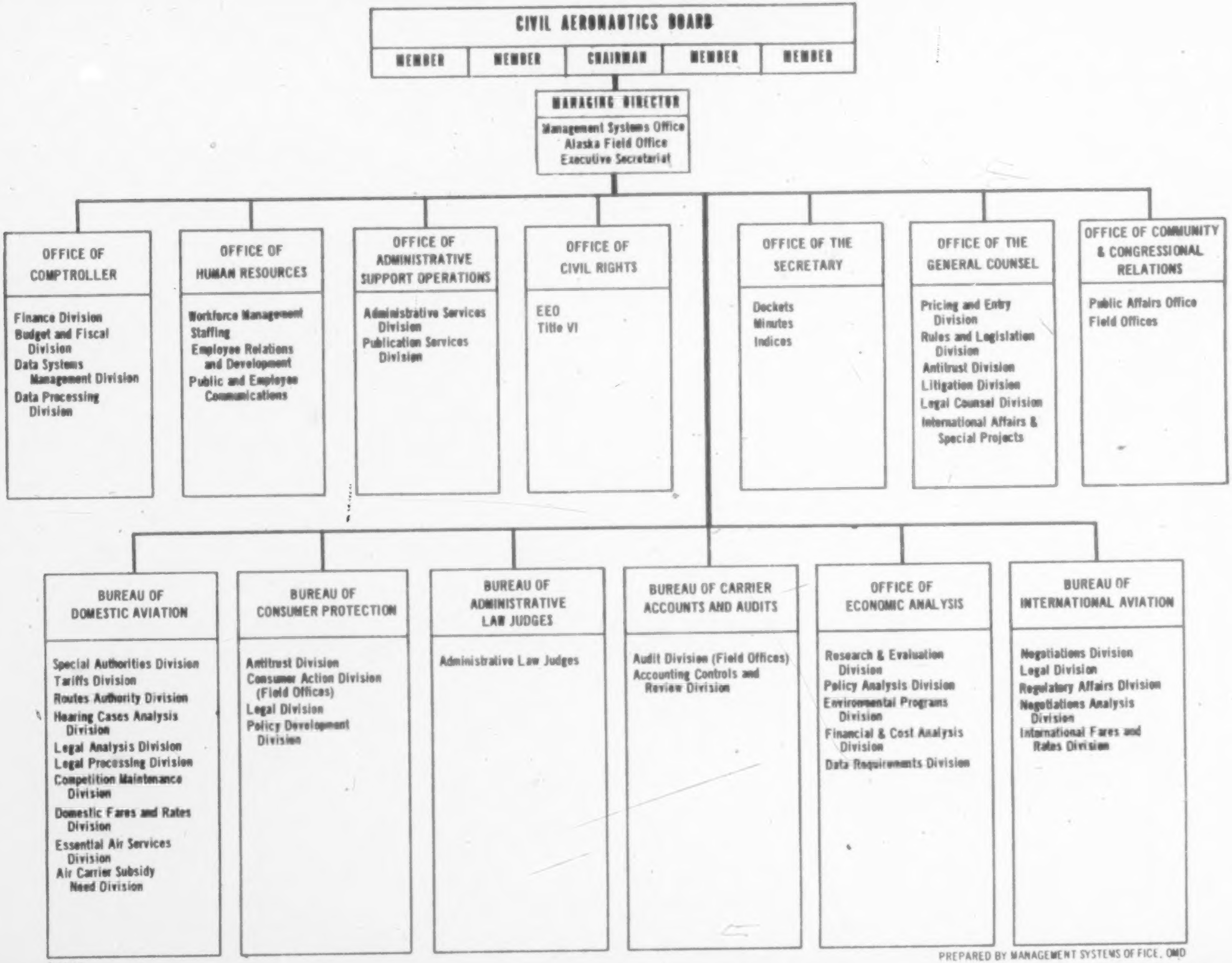
George A. Dalley, Member, 673-5229, Room 1017: Democrat; born August 25, 1941 in Havanna, Cuba; Columbia University (BA, JD, MBA); employee of Equal Employment Opportunity Office of U. S. Department of State (1966), Assistant to President of Metropolitan Applied Research Center (1966-70), private law practice (1970-71), Assistant Counsel to House Judiciary Committee (1971-73), Administrative Assistant to Congressman Charles B. Rangel (D.-N.Y.) (1973-77), Deputy Assistant Secretary for Human Rights and Social Affairs in Bureau of International Organization Affairs of U.S. Department of State (1977-80); assumed Membership March 18, 1980, current term ends December 31, 1982; married (Pearl Elizabeth), one son.

Vacancy, Member, for a term ending 1980.

### II. STAFF AS OF DECEMBER 31, 1979

| Bureau/Office | Positions | Director |
|---|---|---|
| Office of: | | |
| Chairman and Members | 17 | Marvin S. Cohen, Chairman |
| Managing Director | 18 | Cressworth Lander |
| Community and Congressional Relations | 22 | James L. Lightsey |
| Civil Rights | 8 | Shawn D. Land |
| Human Resources | 27 | Michael D. Sherwin |
| Secretary/Documentary Services | 33 | Phyllis T. Kaylor |
| Comptroller | 82 | Anthony F. Toronto |
| General Counsel | 47 | Mary McInnis |
| Administrative Support Operations | 83 | Vincent J. Cnaverini |
| Economic Analysis | 39 | Robert H. Frank |
| Bureau of: | | |
| Carrier Accounts and Audits | 32 | Raymond Kurlander |
| Consumer Protection | 100 | Reuben B. Robertson |
| International Aviation | 72 | Sanford Rederer |
| Domestic Aviation | 172 | Barbara A. Clark |
| Administrative Law Judges | 28 | Joseph J. Saunders |
| Total | 780 | |

## III. ORGANIZATION CHART



- **CIVIL AERONAUTICS BOARD**
  - MEMBER
  - MEMBER
  - CHAIRMAN
  - MEMBER
  - MEMBER
- **MANAGING DIRECTOR**
  - Management Systems Office
  - Alaska Field Office
  - Executive Secretariat
- **OFFICE OF COMPTROLLER**
  - Finance Division
  - Budget and Fiscal Division
  - Data Systems Management Division
  - Data Processing Division
- **OFFICE OF HUMAN RESOURCES**
  - Workforce Management
  - Staffing
  - Employee Relations and Development
  - Public and Employee Communications
- **OFFICE OF ADMINISTRATIVE SUPPORT OPERATIONS**
  - Administrative Services Division
  - Publication Services Division
- **OFFICE OF CIVIL RIGHTS**
  - EEO
  - Title VI
- **OFFICE OF THE SECRETARY**
  - Dockets
  - Minutes
  - Indices
- **OFFICE OF THE GENERAL COUNSEL**
  - Pricing and Entry Division
  - Rules and Legislation Division
  - Antitrust Division
  - Litigation Division
  - Legal Counsel Division
  - International Affairs & Special Projects
- **OFFICE OF COMMUNITY & CONGRESSIONAL RELATIONS**
  - Public Affairs Office
  - Field Offices
- **BUREAU OF DOMESTIC AVIATION**
  - Special Authorities Division
  - Tariffs Division
  - Routes Authority Division
  - Hearing Cases Analysis Division
  - Legal Analysis Division
  - Legal Processing Division
  - Competition Maintenance Division
  - Domestic Fares and Rates Division
  - Essential Air Services Division
  - Air Carrier Subsidy Need Division
- **BUREAU OF CONSUMER PROTECTION**
  - Antitrust Division
  - Consumer Action Division (Field Offices)
  - Legal Division
  - Policy Development Division
- **BUREAU OF ADMINISTRATIVE LAW JUDGES**
  - Administrative Law Judges
- **BUREAU OF CARRIER ACCOUNTS AND AUDITS**
  - Audit Division (Field Offices)
  - Accounting Controls and Review Division
- **OFFICE OF ECONOMIC ANALYSIS**
  - Research & Evaluation Division
  - Policy Analysis Division
  - Environmental Programs Division
  - Financial & Cost Analysis Division
  - Data Requirements Division
- **BUREAU OF INTERNATIONAL AVIATION**
  - Negotiations Division
  - Legal Division
  - Regulatory Affairs Division
  - Negotiations Analysis Division
  - International Fares and Rates Division

1 11 80

PREPARED BY MANAGEMENT SYSTEMS OFFICE, OMD

## CIVIL AERONAUTICS BOARD

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by CAB as of Fiscal Year Ended September 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $27,694,000 |
| Reimbursements | 94,416 |
| Total | $27,788,416 |

| Expenditures | |
|---|---|
| Salaries and Wages | $19,947,684 |
| Supplies and Materials | 390,697 |
| Postage | 209,996 |
| Telephone and Telegraph | 393,338 |
| Travel Expense | 519,507 |
| Printing and Binding | 584,734 |
| Motor Vehicle Operation | 48,356 |
| Maintenance | 363,114 |
| Dues and Professional Services | 272,943 |
| Equipment | 285,328 |
| Contributions to Retirement System | 1,331,946 |
| Contributions to Social Security | 25,315 |
| Other Expense | 3,230,372 |
| Total | $27,603,330 |

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before CAB for Year Ended December 31, 1979

| Number of: | Air Carriers |
|---|---|
| Proceedings Pending as of 1/1/79 | 94 |
| Proceedings Instituted in 1979 | 45 |
| Proceedings Concluded in 1979 | 69 |
| Proceedings Pending as of 12/31/79 | 71 |
| Hearings in 1979 | 59 |
| Hearing Days in 1979 | 312 |

### VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Air Carriers | Freight Forwarders |
|---|---|---|
| Service | 33,660 | 293 |
| Tariff | 200 | 0 |
| Billing | 200 | 1 |
| Miscellaneous | 650 | 0 |
| Total | 34,710 | 294 |

## ECONOMIC REGULATORY ADMINISTRATION, UNITED STATES DEPARTMENT OF ENERGY

(Associate Member), 2000 M Street, N. W., Washington, D. C. 20461, Area Code (202) 653-4055

### I. ADMINISTRATOR

Hazel R. Rollins, Administrator, 653-3310: born May 17, 1937, in Newport News, Virginia; Fisk University (AB), Rutgers University (JD); Deputy Attorney General for New Jersey, Assistant Director of Essex County Legal Services Corporation, Assistant Prosecutor for Essex County, private law practice, Director of Public Service Division of the U. S. Cost of Living Council (1972-74), Director of Consumer Affairs for the Federal Energy Administration (1974-76), General Counsel of the Community Services Administration (1976-77), Assistant Administrator for Conservation and Environment of the Federal Energy Administration (1977), Principal Deputy Administrator of the Economic Regulatory Administration (1977-79), Principal at Coopers & Lybrand (1979); assumed Administratorship January 4, 1980, serves a the pleasure of the President; one son.

### II. STAFF OFFICIALS

| | |
|---|---|
| Douglas G. Robinson, Deputy Administrator for Policy | 653-3313 |
| Barton R. House, Deputy Administrator for Operations and Emergency Management | 653-3410 |
| Jerry L. Pfeffer, Assistant Administrator for Utility Systems | 653-3949 |
| Howard F. Perry, Director, Division of Regulatory Assistance, Office of Utility Systems | 653-3917 |
| A. Grey Staples, Director, Division of Regulatory Proceedings, Office of Utility Systems | 653-3847 |
| F. Scott Bush, Assistant Administrator for Regulations and Emergency Planning | 653-3166 |
| Doris J. Dewton, Assistant Administrator for Petroleum Operations | 653-3372 |
| Robert L. Davies, Assistant Administrator for Fuels and Conversion | 653-3649 |
| Barton R. Isenberg, Assistant Administrator for Enforcement | 653-3500 |
| Sandra Delaney, Acting Director, Office of Operations, Planning and Evaluation | 653-3626 |
| William L. Webb, Director, Office of Public Information | 653-4055 |

## FEDERAL COMMUNICATIONS COMMISSION

Headquarters - 1919 M Street, N. W., Washington, D. C. 20554, Area Code (202), Information: 655-4000

### Regional Offices

Atlanta Region
101 Marietta Tower, Room 2111
Atlanta, Georgia 30303
Telephone: (404) 221-6500
Mailing Address:
P. O. Box 1775
Atlanta, Georgia 30301

Boston Region
1500 Customhouse
165 State Street
Boston, Massachusetts 02109
Telephone: (617) 223-7226

Chicago Region
Park Ridge Office Center, Room 306
1550 Northwest Highway
Park Ridge, Illinois 60068
Telephone: (312) 353-0368

Kansas City Region
Brywood Office Tower, Room 320
8800 East 63rd Street
Kansas City, Missouri 64133
Telephone: (816) 926-5179

San Francisco Region
211 Main Street, Room 537
San Francisco, California 94105
Telephone: (415) 556-1224

Seattle Region
3090 Federal Building
915 Second Avenue
Seattle, Washington 98174
Telephone: (206) 442-5544

## I. COMMISSIONERS

Charles D. Ferris, Chairman, 632-6600, Room 814: Democrat; born April 9, 1933, in Boston, Massachusetts; Boston College (AB, JD), Harvard University Graduate School of Business (Advanced Management Program); General Counsel to U.S. House of Representatives Speaker Thomas P. O'Neill, Jr. (1977), Chief Counselor to Senate Majority Leader, General Counsel to Policy Committee, Chief Counsel for Senate Majority (1964-77), Associate General Counsel of Democrat Policy Committee of U.S. Senate (1963-64), Trial Attorney for Civil Division of U.S. Department of Justice (1961-63), Assistant Professor for Naval Science-Marine Engineering at Harvard University (1958-60), Chief Engineer for U.S.S. Brinkley Boss (1955-60), Research Physicist for Sperry Gyroscrope (1954-55); assumed Commissionership October 17, 1977, current term ends June 30, 1984; married (Patricia), two children.

Robert E. Lee, Commissioner, 632-6996, Room 832: Republican; born March 31, 1912, in Chicago, Illinois; DePaul University, Chicago College of Commerce and Law; FBI special agent, FBI Chief Clerk, U. S. House of Representatives staff member; assumed Commissionership October 6, 1953, current term ends June 30, 1981; remarried 1977 (Rose), three children.

James H. Quello, Commissioner, 632-7557, Room 802: Democrat; born April 21, 1914, in Laurium, Michigan; Michigan State University (BA); newscaster, Remington-Rand salesman, U.S. Army Officer in World War II, broadcasting official, civic activist; assumed Commissionership April 30, 1974, current term ends June 30, 1980; married (Mary Elizabeth), two sons.

Abbott Washburn, Commissioner, 632-7117, Room 844: Republican; born March 1, 1915, in Duluth, Minnesota; Harvard University (BA); Director of Department of Public Service of General Mills, Inc., U.S. Navy in World War II, Deputy Director of U.S. Information Agency, Chairman of INTELSAT Negotiating Conference (Department of State), Consultant to White House Office of Telecommunications Policy; assumed Commissionership July 10, 1974, current term ends June 30, 1982, married (Wanda), three children.

Joseph R. Fogarty, Commissioner, 632-7227, Room 822: Democrat; born January 12, 1931, in Newport, Rhode Island, College of the Holy Cross (AB), Boston College Law School (JD), Georgetown University; U.S. Navy (1953-59), private law practice (1959-64), U. S. Senate Committee on Commerce staff counsel (1966-75), U. S. Senate Committee on Commerce Communications counsel (1975-76); assumed Commissionership September 17, 1976, current term ends June 30, 1983; married (Joan), six children.

Tyrone Brown, Commissioner, 632-6446, Room 826: Democrat; born November 5, 1942, in Norfolk, Virginia; Hamilton College (AB), Cornell University Law School (LLB); law clerk to Chief Justice Earl Warren (1967), associate attorney at Covington and Burling (1968-70), staff assistant to Senator Edmund Muskie (1970-71), staff director of the U.S. Senate Intergovernmental Relations Subcommittee (1971), vice president for legal affairs and director for Post-Newsweek Stations, Inc. (1971-74), associate attorney at Caplin and Drysdale (1974-77); assumed Commissionership November 15, 1977, current term ends June 30, 1986; married (Carolyn Jean), two children.

Anne P. Jones, Commissioner, 632-7007, Room 808: Republican; born in Arlington, Massachusetts; Boston College (BS, LLB); private law practice (1961-68), SEC staff Member (1968-77), General Counsel of Federal Home Loan Bank Board (1978-79); assumed Commissionership April 2, 1979, current term ends June 30, 1985.

## II. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the FCC during Fiscal Year 1979 (October 1978 - September 1979)

| | | |
|---|---|---|
| Receipts: | General Fund Appropriations | $70,335,000 |
| Expenditures: | Salaries and Wages | $52,513,000 |
| | Travel and Transportation | 1,075,000 |
| | Transportation of Things | 319,000 |
| | Rents, Communication and Utilities | 6,940,000 |
| | Printing and Reproduction | 1,562,000 |
| | Other Services | 4,802,000 |
| | Supplies and Materials | 1,081,000 |
| | Equipment | 1,720,000 |
| | Land and Buildings | 319,000 |
| | Insurance Claims and Indemnities | 4,000 |
| | Total | $70,335,000 |

# III. ORGANIZATION CHART

Federal Communications Commission
Organization Chart April 1980

**NETWORK INQUIRY**
**SPECIAL STAFF**

**The Commissioners**

**CHARLES D. FERRIS, CHAIRMAN**

ROBERT E. LEE
ABBOTT WASHBURN
TYRONE BROWN

JAMES H. QUELLO
JOSEPH R. FOGARTY
ANNE P. JONES

**Office of Plans & Policy**

**Office of Public Affairs**

- Consumer Assistance and Information Division
- Industry EEO and Minority Enterprise Division
- Press and News Media Division

**Office of Opinions & Review**

**Review Board**

**Office of Administrative Law Judges**

**Office of General Counsel**

- Administrative Law Division
- Litigation Division

**Office of Science & Technology**

- International Staff
- Policy and Management Staff
- Technical Planning Staff
- Authorizations and Standards Division
- Research and Analysis Division
- Spectrum Management Division

**Office of Executive Director**

- Information Management
  - Computer Applications Division
  - Information Processing Division
  - Planning and Analysis Division
- Operations
  - Financial Management Division
  - Operations Support Division
  - Personnel Management Division
- Emergency Communications Division
- Internal Review and Security Division
- The Secretary

**Field Operations Bureau**

- Enforcement Division
- Engineering Division
- Regional Services Division
- Violations Division
- Field Installations

**Broadcast Bureau**

- Planning and Evaluation Staff
- Broadcast Facilities Division
- Complaints & Compliance Division
- Hearing Division
- License Division
- Policy and Rules Division
- Renewal & Transfer Division

**Cable Television Bureau**

- Compliance Division
- Policy Review & Development Division
- Records & Systems Management Division
- Research Division
- Special Relief & Microwave Division

**Common Carrier Bureau**

- International
  - Conference Staff
  - International Facilities Authorization and Licensing Division
  - International Facilities Planning Division
- Operations
  - Enforcement Division
  - Domestic Facilities Division
  - Hearing Division
  - Mobile Services Division
  - Tariff Division
- Policy
  - Accounting and Audits Division
  - Consumer Affairs Division
  - Economics Division
  - Policy and Planning Division

**Private Radio Bureau**

- Administration and Management Staff
- Compliance Division
- Licensing Division
- Policy Development Division
- Rules Division

## IV. STAFF

### COMMON CARRIER BUREAU STAFF

(as of 31 December 79)

| Name of Unit | Number of Personnel | Name of Unit Head |
|---|---|---|
| Office of the Bureau Chief | 5 | Philip L. Verveer |
| Administrative Office | 8 | Elle Jordre* |
| Program Evaluation Staff | 7 | E. Laurence Povich* |
| Assistant Bureau Chief for International | 2 | Willard L. Demory* |
| Conference Staff | 5 | Earl S. Barbely* |
| International Facilities Planning Division | 12 | Vacant |
| International Facilities Authorization and Licensing Division | 16 | William F. Adler* |
| Deputy Bureau Chief for Operations | 5 | Thomas J. Casey |
| Enforcement Division | 22 | Joseph A. Marino* |
| Domestic Facilities Division | 56 | W. Randolph Young* |
| Hearing Division | 15 | James O. Juntilla |
| Mobile Services Division | 33 | Sheldon M. Guttmann |
| Tariff Division | 43 | Paul S. Darling* |
| Deputy Bureau Chief for Policy | 2 | William B. Ginsberg |
| Accounting and Audits Division | 38 | Alexander J. Lipske |
| Consumer Affairs Division | 7 | Roy C. Steeley* |
| Economics Division | 40 | Boyd L. Nelson* |
| Policy and Program Planning Division | 14 | Leon M. Kestenbaum* |
| Total | 330 | |

*Acting Unit Head

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the FCC for Year Ended December 31, 1979

| | Telegraph | Telephone | Totals |
|---|---|---|---|
| Number of Proceedings: | | | |
| Pending as of 1/1/79 | 3 | 25 | 28 |
| Instituted in 1979 | - | 5 | 5 |
| Concluded in 1979 | - | 5 | 5 |
| Pending as of 12/31/79 | 3 | 25 | 28 |
| Number of Hearings in 1979 | 3 | 14 | 17 |
| Number of Hearing Days in 1979 | 30 | 49 | 79 |
| Number of Orders Appealed to Courts in 1979 | 2 | 7 | 9 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telegraph | Telephone |
|---|---|---|
| Service | 182 | 61 |
| Tariff | 25 | 35 |
| Billing | 13 | 122 |
| Miscellaneous | 535 | 1,000 |
| Total | 755 | 1,218 |

## FEDERAL ENERGY REGULATORY COMMISSION

Main Office - 825 North Capitol Street, N. E., Washington, D. C. 20426, Area Code (202), Information: 357-8055

### FERC Regional Offices

Atlanta
Regional Engineer
730 Peachtree Street, N. E., Room 500
Atlanta, Georgia 30308
(404) 881-4134

Chicago
Regional Engineer
230 South Dearborn Street, Room 3130
Chicago, Illinois 60604
(312) 353-6171

Fort Worth
Regional Engineer
819 Taylor Street
Fort Worth, Texas 76102
(817) 334-2631

New York
Regional Engineer
26 Federal Plaza, Room 2207
New York, New York 10278
(212) 264-3687

San Francisco
Regional Engineer
333 Market Street, 6th Floor
San Francisco, California 94105
(415) 764-7150

## I. COMMISSIONERS

Charles B. Curtis, Chairman, 357-8200, Room 9000: Independent; born April 27, 1940, in Upper Darby, Pennsylvania; University of Massachusetts (BS), Boston University Law School (LLB); attorney for Office of the Comptroller of the Currency (1965-67), SEC counsel (1967-71), counsel to U. S. House Committee on Interstate and Foreign Commerce (1971-76); assumed Commissionership August 11, 1977, current term ends October 20, 1983; married (Rochelle), one child.

Georgiana Sheldon, Commissioner, 357-8366, Room 9100: Republican; born December 2, 1923, in Lawrenceville, Pennsylvania; Keuka College (BA), Cornell University (MS); registrar and director of admissions at Stetson University College of Law (1954-56), Special Assistant to Chairman of Republican National Committee (1956-61), vice president of public relations firm (1961), Executive Secretary of Foundation for Specialized Group Housing (1961-62), executive secretary to U.S. Congressman Rogers C.B. Morton (1963-69), Deputy Director of Defense Civil Preparedness Agency (1969-75), Director of Office of Foreign Disaster Relief and Deputy Coordinator for International Disaster Assistance (AID) (1975-76), member of U.S. Civil Service Commission (1976-77); assumed Commissionership August 11, 1977, current term ends October 20, 1980, married.

Matthew Holden, Jr., Commissioner, 357-8383, Room 9010: Democrat; born September 12, 1931, in Mound Bayou, Mississippi; University of Chicago; Roosevelt University (BAN), Northwestern University (MA, PhD); Staff of Ohio Legislative Service Commission (1954-55); U.S. Army (Korea) (1955-57), Cleveland Metropolitan Services Commission (1958), Cuyahoga County Charter Commission (1959), on faculties of Wayne State University and University of Pittsburgh and University of Wisconsin (1961-75); Wisconsin PSC Commissioner (1975-77); assumed Commissionership October 28, 1977, current term ends October 28, 1981; married (Dorothy), two children.

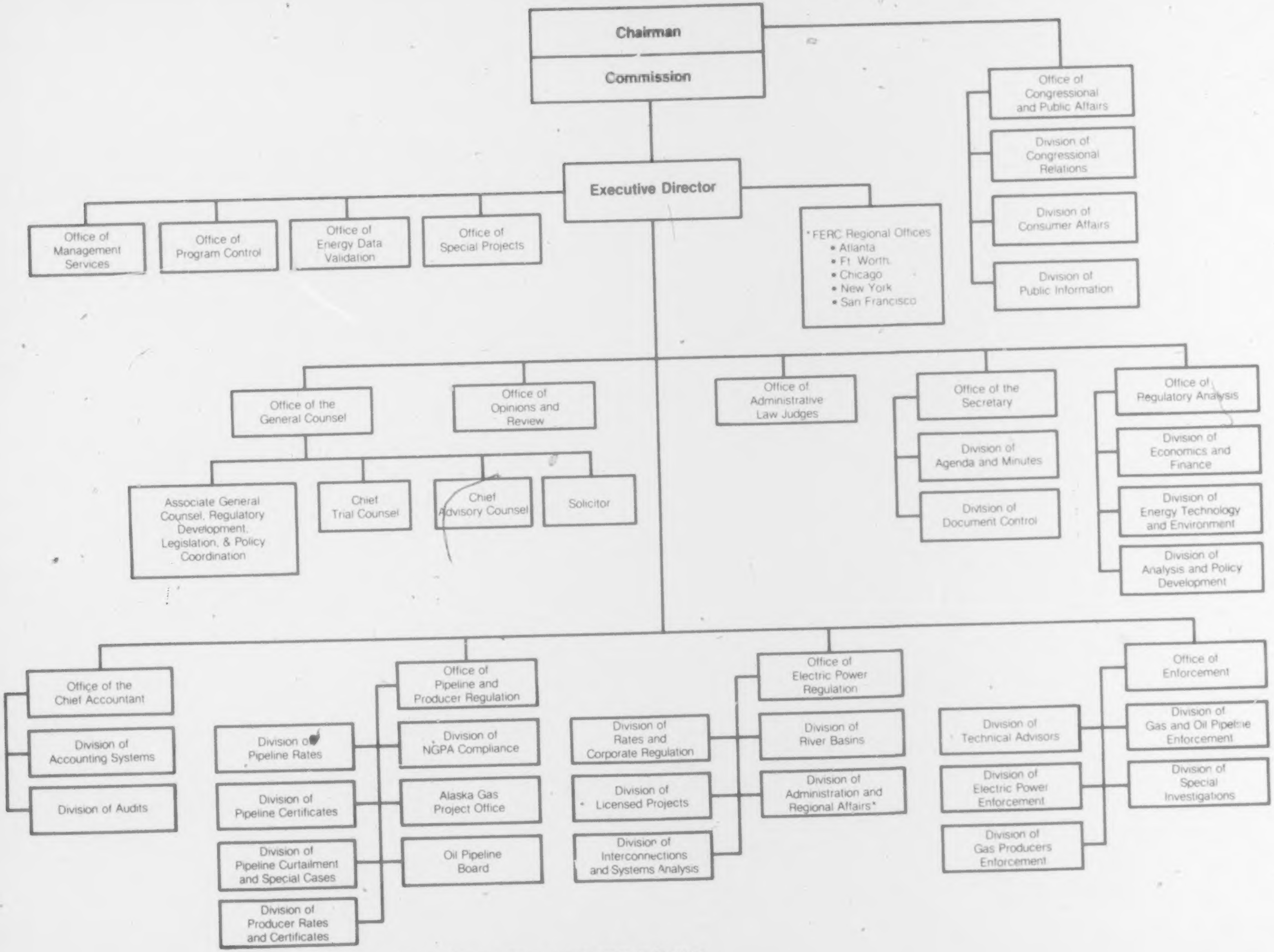
George R. Hall, Commissioner, 357-8377, Room 9006: Democrat; born September 30, 1930, in Pasadena, California, Claremont Men's College (BA), Harvard University (MA, PhD); assistant professor of economics at University of Virginia (1959-63), economist for Board of Governors of Federal Reserve System (1963-64), economist for Rand Corporation (1964-73), Economic Advisor to Atomic Safety and Licensing Board Panel (AEC) (1973-74), Defense Department Official; assumed Commissionership October 28, 1977, current term ends October 28, 1980; married (Florence Ann), four children.

Vacancy, Commissioner.

## II. KEY STAFF

| | |
|---|---|
| William G. McDonald, Executive Director | 357-8300 |
| Lawrence Anderson Deputy Executive Director | 357-8422 |
| Kenneth F. Plumb Secretary | 357-8400 |
| Kenneth A. Williams, Office of Pipeline and Producer Regulation | 357-8500 |
| William W. Lindsay, Office of Electric Power Regulation | 376-9232 |
| Theodore Sonde, Office of Enforcement | 275-1832 |
| Kenneth S. Levine, Office of Congressional and Public Affairs | 357-8370 |
| L. H. Drennan, Jr. Chief Accountant | 357-9181 |
| Walter W. Schroeder, Office of Regulatory Analysis | 357-8191 |
| Curtis L. Wagner, Jr., Chief Administrative Law Judge | 357-9233 |
| Robert R. Nordhaus, General Counsel | 357-8000 |
| Bernard Wexler, Office of Opinions and Reviews | 357-8100 |

## III. ORGANIZATION CHART


Chairman
Commission
Office of Congressional and Public Affairs
Division of Congressional Relations
Division of Consumer Affairs
Division of Public Information
Executive Director
Office of Management Services
Office of Program Control
Office of Energy Data Validation
Office of Special Projects
*FERC Regional Offices
• Atlanta
• Ft. Worth
• Chicago
• New York
• San Francisco
Office of the General Counsel
Office of Opinions and Review
Office of Administrative Law Judges
Office of the Secretary
Division of Agenda and Minutes
Division of Document Control
Office of Regulatory Analysis
Division of Economics and Finance
Division of Energy Technology and Environment
Division of Analysis and Policy Development
Associate General Counsel, Regulatory Development, Legislation, & Policy Coordination
Chief Trial Counsel
Chief Advisory Counsel
Solicitor
Office of the Chief Accountant
Division of Accounting Systems
Division of Audits
Office of Pipeline and Producer Regulation
Division of Pipeline Rates
Division of Pipeline Certificates
Division of Pipeline Curtailment and Special Cases
Division of Producer Rates and Certificates
Division of NGPA Compliance
Alaska Gas Project Office
Oil Pipeline Board
Office of Electric Power Regulation
Division of Rates and Corporate Regulation
Division of Licensed Projects
Division of Interconnections and Systems Analysis
Division of River Basins
Division of Administration and Regional Affairs*
Office of Enforcement
Division of Technical Advisors
Division of Electric Power Enforcement
Division of Gas Producers Enforcement
Division of Gas and Oil Pipeline Enforcement
Division of Special Investigations

*The FERC Regional Offices are assigned to the Executive Director's Office. Management and control of the Regional Offices have been delegated to the Division of Administration and Regional Affairs, Office of Electric Power Regulation.

## FEDERAL ENERGY REGULATORY COMMISSION

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by FERC as of Fiscal Year Ended September 30, 1979 (Dollars in Thousands)*

| | |
|---|---|
| **Receipts** | |
| General Fund Appropriation | $54,130 |
| Assessments of Regulated Companies | 22,182 |
| Total | $76,312 |
| **Expenditures** | |
| Salaries and Benefits | $38,450 |
| Travel | 1,742 |
| Transportation of Things | 40 |
| Rents, Communications and Utilities | 4,255 |
| Printing and Reproduction | 1,159 |
| Other Services | 4,846 |
| Supplies and Materials | 938 |
| Total | $51,430 |

*As reported in the Federal Energy Regulatory Commission's FY 1981 Congressional Budget.

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before FERC for Fiscal Year Ended September 30, 1979

| | Electric | Gas 1/ | Combination Electric and Gas 1/ 2/ |
|---|---|---|---|
| Number of Proceedings: | | | |
| Pending as of 1/1/79 | 1,149 | 14,777 | 15,926 |
| Instituted in 1979 | 1,227 | 34,722 | 35,949 |
| Concluded in 1979 | 1,299 | 35,116 | 36,415 |
| Pending as of 12/31/79 | 1,077 | 14,383 | 15,460 |
| Number of Hearings in 1979 | 76 | 94 | 170 |
| Number of Hearing Days in 1979 | 332 | 497 | 829 |
| Number of Orders Appealed to Courts in 1979 | 27 | 78 | 105 |

1/ Excludes oil pipeline but includes self-initiated items.

2/ Total electric and gas.

# INTERSTATE COMMERCE COMMISSION

Headquarters - Interstate Commerce Commission Building, 12th Street and Constitution Avenue, N. W., Washington, D. C. 20423, Area Code (202), Information: 655-4000

Regional Offices - ICC Region 1 - Boston - 150 Causeway Street, Fifth Floor, Boston, Massachusetts 02114
ICC Region 2 - Philadelphia - Federal Reserve Bank Building, 101 North 7th Street, Room 620, Philadelphia, Pennsylvania 10106
ICC Region 3 - Atlanta - Room 300, 1776 West Peachtree Street, N.W., Atlanta, Georgia 30309
ICC Region 4 - Chicago - Room 1386, Everett McKinley Dirksen Building, 219 South Dearborn Street, Chicago, Illinois 60604
ICC Region 5 - Fort Worth - 411 West Seventh Street, Suite 600, Fort Worth, Texas 76102
ICC Region 6 - San Francisco - 211 Main Street, Suite 500, San Francisco, California 94105

## I. COMMISSIONERS

Darius W. Gaskins, Jr., Chairman, 275-1912, Room 3219: Democrat; born September 16, 1939; U.S. Military Academy (1961), University of Michigan (MSE, PhD); instructor at Aerospace Research Pilots School (1963-67), assistant economics professor at University of California at Berkeley (1970-73, 1975-76), Assistant Director for Economics Office of Policy Analysis of Department of Interior (1973-74); Acting Director of Office of Minerals Policy Development of Department of Interior (1974), Director of Office of Outer Continental Shelf Program Coordination of Department of the Interior (1975), Director of FTC Bureau of Economics (1976-77), Director of CAB Office of Economic Analysis (1977-78), Deputy Assistant Secretary of DOE Policy Analysis (1978-79), author; assumed Commissionership July 23, 1979, current term ends December 31, 1984; married (Stephanie), five children.

Robert C. Gresham, Vice Chairman, 275-7582, Room 4136: Republican; born November 12, 1917, in Booneville, Mississippi; Sunflower Junior College (AA), Southeastern University (BCS), George Washington University School of Government; FBI special agent, FBI Executive Assistant to Associate Director, Assistant Director of Research for Council for State Chambers of Commerce, Minority Staff Director for House Appropriations Committee; assumed Commissionership December 15, 1969, current term ends December 31, 1981; married (Katherine), two children.

George M. Stafford, Commissioner, 275-7363, Room 5136: Republican; born May 7, 1915, in Valley Falls, Kansas; Business College of Topeka; businessman, State sales tax division official, U.S. Army Captain in World War II, administrative assistant to Governor and U.S. Senator; assumed Commissionership April 26, 1967, current term ends December 31, 1980; married (Lena), three children.

Charles L. Clapp, Commissioner, 275-7555, Room 3229: Republican; born November 7, 1922, in Wakefield, Massachusetts; Tufts University (AB), Harvard University (MA), University of California at Berkeley (PhD); Naval Officer in World War II, political science teacher at Florida State University and University of California, Professional Staff Member for Senate Special Committee to Investigate Political Activities, Lobbying and Campaign Contributions, Administrative Assistant to Representative Chamberlain (R-Mich.), Governmental Studies Staff of The Brookings Institution, author of "The Congressman: His Work As He Sees It", Legislative Assistant to Senator Saltonstall (R-Mass.), Assistant to the Secretary of The Smithsonian Institution, Special Assistant to the President of the United States; assumed Commissionership March 14, 1974, current term ends December 31, 1980; single.

Thomas A. Trantum, Commissioner, 275-7535; Room 5124: Republican; born July 25, 1944, in Englewood, New Jersey; College of Wooster (BA), New York University (MBA); U.S. Army officer (1968-71), security analyst with New York City firms (1971-79); assumed Commissionership July 23, 1979, current term ends December 31, 1985; married (Lynda Ann), three sons.

Marcus Alexis, Commissioner, 275-7075, Room 5221: Democrat; born February 26, 1932, in Brooklyn, New York; Brooklyn College (BA), Michigan State University (MA), University of Minnesota (PhD), Harvard University, Massachusetts Institute of Technology; professor in economics and business administration at University of Minnesota (1954-57), Macalester College (1957-60), DePaul University (1960-62), University of Rochester (1962-70), University of California at Berkeley (1969-71) and Northwestern University (1970-79); author; assumed Commissionership August 27, 1979, current term ends December 31, 1985; married (Geraldine), three children.

Reginald Earl Gilliam, Jr., Commissioner, 275-7541, Room 4211: Independent; born December 29, 1944, in New York, New York; Lincoln University (BA), Harvard Law School (JD); legal assistant for Legal Services for the Poor Program in Utica, New York (1968-69), Assistant Professor at State University of New York at Albany (1969-72), Assistant Dean of the College and Lecturer in Law and Political Science at Williams College (1972-75), counsel to U.S. Senator John Glenn (1975-80); assumed Commissionership April 21, 1980, current term ends December 31, 1982; married (Arlene).

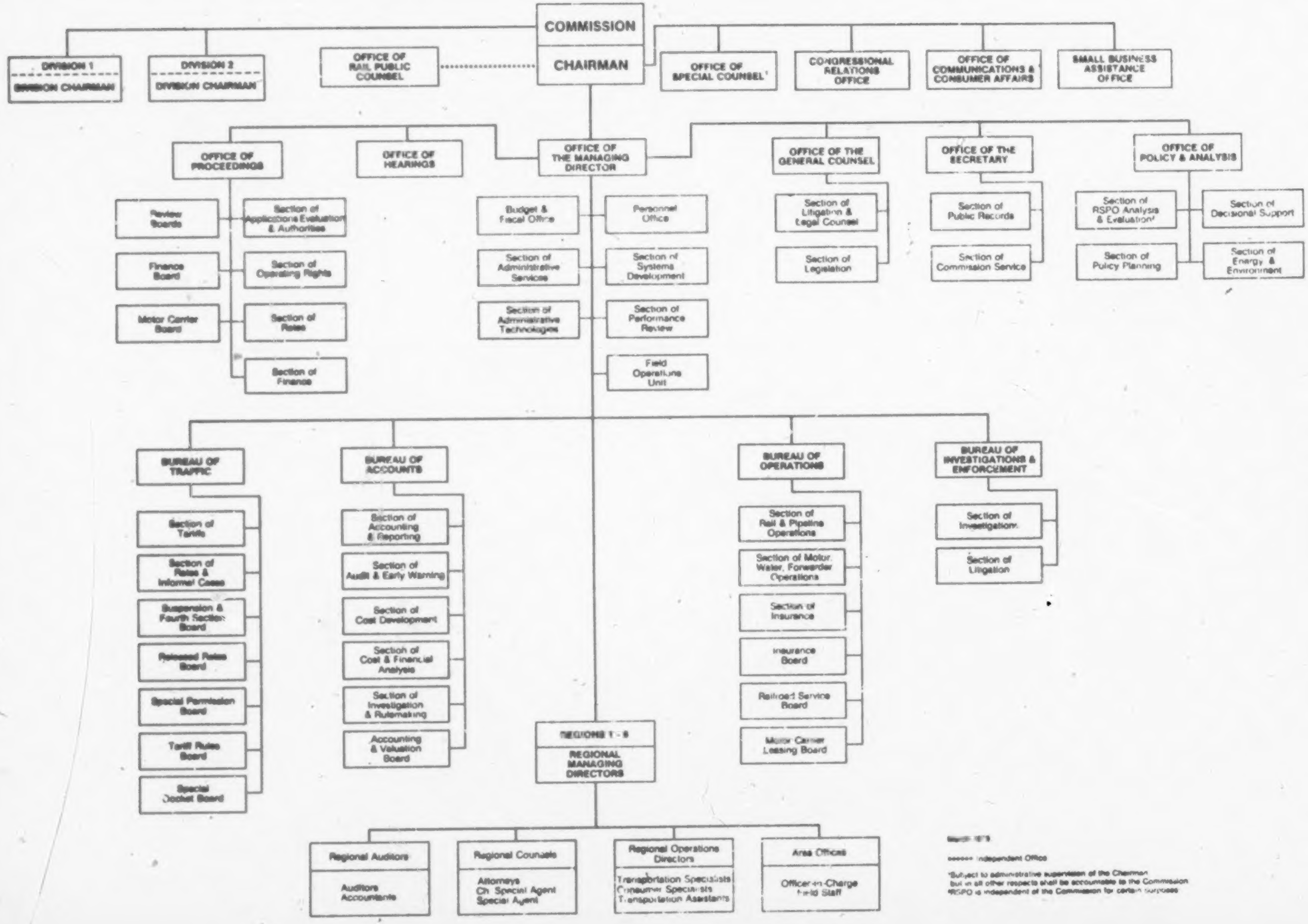
Vacancy, Commissioner, for a term of 7 years.
Vacancy, Commissioner, for a term of 7 years.
Vacancy, Commissioner, for a term of 7 years.
Vacancy, Commissioner, for a term of 7 years.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Commissioners' Offices | 82 | Darius Gaskins, Chairman |
| Office of Managing Director | 259 | Sanford Rederer |
| Office of Secretary | 69 | Agatha Mergenovich |
| Office of General Counsel | 42 | Richard A. Allen |
| Office of the Special Counsel | 8 | Edward J. Schack |
| Office of Policy and Analysis | 92 | Alexander L. Morton |
| Office of Hearings | 99 | Robert M. Glennon |
| Office of Proceedings | 360 | Gary Edles |
| Bureau of Accounts | 158 | James B. Thomas, Jr. |
| Bureau of Investigations and Enforcement | 42 | Peter M. Shannon, Jr. |
| Bureau of Operations | 84 | Joel E. Burns |
| Bureau of Traffic | 178 | Martin E. Foley |
| Field Staff | 555 | --- |
| Total | 2,028 | |

## III. ORGANIZATION CHART

## INTERSTATE COMMERCE COMMISSION


COMMISSION
CHAIRMAN
DIVISION 1
DIVISION CHAIRMAN
DIVISION 2
DIVISION CHAIRMAN
OFFICE OF RAIL PUBLIC COUNSEL
OFFICE OF SPECIAL COUNSEL¹
CONGRESSIONAL RELATIONS OFFICE
OFFICE OF COMMUNICATIONS & CONSUMER AFFAIRS
SMALL BUSINESS ASSISTANCE OFFICE
OFFICE OF PROCEEDINGS
Review Boards
Finance Board
Motor Carrier Board
Section of Applications Evaluation & Authorities
Section of Operating Rights
Section of Rates
Section of Finance
OFFICE OF HEARINGS
OFFICE OF THE MANAGING DIRECTOR
Budget & Fiscal Office
Section of Administrative Services
Section of Administrative Technologies
Personnel Office
Section of Systems Development
Section of Performance Review
Field Operations Unit
OFFICE OF THE GENERAL COUNSEL
Section of Litigation & Legal Counsel
Section of Legislation
OFFICE OF THE SECRETARY
Section of Public Records
Section of Commission Service
OFFICE OF POLICY & ANALYSIS
Section of RSPO Analysis & Evaluation²
Section of Policy Planning
Section of Decisional Support
Section of Energy & Environment
BUREAU OF TRAFFIC
Section of Tariffs
Section of Rates & Informal Cases
Suspension & Fourth Section Board
Released Rates Board
Special Permission Board
Tariff Rules Board
Special Docket Board
BUREAU OF ACCOUNTS
Section of Accounting & Reporting
Section of Audit & Early Warning
Section of Cost Development
Section of Cost & Financial Analysis
Section of Investigation & Rulemaking
Accounting & Valuation Board
BUREAU OF OPERATIONS
Section of Rail & Pipeline Operations
Section of Motor, Water, Forwarder Operations
Section of Insurance
Insurance Board
Railroad Service Board
Motor Carrier Leasing Board
BUREAU OF INVESTIGATIONS & ENFORCEMENT
Section of Investigations
Section of Litigation
REGIONS 1 - 6
REGIONAL MANAGING DIRECTORS
Regional Auditors
Auditors
Accountants
Regional Counsels
Attorneys
Ch. Special Agent
Special Agent
Regional Operations Directors
Transportation Specialists
Consumer Specialists
Transportation Assistants
Area Offices
Officer-in-Charge
Field Staff
March 1979
...... Independent Office

¹Subject to administrative supervision of the Chairman but in all other respects shall be accountable to the Commission

²RSPO is independent of the Commission for certain purposes

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year Ended September 30, 1979

| | |
|---|---|
| **Receipts** | |
| General Fund Appropriation | $72,875,000 |
| **Expenditures** | |
| Personnel Compensation | $49,248,000 |
| Personnel Benefits | 4,800,000 |
| Travel and Transportation | 2,377,000 |
| Standard Level User Charges | 4,076,000 |
| Communications, Utilities, etc. | 3,314,000 |
| Printing and Reproduction | 1,927,000 |
| Other Services | 6,432,000 |
| Supplies and Materials | 1,684,000 |
| Equipment | 1,511,000 |
| Total | $75,369,000 |
| Undelivered resources | $ 2,988,000 |
| TOTAL | $72,381,000 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before ICC for Year Ended December 31, 1979

| | Freight Forwarders | Motor Freight and Passenger Carriers | Railroads | Water Carriers |
|---|---|---|---|---|
| Number of Proceedings: | | | | |
| Pending as of 1/1/79 | 15 | 10,986 | 688 | 4 |
| Instituted in 1979 | 23 | 39,360 | 613 | 20 |
| Concluded in 1979 | 20 | 23,987 | 617 | 11 |
| Pending as of 12/31/79 | 18 | 17,334 | 663 | 8 |
| Number of Hearings in 1979 | 0 | 487 | 39 | 0 |
| Number of Hearing Days in 1979 | 0 | 1,520 | 110 | 0 |
| Number of Orders Appealed to Courts in 1979 | 0 | 62 | 30 | 0 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Freight Forwarders | Motor Freight Carriers | Rail Passenger Carriers | Railroads | Water Carriers |
|---|---|---|---|---|---|
| Service | 170 | 4,789 | 12,440 | 2,427 | 8 |
| Billing | 232 | 3,233 | 215 | 278 | 4 |
| Miscellaneous | 927 | 12,746 | 304 | 607 | 7 |
| Total | 1,329 | 20,768 | 12,959 | 3,312 | 19 |

## NATIONAL ENERGY BOARD (CANADA)

(Associate Member), 473 Albert Street, Ottawa, Ontario, Canada K1A 0E5, Area Code (613) 992-4370.

### I. BOARD MEMBERS

Jack Garry Stabback, Chairman: born August 18, 1920, in Gleichen, Alberta; University of Alberta (BSc, Chemical Engineering); Royal Canadian Air Force, Oil and Gas Conservation Board of Alberta (1949-64), National Energy Board Chief Engineer (1964-68); assumed Membership 1968.

C. Geoffrey Edge, Vice-Chairman: born August 8, 1920, in Wilmslow, England; University of London (BSc, Economics); Royal Artillery (1939-46), British Civil Service (1946-51), Canadian Industries Limited (1951-56), Canadian Chemical and Cellulose Co. Ltd. (1956-59), served in various senior capacities with Chemcell Limited and related companies and subsidiaries (1959-70); assumed Membership 1971.

Livia Marie Thur, Associate Vice-Chairman: born May 12, 1928, in Monsonszolnok, Hungary; Universite de Louvain (MA, Political Science and Economics, Doctorate in Law); Research Assistant at Universite de Louvain (1955-59) and Universite de Montreal (1959-70) and Universite du Quebec a Trois-Rivieres (1970-75), Ministry of State for Science and Technology (1975-77); assumed Membership 1977.

William Alexander Scotland, Associate Vice-Chairman: born May 5, 1928, in Calgary, Alberta; University of Alberta (BSc., Chemical Engineering); Athabaska Oil Sands Project (1950-53), Texaco Exploration Company (1953-60), National Energy Board Chief Engineer (1968-72), Department of Energy, Mines and Resources (1972-74); assumed Membership 1974.

Ralph F. Brooks, Member: born June 6, 1925, in Saint John, New Brunswick; University of New Brunswick (BSc., Electrical Engineering); Department of Mines and Resources (1946-48), Shawinigan Water and Power Company (1948-62), Niagara Mohawk Power Corporation (1962-67), Shawinigan Engineering Company (1967-68), National Energy Board Assistant Chief Engineer (Electric Power) and Director of Electrical Engineering Branch (1968-72), Director General of Planning (1972); assumed Membership 1973.

Jacques Farmer, Member: born April 25, 1923, in Montreal; University of Montreal (BA and B. Applied Sciences); Hydro-Quebec (1949-57), served in various senior capacities with Quebec Natural Gas Corporation which later became Gaz Metropolitan, Inc. (1957-73); assumed Membership 1974.

John R. Jenkins, Member: born May 20, 1931, in Medicine Hat, Alberta; University of Alberta (B.Sc., Chemical Engineering); Alberta Energy Resources Conservation Board (1954-59), National Energy Board Chief Engineer and Director of Engineering Branch (1972), National Energy Board Director General of Planning (1975-78); assumed Membership 1978.

John R. Hardie, Member: born May 5, 1919, in Calgary, Alberta; Chartered Accountant degree; Canadian Army, Partner in William F. Reid & Company, Chartered Accountant until 1949; various senior capacities with Canadian Gulf Oil Company and Canadian Arctic Gas Study Limited (1976), Controller of Loram International Limited (1978); assumed Membership 1979.

Jacques L. Trudel, Member: born April 13, 1919, in Montreal, Quebec; Montreal Business College; Royal Canadian Mounted Police, manager for national food products manufacturer, Member of House of Commons (1968-74); assumed Membership 1979.

R. Byron Horner, Member: born June 30, 1929, in Blaine Lake, Saskatchewan; University of Saskatchewan (Law); attorney, Canadian Gulf Oil Company (1956), Official Guardian and Registrar in Bankruptcy for Province of Saskatchewan (1965), Chairman of Saskatchewan Securities Commission (1967-70); assumed Membership 1979.

### II. STAFF OFFICIALS

Brian H. Whittle, Secretary of Board

Geoffrey Yroke Slader, Assistant Secretary of Board

A. B. Gilmour, Director General, Operations

D. Emmens, Computer Systems Group

P. Eglington, Director, Economics Branch

E. S. Bell, Director, Electric Power Branch

K. W. Vollman, Director, Energy Resources Branch

J. R. Fickmeier, Director, Engineering Branch

M. E. LeClerc, Director General, Special Projects

F. G. Dyson, Director, Administrative Services Branch

D. G. Harris, Director, Financial Regulatory Branch

S. R. Ironstone, Director, Gas Advisory Branch

F. H. Lamar, General Counsel, Law Branch

P. G. Scotchmer, Director, Oil Policy Branch

## III. ORGANIZATION OF THE NATIONAL ENERGY BOARD

The Board is divided into eight separate branches, and the following is a brief outline of the responsibilities of these branches.

### Economics Branch

Responsible for energy statistics including supply/demand balances for all sources of energy, short and long-term energy forecasts analysis of market requirements in respect of applications, and economic analysis of major energy projects and of the energy industries.

### Electrical Engineering Branch

Advises the Board on all matters concerning electric power including regulatory operations associated with authorizing the construction of international power lines and with licensing exports of power; conducts studies on various aspects of the planning and engineering of electric power systems and power generation.

### Engineering Branch

Advises the Board on matters of oil and gas exploration, drilling and production. Forecasts trends in oil and gas supply from conventional, oil sands, and synthetic sources. Independently calculates the reserves and deliverability of gas and the reserves and producibility of oil. Responsible for pipeline design, construction, operation, right of way and public safety. Carriers out inspection, engineering and economic studies in relation to pipelines and associated facilities. Analyzes and makes recommendations on engineering aspects of construction of oil and gas pipelines and gas processing plants. Provides environmental advice and assessments respecting the construction and operation of pipelines and power lines and exportation of oil, gas and electric power and other related activities.

The Calgary office of the Engineering Branch is engaged in the actual pool by pool calculation of gas and oil reserves and the inspection of pipelines in Western Canada which are under the Board's jurisdiction.

### Finance, Personnel, and Administration Branch

Provides administrative support to the Board, including such functions as finance, personnel, official languages, library, communications, security and office services.

### Financial Branch

Provides advice on rates and accounting matters in respect of regulatory and advisory functions. Analyzes and recommends on the financial aspects of construction of oil and gas pipelines, including financial and economic feasibility, methods of financing, financial structure of applicants and Canadian content of projects. Examines the rate structures including methods of rates, tolls and tariff designs of oil and natural gas pipelines and pricing aspects of oil, natural gas and electricity for export. Organizes and conducts field audits of pipeline companies and licensed exporters of oil and gas. Develops uniform accounting regulations for pipeline companies.

### Law Branch

Advises the Board on its statutory powers, prepares regulations and rules of procedure, prepares draft amendments to the Act, examines witnesses at hearings, assists in the preparation and review of submissions to Governor in Council, Reasons for Decision, Orders and Certificates and conducts litigation for and on behalf of the Board.

### Oil Policy Branch

Acts as the Board's principal staff source of information and advice on national and international matters affecting oil policy. Provides the staff advice and appraisals for the Board's control over licensed exports and export prices of crude oil and equivalent hydrocarbons and petroleum products.

### Gas Advisory Branch

Acts as the Board's primary advisor on matters affecting natural gas and gas products policy. Provides the staff advice on the pricing of natural gas exports, the administration of Part III of the Petroleum Administration Act which is concerned with domestic natural gas price restraint, and the administration of the propane/butanes export control programme. Analyzes and makes recommendations on economic, market and regulatory aspects of natural gas facilities, rates and export applications, provides short term gas industry and market analysis, and coordinates all matters relative to export controls on ethane and ethylene.

# NATIONAL TELECOMMUNICATIONS AND INFORMATION ADMINISTRATION, UNITED STATES DEPARTMENT OF COMMERCE

(Associate Member) - Office - 1800 "G" Street, N. W., Washington, D. C. 20504, Area Code (202)

## I. ASSISTANT SECRETARY

Henry Geller, Assistant Secretary of Commerce for Communications and Information, 377-1840: born February 14, 1924, in Springfield, Massachusetts; University of Michigan (BA), Northwestern School of Law (JD); U.S. Army (1944-46), National Labor Relations Board attorney, attorney for Anti-Trust Division of the Department of Justice, FCC General Counsel (1946-70), Special Assistant to FCC Chairman, associated with the Aspen Institute Program on Communications and with the Rand Corporation; assumed Assistant Secretaryship June 28, 1978, serves at the pleasure of the President; married (Judith), two children.

## II. STAFF OFFICIALS

| | | |
|---|---|---|
| Edward K. Zimmerman, Deputy Assistant Secretary of Commerce for Communications and Information | | 377-1830 |
| William B. Garrison, Jr., Director of Congressional and Public Affairs | | 377-1832 |
| *Dale Hatfield, Associate Administrator for Policy Analysis and Development | (Ext. 4205) | 499-1000 |
| Ellen Deutsch, Program Manager-Common Carrier | | 377-1880 |
| William R. Nusbaum, Project Director-Federal/State Relations-Common Carrier | | 377-1880 |
| Susan McAdams, Telecommunications Policy Analyst | | 377-1880 |
| Kenneth Robinson, Special Assistant to the Assistant Secretary | | 377-1840 |
| Stuart Brotman, Special Assistant to the Assistant Secretary | | 377-1840 |
| William Fishman, Special Assistant to the Assistant Secretary | | 377-1840 |
| Gregg Skall, Chief Counsel | | 377-1866 |
| William Lucas, Associate Administrator for Telecommunications Applications | | 724-3349 |
| Donald M. Jansky, Associate Administrator for Federal Systems and Spectrum Management | | 724-3353 |
| **Douglass Crombie, Associate Administrator for the Institute for Telecommunication Sciences | (Ext. 4215) | 499-1000 |
| Veronica Ahern, Director-Office of International Affairs | | 377-1816 |
| Sam Williams, Director-Office of Planning and Policy Coordination | | 377-1835 |
| Cloyd Dodson, Director-Office of Administration | | 377-1800 |

* Baseline 30 Building, Boulder, Colorado, Area Code (303)
**Radio Building, 325 S. Broadway, Boulder, Colorado, Area Code (303)

## NUCLEAR REGULATORY COMMISSION

Office - Washington, D. C.

### I. COMMISSIONERS

John F. Ahearne, Chairman, (202) 634-3323: born June 14, 1934, in New Britain, Connecticut; Cornell University (BS, MS), Princeton University (MA, PhD); U.S. Air Force (1959-70), Associate Professor of Physics at Air Force Academy (1964-69), Adjunct Professor of Physics at University of Colorado (1964-69), lecturer in physics at Colorado College (1964-69), Director of Tactical Air Directorate of Office of the Assistant Secretary of Defense for Systems Analysis (1969-72), Deputy Assistant Secretary of Defense for General Purpose Programs (1972-74), Principal Deputy Assistant Secretary of Defense for Manpower and Reserve Affairs (1975-77), White House Energy Policy and Planning Office (1977), Deputy Assistant Secretary for Resource Applications (DOE) (1978); assumed Commissionership August 1, 1978, current term ends June 30, 1983; married (Barbara), five children.

Victor Gilinsky, Commissioner, (202) 634-1461: born May 28, 1934, in Warsaw, Poland; Cornell University (Bachelor's degree in Engineering Physics), California Institute of Technology (PhD); Rand Corporation (Project Leader on International Aspects of Nuclear Energy, Director of Applied Science and Technology Program and Head of Physical Sciences Department), AEC staff official; assumed Commissionership January 19, 1975, current term ends June 30, 1984; married (Magdalena), two children.

Richard T. Kennedy, Commissioner, (202) 634-1463: born December 24, 1919, in Rochester, New York, University of Rochester (Bachelor's degree in Economics), Harvard Graduate School of Business Administration (MA), National War College; U.S. Army Colonel, Deputy Assistant to the President for National Security Council Planning; assumed Commissionership January 19, 1975, current term ends June 30, 1980; married (Jean).

Joseph M. Hendrie, Commissioner, (202) 634-1459: born March 18, 1925, in Janesville, Wisconsin; Case Institute of Technology (BS), Columbia University (PhD); U.S. Army (1943-46), physicist at Brookhaven National Laboratory (1955-72), Deputy Director for Technical Review of the AEC's Directorate of Licensing (1972-74), Chairman of Department of Applied Science at Brookhaven National Laboratory (1975-77), author; assumed Commissionership August 9, 1977, current term ends 1981; married (Elaine), two daughters.

Peter A. Bradford, Commissioner, (202) 634-3308: born July 21, 1942, in New York City; Yale University, Yale Law School; Maine PUC Commissioner (1971-77), Adviser to Governor Curtis (1968-71), author, participated in Ralph Nader's 1968 team study of FTC practices; assumed Commissionership August 15, 1977, current term ends June 30, 1982; married (Mary), two children.

### II. STAFF OFFICIALS

| | |
|---|---|
| Lee V. Gossick, Executive Director for Operations | 492-7511* |
| Kevin Cornell, Deputy Executive Director for Operations | 492-8902 |
| William J. Dircks, Director, Office of Nuclear Material Safety and Safeguards | 427-4063* |
| Harold R. Denton, Director, Office of Nuclear Reactor Regulation | 492-7691* |
| Saul Levine, Director, Office of Nuclear Regulatory Research | 427-4341* |
| John G. Davis, Acting Director, Office of Inspection and Enforcement | 492-7397* |
| Robert B. Minogue, Director, Office of Standards Development | 443-5936* |
| Robert M. Lazo, Acting Chairman, Atomic Safety and Licensing Board Panel | 492-7842 |
| Alan S. Rosenthal, Chairman, Atomic Safety and Licensing Appeal Panel | 492-7662* |
| Albert P. Kenneke, Acting Director, Office of Policy Evaluation | 634-3295** |
| Leonard Bickwit, Jr., General Counsel | 634-3288** |
| Samuel J. Chilk, Secretary of the Commission | 634-1469** |
| Joseph J. Fouchard, Director, Office of Public Affairs | 492-7121* |
| Carlton C. Kammerer, Director, Office of Congressional Affairs | 634-1443** |
| Howard K. Shapar, Executive Legal Director | 492-73[illegible]8* |
| James J. Cummings, Director, Office of Inspector and Auditor | 492-7611* |
| Max W. Carbon, Chairman, Advisory Committee on Reactor Safeguards | 634-3265** |

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*Area Code 301
**Area Code 202

# POSTAL RATE COMMISSION

Office - 2000 L Street, N. W., Suite 500, Washington, D. C. 20268, Area Code (202), Information: 254-5614

## I. COMMISSIONERS

A. Lee Fritschler, Chairman, 254-8831: Democrat; born May 5, 1937, in Schenectady, New York; Union College (BA), Syracuse University (MPA, PhD); assistant professor and academic director of American University's Washington Semester Program (1964-67), academic appointments as faculty fellow and visiting lecturer at various universities and institutions (1968-71), Dean of School of Government and Public Administration (1972-77) and of College of Public and International Affairs of American University (1978-79), author; assumed Commissionership July 31, 1979, current term ends October 14, 1982.

James H. Duffy, Vice Chairman, 254-3848: Democrat; born April 6, 1918, in Cranston, Rhode Island; Providence College (PhB), Rhode Island College (EdB), Boston University Law School (LLB); U.S. Army (1943-46), high school teacher (1942-43), private law practice (1948-55), Chief Counsel to U.S. Senate Subcommittee on Privileges and Elections (1955-75), Legislative Assistant to Senator Robert C. Byrd (1975-77), Secretary for Senate Majority (1977-79); assumed Commissionership May 11, 1979, current term ends November 22, 1984.

Clyde S. DuPont, Commissioner, 254-3816: Republican; born December 28, 1933, in Waialua, Oahu, Hawaii; George Washington University Law School (JD), Brigham Young University (BS); Minority Counsel for Senate Post Office and Civil Service Committee, Professional Staff member of Senate Judiciary Committee, Legislative Assistant to United States Senator Hiram L. Fong; assumed Commissionership September 20, 1974, current term ends October 14, 1980.

Simeon M. Bright, Commissioner, 254-3828: Democrat; born September 11, 1925, in Keyser, West Virginia; Potomac State College, West Virginia University (BA, MA); education specialist for Department of Army, Special Assistant to the Assistant Postmaster General for Personnel (1962-69), Lecturer of History at University of Maryland (1952-62, 1969-70), administrator and teacher at Potomac State College (1971-73), realtor (1971-78); assumed Commissionership December 15, 1977, current term ends November 22, 1982; married (Lorna), one daughter, two sons.

Kieran O'Doherty, Commissioner, 254-3846: Conservative; born August 8, 1926, in New York, New York; City College of New York (AB), Columbia Law School (LLB); private law practice, consultant to the Secretary of Commerce, member of U.S. Foreign Claims Settlement Commission; assumed Commissionership February 20, 1975, current term ends October 14, 1980.

## II. STAFF OFFICIALS

| | |
|---|---|
| David F. Stover, General Counsel | 254-3824 |
| David F. Harris, Chief Administrative Officer/ Secretary | 254-3880 |
| Robert H. Cohen, Director, Office of Technical Analysis and Planning | 254-3890 |
| Dennis Watson, Information Officer | 254-5614 |

## SECURITIES AND EXCHANGE COMMISSION

Office - 500 North Capitol Street, Washington, D. C. 20459, Area Code (202) 272-2650

### I. COMMISSIONERS

Harold M. Williams, Chairman, 272-2000: Democrat; born January 5, 1928, in Philadelphia, Pennsylvania; University of California (BA), Harvard University (JD); private law practice (1949-55), U.S. Army legal officer in Korean Conflict, executive of Hunt Foods (1955-68) and Norton Simon, Inc. (1968-69), Los Angeles City official, Dean of University of California (UCLA) Graduate School of Management (1970-77); assumed Commissionership April 21, 1977, current term ends June 5, 1982; married (Estelle).

Philip A. Loomis, Jr., Commissioner, 272-2200: Republican; born June 11, 1915, in Colorado Springs, Colorado; Princeton (AB), Yale Law School (LLB); consultant, Associate Director and Director of Division of Trading and Exchanges, SEC General Counsel; assumed Commissionership August 31, 1971, current term ends June 5, 1984; widower, three children.

John R. Evans, Commissioner, 272-2100: Republican; born June 1, 1932, in Bisbee, Arizona; University of Utah (BS), University of Utah (MS); Professional Staff Member of U.S. Senate Committee on Banking, Housing and Urban Affairs, Economics Assistant to U.S. Senator Wallace F. Bennett, Research Analyst/Economics Instructor for University of Utah; assumed Commissionership March 3, 1973, current term ends June 5, 1983; married (Gale), three children.

Irving M. Pollack, Commissioner, 272-2300; Democrat; born April 8, 1918, in Brooklyn, New York; Brooklyn College (BA), Brooklyn Law School (LLB); U.S. Army Air Force in World War II, private law practice, SEC staff member (1946-74), author; assumed Commissionership February 13, 1974, current term ends June 5, 1980; married (Shirley), two daughters.

Stephen J. Friedman, Commissioner, 272-2400: Democrat; born March 19, 1938, in Brooklyn, New York; Princeton University (AB), Harvard University (LLB); clerk for U.S. Supreme Court Justice Brennan (1963-64), Special Assistant to Maritime Administrator at Department of Commerce (1964-65), private law practice (1965-77), lecturer at Columbia Law School (1974-77), Deputy Assistant Secretary of the Treasurer for Capital Markets (1977-79), author; assumed Commissionership April 14, 1980, current term ends June 5, 1981.

### II. STAFF OFFICIALS

| | |
|---|---|
| Daniel L. Goezler, Executive Assistant to the Chairman | 272-2000 |
| Benjamin Milk, Executive Director | 272-2700 |
| George A. Fitzsimmons, Secretary | 272-2600 |
| Aaron Levy, Director, Division of Corporate Regulation | *523-5691 |
| Edward F. Greene, Director, Division of Corporate Finance | 272-2800 |
| Stanley Sporkin, Director, Division of Enforcement | 272-2900 |
| Sydney H. Mendelsohn, Director, Division of Investment Management | 272-2750 |
| Douglas Scarff, Director, Division of Market Regulation | 272-3000 |
| Richard J. Kanyan, Director, Administrative Services | *523-5980 |
| Joseph F. Olivo, Director, Office of Reports and Information Services | *523-5510 |
| Warren E. Blair, Chief Administrative Law Judge | *523-5657 |

| | |
|---|---|
| A. Clarence Sampson, Chief Accountant | 272-2050 |
| Lawrence H. Haynes, Comptroller | 272-2150 |
| John Adkins, Director, Office of Data Processing | 272-2250 |
| Steven E. Levy, Director, Office of Economic and Policy Research | 272-2850 |
| Ralph C. Ferrara, General Counsel | 272-2350 |
| Andrew L. Rothman, Director of Public Affairs | 272-2650 |
| James C. Foster, Director of Personnel | 272-2519 |
| William S. Stern, Director, Office of Opinions and Review | 272-2450 |
| Justin P. Klein, Director, Office of Consumer Affairs | *523-3952 |
| Matthew R. Schneider, Director of Legislative Affairs | 272-2500 |

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*Located at 1100 L Street, N. W., Washington, D.C.

## UNITED STATES DEPARTMENT OF LABOR

(Associate Member) - Office - 200 Constitution Avenue, N. W., Washington, D. C. 20210, Area Code (202), Information: 523-8165

Ray Marshall, Secretary of Labor, 523-8271

| | |
|---|---|
| John N. Gentry, Under Secretary of Labor | 523-6151 |
| *Nahum Litt, Chief Judge, Office of Administrative Law Judges | 653-5020 |

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*1111 20th Street, N. W. Suite 700, Washington, D. C. 20036

## UNITED STATES DEPARTMENT OF TRANSPORTATION

Office: 400 Seventh Street, S. W., Washington, D. C. 20590, Area Code (202), Information: 426-4000

### I. THE SECRETARY

Neil Goldschmidt, The Secretary, 426-1111: born June 16, 1940, in Eugene, Oregon; University of Oregon (Bachelor's degree in political science), University of California Boalt Law School; Intern to U. S. Senator Maurine Neuberger of Oregon, supervising attorney with Oregon Legal Aid Service, Portland City Councilman (1970-72), Mayor of Portland (1972-79), alternate to the United Nations Economic Commission for Europe (1976); assumed Secretaryship September 24, 1979, serves at the pleasure of the President; married (Margaret Wood), two children.

### II. STAFF OFFICIALS

| | |
|---|---|
| William U. Beckham, Jr., The Deputy Secretary-Designate | 426-2222 |
| Douglas Wright, Deputy Under Secretary | 426-0048 |
| Mort Downy, Assistant Secretary for Budget and Programs | 426-9191 |
| Vacancy, Assistant Secretary for Policy and International Affairs | 426-4544 |
| Linda Kamm, General Counsel | 426-4702 |
| Edward W. Scott, Jr., Assistant Secretary for Administration | 426-2332 |
| Robert A. Holland, Director, Office of Public and Consumer Affairs | 426-4570 |
| Howard J. Dugoff, Director, Research and Special Programs Administration | 426-8584 |
| Leon D. Santman, Director, Materials Transportation Bureau | 755-9260 |
| Alan I. Roberts, Acting Director for Hazardous Materials Regulation | 426-0656 |
| Cesar DeLeon, Associate Director for Pipeline Safety Regulation, Materials Transportation Bureau | 426-2392 |
| Robert L. Paullin, Acting Director for Operations and Enforcement, Materials Transportation Bureau | 755-9260 |
| Langhorn Bond, Administrator, Federal Aviation Administration | 426-3111 |
| Joan Claybrook, Administrator, National Highway Traffic Safety Administration | 426-1836 |
| Theodore Lutz, Acting Administrator, Urban Mass Transportation Administration | 426-4040 |
| David W. Oberlin, Administrator, Saint Lawrence Seaway Development | 426-3574 |

### Federal Highway Administration

Office - 400 Seventh Street, S. W., Washington, D. C. 20590, Area Code (202) 426-0677; TWX 426-1847; Telecopier 426-0197

| | |
|---|---|
| Vacancy, Administrator | 426-0650 |
| John S. Hassell, Jr., Deputy Administrator | 426-0641 |
| Dr. Robert A. Kaye, Director, Bureau of Motor Carrier Safety | 426-1790 |
| Lorenzo Casanova, Associate Administrator for Safety | 755-9347 |

### Federal Railroad Administration

Office - NASSIF Building, 400 Seventh Street, S. W., Washington, D. C. 20590, Area Code (202)

| | |
|---|---|
| John M. Sullivan, Administrator | 426-0710 |
| Robert E. Gallamore, Deputy Administrator | 426-0857 |
| Gerard J. McCullough, Special Assistant to the Administrator | 426-2040 |
| T. Michael Avenent, Public Affairs Officer | 426-0881 |
| Jeanne E. Randall, Civil Rights Officer | 426-9753 |
| Frederick G. Bremer, Associate Administrator for Administration | 426-0862 |
| Raymond K. James, Chief Counsel | 426-0767 |
| Joseph W. Walsh, Associate Administrator for Safety | 426-0896 |
| Gene Cox, Manager, State Safety Program | 426-1510 |
| William H. FitzGerald, Director of Planning and Budget | 426-0870 |
| *Edward R. Mathews, Director of Transportation Test Center | 303-545-5660 |
| William E. Loftus, Associate Administrator for Federal Assistance | 426-0657 |
| Steven R. Ditmeyer, Associate Administrator for Policy and Program Development | 426-0382 |
| Robert E. Parsons, Associate Administrator for Research and Development | 426-9601 |
| **Frank Jones, General Manager - The Alaska Railroad | 907-265-2411 |
| Lou Thompson, Director, Northeast Corridor Project | 426-9660 |

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*Transportation Test Center, Pueblo, Colorado 81001
**Post Office Box 7-2111, Anchorage, Alaska 99510

## TRANSPORTATION SAFETY INSTITUTE

Office - 6500 S. MacArthur Boulevard, Oklahoma City, Oklahoma 73125, Area Code (405)

| | |
|---|---|
| Donald E. McCoy, Acting Program Manager, Pipeline Safety | 686-2466 |

## ALABAMA PUBLIC SERVICE COMMISSION

Office - State Office Building, Post Office Box 991, Montgomery, Alabama 36130, Area Code (205), Information: 832-3421

### I. COMMISSIONERS

William James Sanford, Jr., President, 832-5174: Democrat; born February 4, 1950, in Opelika, Alabama; Auburn University (BA, JD); U.S. Air Force First Lieutenant, attorney for Federal Deposit Insurance Corporation; assumed Commissionership February 11, 1980, current term ends January 21, 1981.

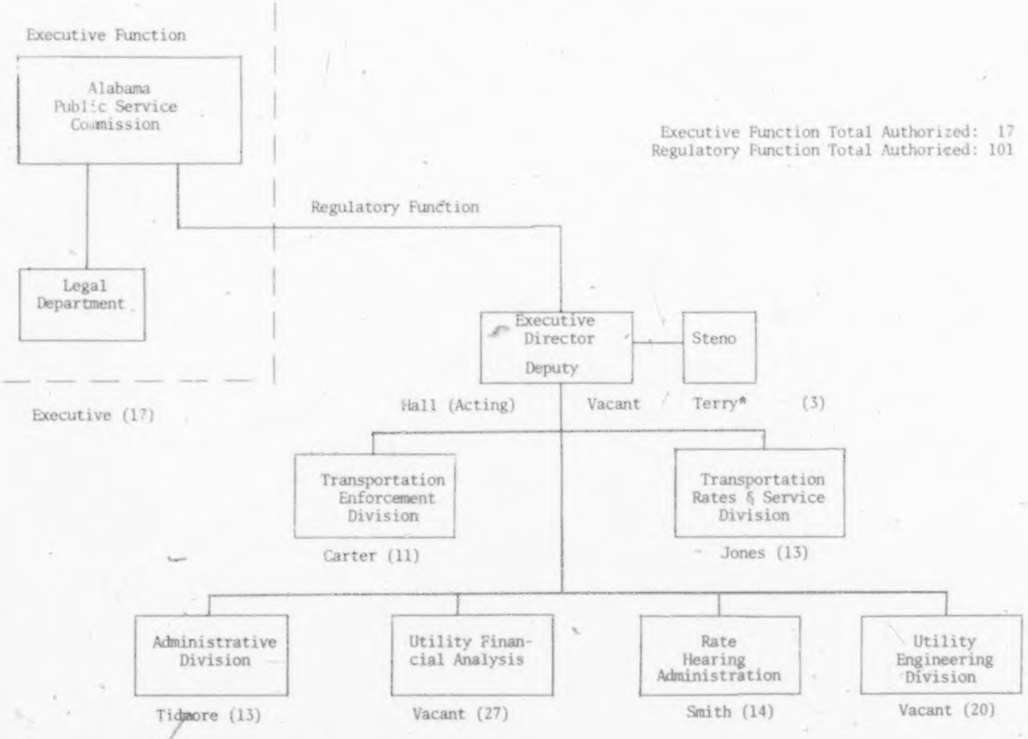
Charles T. "Pete" Mathews, Commissioner, 832-3395: Democrat; born November 16, 1917, in Clay County, Alabama; Jacksonville State University (BS), University of Alabama (LLB); U.S. Army Officer (1943-46), private law practice, city attorney, State Representative (1955-59, 1967-75), State Senator (1963-67), Administrative Floor Leader; assumed Commissionership January 15, 1979, current term ends January 1983; bachelor.

Jim Folsom, Jr., Commissioner, 832-3353: Democrat; born May 14, 1949, in Montgomery, Alabama; Jacksonville State University (BS); public relations with Reynolds Metal Company (1977-78); assumed Commissionership January 15, 1979, current term ends January 1983; married (Marsha).

### II. KEY STAFF

| | |
|---|---|
| Wallace Tidmore, Executive Director and Secretary | 832-3421 |
| Carl Evans, General Counsel | 832-3390 |
| James B. Williams, Director of Utilities Financial Analysis | 832-3384 |
| Larry E. Waldrop, Administrator, Gas Pipeline Safety | 832-3410 |
| Cathryn Oliver, Chief, Bureau of Accounts | 832-5077 |
| Robert A. Jones, Transportation Rate Supervisor | 832-3327 |
| John W. Carter, Chief Inspector, Bureau of Inspection and Compliance | 832-3367 |
| H. E. Cauthen, Jr., Executive Assistant to Commissioner Mathews | 832-3395 |
| Delmer Duboise, Executive Assistant to Commissioner Folsom | 832-3353 |
| Yvette Smiley Johnson, Director, Rate Hearing Administration | 832-6282 |

### III. ORGANIZATION CHART


Executive Function
Alabama Public Service Commission
Legal Department
Executive (17)
Regulatory Function
Executive Director
Deputy
Steno
Hall (Acting)
Vacant
Terry*
(3)
Transportation Enforcement Division
Carter (11)
Transportation Rates § Service Division
Jones (13)
Administrative Division
Tidmore (13)
Utility Financial Analysis
Vacant (27)
Rate Hearing Administration
Smith (14)
Utility Engineering Division
Vacant (20)

Executive Function Total Authorized: 17
Regulatory Function Total Authorized: 101

*Serving as secretary to Secretary and Executive Director

# ALABAMA PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### Statement of Operations for Fiscal Year Ending September 30, 1979

| | | | |
|---|---|---|---|
| Balance - October 1, 1978 | | | $ 300,000.00 |
| Encumbrances - September 30, 1978 | | | 171,526.98 |
| | | | 471,526.98 |
| RECEIPTS: | | | |
| Transportation Cos. Insp. & Supv. Fees | | $ 820,434.22 | |
| Utilities - Insp. & Supv. Fees | | 1,798,194.09 | |
| Radio Utility Systems - Insp. & Supv. Fees | | 575.00 | |
| Publication Fees | | 252.00 | |
| Sale of Surplus Property | | 11,150.00 | |
| Transfer from Motor Carrier Fund | | 50,000.00 | |
| Federal Funds - DOT - Gas Pipeline Safety | | 106,246.96 | |
| Federal Funds - DOT - RR Trace & Safety Programs | | 98,889.22 | |
| | | | 2,885,741.49 |
| | | | 3,357,268.47 |
| DISBURSEMENTS: | | | |
| REGULATORY PROGRAM - 041 | | | |
| Personnel Costs | $ 993,306.39 | | |
| Employee Benefits | 175,720.79 | | |
| Services Purchased | 182,389.07 | | |
| Products Purchased | 41,186.31 | | |
| Office Equipment | 7,209.15 | | |
| Automotive Equipment | | | |
| Transfers | 8,704.80 | | |
| TOTAL DISBURSEMENTS - REGULATORY PROGRAM | 1,408,516.51 | | |
| ADMINISTRATIVE PROGRAM - 042 | | | |
| Personnel Costs | 468,192.35 | | |
| Employee Benefits | 73,387.17 | | |
| Services Purchased | 116,947.94 | | |
| Products Purchased | 15,599.95 | | |
| Office Equipment | 4,735.43 | | |
| Automotive Equipment | 5,928.33 | | |
| Transfers | 8,035.20 | | |
| TOTAL DISBURSEMENTS - ADMINISTRATIVE PROGRAM | 692,826.37 | | |
| TOTAL DISBURSEMENTS ON CURRENT YEAR DISBURSEMENTS | | 2,101,342.88 | |
| TRANSFER TO GENERAL FUND | | 737,046.85 | |
| DISBURSEMENT ON 1977-78 ENCUMBRANCES | | 63,583.74 | |
| TOTAL DISBURSEMENT AND TRANSFERS | | | $2,901,973.47 |
| LESS: 1978-79 ENCUMBRANCES | | | 155,295.00 |
| BALANCE - SEPTEMBER 30, 1979 | | | $ 300,000.00 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before Alabama PSC for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail-roads | Tele-phone | Electric | Gas | Totals |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Pending as of 1/1/79 | 3 | 12 | 5 | 1 | 1 | 22 |
| Instituted in 1979 | 7 | 10 | 10 | 1 | 1 | 29 |
| Concluded in 1979 | 9 | 13 | 12 | 1 | 1 | 36 |
| Pending as of 12/31/79 | 1 | 9 | 3 | 0 | 0 | 13 |
| Number of Hearings in 1979 | 2 | 12 | 15 | 1 | 1 | 31 |
| Number of Hearing Days in 1979 | 2 | 13 | 52 | 30 | 12 | 109 |
| Number of Orders Appealed to Courts in 1979 | 0 | 1 | 0 | 1 | 0 | 2 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Electric | Gas | Combination Electric and Gas |
|---|---|---|---|---|---|---|
| Service | 28 | 6 | 1,029 | 747 | 19 | 766 |
| Tariff | - | - | 162 | 235 | 51 | 286 |
| Billing | - | 1 | 222 | 482 | 154 | 636 |
| Safety | - | 10 | 494 | 37 | 8 | 45 |
| Miscellaneous | 5 | - | 403 | 351 | 64 | 415 |
| Total | 33 | 17 | 2,310 | 1,852 | 296 | 2,148 |

## ALASKA PIPELINE COMMISSION

Office - 338 Denali Street, 12th Floor, Anchorage, Alaska 99501, Area Code (907)

### I. COMMISSIONERS

Cheri C. Jacobus, Chairman, 279-0583: Republican; born August 10, 1947, in Corpus Christi, Texas; University of Arizona (BA, JD); private law practice (1973-74), Assistant District Counsel of U.S. Army Corps of Engineers of Alaska District (1974-77): assumed Commissionership November 7, 1977, current term ends April 4, 1982; married (Kenneth), one child.

Harry J. Donahue, Commissioner, 279-0583: Democrat; born February 6, 1925, in Pittsburgh, Pennsylvania; United States Naval Academy (BS), George Washington University (MA), American University (PhD), Harvard Graduate School of Business Administration; U.S. Army Parachute Rifleman in World War II (1943-45), U.S. Navy Officer (1945-56), Special Assistant to Director of National Security Agency (1956-70), Mayor Pro Tem and Councilman for City of New Carrollton (Maryland) (1958-61), President of The Callimont Corporation (1970-73), Mayor of Borough of Callimont (Pennsylvania) (1971-73), various positions with Municipality of Anchorage including Director of Office of Management and Budget (1973-76), university lecturer, civic leader; assumed Commissionership August 1, 1976, current term ends April 4, 1984; married (Lois), three sons.

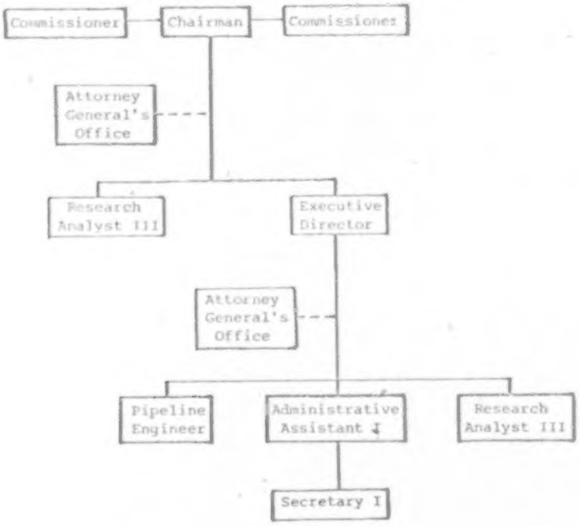
Karen W. Cory, Commissioner, 279-0583: Republican; born April 13, 1950 in Knoxville, Tennessee; University of Tennessee, BS degree in political science/economics, pursuing M.P.A., University of Alaska; last served as Special Assistant to Governor Jay Hammond; has held various management positions in personnel, civil rights and human relations; employed by Green Construction Company on Trans-Alaska Pipeline during 1975; civic leader in Alaska since 1973; assumed commissionership July 14, 1980, current term ends April 4, 1986.

### II. STAFF

George W. Skladal, Executive Director

Number of Personnel: 4 (1 Administrative Assistant, 1 Secretary, 1 Pipeline Engineer, and 1 Research Analyst).

### III. ORGANIZATION CHART



### IV. RECEIPTS AND EXPENDITURES

Alaska Pipeline Commission Budget for Fiscal Year July 1, 1978 to June 30, 1979

| Receipts | | Expenditures | |
|---|---|---|---|
| General Fund Appropriation | $556,000 | Personal Services | $365,100 |
| | | Travel | 29,000 |
| | | Constractual Services | 157,800 |
| | | Commodities | 2,600 |
| | | Equipment | 1,500 |
| | | Total Expenditures | $556,000 |

# ALASKA PUBLIC UTILITIES COMMISSION

Office - 1100 MacKay Building, 338 Denali Street, Anchorage, Alaska 99501, Area Code (907) 276-6222

## I. COMMISSIONERS

Gordon J. Zerbetz, Chairman: born December 2, 1925, in Ketchikan, Alaska; Whitman College (BA); Alaska Territorial Guard, U.S. Army Signal Corps, Reserve Officer in U.S. Coast Guard, hotel executive, property manager, economist, Ketchikan City Council, Charter Commission, Alaska Centennial Committee, Sea Rancher; assumed Commissionership October 1963, current term ends October 31, 1980.

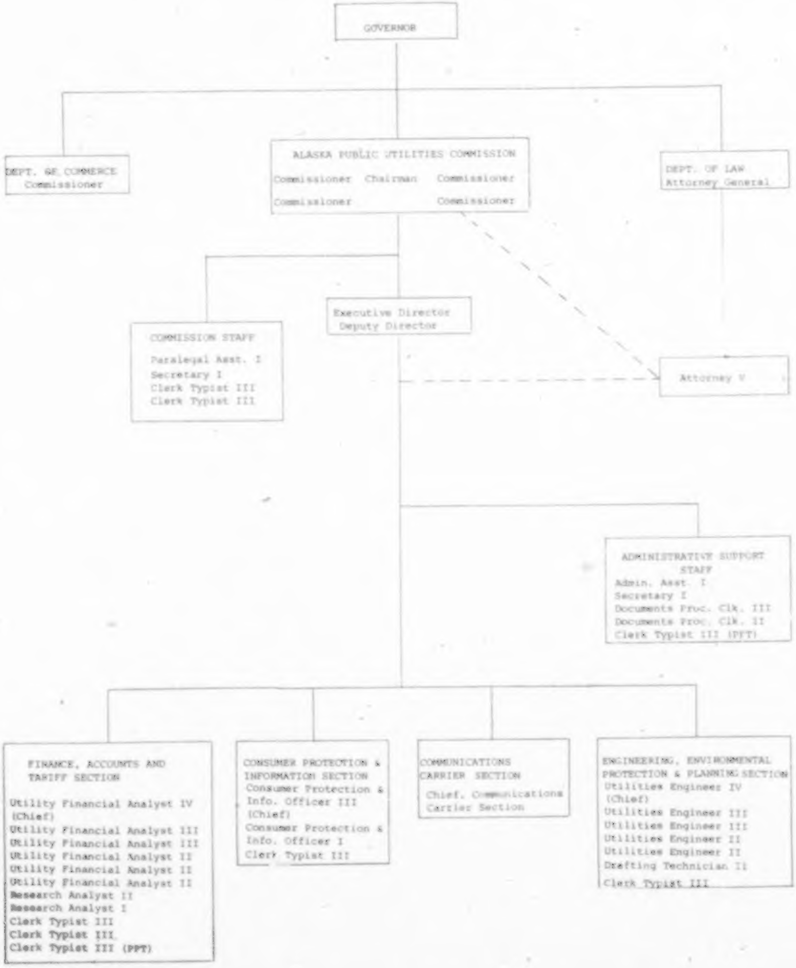
Marvin R. Weatherly, Commissioner: born June 1, 1932, in Fresno, California; Capitol Radio Engineering Institute; U.S. Marine Corps, Engineer for Bendix Radio-Alaska, Chief Engineer of Northern Television-Alaska, Project Manager of Page Communications Engineers-Viet Nam, Deputy Director of Control Data Corp. Viet Nam, Executive Director of Alaska Educational Broadcasting Commission, Director of Governor's Office of Telecommunication; assumed Commissionership September 2, 1975, current term ends October 31, 1984; married (Helen), three children.

Carolyn S. Guess, Commissioner: born September 24, 1934, in Montclair, New Jersey; College of William and Mary (BA); Vice Chairman of Alaska Educational Broadcasting Commission; assumed Commissionership October 1, 1975, current term ends September 28, 1981; four children.

Susan M. Knowles, Commissioner: born December 4, 1946, in New York, New York; Vassar College (BA), University of Alaska (MBA); Community Enterprise Development Corporation, property investment finance manager, restraurateur, assumed Commissionership September 28, 1975, current term ends September 28, 1981; married (Anthony), one child.

Stuart C. Hall, Commissioner: born June 18, 1935, in San Jose, California; University of California at Berkeley (BA), Stanford University (AM), Harvard University (JD); consultant to California Constitution Revision Commission (1964-65), public affairs consultant (1967-68), Consultant to California Assembly Committee on Elections and Constitutional Amendments (1969-70), Administrative Assistant to California State Senator (1970-71), Alaska Legislative Counsel (1971-76), university lecturer, author, civic leader; assumed Commissionership July 1, 1976, current term ends October 31, 1982; single.

## II. ORGANIZATION CHART


GOVERNOR
DEPT. OF COMMERCE
Commissioner
ALASKA PUBLIC UTILITIES COMMISSION
Commissioner
Chairman
Commissioner
Commissioner
Commissioner
DEPT. OF LAW
Attorney General
COMMISSION STAFF
Paralegal Asst. I
Secretary I
Clerk Typist III
Clerk Typist III
Executive Director
Deputy Director
Attorney V
ADMINISTRATIVE SUPPORT STAFF
Admin. Asst. I
Secretary I
Documents Proc. Clk. III
Documents Proc. Clk. II
Clerk Typist III (PFT)
FINANCE, ACCOUNTS AND TARIFF SECTION
Utility Financial Analyst IV (Chief)
Utility Financial Analyst III
Utility Financial Analyst III
Utility Financial Analyst II
Utility Financial Analyst II
Utility Financial Analyst II
Research Analyst II
Research Analyst I
Clerk Typist III
Clerk Typist III
Clerk Typist III (PPT)
CONSUMER PROTECTION & INFORMATION SECTION
Consumer Protection & Info. Officer III (Chief)
Consumer Protection & Info. Officer I
Clerk Typist III
COMMUNICATIONS CARRIER SECTION
Chief, Communications Carrier Section
ENGINEERING, ENVIRONMENTAL PROTECTION & PLANNING SECTION
Utilities Engineer IV (Chief)
Utilities Engineer III
Utilities Engineer III
Utilities Engineer II
Utilities Engineer II
Drafting Technician II
Clerk Typist III

## III. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Engineering | 7 | Peter Sokolov |
| Finance | 11 | Kathleen Hesser |
| Common Carriers | 1 | Alex Hills |
| Administration | 10 | John B. Farleigh |
| Legal | 3* | Robert Stoller |
| Consumer Protection | 3 | Ray Wipperman |
| Total | 35 | |

* Two positions are contractual from the Attorney General's Office.

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by Alaska PUC as of Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $1,416,565.00 |
| Total | $1,416,565.00 |

| Expenditures | |
|---|---|
| Salaries and Wages, Group Insurance, Unemployment Insurance | $984,061.69 |
| Supplies and Materials | 10,643.56 |
| Postage and Shipping | 5,727.11 |
| Telephone and Telegraph | 26,551.20 |
| Travel Expense | 65,753.86 |
| Printing and Binding | 15,857.10 |
| Maintenance | 32,278.80 |
| Dues and Professional Services | 116,532.46 |
| Equipment | 4,895.00 |
| Contributions to Retirement System | 101,920.02 |
| Contributions to Social Security | 39,292.37 |
| Advertising, Subscriptions | 8,297.71 |
| Office Machine Rental/Maintenance | 9,556.64 |
| Total | $1,421,367.52 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Alaska PUC for Year Ended December 31, 1979

| Number of Proceedings: | CATV | Telephone | Electric | Gas | Water | Sewer | Garbage | Other | Totals |
|---|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 28 | 57 | 58 | 4 | 22 | 12 | 11 | 9 | 201 |
| Instituted in 1979 | 5 | 35 | 18 | 2 | 14 | 7 | 15 | 3 | 99 |
| Concluded in 1979 | 17 | 16 | 10 | 1 | 11 | 11 | 11 | 1 | 78 |
| Pending as of 12/31/79 | 16 | 76 | 66 | 5 | 25 | 8 | 15 | 11 | 222 |
| Number of Hearings in 1979 | 6 | 19 | 7 | - | 5 | - | - | 2 | 40 |
| Number of Hearing Days in 1979 | 21 | 57 | 10 | - | 14 | - | - | 5 | 109 |
| Number of Orders Appealed to Courts in 1979 | 3 | 1 | - | - | - | - | 1 | - | 5 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Water | Sewer |
|---|---|---|---|---|---|---|
| Service | 24 | 175 | 52 | 3 | 44 | 1 |
| Tariff | 9 | 101 | 117 | 18 | 29 | 17 |
| Billing | 7 | 114 | 119 | 24 | 18 | 15 |
| Safety | - | - | - | - | - | - |
| Miscellaneous | 8 | 145 | 92 | 5 | 15 | 1 |
| Total | 48 | 535 | 380 | 50 | 106 | 34 |

# ALASKA TRANSPORTATION COMMISSION

Main Office - 1000 MacKay Building, 338 Denali Street, Anchorage, Alaska 99501, Area Code (907) 279-1451

Field Offices - Post Office Box 2172, Juneau, Alaska 99811, Area Code (907) 789-0644
675 7th Avenue, Station A, Fairbanks, Alaska 99701, Area Code (907) 452-1264

## I. COMMISSIONERS

Keith H. Miller, Chairman: Republican; born March 1, 1925, in Seattle, Washington; University of Washington (BA); U.S. Army Air Force (1943-45), Internal Revenue Service in Alaska (1957-59), State Representative (1963-65), Secretary of State (1966-69), sales manager of Consolidated Freightways (1964-65) and of Petroleum Sales (1965-66) in Alaska, Alaska Governor (1969-70), owner of mobile home park (1971-present), State Senator (1973-75); assumed Commissionership February 9, 1977, current term ends February 1, 1983.

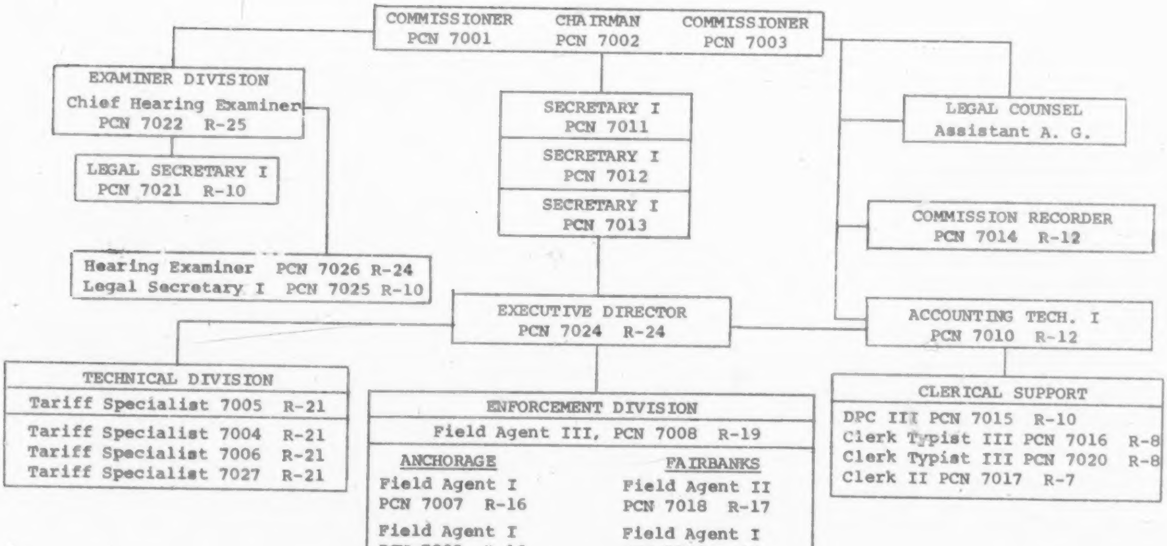
James J. (Jake) Johnson, Commissioner: Republican; born June 15, 1929, in Byesville, Ohio; University of Alaska, Muskingum College (Ohio); terminal manager for Consolidated Freightways (Alaska Division), plant manager for Concrete Products of Alaska; assumed Commissionership January 5, 1970, current term ends February 1981.

Walter L. Kubley, Commissioner: Republican; born March 12, 1921, in Ketchikan, Alaska; Whitman College (Washington); U.S. Coast Guard (1942-46), businessman for 24 years (commercial rentals, retail stores and bowling lanes), Ketchikan City Council (1958-60), Alaska House of Representatives (1961-65), Legislative Assistant to two Governors, Commissioner of Alaska Department of Commerce (1968-71), Representative for the Secretary of U.S. Department of Agriculture (1971-77); assumed Commissionership March 16, 1977, current term ends February 1, 1983; married (Fern), three children.

## II. STAFF

| | Number of Personnel |
|---|---|
| Hearing Officers | 2 |
| Transportation Specialists | 4 |
| Enforcement Agents | 8 |
| Executive Director | 1 |
| Secetarial, Filing, etc. | 11 |
| Total | 26 |

## III. ORGANIZATION CHART


COMMISSIONER PCN 7001
CHAIRMAN PCN 7002
COMMISSIONER PCN 7003
EXAMINER DIVISION
Chief Hearing Examiner PCN 7022 R-25
LEGAL SECRETARY I PCN 7021 R-10
Hearing Examiner PCN 7026 R-24
Legal Secretary I PCN 7025 R-10
SECRETARY I PCN 7011
SECRETARY I PCN 7012
SECRETARY I PCN 7013
LEGAL COUNSEL Assistant A. G.
COMMISSION RECORDER PCN 7014 R-12
EXECUTIVE DIRECTOR PCN 7024 R-24
ACCOUNTING TECH. I PCN 7010 R-12
TECHNICAL DIVISION
Tariff Specialist 7005 R-21
Tariff Specialist 7004 R-21
Tariff Specialist 7006 R-21
Tariff Specialist 7027 R-21
ENFORCEMENT DIVISION
Field Agent III, PCN 7008 R-19
ANCHORAGE
Field Agent I PCN 7007 R-16
Field Agent I PCN 7009 R-16
Field Agent I PCN 7030 R-16
FAIRBANKS
Field Agent II PCN 7018 R-17
Field Agent I PCN 7028 R-16
Field Agent I PCN 7029
Clerk Typist III PCN 7019 R-8
JUNEAU
Field Agent II PCN 7023
CLERICAL SUPPORT
DPC III PCN 7015 R-10
Clerk Typist III PCN 7016 R-8
Clerk Typist III PCN 7020 R-8
Clerk II PCN 7017 R-7

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures as of Fiscal Year Ended June 30, 1979

| Appropriations/Authorizations | | | Expenditures | | |
|---|---|---|---|---|---|
| 100 | Personal Services | $1,122,400 | 100 | Personal Services | $1,123,511 |
| 200 | Travel | 48,600 | 200 | Travel | 42,056 |
| 300 | Contractual Services | 174,600 | 300 | Contractual Services | 163,807 |
| 400 | Commodities | 11,800 | 400 | Commodities | 7,404 |
| 500 | Equipment | 5,300 | 500 | Equipment | 5,999 |
| 600 | Capital Outlay | 4,000 | 600 | Capital Outlay | 4,000 |
| | Total | $1,366,700 | | Total | $1,346,777 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Air Carriers | Motor Freight Carriers |
|---|---|---|
| Service | 47 | 14 |
| Tariff | 8 | 28 |
| Billing | 0 | 2 |
| Safety | 0 | 4 |
| Miscellaneous | 29 | 97 |
| Total | 84 | 145 |

## ALBERTA PETROLEUM MARKETING COMMISSION

(Associate Member) - Office - 1000, 205 - 5th Avenue, S. W., Calgary, Alberta, Canada T2P 2V7, Area Code (403) 262-8808

### I. COMMISSIONERS

D. W. (Wayne) Minion, Chairman: born July 19, 1928, in Alberta; University of Alberta, Massachusetts Institute of Technology; Director of the Light-Servicos de Electricidade S. A. in Brazil, senior executive and engineering positions with B.C. Hydro and Power Authority and the Canadian Western Natural Gas Co. Ltd., Executive Director of Energy Resources with the Alberta Government; assumed Membership January 15, 1974, current term indeterminate; married (Marion), seven children.

Russell H. Purdy, Vice-Chairman; born December 11, 1922, in Saskatchewan; University of Saskatchewan; Member of the Institute of Chartered Accountants of Saskatchewan and Alberta; Fellow of the Chartered Accountants (Alberta); private accounting practice; partner with Deloitte Haskins and Sells; assumed Membership January 15, 1974, current term indeterminate; married (Helen), three children.

R. D. Hall, Member; born October 19, 1923, in Alberta; University of Alberta (B.Sc. Electrical Engineering); City of Lethbridge, Ontario Hydro, National Energy Board, Alberta Petroleum Marketing Commission; assumed Membership January 1, 1980, current term indeterminate; married (Dorothy), four children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Solicitor and Secretary | 1 | D. C. Hetland, Solicitor & Secretary |
| Administration and Finance | 22 | D. MacLachlan, Executive Director |
| Natural Gas | 8 | V. M. Thomas, Executive Director |
| Petroleum | 11 | J. E. MacKenzie, Executive Director |
| Total | 42 | |

### III. RECEIPTS AND EXPENDITURES

Statement of Revenue and Expenditure Operating Fund for the Year Ended December 31, 1979

| | 1979 | 1978 |
|---|---|---|
| Revenue: | | |
| Contributions by the Province of Alberta | $1,641,124 | $1,457,708 |
| Interest earnings | 194,289 | 98,310 |
| | 1,835,413 | 1,556,018 |
| Expenditure: | | |
| Salaries and wages | 1,151,389 | 973,497 |
| Rental, premises | 184,639 | 140,547 |
| Travel and business meetings | 115,506 | 86,398 |
| Employee benefits | 104,910 | 113,029 |
| Computer services | 78,653 | 55,743 |
| Printing, stationery and office supplies | 37,885 | 47,268 |
| Consultants, personnel | 35,767 | 14,652 |
| Furniture and equipment | 27,453 | 13,632 |
| Technical studies | 21,279 | 38,592 |
| Library publications and periodicals | 18,758 | 20,830 |
| Rental, office equipment and furnishings | 14,991 | 14,646 |
| Postage and freight | 10,589 | 10,652 |
| Leasehold improvements | 10,155 | 1,877 |
| Telephone | 8,250 | 8,771 |
| Equipment maintenance | 5,807 | 2,449 |
| Advertising | 3,695 | 4,952 |
| Insurance | 737 | 1,469 |
| Miscellaneous | 4,950 | 7,014 |
| | $ 1,835,413 | $ 1,556,018 |

### IV. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Alberta PMC for Year Ended December 31, 1979

| | Gas |
|---|---|
| Number of Proceedings: | |
| Pending as of 1/1/79 | 3 |
| Instituted in 1979 | 11 |
| Concluded in 1979 | 12 |
| Pending as of 12/31/79 | 2 |
| Number of Hearings in 1979 | N/A |
| Number of Hearing Days in 1979 | N/A |
| Number of Orders Appealed to Courts in 1979 | 1 |

## ALBERTA PUBLIC UTILITIES BOARD

(Associate Member) - Offices - 10055 - 106 Street, 11th Floor, Manulife Building, Edmonton, Alberta, Canada
T5J 2Y2, Area Code (403) 427-4901

640 Aquitaine Tower, 540 - 5th Avenue, S. W., Calgary, Alberta, Canada T2P 0M2,
Area Code (403) 261-8306

### I. MEMBERS OF THE BOARD

William Russell Horton, Chairman: born in Toronto, Canada in 1931; University of Toronto (BASc); senior manager in oil and gas firms, consulting engineer to government and industry, lecturer at University of Alberta; assumed Membership July 1, 1973: married (Dorothy), four sons.

Harold Andrew Williams, Member: born in Edmonton, Canada in 1923; Queens University; RCAF in World War II, Chartered Accountant, private accounting practice, financial analyst to PUB; assumed Membership 1967; married (Ivy), three children.

Blaine O. Archibald, Member: born in Cardston, Alberta in 1924; University of Alberta (BC, LLB); RCAF in World War II, private law practice in corporate and commercial field; assumed Membership July 1, 1973; married (Eldean), five children.

James C. Saks, Member: (Calgary Office), born in Edmonton, Canada in 1917; University of Alberta (BA, LLB), Harvard Business School (MBA); Royal Canadian Navy Officer in World War II, publisher of Western Oil Examiner, official of Trans-Canada Pipeline group and Canadian Industrial Gas group, broker; assumed Membership July 17, 1973; five children.

Fred Trofaneko, Member: born in Lethbridge, Canada in 1923; University of Alberta; Royal Winnipeg Rifles in World War II (twice wounded in action), municipal administrator; assumed Membership August 23, 1973; married (Margaret), two daughters.

George Chelmick, Member: born in Cheshire, England, in 1921; Hammersmith School of Building and Arts (London); R.A.F. pilot in World War II, registered industrial accountant, 24 years in energy utilities and propane in Ontario and Alberta, 15 years in senior management; assumed Membership March 1, 1977; married (Mary), three sons.

Anwar Jah, Member: born in Calcutta, India, in 1939; University of Karachi (Economics B.A.), University of Alberta (MBA Qualifying Year); British Institute of Banks Examinations (London, England), registered industrial accountant, Director of Rebates (Provincial Government), Director of Accounting (City of Edmonton), work experience covers the utility fields of natural gas, telephones, water, sanitation and electric power; assumed Membership May 1, 1977; married (Nafisa).

James E. Campbell, Member: born in Calgary, Canada in 1926; University of Alberta (B.Ed); teacher of marketing, business management, business law, accounting and English language, originator of Merchandising Laboratory, consultant; assumed Membership May 6, 1980; married (Mary), one son.

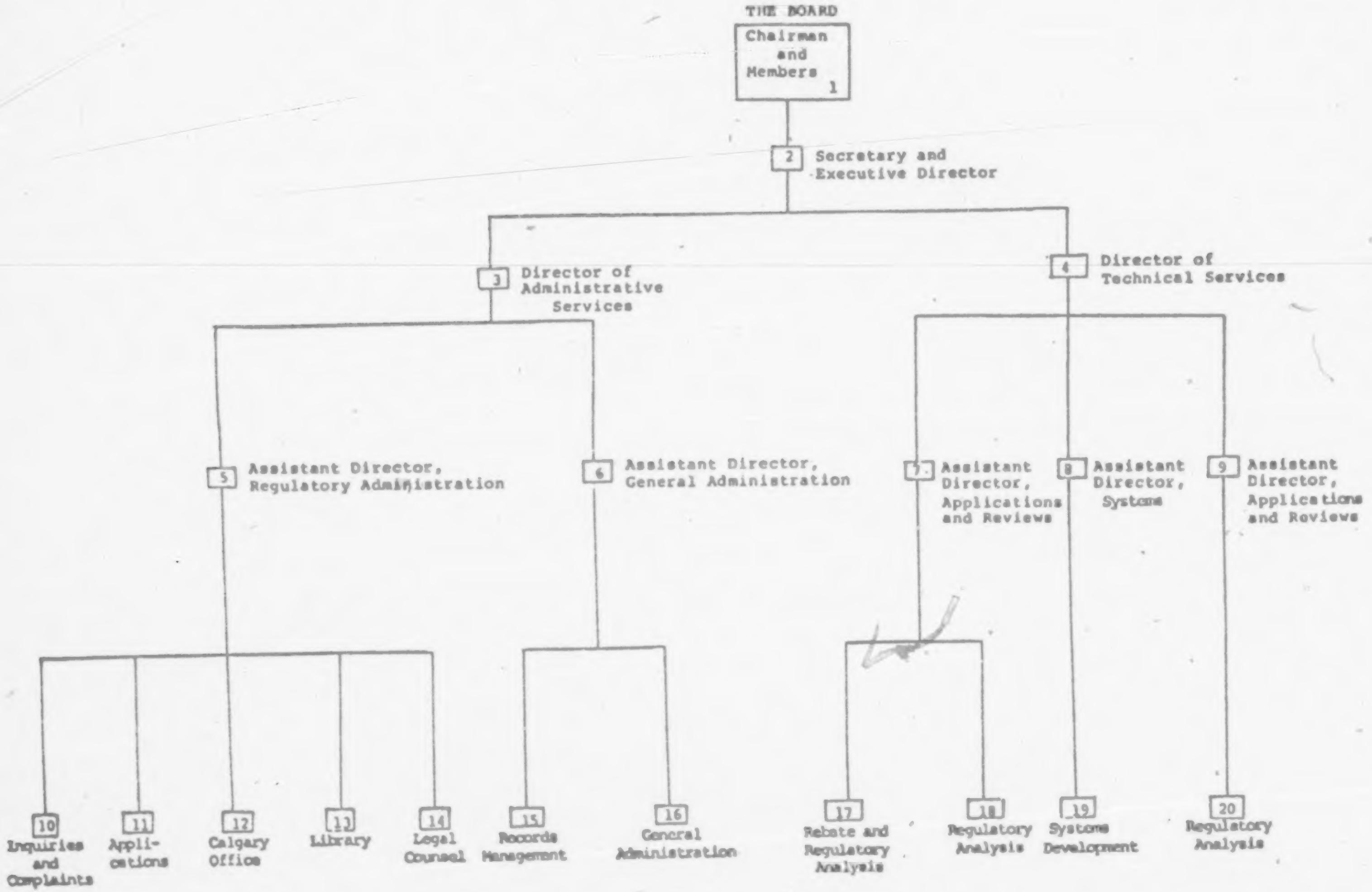
Stanley M. Barnett, Edward E. Bishop, Q.C., Kenneth J. Boyd, and D.J. Sheridan, Acting Members (Under the Public Utilities Board Act, provision is made for the creation of a list of persons who may be called upon from time to time as Acting members at the option of the Chairman.)

Vacancy, Member.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary and Executive Director | 1 | C. R. Leishman |
| Senior Secretarial | 10 | |
| Director, Administrative Services | 1 | R. N. Atkinson |
| Regulatory Administration | 8 | |
| General Administration | 15 | |
| Legal Counsel | 1 | |
| Director Technical Services | 1 | N. W. MacDonald |
| Accountants | 9 | |
| Economists | 1 | |
| Engineers | 3 | |
| Clerical | 3 | |
| Total | 53 | |

## III. ORGANIZATION CHART


THE BOARD
Chairman and Members 1
2 Secretary and Executive Director
3 Director of Administrative Services
4 Director of Technical Services
5 Assistant Director, Regulatory Administration
6 Assistant Director, General Administration
7 Assistant Director, Applications and Reviews
8 Assistant Director, Systems
9 Assistant Director, Applications and Reviews
10 Inquiries and Complaints
11 Appli-cations
12 Calgary Office
13 Library
14 Legal Counsel
15 Records Management
16 General Administration
17 Rebate and Regulatory Analysis
18 Regulatory Analysis
19 Systems Development
20 Regulatory Analysis

## ALBERTA PUBLIC UTILITIES BOARD

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by the Board, Fiscal Year Ended March 31, 1980

| | | |
|---|---|---|
| **Receipts** | | |
| General Fund Appropriation | | $1,721,820 |
| Total | | $1,721,820 |
| **Expenditures** | | |
| Manpower | | $1,224,733 |
| Supplies and Services | | 258,635 |
| Travel and Relocation | 54,860 | |
| Advertising | 9,093 | |
| Freight and Postage | 3,020 | |
| Rental | 43,034 | |
| Telephone and Telegraph | 6,511 | |
| Maintenance | 8,869 | |
| Professional Services | 41,365 | |
| Data Processing | 4,175 | |
| Hospitality | 3,698 | |
| Materials and Supplies | 33,832 | |
| Replacement | 178 | |
| Fixed Assets | | 3,249 |
| Total | | $1,486,617 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the Board for Year Ended December 31, 1979

| | CATV(1) | Telephone(2) | Electric | Gas | Water | Totals |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Pending as of 1/1/79 | 1 | 5(3) | 27 | 41 | 6 | 80 |
| Instituted in 1979 | - | 8 | 68 | 557 | | 636 |
| Concluded in 1979 | - | 8 | 83 | 573 | 2 | 666 |
| Pending as of 12/31/79 | 1 | 5(3) | 12 | 25 | 7 | 50 |
| Number of Hearings in 1979 | 2 | 8 | 83 | 573 | 3 | 669 |
| Number of Hearing Days in 1979 | 2 | 9 | 50 | 126 | - | 187 |
| Number of Orders Appealed to Courts in 1979 | - | - | - | 2 | 1 | 3 |

(1) On December 6, 1978, the Lieutenant Governor in Council issued Order-in-Council 1287/78, later amended by Order-in-Council 180/79 dated February 2, 1979, through which requested the Public Utilities Board, pursuant to Section 38 of The Public Utilities Board Act, to proceed as follows: (a) to inquire into the provision of all non-broadcasting telecommunications services including audio, video and data services: (b) to determine the specific services and other matters to be included within the scope of the Inquiry: (c) to ascertain the status of the providers of these services in relation to The Public Utilities Board Act and other Alberta statutes: (d) to make recommendations respecting the regulatory framework in which these services may be offered. Two pre-hearing conferences were held in 1979; a full public hearing will be held in 1980.

(2) Alberta Government Telephones (AGT) is owned by the Government of the Providence of Alberta and serves all of the Province of Alberta except the City of Edmonton (municipally owned) and a small eight-member cooperative in Rural Alberta. AGT is the only telephone utility fully regulated by the Board.

(3) During 1977, certain intervenors launched a series of court actions in relation to various phrases of an AGT rate application. The matters remain sub judice and the Board cannot proceed.

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979 (1)

| | Telephone | Electric | Gas | Water |
|---|---|---|---|---|
| Service | - | 1 | 2 | - |
| Tariff | 1 | 2 | 17 | 3 |
| Billing | 1 | 2 | 15 | - |
| Miscellaneous | - | - | 7 | - |
| Total | 2 | 5 | 41 | 3 |

(1) In addition to the 50 regulated utilities, there are a further 1,040 utilities (telephone, electric, water, sewer and gas) municipally or cooperatively owned where the Board has jurisdiction in the matter of complaints regarding rates.

## ARIZONA CORPORATION COMMISSION

Main Office - 2222 West Encanto Boulevard, Phoenix, Arizona 85009, Area Code (602) 255-3931
(Effective September 1, 1980, the Arizona Corporation Commission will move to the following address: 1210 West Washington, Phoenix, Arizona 85007)

Southern Office - 415 West Congress Street, Tucson, Arizona 85701, Area Code (602) 882-5555

### I. COMMISSIONERS

Jim Weeks, Chairman, 255-3933: Democrat; born August 14, 1938, in Toronto, Canada; University of Arizona; Labor leader, Councilman for City of Phoenix (1974-75); assumed Commissionership January 3, 1977, current term ends January 1983; married (Marcia), one son.

Bud Tims, Commissioner, 255-3935: Republican; born July 8, 1931, in Amarillo, Texas; Los Angeles College of Optometry; U. S. Navy, Councilman (1964-66) and Mayor (1966-74) of Scottsdale, Optometrist; assumed Commissionership January 6, 1975, current term ends January 1981; married (Jeannie), two children.

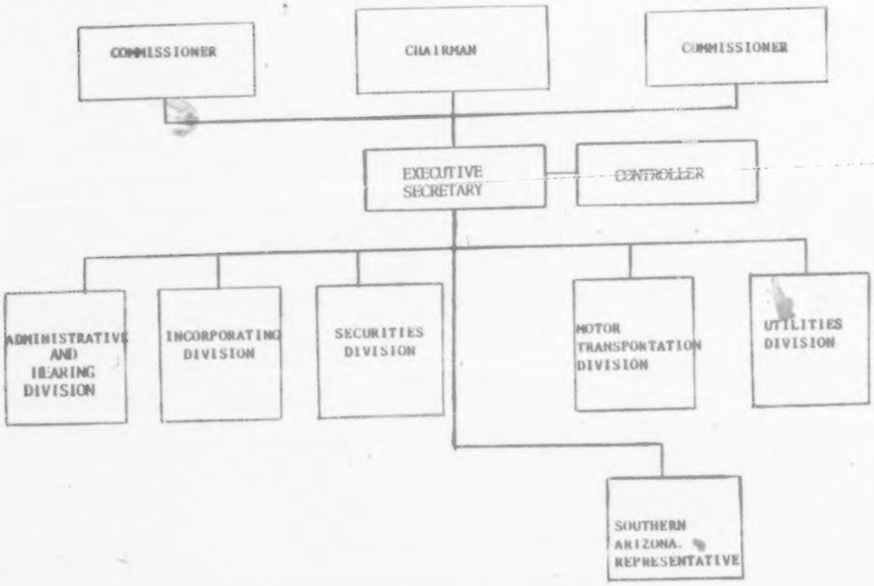
John L. Ahearn, Commissioner, 255-4143: Democrat; born November 30, 1914, in Brooklyn, New York; Arizona State University (BS), University of Arizona (LLB); U. S. Army officer in World War II with combat in Sicily and Normandy, private law practice (1959-79), Arizona Industrial Commissioner (1966-72, 1975-79); assumed Commissionership August 17, 1979, current term ends December 31, 1980; married (Irene), six children.

### II. KEY STAFF

| | |
|---|---|
| George C. Anderson, Executive Secretary | 255-3931 |
| Evo J. DeConcini, Southern Arizona Representative | 882-5555 |
| Basil Coffman, Administrative Aide to Commissioner Weeks | 255-4145 |
| Joe Meier, Administrative Aide to Commissioner Tims | 255-3621 |
| Steve Newmark, Administrative Aide to Commissioner Ahearn | 255-3622 |
| David Kennedy, Chief Hearing Officer | 255-4250 |
| Bill Geise, Hearing Officer | 255-4250 |
| Ted Humes, Hearing Officer | 255-4250 |
| Vacancy, Controller | 255-3931 |
| Reuben Payne, Director, Incorporating Division | 255-3027 |
| Matthew Zale, Director, Securities Division | 255-4242 |
| Charles S. Pierson, Assistant Attorney General | 255-3931 |
| Tom Prose, Assistant Attorney General | 255-3931 |
| Steve Silver, Assistant Attorney General | 255-3931 |
| Jim Flenner, Assistant Attorney General | 255-3931 |
| Lawrence Wetzel, Director, Motor Transportation | 255-3316 |
| S. R. Whitfield, Director, Utilities Division | 255-4251 |
| Vacancy, Chief Engineer, Utilities Division | 255-4251 |
| David Naugle, Chief Consumer Services, Utilities Division | 255-4251 |
| Jim Apperson, Project Director, PURPA - Utilities Division | 255-4251 |

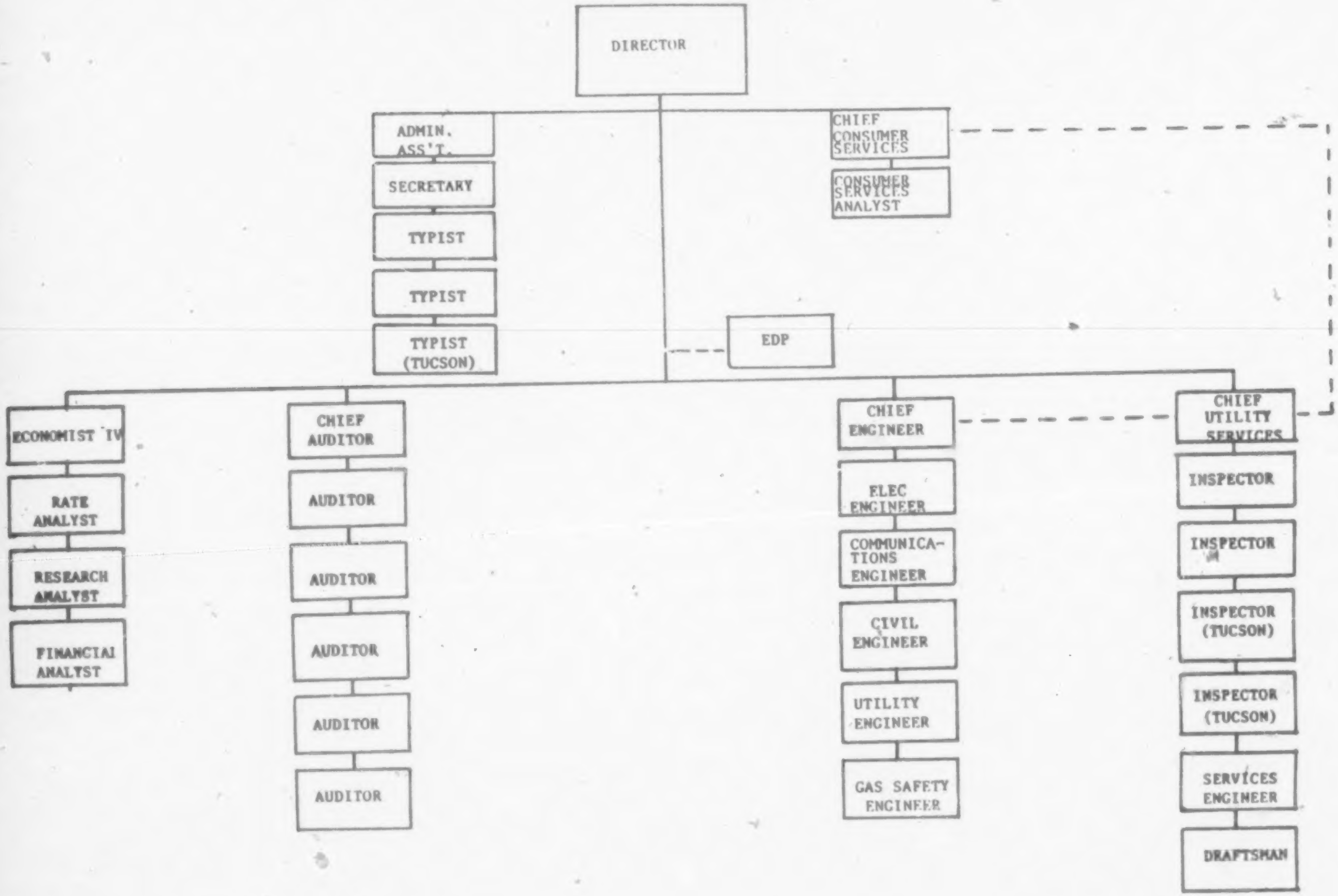
### III. ORGANIZATION CHARTS

Arizona Corporation Commission

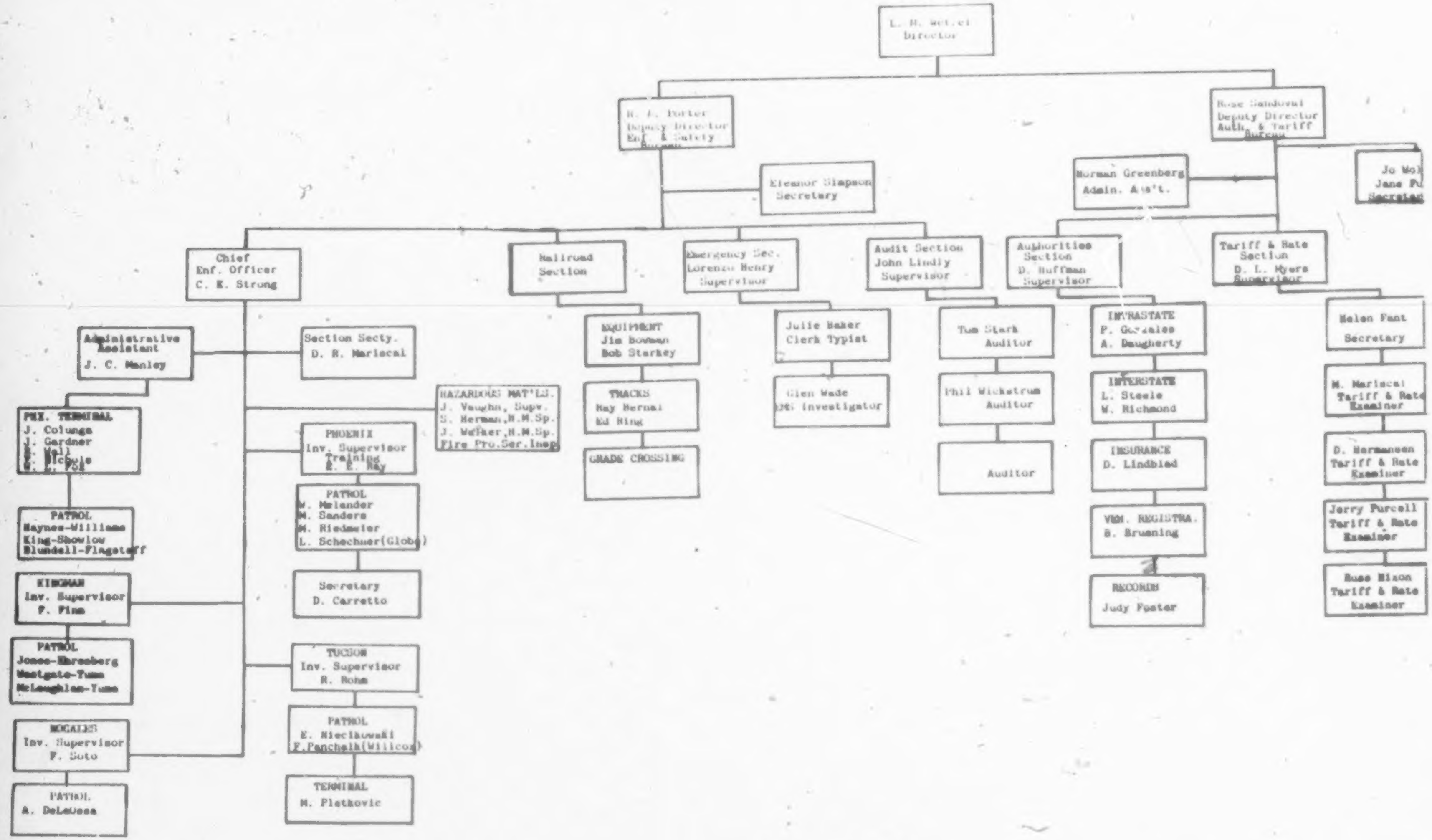


# UTILITIES DIVISION

## ORGANIZATIONAL CHART


DIRECTOR
ADMIN. ASS'T.
SECRETARY
TYPIST
TYPIST
TYPIST (TUCSON)
CHIEF CONSUMER SERVICES
CONSUMER SERVICES ANALYST
EDP
ECONOMIST IV
RATE ANALYST
RESEARCH ANALYST
FINANCIAL ANALYST
CHIEF AUDITOR
AUDITOR
AUDITOR
AUDITOR
AUDITOR
AUDITOR
CHIEF ENGINEER
ELEC ENGINEER
COMMUNICATIONS ENGINEER
CIVIL ENGINEER
UTILITY ENGINEER
GAS SAFETY ENGINEER
CHIEF UTILITY SERVICES
INSPECTOR
INSPECTOR
INSPECTOR (TUCSON)
INSPECTOR (TUCSON)
SERVICES ENGINEER
DRAFTSMAN

# MOTOR TRANSPORTATION DIVISION ORGANIZATIONAL CHART


L. H. Wetzel
Director
R. A. Porter
Deputy Director
Enf. & Safety
Bureau
Rose Sandoval
Deputy Director
Auth. & Tariff
Bureau
Eleanor Simpson
Secretary
Norman Greenberg
Admin. Ass't.
Jo Wo
Jane F
Secretar
Chief
Enf. Officer
C. E. Strong
Railroad
Section
Emergency Sec.
Lorenzo Henry
Supervisor
Audit Section
John Lindly
Supervisor
Authorities
Section
D. Huffman
Supervisor
Tariff & Rate
Section
D. L. Myers
Supervisor
Administrative
Assistant
J. C. Manley
Section Secty.
D. R. Mariscal
PHX. TERMINAL
J. Colunga
J. Gardner
B. Wall
E. Nichols
W. L. Fox
PATROL
Haynes-Williams
King-Showlow
Blundell-Flagstaff
KINGMAN
Inv. Supervisor
F. Fina
PATROL
Jones-Ehrenberg
Westgate-Yuma
McLaughlan-Yuma
NOGALES
Inv. Supervisor
F. Soto
PATROL
A. DeLaOssa
HAZARDOUS MAT'LS.
J. Vaughn, Supv.
S. Herman, H.M.Sp.
J. Walker, H.M.Sp.
Fire Pro.Ser.Insp
PHOENIX
Inv. Supervisor
Training
E. E. Ray
PATROL
W. Melander
M. Sanders
M. Riedmeier
L. Schechner(Globe)
Secretary
D. Carretto
TUCSON
Inv. Supervisor
R. Rohm
PATROL
E. Nieciakowski
F. Panchalk(Willcox)
TERMINAL
M. Plethovic
EQUIPMENT
Jim Bowman
Bob Starkey
TRACKS
Ray Bernal
Ed King
GRADE CROSSING
Julie Baker
Clerk Typist
Glen Wade
EMS Investigator
Tom Stark
Auditor
Phil Wickstrum
Auditor
Auditor
INTRASTATE
P. Gonzales
A. Daugherty
INTERSTATE
L. Steele
W. Richmond
INSURANCE
D. Lindblad
VEH. REGISTRA.
B. Bruening
RECORDS
Judy Foster
Helen Fant
Secretary
M. Mariscal
Tariff & Rate
Examiner
D. Hermansen
Tariff & Rate
Examiner
Jerry Purcell
Tariff & Rate
Examiner
Russ Nixon
Tariff & Rate
Examiner

## ARIZONA CORPORATION COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### CASHIER'S REPORT
July 1, 1978 thru June 30, 1979

| Division | Revenue |
|---|---|
| Administration (Misc. Refunds) | 1,100 |
| Incorporating | 1,865,800 |
| Utilities | 2,178,900 |
| Securities | 310,300 |
| Motor Transportation | 674,500 |
| TOTAL | 5,030,600 |

### CONSOLIDATED BUDGET ANALYSIS
67th FISCAL YEAR
1978-1979

| Division | Actual Expenditures 1978-1979 | Appropriation 1978-1979 |
|---|---|---|
| Administration/Hearing | 915,904 | 941,400 |
| Incorporating | 477,705 | 492,500 |
| Utilities | 962,899 | 1,021,300 |
| Motor Transportation | 1,508,023 | 1,780,900 |
| Securities | 667,796 | 699,400 |
| TOTAL | 4,522,327 | 4,935,500 |
| House Bill No. 2024 | 28,491 | 40,000 |
| House Bill No. 2128 | 15,607 | 29,041 |
| House Bill No. 2206 | 14,776 | 68,000 |
| TOTAL | 58,874 | 137,041 |

| Division | Appropriated 1979-1980 | Requested 1979-1980 |
|---|---|---|
| Administration/Hearing | 1,128,300 | 1,621,100 |
| Incorporating | 435,000 | 455,300 |
| Utilities | 1,428,300 | 1,700,700 |
| Motor Transportation | 1,613,900 | 1,957,800 |
| Securities | 853,100 | 771,500 |
| TOTAL | 5,458,600 | 6,506,400 |

ARIZONA CORPORATION COMMISSION

## IV. RECEIPTS AND EXPENDITURES (Continued)

### Consolidated Statement of Operating Expenses Fiscal Year to Date - Closing 78/79

#### UTILITIES

| Account | 78/79 Appropriations | Allotment Received | Expenses | Reverted |
|---|---|---|---|---|
| Personal Services | 443,200 | 443,200 | 409,264 | 33,936 |
| Employee Related Exp. | 83,400 | 83,400 | 76,680 | 6,720 |
| Prof. & Outside Services | 48,200 | 48,200 | 32,316 | 15,884 |
| Travel - In State | 28,700 | 28,700 | 27,431 | 1,269 |
| Travel - Out of State | 5,000 | 5,000 | 4,946 | 54 |
| Other Operating Expenditures* | 35,700 | 35,700 | 35,700 | -0- |
| Capital Outlay | 2,100 | 2,100 | 2,076 | 24 |
| Utility Audits, Studies... | 375,000 | 375,000 | 364,486 | 10,514 |
| Automatic RR Warning Sig. | | | | |
| Total | 1,021,300 | 1,021,300 | 952,899 | 68,401 |

| *OTHER OPERATING EXPENDITURES | |
|---|---|
| 72710 Rent | 414.85 |
| 72720 Utilities | -0- |
| 72700 All Other Occupancy | 58.62 |
| 72800 Maintenance & Repairs | 1,973.24 |
| 73110 Office & Library Supplies | 5,635.57 |
| 73120 Data Processing Supplies | -0- |
| 73100 All Other Office & Inst. Supp. | -0- |
| 73260 Fuel & Lubricants - Vehicle | -0- |
| 73270 Parts & Supplies - Vehicles | -0- |
| 73200 All Other Main., Field Supplies | 293.44 |
| 73510 Printing & Photographs | (453.07) |
| 73540 Postage & Mailing Costs | 8,626.52 |
| 73550 Telephone Service | 13,537.50 |
| 73500 All Other Comm. & Ship. Exp. | 1,512.86 |
| 73630 Reproduction Equipment Rent | 3,911.66 |
| 73600 All Other Equipment Rental | -0- |
| 73720 Organizational Dues | -0- |
| 73740 Insurance | -0- |
| 73760 Education & Training | 50.00 |
| All Other Operating Expenses | 138.35 |
| TOTAL DISBURSED | 35,699.54 |

#### MOTOR TRANSPORTATION

| Account | 78/79 Appropriations | Allotment Received | Expenses | Reverted |
|---|---|---|---|---|
| Personal Services | 1,110,600 | 1,110,600 | 1,003,477 | 107,123 |
| Employee Related Exp. | 236,100 | 236,100 | 218,233 | 17,867 |
| Prof. & Outside Services | 45,000 | 45,000 | 30,112 | 14,888 |
| Travel - In State | 100,000 | 100,000 | 99,012 | 988 |
| Travel - Out of State | 3,000 | 3,000 | 2,491 | 509 |
| Other Operating Expenditures* | 52,600 | 52,600 | 52,185 | 415 |
| Capital Outlay | 33,600 | 33,600 | 33,591 | 9 |
| Utility Audits, Studies... | | | | |
| Automatic RR Warning Sig. | 400,000 | 400,000 | 68,922 | 331,078* |
| Total | 1,980,900 | 1,980,900 | 1,508,023 | 472,877 |
| | | | | |
| Historical Data Base | | | | |
| Yuma Port of Entry | 6,573 | 6,573 | -0- | 6,573 |
| Industrial Revenue Bond | | | | |
| Special Precious Gems Investigation | | | | |
| Data Collection - Federal | | | | |
| Uniform Accounting System - Federal | | | | |
| Computer Programming - Federal | | | | |

| *OTHER OPERATING EXPENDITURES | |
|---|---|
| 72710 Rent | 2,070.29 |
| 72720 Utilities | 87.69 |
| 72700 All Other Occupancy | 34.75 |
| 72800 Maintenance & Repairs | 6,689.16 |
| 73110 Office & Library Supplies | 11,774.38 |
| 73120 Data Processing Supplies | 49.14 |
| 73100 All Other Office & Inst. Supp. | 386.89 |
| 73260 Fuel & Lubricants - Vehicle | -0- |
| 73270 Parts & Supplies - Vehicles | -0- |
| 73200 All Other Main., Field Supplies | 1,051.07 |
| 73510 Printing & Photographs | 1,684.02 |
| 73540 Postage & Mailing Costs | 10,486.00 |
| 73550 Telephone Service | 12,774.28 |
| 73500 All Other Comm. & Ship. Exp. | 459.40 |
| 73630 Reproduction Equipment Rent | 3,925.65 |
| 73600 All Other Equipment Rental | 18.90 |
| 73720 Organizational Dues | -0- |
| 73740 Insurance | 200.00 |
| 73760 Education & Training | 130.00 |
| All Other Operating Expenses | 363.76 |
| TOTAL DISBURSED | 52,185.38 |

*Available Funds - Non-Reverted

ARIZONA CORPORATION COMMISSION

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before Arizona CC for Year Ended December 31, 1979

Carriers

| | Air Carriers | HHG Carriers | Motor Freight Carriers | Motor Passenger Carriers | Railroads |
|---|---|---|---|---|---|
| Number of Proceedings: | | | | | |
| Pending as of 1/1/79 | 7 | 6 | 41 | 25 | 0 |
| Instituted in 1979 | 12 | 14 | 178 | 89 | 8 |
| Concluded in 1979 | 8* | 12 | 157 | 62 | 6 |
| Pending as of 12/31/79 | 0 | 8 | 25 | 34 | 2 |
| Number of Hearings in 1979 | 1 | 9 | 181 | 83 | 5 |
| Number of Hearing Days in 1979 | - | - | 94** | - | - |
| Number of Orders Appealed to Courts in 1979 | - | - | 7 | 2 | - |

*Commission ceased regulation of air carriers.
**Includes both passenger and property.

Utilities

| | Radio | Telephone | Electric | Gas | Water | Sewer | Combination Water and Sewer | Combination Electric and Gas |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Pending as of 12/31/79 | 1 | - | 13 | 5 | 116 | 11 | 1 | 3 |
| Number of Hearings in 1979 | 8 | 5 | 42 | 29 | 222 | 5 | 1 | 20 |
| Number of Hearing Days in 1979 | 3 | 6 | 66 | 18 | 106 | 5 | 1 | 6 |
| Number of Orders Appealed to Courts in 1979 | - | 3 | 4 | - | 8 | 2 | - | - |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

Carriers

| | Air Carriers | Motor Freight Carriers | Rail Passenger Carriers | Household Goods Carriers |
|---|---|---|---|---|
| Service | - | - | - | - |
| Tariff | 4 | 14 | 9 | - |
| Billing | - | 19 | 10 | 18 |
| Safety | - | 6 | - | - |
| Miscellaneous | 4 | 39 | 56 | 22 |
| Total | 8 | 78 | 75 | 40 |

Utilities

| | Telephone | Electric | Gas | Water | Sewer | Combination Electric and Gas | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|
| Service | 561 | 79 | 20 | 649 | 4 | 99 | 653 |
| Tariff | 105 | 227 | 27 | 135 | 10 | 254 | 145 |
| Billing | 380 | 300 | 102 | 191 | 12 | 402 | 203 |
| Safety | - | - | - | - | - | - | - |
| Miscellaneous | 692 | 243 | 72 | 1,342 | 48 | 315 | 1,390 |
| Total | 1,738 | 849 | 221 | 2,317 | 74 | 1,070 | 2,391 |

## ARKANSAS PUBLIC SERVICE COMMISSION

Office - 412 Union Station, Markham and Victory Streets, Little Rock, Arkansas 72201, Area Code (501)

### I. COMMISSIONERS

N. M. Norton, Jr., Chairman, 371-1453: Independent; born August 11, 1949, in Memphis, Tennessee; Westminster College (BA), University of Arkansas (JD); trial attorney for U. S. Department of Justice (1974-77), Arkansas Consumer Counsel (1977-79); assumed Commissionership February 1, 1979, current term ends January 14, 1983; single.

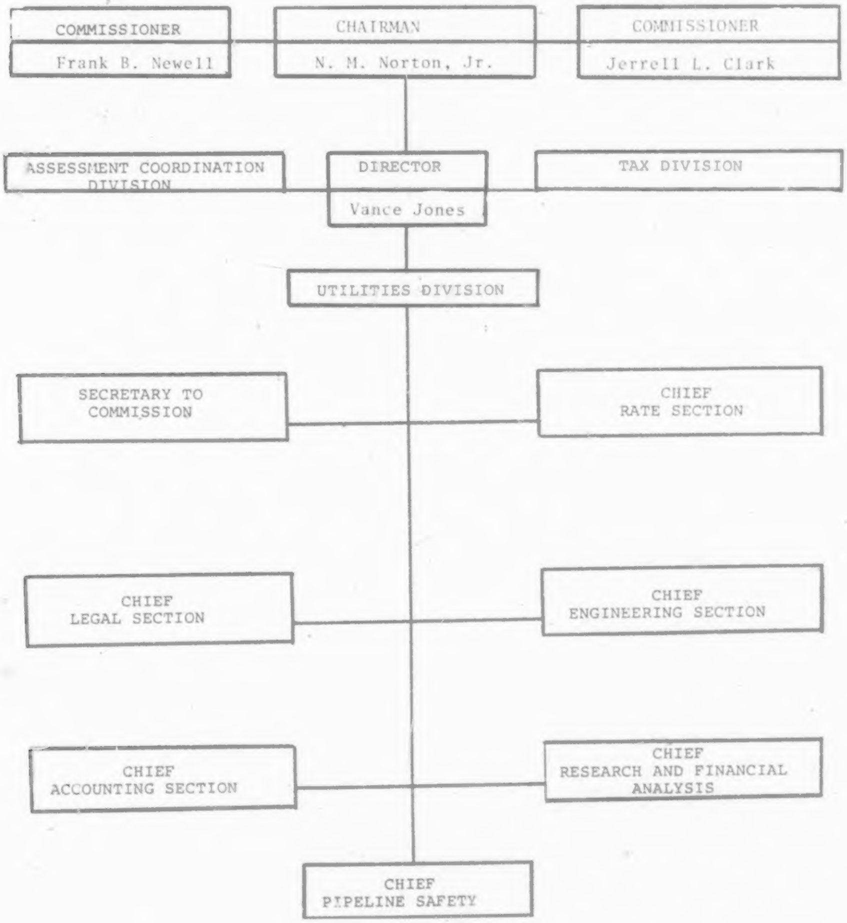
Frank B. Newell, Commissioner, 371-1451; born September 22, 1944, in Little Rock, Arkansas; Columbia College (BA, JD); Director of State Criminal Code Revision Commission, Director of State Attorney General's Criminal Justice Division, Director of State Attorney General's Litigation Division (1977-78), Staff Counsel to Governor (1979); assumed Commissionership February 28, 1980, current term ends January 14, 1985; married (Dee Ann), two children.

Jerrell L. Clark, Commissioner, 371-1455.

### II. KEY STAFF

| | | | |
|---|---|---|---|
| Vance Jones, Director | 371-1794 | Larry Cooper, Chief, Rates Section | 371-2055 |
| Vacancy, Chief Revenue Requirements Division | 371-2054 | Steven Cuffman, Chief Counsel | 371-2047 |
| Anthony Creston, Chief, Engineering Division | 371-2080 | Keith Berry, Chief Economist | 371-1068 |

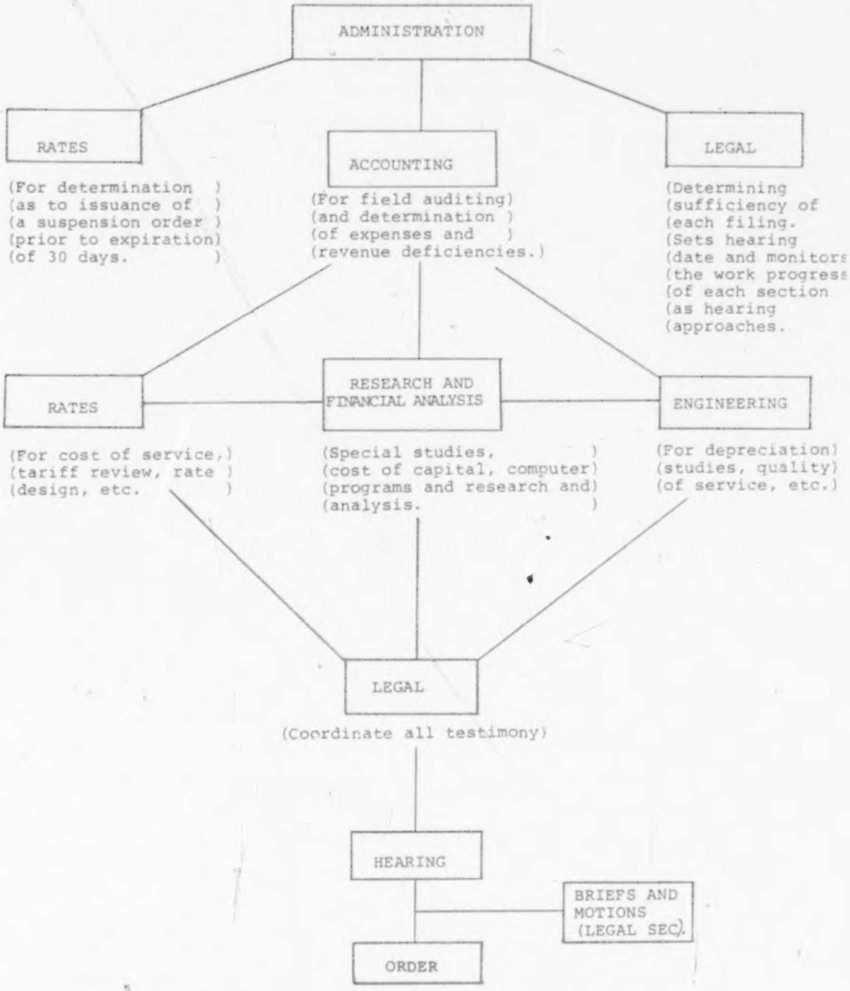
### III. ORGANIZATION CHARTS


COMMISSIONER
Frank B. Newell
CHAIRMAN
N. M. Norton, Jr.
COMMISSIONER
Jerrell L. Clark
ASSESSMENT COORDINATION DIVISION
DIRECTOR
Vance Jones
TAX DIVISION
UTILITIES DIVISION
SECRETARY TO COMMISSION
CHIEF RATE SECTION
CHIEF LEGAL SECTION
CHIEF ENGINEERING SECTION
CHIEF ACCOUNTING SECTION
CHIEF RESEARCH AND FINANCIAL ANALYSIS
CHIEF PIPELINE SAFETY

## ARKANSAS PUBLIC SERVICE COMMISSION

### III. ORGANIZATION CHARTS
(Continued)

(All filing is done )
(through Admin. Section.)


ADMINISTRATION
RATES
(For determination )
(as to issuance of )
(a suspension order )
(prior to expiration)
(of 30 days. )
ACCOUNTING
(For field auditing)
(and determination )
(of expenses and )
(revenue deficiencies.)
LEGAL
(Determining
(sufficiency of
(each filing.
(Sets hearing
(date and monitors
(the work progress
(of each section
(as hearing
(approaches.
RATES
(For cost of service,)
(tariff review, rate )
(design, etc. )
RESEARCH AND
FINANCIAL ANALYSIS
(Special studies, )
(cost of capital, computer)
(programs and research and)
(analysis. )
ENGINEERING
(For depreciation)
(studies, quality)
(of service, etc.)
LEGAL
(Coordinate all testimony)
HEARING
BRIEFS AND
MOTIONS
(LEGAL SEC).
ORDER

## IV. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Arkansas PSC for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Combination Electric and Gas | Water | Total |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Instituted in 1979 | 25 | 32 | 10 | 2 | 7 | 76 |
| Concluded in 1979 | 23 | 26 | 7 | 1 | 6 | 63 |
| Pending as of 12/31/79 | 13 | 14 | 2 | 1 | 2 | 32 |
| Number of Hearings in 1979 | 15 | 17 | 6 | - | 2 | 40 |
| Number of Orders Appealed to Courts in 1979 | 3 | 2 | - | - | 1 | 6 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water |
|---|---|---|---|---|
| Service | 1,272 | 263 | 169 | 6 |
| Billing | 1,044 | 1,129 | 841 | 130 |
| Miscellaneous | 478 | 342 | 296 | 83 |
| Total | 2,794 | 1,734 | 1,306 | 219 |

# ARKANSAS TRANSPORTATION COMMISSION

Office - Justice Building, Little Rock, Arkansas 72201, Area Code (501) 371-1341

## I. COMMISSIONERS

Robert S. Moore, Jr., Chairman, Extension 27: Democrat; born January 1, 1945 in Junas, Arkansas; Ouachita Baptist University (BA), Arkansas School of Law (JD); Captain in U.S. Army (1966-71), Arkansas Assistant Attorney General, Administrative Law Judge for Arkansas Workers Compensation, criminal law instructor; assumed Commissionership June 16, 1977, current term ends January 14, 1981; married (Judy), two children.

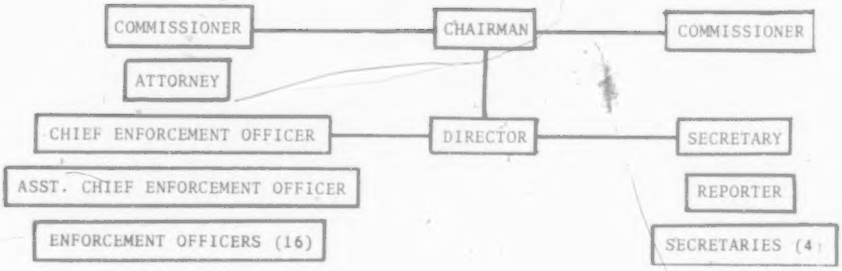
Garry L. Brewer, Commissioner, Extension 27: Democrat; born April 10, 1941, in Wickes, Arkansas; Arkansas Polytechnic College (BA), University of Arkansas (JD); U. S. Air Force, legal assistant to Governor, private law practice; assumed Commissionership May 1, 1972, current term ends January 14, 1985; married (Pamela), two children.

Stanley McNulty, Jr., Commissioner, Extension 25: Democrat; born October 8, 1935, in Pine Bluff, Arkansas; University of Arkansas (BA); Army Officer, owner of insurance company, member of State Planning Commission, active in civic work; assumed Commissionership April 7, 1977, current term ends January 14, 1983; married (Jean), two children.

## II. KEY STAFF

| | | | |
|---|---|---|---|
| Jennie L. Furr, Secretary | Extension 28 | Randy Vogel, Rate Consultant | Extension 33 |
| John W. Corbett, Administrator | Extension 29 | Walter A. Murray, Attorney | Extension 23 |
| W. H. Beeson, Director of Enforcement | Extension 31 | | |

## III. ORGANIZATION CHART


COMMISSIONER
CHAIRMAN
COMMISSIONER
ATTORNEY
CHIEF ENFORCEMENT OFFICER
DIRECTOR
SECRETARY
ASST. CHIEF ENFORCEMENT OFFICER
REPORTER
ENFORCEMENT OFFICERS (16)
SECRETARIES (4)

## BRITISH COLUMBIA MINISTRY OF UNIVERSITIES, SCIENCE AND COMMUNICATIONS

(Associate Member), The Government of the Province of British Columbia, Parliament Buildings, Victoria, British Columbia, V8V 1X4, Canada, Area Code (604), Telex: 049-7135; TWX: 610-937-6075, Facsimile Tel: 387-5653.

| | | |
|---|---|---|
| Patrick L. McGeer, Minister | 387-6580 | Harold J. Page, Assistant Deputy Minister, Communications |
| Dr. Robert Stewart, Chief Executive Officer | | |

Regulatory Commissions responsible to the Minister:

Motor Carrier Commission, Suite 203, 535 Thurlow Street, Vancouver, British Columbia, V6E 3L2, Canada, Area Code (604) 668-2903. Has jurisdiction over motor carriers and telecommunications utilities. Staff for motor carrier matters supplied by Motor Carrier Branch of Ministry, A. R. Fitch, Superintendent. Staff for telecommunications matters supplied by System Development and Regulation Branch of Ministry, T. A. Prentice, Director.

Judge G. Ross Sutherland, Chairman, appointed June 16, 1976.

Stuart DeVitt, Commissioner, appointed June 16, 1976.

Gerald M. Morris, Commissioner, appointed January 1, 1977.

John Kosowick, Secretary

## CALIFORNIA PUBLIC UTILITIES COMMISSION

Main Office - California State Building, 350 McAllister Street, San Francisco, California 94102
Area Code (415) 557-1487; Telex No. FFE; Telecopier No. (415) 557-1923

Regional Office - 107 South Broadway, Los Angeles, California 90012, Area Code (213) 620-2564

### I. COMMISSIONERS

John E. Bryson, President, 557-3703: Democrat; born July 24, 1943, in New York, New York; Stanford University (BA), Freie Universitaet Berlin, Yale Law School (JD); attorney and co-founder of Natural Resources Defense Council (1970-74), Vice Chairman of Oregon Energy Facility Siting Council (1975-76), Chairman of California State Water Resources Control Board (1976-79); assumed Commissionership January 26, 1979, current term ends December 31, 1984; married (Louise), one child.

Vernon L. Sturgeon, Commissioner, 557-2440: Republican; born October 1, 1915, in Chandler, Arizona; California State Senator, Legislative Secretary to Governor, Chief Deputy Director of State Department of Public Works; Mayor of Paso Robles, Member of City Council of Paso Robles, Police Commissioner and Water Commissioner of Paso Robles, owner-operator of milk distributorship plant; assumed Commissionership September 2, 1969, current term ends December 31, 1980; married (Esther), three children.

Richard D. Gravelle, Commissioner, 557-3700: Independent; born December 5, 1926, in Portland, Oregon; University of San Francisco (BS, JD); private law practice (1956-77); assumed Commissionership January 26, 1977, current term ends December 31, 1982; married (Shirley), four sons.

Claire T. Dedrick, Commissioner, 557-2444: Democrat; born June 28, 1930, in Logan, Utah; Arizona State University (BSc.), Stanford University (Ph.D); Executive Director of Peninsula Conservation Center, Vice President of Sierra Club and the California League of Conservation Voters, Secretary of California Resources Agency (1975-77); assumed Commissionership June 23, 1977, current term ends December 30, 1980; married (Kent).

Leonard M. Grimes, Jr., Commissioner, 557-1407: Democrat; born March 17, 1923, in Los Angeles, California; University of Southern California (BS); U.S. Army in World War II, insurance executive, Director of General Services (1975-76), Secretary of Agriculture and Services Agency (1977), Secretary of State and Consumer Services Agency (1978); assumed Commissionership January 26, 1979, current term ends December 31, 1984.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Commissioners and Executive Staff | 67 | John E. Bryson, President |
| Management Services | 148 | Herbert L. Farmer |
| Legal | 52 | Janice E Kerr |
| Administrative Law Judges | 76 | Ira R. Alderson, Jr. |
| Communications | 30.5 | James M. McCraney |
| Revenue Requirements | 116 | Bruno A. Davis |
| Utilities | 143 | Parke A. Boneysteele |
| Transportation | 295 | Edward E. Tanner |
| Total | 927.5 | |

### III. EXPENDITURES/REIMBURSEMENTS

Fiscal Year Ended June 30, 1979

ACTUAL 1978-79

| EXPENDITURES | |
|---|---|
| Salaries and Wages | $17,836,019 |
| Staff Benefits (1) | 4,319,058 |
| General Expense | 545,125 |
| Printing | 236,989 |
| Communications | 358,167 |
| Postage | 420,013 |
| Travel-in-State | 704,715 |
| Travel-out-of-State | 87,081 |
| Rent | 1,286,226 |
| Alterations | - |
| Training | 47,272 |
| Contractual Services | 510,354 |
| General Admin. - Pro Rata Charges | 166,440 |
| Data Processing | 179,277 |
| Equipment | 96,173 |
| Total | $26,792,909 |

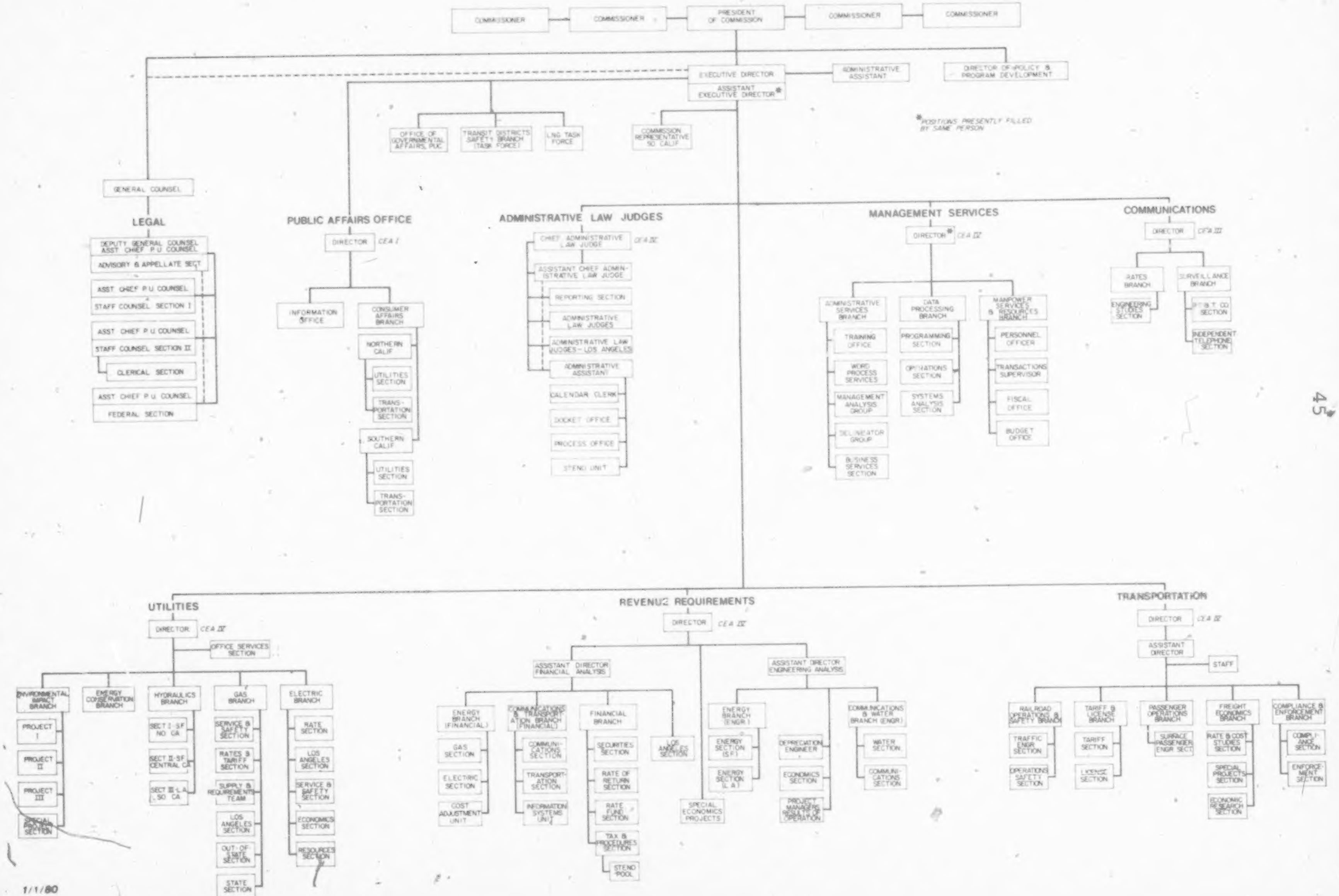
| LESS REIMBURSEMENTS | |
|---|---|
| Reporting Service to Litigants | $ -233,918.11 |
| Energy Res. Conservation/ Development Commission | -242,735.95 |
| Career Opportunity Development | -4,933.90 |
| Liquefied Natural Gas | -1,199,975.22 |
| Environmental Impact Report | -333,506.96 |
| Uniform Business and License Tax | -55,686.53 |
| Bar Area Rapid Transit | -36,541.50 |
| Miscellaneous | -9,852.08 |
| Transportation Rate Fund | -8,770,258.32 |
| Federal Assistance - Gas Safety | -118,502.02 |
| Total | -$11,005,910.59 |
| NET, GENERAL FUND EXPENDITURES..... | $15,786,998 |

(1) Contributions to Social Security, Retirement Plan and Health Plan.

# IV. ORGANIZATION CHART

## PUBLIC UTILITIES COMMISSION

### State of California


COMMISSIONER
COMMISSIONER
PRESIDENT OF COMMISSION
COMMISSIONER
COMMISSIONER
EXECUTIVE DIRECTOR
ASSISTANT EXECUTIVE DIRECTOR*
ADMINISTRATIVE ASSISTANT
DIRECTOR OF POLICY & PROGRAM DEVELOPMENT
*POSITIONS PRESENTLY FILLED BY SAME PERSON
OFFICE OF GOVERNMENTAL AFFAIRS, PUC
TRANSIT DISTRICTS SAFETY BRANCH (TASK FORCE)
LNG TASK FORCE
COMMISSION REPRESENTATIVE SO CALIF
GENERAL COUNSEL
LEGAL
DEPUTY GENERAL COUNSEL ASST CHIEF P U COUNSEL
ADVISORY & APPELLATE SECT
ASST CHIEF P.U. COUNSEL
STAFF COUNSEL SECTION I
ASST CHIEF P.U. COUNSEL
STAFF COUNSEL SECTION II
CLERICAL SECTION
ASST CHIEF P.U. COUNSEL
FEDERAL SECTION
PUBLIC AFFAIRS OFFICE
DIRECTOR
CEA I
INFORMATION OFFICE
CONSUMER AFFAIRS BRANCH
NORTHERN CALIF
UTILITIES SECTION
TRANS-PORTATION SECTION
SOUTHERN CALIF
UTILITIES SECTION
TRANS-PORTATION SECTION
ADMINISTRATIVE LAW JUDGES
CHIEF ADMINISTRATIVE LAW JUDGE
CEA IV
ASSISTANT CHIEF ADMIN-ISTRATIVE LAW JUDGE
REPORTING SECTION
ADMINISTRATIVE LAW JUDGES
ADMINISTRATIVE LAW JUDGES - LOS ANGELES
ADMINISTRATIVE ASSISTANT
CALENDAR CLERK
DOCKET OFFICE
PROCESS OFFICE
STENO UNIT
MANAGEMENT SERVICES
DIRECTOR*
CEA III
ADMINISTRATIVE SERVICES BRANCH
TRAINING OFFICE
WORD PROCESS SERVICES
MANAGEMENT ANALYSIS GROUP
DELINEATOR GROUP
BUSINESS SERVICES SECTION
DATA PROCESSING BRANCH
PROGRAMMING SECTION
OPERATIONS SECTION
SYSTEMS ANALYSIS SECTION
MANPOWER SERVICES & RESOURCES BRANCH
PERSONNEL OFFICER
TRANSACTIONS SUPERVISOR
FISCAL OFFICE
BUDGET OFFICE
COMMUNICATIONS
DIRECTOR
CEA III
RATES BRANCH
ENGINEERING STUDIES SECTION
SURVEILLANCE BRANCH
P.T.&T. CO SECTION
INDEPENDENT TELEPHONE SECTION
UTILITIES
DIRECTOR
CEA IV
OFFICE SERVICES SECTION
ENVIRONMENTAL IMPACT BRANCH
PROJECT I
PROJECT II
PROJECT III
SPECIAL PROJECT SECTION
ENERGY CONSERVATION BRANCH
HYDRAULICS BRANCH
SECT I-SF NO. CA
SECT II-SF CENTRAL CA
SECT III-L.A. SO. CA
GAS BRANCH
SERVICE & SAFETY SECTION
RATES & TARIFF SECTION
SUPPLY & REQUIREMENTS TEAM
LOS ANGELES SECTION
OUT-OF-STATE SECTION
STATE SECTION
ELECTRIC BRANCH
RATE SECTION
LOS ANGELES SECTION
SERVICE & SAFETY SECTION
ECONOMICS SECTION
RESOURCES SECTION
REVENUE REQUIREMENTS
DIRECTOR
CEA IV
ASSISTANT DIRECTOR FINANCIAL ANALYSIS
ENERGY BRANCH (FINANCIAL)
GAS SECTION
ELECTRIC SECTION
COST ADJUSTMENT UNIT
COMMUNICATIONS & TRANSPORT-ATION BRANCH (FINANCIAL)
COMMUNI-CATIONS SECTION
TRANSPORT-ATION SECTION
INFORMATION SYSTEMS UNIT
FINANCIAL BRANCH
SECURITIES SECTION
RATE OF RETURN SECTION
RATE FUND SECTION
TAX & PROCEDURES SECTION
STENO POOL
LOS ANGELES SECTION
SPECIAL ECONOMICS PROJECTS
ASSISTANT DIRECTOR ENGINEERING ANALYSIS
ENERGY BRANCH (ENGR.)
ENERGY SECTION (S.F.)
ENERGY SECTION (L.A.)
DEPRECIATION ENGINEER
ECONOMICS SECTION
PROJECT MANAGERS RESULTS OF OPERATION
COMMUNICATIONS & WATER BRANCH (ENGR)
WATER SECTION
COMMUNI-CATIONS SECTION
TRANSPORTATION
DIRECTOR
CEA IV
ASSISTANT DIRECTOR
STAFF
RAILROAD OPERATIONS & SAFETY BRANCH
TRAFFIC ENGR SECTION
OPERATIONS SAFETY SECTION
TARIFF & LICENSE BRANCH
TARIFF SECTION
LICENSE SECTION
PASSENGER OPERATIONS BRANCH
SURFACE PASSENGER ENGR SECT
FREIGHT ECONOMICS BRANCH
RATE & COST STUDIES SECTION
SPECIAL PROJECTS SECTION
ECONOMIC RESEARCH SECTION
COMPLIANCE & ENFORCEMENT BRANCH
COMPLI-ANCE SECTION
ENFORCE-MENT SECTION

1/1/80

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before California PUC for Year Ended December 31, 1979

| | Carriers | | | | |
|---|---|---|---|---|---|
| Number of Proceedings: | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Water Carriers | Total Carrier Proceedings |
| Pending as of 1/1/79 | 218 | 178 | 40 | 8 | 444 |
| Instituted in 1979 | 599 | 181 | 68 | 9 | 857 |
| Concluded in 1979 | 619 | 167 | 63 | 9 | 858 |
| Pending as of 12/31/79 | 198 | 192 | 45 | 8 | 443 |
| Number of Hearings in 1979 | 102 | 65 | 9 | 1 | 177 |
| Number of Hearing Days in 1979 | 460 | 106 | 190 | 2 | 758 |
| Number of Orders Appealed to Courts in 1979 | 8 | 4 | - | - | 12 |

| | Utilities | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | CATV | Tele-phone | 1/ Elec-tric | 1/ Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer | Total Utilities Proceedings |
| Pending as of 1/1/79 | 1 | 121 | 42 | 53 | - | 173 | 3 | - | 393 |
| Instituted in 1979 | - | 93 | 43 | 29 | - | 144 | 2 | 1 | 312 |
| Concluded in 1979 | - | 125 | 41 | 7 | - | 132 | 2 | - | 307 |
| Pending as of 12/31/79 | 1 | 89 | 44 | 75 | - | 185 | 3 | 1 | 398 |
| Number of Hearings in 1979 | - | 3* | 1* | - | 2* | 23* | - | - | 29 |
| Number of Hearing Days in 1979 | - | 19* | 12* | - | 47* | 46* | - | - | 124 |
| Number of Orders Appealed to Courts in 1979 | - | 4 | 7 | 3 | 1 | 5 | - | - | 20 |

1/ Includes combination of gas and electric utilities as separate rate filings, which are made for each service and administered separately.

* General Rate Increase Proceedings only.

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Air Carriers | Motor Freight Carriers | Rail Passenger Carriers | Rail-roads | Water Carriers | Tele-phone | Electric | Gas | Water | Sewer |
|---|---|---|---|---|---|---|---|---|---|---|
| Service | 31 | 78 | 95 | 9 | 1 | 9,667 | 2,018 | 572 | 473 | 2 |
| Tariff | 11 | 6 | 13 | - | 2 | 2,612 | 682 | 635 | 238 | 2 |
| Billing | - | 470 | 7 | - | - | 4,944 | 3,265 | 3,237 | 561 | - |
| Miscellaneous | 6 | 658 | 28 | - | 3 | 1,172 | 508 | 587 | 150 | - |
| Total | 48 | 1,212 | 143 | 9 | 6 | 18,395 | 6,473 | 5,031 | 1,422 | 4 |

## COLORADO PUBLIC UTILITIES COMMISSION

Office - 500 State Services Building, 1525 Sherman Street, Denver, Colorado 80203, Area Code (303) 839-3154

### I. COMMISSIONERS

Edythe S. Miller, Chairwoman, 839-3197: Democrat; born December 9, 1929, in Brooklyn, New York; University of Denver (BA, MA), University of Colorado (PhD); instructor at University of Colorado (1965-67), economic analyst for City of Denver (1967-68), Assistant Professor of Economics at Metropolitan State College (1970-74), Associate Professor of Economics at MSC (1975), Chairman of Department of Economics at MSC (1971-72), author; assumed Commissionership February 10, 1975, current term ends January 1981; married (Martin P.), four children.

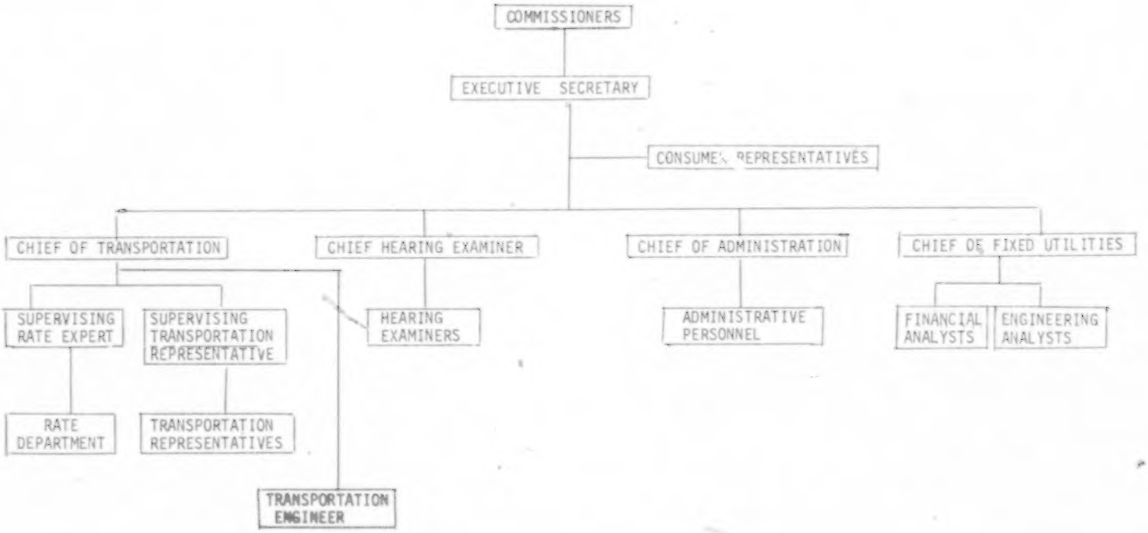
Daniel E. Muse, Commissioner, 839-3196: Democrat; born March 4, 1946, in Columbus, Ohio; Ohio State University (BA), University of Denver (JD); private law practice (1971-75), Colorado Assistant Attorney General (1975-79), Bankruptcy Trustee (1974-78); assumed Commissionership June 18, 1979, current term ends January 8, 1985; married (Reynelda), three children.

L. Duane Woodard, Commissioner, 839-3154: Republican; born January 12, 1938, in Kansas City, Missouri; University of Wyoming (BA), University of Oklahoma (JD); United States Marine Corp (1956-59), Assistant District Attorney for Larimer County (1970-72), Windsor Municipal Judge (1974-76), State Senator (1977-80), Senate Majority Whip (1979-80); assumed Commissionership April 1, 1980, current term ends January 1983; married (Thelma), two children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Secretary)<br>Administration ) | 45)<br>) | Harry A. Galligan, Jr.<br>Dalton O. Ford |
| Fixed Utilities | 21 | M. Raymond Garrison, Jr. |
| Transportation | 23 | Ralph H. Knull |
| Hearing Examiners | 6 | Robert E. Temmer |
| Total | 95 | |

### III. ORGANIZATION CHART


COMMISSIONERS
EXECUTIVE SECRETARY
CONSUMER REPRESENTATIVES
CHIEF OF TRANSPORTATION
CHIEF HEARING EXAMINER
CHIEF OF ADMINISTRATION
CHIEF OF FIXED UTILITIES
SUPERVISING RATE EXPERT
SUPERVISING TRANSPORTATION REPRESENTATIVE
HEARING EXAMINERS
ADMINISTRATIVE PERSONNEL
FINANCIAL ANALYSTS
ENGINEERING ANALYSTS
RATE DEPARTMENT
TRANSPORTATION REPRESENTATIVES
TRANSPORTATION ENGINEER

## COLORADO PUBLIC UTILITIES COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by Colorado PUC for Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | -0- |
| Assessments of Regulated Companies | $1,017,598.00 |
| Cash Reimbursement Only Not Included | 31,587.00 |
| Non-Appropriated (not in above assessed)- Transferred to PUC by Special Bill | 240,000.00 |
| From Highway Users Fund for Financing Transportation Department | 1,210,240.00 |
| Total Appropriated and Assessed | $2,227,838.00 |

| Expenditures | |
|---|---|
| Salaries and Wages | $1,565,509.20 |
| Supplies and Materials | 7,021.53 |
| Postage | 14,201.07 |
| Telephone and Telegraph | 15,108.70 |
| Travel Expense | 27,777.35 |
| Printing and Binding | 19,077.94 |
| Purchase of Cars | 1,333.00 |
| Motor Vehicle Operation (Fuel and Maintenance) | 10,788.61 |
| Maintenance (Equipment other than motor vehicle) | 2,482.79 |
| Insurance and Bonding | 982.14 |
| Dues and Professional Services | 192,552.65 |
| Equipment | 10,590.57 |
| Contributions to Retirement System | 165,172.42 |
| Contributions to Health and Life | 21,326.26 |
| Other Expense | 85,180.77 |
| Total | $2,139,105.00 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Colorado PUC for Year Ended December 31, 1979

Carriers and Utilities and Number of Proceedings

| | Air Carriers | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Electric | Gas | Combination Electric and Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | |
| Pending as of 1/1/79 | 4 | 18 | - | 26 | 4 | 4 | 9 | - | 1 | 66 |
| Instituted in 1979 | - | 633 | 50 | 60 | 65 | 119 | 114 | 82 | 6 | 1,129 |
| Concluded in 1979 | 4 | 600 | 47 | 17 | 62 | 113 | 108 | 78 | 6 | 1,035 |
| Pending as of 12/31/79 | - | 33 | 3 | 43 | 3 | 6 | 6 | 4 | - | 98 |
| Number of Hearings in 1979 | - | 75 | 13 | 15 | 24 | 25 | 12 | 22 | 5 | 191 |
| Number of Hearing Days in 1979 | - | 91 | 14 | 19 | 31 | 30 | 12 | 40 | 5 | 242 |
| Number of Orders Appealed to Courts in 1979 | - | 1 | 1 | - | 4 | 2 | 4 | 2 | 1 | 15 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Air Carriers | Motor Freight Carriers | Railroads | Telegraph | Telephone | Electric | Gas | Combination Electric and Gas | Water |
|---|---|---|---|---|---|---|---|---|---|
| Service | - | 181 | - | 2 | 1,248 | 67 | 9 | 160 | 6 |
| Tariff | - | 4 | 1 | - | 163 | 16 | 3 | 64 | 1 |
| Billing | - | - | - | 2 | 1,471 | 209 | 81 | 1,562 | 6 |
| Safety | - | 118 | 26 | - | 4 | - | 1 | 5 | - |
| Miscellaneous | 14 | 679 | 12 | - | 146 | 41 | 11 | 134 | 1 |
| Total | 14 | 982 | 39 | 4 | 3,032 | 333 | 105 | 1,925 | 14 |

# CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL*

*(Effective July 1, 1980)

Office - State Office Building, 165 Capitol Avenue, Hartford, Connecticut 06115
Area Code (203) Information: 566-2730

## I. COMMISSIONERS

John T. Downey, Chairperson, 566-7380: Democrat; born April 19, 1930, in Wallingford, Connecticut; Yale University (BA), Harvard Law School (JD); Central Intelligence Agency (1951-76), private law practice, member of Connecticut Personnel Appeal Board (1977-78), Secretary of Business Regulation (1979), Trustee of The Choate School; assumed Commissionership July 1, 1979, current term ends June 30, 1985; married (Audrey).

David J. Harrigan, Vice-Chairperson, 566-7383: Republican; born September 5, 1937, in Hartford, Connecticut; University of Connecticut (BA, JD); U.S. Army (1955-58), law clerk to Associate Justice of the Connecticut Supreme Court, private law practice, General Counsel to Connecticut Energy Agency, Deputy Commissioner of State Department of Planning and Energy (1976-77); assumed Commissionership July 1, 1977, current term ends June 30, 1985; married (Sara).

Edythe J. Gaines, Commissioner, 566-7380: Democrat; born September 6, 1922, in Asheville, North Carolina; Hunter College (BA), New York University (MA), Harvard University (CAS), Harvard University (Ed.D), Montclair State (LLD); educator and consultant, Superintendent of Hartford Public Schools, Community Superintendent and executive in the New York City Public Schools, Trustee or Director of The Hartford College for Women, The Hartford Seminary Foundation, The Hartford National Bank and Trust Company, The Hartford Stage Company, The Connecticut Opera Association, The Urban League of Greater Hartford, The United Way of Greater Hartford; assumed Commissionership July 1, 1979, current term ends June 30, 1983; married (Albert), two children.

Marvin S. Loewith, Commissioner, 566-7380: Republican; born March 10, 1919, in Bridgeport, Connecticut; New York University (BS), Harvard Business School (MBA); U.S. Navy in World War II, Sr. Vice President of Connecticut General Life Insurance Co., Chairman of Board of Directors of Security Bank and Trust, Director and Member of Executive Committee of Institute of Living, Director of Greater Hartford Arts Council, Past President of Bloomfield Chamber of Commerce, Chairman of Bloomfield All-American City Committee (1970), Past Chairman of Greater Hartford Red Cross Blood Program, Past Director of Junior Achievement, Member of Bloomfield Economic Development Commission; assumed Commissionership July 1, 1979, current term ends June 30, 1983; married (Jacqueline), six children.

Peter G. Boucher, Commissioner, 566-7380: Democrat; born February 16, 1945, in Hartford, Connecticut; University of Connecticut (BA, MBA, JD); Assistant Corporation Counsel of City of Hartford (1972-76), Counsel of Division of Insurance of State of Connecticut (1976-79); assumed Commissionership September 4, 1979, current term ends June 30, 1983; married (Elisabeth), two children.

## II. KEY STAFF

| | | |
|---|---|---|
| Raymond P. McGannon<br>Assistant to the Chairman | 566-5254 | -- (As of June 30, 1980, Acting, Director of Utilities Operating and Management) |
| Charles T. Caprino<br>Director, Rate Analysis, Statistics and Research | 566-2044 | |
| Charles J. Burns<br>Director, Utilities Operating and Management Analysis | 566-5254 | -- (Retires June 30, 1980) |
| Mrs. King Quillen<br>Director, Consumer Assistance and Information | 566-2730 | |

### STAFF

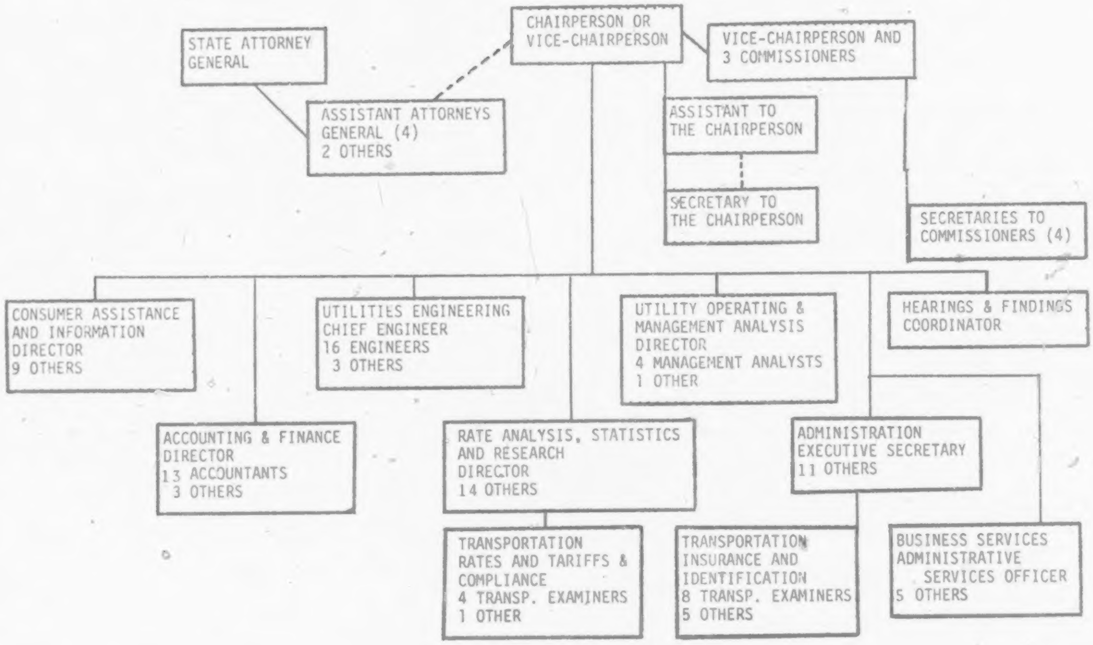
| Division | Personnel | Division Head |
|---|---|---|
| Accounting and Finance | 17 | Edwin L. Mitchell, CPA |
| Commissioners' Offices | 11 | -- |
| Consumer Assistance and Information | 10 | Mrs. King Quillen |
| Engineering | 20 | Ralph E. Reuss |
| Executive Secretary | 11 | Henry Mierzwa |
| Trans. Insurance and I.D. | 13 | Henry Mierzwa |
| Business Services | 6 | Herbert Bryant |
| Rate Analysis, Statistics and Research | 12 | Charles T. Caprino |
| Trans. Rates, Tariffs and Compliance | 5 | Charles T. Caprino |
| Utility Operating and Management Analysis | 5 | Charles J. Burns |
| DPUC Unit of Office of Attorney General | 6 | Robert S. Golden |
| Total | 116 | |

## CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL

### III. ORGANIZATION CHART

STATE OF CONNECTICUT
DIVISION OF PUBLIC UTILITIES CONTROL
DEPARTMENT OF BUSINESS REGULATION

ADMINISTRATIVE TABLE OF ORGANIZATION


CHAIRPERSON OR VICE-CHAIRPERSON
STATE ATTORNEY GENERAL
ASSISTANT ATTORNEYS GENERAL (4) 2 OTHERS
VICE-CHAIRPERSON AND 3 COMMISSIONERS
ASSISTANT TO THE CHAIRPERSON
SECRETARY TO THE CHAIRPERSON
SECRETARIES TO COMMISSIONERS (4)
CONSUMER ASSISTANCE AND INFORMATION DIRECTOR 9 OTHERS
UTILITIES ENGINEERING CHIEF ENGINEER 16 ENGINEERS 3 OTHERS
UTILITY OPERATING & MANAGEMENT ANALYSIS DIRECTOR 4 MANAGEMENT ANALYSTS 1 OTHER
HEARINGS & FINDINGS COORDINATOR
ACCOUNTING & FINANCE DIRECTOR 13 ACCOUNTANTS 3 OTHERS
RATE ANALYSIS, STATISTICS AND RESEARCH DIRECTOR 14 OTHERS
ADMINISTRATION EXECUTIVE SECRETARY 11 OTHERS
TRANSPORTATION RATES AND TARIFFS & COMPLIANCE 4 TRANSP. EXAMINERS 1 OTHER
TRANSPORTATION INSURANCE AND IDENTIFICATION 8 TRANSP. EXAMINERS 5 OTHERS
BUSINESS SERVICES ADMINISTRATIVE SERVICES OFFICER 5 OTHERS

### IV. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PUC for Year Ended December 31, 1979

| | Carriers | | | |
|---|---|---|---|---|
| Number of Proceedings: | Motor Freight Carriers | (1) (2) Motor Passenger Carriers | Railroads | Total Carrier Proceedings |
| Pending as of 1/1/79 | 12 | 29 | 0 | 41 |
| Instituted in 1979 | 19 | 56 | 0 | 75 |
| Concluded in 1979 | 25 | 56 | 0 | 81 |
| Pending as of 12/31/79 | 6 | 29 | 0 | 35 |
| Number of Hearings in 1979 | 19 | 56 | 0 | 75 |
| Number of Hearing Days in 1979 | 19 | 56 | 0 | 75 |
| Number of Orders Appealed to Courts in 1979 | 1 | 2 | 0 | 3 |

(1) Regulation over the Motor Bus and Taxicab industry was transferred to the State Department of Transportation effective October 1, 1979. However, the above data is for the entire year of 1979 as it includes figures supplied by the D.O.T.

(2) Regulation over the Livery industry will be transferred to the State Department of Transportation, effective October, 1980.

## IV. VOLUME OF PROCEEDINGS
(Continued)

### Utilities

| | CATV | Tele-graph | Tele-phone | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer | Total Utilities Proceedings |
|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | |
| Pending as of 1/1/79 | 13 | 0 | 10 | 44 | 18 | 2 | 34 | 0 | 0 | 121 |
| Instituted in 1979 | 15 | 1 | 21 | 75 | 16 | 10 | 77 | 0 | 1 | 216 |
| Concluded in 1979 | 17 | 0 | 18 | 74 | 21 | 4 | 64 | 0 | 1 | 199 |
| Pending as of 12/31/79 | 11 | 1 | 13 | 45 | 13 | 8 | 47 | 0 | 0 | 138 |
| Number of Hearings in 1979 | 13 | 0 | 8 | 30 | 14 | 5 | 43 | 0 | 1 | 114 |
| Number of Hearing Days in 1979 | 25 | 0 | 13 | 65 | 56 | 37 | 83 | 0 | 1 | 280 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 0 | 0 | 1 | 0 | 4 | 0 | 0 | 5 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|
| Service | 418 | 464 | 376 | 140 | 134 |
| Tariff | 311 | 478 | 757 | 631 | 448 |
| Billing | 111 | 557 | 2,325 | 438 | 153 |
| Miscellaneous | 702 | 220 | 683 | 275 | 137 |
| Total | 1,542 | 1,719 | 4,141 | 1,484 | 872 |

## VI. RECEIPTS AND EXPENDITURES

### PUBLIC UTILITY CONTROL
### ANALYSIS OF CASH RECEIPTS
### FISCAL YEAR ENDED JUNE 30, 1979

| | | | | |
|---|---|---|---|---|
| A/C | 1715 | **Identification Stamps** | | |
| | | Interstate Carriers | $1,824,170.00 | |
| | | Common Carriers | 183,600.00 | |
| | | Contract Carriers | 48,070.00 | |
| | | Total Stamps | $2,055,840.00 | |
| | | Single Trip Permits | 8,470.00 | |
| | | Total | | $2,064,310.00 |
| A/C | 3540 | **Examination Fees - PUC** | | |
| | | CATV | $ 50.00 | |
| | | Bus | 100.00 | |
| | | Livery | 170.00 | |
| | | Taxi | 725.00 | |
| | | Motor Carrier - Intrastate | 2,850.00 | |
| | | Motor Carrier - Interstate | 28,740.00 | |
| | | Total | | $ 32,635.00 |
| A/C | 3307 | **Miscellaneous Fees** | | |
| | | Certified Transcripts | $ 42.50 | |
| | | General Transcripts | 990.75 | |
| | | Total | | $ 1,033.25 |
| A/C | 8105 | **Miscellaneous Reports** | | |
| | | Accident Reports | $ 83.25 | |
| | | Mileage Guides | 165.00 | |
| | | Annual Reports | 3.00 | |
| | | Total | | $ 251.25 |
| A/C | 3114 | Meter Tests | | 80.00 |
| A/C | 9413 | Public Service Co. Assessments | | 1,763,919.44 |
| | | Total | | 3,862,228.94 |
| A/C | 0081 | Sales Tax | | 14.07 |
| A/C | 3302 | Jury Fees | | 200.00 |
| A/C | 3901 | Witness Fees | | 55.80 |
| A/C | 9404 | Miscellaneous Recoveries | | 915.94 |
| A/C | 9512 | Federal Aid Receivable (Utility Conservation) | | 622,238.80 |
| A/C | 9901 | Refund of Current Expenditures | | 640.50 |
| A/C | 9930 | Federal Contributions (Pipeline & Railroad Safety) | | 39,820.06 |
| | | Total Cash Receipts | | $4,526,114.11 |
| | | Total Receipts Deposited | | $4,526,114.11 |
| | | Gross Expenditures | | 2,104,068.00 |
| | | Total | | 2,422,046.11 |
| | | Less Federal Contributions & Federal Aid Receivable | | 662,058.86 |
| | | Net Gain To General Fund | | $1,759,987.25 |

# DELAWARE PUBLIC SERVICE COMMISSION

Office - 1560 S. DuPont Highway, Dover, Delaware 19901, Area Code (302) 678-4247

## I. COMMISSIONERS

Joshua W. Martin, III, Chairman, 575-6949: Independent; born September 14, 1944, in Columbia, South Carolina; Case Institute of Technology (BS), Drexel University, Rutgers School of Law (JD), Patent Office Academy; senior physicist for E.I. duPont de Nemours & Co., Inc. (1966-71), legal intern for B.P.U.M. Economic Development Corporation (1972-74), patent attorney with Hercules Incorporated (1974-78), assumed Commissionership May 2, 1978, current term ends May 2, 1983; married (Lloyd), two children.

Earle J. Lester, Vice Chairman: Republican; born October 22, 1922, in St. Georges, Delaware; businessman; assumed Commissionership November 1, 1970, current term ends May 1, 1982; married (Mary), three children.

Joshua M. Twilley, Commissioner: Democrat; born March 23, 1928, in Dover, Delaware; Harvard University (BA, JD); private law practice, County Commissioner (1970-74), President of Kent County Levy Court (1970-74), Secretary of Delaware Senate (1960-64); assumed Commissionership February 5, 1975, current term ends September 1, 1979; married (Rosemary), five children.

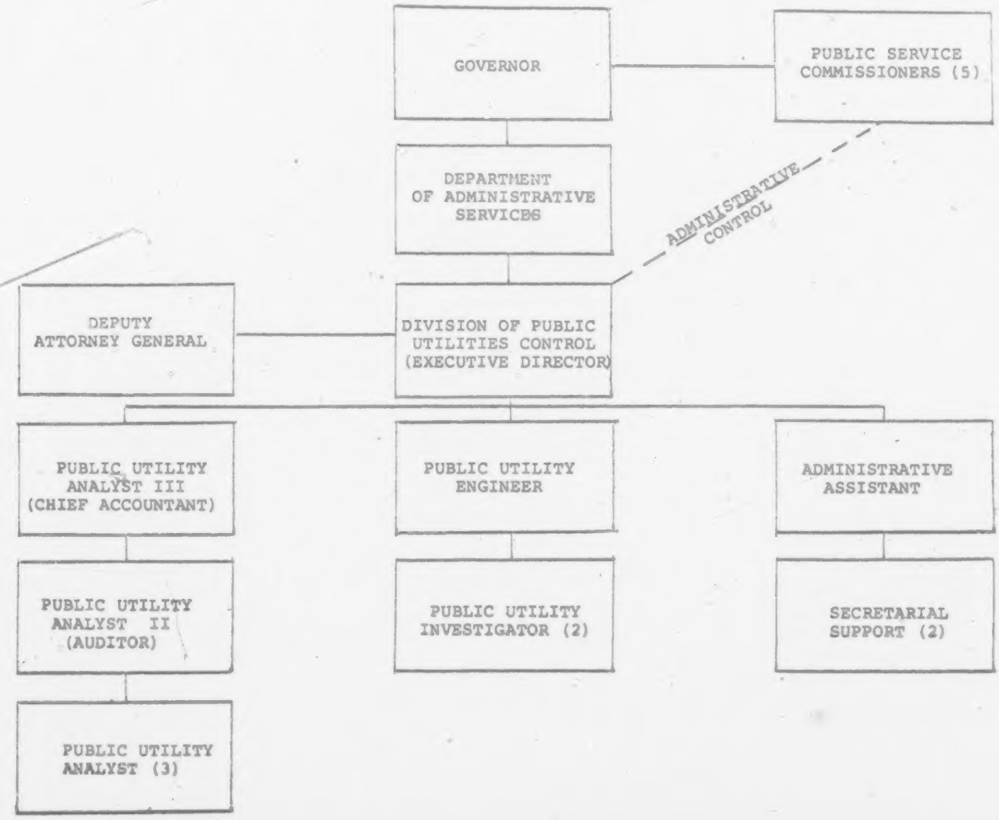
Roland G. Hastings, Commissioner, 875-2236: Democrat; born August 9, 1917, in Laurel, Delaware; Goldey Business College; construction business executive, poultry business officer, U.S. Navy (1943-46); assumed Commisionership January 11, 1977, current term ends May 1, 1981; married (Francis), one son.

Nancy M. Norling, Commissioner, 678-4247: Independent; born July 23, 1940, in Los Angeles, California; Vassar College (BA), University of Delaware (MA); assumed Commissionership May 1, 1979, current term ends May 1, 1984; married (Parry), two children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Director | 1 | Robert J. Kennedy, III |
| Administrative/Secretary | 3 | Bettiann Scott |
| Accounting | 4 | Harold E. Remley |
| Engineering/Investigators | 3 | Leon Ryan |
| Legal | 1 | Michael Tischer |
| Total | 12 | |

## III. ORGANIZATION CHART


GOVERNOR
PUBLIC SERVICE COMMISSIONERS (5)
DEPARTMENT OF ADMINISTRATIVE SERVICES
ADMINISTRATIVE CONTROL
DEPUTY ATTORNEY GENERAL
DIVISION OF PUBLIC UTILITIES CONTROL (EXECUTIVE DIRECTOR)
PUBLIC UTILITY ANALYST III (CHIEF ACCOUNTANT)
PUBLIC UTILITY ENGINEER
ADMINISTRATIVE ASSISTANT
PUBLIC UTILITY ANALYST II (AUDITOR)
PUBLIC UTILITY INVESTIGATOR (2)
SECRETARIAL SUPPORT (2)
PUBLIC UTILITY ANALYST (3)

DELAWARE PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by the PSC as of Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| Special Funds (Includes Reimbursement of 50% OPSO | $866,815.99 |
| Total | $866,815.99 |

| Expenditures | |
|---|---|
| Salaries and Wages | $187,853.61 |
| Supplies and Materials | 3,717.61 |
| Postage | 1,079.17 |
| Telephone and Telegraph | 4,782.82 |
| Travel Expense | 17,693.66 |
| Printing and Binding | 740.91 |
| Insurance and Bonding | 3,245.66 |
| Dues for Conferences | 5,035.00 |
| Equipment | 6,360.61 |
| Contributions to Retirement System | 20,099.39 |
| Contributions to Social Security | 9,021.40 |
| Personal Services (Hearings) | 581,850.60 |
| Contractual Services | 23,872.95 |
| Miscellaneous | 1,462.60 |
| Total | $866,815.99 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

| | Water Carriers | CATV | Telegraph | Telephone | Electric | Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Pending as of 1/1/79 | 2 | 0 | 0 | 1 | 4 | 1 | 1 | 9 |
| Instituted in 1979 | 1 | 6 | 2 | 5 | 14 | 10 | 9 | 47 |
| Concluded in 1979 | 1 | 2 | 1 | 3 | 11 | 4 | 2 | 24 |
| Pending as of 12/31/79 | 2 | 4 | 1 | 3 | 7 | 7 | 8 | 32 |
| Number of Hearings in 1979 | 2 | 2 | 0 | 2 | 32 | 29 | 17 | 84 |
| Number of Hearing Days in 1979 | 2 | 2 | 0 | 2 | 32 | 28 | 17 | 83 |
| Number of Orders Appealed to Courts in 1979 | | 1 | - | - | - | - | - | 1 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|
| Service | 26 | 29 | 44 | 3 | 17 |
| Tariff | 1 | 15 | 68 | 12 | 15 |
| Billing | - | - | - | - | - |
| Safety | - | - | - | - | - |
| Miscellaneous | 4 | 13 | 67 | 5 | 14 |
| Total | 31 | 57 | 179 | 20 | 46 |

## DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

Office - Cafritz Building, 1625 I Street, N.W., Washington, D.C., 20006, Area Code (202) 727-1000.

### I. COMMISSIONERS

Elizabeth Hayes Patterson, Chairman, 727-3070: Democrat/Independent; born June 25, 1945, in Boston, Massachusetts; Emmanuel College (AB), Sorbonne (University of Paris) (Diploma), Stanford University, Catholic University (JD): French and Spanish teacher (1969-70), law clerk to Third Circuit United States Court of Appeals Judge Ruggero J. Aldisert (1973-74), associate with Hogan and Hartson (1974-77), law professor (1976); assumed Commissionership November 30, 1977, current term ends June 30, 1980; married (Jerome), two children.

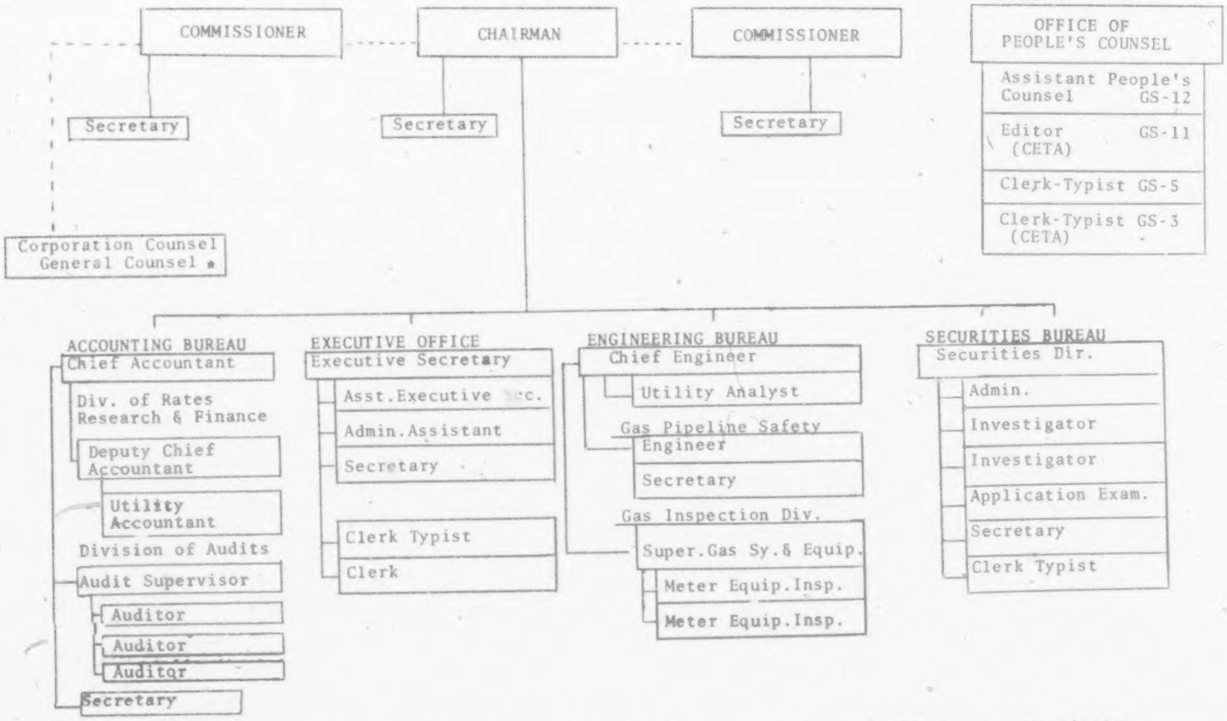
Ruth Hankins-Nesbitt, Commissioner, 727-3062: Democrat; born in South Boston, Virginia; Virginia Seminary and College (Teacher's Certificate), D.C. Teachers College, Catholic University, Robert Terrell Law School (LLB); private law practice, Hacker's License and Appeal Board; assumed Commissionership March 4, 1975, current term ends June 30, 1981; married (Vardell), one child.

Wesley H. Long, Commissioner, 727-3060; Democrat; born May 6, 1932, in Boston, Massachusetts; University of Michigan (BA in Math, MA and PhD in Economics); Economics Professor at Bowdoin College (1963-66) and at Pennsylvania State University (1966-72), U.S. Department of Commerce (1971-80) with final position as Deputy Director of Office of Industrial Economics; assumed Commissionership March 30, 1980, current term ends June 30, 1982.

### II. KEY STAFF

| | | | |
|---|---|---|---|
| Judith Rogers, General Counsel | 727-3858 | Vacancy, Chief Engineer | 727-3064 |
| Mary E. Brazelton, Secretary | 727-3050 | James F. Whitescarver, Jr., Director of Securities | 727-3066 |
| Norman D. Reiser, Acting Chief Accountant | 727-3055 | Mary S. Sisson, Assistant Secretary | 727-3052 |
| Kenneth Freelain, Pipeline Safety Engineer | 624-4321 | Gordon E. Pozza, Chief Economist | 727-3064 |

### III. ORGANIZATION CHART


COMMISSIONER
CHAIRMAN
COMMISSIONER
OFFICE OF PEOPLE'S COUNSEL
Assistant People's Counsel GS-12
Editor (CETA) GS-11
Clerk-Typist GS-5
Clerk-Typist GS-3 (CETA)
Secretary
Secretary
Secretary
Corporation Counsel General Counsel *
ACCOUNTING BUREAU
Chief Accountant
Div. of Rates Research & Finance
Deputy Chief Accountant
Utility Accountant
Division of Audits
Audit Supervisor
Auditor
Auditor
Auditor
Secretary
EXECUTIVE OFFICE
Executive Secretary
Asst.Executive Sec.
Admin.Assistant
Secretary
Clerk Typist
Clerk
ENGINEERING BUREAU
Chief Engineer
Utility Analyst
Gas Pipeline Safety
Engineer
Secretary
Gas Inspection Div.
Super.Gas Sy.& Equip.
Meter Equip.Insp.
Meter Equip.Insp.
SECURITIES BUREAU
Securities Dir.
Admin.
Investigator
Investigator
Application Exam.
Secretary
Clerk Typist

*Public Service Division of the Corporation Counsel assigned to represent the Public Service Commission.

DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the PSC, Fiscal Year Ended September 30, 1979

| | |
|---|---|
| **Receipts** | |
| General Fund Appropriation | $ 672.9 |
| Assessments of Regulated Companies | 774.6 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 48.3 |
| Total | $1,495.8 |
| **Expenditures/Obligations** | |
| Salaries and Wages | 545.5 |
| Supplies and Materials | 7.3 |
| Postage | 1.4 |
| Telephone and Telegraph | 8.6 |
| Travel Expense | 3.4 |
| Printing and Binding | 7.5 |
| Dues and Professional Services | .9 |
| Equipment Rental and Repairs | 6.9 |
| All Benefits | 49.4 |
| Other Expense | 56.5 |
| Imprest Cash | .3 |
| Total | $ 687.7 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas |
|---|---|---|---|
| Service | 14 | 16 | 56 |
| Billing | 258 | 297 | 464 |
| Miscellaneous | 14 | 16 | 56 |
| Total | 286 | 329 | 576 |

## FLORIDA PUBLIC SERVICE COMMISSION

Main Office - Florida Public Service Commission, 101 East Gaines Street, Fletcher Building, Tallahassee, Florida 32301, Area Code (904) 488-1234

District Offices

District I - Tallahassee 32301
101 E. Gaines Street
Fletcher Building
(904) 488-5140

District II - Lake City 32055
100 W. Madison Street
(904) 752-0896

District III - Jacksonville 32202
Daniel Bldg., Suite 513, Box 42
110 Coast Line Drive, East
(904) 359-6131

District IV - Orlando 32802
Post Office Box 1031
400 West Robinson Street, Suite 502
(305) 423-6146

District V - Miami 33166
8400 N. W. 52nd Street, Suite 121
(305) 592-0730

District VI - Tampa 33602
1313 Tampa Street
Park Trammell Bldg., Suite 901
(813) 272-3911

District VII - Ocala 32670
818 E. Silver Springs Blvd.
(904) 732-2500

District VIII - Pensacola 32501
160 Governmental Center, Suite 514
(904) 436-8500

District IX - West Palm Beach 33401
111 Georgia Avenue, Dimick Bldg.
(305) 837-5035

Ft. Lauderdale Consumer Affairs Office - Ft. Lauderdale 33034
Park Plaza Professional Bldg., Suite 201
120 Oakland Park Blvd.
(305) 564-7667

### I. COMMISSIONERS

Robert T. Mann, Chairman, 488-7001: Democrat; born June 5, 1924, in Tarpon Springs, Florida; University of Florida (BS, JD), George Washington University (MA), Harvard University (LLM), Yale University (LLM); U. S. Army (India, Burma, China and Ceylon, 1943-45), Instructor at University of Maryland (1947-48), Assistant Professor of Law at Northeastern University (1951-53), private law practice (1953-68), Member of Florida House of Representatives (1956-68), Judge of Court of Appeals for 2nd District (1968-74), Professor of Law at University of Florida (1974-78), author; assumed Commissionership January 4, 1978, current term ends January 5, 1982; married (Elizabeth), two children.

William T. Mayo, Commissioner, 488-2181: Democrat; born December 10, 1917, in Summerfield, Florida; University of Florida; Tallahassee Mayor, State Road Board, State Highway Administrator; assumed Commissionership September 1964, current term ends January 6, 1981; married (Elizabeth), four children.

Joseph P. Cresse, Commissioner, 488-2986: Democrat; born October 7, 1928, in Cutler, Indiana; University of Florida (BS, BA); State Budget Analyst (1960-64), Assistant State Budget Director (1964-75), State Budget Director (1975-78), Chairman of Deferred Compensation Advisory Committee (1976-77), Member of Florida Advisory Council on Intergovernmental Relations (1977-79), Assistant Secretary of Department of Administration (1977-78); assumed Commissionership January 2, 1979, current term ends January 4, 1983; married (Beverly), five children.

Gerald L. Gunter, Commissioner, 488-5573: Democrat; born November 4, 1934, in Rock Bluff, Florida; Jacksonville University (BS), Florida State University (MS); City Councilman and Vice Mayor of Titusville (1975-79), Vice President and Director of Brevard League of Cities; assumed Commissionership January 2, 1979, current term ends January 4, 1983.

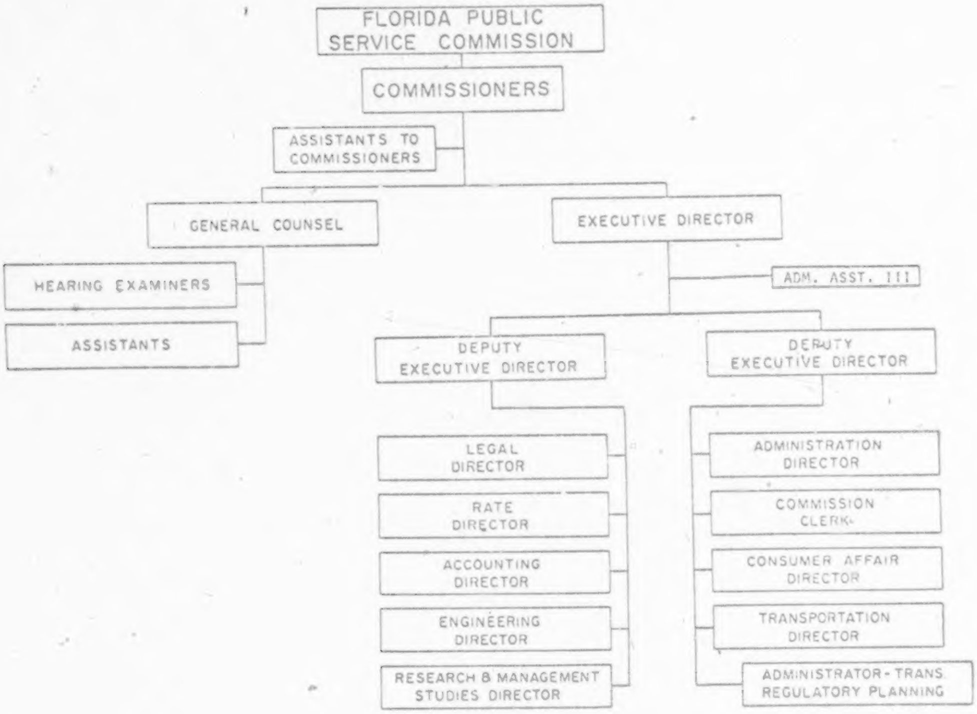
John R. Marks, III, Commissioner, 488-6943: Democrat; born July 25, 1947, in Miami, Florida; Florida State University (BS, JD); U.S. Air Force Captain (1972-76), Florida PSC Hearing Officer (1976-79); assumed Commissionership March 22, 1979, current term ends January 5, 1982; married (Jane), one son.

### II. STAFF

| Name of Department | Number of Personnel | Name of Department Head |
|---|---|---|
| Office of the Chairman | 6 | Robert T. Mann |
| Commissioner | 3 | Joseph P. Cresse |
| Commissioner | 3 | Gerald L. Gunter |
| Commissioner | 3 | John R. Marks, III |
| Commissioner | 3 | William T. Mayo |
| Executive Director | 10 | David L. Swafford |
| General Counsel | 18 | Arthur C. Canaday |
| Accounting | 68 | Gregory R. Follensbee |
| Administration | 57 | John L. Adams |
| Commission Clerk | 15 | Steven C. Tribble |
| Consumer Affairs | 15 | George B. Hanna |
| Engineering | 57 | Harold E. Janes |
| Legal | 26 | Vacant |
| Rate | 27 | Richard N. Tudor |
| Research and Management Studies | 18 | William F. James |
| Transportation | 105 | Robert L. Cartwright |
| Total | 434 | |

## FLORIDA PUBLIC SERVICE COMMISSION

### III. ORGANIZATION CHART


FLORIDA PUBLIC SERVICE COMMISSION
COMMISSIONERS
ASSISTANTS TO COMMISSIONERS
GENERAL COUNSEL
EXECUTIVE DIRECTOR
HEARING EXAMINERS
ASSISTANTS
ADM. ASST. III
DEPUTY EXECUTIVE DIRECTOR
DEPUTY EXECUTIVE DIRECTOR
LEGAL DIRECTOR
RATE DIRECTOR
ACCOUNTING DIRECTOR
ENGINEERING DIRECTOR
RESEARCH & MANAGEMENT STUDIES DIRECTOR
ADMINISTRATION DIRECTOR
COMMISSION CLERK
CONSUMER AFFAIR DIRECTOR
TRANSPORTATION DIRECTOR
ADMINISTRATOR-TRANS. REGULATORY PLANNING

### IV. RECEIPTS AND EXPENDITURES

Receipts

Regulatory Trust Fund Revenues
(Fiscal Year Ended June 30, 1979)

| | |
|---|---|
| Recording Fees | $ 59,119.38 |
| Utilities and Transportation Filing Fees | 317,055.00 |
| Auto Transportation Brokers Licenses | 93,950.00 |
| Utilities Regulatory Tax | 7,627,579.75 |
| Auto Transportation Tax | 2,156,816.53 |
| Auto Transportation - Taxicab Administration | 48,645.00 |
| Miscellaneous Revenue | 29,985.50 |
| Transfers from Road Tax | 881,217.44 |
| Refunds and Cancellations | 22,612.17 |
| Interest Income | 894,321.56 |
| Plant Site Certifications | 14,126.94 |
| Total Revenues | $12,145,429.27 |

Road Tax Clearing Fund Receipts
(Fiscal Year Ended June 30, 1979)

| | |
|---|---|
| Total Road Tax Collections | $ 2,624,492.70 |

Expenditures

| | |
|---|---|
| Salaries and Wages | $5,457,389 |
| Supplies and Materials | 106,155 |
| Postage | 97,546 |
| Telephone and Telegraph | 276,393 |
| Travel Expense | 465,188 |
| Printing and Binding | 18,734 |
| Purchase of Cars | 123,440 |
| Motor Vehicle Operation | 143,154 |
| Insurance and Bonding | 35,919 |
| Dues and Professional Services | 100,920** |
| Equipment | 155,453 |
| Contributions to Retirement System | 906,980* |
| Other Expense | 578,790 |
| Total | $8,466,061 |

*Includes life and health insurance matching.
**Includes OPS, Memberships, NARUC "dues".

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

Carriers and Utilities and Number of Proceedings

| Number of Proceedings: | Air Carriers | Freight Forwarders | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Water Carriers | Radio Common Carriers | Telegraph | Telephone |
|---|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 41 | 0 | 341 | 95 | 14 | 1 | 9 | 1 | 39 |
| Instituted in 1979 | 4 | 1 | 631 | 70 | 42 | 0 | 23 | 1 | 37 |
| Concluded in 1979 | 42 | 0 | 703 | 122 | 46 | 1 | 15 | 1 | 32 |
| Pending as of 12/31/79 | 3 | 1 | 269 | 43 | 10 | 0 | 17 | 1 | 44 |
| Number of Hearings in 1979 | 3 | 1 | 168 | 39 | 1 | 0 | 2 | 0 | 15 |
| Number of Hearing Days in 1979 | 3 | 1 | 194 | 72 | 3 | 0 | 2 | 0 | 25 |
| Number of Orders Appealed to Courts in 1979 | 1 | 0 | 8 | 3 | 0 | 0 | 0 | 0 | 1 |

| Number of Proceedings: | Electric | Gas | Commission Investigations | Water | Sewer | Combination Water and Sewer | Rules | Total - Carriers and Utilities Proceedings |
|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 25 | 8 | 15 | 51 | 32 | 98 | 38 | 808 |
| Instituted in 1979 | 43 | 17 | 8 | 59 | 25 | 73 | 31 | 1,065 |
| Concluded in 1979 | 44 | 13 | 14 | 68 | 43 | 134 | 35 | 1,313 |
| Pending as of 12/31/79 | 24 | 12 | 9 | 42 | 14 | 37 | 34 | 560 |
| Number of Hearings in 1979 | 21 | 4 | 4 | 0 | 0 | 44 | 6 | 308 |
| Number of Hearing Days in 1979 | 40 | 4 | 4 | 0 | 0 | 68 | 14 | 430 |
| Number of Orders Appealed to Courts in 1979 | 3 | 1 | 1 | 0 | 0 | 6 | 0 | 24 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Telegraph | Telephone | Electric | Gas | Combination Water and Sewer |
|---|---|---|---|---|---|---|
| Service | 26 | 45 | 5,798 | 1,166 | 88 | 90 |
| Billing | 478 | 8 | 1,423 | 1,675 | 122 | 130 |
| Miscellaneous | - | 5 | 694 | 400 | 26 | 17 |
| Total | 504 | 58 | 7,915 | 3,241 | 236 | 237 |

# GEORGIA PUBLIC SERVICE COMMISSION

Office - 162 State Office Building, 244 Washington Street, S. W., Atlanta, Georgia 30334
Area Code (404), Information: 656-4501

## I. COMMISSIONERS

Robert C. Pafford, Chairman, 656-4556: Democrat; born June 7, 1930, in Lakeland, Georgia; South Georgia College, Georgia Southern College (BS); U. S. Army, State Representative (1965-70), oil distributor, businessman, farmer; assumed Commissionership January 12, 1971, current term ends December 31, 1982; single.

Ford B. Spinks, Vice Chairman, 656-4510: Democrat; born April 5, 1927, in Tifton, Georgia; Abraham Baldwin Agricultural College, Norman College; U. S. Army, President of Tifton Tractor Co., State Senator (1963-71); assumed Commissionership March 1971, current term ends December 31, 1982; married (Ruby Lee), three children.

Mac Barber, Commissioner, 656-4508: assumed Commissionership January 1, 1973, current term ends December 31, 1984.

William E. Lovett, Jr., Commissioner, 656-4512: Democrat; born October 12, 1945, in Douglas, Georgia; Abraham Baldwin College, Georgia State University; Agri-business, Laurens County Commission Chairman (1977-78); assumed Commissionership January 3, 1979, current term ends December 31, 1984; married (Kay), two children.

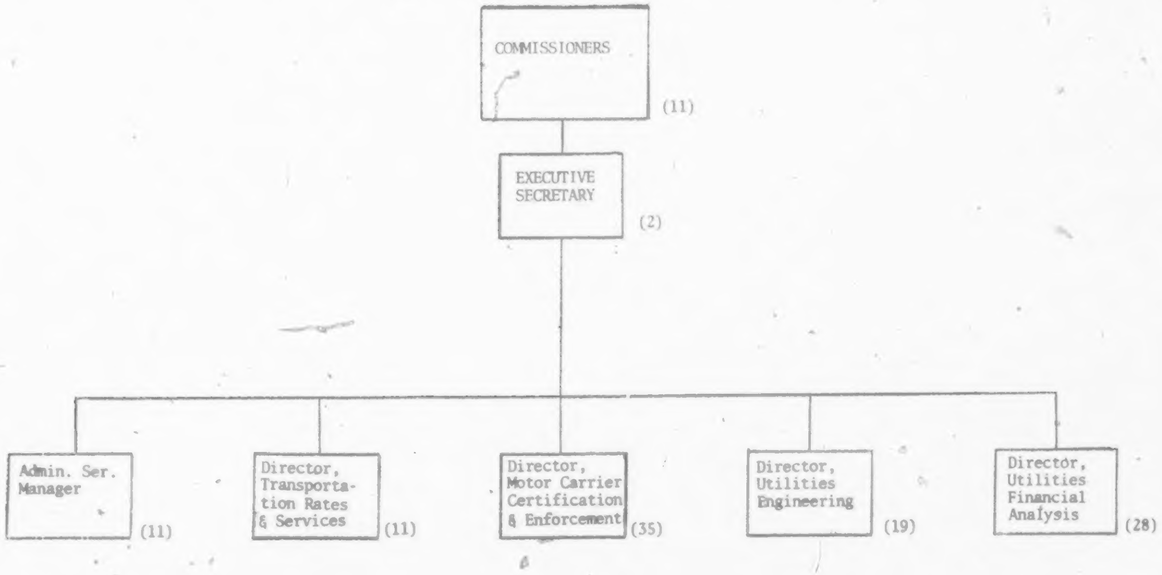
James B. Hammock, Commissioner, 656-4514: Democrat; born December 1, 1933, in Houston County, Georgia; Northwestern State College (La.), Mercer University; U. S. Air Force enlisted man, affiliated with Standard Oil and Amoco (13 years), manager of operations for fertilizer and liquid propane gas company, owner of automobile dealership, member of Board of Human Resources (1975-80); assumed Commissionership March 18, 1980, current term ends December 31, 1980; married (Ramona), three daughters.

## II. KEY STAFF

| | |
|---|---|
| Hugh S. Jordan, Executive Secretary and Administrative Officer | 656-4539 |
| Arthur K. Bolton, Attorney, General Counsel | 656-4585 |
| James J. Crudup, Director, Utilities Engineering Division | 656-4516 |
| B. B. Knowles, Director, Utilities Financial Analysis Division | 656-4538 |
| L. Thomas Doyal, Director, Motor Carrier Certification and Enforcement Division | 761-2229 |
| Horace F. Hartley, Director Transportation Rates and Services Division | 761-0775 |

## III. ORGANIZATION CHART

GEORGIA PUBLIC SERVICE COMMISSION


COMMISSIONERS
(11)
EXECUTIVE SECRETARY
(2)
Admin. Ser. Manager
(11)
Director, Transportation Rates & Services
(11)
Director, Motor Carrier Certification & Enforcement
(35)
Director, Utilities Engineering
(19)
Director, Utilities Financial Analysis
(28)

117 positions budgeted-FY '81

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year - 1979 (July 1978 through June 1979)

| | |
|---|---|
| Regular Salaries | $ 1,936,294 |
| Overtime, Promotions and Upgradings | 20,780 |
| Fringe Benefits on Above | 349,393 |
| Temporary Help | 14,500 |
| Less: Lapse Factor | (214,818) |
| TOTAL PERSONAL SERVICES | 2,106,149 |
| Motor Vehicle Expenses and Repairs | 37,600 |
| Supplies and Materials | 73,794 |
| Repairs and Maintenance | 10,100 |
| Rents (Other than Real Estate Rentals) | 12,620 |
| Insurance and Bonding | 4,405 |
| Assessments by Merit System | 7,481 |
| Other Operating Expenses | 10,400 |
| TOTAL REGULAR OPERATING EXPENSES | 156,400 |
| TRAVEL | 97,725 |
| MOTOR VEHICLE EQUIPMENT PURCHASES | -0- |
| PUBLICATIONS AND PRINTING | 15,050 |
| EQUIPMENT PURCHASES | 34,200 |
| REAL ESTATE RENTALS | 114,563 |
| PER DIEM, FEES AND CONTRACTS | 176,700 |
| TELECOMMUNICATIONS | 71,900 |
| TOTAL EXPENDITURES | $ 2,772,687 |
| FEDERAL FUNDS | 66,136 |
| OTHER FUNDS | 23,000 |
| STATE GENERAL FUNDS | 2,683,551 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

| Number of Proceedings: | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Electric | Gas | Totals |
|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 0 | 0 | 0 | 2 | 1 | 0 | 3 |
| Instituted in 1979 | 439 | 22 | 6 | 9 | 29 | 6 | 511 |
| Concluded in 1979 | 439 | 22 | 6 | 9 | 29 | 5 | 510 |
| Pending as of 12/31/79 | 0 | 0 | 0 | 2 | 1 | 1 | 4 |
| Number of Hearings in 1979 | 21 | 21 | 6 | 9 | 9 | 6 | 72 |
| Number of Hearing Days in 1979 | 21 | 21 | 6 | N/A | N/A | N/A | 48 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 0 | 0 | 1 | 0 | 1 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Electric | Gas |
|---|---|---|---|---|---|
| Service | 84 | 35 | 872 | 20 | 13 |
| Tariff | 40 | 4 | 35 | 12 | 1 |
| Billing | - | 2 | 283 | 179 | 123 |
| Safety | - | - | - | - | - |
| Miscellaneous | - | - | 1,459 | 893 | 798 |
| Total | 124 | 41 | 2,649 | 1,104 | 935 |

## GUAM PUBLIC UTILITIES COMMISSION

Office - Suite 201, Ada Plaza Center, 173 West Aspinal Avenue, Agana, Guam 96910, Country Code (671) 477-7220

### I. COMMISSIONERS

Galo E. Camacho, Chairman: Republican; born July 1, 1930, in Agana, Guam; University of Guam, San Francisco State College, City College of San Francisco (AA); Assistant Cashier and Head of Administration for Bank of America in San Francisco Central Office (1962-66), Controller of Guam Department of Education (1966-71), Executive Director of Community Action Agency (1971), Manager of Community Development Division and Chief Real Estate Officer of Guam Housing and Urban Renewal Authority (GHURA) (1972-79), Deputy Director of GHURA (1979); assumed Commissionership September 18, 1979, current term ends September 11, 1983; married (Rita), six boys.

George P. G. Kim, Vice Chairman, 477-9677: born September 16, 1941, in Honolulu, Hawaii; University of Hawaii (BA); certified public accountant, private accounting practice; assumed Commissionership September 18, 1979, current term ends September 11, 1983; married (Rosita), two children.

James C. Matanane, Commissioner, 646-5891: Republican; born July 21, 1945, in Guam; South Western City College; Solano County City College; U. S. Navy petty officer (1963-67), inn proprietor, General Manager of Pacific Trading Co., Sales Manager for Mid-Pacific Liquor Corp., Village Municipal Council Member (1978-79), Emergency Medical Service Board Member (1979); assumed Commissionership September 18, 1979, current term ends September 1983; married (Teresita), three children.

Gregorio G. Perez, Commissioner, born October 5, 1929, in Agana, Guam; University of Guam, Los Angeles Police Academy, Traffic Institute of Northwestern University; Director of Guam Department of Public Safety (1976); assumed Commissionership October 27, 1977, current term ends October 27, 1980; married (Gloria), 14 children.

Marian Johnston Taitano, Commissioner: Democrat; born April 17, 1920, in Agana, Guam; Member of Election Commission, Board of Trustees of Guam Memorial Hospital; assumed Commissionership October 27, 1977, current term ends October 27, 1980; three children.

Vincente A. Pinaula, Commissioner: Democrat; born November 16, 1932, in Inarajan, Guam; University of Guam (BA), Cental Texas College; U.S. Army first sergeant with service in Korean and Viet Nam Conflicts, vocational rehabilitation counselor (1974-76), community researcher for Guam Legislature (1979); assumed Commissionership March 10, 1978, current term ends March 10, 1982; married (Oliva), two children.

Raul I. Batilo, Commissioner: Republican; born August 16, 1937, in Iloilo City, Philippines; Accounting Clerk for U.S. Navy Public Works Center in Guam (1957-61), U.S. Army (1961-63), construction trades (1963-73), Staff Member of Guam Legislature (1969-73), President and General Manager of Quality Construction (1973-1980), President of Visayan Association of Guam (1976); assumed Commissionership June 6, 1979, current term ends June 6, 1983; married (Thelma), three children.

Gil H. Untalan, Commissioner: Republican; born September 1, 1924, in Agana, Guam; LaSalle Correspondence University, Teachers Preparatory College in Guam; teacher, bank teller, Special Assistant to Administrator for Budget and Finance, hospital controller, Chairman of May Fair Association and Valentine Queen Association; assumed Commissionership September 18, 1979, current term ends September 1983; married (Rosario), eight children.

Vacancy, Commissioner.

### II. KEY STAFF

Robert E. Peryon, Administrative Director
Juana Q. Siongco, Administrative Officer
Marie C. Torres, Clerk Typist

Nacrina A. Toves, Secretary
Manuel G. Berenguel, Investigator

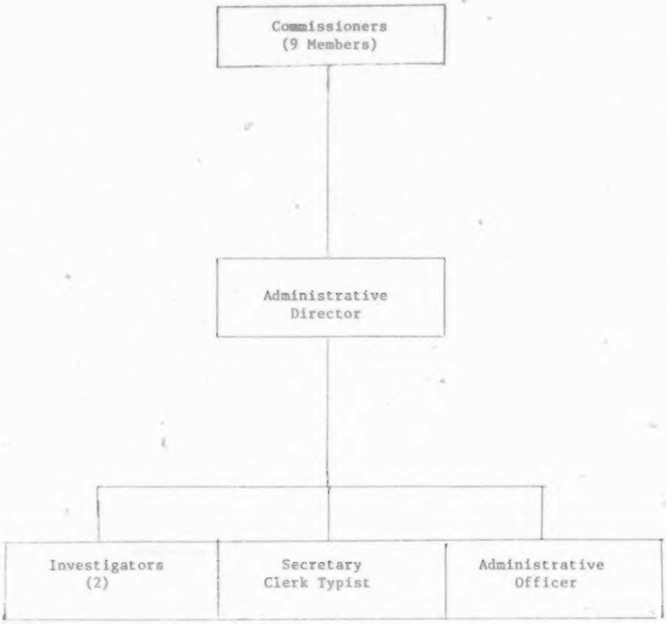
### III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year Ended September 30, 1979

| | |
|---|---|
| RECEIPTS | |
| General Fund Appropriation | $ 52,582 |
| Assessments of Regulated Companies | 92,816 |
| Total | $145,398 |
| EXPENDITURES | |
| Personnel Salaries | $ 35,950 |
| Personnel Benefits | 3,726 |
| Travel | - 0 - |
| Contractual Services: | |
| Office Space Rent | 8,288 |
| Printing | 147 |
| Xerox 2600 | 591 |
| IBM Memory 50 | 952 |
| IBM Electronic 60 | 249 |
| Advertisement | 1,228 |
| Postage | 107 |
| Dues and Subscription | 548 |
| Legal and Professional Services | 17,569 |
| Commissioners' Compensation | 11,400 |
| Telephone and Telegraph | 600 |
| Supplies and Materials | 1,805 |
| Equipment | 5,880 |
| Total | $ 89,040 |

## GUAM PUBLIC UTILITIES COMMISSION

### IV. ORGANIZATION CHART


Commissioners
(9 Members)
Administrative
Director
Investigators
(2)
Secretary
Clerk Typist
Administrative
Officer

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings for Year Ended December 31, 1979

| Number of Proceedings: | GTA Telephone | GPA Electric | Sewer | Totals |
|---|---|---|---|---|
| Instituted in 1979 | 1 | 2 | 1 | 4 |
| Concluded in 1979 | 1 | 1 | 1 | 3 |
| Pending as of 12/31/79 | - | 1 | - | 1 |
| Number of Hearings in 1979 | 1 | 1 | 1 | 3 |

GTA - Guam Telephone Authority

GPA - Guam Power Authority

## HAWAII PUBLIC UTILITIES COMMISSION

Office - 1164 Bishop Street, Suite 911, Honolulu, Hawaii 96813, Area Code (808) 548-3990

### I. COMMISSIONERS

Albert Q. Y. Tom, Chairman: born April 27, 1921, in Honolulu, Hawaii; University of Hawaii, Massachusetts Institute of Technology; president of engineering consultant firm; assumed Commissionership June 1, 1976, current term ends December 31, 1981; married(Priscilla), four children.

Sunao Kido, Commissioner: Democrat; born August 8, 1923, in Haiku, Maui, Hawaii; University of Hawaii (BA), University of Southern California; Regimental Combat Team (1943-45), real estate broker, Deputy Chairman of Board of Land and Natural Resources (1963-68), Chairman of such Board (1968-74); assumed Commissionership June 2, 1976, current term ends December 31, 1983; married (Ellen), two children.

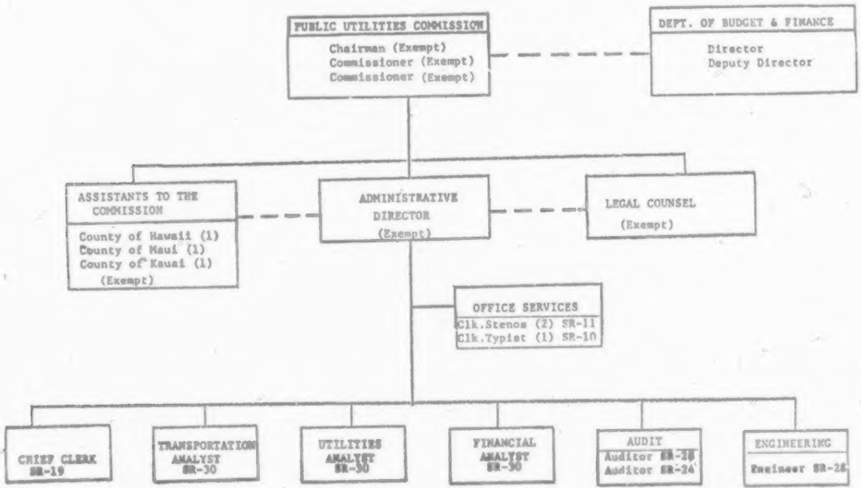
Robert H. Rath, Sr., Commissioner: Republican; born July 17, 1915, in Honolulu, Hawaii; University of Hawaii; executive of Union Oil Company of California (35-1/2 years), President of Oceanic Institute of Hawaii, Board of Directors of J.B.G. Corporation of American Security Bank, Member of Governor's Energy Task Force, President of Health Facilities Planning Council; assumed Commissionership June 2, 1976, current term ends December 31, 1979; married (Jacqueline), three children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administrator Director | 1 | Melvin S. Ishihara |
| Clerical | 3 | Shirley Nakamura |
| Audit | 2 | Paul T. Shigenaga |
| Financial Analyst | 1 | Harold N. Honda |
| Engineering | 1 | Norman Lee |
| Legal | 1* | Harry Kim |
| Transportation Specialist | 1 | Lawrence T. Hiranaka |
| Utilities Specialist | 1 | LeRoy Yuen |
| Chief Clerk | 1 | Bertha F. Kurosawa |
| County Commission Assistants | 3 | - - - |
| Total | 15 | |

*Contractual Basis

### III. ORGANIZATION CHART


PUBLIC UTILITIES COMMISSION
Chairman (Exempt)
Commissioner (Exempt)
Commissioner (Exempt)
DEPT. OF BUDGET & FINANCE
Director
Deputy Director
ASSISTANTS TO THE COMMISSION
County of Hawaii (1)
County of Maui (1)
County of Kauai (1)
(Exempt)
ADMINISTRATIVE DIRECTOR
(Exempt)
LEGAL COUNSEL
(Exempt)
OFFICE SERVICES
Clk.Stenos (2) SR-11
Clk.Typist (1) SR-10
CHIEF CLERK
SR-19
TRANSPORTATION ANALYST
SR-30
UTILITIES ANALYST
SR-30
FINANCIAL ANALYST
SR-30
AUDIT
Auditor SR-28
Auditor SR-24
ENGINEERING
Engineer SR-28

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by the PUC, Fiscal Year Ended June 30, 1979

| Receipts | | Expenditures | |
|---|---|---|---|
| General Fund Appropriation | $491,842 | Total Expenditures | $491,842 |
| Total | $491,842 | | |

## HAWAII PUBLIC UTILITIES COMMISSION

### V. CASES PROCESSED BY THE COMMISSION

During fiscal year 1978-1979, 304 dockets were filed with the Commission and 555 Decision and Orders were issued. The statistics below indicate the activity level of the Commission during the period July 1, 1978 to June 30, 1979:

| Relating To | Dockets Filed During FY 78-79 | Completed Dockets Filed In FY 78-79 | Uncompleted Dockets Filed In FY 78-79 | Uncompleted Dkts. Filed Prior To 7/1/78 | Pending Dockets As of 6/30/79 |
|---|---|---|---|---|---|
| Motor Carriers | 236 | 170 | 66 | 7 | 73 |
| Water Carriers | 7 | 7 | 0 | 0 | 0 |
| Airlines | 0 | 0 | 0 | 0 | 0 |
| Utilities | 59 | 38 | 21 | 5 | 26 |
| PUC Rules and Regulations | 2 | 0 | 2 | 0 | 2 |
| TOTALS | 304 | 215 | 89 | 12 | 101 |

### VI. COMPLAINTS

Records of informal complaints on service and billings are maintained at the Investigation and Compliance Section of the Consumer Advocate Division, Department of Regulatory Agencies, and at this office. For FY 1978-1979, there were 183 complaints relating to customer service and billings of which 48 referred to communications, 74 to electric and gas utilities, and 61 to transportation carriers.

# IDAHO PUBLIC UTILITIES COMMISSION

Office - Statehouse, Boise, Idaho 83720, Area Code (208) 334-3143

## I. COMMISSIONERS

Conley Ward, Jr., President, 334-3427: Democrat; born August 16, 1947, in Nampa, Idaho; Columbia University (BA), University of Colorado (JD); Idaho Assistant Attorney General (1974-76), private law practice (1976-77); assumed Commissionership March 7, 1977, current term ends January 1, 1983; married (Gail).

Ralph H. Wickberg, Commissioner, Republican; born August 27, 1915, in St. Maries, Idaho; U.S. Navy Electrician's Mate in World War II, State Representative, electric appliance and contracting businessman, grocery retail businessman; assumed Commissionership January 14, 1959, current term ends January 1981; widower, four children.

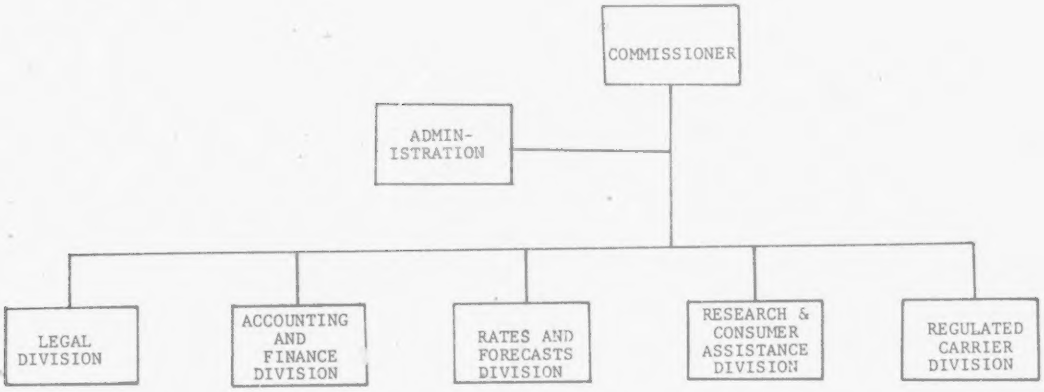
Perry Swisher, Commissioner, 334-7788: Democrat; born September 21, 1923, in Bruneau, Idaho; Idaho State University (BA); newspaperman and editor (1943-67),(1977-79), State representative (1952-58), (1975-76) , State senator (1962-66), member of Idaho Advisory Committee to U.S. Civil Rights Commission (1963-79), member of Idaho Constitutional Revision Commission (1965-71), member of Pocatello City Council (1969-73), Director of Special Services at Idaho State University (1969-77), Chairman of Governor's Blue Ribbon Commission on Taxation (1976-78); assumed Commissionership April 16, 1979, current term ends March 1985; married (Nicky), two sons.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Legal | 8 | John McMahon |
| Accounting | 9 | Archie Holbert |
| Rates and Forecasts | 7 | John Willmorth |
| Consumer Services | 6 | Curt Winterfeld |
| Motor Carriers | 11 | James Kincaid |
| Fiscal | 5 | Dorothy Stanley |
| Administration | 8 | Myrna Walters, Commission Secretary |
| Total | 54 | |

## III. ORGANIZATION CHART

### BASE ORGANIZATION CHART


COMMISSIONER
ADMIN-ISTRATION
LEGAL DIVISION
ACCOUNTING AND FINANCE DIVISION
RATES AND FORECASTS DIVISION
RESEARCH & CONSUMER ASSISTANCE DIVISION
REGULATED CARRIER DIVISION

## IDAHO PUBLIC UTILITIES COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures of Idaho Public Utilities Commission, Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| Assessment of Regulated Utilities | $1,020,599 |
| Revenue from Regulated Carriers | 521,480 |
| General Fund Appropriation | 166,000 |
| Federal Grants | 13,156 |
| Other Receipts (e.g., pamphlet sales, copying fees) | 5,505 |
| Total | $1,726,740 |

| Expenditures | |
|---|---|
| Salaries and Wages | $780,592 |
| Total Operating Expenditures (includes postage and telephone, consulting, vehicles, building lease, supplies and materials) | 434,683 |
| Personal Benefits (Social Security, Retirement) | 154,731 |
| Travel | 22,384 |
| Total | $1,392,390 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Idaho PUC for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Electric | Gas | Combination Electric and Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | |
| Pending as of 1/1/79 | 67 | 4 | 1 | 15 | 30 | 3 | - | 11 | 131 |
| Instituted in 1979 | 348 | 40 | 18 | 14 | 25 | 11 | 3 | 9 | 468 |
| Concluded in 1979 | 343 | 43 | 16 | 8 | 30 | 11 | 3 | 7 | 461 |
| Pending as of 12/31/79 | 72 | 1 | 3 | 21 | 25 | 3 | - | 13 | 138 |
| Number of Hearings in 1979 | 118 | 12 | 1 | 13 | 15 | 8 | 3 | 5 | 175 |
| Number of Hearing Days in 1979 | 119 | 12 | 1 | 19 | 25 | 16 | 3 | 6 | 201 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Combination Electric and Gas | Water |
|---|---|---|---|---|---|
| Service | 450 | 130 | 28 | 32 | 18 |
| Tariff | 92 | 83 | 42 | 5 | 10 |
| Billing | 301 | 230 | 78 | 18 | 38 |
| Miscellaneous | 171 | 271 | 78 | 2 | 15 |
| Totals | 1,014 | 714 | 226 | 57 | 81 |

## ILLINOIS COMMERCE COMMISSION

Main Office - Leland Building, 527 East Capitol Avenue, Springfield, Illinois 62706, Area Code (217) 782-7295

Regional Office - 160 North LaSalle Street, Chicago, Illinois 60601, Area Code (312) 793-2844

### I. COMMISSIONERS

Michael V. Hasten, Chairman, 782-5778 Springfield, 793-2843 Chicago: born September 16, 1946, in Chicago, Illinois; Loyola University (JD); private law practice, Assistant Director and Chief Counsel of Illinois Department of Insurance, Special Assistant to the Governor, Governor's Cost Control Task Force; assumed Commissionership March 16, 1979, current term ends January 1983; married (Sara).

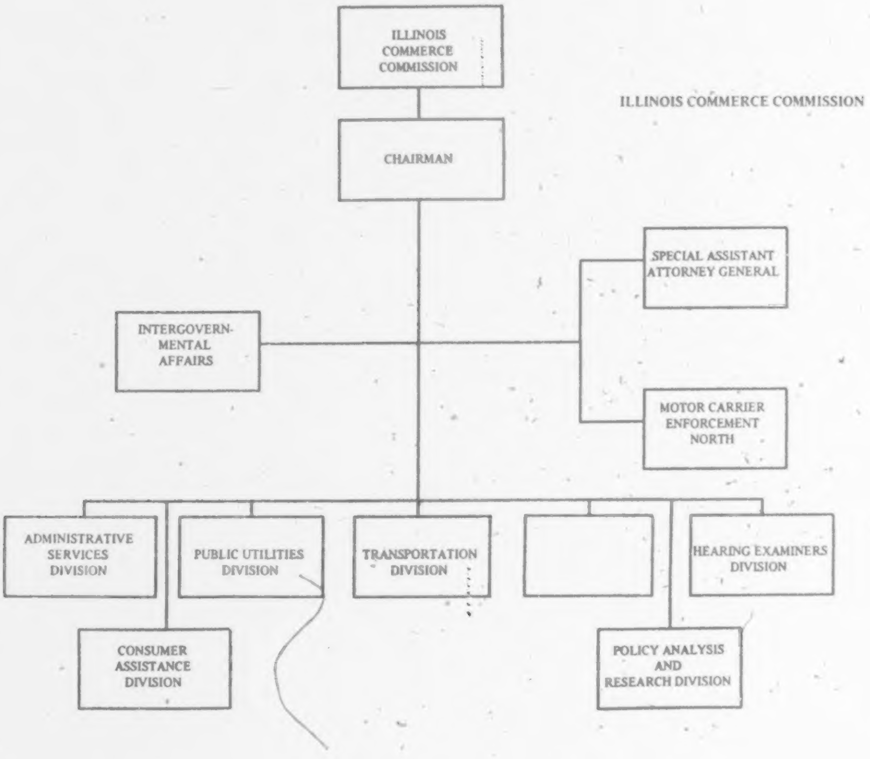
Helen D. Schmid, Commissioner, 793-2851 Chicago, 782-7701 Springfield: born in Duluth, Minnesota; New York University, University of Maryland, University of Chicago; editor, public relations, county board of supervisors, Chairman of State Board of Vocational Education and Rehabilitation, Assistant Director of State Department of Revenue; assumed Commissionership 1973, current term ends January 1983; married (Alfred), three children.

Charles G. Stalon, Commissioner, 782-6154 Springfield, 793-2852 Chicago: Democrat; born October 26, 1929, in Cape Girardeau, Missouri; Butler University (BA), Purdue University (MS, PhD); U.S. Navy (1947-48, 1952-54), FPC Research Economist (1969-70), economics professor at Southern Illinois University (1963-77), author; assumed Commissionership September 15, 1977, current term ends January 1981, married (Marie), two children.

Andrew C. Barrett, Commissioner, 793-2854 Chicago, 782-7701 Springfield; born in Chicago, Illinois; Loyola University (BA, MA), DePaul University (JD); Associate Director of the National Conference of Christians and Jews, Director of NAACP Chicago Branch, Director of Operations of the Illinois Law Enforcement Commission, Deputy Director of the Illinois Department of Commerce and Community Affairs; assumed Commissionership May 1980, current term ends January 1985; single.

Vacancy, Commissioner.

### II. ORGANIZATION CHART


ILLINOIS COMMERCE COMMISSION
ILLINOIS COMMERCE COMMISSION
CHAIRMAN
SPECIAL ASSISTANT ATTORNEY GENERAL
INTERGOVERNMENTAL AFFAIRS
MOTOR CARRIER ENFORCEMENT NORTH
ADMINISTRATIVE SERVICES DIVISION
PUBLIC UTILITIES DIVISION
TRANSPORTATION DIVISION
HEARING EXAMINERS DIVISION
CONSUMER ASSISTANCE DIVISION
POLICY ANALYSIS AND RESEARCH DIVISION

# ILLINOIS COMMERCE COMMISSION

## III. RECEIPTS AND EXPENDITURES

Appropriations and Expenditures, Fiscal Year Ended June 30, 1979 (Dollars in Thousands)

| BR-5 APPROPRIATIONS REQUIRING GENERAL ASSEMBLY ACTION FOR OPERATIONS | FISCAL YEAR 1979 | |
|---|---|---|
| | OBLIGATIONAL AUTHORITY ACTUAL | EXPENDITURES ACTUAL |
| TOTAL | $ 6,795.4 | $ 6,283.5 |
| DIVISIONS: | | |
| GAS PIPELINE SAFETY DIVISION | 290.3 | 264.5 |
| ELECTRONIC DATA PROCESSING | ........ | ........ |
| EMERGENCY TELEPHONE SYSTEMS | 28.7 | 28.1 |
| MOTOR CARRIERS DIVISION | 2,128.3 | 1,994.1 |
| PUBLIC UTILITIES DIVISION | 4,348.2 | 3,996.9 |
| GAS PIPELINE SAFETY DIVISION | 290.3 | 264.5 |
| PUBLIC UTILITY FUND | 189.7 | 167.8 |
| GRANT-IN-AID FOR GAS PIPELINE SAFETY FUND | 100.6 | 96.7 |
| PERSONAL SERVICES | | |
| PUBLIC UTILITY FUND | 116.2 | 113.8 |
| RETIREMENT CONTRIBUTIONS | | |
| PUBLIC UTILITY FUND | 8.9 | 8.8 |
| SOCIAL SECURITY | | |
| PUBLIC UTILITY FUND | 7.0 | 6.9 |
| GROUP INSURANCE | | |
| PUBLIC UTILITY FUND | 7.3 | 4.4 |
| CONTRACTUAL SERVICES | | |
| PUBLIC UTILITY FUND | 9.5 | 2.2 |
| TRAVEL | | |
| PUBLIC UTILITY FUND | 34.8 | 28.8 |
| COMMODITIES | | |
| PUBLIC UTILITY FUND | 1.0 | 0.9 |
| EQUIPMENT | | |
| PUBLIC UTILITY FUND | 1.0 | 0.7 |
| TELECOMMUNICATIONS SERVICES | | |
| PUBLIC UTILITY FUND | 4.0 | 1.3 |
| REIMBURSE PUBLIC UTILITY FUND GRANT-IN-AID FOR GAS PIPELINE SAFETY FUND | 100.6 | 96.7 |
| ELECTRONIC DATA PROCESSING | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| PERSONAL SERVICES | | |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| RETIREMENT CONTRIBUTIONS | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| SOCIAL SECURITY | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| GROUP INSURANCE | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| CONTRACTUAL SERVICES | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| TRAVEL | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| EQUIPMENT | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| ELECTRONIC DATA PROCESSING | ........ | ........ |
| MOTOR VEHICLE FUND | ........ | ........ |
| PUBLIC UTILITY FUND | ........ | ........ |
| EMERGENCY TELEPHONE SYSTEMS | | |
| PUBLIC UTILITY FUND | 28.7 | 28.1 |
| PERSONAL SERVICES | ........ | ........ |
| RETIREMENT CONTRIBUTIONS | ........ | ........ |
| SOCIAL SECURITY | ........ | ........ |
| GROUP INSURANCE | ........ | ........ |
| CONTRACTUAL SERVICES | ........ | ........ |
| TRAVEL | ........ | ........ |
| COMMODITIES | ........ | ........ |
| PRINTING | ........ | ........ |
| EQUIPMENT | ........ | ........ |
| TELECOMMUNICATIONS SERVICES | ........ | ........ |
| EMERGENCY TELEPHONE SYSTEMS | 28.7 | 28.1 |
| MOTOR CARRIERS DIVISION | | |
| MOTOR VEHICLE FUND | 2,128.3 | 1,994.1 |
| PERSONAL SERVICES | 1,244.1 | 1,234.8 |
| RETIREMENT CONTRIBUTIONS | 96.6 | 95.7 |
| SOCIAL SECURITY | 53.2 | 37.3 |
| GROUP INSURANCE | 74.7 | 48.1 |
| CONTRACTUAL SERVICES | 308.3 | 275.6 |
| TRAVEL | 87.1 | 78.6 |
| COMMODITIES | 20.0 | 15.3 |
| PRINTING | 48.3 | 40.7 |
| EQUIPMENT | 35.3 | 33.2 |
| ELECTRONIC DATA PROCESSING | 105.3 | 82.1 |
| TELECOMMUNICATIONS SERVICES | 44.2 | 43.9 |
| OPERATION AUTO EQUIPMENT | 11.2 | 8.9 |
| PUBLIC UTILITIES DIVISION | 4,348.2 | 3,996.9 |
| PUBLIC UTILITY FUND | 4,156.9 | 3,866.0 |
| ILLINOIS COMMERCE COMMISSION POWER PLANT PRODUCTIVITY GRANT FUND | 135.3 | 89.7 |
| ILLINOIS COMMERCE COMMISSION RAILROAD SAFETY FUND | 56.0 | 47.2 |
| PERSONAL SERVICES | | |
| PUBLIC UTILITY FUND | 2,678.1 | 2,571.9 |
| RETIREMENT CONTRIBUTIONS | | |
| PUBLIC UTILITY FUND | 207.8 | 199.6 |
| SOCIAL SECURITY | | |
| PUBLIC UTILITY FUND | 128.4 | 107.4 |
| GROUP INSURANCE | | |
| PUBLIC UTILITY FUND | 118.9 | 79.8 |
| CONTRACTUAL SERVICES | | |
| PUBLIC UTILITY FUND | 551.6 | 488.2 |
| TRAVEL | | |
| PUBLIC UTILITY FUND | 181.3 | 157.1 |
| COMMODITIES | | |
| PUBLIC UTILITY FUND | 35.1 | 21.6 |
| PRINTING | | |
| PUBLIC UTILITY FUND | 33.3 | 19.0 |
| EQUIPMENT | | |
| PUBLIC UTILITY FUND | 68.4 | 66.5 |
| ELECTRONIC DATA PROCESSING | | |
| PUBLIC UTILITY FUND | 61.3 | 57.7 |
| TELECOMMUNICATIONS SERVICES | | |
| PUBLIC UTILITY FUND | 89.7 | 89.1 |
| OPERATION AUTO EQUIPMENT | | |
| PUBLIC UTILITY FUND | 3.0 | 2.0 |
| ELECTRIC POWER PLANT PRODUCTIVITY STUDY | | |
| ILLINOIS COMMERCE COMMISSION POWER PLANT PRODUCTIVITY GRANT FUND | 135.3 | 89.7 |
| RAILROAD SAFETY INSPECTION | | |
| PUBLIC UTILITY FUND | ........ | ........ |
| REIMBURSE PUBLIC UTILITY FUND | | |
| ILLINOIS COMMERCE COMMISSION RAILROAD SAFETY FUND | 56.0 | 47.2 |

ILLINOIS COMMERCE COMMISSION

## IV. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Chairman & Commissioners | 11 | Michael V. Hasten |
| Administration | 39 | David Gibson, Acting |
| Special Assistant Attorney General | 8 | Hercules Bolos |
| Chief Clerk | 15 | Rose Claggett |
| Consumer Affairs | 14 | Gerald Keenan |
| Hearings: | | |
| Chicago | 17 | Robert Tarrel |
| Springfield | 16 | W. S. Claggett |
| Policy Analysis & Research | 5 | Charles Teclaw, Acting |
| Transportation | 50 | David Gibson |
| Railroad | 17 | Ray L. Peterson |
| M.C. Enforcement, North | 16 | Sam Viverito |
| Utilities | 2 | |
| Accounting | 25 | Neill Dimmick |
| Engineering | 40 | Harold E. Shutt |
| TOTAL | 274 | |

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings for Year Ended December 31, 1979

| | Motor Freight Carriers | Total Utilities | Totals |
|---|---|---|---|
| Number of Proceedings: | | | |
| Instituted in 1979 | 7,767 | 757 | 8,524 |
| Concluded in 1979 | 10,108 | 1,060 | 11,168 |
| Pending as of 12/31/79 | 450 | 552* | 1,002 |
| Number of Hearings in 1979 | 2,557 | | |
| Number of Orders Appealed to Courts in 1979 | 12 | 11 | 23 |

*As of 12/18/79

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Railroads |
|---|---|
| Service | 69 |
| Safety | 216 |
| Miscellaneous | 166 |
| Total | 451 |

| Utilities | Total Complaints |
|---|---|
| Telephone | 2,739 |
| Electric | 5,467 |
| Gas | 6,752 |
| Combination Water and Sewer | 386 |
| Total | 15,344 |

## INDIANA PUBLIC SERVICE COMMISSION

Office - 901 Indiana State Office Building, Indianapolis, Indiana 46204, Area Code (317),
Information: 232-2701

### I. COMMISSIONERS

Larry J. Wallace, Chairman, 232-2704: Republican; born October 2, 1937, in Bellefontaine, Ohio; Indiana University (AB in Economics, LLB); State Representative, private law practice; assumed Commissionership April 15, 1974; reappointed to second four-year term as Chairman; current term ends April 1, 1981; married, three children.

William B. Powers, Commissioner, 232-2706: Republican; born December 3, 1929, in Salem, Indiana; Butler University (BA), Indiana University (JD); attorney, PSC Deputy Commissioner, U. S. Navy; assumed Commissionership April 1, 1971, current term ends April 1, 1978; married, one child.

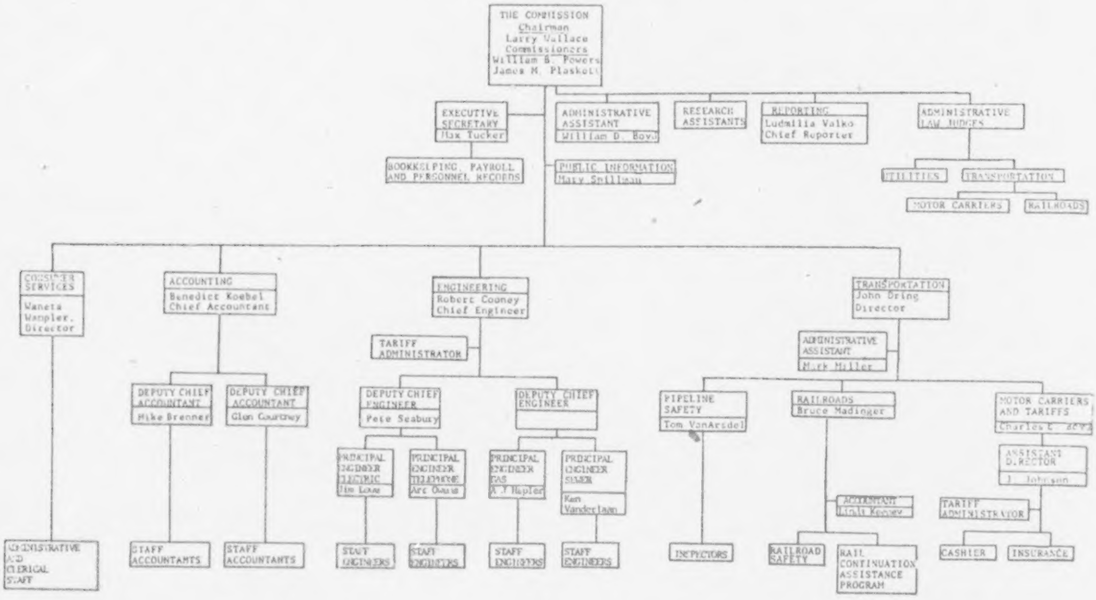
James M. Plaskett, Commissioner, 232-2702: Democrat; born February 4, 1909, in New Washington, Indiana; Hanover College (AB); Army Engineer in World War II, professional engineer, school teacher, county sheriff, county surveyor, State Highway Commission, State senator; assumed Commissionership January 6, 1975, current term ends April 1, 1983.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Commissioners' Office | 15 | Larry Wallace, Chairman |
| Accounting | 17 | Ben Koebel, Chief Accountant |
| Executive Secretary | 6 | Max Tucker, Executive Secretary |
| Engineering | 18 | Robert Cooney, Chief Engineer |
| Reporting | 4 | Ludmilia Yalko, Chief Reporter |
| Motor Carriers | 13 | Michael Jacks, Director |
| Railroads | 7 | Bruce Madinger, Director |
| Consumer Affairs | 5 | Waneta Wampler, Director |
| Transportation | 8 | John Dring, Director |
| Pipeline Safety | 3 | |
| Total | 96 | |

### III. ORGANIZATION CHART

PUBLIC SERVICE COMMISSION OF INDIANA


THE COMMISSION
Chairman
Larry Wallace
Commissioners
William B. Powers
James M. Plaskett
EXECUTIVE SECRETARY
Max Tucker
BOOKKEEPING, PAYROLL AND PERSONNEL RECORDS
ADMINISTRATIVE ASSISTANT
William D. Boyd
PUBLIC INFORMATION
Mary Spillman
RESEARCH ASSISTANTS
REPORTING
Ludmilia Valko
Chief Reporter
ADMINISTRATIVE LAW JUDGES
UTILITIES
TRANSPORTATION
MOTOR CARRIERS
RAILROADS
CONSUMER SERVICES
Waneta Wampler, Director
ADMINISTRATIVE AND CLERICAL STAFF
ACCOUNTING
Benedict Koebel
Chief Accountant
DEPUTY CHIEF ACCOUNTANT
Mike Brenner
DEPUTY CHIEF ACCOUNTANT
Glen Courtney
STAFF ACCOUNTANTS
STAFF ACCOUNTANTS
ENGINEERING
Robert Cooney
Chief Engineer
TARIFF ADMINISTRATOR
DEPUTY CHIEF ENGINEER
Pete Seabury
DEPUTY CHIEF ENGINEER
PRINCIPAL ENGINEER ELECTRIC
Jim Lowe
PRINCIPAL ENGINEER TELEPHONE
Arc Owens
PRINCIPAL ENGINEER GAS
A. J. Hapler
PRINCIPAL ENGINEER SEWER
Ken Vanderlaan
STAFF ENGINEERS
STAFF ENGINEERS
STAFF ENGINEERS
STAFF ENGINEERS
TRANSPORTATION
John Dring
Director
ADMINISTRATIVE ASSISTANT
Mark Miller
PIPELINE SAFETY
Tom VanArsdel
INSPECTORS
RAILROADS
Bruce Madinger
ACCOUNTANT
Linda Kenney
RAILROAD SAFETY
RAIL CONTINUATION ASSISTANCE PROGRAM
MOTOR CARRIERS AND TARIFFS
ASSISTANT DIRECTOR
J. Johnson
TARIFF ADMINISTRATOR
CASHIER
INSURANCE

INDIANA PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures by Indiana PSC as of June 30, 1979

| | |
|---|---|
| **Receipts** | |
| General Fund Appropriation | -0- |
| Assessments of Regulated Companies | $3,023,869* |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 58,349 |
| Railroad Safety | 13,697 |
| Other Receipts: | |
| Motor Carrier Receipts | 1,445,760 |
| Investigations and Hearings | 360,476 |
| Total | $4,902,151 |
| **Expenditures** | |
| Salaries and Wages | $1,765,100 |
| Supplies and Materials | 20,519 |
| Postage | 85,675 |
| Travel Expense | 101,688 |
| Printing and Binding | 36,321 |
| Equipment | 22,428 |
| Total | $2,031,731 |

*Includes funds to cover cost of operation of office of Public Counselor.

## V. VOLUME OF PROCEEDINGS

### Volume of Proceedings Before the PSC for Year Ended June 30, 1979

| | PURPA | Tariff | Railroads | Telephone | Electric | Gas | Water | Sewer | Miscellaneous | Totals |
|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | |
| Pending as of 7/1/78 | 0 | 0 | 42 | 22 | 33 | 3 | 44 | 13 | 1 | 158 |
| Instituted in 78-79 | 5 | 17 | 31 | 36 | 128 | 12 | 79 | 18 | 19 | 345 |
| Concluded in 78-79 | 0 | 15 | 38 | 37 | 103 | 10 | 88 | 18 | 17 | 326 |
| Pending as of 6/30/79 | 5 | 2 | 35 | 21 | 58 | 5 | 35 | 13 | 3 | 177 |
| Number of Hearings in 1979 | 0 | 15 | 48 | 42 | 75 | 15 | 97 | 26 | 10 | 328 |
| Number of Hearing Days in 1979 | 0 | 20 | 73 | 65 | 119 | 25 | 114 | 38 | 10 | 464 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water | Sewer |
|---|---|---|---|---|---|
| Service | 334 | 52 | 36 | 20 | 1 |
| Tariff | 33 | 5 | 4 | 16 | 2 |
| Billing | 129 | 169 | 82 | 115 | 2 |
| Safety | 1 | 1 | 1 | 1 | 2 |
| Miscellaneous | 55 | 16 | 12 | 13 | 3 |
| Total | 552 | 243 | 135 | 165 | 10 |

# IOWA STATE COMMERCE COMMISSION

Office - State Capitol, Des Moines, Iowa 50319, Area Code (515) 281-5309

## I. COMMISSIONERS

Andrew Varley, Chairman, 281-5167: Republican; born December 2, 1934, in Stuart, Iowa; Iowa State University (BS), North Carolina State University (MS); North Carolina State University Staff, President of Pine View Angus Farms, Inc. (1961-Present), State Representative (1967-78), Speaker of the House (1973-74); assumed Commissionership December 7, 1979, current term ends June 30, 1983; married (Marilyn), four sons.

Fred H. Moore, Commissioner, 281-5325: Democrat; born May 14, 1920, in Spencer, Iowa; Georgetown University (BA), College of Law of University of Iowa; U.S. Army, owner of a finance company, insurance and real estate businessman, Chairman of Iowa Board of Parole; assumed Commissionership January 25, 1972, current term ends July 1, 1985; married (Helen), three daughters.

Christine A. Hansen, Commissioner, 281-5896: Republican; born July 6, 1946, in Davenport, Iowa; Drake University (BA, JD), Georgetown University (LLM); Member of Iowa Crime Commission (1978), Member of Governor's Ad Hoc Committee on the Commission for the Blind (1978), FBI Special Agent (5 years), newspaper reporter (5 years), Assistant County Prosecutor, Attorney for HUD Office of General Counsel; assumed Commissionership April 14, 1980, current term ends July 1, 1981.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Commissioners | 6 | Andrew Varley |
| Executive Secretary | 5 | John E. Hensel |
| Utilities Division | 73 | Raymond K. Vawter, Jr. |
| Grain Warehouse Division | 27 | E. Wallace Dick |
| Commerce Counsel | 17 | James Maret |
| Sections Under Util. Div. | | |
| Administration/Complaints | 12 | William Terrill |
| Engineering | 26 | Robert Buckley |
| Accounting/Finance | 35 | Robert Latham |
| TOTAL | 128 | |

## III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures for Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $1,958,622.00 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 11,402.61 |
| Railroad Safety (DOE Project) | 107,228.66 |
| Other Receipts: | |
| Miscellaneous Reimbursements | 3,994.84 |
| Total | $2,081,198.11 |

| Expenditures | |
|---|---|
| Salaries and Wages | $1,267,059.47 |
| Supplies and Materials | 74,210.99 |
| Postage | 10,749.62 |
| Telephone and Telegraph | 23,906.18 |
| Travel Expense | 51,763.64 |
| Motor Vehicle Operation | 26,364.30 |
| Dues and Professional Services | 139,457.79 |
| Equipment | 4,296.88 |
| Contributions to Retirement System | 57,311.17 |
| Contributions to Social Security | 64,605.82 |
| Rental Equipment | 25,745.58 |
| Total | $1,745,471.44 |

IOWA STATE COMMERCE COMMISSION

## IV. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before SCC for Year Ended December 31, 1979

| | Telegraph | Telephone | Electric 1/ | Electric 2/ | Gas | Combination Electric and Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Pending as of 1/1/79 | 0 | 12 | 242 | 25 | 9 | 4 | 8 | 300 |
| Instituted in 1979 | 0 | 12 | 322 | 44 | 11 | 10 | 5 | 404 |
| Concluded in 1979 | 0 | 8 | 395 | 48 | 14 | 12 | 8 | 485 |
| Pending as of 12/31/79 | 0 | 16 | 169 | 21 | 6 | 2 | 5 | 219 |
| Number of Hearings in 1979 | 0 | 2 | 11 | 24 | 13 | 1 | 2 | 53 |
| Number of Hearing Days in 1979 | 0 | 2 | 18 | 34 | 17 | 1 | 2 | 74 |
| Number of Orders Appealed to Courts in 1979 | 0 | 1 | 5 | 1 | 2 | 0 | 1 | 10 |

1/ Franchises. 2/ All other proceedings.

## V. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water |
|---|---|---|---|---|
| Service | 223 | 87 | 80 | 6 |
| Tariff | 91 | 145 | 83 | 10 |
| Miscellaneous | 91 | 106 | 79 | 2 |
| Total | 405 | 338 | 242 | 18 |

# IOWA TRANSPORTATION REGULATION BOARD

Office - Department of Transportation, 300 Fourth Street, Des Moines, Iowa 50319, Area Code (515) 281-3631

## I. COMMISSIONERS

Jack W. Linge, Chairman, 281-6365: Republican; born September 14, 1941, in Ottumwa, Iowa; University of Iowa (LLB); Hearing Officer in Iowa Department of Public Safety (1972-73), Assistant Commissioner (motor vehicle divisions) Iowa Department of Public Safety (1973-74), Iowa Assistant Attorney General (1974-78); assumed Membership March 27, 1978, current term ends July 1, 1982.

Conrad A. Amend, Member, 281-3632: Republican; born February 27, 1924, in Des Moines, Iowa; University of Iowa (Bachelor of Commercial Science), Drake University (Doctor of Jurisprudence); U.S. Navy in World War II, Iowa SCC Commerce Counsel (1955-59), Assistant U.S. Attorney (1959-61), ICC Bureau of Enforcement Regional Attorney (1961-67), Deputy Chief of Motor Carrier Safety Law Enforcement Division of FHWA (U.S. DOT) (1967-71), Director of Motor Transportation Division of Iowa SCC (1972-75); assumed Membership July 1, 1975, current term ends June 30, 1981; four children.

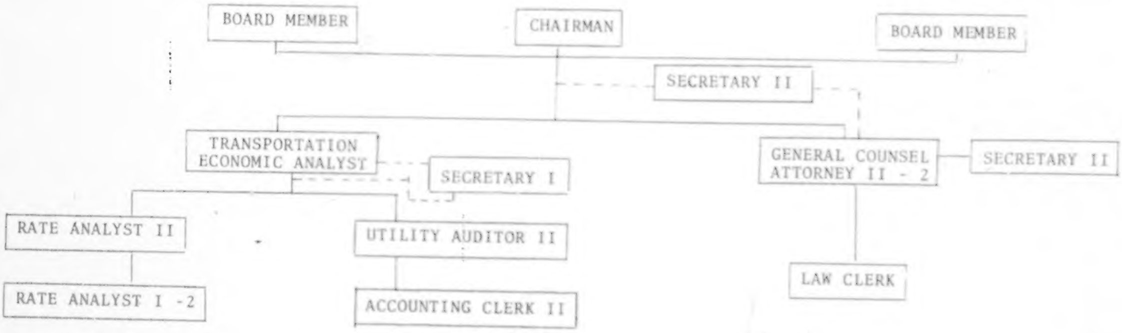
Kristine K. Grimm, Member, 281-6366: Independent; born November 13, 1947, in Des Moines, Iowa; Drake University (Management/Labor Relations), College of Advanced Traffic at LaSalle University; Secretary and Director of Traffic for Rock Island Motor Transit Co. (1967-70), RIMTC Senior Revising Rate Clerk (1970-77), RIMTC District Traffic Representative (1977-78), District Sales and Operations Manager for Ideal Truck Lines (1978-80), State Secretary for Iowa Employment Security Commission (1966), Charter Board Member of Professional Women in Transportation (1971), Treasurer of Common Motor Carriers Association (1979); assumed Membership March 24, 1980, current term ends June 30, 1985; married (Jack), two children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 3 | Jina M. Hill |
| Economics | 3 | Joseph W. Murphy |
| Legal | 5 | T. Scott Bannister |
| Compliance | 2 | Thomas M. Daugherty |
| Total | 13 | |

## III. ORGANIZATION CHART

TRANSPORTATION REGULATION BOARD



Total authorized positions - 15

Note: The organization of the Transportation Regulation Board of Iowa has undergone one major organization change since 1979. A compliance section staffed by two individuals has been added to the agency's organization. This new section was added for the purpose of processing and expediting complaints by persons within or affected by the regulated transportation industry in Iowa.

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by Iowa TRB as of December 31, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $ 68,840 |
| Road Use Tax | 275,360 |
| Total | $344,200 |

| Expenditures | |
|---|---|
| Salaries and Wages | $176,000 |
| Supplies and Materials | 720 |
| Postage | 4,200 |
| Telephone and Telegraph | 2,312 |
| Travel Expense | 14,900 |
| Printing and Binding | 3,740 |
| Motor Vehicle Operation | 475 |
| Newspaper Notice Publication | 2,685 |
| Court Reporters | 5,200 |
| Total | $210,232 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before Iowa TRB for Year Ended December 31, 1979

| | Motor Vehicle Franchisers | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Liquid Carriers | Totals |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Pending as of 1/1/79 | 1 | 18 | 3 | 2 | 11 | 35 |
| Instituted in 1979 | 20 | 36 | 37 | 12 | 74 | 179 |
| Concluded in 1979 | 16 | 44 | 25 | 12 | 68 | 165 |
| Pending as of 12/31/79 | 5 | 11 | 14 | 2 | 14 | 46 |
| Number of Hearings in 1979 | (◄ | | | | ►) | 30 |
| Number of Hearing Days in 1979 | (◄ | | | | ►) | 32 |
| Number of Orders Appealed to Courts in 1979 | (◄ | | | | ►) | 6 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers |
|---|---|
| Service | 68 |
| Billing | 5 |
| Safety | 14 |
| Miscellaneous | 48 |
| Total | 135 |

## KANSAS STATE CORPORATION COMMISSION

Main Office - State Office Building, 4th Floor, Topeka, Kansas 66612, Area Code (913) 296-3355

Regional Offices - Conservation Division, State Corporation Commission, 245 North Water Street, Wichita, Kansas 67202

Mined Land Conservation and Reclamation Division, State Corporation Commission, 107 West 11th, Box 1418, Pittsburg, Kansas 66762

Securities Division, State Corporation Commission, Mills Building, Suite 501, Topeka, Kansas 66612

### I. COMMISSIONERS

Richard C. "Pete" Loux, Chairman, 296-3323: Democrat; born April 2, 1929, in Ottawa, Kansas; Wichita State University (BS); certified public accountant, State Representative (1964-75), House Minority Leader (1969-75); assumed Commissionership September 8, 1975, current term ends March 20, 1982.

Jane T. Roy, Commissioner, 296-3325: Democrat; born July 6, 1924, in Rome, New York; Monticello College, Illinois Wesleyan University (BA), Washburn University (JD); Deputy Trustee for State District Court (1976-79); assumed Commissionership July 31, 1979, current term ends March 20, 1983; married (William), six children.

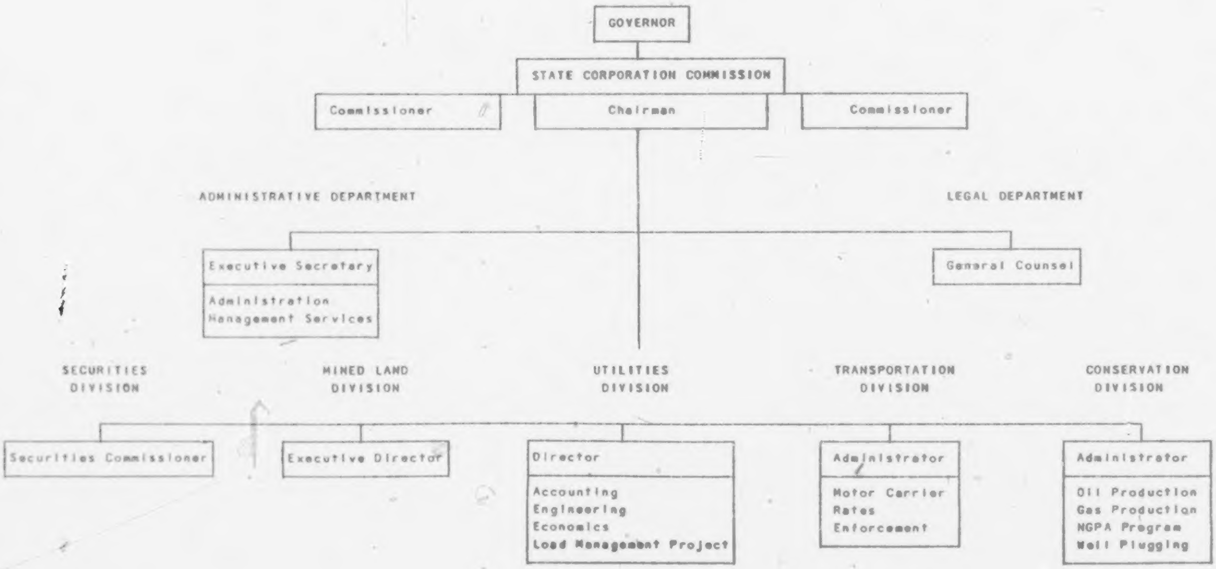
Phillip R. Dick, Commissioner, 296-3325: Republican; born April 13, 1943, in Garden City, Kansas; Fort Hays State College (BS); certified public accountant; assumed Commissionership June 3, 1980, current term ends March 20, 1984; two children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administrative and Legal | 42.5 | Carol J. Larson, Executive Secretary<br>Brian Moline, General Counsel |
| Utilities | 37.5 | Fred B. Adam, Director |
| Transportation | 34 | (Vacant) |
| Securities | 11 | Dwight D. Keen, Securities Commissioner |
| Conservation | 43 | J. Lewis Brock, Administrator, Oil and Gas Division |
| Mined Land | 4 | Delno L. Bass, Executive Director |
| Total | 172 positions | |

### III. ORGANIZATION CHART

KANSAS CORPORATION COMMISSION


GOVERNOR
STATE CORPORATION COMMISSION
Commissioner
Chairman
Commissioner
ADMINISTRATIVE DEPARTMENT
LEGAL DEPARTMENT
Executive Secretary
Administration
Management Services
General Counsel
SECURITIES DIVISION
MINED LAND DIVISION
UTILITIES DIVISION
TRANSPORTATION DIVISION
CONSERVATION DIVISION
Securities Commissioner
Executive Director
Director
Accounting
Engineering
Economics
Load Management Project
Administrator
Motor Carrier
Rates
Enforcement
Administrator
Oil Production
Gas Production
NGPA Program
Well Plugging

## IV. VOLUME OF PROCEEDINGS

Volume of Proceedings Before SCC for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telegraph | Telephone | Electric | Gas | Combination Electric and Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | |
| Pending as of 1/1/79 | 3* | 0 | 9** | - | - | 3 | 2 | 1 | - | 18 |
| Instituted in 1979 | 20 | 2* | 26 | 1 | 57 | 185 | 48 | 1 | 3 | 343 |
| Concluded in 1979 | 20 | 2 | 29 | 1 | 56 | 184 | 50 | 0 | 3 | 345 |
| Pending as of 12/31/79 | 3 | 0 | 6 | - | 1 | 4 | 0 | 2 | 0 | 18 |
| Number of Hearings in 1979 | 17 | 1 | 9 | - | 3 | 25 | 13 | 3 | 1 | 72 |
| Number of Hearing Days in 1979 | 17 | 1 | 9 | - | 10 | 48 | 34 | 4 | 1 | 124 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 0 | - | 1 | 3 | 3 | - | - | 7 |

* Rate Cases.
** Rate and Service cases.

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

Transportation

| | Motor Freight Carriers | Rail Passenger Carriers | Railroads |
|---|---|---|---|
| Service | 18 | 10 | 12 |
| Tariff | 56 | 2 | 8 |
| Claims | 65 | - | - |
| Total | 139 | 12 | 20 |

Utilities

| | Telephone | Electric | Gas |
|---|---|---|---|
| Service | 141 | 49 | 43 |
| Tariff | 55 | 135 | 36 |
| Billing | 21 | 61 | 27 |
| Safety | - | - | 2 |
| Miscellaneous | 27 | 13 | 2 |
| Total | 244 | 258 | 110 |

# KENTUCKY DEPARTMENT OF TRANSPORTATION

Office - State Office Building, Frankfort, Kentucky 40622, Area Code (502) 564-4890

## I. SECRETARY OF TRANSPORTATION AND OFFICIALS

Frank R. Metts, Secretary of Transportation, 564-4890: Democrat; born November 17, 1935; President and owner of a real estate development and management company in Louisville; assumed Secretaryship December 7, 1980, serves at pleasure of Governor; married (Sandy), five children.

### Department of Transportation

| | | | |
|---|---|---|---|
| Harry E. Sykes, Jr., Executive Director of Personnel Management | 564-4610 | Stephen Reeder, Deputy Secretary For Legal Affairs | 564-7650 |
| | | Ronald R. Shoemaker, Comptroller | 564-4890 |

### Bureau of Vehicle Regulation

| | | | |
|---|---|---|---|
| Vacancy, Commissioner | 564-7000 | Owen Caster, Director of Motor Carriers | 564-4540 |
| James F. Runke, Deputy Commissioner | 564-7000 | Jesse Chisholm, Acting Director of Motor Vehicle Tax | 564-5301 |
| Eugene Hodges, Director Drivers License | 564-6800 | | |

### Office of Transportation Planning

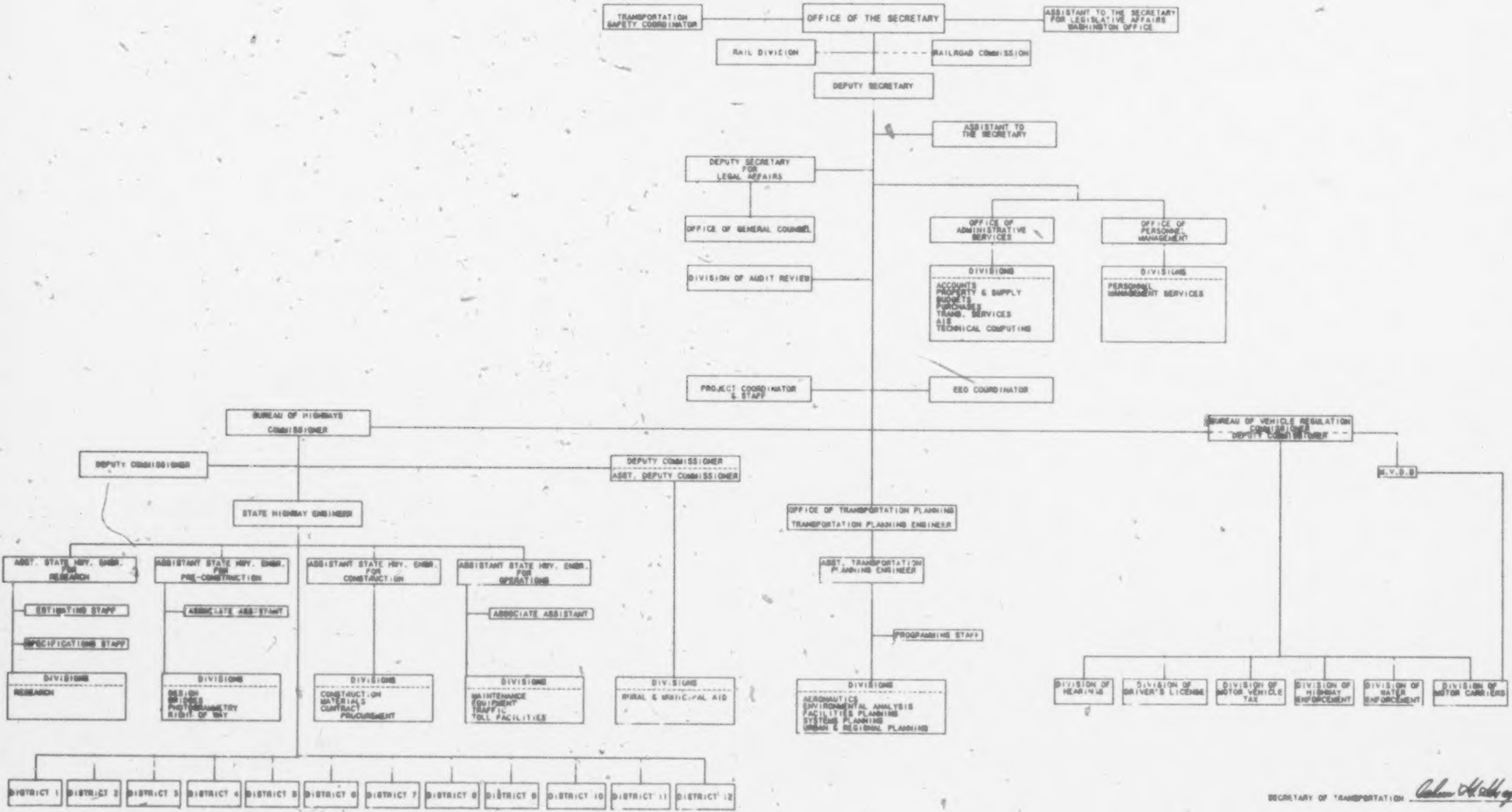
| | | | |
|---|---|---|---|
| Mel Smithers, Executive Director | 564-4577 | Jim Fehr, Transportation Planning Engineer | 564-4577 |

### Bureau of Highways

| | | | |
|---|---|---|---|
| Dean Huff, Commissioner | 564-4890 | M. E. Combs, Deputy Commissioner | 564-4890 |
| Glen Kelly, Assistant to Commissioner | 564-4890 | | |

# II. ORGANIZATION CHART

## KENTUCKY DEPARTMENT OF TRANSPORTATION


TRANSPORTATION SAFETY COORDINATOR
OFFICE OF THE SECRETARY
ASSISTANT TO THE SECRETARY FOR LEGISLATIVE AFFAIRS WASHINGTON OFFICE
RAIL DIVISION
RAILROAD COMMISSION
DEPUTY SECRETARY
ASSISTANT TO THE SECRETARY
DEPUTY SECRETARY FOR LEGAL AFFAIRS
OFFICE OF GENERAL COUNSEL
OFFICE OF ADMINISTRATIVE SERVICES
OFFICE OF PERSONNEL MANAGEMENT
DIVISION OF AUDIT REVIEW
DIVISIONS
ACCOUNTS
PROPERTY & SUPPLY
BUDGETS
PURCHASES
TRANS. SERVICES
AIS
TECHNICAL COMPUTING
DIVISIONS
PERSONNEL MANAGEMENT SERVICES
PROJECT COORDINATOR & STAFF
EEO COORDINATOR
BUREAU OF HIGHWAYS
COMMISSIONER
BUREAU OF VEHICLE REGULATION
COMMISSIONER
DEPUTY COMMISSIONER
DEPUTY COMMISSIONER
DEPUTY COMMISSIONER
ASST. DEPUTY COMMISSIONER
M.V.D.B
STATE HIGHWAY ENGINEER
OFFICE OF TRANSPORTATION PLANNING
TRANSPORTATION PLANNING ENGINEER
ASST. STATE HWY. ENGR. FOR RESEARCH
ASSISTANT STATE HWY. ENGR. FOR PRE-CONSTRUCTION
ASSISTANT STATE HWY. ENGR. FOR CONSTRUCTION
ASSISTANT STATE HWY. ENGR. FOR OPERATIONS
ASST. TRANSPORTATION PLANNING ENGINEER
ESTIMATING STAFF
ASSOCIATE ASSISTANT
ASSOCIATE ASSISTANT
SPECIFICATIONS STAFF
PROGRAMMING STAFF
DIVISIONS
RESEARCH
DIVISIONS
DESIGN
BRIDGES
PHOTOGRAMMETRY
RIGHT OF WAY
DIVISIONS
CONSTRUCTION
MATERIALS
CONTRACT
PROCUREMENT
DIVISIONS
MAINTENANCE
EQUIPMENT
TRAFFIC
TOLL FACILITIES
DIVISIONS
RURAL & MUNICIPAL AID
DIVISIONS
AERONAUTICS
ENVIRONMENTAL ANALYSIS
FACILITIES PLANNING
SYSTEMS PLANNING
URBAN & REGIONAL PLANNING
DIVISION OF HEARINGS
DIVISION OF DRIVER'S LICENSE
DIVISION OF MOTOR VEHICLE TAX
DIVISION OF HIGHWAY ENFORCEMENT
DIVISION OF WATER ENFORCEMENT
DIVISION OF MOTOR CARRIERS
DISTRICT 1
DISTRICT 2
DISTRICT 3
DISTRICT 4
DISTRICT 5
DISTRICT 6
DISTRICT 7
DISTRICT 8
DISTRICT 9
DISTRICT 10
DISTRICT 11
DISTRICT 12

SECRETARY OF TRANSPORTATION

DATE 6/19/79

# KENTUCKY ENERGY REGULATORY COMMISSION
# AND
# KENTUCKY UTILITY REGULATORY COMMISSION

Office - 730 Schenkel Lane, Post Office Box 615, Frankfort, Kentucky 40602, Area Code (502) 564-3940

## I. COMMISSIONERS

### KENTUCKY ENERGY REGULATORY COMMISSION

Perry White, Jr., Chairman: Georgetown College, University of Kentucky; Marine Corp Veteran, Assistant Revisor of Statutes for Legislative Research Commission, Executive Assistant to Highway Commissioner, Secretary-Treasurer of Southeastern Association of State Highway Officials, Trial Attorney and Trial Supervisor of Department of Highways, General Counsel of Kentucky House of Representatives (1970), General Counsel and Deputy Secretary of Department for Natural Resources and Environmental Protection (1975-78), Executive Director of Public Service Commission (1978-79); assumed Commissionership April 1, 1979, current term ends March 31, 1983; single, three children.

Charles H. Lambert, Vice Chairman: Kentucky State University (BS), Eastern Kentucky University (MA); Senior Employment Technical Services Representative of Department of Economic Security (1970-72), Assistant Director for Programs of Louisville Board of Education (1972-74), Legislative Fiscal Analyst for Legislative Research Commission (1974-75), Senior Policy Advisor of Office for Policy and Management (1975), Executive Assistant for the Secretary of Cabinet/Commissioner of Finance (1975-76), Deputy Secretary of the Executive Department for Finance and Administration Cabinet (1976-79), assumed Commissionership April 1, 1979, current term ends March 31, 1982; single.

Claude M. Vaughan, Jr., Commissioner: Democrat; born November 18, 1934, in Harrisonburg, Virginia; University of Kentucky (AB, MA, PhD, Economics); U.S. Navy (1952-55), cost analyst for Ford Motor Company (1961-62), TVA economist (1962-64), research associate and instructor at University of Kentucky (1966-73), professor at Murray State University and Kentucky State University (1973-75), Assistant Dean and Director of Public Service Institute of School of Public Affairs at Kentucky State University (1975-77), Executive Director of State Office of Developmental Planning and Research (1977-78), Executive Director of Governor's Economic Development Commission (1978-79), author; assumed Commissionership April 1, 1979, current term ends March 31, 1981; married (Carol), three children.

## II. COMMISSIONERS

### KENTUCKY UTILITY REGULATORY COMMISSION

Richard S. Taylor, Chairman: Democrat; born March 8, 1941, in Owensboro, Kentucky; Western Kentucky University (BA), University of Mississippi (JD); private law practice; assumed Commissionership February 16, 1976, current term ends March 31, 1983; married (Carol), one son.

John Stanley Hoffman, Jr., Vice-Chairman: Democrat; born October 24, 1936, in Henderson County, Kentucky; Centre College (AB), University of Kentucky (LLB); Research Assistant of Legislative Research Commission (1963-64), Kentucky State Representative (1966-70), Henderson County Judge (1970-73), Secretary of Department of Natural Resources and Environmental Protection (1975-76), Chairman of Kentucky Board of Tax Appeals (1976-77), private law practice; assumed Commissionership December 14, 1977, current term ends March 31, 1983; married (Joan), two children.

Mary Ray Oaken, Commissioner: Democrat; born in Madisonville, Kentucky; Western Kentucky State University (BS, MA), Murray State University; teacher in Kentucky Public Schools (18 years), teacher of sociology and psychology at University of Kentucky Hopkinsville Community College and Murray State University (3 years); assumed Commissionership June 10, 1977, current term ends March 31, 1981; married (Arnold), one son.

## III. STAFF

Staff of the Kentucky Energy Regulatory Commission and Utility Regulatory Commission

| Name of Division and/or Office | Number of Personnel | | Name of Division/Office Head |
|---|---|---|---|
| Energy Regulatory Commission | Commissioners<br>Secretaries | 3<br>3 | Perry R. White, Jr., Chairman |
| Utility Regulatory Commission | Commissioners (Part-Time)<br>Secretaries (Part-Time) | 3<br>2 | Richard S. Taylor, Chairman |
| Executive Director's Office | Executive Dir.<br>Secretary | 1<br>1 | Dennis P. Carrigan |
| Office of General Counsel | Attorneys<br>Secretaries | 3<br>2 | William M. Sawyer, General Counsel |
| Office of Secretary of Commissions | Secretary of Commissions<br>Secretaries<br>Stenographers<br>Clerks | 1<br>1<br>3<br>1 | Richard D. Heman, Jr., Secretary |
| Office of Fuel Analysis | Exec. Officer<br>Secretary<br>Examiner | 1<br>1<br>1 | James C. Grider |
| Div. of Administrative Services | Director<br>Personnel Officer<br>Account Clerk<br>Receptionist<br>Janitors | 1<br>1<br>1<br>1<br>2 | Harold R. Greenwood, Director |
| **Division of Analysis, Research and Statistics** | **(No filled positions at 6/30/79)** | | |

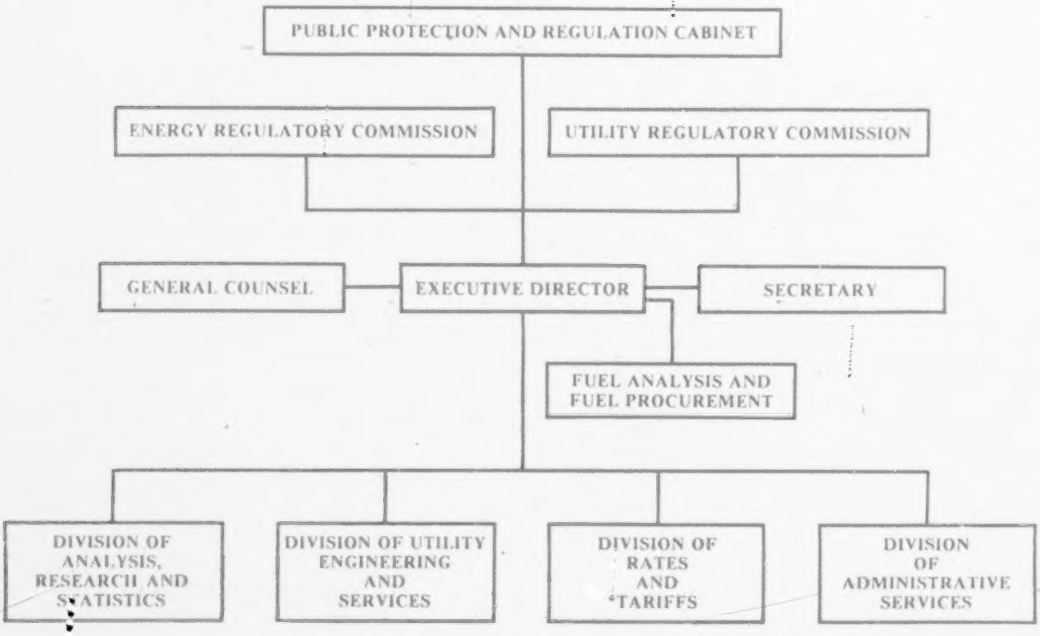
KENTUCKY ENERGY REGULATORY COMMISSION
AND
KENTUCKY UTILITY REGULATORY COMMISSION

## III. STAFF
(Continued)

| Name of Division and/or Office | Number of Personnel | | Name of Division/Office Head |
|---|---|---|---|
| Division of Utility Engineering and Services | Director | 1 | John T. Smither, Director |
| | Section Chiefs | 4 | |
| | Engineers | 1 | |
| | Investigators/ Inspectors | 10 | |
| | Clerical Supv. | 1 | |
| | Secretary | 1 | |
| | Stenographers | 3 | |
| | Complaint Specialists | 3 | |
| Division of Rates and Tariffs | Director | 1 | M. Dell Coleman, Director |
| | Asst. Director | 1 | |
| | Secretary | 1 | |
| | Section Supervisors | 4 | |
| | Inspectors/ Examiners | 5 | |
| | Auditors | 5 | |
| | Research Analyst | 2 | |
| | Stenographers | 2 | |
| | Accountant | 1 | |
| | Typist | 1 | |
| Grand Total - All Personnel | | 80 | |

## IV. ORGANIZATION CHART

# ORGANIZATIONAL CHART


PUBLIC PROTECTION AND REGULATION CABINET
ENERGY REGULATORY COMMISSION
UTILITY REGULATORY COMMISSION
GENERAL COUNSEL
EXECUTIVE DIRECTOR
SECRETARY
FUEL ANALYSIS AND FUEL PROCUREMENT
DIVISION OF ANALYSIS, RESEARCH AND STATISTICS
DIVISION OF UTILITY ENGINEERING AND SERVICES
DIVISION OF RATES AND TARIFFS
DIVISION OF ADMINISTRATIVE SERVICES

KENTUCKY ENERGY REGULATORY COMMISSION
AND
KENTUCKY UTILITY REGULATORY COMMISSION

## V. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the Commissions, Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $2,336,800 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 120,683 |
| Total | $2,457,483 |

| Expenditures | |
|---|---|
| Salaries and Wages (Includes Social Security and Retirement) | $1,278,153 |
| Supplies | 18,342 |
| Postage | 8,685 |
| Telephone and Telegraph | 49,752 |
| Travel Expense | 80,198 |
| Printing and Binding | 6,431 |
| Purchase of Cars | -0- |
| Motor Vehicle Operation | 2,874 |
| Maintenance (Building and Equipment) | 9,459 |
| Insurance and Bonding | 8,867 |
| Dues and Professional Services | 162,935 |
| Equipment | 18,018 |
| Other Expense | 152,505 |
| Imprest Cash Fund | 2,000 |
| Total | $1,798,219 |

## VI. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the Commissions for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer | Totals |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Pending as of 1/1/79 | 16 | 24 | 61 | | 26 | 20 | 1 | 148 |
| Instituted in 1979 | 42 | 151 | 58 | 10 | 63 | 48 | 6 | 378 |
| Concluded in 1979 | 43(1) | 120 | 205(2) | 12 | 58 | 37 | 3 | 478 |
| Pending as of 12/31/79 | 18 | 64 | 56 | 2 | 33 | 27 | 1 | 201 |
| Number of Hearings in 1979 | 36 | 150 | 45 | | 68 | 46 | | 345 |
| Number of Hearing Days in 1979 | | | | | | | | 129(3) |
| Number of Orders Appealed to Courts in 1979 | 4 | 5 | 1 | | 3 | 2 | | 15 |

(1) Includes Radiotelephone Proceedings.
(2) Includes Purchased Gas Adjustments and Pipeline Proceedings.
(3) Since hearings in more than one classification were held on one day, it is impossible to give a breakdown for each classification.

## VII. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Combination Electric & Gas | Water | Sewer | Combination Water & Sewer | Gas |
|---|---|---|---|---|---|---|---|
| Service | 1,046 | 85 | 115 | 57 | 4 | 61 | 30 |
| Tariff and Billing | 62 | 108 | 155 | 36 | 7 | 43 | 47 |
| Miscellaneous | 54 | 43 | 59 | 7 | 0 | 7 | 16 |
| Total | 1,162 | 236 | 329 | 100 | 11 | 111 | 93 |

## KENTUCKY RAILROAD COMMISSION

Office - Tenth Floor, State Office Building, Frankfort, Kentucky 40622,
Area Code (502) 564-4640

### I. COMMISSIONERS

R. Henry Spalding, Chairman: 6508 Bardstown Road, Louisville, Kentucky 40291; Democrat; born July 28, 1933, in Lebbanon, Kentucky; Nationwide Insurance Company; assumed Commissionership October 10, 1977, current term ends December, 1980; married, three children.

Jimmy Turner, Commissioner, 564-4640: Democrat; born July 30, 1935; in Drift, Kentucky; three years of college; Pinkerton Detective Agency (1961-62), GMAC representative (1964-69), construction business (1969-76); assumed Commissionership December 9, 1975, current term ends December 9, 1983; married (Donna), two children.

Robert E. (Gene) Smith, Commissioner: Democrat; born September 29, 1924, in Central City, Kentucky; mine foreman; assumed Commissionership December 11, 1979, current term ends December 1983; married, three children.

### II. STAFF

Maude Holbrook, Executive Secretary
Chat Chancellor, Counsel
Leslie C. Bruce, Rate Consultant

### III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year 1978-1979

| Receipts | | Expenditures | |
|---|---|---|---|
| General Fund Appropriation | $56,000 | Salaries | $33,800 |
| Total | $56,000 | Postage, Telephone, Publications, Supplies | 3,600 |
| | | Travel Expenses | 7,000 |
| | | Personal Service Contracts | 11,000 |
| | | Office Equipment | 600 |
| | | Total | $56,000 |

### IV. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the Commission for Year Ended December 31, 1979

| | Railroads |
|---|---|
| Number of Proceedings: | |
| Pending as of 1/1/79 | 1 |
| Instituted in 1979 | 30 |
| Concluded in 1979 | 29 |
| Pending as of 12/31/79 | 1 |
| Number of Hearings in 1979 | 29 |
| Number of Hearing Days in 1979 | 22 |
| Number of Orders Appealed to Courts in 1979 | 2 |

# LOUISIANA PUBLIC SERVICE COMMISSION

Headquarters Office - One American Place, Suite 1630, Baton Rouge, Louisiana 70804, Area Code (504) 342-4404

District Offices -

First District

Commissioner John Schwegmann
Louisiana Public Service Commission
Post Office Box 66
Metairie, Louisiana 70004

Second District

Commissioner George J. Ackel
Louisiana Public Service Commission
7129 Jefferson Highway
Metairie, Louisiana 70123

Third District

Commissioner Louis J. Lambert
Louisiana Public Service Commission
Post Office Box 1026
Gonzales, Louisiana 70737

Fourth District

Commissioner Thomas E. Powell
Louisiana Public Service Commission
1211 East Laurel Street
Eunice, Louisiana 70535

Fifth District

Commissioner Ed Kennon
Louisiana Public Service Commission
Post Office Drawer E
Shreveport, Louisiana 71161

## I. COMMISSIONERS

Ed Kennon, Chairman, Post Office Drawer E, Shreveport, La. 71161: Democrat; born 1938; Centenary College; past President of Minden Economic Development Corporation, President of Webster Inns, Inc., major developer in real estate, enlisted man in Air Force; assumed Commissionership December 1972, current term ends December 1984.

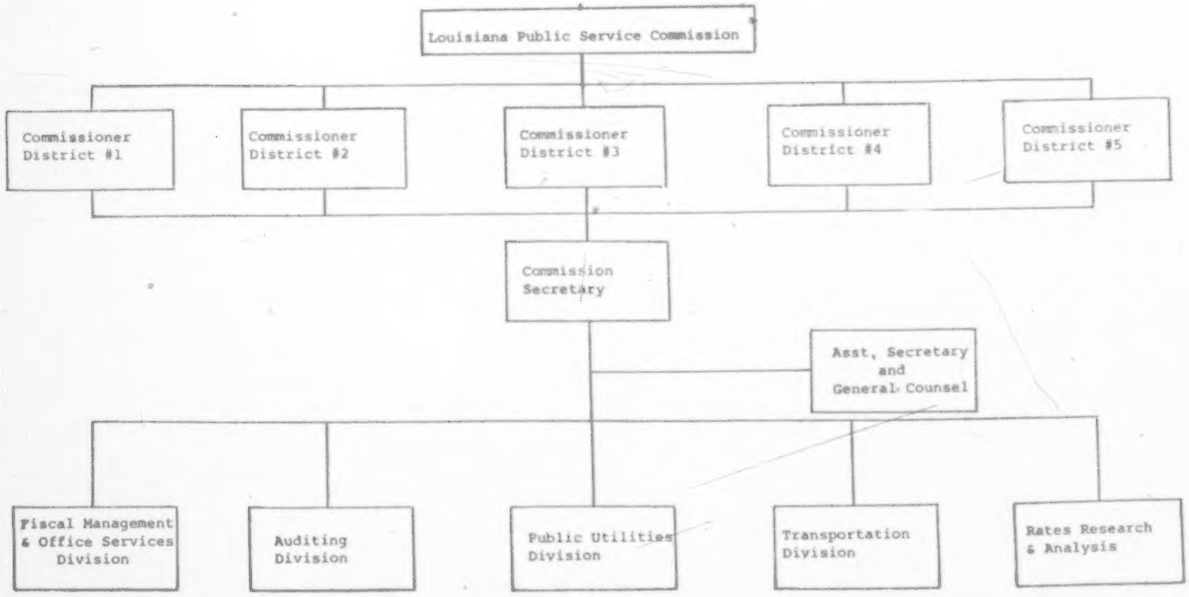
Thomas E. Powell, Sr., Vice Chairman, Post Office Box 1027, Eunice, La. 70525: Democrat; born June 15, 1924, in Shreveport, Louisiana; Merchant Marine during World War II, oil jobber, Owner and President of Powell Oil Company of Eunice and Powell Oil Company of Amelia, City Councilman, member of State Mineral Board, member of State Superport Board; assumed Commissionership January 13, 1976; married (Venita), one daughter and one son.

Louis J. Lambert, Jr., Commissioner, Post Office Box 1026, Gonzales, La. 70737: born December 21, 1941, in Ascension Parish, Louisiana; LSU (BA), Loyola University (LLB); private law practice, Town Attorney, State Senator; assumed Commissionership December 1974, current term ends December 1980; married (Mary), one daughter, two sons.

John Schwegmann, Jr., Commissioner, Post Office Box 66, Metairie, La. 70003: Democrat; born August 14, 1911, in New Orleans, Louisiana; Soule Business College; owner of ten giant supermarkets in the Greater New Orleans area, served ten years as State Representative and State Senator, assumed Commissionership December 1975, current term ends December 1984; divorced, two sons and one daughter.

George J. Ackel, Commissioner, 7129 Jefferson Highway, Harahan, Louisiana 70123, (504) 737-9860: Democrat; born March 29, 1922, in Natchitoches Parish, Louisiana; U.S. Navy, Branch Manager of Sun Life Assurance Co. of Canada (1953-present), parish councilman (1960-76), civic leader; assumed Commissionership January 1977, current term ends January 1983; married (Jeannette), two sons.

## II. ORGANIZATION CHART


Louisiana Public Service Commission
Commissioner District #1
Commissioner District #2
Commissioner District #3
Commissioner District #4
Commissioner District #5
Commission Secretary
Asst, Secretary and General Counsel
Fiscal Management & Office Services Division
Auditing Division
Public Utilities Division
Transportation Division
Rates Research & Analysis

LOUISIANA PUBLIC SERVICE COMMISSION

## III. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 1 | Louis S. Quinn |
| Accounting | 3 | Roy F. Edwards |
| Engineering | 3 | Edward L. Gallegos |
| Legal | 1 | Marshall B. Brinkley |
| Motor Carriers | 31 | Rollo C. Lawrence |
| Utilities | 19 | |
| Other | 41 | |
| Total | 99 | |

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $1,876,332 |

| Expenditures | |
|---|---|
| Salaries and Wages | $1,170,249 |
| Supplies and Materials | 11,090 |
| Postage | 34,420 |
| Telephone and Telegraph | 41,906 |
| Travel Expense | 123,536 |
| Printing and Binding | 6,434 |
| Purchase of Cars | 77,366 |
| Motor Vehicle Operation | 22,128 |
| Maintenance | 7,187 |
| Insurance and Bonding | 10,731 |
| Dues and Professional Services | 4,200 |
| Equipment | 11,288 |
| Contributions to Retirement System | 88,787 |
| Contributions to Social Security | 3,131 |
| Other Expense | 143,581 |
| Total | $1,756,034 |

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before Louisiana PSC for Year Ended December 31, 1979

Carriers and Utilities and Number of Proceedings

| Number of Proceedings: | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Water Carriers | RCC | Tele-phone | Electric | Gas | Water | Sewer | Combination Water and Sewer | Totals |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 1 | 0 | 1 | 0 | 0 | 0 | 1 | 3 | 2 | 0 | 1 | 9 |
| Instituted in 1979 | 63 | 5 | 11 | 6 | 1 | 13 | 2 | 10 | 14 | 3 | 7 | 135 |
| Concluded in 1979 | 62 | 5 | 10 | 6 | 0 | 7 | 1 | 6 | 8 | 2 | 3 | 110 |
| Pending as of 12/31/79 | 2 | 0 | 2 | 0 | 1 | 6 | 2 | 7 | 8 | 1 | 5 | 34 |
| Number of Cases Heard in 1979 | 133 | 11 | 28 | 17 | 1 | 12 | 1 | 13 | 9 | 3 | 7 | 235 |
| Number of Hearing Days in 1979 | 96 | 17 | 33 | 19 | 1 | 19 | 8 | 14 | 10 | 3 | 7 | 227 |
| Number of Orders Appealed to Courts in 1979 | - | - | - | - | - | - | 1 | 1 | - | - | - | 2 |

## VI. COMPLAINTS

Complaint Tabulation (Utilities) for Year Ended December 31, 1979

| | Total |
|---|---|
| Telephone | 1,973 |
| Electric | 449 |
| Gas | 156 |
| Water | 153 |
| Sewer | 151 |

# MAINE PUBLIC UTILITIES COMMISSION

Office - 242 State Street, State House Station 18, Augusta, Maine 04333, Area Code (207) 289-3831

## I. COMMISSIONERS

Ralph H. Gelder, Chairman, 289-3831: born December 21, 1934, in Huntington, West Virginia; Miami University, University of California at Berkeley, New York University; economist for Federal Reserve Bank of New York, Superintendent of Banking (Maine), Commissioner of Business Regulation (Maine), Associate Director of Bank Supervision of Federal Reserve Board; assumed Commissionership January 17, 1977, current term ends May 1984.

Lincoln Smith, Commissioner, 289-3831: Republican; born February 12, 1910, in Brunswick, Maine; Bowdoin College (AB), University of Wisconsin (AM, PhD); U. S. Army Air Force, professor at Yale University and University of California and New York University, author; assumed Commissionership July 9, 1975, current term ends July 1982.

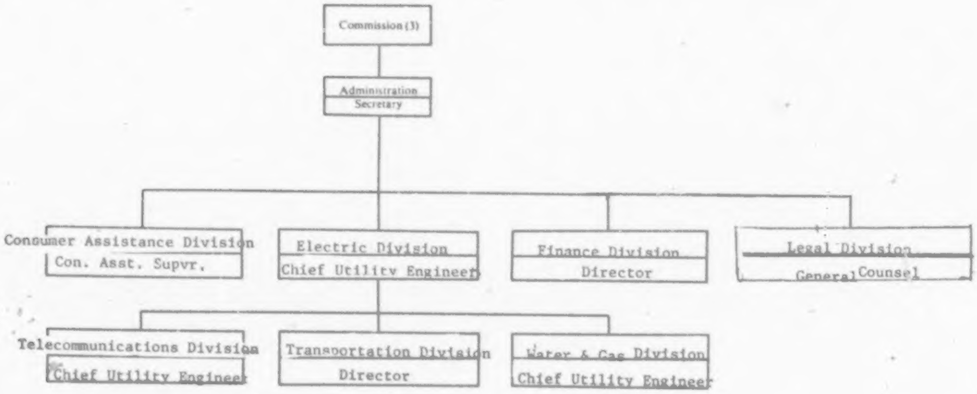
Diantha A. Carrigan, Commissioner, 289-3831: born December 19, 1946, in Damariscotta, Maine; Smith College (AB), Boston University (JD); private law practice (4 years), Member of Maine Board of Environmental Protection (1976-77); assumed Commissionership October 12, 1977, current term ends February 1985.

## II. KEY STAFF

| | |
|---|---|
| Michael K. Feener, Secretary | 289-3831 |
| Horace S. Libby, General Counsel | 289-3831 |
| Guy E. Twombly, Chief Engineer, Telecommunications Division | 289-3831 |
| Vacancy, Director, Consumer Assistance Division | 289-3831 |
| John E. Leavitt, Chief Engineer, Electric Division | 289-3831 |
| John G. Peters, Director of Finance | 289-3831 |
| Clarence W. Parker, Jr. Chief Engineer, Water and Gas Division | 289-3831 |
| Robert W. True, Director of Transportation | 289-3686 |

Number of Personnel: 78

## III. ORGANIZATION CHART


Commission (3)
Administration
Secretary
Consumer Assistance Division
Con. Asst. Supvr.
Electric Division
Chief Utility Engineer
Finance Division
Director
Legal Division
General Counsel
Telecommunications Division
Chief Utility Engineer
Transportation Division
Director
Water & Gas Division
Chief Utility Engineer

## IV. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Total Complaints |
|---|---|
| Telephone | 1,219 |
| Electric | 1,044 |
| Gas | 26 |
| Water | 149 |
| Total | 2,438 |

## MAINE PUBLIC UTILITIES COMMISSION

## V. RECEIPTS AND EXPENDITURES

Statement of Available Funds and Expenditures for Fiscal Year Ended June 30, 1979

| ADMINISTRATION | F.Y. 1979<br>6/79 |
|---|---|
| Available Funds: | |
| Appropriation Balance Carried Forward: | -- |
| Appropriation | 815,945.00 |
| Contingent Account Allocations | -- |
| Revenues | |
| Total Available: | 815,945.00 |
| Expenditures: | |
| Salaries | 420,615.00 |
| Retirement Costs | 47,945.00 |
| Total Salaries: | 468,560.00 |
| Professional Fees and Special Services | 137,781.00 |
| Travel Expense | 10,817.00 |
| Utility Services | 12,882.00 |
| Rents | 12,168.00 |
| Repairs | 278.00 |
| Insurance | 9,398.00 |
| Postage | 5,544.00 |
| Intragovernmental Services | 717.00 |
| Printing and Advertising | 23,360.00 |
| Employee Tuition | 483.00 |
| Books and Periodicals | 3,934.00 |
| Dues | 82.00 |
| Office Supplies and Expense | 12,666.00 |
| Miscellaneous Expense | 2,149.00 |
| U.S.G.S. Water Resources Division | 83,350.00 |
| U.S.G.S. Topographic Mapping | 20,000.00 |
| Gas Pipeline Safety | 1,076.00 |
| Total Expenditures: | 815,945.00 |
| Balance Carried Forward | 25,236.55 |

| CONTROL OVER MOTOR TRUCKS | F.Y. 1979 |
|---|---|
| Available Funds: | |
| Balance July 1 | 814,587.00 |
| Revenues July 1 to June 30 | 818,336.00 |
| Total Available | 1,632,923.00 |
| Expenditures: | |
| Salaries | 459,763.00 |
| Retirement Costs | 53,878.00 |
| Total Salaries | 513,641.00 |
| Travel Expense | 17,308.00 |
| Utility Services | 6,241.00 |
| Rents | 4.274.00 |
| Repairs | |
| Insurance | 11,240.00 |
| Postage | 7,896.00 |
| Intragovernmental Services | 1,010.00 |
| Printing and Advertising | 17,443.00 |
| Employees Tuition | 530.00 |
| Books & Periodicals | 2,453.00 |
| Dues | 1,338.00 |
| Misc. Expense | 2,450.00 |
| Office Supplies & Expense | 5,250.00 |
| Clothing | 1,983.00 |
| Office Equipment | 21,728.00 |
| Sta Cap | 34,967.00 |
| M V Transportation Equipment | 22,868.00 |
| Auto Expense | 8,353.00 |
| **Total Expenditures** | **680,973.00** |
| **Transfer to Highland Fund** | **75,000.00** |
| **Unexpended Balance** | **876,950.00** |

## MARYLAND PUBLIC SERVICE COMMISSION

Office - American Building, 231 East Baltimore Street, Baltimore, Maryland 21202, Area Code (301) 383-2374

### I. COMMISSIONERS

Thomas J. Hatem, Chairman, 383-2371: Democrat; born December 7, 1925, in Havre de Grace, Maryland; University of Maryland (LLB); U.S. Navy, Member of House of Delegates of Maryland General Assembly (1954-59), Member of Maryland Athletic Commission, Member and Chairman of State Accident Fund of Maryland, President of American Association of State Compensation Insurance Funds, Member and Chairman of Board of Appeals of the Maryland Department of Employment Security, Maryland State Insurance Commissioner; assumed Commissionership July 2, 1976, current term ends June 30, 1982; married (Claire).

William A. Badger, Commissioner, 383-2370: Democrat; born March 20, 1931, in Sanford, Virginia; Loyola College (BS,MS,LLB); U.S. Army (1955-57), Project Administrator for Martin Marietta Corp., Assistant Baltimore County Manager (1957-69), Deputy Secretary for Maryland State Department of General Services (1970-76), Property Tax Assessment Administrator for Maryland Appeal Board (1976-77); assumed Commissionership June 27, 1977, current term ends June 30, 1980; two children.

Lilo K. Schifter, Commissioner, 383-2373: born March 27, 1924, in Germany; Catholic University of America (JD); staff attorney at Federal Power Commission and Federal Energy Regulatory Commission; assumed Commissionership July 7, 1978, current term ends June 30, 1984; married, five children.

Wayne B. Hamilton, Commissioner, 383-7594; Democrat; born March 1, 1916, in Morgantown, West Virginia; Blue Ridge College (AA), University of Maryland (BS); president of retail furniture business and manager of retail dairy (1936-73), member of Board of County Commissioners (1970-78), member of James Commission on State Land Use and Intergovernmental Relations, member of Governor's Commission on Funding of Public Education; assumed Commissionership July 1, 1979, current term ends August 31, 1985; married (Claudia), two children.

Haskell N. Arnold, Commissioner, 383-7594; Democrat; born July 20, 1945, in Savannah, Georgia; Hampton Institute (BS), Harvard Graduate School of Business Administration (MBA); certified public accountant, U.S. Army officer (1967-69), Staff Auditor for Arthur Andersen & Co. (1971-72), Controller for H.G. Parks, Inc. (1972-74), Manager of Budget Administration of Potomac Electric Power Company (1974-77), Vice President for Finance and Administration with Public Broadcasting Service (1977-80); assumed Commissionership April 7, 1980, current term ends June 30, 1981; married (Linda), two children.

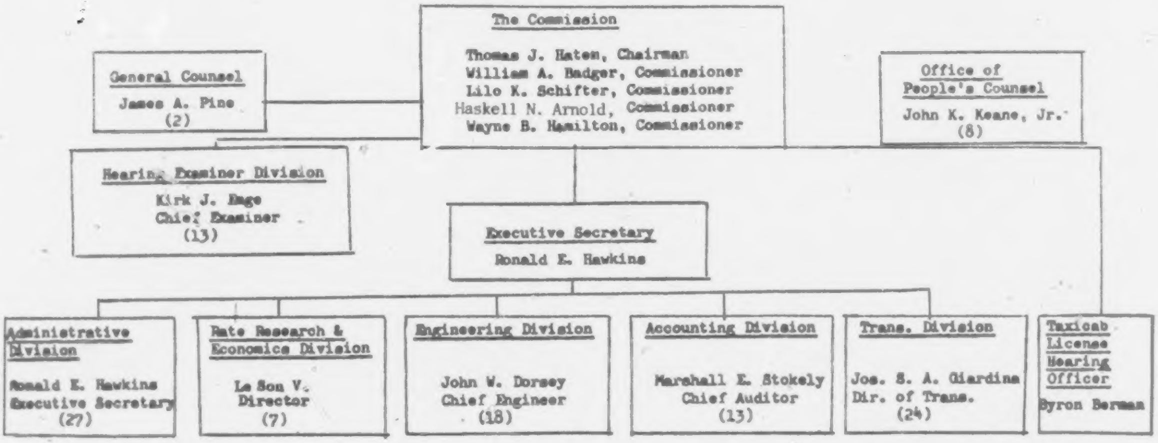
### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administration | 24 | Ronald E. Hawkins, Executive Secretary |
| People's Counsel | 7 | John K. Keane, Jr., People's Counsel |
| Engineering | 15 | John W. Dorsey, Chief Engineer |
| Accounting | 10 | Marshall E. Stokely, Chief Auditor |
| Transportation | 24 | Joseph S. A. Giardina, Director of Transportation |
| Hearing Examiner | 12 | Kirk J. Emge, Chief Hearings Examiner |
| Total | 92 | |

### III. ORGANIZATION CHART

**Public Service Commission of Maryland**

**Table of Organization**


The Commission
Thomas J. Hatem, Chairman
William A. Badger, Commissioner
Lilo K. Schifter, Commissioner
Haskell N. Arnold, Commissioner
Wayne B. Hamilton, Commissioner
General Counsel
James A. Pine
(2)
Office of People's Counsel
John K. Keane, Jr.
(8)
Hearing Examiner Division
Kirk J. Emge
Chief Examiner
(13)
Executive Secretary
Ronald E. Hawkins
Administrative Division
Ronald E. Hawkins
Executive Secretary
(27)
Rate Research & Economics Division
Le Son V.
Director
(7)
Engineering Division
John W. Dorsey
Chief Engineer
(18)
Accounting Division
Marshall E. Stokely
Chief Auditor
(13)
Trans. Division
Jos. S. A. Giardina
Dir. of Trans.
(24)
Taxicab License Hearing Officer
Byron Berman

**Total Commission Authorized Positions = 113**
**(FY 80)**

MARYLAND PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by PSC for Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $2,830,291 |
| Assessment of Regulated Companies | 2,603,669 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 12,601 |
| Railroad Safety | 31,221 |
| Other Receipts: | |
| Copies of Commission Documents | 1,609 |
| Meter Calibrations | 21,364 |
| Taxicab License Permits | 4,403 |
| Sale of Equipment | 4 |
| Total | $5,505,162 |

| Expenditures | |
|---|---|
| Salaries and Wages | $1,514,973 |
| Supplies and Materials | 12,764 |
| Postage | 9,261 |
| Telephone and Telegraph | 31,900 |
| Travel Expense | 49,612 |
| Printing and Binding | 20,735 |
| Purchase of Cars | 13,052 |
| Motor Vehicle Operation | 8,977 |
| Maintenance and Office Space | 99,955 |
| Insurance and Bonding | 5,373 |
| Contractual Services | 35,909 |
| Equipment | 8,133 |
| Grants and Subsidies | 115,340 |
| Contributions to Social Security | 77,437 |
| Technical and Special Fees | 19,921 |
| Other | 6,374 |
| Total | $2,029,716 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

Carriers and Utilities and Number of Proceedings

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Water carriers | Telegraph | Telephone | Radio Common Carriers |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | 8 | 4 | 6 | 1 | 1 | 1 | 18 |
| Instituted in 1979 | 14 | 17 | 6 | - | - | 4 | 12 |
| Concluded in 1979 | 16 | 18 | 4 | 1 | 1 | 4 | 21 |
| Pending as of 12/31/79 | 6 | 3 | 8 | - | - | 1 | 9 |
| Number of Hearings in 1979 | 7 | 6 | 3 | 1 | 1 | 2 | 2 |
| Number of Hearing Days in 1979 | 12 | 10 | 5 | 1 | 1 | 25 | 3 |
| Number of Orders Appealed to Courts in 1979 | 1 | - | - | - | - | 1 | 1 |

| | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer | Total Proceedings - Carriers & Utilities |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | 24 | 16 | 9 | 6 | 2 | 3 | 99 |
| Instituted in 1979 | 25 | 16 | 6 | 12 | - | 1 | 113 |
| Concluded in 1979 | 29 | 23 | 7 | 11 | 2 | 3 | 140 |
| Pending as of 12/31/79 | 20 | 9 | 8 | 7 | - | 1 | 72 |
| Number of Hearings in 1979 | 64 | 25 | 9 | 7 | - | 2 | 129 |
| Number of Hearing Days in 1979 | 130 | 42 | 25 | 8 | - | 3 | 265 |
| Number of Orders Appealed to Courts in 1979 | 2 | 2 | - | - | - | - | 7 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

Transportation

| | Motor Freight Carriers | Rail Passenger Carriers | Railroads | Water Carriers |
|---|---|---|---|---|
| Service | 6 | 1 | - | 1 |
| Tariff | 12 | - | 4 | 1 |
| Billing | - | - | 1 | - |
| Safety | 3 | - | 15 | - |
| Miscellaneous | - | - | 2 | - |
| Total | 21 | 1 | 22 | 2 |

Utility Complaints

| | |
|---|---|
| Telegraph | 15 |
| Telephone | 1,091 |
| Electric | 1,408 |
| Gas | 347 |
| Combination Electric and Gas | 526 |
| Water | 125 |
| Sewer | 0 |
| Combination Water and Sewer | 25 |
| Total | 3,537 |

# MASSACHUSETTS CABLE TELEVISION COMMISSION

Office - 100 Cambridge Street, Boston, Massachusetts 02202, Area Code (617) 727-6925

## I. COMMISSIONER

Jeffrey R. Forbes, Commissioner: Independent; born November 29, 1941, in Greenfield, Massachusetts; Harvard College (BA), Rockefeller Fellow (1966), Boston University Law School (1968-70); A. A. Massachusetts House Minority Leader's Office (1970-72), Executive Director of Massachusetts CTC (1972-79); assumed Commissionership in 1979.

## II. KEY STAFF

Thomas K. Steel, Jr., Executive Director
Margaret A. Sofio, General Counsel
Kenneth I. Spiegel, Counsel
Francis M. Keane, Counsel
Jeffrey C. Lyman, Financial Analyst
Stephen A. Grossman, Rate Analyst

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

Office - 100 Cambridge Street, Boston, Massachusetts 02202, Area Code (617) 727-3500

### I. COMMISSIONERS

Doris R. Pote, Chairman: Radcliffe College (A.B.), Suffolk University Law School (J.D.); Associate Professor of Law, Registrar, Suffolk University Law School; Chairman, Massachusetts Consumer Council; President, Massachusetts Association of Women Lawyers; Member, Solar Energy Committee, New England Economic Council; assumed Commissionership in 1979, current term ends January 1983.

Jon N. Bonsall, Commissioner: Holy Cross College (B.A.), Boston College Law School (J.D.); Counsel, Massachusetts Energy Facilities Siting Council; Counsel, Massachusetts House Majority Whip; Counsel, Massachusetts House Majority Leader; assumed Commissionership in 1979, current term ends January 1983; married (Kathleen), one son.

George R. Sprague, Commissioner: Harvard College (B.A.), Boston University School of Law (J.D.); Legal Assistant, Boston Redevelopment Authority; State Assistant Attorney General; Director, Division of Conservation Services, Department of Natural Resources; Fellow, John F. Kennedy Institute of Politics, Harvard University; State Representative, 59th Middlesex District; assumed Commissionership in 1979, current term ends January 1983; married (Rose Lee), one son, two daughters.

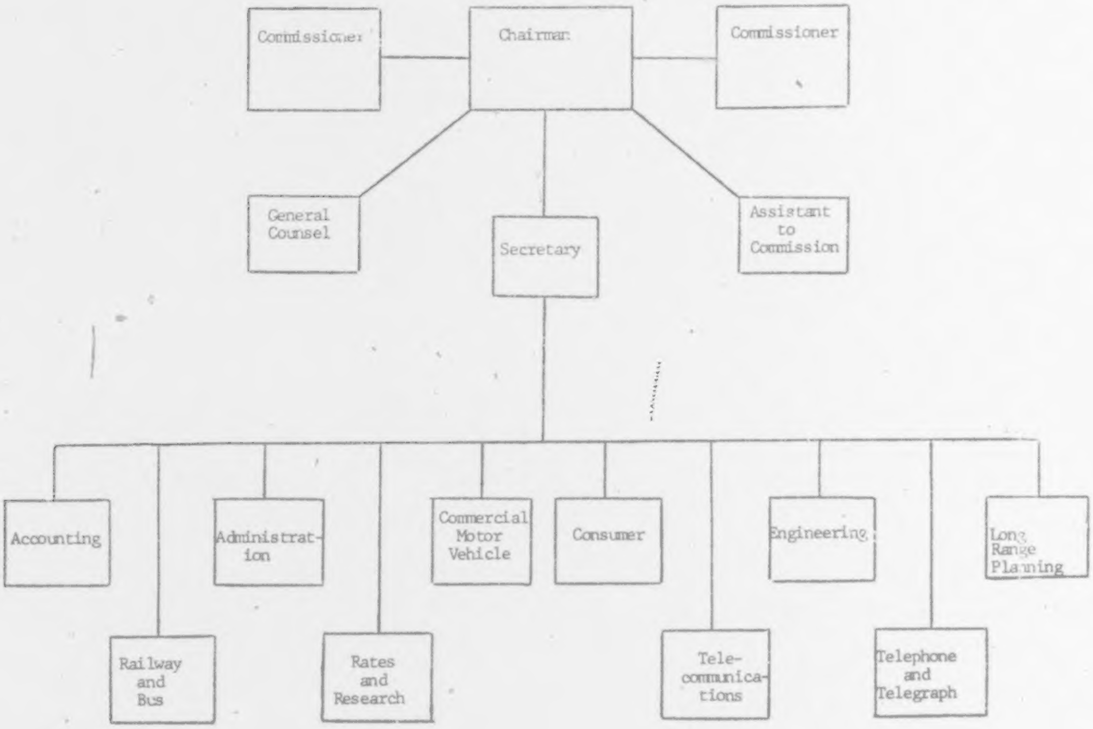
### II. KEY STAFF

| | |
|---|---|
| William Cloran, General Counsel | 727-3500 |
| Harold Bertolucci, Director, Utility Accounting Division | 727-3523 |
| Richard Galante, Director, Telecommunications Division | 727-8627 |
| William White, Chief Engineer | 727-3535 |
| Robert Jones, Director, Consumer Complaints Division | 727-3531 |
| John Howard, Director, Railway and Bus Division | 727-3541 |
| Charles Herlihy, Assistant to the Commission | 727-3500 |
| Richard Connors, Director, Commercial Motor Vehicle Division | 727-3559 |
| James Simpson, Director of Rates and Tariffs | 727-3556 |
| Christopher C. Rich, Public Utilities Department Administrative Secretary | 727-3500 |

### III. ORGANIZATION CHART

COMMONWEALTH OF MASSACHUSETTS

DEPARTMENT OF PUBLIC UTILITIES


Commissioner
Chairman
Commissioner
General Counsel
Secretary
Assistant to Commission
Accounting
Railway and Bus
Administration
Rates and Research
Commercial Motor Vehicle
Consumer
Telecommunications
Engineering
Telephone and Telegraph
Long Range Planning

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year Ended June 30, 1979

| | |
|---|---|
| **Receipts** | |
| General Fund Appropriation | $1,821,000.00 |
| Other Receipts: | |
| PURPA | 200,000.00 |
| Innovative Rates | 483,500.00 |
| Total | $2,504,500.00 |
| **Expenditures** | |
| Salaries and Wages | $1,524,470.88 |
| Supplies and Materials | 16,075.10 |
| Postage | 12,651.46 |
| Telephone and Telegraph | 17,988.21 |
| Travel Expenses | 32,960.38 |
| Printing and Binding | 8,974.59 |
| Maintenance | 2,812.52 |
| Dues and Professional Services | 81,260.11 |
| Rentals | 4,312.00 |
| Special Supplies | 10,204.00 |
| Total | $1,711,709.25 |

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the Department for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Tele-graph | Tele-phone | Electric | Gas | Water |
|---|---|---|---|---|---|---|---|---|
| Number of Proceeding: | | | | | | | | |
| Pending as of 1/1/79 | 275 | | | 4 | 23 | 10 | 2 | |
| Instituted in 1979 | 1,459 | | | 8 | 97 | 140 | 83 | 10 |
| Concluded in 1979 | 1,578 | 140 | 11 | 4 | 72 | 98 | 41 | 6 |
| Pending as of 12/31/79 | 156 | | | 3 | 48 | 52 | 44 | 4 |
| Number of Hearings in 1979 | 162 | 125 | 26 | 5 | 16 | 6 | 1 | |
| Number of Hearing Days in 1979 | 132 | | | 7 | 60 | 12 | 1 | |
| Number of Orders Appealed to Courts in 1979 | 0 | | | | 2 | 1 | 1 | |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail Passenger Carriers | Rail-roads | Tele-graph | Tele-phone | Electric | Gas | Water |
|---|---|---|---|---|---|---|---|---|
| Service | - | - | 50 | 1 | 386 | 1,112 | 1,344 | 121 |
| Tariff | - | - | - | 3 | 102 | - | - | - |
| Billing | - | - | - | 5 | 130 | - | - | - |
| Safety | - | - | 13 | - | 5 | 182 | 177 | - |
| Miscellaneous | 737 | 63 | - | 16 | 205 | 60 | 89 | - |
| Total | 737 | 63 | 63 | 25 | 828 | 1,354 | 1,610 | 121 |

# MICHIGAN DEPARTMENT OF TRANSPORTATION

Offices - Michigan Department of Transportation, Highway Building, 425 West Ottawa Street, Post Office Box 30050, Lansing, Michigan 48909, Area Code (517) 373-2090

Office of Transportation Safety and Tariffs, Secondary Governmental Complex, Testing and Research Building, Post Office Box 30049, Lansing, Michigan 48909, Area Code (517) 322-1691

## I. DIRECTOR

### Office of Transportation Safety and Tariffs

John P. Woodford, Director: born June 23, 1923, in Dominican Republic; Cornell University (civil engineering degree); navigator in World War II with 8th Air Force bomber group in Europe (35 bombing missions), registered professional engineer, State bridge project engineer (1949-61), Assistant to State DOT Chief Engineer (1961-67), Deputy Director-Chief Engineer of State DOT (1967-72), member of State Water Resources Commission, member of State Safety Commission, member of State Environmental Review Board; assumed Directorship December 1972, serves at the pleasure of the Governor; married (Ruth), seven children.

## II. STAFF

| Name of Organization | Number of Personnel | Name of Administrator |
|---|---|---|
| Office of Transportation Safety and Tariffs | 30 | Murray K. Langham |

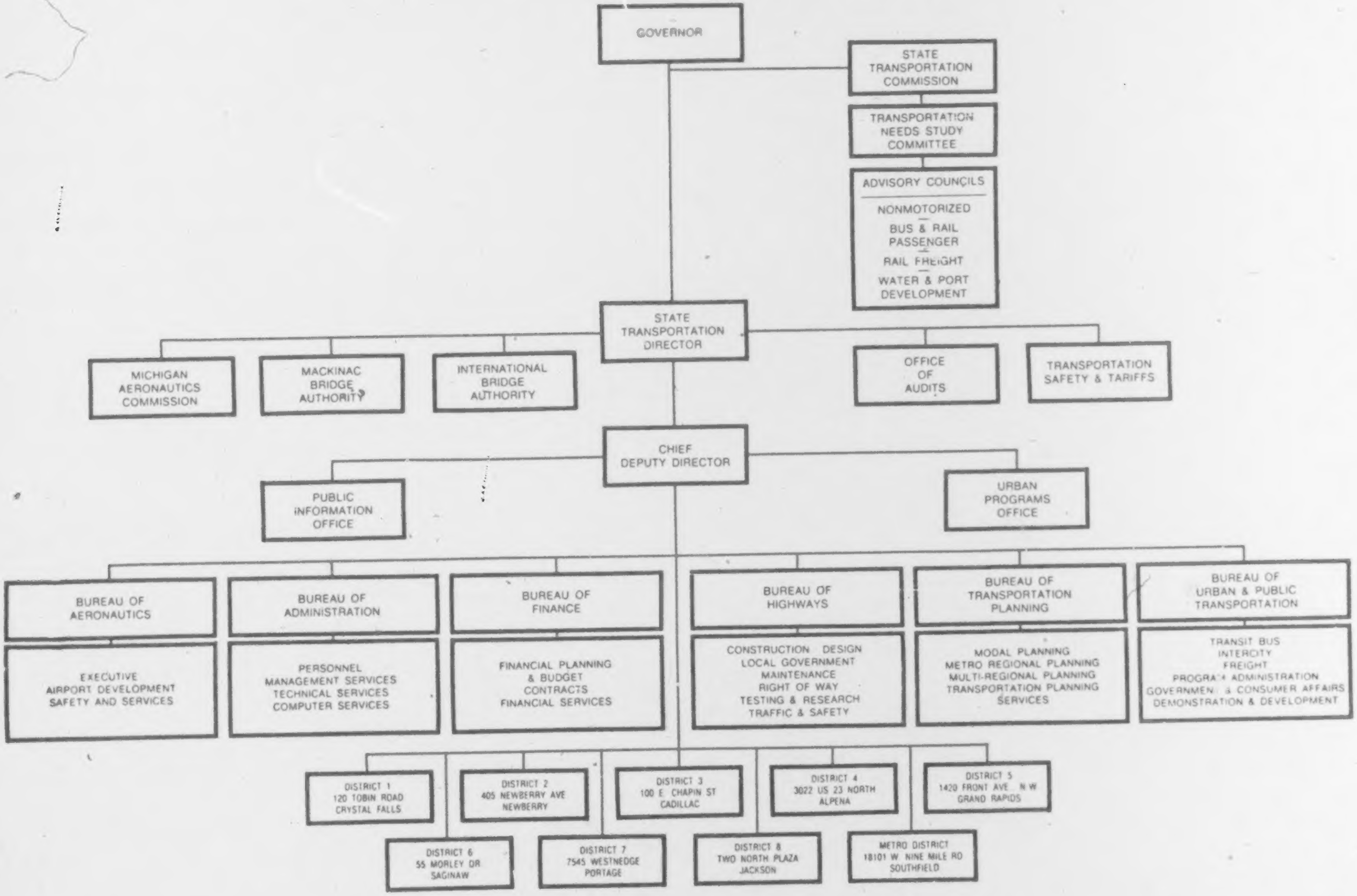
## III. FINANCING TRANSPORTATION

**FINANCING COMPREHENSIVE TRANSPORTATION**
**Fiscal Year 1978-79 (ending Sept. 30, 1979)**

| | |
|---|---|
| **INCOME** | |
| Michigan Transportation Fund (State Fuel and Weight Taxes) | $ 58,719,885 |
| Federal Funds | 9,227,210 |
| Local Unit Revenue | 1,120,095 |
| State General Fund (Sales Tax Revenue) | 22,563,022 |
| Delinquent Tax Revenue — Railroads | 10,452,584 |
| Interest Earnings | 4,873,400 |
| Miscellaneous Revenue | 912,015 |
| Aeronautics Fund Revenue | 5,507,502 |
| TOTAL REVENUES | $113,375,713 |
| **OUTGO** | |
| Administration | $ 3,022,084 |
| Transportation Planning | 479,875 |
| Statutory Operating Assistance — Buses | 26,626,000 |
| Demonstration Development | 1,415,930 |
| Discretionary Use for Public Transportation | 4,581,022 |
| Intercity Bus and Capital Loan | 455,358 |
| Small Vehicle Continuation Programs | 442,567 |
| Small Vehicle Start-up Programs | 18,518 |
| Water and Port Development — Operating | 2,329,904 |
| Water and Port Development — Capital | 1,000,000 |
| Rail Freight — Operating | 13,218,771 |
| Rail Passenger — Operating | 2,369,575 |
| Bus Capital and Accessibility Lifts | 1,065,280 |
| Bus Transportation — Other Operating | 4,952,418 |
| Federal Non-Urban Operating | 2,091,119 |
| Rail Freight Capital — Loan Program | 2,700,174 |
| Capital Projects — Loan Program | 4,551,190 |
| Grants to Other Funds for Services | 548,230 |
| Grants to Aeronautics Fund | 4,859,470 |
| Capital Improvements — Rail Freight | 3,306,973 |
| TOTAL EXPENDITURES | $ 80,034,458 |
| Excess of revenues over expenditures for fiscal year ended Sept. 30, 1979 | $ 33,341,255 |

## IV. ORGANIZATION CHART

# Michigan Department of Transportation


GOVERNOR
STATE TRANSPORTATION COMMISSION
TRANSPORTATION NEEDS STUDY COMMITTEE
ADVISORY COUNCILS
NONMOTORIZED
BUS & RAIL PASSENGER
RAIL FREIGHT
WATER & PORT DEVELOPMENT
STATE TRANSPORTATION DIRECTOR
MICHIGAN AERONAUTICS COMMISSION
MACKINAC BRIDGE AUTHORITY
INTERNATIONAL BRIDGE AUTHORITY
OFFICE OF AUDITS
TRANSPORTATION SAFETY & TARIFFS
CHIEF DEPUTY DIRECTOR
PUBLIC INFORMATION OFFICE
URBAN PROGRAMS OFFICE
BUREAU OF AERONAUTICS
EXECUTIVE
AIRPORT DEVELOPMENT
SAFETY AND SERVICES
BUREAU OF ADMINISTRATION
PERSONNEL
MANAGEMENT SERVICES
TECHNICAL SERVICES
COMPUTER SERVICES
BUREAU OF FINANCE
FINANCIAL PLANNING & BUDGET
CONTRACTS
FINANCIAL SERVICES
BUREAU OF HIGHWAYS
CONSTRUCTION DESIGN
LOCAL GOVERNMENT
MAINTENANCE
RIGHT OF WAY
TESTING & RESEARCH
TRAFFIC & SAFETY
BUREAU OF TRANSPORTATION PLANNING
MODAL PLANNING
METRO REGIONAL PLANNING
MULTI-REGIONAL PLANNING
TRANSPORTATION PLANNING SERVICES
BUREAU OF URBAN & PUBLIC TRANSPORTATION
TRANSIT BUS
INTERCITY
FREIGHT
PROGRAM ADMINISTRATION
GOVERNMENT & CONSUMER AFFAIRS
DEMONSTRATION & DEVELOPMENT
DISTRICT 1 120 TOBIN ROAD CRYSTAL FALLS
DISTRICT 2 405 NEWBERRY AVE NEWBERRY
DISTRICT 3 100 E. CHAPIN ST CADILLAC
DISTRICT 4 3022 US 23 NORTH ALPENA
DISTRICT 5 1420 FRONT AVE. N.W. GRAND RAPIDS
DISTRICT 6 55 MORLEY DR SAGINAW
DISTRICT 7 7545 WESTNEDGE PORTAGE
DISTRICT 8 TWO NORTH PLAZA JACKSON
METRO DISTRICT 18101 W. NINE MILE RD SOUTHFIELD

Department District Offices

# MICHIGAN PUBLIC SERVICE COMMISSION

Office - 6545 Mercantile Way, Post Office Box 30221, Lansing, Michigan 48909, Area Code (517) 373-3244

## I. COMMISSIONERS

Daniel J. Demlow, Chairman, 373-3240: Republican; born October 16, 1944, in Ludington, Michigan; Michigan State University (BA), University of Michigan (JD); private law practice, Examining Attorney for Corporation and Securities Bureau of Department of Commerce, Deputy Director of Department of Commerce, Commissioner of Insurance; assumed Commissionership August 18, 1975, current term ends August 5, 1981; married (Lynne).

Eric J. Schneidewind, Commissioner, 373-3242: Republican; born April 24, 1945, in Detroit, Michigan; University of California at Berkeley (BA), University of Michigan (JD); Michigan PSC Director of Policy; assumed Commissionership July 23, 1979, current term ends July 2, 1985; married (Ann).

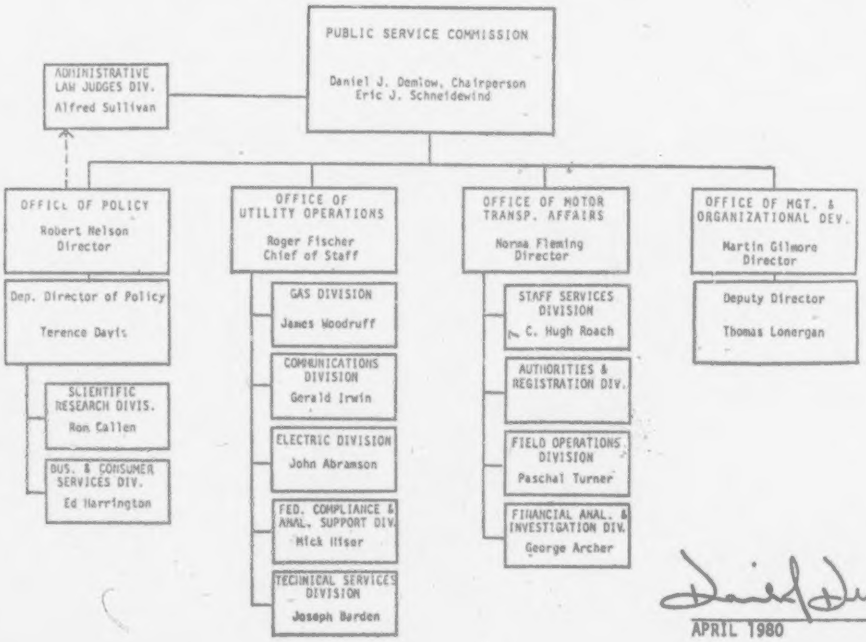
Edwyna G. Anderson, Commissioner, 373-8096: Democrat; born February 11, 1930, in Tulsa, Oklahoma; Fisk University (BA), Flint College of the University of Michigan (Techniques of Counseling Course), Detroit College of Law (JD); substitute teacher (1951-52), Managing Editor and Vice President of The Oklahoma Eagle Publishing Co. (1951-53), Kansas State Department of Social Welfare (1953-55), various positions with the Mott Foundation and the Flint Board of Education (1956-71), Social Director and Counselor of Hurley Hospital School of Nursing (1956-62), Genesee County Assistant Prosecuting Attorney (1974-78), Chief of Consumer Protection and Economic Crime Division of Genesee County Prosecuting Attorney's Office (1978-80), news reporter; assumed Commissionership May 28, 1980, current term ends July 2, 1983; married (William), two daughters.

## II. STAFF

| Name of Division | Name of Personnel | Name of Division Head |
|---|---|---|
| Commission Staff | 3 | |
| Office of Policy | 27 | Robert Nelson |
| Chief of Staff | 3 | Roger Fischer |
| Gas | 30 | James Woodruff |
| Electric | 34 | John Abramson |
| Communications | 21 | Gerald Irwin |
| Technical Services and Issue Analysis | 22 | Joseph Barden |
| Federal Compliance & Analytical Support | 14 | Mick Hiser |
| Office of Motor Transportation Affairs | 177 | Norma Fleming |
| Office of Management and Organizational Development | 20 | Martin Gilmore |
| Administrative Law Judges | 14 | Alfred Sullivan |
| Legal | 14 | Arthur D'Hondt |
| Total | 379 | |

## III. ORGANIZATION CHART

### MICHIGAN PUBLIC SERVICE COMMISSION


PUBLIC SERVICE COMMISSION
Daniel J. Demlow, Chairperson
Eric J. Schneidewind
ADMINISTRATIVE LAW JUDGES DIV.
Alfred Sullivan
OFFICE OF POLICY
Robert Nelson
Director
Dep. Director of Policy
Terence Davis
SCIENTIFIC RESEARCH DIVIS.
Ron Callen
BUS. & CONSUMER SERVICES DIV.
Ed Harrington
OFFICE OF UTILITY OPERATIONS
Roger Fischer
Chief of Staff
GAS DIVISION
James Woodruff
COMMUNICATIONS DIVISION
Gerald Irwin
ELECTRIC DIVISION
John Abramson
FED. COMPLIANCE & ANAL. SUPPORT DIV.
Mick Hiser
TECHNICAL SERVICES DIVISION
Joseph Barden
OFFICE OF MOTOR TRANSP. AFFAIRS
Norma Fleming
Director
STAFF SERVICES DIVISION
C. Hugh Roach
AUTHORITIES & REGISTRATION DIV.
FIELD OPERATIONS DIVISION
Paschal Turner
FINANCIAL ANAL. & INVESTIGATION DIV.
George Archer
OFFICE OF MGT. & ORGANIZATIONAL DEV.
Martin Gilmore
Director
Deputy Director
Thomas Lonergan

APRIL 1980

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by PSC

| Receipts | |
|---|---|
| Assessments of Regulated Companies | $ 5,903,200 |
| Federal Grant-in-Aid for Gas Pipeline Safety | 130,000 |
| Other Receipts: | |
| Federal | 1,451,800 |
| Motor Carrier Fees | 1,723,900 |
| State Trunkline Fund | 2,603,000 |
| Total | $11,811,900 |

| Expenditures | |
|---|---|
| Salaries and Wages | $ 7,419,200 |
| Supplies and Materials | 798,600 |
| Travel Expense | 782,200 |
| Insurance and Bonding | 593,100 |
| Dues and Professional Services | 176,600 |
| Maintenance | 54,800 |
| Equipment | 254,500 |
| Contributions to Retirement System | 1,261,500 |
| Other Expense | 471,400 |
| Total | $11,811,900 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|
| Service | 39 | 389 | 233 | 194 | - |
| Tariff | 22 | - | - | - | - |
| Billing | 8 | 351 | 295 | 494 | - |
| Safety | 96 | - | - | - | - |
| Miscellaneous | 294* | 1,547 | 321 | 341 | 4 |
| Total | 459 | 2,287 | 849 | 1,029 | 4 |

*The "Miscellaneous" category includes all hauling for-hire without authorization, violations of Commission Rules, complaints resulting from compliance audits, etc.

# MINNESOTA DEPARTMENT OF TRANSPORTATION

Office - 411 Transportation Building, St. Paul, Minnesota 55155, Area Code (612) 296-3131

## I. COMMISSIONERS

Richard P. Braun, Commissioner, 296-3000: University of Minnesota (Bachelor and Masters Degrees in Civil Engineering); engineering official with Minnesota Highway Department (1948-70), member of national transportation planning firm (1970-76), Deputy Commissioner for Operations of Minnesota DOT (1976-78); assumed Commissionership January 2, 1979, serves at the pleasure of the Governor.

| | |
|---|---|
| Robert J. McDonald, Deputy Commissioner | Sherri Alston, Assistant Commissioner Public Transportation |

## MINNESOTA PUBLIC UTILITIES COMMISSION*

Office - 790 American Center Building, 160 East Kellogg Boulevard, St. Paul, Minnesota 55101, Area Code (612) 296-7107

### I. COMMISSIONERS

Roger L. Hanson, Chairman, 296-2436: Republican; born November 26, 1925, in Pelican Rapids, Minnesota; Dakota Business College; retail hardware merchant (30 years), Member of Governor's Advisory Council on Employment Security, State Representative (1968-71), State Senator (1972-76); assumed Commissionership January 29, 1979, current term ends January 1, 1985; married (Dona), five children.

Katherine (Kati) Eriksson Sasseville, Commissioner, 296-2354: Republican; born August 18, 1934, in Fergus Falls, Minnesota; Rockford College, University of Minnesota (BA, JD); realtor, land developer, trial attorney for Department of Navy (1973-75); assumed Commissionership February 3, 1975, current term ends January 1981; six children.

Richard J. Parish, Commissioner, 296-6021: Democrat; born January 4, 1914, in Little Swan, Minnesota; Hamline University (BA, JD); U. S. Navy Armed Guard (1944-46), private law practice, school board director (1949-58), metropolitan planning commission (1957-58), State Representative (1959-63, 1973-76), State Senator (1963-67, 1970-72), Board of Directors of State Historical Society (1974); assumed Commissionership June 1, 1976, current term ends January 1, 1980; four children.

Juanita R. Satterlee, Commissioner, 296-2243: Democrat; born December 19, 1933, in Wadena, Minnesota; Macalester College, Bemidji State University; Research Coordinator with Countryside Council (1973-77); assumed Commissionership April 1977, current term ends January 1983; six children.

Lillian F. Warren-Lazenberry, Commissioner, 296-6020: Republican; born March 10, 1928, in Pleasant Hill, Louisiana; University of Minnesota; personal banking representative for First National Bank of Minneapolis (9-1/2 years), member of State Department Human Rights Advisory Council, Emissary to the West Indies for Minneapolis Aquatennial, member of Health, Education and Welfare National Policy and Procedure Council, weekly columnist, civic activist; assumed Commissionership May 30, 1978, current term ends January 1, 1984; married (LeRoy), six children.

### II. KEY STAFF

#### Minnesota Public Utilities Commission Support Staff

| | | | |
|---|---|---|---|
| Mary Louise Harty, Executive Secretary | 296-8994 | Jerome Pedersen, Transportation Rates | 296-2349 |
| Randy Young, Commission Support Director | 296-7526 | Jack Moran, Transportation Specialist | 296-4623 |
| Richard, McMillen, Technical Unit Supervisor | 296-0406 | Tim Perry, Transportation Specialist | 296-7131 |

#### Minnesota Public Service Department Staff

| | | | |
|---|---|---|---|
| Richard L. Auld, Director | 296-6025 | Cliff Swedenburg, Engineering Supervisor | 296-8294 |
| Ed Skluzacek, Weights, and Measures Division Director | 341-7205 | Robert Carlson, Special Services Supervisor | 296-7532 |
| Harold Nicholson, Financial Evaluation Supervisor | 296-8295 | Fran Sontag, Research and Information Services | 296-7602 |

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*Name change effective July 1, 1980

## MISSISSIPPI PUBLIC SERVICE COMMISSION

Office - 19th Floor, Walter Sillers State Office Building, Post Office Box 1174, Jackson, Mississippi 39205
Area Code (601) 354-7474

### I. COMMISSIONERS

Norman A. Johnson, Jr., Chairman, 354-7111: Democrat; born June 8, 1921, in Philadelphia, Mississippi; Mississippi State University (BS); U.S. Marine Corps in World War II, Mayor of Philadelphia, merchant, farmer, NARUC and SEARUC past President; assumed Commissionership January 1956, current term ends December 31, 1983; married (Mary Grace), two children.

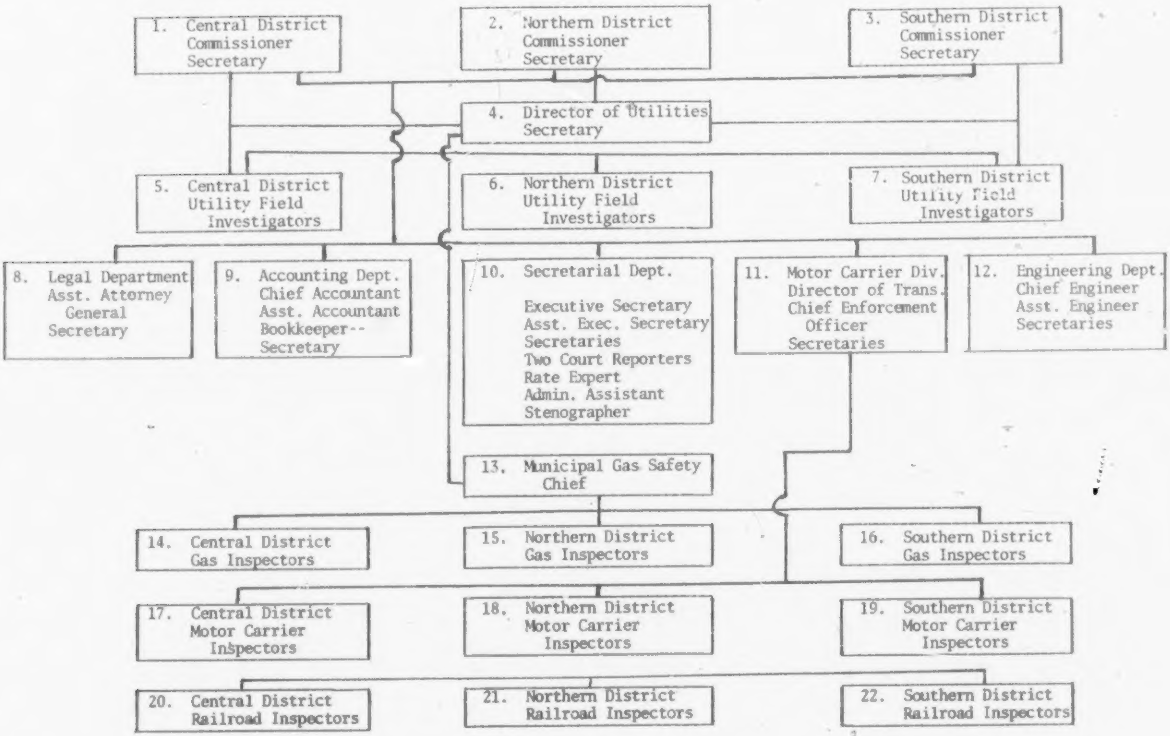
Lynn Havens, Vice Chairman, 354-7242: Democrat; born June 21, 1936, in Jackson County, Mississippi; Perkinston Junior College, University of Southern Mississippi, Mississippi Law Enforcement Academy; Mississippi PSC Chief Law Enforcement Officer, State Representative (1974-79); assumed Commissionership January 1, 1980, current term ends December 31, 1983; married (Wilma), three daughters.

D. W. Snyder, Commissioner, 354-7102: Democrat; born July 29, 1915, in Cumberland, Mississippi; Mississippi State University (BS); U.S. Army, county sheriff, teacher, coach, oil company manager, fire marshal, information and education officer for State forestry; assumed Commissionership January 1964, current term ends December 31, 1983; married (Estelle), two children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 11 | E. W. Robinson |
| Accounting | 5 | Douglas H. Brumfield |
| Engineering | 5 | G. S. Watrous, Jr. |
| Legal | 2 | B. E. Smith |
| Transportation | 27 | Paul W. Gaither |
| Utilities/Pipeline Safety Investigation | 21 | C. Keith Howle |
| Total | 71 | |

### III. ORGANIZATION CHART


1. Central District Commissioner Secretary
2. Northern District Commissioner Secretary
3. Southern District Commissioner Secretary
4. Director of Utilities Secretary
5. Central District Utility Field Investigators
6. Northern District Utility Field Investigators
7. Southern District Utility Field Investigators
8. Legal Department Asst. Attorney General Secretary
9. Accounting Dept. Chief Accountant Asst. Accountant Bookkeeper--Secretary
10. Secretarial Dept.
Executive Secretary
Asst. Exec. Secretary
Secretaries
Two Court Reporters
Rate Expert
Admin. Assistant
Stenographer
11. Motor Carrier Div. Director of Trans. Chief Enforcement Officer Secretaries
12. Engineering Dept. Chief Engineer Asst. Engineer Secretaries
13. Municipal Gas Safety Chief
14. Central District Gas Inspectors
15. Northern District Gas Inspectors
16. Southern District Gas Inspectors
17. Central District Motor Carrier Inspectors
18. Northern District Motor Carrier Inspectors
19. Southern District Motor Carrier Inspectors
20. Central District Railroad Inspectors
21. Northern District Railroad Inspectors
22. Southern District Railroad Inspectors

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures, Fiscal Year Ended June 30, 1979

#### Receipts

| | |
|---|---|
| General Fund Appropriation | -0- |
| Assessments of Regulated Companies | $ 842,325.00 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 64,019.00 |
| Other Receipts: | |
| Motor Carrier Tags and Permits | 1,774,923.00 |
| Total | $2,681,267.00 |

#### Expenditures

| | |
|---|---|
| Salaries and Wages | $ 953,626.60 |
| Supplies and Materials | 116,774.53 |
| Postage | 17,679.26 |
| Telephone and Telegraph | 4,428.36 |
| Travel Expense | 296,263.82 |
| Printing and Binding | 14,319.36 |
| Maintenance | 7,086.40 |
| Insurance and Bonding | 685.00 |
| Dues and Professional Services | 117,084.34 |
| Equipment | 11,247.89 |
| Contributions to Retirement System | 73,341.51 |
| Contributions to Social Security | 54,989.49 |
| Other Expense | 92,211.70 |
| Total | $1,759,738.26 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

| Number of Proceedings: | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Electric | Gas | Combination Electric & Gas | Water | Sewer | Totals |
|---|---|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 2 | 0 | 17 | 2 | 2 | 1 | 0 | 10 | 4 | 38 |
| Instituted in 1979 | 585 | 8 | 204 | 2 | 7 | 20 | 1 | 79 | 23 | 929 |
| Concluded in 1979 | 553 | 8 | 154 | 3 | 7 | 19 | 1 | 79 | 26 | 850 |
| Pending as of 12/31/79 | 32 | 0 | 50 | 1 | 2 | 2 | 0 | 10 | 1 | 98 |
| Number of Hearings in 1979 | 29 | 3 | 15 | 8 | 12 | 9 | 0 | 41 | 28 | 145 |
| Number of Hearing Days in 1979 | 20 | 3 | 9 | 8 | 12 | 7 | 0 | 34 | 20 | 113 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | 1 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail Passenger Carriers | Railroads | Telegraph | Telephone | Electric | Gas | Water | Sewer |
|---|---|---|---|---|---|---|---|---|---|
| Service | 36 | -- | 87 | 3 | 618 | 307 | 256 | 310 | 140 |
| Tariff | 2 | - | - | 1 | 217 | 98 | 101 | 115 | 3 |
| Billing | - | - | - | 1 | 249 | 275 | 413 | 201 | 10 |
| Safety | - | 2 | 62 | - | 2 | 29 | 25 | - | - |
| Miscellaneous | - | 1 | - | - | 2 | 12 | 10 | 2 | 3 |
| Total | 38 | 3 | 149 | 5 | 1,088 | 721 | 805 | 628 | 156 |

## MISSOURI PUBLIC SERVICE COMMISSION

Main Office - Jefferson Building, Post Office Box 360, Jefferson City, Missouri 65102,
Area Code (314) 751-3234

St. Louis Consumer Service Office - City Bank Building, Room 219, 4625 Lindell, St. Louis, Missouri 63108.

Kansas City Consumer Service Office - 615 East 13th Street, Room G-33, Kansas City, Missouri 64106

### I. COMMISSIONERS

Alberta C. Slavin, Chairman, 751-4132: Democrat; University of Michigan (BS, MS); President of Missouri Utility Consumers Council, Member of Governor's Energy Conservation Committee, Missouri PSC Commissioner (July 8 - August 22, 1977); assumed Commissionership November 23, 1977, current term ends April 15, 1979; married (Raymond), four children.

Leah Brock McCartney, Commissioner, 751-4221: Democrat; born December 1, 1911, in Ellisville, Mississippi; Lincoln University (BS), George Washington University (JD); private law practice, IRS attorney (1965-73), university professor, municipal judge (1973-77), civic activist; assumed Commissionership June 14, 1977, current term ends May 22, 1983; married (Victor).

Charles J. Fraas, Jr., Commissioner, 751-3243: Democrat; born November 22, 1937, in Kansas City, Missouri; Rockhurst College (BS), University of Missouri (JD); Marine Corps Reserve (1956-62), law clerk to U.S. 8th Circuit Judge (1964-65), private law practice (1965-67, 1974-77), assistant county prosecuting attorney (1966-67), chief warrant officer for county prosecuting attorney's office (1967-69, 1970-74), General Counsel for Data-Sys-Tance, Inc. (1969-70), instructor at Central Missouri State University (1970-72), associate county counselor (1974-77), instructor at Rockhurst College (1976); assumed Commissionership December 5, 1977, current term ends May 7, 1983; married (Judith), four children.

Larry Wayne Dority, Commissioner, 751-3233: Republican; born October 4, 1951, in Sedalia, Missouri; Drury College (BA), University of Missouri (JD); private law practice (1976-79); assumed Commissionership April 16, 1979, current term ends April 15, 1985; married (Daryl Lynne), two children.

Stephanie A. Bryant, Commissioner: born July 16, 1951; Central Missouri State College (BS); life insurance sales person (1975-76), redevelopment planner (1976), Municipal Manager for Kansas City Council (1976-79), Area Sales Supervisor for Helping Hand of Goodwill Industries (1979); assumed Commissionership July 24, 1979, current term ends April 15, 1981.

### II. STAFF

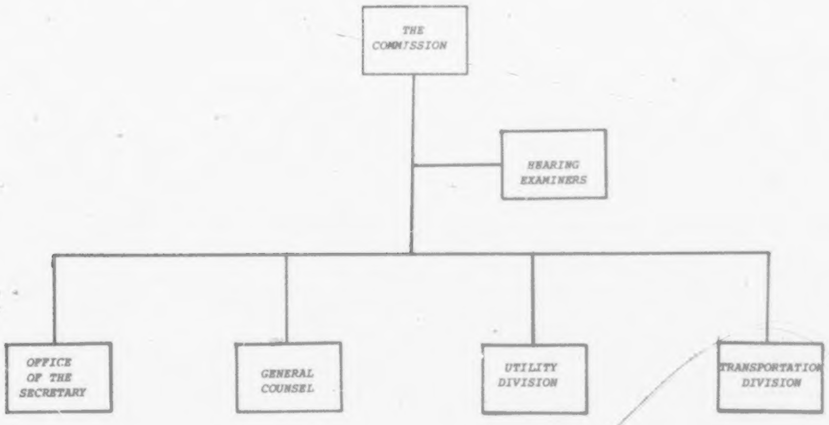
| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Division | 17 | Alberta C. Slavin, Chairman |
| Office of the Secretary | 34 | D. Michael Hearst |
| Commission Counsel | 14 | Kent M. Ragsdale |
| Utility Division | 105 | Kenneth E. Rademan |
| Transportation Division | 62 | Arthur L. Conover |
| Electronic Data Processing Division | 12 | Charles L. Sidebottom |
| Total | 244 | |

### III. ORGANIZATIONAL CHARTS

MISSOURI PUBLIC SERVICE COMMISSION

ORGANIZATION CHART

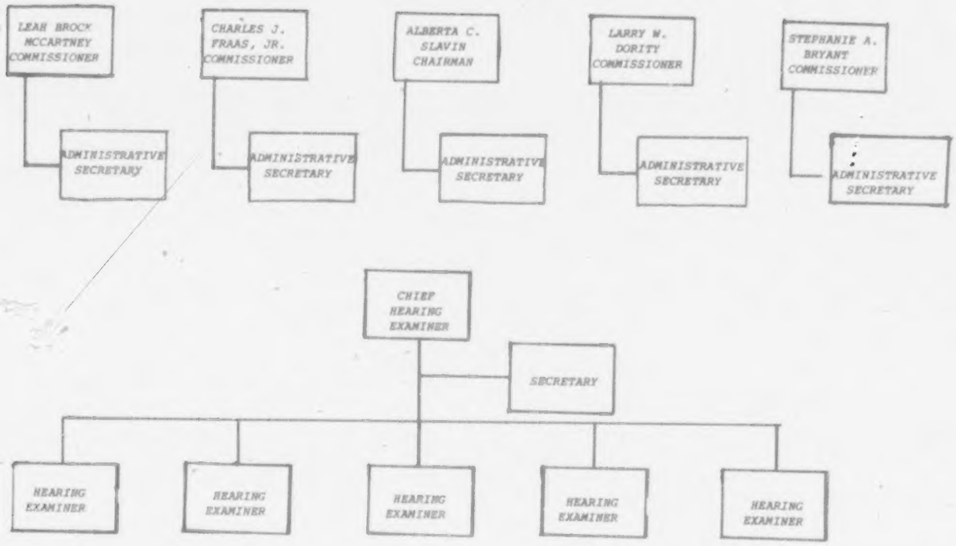
OCTOBER 5, 1979



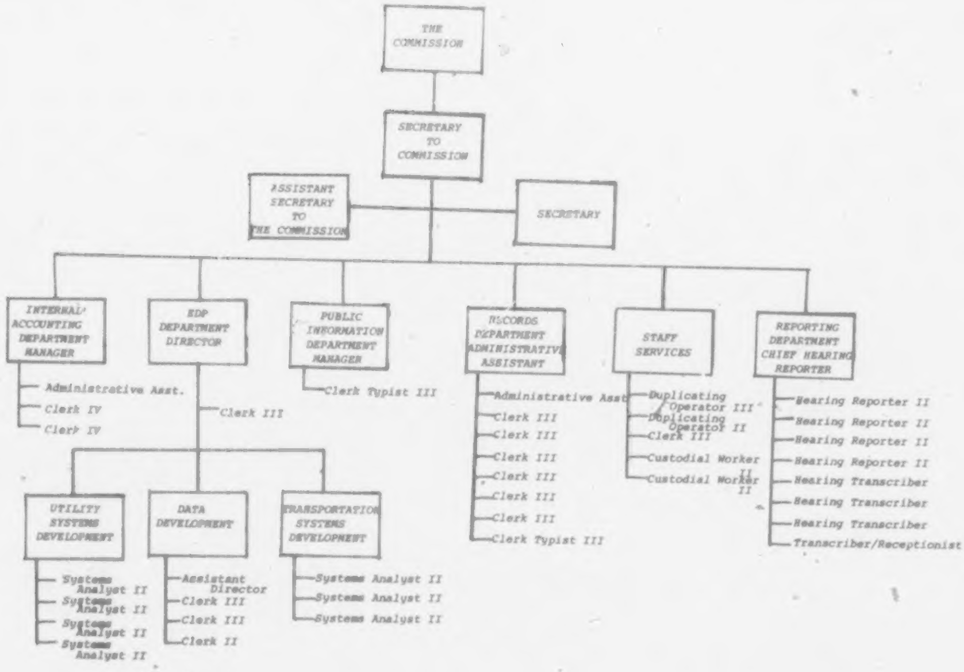
## MISSOURI PUBLIC SERVICE COMMISSION

### III. ORGANIZATIONAL CHARTS
(Continued)

*MISSOURI PUBLIC SERVICE COMMISSION*
*EXECUTIVE DIVISION*


LEAH BROCK MCCARTNEY COMMISSIONER
ADMINISTRATIVE SECRETARY
CHARLES J. FRAAS, JR. COMMISSIONER
ADMINISTRATIVE SECRETARY
ALBERTA C. SLAVIN CHAIRMAN
ADMINISTRATIVE SECRETARY
LARRY W. DORITY COMMISSIONER
ADMINISTRATIVE SECRETARY
STEPHANIE A. BRYANT COMMISSIONER
ADMINISTRATIVE SECRETARY
CHIEF HEARING EXAMINER
SECRETARY
HEARING EXAMINER
HEARING EXAMINER
HEARING EXAMINER
HEARING EXAMINER
HEARING EXAMINER

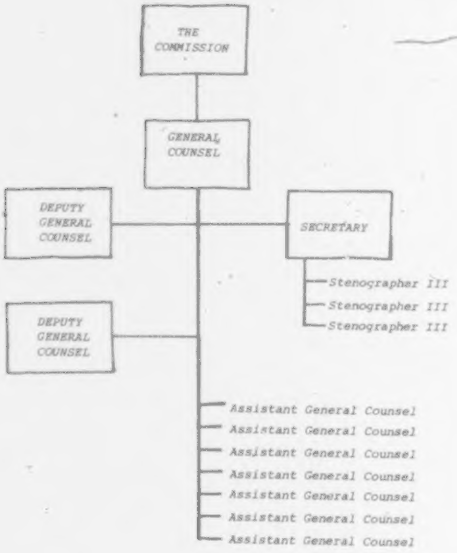
*MISSOURI PUBLIC SERVICE COMMISSION*
*SECRETARY TO THE COMMISSION*


THE COMMISSION
SECRETARY TO COMMISSION
ASSISTANT SECRETARY TO THE COMMISSION
SECRETARY
INTERNAL ACCOUNTING DEPARTMENT MANAGER
Administrative Asst.
Clerk IV
Clerk IV
EDP DEPARTMENT DIRECTOR
Clerk III
UTILITY SYSTEMS DEVELOPMENT
Systems Analyst II
Systems Analyst II
Systems Analyst II
Systems Analyst II
DATA DEVELOPMENT
Assistant Director
Clerk III
Clerk III
Clerk II
TRANSPORTATION SYSTEMS DEVELOPMENT
Systems Analyst II
Systems Analyst II
Systems Analyst II
PUBLIC INFORMATION DEPARTMENT MANAGER
Clerk Typist III
RECORDS DEPARTMENT ADMINISTRATIVE ASSISTANT
Administrative Asst
Clerk III
Clerk III
Clerk III
Clerk III
Clerk III
Clerk III
Clerk Typist III
STAFF SERVICES
Duplicating Operator III
Duplicating Operator II
Clerk III
Custodial Worker II
Custodial Worker II
REPORTING DEPARTMENT CHIEF HEARING REPORTER
Hearing Reporter II
Hearing Reporter II
Hearing Reporter II
Hearing Reporter II
Hearing Transcriber
Hearing Transcriber
Hearing Transcriber
Transcriber/Receptionist

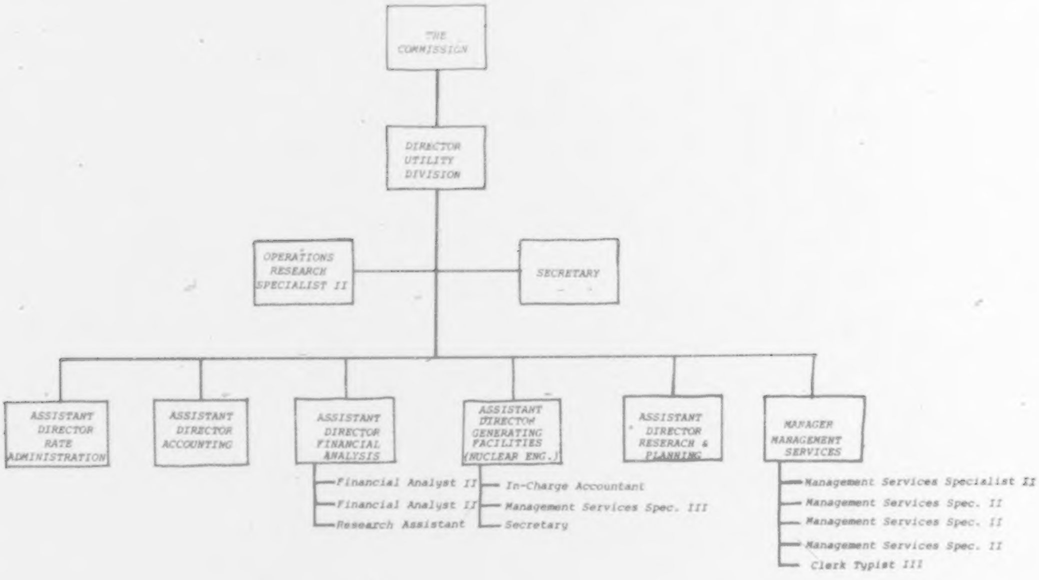
MISSOURI PUBLIC SERVICE COMMISSION

## III. ORGANIZATIONAL CHARTS
(Continued)

MISSOURI PUBLIC SERVICE COMMISSION
GENERAL COUNSEL


THE COMMISSION
GENERAL COUNSEL
DEPUTY GENERAL COUNSEL
SECRETARY
Stenographer III
Stenographer III
Stenographer III
DEPUTY GENERAL COUNSEL
Assistant General Counsel
Assistant General Counsel
Assistant General Counsel
Assistant General Counsel
Assistant General Counsel
Assistant General Counsel
Assistant General Counsel

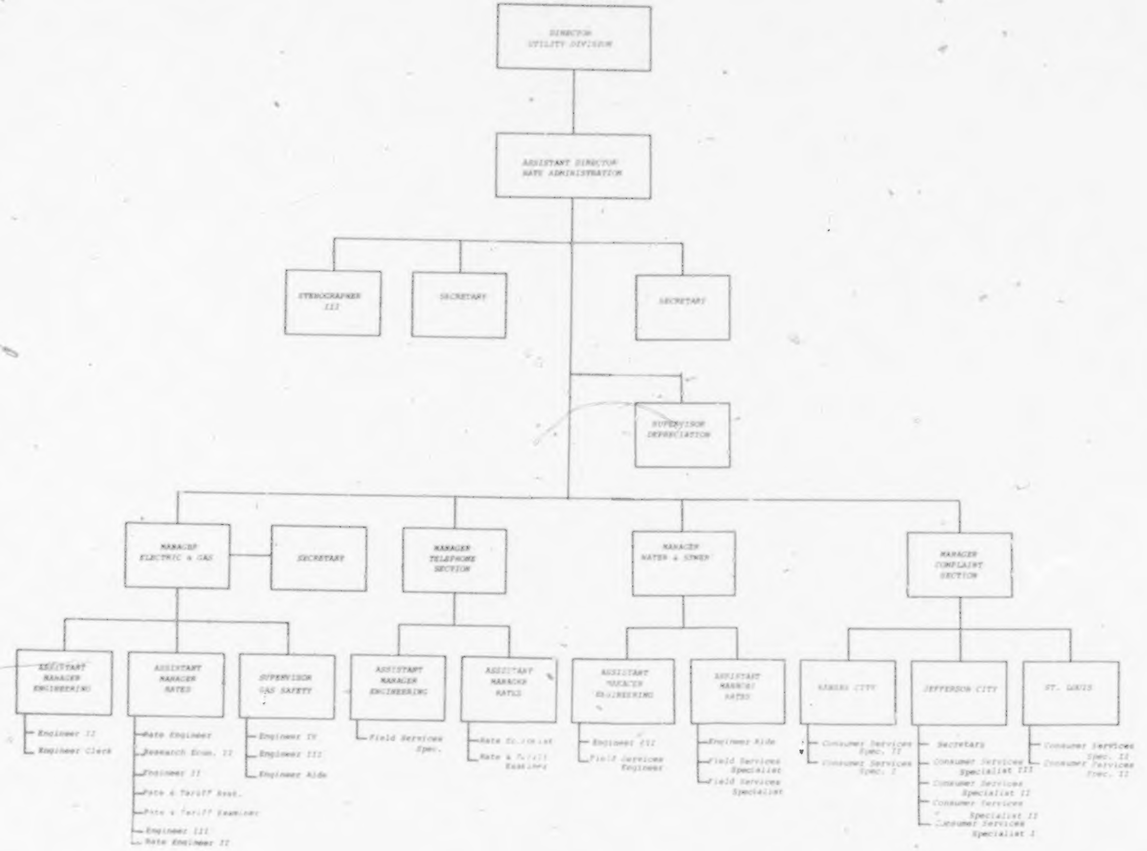
MISSOURI PUBLIC SERVICE COMMISSION
UTILITY DIVISION


THE COMMISSION
DIRECTOR UTILITY DIVISION
OPERATIONS RESEARCH SPECIALIST II
SECRETARY
ASSISTANT DIRECTOR RATE ADMINISTRATION
ASSISTANT DIRECTOR ACCOUNTING
ASSISTANT DIRECTOR FINANCIAL ANALYSIS
Financial Analyst II
Financial Analyst II
Research Assistant
ASSISTANT DIRECTOR GENERATING FACILITIES (NUCLEAR ENG.)
In-Charge Accountant
Management Services Spec. III
Secretary
ASSISTANT DIRECTOR RESERACH & PLANNING
MANAGER MANAGEMENT SERVICES
Management Services Specialist II
Management Services Spec. II
Management Services Spec. II
Management Services Spec. II
Clerk Typist III

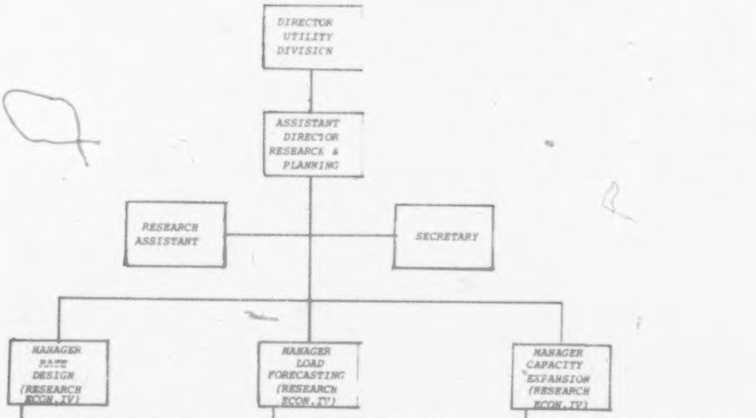
# MISSOURI PUBLIC SERVICE COMMISSION

## III. ORGANIZATIONAL CHARTS (Continued)

MISSOURI PUBLIC SERVICE COMMISSION
UTILITY DIVISION
RATE ADMINISTRATION

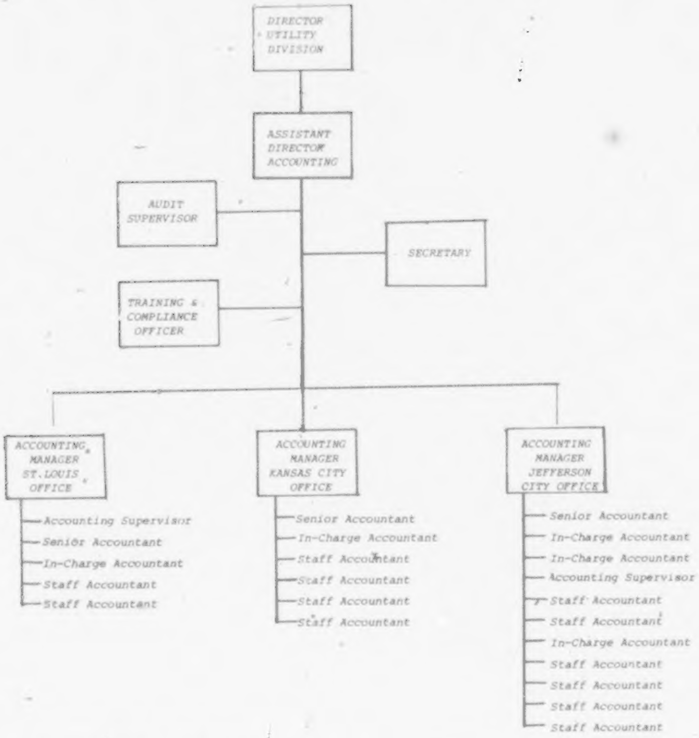

DIRECTOR UTILITY DIVISION
ASSISTANT DIRECTOR RATE ADMINISTRATION
STENOGRAPHER III
SECRETARY
SECRETARY
SUPERVISOR DEPRECIATION
MANAGER ELECTRIC & GAS
SECRETARY
MANAGER TELEPHONE SECTION
MANAGER WATER & SEWER
MANAGER COMPLAINT SECTION
ASSISTANT MANAGER ENGINEERING
Engineer II
Engineer Clerk
ASSISTANT MANAGER RATES
Rate Engineer
Research Econ. II
Engineer II
Rate & Tariff Asst.
Rate & Tariff Examiner
Engineer III
Rate Engineer II
SUPERVISOR GAS SAFETY
Engineer IV
Engineer III
Engineer Aide
ASSISTANT MANAGER ENGINEERING
Field Services Spec.
ASSISTANT MANAGER RATES
Rate Economist
Rate & Tariff Examiner
ASSISTANT MANAGER ENGINEERING
Engineer III
Field Services Engineer
ASSISTANT MANAGER RATES
Engineer Aide
Field Services Specialist
Field Services Specialist
KANSAS CITY
Consumer Services Spec. II
Consumer Services Spec. I
JEFFERSON CITY
Secretary
Consumer Services Specialist III
Consumer Services Specialist II
Consumer Services Specialist II
Consumer Services Specialist I
ST. LOUIS
Consumer Services Spec. II
Consumer Services Spec. II

MISSOURI PUBLIC SERVICE COMMISSION
UTILITY DIVISION
RESEARCH & PLANNING

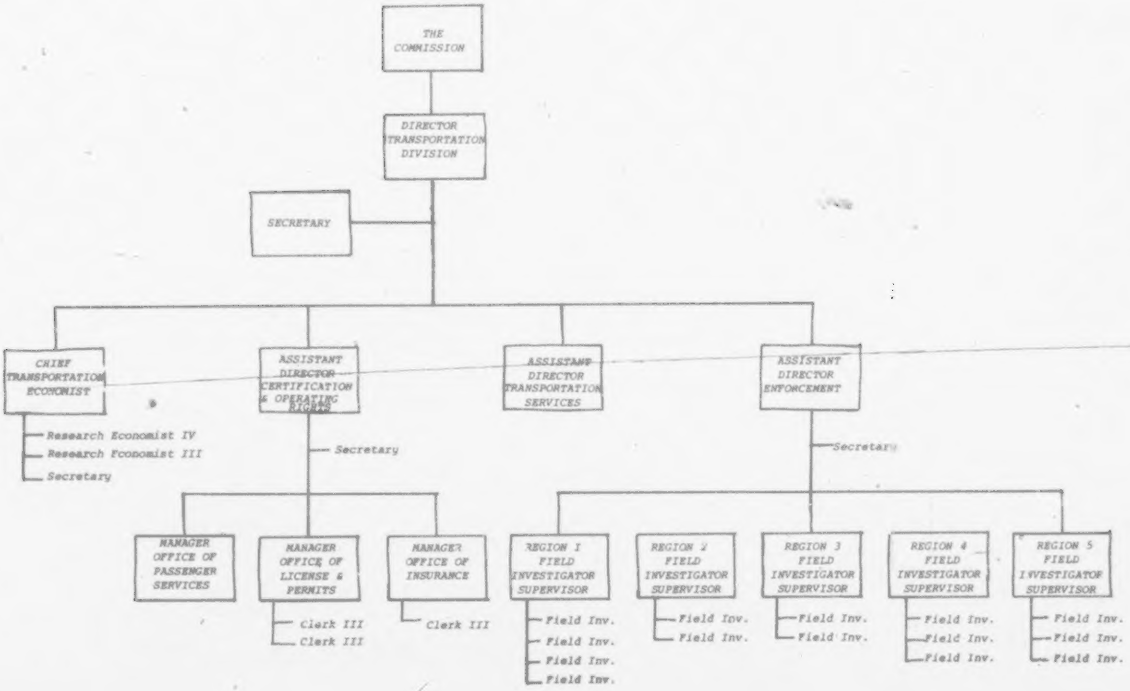

DIRECTOR UTILITY DIVISION
ASSISTANT DIRECTOR RESEARCH & PLANNING
RESEARCH ASSISTANT
SECRETARY
MANAGER RATE DESIGN (RESEARCH ECON. IV)
Research Economist III
Research Economist II
Research Economist IV
Research Economist III (.5)
MANAGER LOAD FORECASTING (RESEARCH ECON. IV)
Research Economist II
Research Economist II
MANAGER CAPACITY EXPANSION (RESEARCH ECON. IV)
Operations Research Spec. II
Research Economist II

## III. ORGANIZATIONAL CHARTS
(Continued)

MISSOURI PUBLIC SERVICE COMMISSION
UTILITY DIVISION
ACCOUNTING

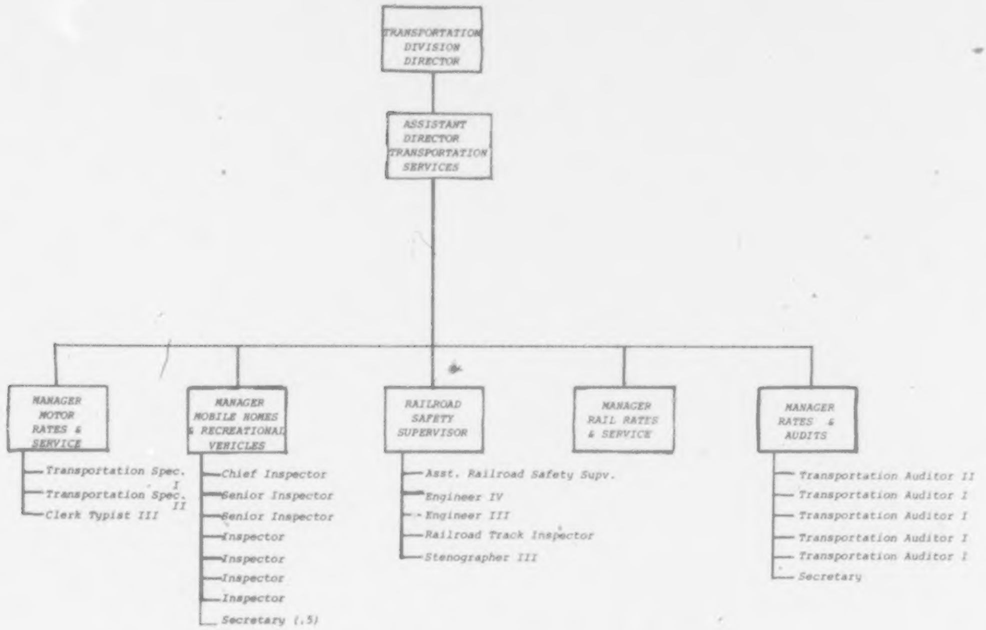

DIRECTOR UTILITY DIVISION
ASSISTANT DIRECTOR ACCOUNTING
AUDIT SUPERVISOR
SECRETARY
TRAINING & COMPLIANCE OFFICER
ACCOUNTING MANAGER ST.LOUIS OFFICE
Accounting Supervisor
Senior Accountant
In-Charge Accountant
Staff Accountant
Staff Accountant
ACCOUNTING MANAGER KANSAS CITY OFFICE
Senior Accountant
In-Charge Accountant
Staff Accountant
Staff Accountant
Staff Accountant
Staff Accountant
ACCOUNTING MANAGER JEFFERSON CITY OFFICE
Senior Accountant
In-Charge Accountant
In-Charge Accountant
Accounting Supervisor
Staff Accountant
Staff Accountant
In-Charge Accountant
Staff Accountant
Staff Accountant
Staff Accountant
Staff Accountant

MISSOURI PUBLIC SERVICE COMMISSION
TRANSPORTATION DIVISION


THE COMMISSION
DIRECTOR TRANSPORTATION DIVISION
SECRETARY
CHIEF TRANSPORTATION ECONOMIST
Research Economist IV
Research Economist III
Secretary
ASSISTANT DIRECTOR CERTIFICATION & OPERATING RIGHTS
Secretary
MANAGER OFFICE OF PASSENGER SERVICES
MANAGER OFFICE OF LICENSE & PERMITS
Clerk III
Clerk III
MANAGER OFFICE OF INSURANCE
Clerk III
ASSISTANT DIRECTOR TRANSPORTATION SERVICES
ASSISTANT DIRECTOR ENFORCEMENT
Secretary
REGION 1 FIELD INVESTIGATOR SUPERVISOR
Field Inv.
Field Inv.
Field Inv.
Field Inv.
REGION 2 FIELD INVESTIGATOR SUPERVISOR
Field Inv.
Field Inv.
REGION 3 FIELD INVESTIGATOR SUPERVISOR
Field Inv.
Field Inv.
REGION 4 FIELD INVESTIGATOR SUPERVISOR
Field Inv.
Field Inv.
Field Inv.
REGION 5 FIELD INVESTIGATOR SUPERVISOR
Field Inv.
Field Inv.
Field Inv.

## III. ORGANIZATIONAL CHARTS
(Continued)

MISSOURI PUBLIC SERVICE COMMISSION
TRANSPORTATION SERVICES


TRANSPORTATION DIVISION DIRECTOR
ASSISTANT DIRECTOR TRANSPORTATION SERVICES
MANAGER MOTOR RATES & SERVICE
Transportation Spec. I
Transportation Spec. II
Clerk Typist III
MANAGER MOBILE HOMES & RECREATIONAL VEHICLES
Chief Inspector
Senior Inspector
Senior Inspector
Inspector
Inspector
Inspector
Inspector
Secretary (.5)
RAILROAD SAFETY SUPERVISOR
Asst. Railroad Safety Supv.
Engineer IV
Engineer III
Railroad Track Inspector
Stenographer III
MANAGER RAIL RATES & SERVICE
MANAGER RATES & AUDITS
Transportation Auditor II
Transportation Auditor I
Transportation Auditor I
Transportation Auditor I
Transportation Auditor I
Secretary

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures for Fiscal Year Ended June 30, 1979

#### Receipts for Fiscal Year Ended June 30, 1979

| | |
|---|---|
| Assessment of Regulated Utility and Railroad Companies | $3,223,228 |
| Federal Grant-In-Aid for: | |
| Railroad Safety | 47,469 |
| Gas Pipeline Safety | ------ |
| Sale of Truck and Bus Licenses | 2,022,786 |
| Mobile Home Division Receipts | 155,584 |
| Miscellaneous Receipts | 78,849 |
| Grade Crossing Fund | 289,862 |
| Miscellaneous Refunds | 183 |
| Total Receipts | 5,817,961 |
| Total Operating Budget Appropriated for Fiscal Year Ended June 30, 1979 | $4,938,434 |

#### Operating Expenditures for Fiscal Year Ended June 30, 1979

| | |
|---|---|
| Salaries and Wages | $3,312,888 |
| Supplies and Materials | 48,390 |
| Postage | 36,908 |
| Telephone and Telegraph | 96,494 |
| Travel Expense | 228,385 |
| Printing and Binding | 22,116 |
| Motor Vehicle Operation and Maintenance | 35,738 |
| Professional and Technical Services | 124,676 |
| Electronic Data Processing Expense | 237,851 |
| Dues | 1,955 |
| Other Expense | 9,933 |
| Purchase of Automobiles | 41,871 |
| Purchase of Office and Other Equipment | 23,616 |
| Total Operating Expenditures | $4,220,821 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings for Year Ending December 31, 1979

#### Carriers and Utilities

| | |
|---|---|
| Cases Assigned: | |
| Utility | 304 |
| Transportation | 177 |
| Orders Issued | 3,349 (621 utility, 2,728 transportation) |
| Hearings | 231 |
| Hearing Hours | 8,895 |
| Transcript Pages | 27,666 |
| Court Cases | 115 |
| New Appeals | 90 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

#### Transportation

| | Motor Freight Carriers | Railroads |
|---|---|---|
| Service | 8 | - |
| Tariff | 18 | - |
| Safety | - | 171 |
| Miscellaneous | 43 | 104 |
| Total | 69 | 275 |

#### Utilities

| | Telephone | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|
| Service | 2,889 | 250 | 164 | 85 | 67 | 38 | 9 |
| Tariff | 284 | 196 | 121 | 87 | 31 | 21 | 6 |
| Billing | 522 | 410 | 855 | 129 | 47 | 16 | 4 |
| Miscellaneous | 1,000 | 766 | 1,028 | 263 | 95 | 15 | 4 |
| Total | 4,695 | 1,622 | 2,168 | 564 | 240 | 90 | 23 |

# MONTANA PUBLIC SERVICE COMMISSION

Office - 1227 - 11th Avenue, Helena, Montana 59601, Area Code (406)

## I. COMMISSIONERS

Gordon E. Bollinger, Chairman, 449-3017: Democrat; born July 6, 1915, in Piapot, Saskatchewan, Canada; U.S. Navy, shopping center operator, realtor, City Councilman (1956-62), Mayor (1956-58), State Food Board (1960-62), State Representative (1965-69), State Senator (1969-75), City-County Airport Board (1969-75); assumed Commissionership January 6, 1975, current term ends December 31, 1982; married (Marie), three children.

James R. Shea, Commissioner, 449-3051: Democrat; born February 24, 1915, in Walkerville, Montana, life and casualty insurance business, Mayor (1941-74), State Senator (1971-75); assumed Commissionership January 6, 1975, current term ends January 5, 1981; married (Mary), four children.

George Turman, Commissioner, 449-3447: Democrat; born June 25, 1928, in Missoula, Montana; University of Montana (BA); U.S. Army Officer in Korean Conflict, Federal Reserve Bank of San Francisco and Seattle Branch Bank (1954-64), Mayor (1970-72), State Representative (1972-74); assumed Commissionership January 6, 1975, current term ends January 5, 1981; married (Kathleen), five children.

Thomas J. Schneider, Commissioner, 449-3018: Democrat; born April 10, 1948, in Philipsburg, Montana; Montana College of Mineral Science and Technology (BS in Petroleum Engineering); consulting petroleum engineer (1970-76); assumed Commissionership January 3, 1977, current term ends January 5, 1981; married (Susan), two daughters.

Clyde Jarvis, Commissioner, 449-3019: Democrat; born April 15, 1922, in Fort Belknap, Montana; Western Montana College (BS), Montana State University (MA); U.S. Army in World War II, public school administrator; assumed Commissionership January 1, 1979, current term ends December 31, 1982; two children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| PURPA | 4 | Judy Curtis (Chief, Rate Design) |
| Secretary | 3 | Dan Elliott, Administrator |
| Accounting | 4 | Dan Elliott, Administrator |
| Economics | 1 | Dan Elliott, Administrator |
| Engineering | 1 | Dan Elliott, Administrator |
| Legal | 3 | Eileen Shore, Administrator |
| Motor Carriers | 7 | Wayne Budt, Administrator |
| Railroads | 1 | Wayne Budt, Administrator |
| Administration | 7 | Madeline Cottrill, Administrator |
| Total | 31 | |

## III. RECEIPTS AND EXPENDITURES

PART A - OBJECT SUMMARY

Financial Report, Public Service Commission, Fiscal Period 7/1/78 to 6/30/79

| EXPENSES | BUDGET | CURRENT MONTH | INCEP. TO DATE | ENCUMBERED | BALANCE | %COM |
|---|---|---|---|---|---|---|
| NO EXPENDITURE OBJECT BUDGETED-$844,650.00 | | | | | $844,650.00 | |
| PERSONAL SERVICES | | | | | | |
| SALARIES | | | | | | |
| 1101 REGULAR | | $21,052.02 | $595,370.57 | | | |
| 1103 SICK LEAVE | | | 1,195.79 | | | |
| 1104 VACATION | | | 1,993.04 | | | |
| 1113 LONGEVITY | | 84.08 | 2,321.47 | | | |
| 1100-TOTAL SALARIES | | $21,136.10 | $600,880.87 | | $600,880.87- | |
| EMPLOYEE BENEFITS | | | | | | |
| 1401 FICA | | 1,295.63 | 33,478.37 | | | |
| 1402 RETIREMENT - OTHER | | 1,250.79 | 35,740.06 | | | |
| 1403 GROUP INSURANCE | | 495.00 | 13,140.00 | | | |
| 1404 WORKERS COMPENSATION INSUR | | 106.07 | 3,109.57 | | | |
| 1410 STATE UNEMPLOYMENT TAX | | 69.12 | 1,952.74 | | | |
| 1400-TOTAL EMPLOYEE BENEFITS | | $ 3,216.61 | $ 87,420.74 | | $ 87,420.74- | |
| 1000-TOTAL PERSONAL SERVICES | | $24,352.71 | $688,301.61 | | $688,301.61- | |
| OPERATING EXPENSES | | | | | | |
| CONTRACTED SERVICES | | | | | | |
| 2102 CONSULT & PROF SERVICES | | 844.88 | 13,642.80 | | | |
| 2104 INSURANCE AND BONDS | | | 1,207.65 | | | |
| 2110 PRINTING | | 1,203.98 | 6,044.17 | | | |
| 2100-TOTAL CONTRACTED SERVICES | | $ 2,048.86 | $ 20,894.62 | | $ 20,894.62- | |
| SUPPLIES & MATERIALS | | | | | | |
| 2203 CLOTHING & PERSONAL | | | 20.00 | | | |
| 2211 OFFICE | | 1,661.71 | 7,674.28 | | | |
| 2215 VEHICULAR | | 619.56 | 1,138.81 | | | |
| 2216 GASOLINE | | 547.05 | 4,990.79 | | | |
| 2200-TOTAL SUPPLIES & MATERIALS | | $ 2,828.32 | $ 13,823.88 | | $ 13,823.88- | |

MONTANA PUBLIC SERVICE COMMISSION

## III. RECEIPTS AND EXPENDITURES
(Continued)

PART A - OBJECT SUMMARY

| EXPENSES | BUDGET | CURRENT MONTH | INCEP. TO DATE | ENCUMBERED | BALANCE | %COM |
|---|---|---|---|---|---|---|
| COMMUNICATIONS | | | | | | |
| 2301 TELEPHONE - LOCAL SERV & EQUIP | | 632.96 | 7,151.27 | | | |
| 2302 TELEPHONE - LONG DISTANCE USE | | 242.01 | 1,171.23 | | | |
| 2304 POSTAGE & MAILING | | 855.51 | 10,924.79 | | | |
| 2307 MESSENGER SERVICES | | 39.00 | 1,535.83 | | | |
| 2314 TELEPHONE - STS USAGE | | 1,270.08 | 7,158.76 | | | |
| 2316 TELEPHONE - ONETIME CHARGES | | | 50.67 | | | |
| 2300-TOTAL COMMUNICATIONS | | $ 3,039.56 | $ 27,992.55 | | $ 27,992.55- | |
| TRAVEL | | | | | | |
| 2401 IN-STATE PERSONAL CAR MILEAGE | | 34.34 | 759.24 | | | |
| 2402 IN-STATE COMMERCIAL TRANSPORT | | 228.00 | 243.70 | | | |
| 2404 IN-STATE STATE MOTOR POOL | | 422.63 | 1,475.41 | | | |
| 2407 IN-STATE MEALS | | 271.00 | 6,651.00 | | | |
| 2408 IN-STATE LODGING | | 310.00 | 5,015.01 | | | |
| 2411 OUT-OF-STATE PERSONAL CAR MLGE | | | 293.64 | | | |
| 2412 OUT-OF-STATE COMMERCIAL TRANS | | | 1,272.10 | | | |
| 2417 OUT-OF-STATE MEALS | | | 499.77 | | | |
| 2418 OUT-OF-STATE LODGING | | | 1,801.83 | | | |
| 2499 GENERAL | | 4.96 | 390.16 | | | |
| 2400-TOTAL TRAVEL | | $ 1,270.93 | $ 18,401.86 | | $ 18,401.86- | |
| RENT | | | | | | |
| 2502 BUILDINGS | | | 37,180.36 | | | |
| 2504 OFFICE EQUIPMENT | | | 10,879.26 | | | |
| 2500-TOTAL RENT | | | $ 48,059.62 | | $ 48,059.62- | |
| UTILITIES | | | | | | |
| 2601 ELECTRICITY | | 217.71 | 2,477.55 | | | |
| 2603 NATURAL GAS | | 11.65 | 947.99 | | | |
| 2600 TOTAL UTILITIES | | $ 229.36 | $ 3,425.54 | | $ 3,425.54- | |
| REPAIR & MAINTENANCE | | | | | | |
| 2704 OFFICE EQUIPMENT | | 511.75 | 2,959.97 | | | |
| 2706 VEHICLES - PASSENGER | | 435.70 | 1,207.85 | | | |
| 2724 OIL | | 40.22 | 242.08 | | | |
| 2727 TIRES & TUBES | | | 366.23 | | | |
| 2700 - TOTAL REPAIR & MAINTENANCE | | $ 987.67 | $ 4,776.13 | | $ 4,776.13- | |
| OTHER EXPENSES | | | | | | |
| 2801 DUES | | | 995.00 | | | |
| 2802 SUBSCRIPTIONS | | 35.20 | 2,702.77 | | | |
| 2809 REGIS FEES FOR TRAINING CONFER | | | 51.50 | | | |
| 2814 TUITION | | | 355.00 | | | |
| 2899 GENERAL | | 848.49 | 5,782.30 | | | |
| 2800-TOTAL OTHER EXPENSES | | $ 883.69 | $ 9,886.57 | | $ 9,886.57- | |
| 2000-TOTAL OPERATING EXPENSES | | $11,288.39 | $147,260.77 | | $147,260.77- | |
| * * * TOTALS FOR EXPENSES | $844,650.00 | $35,641.10 | $835,562.38 | | $ 9,087.62 | 99% |

## IV. COMPLAINTS*

Complaint Tabulation for Year Ended December 31, 1979

| | Telegraph | Telephone | Electric | Gas | Combination Electric & Gas | Water | Sewer | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|---|
| Service | 0 | 267 | 26 | 4 | 13 | 23 | 1 | 4 |
| Tariff | 0 | 13 | 2 | 3 | 2 | 1 | 0 | 2 |
| Billing | 0 | 180 | 16 | 24 | 97 | 18 | 3 | 5 |
| Safety | 0 | 2 | 2 | 0 | 1 | 0 | 0 | 0 |
| Miscellaneous | 0 | 4 | 0 | 0 | 3 | 0 | 0 | 0 |
| Total | 0 | 466 | 46 | 31 | 116 | 42 | 4 | 11 |

*No records of transportation complaints kept at this time.

# NEBRASKA PUBLIC SERVICE COMMISSION

Office - 301 Centennial Mall South, Post Office Box 94927, Lincoln, Nebraska 68509, Area Code (402) 471-3101

## I. COMMISSIONERS

Harold D. Simpson, Chairman; Republican; born June 1, 1926, in Harlan, Iowa; U.S. Army Air Force (1944-47), mill worker, State Senator (1967-77); assumed Commissionership January 6, 1977, current term ends January 4, 1983; married, six children.

James F. Munnelly, Commissioner; Democrat; born February 22, 1922, in Omaha, Nebraska; public relations, accountant, businessman; assumed Commissionership January 1, 1967, current term ends January 1, 1985; married (Nadine), four children.

Eric Rasmussen, Commissioner; Republican; born February 9, 1926, in York County, Nebraska; State senator, farmer, U.S. Army in World War II; assumed Commissionership January 1969, current term ends December 1980; married (Marie), two children.

Duane D. Gay, Commissioner; Republican; born January 24, 1932, in Clifton, Kansas; University of Nebraska (BS); U.S. Army, real estate and insurance broker, registered representative for securities; assumed Commissionership January 7, 1971, current term ends December 1982; married (Ina), five children.

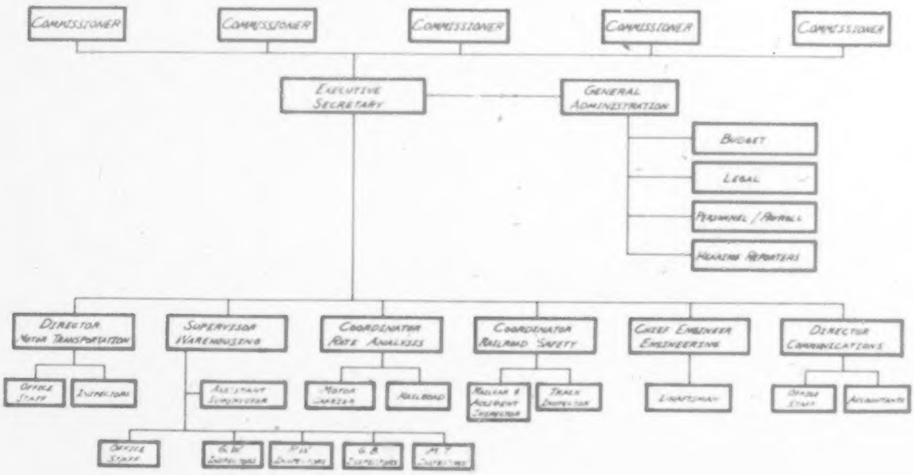
Jack Romans, Commissioner; Republican; born August 6, 1914, in North Loup, Nebraska; trucking firm owner, State Senator (1958-60); assumed Commissionership January 1975, current term ends December 1980; married (Glea), four children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| General Administration | 9 | Terrence L. Kubicek |
| Secretarial | 2 | |
| Supply Manager | 1 | |
| Motor Transportation | 11 | Ralph Saathoff |
| Warehousing | 21 | Rich Sanne |
| Rate | 3 | Mason Myers |
| Railroad Safety | 1 | Chuck Faulder |
| Engineering | 2 | Gene Hand |
| Communications | 4 | Herb Sherdon |
| Total | 54 | |

## III. ORGANIZATION CHART

As the result of the appointment of a new Executive Secretary on July 1, 1980, the organization of the Public Service Commission was streamlined and clarified.


Commissioner
Commissioner
Commissioner
Commissioner
Commissioner
Executive Secretary
General Administration
Budget
Legal
Personnel / Payroll
Hearing Reporters
Director Motor Transportation
Office Staff
Inspectors
Supervisor Warehousing
Assistant Supervisor
Office Staff
G.W. Inspectors
H.W. Inspectors
G.B. Inspectors
M.T. Inspectors
Coordinator Rate Analysis
Motor Carrier
Railroad
Coordinator Railroad Safety
Railcar & Accident Inspector
Track Inspector
Chief Engineer Engineering
Draftsman
Director Communications
Office Staff
Accountants

## IV. RECEIPTS AND EXPENDITURES

### PUBLIC SERVICE COMMISSION
### STATEMENT OF RECEIPTS
### YEAR ENDED JUNE 30, 1979

| | | | |
|---|---|---|---|
| GENERAL ADMINISTRATION | RATES & SERVICES | ENGINEERING | |
| Air Carrier Permits | $ 50.00 | | |
| Applications - Rate | 2,358.50 | | |
| Applications - Engineering | 4,640.00 | | |
| Application - General Administration | 787.00 | | |
| Transcripts, Copies, Tariffs, etc | 3,796.60 | | |
| FRA Program | 13,797.68 | | |
| Sale of Surplus Property | 3,909.81 | | $29 339.59 |
| GRAIN WAREHOUSE | GRAIN BUYERS & SELLERS | MOISTURE TESTING | |
| New Licenses | $47,055.00 | | |
| Change of Licenses | 2,965.00 | | |
| Increased Storage | 1,495.00 | | |
| Applications - Special Rates and Charges | 15.00 | | |
| Receipt Book | 12,468.55 | | |
| Commodity Credit Corporation Examinations | 72,997.00 | $136,995.55 | |
| Grain buyer Licenses | $11,125.00 | | |
| Grain Buyer Registrations | 19,080.00 | $30,205.00 | |
| Moisture Testing | | 7,117.50 | $174,318.05 |
| PUBLIC WAREHOUSE | | | |
| New Licenses | $ 8,925.00 | | |
| Change of Licenses | 240.00 | | |
| Receipt Book | 333.50 | | $9,498.50 |
| COMMUNICATIONS | | | |
| Security Fees | $ 2,785.30 | | |
| Applications | 2,630.00 | | $5,415.30 |
| MOTOR TRANSPORTATION | | | |
| Itinerant Merchant Taxes and Licenses | $ 525.00 | | |
| PSC Plates - Bus | 15,612.15 | | |
| PSC Plates - Truck | 106,707.81 | | |
| Application Fees - Bus | 830.00 | | |
| Application Fees - Truck | 6,480.00 | | |
| Trip Leases | 316.00 | | |
| Lost Plates | 100.00 | $130,570.96 | |
| Interstate Carrier Stamps | 255,387.00 | | |
| Interstate Carrier Registrations | 27,440.00 | $282,827.00 | $413,397.96 |
| | | | $631,969.40 |

### PUBLIC SERVICE COMMISSION
### STATEMENT OF EXPENDITURES
### YEAR ENDED JUNE 30. 1979
### BY ORGANIZATION UNIT

| | | |
|---|---|---|
| Salaries of the Commissioners | | $113,574.00 |
| Expenses of the Commissioners | | 10,696.41 |
| General Administration | | 243,258.54 |
| Rates & Services | | 28,394.86 |
| Engineering | | 31,300.00 |
| Track Inspection | | 20,981.62 |
| Communications | | 65,047.78 |
| Motor Transportation | | 188,214.46 |
| Warehousing: | | |
| Grain Warehousing | $280,804.18 | |
| Moisture Testing | 44,165.89 | |
| Public Warehousing | 12,768.30 | |
| | $337,738.37 | 337,738.37 |
| | | $1,039,206.04 |

### BY JOB CLASSIFICATION

| | | |
|---|---|---|
| Personal Services: | | |
| Commissioners | $113,574.00 | |
| All Other | 679,039.83 | $796,613.83 |
| Operating Expenses | | 125,521.09 |
| Supplies & Materials | | 36,344.88 |
| Travel | | 46,968.21 |
| Capital Outlays | | 37,758.03 |
| | | $1,039,206.04 |

NEBRASKA PUBLIC SERVICE COMMISSION

## V. VOLUME OF PROCEEDINGS

### Volume of Proceedings Before the PSC for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Tele-phone | Totals |
|---|---|---|---|---|---|
| Number of Proceedings: | | | | | |
| Pending as of 1/1/79 | 37 | 10 | 12 | 61 | 120 |
| Instituted in 1979 | 261 | 46 | 68 | 151 | 526 |
| Concluded in 1979 | 265 | 49 | 65 | 151 | 530 |
| Pending as of 12/31/79 | 33 | 7 | 15 | 61 | 116 |
| Number of Hearings in 1979 | 141 | 22 | 14 | 42 | 219 |
| Number of Hearing Days in 1979 | 43 | 16 | 6 | 38 | 103 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 0 | 1 | 1 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone |
|---|---|---|---|
| Service | 3 | - | 43 |
| Tariff | - | 1 | 16 |
| Billing | - | - | 43 |
| Safety | - | 6 | - |
| Miscellaneous | 6 | - | 40 |
| Total | 9 | 7 | 142 |

# NEVADA PUBLIC SERVICE COMMISSION

Office - Capitol Complex, Kinkead Building, 505 East King Street, Carson City, Nevada 89701, Area Code (702) 885-4180

Las Vegas, Nevada, Area Code (702) 385-0201

## I. COMMISSIONERS

Heber P. Hardy, Chairman 885-4117: Democrat; born December 25, 1928, in St. George, Utah; Brigham Young University (BS), George Washington University (LLB); U.S. Army, teacher, legislative assistant to U.S. Senator, Deputy City Attorney, Deputy District Attorney; assumed Commissionership February 15, 1971, current term ends June 30, 1981; married (Barbara), five children.

Janet S. MacDonald, Commissioner, 885-4117: Republican; born May 6, 1941, in Glenwood Springs, Colorado; University of Nevada (BS), University of the Pacific McGeorge School of Law; CPA, auditor with public accounting firms (1965-68), IRS field agent (1968), Director of Internal Audit for University of Nevada System (1969-77); assumed Commissionership July 1, 1977, current term ends June 30, 1980; one son.

Roger C. Bos, Commissioner, 885-4117: Republican; born June 10, 1929, in Grand Rapids, Michigan; U.S. Naval Academy (BS), U.S. Naval Post Graduate School (MS); U.S. Navy Officer retiring as Captain (1952-79); assumed Commissionership July 1, 1979, current term ends June 30, 1983; married (Rhoda), three children.

## II. KEY STAFF

| | |
|---|---|
| William W. Proksch, Jr., Secretary | 885-5397 |
| Hampton Young, Deputy Attorney General | 885-5037 |
| Patrick Fagan, Deputy Commissioner | 885-5693 |
| Robert Johnson, Staff Counsel | 885-5694 |
| Robert B. Clark, Director of Consumer Affairs | |
| John Walley, Assistant Staff Counsel | 885-5693 |
| Ed Ives, Economist | 885-4180 |
| Kenneth D. Smith, Chief Auditor | |
| Harold K. Peterson, Director of Transportation | 885-5116 |
| Robert Silva, Utility Rate Specialist | 885-5134 |
| James R. McCauley, Transportation Rate Specialist | 885-5116 |
| Lawrence E. Ita, Chief Engineer | 885-5134 |

## III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by Nevada PSC as of Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| Assessments of Regulated Companies | $1,124,440 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 14,363 |
| Amount Forwarded to New Year | (53,937) |
| Highway Appropriation | 413,544 |
| Application Fees | 49,730 |
| Reimbursement Federal Energy and Aviation | 45,652 |
| Total | $1,593,792 |

| Expenditures | |
|---|---|
| Salaries and Wages | $1,097,827 |
| Supplies and Materials | 11,614 |
| Postage | 9,415 |
| Telephone and Telegraph | 23,159 |
| Travel Expense | 52,865 |
| Printing and Binding | 16,276 |
| Motor Vehicle Operation | 39,175 |
| Maintenance | 779 |
| Insurance and Bonding | 1,159 |
| Dues and Professional Services | 178,559 |
| Equipment | 10,882 |
| Contributions to Retirement System | 81,955 |
| Other Expense (Bldg. Rental 66,232 EDP 3,892) | 70,124 |
| Total | $1,593,789 |

## NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

Office - 8 Old Suncook Road, Building #1, Concord, New Hampshire 03301, Area Code (603) 271-2452

### I. COMMISSIONERS

J. Michael Love, Chairman, 271-2442: Independent; born October 9, 1951, in Detroit, Michigan; University of Michigan (BA), University of Detroit Law School (JD); Public Advocate, Legislative Utility Consumers' Council; assumed Commissionership February 14, 1979, current term ends June 30, 1983; married (Alice).

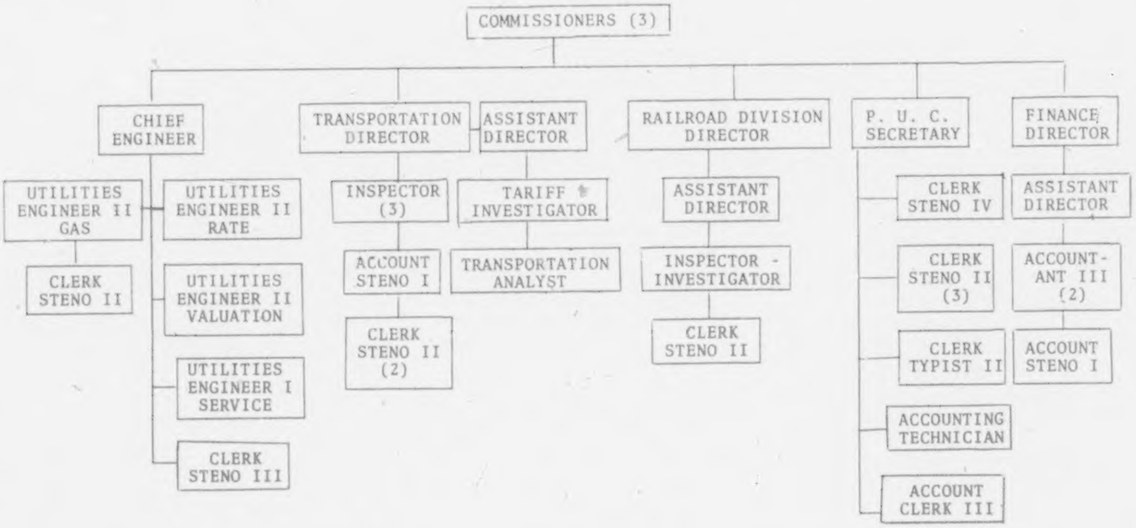
Francis J. Riordan, Commissioner, 271-2442: Democrat; born June 16, 1916, in Manchester, New Hampshire; St. Anselm's College (BA), University of Pennsylvania, Cornell University, Boston College Law School (JD); U.S. Navy Officer in World War II, Chief of Internal Revenue Income Tax Audit Section, private law practice, NARUC past President; assumed Commissionership January 3, 1964, current term ends June 30, 1981; married (Dorothy), two children: Post Office Box 1326, Portsmouth, New Hampshire 03801, 436-6888.

Paul R. McQuade, Commissioner, 271-2452: Republican; born September 20, 1931, in Lowell, Massachusetts; University of New Hampshire (BS); State legislator (1967-68), member of Dover City Council, businessman; assumed Commissionership January 21, 1980, current term ends June 30, 1985; married (Sally), two children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary/Administration | 9 | Vincent J. Iacopino, Executive Director & Secretary |
| Accounting | 6 | Eugene Sullivan, Finance Director |
| Engineering | 10 | Bruce B. Ellsworth, Chief Engineer |
| Motor Carriers | 12 | Donald L. Jackson, Transportation Director |
| Economics | 3 | Robert Camfield, Economist |
| Internal Accounting | 2 | |
| Total | 42 | |

### III. ORGANIZATION CHART


COMMISSIONERS (3)
CHIEF ENGINEER
UTILITIES ENGINEER II GAS
CLERK STENO II
UTILITIES ENGINEER II RATE
UTILITIES ENGINEER II VALUATION
UTILITIES ENGINEER I SERVICE
CLERK STENO III
TRANSPORTATION DIRECTOR
ASSISTANT DIRECTOR
INSPECTOR (3)
ACCOUNT STENO I
CLERK STENO II (2)
TARIFF INVESTIGATOR
TRANSPORTATION ANALYST
RAILROAD DIVISION DIRECTOR
ASSISTANT DIRECTOR
INSPECTOR - INVESTIGATOR
CLERK STENO II
P. U. C. SECRETARY
CLERK STENO IV
CLERK STENO II (3)
CLERK TYPIST II
ACCOUNTING TECHNICIAN
ACCOUNT CLERK III
FINANCE DIRECTOR
ASSISTANT DIRECTOR
ACCOUNTANT III (2)
ACCOUNT STENO I

## IV. VOLUME OF PROCEEDINGS

### Volume of Procedings Before PUC for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Tele-phone | Electric | Gas | Water | Misc. | Totals |
|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | |
| Instituted in 1979 | 125 | 20 | 11 | 11 | 49 | 13 | 14 | 4 | 247 |
| Pending as of 3/1/80 | 50 | 13 | 5 | 13 | 49 | 12 | 9 | 5 | 156 |
| Number of Hearings in 1979 | 45 | 14 | 12 | - | 94 | 23 | 26 | 2 | 216 |
| Number of Hearing Days in 1979 | 30 | - | 9 | 11 | 73 | 16 | 25 | 1 | 165 |
| Number of Orders Appealed to Courts in 1979 | 2 | - | - | - | 3 | - | 2 | - | 7 |

## V. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail Passenger Carriers | Rail-roads | Tele-phone | Electric | Gas | Water |
|---|---|---|---|---|---|---|---|
| Service | - | 1 | 2 | 538 | 308 | 50 | 20 |
| Tariff | - | - | - | - | - | - | - |
| Billing | - | - | - | 245 | 312 | 36 | 14 |
| Safety | - | 2 | 5 | - | 3 | 2 | - |
| Miscellaneous | 5 | - | - | 139 | 86 | 34 | 27 |
| Total | 5 | 3 | 7 | 922 | 709 | 122 | 61 |

## NEW JERSEY BOARD OF PUBLIC UTILITIES

Office - 1100 Raymond Boulevard, Newark, New Jersey 07102, Area Code (201) 648-2017, Telex 13-8421;
Telecopier (201) 648-2836

### I. COMMISSIONERS

George H. Barbour, President, 648-3733: Democrat; born June 24, 1917; Rutgers University (JD); Deputy Attorney General (1946-60), Member of Assembly (1962, 1963, 1971, 1973, 1975); assumed Commissionership October 5, 1976, current term ends March 15, 1985; married (Veronica), six children.

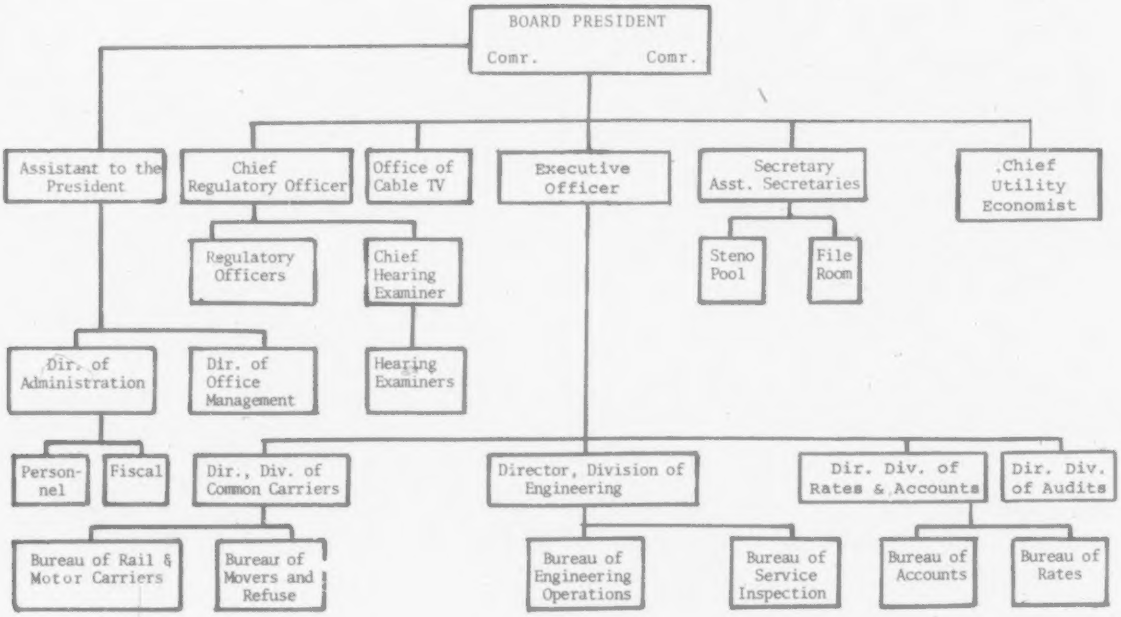
Edward H. Hynes, Commissioner, 648-2013: Democrat; born May 9, 1946, in Teaneck, New Jersey; University of Paris, St. Peter's College (AB); Fordham Law School (JD); Member of Assembly (1972-76); assumed Commissionership March 16, 1978, current term ends March 15, 1981.

Vacancy, Commissioner.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Rates and Accounts | 63 | Vaughn M. Donovan |
| Engineering | 35 | Joseph Ferraro |
| Movers and Solid Waste | 28 | Al Lawrik |
| Cable Television | 17 | John Cleary |
| Personnel and Fiscal | 11 | Richard B. Mount |
| Office Management | 22 | Joseph Mastroeni |
| Legal | 27 | Felix Forlenza |
| Auditing | 11 | Michael Guida |
| President and Staff | 10 | George H. Barbour |
| Total | 224 | |

### III. ORGANIZATION CHART



BOARD PRESIDENT
Comr.
Comr.
Assistant to the President
Chief Regulatory Officer
Office of Cable TV
Executive Officer
Secretary Asst. Secretaries
Chief Utility Economist
Regulatory Officers
Chief Hearing Examiner
Steno Pool
File Room
Dir. of Administration
Dir. of Office Management
Hearing Examiners
Person-nel
Fiscal
Dir., Div. of Common Carriers
Director, Division of Engineering
Dir. Div. of Rates & Accounts
Dir. Div. of Audits
Bureau of Rail & Motor Carriers
Bureau of Movers and Refuse
Bureau of Engineering Operations
Bureau of Service Inspection
Bureau of Accounts
Bureau of Rates

## NEW JERSEY BOARD OF PUBLIC UTILITIES

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the Board

| Receipts | |
|---|---|
| Assessments of Regulated Companies | $6,425,550 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 35,692 |
| Railroad Safety | -- |
| Other Receipts: | |
| Fees, Fines and Penalties | 133,842 |
| Total | $6,595,084 |

| Expenditures | |
|---|---|
| Salaries and Wages | $4,012,064 |
| Supplies and Materials | 53,203 |
| Postage | 20,009 |
| Telephone and Telegraph | 103,137 |
| Travel Expense | 46,459 |
| Motor Vehicle Operation | 84,332 |
| Maintenance | 16,852 |
| Dues and Professional Services | 59,083 |
| Equipment | 9,405 |
| Other Expenses | 6,133 |
| Total | $4,410,677 |

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the Board for Year Ended December 31, 1979

| Number of Proceedings: | Motor Passenger Carriers | CATV | Telegraph & Telephone | Electric | Gas | Water | Solid Waste | Totals |
|---|---|---|---|---|---|---|---|---|
| Pending as of 1/1/79 | 8 | 41 | 22 | 18 | 6 | 37 | 8 | 140 |
| Instituted in 1979 | 2 | 194 | 29 | 39 | 6 | 5 | 0 | 275 |
| Concluded in 1979 | 10 | 146 | 21 | 21 | 8 | 10 | 1 | 217 |
| Pending as of 12/31/79 | 0 | 89 | 30 | 36 | 4 | 32 | 7 | 198 |
| Number of Orders Appealed to Courts in 1979 | 0 | 6 | 0 | 1 | 1 | 6 | 5 | 19 |

The Board reported a total of 66 Hearings in 1979 and 62 Hearing Days during the year.

### VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|---|
| Service | 321 | 1,429 | 1,196 | 695 | 1,806 | 543 | 14 | 3 |
| Tariff | 133 | 174 | 131 | 78 | 215 | 59 | - | - |
| Billing | 406 | 1,745 | 1,310 | 775 | 2,149 | 586 | - | - |
| Safety | 54 | 148 | 116 | 54 | 57 | 15 | 1 | - |
| Miscellaneous | 512 | 40 | 47 | 100 | 203 | 58 | - | - |
| Total | 1,426 | 3,536 | 2,800 | 1,702 | 4,430 | 1,261 | 15 | 3 |

# NEW JERSEY DEPARTMENT OF TRANSPORTATION

Office - 1035 Parkway Avenue, Post Office Box 101, Trenton, New Jersey 08625, Area Code (609) 292-3279

## I. COMMISSIONER

Louis J. Gambaccini, Commissioner of Transportation, 292-3535: born May 6, 1931, in New Haven, Connecticut; University of Connecticut (BA), Syracuse University (MPA), New York University (doctorial studies); U. S. Infantry in Korea, Director of Rail Transportation Department of Port Authority Trans-Hudson Corporation (PATH), Vice President and General Manager of PATH, civic leader; assumed Commissionership May 22, 1978, serves at the pleasure of the Governor; married (Annette), six children.

| | |
|---|---|
| John R. Jamieson, Deputy Commissioner | 292-8400 |
| John Cronshey, Chief, Bureau of Rail and Motor Carriers | 292-5816 |

## NEW MEXICO PUBLIC SERVICE COMMISSION

Office - State Capitol Building, Santa Fe, New Mexico 97501, Area Code (505) 827-2827, 28, 29

### I. COMMISSIONERS

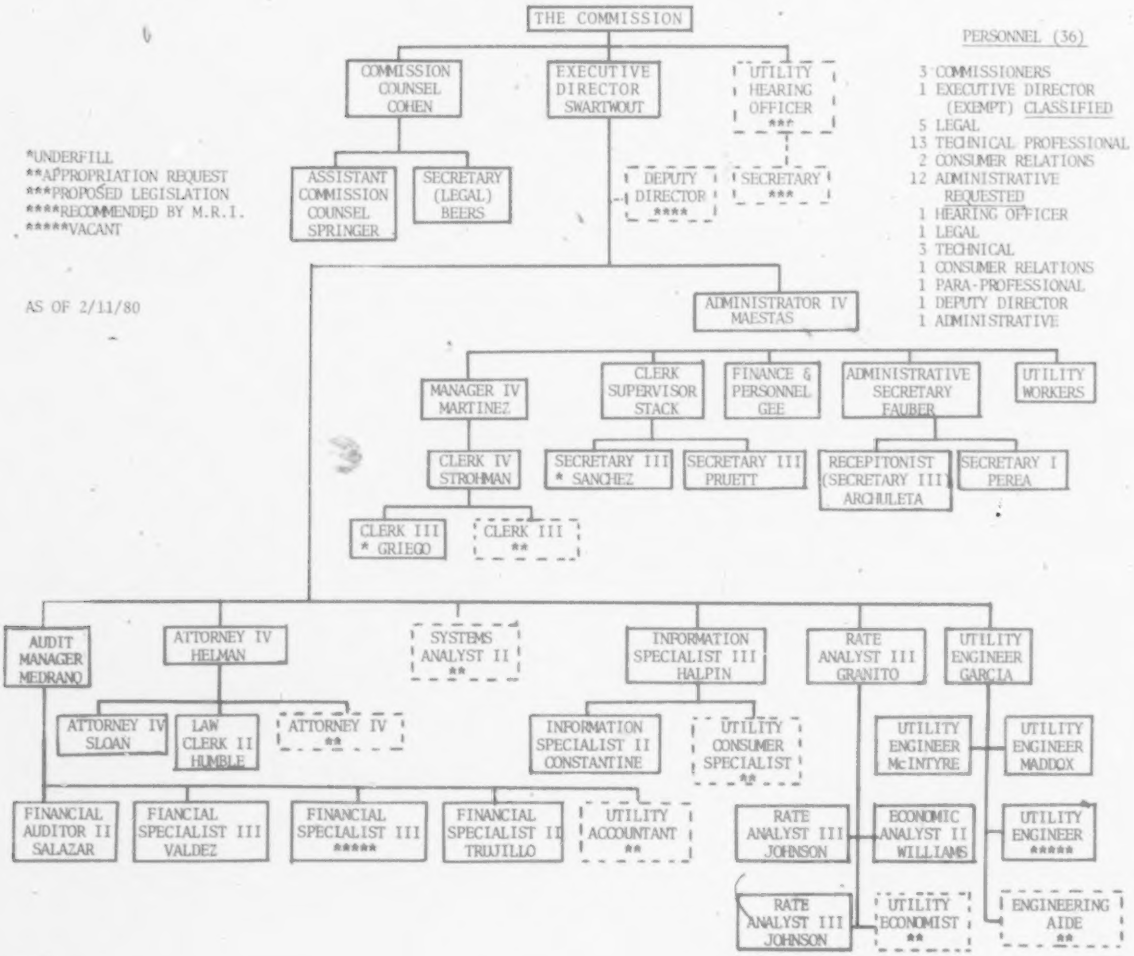
Richard P. Montoya, Chairman: Democrat; born April 12, 1930, in Santa Fe, New Mexico; Law Enforcement Seminar University of Colorado, Law Enforcement Seminar Southern Methodist University, FBI Seminar University of New Mexico, courses in FBI, Police Department, Motor Transportation Department, ICC and DOT Bureau of Motor Carrier Safety; 16 years in Santa Fe Police Department, 4 years Director of Enforcement Division of New Mexico Motor Transportation Department; assumed Commissionership February 23, 1971, current term ends January 1, 1985; married (Rita), six children.

Eileen Grevey Clifford, Commissioner: Democrat; born May 1, 1949, in Albuquerque, New Mexico; Colorado College (BA), American University (MA); Professional Staff Member for U.S. Senate Committee on Interior and Insular Affairs (1973-75), Federal-State Liaison Officer and Special Assistant to Administrator of New Mexico Energy Resources Board (1975-76); assumed Commissionership January 3, 1977, current term ends January 1, 1983; married (Jack).

Phil R. Lucero, Commissioner: Democrat; born October 16, 1928, in Santa Fe, New Mexico; University of Notre Dame (BA) (JD); city attorney, Assistant District Attorney, Assistant Attorney General, New Mexico PSC Commissioner (1963-67), State Land Office Attorney; New Mexico Commissioner of Public Lands (1975-78), member of State Investment Council, State Forestry Commission, State Energy Resources Board, Oil and Gas Accounting Commission, Chairman of Oil Conservation Commission, member of Western Conference of State Land Commissioners; assumed Commissionership February 3, 1979, current term ends 1985; married (Jane), four children.

### II. ORGANIZATION CHART

NEW MEXICO PUBLIC SERVICE COMMISSION


THE COMMISSION
COMMISSION COUNSEL COHEN
EXECUTIVE DIRECTOR SWARTWOUT
UTILITY HEARING OFFICER ***
ASSISTANT COMMISSION COUNSEL SPRINGER
SECRETARY (LEGAL) BEERS
DEPUTY DIRECTOR ****
SECRETARY ***
*UNDERFILL
**APPROPRIATION REQUEST
***PROPOSED LEGISLATION
****RECOMMENDED BY M.R.I.
*****VACANT
AS OF 2/11/80
PERSONNEL (36)
3 COMMISSIONERS
1 EXECUTIVE DIRECTOR (EXEMPT) CLASSIFIED
5 LEGAL
13 TECHNICAL PROFESSIONAL
2 CONSUMER RELATIONS
12 ADMINISTRATIVE
REQUESTED
1 HEARING OFFICER
1 LEGAL
3 TECHNICAL
1 CONSUMER RELATIONS
1 PARA-PROFESSIONAL
1 DEPUTY DIRECTOR
1 ADMINISTRATIVE
ADMINISTRATOR IV MAESTAS
MANAGER IV MARTINEZ
CLERK SUPERVISOR STACK
FINANCE & PERSONNEL GEE
ADMINISTRATIVE SECRETARY FAUBER
UTILITY WORKERS
CLERK IV STROHMAN
SECRETARY III * SANCHEZ
SECRETARY III PRUETT
RECEPITONIST (SECRETARY III) ARCHULETA
SECRETARY I PEREA
CLERK III * GRIEGO
CLERK III **
AUDIT MANAGER MEDRANO
ATTORNEY IV HELMAN
SYSTEMS ANALYST II **
INFORMATION SPECIALIST III HALPIN
RATE ANALYST III GRANITO
UTILITY ENGINEER GARCIA
ATTORNEY IV SLOAN
LAW CLERK II HUMBLE
ATTORNEY IV **
INFORMATION SPECIALIST II CONSTANTINE
UTILITY CONSUMER SPECIALIST **
UTILITY ENGINEER McINTYRE
UTILITY ENGINEER MADDOX
FINANCIAL AUDITOR II SALAZAR
FIANCIAL SPECIALIST III VALDEZ
FINANCIAL SPECIALIST III *****
FINANCIAL SPECIALIST II TRUJILLO
UTILITY ACCOUNTANT **
RATE ANALYST III JOHNSON
ECONOMIC ANALYST II WILLIAMS
UTILITY ENGINEER *****
RATE ANALYST III JOHNSON
UTILITY ECONOMIST **
ENGINEERING AIDE **

## NEW MEXICO PUBLIC SERVICE COMMISSION

### III. KEY STAFF

Robert L. Swartwout, Executive Director
Michael Rascon, Deputy Director
David Cohen, Commission Counsel
Leonard Helman, Staff Counsel
Geoffrey Sloan, Staff Counsel
Gary Humble, Law Clerk
Marvin V. McIntyre, Engineer
Gerald A. Garcia, Engineer
Vacancy, Engineer
John Granito, Rate Analyst
Robert Johnson, Rate Analyst
Vacancy, Rate Analyst
Vacancy, Economic Analyst
Thomas Medrano, Auditor
Edward Maestas, Auditor
Philip Valdez, Auditor
Alex Salazar, Auditor
John Constintin, Public Information Officer
Anita Martinez, Records Manager

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures as of June 30, 1979 (rounded to nearest hundred)

| | | |
|---|---|---|
| **Receipts** | | |
| General Fund Appropriation | $ 958,300 | |
| Assessments of Regulated Companies (reverts to General Fund) | 2,628,300 | |
| Federal Grants-in-Aid for: | | |
| Gas Pipeline Safety | -0- | |
| Railroad Safety | -0- | |
| Other Receipts: | | |
| Filing Fees | 1,300) | Reverts to General Fund |
| Miscellaneous Documents and Publications | 900) | |
| Total | $ 3,588,800 | |
| Total Available to be Expended | $ 958,300 | |
| **Expenditures** | | |
| Salaries and Wages | $ 562,000 | |
| Supplies and Materials | 10,100 | |
| Postage | 4,800 | |
| Telephone and Telegraph | 22,700 | |
| Travel Expense | 33,200 | |
| Printing and Binding | 2,300 | |
| Maintenance | 2,600 | |
| Insurance and Bonding | 8,000 | |
| Dues and Professional Services | 8,400 | |
| Equipment | 36,100 | |
| Contributions to Retirement System | 32,600 | |
| Contributions to Social Security | 28,000 | |
| Other Expense | 190,800 | |
| Total | $ 941,600 | |

### V. VOLUME OF PROCEEDINGS

Volume of Proceedings (Utilities) Before PSC for Year Ended December 31, 1979

| | Electric | Gas | Water | Totals |
|---|---|---|---|---|
| Number of Proceedings: | | | | |
| Pending as of 1/1/79 | 14 | 4 | 8 | 26 |
| Instituted in 1979 | 39 | 10 | 11 | 60 |
| Concluded in 1979 | 34 | 7 | 9 | 50 |
| Pending as of 12/31/79 | 14 | 8 | 8 | 30 |
| Number of Hearings in 1979 | NA | NA | NA | 126 |
| Number of Hearing Days in 1979 | NA | NA | NA | 165 |
| Number of Orders Appealed to Courts in 1979 | 1 | 2 | - | 3 |

### VI. COMPLAINTS

The PSC reported the following total utility complaints for year ended December 31, 1979: electric - 1,325, gas - 314, water - 110.

## NEW MEXICO STATE CORPORATION COMMISSION

Office - Post Office Drawer 1269, Santa Fe, New Mexico 87501, Area Code (505)

### I. COMMISSIONERS

Charles R. Rudolph, Chairman, 827-2201: Democrat; born October 4, 1941, in Las Vegas, New Mexico; BA (political science), Law School (1-1/2 years); Executive Director of New Mexico Human Rights Commission; assumed Commissionership January 1, 1975, current term ends December 31, 1980; married (Lorraine), two children.

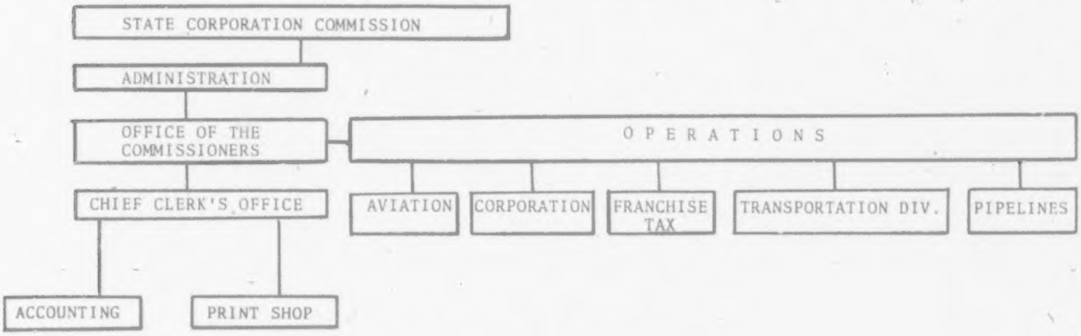
Columbus Ferguson, Commissioner, 827-2277: Democrat; born 1918, in Taos, New Mexico; policeman, World War II veteran, county sheriff, State Bureau of Revenue Statistician, Assistant Director of State Oil and Gas Division; assumed Commissionership July 16, 1963, current term ends December 31, 1984; married (Molly), seven children.

John A. Elliott, Commissioner, 827-2288: Democrat; born July 15, 1921, in Fort Sumner, New Mexico; three years of college science; U.S. Air Force officer in World War II, jewelry business (19 years), President of Tucumcari Board of Education (4 years), Chairman of Park and Recreation Commission (2 years), Director of SCC Pipeline Department (9 years); assumed Commissionership July 1, 1976, current term ends December 31, 1982; married (Francis) five children.

### II. KEY STAFF

| | | | |
|---|---|---|---|
| Barney Cruz, Jr., Chief Clerk | 827-2271 | Manuel Garcia, Superintendent of Insurance | 827-2451 |
| Frank Smith, Director, Transportation Division | 827-2161 | Bob Baca, Deputy State Fire Marshal | 827-2357 |
| Clyde Hoyt, Director, Aviation Department | 827-2560 | William Royer, General Counsel | 827-2164 |
| Eddie Abeyta, Director, Pipeline Department | 827-2163 | Manuel L. Salinas, Director, Corporation and Franchise Department | 827-2852 |

### III. ORGANIZATION CHART



### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the Commission, Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $1,015,000 |
| Total | $1,015,000 |

| Expenditures | |
|---|---|
| Salaries and Wages | $655,100 |
| Supplies and Materials | 13,200 |
| Postage | 13,500 |
| Telephone and Telegraph | 14,100 |
| Travel Expense | 17,800 |
| Printing and Binding | 600 |
| Motor Vehicle Operation | 5,400 |
| Maintenance | 6,200 |
| Insurance and Bonding | 2,200 |
| Dues and Professional Services | 2,200 |
| Equipment | 6,000 |
| Contributions to Retirement System | 38,000 |
| Contributions to Social Security | 36,000 |
| Other Expense | 188,700 |
| Total | $999,000 |

### V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Telegraph |
|---|---|---|---|---|
| Service | - | - | 553 | 2 |
| Tariff | 5 | - | 77 | - |
| Billing | - | 1 | 109 | - |
| Safety | - | - | 14 | - |
| Miscellaneous | 8 (Claims) | - | 63 | - |
| Total | 13 | 1 | 816 | 2 |

# NEW ORLEANS DEPARTMENT OF UTILITIES

(Associate Member) - Office - Room 2W14, City Hall, New Orleans, Louisiana 70012,
Area Code (504) 586-4625

## I. DIRECTOR

Joseph E. Womble, Director, 586-2629: Independent; born June 26, 1935, in Charlotte, North Carolina; University of Illinois (BS, civil engineering); consulting engineer (20 years); assumed Directorship May 1978, serves at the pleasure of the Mayor; married (Angela Virginia) three children.

## II. KEY STAFF

Edward H. Arnold, Jr., Utilities Engineer

## NEW YORK PUBLIC SERVICE COMMISSION

Main Office - *Empire State Plaza, Agency Building 3 of the Governor Nelson A. Rockefeller Empire State Plaza, Albany, New York 12223, Area Code (518) 474-7080

Regional Offices - **Two World Trade Center, New York, New York 10047, Area Code (212) 488-4390

State Office Building, 65 Court Street, Buffalo, New York 14202

### I. COMMISSIONERS

*Charles A. Zielinski, Chairman, 474-2530: Democrat; born December 24, 1944, in Buffalo, New York; LeMoyne College (BA), State University of New York (JD); FCC counsel (1969-73), Assistant to New York PSC Chairman (1973-76), author, Chairman of State Board on Electric Generation Siting and The Environment, Member of Board of Trustees of State Energy Research and Development Authority, Member of State Energy Policy Board; assumed Commissionership November 1, 1976, current term ends February 1, 1981; married (Kathryn) two daughters.

**Edward P. Larkin, Commissioner, 488-4347: Republican; born June 1, 1915, in Brooklyn, New York; St. John's University; U.S. Army Engineers in World War II, builder, businessman, executive secretary to Hempstead Building Department and Board of Zoning Appeals, State Assemblyman, State senator, Hempstead Presiding Supervisor; assumed Commissionership June 22, 1961, current term ends February 1, 1983; married (Grace Marie), five children.

**Carmel Carrington Marr, Commissioner, 488-4376: Republican; born June 23, 1921, in Brooklyn, New York; Hunter College (BA), Columbia University (LLB); advisor on legal affairs to U.S. Mission to the U.N., senior legal officer to U.N. Office of Legal Affairs, private law practice; assumed Commissionership May 24, 1971, current term ends February 1, 1985; married (Warren) two children.

*Harold A. Jerry, Jr., Commissioner, 474-2523: Republican; born March 2, 1920, in Plattsburgh, New York; Princeton University (AB), Harvard Law School (LLB); U.S. Army in World War II, private law practice, State Senator, Director of Office for Regional Development (now Office of Planning Services), Special Assistant to the Conservation Commissioner, Executive Secretary of the Temporary Study Commission on the Future of the Adirondacks, Director and Counsel of the Temporary Commission on the State Court System; assumed Commissionership February 7, 1973, current term ends February 1, 1979; married (Jocelyn), four children.

*Anne F. Mead, Commissioner, 474-6790: Democrat; born April 18, 1924, in Sayville, New York; College of New Rochelle (BA), Fordham University (LLB); insurance claims adjuster (1945-46), private law practice (1954-73), Deputy Suffolk County Executive (1960-68), Assistant Attorney for Town of Islip (1968-69), Executive Director of Suffolk Community Council (1969-73), Judge of District Court of Suffolk County (1974-76), civic leader; assumed Commissionership September 7, 1976, current term ends February 1, 1981.

*Karen S. Burstein, Commissioner, (518) 474-4998, (212) 488-4357: Democrat; born July 20, 1942, in Brooklyn, New York; Bryn Mawr College (BA), Fordham University Law School (JD); Staff Attorney for Nassau County Law Services (1970-72), New York State Senator (1973-78); assumed Commissionership April 11, 1978, current term ends February 1, 1980.

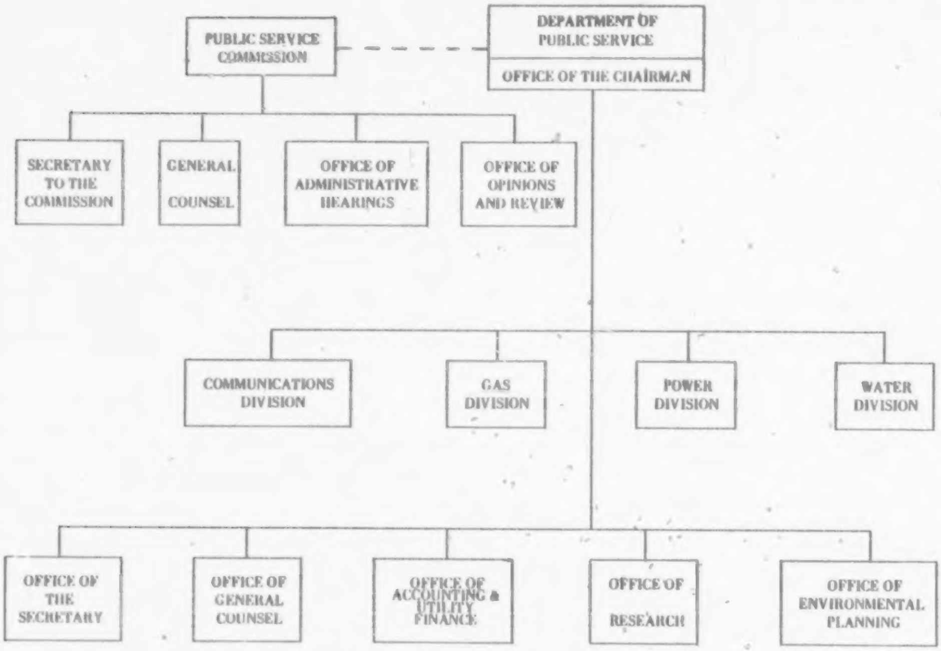
*Richard S. Bower, Commissioner, 474-3779: Democrat; born August 1, 1928, in New York, New York; Kenyon College (AB), Columbia University (MBA), Cornell University (PhD); Instructor at Kenyon College (1949-50), U.S. Navy (1951-55); Instructor at Alfred University (1955-57), Assistant Professor at Vanderbilt University (1959-62), Professor at Dartmouth College (1962-78), economist, author; assumed Commissionership January 2, 1979, current term ends February 1981; married (Dorothy), three children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Office | 31 | Charles Zielinski, Chairman of PSC |
| Office of the Secretary | 16 | Samuel Madison, Secretary to PSC |
| Office of Administration | 70 | William Barnes, Director |
| Office of General Counsel | 42 | Peter Schiff, Counsel to the PSC |
| Office of Administrative Hearings | 24 | Isadore Crade, Director |
| Office of Opinions and Review | 9 | William Cowan, Director |
| Power Division | 117 | Lester Stuzin, Director |
| Gas Division | 92 | John Zekoll, Director |
| Communications Division | 83 | Neill Swift, Director |
| Water Division | 31 | Robert Mulligan, Director |
| Office of Research | 16 | Michael Hirsch, Director |
| Office of Environmental Planning | 35 | Robert Vessels, Director |
| Office of Accounting and Utility Finance | 88 | Eric Leighton, Director |
| Total | 654 | |

NEW YORK PUBLIC SERVICE COMMISSION

## III. ORGANIZATION CHART


PUBLIC SERVICE COMMISSION
DEPARTMENT OF PUBLIC SERVICE
OFFICE OF THE CHAIRMAN
SECRETARY TO THE COMMISSION
GENERAL COUNSEL
OFFICE OF ADMINISTRATIVE HEARINGS
OFFICE OF OPINIONS AND REVIEW
COMMUNICATIONS DIVISION
GAS DIVISION
POWER DIVISION
WATER DIVISION
OFFICE OF THE SECRETARY
OFFICE OF GENERAL COUNSEL
OFFICE OF ACCOUNTING & UTILITY FINANCE
OFFICE OF RESEARCH
OFFICE OF ENVIRONMENTAL PLANNING

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by the PSC for Fiscal Year Ended March 31, 1979

| Receipts | |
|---|---|
| Assessments of Regulated Companies | $19,276,305 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 11,451 |
| Railroad Safety | -0- |
| Other Receipts: | |
| Direct Assessments to Power Authority, State of New York | 343,171 |
| Miscellaneous Fees | 30,362 |
| Total | $19,661,289 |

| Expenditures | |
|---|---|
| Salaries and Wages | $12,306,974 |
| Supplies and Materials | 172,246 |
| Postage | 59,439 |
| Telephone and Telegraph | 291,731 |
| Travel Expense (includes motor vehicle operation & maintenance) | 533,201 |
| Printing and Binding | 3,698 |
| Purchase of Cars | 67,472 |
| Dues and Professional Services | 270,518 |
| Equipment | 75,492 |
| Contributions to Retirement System | 2,067,477 |
| Contributions to Social Security | 637,278 |
| Other Expense | 3,175,763 |
| Total | $19,661,289 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Telegraph | Electric | Gas | Water |
|---|---|---|---|---|---|
| Service | 353 | - | 1,247 | 451 | 155 |
| Tariff | 365 | - | 705 | 151 | 251 |
| Billing | 455 | - | 29,236 | 14,898 | 666 |
| Safety | - | - | 76 | 135 | 3 |
| Miscellaneous | 192 | 7 | 535 | 1,482 | 133 |
| Totals | 1,365 | 7 | 31,799 | 17,117 | 1,208 |

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION

Main Office - 1220 Washington Avenue, Building 5, State Campus, Albany, New York 12232,
Area Code (518) 457-1016

New York City Office - 2 World Trade Center, 54th Floor, New York, New York 10048

### I. COMMISSIONERS

William C. Hennessy, Commissioner: born December 19, 1926, in Wellsville, New York; International Correspondence School; U.S. Navy Seabees in World War II, Staff member and Chief Appraiser in the New York State Department of Public Works, Director of the Real Property Division, Assistant Commissioner for Transportation Operations and Executive Deputy Commissioner of the New York State DOT; assumed Commissionership June 9, 1977; married (Corinne), six children.

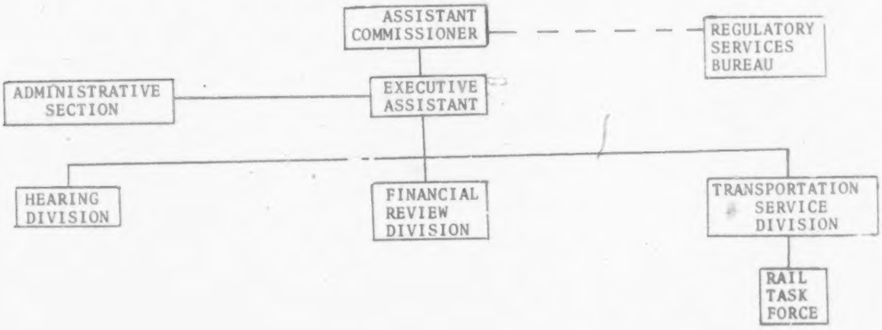
Edward J. Canty, Assistant Commissioner for Regulatory Affairs: born September 4, 1932, in Buffalo, New York; University of Buffalo (BS); U.S. Army Signal Corps, Director of Transportation Financial Review Division, staff member of New York PSC; assumed Commissionership August 11, 1975; married (Kathryn), nine children.

### II. KEY STAFF

| | | | |
|---|---|---|---|
| Mannie Lieberman, Chief Administrative Law Judge | 457-1182 | Bruce J. Riggs, Director, Transportation Financial Review Division | 457-7194 |
| John C. McTiernan, Director, Regulatory Service Bureau | 457-6375 | Paul D. Reinert, Executive Assistant | 457-1016 |
| Louis Rossi, Director, Rail Division | 457-5521 | | |

### III. ORGANIZATION CHART

OFFICE OF TRANSPORTATION REGULATORY AFFAIRS



### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures, Fiscal Year Ended March 31, 1980

| Receipts | | Expenditures | |
|---|---|---|---|
| General Fund Appropriation | $1,231,400 | Salaries and Wages | $ 1,156,400 |
| Total | $1,231,400 | Supplies and Materials | 3,700 |
| | | Travel Expense | 25,000 |
| | | Equipment | 900 |
| | | Contractual Services | 45,400 |
| | | Total | $ 1,231,400 |

### V. COMPLAINTS

The Department of Transportation reported a total of 923 complaints for motor freight carriers during 1979 as follows: service 272, billing and tariff - 651.

## NORTH CAROLINA UTILITIES COMMISSION

Office - Dobbs Building, 430 North Salisbury Street, Post Office Box 991, Raleigh, North Carolina 27602
Area Code (919) 733-4249

### I. COMMISSIONERS

Robert K. Koger, Chairman: Democrat; born September 10, 1936, in Byrdstown, Tennessee; University of Tennessee (BS in electrical engineering), North Carolina State University (Master of Economics), North Carolina State University (Ph.D. candidate in industrial engineering); U.S. Rural Electrification Administration Engineer in North Carolina (1961-67), NCUC Utilities Engineer (1967-70), Director of NCUC Engineering Division (1970-77), Licensed Professional Engineer; assumed Commissionership May 24, 1977, current term ends July 1, 1981, married (Jeanne), three children.

Leigh H. Hammond, Commissioner: Democrat; born July 4, 1929, in Seneca, South Carolina; Clemson University (economics degree), University of Tennessee (MS), North Carolina State University (Ph.D); U. S. Army Officer (1952-54), Director of Statistics and Economics for National Canners Association (1962-64), Professor of Economics at North Carolina State University (1964-69), Director of North Carolina Coastal Plains Regional Commission (1969-70), Deputy Secretary of North Carolina Department of Administration (1970-73), Assistant Vice Chancellor of North Carolina State University and Professor of Economics (1973-77), Member of North Carolina Marine Science Council (1971-75), author; assumed Commissionership May 24, 1977, current term ends July 1, 1985; married (Cynthia), four children.

Sarah Lindsay Tate, Commissioner: Democrat; born March 14, 1927, in Charlotte, North Carolina; University of North Carolina (AB, LLB); Raleigh Community Relations Commission, associate counsel for insurance companies, private law practice; assumed Commissionership May 24, 1977, current term ends June 30, 1985; one daughter.

John W. Winters, Sr., Commissioner: Democrat; born January 21, 1920, in Raleigh, North Carolina; Virginia State College, Shaw University (LLD-Honorary); Raleigh City Councilman (1961-67), State Senator (1974-77), real estate broker and builder, Vice Chairman of Human Relations Commission (1963-69), Member of Board of Governors of University System of North Carolina (1972-74), Director of Raleigh Merchants Bureau and the Raleigh Chamber of Commerce, civic activist; assumed Commissionership July 1, 1977, current term ends June 30, 1983; married (Marie), seven children.

Edward B. Hipp, Commissioner: Democrat; born August 30, 1921, in Charlotte, North Carolina; Davidson College (BS), University of North Carolina (JD); World War II Infantry Rifle Platoon Leader (1942-46) (awarded Silver Star and Purple Heart), private law practice (1953-61), staff tax attorney and Revisor of Statutes for North Carolina Attorney General's Office (1950-53), special counsel for North Carolina General Statutes Commission Utility Law Revision (1962-63), North Carolina UC General Counsel (1963-77); assumed Commissionership October 21, 1977, current term ends July 1, 1981; married (Dorothy), three children.

A. Hartwell Campbell, Commissioner: Democrat; born October 8, 1916, in Buie's Creek, North Carolina; Campbell College (AA), Wake Forest University (BS), University of North Carolina, Yale University (BD); minister of church (1941-46), manager and owner of radio and television stations (1946-79), member of State Physical Fitness Commission, member of Greenville City School Board (1958-63) and City Council (1963-64), State representative (1969-79); assumed Commissionership July 2, 1979, current term ends June 30, 1987; married (Verda), three sons.

Douglas P. Leary, Commissioner: Democrat; born June 1, 1935, in Tyner, North Carolina; East Carolina University (BS-business administration); United States Army with service in Korea (1954-56), Carolina Telephone & Telegraph Company (1960-61), Executive Staff Assistant at Four County Electric Membership Corporation (1961-72), General Manager of Wake Electric Membership Corporation (1972-79), assumed Commissionership December 20, 1979, current term ends July 1, 1985; married (Becky), three sons.

### II. STAFF

#### COMMISSION STAFF

| Name of Division | Number of Employees | Name of Division Head |
|---|---|---|
| Commissioners' Office | 14 | Robert K. Koger, Chairman |
| Legal | 16 | Robert P. Gruber |
| Chief Clerk | 6 | Sandra J. Webster |
| Finance, Statistics and Planning | 4 | Joseph W. Smith |
| Accounting | 5 | Donald R. Hoover |
| Engineering | 2 | Allen L. Clapp |
|  |  | Conniesue B. Oldham |
| Transportation | 35 | I. H. Hinton |
| Total | 82 |  |

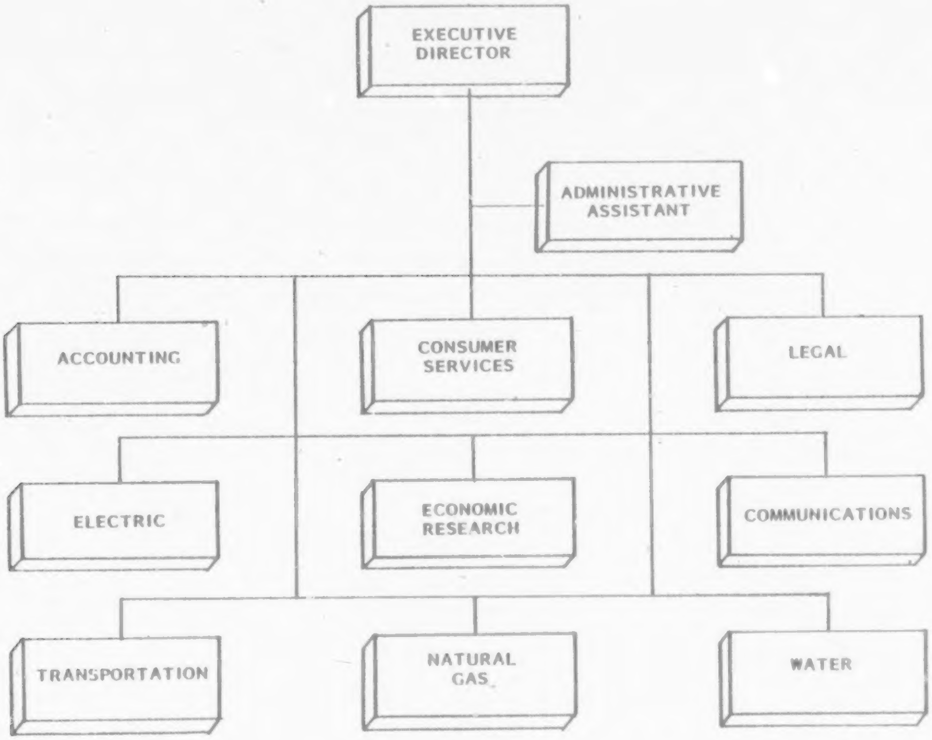
#### PUBLIC STAFF

| Name of Division | Number of Employees | Name of Division Head |
|---|---|---|
| Executive Office | 5 | Robert Fischbach, Executive Director<br>Mary H. Cameron, Administrative Assistant to the Executive Director |
| Accounting | 23 | Nancy I. Bright |
| Communications | 9 | Gene A. Clemmons |
| Consumer Services | 4 | J. Craig Stevens |
| Economic Research | 7 | Eddie R. Mayberry |
| Electric | 8 | Dennis J. Nightingale |
| Legal | 11 | Jerry B. Fruitt |
| Natural Gas | 5 | Raymond J. Nery |
| Transportation Rates | 8 | Dennis E. Sovel |
| Water | 5 | Henry R. Payne |
| Total | 85 |  |

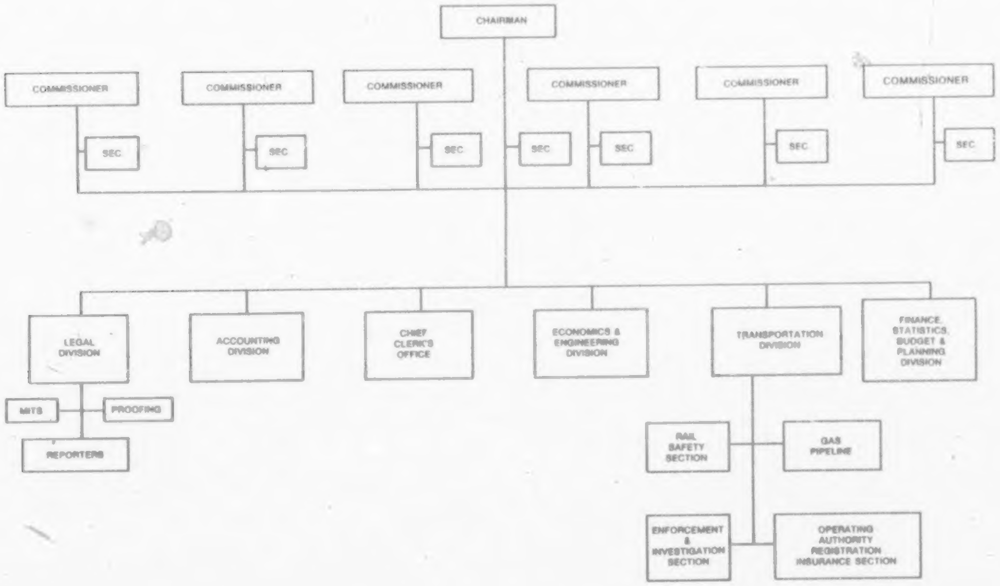
# NORTH CAROLINA UTILITIES COMMISSION

## III. ORGANIZATION CHARTS

### PUBLIC STAFF - ORGANIZATIONAL CHART


EXECUTIVE DIRECTOR
ADMINISTRATIVE ASSISTANT
ACCOUNTING
CONSUMER SERVICES
LEGAL
ELECTRIC
ECONOMIC RESEARCH
COMMUNICATIONS
TRANSPORTATION
NATURAL GAS
WATER

ORGANIZATION CHART FOR COMMISSION STAFF


CHAIRMAN
COMMISSIONER
COMMISSIONER
COMMISSIONER
COMMISSIONER
COMMISSIONER
COMMISSIONER
SEC
SEC
SEC
SEC
SEC
SEC
SEC
LEGAL DIVISION
ACCOUNTING DIVISION
CHIEF CLERK'S OFFICE
ECONOMICS & ENGINEERING DIVISION
TRANSPORTATION DIVISION
FINANCE, STATISTICS, BUDGET & PLANNING DIVISION
MITS
PROOFING
REPORTERS
RAIL SAFETY SECTION
GAS PIPELINE
ENFORCEMENT & INVESTIGATION SECTION
OPERATING AUTHORITY REGISTRATION INSURANCE SECTION

NORTH CAROLINA UTILITIES COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of the Sources and Expenditures of Funds Administered by the North Carolina Utilities Commission, Fiscal Year Ended June 30, 1979

| Sources | Commission Staff | Public Staff | Total N.C. Utilities Commission |
|---|---|---|---|
| General Fund Appropriation | $ 886,489 | $1,877,331 | $2,763,820 |
| Other Receipts | | | |
| State Highway Commission | 518,580 | | 518,580 |
| Fees Collected by Agency: | | | |
| Transportation Division | 318,000 | | 318,000 |
| Office of the Chief Clerk | 59,291 | 805 | 60,096 |
| Misc. Fees & Sales of Equipment | 34,015 | | 34,015 |
| Transfer - Prior Year | 31,329 | 1,603 | 32,932 |
| Federal Funds: | | | |
| FEA Project | 198,472 | | 198,472 |
| U.S. Pipeline Safety Program | 33,592 | | 33,592 |
| Railroad Safety Program | 13,404 | | 13,404 |
| Total Receipts | $2,093,172 | $1,879,739 | $3,972,911 |
| Expenditures | | | |
| Payroll and Related Items | $1,517,961 | $1,719,122 | $3,237,083 |
| Legal & Consulting Fees | 41,984 | 17,367 | 59,351 |
| Contracted Services - FEA Project | 199,745 | | 199,745 |
| Materials & Supplies | 31,458 | 5,560 | 37,018 |
| Travel | 62,192 | 43,696 | 105,888 |
| Postage, Telephone & Utilities | 49,905 | 32,840 | 82,745 |
| Printing & Reproduction | 61,913 | 14,019 | 75,932 |
| Data Processing Service | 16,201 | 13,543 | 29,744 |
| Employee Education Expense | 1,553 | 5,422 | 6,975 |
| Rent - Buildings & Offices | 965 | 360 | 1,325 |
| Rent - Equipment | 24,767 | 15,430 | 40,197 |
| Insurance & Bonding | 1,984 | | 1,984 |
| Dues & Subscriptions | 5,497 | 3,315 | 8,812 |
| Office Furniture, Equipment, Books | 9,243 | 8,436 | 17,679 |
| Automobiles - Safety Dept. Net of Trade-in | 66,681 | | 66,681 |
| Other Expenses | 1,123 | 629 | 1,752 |
| Total Expenditures | $2,093,172 | $1,879,739 | $3,972,911 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the Commission for Year Ended December 31, 1979

| Item | Buses | Electric | Gas | Housing | Rail-roads | Tele-phone | Trucks | Water and Sewer | Western Union | Railway Express | Gen. and Misc. | Grand Totals |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Total Proceedings Before Commission | 36 | 86 | 77 | 2 | 87 | 560 | 1,095 | 254 | 1 | 1 | 62 | 2,261 |
| Disposition of Proceedings | | | | | | | | | | | | |
| 1. Formal hearings held with orders to follow: | 14 | 26 | 6 | 1 | 12 | 12 | 106 | 78 | -0- | -0- | 14 | 269 |
| 2. Conferences with informal hearings held with the following type of decisions resulting: | | | | | | | | | | | | |
| a. Regular and Letter type | 15 | 49 | 66 | -0- | 61 | 546 1/ | 967 2/ | 164 | 1 | 1 | 44 | 1,914 |
| b. Short form orders issued | 3 | -0- | -0- | -0- | 5 | -0- | 5 | -0- | -0- | -0- | -0- | 13 |
| 3. Filings open as of 12/31/79: | | | | | | | | | | | | |
| Rate Changes | -0- | 1 | 1 | -0- | -0- | 2 | 3 | 6 | -0- | -0- | -0- | 13 |
| Others | 4 | 10 | 4 | 1 | 9 | -0- | 14 | 6 | -0- | -0- | 4 | 52 |
| Total Proceedings Accounted For | 36 | 86 | 77 | 2 | 87 | 560 | 1,095 | 254 | 1 | 1 | 62 | 2,261 |
| Percent of Grand Total | 1.59 | 3.80 | 3.41 | .09 | 3.85 | 24.77 | 48.43 | 11.23 | .04 | .04 | 2.75 | 100.00 |
| Number of Orders Appealed | 0 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 4 |

---

1/ Includes 500 Tariff Filings.

2/ Includes 722 Orders issued by wire service granting temporary operating authority.

## NORTH CAROLINA UTILITIES COMMISSION

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | | | | | Transportation | | |
|---|---|---|---|---|---|---|---|
| | Telephone | Electric | Gas | Water and Sewer | Motor Carrier | Bus | Railroad |
| Service | 716 | 162 | 47 | 95 | 63 | 5 | 0 |
| Tariff | 31 | 67 | 30 | 57 | 8 | 1 | 0 |
| Billing | 196 | 309 | 102 | 79 | 0 | 0 | 0 |
| Safety | 0 | 0 | 0 | 0 | 15 | 0 | 8 |
| Miscellaneous | 491 | 369 | 100 | 142 | 16 | 4 | 0 |
| Total | 1,434 | 907 | 279 | 373 | 102 | 10 | 8 |

# NORTH DAKOTA PUBLIC SERVICE COMMISSION

Office - State Capitol Building, Bismarck, North Dakota 58505, Area Code (701) 224-2400

## I. COMMISSIONERS

Richard A. Elkin, President: Republican; born October 28, 1932, in Richardton, North Dakota; Dickinson State College, State Legislature, school teacher, county water commissioner, MARUC Secretary-Treasurer (1972-73); assumed Commissionership January 1, 1967, current term ends December 31, 1984; married (Agnes Mae), four children.

E. Bruce Hagen, Commissioner: Democrat; born June 21, 1930, in Grand Harbor, North Dakota; University of North Dakota (BA, MA), University of Wisconsin; U.S. Army, State Assistant Motor Vehicle Registrar, farmer, MARUC past President; assumed Commissionership September 19, 1961, current term ends January 1, 1983; married (Sylvia), two children.

Ben J. Wolf, Commissioner: Republican; born June 4, 1907, in Zeeland, North Dakota; farmer, bulk oil distributor, State Representative, Speaker of the House, Majority Floor Leader, general merchandise businessman, trucking businessman, city councilman, mayor; assumed Commissionership 1962, current term ends January, 1981; married (Barbara), seven children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary and Administration | 11 | Commissioners & Janet Sauter, Secretary |
| Accounting | 2 | Roy Paetzke, Chief Accountant |
| Weights and Measures | 7 | Bruce Niebergall, Director |
| Engineering and Siting (Public Utility Division) | 8 | Wallace Owen, Chief Engineer |
| Legal | 4 | Ray Walton, Chief Counsel |
| Motor Carriers | 4 | Robert Senger, Director |
| Railroads (Traffic) | 3 | John Finsness, Director |
| Grain Elevator | 2 | Richard Bresnahan, Director |
| Reclamation | 15 | Ed Englerth, Director |
| Total | 56* | |

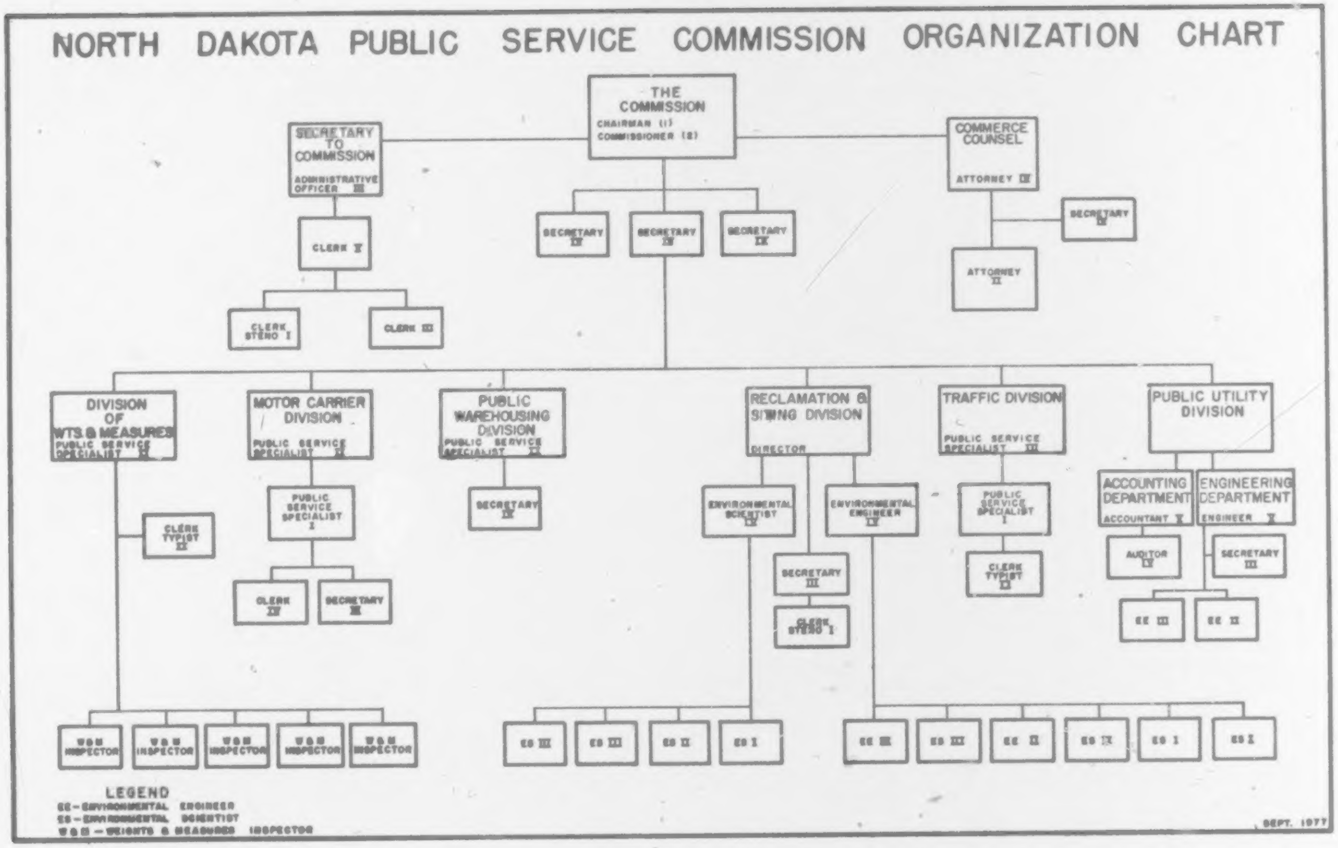
*Including three Commissioners.

## III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by the PSC for Year Ending June 30, 1979

| | July 1, 1978 thru June 30, 1979 |
|---|---|
| RECEIPTS | |
| General Fund Appropriation (1977-79) | $2,833,356.00 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 3,834.72 |
| Railroad Safety | None |
| Other Receipts: | None |
| TOTAL GENERAL FUND APPROPRIATION (One-Half of Biennium Appropriation) | 1,416,678.00 |
| EXPENDITURES: (7-1-78 thru 6-30-79) | |
| Salaries & Wages | 867,551.65 |
| Contribution to Retirement System | 36,991.95 |
| Contribution to Social Security | 48,815.88 |
| Contribution to ND OASIS and BC/BS Insurance | 20,071.96 |
| Workmen's Compensation | 2,417.97 |
| Supplies & Materials | 14,080.51 |
| Postage & Mailing Services | 16,168.71 |
| Telephone & Telegraph | 8,795.71 |
| Travel Expense | 64,152.40 |
| Helicopter time to monitor transmission line construction | 7,046.16 |
| Printing & Binding | 12,459.08 |
| Maintenance Contracts | 1,606.34 |
| Vehicle Insurance | 2,757.62 |
| Dues & Professional Services | 100,357.38 |
| Equipment (Office) | 38,723.18 |
| Vehicles | None |
| Vehicle repair, tires, gas, and oil | 24,567.94 |
| Rental of Office Equipment (Copier and Mag II) | 16,126.49 |
| Data Processing | 33,633.01 |
| Microfilming | 1,657.75 |
| Books, Periodicals and Subscriptions | 7,018.19 |
| Other Miscellaneous Expenses | 1,210.80 |
| Refund of Fees | 1,250.00 |
| TOTAL EXPENDITURES | $1,327,460.68 |

## IV. ORGANIZATION CHART


NORTH DAKOTA PUBLIC SERVICE COMMISSION ORGANIZATION CHART
THE COMMISSION
CHAIRMAN (1)
COMMISSIONER (2)
SECRETARY TO COMMISSION
ADMINISTRATIVE OFFICER III
CLERK V
CLERK STENO I
CLERK III
SECRETARY IV
SECRETARY IV
SECRETARY IV
COMMERCE COUNSEL
ATTORNEY IV
SECRETARY IV
ATTORNEY II
DIVISION OF WTS. & MEASURES
PUBLIC SERVICE SPECIALIST II
CLERK TYPIST II
W & M INSPECTOR
W & M INSPECTOR
W & M INSPECTOR
W & M INSPECTOR
W & M INSPECTOR
MOTOR CARRIER DIVISION
PUBLIC SERVICE SPECIALIST II
PUBLIC SERVICE SPECIALIST I
CLERK IV
SECRETARY III
PUBLIC WAREHOUSING DIVISION
PUBLIC SERVICE SPECIALIST II
SECRETARY IV
RECLAMATION & SITING DIVISION
DIRECTOR
ENVIRONMENTAL SCIENTIST IV
ENVIRONMENTAL ENGINEER IV
SECRETARY III
CLERK STENO I
ES III
ES III
ES II
ES I
EE III
ES III
EE II
ES IV
ES I
ES I
TRAFFIC DIVISION
PUBLIC SERVICE SPECIALIST III
PUBLIC SERVICE SPECIALIST I
CLERK TYPIST II
PUBLIC UTILITY DIVISION
ACCOUNTING DEPARTMENT
ACCOUNTANT V
AUDITOR IV
ENGINEERING DEPARTMENT
ENGINEER V
SECRETARY III
EE III
EE II
LEGEND
EE – ENVIRONMENTAL ENGINEER
ES – ENVIRONMENTAL SCIENTIST
W & M – WEIGHTS & MEASURES INSPECTOR
SEPT. 1977

# NORTH DAKOTA PUBLIC SERVICE COMMISSION

## V. VOLUME OF PROCEEDINGS

### FORMAL CASES

The formal docket embraces formal complaints and applications involving hearings on railroad and motor carrier rates; railroad service; public utility matters; motor carrier authority; plant siting; transmission line routing matters; and other complaints and applications resulting in investigation and hearings.

The state of the docket is as follows:

| | |
|---|---|
| Number of cases docket July 1, 1977 to June 30, 1979: | |
| **Motor Carrier Cases** | 206 |
| **General Cases** (including telephone, electric, gas pipelines, grain warehousing and other storage companies, siting and other miscellaneous matters) | 683 |
| TOTAL | 889 |
| Number of cases closed June 30, 1979: | |
| **Motor Carrier Cases** | 183 |
| **General Cases** | 631 |
| TOTAL | 814 |
| Number of case pending June 30, 1979: | |
| **Motor Carrier Cases** | 23 |
| **General Cases** | 52 |
| **Court Cases on Appeal** | 3 |
| TOTAL | 78 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail Passenger Carriers | Railroads | Telephone | Electric | Gas | Combination Electric & Gas |
|---|---|---|---|---|---|---|---|
| Service | - | 1 | 102 | 123 | 87 | 33 | 20 |
| Tariff | 15 | 0 | 37 | 42 | 40 | 27 | 17 |
| Billing | 9 | 1 | 15 | 101 | 142 | 62 | 14 |
| Safety | 2 | - | 10 | 2 | 18 | 6 | 4 |
| Miscellaneous | 22 | - | - | 8 | 5 | 3 | 3 |
| Total | 48 | 2 | 164 | 276 | 292 | 131 | 58 |

# NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES

(Associate Member) - Office - 1526 Dresden Row, Post Office Box 3058, Halifax South Postal Station, Halifax, Nova Scotia B3J 3G7, Area Code (902) 424-4448.

## I. COMMISSIONERS

J. Stewart Drury, Chairman, 424-4447: born April 28, 1922, in Amherst, Nova Scotia; Mount Allison University (BA), Dalhousie University (LLB); Lieutenant RCNVR in World War II, Queen's Counsel, private law practice (1948-79); assumed Commissionership April 1979; married (Mary), three daughters.

Arthur J. Meagher, Vice Chairman, 424-4488: Liberal; born April 9, 1913, in Edmonton, Alberta; Dalhousie University (BA, LLB); private law practice (1936-52), law professor (1952-72), Queen's Counsel (1975); assumed Commissionership 1973, current term ends 1983; married (Annette), two sons.

John L. Harris, Commissioner, 424-4487; born April 12, 1941, in Halifax, Nova Scotia; Dalhousie University (BA, LLB); private law practice (1967-72), Assistant Legislative Counsel and Chief Electoral Officer for Nova Scotia (1972-75); assumed Commissionership May 15, 1975.

Charles Joseph McManus, Commissioner, 424-2748: born 1932 in Halifax, Nova Scotia; Nova Scotia Technical College (B. Eng. Mech.), Dalhousie University (MBA), postgraduate studies in industrial engineering; Professional Engineer, Defense Research Board, consultant in engineering and management, assistant professor at Dalhousie University, lecturer at St. Mary's University; assumed Commissionership 1977; married (Gertrude), two children.

Allan Green, Commissioner, born 1928 in Glace Bay, Nova Scotia; Dalhousie University (L.L.B.); Queen's Counsel, private law practice; assumed Commissionership June 1, 1979.

Robert A. Robertson, Commissioner, 424-7763: Progressive Conservative; born July 16, 1925, in St. Peters, Nova Scotia; Dalhousie University (Bachelor of Commerce); Canadian Army (1943-46), chartered accountant (30 Years), town alderman (1963-65); assumed Commissionership May 24, 1979, married (Betty), five children.

S. James Rudolph, Commissioner, 424-4448; born 1924 in Shelburne, Nova Scotia; Chartered Accountant, Civil Service Commissioner (Deputy Minister); senior public administrative positions in Government of Nova Scotia (33 years); assumed Commissionership June 1979; married (Barbara), four children.

## II. KEY STAFF

Joan Covey, Clerk of the Board
E. M. Walters, Board Administrator

M. G. K. Davidson, Financial Policy Advisor

## III. RECEIPTS AND EXPENDITURES

Providence of Nova Scotia, Board of Commissioners of Public Utilities, Statement of Expenditures and Recoveries Year Ended December 31, 1979

| | 1979 | 1978 |
|---|---|---|
| **Expenditures** | | |
| Salaries - Commissioners | $282,944 | $181,846 |
| - Other | 150,730 | 127,456 |
| Superannuation Contributions | 22,650 | 17,606 |
| Unemployment Insurance and Canada Pension | 6,229 | 4,599 |
| Group Insurance and Hospitalization | 2,183 | 2,068 |
| Consultants Fees | 16,234 | 10,914 |
| Books and Reports | 5,885 | 12,690 |
| Equipment and Maintenance | 14,066 | 12,233 |
| Travel | 11,524 | 8,067 |
| Telephone and Telegraph | 7,616 | 6,297 |
| Office Supplies and Expenses | 9,900 | 4,082 |
| Rent and Business Taxes | 96,724 | 85,939 |
| Interest and Bank Charges | 793 | |
| Leasehold Improvements | 22,631 | |
| | $650,109 | $473,797 |
| **Recoveries** | | |
| Province of Nova Scotia | $386,500 | $237,385 |
| Public Utilities | 261,651 | 235,147 |
| Other | 1,958 | 1,265 |
| | $650,109 | $473,797 |

## IV. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the Board for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Telephone | Electric | Gas | Water | Totals |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | - | - | - | - | - | 1 | 1 |
| Instituted in 1979 | 204 | 26 | 9 | 12 | 25 | 33 | 309 |
| Concluded in 1979 | 204 | 26 | 7 | 10 | 24 | 31 | 302 |
| Pending as of 12/31/79 | - | - | 2 | 2 | 1 | 3 | 8 |
| Number of Hearings in 1979 | 204 | 26 | 9 | 12 | 25 | 33 | 309 |
| Number of Hearing Days in 1979 | 153 | 26 | 19 | 9 | 19 | 31 | 257 |
| Number of Orders Appealed to Courts in 1979 | - | - | - | - | - | - | 0 |

## OHIO PUBLIC UTILITIES COMMISSION

Office - 375 South High Street, Columbus, Ohio 43215, Area Code (614) 466-3016

### I. COMMISSIONERS

William S. Newcomb, Jr., Chairman, 466-3905: Republican; born March 29, 1944, in Columbus, Ohio; Ohio State University (BS, JD), certificate of mortgage banking, Northwestern University; private law practice, vice president and legal counsel for the Galbreath Mortgage Company (1971-76), Ohio Environmental Protection Agency Legal Advisor (1976), Ohio PUC Legal Director (1976-77), assumed Commissionership February 2, 1977, current term ends January 31, 1985; three children.

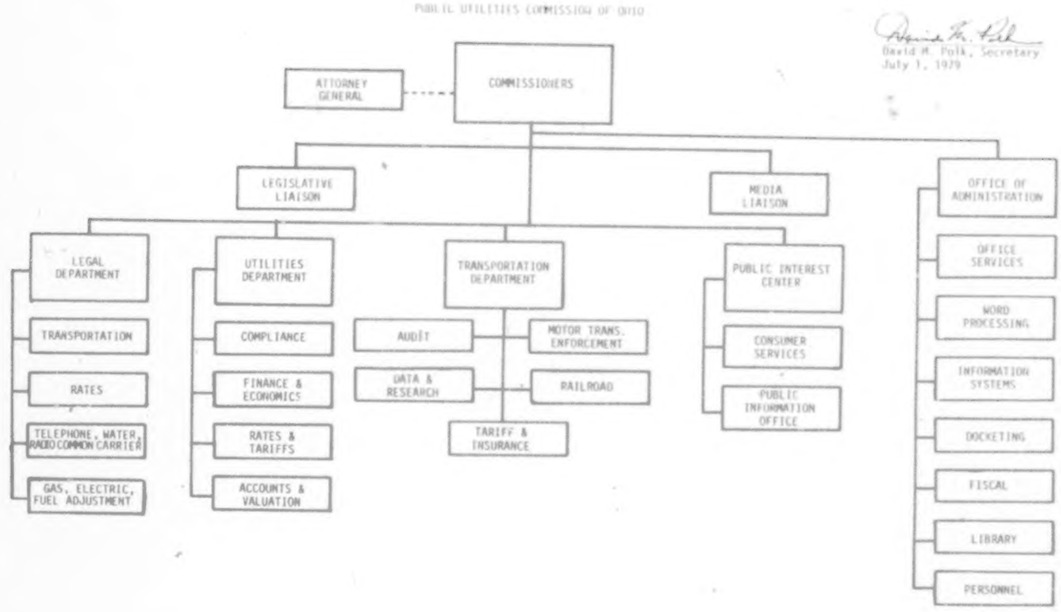
Michael Del Bane, Commissioner, 466-3204: Democrat; born February 24, 1918, in Hubbard, Ohio; real estate salesman, State Representative (1969-79); assumed Commissionership February 8, 1979, current term ends January 31, 1981; married (Angeline), two children.

Stephen A. Reilly, Commissioner, 466-3102: Republican; born July 8, 1947, in Columbus, Ohio; Ohio State University (BA, MA), Capital University (JD); assistant county prosecuting attorney (1975-77), Legal Advisor to Ohio Environmental Protection Agency (1977-80), Counsel to Governor's Task Force on Nuclear Plant Safety (1979-80); assumed Commissionership March 3, 1980, current term ends January 31, 1983; single.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Commission Office | 8 | William S. Newcomb, Jr.<br>Michael Del Bane<br>Stephen A. Reilly |
| Administration | 71 | David M. Polk |
| Assistant Attorney General | 13 | Marvin I. Resnik |
| Legal | 33 | Dennis Pines |
| Public Interest Center | 20 | C. Thomas Marshall |
| Transportation | 76 | Marvin Rothhaar |
| Utilities | 111 | John Borrows |
| Total | 332 | |

### III. ORGANIZATION CHART


PUBLIC UTILITIES COMMISSION OF OHIO
ATTORNEY GENERAL
COMMISSIONERS
LEGISLATIVE LIAISON
MEDIA LIAISON
OFFICE OF ADMINISTRATION
LEGAL DEPARTMENT
TRANSPORTATION
RATES
TELEPHONE, WATER, RADIO COMMON CARRIER
GAS, ELECTRIC, FUEL ADJUSTMENT
UTILITIES DEPARTMENT
COMPLIANCE
FINANCE & ECONOMICS
RATES & TARIFFS
ACCOUNTS & VALUATION
TRANSPORTATION DEPARTMENT
AUDIT
MOTOR TRANS. ENFORCEMENT
DATA & RESEARCH
RAILROAD
TARIFF & INSURANCE
PUBLIC INTEREST CENTER
CONSUMER SERVICES
PUBLIC INFORMATION OFFICE
OFFICE SERVICES
WORD PROCESSING
INFORMATION SYSTEMS
DOCKETING
FISCAL
LIBRARY
PERSONNEL

David M. Polk
David M. Polk, Secretary
July 1, 1979

## OHIO PUBLIC UTILITIES COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures for the Fiscal Year Ended June 30, 1979

RECEIPTS

| | |
|---|---|
| Assessments of regulated railroads & utilities | $6,000,000. |
| Federal grants-in-aid for: | |
| Gas Pipeline Safety | 68,286. |
| Railroad Safety | 30,198. |
| Energy Programs (grants from U.S. Dept. of Energy) | 182,171. |
| P.U.C.O. Participation on Ohio Power Siting Commission | 4,452. |
| Proceeds from salvage of equipment | 24,368. |
| Motor carrier taxes and fees deposited to General Fund | 3,383,973. |
| Total | $9,693,448. |

EXPENDITURES

| | |
|---|---|
| Salaries and wages | $4,771,941. |
| Payroll processing expense | 23,625. |
| Non-payroll personal service | 439,337. |
| Insurance and bonding | 266,758. |
| Contributions to Public Employees' Retirement System | 643,391. |
| Supplies | 64,632. |
| Automobile operating expense | 165,797. |
| Travel expense | 199,942. |
| Postage and freight | 77,928. |
| Telephone and telegraph | 172,261. |
| Office rental | 519,800. |
| Data processing expense | 130,813. |
| Equipment rental | 51,862. |
| Printing and binding | 44,283. |
| Legal Notices expense | 10,594. |
| Purchased publications | 30,148. |
| Maintenance and repair (non-automobile) | 22,339. |
| Purchase of automobiles | 73,108. |
| Purchase of other equipment | 73,850. |
| Other expenses | 23,205. |
| Total | $7,805,614. |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before PUC for Fiscal Year 1980

| | Motor Freight Carriers | Motor Passenger Carriers | Rail-roads | Tele-phone | Elec-tric | Gas | Combination Electric & Gas | Water | Sewer | Combination Water and Sewer | Total |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | | |
| Pending as of 7/1/79 | 290 | 17 | 13 | 104 | 64 | 45 | 3 | 14 | 5 | 7 | 562 |
| Instituted in Fiscal Year | 518 | 24 | 34 | 212 | 131 | 560 | 11 | 30 | 5 | 4 | 1,529 |
| Concluded in Fiscal Year | 546 | 23 | 26 | 208 | 123 | 106 | 7 | 19 | 4 | 5 | 1,067 |
| Pending as of 6/30/80 | 262 | 18 | 21 | 108 | 72 | 499 | 7 | 25 | 6 | 6 | 1,024 |
| Number of Hearings | 296 | 19 | 5 | 51 | 60 | 31 | 4 | 11 | 1 | 3 | 481 |
| Number of Orders Appealed to Courts | 1 | - | - | 3 | 19 | 1 | - | 4 | - | 1 | 29 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Electric | Gas | Combination Electric and Gas | Water | Sewer |
|---|---|---|---|---|---|---|---|---|---|
| Service | 230 | 23 | 7 | 3,146 | 269 | 320 | 82 | 47 | 1 |
| Tariff | 26 | 6 | - | 184 | 59 | 48 | 12 | 5 | - |
| Billing | 2 | - | - | 1,173 | 1,346 | 1,052 | 352 | 89 | 1 |
| Safety | 110 | 21 | 327 | - | - | - | - | - | - |
| Miscellaneous | 213 | 4 | 84 | 55 | 19 | 26 | 9 | 6 | 1 |
| Totals | 581 | 54 | 418 | 4,558 | 1,693 | 1,446 | 455 | 147 | 3 |

## OKLAHOMA CORPORATION COMMISSION

Office - Jim Thorpe Office Building, Oklahoma City, Oklahoma 73105, Area Code (405)

District Offices -

District 1
Post Office Box 779
Bristow, Oklahoma 74010
Telephone: (918) 367-2515

District 2
102 W. Will Rogers Drive
Kingfisher, Oklahoma 73750
Telephone: (405) 375-5570

District 3
3 North 11th Street
Post Office Box 1525
Duncan, Oklahoma 73533
Telephone: (405) 255-0103

District 4
1124 North Broadway
Ada, Oklahoma 74820
Telephone: (405) 332-2727

### I. COMMISSIONERS

Hamp Baker, Chairman, 521-2267: Democrat; born May 3, 1933, in Stephens County, Oklahoma; Southeastern State College, University of Oklahoma; owner and operator of oil field well servicing company (18 years), rancher, school board official (9 years); assumed Commissionership January 13, 1975, current term ends January 12, 1981; married (JoAnn), four children.

Bill Dawson, Commissioner, 521-2264: Democrat; born August 13, 1943, in Vinita, Oklahoma; Oklahoma State University (BA, MA), University of Tennessee (JD), Ohio State University; Methodist minister (1962-66), instructor at Central State University (1967-69), assistant professor at Pennsylvania State University (1970-71), private law practice (1973-78), State Senator (1974-78); assumed Commissionership January 7, 1979, current term ends January 1985; married (Marie), one child.

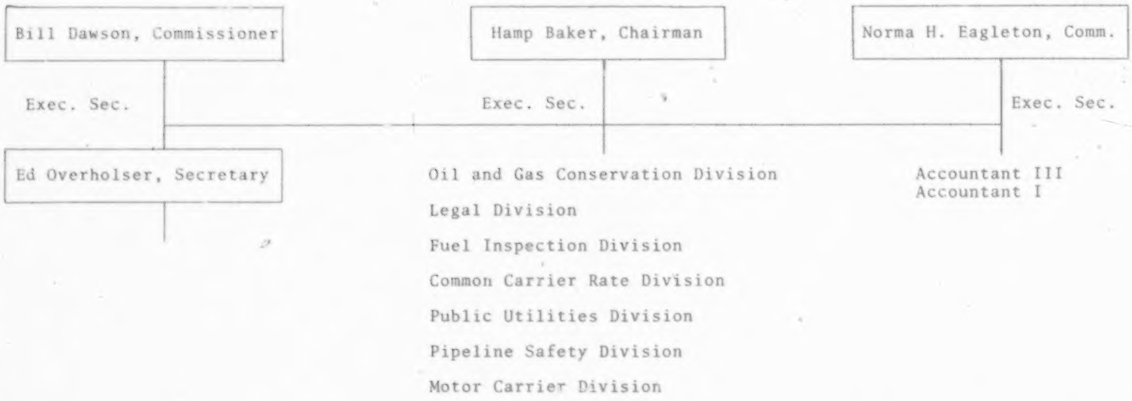
Norma H. Eagleton, Commissioner, 521-2261: Democrat; born March 19, 1934, in Wewoka, Oklahoma; Stephens College (AA), University of Oklahoma (BA); member of Tulsa Utility Board and Metropolitan Water Authority and Regional Metropolitan Utility Authority (1974-76), Tulsa Finance and Revenue Commissioner (1976-79); assumed Commissionership February 6, 1979, current term ends January 12, 1981; married (John) two boys.

### II. KEY STAFF

| | | | |
|---|---|---|---|
| Ed Overholser, Secretary | 521-2351 | Cody Waddell, Chief Referee | 521-2250 |
| Lee W. Cook, General Counsel | 521-2255 | Johnny W. Robertson, Public Utility Engineer (Telephone) | 521-2331 |
| Dan R. Dunnett, Director Oil and Gas Conservation Division | 521-2301 | E. Maurice Myers, Director, Pipeline Safety Division | 521-2258 |
| H. H. Cody, Conservation Attorney | 521-2260 | Clyde Moody, Director, Motor Carrier Division | 521-2251 |
| S. F. Shakely, Manager Pollution Abatement Department | 521-2242 | R. A. Stafford, Director, Fuel Inspection Division | 521-2487 |
| Bob S. Evatt, Director, Public Utility Division | 521-2332 | Wilfred M. Tucker, Director Tariff Department | 521-2915 |
| Earl A. Hamilton, Public Utility Engineer (Gas, Water, Electricity) | 521-2335 | William Mounger, Public Utility-Engineer Railroads | 521-2915 |
| | | James R. Ury, Chief Motor Carrier Enforcement | 521-2251 |

### III. ORGANIZATION CHART

OKLAHOMA CORPORATION COMMISSION


Bill Dawson, Commissioner
Hamp Baker, Chairman
Norma H. Eagleton, Comm.
Exec. Sec.
Exec. Sec.
Exec. Sec.
Ed Overholser, Secretary
Oil and Gas Conservation Division
Legal Division
Fuel Inspection Division
Common Carrier Rate Division
Public Utilities Division
Pipeline Safety Division
Motor Carrier Division
Accountant III
Accountant I

## ONTARIO ENERGY BOARD

(Associate Member), Office - 9th Floor, 14 Carlton Street, Toronto, Ontario, Canada M5B 1J2,
Area Code (416) 963-0815

### I. COMPOSITION OF THE ONTARIO ENERGY BOARD

Robert H. Clendining, Chairman: born 1927 in Toronto; University of Toronto, B.Sc. Engineering (mining and geology); 25 years petroleum industry; joined Ontario Ministry of Energy 1974 as senior policy advisor for crude oil and natural gas; assumed Membership in 1978; married (Susan) four sons.

Ian C. MacNabb, Vice Chairman: born 1929 in Ontario; Queen's University (Kingston) (BSc) (civil engineering); 11 years professional experience in natural gas industry in Western Canada; assumed Membership 1966; married (Susan) three children.

Stephanie J. Wychowanec, Vice Chairman: born 1933, in Montreal; University of Toronto, Osgoode Hall Law School (Toronto); senior solicitor of Ontario Government Ministry of Treasury, Economics and Intergovernmental Affairs, Queen's Counsel 1971; assumed Membership 1973; single.

Harvey R. Chatterson, Member: born 1923 in Saskatchewan; University of British Columbia (B. Comm.), University of Michigan; associated with British Columbia PUC, Saskatchewan Power Corporation, Potomac Electric Power Company and Manitoba Hydro, 22 years experience in electric power industry in rate management and rate regulation; assumed Membership 1975; married (Jean), six children.

John C. Butler, Member: born 1931, in Northhampton, England; Liverpool College of Technology (mechanical engineering), 20 years in engineering and management in industry both in United Kingdom and Canada, Ontario EB Director of Operations; assumed Membership July 1976; married (Pat), three children.

John R. Dunn, Member: born 1916, in Saskatchewan; University of Toronto (B.A.Sc.); Royal Canadian Navy (1941-47), electrical engineer with long career in industry including planning and research marketing, business management, service on the North York Hydro Commission, participation in Ontario Municipal Electrical Association; assumed Membership January 1977; married (Shirley), two daughters.

Donald M. Treadgold, Member: born 1916, in Toronto; University of Toronto (BA), Osgoode Hall Law School (LLB); senior partner of law firm of Blackwell, Law, Treadgold and Armstrong, Queen's Counsel, appointed member of Ontario Fuel Board in 1954; assumed Membership 1960; married (Majorie), one son.

I. Bruce MacOdrum, LL.B., Member: Lawyer, specialized in administrative law, particularly in the energy, environmental, utility regulation and municipal fields; was senior advisor, policy development - natural gas, and then also executive coordinator, regulatory affairs, in the Ontario Ministry of Energy. Most recently was general counsel to a consortium of Canadian and U.S. energy companies. Appointed Member Ontario Energy Board March 1979.

Leonard Waverman, Ph.D., Part-Time Member: Professor of Economics, University of Toronto, ten years. Has served as consultant to government at provincial and federal levels. Special teaching interest in public policy, public utility, energy and resource economics. Appointed Member Ontario Energy Board May 1978.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Operations | 1 | D. D. McLean |
| Financial Analysis | 8 | O. J. Cook |
| Engineering | 2 | C. J. Mackie |
| Board Secretary | 1 | S. A. C. Thomas |
| Board Solicitor | 1 | L. Graholm |
| Special Projects | 1 | D. R. Cochran |
| Secretarial and Clerical | 8 | |
| Total | 22 | |

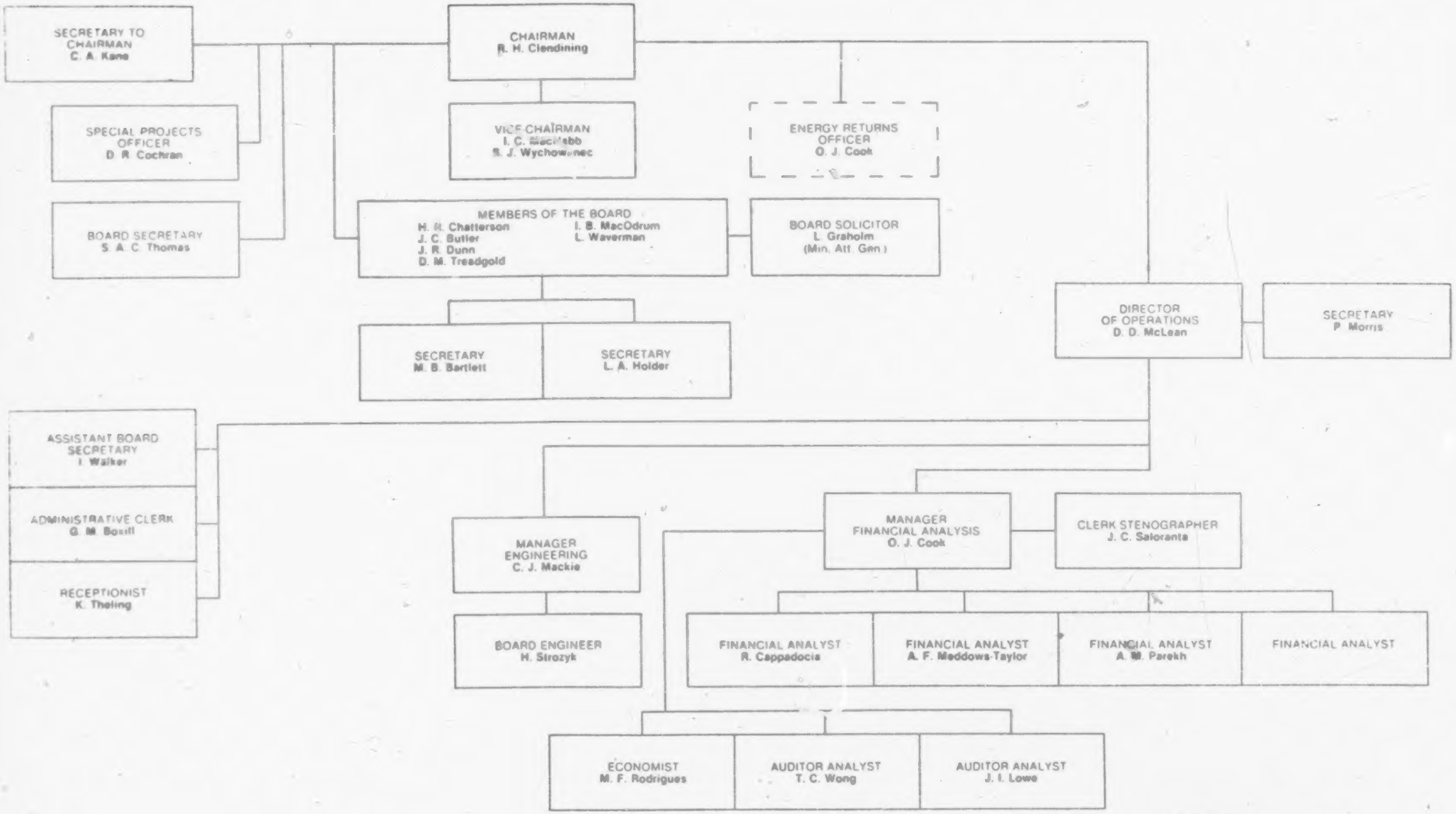
### III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the Board as of Fiscal Year Ended March 31, 1980

| Receipts | |
|---|---|
| General Fund Appropriation | $1,331,000 |
| Assessments of Regulated Companies | 595,000 |
| Total | $1,926,000 |

| Expenditures | |
|---|---|
| Salaries and Wages | $ 767,100 |
| Employee Benefits | 136,600 |
| Transportation and Communication | 19,000 |
| Supplies and Equipment | 14,800 |
| Administrative | 36,300 |
| Hearing Costs | 398,100 |
| Secondment Recovery | (40,900) |
| Total | $1,331,000 |

## IV. ORGANIZATION CHART


SECRETARY TO CHAIRMAN
C. A. Kane
CHAIRMAN
R. H. Clendining
SPECIAL PROJECTS OFFICER
D. R. Cochran
VICE CHAIRMAN
I. C. MacNabb
S. J. Wychowanec
ENERGY RETURNS OFFICER
O. J. Cook
BOARD SECRETARY
S. A. C. Thomas
MEMBERS OF THE BOARD
H. R. Chatterson
J. C. Butler
J. R. Dunn
D. M. Treadgold
I. B. MacOdrum
L. Waverman
BOARD SOLICITOR
L. Graholm
(Min. Att. Gen.)
SECRETARY
M. B. Bartlett
SECRETARY
L. A. Holder
DIRECTOR OF OPERATIONS
D. D. McLean
SECRETARY
P. Morris
ASSISTANT BOARD SECRETARY
I. Walker
ADMINISTRATIVE CLERK
G. M. Boxill
RECEPTIONIST
K. Theling
MANAGER ENGINEERING
C. J. Mackie
BOARD ENGINEER
H. Strozyk
MANAGER FINANCIAL ANALYSIS
O. J. Cook
CLERK STENOGRAPHER
J. C. Saloranta
FINANCIAL ANALYST
R. Cappadocia
FINANCIAL ANALYST
A. F. Meddows-Taylor
FINANCIAL ANALYST
A. M. Parekh
FINANCIAL ANALYST
ECONOMIST
M. F. Rodrigues
AUDITOR ANALYST
T. C. Wong
AUDITOR ANALYST
J. I. Lowe

Ontario
Energy
Board

1:11:79

# ONTARIO TELEPHONE SERVICE COMMISSION

(Associate Member), Office - 3625 Dufferin Street, Suite 200, Downsview, Ontario, Canada M3K 1Z2,
Area Code (416) 248-3831

## I. COMMISSIONERS

Wladzia Bielski, Chairman: born July 25, 1931, in Fort William, Ontario; University of Toronto (Bachelor of Commerce and Finance), Osgoode Hall Law School; member of Law Society of Upper Canada, private law practice, Queen's Council (1974), Director of Policy Development Branch of Communications Division of Ministry of Transportation and Communications; assumed Commissionership January 1, 1978, current term ends December 31, 1980; married (Casimir), five children.

James D. Misner, Vice Chairman: born April 4, 1935, in Simcoe, Ontario; Doctor of Chiropractice; RCAF Flying Officer (6 years); assumed Commissionership January 1, 1975, current term ends December 31, 1980; married (Mary Anne), three children.

E. A. Frith, Commissioner: born May 8, 1912, in Ottawa, Ontario; McMaster University (BA); General Manager of Ontario Northland Transportation Commission; assumed Commissionership December 1, 1973, current term ends December 31, 1980; married (Margaret), two children.

Margaret E. Parry, Commissioner: born March 22, 1919, in Toronto, Ontario; assumed Commissionership January 1, 1975, current term ends December 31, 1980; widow, four children.

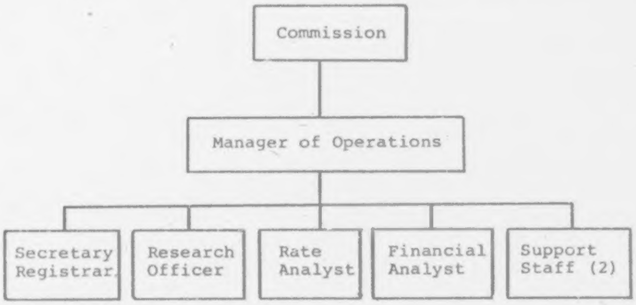
Frank D. Wall, Commissioner: born January 22, 1925, in York County, Ontario; MBBC College; farmer, Vice President of Ontario Federation of Agriculture; assumed Commissionership January 1, 1975, current term ends December 31, 1980; married (Luella), five children.

## II. KEY STAFF

William J. Withers, Manager of Operations
David W. McKeown, Research Officer
Peter G. Schofield, Secretary/Registrar
Robert E. Foote, Rates Analyst

## III. ORGANIZATION CHART

During the year, a major reorganization of staff positions occurred, and the following chart outlines the present organizational structure of the Commission. The Commission is assisted by a staff of seven.



## IV. EXPENDITURES

Ontario Telephone Service Commission Expenditures

| | |
|---|---|
| Salaries and Wages | $175,000 |
| Travel Expenses | 33,000 |
| Services | 81,000 |
| Other Expenses | 8,000 |
| Total | $297,000 |

## V. VOLUME OF PROCEEDINGS AND COMPLAINTS

In 1979, the Commission attended 18 meeting days, and held 32 public hearings. It also held seven field hearings in Forest, Orono, Thunder Bay, Coldwater, Zurich, Ripley and Keewatin.

During the year, the Commission issued a total of 125 orders for the purposes specified and under the sections of the Telephone Act.

The Commission received 61 telephone complaints during 1979.

## OREGON PUBLIC UTILITY COMMISSIONER

Office - 300 Labor and Industries Building, Third Floor, Salem, Oregon 97310, Area Code (503)

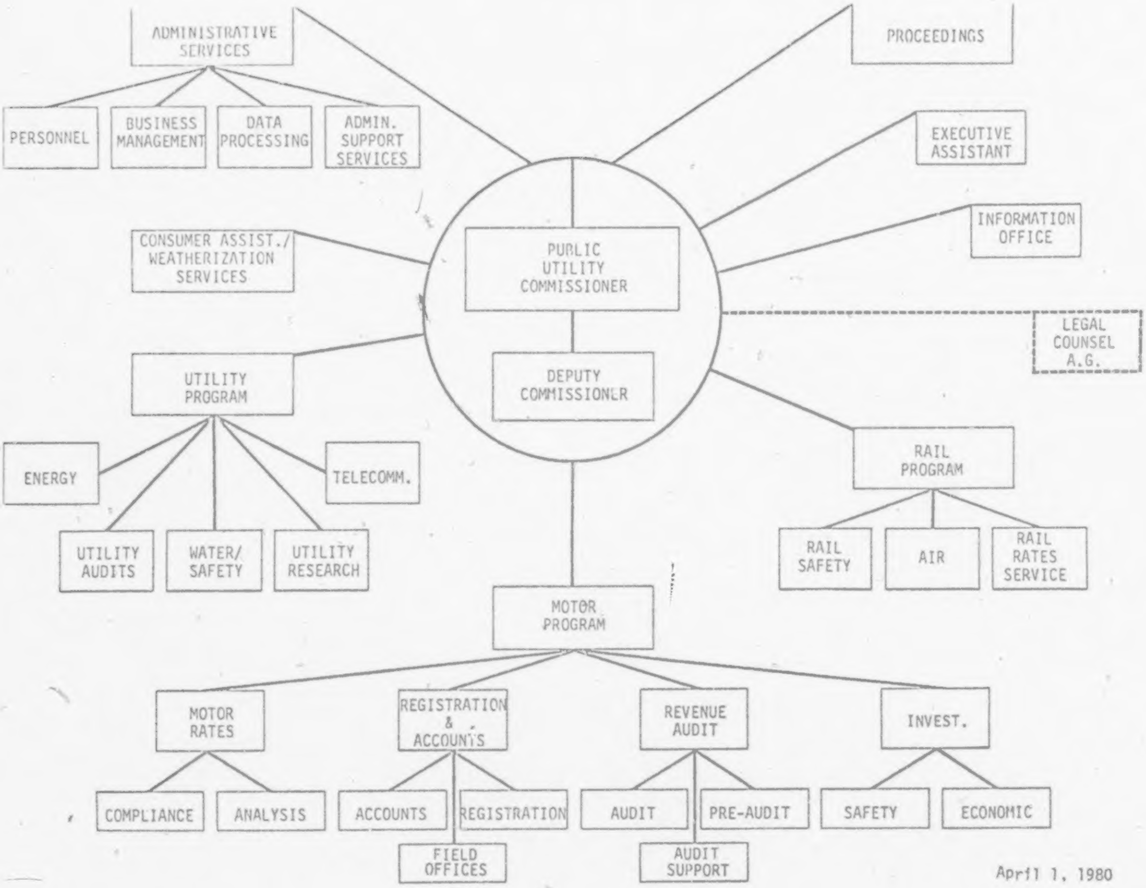
### I. COMMISSIONER

**John J. Lobdell**, Commissioner, 378-6666: Democrat; born October 22, 1928, in Red Lodge, Montana; Creighton University (BS), Northwestern College of Law; U.S. Navy (1946-48), auditor for Oregon Tax Commission (1952-62), tax consultant to California Department of Finance (1963), Oregon Department of Finance official (1967-69), Data Systems Development Director (1969-70), State Planning Director (1970-71), Deputy Director (1971-74) and Director (1974-79) of Oregon Department of Revenue, instructor at Portland State University (1978-79); assumed Commissionership April 20, 1979, current term ends April 19, 1983; married (Mary), six children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administrative Support | 101 | Don Armstrong |
| Commissioner's Office | 6 | John J. Lobdell |
| Consumer Assistance and Weatherization Services | 6 | William Kramer |
| Legal | 11 | William DuValle |
| Motor Carriers | 170 | Don Haakenson |
| Railroad/Air Carriers | 18 | David Astle |
| Utilities | 39 | Frank Dillon |
| Total | 351 | |

### III. ORGANIZATION CHART


ADMINISTRATIVE SERVICES
PERSONNEL
BUSINESS MANAGEMENT
DATA PROCESSING
ADMIN. SUPPORT SERVICES
PROCEEDINGS
EXECUTIVE ASSISTANT
INFORMATION OFFICE
CONSUMER ASSIST./ WEATHERIZATION SERVICES
PUBLIC UTILITY COMMISSIONER
DEPUTY COMMISSIONER
LEGAL COUNSEL A.G.
UTILITY PROGRAM
ENERGY
TELECOMM.
UTILITY AUDITS
WATER/ SAFETY
UTILITY RESEARCH
RAIL PROGRAM
RAIL SAFETY
AIR
RAIL RATES SERVICE
MOTOR PROGRAM
MOTOR RATES
REGISTRATION & ACCOUNTS
REVENUE AUDIT
INVEST.
COMPLIANCE
ANALYSIS
ACCOUNTS
REGISTRATION
FIELD OFFICES
AUDIT
PRE-AUDIT
AUDIT SUPPORT
SAFETY
ECONOMIC

April 1, 1980

## OREGON PUBLIC UTILITY COMMISSIONER

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the PUC as of December 31, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $ 24,059.93 |
| Assessments of Regulated Companies | 2,801,809.42 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 38,862.00 |
| Railroad Safety | 90,670.88 |
| Other Receipts: | |
| Truck scale tests, copies of documents, travel reimbursement and miscellaneous | 195,183.88 |
| Total | $3,150,586.11 |

| Expenditures | |
|---|---|
| Salaries and Wages | $4,903,708.68 |
| Supplies and Materials | 98,348.64 |
| Postage | 106,047.09 |
| Telephone and Telegraph | 92,954.73 |
| Travel Expense | 234,863.18 |
| Printing and Binding | 47,920.30 |
| Motor Vehicle Operation | 2,041.35 |
| Maintenance | 34,750.60 |
| Insurance and Bonding | 2,975.79 |
| Dues and Professional Services | 18,233.77 |
| Equipment | 39,256.30 |
| Contributions to Retirement System | 532,316.91 |
| Contributions to Social Security | 264,053.51 |
| Other Expense, Rent, Services | 1,459,208.07 |
| Total | $7,836,768.92 |

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the PUC for Year Ended December 31, 1979

| | Motor Freight Carriers | Rail-roads | Tele-graph | Tele-phone | Electric | Gas | Water | Totals |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Pending as of 1/1/79 | 100 | 106 | - | 5 | 10 | - | - | 221 |
| Instituted in 1979 | 708 | 149 | 1 | 30 | 45 | 25 | 7 | 965 |
| Concluded in 1979 | 695 | 135 | 1 | 25 | 40 | 21 | 5 | 922 |
| Pending as of 12/31/79 | 113 | 120 | - | 10 | 15 | 4 | 2 | 264 |
| Number of Hearings in 1979 | 104 | 120 | - | 4 | 18 | 7 | - | 253 |
| Number of Orders Appealed to Courts in 1979 | 4 | - | - | - | 1 | - | - | 5 |

### VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|---|
| Service | - | 197 | 1,151 | 265 | 48 | 67 |
| Tariff | 21 | 3 | 44 | 244 | 16 | 16 |
| Billing | 59 | - | 1,141 | 905 | 269 | 66 |
| Safety | 49 | 118 | - | - | - | - |
| Miscellaneous | 306 | 2 | 789 | 553 | 115 | 187 |
| Totals | 435 | 320 | 3,125 | 1,967 | 448 | 336 |

# PENNSYLVANIA PUBLIC UTILITY COMMISSION

Main Office - Post Office Box 3265, Harrisburg, Pennsylvania 17120, Area Code (717) 783-1740

Regional Offices - Philadelphia, Pennsylvania 19130
1308 Philadelphia State Office Building
1400 W. Spring Garden Street
(215) 238-6960
Pittsburgh, Pennsylvania 15222
1211 Pittsburgh State Office Building
300 Liberty Avenue
(412) 565-5038

Altoona, Pennsylvania 16601
1200 11th Avenue
(814) 946-0861
Scranton, Pennsylvania 18503
100 Lackawanna Avenue
(717) 459-4590
Erie, Pennsylvania 16501
609 French Street

## I. COMMISSIONERS

Susan M. Shanaman, Chairman, 787-5207: born December 19, 1946, in Lebanon, Pennsylvania; Lebanon Valley College (BA), Dickinson School of Law (JD); Pennsylvania PUC attorney (1970-75), counsel for State Senate (1975-79); assumed Commissionership November 16, 1979, current term ends April 1, 1983; married (Marlin McCaleb).

Michael Johnson, Commissioner, 783-3201: Democrat; born May 10, 1912, in New York, New York; Columbia University; leader in the International Ladies' Garmet Workers' Union (1934-55, 1960-62), Director of Education and Research and Public Relations of State Federation of Labor (1955-60), Executive Vice President of State AFL-CIO and Director of State AFL-CIO COPE (1962-75), member of Board of Directors of Blue Cross of Greater Philadelphia, leader in mental health and welfare fields; assumed Commissionership December 16, 1975, current term ends April 1, 1985; married (Sue), two daughters.

James H. Cawley, Commissioner, 783-1001: born September 12, 1945, in Olean, New York; St. Bonaventure University (BA), Notre Dame (JD); law clerk to State judge (1970-73), private law practice (1973-75), counsel for State Senate (1975-79); assumed Commissionership November 16, 1979, current term ends April 1, 1987; married (Mary), three children.

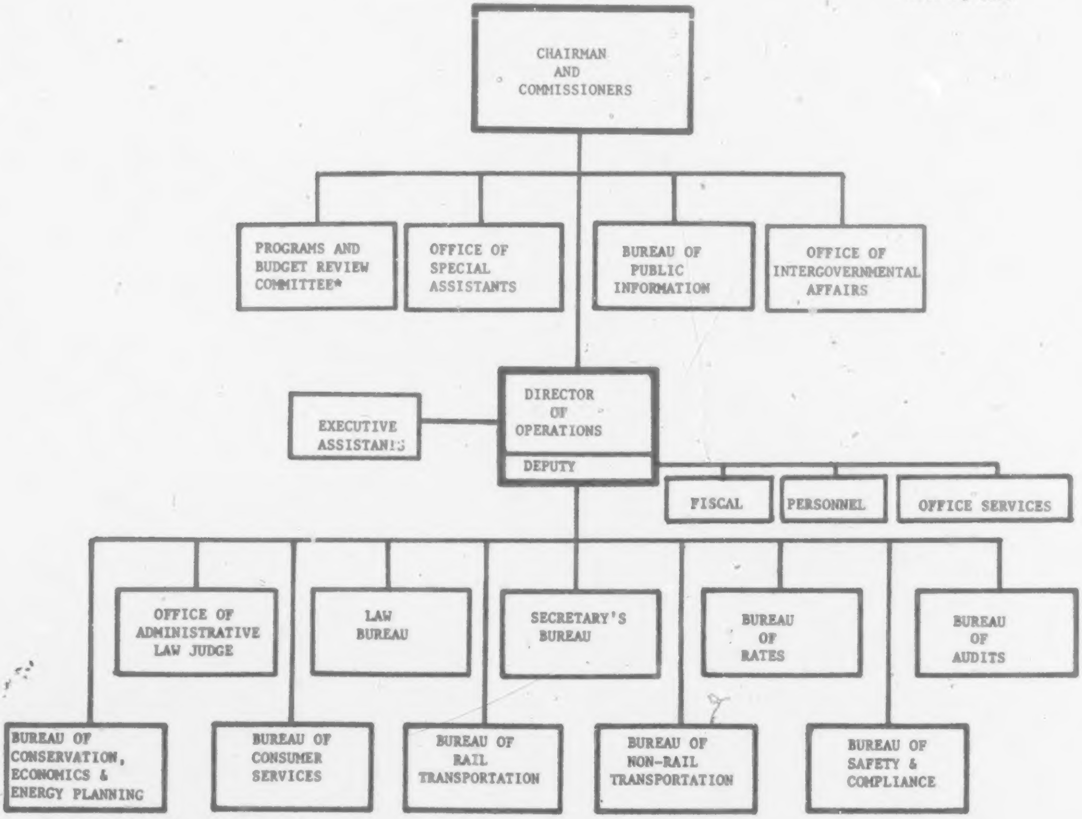
Linda C. Taliaferro, Commissioner, 787-4301: Republican; born November 13, 1947, in Springfield, Massachusetts; Skikmore College (BA), Boston University (JD); attorney for New York Life Insurance Company (1973-76), official of Westinghouse Electric Corporation (1976-79); assumed Commissionership November 16, 1979, current term ends April 1, 1989; single.

Vacancy, Commissioner.

## II. ORGANIZATION CHART

THE PENNSYLVANIA PUBLIC UTILITY COMMISSION

Organization
March 7, 1980


CHAIRMAN AND COMMISSIONERS
PROGRAMS AND BUDGET REVIEW COMMITTEE*
OFFICE OF SPECIAL ASSISTANTS
BUREAU OF PUBLIC INFORMATION
OFFICE OF INTERGOVERNMENTAL AFFAIRS
EXECUTIVE ASSISTANTS
DIRECTOR OF OPERATIONS
DEPUTY
FISCAL
PERSONNEL
OFFICE SERVICES
OFFICE OF ADMINISTRATIVE LAW JUDGE
LAW BUREAU
SECRETARY'S BUREAU
BUREAU OF RATES
BUREAU OF AUDITS
BUREAU OF CONSERVATION, ECONOMICS & ENERGY PLANNING
BUREAU OF CONSUMER SERVICES
BUREAU OF RAIL TRANSPORTATION
BUREAU OF NON-RAIL TRANSPORTATION
BUREAU OF SAFETY & COMPLIANCE

*Composite Commission Staff

# PENNSYLVANIA PUBLIC UTILITY COMMISSION

## III. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Chairman and Commissioners | 52 | Chairman Susan M. Shanaman<br>Commissioner Michael Johnson<br>Commissioner James Cawley<br>Commissioner Linda Taliaferro |
| Director of Operations | 55 | John B. Wilson |
| Secretary's Bureau | 58 | William P. Thierfelder |
| Law Bureau | 54 | Joseph J. Malatesta |
| Office of Administrative Law Judges | 52 | William R. Shane |
| Bureau of Consumer Services | 39 | Joseph W. Farrell |
| Bureau of Rates | 76 | Robert L. Packard |
| Bureau of Non-Rail Transportation | 44 | William Stouch |
| Bureau of Rail Transportation | 16 | Raymond Peteritas |
| Bureau of Conservation, Economics and Energy Planning | 17 | Conrad J. Six |
| Bureau of Audits | 36 | John L. Dial |
| Bureau of Safety and Compliance | 95 | John G. Alford |
| Total | 594 | |

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the Pennsylvania PUC for the Fiscal Year Ending June 30, 1979

| Receipts | 1979 |
|---|---|
| General Fund (Executive Authorization) 1/ | $16,032,153 |
| Assessment Collections | |
| Federal Grant in Aid for: | |
| Gas Pipeline Safety | 82,839 |
| Railroad Safety | - |
| Other Receipts: | |
| Application Fees | 25,662 |
| Appliance Testing Fees | 9,346 |
| Total Budget Allocation | $16,150,000 |
| Violation of Order Fines 2/ | $ 109,000 |

| Expenditures | 1979 |
|---|---|
| Salaries and Wages | $10,086,687 |
| Contributions to Retirement System | 1,287,982 |
| Contributions to Social Security | 563,518 |
| Other Employee Benefits | 1,074,488 |
| Supplies and Materials | 162,616 |
| Postage | 93,913 |
| Telephone and Telegraph | 293,968 |
| Travel Expenses | 270,268 |
| Printing and Binding | 62,191 |
| Rental of Motor Vehicles | 114,068 |
| Motor Vehicle Operations | 63,211 |
| Maintenance Office Equipment | 17,052 |
| Insurance and Bonding | 3,780 |
| Dues and Professional Services | 264,141 |
| Computer Programming | 124,739 |
| Other Expenses | 822,152 |
| Equipment | 31,386 |
| Furniture and Furnishings | 73,309 |
| Building and Structures | 31,303 |
| Motor Vehicle | - |
| Total | $15,440,772 |
| Petty Cash 3/ | $ 10,000 |

1/ The Pennsylvania Public Utility Commission has not received an appropriation from the General Fund since 1972. The Commission assesses the utilities in advance for an approved estimate of expenditures for the ensuing fiscal year. This estimate is the lowest amount of the budget request approved by the Governor and the Appropriation Committees of the House and Senate. The monies collected from the utilities are transmitted to the General Fund and earmarked for use solely by the Commission.

2/ Monies collected for Violation of Order Fines are not transmitted to the Commission's revenue fund but instead go directly to the General Fund unearmarked.

3/ The petty cash fund is reimbursed from assessment monies and is transferred at the close of business each June 29th to the new fiscal year estimate of expenditures.

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the PUC for Year Ended December 31, 1979

| Number of Proceedings: | Totals 1/ |
|---|---|
| Pending as of 1/1/79 | 2,059 |
| Instituted in 1979 | 4,077 |
| Concluded in 1979 | 2,487 |
| Pending as of 12/31/79 | 3,649 |
| Number of Hearings in 1979 | 1,254 |

## VI. COMPLAINTS

Total Complaints Received by the PUC for Year Ended December 31, 1979

| | Totals 1/ |
|---|---|
| Service | 79 |
| Tariff | 177 |
| Billing | 190 |
| Safety and Miscellaneous | 1,108 |
| Total | 1,554 |

1/ Only cumulative records maintained for carriers and utilities for Volume of Proceedings and Complaints.

## PUERTO RICO PUBLIC SERVICE COMMISSION

Main Office - Call Box C.P., Malco Building No. 47, Barbosa Avenue, Hato Rey, Puerto Rico 00919,
Area Code (809) 751-5050

Regional Offices -

Aguadilla
Box 284 Ramey
Administration Building
Base Ramey
Aguadilla, Puerto Rico 00604

Arecibo
Box 1232
Rotarios Avenue No. 354
Arecibo, Puerto Rico 00612

Barranquitas
Box 56
Munoz Rivera Street No. 48
Barranquitas, Puerto Rico

Guayama
Box 1138
Irahola Shopping Center
Road No. 3 Km. 143.9
Guayama, Puerto Rico

Caguas
Box 7828
Ruiz Belvis Street in the Corner of
Padial Street
Caguas, Puerto Rico 00625

Humacao
Box 43 - Punta Santiago Station
Font Martello Street No. 57
Humacao, Puerto Rico 00741

Mayaguez
Box 837
Post Street - Road No. 2
Mayaguez, Puerto Rico

Ponce
Box 7564
Centro Gubernamental, Ofician 105,
Calle Munoz Rivera Esq.
Avenue Las Americas
Ponce, Puerto Rico 00732

### I. COMMISSIONERS

Manuel Amadeo Gonzalez, Chairman, 763-0904, 751-5050, Ext. 265, 266: New Progressive Party; born May 8, 1937, in Santurce, Puerto Rico; University of Puerto Rico (BBA), Interamerican University Law School (JD); private law practice; assumed Commissionership May 23, 1979; married (Elsa), three children.

Manuel A. Santiago, Commissioner, 763-3298: New Progressive Party; born January 2, 1939, in Patillas, Puerto Rico; University of Puerto Rico (BA), Evangelical Seminary of Puerto Rico (MTH), Interamerican University (JD), U.S. Army Chaplain School; minister, private law practice, Legal Assistant to Executive Director of Puerto Rico Housing Department (1973-77), PSC legal counsel (1977-79), U.S. Army Reserve officer; assumed Commissionership May 17, 1979, current term ends March 1981; married (Gladys), one child.

Jose' Cima de Villa Malave', Commissioner, 763-1561, 751-5050, Ext. 271, 272: born December 2, 1936, in Cabo Rojo, Puerto Rico; University of Puerto Rico (BA, MES), Interamerican University Law School (JD); assumed Commissionership June 22, 1979; married (Milagros), three children.

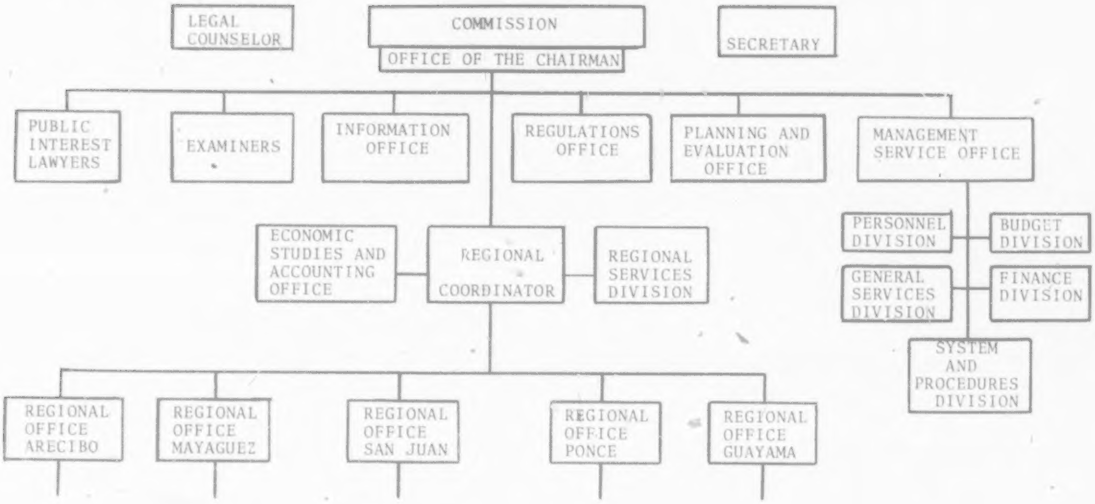
### II. STAFF

| Name of Division | Number of Personnel* | Name of Division Head |
|---|---|---|
| Chairman's Office | 3 | Manuel Amadeo, Esq. |
| Commissioners | 4 | Manuel A. Santiago, Esq.<br>Jose Cima de Villa, Esq. |
| Chairman's Assistant | 1 | F. A. Rivera Graulau, Eng. |
| Legal Advisor | 2 | Doris Z. Pons, Esq. |
| Secretary | 25 | Ms. Raquel Marrero |
| Hearing Examiners | 11 | Segilfredo Puente, Esq. |
| Attorney for the Public Interest | 13 | Felix Castro, Esq. |
| Regulations | 2 | (Vacant) |
| Planning and Evaluation | 3 | Angel L. Diaz |
| Public Relations | 1 | Ms. Auret Imbert de Tomey |
| Administrative Services | 2 | Jose A. Lopez |
| Budget | 3 | Ian Oliveras |
| Personnel | 8 | Ildefonso Figueroa |
| Finance | 8 | Miguel A. Rodriguez |
| General Services | 17 | Juan Rivera |
| Systems and Procedures | - | (Vacant) |
| Regional Coordinator | 14 | Jorge Rodriguez, Eng. |
| Accounting | 11 | Miguel Miranda |
| Transportation | 50 | Manuel Benitez, Eng. |
| Diversified Enterprises | 11 | Ruben Nieves, Eng. |
| Island Offices: | | |
| Arecibo | 11 | Eludinio Velazquez |
| Guayama | 13 | Tito Ortiz |
| Mayaguez | 16 | Salvador Sanchez |
| Ponce | 15 | Miguel A. Vega |
| Total | 244 | |

*1978 Data

PUERTO RICO PUBLIC SERVICE COMMISSION

III. ORGANIZATION CHART*


LEGAL COUNSELOR
COMMISSION
OFFICE OF THE CHAIRMAN
SECRETARY
PUBLIC INTEREST LAWYERS
EXAMINERS
INFORMATION OFFICE
REGULATIONS OFFICE
PLANNING AND EVALUATION OFFICE
MANAGEMENT SERVICE OFFICE
ECONOMIC STUDIES AND ACCOUNTING OFFICE
REGIONAL COORDINATOR
REGIONAL SERVICES DIVISION
PERSONNEL DIVISION
BUDGET DIVISION
GENERAL SERVICES DIVISION
FINANCE DIVISION
SYSTEM AND PROCEDURES DIVISION
REGIONAL OFFICE ARECIBO
REGIONAL OFFICE MAYAGUEZ
REGIONAL OFFICE SAN JUAN
REGIONAL OFFICE PONCE
REGIONAL OFFICE GUAYAMA

*1978 Data

# QUEBEC ELECTRICITY AND GAS BOARD

(Associate Member), Office - 2100 Drummond Street, 2nd Floor, Montreal, Quebec, Canada, H3G 1X1,
Area Code (514) 873-2452

## I. MEMBERS OF THE BOARD

Jacques Vadboncoeur, Chairman: born December 12, 1912, in Montreal, Canada; College of Ste-Marie, Jean de Brebeuf College (BA), University of Montreal (law degree); private law practice, King's Counsel (1951), Crown Prosecutor (1960), Sessions' Court Judge, city alderman, civic leader; assumed Membership 1961, current term ends 1981; married (Jacqueline), three children.

Denis Savignac, Vice Chairman: born September 12, 1937, in Montreal; Ottawa University (BA, law degree); private notarial law practice, joint administrator of Rental Board (1967-68); assumed Membership 1968, current term ends 1985; married (Jocelyne), two children.

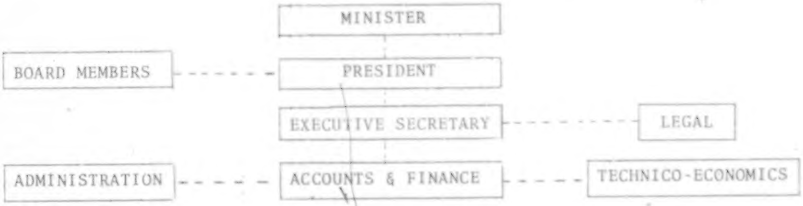
Yvon Pichette, Member: born April 1, 1933, in Sherbrooke, Quebec, Canada; Ecole Polytechnique, University of Montreal (BScA, mechanical engineer); engineer for gas utility; assumed Membership May 31, 1971, current term ends May 31, 1981; married (Solange), two children.

Jean-Jacques Hamel, Member: born May 15, 1920, in Montreal, Canada; Jean de Brebeuf College (BA), University of Montreal Law School; private law practice, Queen's Counsel (1962), Municipal Judge (1972-76); assumed Membership May 3, 1976, current term ends May 3, 1986; married (Marguerite), three children.

## II. STAFF*

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Secretary | 12 | Marc B. Boissonnault, c.a., Adm. A. |
| Administrative | 18 | Gilles Bonneau, c.g.a. |
| Technico-Economics | 50 | Jean Jacques Lavoie |
| Finance | 6 | Lucien Mouton, c.a. |
| Legal | 3 | Me Pierre Cimon, Lawyer |
| Total | 89 | |

## III. ORGANIZATION CHART*



*1978 Data

## QUEBEC PUBLIC SERVICE BOARD

(Associate Member), Main Office - 2875 Laurier Boulevard, Suite 1200, Ste-Foy, Quebec, Canada G1A 1G8,
Area Code (418) 643-5560

Montreal Office - 360 St. Jacques West, Suite 310

### I. COMMISSIONERS

Yvon Cote, Chairman, 643-8958: born April 16, 1930, in Luceville (Rimouski), Quebec; private law practice, legal counsel and head of the legal department of several companies (radio, television, railway, etc.), Secretary and Vice-President of Quebec-Telephone, Deputy Minister of Communications, appointed Judge of the Provincial Court on June 9, 1971; assumed Commissionership June 1, 1971, current term ends September 26, 1983; married (Colette), five children.

Pierre Boileau, Vice-Chairman: born February 3, 1940, in Buckingham, Quebec; University of Montreal (BA), University of Ottawa (LLL, DESD): legal advisor with the Federal Department of Justice, social policy advisor at the Privy Council Office, professor at the University of Ottawa; assumed Commissionership September 26, 1973, current term ends September 26, 1979; married (Ginette), three children.

Charles Bernier, Commissioner: born March 21, 1920, in St. Michel (Bellechasse), Quebec; Laval University (BScA) (Engineering): Assistant to the Chief Engineer at the Rural Electrification Office; assumed Commissionership November 15, 1959, current term ends on September 26, 1983; married (Yvonne), two children.

J. Ronald Tennet, Commissioner: born April 8, 1926, in South Shields, England; Laval University (BScA) (Engineering); Assistant Vice-President and Chief Engineer at Quebec-Telephone, Chief Engineer and Director General of Technical Branch of Quebec Department of Communications; assumed Commissionership September 26, 1973, current term ends September 26, 1983; married (Francoise), two children.

Lawrence Morgan, Commissioner: born October 29, 1941, in Montreal, Canada; University of Montreal (BA), University of Sherbrooke (LLL), University of Ottawa (DESD): solicitor, private notarial practice, Deputy Registrar of the Richelieu Registration Division, legal draftsman and juridical translator (House of Commons), foreign service (external affairs), Associate Secretary and Direction Assistant of the Minister of Lands and Forests, Secretary of the Board and Cabinet Director of the Minister of Communications; assumed Commissionership April 1, 1974, current term ends July 9, 1985; married, four children.

Jean-Marc Demers, Commissioner: born May 3, 1937, in Verner, Ontario; University of Ottawa (LLL, DESD): Assistant at the University of Ottawa Law School (Civil Section), legal counsel at Department of External Affairs, legal counsel at Federal Department of Justice (Combines Investigation Branch), legal counsel at Canadian Radio-Television Council (CRTC), and Director of Legal Department at Quebec Department of Communications; assumed Commissionership June 1, 1975, current term ends June 1, 1989; married (Louise), two children.

Francois Raymond, Commissioner: born August 11, 1926, in Nashua, New Hampshire; Laval University (BA), School of Radio Technique /N.Y. (Diploma)/: broadcaster, President of CJBR and CJBR-TV, Vice-President of Telemedia for the Eastern Region; assumed Commissionership June 13, 1973, current term ends June 13, 1979; married (Gisele), six children.

Laurent Laplante, Commissioner: born March 3, 1934, in Verdun, Quebec; Laval University (BA), University of Montreal (LPh): journalist and professor, Chief Editor of Quebec City "L'Action", Commissioner of the Commission of Inquiry on Criminal and Penal Justice (Prevost Commission) (1967-69), Director General of the Quebec Information Office (1969-71), editorial writer at the "Devoir" and the "Jour", professor at Laval University (School of Journalism) and the UQAM (sociology); assumed Commissionership April 1, 1977, current term ends March 31, 1987; married (Loretta), two children.

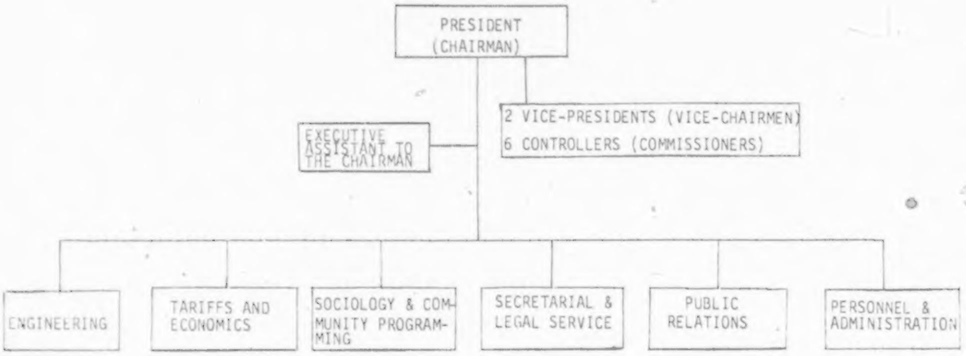
(Vacancy), Commissioner.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Executive Assistant to the Chairman | 1 | Pierre Piche |
| Secretariat and Legal Service | 10 | Michael Ouellet |
| Personnel and Administration | 9 | Claude Vaillancourt |
| Tariffs and Economics | 8 | Louis-Philippe Milot |
| Engineering | 8 | Jean-Pierre Gauvreau |
| Sociology and Community Programming | 3 | Marcel Rheault |
| Public Relations | 7 | Brigitte Van Coillie-Tremblay |
| Total | 46 | |

## QUEBEC PUBLIC SERVICE BOARD

### III. ORGANIZATION CHART


PRESIDENT (CHAIRMAN)
2 VICE-PRESIDENTS (VICE-CHAIRMEN)
6 CONTROLLERS (COMMISSIONERS)
EXECUTIVE ASSISTANT TO THE CHAIRMAN
ENGINEERING
TARIFFS AND ECONOMICS
SOCIOLOGY & COMMUNITY PROGRAMMING
SECRETARIAL & LEGAL SERVICE
PUBLIC RELATIONS
PERSONNEL & ADMINISTRATION

### IV. EXPENDITURES

Summary of Expenditures by the Board as of Fiscal Year Ended March 31, 1980

| Expenditures | |
|---|---|
| Salaries and Wages | $ 1,415,930.00 |
| Supplies and Materials | 21,941.00 |
| Travel Expense | 24,331.00 |
| Printing and Binding | 11,000.00 |
| Motor Vehicle Operation | 2,500.00 |
| Maintenance | 504.00 |
| Dues and Professional Services | 14,000.00 |
| Equipment | 29,026.00 |
| Other Expense | 52,412.00 |
| Total | $ 1,571,644.00 |

### V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before the Board for Year Ended June 30, 1979

| Number of Proceedings: | Pay Television | Telephone | Miscellaneous | Totals |
|---|---|---|---|---|
| Pending as of 7/1/78 | 1 | 19 | 1 | 21 |
| Instituted in 1978-79 | 1 | 25 | 8 | 34 |
| Concluded in 1978-79 | 1 | 28 | 8 | 37 |
| Pending as of 7/1/79 | 1 | 16 | 1 | 18 |
| Number of Hearings in 1979 | - | 15 | 6 | 21 |
| Number of Hearing Days in 1979 | - | 44 | 2 | 46 |

### VI. COMPLAINTS

The Quebec PSB reported a total of 803 Telephone Complaints and 631 Cable Television Complaints for Year Ended June 30, 1979.

## RHODE ISLAND PUBLIC UTILITIES COMMISSION

Office - 100 Orange Street, Providence, Rhode Island 02903, Area Code (401) 277-3500

### I. COMMISSIONERS

Edward F. Burke, Chairman, 277-3500: Democrat; born October 2, 1928, in Providence, Rhode Island; Harvard College (AB, LLB); private law practice, legal counsel to Providence Department of Public Welfare (1957-59), Assistant City Solicitor (1959-61), Administrative Assistant to Mayor (1961-65), Special Counsel to Attorney General (1965-67), Chief Legal Counsel to State Department of Social Welfare (1969-70), Chief Legal Counsel to State Department of Mental Health, Retardation and Hospitals (1970-72), Chief Legal Counsel to State Department of Corrections (1972-76); assumed Commissionership January 14, 1977, current term ends March 1, 1983; married (Phyllis), four children.

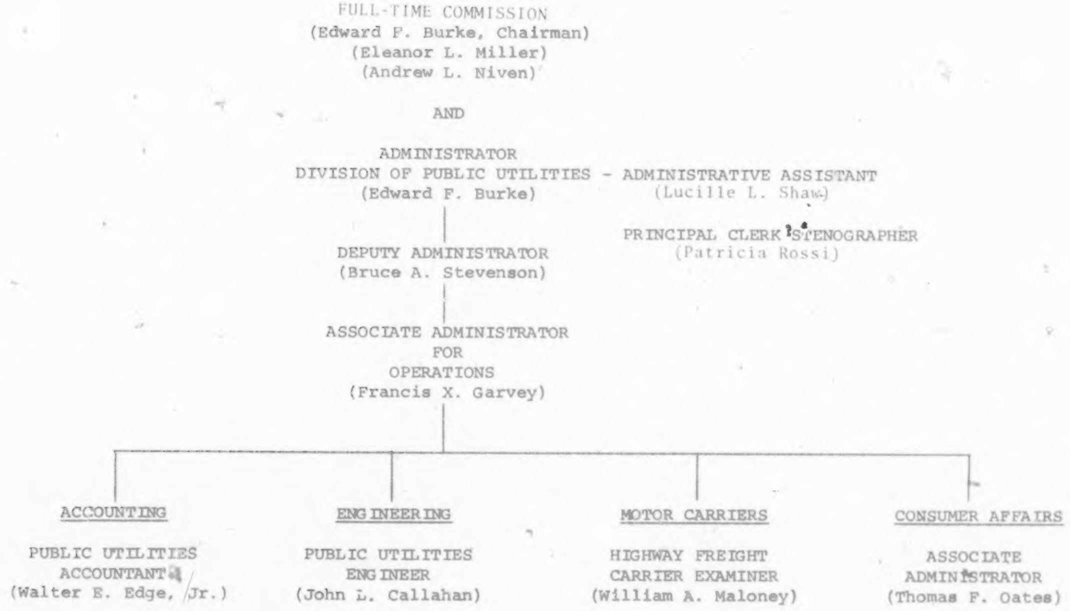
Eleanor Miller, Commissioner, 277-3500: Democrat; born September 26, 1923, in Providence, Rhode Island; Bryant College; Administrative Assistant to Dean Episcopal Diocese, Assistant to Governor's Executive Assistant, Personnel Officer for Rhode Island College, Associate Administrator for Consumer Affairs of Rhode Island Division of Public Utilities and Carriers; assumed Commissionership 1977, current term ends March 1, 1981; married (Isadore), two children.

Andrew L. Niven, Commissioner: born February 11, 1947; University of Southern California Law Center (JD), Harvard College (AB cum laude in social relations); english teacher (1969-72), research assistant at University of Southern California Law Center (1973-74), law clerk to Chief Justices Thomas H. Roberts and Joseph Bevilaqua (1975-76), Director of New England Regional Regulatory Assistance Program (1976-79), Staff Director for New England Conference of Public Utilities Commissioners, Inc. (1979); assumed Commissionership July 1, 1979; married (Michelle).

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 5 | |
| Accounting | 5 | Walter E. Edge, Jr. |
| Economics | 1 | Thomas J. Chmura |
| Engineering | 7 | John J. Callahan |
| Legal | 1 | John A. Notte, III |
| Motor Carriers | 4 | William A. Maloney |
| Railroads | 2 | William A. Maloney |
| Consumers | 4 | Thomas F. Oates |
| Administrative | 6 | Edward F. Burke |
| Total | 35 | |

### III. ORGANIZATION CHART


FULL-TIME COMMISSION
(Edward F. Burke, Chairman)
(Eleanor L. Miller)
(Andrew L. Niven)
AND
ADMINISTRATOR
DIVISION OF PUBLIC UTILITIES - ADMINISTRATIVE ASSISTANT
(Edward F. Burke)
(Lucille L. Shaw)
PRINCIPAL CLERK STENOGRAPHER
(Patricia Rossi)
DEPUTY ADMINISTRATOR
(Bruce A. Stevenson)
ASSOCIATE ADMINISTRATOR FOR OPERATIONS
(Francis X. Garvey)
ACCOUNTING
PUBLIC UTILITIES ACCOUNTANT
(Walter E. Edge, Jr.)
ENGINEERING
PUBLIC UTILITIES ENGINEER
(John L. Callahan)
MOTOR CARRIERS
HIGHWAY FREIGHT CARRIER EXAMINER
(William A. Maloney)
CONSUMER AFFAIRS
ASSOCIATE ADMINISTRATOR
(Thomas F. Oates)

# RHODE ISLAND PUBLIC UTILITIES COMMISSION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the PUC as of Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| General Fund Appropriation | $ 778,386 |
| Assessments of Regulated Companies | 500,000 |
| Special Fund (Studies and Research) | 19,660 |
| Federal Grant-in-Aid for: | |
| Gas Pipeline Safety | 26,163 |
| Other Receipts: | |
| Decals (Motor Carriers) | 1,114,113 |
| Total | $2,438,322 |

| Expenditures | |
|---|---|
| Salaries and Wages | $ 513,711 |
| Supplies and Materials | 5,515 |
| Postage | 5,275 |
| Telephone and Telegraph | 14,070 |
| Travel Expense | 8,696 |
| Printing and Binding | 2,296 |
| Purchase of Cars | 1,406 |
| Motor Vehicle Operation | 3,795 |
| Maintenance | 542 |
| Insurance and Bonding | 510 |
| Dues and Professional Services | 55,438 |
| Equipment | 3,681 |
| Contributions to Retirement System | 30,972 |
| Contributions to Social Security | 27,313 |
| Other Expense | 105,166 |
| Total | $ 778,386 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| Utilities | |
|---|---|
| Electric | 1,265 |
| Gas | 1,147 |
| Water | 463 |
| Telephone | 371 |
| Total | 3,246 |

| Transportation | |
|---|---|
| Motor Freight Carriers | 68 |

The PUC also reported informational calls to the Consumer Section as follows: electric - 218, gas - 143, water - 2, and telephone - 245.

## SOUTH CAROLINA PUBLIC SERVICE COMMISSION

Office - Post Office Box 11649, Columbia, South Carolina 29211, Area Code (803) 758-362

### I. COMMISSIONERS

Henry G. Yonce, Chairman, 758-3564: Democrat; born November 18, 1928, in Johnston, South Carolina; University of South Carolina (BS); U.S. Coast Guard, State Representative, First Vice Chairman of Labor, Commerce, and Industry Committee, owner of insurance agency; assumed Commissionership July 1, 1971, current term ends June 30, 1980; married (Cathe), four children.

Rudolph Mitchell, Vice Chairman, 758-3645: Democrat; born September 6, 1926; dairy farmer, State Representative assumed Commissionership July 1, 1973, current term ends June 30, 1982; married (Lenora), two children.

J. Lewis Moss, Commissioner, 758-3577: Democrat; born March 17, 1918, in Rock Hill, South Carolina; Presbyterian College; State Representative; assumed Commissionership January 28, 1953, current term ends June 30, 1980; married (Blanche), four children.

Guy Butler, Commissioner, 758-3517: Democrat; born March 26, 1926, in Greenwood, South Carolina; market owner, State Representative; assumed Commissionership January 1963, current term ends June 30, 1980; single.

Fred A. Fuller, Commissioner, 758-3592: Democrat; born March 6, 1920, in Laurens, South Carolina; The Citadel (BS), U.S. Air Force in World War II, State Representative, appliance businessman; assumed Commissionership July 8, 1969, current term ends June 30, 1980; married (Annette), four children.

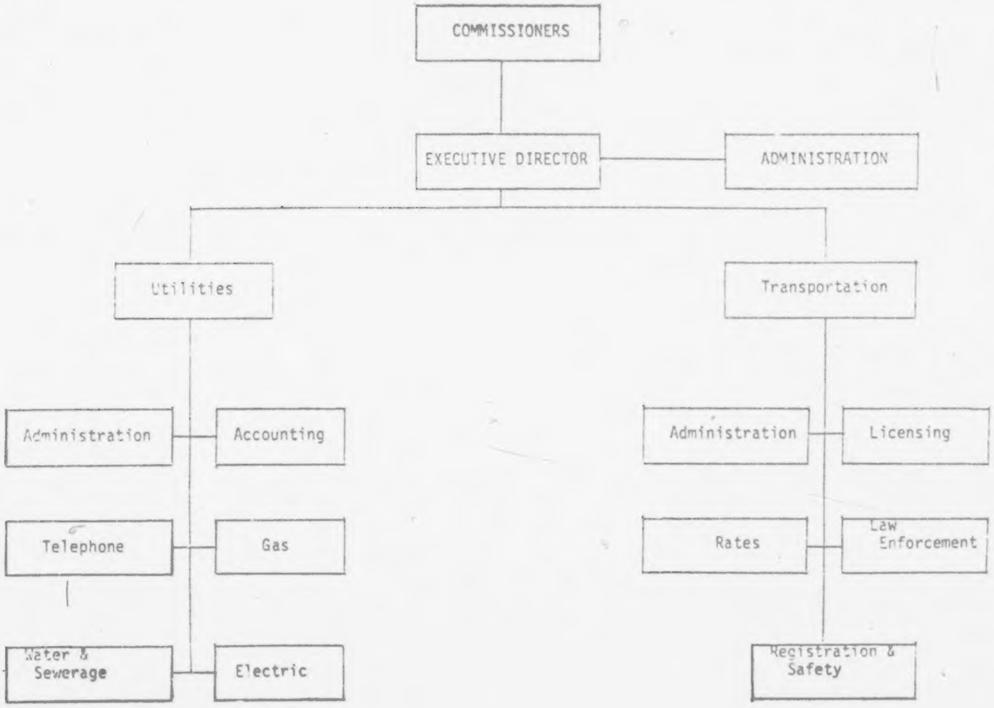
F. Julian LeaMond, Commissioner, 758-3686: Democrat; born March 5, 1905, in Charleston, South Carolina; U.S. Navy enlisted man (1926-37); U.S. Navy Officer (1937-51), State Representative (1955-77), consultant to U.S. House Committee on Armed Services (1960-65), director of several businesses and savings and loan associations; assumed Commissionership February 22, 1977, current term ends June 30, 1980; married (Catherine), five children.

Thomas Basil Barrineau, Commissioner, 758-3633: Democrat; born in Andrews, South Carolina; assumed Commissionership July 1, 1978, current term ends June 30, 1982.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administration | 34 | J. H. Still, Executive Director |
| Transportation | 67 | J. T. Smith, Director |
| Utilities | 42 | C. W. Ballentine, Director |
| Total | 143 | |

### III. ORGANIZATION CHART



## SOUTH CAROLINA PUBLIC SERVICE COMMISSION

## IV. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Electric | Telephone | Gas | Water | Sewer |
|---|---|---|---|---|---|
| Service | 61 | 309 | 12 | 71 | 36 |
| Billing | 195 | 243 | 46 | 95 | 20 |
| Miscellaneous | 99 | 205 | 13 | - | - |
| Total | 355 | 757 | 71 | 166 | 56 |

## SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

Office - Capitol Building, Pierre, South Dakota 57501, Area Code (605) 224-3203
Motor Carrier Division: Area Code (605) 224-3631

### I. COMMISSIONERS

Norma Klinkel, Chairwoman, 773-3206: Democrat· born April 18, 1921, in Sioux Falls, South Dakota; University of South Dakota (BS); Member of State Board of Social Services, motel and retail store owner, real estate, insurance, accounting; assumed Commissionership January 7, 1975, current term ends January 7, 1981; widow, seven children.

Kenneth D. Stofferahn, Vice-Chairman, 773-3201: Democrat; born April 5, 1934, in Lakefield, Minnesota; South Dakota State University (BS); farmer, State Representative (1974-76), president of board of education (1974-78); assumed Commissionership January 3, 1979, current term ends January 3, 1985; married (Diane), four children.

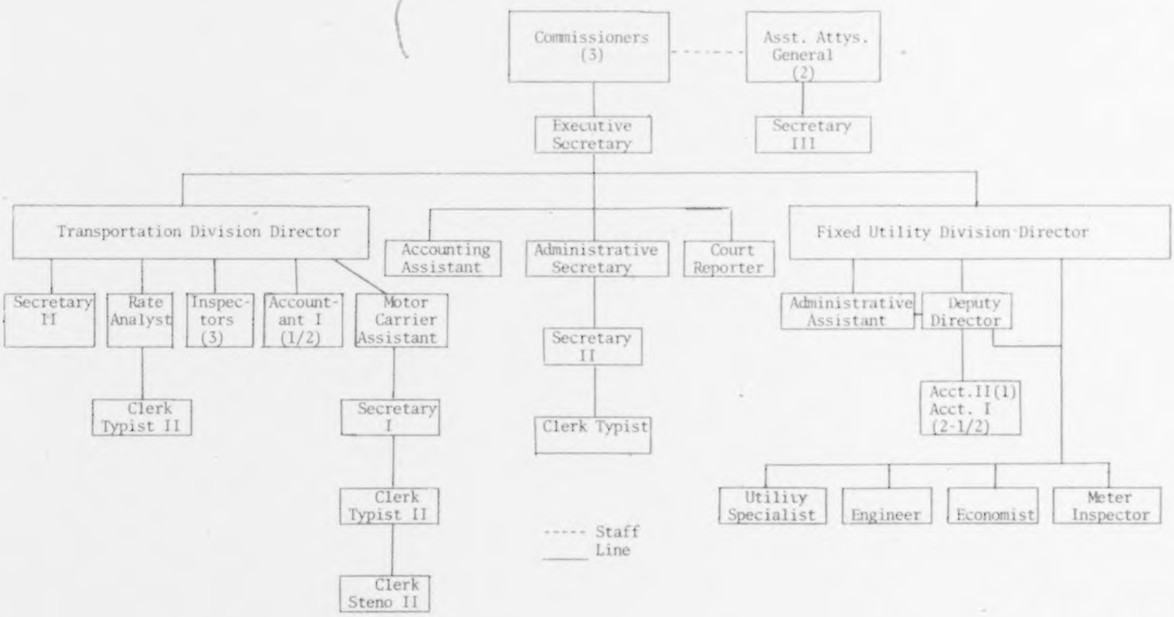
Charlotte Fischer, Commissioner, 773-3204: Republican; born November 20, 1948, in Wall, South Dakota; University of South Dakota (BS), graduate school towards MA; Legislative Assistant to Congressman James Abnor (1973-76); assumed Commissionership January 3, 1977, current term ends January 1983; single.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 7 | Steve Blomeke |
| Accounting | 6 | Faye D. Brown |
| Economics | 1 | David Peterson |
| Engineering | 1 | Martin Bellman |
| Legal | 2 | Ben Stead |
| Motor Carriers | 14.4 | E. Pat Springer |
| Utilities | 4 | |
| Total | 35.4 | |

### III. ORGANIZATION CHART

PUBLIC UTILITIES COMMISSION ORGANIZATION CHART


Commissioners (3)
Asst. Attys. General (2)
Executive Secretary
Secretary III
Transportation Division Director
Accounting Assistant
Administrative Secretary
Court Reporter
Fixed Utility Division Director
Secretary II
Rate Analyst
Inspectors (3)
Accountant I (1/2)
Motor Carrier Assistant
Secretary II
Administrative Assistant
Deputy Director
Clerk Typist II
Secretary I
Clerk Typist
Acct. II (1) Acct. I (2-1/2)
Clerk Typist II
Utility Specialist
Engineer
Economist
Meter Inspector
Clerk Steno II
----- Staff
_____ Line

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

## IV. BUDGETED PROGRAMS

# EXPENDITURES, ENCUMBRANCES, REVERSIONS
# BUDGETED PROGRAMS
## For Fiscal Year Ended June 30, 1979

### Expenditures by Funds

| Program | Description | Budgeted | General Funds | Gas, Elec, Tel Regulation | Encumbered | Total Expenditures | Reverted |
|---|---|---|---|---|---|---|---|
| 01 | **Administration** | | | | | | |
| | Personal Service | $ 120,549.23 | $ 117,492.95 | $ | $ | $ 117,492.95 | $ |
| | Employee Benefits | 13,105.97 | 11,956.47 | | | 11,956.47 | |
| | Travel | 5,440.00 | 6,460.03 | | | 6,460.03 | |
| | Contractual Service | 5,000.00 | 4,455.39 | | | 4,455.39 | |
| | Supplies & Materials | 2,200.00 | 673.37 | | | 673.37 | |
| | Program Totals | $ 146,295.20 | $ 141,038.21 | | | $ 141,038.21 | $ 5,256.99 |
| 02 | **Transportation** | | | | | | |
| | Personal Service | 145,513.00 | 136,195.54 | | | 136,196.54 | |
| | Employee Benefits | 21,491.00 | 19,739.35 | | | 19,739.35 | |
| | Travel | 21,857.80 | 20,762.59 | | | 20,762.59 | |
| | Contractual Service | 80,000.00 | 45,550.74 | | 20,235.00 | 65,785.00 | |
| | Supplies & Materials | 7,680.00 | 9,557.85 | | 598.00 | 10,155.00 | |
| | Capital Assets | 1,000.00 | 3,137.77 | | 166.95 | 3,304.72 | |
| | Program Totals | $ 277,541.80 | $ 234,944.84 | | $20,999.95 | $ 255,944.79 | $21,597.01 |
| 03 | **Fixed Utilities** | | | | | | |
| | Personal Service | 197,145.00 | 119,265.06 | 62,709.20 | | 181,974.26 | |
| | Employee Benefits | 28,171.00 | 16,879.32 | 9,063.90 | | 25,943.22 | |
| | Travel | 10,000.00 | | 7,707.72 | | 7,707.72 | |
| | Contractual Service | 103,329.00 | | 83,233.64 | 21,359.00 | 104,592.64 | |
| | Supplies & Material | 7,000.00 | | 4,514.28 | | 4,514.28 | |
| | Grants, subsidies etc. | 1,000.00 | | 1,203.09 | | 1,203.09 | |
| | Capital Assets | .00 | | 236.55 | | 236.55 | |
| | Program Totals | $ 346,645.00 | $ 136.144.38 | $ 168,668.38 | $21,359.00 | $ 346,645.00 | $ 9,490.62 |
| | Returned to Cash Balance | | | | | | 10,982.62 |
| | | | | | | | $20,473.24 |

## V. COMPLAINTS

The Commission reported the following complaints received for year ending December 31, 1979: motor freight carriers - 8 (informal complaints), telephone - 173, electric - 819, gas - 443, and telegraph - 1.

# TENNESSEE PUBLIC SERVICE COMMISSION

Main Office - C1-102 Cordell Hull Building, Nashville, Tennessee 37219, Area Code (615) 741-2905, 741-2904

Regional Offices - 2918 East Magnolia, Knoxville, Tennessee 37914, Area Code (901) 522-7111
1106 State Office Building, 170 North Main Street, Memphis, Tennessee 38103,
Area Code (615) 534-6204

## I. COMMISSIONERS

Z. D. Atkins, Chairman, 741-2785: Democrat; born November 28, 1906, in Gleason, Tennessee; private law practice, State Representative, State Senator, District Director of National Youth Administration, State Commissioner of Finance and Taxation; assumed Commissionership November 12, 1964, current term ends December 31, 1980; married (Peggy), one child.

Frank D. Cochran, Commissioner, 741-3175: Democrat; born October 20, 1937, in Tiptonville, Tennessee; University of Tennessee (JD); private law practice, city attorney, county attorney, city judge, State Representative (four terms) special assistant to the Governor; assumed Commissionership January 3, 1977, current term ends December 31, 1982; married (Patsy), two sons.

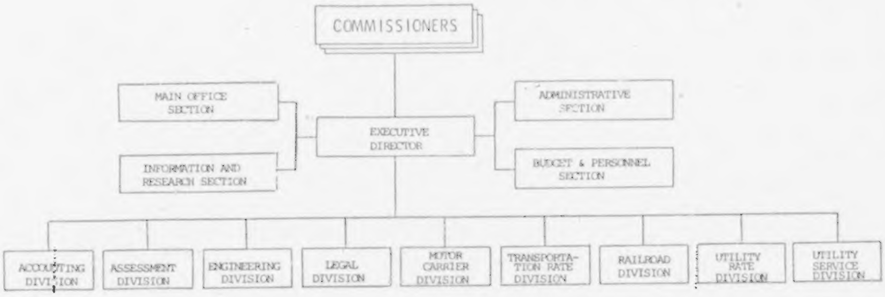
Keith Bissell, Commissioner, 741-3668: Democrat; born December 3, 1941, in Knoxville, Tennessee; University of Tennessee (BS), YMCA Law School (JD); U.S. Air Force (1959-63), Director of Tennessee PSC Transportation Rate Division (1966-70), State Representative (1970-78), private law practice (1970-78); assumed Commissionership January 1, 1979, current term ends December 31, 1984; married (Patricia Ann), two children.

## II. STAFF

| *NAME OF DIVISION* | *NUMBER OF PERSONNEL* | *NAME OF DIVISION HEAD* |
|---|---|---|
| *Commissioner's Secretaries* | *3* | |
| *Executive Director* | *7* | *James L. Talbot* |
| *Administrative* | *6* | *John Guenther* |
| *Engineering* | *7* | *Fred Joyner* |
| *Accounting* | *12* | *Whitfield Burcham* |
| *Utility Rate* | *4* | *Richard Bibb* |
| *Utility Service* | *20* | *Don McGehee* |
| *Motor Carrier* | *50* | *Doug Stancell* |
| *Railroad* | *12* | *W. B. Pemberton* |
| *Assessment* | *10* | *Tom Fleming* |
| *Legal* | *8* | *Eugene Ward* |
| *Transportation Rate* | *2* | *Andrew Rymer* |
| *Research & Information* | *1* | *Susan Callaghan* |
| *TOTAL* | *142* | |

## III. ORGANIZATION CHART

ORGANIZATION CHART
TENNESSEE PUBLIC SERVICE COMMISSION


COMMISSIONERS
MAIN OFFICE SECTION
EXECUTIVE DIRECTOR
ADMINISTRATIVE SECTION
INFORMATION AND RESEARCH SECTION
BUDGET & PERSONNEL SECTION
ACCOUNTING DIVISION
ASSESSMENT DIVISION
ENGINEERING DIVISION
LEGAL DIVISION
MOTOR CARRIER DIVISION
TRANSPORTATION RATE DIVISION
RAILROAD DIVISION
UTILITY RATE DIVISION
UTILITY SERVICE DIVISION

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures as of Fiscal Year Ended June 30, 1979

#### Receipts

| | | |
|---|---|---|
| Fees paid by Regulated Companies | | $2,641,986.51 |
| Federal Grant-in-Aid for: | | |
| Gas Pipeline Safety | $ 47,462.27 | |
| Other Receipts: | | |
| Departmental Revenue | 392,932.04 | |
| Certified Copies | 408.00 | |
| Inspection Fees | 2,558,888.51 | |
| Filing Fees | 82,690.00 | |
| Total | $3,082,380.82 | |

#### Expenditures

| | |
|---|---|
| Salaries and Wages | $2,105,952.65 |
| Supplies and Materials | 44,720.28 |
| Postage | 35,734.08 |
| Telephone and Telegraph | 64,053.06 |
| Travel Expense | 82,654.33 |
| Printing and Binding | 11,974.55 |
| Motor Vehicle Operation | 150,901.09 |
| Maintenance | 45,896.16 |
| Insurance and Bonding | 82,408.35 |
| Dues and Professional Services | 34,986.34 |
| Equipment | 19,026.80 |
| Contributions to Retirement System and Contributions to Social Security | 312,835.52 |
| Total | $2,991,143.21 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

Carriers and Utilities and Number of Proceedings

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Telephone | Telegraph | Electric | Gas | Water | Mobilphone | Totals |
|---|---|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | | | |
| Pending as of 1/1/79 | 57 | 14 | 28 | 11 | - | - | 3 | 5 | 5 | 123 |
| Instituted in 1979 | 7,015 | 36 | 96 | 28 | 1 | 13 | 10 | 5 | 12 | 7,216 |
| Concluded in 1979 | 7,018 | 41 | 95 | 32 | 0 | 10 | 9 | 7 | 5 | 7,217 |
| Number of Hearings in 1979 1/ | 125 | 24 | 17 | 18 | 0 | 0 | 1 | 5 | 8 | 198 |
| Number of Hearing Days in 1979 1/ | 49 | 8 | 29 | 7 | 1 | 5 | 4 | 3 | 12 | 118 |
| Number of Orders Appealed to Courts in 1979 | 1 | - | - | 1 | - | - | - | 1 | 1 | 4 |

1/ Commission Conferences, open to public, are held regularly with decisions being made on pending dockets. Commission Conferences held in 1979: 37.

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water |
|---|---|---|---|---|
| Service | 2,340 | 9 | 38 | 38 |
| Billing | 69 | 1 | 11 | 88 |
| Totals | 2,409 | 10 | 49 | 126 |

## TENNESSEE VALLEY AUTHORITY

(Associate Member) - Office - 400 Commerce Avenue, Knoxville, Tennessee 39702
Area Code (615) 632-2101; Information Office, 632-3257

### I. CHAIRMAN AND DIRECTORS

S. David Freeman, Chairman, 632-2531, Room E12A7: born January 14, 1926, in Chattanooga, Tennessee; Georgia Institute of Technology (BS), University of Tennessee (LLB); TVA engineer (1948-54), TVA attorney (1956-61), Assistant to Chairman of Federal Power Commission (1961-65), President's Office of Science and Technology (1967-71), Director of Ford Foundation's Energy Policy Project (1971-74), special energy resources consultant to Senate Commerce Committee (1974-76), White House Energy Staff (1976-77), TVA Board (1977-78); assumed Chairmanship May 1978, current term ends May 18, 1984; married (Marianne), three children.

Richard M. Freeman, Director, 632-3554, Room E12A11C: Born July 2, 1921, in Crawfordsville, Indiana; Wabash College (AB), Columbia University (LLB); TVA Attorney (1948-58), private law practice (1958-68), Vice President of Chicago and North Western Railway Company (1968-72), trustee and member of Executive Committee and Vice President-Law for Chicago and Northwestern Transportation Company (1972-78); assumed Directorship October 16, 1978, current term ends May 1987; married (Joanne), four children.

Robert N. Clement, Director, 632-2921, Room E12A9: Democrat; born September 12, 1943, in Nashville, Tennessee; University of Tennessee (BS), Memphis State University (MBA); insurance consultant (1963-65), Memphis Bureau of Research (1967-68), consultant for The University of Tennessee Center of Government Training (1972-77), Tennessee PSC Commissioner (1972-79); assumed Directorship August 3, 1979, current term ends May 1981; married (Mary), two children.

| | | | |
|---|---|---|---|
| William F. Willis, General Manager - Knoxville | 632-3871 | Charles W. Winn, Senior Power Engineer - Chattanooga* | 755-2128 |
| Herbert S. Sanger, General Counsel - Knoxville | 632-2241 | Albert O. Daniels, Director of Power Utilization - Chattanooga* | 755-2321 |
| Hugh G. Parris, Manager of Power - Chattanooga* | 755-2823 | Robert F. Hemphill, Director of Energy Conservation and Rates - Chattanooga* | 755-2061 |

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*500A Chestnut Street Towers, Chattanooga, Tennessee 37401

## TEXAS AERONAUTICS COMMISSION

Office - 410 East Fifth Street, Austin, Texas 78701, Area Code (512) 475-4768

Mailing Address - Post Office Box 12607, Capitol Station, Austin, Texas 78711

### I. COMMISSIONERS

Jack H. McCreary, Chairman, (512) 477-9681, 510 West 15th Street, Austin, Texas 78701: born June 4, 1926; Baylor University (BBS, LLB); private law practice, U. S. Navy Air Corps in World War II, pilot, Commissioner of Austin Housing Authority, President of Austin Woods and Water Club, Executive Board Member of Baptist General Convention of Texas; assumed Commissionership January 1975, current term ends December 31, 1980.

Gerald R. Puckett, Vice Chairman, (915) 336-3336, Post Office Box 1506, Fort Stockton, Texas 79735: Democrat; born March 11, 1925, in Brady, Texas; Texas A & M University (BS); U. S. Army in World War II, rancher, banker, Director of Civil Air Patrol; assumed Commissionership January 13, 1975, current term ends December 31, 1980; married (Jane), three children.

James D. Abrams, Secretary, (915) 778-9351, Post Office Box 10699, El Paso, Texas 79997: University of Colorado (BS); president of highway and heavy construction firm; assumed Commissionership in 1977, current term ends December 31, 1982.

Lucien Flournoy, Commissioner, (512) 668-8364, 1907 East Main Street, Alice, Texas 78332: Louisiana State University; U. S. Air Force in World War II, former mayor of Alice, oil drilling contractor, Director of American Association of Oil Well Drilling Contractors, member of Board of Directors of First State Bank of Alice, member of Central Power and Light Board of Directors; assumed Commissionership January 31, 1970, current term ends December 31, 1984; married (Maxine), three children.

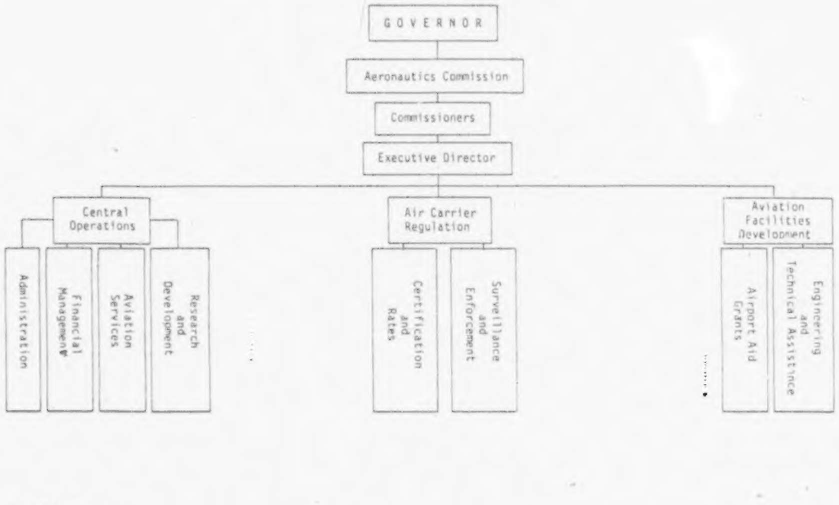
James M. Johnson, Commissioner, (512) 452-0266, 3520 North Lamar, Austin, Texas 78705: born August 20, 1944, in Phoenix, Arizona; Texas A & M University (BA), St. Mary's University (JD); private law practice, Secretary-Treasurer of Cen-Tex Datsun, General Manager of Import Motors, Inc., school board trustee; assumed Commissionership January 1979, current term ends December 31, 1984.

C. T. Matthew, Commissioner, (512) 293-5221, Box 671, Yoakum, Texas 77995: Independent, born November 27, 1929, in Yoakum, Texas; University of Texas at Austin; Sgt. Major of U. S. Infantry (Korean Conflict), House of Representatives (1956-60), President of Yoakum Federal Savings and Loan Association, ranching, District Governor of Rotary International; assumed Commissionership May 23, 1973, current term ends December 31, 1982; married (Gay), four children.

### II. STAFF *

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Central Operations | 18 | Charles Murphy, Director |
| Air Carrier Regulation | 6 | Joan H. Whitworth |
| Aviation Facilities Development | 11 | Gerald L. Turner |
| Total | 35 | |

### III. ORGANIZATION CHART *


GOVERNOR
Aeronautics Commission
Commissioners
Executive Director
Central Operations
Air Carrier Regulation
Aviation Facilities Development
Administration
Financial Management
Aviation Services
Research and Development
Certification and Rates
Surveillance and Enforcement
Airport Aid Grants
Engineering and Technical Assistance

*1978 Data

## TEXAS PUBLIC UTILITY COMMISSION

Office - 7800 Shoal Creek Boulevard, Suite 400 N, Austin, Texas 78757, Area Code (512) 458-0100

### I. COMMISSIONERS

George M. Cowden, Chairman: Democrat; born December 29, 1930, in San Antonio, Texas; Baylor University (BA, LLB); U.S. Air Force Officer (1954-56), private law practice (1959-66), State Representative (1963-66), First Assistant Attorney General (1967), Chairman of State Board of Insurance (1968), insurance company counsel and officer (1969-75); assumed Commissionership September 1, 1975, current term ends August 31, 1983; married (Mollie), four children.

Garrett Morris, Commissioner: Democrat; born July 9, 1915, in Nacona, Texas; Fresno State College (BA), University of Texas School of Law (LLB); private law practice, Member of State Department of Public Service Commission (1965-68), Member of State Highway Commission (1968-71), Member of State Department of Public Welfare Board (1972-75); assumed Commissionership September 1, 1975, current term ends August 31, 1981; married (Elizabeth Ann), two children.

Henry Moak Rollins, Commissioner: Independent; born September 11, 1921, in Gulfport, Mississippi; Texas A & M (BS), University of Texas (MBA, PhD); U. S. Army Air Corps officer and test pilot (1942-46), engineer with Hughes Tool Company (1947-51), Vice President of Drilco Oil Tools, Inc. (1951-67), lecturer in finance at University of Texas (1967-79), consultant; assumed Commissionership September 2, 1979, current term ends August 31, 1985; married (Emmy), three children.

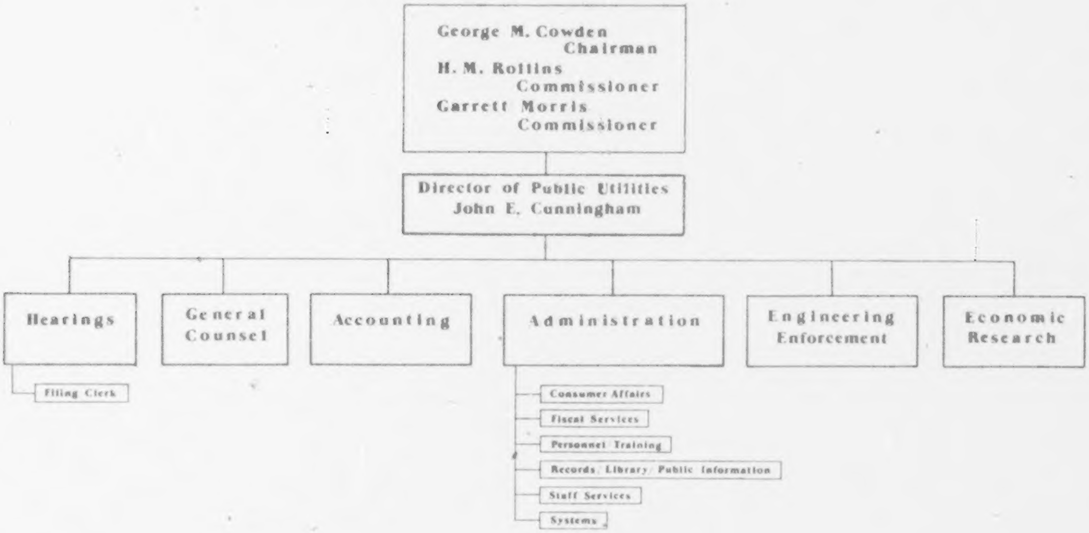
### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Accounting | 11 | Joe Caveness |
| Administration | 39 | John Cunningham |
| Economics | 16 | Shafique Jagtiani |
| Engineering and Enforcement | 16 | Tom Sweatman |
| General Counsel | 14 | John F. Bell, Jr. |
| Hearings | 15 | Philip F. Ricketts |
| Total | 111 + 6 (Commissioners and Staff) | |

### III. ORGANIZATION CHART

# Public Utility Commission Of Texas

## Functional Organization


George M. Cowden
Chairman
H. M. Rollins
Commissioner
Garrett Morris
Commissioner
Director of Public Utilities
John E. Cunningham
Hearings
General Counsel
Accounting
Administration
Engineering Enforcement
Economic Research
Filing Clerk
Consumer Affairs
Fiscal Services
Personnel Training
Records/Library/Public Information
Staff Services
Systems

## TEXAS PUBLIC UTILITY COMMISSION

### IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures by the PUC, Fiscal Year Ended August 31, 1979

Receipts

| | | |
|---|---|---|
| General Fund Appropriation | $3,060,385 | |
| Assessments of Regulated Companies | | $13,210,330* |
| Other Receipts: | | |
| Federal Grants | 247,429 | |
| Sale of Publications | 40,873 | |
| Total | $3,348,687 | |

*Assessments go into the State Treasury and are appropriated through the General Revenue Fund.

Expenditures

| | |
|---|---|
| Salaries and Wages | $1,980,866 |
| Supplies and Materials | 42,737 |
| Postage | 17,215 |
| Telephone and Telegraph | 51,073 |
| Travel Expense | 85,686 |
| Professional Fees | 155,251 |
| Land and Building Rent | 188,138 |
| Purchased Services | 10,582 |
| Maintenance | 18,584 |
| Computer Service | 62,382 |
| Dues and Professional Services | 13,367 |
| Equipment | 30,121 |
| Other Expense | 17,107 |
| Total | $2,673,109 |

### V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before PUC for Year Ended December 31, 1979

| | Telegraph | Radio Telephone and Telephone | Electric | Combination Water and Sewer | Totals |
|---|---|---|---|---|---|
| Number of Proceedings: | | | | | |
| Pending as of 1/1/79 | 38 | 45 | 66 | 127 | 276 |
| Instituted in 1979 | 47 | 131 | 164 | 282 | 624 |
| Concluded in 1979 | 47 | 168 | 152 | 285 | 652 |
| Number of Hearings in 1979 | 58 | 35 | 101 | 150 | 344 |
| Number of Hearing Days in 1979 | 59 | 60 | 179 | 204 | 502 |
| Number of Orders Appealed to Courts in 1979 | 2 | 1 | 11 | 4 | 18 |

### VI. COMPLAINTS

Complaints Received for Year Ended December 31, 1979

| | Total Complaints |
|---|---|
| Radio/Telephone and Telephone | 3,639 |
| Electric | 1,979 |
| Water | 1,126 |

# TEXAS RAILROAD COMMISSION

Main Office - 1124 South IH 35, Post Office Drawer 12967, Capitol Station, Austin, Texas 78711
Area Code (512) 445-1100

## District Offices

Districts 1 & 2
812 Milam Building, Travis & Soledad Sts.
San Antonio, Texas 78205
(512) 226-1371

District 3
2000 K Governors Circle
Houston, Texas 77018
(713) 688-3461

District 4
P. O. Box 1821, Leopard Street,
Wilson Building
Corpus Christi, Texas 78403
(512) 882-2539

Districts 5 & 6
P. O. Box 1170, Harris & Broadway
Kilgore, Texas 75662
(214) 984-3026

District 7-B
P. O. Box 1681
First State Bank Building, 4th & Oak,
Abilene, Texas 79684
(915) 677-3545

District 7-C
P. O. Box 2141, 17 S. Chadbourne St.
San Angelo, Texas 76901
(915) 653-6776

District 8
P. O. Box 1110, Gas and Oil Building
105 West Wall
Midland, Texas 79701
(915) 684-5581

District 8-A
P. O. Box 536
2109 Avenue Q, Insurance Building
Lubbock, Texas 79408
(806) 762-0426

District 9
520 Hamilton Building, 8th & Lamar
Wichita Falls, Texas 76301
(817) 723-2153

1008 North Kansas
Fort Stockton, Texas 79735
(915) 336-5452

District 10
City Hall, Box 941
115 North Russell
Pampa, Texas 79065
(806) 665-1653

6434 Maple Avenue, Room 118
Dallas, Texas 75235
(214) 352-8643
(214) 352-8662

## I. COMMISSIONERS

John H. Poerner, Chairman, 445-1112: Democrat; born November 21, 1932, in D'Hanis, Texas; St. Mary's University, United States Armed Forces Institute; U. S. Air Force in Korean Conflict, practicing land surveyor and owner of John H. Poerner & Associates in Hondo, Texas, farmer, rancher, Member of Texas House of Representatives (1969-75), executive aid to Texas Governor Dolph Briscoe (1975-77); assumed Commissionership January 3, 1978, current term ends December 31, 1980; married (Jo Ann), five daughters.

Mack Wallace, Commissioner, 445-1111: Democrat; born December 11, 1929, in Athens, Texas; Baylor University (LLB); County Attorney for Henderson County, District Attorney for Third Judicial District, private law practice, Administrative Assistant and Legal Counsel to Governor Dolph Briscoe, Executive Director of the Criminal Justice Division of Governor's Office; assumed Commissionership September 18, 1973, current term ends December 31, 1984; married (Carol Ann), two children.

James E. (Jim) Nugent, Commissioner, 445-1110: Democrat; born June 24, 1922, in San Angelo, Texas; Schreiner College, University of Texas (BS, JD); private law practice, County Attorney for Kerr County, State Representative (1960-78); assumed Commissionership January 4, 1979, current term ends December 31, 1982; married (Billie), one daughter.

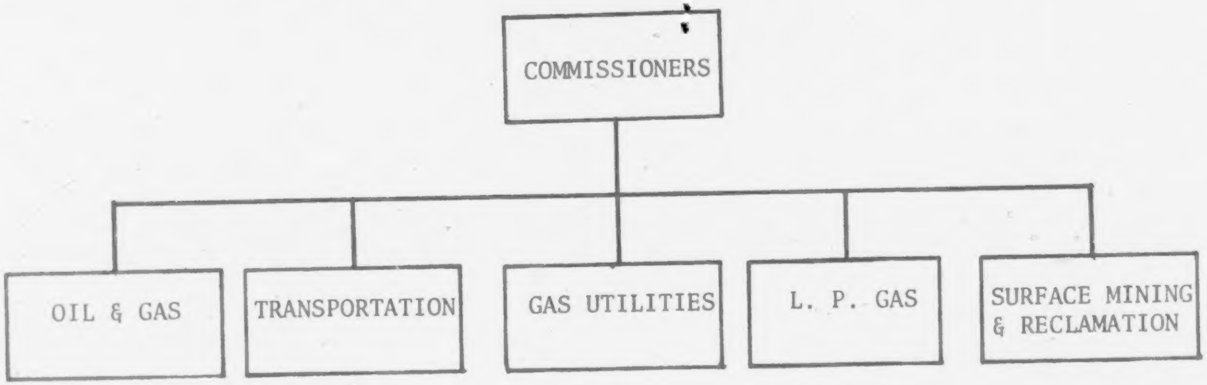
## II. STAFF

Railroad Commission of Texas

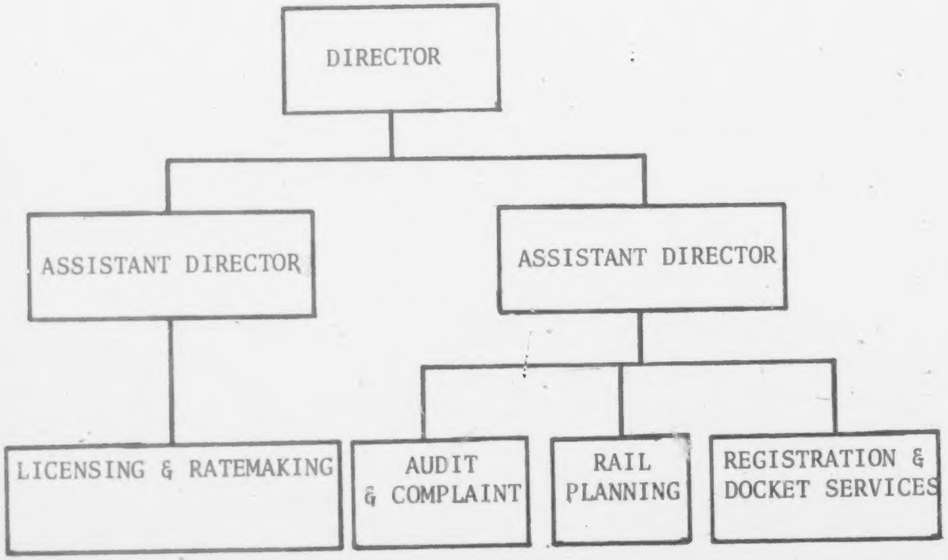
| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Transportation | 70 | Owen Kinney |
| Oil and Gas | 341 | Bob Harris |
| Liquefied Petroleum Gas | 28 | Guy Mathews |
| Gas Utilities | 61 | John Camp |
| Surface Mining and Reclamation | 27 | J. Randall Hill |
| Administrative Services | 79 | Richard Buerger |
| Personnel and Budget | 4 | Herman Wilkins |
| Finance and Procurement | 16 | Rex King |
| Data Processing | 59 | David Garlick |
| General Counsel | 2 | |
| Office of Information Services | 4 | Billy Thompson |
| Total | 691 | |

## RAILROAD COMMISSION OF TEXAS

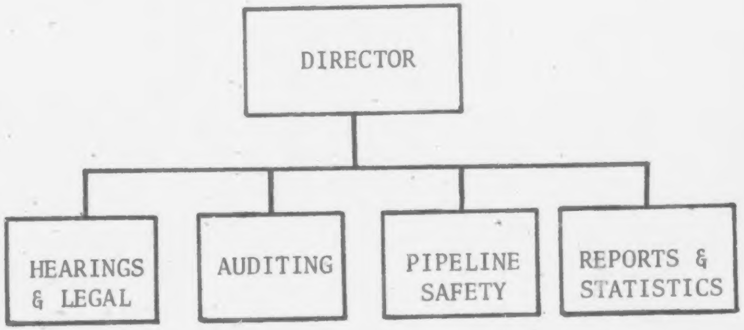
## III. ORGANIZATION CHART


COMMISSIONERS
OIL & GAS
TRANSPORTATION
GAS UTILITIES
L. P. GAS
SURFACE MINING & RECLAMATION

TRANSPORTATION DIVISION


DIRECTOR
ASSISTANT DIRECTOR
ASSISTANT DIRECTOR
LICENSING & RATEMAKING
AUDIT & COMPLAINT
RAIL PLANNING
REGISTRATION & DOCKET SERVICES

GAS UTILITIES DIVISION


DIRECTOR
HEARINGS & LEGAL
AUDITING
PIPELINE SAFETY
REPORTS & STATISTICS

## TEXAS RAILROAD COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures
### Fiscal Year Ending August 31, 1979

### Gas Utilities Division
### Texas Railroad Commission

| | |
|---|---|
| **REVENUES** | |
| Total Legislative Appropriations | $1,660,469.00 |
| Appropriated Revenues* | 12,222.24 |
| TOTAL | $1,672,691.24 |
| **EXPENDITURES** (Actual) | |
| Operating Expenses | |
| Salaries & Wages | $1,097,341.31 |
| Travel | 42,013.01 |
| Motor Vehicle Expenses | 20,903.00 |
| Postage | 10,908.24 |
| Office Supplies | 17,583.38 |
| Computer Equipment | 150,000.00 |
| Rental of Office Equipment | 63,458.37 |
| Office Furniture/Equipment | 35,474.77 |
| Rental of Office Space | 20,735.30 |
| Professional Services | 13,445.04 |
| All Other | 30,630.79 |
| TOTAL | $1,502,493.21 |

* "Appropriated Revenues" are funds obtained from sale of Gas Utilities Division publications, copying charges, etc.

### Summary of Receipts and Expenditures
### Fiscal Year Ending August 31, 1979

### Transportation Division
### Texas Railroad Commission

| | |
|---|---|
| **REVENUES** | |
| Legislative Appropriations | $1,265,799.00 |
| Federal Grants | 658,182.28 |
| Sale of Publications | 11,158.23 |
| Audit and Examination Fees | 5,203.40 |
| Insurance and Damage Collections | 2,010.74 |
| Copies of Documents | 4,807.37 |
| Sale of Equipment | 5,292.20 |
| TOTAL REVENUES | $1,952,453.22 |
| **EXPENDITURES** (Including Encumbrances) | |
| Operating Expenses | |
| Salaries and Wages | $1,056,781.44 |
| Professional Fees and Services | 544,950.29 |
| Stationery and Printing | 7,001.51 |
| ~~Office~~ Supplies | 21,146.63 |
| Oil, Gas and Lubricants | 15,589.10 |
| Other Supplies and Materials | 1,805.71 |
| Postage | 67,422.96 |
| Telephone and Telegraph | 30,729.57 |
| Travel | 55,123.92 |
| Freight and Express | 2,713.92 |
| Equipment Rental | 50,934.67 |
| Office Space Rental | 9,721.53 |
| Subscriptions and Books | 1,773.75 |
| Repairs | 5,847.26 |
| Payments To Other State Agencies | 13,539.37 |
| Other Operating Expenses | 3,208.60 |
| Total Operating Expenses | $1,888,290.23 |
| Acquisition of Fixed Assets | |
| Office Furniture and Equipment | 33,383.93 |
| Library Books | 818.32 |
| Total Acquisition of Fixed Assets | $ 34,202.25 |
| TOTAL EXPENDITURES | $1,922,492.48 |

## V. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| Gas | | | |
|---|---|---|---|
| Service | 44 | Motor Freight Carriers | 493 |
| Tariff | 178 | | |
| Billing | 30 | Rail Passenger Carriers | 1 |
| Safety | 22 | | |
| Miscellaneous | 80 | Railroads | 67 |
| Total | 354 | Total | 561 |

## UTAH PUBLIC SERVICE COMMISSION

Office - 330 East Fourth South Street, Salt Lake City, Utah 84111, Area Code (801)

### I. COMMISSIONERS

Milly O. Bernard, Chairman, 533-5518: Democrat; born May 28, 1920, in Brewster, Nebraska; Denver Business College; board member of water and sewer improvement district (1958-68), member of county planning and zoning commission (1960-73), State Representative (1967-75), House Minority Whip (1971-72), House Minority Leader (1973-74), House Majority Leader (1975-76), associated with Eimco Corporation (1957-75); assumed Commissionership March 1, 1976, current term ends March 1, 1981; married (Lynn), two daughters.

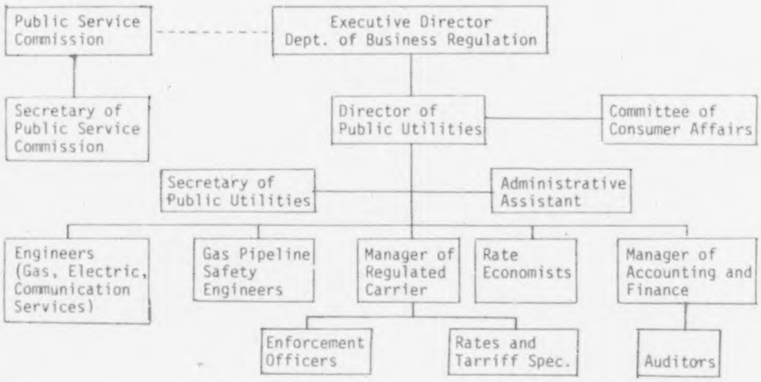
David R. Irvine, Commissioner, 533-5511: Republican; born August 30, 1943, in Salt Lake City, Utah; University of Utah (B.S., J.D.); private law practice; member, Utah House of Representatives (1973-79); assumed Commissionership July 16, 1979, current term ends March 1, 1985; married (Linda), two children.

Brent H. Cameron, Commissioner, 533-5511: Democrat; born December 14, 1942, in Salt Lake City, Utah; University of Utah (B.A., J.D.); Research Coordinator State of Utah Industrial Promotion Board (1966-1969); State Administrative Assistant U.S. Senator Frank E. Moss (1969-1972); Deputy Salt Lake County Attorney (1974-1979); Director, State of Utah Division of Public Utilities (June 1979 - February 1980); assumed Commissionership February 4, 1980, current term ends March 1, 1983; married (Susan), two daughters.

### II. KEY STAFF

| | |
|---|---|
| David L. Stott, Secretary Public Service Commission | 533-5515 |
| Max Young, Executive Director Department of Business Regulation | 533-5511 |
| Eugene S. Lambert, Director Division of Public Utilities | 533-5511 |
| Thomas F. Peel, Manager Utility Accounts and Finance | 533-5524 |
| Donald K. Hales, Manager Regulated Carriers Section | 533-5511 |
| Ray L. Pruett, Utility Engineering and Service | 533-5517 |
| Fred Foley, Communications Engineer | 533-5511 |
| Darrell Hansen, Gas Engineer | 533-5511 |
| George Compton, Economist | 533-5511 |

### III. ORGANIZATION CHART


Public Service Commission
Executive Director Dept. of Business Regulation
Secretary of Public Service Commission
Director of Public Utilities
Committee of Consumer Affairs
Secretary of Public Utilities
Administrative Assistant
Engineers (Gas, Electric, Communication Services)
Gas Pipeline Safety Engineers
Manager of Regulated Carrier
Rate Economists
Manager of Accounting and Finance
Enforcement Officers
Rates and Tarriff Spec.
Auditors

# VERMONT PUBLIC SERVICE BOARD

Office - 120 State Street, State Office Building, Montpelier, Vermont 05602, Area Code (802) 828-2321

## I. MEMBERS OF THE BOARD

Richard H. Saudek, Chairman, 828-2321: Independent; born December 31, 1939, in New York, New York; Harvard College (AB), University of Michigan (LLB); private law practice (1965-74); Vermont PSB Special Counsel (1974-75); Vermont PSB General Counsel (1975-76); assumed Membership January 7, 1977, current term ends February 28, 1981; married (Karen), two children.

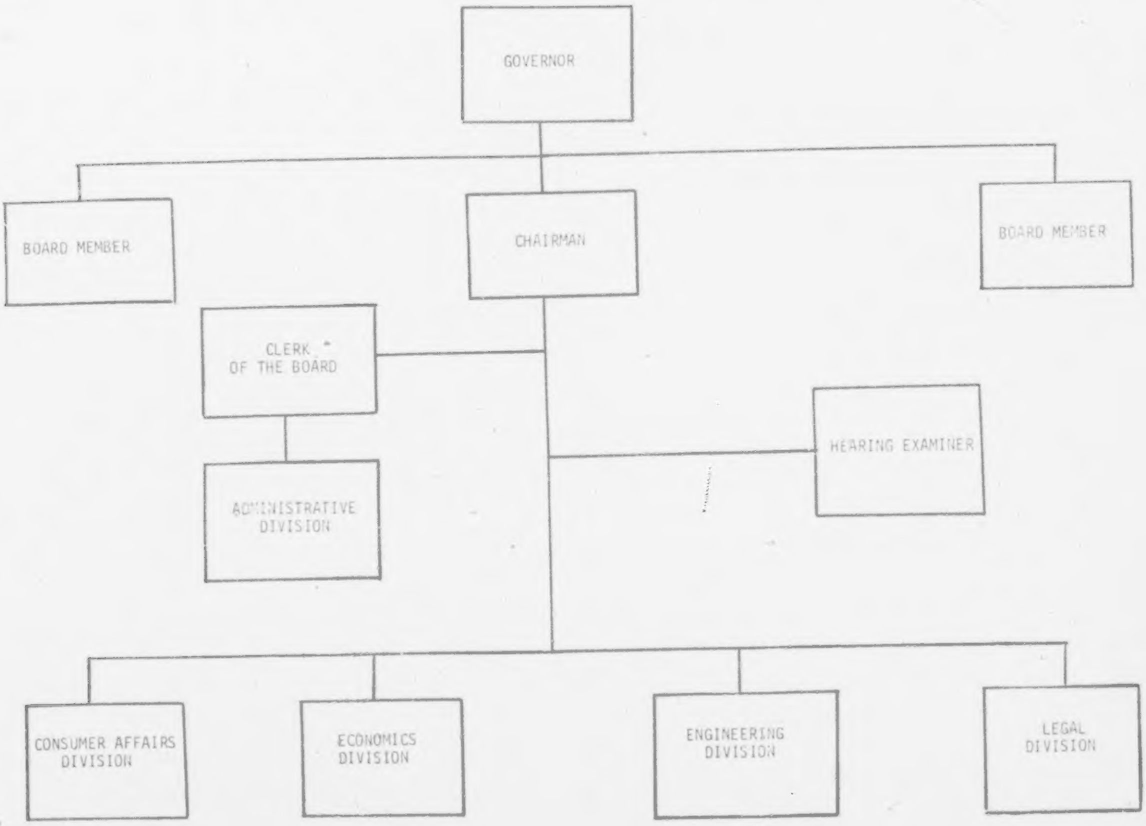
Rosalyn Oakes, Board Member, 254-8529: born March 30, 1925, in Baltimore, Maryland; Radcliffe College (BA), Smith College (MEd, MA); Psychologist for Peace Corps (1965-68), Chairman of Vermont State Housing Authority (1969-74), Fellow of the Radcliffe Institute (1973-75), Chairman of Governor's Task Force on Women in Government (1974-77), Chairman/Executive Director of Governor's Commission on Food (1975-76), Chairman of the Vermont Council on the Humanities and Public Issues (1976), Vice Chairman of Federation of Public Progress in the Humanities (1977); assumed Membership April 11, 1977, current term ends February 28, 1983.

Samuel S. Bloomberg, Board Member, 862-6401: born September 24, 1929, in Burlington, Vermont; University of Vermont (BS), Harvard Law School (JD); U.S. Army (1955-56), private law practice (23 years), Town Moderator; assumed Membership April 20, 1979, current term ends February 28, 1985; married (Geraldine), three children.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Administration | 8 | Susan M. Hudson |
| Consumer Affairs | 3 | Norman J. Coates |
| Economics and Accounting | 5 | Ennis J. Gidney |
| Engineering | 7 | Gordon B. Stensrud |
| Legal | 3 | Michael L. Burak |
| Total | 26 | |

## III. ORGANIZATION CHART


GOVERNOR
BOARD MEMBER
CHAIRMAN
BOARD MEMBER
CLERK OF THE BOARD
HEARING EXAMINER
ADMINISTRATIVE DIVISION
CONSUMER AFFAIRS DIVISION
ECONOMICS DIVISION
ENGINEERING DIVISION
LEGAL DIVISION

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by PSB for Fiscal Year Ended June 30, 1979

| | |
|---|---|
| **Receipts** | |
| Assessments of Regulated Companies | $1,126,689 |
| Other Receipts: | |
| Carry-Over | 1,024,578 |
| Rate Case Reimbursement | 24,312 |
| Miscellaneous | 6,723 |
| Total | $2,182,302 |
| **Expenditures** | |
| Salaries, Wages and Contractual | $ 536,940 |
| Supplies and Materials | 5,331 |
| Postage | 3,475 |
| Telephone and Telegraph | 13,919 |
| Travel Expense | 26,199 |
| Printing and Binding | 7,017 |
| Insurance and Bonding | 23 |
| Equipment | 7,595 |
| Contributions to Retirement System | 28,891 |
| Contributions to Social Security | 23,728 |
| Other Expense - Miscellaneous to General Fund | 402,496 |
| Total | $1,055,614 |

## V. VOLUME OF PROCEEDINGS

Total Volume of Proceedings Before PSB for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Water | Totals |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Pending as of 1/1/79 | 10 | 10 | 36 | 3 | 10 | 69 |
| Instituted in 1979 | 4 | 11 | 45 | 2 | 16 | 78 |
| Concluded in 1979 | 7 | 8 | 48 | 3 | 19 | 85 |
| Number of Hearings in 1979 | 7 | 11 | 38 | 3 | 11 | 70 |
| Number of Hearing Days in 1979 | 9 | 25 | 78 | 5 | 13 | 130 |
| Number of Orders Appealed to Courts in 1979 | 0 | 0 | 3 | 0 | 1 | 4 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | CATV | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|
| Service | 77 | 348 | 174 | 21 | 28 |
| Tariff | 13 | 235 | 197 | 68 | 15 |
| Billing | 83 | 411 | 292 | 241 | 12 |
| Safety | 0 | 3 | 17 | 71 | 0 |
| Miscellaneous | 18 | 148 | 159 | 6 | 10 |
| Total | 191 | 1,145 | 839 | 407 | 65 |

## VIRGIN ISLANDS PUBLIC SERVICES COMMISSION

Office - 21 Dronningens Gade, Second Floor, Queens Quarter, Post Office Box 40, Charlotte Amalie,
St. Thomas, Virgin Islands 00801, Area Code (809) 774-1291

### I. COMMISSIONERS

Thomas W. Gibbs, III, Chairman*: Independent; born January 11, 1930, in Chicago, Illinois; Episcopal Theological (STB), Amhurst College (BA), presently Priest, Dean of All Saints Cathedral; assumed Commissionership September 3, 1976, current term ends January 1, 1982; single.

Richard W. Joyce, Vice-Chairman*: Democrat; born February 3, 1922, in Brooklyn, New York; formerly Administrative Assistant to a Virgin Islands Senator on St. John; assumed Commissionership May 19, 1978, current term ends January 1, 1981 (formerly on this Commission from September 3, 1976 to January 1, 1977); married (Virginia).

Alphonse Adams, Commissioner*: Democrat; born December 6, 1916, in St. Thomas, Virgin Islands; Accounting Degree from the International Accounting Society; retired, formerly Assistant Commissioner of the Virgin Islands Government Department of Property and Procurement; assumed Commissionership May 19, 1978, current term ends January 1, 1982; widower, three children.

Costas Coulianos, Commissioner*: Republican; born July 18, 1916, in Andros, Greece, Graduate of Posiedon Merchant Marine Academy, Radio and Meteorology Schools of New York, Shipping Agent, Master Mariner, Marine Surveyor, former Harbor Pilot, member of the Virgin Islands Taxicab Association, member of the Virgin Islands Urban Renewal Board; assumed Commissionership April 28, 1980, current term ends January 1, 1981; married (Franzi), two children.

Alfred B. Hayes, Commissioner*: Democrat; born March 7, 1920, in Boston, Massachusetts; Northeastern University (BSC); Air Corps first sergeant (1942-45), airline official (1946-54), hotel official (1954-56), owner of appliance and equipment firm and of landscaping and nursery firm and president of automobile dealership (1956-80); assumed Commissionership March 2, 1979, current term ends January 1, 1981; married (Margaret), one child.

Kenneth C. Knopp, Commissioner*: Independent; born April 21, 1930, in Staunton, Virginia; Bachelors degree, Davis & Elkins College, West Virginia; President, Heritage House Ltd. jewelry and gift store, formerly Director of Intelligence, 33rd Air Division; assumed Commissionership April 28, 1980, current term ends January 1, 1983; single.

Felipe Perez, Commissioner*: Democrat; born September 13, 1931, in Vieques, Puerto Rico; Ramirez College; grocer; assumed Commissionership March 16, 1979, current term ends January 1, 1983; married (Gloria), six children.

Leroy S. Arnold, Commissioner**: Democrat; born April 9, 1934, in New York City, chief clerk for the Virgin Islands Legislature (1955-61), administrative assistant to Governor (1967-68), financial consultant, member of the Virgin Islands Legislature; assumed Commissionership February 23, 1976, current term ends at the pleasure of the President of the Legislature; divorced, four children.

Vacancy, Senator, District of St. Thomas**.

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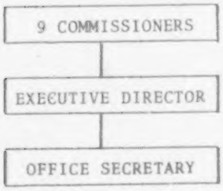
*Voting Members.
**Non-Voting Members.

### II. KEY STAFF

Patrick M. Rice, Executive Director

Ive A. Swan, Attorney General

### III. ORGANIZATION CHART



## VIRGINIA STATE CORPORATION COMMISSION

Main Office - Blanton Building, Post Office Box 1197, Richmond, Virginia 23209, Area Code (804) 786-8671

### I. COMMISSIONERS

Preston C. Shannon, Chairman, 786-3601: Democrat; born September 29, 1925, in Front Royal, Virginia; The Citadel (BA), College of William and Mary (JD); U.S. Army in World War II, attorney for Fidelity & Deposit Company of Maryland, attorney for Law Department of Chesapeake and Ohio Railway Company, Commerce Attorney C&O-B&O, Virginia SCC Commerce Counsel, instructor in transportation at University College of the University of Richmond; assumed Commissionership March 15, 1972, current term ends February 1, 1982; married (Ann), two sons; 9699 Iredell Road, Richmond, Virginia 23235, Telephone No. 272-2312.

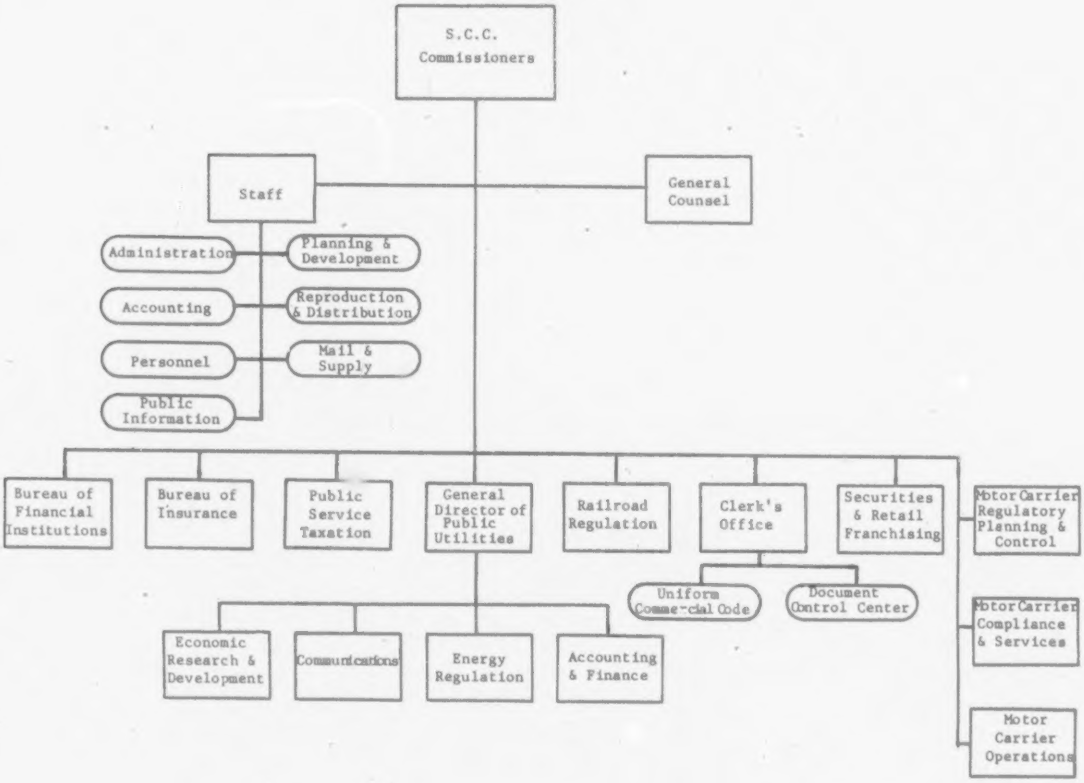
Junie L. Bradshaw, Commissioner, 786-3603: Democrat; born January 30, 1930, in Erwin, North Carolina; William and Mary College (AA), University of Richmond Law School (LLB); Navy in Korean Conflict, private law practice; assumed Commissionership April 4, 1972, current term ends 1984; married (Deirdree), two children; 8803 River Road, Richmond, Virginia 23229, Telephone No. 740-8301.

Thomas P. Harwood, Jr., Commissioner, 786-3608: Democrat; born January 22, 1929, in Green Bank, West Virginia; Virginia Military Institute (BA), University of Virginia (LLB); U.S. Army in Korean Conflict, private law practice, Deputy Commissioner and Commissioner of Industrial Commission of Virginia; assumed Commissionership February 21, 1973, current term ends February 1, 1986; married (Mary Virginia), three children; 2736 Kenbury Road, Richmond, Virginia 23225, Telephone No. 272-0396.

### II. ORGANIZATION CHART

STATE CORPORATION COMMISSION

Organization Chart


S.C.C. Commissioners
Staff
General Counsel
Administration
Planning & Development
Accounting
Reproduction & Distribution
Personnel
Mail & Supply
Public Information
Bureau of Financial Institutions
Bureau of Insurance
Public Service Taxation
General Director of Public Utilities
Railroad Regulation
Clerk's Office
Securities & Retail Franchising
Motor Carrier Regulatory Planning & Control
Uniform Commercial Code
Document Control Center
Motor Carrier Compliance & Services
Economic Research & Development
Communications
Energy Regulation
Accounting & Finance
Motor Carrier Operations

## VIRGINIA STATE CORPORATION COMMISSION

## III. STAFF

State Corporation Commission

| Division | Division Head | Total Employees As of 12/31/79 |
|---|---|---|
| Staff | | |
| Administration | | 14 |
| Office of Commission Comptroller | Frank M. Williams, Jr. | 6 |
| Personnel | James V. Coyne | 4 |
| Public Information | John F. Daffron | 3 |
| Office of Planning and Development | John A. DeLoyht | 1 |
| Clerk's Office | William C. Young | 37 |
| Uniform Commercial Code | | 5 |
| Reproduction and Distribution | | 2 |
| Supply | | 0 |
| Document Control | | 5 |
| Securities and Retail Franchising | Lewis W. Brothers, Jr. | 18 |
| Bureau of Financial Institutions | Sidney A. Bailey | 77 |
| Bureau of Insurance | James W. Newman | 84 |
| Office of Director of Public Utilities | David R. Lesher | 2 |
| Accounting and Finance | Edward M. Vassar | 17 |
| Energy Regulation | James R. Wittine | 9 |
| Communications | Edward C. Addison | 8 |
| Office of General Counsel | Lewis S. Minter | 19 |
| Economic Research and Development | Christine G. Crafton | 4 |
| Public Service Taxation | Samuel C. Burruss | 14 |
| Motor Carrier Compliance and Services | Albert Stuart, Jr. | 60 |
| Motor Carrier Operations | Haywood L. Moore | 37 |
| Motor Carrier Regulatory Planning and Control | R. Polk Gordon, Jr. | 2 |
| Railroad Regulation | William E. Elmore | 3 |
| Total | | 431 |

## IV. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by SCC
Fiscal Year Ended June 30, 1979

### Receipts

| | |
|---|---|
| General Fund Appropriation | $ 1,573,542.00 |
| Aviation Fund | 2,248,835.00 |
| Valuation Fund (Utilities) | 2,728,885.00 |
| Insurance Fund | 3,052,828.00 |
| Banking Fund | 2,381,922.00 |
| Highway Fund Appropriation | 3,146,247.00 |
| Total | $15,132,259.00 |

### Expenditures

| | |
|---|---|
| Salaries and Wages | $ 7,226,094.00 |
| Supplies and Materials | 81,982.00 |
| Postage | 185,109.00 |
| Telephone and Telegraph | 184,055.00 |
| Travel Expense | 244,613.00 |
| Printing and Binding | 252,992.00 |
| Purchase of Cars | 36,649.00 |
| Motor Vehicle Operation | 105,294.00 |
| Insurance and Bonding | 77,552.00 |
| Dues and Professional Services | 1,065,865.00 |
| Equipment | 142,732.00 |
| Contributions to Retirement System | 291,483.00 |
| Contributions to Social Security | 463,153.00 |
| Other Expense | 1,350,865.00 |
| Total | $11,708,438.00 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before SCC for Year Ended December 31, 1979

Transportation

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Water Carriers | Transportation Totals |
|---|---|---|---|---|---|
| Number of Proceedings: | | | | | |
| Pending as of 1/1/79 | 86 | 6 | 2 | 1 | 95 |
| Instituted in 1979 | 717 | 29 | 7 | 0 | 753 |
| Concluded in 1979 | 728 | 27 | 7 | 1 | 763 |
| Pending as of 12/31/79 | 75 | 8 | 1 | 0 | 84 |
| Number of Hearings in 1979 | 728 | 27 | 7 | 1 | 763 |
| Number of Hearing Days in 1979 | 29 | 27 | 7 | 1 | 64 |
| Number of Orders Appealed to Courts in 1979 | 2 | 0 | 2 | 0 | 4 |

Utilities

| | Telephone | Electric | Gas | Water | Sewer | Combination Water and Sewer | Utilities Totals |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | 6 | 5 | 2 | 5 | 0 | 0 | 18 |
| Instituted in 1979 | 8 | 32 | 12 | 13 | 1 | 1 | 67 |
| Concluded in 1979 | 4 | 26 | 7 | 18 | 1 | 1 | 57 |
| Pending as of 12/31/79 | 10 | 6 | 7 | 0 | 0 | 0 | 23 |
| Number of Hearings in 1979 | 8 | 30 | 7 | 7 | 3 | 1 | 56 |
| Number of Hearing Days in 1979 | 10 | 45 | 9 | 7 | 3 | 1 | 75 |
| Number of Orders Appealed to Courts in 1979 | 1 | 3 | 2 | 2 | 1 | 0 | 9 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Electric | Gas | Water | Sewer | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|---|
| Service | 5 | 55 | 1,490 | 117 | 12 | 14 | 4 | 16 |
| Tariff | 0 | 160 | 920 | 116 | 109 | 1 | 2 | 0 |
| Billing | 0 | 15 | 500 | 874 | 60 | 7 | 0 | 4 |
| Safety | 0 | 20 | 0 | 0 | 2 | 0 | 0 | 0 |
| Miscellaneous | 0 | 75 | 0 | 58 | 11 | 0 | 0 | 0 |
| Totals | 5 | 325 | 2,910 | 1,165 | 194 | 22 | 6 | 20 |

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

Main Office - Highways-Licenses Building, Olympia, Washington, 98504, (206) 753-6423

Regional Offices -

Seattle - District #1, 1231 Andover Park East, Seattle, Washington, 98188 (206) 575-0750
Spokane - District #4, East 6204 Dean, Spokane, Washington, 99206 (509) 456-3156
Vancouver - District #2, 110-A "Y" Street, Vancouver, Washington, 98661 (206) 696-6600
Yakima - District #3, 3006 Main Street, Union Gap, Washington, 98903 (509) 452-7174
Olympia - District #5, 4320 Martin Way, Olympia, Washington, 98504 (206) 753-6453
Pasco - District #6, 100-C West Clark, Pasco, Washington, 99301 (509) 545-2421

### I. COMMISSIONERS

Robert C. Bailey, Chairman, 753-6430: Democrat; born May 31, 1918, in Raymond, Washington; U.S. Navy (1941-46), county clerk (1947-51), owner and publisher of Raymond Advertiser (1948-52), State Representative (1951-57), State Senator (1957-77), Administrative Assistant to Congresswoman Julia Butler Hansen (1966-74), Port Manager of Port of Willapa Harbor (1975-77); assumed Commissionership March 11, 1977, current term ends January 1, 1983: married (Lee), one son.

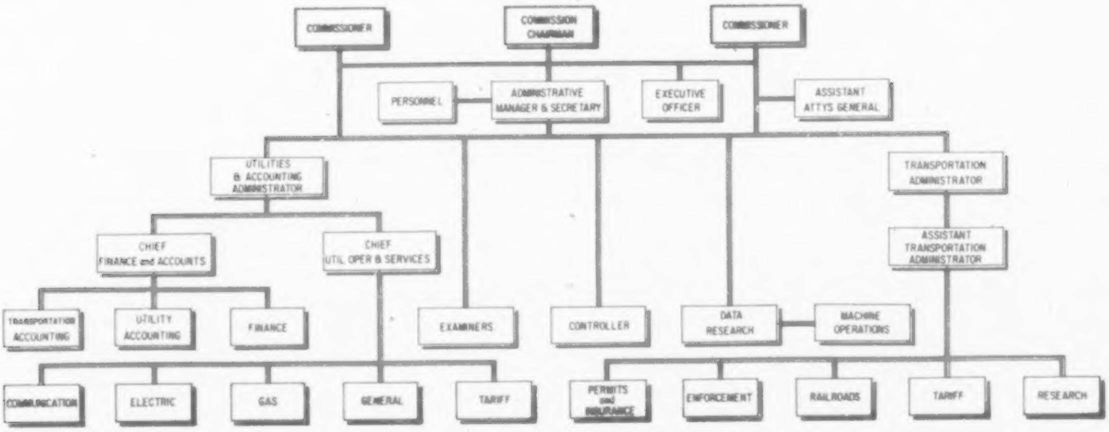
Frank W. Foley, Commissioner, 753-6440: Democrat; born February 10, 1913, in Spokane, Washington; Washington State University (BA), Gonzaga School of Law (LLB); U.S. Army officer in World War II, private law practice, municipal judge, State Senator; assumed Commissionership February 1, 1975, current term ends January 1, 1981; married (Betty).

Aldo J. Benedetti, Commissioner, 753-6400: Republican; born January 30, 1922, in Tacoma, Washington; U.S. Naval Academy (BS), University of Puget Sound (BE); U.S. Navy (1945-47, 1951-53), civil engineer, Assistant Superintendent, Superintendent of Water Division, Assistant Director and Director of Utilities with Takoma Department of Utilities (1947-79); assumed Commissionership April 23, 1979, current term ends January 1, 1985; married (Norma), three sons.

### II. STAFF

| Division | Number of Personnel | Name of Unit Head |
|---|---|---|
| Commissioners | 3 | Robert C. Bailey |
| Secretary | 6 | David Rees |
| Utilities Administration | 2 | Archie Martin |
| Transportation Administration | 4 | A. G. Duclos |
| Legal | 10 | Tom Stacer |
| Executive Officer | 1 | Tony Cook |
| Finance & Accounts | 27 | Daniel McLean |
| Utility Operations & Services | 16 | Kenneth Van Ruff |
| Transportation Tariff | 6 | Gene Otis |
| Transportation Research | 6 | Robert Jacobson |
| Railroads | 14 | Alan Scott |
| Permit & Insurance | 13 | Tom Tischer |
| Field Enforcement | 71 | Joe Keefe |
| Data Processing | 9 | Dick Nelson |
| Controller | 7 | Paul Curl |
| Assistant Attorney's General | 8 | Frank Hayes |
| Secretaries to Commissioners | 3 | |
| TOTAL | 206 | |

### III. ORGANIZATION CHART


COMMISSIONER
COMMISSION CHAIRMAN
COMMISSIONER
PERSONNEL
ADMINISTRATIVE MANAGER & SECRETARY
EXECUTIVE OFFICER
ASSISTANT ATTYS GENERAL
UTILITIES & ACCOUNTING ADMINISTRATOR
TRANSPORTATION ADMINISTRATOR
CHIEF FINANCE and ACCOUNTS
CHIEF UTIL OPER & SERVICES
ASSISTANT TRANSPORTATION ADMINISTRATOR
TRANSPORTATION ACCOUNTING
UTILITY ACCOUNTING
FINANCE
EXAMINERS
CONTROLLER
DATA RESEARCH
MACHINE OPERATIONS
COMMUNICATION
ELECTRIC
GAS
GENERAL
TARIFF
PERMITS and INSURANCE
ENFORCEMENT
RAILROADS
TARIFF
RESEARCH

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures, Fiscal Year Ended June 30, 1979

| Receipts | |
|---|---|
| Assessments of Regulated Companies | $5,661,283 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 37,154 |
| Railroad Safety | 92,815 |
| TOTAL | $5,791,252 |

| Expenditures | |
|---|---|
| Salaries and Wages | $3,240,844 |
| Personal Service Contracts | 165,000 |
| Goods and Services | 1,626,576 |
| Travel | 177,715 |
| Equipment | 90,946 |
| Employee Benefits | 593,654 |
| TOTAL | $5,894,735 |

## V. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before WUTC for Year Ended December 31, 1979

#### Transportation

| | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Water Carriers | Storage Warehouse | Pipe-line | Transportation Totals |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | 412 | 8 | 66 | 1 | 1 | - | 488 |
| Instituted in 1979 | 3,332 | 84 | 50 | 5 | 6 | 1 | 3,478 |
| Concluded in 1979 | 2,679 | 155 | 67 | 16 | 8 | 1 | 2,926 |
| Number of Hearings in 1979 | 275 | 15 | 9 | 6 | - | - | 305 |
| Number of Hearing Days in 1979 | 280 | 15 | 5 | 6 | - | - | 306 |
| Number of Orders Appealed to Courts in 1979 | 8 | - | - | - | - | - | 8 |

#### Utilities

| | Telephone | Electric | Gas | Water | Other | Utilities Totals |
|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | |
| Pending as of 1/1/79 | 13 | 6 | 4 | 10 | - | 33 |
| Instituted in 1979 | 22 | 6 | 17 | 7 | 4 | 56 |
| Concluded in 1979 | 21 | 6 | 5 | 12 | 4 | 48 |
| Pending as of 12/31/79 | 14 | 6 | 16 | 5 | - | 41 |
| Number of Hearings in 1979 | 8 | 25 | 15 | - | - | 48 |
| Number of Hearing Days in 1979 | 13 | 35 | 23 | - | - | 71 |
| Number of Orders Appealed to Courts in 1979 | 1 | 1 | - | - | - | 2 |

## VI. COMPLAINTS

### Complaint Tabulation for Year Ended December 31, 1979

| | Water Carriers | Motor Freight Carriers | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|---|
| Service | - | 148 | 1,230 | 43 | 18 | 14 |
| Tariff | - | 45 | 72 | 25 | 18 | 8 |
| Billing | - | 10 | 530 | 190 | 167 | 8 |
| Safety | - | 28 | 4 | 5 | 3 | 1 |
| Miscellaneous | 1 | 42 | 88 | 35 | 7 | 1 |
| Totals | 1 | 273 | 1,924 | 298 | 213 | 32 |

# WEST VIRGINIA PUBLIC SERVICE COMMISSION

Office - Room E-217, Capitol Building, Charleston, West Virginia 25305, Area Code (304) 348-2182

## I. COMMISSIONERS

E. Dandridge McDonald, Chairman, 348-2164: Democrat; born March 16, 1943, in Miami, Florida; Yale University (BA), University of Virginia (LLB); West Virginia PSC legal staff (1973-76), private law practice (1977); assumed Commissionership August 11, 1977, current term ends June 30, 1985; married (Joan), two children.

Otis D. Casto, Commissioner, 348-2161: Democrat; born September 8, 1938, in Cottageville, West Virginia; West Virginia State College (BA); U. S. Army (1961-62), private industry (20 years); assumed Commissionership September 6, 1977, current term ends March 19, 1983; married (Gweneth Sue), two children.

Elwin J. Bresette, Commissioner, 348-2163: Republican; born May 6, 1942, in Montpelier, Vermont; Babson Institute (BS), Virginia Polytechnic Institute (MBA), private industry (1963 to 1970); West Virginia Board of Regents as Vice Chancellor and Director of Finance and Facilities (1970 to 1980); assumed Commissionership May 1, 1980; current term ends March 1981; married (Barbara), two sons.

## II. STAFF

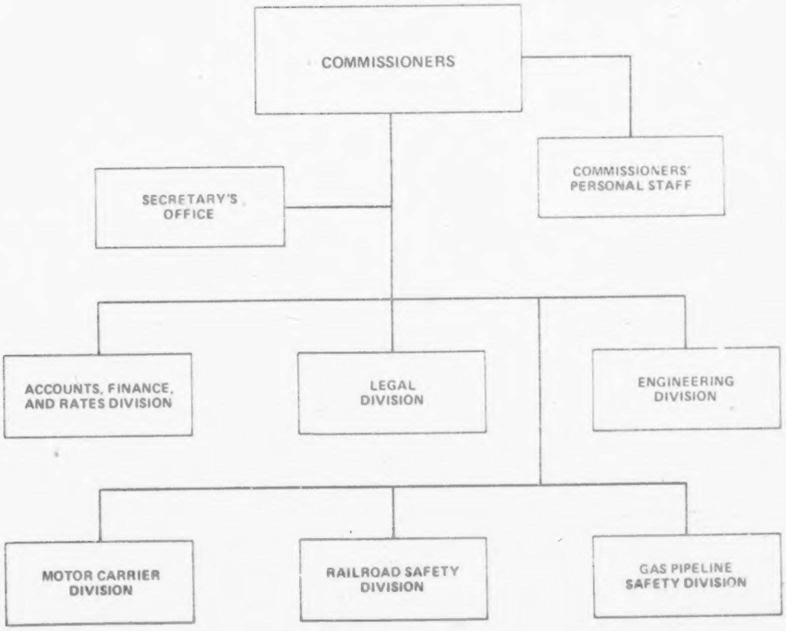
| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 13 | Howard M. Cunningham, Executive Secretary |
| Accounting | 38 | David Ellis, Director |
| Engineering | 15 | Robert Wheeler, Director |
| Legal | 14 | Robert R. Rodecker, General Counsel |
| Motor Carrier | 26 | William Sloan, Director |
| Railroads | 10 | J. J. Dwyer, Director |
| Gas Pipeline Safety | 4 | J. A. Casto, Acting Director |
| Total | 120 | |

## III. ORGANIZATION CHART

WEST VIRGINIA PUBLIC SERVICE COMMISSION

**PSC ORGANIZATION**

**1978**


COMMISSIONERS
COMMISSIONERS' PERSONAL STAFF
SECRETARY'S OFFICE
ACCOUNTS, FINANCE, AND RATES DIVISION
LEGAL DIVISION
ENGINEERING DIVISION
MOTOR CARRIER DIVISION
RAILROAD SAFETY DIVISION
GAS PIPELINE SAFETY DIVISION

WEST VIRGINIA PUBLIC SERVICE COMMISSION

## IV. RECEIPTS AND EXPENDITURES

### Summary of Receipts and Expenditures by the PSC as of Fiscal Year Ended June 30, 1979

#### Receipts

| | |
|---|---|
| General Fund Appropriation | $4,019,444 |
| Assessments of Regulated Companies | 3,786,294 |
| Federal Grants-in Aid for: | |
| Gas Pipeline Safety | 70,403 |
| Railroad Safety | 50,995 |
| Total Receipts | $7,927,136 |

#### Expenditures

| | |
|---|---|
| Salaries and Wages | $2,184,621 |
| Office Expense | 49,343 |
| Clothing and Household | 709 |
| Utilities | 2,260 |
| Telephone and Telegraph | 49,359 |
| Postal and Freight | 33,623 |
| Travel Expense | 150,626 |
| Rental Expense - Building | 8,480 |
| Rental Expense - Machine | 168,247 |
| Printing and Binding | 41,775 |
| Association Dues | 1,265 |
| Civil Service | 10,339 |
| Contractual and Professional | 97,297 |
| Workmen's Compensation | 3,770 |
| Advertising | 14,159 |
| Vehicle Expense | 269 |
| Miscellaneous | 60 |
| Maintenance Contracts | 8,476 |
| I.S.S.D. | 9,426 |
| Office Equipment | 22,228 |
| Social Security Matching | 119,952 |
| Retirement Matching Fund | 205,924 |
| Health Insurance | 84,995 |
| Total Expenditures | $3,267,203 |

## V. VOLUME OF PROCEEDINGS

Volume of Proceedings Before the PSC for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water | Sewer | Combination Water and Sewer | Motor Carriers | Totals |
|---|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | | |
| Instituted in 1979 | 80 | 81 | 117 | 103 | 48 | 13 | 562 | 1,004 |
| Concluded in 1979 | 111 | 84 | 135 | 155 | 61 | 5 | 581 | 1,132 |
| Number of Hearings in 1979 | 46 | 52 | 75 | 124 | 36 | 11 | 361 | 705 |
| Number of Hearing Days in 1979 | 34 | 43 | 55 | 85 | 36 | 11 | 112 | 376 |
| Number of Orders Appealed to Courts in 1979 | - | 2 | 4 | 2 | 3 | - | 4 | 15 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Water | Sewer |
|---|---|---|---|---|---|
| Service | 1,062 | 1,120 | 303 | 925 | 54 |
| Billing | 222 | 186 | 748 | 258 | 12 |
| Miscellaneous | 174 | 166 | 177 | 122 | 6 |
| Totals | 1,458 | 1,472 | 1,228 | 1,305 | 72 |

# WISCONSIN PUBLIC SERVICE COMMISSION

Office - 432 Hill Farms State Office Building, Madison, Wisconsin 53702, Area Code (608) 266-1241

## I. COMMISSIONERS

Stanley York, Chairman: Republican; born August 29, 1931, in Milwaukee, Wisconsin; Beloit College (BA), Andover Newton Theological School (M Div.); Congregational Church Pastor (1957-70), Commissioner of Wisconsin Department of Industry, Labor and Human Relations (1970-71), Executive Director of Republican Party of Wisconsin (1971-73), Director of Wisconsin Office of Emergency Energy Assistance (1973-75), Executive Director of Wisconsin Association of Homes for the Aging (1975-78), Assistant to the Governor for Administration (1979); assumed Commissionership June 27, 1979, current term ends March 1, 1985; married (Emily), three children.

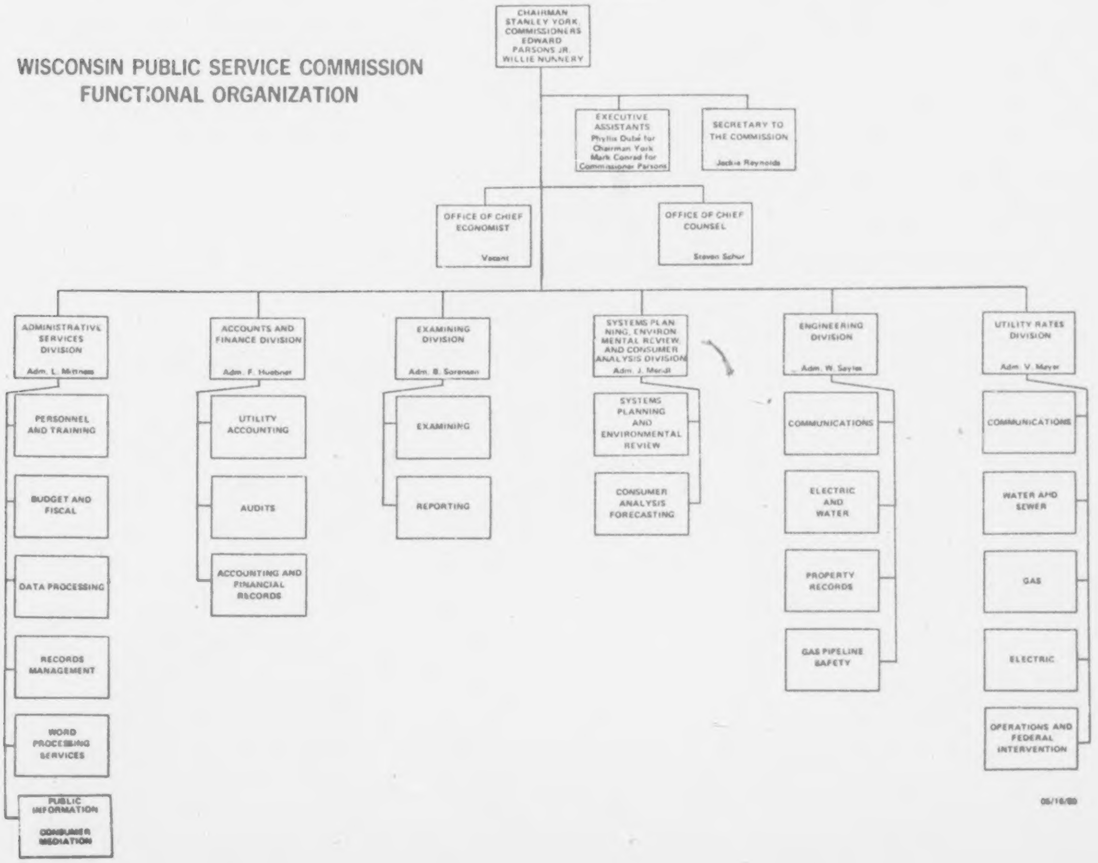
Edward M. Parsons, Jr., Commissioner, 266-1241: Democrat; born January 10, 1938, in Dayton, Ohio; Indiana University (BS), University of Wisconsin (JD); accountant with Dayton Power & Light Co. (1959-65) and Wisconsin Power & Light Co. (1965-68), data processing consultant with Wisconsin Investment Board (1968-69), attorney for Milwaukee Legal Services (1970-74), Associate Director for Freedom Through Equality, Inc. (1972-73), attorney for Chicago Regional Litigation Center, Equal Employment Opportunity Commission (1974-76), Legal Counsel to Wisconsin Governor (1976-77); assumed Commissionership November 7, 1977, current term ends March 1, 1981; married (Nan), three children.

William J. Nunnery, Commissioner, 266-1241: Republican; born July 28, 1948, in Chicago, Illinois; University of Kansas (BS, civil engineering), University of Wisconsin Law School (JD); Junior Analytical Engineer for Atlantic Richfield Company (1970), Assistant to the Dean of the University of Wisconsin College of Engineering (1972-79), Associate Director of University of Wisconsin Energy Research Center (1975-79), Deputy Secretary of Wisconsin Department of Administration (1979-80), Director of Wisconsin Division of State Energy; assumed Commissionership April 23, 1980, current term ends March 1, 1983; single.

## II. STAFF

| Name of Division or Office | Number of Personnel | Name of Division/Office Head |
|---|---|---|
| Office of Commissioners | 11.0 | Stanley York, Chairman |
| Administrative Services | 26.0 | Lewis T. Mittness |
| Accounts and Finance | 31.0 | Frederick C. Huebner |
| Engineering | 22.0 | William Sayles |
| Utility Rates | 26.0 | Victor W. Mayer |
| SPERCA | 14.0 | Jerry E. Mendl |
| Public Affairs Office | 5.0 | Alan C. Guyant |
| Examining | 5.0 | Clarence B. Sorensen |
| Office of Chief Counsel | 5.0 | Steven M. Schur |
| Office of Chief Economist | 1.63 | (Vacant) |
| Total | 146.63 | |

## III. ORGANIZATION CHART


WISCONSIN PUBLIC SERVICE COMMISSION
FUNCTIONAL ORGANIZATION
CHAIRMAN STANLEY YORK, COMMISSIONERS EDWARD PARSONS JR. WILLIE NUNNERY
EXECUTIVE ASSISTANTS Phyllis Dubé for Chairman York Mark Conrad for Commissioner Parsons
SECRETARY TO THE COMMISSION Jackie Reynolds
OFFICE OF CHIEF ECONOMIST Vacant
OFFICE OF CHIEF COUNSEL Steven Schur
ADMINISTRATIVE SERVICES DIVISION Adm. L. Mittness
PERSONNEL AND TRAINING
BUDGET AND FISCAL
DATA PROCESSING
RECORDS MANAGEMENT
WORD PROCESSING SERVICES
PUBLIC INFORMATION CONSUMER MEDIATION
ACCOUNTS AND FINANCE DIVISION Adm. F. Huebner
UTILITY ACCOUNTING
AUDITS
ACCOUNTING AND FINANCIAL RECORDS
EXAMINING DIVISION Adm. B. Sorensen
EXAMINING
REPORTING
SYSTEMS PLANNING, ENVIRONMENTAL REVIEW, AND CONSUMER ANALYSIS DIVISION Adm. J. Mendl
SYSTEMS PLANNING AND ENVIRONMENTAL REVIEW
CONSUMER ANALYSIS FORECASTING
ENGINEERING DIVISION Adm. W. Sayles
COMMUNICATIONS
ELECTRIC AND WATER
PROPERTY RECORDS
GAS PIPELINE SAFETY
UTILITY RATES DIVISION Adm. V. Mayer
COMMUNICATIONS
WATER AND SEWER
GAS
ELECTRIC
OPERATIONS AND FEDERAL INTERVENTION
05/16/80

## IV. SUMMARY OF FINANCES

### Public Service Commission of Wisconsin
### Summary of Finances

| Item | 1978-79 |
|---|---|
| Utility Receipts | |
| Direct Assessments | $ 552,652.05 |
| Remainder Assessments | 3,626,653.35 |
| Total | $4,179,305.40 |
| Federal Receipts | |
| Gas Pipeline Safety | $ 23,605.16 |
| FEA | 285,202.94 |
| WIN | -- |
| CETA | 27,918.08 |
| UGLRC | 10,000.00 |
| Gas Conservation | 13,335.96 |
| Co-Generation | 7,486.42 |
| District Heating | 5,338.00 |
| Total | $ 372,886.56 |
| Railroad Receipts | |
| Direct Assessments | $ -- |
| Remainder Assessments | -- |
| Total | $ -- |
| Miscellaneous Receipts | $ 8,283.16 |
| Total appropriations and receipts | $4,560,475.12 |
| Disbursements | |
| Utility | $3,889,542.88 |
| Gas Pipeline Safety | 23,605.16 |
| Railroad Transportation | -- |
| Motor Transportation | -- |
| FEA | 285,202.94 |
| WIN | -- |
| CETA | 29,877.35 |
| UGLRC | -- |
| Gas Conservation | 19,033.25 |
| Co-Generation | 8,939.32 |
| District Heating | 6,409.32 |
| Total Disbursements | $4,262,610.22 |
| Collections for state general fund | |
| Utilities securities fees | $ 16,131.18 |
| Engineering Fees | 190.00 |
| Copy work & sale of printed matter | -- |
| Penalties | 2,701.81 |
| Total | $ 19,022.99 |

## V. HEARINGS AND ORDERS APPEALED

Number of Hearings and Orders Appealed to Courts for Year Ended December 31, 1979

| | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer | Telephone | Telegraph | Totals |
|---|---|---|---|---|---|---|---|---|---|
| Number of Hearings in 1979 | 60 | 44 | 6 | 90 | 12 | 20 | 37 | 1 | 270 |
| Number of Hearing Days in 1979 | 111 | 114 | 35 | 92 | 17 | 20 | 63 | 1 | 453 |
| Number of Orders Appealed to Courts in 1979 | 4 | 7 | - | - | 1 | - | - | 4 | 16 |

## VI. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Telephone | Electric | Gas | Combination Electric and Gas | Water | Sewer | Combination Water and Sewer |
|---|---|---|---|---|---|---|---|
| Service | 587 | 184 | 103 | 1 | 20 | 2 | 3 |
| Tariff | 3 | 3 | 1 | 1 | - | - | - |
| Billing | 580 | 706 | 502 | 101 | 76 | 1 | - |
| Safety | 10 | 13 | 9 | 1 | 1 | - | - |
| Miscellaneous | 54 | 26 | 6 | 5 | 1 | - | - |
| Total | 1,234 | 932 | 621 | 109 | 98 | 3 | 3 |

## WISCONSIN TRANSPORTATION COMMISSION

Office - Hill Farms State Office Building, 4802 Sheboygan Avenue, Post Office Box 7957, Madison, Wisconsin 53707, Area Code (608)

### I. COMMISSIONERS

Robert J. Smith, Chairman, 266-3408:' Republican; born January 20, 1944, in Waupaca, Wisconsin; University of Wisconsin (BS in Civil Engineering, JD); U.S. Navy officer (1967-71), consulting engineer (1971-74), private law practice (1974-79), Associate Professor of Civil and Environmental Engineering at University of Wisconsin (1974-79); assumed Commissionership October 1, 1979, current term ends March 1, 1985; married (Marjorie), three children.

Joseph Sweda, Commissioner, 266-5499: Democrat; born January 3, 1926, in Lublin, Wisconsin; Wisconsin Legislator (1963-75); assumed Commissionership January 1976, current term ends March 1, 1981; married (Irene).

Joan D. McArthur, Commissioner,, 266-0104: born September 27, 1933, in Baraboo, Wisconsin; University of Wisconsin (BS, MS); flight instructor, charter pilot, private practice in speech pathology, member of Baraboo Board of Education; assumed Commissionership August 13, 1979, current term ends March 1, 1983; married (John), two children.

### II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Secretary | 19 | Bernard E. Gehrmann |
| Accounting | 8 | Glenn Derge |
| Legal | 12 | John Koop |
| Motor Carriers | 4 | James Ackley |
| Railroads | 3 | Rex Montgomery |
|  | 7 |  |
| Total | 53 |  |

### III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures as of June 30, 1979

Receipts

| | |
|---|---|
| General Fund Appropriation | $1,529,200* |

*Budget appropriation is from the Transportation Fund (segregated fund) less fees collected of approximately $300,000.

Expenditures

| | |
|---|---|
| Salaries, Wages and Fringe Benefits | $1,227,700 |
| Permanent Property | 13,800 |
| Other Expenditures* | 284,800 |
| Total | $1,526,300 |

*Supplies and services, postage, telephone and telegraph, travel expense, printing and binding, motor vehicle operation, maintenance, insurance and bonding, dues and professional services, equipment.

# WYOMING PUBLIC SERVICE COMMISSION

**Office** - Capitol Hill Building, 320 West 25th Street, Cheyenne, Wyoming 82002, Area Code (307) 777-7427

## I. COMMISSIONERS

**Charles E. (Ned) Johnson**, Chairman: Democrat; born March 22, 1921, in Cheyenne, Wyoming; University of Wyoming (BS); U.S. Marine pilot (1942-46, 1951-56), State Examiner's Office (1947-51, 1956-59), official of State Department of Revenue (1959-65), official of State Division of Data Processing (1965-75); assumed Commissionership March 1, 1975, current term ends March 1, 1981; married (Evelyn), four children.

**John R. (Dick) Smyth**, Deputy Chairman: Democrat; born October 5, 1932, in Rawlins, Wyoming; University of Santa Clara (BS), University of Wyoming (LLB); Army Field Artillery Officer (1954-56), State Assistant Attorney General (1959-60), private law practice (1960-77), Cheyenne Municipal Juvenile Judge (3 terms), State Representative (1967, 1971, 1975); assumed Commissionership March 1, 1977, current term ends February 28, 1983; married (Ruth), four children.

**G. Keith Osborn**, Commissioner: Republican; born March 8, 1919, in Pine Bluffs, Wyoming; University of Wyoming; reporter, news editor, managing editor and editor of newspapers in Laramie and Cheyenne, Director of Public Information of Wyoming Travel Commission, Administrative Assistant to U.S. Representative William Henry Harrison, Executive Director of Wyoming Taxpayers Association, Administrative Assistant to Governor Stanley K. Hathaway, State Planning Coordinator; assumed Commissionership March 1, 1973, current term ends March 1, 1985; married (Mary), two sons.

## II. STAFF

| Name of Division | Number of Personnel | Name of Division Head |
|---|---|---|
| Utilities | 25 | Alex J. Eliopulos |
| Rates and Tariff | 5 | William L. Johnson |
| Motor Carriers | 7 | William M. Rooney |
| Total | 37 | |

## III. RECEIPTS AND EXPENDITURES

Summary of Receipts and Expenditures Administered by PSC for Fiscal Year Ended June 30, 1979

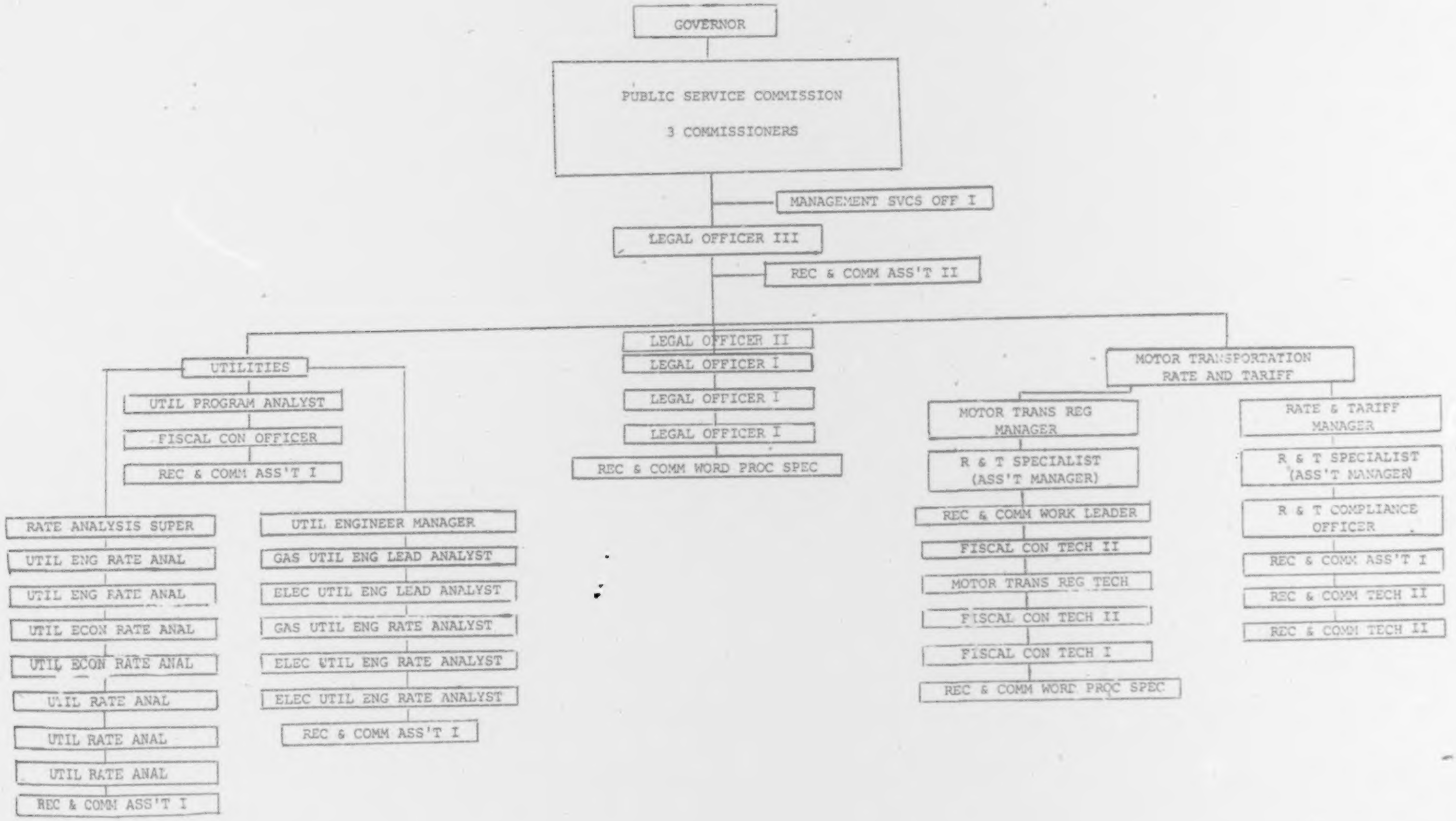
| | |
|---|---|
| **Receipts** | |
| Assessments of Regulated Companies | $857,950 |
| Federal Grants-in-Aid for: | |
| Gas Pipeline Safety | 50,497 |
| Total | $908,447 |
| **Expenditures** | |
| Salaries and Wages | $631,386 |
| Supplies and Materials | 11,195 |
| Postage | 13,951 |
| Telephone and Telegraph | 11,019 |
| Travel Expense | 47,157 |
| Printing and Binding | 9,516 |
| Motor Vehicle Operation | 2,747 |
| Maintenance | 673 |
| Dues and Professional Services | 29,143 |
| Equipment | 1,920 |
| Contributions to Retirement System | 34,470 |
| Contributions to Social Security | 33,322 |
| Other Expense | 24,306 |
| Rental | 57,642 |
| Total | $908,447 |

## IV. COMPLAINTS

Complaint Tabulation for Year Ended December 31, 1979

| | Motor Freight Carriers | Railroads | Telephone | Electric | Gas | Water |
|---|---|---|---|---|---|---|
| Service | 1 | - | 5 | 6 | 4 | 1 |
| Tariff | 3 | - | - | - | - | - |
| Billing | 6 | 1 | - | - | - | - |
| Safety | - | 1 | - | - | - | - |
| Miscellaneous | - | 5 | - | - | - | - |
| Totals | 10 | 7 | 5 | 6 | 4 | 1 |

## V. ORGANIZATION CHART


GOVERNOR
PUBLIC SERVICE COMMISSION
3 COMMISSIONERS
MANAGEMENT SVCS OFF I
LEGAL OFFICER III
REC & COMM ASS'T II
UTILITIES
UTIL PROGRAM ANALYST
FISCAL CON OFFICER
REC & COMM ASS'T I
RATE ANALYSIS SUPER
UTIL ENG RATE ANAL
UTIL ENG RATE ANAL
UTIL ECON RATE ANAL
UTIL ECON RATE ANAL
UTIL RATE ANAL
UTIL RATE ANAL
UTIL RATE ANAL
REC & COMM ASS'T I
UTIL ENGINEER MANAGER
GAS UTIL ENG LEAD ANALYST
ELEC UTIL ENG LEAD ANALYST
GAS UTIL ENG RATE ANALYST
ELEC UTIL ENG RATE ANALYST
ELEC UTIL ENG RATE ANALYST
REC & COMM ASS'T I
LEGAL OFFICER II
LEGAL OFFICER I
LEGAL OFFICER I
LEGAL OFFICER I
REC & COMM WORD PROC SPEC
MOTOR TRANSPORTATION RATE AND TARIFF
MOTOR TRANS REG MANAGER
R & T SPECIALIST (ASS'T MANAGER)
REC & COMM WORK LEADER
FISCAL CON TECH II
MOTOR TRANS REG TECH
FISCAL CON TECH II
FISCAL CON TECH I
REC & COMM WORD PROC SPEC
RATE & TARIFF MANAGER
R & T SPECIALIST (ASS'T MANAGER)
R & T COMPLIANCE OFFICER
REC & COMM ASS'T I
REC & COMM TECH II
REC & COMM TECH II

WYOMING PUBLIC SERVICE COMMISSION

## VI. VOLUME OF PROCEEDINGS

### Total Volume of Proceedings Before PSC for Year Ended December 31, 1979

Carriers

| | Air Carriers | Motor Freight Carriers | Motor Passenger Carriers | Railroads | Totals - Carriers |
|---|---|---|---|---|---|
| Number of Proceedings: | | | | | |
| Pending as of 1/1/79 | - | 2 | 3 | 8 | 13 |
| Instituted in 1979 | 3 | 197 | 18 | 19 | 237 |
| Concluded in 1979 | 3 | 198 | 21 | 26 | 248 |
| Pending as of 12/31/79 | - | 1 | 0 | 1 | 2 |
| Number of Hearings in 1979 | 1 | 184 | 3 | 2 | 190 |
| Number of Hearing Days in 1979 | - | 9 | 1 | 2 | 12 |
| Number of Orders Appealed to Courts in 1979 | - | - | - | - | 0 |

Utilities

| | Tele-graph | Telephone | Electric | Gas | Combination Electric and Gas | Water | Totals - Utilities |
|---|---|---|---|---|---|---|---|
| Number of Proceedings: | | | | | | | |
| Pending as of 1/1/79 | - | 7 | 19 | 8 | 5 | 3 | 42 |
| Instituted in 1979 | 1 | 30 | 94 | 133 | 34 | 6 | 298 |
| Concluded in 1979 | 1 | 31 | 92 | 119 | 35 | 8 | 286 |
| Pending as of 12/31/79 | - | 6 | 21 | 22 | 4 | 1 | 54 |
| Number of Hearings in 1979 | - | 4 | 9 | 6 | 1 | 4 | 24 |
| Number of Orders Appealed to Courts in 1979 | - | 2 | 3 | 10 | 3 | - | 18 |

## AGENCY HISTORY

Significant events of the member agency histories are reflected below in alphabetical order with the participating Federal agencies listed first.

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## CIVIL AERONAUTICS BOARD

The Airline Deregulation Act of 1978 (ADA), passed on October 24, 1978, provides for a phased deregulation of the domestic airline industry. It also directs the Board to emphasize competition as a regulatory tool in the interim. However, as part of the transition to deregulation, the ADA establishes a Small Communities Program to guarantee "essential air service" at eligible points through 1988, if necessary with the support of direct federal subsidy payments to carriers that provide that service.

The ADA also establishes a sunset schedule for the Board. By the end of 1981, the requirement that domestic certificate applications be consistent with the public convenience and necessity will end; the Board's authority to regulate domestic fares will end on January 1, 1983. By January 1, 1983, its authority to approve or disapprove mergers and control or interlocking relationships among carriers in interstate transportation will be transferred to the Department of Justice. The Board's international functions and the Small Communities Program will be transferred to the Department of Transportation by January 1, 1985. At the same time, its jurisdiction over merger and other intercarrier relationships in foreign air transportation will be transferred to the Department of Justice.

The Board has been working to implement deregulation smoothly. It has adopted a general policy of awarding new domestic authority to all fit, willing and able applicants, in the absence of unusual circumstances. Applications are handled under expedited procedures and many new carriers have been certificated.

The ADA sets forth a zone of reasonableness within which the Board may not suspend domestic fare filings. The Board has acted by deregulation to increase this zone to some extent, and is considering a proposal to expand it further.

The International Air Transportation Competition Act of 1979 (IATCA), enacted on February 15, 1980, extends the suspend-free zone of reasonableness approach to foreign fare filings and instructs the Board to rely more on market forces in foreign transportation. However, it also recognizes the existance of political constraints on competition in foreign markets that do not exist in the domestic field.

## FEDERAL COMMUNICATIONS COMMISSION

Prior to 1934, jurisdiction over wire and radio communications was handled, at various times, by the Department of Commerce, Post Office Department, Interstate Commerce Commission and the Federal Radio Commission. Technological developments and broadcasting interference necessitated coordination of these regulatory functions in a single agency.

The Communications Act of 1934, signed June 19, 1934, created the Federal Communications Commission for that purpose. A major purpose of the Communications Act of 1934 was "to make available, so far as possible, to all the people of the United States a rapid, efficient, nation-wide, and world-wide wire and radio communication service with adequate facilities at reasonable charges...." The Commission was assigned additional regulatory jurisdiction under the provisions of the Communications Satellite Act of 1962, which provided for the establishment, in cooperation with other countries, of a commercial communications satellite system as part of an improved global communications network.

The scope of the Commission's regulatory activities include radio and television broadcasting; telephone, telegraph, and cable television operation; two-way radio and radio operators; and satellite communication.

In 1979 the Common Carrier Bureau was reorganized. In order to allow the Bureau Chief to carry out the policy, program and management responsibilities of his position, the span of control was reduced through the establishment of two Deputy Chief positions, for Operations and Policy. Functions were realigned to eliminate fragmentation, and tasks and related resources were centralized in the new divisions. Organization and staff for the organization are shown in appropriate sections of this manual.

## FEDERAL ENERGY REGULATORY COMMISSION

The Federal Energy Regulatory Commission (Commission) was established by the Department of Energy Organization Act (Act), which was enacted on August 4, 1977. (Public Law No. 95-91, 91 Stat. 565). The effective date of the provisions of the Act was prescribed as October 1, 1977, by Executive Order No. 12009, signed by the President on September 13, 1977. (42 FR 46267, September 15, 1977). The Act created the Federal Energy Regulatory Commission as a five-member independent regulatory commission within the Department of Energy.

The authority and jurisdiction of the Commission is set forth in Sections 401, 402, 403, 404, 406, 407, 503 and 504 of the Act. These functions are listed below. The Secretary of Energy, on October 1, 1977, delegated and assigned to the Commission certain powers, authorities and responsibilities as set forth below.

### Functions of the Federal Energy Regulatory Commission

1. The investigation, issuance, transfer, renewal, revocation, and enforcement of licenses and permits for the construction, operation, and maintenance of dams, water conduits, reservoirs, powerhouses, transmission lines, or other works for the development and improvement of navigation and for the development and utilization of power across, along, from, or in navigable waters under Part I of the Federal Power Act;

2. The establishment, review, and enforcement of rates and charges for the transmission or sale of electric energy, including determinations on construction work in progress, under Part II of the Federal Power Act, and the interconnection, under section 202(b), of such Act, of facilities for the generation, transmission, and sale of electric energy (other than emergency interconnection);

## FEDERAL ENERGY REGULATORY COMMISSION (Continued)

3. The establishment, review, and enforcement of rates and charges for the transportation and sale of natural gas by a producer or gatherer or by a natural gas pipeline or natural gas company under sections 1, 4, 5, and 6 of the Natural Gas Act;

4. The issuance of a certificate of public convenience and necessity, including abandonment of facilities or services, and the establishment of physical connections under section 7 of the Natural Gas Act;

5. The establishment, review, and enforcement of curtailments, other than the establishment and review of priorities for such curtailments, under the Natural Gas Act;

6. The regulation of mergers and securities acquisitions under the Federal Power Act and the Natural Gas Act;

7. The authority to exercise any power under (a) sections 4, 301, 302, 306 through 309, and 312 through 316 of the Federal Power Act, and (b) sections 8, 9, 13 through 17, 20, and 21 of the Natural Gas Act to the extent the Commission determines such power to be necessary to the exercise of any function within its jurisdiction;

8. The establishment of rates or charges for the transportation of oil by pipeline or the establishment of the valuation of any such pipeline, under the Interstate Commerce Act (49 U.S.C. § 1, et seq.), as amended, and the Trans-Alaska Pipeline Authorization Act (Pub. L. 93-153);

9. The jurisdiction to consider any proposal by the Secretary of Energy to amend any regulation required to be issued under Section 4(a) of the Emergency Petroleum Allocation Act of 1973 which is required by Section 8 or 12 of such Act to be transmitted by the President to, and reviewed by, each House of Congress, under section 551 of the Energy Policy and Conservation Act, except in the event that the President determines that an emergency situation of overriding national importance exists, as provided in section 402(c)(2) of the DOE Act;

10. The jurisdiction to hear and determine any matter arising under any function of the Secretary of Energy involving any agency determination required by law to be, or which the Secretary determines shall be, made on the record after an opportunity for agency hearing, except for those functions of the Secretary under Section 105 and 106 of the Energy Policy and Conservation Act and except for the authority of the Secretary to regulate the exports and imports of natural gas or electricity unless the Secretary assigns such a function to FERC;

11. The authority to prescribe rules, regulations, and statements of policy of general applicability with respect to any function under the jurisdiction of FERC pursuant to Section 402 of the DOE Act;

12. The authority to prescribe such procedural and administrative rules as necessary to the exercise of its functions;

13. The powers authorized by the law under which the FERC's functions are exercised to hold hearings, sign and issue subpoenas, administer oaths, examine witnesses, and receive evidence;

14. The authority to consider and take final action on any rules, regulations, and statements of policy of general applicability respecting any function within the jurisdiction of FERC under section 402 of the DOE Act proposed by the Secretary pursuant to section 403(a) of the DOE Act;

15. The consideration of any rule, regulation, or statement of policy of general applicability proposed by the Secretary of Energy in the exercise of any function transferred to the Secretary by section 301 or 306 of the DOE Act, for the purpose of providing an opportunity for public comment on such rule, regulation, or statement of policy should the Commission determine that the proposed action may significantly affect any function within the jurisdiction of the Commission and issuance of a recommendation on the proposed action to the Secretary;

16. The jurisdiction to review remedial orders issued by the Secretary, when the person receiving the order has notified the Secretary he intends to contest such order, and to issue and order, based upon findings of fact, affirming, modifying, or vacating the remedial order, or directing other appropriate relief; and the authority, upon receipt of notice from the Secretary that the remedial order will be contested, to stay the effect of the remedial order unless FERC finds that the public interest requires immediate compliance with such remedial order; and

17. The jurisdiction to review and to issue such orders as may be necessary or appropriate with respect to a denial of a request for adjustment under section 504(a) of the DOE Act.

### Delegations and Assignments to the Federal Energy Regulatory Commission from the Secretary of Energy

1. Part I of the Federal Power Act (Pub. L. 280, 66th Cong., 2d Sess.), to the extent that such authority is not transferred to, and vested in, FERC by Section 402(a)(1)(A) of the DOE Act, provided that this paragraph does not delegate functions under (i) Section 4(a) of the Federal Power Act as it relates to river basin appraisals and (ii) Section 24 of the Federal Power Act as it relates to the disposition of power site lands;

2. Section 203 of the Federal Power Act (relating to the disposition, merger or consolidation of facilities and the acquisition of securities);

3. Section 204 of the Federal Power Act (relating to the issuance of securities and the assumption of liabilities);

4. Section 206(b) of the Federal Power Act (relating to the investigation and determination of the cost of production or transmission of electric energy), as FERC determines appropriate to perform its vested or delegated functions;

5. Section 207 of the Federal Power Act (relating to adequate and sufficient interstate service);

6. Section 209 of the Federal Power Act (relating to use of boards composed of State representatives and cooperation with State commissions);

7. Section 304 of the Federal Power Act (relating to annual and periodic or special reports), as FERC determines appropriate to perform its vested or delegated functions;

8. Section 305 of the Federal Power Act (relating to officers or directors benefiting from the sale of issued securities and to interlocking directorates);

9. Section 311 of the Federal Power Act (relating to investigations regarding the generation, transmission, distribution, and sale of electric energy), as FERC determines appropriate to perform its vested or delegated functions;

10. Sections 1(b) and 1(c) of the Natural Gas Act (Pub. L. 688, 75th Cong., 2d Sess.) (relating to certain exemptions from the provisions of the Natural Gas Act;

11. Section 3 of the Natural Gas Act with respect to the decision of pending cases assigned to FERC by rule;

12. Section 5(b) of the Natural Gas Act (relating to the investigation and determination of the cost of production or transportation of natural gas), as FERC determines appropriate to perform its vested or delegated functions;

13. Section 10 of the Natural Gas Act (relating to annual and periodic or special reports), as FERC determines appropriate to perform its vested or delegated functions;

14. Section 12 of the Natural Gas Act relating to officers or directors benefiting from the sale of issued securities);

15. Section 19 of the Natural Gas Act (relating to rehearing on orders);

16. The Interstate Commerce Act (49 U.S.C. § 1, *et seq.*) and other statutes which formerly vested authority in the Interstate Commerce Commission or the chairman and members thereof, as such statutes relate to the transportation of oil by pipeline, to the extent that such statutes are not transferred to, and vested in, FERC by Section 402(b) of the DOE Act, *provided* that this paragraph does not include any of the authority under Section 11 of the Clayton Act (15 U.S.C. § 21);

17. To issue orders, and take such other action as may be necessary and appropriate, to direct the Energy Information Administration to gather energy information pursuant to the Federal Energy Administration Act of 1974 or the Energy Supply and Environmental Coordination Act of 1974 to the extent necessary or appropriate to the exercise of regulatory functions vested in or delegated to the Federal Energy Regulatory Commission; and

18. The authority to take such other action as the Secretary or his authorized delegates may, from time to time, authorize.

Except as provided in Paragraph 11, this Order does not include the authority to carry out the functions delegated herein to the extent such functions are vested in the Secretary pursuant to his authority to regulate the exports or imports of natural gas or electricity, under Section 402(f) of the DOE Act; *provided* that the Secretary may from time to time delegate to FERC such other authority under Section 3 of the Natural Gas Act as may be determined appropriate.

The authority delegated to FERC may be further delegated, in whole or in part, as may be appropriate.

FERC shall consult with the Administrator of the Energy Information Administration ("EIA") with respect to the exercise of functions under paragraphs 4, 7, 9, 12, 13, and 17, as EIA considers appropriate.

All actions pursuant to any authority delegated by this Order taken prior to and in effect on the date of this Order are hereby confirmed and ratified, and shall remain in full force and effect as if taken under this Order, unless or until rescinded, amended or superseded.

This order is effective October 1, 1977.

Order No. 1, Docket No. RM78-1

## Additional Duties and Responsibilities of FERC Resulting from 1978 Congressional Legislation

The Natural Gas Policy Act of 1978 authorized FERC to regulate sales, transmission and distribution of Natural Gas. The Natural Gas Policy Act expands FERC jurisdictional scope to encompass not only sales of gas made in interstate commerce but in intrastate commerce as well. Section 101(b)(9) of the NGPA sets ceiling prices for the sale of natural gas. FERC is directed to administer essentially all of the NGPA and is authorized to perform any and all acts and to prescribe and issue such rules and orders as it finds necessary to carry out the NGPA.

Section 605 of the Public Utilities Regulatory Policies Act of 1978 authorized FERC to prescribe by rule the methods of measuring volumes of natural gas as it applies to curtailment plans for high priority and conservation entitlements.

Section 401 of the Natural Gas Policy Act of 1978 enpowered the Secretary of Energy with the authority to prescribe a rule for the prohibition of curtailments of an interstate pipeline to essential agricultural and high priority users. Section 403 of the NGPA identifies FERC as the agency who shall establish, review and enforce curtailment procedures under NGPA. FERC enacted sections 281.201 through 281.215 to implement section 401 of NGPA.

Section 103(a)(18)(D) of the Powerplant and Industrial Fuel Use Act of 1979 directs FERC to prescribe rules under which a powerplant's design capacity may be determined for peakload, intermediate and base load powerplants. FERC established powerplants design capacity in Order No. 37, issued June 28, 1979.

FEDERAL ENERGY REGULATORY COMMISSION (Continued)

Section 731(b)(2) of the Powerplant and Industrial Fuel Use Act of 1978, authorized FERC to establish by rule, guidelines for the application on a regional and national basis of the criteria specified in subsection (e)(1) to determine the maximum consideration permitted as just compensation for the transfer of contractual rights under section 731.

Section 731(a)(2) states that any person who would have transported or distributed natural gas subject to a contract with respect to which contractual interest are transferred shall be entitled to just compensation to be determined by FERC.

Section 731(b)(2) allows a person transferring contractual rights to agree to certain consideration to be paid for transfer and certify such consideration to FERC.

Section 731(b)(3) orders the FERC to prescribe the amount of or methods of determining which consideration when parties fail to agree on just consideration in transferral of contractual rights.

Section 731(b)(4) authorized FERC to approve agreed upon consideration which exceeds the guidelines if FERC determines the agreed upon consideration does not exceed the maximum consideration permitted as just compensation.

Section 731(b)(5) directs FERC not to order refunds for agreed upon consideration under paragraph (2) if the consideration exceeds the consideration determined by the guidelines unless:

(A) Agreed upon consideration was fraudulently established;

(B) The processing of the Request for approval of such agreed upon consideration was willfully delayed by a party to the transfer; or

(C) Such agreed upon consideration exceeds the maximum consideration permitted as just compensation under section 731.

Section 202 of Part II of the Federal Power Act is amended by Section 210 of the Public Utility Regulatory Policies Act of 1978 (PURPA) which directs FERC to order a physical interconnection between an applicant and such electrical utilities.

Section 203 of the Federal Power Act is amended by adding section 211 of PURPA which provides FERC with the authorizing of the wheeling of electrical power if such is (1) in the public interest; (2) would (A) conserve energy; (B) promote efficient use of facilities and resources; (C) and improve the reliability of the electrical system.

Section 204 of the Federal Power Act is amended by adding section 212 of PURPA which sets out general terms and conditions which governs issuing orders under sections 210 and 211.

Section 212 prohibits FERC from issuing an order under section 210 or 211 unless it makes certain findings as to the economic loss, burden, effects on reliability, and ability to render adequate service as a result of the order. FERC is prohibited from compelling the enlargement of generating facilities for purposes of an order under section 210 and 211. Section 212 also requires the applicant for an order under section 210 or 211 to demonstrate that he is ready, willing and able to reimburse the utility subject to the order for various costs incurred by the utility subject to the order. This provision identifies the procedures that FERC must follow in the determination of the terms and conditions under which the order will be carried out.

Section 212 provides for limited jurisdiction to the Commission under the Federal Power Act for electric utilities subject to an order under section 210 or 211 of the Act and not otherwise subject to FERC jurisdiction under part II of the Act.

Section 205 "Pooling" of PURPA authorizes the Commission on its own motion, and requires the Commission on application, to exempt electric utilities, where the circumstances specified in this section exist, from State laws, rules or regulations that prohibit or prevent voluntary pooling. No exemption is authorized where FERC finds that the law, regulation, or rule is required by the Federal law or is designed to protect public health, safety or welfare of the environment or conserve energy or mitigate emergencies resulting from fuel shortages. This prohibition would include State siting laws, regulations under the Clean Air Act, and zoning laws, among others. Second, this section requires an 18-month Commission study on pooling. Third, it authorized the Commission to recommend to electric utilities that they voluntarily negotiate to establish pooling arrangements where there is an opportunity through such an arrangement to conserve energy, to optimize the efficiency of use of facilities and resources, or to increase reliability.

Section 206 "Continuance of Service" of PURPA purpose is to help insure the continuity of service to customers of public utilities. Public Utilities are required to promptly report any anticipated shortages which would affect their capability to serve their wholesale customers, to submit contingency plans and to accomodate shortages (or circumstances which may result from such shortages) consistent with the provisions of this section.

The phrase in paragraph (3)(A) of subsection (g) "give due consideration to the public health, safety, and welfare" is intended to convey the idea that contingency plans, if implemented should have a minimum adverse effect on the public health, safety, and welfare.

Section 207 "Consideration of Proposed Rate Increase" of PURPA amends the Federal Power Act to increase the number of days of notice that a public utility must provide to the Commission and the public prior to

## FEDERAL ENERGY REGULATORY COMMISSION (Continued)

such public utility making any change in the rate schedule then in effect. It also requires that the Commission conduct a study of the legal requirements and administrative practices and procedures involved in the consideration of applications for proposed wholesale electric rate increases under the Federal Power Act. The Commission must report to the Congress regarding the results of its study within 9 months after the date of enactment.

Section 208 "Automatic Adjustment Clauses" of PURPA amends section 205 of the Federal Power Act to require that, not less often than every 4 years, the Commission make a thorough review of automatic adjustment clauses in public utility rate schedules to examine whether or not each such clause effectively provides incentives for the efficient use of resources (including economical purchase and use of fuel and electric energy) and whether each clause reflects any cost other than those subject to periodic fluctuations and not susceptible to precise determination in rate cases in individual rate proceedings or in separate proceedings. Since this is an amendment to part II of the Federal Power Act, these requirements apply only to the rates of public utilities which rates are subject to the jurisdiction of the Commission as provided in section 201. If a public utility has both nonjurisdictional and jurisdictional rates, the amendment would still apply only to the jurisdictional rates of the utility.

Section 209 "Reliability" of PURPA requires the Secretary of Energy to study ways to improve the reliability of service to electrical consumers, to authorize the Secretary to recommend to the electric utility industry standards for reliability, and to require that the Secretary, in his annual report, make recommendations concerning reliability of service to electrical consumers.

Section 210 "Congeneration" and small power production requires that States and utilities follow rules which the Federal Energy Regulatory Commission is to prescribe within one year after the date of enactment of this legislation.

Section 211 "Interlocking Directorates" of PURPA amends section 305 of the Federal Power Act by adding this section which requires any person who was an officer or director of a public utility, and who held the position of officer, director, partner, appointee, or representative of a utility holding company, investment banks, foreign banks, or subsidiary shall file a disclosure statement with FERC on or before April 30 annually.

Section 212 "Public Participation Before Federal Energy Regulatory Commission" of PURPA amends the Federal Power Act by redesignating section 319 and 320 and 320 and 321, respectively by inserting section 319 after section 318. FERC is authorized to create the Office of Public Participation. The Chairman of FERC shall appoint the Director of the Office of Public Participation. The Director shall coordinate assistance available to persons intervening or participating in proceedings before the Commission. The Commission may also provide compensation for reasonable attorneys fees and expert witness fees for intervenors.

Section 213 "Conduct Hydroelectric Facilities" PURPA amends the Federal Power Act by adding section 30 which requires the Commission to grant an exemption from any licensing or other requirements of part I of the Federal Power Act for any hydroelectric facilities using the hydroelectric potential of a man-made conduit on non-Federal lands if the installed capacity of the facility is not more than 15 megawatts. Such conduit must be operated for the distribution of water for agricultural, municipal, or industrial consumption and not primarily for the generation of electricity.

Section 214 "Prior Action Effect on Other Authorities" is to ensure that the provisions of title II of this act and any amendments to other laws contained in title II, will operate prospectively and will not have a retroactive effect with respect to actions taken by the FERC before the date of enactment of this act. In addition, this section is intended to establish the policy that title II of this act serves to create authorities in addition to those established under other Federal law for the FERC and all other Federal agencies. Title II does not affect any existing authorities of the Commission or other Federal agencies under any other provision of law except as specifically provided therein.

Section 133 of Title I of PURPA "Gathering Information on Cost of Service" purpose is to require electric utilities to gather information (under rules prescribed by the Commission) which is necessary to determine the cost associated with providing electric service and to provide for the filing and publication of this information. Subsection (a) contains a list of four items the Commission is mandated to include in these rules, these items being basic to any determination with respect to the cost of providing electric service. These four items are not meant to be exclusive list of what the Commission could require to be gathered under these rules, but rather intended to be minimum requirements.

## INTERSTATE COMMERCE COMMISSION

The Interstate Commerce Commission was created as an independent establishment by the act to regulate commerce on February 4, 1887 (24 Stat. 379, 383; 49 U.S.C. 1-22), now known as the Interstate Commerce Act. The Commission's authority has been strengthened and the scope of its jurisdiction has been broadened by subsequent legislation, such as the Hepburn Act, the Panama Canal Act, the Motor Carrier Act of 1935, the Transportation Acts of 1920, 1940, and 1958, the Regional Rail Reorganization Act of 1973, and the Railroad Revitalization and Regulatory Reform Act of 1976.

* * * * * * * * * *

## AGENCY HISTORY

### ALABAMA PUBLIC SERVICE COMMISSION

The Commission was established by the State Legislature in 1881 as "The Railroad Commission of Alabama." In 1915 it was changed to the present name of Alabama Public Service Commission. In later years, jurisdiction of the Commission was enlarged to include telephone and telegraph companies as well as common carriers for hire by water and toll bridges, ferries and toll roads. In 1920, the Commission's jurisdiction was still enlarged to include electric, gas, water and steam utilities as well as street or interurban railways. In 1927, common carriers of freight or passengers for hire were placed under the jurisdiction of the Commission. Contract carriers were placed under Commission jurisdiction in 1932.

It is thus noted that by reason of the foregoing additions to the jurisdiction of the Alabama Public Service Commission, the Commission now exercises broad jurisdiction in the matter of regulation of the Operations of (1) public utilities; (2) motor transportation companies and motor carriers; and, (3) railroads, telephone and telegraph companies.

All operations of carriers by water, toll roads, ferries, toll bridges, etc. are non-existent in the State today.

### ALASKA PIPELINE COMMISSION

The Alaska Pipeline Commission was created by the Alaska Legislature in 1972 as an independent regulatory agency. Specific responsibilities of the APC include, but are not limited to the following: protecting the public interest, especially of Alaskan citizens; monitoring the development of and asserting regulatory authority over pipeline systems adapted to intrastate, interstate, and foreign needs; promoting nondiscriminatory, economical pipeline transportation; insuring guaranteed access to all pipelines for all producers and shippers; promoting safety and protecting the environment during the operation of all intrastate pipelines and facilities as well as those portions of interstate pipelines which lie within the state borders; fostering the logical development of petrochemical or other petroleum-related industries in Alaska.

The first three commissioners were appointed by Governor William A. Egan in April 1974. One, the Chairman, served full-time while the other two served on an as-needed basis. During 1974 and part of 1975, the three commissioners travelled extensively, meeting with representatives of various federal and state regulatory agencies to gather knowledge of pipeline regulatory needs and practices. Coordination of commission functions also was accomplished with other Alaskan agencies, such as the Attorney General's office and the Department of Revenue. In September, 1975, the Chairman asked for and later received budgetary approval to convert the part-time commissioner positions to full-time because of increased regulatory demands.

By January, 1976, the regulations governing rules of practice and procedure before the commission became effective. In July, 1976, the legislature changed the two part-time commissioner positions to full-time positions. An Executive Director was added to the commission in December, 1976, in an effort to gain professional expertise and provide better coordination of commission efforts. The commission presently consists of three full-time commissioners, an Executive Director, two research analysts, an administrative assistant, and a secretary. A pipeline engineer position is vacant. Consulting firms are used to provide engineering, accounting or legal expertise as necessary. The commission has jurisdiction over intrastate transportation of oil by the eight carriers involved in the TAPS in addition to regulating five other crude oil pipelines. No natural gas pipelines are regulated at this time.

### ALASKA PUBLIC UTILITIES COMMISSION

The Alaska Public Utilities Commission (PUC), formerly the Alaska Public Service Commission, came into being on May 9, 1959, as part of an Act creating the PUC and giving it jurisdiction over public utilities (Ch. 199, SLA 1959).

Effective July 1, 1966, jurisdiction over transportation matters was vested in a separate body, the Alaska Transportation Commission.

The Alaska Public Service Commission became the Alaska Public Utilities Commission effective July 1, 1970.

The PUC consists of three members who are appointed by the Governor for six year staggered terms and must have certain qualifications as to training and education.

The Commission was increased to five members in 1975 by the addition of two "consumer" members.

An earlier history of the PUC is contained in the 75th NARUC Annual Convention Proceedings, page 65 (1963), and 1973 NARUC Annual Report on Utility and Carrier Regulation, page 4.

### ALASKA TRANSPORTATION COMMISSION

The Alaska Transportation Commission (TC) was created as a part of the Alaska Public Service Commission (PSC) within the Department of Commerce (Chapter 199, Session Laws of Alaska 1959). The PSC then consisted of three non-paid commissioners appointed by the Governor and a director over each function, i.e. surface transportation, air commerce and public utilities. These directors were not fully covered under the Civil Service Program.

By Chapter 139, Session Laws of Alaska 1966, the Alaska Transportation Commission was created separate from the Public Service Commission and was then under the direction of two full-time paid commissioners. One commissioner was charged with the regulation of air commerce and the other with surface transportation.

The present Commission was created within the State Department of Commerce by Section 1, Chapter 104, Session Laws of Alaska 1969. It is vested with authority to regulate transportation in the State, as proviced by law. This includes air carriers, motor carriers of freight, brokers, forwarders, common carriers by bus and commercial ferries. The Alaska Railroad, an organizational unit of the Federal Railroad Administration, U. S. Department of Transportation, is not subject to State regulation.

The TC consists of three members appointed by the Governor. Appointments must be confirmed by a majority of the members of the legislature meeting in joint session. Two members of the TC constitute a quorum.

## ALASKA TRANSPORTATION COMMISSION (Continued)

The term of office of each appointed member is in six year staggered terms or until his successor is appointed and qualifies. The Governor designates one of the appointed members as chairman. The chairman serves in that capacity for a term of two years, but a member may be appointed for successive terms and as chairman.

No member or employee of the TC may act in any matter in which his relationship or affiliation with an organization, company or otherwise creates a conflict of interest.

An earlier history of the TC is contained in the 1973 NARUC Annual Report on Utility and Carrier Regulation, page 11.

## ALBERTA PETROLEUM MARKETING COMMISSION

The Alberta PMC was authorized effective November 1975 to determine the price to be paid for natural gas under gas sales contracts, calculated as the Alberta border price less the Alberta cost of service, determined monthly by the Commission.

## ALBERTA PUBLIC UTILITIES BOARD

The Alberta Public Utilities Board (PUB) was initiated primarily by the Public Utilities Act, being Chapter 6, Statutes of Alberta, 1915. The Act provided that three commissioners were to be appointed by the Lieutenant Governor in Council, one of whom would be Chairman. The Board was to constitute a court of record with an official seal which would be judicially noted.

The original Act was repealed and re-enacted by Chapter 53, Statutes of Alberta, 1923, in which re-enactment reference to the court of record was deleted, but judicial noting of the official seal was retained.

This Act was repealed in 1960 by Chapter 85, Statutes of Alberta, and re-enacted as (a) The Public Utilities Board Act, and (b) The Gas Utilities Act, being Chapter 37, Statutes of Alberta, 1960.

The above statutes are now incorporated as Chapters 302 and 158 respectively of the Revised Statutes of Alberta, 1970, as amended providing for a nine-member Board and the nomination and selection of up to three acting members at any one time. Other significant amendments have placed the supply and pricing of propane and butane for provincial consumption under the Board's jurisdiction.

## ARIZONA CORPORATION COMMISSION

The Arizona Corporation Commission (ACC) was created by Article XV of the Arizona Constitution at statehood in 1912. It is composed of three Commissioners elected by the people for a six-year term, with one Commission seat standing for election every two years. In the case of a vacancy, the Governor appoints a Commissioner who must stand for election at the next general election to fulfill the unexpired term. The ACC is required to maintain its chief office at the State Capitol and must by statute meet in session at least once per month. (Current hearing schedules require almost daily sessions).

The ACC's constitutional jurisdiction has remained static since statehood, although at various times its specific responsibilities pursuant to jurisdiction has been expanded and contracted by both legislative action and by the courts.

A change in the basic jurisdictional areas of the ACC would require a constitutional amendment which must be ratified by a vote of the people at a general election. Several attempts to do so have failed to achieve voter ratification; the most recent of which (Proposition 105 on the 1972 ballot) was defeated by a 3 to 2 margin.

An earlier history of the ACC is contained in the 75th NARUC Annual Convention Proceedings, page 65 (1963), and 1973 NARUC Annual Report on Utility and Carrier Regulation, page 13.

## ARKANSAS PUBLIC SERVICE COMMISSION

The Arkansas Public Service Commission (PSC), which is the regulatory body for public utilities, became a member of NARUC in the year 1939. The PSC is composed of three members appointed by the Governor and approved by the Senate for terms of six years each, one Commissioner being appointed biennially and one must be a lawyer. The PSC has regulatory powers over public utilities which powers are granted by statute. Since 1957, jurisdiction of Common Carriers has been vested in the Arkansas Commerce Commission which is likewise composed of three members appointed in like manner, and for the same terms as members of the PSC.

Regulatory Commissions in Arkansas had their genesis in the regulation of Common Carriers. The Constitution of Arkansas, adopted in 1870, provides that the General Assembly of the State of Arkansas shall pass laws to correct abuses and prevent unjust discrimination and excessive rates by Railroads, Canals, and Turnpike Companies for transporting freight and passengers. By an Amendment to the Constitution proposed in 1897, and adopted in 1899, the General Assembly was further directed to provide for the creation of such offices and commissions and vest in them such authority as shall be necessary to carry into effect the powers conferred, by the Constitution, upon the General Assembly.

Pursuant to these constitutional provisions, the General Assembly in 1883, provided for a Board of Railroad Commissioners and clothed it with the power and responsibility of assessing railroad property for taxation which duties were subsequently transferred to the Arkansas Tax Commission created in 1909.

Neither of the above boards had any power, however, to regulate rates to be charged by the carriers, the first board created for this purpose and clothed with the power being the Railroad Commission of Arkansas, established in 1899. The Railroad Commission of Arkansas was succeeded by the Arkansas Corporation Commission, established in 1919, which, in turn, was succeeded by the Arkansas Railroad Commission, established in 1921. Two years later, in 1923, the Arkansas Tax Commission, above referred to, was abolished, and certain of its duties were transferred to the Arkansas Railroad Commission. These duties, however, were again transferred to a newly created Arkansas Tax Commission in 1927.

In 1933, the Arkansas Railroad Commission was succeeded by the Arkansas Corporation Commission to which Commission were transferred certain duties of the Arkansas Tax Commission.

## ARKANSAS PUBLIC SERVICE COMMISSION (Continued)

In 1935, by Act 324, there was created in the Arkansas Corporation Commission a Department of Public Utilities. Ten years thereafter, by Act 40, of the Acts of 1945, the Arkansas Corporation Commission and the Department of Public Utilities were succeeded by the Arkansas Public Service Commission.

After the creation of the Public Service Commission in 1945, certain of its powers and duties relating to taxation were transferred to the Arkansas Tax Commission created in 1949, which powers and duties of the Arkansas Tax Commission were again transferred to the Arkansas Public Service Commission two years later by Act 155 of 1951.

In 1957, by Act 132, the powers and duties of the Arkansas Public Service Commission with respect to public carriers by air, rail, water, pipeline or motor carriers were transferred to the Arkansas Commerce Commission.

Also in 1957, by Act 234, the tax powers of both the Arkansas Public Service Commission and the Arkansas Commerce (Transportation) Commission were transferred to the Arkansas Assessment Coordination Department which was abolished by Act 245, of the Acts of 1959, and all its powers, duties and functions were transferred to the Arkansas Public Service Commission to be exercised through a Department of Assessment Coordination and a Tax Division.

## ARKANSAS TRANSPORTATION COMMISSION

The Arkansas Commerce Commission was created by the General Assembly of the State of Arkansas March 27, 1957 to succeed the Transportation Division of the Arkansas Public Service Commission. By Act 38 of 1971, the name of the agency was changed to the Arkansas Transportation Commission (ATC).

The ATC is both a court and an administrative agency. It may take testimony in the same manner as a court, issue decisions and orders. The ATC's major duty is to regulate transportation; railroads, intrastate common carriers and intrastate contract motor carriers. In addition, innumerable matters are informally processed; investigations and studies, informal complaints handled, records maintained, and work done on a cooperative basis with other State departments.

It has three Commissioners appointed by the Governor. One acts as Chairman. These members are appointed with consent of the Senate. The terms of the Commissioners are staggered. The General and Administrative Division consists of the Commissioners, Attorney, Director, Rate Analyst, Chief Enforcement Officer, Assistant Chief Enforcement Officer, Court Reporter, Secretary to the Commission and Stenographers. The ATC operates wholly from funds derived from fees, assessments and various enterprises regulated.

## CALIFORNIA PUBLIC UTILITIES COMMISSION

The California Public Utilites Commission (PUC) formerly the California Railroad Commission, was created by constitutional amendment in 1911. Implementing legislation, which clarified its duties and powers, became effective in 1912. The PUC's Jurisdiction was extended from time to time; and all this legislation was codified in the Public Utilities Code, effective in 1951.

The PUC consists of five members, appointed by the Governor with consent of the Senate, for terms of six years. One of the five is elected annually as president by his fellow commissioners.

The PUC is a quasi-legislative and quasi-judicial body. It may take testimony in the same manner as a court; issue decisions and orders. It has the power to cite for contempt and it has power of subpoena.

The PUC has regulatory responsibility of the rates, service, financing and safety of utilities.

The PUC regulates the rates and services of more than 1,500 privately-owned utilities and transportation companies. These include gas, electric, water, telephone and telegraph companies, railroads, buses, trucks, airlines and vessels transporting passengers or freight in intrastate commerce, warehousemen, wharfingers and pipeline companies. There are, in addition, more than 18,000 highway carriers with permits to transport property for the public.

Rate regulation is based on a reasonable rate of return accorded to a rate base premised on the original cost, less depreciation.

The PUC has been given safety jurisdiction over certain publicly-owned transit districts, such as BART, by the legislature.

## COLORADO PUBLIC UTILITIES COMMISSION

Regulation of public service companies in the State of Colorado dates from 1885, when a Railroad Commissioner was elected with very limited powers. In 1893, the Act creating the said office was aborgated by the Legislature. In 1907 the Legislature enacted the State Railroad Commission Act. This Act was attacked in the Courts and while the suit was pending in said Courts, the Act was repealed by the Legislature. In 1910 another Act was passed by the Legislature creating a State Railroad Commission of Colorado with three Commissioners. The 1910 Act was supplanted by the Public Utilities Act.

The Public Utilities Commission (PUC) was created by an Act of the Nineteenth General Assembly approved April 12, 1913, which gave jurisdiction to the PUC for the regulation and control of public utilities in Colorado. The Act also transferred to the PUC certain powers of the old Railroad Commission (1907 to 1913) relative to regulation of common carriers within the State.

Gradually, the functions of the PUC have been broadened by various Acts of the Legislature.

Jurisdiction over highway grade crossing was clarified and power granted the PUC to determine and prescribe the terms upon which separation of the expense of establishing or changing crossing should be made and the proportion of the expense to be assessed by the affected parties.

Power was granted to determine the need for construction of new facilities, plants or systems of public utilities and to issue certificates of public convenience and necessity therefor.

## COLORADO PUBLIC UTILITIES COMMISSION (Continued)

Jurisdiction was granted over: Common Carriers by Motor Vehicle for Hire; Private Carriers by Motor Vehicle for Hire; and Commercial Carriers by Motor Vehicle.

The PUC determined that it had jurisdiction over air carriers operating intrastate when transporting passengers and freight for hire as common carriers, and has assumed such jurisdiction.

The Thirty-Sixth General Assembly (1949) further extended the jurisdiction of the PUC to include the approval by it of the issuance of stocks, bonds, notes and other evidences of indebtedness by gas and electrical corporations.

The most difficult obstacle that confronted the PUC in effecting fair and uniform regulations of the utilities under its jurisdiction was the fact that there were fourteen home rule cities in the State that formerly regulated the utilities within their city limits. The PUC regulated all utilities of the State outside the city limits of the home-ruled cities. This resulted in fifteen different forms of regulation by the fifteen different agencies.

On November 2, 1954, an amendment to the Constitution of the State of Colorado was adopted by the people which provided the utilities of home-ruled cities would be under the jurisdiction of the PUC.

In 1961, the Legislature passed an Act providing that every cooperative electric association, or nonprofit electric corporation or association, and every other supplier of electric energy, whether supplying electric energy for the use of the public or for the use of its own members, was declared to be affected with a public interest and to be a public utility and to be subject to the jurisdiction, control and regulation of the PUC. Colorado has been a member of the NARUC since 1940.

Effective June 30, 1980, ash and trash carriers will no longer be subject to PUC jurisdiction.

## CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL

Effective July 1, 1980 the former Division of Public Utility Control is a department with the elimination of the super agency, the Department of Business Regulation, of which DPUC was a part for 18 months. The Division was the successor agency of the Public Utilities Control Authority (which now consists only of five member commissioners) which in turn succeeded the Public Utilities Commission on December 1, 1975. The Public Utilities Commission had been created by Legislative Act in 1911, and in part succeeded the Office of Railroad Commissioners, which had been created in 1853.

The major duty of the DPUC is the regulation of public service companies and common carriers of property for hire. Jurisdiction over carriers of passengers for hire has been gradually transferred to the state Department of Transportation. Regulation of railroads was transferred January 1, 1979 by Public Act 77-614; DOT jurisdiction over buses and taxis was authorized by P.A. 79-610, effective October 1, 1979; P.A. 80-372, effective October 1, 1980, transfers to DOT authority for livery service.

Adequacy of service, reasonable and fair rates, disposition of assets, issuance of securities, consolidations and mergers, and safety of operations are the major factors of regulatory control exercised by the DPUC, as well as the entry into or abandonment of service.

The DPUC regulates the rates and service of more than 150 privately owned electric, gas, water, telephone, telegraph, cable television, and pipeline companies. In addition, at the end of 1979 the DPUC had jurisdiction over 6,278 interstate and 587 intrastate motor carriers of property for hire and 194 livery operators.

The Public Utilities Control Authority (PUCA) within the DPUC consists of five commissioners appointed by the Governor with the advice and consent of the General Assembly. The PUCA performs the quasi-judicial decision-making function of the Department of Public Utility Control, and is designated the department head.

The five commissioners were appointed for terms beginning July 1, 1979, two for six-year terms and three for four-year terms. Thereafter all terms will be four years. The Chairperson and Vice Chairperson are elected in June of each year by fellow commissioners for terms commencing July 1. Not more than three members of the Authority in office at any one time shall be members of any one political party.

In addition to the PUCA, the DPUC is divided into several divisions: Engineering; Accounting and Investigations; Rate Analysis, Statistics and Research; Operation and Management Analysis; Consumer Assistance and Information; Office of Executive Secretary; Administration; and Legal (clerical personnel only--the attorneys assigned to the DPUC are a part of the Office of Attorney General.) Effective July 1, 1980 the Division of Consumer Counsel is a part of the DPUC for administrative purposes only.

## DELAWARE PUBLIC SERVICE COMMISSION

The first Board regulating utilities in Delaware was created by the General Assembly in 1911. Known as the "Board of Public Utility Commissioners," it consisted of three members who were appointed by the Mayor of the City of Wilmington and paid from the Treasury of the City of Wilmington. The Secretary of the Mayor of the City acted as the Secretary of the Board. The Board had supervision over all public utilities operating within the limits of the City and had appreciably the same duties and powers as the present Commission. This Board was superseded in 1949 when the present Public Service Commission (PSC) came into being.

The PSC as it is known today was created by the 115th General Assembly in June, 1949. Temporary offices of this new PSC were located in the Legislative Hall, and during March, 1950, the PSC moved to the offices it now occupies in the Old State House at Dover.

## DELAWARE PUBLIC SERVICE COMMISSION (Continued)

The PSC consists of five members, one from each county and no more than two of the same political party, who are appointed by the Governor with consent of the Senate for a term of six years. The appointments are arranged so that one term expires every two years. Every Commissioner must be a citizen of the State and not less than thirty years of age. Their salary is fixed by the State Legislature and paid by the State Treasurer. The PSC is required to have an office in the State House in Dover and provide itself with a seal for the authentication of its Orders and proceedings. An Executive Secretary, as well as experts, technicians and other necessary personnel, is appointed by the PSC, which fixes all compensations.

The PSC is empowered to regulate and supervise the rates, services and practices of public utilities in the State, which are defined to include every individual, co-partnership, association, corporation, joint stock company, agency or department of the State, or any association of individuals engaged in the prosecution in common of a productive enterprise, as may operate within the State of Delaware, any steam railroad, traction railway, motor bus, electric trackless trolley coach, automotive truck, express, steam, manufactured gas, natural gas, electric light, heat, power, water, telephone or telegraph service, system, plant or equipment, for public use. This power does not extend to any public utility that is municipally owned.

The powers of this PSC include the investigation concerning any matter involving a public utility not municipally owned. These include the determination of fair value in the fixing of reasonable utility rates and adequacy of service.

The PSC of Delaware has been a member of the NARUC since 1953.

## DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

The Public Utilities Commission of the District of Columbia came into existence through the provisions of Section 8 of Public Law No. 435 (62nd Congress, 3d Sess.), approved March 4, 1913. This law granted the powers and authority and imposed the duties of a Public Utilities Commission on the Commissioners of the District of Columbia. The Organic Act of the Commission designated the Corporation Counsel of the District of Columbia as the General Counsel of the Commission.

On March 31, 1913, the General Counsel of the Commission submitted a formal opinion designating the following utilities as those subject to the jurisdiction of the Public Utilities Commission: street, railways, Washington Gas Light Company, Potomac Electric Power Company, Western Union Telegraph Company, The Chesapeake and Potomac Telephone Company, and taxicab companies.

An amendment to paragraph 97 of the law creating the Public Utilities Commission was approved December 15, 1926. This amendment provided that the Public Utilities Commission of the District of Columbia shall be composed of three commissioners as follows: (1) the Engineer Commissioner of the District of Columbia, and (2) two persons appointed by the President, by and with the advice and consent of the Senate, for terms of three years. The Commission shall at least biennially elect a chairman by a majority vote of its members.

The Commission regulates the operations of all utilities providing telephone, telegraph, gas, electric, mass transportation, and taxicab services within the District of Columbia, and establishes the rates for such services.

On September 15, 1960, Congress approved Public Law 86-794 whereby the District of Columbia and the States of Maryland and Virginia were authorized to enter into a compact for the regulation and improvement of mass transportation in the Washington Metropolitan Area. The three signatories entered into such a compact on December 22, 1960, and the Washington Metropolitan Area Transit Commission was created; its duties were assumed on March 22, 1961, when regulatory authority over mass transportation operations, with certain limitations, within the Washington Metropolitan Area was transferred from the Public Utilities Commission to the new agency. A ruling by the Corporation Counsel of the District of Columbia made it clear that the enactment of Public Law 86-794 did not affect the duties of the Commission with respect to licensing under paragraph 31 of the License Act of 1932, as amended, or to motor vehicle fuel and real estate taxes under Section 9 of Public Law 757, 84th Congress.

By the enactment of Public Law 88-503, the "District of Columbia Securities Act," dated August 30, 1964, the name of the "Public Utilities Commission" was changed to the "Public Service Commission" (PSC).

In the exercise of its regulatory powers the PSC must be constantly aware of the necessity of providing the best utility service at fair and reasonable prices, consistent with good management and public requirement.

The PSC has been a member of the NARUC since 1913.

## FLORIDA PUBLIC SERVICE COMMISSION

The Florida Public Service Commission (PSC), orginally called the Railroad Commission, was created in 1887, was abolished in 1891 and re-established in 1897. In 1974, its name was changed to Florida Railroad and Public Utilities Commission which, in turn, was changed by Legislature of 1963 to Florida Public Utilities Commission and later to its present name.

Pursuant to revisions to Chapter 350, F. S., enacted by the 1978 Florida Legislature, the PSC in January, 1979, will consist of five members appointed by the Governor, subject to Senate confirmation, from a list recommended by the nine-member Florida Public Service Commission Nominating Council. Biennially one of the five is elected to serve as Chairman by the Commissioners.

The PSC is a charter member of the NARUC, having been represented at the organization meeting on March 5, 1889, by Commissioner William Hines.

Originally the scope of the PSC's jurisdiction was confined to the regulation of railroads, express companies and sleeping car companies, but over the years has been gradually extended to the broad jurisdiction which it now has. The regulation of certain types of boat lines was added and in 1911 telephone and telegraph companies became subject to regulation by the PSC. The power to fix schedules of tolls to be charged on public bridges and ferries were placed under the PSC's jurisdiction in 1929 and in the same year it commenced the regulation of motor carriers transporting freight or passengers for compensation. The 1949 Legislature added to the PSC's duties the responsibility of cooperating with the State Attorney General and local law

## FLORIDA PUBLIC SERVICE COMMISSION (Continued)

enforcement officers in combatting the use of telephone and telegraph lines in the dissemination of information for gambling purposes. In 1951, the PSC was given the power to regulate the rates and service of privately owned gas and electric utilities and in 1959 was charged with the duty of administering the gas piping systems safety code. In 1955, the licensing of those performing auto transportation brokerage services was brought under the PSC's jurisdiction. The 1959 Legislature enacted a law placing under regulation by the PSC privately owned water and sewer systems in counties in which the Boards of County Commissioners adopt resolutions declaring such systems in their respective counties to be subject to the regulatory law.

More recent enactments of the Legislature, relating to the authority of the PSC, are summarized below:

| Florida Statutes Citation | Year of Enactment | Subject |
|---|---|---|
| Ch. 364 | 1964 | PSC vested with jurisdiction over Radio Common Carriers. |
| Sec. 366.041 | 1967 | PSC empowered to consider quality of service in rate proceedings of all public utilities. |
| Ch. 67-358 | 1967 | PSC vested with jurisdiction to regulate Freight Forwarders. |
| Ch. 67-319 | 1967 | The enactment exempted motor common carriers of road-building and construction aggregates from rate regulation. |
| Ch. 67-476 | 1967 | The enactment made urban household movers subject to PSC regulation by removing a previously enacted exemption. |
| Ch. 67-525 | 1967 | Empowered PSC to adopt rules and regulations relating to sanitation and shelter affecting health and welfare of railroad employees. (See PSC Rule 25-3.125(8) of 6/12/74). |
| Ch. 72-374 | 1972 | PSC authorized to regulate intrastate air transportation. |
| Sec. 323.15 | 1973 | Road tax, assessments, and registration certificates of motor carriers were changed from fiscal year to calendar year to comply with Public Law 89-170. |
| Sec. 323.28 | 1965-1974 | Requires interstate motor carriers (a) subject to ICC regulation and (b) those exempt from ICC regulation to obtain State certificate of registration. |
| Ch. 74-357 | 1974 | Established "911" as emergency telephone number. |
| Ch. 74-195 | 1974 | Created office of "Public Counsel" to represent general public in PSC proceedings. |
| Ch. 74-1976 | 1974 | PSC empowered to develop a coordinated electric "Power Grid" within the State, and to have limited jurisdiction to approve territorial agreements between the regulated electric utilities, municipally owned utilities and REA utilities. |

The PSC and its examiners hold public hearings in all parts of the State. In such hearings it is bound by the same rules of evidence as those that govern Circuit Courts. It has the power to summons and require the attendance of witnesses, require the production of books and records, punish for contempt and levy fines. In fixing rates for the various utilities under its jurisdiction, it acts as an agent of the Legislature and its rules have the effect of law. The PSC's functions, therefore, are legislative, executive and judicial -- combining in one single State agency all three primary functions of government.

## GEORGIA PUBLIC SERVICE COMMISSION

The Georgia Public Service Commission (PSC) is one of the oldest regulatory commissions in the United States, having been created by the Acts and Resolutions of the General Assembly of the State of Georgia in 1879 as the Railroad Commission of Georgia thus antedating the creation of the Interstate Commerce Commission by eight years. The term of office was fixed at six years, which term still prevails and as early as 1907, the number of Commissioners to be elected by the voters of the State was increased from three to five members. One of the five is elected bi-annually as chairman by his fellow commissioners. In 1920, the name of the commission was changed to Georgia Public Service Commission. In 1943 the Commission was made a Constitutional body. In 1957, the position of Public Service Commissioner Emeritus was created to apply only to those Commisssioners who have attained the age of 65 years and who have been in continuous service for more than twenty years. The salary is two-thirds that of the Commissioner at the time of retirement but not more than $12,000 per annum.

The PSC has jurisdiction over all railroads, telephone companies, electric power companies, gas utility companies, electric railway and bus utility companies, express companies, telegraph companies, terminal companies, compress companies, dock and wharf companies and all "for hire" motor carriers as provided in the Motor Carrier Acts of 1931, and subsequent amendments thereto.

All actions of the PSC are concluded as a result of a majority vote of the PSC in executive session.

The final authority in enforcement of the laws over which the PSC has jurisdiction is in the courts, as with any other law or statute. The PSC is authorized to issue only administrative orders.

Proceeding against the PSC is usually by injunction. A petition of mandamus cannot be brought against the PSC unless it is alleged that the PSC has acted in a capricious or arbitrary manner, amounting to abuse of its discretion.

## GUAM PUBLIC UTILITIES COMMISSION

The Guam Public Utilities Commission was established by Public Law 13-179 enacted August 10, 1976, activated in December 1978, with five (5) Commission members. Public Law 15-45 increased membership to nine (9).

## HAWAII PUBLIC UTILITIES COMMISSION

The Hawaii Public Utilities Commission was established in 1913 by the Territorial Legislature under Act 89. The regulation of public utilities and transportation companies since then essentially followed Act 89 which was codified in 1967 as Chapter 269, Revised Laws of Hawaii. In 1961, the regulation of motor common and contract carriers was placed under the Commission's jurisdiction under a separate governing statute. In 1974, a separate water carrier act was enacted governing water common carriers. Between 1913 and May 31, 1976, the Public Utilities Commission consisted of five part-time Commissioners with two members from the city and county of Honolulu and one each from the counties of Hawaii, Maui and Kauai.

## HAWAII PUBLIC UTILITIES COMMISSION (Continued)

A three member full-time Commission was created under Act 165 during the 1976 Legislative session. The members of the Commission are appointed by the Governor with consent of the State Senate and the first appointees serve staggered terms of six, four and two years. Thereafter, the appointments would be for six year terms. The first three Commissioners were appointed on June 1, 1976.

The Public Utilities Commission engages in the regulation of all franchised and certificated public service companies operating in the State of Hawaii. The regulated companies consist of five electric, one gas, one telephone, one barge carrier, two airlines, nine water and/or private sewerage companies and numerous property and passenger carriers by motor vehicles. To accomplish the objective of regulating the foregoing public service companies, the Commission is empowered to conduct investigations, hold hearings, prescribe rules and regulations and issue orders governing the conduct and activities of regulated industries. The Commission is responsible for the operational and financial aspects of the public service companies' activities with respect to the adequacy of service to the public; determine whether the rates, charges and practices are just and reasonable; determine compliance with safety of operations and the public safety under prescribed rules and regulations and authorize the issuance of certificates of public convenience and necessity.

Pursuant to Act 165, Session Laws of Hawaii 1976, the Commission was placed within the Department of Budget and Finance for administrative purposes. The organizational structure of the Commission is comprised of the three commissioners and fourteen staff positions.

## IDAHO PUBLIC UTILITIES COMMISSION

The Idaho Public Utilities Commission (PUC) was established by the enactment of the Public Utility Act by the Twelfth Session of the Idaho Legislature. The Act was approved by the Governor on March 13, 1913, and became effective on May 8, 1913. The original act gave the PUC jurisdiction over all public utilities doing business in the State and defined such public utilities as persons or corporations owning, controlling, or managing railroads, street railways, pipelines, gas plants, electric plants, telephone lines, telegraph lines, public wharves and warehouses, other than public warehouses, in which property was stored for compensation.

The original act provided for the appointment of a three-man commission by the governor for a term of six years. The original PUC's members were appointed on a staggered basis for terms of two, four and six years. The PUC retained its jurisdiction over the persons or corporations that were included in the original act, until the 1951 Session of the Legislature transferred the jurisdiction of public wharves and warehouses from the PUC to the State Commissioner of Agriculture. Also, during the 1951 Session of the Legislature, the name of the commission was changed from the Public Utilities Commission of the State of Idaho to the Idaho Public Utilities Commission and changed the term of office of the commissioners from six years to four years. At this time the appointment of commissioners by the Governor was made subject to confirmation by the State Senate. The commissioners continued to serve on a four-year term until the 1961 Session of the Legislature when the term of office was again changed to a six-year term, appointed by the Governor, subject to confirmation by the State Senate.

The PUC has statutory authority to issue certificates of public convenience and necessity, to regulate the rates and services of electric, gas, telephone and water utilities; railroads, intrastate common motor carriers and intrastate contract motor carriers. It has jurisdiction covering the issuance of securities by all electric, gas and water utilities and telephone utilities who derive less than 3/4 of their gross revenue from within the State of Idaho.

For the conduct of its business, the PUC's staff is divided into divisions, with a director responsible for each division. The Utilities Division has responsibilities for the administration of the regulation of gas, electric, telephone, telegraph and water utilities. The Transportation Division has responsibilities for the administration of the regulation of railroads and intrastate common motor carriers and contract motor carriers. For its legal services, the PUC is dependent upon attorneys assigned by the State Attorney General. The PUC has quasi-legislative powers and quasi-judicial powers. Its general duties are imposed upon it by the State Legislature and the decisions of the PUC are appealable directly to the State Supreme Court.

## ILLINOIS COMMERCE COMMISSION

The State of Illinois was a pioneer state in mandatory supervision and regulation of public utilities.

The first utility regulatory body in Illinois was the Railroad and Warehouse Commission, created by the State Legislature in 1871.

In 1873 the Legislature granted the Commission power to establish rates for railroads, the State thus becoming the first to approve mandatory rate making. This Act was vigorously contested but in 1880 the State Supreme Court upheld the Assembly.

In June, 1913, the Railroad and Warehouse Commission, by a legislative enactment, was changed into the Illinois Public Utilities Commission and then in 1921, the law again was changed and the Illinois Commerce Commission was created. Establishment of such a regulatory body was made possible, chiefly through the activity and cooperation of the smaller municipalities of the State.

Passage of the Public Utilities Act wiped away home rule of the public utilities and centralized such control in the hands of the Commission.

Centralizing control of all public utilities in the Illinois Commerce Commission brought two important results: First, the municipalities were relieved of the heavy burden of employing engineering, rate and accounting experts necessary to conduct investigations needed for a proper regulation of such utilities; and second, by permitting carefully regulated utilities to render service in communities without opposition so long as such service met all of the requirements of the Commission, much useless and wasteful competition between two or more utility companies was eliminated.

Rate regulation is based on a reasonable rate of return accorded to a rate base premised on original cost.

## ILLINOIS COMMERCE COMMISSION (Continued)

Regulation of the trucking industry was devolved upon the Illinois Commerce Commission beginning in January, 1954. In 1963, the Commission lost jurisdiction over warehouses, air carriers and CATV.

The Commission regulates for hire carriers in the areas of operating authority, route to be traversed, safety, fees and rates to be charged.

The Illinois Commerce Commission has been a member of the NARUC for over thirty years.

## INDIANA PUBLIC SERVICE COMMISSION

The Railroad Commission was formed and approved by law February 28, 1905, to control the railroads in their practices in Indiana. This law was lacking in many essential features and in the railroad commission's first annual report to Governor Hanly, the Commission made 20 basic recommendations for changes in the law. This led to a new act approved March 9, 1907, in which the Railroad Commission was given power to regulate the rates, practices, facilities, construction, safety and require reports from steam railroads, electric interurban lines, sleeping car companies and express companies when transporting persons or property between points in Indiana.

The powers of the Railroad Commission, under the original act of 1905 and under the revised acts of 1907 and under the numerous amendments passed later, were contested in the courts by the corporations affected by adverse decisions of the Railroad Commission, but it was finally established that the State had the power to control these large corporations in their acts and practices affecting the general welfare.

The Public Service Commission of Indiana (PSC) was formed in 1913 to regulate public utilities, including gas, water, electric, telephone, and street railways and all the powers of the Railroad Commission were also transferred to the new PSC. The first act of the new PSC was to transfer all the employees of the old Railroad Commission to the new PSC.

The original Public Service Commission Act was amended many times until 1933 when the PSC was reorganized with three commissioners in place of five and municipal utilities were almost completely removed from the jurisdiction of the PSC. In 1926, the first Motor Vehicle act was passed, placing the regulation of buses and motor contract carriers under the jurisdiction of the PSC.

Included in the regulation of utilities and motor carriers-for-hire, it becomes the duty of the PSC to investigate and act upon all petitions for authority to serve the public within given areas; for Certificate of Convenience and Necessity; for authority to incur indebtedness, mortgage property, issue stocks, bonds, notes or other evidence of indebtedness; investigate complaints against any utility, person or firm coming within the jurisdiction of the PSC; conduct public hearings in all controversial proceedings; be an impartial fact-finding body and draft orders upon the facts so found; to insure compliance with all orders of the Commission; to enforce any forfeiture or penalty provided by state law; to approve sales and transfers of permits and certificates issued by the PSC, as well as territorial operating rights of some utilities and utility properties; and to approve all fees, rates, tariffs or minimum fee schedules which shall be charged, as prescribed by law, by all utilities and carriers-for-hire coming within its jurisdiction.

The PSC also promulgates rules and regulations pertaining to the conduct of all utilities and carriers-for-hire coming under its jurisdiction; provides for the registration of all vehicles and payment of appropriate fees by motor carriers-for-hire, as required under the Motor Vehicle Act, and collects all statutory fees and related administrative fees.

The various fields of public service under the jurisdiction of the PSC include gas, electric, water, telephone, rural sewage disposal service, steam heat, and passenger and freight transportation by rail, truck and bus. Since different laws, rules, engineering and accounting procedures prevail in each category of public service, much of the PSC work is highly technical and requires the competence of employees with special training and long experience in order that the best interest of the public may be served.

## IOWA STATE COMMERCE COMMISSION

The "Iowa Board of Railroad Commissioners" was created in 1878 by the 17th General Assembly of the Iowa Legislature. The primary purpose of this three-member board was the regulation of railroad passenger and freight rates and operations. The Commission's jurisdiction was gradually expanded to include the regulation of other areas of public transportation, such as passenger and freight motor carriers, truck operators, pipe lines, and transmission lines.

As the Commission's jurisdiction expanded, so did its staff and organization.

As Iowa progressed, it was necessary for the Board to expand. In 1911, the Legislature established the Office of Commerce Counsel. That same year, the Commission established the agency's rate department. In 1916 a statistical department was organized by the Commissioners and the following year an engineering department was formed. Because the Legislature had added to the Board's duties the responsibility of checking the valuations of Iowa's railroads, a valuation department was established in 1921.

The growing use of electricity mandated state regulation and, in 1913, the Board was given the responsibility of controlling the location of electric transmission lines throughout the State. In 1921, the Legislature gave the Board the authority to regulate grain warehouses and the Grain Warehouse Department was created. The Legislature in 1923 passed laws which gave the Board the power to regulate passenger and freight rates for intrastate motor truck transportation giving rise to the creation of the Motor Transportation Department.

In order that the name of the agency would more accurately indicate the scope of the duties assigned to it, the 47th General Assembly in 1937, changed the Board's name to "Iowa State Commerce Commission."

In 1963, the Sixtieth General Assembly of the Iowa Legislature provided for regulation by the Commerce Commission of rates and services of public utilities to the extent and manner provided in Chapter 476 of the Code.

## IOWA STATE COMMERCE COMMISSION (Continued)

In July, 1975, the Commission's Motor Transportation Department and Railroad Department were transferred to the newly created Department of Transportation.

The Sixty-Sixth General Assembly of the State of Iowa expanded the jurisdiction of the Commission. As of July 1, 1976, the Commission was empowered to regulate all retail rates of rural electric cooperatives and to establish exclusive service areas for all electric utilities. In addition, the Commission was given authority effective January 1, 1977, to issue certificates of public convenience, use and necessity for the construction of electric generating facilities.

## IOWA TRANSPORTATION REGULATION BOARD

The Board, which is a division of the Iowa Department of Transportation, is an administrative, quasi-judicial agency composed of three Board members appointed by the Governor and confirmed by the Senate, General Counsel and a staff of attornies, and a Rate Analysis Section. Each Board Member's term is for six years.

The Iowa Transportation Regulation Board is unique in that it is one of only a small number of state regulatory agencies which is solely responsible for the regulation of intrastate transportation modes.

A summary review of the Board statutory responsibilities reveals that it is obligated to (1) fix and approve the intrastate rates and fares of motor common carriers; (2) oversee the entry, practices, and exit of Iowa's motor common carriers, including the issuance of certificates of public convenience and necessity; (3) regulate the intrastate practices of railroads; and (4) regulate the entry and exit of motor vehicle franchised dealers. Iowa Code, Chapters 322A, 325, 327, 327A-327G (1977). The Board, through the General Counsel, actively participates in proceedings before the Interstate Commerce Commission concerning railroad abandonments, mergers, and rate matters, and before the Civil Aeronautics Board concerning airline route cases affecting the State of Iowa.

## KANSAS STATE CORPORATION COMMISSION

A three-member Board of Railroad Commissioners was established by an act of the Legislature in March, 1883, and most of its early activities were confined to rates and services of common carriers. In fact, the first case on record was filed by an individual on April 19, 1883, in the form of a complaint against a railroad, alleging overcharges for transportation of freight which in turn produced discrimination in favor of one particular city over another. The Railroad Commission had power and authority to regulate all steam-operated railroads, express companies, sleeping car companies and inter-county electric lines. The members were elected by popular vote.

As telephone service and electric energy began to become a part of daily life, the Legislature created the three-man Public Utilities Commission in 1911 to replace the Railroad Commission, and added to it the regulation of telegraph and telephone companies, pipeline companies, water, light, heat and power companies, except those municipally owned companies, mutual telephone companies and public utilities and common carriers situated and operated wholly or principally within any city. The members of this Commission were appointed.

For a time in 1920, the Kansas Court of Industrial Relations took over the duties of regulation. However, after nine months the Legislature restored the Public Utilities Commission.

An appointed five-member Public Service Commission was created by an act of the 1925 Legislature, and eight years later the present regulatory body, the State Corporation Commission (SCC), was established with its jurisdiction extended to include the regulation of motor carriers, speculative securities, and oil proration. Some time later gas conservation and the supervision of the plugging of abandoned wells were added to its jurisdiction. Although Kansas has had a Railroad Commission, Public Utilities Commission, Court of Industrial Relations, Public Service Commission, and presently the SCC, only one regulatory agency has been in existence at a given time.

The State's objectives with respect to regulation of public utilities and common carriers are the same as those that are nation-wide -- to see that public interest is served by the rendering of sufficient, non-discriminatory service at such prices as will be fair, equitable and reasonable to the customer, yet allow the enterprises such a return on investment as will be adequate to enable them to render continuing efficient service. The same applies to the regulation of common carrier motor carriers. In regard to the regulation of the production of oil and gas, the purpose is to conserve these natural resources and to protect correlative rights. The primary objective of the regulation of speculative securities is to see that only such types of securities are put on sale in the State as comply with standards set out by law, and that registered agents and brokers meet certain requirements. The Uniform Land Sales Practices Act of 1967 is administered by the Securities Commissioner. Effective July 1, 1974, jurisdiction over mined-land conservation and reclamation (strip-mining) was transferred to the SCC.

The SCC consists of three members, one of who is elected chairman. These members are appointed by the Governor with the advice and consent of the Senate, for periods of four years. The terms of the members are staggered. The work of the SCC is apportioned to various divisions consisting of General and Administrative, Utilities, Common Carrier Rates, Motor Carrier, Oil and Gas Conservation, and Speculative Securities. Each division has its head or director, and the duties of each are indicated by its title. The General and Administrative Division consists of the Commissioners; the Secretary, official reporters, legal staff, cashier, Commissioners' secretaries, and other clerical employees. The SCC operates wholly from funds derived from fees, assessments and levies made upon the various enterprises regulated. The work load in recent times has increased approximately five percent per year, however, the number of employees has remained steady.

The SCC has been a member of NARUC since 1892.

## KENTUCKY DEPARTMENT OF TRANSPORTATION

As early as 1924 the Kentucky General Assembly recognized the need for governmental regulation of persons utilizing public highways in a for-hire operation. That legislative body considered and enacted a bill titled "The First Bus Act." This Act was never compiled in a permanent edition of the statutes because it was repealed by the 1926 General Assembly. Few changes were made in the 1926 Act until 1930 at which time certain amendments with respect to taxes and the disposition of funds collected under the 1926 Act were proposed and adopted. A portion of this legislation was declared unconstitutional, because the amending act contained no enacting clause.

## KENTUCKY DEPARTMENT OF TRANSPORTATION (Continued)

In 1932, three bills relating to motor carriers were enacted. From this period unitl 1936 these statutes were enforced by the Kentucky State Tax Commission.

The action of the Kentucky Legislature in 1936 transferred the duties and functions previously performed by the State Tax Commission with respect to motor carriers to the Division of Motor Transportation, a part of the Department of Business Regulation. Its duties were primarily those created by the 1932 Act and constituted a new general law regulating carriers of both passengers and property. The Act of 1932 forms the basis for most of the regulation which occurs today.

The administrative body of that time, when considering an application for authority, concerned itself with the possibility of operations under such a permit "endangering the safety of the public," "interferring with public use of highways," and "impairing the conditions of maintenance of the highways." No specific consideration was given to the adequacy of existing service or maintenance of sound, economic conditions in the transportation industry generally. As late as 1942 no notice or hearing was required on applications for original authority or the transfer of existing authorities. The issuance of notice was strictly within the discretion of the Director of the Division of Motor Transportation, and both he and his predecessor, the Kentucky Tax Commission, had the power to grant or refuse permits at will.

In 1942 additional legislation was adopted increasing the powers of the Division of Motor Transportation authorizing regulation of a broader area of the activities of for-hire motor carriers. As a result, the first administrative regulations enacted by the Division were filed with the Secretary of State on September 21, 1942. No additional regulations were filed until June of 1946, but this administrative function was regularly followed thereafter. The 1942 statutes remained in effect until 1950.

In 1950 a complete revision was undertaken and what is now referred to as the Kentucky Motor Carrier Act was adopted by the General Assembly in session that year. Effective June 15, 1950, the Department of Motor Transportation was created with full cabinet status. This agency was thereby removed from the Department of Business Regulation which then had no commissioner and was composed of several very dissimilar organizations. The result had been that the separate divisions governed themselves in the absence of direct supervision.

As originally created the Department was concerned only with the regulation of the for-hire motor carrier industry and the collection of certain taxes peculiar to for-hire carriers. The 1962 General Assembly transferred to the Department of Motor Transportation primary responsibility for regulation and taxation of all commercial vehicles, including the following:

1. Registration of interstate authority.
2. Issuance and control of interstate motor carrier authority.
3. Regulation of interstate rates and services.
4. Administration of commercial vehicle license laws.
5. Administration of weight or mileage taxes.
6. Administration of motor fuel taxes.
7. Authority to negotiate reciprocal agreements.
8. Primary law enforcement powers relating to all the foregoing requirements.

More recently the General Assembly changed the name to the Department of Transportation and transferred to it State highway construction activities, from the Kentucky State Highway Department

## KENTUCKY ENERGY REGULATION COMMISSION
## KENTUCKY UTILITY REGULATORY COMMISSION

The Commission of Kentucky was created by the Kentucky General Assembly of 1934. It originally had a chairman and two commissioners, appointed by the Governor. In 1973, it was enlarged to five members.

The regulation of rates and services of utilities are subject to the jurisdiction of the Commission.

Upon proper application and hearing, the Commission grants certificates of public convenience and necessity for the construction of all new facilities, and for the acquisition or extension and improvement of existing facilities, with which to serve the public, except ordinary extensions of existing systems. The Commission, in addition, has supervision and control of utility services and fixes service standards, including meter inspection and correction: supervision and direction of utility accounting, the requiring of reports and the maintenance of satisfactory records; supervision of extensions, rates, and services affecting rural electric cooperative corporation: supervision over the major financial transactions of utility companies, especially the issuance and disposition of securities: and investigation and disposition of various complaints made by the public.

The Commission has quasi-legislative powers and its general duties are imposed upon the Commission by the State Legislature.

The Commission expenses are defrayed by an annual pro rata assessment against all privately owned utility companies, rural electric cooperative corporations, and rural telephone companies, based on their gross receipts derived from intrastate business. This assessment is made at the beginning of each fiscal year. The balance at the end of any fiscal year does not revert to the General Fund, but is carried as a revolving fund for the benefit of the Commission.

The Commission has initiated, during the past few years, a new program known as "Utility Seminar" meetings. Delegates of the utilities, in addition to representatives of State and Federal government agencies, preside at different meetings, the primary purpose of which is to stimulate an exchange of ideas between representatives of the various types of utility service.

Effective April 1, 1979, two separate commissions were established--the Kentucky Energy Regulatory Commission (ERC) and the Kentucky Utility Regulatory Commission (URC).

## KENTUCKY ENERGY REGULATORY COMMISSION
## KENTUCKY UTILITY REGULATORY COMMISSION
(Continued)

The regulation of rates and services of private electric utilities, private gas utilities, and cooperative electric utilities are subject to the jurisdiction of the ERC.

The regulation of rates and services of telephone utilities, radio-common carriers, telegraph, water (investor), water districts, water associations, sewer (investor), and sewer districts are subject to the jurisdiction of the URC.

If a utility which is an energy utility also renders service as a non-energy utility, it shall be regulated by the ERC with respect to all of its rates and services.

## KENTUCKY RAILROAD COMMISSION

Although a similar body predated the present Constitution, the Kentucky Railroad Commission was created by the Kentucky Constitution of 1891. Most of its specific duties are prescribed by statute; however, some of them are conferred by the Constitution. The Constitution directs that the Commission be composed of three members elected for terms of four years from districts fixed by the legislature.

The Railroad Commission possesses broad powers to regulate intrastate railroad, express and water transportation companies. These include regulation of rates, issuance of certificates, authorizing or directing establishment or curtailment of services, receiving and filing carrier reports, and enforcing Kentucky laws applicable to rail, express and water service. Currently, 21 railroad companies make financial and operational reports to the Commission.

The Commissioners elect their chairman from their own number and appoint staff personnel as they deem necessary.

Commission work is rather independent of other state departments and agencies, although the statutes authorize it to consult with and use the services of the Office of the Attorney General whenever it becomes necessary or desirable. Practically, this is not done very often because the Commission retains its own counsel. However, when the Commission files a proceeding in court, it is done through the Attorney General's Office.

The Railroad Commission is charged by law with responsibility for examining, and seeking correction of, interstate rates which the Commission feels are inimical to Kentucky's interests. It has invoked this power a number of times, first by appeal to the companies involved and, where this was ineffectual, by appeal to the ICC for relief. Also, the Commission has had occasion to defend Section 13 proceedings before the ICC in order to preserve the integrity of intrastate rates and charges.

The sole means of financial support for the Railroad Commission comes from General Fund Appropriations; over 90% of this goes for personal services. The Commission is authorized to charge some fees for furnishing interested parties with copies of its records, but these fees go into the General Fund and are not considered agency funds. Any penalties collected by the Commission for violation of its regulations, either voluntarily paid without court action or as a result of judicial proceedings, are similarly handled.

## LOUISIANA PUBLIC SERVICE COMMISSION

The first regulatory commission to govern the rates and service of carriers and utilities on the State level in Louisiana was created by the Louisiana Constitution of 1898, which, in Article 283, provided for the creation of a "railroad, express, telephone, telegraph, steamboat and other watercraft, and sleeping car commission."

However, the actual organization of the Railroad Commission of Louisiana, as it was called, did not take place until December 9, 1899. It consisted of three Commissioners, to serve for six-year terms, one elected from each of three Railroad Commission Districts into which the State was divided. In order to stagger the terms, one Commissioner served for two years, one for four, and one for six, the terms having been decided by lot, after which each District elected a Commissioner for six years.

The new Commission was endowed with the usual regulatory powers over rates and services, the power to subpoena witnesses, books and papers, and to punish for contempt. The constitutional provision authorized appeal from the Commission's decisions to the District Court at Baton Rouge, from which appeal lay to the Louisiana Supreme Court, bypassing the Courts of Appeal.

A large part of the work of the Railroad Commission was in connection with the transportation by water. At the inception, only two other state regulatory commissions--those of North Carolina and Virginia--had jurisdiction over steamboats. In Louisiana with its 3,771 miles of navigable waterways, the transportation of both freight and passengers was still an important factor in the State's economy, even though the heyday of the great Mississippi River packets had passed.

The new Commission began by fixing flat maximum rates for telegraph and Pullman service. Western Union was required to charge 25 cents for a ten-word message between any two points in Louisiana, with two cents for each additional word in day messages and one cent for each additional word at night.

The maximum rate for a berth on the "Pullman Palace Cars" was fixed at $2.00 between any two points in Louisiana. Prior to this regulation, the rate between New Orleans and Shreveport had been $2.50, which the new Commission regarded as exorbitant.

The Railroad Commission of Louisiana functioned until 1921, when a new State Constitution replaced it with the Louisiana Public Service Commission (PSC) as presently constituted.

The same three Public Service Commission Districts were continued, and the same procedure for the election of Commissioners, and the PSC's jurisdiction was extended to include the regulation of "common carrier railroads, steamboats and other water craft, sleeping car, express, telephone, telegraph, gas, electric light, heat and power, water works, common carrier pipe lines, canals (except irrigation canals), and other public utilities." Further, the new Constitution provided that the right of the Legislature "to place other public utilities under the control of and confer other powers upon the Louisiana Public Service Commission respecting common carriers and public utilities is hereby declared to be unlimited by any provision of this Constitution."

## LOUISIANA PUBLIC SERVICE COMMISSION
(Continued)

The new Constitution gave the PSC what is perhaps the broadest and most comprehensive grant of power possible within the limitations of the English language. It provided that "the power, authority and duties of the Commission shall affect and include all matters and things connected with, concerning, and growing out of the service to be given or rendered by the common carriers and public utilities hereby, or which may hereafter be made subject to supervision, regulation and control by the Commission."

The Louisiana courts, however, have not given this provision a literal interpretation but have tended to restrict the powers of the PSC, so that this constitutional grant of power does not today, as a practical matter, mean what the dictionary definitions of its terms would ordinarily convey.

In January, 1976, two new Commissioners took office, elected from single member districts pursuant to Louisiana Constitution Article IV, Section 21. The Louisiana Commission now consists of five members.

## MAINE PUBLIC UTILITIES COMMISSION

Regulation of transportation by State Authority in Maine dates from the year 1858 when Chapter 36 of the Public Laws, effective March 3, 1858, established a Board of Railroad Commissioners consisting of three members who were authorized "to examine into the conditions of railroads in this State, their rolling stock, rates of speed, time tables and terms of connection and junction or crossing and the rates at which passengers and merchandise, coming from one road are transported over another, to the end that the public safety and convenience in the transportation of passengers and merchandise may be provided for and secured."

Chapter 212 of the Public Laws of 1909, effective April 2 of that year, created the Water Storage Commission consisting of the Governor, the State Land Agent and three citizens. It was empowered "to proceed at once to collect information relating to water powers of the State, the flow of rivers and their drainage areas, the location, nature and size of the lakes and ponds of the State and their respective value and capacity as storage reservoirs, and such other by hydrographic data as they may deem of value in devising the best methods for the improvement of the natural storage basins of the State, and the creation of new storage reservoirs, with a view to conserving and increasing the capacity of the water powers of the State."

Chapter 129 Public Laws of 1913, effective by Proclamation after Referendum on October 30, 1914, created the Public Utilities Commission (PUC) consisting of three members. It was organized December 1, 1914. Section 71 of the Act abolished the Board of Railroad Commissioners and the State Water Storage Commission and inposed and conferred upon the PUC all powers vested in said boards by and under the existing laws. The PUC was given custody and control of all records, maps and papers pertaining to the offices of the Railroad Commissioners and the State Water Storage Commission. In addition, the PUC acquired jurisdiction, control and regulation over all "public utilities" (every common carrier, gas company, natural gas pipeline company, electrical company, telephone company, telegraph company, water company, public heating company, wharfinger and warehouseman).

By subsequent legislation any person, firm or corporation before operating motor buses or trucks for hire over any street or highway, or any inboard motor boat used for hire for transporting passengers or freight upon the inland waters of the state is required to procure a certificate from the ICC.

The Executive Division of the present PUC consists of three members appointed by the Governor, with the advice and consent of the Council, for a term of seven years. One member of the PUC is designated by the Governor as Chairman. Any vacancy occurring in the PUC is filled by appointment for the unexpired portion of the term in which such vacancy occurs.

Maine is one of the Charter Commission members of the National Association of Regulatory Utility Commissioners. Representatives from this state when it was organized on March 5-7, 1889, were Commissioners David M. Mortland and Roscoe L. Bowers.

## MARYLAND PUBLIC SERVICE COMMISSION

The Public Service Commission of Maryland (PSC) was created in 1910 and held its first full board meeting June 1 of that year. The law creating the PSC was fashioned largely after the New York and Wisconsin statutes. The law and the PSC remain basically as in 1910 as to regulatory philosophy.

By Chapter 756, Acts of 1976, (H.B. 1361), the Legislature clarified the structure and functions of the PSC. This legislation clarifies the Commission's mission and encourages more attention to management, environmental and economic factors, clarifies the role of People's Counsel and PSC staff, provides for a full-time PSC and People's Counsel and expands the number of Commissioners from three to five, and includes other provisions to increase the Commission's capacity and efficiency. This legislation became effective July 1, 1976.

Under H.B. 1361, the terms of each Commissioner commence on July 1, 1976. With respect to the initial appointments only, the term of one Commissioner (full-time) will expire on June 30, 1978, the term of the second Commissioner (who may be part-time) will expire on June 30, 1979, the term of the third Commissioner (full-time) will expire on June 30, 1980, the term of the fourth Commissioner (who may be part-time) will expire on June 30, 1981, and the term of the Chairman (full-time) will expire on June 30, 1982. All terms thereafter will be for six years. The position of Substitute Commissioner was abolished. People's Counsel is now a full-time position and serves at the pleasure of the Governor.

The Governor appoints the Commissioners and People's Counsel, with the advice and consent of the Senate, and designates one member as Chairman. All Commissioners are eligible for reappointment and each serves until his successor is appointed and qualified.

The General Counsel to the Commission must be an attorney-at-law of Maryland and is appointed by, and serves at the pleasure of, the Commission. All staff personnel are protected by the State's merit system of employment. In 1966 a Taxicab License Hearing Officer was created, appointed by and serving at the pleasure of the PSC. As an attorney-at-law, he is vested with the power to hold hearings involving violations by Baltimore City taxicab drivers.

## MARYLAND PUBLIC SERVICE COMMISSION
(Continued)

The overall framework within which the PSC has functioned for more than sixty years remains substantially unchanged. Its scope of jurisidction has been enlarged and the law has been amended, from time to time, to clarify obscure areas, to remove ambiguity and to strengthen the PSC's power to invoke remedial processes. The law was completely revised in 1955 to put it into more orderly form but without substantial change. Originally the PSC did not have jurisdiction of municipally owned gas and electric utilities nor could it regulate privately owned sewage disposal systems, although the water supply operations of a company furnishing both water and sewerage services were subject to regulation. These have been brought under regulation. It had jurisdiction to regulate common carriers, including motor bus and truck common carriers, and taxicabs since 1920, but it lacked authority to require specific permits and to apply and enforce the specific requirements which experience demonstrated were necessary. These deficiencies have been remedied and other changes and enlargements including the right to fix minimum and maximum rates, to set temporary rates and to suspend rates have been made by amendments passed by the legislature.

The legislature in 1967 enacted provisions regarding the conversion, and payment of costs, of existing underground electric and communication facilities to underground locations. Radio common carriers came under the jurisdiction of the PSC in 1971.

By Statute, electric companies are required to obtain a certificate of public convenience and necessity from the PSC for transmission lines in excess of 69,000 volts since 1968 and for electric generating stations since July 1, 1972.

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

The Massachusetts system of utility regulation antedates all others in the United States. The balance it has maintained throughout its history, preserving the integrity and stability of utility companies' investments while protecting the interests of those using their services, proves the wisdom of the philosophy that has guided such regulation since its inception in 1804.

Beginning in that year with direct legislative regulation of turnpike rates, and continuing in 1836 with statutes affecting the then-nascent field of railroad transportation, the Massachusetts Legislature defined and developed this theory of public utility regulation: that stockholders in such companies did not have an absolute right or title to property or plant acquired by their capital, but that such property was held in trust for the public benefit, and must be used in a particular manner for the accomplishment of a well-defined public objective; and that stockholders, having devoted their capital to such public use and benefit, were entitled to a fair return, not upon the value of the property so employed, but upon the invested capital itself.

This principle of Massachusetts utility regulation, that regulation of rates should be determined by the amount of capital honestly and prudently invested rather than by the value of the property employed, led the Legislature in 1852 to enact the first of several provisions regulating the issuance of stock for cash and for not less than the par value thereof. Such measures have been an important element in the Commonwealth's regulatory scheme to safeguard the soundness and solvency of the state's utility companies and thus ensure their ability to provide adequate service to their customers at reasonable rates.

Regulation by Commission rather than by direct action of the Legislature began in 1869 with the establishment of the Board of Railroad Commissioners. The three-member Board exercised general supervision over railroads and street railways and heard complaints on rail service. While its powers were purely advisory, its recommendations were always followed. In 1906 the Board's jurisdiction was expanded to cover steamship companies, and its powers were enlarged to include inspection of equipment and service and approval of incorporation, location, financing and rates. In 1913 the Board of Railroad Commissioners was renamed the Public Service Commission, a change which reflected the expansion of its jurisdiction to express and telephone and telegraph companies. The three-member Commission's powers were also substantially enlarged.

It was during this period that other utilities also came under regulation, beginning in 1885 with the establishment of the Board of Gas Commissioners. This three-member body was charged with the supervision of gas manufacturing companies for gas purity and with inspection of the general condition of such companies. In 1889 the Board's powers were increased to include rate regulation, and its jurisdiction was enlarged to cover electric companies; it was renamed the Board of Gas and Electric Light Commissioners. In 1891 the Board's regulatory authority was extended to municipal gas and electric companies, and in 1914 water companies were brought within its jurisdiction. That year also saw the Board's powers increased to include approval of a uniform system of accounts, rates, ownerships and consolidations, and inspection of gas purity and gas meters.

In 1919 the Massachusetts Legislature consolidated the Public Service Commission and the Board of Gas and Electric Light Commissioners to form the Department of Public Utilities, which was given additional powers over rates of gas and electric companies. The Department was placed under the supervision of a five-member Commission (expanded to seven members in 1958), serving staggered terms, whose members preside as adjudicatory officers in hearings and decisions. The Department was reorganized in 1973, when the present system of three full-time Commissioners serving coterminously with the Governor was instituted. Of the Divisions within the Department, Commercial Motor Vehicles was established by statute, while such others as Railway and Bus, Engineering and Accounting have been set up by the Department's own rules.

Many additional powers have been conferred on the Department since its organization in 1919, in many cases on the Department's own recommendation. A sampling of important additions includes: authority to order companies under its jurisdiction to set aside from earnings allowances to a depreciation fund; authorization of electric companies to cooperate in the establishment of generating plants; general jurisdiction over rates of gas, electric and water companies with provision for filing of their rates; facilitating establishment of municipal plants; and supervision and control of sales of securities.

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES
(Continued)

The Department was reorganized by statute passed in 1973, with the first Commissioners under the new system taking office in 1975. From a seven-member body whose part-time members served staggered seven-year terms, the Commission was changed to three members, serving full-time, whose terms are coterminous with the Governor's.

From its inception in 1804 to the present day, the regulatory policy of Massachusetts has been directed toward ensuring sound securities and rates calculated to produce a fair rate of return on prudently invested capital while at the same time assuring adequate service to the public at reasonable rates. The evolution of the Commonwealth's regulatory system illustrates Massachusetts' continuing commitment to these twin goals and the requisite flexibility to meet the changing demands of changing times.

## MICHIGAN DEPARTMENT OF TRANSPORTATION

The Michigan State Highway Department, under the leadership of an elective Highway Commissioner, changed significantly following a revision in Michigan's Constitution and in Public Act 286 of 1964. The administration fell to a four-man State Highway Commission. A 1978 amendment to the State Constitution enlarged the Commission to a six-member State Transportation Commission. Executive Orders 1973-1 and 1a, established the responsibility for coordination and administration of all transportation agencies within state government to the department. Executive Order 1975-1 transferred all railroad regulation functions to the State Highway Commission from the Michigan Public Service Commission. In 1979, the department officially became the Michigan Department of Transportation.

## MICHIGAN PUBLIC SERVICE COMMISSION

In 1873 the State Legislature established the Michigan Railroad Commission with a single Commissioner as its head. The Railroad Commission was created to regulate railroad rates and conditions of service. Later, generation and distribution of electricity, telephone service, and gas production and distribution was included. In 1933, motor transportation over our highways was added.

In 1919 the Michigan Railroad Commission was abolished and replaced by the Michigan Public Utilities Commission with five members making up the Commission. In 1939 the name of the Commission was changed to the Michigan Public Service Commission. In 1947 the size of the Commission was changed from five to three members. In 1951 a bi-partisan provision was enacted into law which provided that not more than two members of the Commission shall be members of the same political party. The Commissioners are appointed by the Governor, with the advice and consent of the Senate, for terms of six years each.

The powers, duties and functions of the Weighmaster Section of the Department of State Highways, relating to the administration and enforcement of the size, weight and load of vehicles were transferred to the Public Service Commission effective July 1, 1968.

By Executive Order 1975-10, dated October 17, 1975, the Railroad Division's functions of the Public Service Commission were transferred to the Department of State Highways effective January 1, 1976.

## MINNESOTA DEPARTMENT OF TRANSPORTATION

The 1976 Legislature established a Department of Transportation which assumes the functions of the former Departments of Highways and Aeronautics and certain transportation segments of the State Planning Agency and the Public Service Department. On November 8, 1976, 26 employees of the Department of Public Service officially became a part of the Minnesota Department of Transportation. Those employees came from the Bus and Truck Division, Transportation Rates Division, and those sections of the Department having railroad-related responsibilities.

The function of those divisions which have to do with enforcement and administration will now be performed by the DOT. The Public Service Commission, however, retains all functions having to do with rate-making and motor carrier authority approval.

## MINNESOTA PUBLIC UTILITIES COMMISSION

The gradual expansion of the Commission in scope and authority is revealed in the following brief history:

Origin--In 1871, responding to a public demand for State regulation of railroad companies, the legislature passed an Act (Laws of 1871) which created the office of Railroad Commissioner.

Three years later--in 1874, the Legislature changed the statute of 1871 by creating a three-member Railroad Commission. This was changed again by the Legislature in 1875, reducing the Commission to one member. Ten years later, in 1885, the Legislature restored the three-member Commission as an appointive body to be chosen by the Governor. Finally, in 1911, the Legislature amended the statute of 1885 by substituting, in place of the appointive body, a three-member Commission to be elected by the people, the term of office to be six years, with one member of the Commission coming up for election each biennium. Later, the name of the Commission was changed from Minnesota Railroad and Warehouse Commission to the Minnesota Public Service Commission. Effective July 1, 1980 the Department of Public Service and Public Service Commission became two separate agencies. The name of the Commission was changed to Minnesota Public Utilities Commission.

Grain Inspection--To establish standard grades for grain and to assure grain producers of honest weight and grading, the Legislature in 1885 created the Grain Inspection Division of the Railroad and Warehouse Commission.

## MINNESOTA PUBLIC UTILITIES COMMISSION
(Continued)

Signal Engineering--The advent and increasing usage of the automobile, shortly after the turn of the century, resulted in such an increase in the number of railroad crossing accidents that some regulatory action in this field became imperative. The Laws of 1907 was the Legislature's answer to this problem. Under the provisions of this Act the Commission was authorized to investigate railroad crossings and order the responsible railroad companies to install signal systems or interlocking plants in cases where such measures were deemed essential to public safety and welfare.

Weights and Measures--To protect the public from loses through "short weight" and inaccurate weighing or measuring devices, the Legislature in 1911 established the Department of Weights and Measures and placed it under the jurisdiction of the Public Service Commission (PSC).

Telephone Companies--Duplication of service and competitive conditions existing in cities, towns and villages in Minnesota prompted the Legislature in 1915 to place all telephone companies operating in the State under the supervision and regulation of the PSC.

Storage Warehouses--The Legislature of 1915 also placed under the jurisdiction of the PSC the regulation, licensing and bonding of public storage warehouses (other than grain and cold storage).

Electric Meter Inspection--This Act provides for the inspection of meters and other devices of measure used within this State, for the purpose of measuring the amount, quantity or extent of electricity, gas or water furnished, sold or distributed to the public, except in cities of the first class.

Street Railway Fares--An Act of the Legislature in 1921 gave the PSC jurisdiction over street railways in all of the municipalities in Minnesota, with respect to rates of fare and issuance of stocks, bonds and notes.

Grade Crossings--In 1907 the Legislature vested the PSC with jurisdiction over installation of interlocking devices, block signal systems, and in 1925, authority to designate dangerous grade crossings as "Stop" crossings, and to require the installation of warning signs.

Common Carriers--Increasing use of motor vehicles for freight and passenger carrying purposes presented a new regulatory problem in 1925, and the Legislature passed a law that year, which placed regulation and supervision of all auto transportation companies, commonly known as regular route common carriers with the PSC. The PSC keeps on file at all times the required amount of public liability and property damage insurance, together with a schedule of rates and charges.

Irregular Route Carriers--In 1933 the Legislature enacted laws which place all irregular route common carriers and contract carriers under the PSC's regulatory control.

With the new responsibility of regulating natural gas and electric utility companies (Minnesota Statutes 1975, Chapter 416B), a utility division was organized. The telephone division was absorbed into this new division and the new division is organized along functional lines, with financial, rate and engineering evaluation sections.

The utility division assists the commission in matters relating to rates, service, service areas, accounts, records, construction, acquisitions and complaints. Municipal utilities are exempt from most of the rate provisions of the law but are included in such matters as service areas, complaints, acquisitions and construction.

The Commission was required by the new law to establish assigned service areas for all electric utilities by April 12, 1975, one year after enactment of the law. An immediate assessment of $300,000 was collected from the utilities on the basis of their 1973 annual Minnesota revenue, to pay the PSC's initial expenses. Future costs of regulation are to be assessed against the electric and gas utilities.

On November 8, 1976, the enforcement and administrative functions with regard to transportation authorities were transferred to the Minnesota Department of Transportation (DOT). The Commission, however, still maintains its quasi-judicial functions in this area.

## MISSISSIPPI PUBLIC SERVICE COMMISSION

The Mississippi Public Service Commission, formerly the Mississippi Railroad Commission, was created on March 11, 1884. The Governor appointed the first three Commissioners to serve for two years, and then until 1892 the Legislature elected the Commissioners to serve two year terms.

In 1886, by Act of the Legislature, the Commission was made the "Board of Control" for the State penitentiary. All convicts were immediately leased to the Gulf, Mobile and Ship Island Railroad Company for a period of six years for construction work.

In 1892 the term of office was fixed at four years, which term still prevails, and the Commissioners were to be elected by votes from each of the three Supreme Court Districts. Express, telephone and telegraph companies were placed under the jurisdiction of the Commission.

In 1906 the Commission was relieved of the duty as the board of control for the State Penitentiary. For many years the Commission served as Tax Assessor for the utilities under its jurisdiction, but this function has for many years been delegated to the State Tax Commission.

In 1926 the Commission was given limited authority to regulate Motor Carriers for hire. In 1938 the Legislature changed the name of the Commission to the Mississippi Public Service Commission (PSC), also, the Motor Carrier Regulatory Act of 1938 was enacted, which places For Hire Motor Carriers under the control of the PSC.

In 1956 the Public Utilities Act was passed, placing telephone, telegraph, electric, natural gas and water utilities under the jurisdiction of the PSC. In 1958 the Motor Carrier Enforcement Law was passed, giving the PSC a law enforcement group of a Chief Law Enforcement Officer and six Inspectors.

## MISSISSIPPI PUBLIC SERVICE COMMISSION
(Continued)

In 1960 the Legislature amended the Motor Carrier Regulatory Act of 1938 to clarify lease conditions and increase fines for violation of said Act.

The PSC of the State of Mississippi is composed of three (3) elected Commissioners, one from each of the Supreme Court Districts. The Commissioners are elected in the general election at the same time as all other State and county officials for a four (4) year term.

It is the PSC's responsibility to see that rates and charges for service are just and reasonable, that the approved rate schedules are adhered to, that the service rendered is reasonably adequate, and that the facilities constructed are required for the convenience and necessity of the public. In carrying out its responsibility, the PSC must answer complaints, make investigations, and conduct both formal and informal hearings.

The PSC is required by law to meet at its office on the first Tuesday of each month, with the provision that it is to have such other meetings at such time and place that is deemed necessary to conduct the business of the people before the PSC.

The PSC regulates the rates and services of railroads, motor carriers of property and passengers, telephones, telegraph, electric, natural gas and water companies.

The law provides that appeals from the decisions and orders of the PSC in transportation matters be made to the Circuit Court of Hinds County, Mississippi; appeals from decisions and orders of the PSC in communication, electric, gas or water utility matters are made to the Chancery Court of Hinds County, Mississippi. Decisions made by either court may be appealed by either party to the Supreme Court of the State.

The PSC became a member of the NARUC in 1890.

## MISSOURI PUBLIC SERVICE COMMISSION

The Missouri Public Service Commission (PSC) was created by an Act of the Forty-Seventh General Assembly of Missouri. The Act became effective April 15, 1913, and has been changed very little since that time. It conferred upon the PSC complete regulation of public utilities operating in the State. In 1931, the Fifty-Sixth General Assembly of Missouri imposed additional duties upon the PSC by enacting legislation relating to the transportation for hire of persons and property by motor vehicles over the public highways of the State. It conferred upon the PSC jurisdiction to license, regulate and supervise transportation coming under the Act. Due to this legislation, a bus and truck division was set up. On account of the duties required by that Act, the work of the PSC was increased materially.

The 1945 Constitution of Missouri provided that the various administrative agencies be assigned to certain divisions of the State government. Under that assignment, the PSC became and is a division of the Department of Business and Administration. However, it operates as an independent commission in the exercise of its quasi-judicial functions.

The PSC is composed of five members appointed by the Governor with the advice and consent of the Senate for a statutory term of six years. The terms of the commissioners are staggered so that the terms of not more than two commissioners expire at any one time. Since its inception, the PSC has functioned on a bi-partisan basis with a three-to-two majority of the members drawn from the party in power.

In 1947, the Sixty-Fourth General Assembly passed an Act creating a Publications Commission, composed of the members of the PSC and general counsel, for the purpose of selecting and designating what findings, orders and decisions of the PSC shall be published and cause to be prepared the syllabi for said findings, orders and decisions, and to select and designate such other news, papers or studies of the PSC relating to the field of public utility regulation as may be of interest to the public and to cause same to be published in pamphlet or book form. In addition to the members of the PSC, there is a general counsel to PSC appointed by the Governor for a statutory term of six years. There is, also, an executive secretary to the PSC who is appointed by and serves at the pleasure of the PSC. The PSC also employs a staff of legal, technical, stenographic and clerical help, the staff being divided into the following divisions and departments: The legal department headed by the General Counsel; the Utilities Division which includes the Engineering, Accounting and Rates and Services Departments; the Transportation Division; the Economic Research Department; and the Examining and Reporting Departments. The head of each of these divisions and departments has various assistants skilled in the particular work of the division, or department and also the required stenographic and clerical help.

The PSC acts as an administrative agent of the legislative department of the State. Its functions are similar to those of the Federal agencies in which such agencies operate, namely, the Interstate Commerce Commission, Federal Power Commission, Federal Communications Commission, and the Securities and Exchange Commission.

The offices of the PSC are open for business every day except Sunday and legal holidays.

Formal hearings are held in the hearing room, Tenth Floor, Jefferson Building, at Jefferson City, and when necessary they are held at other places throughout the State. Procedure before these hearings is informal and the technical rules of pleadings, evidence and procedure of the court are relaxed. All parties interested in any matter before the PSC are given an opportunity to present to the PSC all facts bearing upon the case and to make complaints as to the services rendered by any utility under the jurisdiction of the PSC. Only licensed attorneys are permitted to practice before the PSC. In addition to formal cases, the PSC handles, by informal hearings and conferences, a great volume of matters of lesser importance. The orders of the PSC relative to the various utilities over which it has jurisdiction affect as many or more citizens of the State than any other agency of the State. The PSC was created and is organized now to give prompt and efficient service to the citizens of Missouri.

## MONTANA PUBLIC SERVICE COMMISSION

The Board of Railroad Commissioners of the State of Montana was created by legislative act in 1907. Legislation passed in 1913 made the Board Ex-Officio the Public Service Commission of Montana. Originally, the duties and jurisdiction of the Board related to supervision and regulation of the railroads. The Board's jurisdiction was extended to include regulation of motor carriers, street railways, express companies, public utilities of all types, issuance of securities by certain public utilities, pipelines, electrical construction, and VHF Booster and Translator television systems. At a later date the name was officially changed to the Public Service Commission (PSC).

The membership of the PSC consists of three elected members. One of the members is elected as chairman every two years by the other two members. The chairman serves at the will of the majority of the PSC. All actions of the PSC are concluded as a result of a majority vote in executive session.

The final authority in enforcement of the laws under the PSC's jurisdiction rests in appellate courts which are required to give priority to actions involving decisions of the PSC.

Applications by common carriers and public utilities for various types of authority, including increases in rates and charges, are normally subject to public hearings. Complaints made by consumers or shippers are also usually subject to public hearing before an order is issued. The PSC may also conduct investigations on its own motion and issue appropriate orders applicable thereto.

## NEBRASKA PUBLIC SERVICE COMMISSION

The Railway Commission of 1885 consisted of the Attorney General, Secretary of State, and Auditor of Public Accounts, each authorized to appoint one secretary. The Commissioners were empowered to supervise all railroads operated within the State, to examine the books and papers of any railroad; and to issue subpoenas and administer oaths. The Board of Railway Commissioners existed for only two years.

The Legislature of 1887 created a Board of Transportation composed of the Attorney General, Secretary of State, Auditor of Public Accounts, State Treasurer, Commissioner of Public Lands and Buildings, and three appointed secretaries. This Board was given power to investigate, set dates for hearings, and inquire into the management of the business of all common carriers. The Legislature expanded the functions of this Board by placing the licensing and regulations of public warehouses under its jurisdiction in 1891. In 1893, the Board of Transportation undertook the task of classifying and fixing maximum freight rates to be charged by the railroads within the state. Jurisidction over telephone, telegraph, and express companies and their rates was given the Board by law in 1897.

In 1901, the Supreme Court found the law creating the Board of Transportation to be unconstitutional and from 1901 to 1906 control of railroads was the responsibility of the Legislature.

The Legislature of 1905 drew up a proposal for a constitutional amendment to create an elective Railway Commission. It was to be made up of three members to hold office for six years. This constitutional amendment was ratified by the voters of the state in 1906.

The validity of the amendment creating the Railway Commission was tested in 1907, and the Supreme Court held that the amendment was legal and the elected Commissioners were fully qualified to perform their duties.

The last change in the structure of the Nebraska State Railway Commission occurred in January of 1964 when the Commission was enlarged to five members. The state was divided into five districts, each electing one Commissioner.

The first annual report of the Nebraska State Railway Commission to the Governor covered only railroads and telegraph and telephone companies. The Commission's current annual report also includes aircraft operations, transmission lines, motor carriers, grain buyer's licenses, itinerant merchants, joint board activities, grain warehouse and public warehouse licenses, moisture testing of grain, and the registration of interstate carriers. To accommodate this workload, the Commission has divided this agency into the following departments: General Administration, Rates and Services, Engineering, Accounting, Warehousing, Communications, and Motor Transportation.

In November of 1972, the citizens of Nebraska voted to change the name of this agency; and in December, 1972, the Nebraska State Railway Commission became the Nebraska Public Service Commission (PSC).

The passage of LB 203 by the Nebraska Unicameral authorized the Public Service Commission to conduct investigations of railroad accidents involving private motor vehicles. Particular attention was called to identifying safety aspects that might prevent or mitigate the severity of future accidents at the same site.

## NEVADA PUBLIC SERVICE COMMISSION

By an act approved March 28, 1919, the Legislature created the Public Service Commission (PSC) of Nevada superseding the Railroad Commission which had existed since 1907 and which had served as an ex-officio Public Service Commission since 1911. The members were appointed by the Public Service Board (Governor, Lieutenant Governor and Attorney General) and consisted of one full-time Commissioner, one part-time, and the State Engineer as ex-officio Commissioner. After the first terms, which were staggered, Commissioners were appointed for four years. There are now (since 1953) three full-time Commissioners appointed by the Governor.

The PSC was given regulatory powers including supervision and control of rates and services of railroads; automobiles, auto-trucks transporting persons or property for hire over State highways as common carriers; express; telephone and telegraph; heat, light, power in any form; water; and sewer companies.

During 1923-24 motor common carrier jurisdiction reverted to the counties, and in 1925 the legislature, at the request of the County Commissioners restored jurisdiction to the State body.

Starting in the early 'twenties the Chairman acted, and continues to act, as ex-officio Tax Commissioner without compensation, representing the Tax Commission in the assessment and equalization of railroad and public utility property.

## NEVADA PUBLIC SERVICE COMMISSION
(Continued)

A special session of the Legislature in 1928 placed air common carriers, radio and broadcasting instrumentalities under the PSC.

The 1933 session passed the motor carrier licensing and regulation act, placing under the PSC's jurisdiction the collection of fees for, and licensing of, private and contract carriers, as well as certificated common carriers.

In 1935, jurisdiction over the creation only of power districts was added. In 1939 contract motor carriers were placed under regulation and 75 permits issued under the "grandfather" clause.

The 1949 session of the Legislature combined several State departments under the jurisdiction of the PSC. These were, Driver's License, Highway Safety, Motor Vehicle (registration), Nevada Highway Patrol (newly created), Safety Responsibility (providing protection from financially irresponsible motor vehicle owners and operators involved in accidents), with a director for each division and a staff of some additional 60 employees. These remained under PSC jurisdiction until a Department of Motor Vehicles was created and became active in July 1959, transferring the foregoing divisions, together with the third-structure motor carrier licensing to that department. However, the issuance, regulation and control of motor carrier common and contract authority remained with the PSC, as did the supervision for licensing purposes of common, contract, and private carriers.

In 1975 an Executive Director was appointed to handle commission administrative matters. A new Consumer Affairs Division was also formed within the Division.

## NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

The first regulation of transportation in the United States was a law passed in New Hampshire in 1838, which authorized the appointment of three Commissioners in each County, with limited powers relative to the location of railroads. In 1844, the first State Board of Railroad Commissioners was created in New Hampshire. This Commission continued for 67 years, during which time its authority was gradually and materially increased.

In 1911, the Public Service Commission was created by act of the New Hampshire Legislature. It took over the duties of the Railroad Commission, with much added jurisdiction over other public utilities. The act to establish a Public Service Commission gave the Commission jurisdiction over railroads and street railways without specifically designating them as public utilities. This jurisdiction further extended to "any plant or equipment or any part of the same for the conveyance of telephone or telegraph messages, or for the manufacture or furnishing light, heat, power or water for the public, or owning or operating any toll bridge, ferry or other utility boats."

In 1915, this Commission was given jurisdiction over navigation on inland waters, probably the only State Commission to be granted such jurisdiction. This jurisdiction was removed from the Commission in 1962 with the passage of an act creating the State Department of Public Safety. In 1919 it was given jurisdiction over motor carriers of passengers for hire. In 1933 it was given jurisdiction over motor carriers of property for hire. Until 1941, when the New Hampshire Aeronautics Commission was created, the Public Service Commission had jurisdiction over aviation.

In 1951, the various statutes pertaining to utility regulation were quite generally overhauled. This was the result of an Interim Commission Study which recommended many changes in the existing law. Most of these proposals were accepted by the Legislature, including a change of name from Public Service Commission to Public Utilities Commission (PUC). Thus New Hampshire became the seventeenth State to so name its regulatory authority.

The PUC consists of three Commissioners, appointed by the Governor, with the advice and consent of the Governor's Council, for six year terms. There have been only twenty Commissioners and eight Chairmen, in its fifty-two years.

John E. Benton was one of the original Public Service Commissioners and he later became, from 1919-1944, General Solicitor of the National Association of Railroad and Utilities Commissioners. Former Commissioner Fred H. Brown and Styles Bridges were both Governors of New Hampshire and members of the United States Senate. Former Commissioner Nelson Lee Smith became President of the NARUC and Chairman of the Federal Power Commission. Commissioner Edward R. Thornton was President of the NARUC in 1958, and Commissioner Francis J. Riordan served as NARUC President in 1972.

The PUC, and its predecessor, the Public Service Commission, has been a member of the NARUC since its formation, and was also a member of the NARUC as early as 1902.

## NEW JERSEY BOARD OF PUBLIC UTILITIES

The predecessor to the Board of Public Utility Commissioners, the Board of Railroad Commissioners, was established in 1907 with general supervision over all railroads operating in New Jersey. By law approved March 24, 1910, the 1907 law was amended and supplemented. Also, the name of the Board was changed to Board of Public Utility Commissioners. The jurisdiction of the Board was extended over all public utilities specified in the Act; namely, railroad, express, street railway, traction, canal, subway, pipe line, gas, electric light, heat and power, water, sewer, telephone, telegraph, or other corporations operating in New Jersey for public use under state or municipal privileges. Among other powers, the Board was authorized to require utilities to furnish safe and adequate service; to prohibit discriminations such as rebates; and to direct the keeping of a uniform system of accounting. Although the Board's jurisdiction was somewhat limited, the law was significant in that there was created an administrative body concerned solely with utility regulation.

Effective May 1, 1911, a new utility law was passed creating a commission called the Board of Public Utility Commissioners. The expanded powers of the Board included the fixing of just and reasonable rates, regulation of security issues, approval of franchises, and fixing of service standards and accounting procedures. In general, the Board was vested with supervision and regulation of all public utilities enumerate in the statute.

## NEW JERSEY BOARD OF PUBLIC UTILITIES
(Continued)

The Board consists of three members, not more than two of the same political party, appointed by the Governor with the advice and consent of the Senate, for six-year terms. One of the Commissioners is designated President by the Governor.

Statutory revisions throughout the years have expanded the Board's powers. In 1921, the Board was given limited jurisdiction over autobuses commonly called jitneys. The jurisdiction was extended in 1926 to all autobuses. The Board's grade crossing elimination program came into being by a law in 1930 where State bond proceeds of the $18 million were earmarked for the grade crossing elimination fund to pay the public share of the eliminations, on other than State highways, at the rate of $2 million per year. A motor fuels tax was imposed in New Jersey in 1935 whereby $2 million was appropriated annually to the Board for the elimination of grade crossings, which was not to be used if moneys were available in the grade crossing elimination fund. Presently, the Board surveys and inspects 2365 public grade crossings. The crossing program was extended in 1960 to include bridges, passages, and protective devices.

The New Jersey Constitution of 1947 authorized a maximum of 20 principal departments in the executive branch of the government. By subsequent legislation creating 14 such departments, the Department of Public Utilities was formed. The Board is the head of the Department, and the President is its chief administrative officer, as well as being a member of the Governor's Cabinet.

Formal and informal proceedings before the Board are governed by the Board's Rules of Practice, Suggested Procedures, Administrative Orders, and Regulations. Formal proceedings encompass public hearings after which the Board issues its decision or order.

The Board's jurisdiction has been further extended by a major amendment in 1962 of the Public Utility Law. This was effective March 12, 1963.

The major changes in the new law are:

Condemnation--To exercise eminent domain powers, all public utilities must first obtain Board approval (heretofore such provision applied only to electric and gas companies).

Discontinuation of Service--No utility may discontinue service without Board consent (previously only sewer and railroad companies were so affected).

Incorporation--Formation of utilities is to be effected under the general corporation law whereas before varied incorporation acts were contained in the utility law, which are now repealed.

Railroads--The cost formula for work on railroad bridges, passages, and safety devices may be changed from State 85%-to State 70%-railroad 15%-municipality or county-15%.

Rates--Suspension of rates is extended from six to eight months allowing more time for decision on complex rate matters and, in certain instances, no rate base need be proved.

The Board of Public Utility Commissioners was reorganized effective July 1977, and is now known as the Board of Public Utilities, a division of the newly created Department of Energy.

## NEW MEXICO PUBLIC SERVICE COMMISSION

The New Mexico Public Service Commission (PSC) was established by the legislature of the State of New Mexico in 1941. Members of the PSC are appointed by the Governor for six-year terms, one every two years.

Prior to 1941 there had been no regulation of water, gas, electric or steam utilities in New Mexico by a State agency. The constitution of the State of New Mexico, adopted in 1912, had established the State Corporation Commission and gave it jurisdiction over communications companies and common carriers. However, in *La Follette v. Albuquerque Gas & Electric Company*, the Supreme Court of New Mexico ruled that the State Corporation Commission had no jurisdiction over gas or electric public utilities.

A committee was established in the late 1930's to prepare legislation for the regulation of public utilities to be presented to the 1941 Legislature which enacted the Public Utility Act defining Public Utility Service as the transmission, distribution, sale and furnishing to or for the public of electricity, gas, water or steam. The PSC immediately organized itself and adopted rules and regulations necessary to its function of regulating public utilities.

The Public Utility Act gives the PSC plenary jurisdiction over gas, electric, water and steam public utilities operating within the State of New Mexico. The most important phases of regulation relate to rate control, control over the areas wherein service is rendered by utilities through issuance of certificates of public convenience and necessity and the approval of financing to be used for the construction, maintenance and improvement of public utility facilities.

In 1955 the Legislature gave the PSC jurisdiction over the sale and furnishing of liquefied petroleum gas to consumers. The regulation of this industry was for safety purposes and the PSC did not regulate the rates or charges made by the companies selling liquefied petroleum gas. The Legislature established a separate Liquefied Petroleum Gas Commission in 1955 and transferred the PSC's liquefied petroleum gas regulation functions to the new Commission.

In 1961 the Legislature gave the PSC jurisdiction over corporations organized under the New Mexico Rural Electric Cooperative Act and made such corporations partially subject to the Public Utility Act. The PSC has no jurisdiction over the cooperative's rates in most instances and has no jurisdiction over financing obtained from Federal agencies.

In 1960 the Supreme Court in *Socorro Electric Cooperative, Inc., a corporation, Petitioner--Applicant v. Public Service Company of New Mexico, a corporation, and the New Mexico Public Service Commission, Respondents--Appellees, 66 N.M. 343, 348 P 2d 88*, ruled that rural electric cooperatives were not "public utilities" as defined in the Public Utility Act and therefore were not subject to the PSC's jurisdiction and could not obtain effective relief in a proceeding before the PSC and could not be bound by Orders of the PSC.

## NEW MEXICO PUBLIC SERVICE COMMISSION
(Continued)

The Act bringing the cooperatives within the PSC's jurisdiction provided that each such company would apply to the PSC for an initial certificate covering its existing plant, lines and system and directed the PSC to issue a certificate to each such corporation upon proof of the existence and operation of such facilities. It is interesting to note that in 1941 when the Public Utility Act was originally enacted the public utilities were not required to apply for and obtain certificates of public convenience and necessity to their existing facilities. The act provided that the companies could continue to operate such facilities and to extend into territory contiguous to territory being served without obtaining authority from the PSC. However, new construction and service outside of areas contiguous to territory presently served required that the utility first obtain a certificate from the PSC. Thus the traditional, investor-owned utilities continued to operate existing facilities without certificates, whereas the group of rural electric cooperatives were required to obtain certificates to existing facilities promptly after they became subject to the PSC's jurisdiction.

## NEW MEXICO STATE CORPORATION COMMISSION

The New Mexico State Corporation Commission (SCC) was created by the Constitution of 1912. The Constitution charged the SCC with the duty of fixing, determining, supervising, regulating and controlling all charges and rates of railway, express, telegraph, telephone, sleeping-car and other transportation and transmission companies and common carriers within the State. This last catch-all phrase gave the SCC jurisdiction over such industries as motor carriers, airlines and pipe lines. The Constitution also provided that unreasonable orders of the SCC could be removed to the Supreme Court for final determination and the Court can obtain additional testimony in the cause involved.

The Constitution provided for three elective Commissioners, one to be elected every two years for a six year term. This system has worked rather successfully and several Commissioners have served three and four terms. From time to time constitutional referendums have been proposed to change the elective process to appointive and to invest the SCC with the regulation of utilities now under the jurisdiction of the New Mexico Public Service Commission, but all efforts to merge the two have failed.

The SCC is divided into six sections, and the basic duties of each Department are as follows:

Insurance Department--License, approve rates and generally regulate all insurance companies doing business in New Mexico. The Insurance Commissioner is also the State Fire Marshall and as such has jurisdiction over the Fire Departments and conducts investigations of fires.

Motor Transportation Department--Regulates common motor carriers for hire as to certification, insurance and certain taxes.

Corporation Department--Issues charters to corporations doing business in the State.

Traffic and Rate Department--Regulates and approves rates of utilities and common carriers under their jurisdiction.

Aviation Department--Has jurisdiction over certain air lines.

Pipe Line Department--Has jurisdiction over trunk pipe lines and also regulates cotton gins.

Franchise Tax Department--Collects franchise taxes.

## NEW YORK PUBLIC SERVICE COMMISSION

The New York Commission has had many forebears. The first of these was the Board of Railroad Commissioners created by the Legislature in 1855. This early Commission was given limited administrative powers. Railroad rates were by statute fixed by the Legislature itself. By a statute enacted in 1850 the railroads were permitted to earn profits of ten percent of capital actually expended. This first Commission died in a manner unusual for a governmental agency--it recommended its own demise. Its successor, the Board of Railroad Commissioners created in 1882, had broader powers.

Meanwhile the transit situation in New York City had become acute and the State created in 1891 a Board of Rapid Transit Railroad Commissioners to deal with that local situation.

The first important powerful rate-making agency was created by the Legislature in 1905 when the Commission of Gas and Electricity came into existence. It was empowered to fix the maximum prices to be charged by gas and electric companies and the constitutionality of such delegation under an implied standard of "reasonable rates" was upheld by the State's highest court. The statute creating the Commission was itself held unconstitutional because it would have irrevocably frozen the rates for a three-year period.

Meanwhile Governor Hughes, who had been Counsel to a 1905 Legislative Committee which investigated gas and electric rates, recommended the enactment of the first Public Service Commission Law. That statute was enacted in 1907 and under it the State was divided into two districts, each with a separate Commission. The Commissions had broad general supervisory powers over railroads, common carriers and gas and electric corporations, including effective rate-making power. The First District Commission was given supervision of the New York City utilities, including the functions of the old Board of Rapid Transit Railroad Commissioners. The Second District Commission was given jurisdiction in the balance of the State. The period from 1907 through 1930 witnessed an expansion of the jurisdiction of these Commissions over additional types of utilities. In 1910 telephone and telegraph companies were placed under the supervision of the Second District Commission. In 1913 steam companies, stockyards and certain baggage and transfer companies were also brought under the Second District Commission's jurisdiction. Both Commissions were given limited jurisdiction to issue certificates of convenience and necessity to omnibus corporations in the same year. In 1917 water carriers were subjected to regulation as common carriers.

In a reorganization of the State government in 1926 a new Department of Public Service was created. It was comprised of two divisions. One, the State Division headed by the Public Service Commission (old Second District Commission) and the Metropolitan Division, headed by the Transit Commission (old First District Commission). In 1930 the Legislature, after a thorough investigation of the Public Service Commission's Law

## NEW YORK PUBLIC SERVICE COMMISSION
(Continued)

enacted many changes to strengthen the powers of the Public Service Commission in dealing with capitalization matters, holding and affiliated companies, utility accounts and records, financial transactions, etc. Later the Public Service Commission's jurisdiction was expanded to include water-works corporations (1931), motor carriers of property (1938) and charter bus operators, i.e., omnibus operators not within the common carrier concept of omnibus corporations (1955). Meanwhile the earlier vague and limited jurisdiction over omnibus corporations was broadened so that such companies could feel the full impact of the Commission's broad regulatory powers.

In 1953 the Metropolitan Division and Transit Commission were abolished and all of their remaining duties and powers were transferred to the present Public Service Commission (PSC). The present PSC is composed of six members appointed by the Governor with the consent of the Senate. One member is designated as Chairman by the Governor. All Commissioners hold office for staggered ten year terms. Secretary and General Counsel are statutory positions. In 1949 the PSC became affiliated with NARUC.

In March of 1971, all regulatory transportation functions and records of the PSC were transferred to the New York State Department of Transportation.

Legislation enacted in 1972 established within the PSC the State Board on Electric Generation Siting and the Environment.

In 1978 the New York State Legislature amended Article VIII of the Public Service Law pertaining to Siting of Major Steam Electric Generating Facilities. The Article VIII that was enacted in 1972 is still in force and effect with regard to applications filed on or before December 31, 1978. The Legislature, however, added to the Public Service Law a new Article VIII, that applies to applications filed after December 31, 1978. In addition, the Legislature repealed Section 149-b of the Public Service Law, in which the Commission's jurisdiction over long-range electric system planning was contained. That jurisdiction was transferred from the Commission to the New York State Energy Office and is contained in Article 5 of the Energy Law, Section 5-112 - Long-Range Electric and Gas System Planning. (Added L. 1978, c. 707, § 4.)

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION

In 1970 the New York State Legislature transferred responsibility for the economic and safety regulation of transportation companies from the New York PSC to the Department of Transportation as of March 1, 1971. This responsibility extends to carriers of property and passengers operating wholly or partially within the State, and includes railroads, bus companies and trucking firms. The interstate operations of these carriers are subject to regulation by Federal agencies.

Broadly, the Department's objective is to ensure that all regulated carriers render adequate service at reasonable rates and perform their services with due regard to public safety. The safety aspects include both the use of safe, properly maintained equipment and the conduct of transportation operations in a safe manner. Other State agencies--particularly the Department of Motor Vehicles--also play an important part in safety regulation.

Statutory authority over the rates, finances and service of common and contract carriers, together with the ability to influence Federal law and policy bearing upon public transportation within the State, enables the Department to give direction to the use of private capital in the transportation industry. These activities are correlated with the Department's capital improvements program through which public investment in the industry is accomplished. By this means, the Department can effectively guide the use of both public and private funds in developing transportation capabilities which respond to needs identified by Statewide and regional studies.

All vehicles used to transport children to and from school, all vehicles used by contract carriers under Departmental jurisdiction and all transit and intercity buses operated by private companies must pass a comprehensive safety inspection at least twice a year. The Department's bumper-to-bumper inspections are conducted by its specialized field personnel. Certificates are issued for each vehicle, without which they may not legally carry passengers. When safety deficiencies are discovered, certification is withheld until repairs are made.

Railroads operating within the State are regularly visited by Department specialists who make sure that safe equipment is used and safe operating practices are observed. Spot checks are made at terminals for equipment deficiencies and frequent inspections are conducted to check track condition, switches, signal systems and grade crossing protection devices. Inspections are made of rolling stock and all significant derailments and accidents are investigated.

Complaints from the public as well as reports by Department field personnel may result in the institution of formal proceedings, involving public hearings, to further investigate the safety practices of rail or motor carriers or the safety of environmental implications of their operations.

Determinations as to the need for improved highway/railroad grade crossing protection are made by the Department after public hearings on the matter. Questions involving the manner in which new crossings should be constructed or existing crossings rehabilitated are also the subject of formal proceedings and may result in orders to the affected railroads as to their discharge of responsibility.

Common carriers under jurisdiction include some 32 railroads, 135 franchised bus lines, 1,400 trucking firms (including inter-city household goods movers) and 700 movers within the New York City area. These companies are obliged under terms of their certification to provide service to the general public according to schedules of rates and charges approved by the Department. Contract carriers, which include charter bus operators, limousine operators, private school bus contractors and more than 430 specialized trucking firms, enter into individual contracts with specific customers. Copies of their contracts and schedules of charges must be filed with the Department.

Constant surveillance of the operations of all regulated carriers is maintained by the Department's decentralized staff of service and financial experts.

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION
(Continued)

In recent years, rising operating costs magnified by the inflationary trend have necessarily resulted in a significant rise in the cost of transportation service. An important aspect of the Department's regulatory work is to determine the reasonableness of any revisions a carrier seeks to make in its fares or rates. In making such determinations, the Department must not only protect the consumer from unreasonable charges, but also permit the carrier a rate of return commensurate with business risk. The Department also must consider the impact of its decision upon the carrier's ability to meet the consumer's future expectations of good, reasonably priced service.

To revise the schedule of charges for the various services it holds forth to the public, a carrier must file amendments to its tariff together with a statement in support of the changes proposed.

The Department permits tariff revisions to go into effect only after thorough analysis finds the company's position fully justified. The public must also have been given proper notification. If supporting data are insufficient, or if equitability or reasonableness is not demonstrated, the Department will institute a formal investigation of the propriety of the proposed tariff amendments and may postpone the effective date of the changes pending the outcome of the investigation.

Such investigations involve public hearings, and final determination is based upon testimony and evidence presented. Utlimately, the Department may accept the tariff amendments or order them cancelled, and may invite the carrier to submit modified amendments.

The Department conducts many other types of proceedings in performing its regulatory role -- some self-initiated and others arising from the petitions of carriers or complaints of consumers and local governments, including requests by truck and bus companies for operating authority. Others concern carrier operating practices, change or discontinuance of service, revocation of authority, financial practices and the need for improved railroad crossings.

## NORTH CAROLINA UTILITIES COMMISSION

The North Carolina Utilities Commission (NCUC) is a department of the State government which had its inception in 1891 when the Legislature established what was then known as the Railroad Commission. The Railroad Commission was vested with rate-making and supervisory authority over railroads, steamboats, canals, telegraph and express companies. In 1892, the NCUC became affiliated with the National Association in existence at that time and the present NARUC. In 1893 the Railroad Commission was given authority to regulate the rates and service of telephone companies, and in 1897, street railways. In 1899, the Railroad Commission was changed to the Corporation Commission and given additional duties and functions. By action of the Legislature in 1933 the office of Utilities Commissioner was established, with the assignment of two assistants on a part-time basis. Later, in 1941, this office was changed to the North Carolina Utilities Commission, consisting of three full-time members. In 1947 motor vehicle common and contract carriers of property over irregular routes were brought under regulation. In 1949, the membership was changed to five members, three of whom were appointed for six years and two for four years for the first appointments and for six year staggered terms thereafter.

The utility laws of North Carolina were updated and rewritten in 1963. Also in 1963 the Commissioners' term of office was expanded to eight years, the term of Chairman being four years. In 1965 Electric Membership Corporations were brought under limited jurisdiction of the Utilities Commission relating to assignment of service and promotional payments. The General Statutes of the Public Utilities Act were amended in 1969 to grant the Utilities Commission authorization to require all interstate carriers to file copies of their respective interstate authority or register their exempt operation; the registration for the ICC exempt carriers for the first time being the significant feature of this Act. In 1971 the General Assembly of North Carolina approved amendments to the General Statutes creating the North Carolina Department of Commerce and transferred the Utilities Commission to the Department of Commerce.

The General Assembly of 1975 required the Utilities Commission to develop, publicize and keep current an analysis of the long range needs for expansion of facilities for the generation of electricity in North Carolina, including its estimates of the probable growth of the use of electricity, the probable needed generating reserve, the extent, size, mix and general location of generating plants and arrangements for pooling power to the extent not regulated by the Federal Power Commission (now the Federal Energy Regulatory Commission).

The North Carolina General Assembly amended the Public Utilities Act to increase the size of the Commission from five Commissioners to seven Commissioners effective July 1, 1975, and to authorize the Commission to sit in panels of three Commissioners to issue final Orders, with certain exceptions for full Commission review. The NCUC now consists of seven members appointed by the Governor for terms of eight years. One member of the NCUC is appointed Chairman by the Governor. Each Commissioner is required to take and file with the Secretary of State an oath of office to support the Constitution and laws of the United States and the State of North Carolina and is prohibited from the practice of law while serving as a Commissioner.

The 1977 North Carolina General Assembly amended General Statutes Chapter 62 to provide for a Public Staff in the Utilities Commission to represent the using and consuming public. On July 1, 1977, the Commission was completely restructured into two staffs, the Commission Staff consisting of about 73 employees and the Public Staff consisting of approximately 87 employees, under the direct supervision of an Executive Director. The Statute provides that it is the duty and responsibility of the Public Staff to review, investigate, and make appropriate recommendations to the Commission with respect to the reasonableness of rates and service, and to petition the Commission and assist the Commission on behalf of the using and consuming public on matters concerning the regulation of public utilities.

Resolution No. 63 urging the Utilities Commission to investigate and study lifeline rates for consumers of electricity and natural gas was ratified by the General Assembly on June 14, 1977. Because of rapidly rising rates for electric and natural gas service and the great hardship the higher rates was causing many elderly and low income persons in the State, the Commission was urged to study and consider whether it is just and reasonable to establish a category of lifeline rates for essential levels of use by residential customers to provide lighting, cooking and refrigeration in their primary place of residency. The General Assembly of 1977 amended G.S. 62-133 entitled "How rates fixed" to require that rate applications filed on and after July 1, 1979, the Commission must use as the rate base for fixing rates of electric, gas, telephone and water and/or sewer utilities (unless the operating ratio is used for water and sewer companies) the reasonable

## NORTH CAROLINA UTILITIES COMMISSION
(Continued)

original cost, less depreciation plus the reasonable original cost of construction work in progress (CWIP) of the public utility's property. This method replaces the previously used Fair Value formula (which excludes the value of CWIP) in determining the rate base for the fixing of rates. In conjunction with the enactment of the above amendment the General Assembly also amended G.S. 62.2 entitled "Declaration of policy" by adding a new subsection (5) which reads as follows:

> "(5) Require each public utility to discontinue capitalization of the composite carrying cost of capital funds used to finance construction (allowance for funds) or the construction work in progress included in its rate base upon the effective date of the first and each subsequent general rate order issued with respect to it after the effective date of this susection; allowance for funds may be capitalized with respect to expenditures for construction work in progress not included in the utility's property upon which the rates were fixed. In determining net operating income for return, the commission shall not include any capitalized allowance for funds used during construction on the construction work in progress included in the utility's rate base."

The major duty of the NCUC is to regulate the rates and services of privately owned utilities such as electric, telephone, telegraph, gas, water and sewer, flume, and all forms of motor and rail transportation companies.

## NORTH DAKOTA PUBLIC SERVICE COMMISSION

The Office of Board of Railroad Commissioners was created by the constitution (1889) with the provision that the legislature would define its powers and duties. Members are elected by popular vote of the people. The first session of the legislature provided that the Board should meet at least five times annually for the purpose of hearing complaints and taking evidence; the first meeting to be held at Bismarck, the second at Minot, third at Fargo, fourth in Grand Forks and the fifth in Carrington, each session to be not less than three days. The president of the commission could also call special sessions if he deemed it necessary.

The earliest duties imposed upon the Board of Railroad Commissioners were those relative to the regulations of railroad rates and practices, railroad crossings, stockyards, depots, industrial sites, marketing of grain, ferries, toll bridges, and river transportation.

In 1919 the Commission was given supervision of all public utilities, and it was also made a full-time position requiring the Commissioners to reside at Bismarck. Since that time, and practically at every session of the legislature, added duties have been given to the Commission, including supervision of telegraph companies, telephone companies, water, gas, light, heating and power companies, pipeline, motor carriers, grain elevators, public storage warehouses other than grain, weigh masters, weights and measures, and public auctioneers. Jurisdiction over public utilities extends to certificates of public convenience and necessity, rates and services.

In 1940, by initated referendum the name of the Commission was changed from Board of Railroad Commissioners to that of Public Service Commission (PSC). Originally the terms of office were for two years but in 1908 the constitution was amended to provide for six-year staggered terms. The PSC has been a member of the NARUC since 1916.

## OHIO PUBLIC UTILITIES COMMISSION

Prior to 1867, the only control over utilities in Ohio was through the Legislative Corporation Charter and corporation laws and statutes directed to the corporation itself.

In 1867 the Legislature enacted "an act to provide for the appointment of a commissioner of railroads and telegraphs, and to prescribe his duties" in thirteen sections. In 1877 an act was passed authorizing the organization of common carrier companies but they did not come under the Commissioner. By 1906, it was an organization gathering facts and an enforcement agency executing the thoughts of the Legislature.

In 1906 the Legislature enacted "An act to regulate railroads and other common carriers in this State, create a board of Railroad Commissioners, prevent the imposition of unreasonable rates, prevent unjust discrimination, and insure an adequate railroad service." This Railroad Commission had three members appointed by the Governor for staggered six-year terms with the advice and consent of the Senate. The Commission was given power to formulate its own rules and regulations and to exercise powers incidental to its hearings, such as taking oaths and keeping records.

In 1911 the Legislature made changes by enactment of "An act changing the name of the Railroad Commission of Ohio to that of The Public Service Commission of Ohio, defining the powers and duties of the latter Commission with respect to public utilities. The Legislature granted the Public Service Commission very broad powers of control of all public utilities, including regulation, valuation, authority to prescribe a uniform system of accounts and control over depreciation and construction accounts, control over services, joint use of equipment, approve contracts of sale, lease and issue certificates of convenience and necessity to telephone companies and appellate jurisdiction to review municipal ordinances fixing rates and requiring additions and extensions.

The last major change came in 1913. This was "An act to create the Public Utilities Commission of Ohio, to prescribe its organization, its powers and duties, and to repeal certain existing sections." Aside from changing the name of the Commission, the principal feature of this act was the reform of procedures in appeal cases and the extension and definition of valuation methods.

The Legislature, in 1921, conferred upon the Public Utilities Commission (PUC) jurisidiction over the carrying of passengers for hire in motor vehicles. This provision did not set forth any particular mode of regulation, but merely incorporated by assumption all the existing powers of the PUC. It did not apply to carriers or property.

In 1923 the first comprehensive act dealing with motor transportation companies was enacted. It applied to common carriers of persons or property, or both, with certain exceptions and restrictions. The PUC was given extensive jurisdiction by the issuance of certificates of necessity and convenience, the manner of application and the hearing thereof, the imposition of taxes, and the requirements of insurance policies and bonds. In 1935, the PUC was given power over private and contract motor carriers.

## OHIO PUBLIC UTILITIES COMMISSION
(Continued)

The Code was in 1933 amended to include artificial and natural gas companies supplying such gas to other gas companies.

In 1954 the Code was revised and renumbered and in 1961 the Legislature again repealed several sections which had become obsolete and changed the law relative to installation of automatic crossing protection by making apportionment of costs mandatory by the PUC.

The PUC of Ohio, as now organized, is a statutory creature of the Legislature and in regulating utilities, the PUC exercises inherently legislative powers through administrative and quasi-judicial processes. It is a three-member bi-partisan board with two majority and one minority members appointed by the Governor and confirmed by the Senate to staggered six-year terms. The current membership of the PUC in the NARUC dates from 1955.

In 1974, the Legislature created the Ohio Energy Emergency Commission with a Public Utilities Commissioner as one member, and directed that its activities be taken over completely by the PUC on January 1, 1976.

The "Sunshine Law" became effective in November, 1975. This law insures open public meetings by eliminating executive sessions of the State and local public bodies unless specifically authorized by law.

In order to increase consumer relations, a Consumers' Counsel and Board became effective in September, 1976. The Consumers' Counsel will represent the consumers in hearings before the PUCO. It is funded by assessments against the utility companies and the amount is determined by the General Assembly.

## OKLAHOMA CORPORATION COMMISSION

The Corporation Commission of the State of Oklahoma is a Constitutional Body, created at the time of Statehood in 1907, by the Constitution. The Constitutional provisions originally creating the Corporation Commission were adopted almost verbatim from provisions of the Virginia Constitution. The original Constitution gave the Oklahoma Corporation Commission limited legislative, administrative and judicial powers over railroads and other transportation and transmission companies and specifically provided that the Legislature could, from time to time, delegate other and additional duties to the Corporation Commission.

The Oklahoma Corporation Commission is comprised of three members, serving six-year terms, one elected each two years.

In its early days the Corporation Commission was primarily engaged in the regulation of railroads, that being the major form of transportation in Oklahoma at the time of Statehood. The Commission also exercised some jurisdiction over the early day telegraph and telephone communication lines and pipelines.

Thereafter, jurisdiction was conferred on the Corporation Commission to regulate the traditional public utilities, that is, gas, electric, water, as well as telegraph and telephone. A most unique feature in this delegation of authority by the Legislature was the Legislature's declaring cotton gins to be public utilities in Oklahoma and, since that time, this Commission has regulated the practice, services and charges of cotton gins, as well as issuing certificates for new construction of cotton gins and facilities and authorizing dismantling of the same.

In the early days, shortly after Statehood, the Legislature declared ice plants to be public utilities and placed the duty on the Corporation Commission to regulate those. For a great number of years, the Corporation Commission exercised that jurisdiction, until the Supreme Court of the United States, in its classic case of New State Ice Company versus Liebmann held that the legislation declaring the ice plant a public utility unconstitutional.

With the development of the Motor Carrier Industry, the Legislature placed the duty on the Corporation Commission to regulate motor carrier transportation of persons and property. The basic Motor Carrier Act, under which the Commission operates, was passed in 1929, although there was some regulation prior to that time, under earlier fragmentary and inadequate Statute.

In connection with regulation of utilities, the Oklahoma Corporation Commission has broad supervisory powers, probably as comprehensive as any State regulatory body, with power to regulate rates, practices and charges of all utilities under its jurisdiction. The Commission does not have jurisdiction of the municipalities or rural electric cooperatives and has only certain limited jurisdiction as to rural telephone cooperatives. Save for these, the Corporation Commission of Oklahoma fixes the consumer rates for all traditional utility services.

The Corporation Commission has progressively had a large amount of duties placed on it by the Legislature, in connection with the conservation of oil and gas, one of the principal natural resources of Oklahoma. The Legislature has given the commission authority and placed a duty on it to exercise generally supervisory control over the production of oil and gas, so as to assure the maximum production under an orderly process of development, at a reasonable cost. This includes fixing of the allowables for production of wells, spacing of wells, based on geological and other technical data, so as to prevent the drilling of unnecessary wells, providing for pooling and unitization of fields and regulating the disposal of salt water, oil and other dilatory substances so as to prevent pollution of streams and other water sources.

In addition, the Corporation Commission has been charged with responsibility of testing of gasoline, brake fluid, anti-freeze and certain other automotive fuels and gases sold in the State of Oklahoma, to insure that the products reach certain reasonable standards prescribed either by statute or by rules and regulations of the Commission, as well as calibrating the pumps and other measuring devices to insure the purchaser he is receiving the amount represented.

## ONTARIO ENERGY BOARD

In 1858 James Miller Williams completed the drilling of North America's first recorded oil well, at Oil Springs, Lambton County, Ontario. Before the turn of the century more than 2,500 wells had been completed in the area.

By the early 1900's Ontario was self-sufficient in oil and natural gas and was an exporter of energy to Detroit, Toledo, Buffalo and other areas in the U.S.A. But a lot of natural gas was being wasted, primarily from the flow of abandoned wells, and in 1907 the first legislation was passed by the Government of Ontario which provided for the plugging of such wells and also for the appointment of Provincial Inspectors. In 1914 this legislation became known as "The Natural Gas and Oil Wells Act" under "The Revised Statutes of Ontario." This Act and the subsequent amendments thereto were repealed in 1924, at which time the Well Drillers Act came into effect. The new Act was enforced by the Commissioner of Natural Gas and his Inspectors.

In 1950 provision was made in the Well Drillers Act for the Lieutenant Governor in Council (the Lieutenant Governor is the Queen's appointed representative for the Province) to make regulations with respect to many aspects of the industry, and these remained in effect until repealed by The Ontario Fuel Board Act of 1954, which provided for the appointment and structure of the Board, and empowered it to control and regulate the production, storage, transmission, distribution, sale, disposal, supply and use of natural gas in the Province. In the exercise of these powers the Board carried out a wide range of inspection and licensing functions, and was responsible for the fixing of rates and other charges payable by the ultimate consumers of natural gas.

In addition to these powers the Board had the authority, under The Municipal Franchises Act, to issue certificates of public convenience and necessity to gas companies wishing to construct distribution facilities to serve a given market area, and the authority to approve municipal by-laws granting or renewing gas franchises. In 1958 The Pipelines Act further provided that leave to construct oil and gas transmission lines in the Province had to be obtained from the Board, as did leave to expropriate land for pipeline construction.

The Department of Energy Resources was formed in 1959, with a mandate to integrate the various forms of energy production and supply and to plan for the Province's future energy requirements. At that time the administrative functions of the Ontario Fuel Board - those involving supervision, inspection and licensing - were vested in the new Department, which was later renamed the Department of Energy and Resources Management. The remaining quasi-judicial functions were vested in a new Ontario Energy Board, which reported to the Minister of Energy-Resources Management, all of which was provided for, in 1960, by repeal of the Fuel Board Act and enactment of The Ontario Energy Board Act. The Ontario Energy Board now reports to the Minister of Energy.

## ONTARIO TELEPHONE SERVICE COMMISSION

The first Telephone Act, passed in 1910, brought all independent systems in the Province under the control and regulation of the Ontario Railway and Municipal Board. In 1948 the Smythe Report recommended that a government authority or commission be established which would set standards of service and be responsible for overall planning of communication needs.

In 1951 The Rural Telephone Act was passed and gave the Hydro Electric Power Commission of Ontario the duty of investigating ways and means of promoting improved telephone service in rural areas of the Province. As a result of their study a revised Telephone Act was passed in 1954 and the Ontario Telephone Authority was instituted. The new OTA reported directly to the Minister of Agriculture in regards to the 465 independent telephone companies then operating 176,000 telephones in Ontario.

In 1960, The Telephone Act was amended to change the name of the Ontario Telephone Authority to the Ontario Telephone Service Commission to more accurately reflect the aims and duties of the agency. In 1971 it was decided that the OTSC should report to the Minister of Transportation and Communications. Presently the Commission, composed of the chairman and six commissioners regulate 36 independent telephone companies (over 262,000 telephones) which are either municipal systems, incorporated companies, or systems operated as public utilities by municipal corporations.

In 1977 a Memorandum of Understanding was entered into by the Minister of Transportation and Communications and the Chairman of the Commission. The purpose of the Memorandum is to state, in general terms, government policy respecting the regulation of the independent telephone systems under the jurisdiction of the Ontario Telephone Service Commission. It further states the relationship between the Minister and the Commission, the Commission and the Ministry of Transportation and Communications, and the Commission and other agencies.

## OREGON PUBLIC UTILITY COMMISSIONER

The Oregon system of Utility and Transportation regulation is unique among the States. Instead of the more common plural-type commission, this all-important function of government is carried out by a single commissioner appointed by the Governor for a four-year term.

The change from a three-man Public Service Commission to a single public utility commissioner was made by the 1931 Legislature on the recommendation of Governor Julius Meier. The newly created post provided for complete control and resonsibility in one person. Appeals from a decision, however, go directly to the circuit courts as before.

The powers and duties of the commissioner are essentially the same as the day the bill became law. The Commissioner is charged with the regulation of intrastate rates and services of privately-owned utilities, service and transportation companies. His jurisdiction extends to and embraces municipally owned utilities, public utility districts and cooperatives in matters involving the safety of the public and in the allocation of service areas to all those who sell and distribute electricity and gas as well as those who provide telephone service.

The statutes also give the Commissioner the responsibility of investigating interstate rates and service of transportation companies and public utilities and to appear before federal regulatory agencies on behalf of the public.

## OREGON PUBLIC UTILITY COMMISSIONER
(Continued)

An important and unusual responsibility was added to the Commissioner's powers and duties in 1933 when the Legislature enacted its weight-mile road use tax for trucks. The Commissioner regulates the service and rates of the motor transportation industry on the one hand and collects revneue from them on the other.

Part of the revenue is used to finance regulation of the motor carriers. Another small portion of the tax is used to help support the State police force. The majority of the tax is used to help finance the State Highway Department's maintenance and construction program.

The regulated railroads and utilities bear the cost of administering the laws applying to their operation through assessments on their intrastate revenues.

Special appropriations are provided by the State to finance the Commissioner's appearance before federal agencies.

Prior to the 1931 Act creating the present single commissioner system, Oregon government had a number of regulatory acts and commissions, some of them predating statehood.

The first recorded act, adopted July 5, 1843, regulated mills and ferrymen. It was enacted six years before a territorial government was formed and 16 years before the territory became a State. Other than for this act, reliance during the period was placed on competition as a regulatory device.

Later, in 1887, the inadequacy of competition as a regulatory device led to the establishment of a Board of Railroad Commissioners. Operating under a defective statute and adverse economic conditions, the Board did not prove effective and it was abandoned in 1898.

There were some gains from this experience, though, since the public has been attracted to the possibility of regulation by a strong, full-time board and in 1907 a new Railroad Commission was formed. It became the Oregon Public Service Commission in 1911. The legislature that year extended the jurisdiction of the commission, which had been limited to railroads only, to include public utilities. The term was defined to mean companies or individuals owning or operating any plant or equipment for the conveyance of telephone messages, for the transportation of persons or property by street railroad, or as common carriers, or for the production, transmission, delivery or furnishing of heat, light, water or power.

Subsequently, the jurisdiction was extended further to include log booming and rafting companies in 1917 (now discontinued), motor carriers in 1921 and water carriers in 1949 (the latter was discontinued in 1961).

A most significant addition to the commissioner's authority was made in 1961 when the legislature passed an act which allows State certification of service areas to electric, gas and telephone utilities. The law is designed to put an end to wasteful duplication of facilities.

As a result of a Law Review Committee Study and subsequent adoption of their recommendations by the 1971 Legislative Session, many outdated statutes were deleted or rewritten.

The 1973 Legislative Session placed intrastate air regulation under the jurisdiction of the Public Utility Commissioner.

## PENNSYLVANIA PUBLIC UTILITY COMMISSION

The Act of March 31, 1937, and the Public Utility Law of May 28, 1937, abolished the Public Service Commission of the Commonwealth of Pennsylvania, successor to the Pennsylvania State Railroad Commission by the Public Service Law of July 26, 1913, effective January 1, 1914, and created and empowered its successor, the Pennsylvania Public Utility Commission (PUC), to regulate the intrastate rates and services of public utilities operating within the Commonwealth. The regulatory functions of the Pennsylvania State Railroad Commission had been limited by its creating Act of May 31, 1907, effective January 1, 1908, to the regulation, control and management of common carriers within the Commonwealth.

The Pennsylvania PUC is a quasi-judicial agency of the Legislature with the primary duty and chief objective to establish and maintain reasonable rates and adequate service by public utilities operating within the Commonwealth.

The PUC is a regulatory body comprised of five members appointed by the Governor for staggered terms of ten years each, subject to confirmation by two-thirds of the Senate membership and functions through five bureaus: Secretary, Rates and Research, Transportation, Investigations, Service and Enforcement and Law, the latter under control of counsel appointed by the Attorney General with the Governor's approval. The Bureau of Investigations, Service and Enforcement, was recently created to replace the Bureau of Accounts and is designed to expedite action on service complaints and tighten enforcement of the law and PUC regulations.

PUC regulation follows the Public Utility Law under which companies, corporations or persons are deemed to be public utilities subject to PUC jurisdiction whenever they are engaged in furnishing any of the following services to the public for compensation: natural or manufactured gas, electric, steam, water, telephone, telegraph, sewerage collection and disposal, common carriage of passengers of property (train, bus, truck, street car, taxicab, aircraft and ferry), gas and oil pipeline transmission, canal, turnpike tunnel, bridge and wharf. The law also empowers the PUC to prescribe minimum rates and establish accounting and service requirements for motor contract carriers. PUC operation is virtually self-sustaining, the law providing that its major expense be prorated by assessment among the regulated utilities.

One of the major PUC functions is regulation of rates charged by utilities, and the agency is vested with broad powers in this field in behalf of the consumer and the company. It has jurisdiction to ascertain fair and reasonable rates and, pending a final determination in rate proceedings, may provide for temporary rates.

The other major function is regulation of utility service and facilities to the end that both are adequate, efficient, safe and reasonable for the consuming public. Important in this supervision is PUC authority to prescribe how public highways cross utility facilities, particularly in cases involving railroad crossings.

## PENNSYLVANIA PUBLIC UTILITY COMMISSION
(Continued)

Safety and traffic flow are of paramount concern in crossing eliminations, alterations and protection improvements. The PUC may determine, or have local courts decide, property damages involved in crossing cases.

Public hearings are afforded all interested parties in both rate and service matters.

In addition to processing numerous service requests, the PUC maintains meter testing apparatus to help settle disputes over gas, water and electric meter readings and tampering.

In excerising its power and duties, the PUC may generally issue any regulations deemed necessary within the framework of its governing law.

Significant legislation was passed on October 7, 1976 altering certain aspects of the organization and responsibilities of the Commission. The Bureau of Consumer Service was created and given responsibility to investigate all informal consumer complaints and to advise the Commission on the need for formal Commission action on any matters brought to its attention. The Bureau of Conservation, Economics and Energy Planning was established to advise the Commission on the need for prospective regulation about utility matters. Under the legislation the Commission's Law Bureau was specifically entrusted with both prosecutory and advisory functions.

Isaac B. Brown of Pennsylvania was Chairman of the NARUC in 1897, and W.D.B. Ainey was First Vice-President in 1924. George I. Bloom was NARUC President in 1971.

## PUERTO RICO PUBLIC SERVICE COMMISSION

The history of the Puerto Rico Public Service Commission (PSC) is reported in the 75th NARUC Annual Convention Proceedings, page 101 (1963), and 1973 NARUC Annual Report on Utility and Carrier Regulation page 507, and is supplemented by the following information.

The PSC examiners hold public hearings in all parts of the Commonwealth of Puerto Rico. The PSC is endowed with powers to regulate rates and services, subpoena witnesses, books and papers and to punish for contempt and levy fines. The Public Service Commission Act authorizes revision from the Commission decisions to the Superior Court, from which appeal goes to the Supreme Court.

The PSC consists of a Public Service Commissioner who shall be its Chairman and four Commissioners, all appointed by the Governor with the advice and consent of the Senate. The term of each Commissioner is four years, except that any person chosen to fill a vacancy shall be appointed only for the unexpired term of the Commissioner whom he succeeds. Upon expiration of the term of any Commissioner, he may continue to hold office until his successor is appointed and has been confirmed.

## QUEBEC ELECTRICITY AND GAS BOARD

The Electricity and Gas Board, as we know it presently is the result of an evolution going back to 1932.

In 1932, the government appointed a commission known as Lapointe Commission, to study the status of the electricity in the Province of Quebec. The report of this Commission covered the following subjects: (1) nature, role and importance of electricity; (2) electricity in the Province of Quebec; (3) the different types of enterprises adaptable to the electrical industry; (4) energy rate design; and (5) rural electrification.

In 1935, following the above study, the first provincial organism was constituted, and had been given the task of the supervision and control of the production, distribution and sale of electricity in Quebec. This body, called the Quebec Electricity Commission, was replaced in 1937, by the Provincial Electricity Board. In August 1940, the Public Service Board had been substituted to the Provincial Electricity Board, and in addition to assuming the jurisdiction over electricity, it was given similar prerogatives over gas. In August 1945, the Quebec Legislation entrusted the Provincial Transportation and Communication Board with that on gas.

In 1949, the jurisdiction of the Provincial Transportation and Communication Board on gas was transferred to the Public Service Board.

In 1957, the jurisdiction on gas was again transferred to the Provincial Electricity Board.

Finally, in 1959, the name of the Provincial Electricity Board was changed into Electricity and Gas Board and the government entrusted it also with the jurisdiction on matters of public safety in relation to gas.

Since 1964, the Board's main offices are located at 2100 Drummond Street, Montreal, Quebec H3G 1X1.

## QUEBEC PUBLIC SERVICE BOARD

Before 1972, the Quebec Public Service Board exercised jurisdiction over expropriation matters and also over provincial telephone and telegraph undertakings.

In 1972 (S.Q. 1972, C-56), the Quebec National Assembly gave to the Board jurisdiction over cable distribution.

The following year, the jurisdiction of the Board over expropriation matters was transferred to the newly-formed Expropriation Tribunal. (S.Q. 1973, C-38)

On November 30, 1977, the Supreme Court of Canada decided in the case of Regie des Services Publics c. Dionne that cabledistribution per se was under exclusive federal jurisdiction and that therefore the Regulation respecting cabledistribution public undertakings and the Quebec Public Service Board Act insofar as they purported to apply to cablevision enterprises were ultar vires of the Province.

On November 15, 1978, the Lieutenant-Governor-in-Council adopted the Regulation relating to pay television enterprises (O.C. 2521-78). This Regulation applies only to closed circuit pay television undertakings.

## RHODE ISLAND PUBLIC UTILITIES COMMISSION

An "Act Regulating Ferries" was approved by the General Assembly of the State of Rhode Island and Providence Plantations about 1798. Also an "Act Establishing the Rates of Toll, demandable at the several Toll Bridges in this State" was approved in the same period.

The area of the State of Rhode Island and Providence Plantations is 1,214 square miles of which inland water constitutes 156 square miles. Thirty-six islands, large and small, within the territorial waters necessitated ferries and bridges in these early days. Legislative regulatory jurisdiction over same was also evidently considered necessary.

Toll roads were also an early legislative regulatory area, and were regulated through elected and appointed Turnpike Commissions and Turnpike Inspectors.

The granting of railroad charters became more frequent during the years following 1828. An "Act to Establish a Railroad Commission" was approved by the General Assembly in 1839. This act provided for the appointment and election of three railroad commissioners by the General Assembly. The number of commissioners was increased to five in 1857.

The duties and responsibilities of the railroads were specified in considerable detail, as were the duties and powers of the Commission. Penalties for violations of various regulations were also specified.

The railroad commission continued from 1839 until 1858, when it was temporarily abolished. In 1872, a new act provided for a single railroad commissioner. This act authorized the Governor to appoint the railroad commissioner for a term of one year.

The railroad commissioner's position was abolished by an act creating a Public Utility Commission in 1912. This commission was given all the powers and duties of the railroad commissioner.

This 1912 amendment is entitled: "An Act to create and establish a Public Utilities Commission and Prescribe its Powers and Duties and to Provide for the Regulation and Control of Public Utilities."

The numerous sections of this act were quite comprehensive in the coverage of the title requirements. Many of these sections remain intact in the State's present 1963 public utility law.

This Act provided for appointment by the Governor of three commissioners, "with the advice and consent of the Senate." Their terms were for six years, with one appointment every two years. Salaries of the chairman and the other commissioners were specified, also the operating expense budget. Regulation by a Public Utility Commission continued until 1935.

An "Act to Reorganize the State Government" was approved by the General Assembly on January 1, 1935.

In this reorganization the "Division of Public Utilities" was placed within the Department of Taxation and Regulation. In 1936, the name of the Department was changed to Revenue and Regulation. All the duties of the prior commission were assigned to the Division of Public Utilities.

An act known as "Administration Act of 1939" again changed the name of the Department to the Department of Business Regulation, and designated the head of the Division of Public Utilities as "Administrator".

The following types of utilities are regulated: Electric, Gas, Water, Telephone, Telegraph, Express, Water Transportation, Motor Carriers-Property, Motor Carriers-Passengers, and Railroads.

## SOUTH CAROLINA PUBLIC SERVICE COMMISSION

The history of the South Carolina Public Service Commission must begin with the creation of the position of Railroad Commissioner in 1876 by the General Assembly. This position was filled by the appointment of Milledge L. Bonham, former Governor of the State during the Civil War and father of a later prominent Chief Justice of the South Carolina Supreme Court. Commissioner Bonham began his duties in 1879, initially being responsible solely for the regulation of the railroad and in particular of the freight rates. In 1884, the scope of regulation was increased to include passenger rate fares, services, additions of sidings, etc. At that time two additional commissioners were appointed. The Railroad Commission continued to operate in this form until 1922. In 1915 the General Assembly created a separate three-man commission known as the Public Service Commission and conferred upon it the duty of regulating utility companies dealing in electrical power distribution, telephone communications and water distribution companies. In 1922 the Railroad Commission and the Public Service Commission were combined, a seventh commissioner being added, and operating until 1935 under the name of the Railroad Commission. This Act provided that the seven commissioners would be elected by the General Assembly from the seven Congressional Districts in the State at that time. Based on the 1930 census, South Carolina lost one Congressional District and the General Assembly created seven commission districts based on the old Congressional District areas. In 1928, regulation of truck and motor bus operations in the State was transferred from the State Highway Department to the Commission, a division known as the Motor Transportation Division being set up. In 1929 a Power Rate Investigating Committee was set up by the General Assembly to study the advisability of reducing electrical rates. This Committee, after one year's study and considerable detailed work, recommended that an Electrical Utilities Division staffed with competent engineers, accountants and statisticans be established with the idea of making detailed studies of the operating electrical utilities, particularly relating to inventory and appraised value and original cost studies. This division was placed under the supervision and made a part of the Railroad Commission.

In 1935 the General Assembly, by special act changed the name of this Commission from the Railroad Commission to that of Public Service Commission (PSC).

The records in the office of the PSC indicate that its affiliation with the NARUC was in 1889 at its first meeting. However, there is a record of the proceedings of a meeting of State commissioners at a conference held in Saratoga Springs, New York, June 10, 1879.

## SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

The Board of Railroad Commissioners was established in 1885 by the Territorial Legislature. The duties and powers of the Board as originally created had reference only to service and facilities of rail carriers. At the time of the division of the territory and its admission into the Union the States of South Dakota and North Dakota each continued the Railroad Commission.

The first session of the State Legislature in 1889 amended the 1885 law establishing in effect the Board of Railroad Commissioners of the State of South Dakota and largely increasing the powers and duties of such Board. The Board consisted of three members, each appointed for a term of two years or at the pleasure of the Governor. In 1897, a law was enacted making the Board of Railroad Commissioners an elective office and the term of office six years, one commissioner to be elected each two years, the evident purpose of the legislature being to perpetuate experienced members upon the Board and to make the Board of Railroad Commissioners responsible directly to the people. By the enactment of the Laws of 1897 the powers and duties of the Board were increased to include the establishment of railroad rates. Up to that time the said powers and duties of the Board were restricted to those of a fact-finding body with authority to publish its findings. It did not have authority to establish or promulgate rates.

In 1907, there was created by Legislative enactment a telephone commission with limited jurisdiction over telephone and telegraph companies, composed of the State Treasurer, State Auditor, and a Telephone Commissioner, said Commissioner to be appointed by the Governor for a period of two years. In the session of 1909 the Legislature repealed the 1907 law and abolished the telephone commission and enacted a law under which the rates and service of telephone and telegraph companies were placed under the jurisdiction of the Board of Railroad Commissioners under comprehensive regulatory law, which, with certain changes by succeeding legislatures, is now the law relating to rates and service of telephone and telegraph companies.

In 1890, the Legislature placed the regulation of grain warehouses under the jurisdiction of the Board. That law, with amendments, subsequently enacted, is still in force.

In 1925, the Legislature enacted laws under which the property storage warehouses were placed under the supervision of the Board of Railroad Commissioners. Previous to such enactment this supervision was exercised by the Secretary of State.

Under the laws of 1923, the supervision and control of motor carriers was placed under the jurisdiction of the Board of Railroad Commissioners with more or less limited powers and duties. In 1925, the Legislature enacted a very comprehensive Motor Carrier Act under which supervision or regulation of motor carriers is now conducted.

Testing and inspection of heavy scales weighing one thousand pounds and over has been continuously under the jurisdiction of this Department since 1937.

The name was changed from Board of Railroad Commissioners to Public Utilities Commission (PUC) on July 1, 1939. For over twenty years the South Dakota PUC membership has remained intact, the three members thereof being re-elected from time to time at general elections for terms of office being staggered for a term of six years each. With the enlargement and expansion of State government generally, additional duties and responsibilities have been evolved upon the PUC by the Legislature. To adequately perform its functions, the PUC has established certain departments and divisions which presently consist of accounting, legal, rates and service charges, motor carrier director, grain elevator and storage warehouse supervisor, and scale inspection department.

The 1974 South Dakota Legislature passed into law Senate Bill 261 and it will give regulatory authority over the gas and electric industries in the State of South Dakota to the South Dakota PUC. The bill was signed by Governor Richard Kneip on March 28, 1975, and the law became effective July 1, 1975. Since then, the Legislature amended the statute. Main changes are Rural Electric Cooperatives no longer must apply to the PUC for rate increases. They are subject to PUC regulation only in as far as territorial service areas are concerned. Another change in the regulatory statute is when Investor Owned Utilities now request a rate increase (electric and natural gas), the Commission can suspend for up to nine months, not allowing the increase to be put into force under bond before a final determination is made by the Commission. Further, private power and gas companies are assessed $50,000 for any rate increase request more than $500,000 to defray hearing costs. Amended statute became effective July 1, 1976.

## TENNESSEE PUBLIC SERVICE COMMISSION

The Tennessee Public Service Commission (PSC), formerly the Railroad and Public Utilities Commission was created in 1897.

The PSC consists of three members, each elected by popular vote for a six-year term. By statute each grand division of the State of Tennessee has a representative member on the PSC and the Commissioners themselves elect a Chairman and Secretary biennially.

The PSC regulates the rates and service of electric, gas, telephone, telegraph, power transmission, pipeline, and water utilities; railroads, railway express, intrastate common motor carriers and intrastate contract motor carriers. It also has jurisdiction over highway-railroad crossings, issuance of public utility securities. In addition innumerable matters are informally processed; investigations and studies, informal complaints handled.

The PSC must apply legal standards set forth in the regulatory statutes, such as "reasonably adequate service and facilities, charges which are reasonable and just, public safety, and public convenience and necessity." These standards must be applied in light of the facts and circumstances as developed in each specific case.

The PSC assesses for ad valorem taxes all utilities, railroads and motor carriers under its jurisdiction, and inspects all gas, railroad and motor carrier companies for safety.

Tennessee has been a member of the NARUC since 1898.

# AGENCY HISTORY

## TEXAS AERONAUTICS COMMISSION

Article 9, Section 12, of the Constitution of Texas, Airport Authorities, states that the Legislature may by law provide for the creation, establishment, maintenance and operation of Airport Authorities composed of one or more counties, with power to issue general obligation bonds, revenue bonds, either or both of them, for the purchase acquisition by the exercise of the power of eminent domain or otherwise, construction, reconstruction, repair or renovation of any airport or airports, landing fields and runways, airport buildings, hangers, facilities, equipment, fixtures, and any and all property, real or personal, necessary to operate, equip and maintain an airport, and spells out additional provisions concerning such Airport Authorities.

Article 46 (c) of the Texas Civil Statutes declared it to be in the public interest of the State and aeronautical progress to provide for the protection, promotion and development of aeronautics and spell out the terms of office of six Commissioners that comprise the Texas Aeronautics Commission (created in 1945) and contains related details.

The Commission, and its Director acting under its authority, is empowered and directed to encourage, foster, and assist in the development of aeronautics in this state and to encourage, aid, and assist in the establishment of airports and airstrips and air navigational facilities in this state, and, as to lands, or portions thereof, or navigational aids or facilities donated or given to the state, or to the Texas Aeronautics Commission to be held by it in trust for the state, the Texas Aeronautics Commission may control, administer, and have jurisdiction thereover, and may lease the same on the terms hereafter provided. The Commission and its Director may cooperate with and assist the United States, municipalities or other governmental subdivisions of this state, or persons engaged in aeronautics or in the development of aeronautics, and may endeavor to coordinate the aeronautical activities of such others, and, municipalities and governmental subdivisions are authorized to cooperate with the Commission in the development of aeronautics and aeronautical navigational facilities or aids in this state.

The Commission has authority to: (1) Promulgate and administer economic and safety rules and regulations over air carriers, being vested with broad discretion in promulgating such rules and regulations; and (2) To enter into contracts which it determines necessary or advisable to carry out the purposes of the Act.

## TEXAS PUBLIC UTILITY COMMISSION

The Public Utility Commission of Texas was created by an Act of the 64th Legislature (Art. 1446C, Vernons Annotated Civil Statutes) in June of 1975. The Rules of Practice and Procedure were adopted November 17, 1975. The purpose of these rules is to provide an orderly and efficient system of procedure before the PUC in order to facilitate the administration of the laws of the State within the jurisdiction of the Commission. On May 24, 1976, the Substantive Rules were adopted. These rules are intended to establish a comprehensive regulatory system to assure rates, operations and services which are just and reasonable to the consumer and utilities and to establish the rights and responsibilities of both the utility and consumer.

On September 1, 1976, the PUC obtained original jurisdiction for telephone utilities and appellate jurisdiction for electric, water and sewer utilities.

The Commission's Administration Division, which performs various administrative and supportive functions, was created effective September 1, 1978. This division is composed of the following sections: Consumer Affairs, Fiscal Services, Personnel, Records and Public Information, and Staff Services.

In May, 1979, a bill was signed into law which removed Commission jurisdiction over non-profit water supply corporations.

## TEXAS RAILROAD COMMISSION

The Texas Railroad Commission was created in 1890 for the primary purpose of regulating the railroad industry within the state.

Then as now, the Commission was composed of three members. At first they were appointed by the governor, but political activity on the part of the railroads led to legislation that made the office of Railroad Commissioner an elective post. Commissioners are elected by popular vote of Texas citizenry to serve overlapping six year terms.

The modern day Railroad Commission consists of five regulatory divisions and seven executive, administrative and support divisions. The regulatory echelon is comprised of the Gas Utilities Division, Transportation Division, Oil and gas Division, Surface Mining and Reclamation Division and the Liquefied Petroleum Gas Division.

Functions of the Gas Utilities Division include auditing gas utility companies; auditing quarterly and annual reports of natural gas utilities to verify gross receipts taxes accruing to the State of Texas; monitoring natural gas pipeline operators; and insuring the availability of a safe and secure supply of reasonably priced natural gas in regard to those cost factors which are determined pursuant to actions taken by the Commission.

The Commission has appellate jurisdiction over gas utility rates within municipalities and primary jurisdiction over such rates in unincorporated areas.

The Transportation Division provides a regulatory framework for intrastate motor carriers, intrastate bus operators, intrastate railroads, transportation brokers, certified interstate carriers and exempt interstate carriers operating in Texas. The Division directs its efforts toward establishing a sound, safe and economical ground transportation system to serve the distribution needs of the citizens and industry of Texas.

The Oil and Gas Division -- largest of the Commission's regulatory echelons -- is delegated these statutory responsibilities: to prevent the waste of oil, gas and geothermal resources; to protect the environment; to insure the safety of the general public; to retain and disseminate resource data; to protect correlative rights; to require the conservation of energy in oil, gas and geothermal activities; and to

## TEXAS RAILROAD COMMISSION
(Continued)

protect the energy consumer by insuring that the energy supply is not depleted by waste. These responsibilities are achieved by promulgating and enforcing statewide rules, by conducting hearings and issuing decisions as prudently and fairly as possible, by enforcing statutory provisions through inspections and by regulating production through a system of proration and allocation.

The Surface Mining and Reclamation Division has responsibilities that include the control and regulation of all exploration and surface mining of lignite, coal, and uranium; insitu gasification of lignite, and the reclamation of the lands disturbed by such mining operations. The division's actions are directed toward preventing the adverse effects to society and the environment, which might result from unregulated mining operations, and protecting the rights of landowners and other persons with legal interest in the land.

The liquefied Petroleum Gas Division is concerned with reducing the number of liquefied petroleum gas related accidents in Texas. These activities include conducting examinations for liquefied petroleum gas handlers; the inspection of all liquefied petroleum gas dealer outlets, storage facilities, transport and bobtail trucks; and conducting safety schools and clinics designed to educate persons about liquefied petroleum gas.

## UTAH PUBLIC SERVICE COMMISSION

The Act creating the Public Utilities Commission of Utah was passed in 1917 Legislature. The name of the Commission was changed to the Public Service Commission (PSC) in 1935. Prior to 1969 the members of the PSC were members of the Commission of Business Regulation which exercised the administration, quasi-judicial functions, and rule making functions of the Department of Business Regulation. In 1969 the Legislature reorganized the PSC and removed all duties and power related to administrative functions and transferred them to an executive director of the Department of Business Regulation. The quasi-judicial functions and rule making functions are exercised by the PSC usually in a formal hearing process. The three members of the PSC are appointed by the Governor with the consent of the Senate. Their term of office is for six years with one member's term expiring each odd numbered year.

The PSC is ". . . vested with power and jurisdiction to supervise and regulate every public utility in this State. . . ." The term "public utility" includes common carriers (railroads, street railroads, motor carriers, and others), gas companies, electric companies, telephone companies, telegraph companies, culinary water companies, sewerage companies, warehousemen, and companies providing heating service. Under a decision of the Utah Supreme Court handed down in 1928, municipally owned and operated utility systems are not subject to the PSC's jurisdiction.

In a word it may be stated that the major function of the PSC is to regulate public utilities in such a manner that adequate service is rendered at the lowest reasonable price commensurate with the financial stability of the agencies performing the service. In addition to regulating the rates the PSC's authority extends to such matters as service standards, safety appliances and protection devices, and accounting. It also issues certificates of convenience and necessity to motor carriers and other utilities, investigates accidents occurring on the properties of public utilities, and handles many informal complaints against utilities.

The PSC's orders are reviewable by the Supreme Court of Utah on a writ certiorari. Cases taken to the Supreme Court are heard by that Court on the record of the PSC as certified by it.

Legal services are provided to the PSC by the office of the State Attorney General. One of the Assistant Attorneys General is assigned to the PSC and he acts as counsel for the staff in formal proceedings and performs other legal services required.

## VERMONT PUBLIC SERVICE BOARD

The Vermont Public Service Commission was originally organized in 1886. The State Utilities Regulatory Commission laws were changed in 1908 and on March 1, 1961, the Legislature changed the name from the Public Service Commission to the Public Service Board. The Department of Public Service consists of a Chairman who devotes his full time to the duties of this office. The other two members of the Public Service Board are required, by law, to devote such time to the duties of their office as the matters before the Board shall require, where an order of the Commission is appealed direct to the Supreme Court of Vermont. The court may reverse or reform the judgments, orders of the Board and may remand a course to it with such mandates, as law of equity shall require. The Board shall enter judgments, orders or decrees in accordance with such mandates.

The Vermont Agency of Transportation has taken over the PSB's responsibility in regard to railroads, common carrier trucks, motor buses and ferries.

## VIRGIN ISLANDS PUBLIC SERVICES COMMISSION

The Virgin Islands Public Services Commission (PSC) was called the Virgin Islands Public Utilities Commission until its name was changed by Act No. 2722 of May 22, 1970. Prusuant to Act No. 3799 of April 13, 1976, the PSC consists of nine members selected as follows: (1) seven members appointed by the Governor with the advice and consent of the Legislature; and (2) two members of the Legislature appointed by the President thereof, one a resident of St. Thomas-St. John, and one a resident of St. Croix, who shall serve as non voting members thereof.

Of the seven members appointed by the Governor, three members shall be citizens residing in St. Thomas, three members shall be citizens residing in St. Croix, and one member shall be a citizen residing in St. John.

The PSC has regulatory responsibility over the rates and services of the following types of public utility services when provided by a private entity for compensation: lighterage and cargo handling; dockage, wharfage, or related cargo services; telephone service; water supply services, except retail deliveries; electric power service; and passenger services by motor buses or trucks.

## VIRGIN ISLANDS PUBLIC SERVICES COMMISSION
(Continued)

Unless otherwise fixed by law, the rates for any such public utility services supplied by the Government of the Virgin Islands shall be fixed in the same manner as the rates for public utility services furnished by a private entity. When supplied by the Federal Government, none of these services shall be deemed to be a public utility.

Joint or combined use of tracks, conduits, poles, wires, switchboards, exchanges work, or other equipment -- including arrangements for interchange and interconnection -- by two or more public utilities (including those provided by any agency or instrumentality of the Government of the Virgin Islands) is permitted -- and may be required by the PSC -- for reasonable compensation if such joint use will not result (1) in irreparable injury to the owners or other users of such equipment; or (2) in substantial detriment to the service to be rendered by such owners or other users. Any agency or instrumentality of the Federal Government may consent to such joint use or arrangement applicable to Federally-owned facilities. The PSC has authority to enforce compliance with its regulations and may suspend proposed rates for not more than five months beyond the time when they would otherwise go into effect. Subject to certain limitations, the PSC may assess the cost of any proceeding involving a public utility with a net investment of $1 million or more against the utility.

Effective November 11, 1976, the PSC was given regulatory responsibilities over certain ferryboat services.

## VIRGINIA STATE CORPORATION COMMISSION

The State Corporation Commission (SCC) of Virginia was created by the Constitution of 1902 to create, supervise and regulate corporations; all corporations and not merely public utility corporations. Consequently, it is the supervisory agency for banking and insurance, small loan companies, etc. The legislature of the State can add to the SCC's duties and can pass regulatory laws; but the SCC is vested with the sole legislative power to fix the rates of railroad, telephone, gas and electric companies. Such additional legislative powers as the legislature has delegated to it from time to time are purely legislative.

The Constitution also makes the SCC a court of record with power to enforce its own judgments through its own bailiff. In other words, it has legislative, judicial, administrative and executive powers; and the doctrine of the separation of powers does not apply to it. A person aggrieved by the action or inaction of the SCC is given his "day in court" in the SCC's own courtroom. Any interested party has the right to appeal from any decision of the SCC to the Supreme Court of Virginia. The SCC allows anybody who wants to, to intervene in any case. An aggrieved person (such as a motorist whose insurance premiums have been increased) can take an appeal even though he did not appear in the case.

The SCC is composed of three members elected for staggered six-year terms by the General Assembly of Virginia. The Assembly has never failed to reelect a Commissioner. The Constitution forbids Commissioners to practice law or to own stock in any corporation whose rates they regulate or in any bank, insurance company or other financial corporation subject to detailed supervision. The SCC can revoke the charter of any corporation that persists in violating the law; but that is not the same thing as detailed supervision.

The SCC assesses for local taxation the property of all public utilities, and assesses and collects the State taxes on them. The SCC has a staff of 400 plus and its expenses are paid for mainly by fees and special taxes. Its expenses of collecting motor fuel taxes are paid out of the collections. The taxes on aviation gasoline are not collected by the SCC but are turned over to it to use to assist localities to improve their public airports.

The principal reason that motivated the framers of the 1902 Constitution to make this SCC both a legislative and a judicial body was so that it could curb the abuses of the railroads. (In 1902 the Interstate Commerce Commission did not have authority to fix railroad rates.) Its predecessors were the Railroad Commissioner and the Board of Public Works. Before the Civil War the State of Virginia invested large sums in railroads and canals, and the Board of Public Works represented the State as a stockholder. All of those enterprises except the Richmond, Fredericksburg & Potomac R.R. were bankrupted by the War. This Commission still votes the State's stockholdings in the R.F. & P. and appoints one of its directors.

The Constitution provides that the SCC shall adopt its own rules of practice and procedure not inconsistent with those made by the General Assembly: and the SCC has taken advantage of that power to abolish all forms of red tape. A few years ago it promulgated a rule of procedure providing for the rendition of declaratory judgments, and this has proven very useful.

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

Prior to the adoption of Commission form of regulation the legislature undertook, among other things, the task of prescribing maximum rates. With the growth of the State, problems of rate-making and regulation increased in complexity and in 1905 the legislature provided for a railroad-commission with jurisdiction limited to railroad and express companies and expanded it in 1909 to include telephone and telegraph companies, and in 1911 vested it with jurisdiction over steam boats, sleeping cars, wharfingers, port districts, electric, gas, water and irrigation public service companies. In 1911 the name was changed to Public Service Commission.

In 1915 pipelines were placed under Commission jurisdiction. In 1919 toll bridges were also placed under Commission jurisdiction.

Numerous changes in the physical makeup of the Commission and its responsibilities followed during the ensuing years. For instance, in 1921 the Public Service Commission of the State of Washington was abolished and in its place the Department of Public Works was created under which there was a Division of Transportation and of Utilities and of Highways.

In 1923 the Division of Highways was abolished and a new Highway Department created. At the same time certain responsibilities relating to safety and inspection of appliances and equipment were transferred to the Department of Labor and Industries and jurisdiction over public terminal warehouses relating to shipping, grading, weighing and inspection of grain was transferred to the Department of Agriculture.

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION
(Continued)

However, in 1921 the Commission was vested with jurisdiction over motor vehicle carriers of property and persons for hire. In 1933 storage warehouses were placed under Commission jurisdiction.

In 1935 the Department of Public Works was abolished and the Department of Public Service created. Jurisdictional responsibilities were not changed but by that time, perhaps the title "Department of Public Works," because of its similarity in name to the Federal Public Works Administration, had an unhappy connotation which offended sensitive public officials. It is true, too, that this departmental description prompted many requests from a confused public for services and information entirely foreign to public service regulation.

In 1945 the Department of Public Service was abolished and in its stead two departments were created--that of Public Utilities and that of Transportation. Then, in 1949 the two departments were again consolidated into the Washington Public Service Commission, composed of three members--the latest change occuring in 1951 when the Legislature made the Commission bipartisan, requiring by law that no more than two members of the Commission shall belong to the same political party. It was further provided that Commissioners should be appointed by the Governor, with the consent of the Senate, for staggered six-year terms. One Commissioner is designated by the Governor to serve as Chairman of the Commission. No member may be removed except for inefficiency, malfeasance or misfeasance in office upon specific written charges filed by the Governor and after a hearing before a special tribunal appointed by the Chief Justice of the Supreme Court. In 1961 the Legislature again changed the name, this time to the Washington Utilities and Transportation Commission (WUTC), but organization and functions of the Commission remained unchanged, as did the appointment and terms of office of the Commissioners.

The jurisdiction of the WUTC consists of the regulation, in the public interest, of the rates, services, facilities and practices in the broadest terms of those engaged in the transportation of persons and property and related activities; and those engaged in supplying any utility service or commodity to the public for compensation; and related activities; and to prescribe such rules and regulations as may be necessary to carry out its duties. It also regulates interstate common and contract carriers of persons and property by motor vehicle to the extent permitted by the constitution and laws of the United States and cooperates with the Federal agencies delegated or authorized to regulate interstate or foreign commerce. The WUTC is also required to regulate certain activities of non-public service companies to the extent considered in the interest of public safety, such as the safety of interstate natural gas pipelines and logging and industrial railroads with respect to establishment, inspection and maintenance of grade crossings and separations, and as to apportioning the cost of signals, safety devices and traffic separations when parties in interest are unable to agree on the division of costs.

In 1969, the Washington Legislature authorized the Commission to regulate passenger charter carriers whereby no charter party carrier of passengers shall engage in transportation services without first having obtained from the Commission a certificate that public convenience and necessity require such operation.

Also in 1969, the Legislature created in the State Treasury a Grade Crossing Protective Fund and gave the responsibility of administering said Fund to the Commission. Briefly stated, the Grade Crossing Protective Fund provides for a definite division of costs relative to installing and maintaining automatic grade crossing signals or other protective devices among the Fund, the city, town, county or state highway authorities and the railroad companies on new and existing railroad grade crossings. It further provides a definite division of costs between the Fund and the railroad companies for the annual maintenance of such signals or devices. The percentages by which the costs are divided are specified by statute.

Jurisdiction over logging and industrial railroads has been retained insofar as it concerns annual inspections of all grade crossing, undercrossings and overcrossings of such railroads.

## WEST VIRGINIA PUBLIC SERVICE COMMISSION

The Public Service Commission (PSC) for the State of West Virginia was created by the State Legislature in 1913.

Previously, all regulation of utilities had been in the hands of either the Board of Public Works or the State Road Commission. Following the enactment of the original legislation, the governor appointed four Commissioners who met June 5, 1913, and elected a Chairman. The Commissioners were appointed for two, four, six, and eight years on a staggered basis.

In addition to public carriers, public utilities, and hydro-electric dams, the Commission was charged with administration of a newly enacted Workmen's Compensation Fund--the first in the State's history. Each Commissioner was assigned to a different branch in the new department and was required to report to the Chairman. Some 488 public utilities came under the Commission's jurisdiction the first year, including 141 different telephone and telegraph companies.

Two divisions were set up in the original organization, one dealing with utilities and carriers, and the other with the Workmen's Compensation Fund. Under the divisions, bureaus were formed for various phases of regulatory work.

However, after two years it became apparent that further legislation was needed, and laws were passed which reduced the Commission to three members. At the same time the Workmen's Compensation Fund was removed from the PSC's jurisdiction and set up as a separate department of state government. In that same year the Commission was given powers to prescribe and enforce accounting systems, require reports from utilities, and employ experts to determine facts upon which lawful rates might be based. The 1915 reorganized Commission became active on June 1, with two former governors and a future United States Circuit Judge as Commissioners. The three-man Commission is in effect today.

The Commissioners are appointed by the Governor, with the advice and consent of the Senate, for alternating terms of six years. The Commission is not bound by technical rules of evidence or procedure. It conducts hearings, enters orders, and its secretary is charged with keeping true records of all the acts and proceedings.

## WEST VIRGINA PUBLIC SERVICE COMMISSION
(Continued)

The first adequate financing became a reality in 1935 when the Legislature broadened its functions and increased its facilities by providing $150,000 annually for its support. This amount was to be levied by the State Auditor upon all utilities in proportion to their intrastate revenues, and was in addition to the $80,000 previously apportioned among the utilities on a basis of assessed valuations. Increased financial support has been provided for by the Legislature since that time as staff organization and duties of the Commission have expanded.

Today the Commission has jurisdiction over all railroads, electric power companies, gas distributors, for-hire motor vehicles, express companies, water and sewerage companies, ferries, toll-bridges, and public dams over 10-feet in height. Departments are administration, legal research, accounting, engineering and motor carrier.

The Commission has full powers to require reports, audit books, determine proper rates, and has legal authority to enforce its decisions. It issues certificates of public convenience and necessity, prevents unreasonable practices, requires uniform systems of account and detailed inventories, prevents discrimination, and prohibits the discontinuance of any facility or the changing of rates without approval.

## WISCONSIN PUBLIC SERVICE COMMISSION

The Public Service Commission (PSC) of Wisconsin is one of the pioneer state utility regulatory bodies and has broad supervision over public utilities.

Regulation of Public Service Companies in Wisconsin dates back to 1874, when railroads were first regulated by a three-person Board of Railroad Commissioners. In 1876 a single Railroad Commissioner replaced this board, and in 1881 the office became elective. The single Commissioner system was used until 1905, when the three member Railroad Commission was created. In 1931, the present PSC succeeded the Railroad Commission. Regulation of gas, electric, telephone and water utilities began in Wisconsin in 1907; and of common carriers in 1927. A comprehensive law for the regulation of buses and trucks was adopted in 1933. In 1975 regulation of motor carriers and railroads was removed from the PSC and transferred to the newly created Wisconsin Transportation Commission.

The PSC is comprised of three Commissioners appointed by the Governor for six-year terms and confirmed by the Senate. These staggered terms consecutively expire on March 1, of each odd-numbered year. The Governor designates a member as Chairman for a two-year term.

The Wisconsin PSC regulates the rates and service of electric, gas, telephone, heating, water and sewer utilities. It also has jurisdiction over the issuance of public utility securities. Innumerable matters are informally processed, investigations and studies, informal complaints handled, continuing records maintained and work performed on a cooperative basis with other state agencies.

Developments in recent years reflected in current proceedings are associated with the limited availability of materials, gas, nuclear and coal-fired power plants construction, new concepts in rate design to place the cost burdens properly, the provision of revenue and energy conservation.

For efficient conduct of its business the PSC staff is divided into six divisions. They are Administrative Services; Systems Planning, Environmental Review and Consumer Analysis; Examining, Engineering, Utility Rates and the Accounts and Finance Divisions.

Wisconsin has been a NARUC member since 1905.

## WISCONSIN TRANSPORTATION COMMISSION

The Transportation Commission was created by Chapter 29, Laws of 1977, to perform quasi-judicial responsibilities for motor carrier and rail regulation and to provide the citizens with an independent body for appeals on any transportation matters. All regulatory responsiblity previously performed by the Public Service Commission as outlined under Wisconsin Statutes, Chapter 194 for the motor carrier regulation and Chapters 190-193 and 195 for railroad regulation, are now the responsibility of the Transportation Commission.

## WYOMING PUBLIC SERVICE COMMISSION

The Public Service Commission (PSC) of Wyoming was created as a statutory agency in 1915 by the 13th State Legislature with three members consisting of the Governor, State Treasurer, and State Auditor. In 1919 the Legislature provided that the three appointed members of the State Board of Equalization act as ex officio members of the PSC. In 1963, the Board-PSC was increased to five members, and in 1968 the 40th Legislature split the PSC and the Board into separate agencies; the Board becoming the State Tax Commission. The three bi-partisan PSC members are appointed by the Governor with the advice and consent of the Senate.

The Wyoming Public Utilities Act (Title 37, Chapters 37-1-101 through 37-12-213, Wyoming Statutes 1977, as amended) gives the PSC general and exclusive power to regulate public utilities as defined thereby which includes: electric, gas, water, steam, pipeline, telephone, telegraph, rail, motor carriers, and airline utilities. Under the Act the following activities are exempted: Interstate operations when preempted by the Federal government, municipal electric and gas operations within municipal corporate limits, municipal water operations, mutual water and telephone operations, gas and oil field development uses, producer sales to industry, and taxicab operation within municipal corporate limits.

The PSC is certificated and authorized by the Federal Department of Transportation to act in the area of Wyoming intrastate and interstate natural gas pipeline safety. It has been designated by the Governor and is empowered by statute to meet the federal requirements to enable it to continue to act in the area of railroad safety; but the Wyoming Legislature has not authorized the program.

## WYOMING PUBLIC SERVICE COMMISSION
(Continued)

The PSC has jurisdiction and authority under the Public Utilities Act to:

Determine and fix just reasonable, and nondiscriminatory rates and charges and service rules and regulations for all utilities as defined by law;

Suspend rate increases pending investigation and investigate any matter if required by the public interest;

Regulate safety and environmental aspects of utility construction and operation;

Inspect and examine books, records, accounts, property, plant and facilities of Wyoming public utilities and to prescribe a uniform method to be observed and followed by them in keeping such books, records, and accounts;

Adjudicate in public hearings matters presented to it on formal application and matters of public interest import which the PSC has, under its statutory prerogative, determined should be heard;

Grant rehearings and prosecute appeals;

Issue subpoenas, administer oaths, and take depositions;

Hear and/or determine formal and informal complaints relating to any aspect of a utility's operation;

Formulate rules and regulations relating to practice and procedure before the PSC, filing of rates and tariffs, reporting, and any other aspect of utility endeavor;

Act on all matters relating to the issuance, amendment, transfer, cancellation, and enforcement of certificates of public convenience and necessity;

Fix safety, service and construction standards for utility operations and to enforce the same;

Act as statutory arbitrators of controversies between a public utility and other persons;

Approve or deny applications of Wyoming gas and electric utilities for authority to issue and sell securities;

Represent the State's interest in matters concerning public highway-railroad grade crossings and protective devices installed in conjunction therewith (Chapter 7.1 of Title 37, Wyoming Statutes 1957, as amended), and to regulate railroad fireguard protection on railroad rights-of-way and adjacent thereto;

Other matters relating to the regulation of Wyoming public utilities in the public interest in accordance with the Act; and

Represent the PSC before and cooperate with the Federal Power Commission (now the Federal Energy Regulatory Commission), Federal Communications Commission, Interstate Commerce Commission, Securities and Exchange Commission, Department of Transportation, the Civil Aeronautics Board, and other Federal regulatory agencies.

The PSC coordinates its regulatory action with those of other State and Federal agencies by:

Maintaining lists of all State agencies which have an interest in any particular type of matter before the PSC; and prior to the PSC granting requested authority it contacts or requires utilities to contact and obtain authority from such interested agencies;

Contacting other State agencies with the additional purpose of serving the public interest swiftly without undue expense or delay;

Providing information or assistance as requested by the Highway Department in the enforcement of motor carrier laws; and

Cooperating and coordinating directly and on a continuing basis with Federal and other State regulatory agencies in carrying out utility and motor carrier safety programs.

The PSC is a quasi-judicial body which conducts its business on the basis of the majority vote of the PSC in executive session. Its administrative orders and determinations are subject to review by the Courts.

The Attorney General or his appointed assistant is the legal counsel for the PSC.

## QUALIFICATIONS OF COMMISSIONERS

The qualifications for the position of Commissioner, and the legal citation therefor when reported, are summarized below alphabetically with participating Federal agencies listed first.

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### CIVIL AERONAUTICS BOARD

Section 201(b) of the Federal Aviation Act of 1958, as amended reads "The members of the Board shall be appointed with due regard to their fitness for the efficient dispatch of the powers and duties vested in and imposed upon the Board by this Act. Each member of the Board shall be a citizen of the United States and no member of the Board shall have any pecuniary interest in or own any stock in or Bonds of any civil aeronautics enterprise. No member of the Board shall engage in any other business, vocation, or employment."

### FEDERAL COMMUNICATIONS COMMISSION

Each Commissioner must be a citizen of the United States. Commissioners are appointed by the President, by and with the advice and consent of the Senate. No Commissioner can have a financial interest in any Commission-regulated business. No more than four Commissioners may be members of the same political party. Appointments are for seven years, except in filling an unexpired term.

### FEDERAL ENERGY REGULATORY COMMISSION

The Commissioners are appointed by the President, by and with the advice and consent of the Senate.

### INTERSTATE COMMERCE COMMISSION

Commissioners on the Interstate Commerce Commission are appointed by the President of the United States with advice and consent of the United States Senate. No law specifies particular qualifications a Commissioner must possess to be appointed, but at least in recent years, each member has faced searching questions relating to qualifications in the Senate confirmation process.

The Chairman of the Interstate Commerce Commission is designated by the President from among the Commissioners under Section 3 of Reorganization Plan No. 1 of 1969. The Commissioners choose the Vice Chairman from the members.

The Interstate Commerce Act provides for the Commissioners to be appointed for terms of seven years each, except that any person chosen to fill a vacancy is appointed only for the unexpired term of the Commissioner whom he or she succeeded. Each may serve beyond the term until a successor is qualified and takes office.

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### ALABAMA PUBLIC SERVICE COMMISSION

No person owning any stock in any utility, or in the employment of any utility or pecuniarily interested in any utility, shall be eligible to the office of Commissioner. Title 48, Section 7, Code of Alabama 1940 (Recompiled 1958).

No two Commissioners shall be elected or appointed from the same congressional district. Title 48, Section 5, Code of Alabama 1940 (Recompiled 1958).

### ALASKA PIPELINE COMMISSION

Members of the commission shall be qualified as follows: one member shall be an attorney admitted to practice law in the state, and preferably having experience in administrative or regulatory law; one member shall be a graduate of an accredited university with a major or a degree in land management, civil engineering or transportation economics, preferably with experience in oil and gas transportation; one member shall be a graduate of an accredited university with a major or a degree in finance, accounting, or, if not otherwise represented on the commission, transportation economics. No member of the commission may hold any other state or federal office, position or employment, either elective or appointive, except as a member of the armed forces of the United States or of this state. (AS 42.06.060)

### ALASKA PUBLIC UTILITIES COMMISSION

Sec. 42.05.040. Members shall be qualified as follows: one member shall be a graduate of an accredited school of law; one member shall be a graduate of an accredited university with a major in engineering; one member shall be a graduate of an accredited university with a major in finance, accounting, or business administration; and two members shall be consumers. (§ 2 ch 199 SLA 1959; am § 1 ch 156 SLA 1960; am § 2 ch 213 SLA 1975)

### ALASKA TRANSPORTATION COMMISSION

Each commissioner shall be appointed with regard to his fitness and ability to exercise the powers and duties of the Commission, based upon his experience and familiarity with the principles of public utility regulations or his educational and experience background in the fields of engineering or transportation or law or business administration, finance and accounting.

### ALBERTA PETROLEUM MARKETING COMMISSION

Qualifications of commissioners is not prescribed by law.

# QUALIFICATIONS OF COMMISSIONERS

## ALBERTA PUBLIC UTILITIES BOARD

The legislation is silent with respect to qualifications of Members of the Board.

## ARIZONA CORPORATION COMMISSION

The Airzona Constitution, Article XV, Section 1 declares, "The qualifications of Commissioner may be prescribed by law." Section 38-201 of the Arizona Code requires public officers to be at least 18 years of age, a citizen of the United States and able to speak, write and read the English language. In addition, the 1939 decision in Stapelton v. Frohmiller, 53 Ariz. 11, 85 p. 49, declared that an officer of the State of Arizona must be a qualified elector thereof.

## ARKANSAS PUBLIC SERVICE COMMISSION

At least one of the three Commissioners "shall be a lawyer" and none shall have a pecuniary interest in any utility or affiliate (Ark. Statutes 73-101). Each Commissioner must be a State resident for five years before appointment and be bondable.

## ARKANSAS TRANSPORTATION COMMISSION

A Commissioner must have been a resident of the State of Arkansas for a minimum of five years.

## CALIFORNIA PUBLIC UTILITIES COMMISSION

Each Commissioner must be qualified elector of the State. He may not be in the employ or hold official relation to a regulated entity of the Commission or hold stock, bonds, or be pecuniarily interested therein. California Constitution, Article XII, Section 22.

## CONNECTICUT DIVISION OF PUBLIC UTILITY CONTROL

"Sec. 16-2(a), There shall continue to be a public utilities control authority, which shall consist of five electors of this state, appointed by the governor with the advice and consent of both houses of the general assembly. Not more than three members of said authority in office at any one time shall be members of any one political party. On or before July 1, 1979, the governor shall appoint three members who shall serve for a term of four years and two members who shall serve for a term of six years from the date of their appointment and thereafter all members shall serve for a term of four years....

(c) Any matter coming before the authority may be assigned by the chairperson to a panel of three commissioners, not more than two of whom shall be members of the same political party....

(d) The commissioners of the authority shall serve full-time and shall make full public disclosure of their assets, liabilities, and income at the time of their appointment and thereafter each member of the authority shall make such disclosure on or before July 30 of each year of his or her term, and shall file such disclosure with the office of the secretary of the state....

(e) To insure the highest standard of public utility regulation, at least three commissioners of the authority shall have training or experience in at least one of the following fields: Economics, engineering, law, accounting or finance and, further, at least two of these fields shall be represented on the authority at all times."

## FLORIDA PUBLIC SERVICE COMMISSION

Pursuant to revisions to Chapter 350, Florida Statutes, enacted by the 1978 Legislature, no person shall be recommended to the Governor by the Florida Public Service Commission Nominating Council unless the Council is satisfied that the person is competent and knowledgeable in one or more fields which include, but are not limited to, public affairs, law economics, accounting, engineering, finance, natural resource conservation, energy, or another field substantially related to the duties and functions of the Commission.

Additionally, Section 350.04, Florida Statutes, prescribes the qualifications of Commissioners as follows: "The Commissioners provided for in this Chapter shall not, jointly or severally, or in any way be holders of any railroad stock or bonds or any utility stock or bonds, or be the agent or employee of any railroad company or have any interest in any railroad company or be the agent or employee of any utility company or have any interest in any utility company during their respective terms of office."

## GEORGIA PUBLIC SERVICE COMMISSION

"93-202: Qualifications of Members of Commission: The commissioners shall not jointly or severally, or in any way, be the holders of any railroad stock or bonds, or be agents or employees of any railroad company, or have any interest in any way in any railroad or other corporation under the jurisdiction of the Commission, and shall so continue during the term of office; and in case any commissioner becomes disqualified in any way, he shall at once remove the disqualification or resign, and on failure so to do he must be suspended from office by the Governor and dealt with as hereinafter provided. Any person 30 years of age, who is qualified to vote as an elector, and who is not directly or indirectly interested in any mercantile business or any corporation that is controlled by or that participates in the benefit of any pool, combination, trust, contract, or arrangement that has the effect of increasing or tends to increase the cost to the public of carriage, heat, light, power, or of any commodity of merchandise sold to the public, shall be eligible to membership on said commission, without reference to his experience in law or railway business. (Acts 1878-79, pp. 125, 126, 1907, p. 72)."

## HAWAII PUBLIC UTILITIES COMMISSION

Section 269-2 of Hawaii Revised Statutes provides that in appointing Commissioners, the Governor shall select persons who have had experience in accounting, business, engineering, government, finance, law, or other similar fields. The Commissioners shall devote full-time to their duties as members of the Commission and no Commissioner shall hold any other public office or other employment during his term of office. No person owning any stock or bonds of any public utility corporation, or having any interest in, or deriving any remuneration from any public utility shall be appointed a Commissioner.

## IDAHO PUBLIC UTILITIES COMMISSION

Each commissioner shall be a qualified elector of this state, and no person while in the employ of or holding any official relation to any corporation or person, which said corporation or person is subject in whole or in part to regulation by the commission, and no person owning stocks or bonds of any such corporation or who is in any manner pecuniarily interested therein shall be appointed to or hold the office of commissioner or be appointed or employed by the commission; provided, that if such person shall become the owner of such stocks or bonds or become pecuniarily interested in such corporation otherwise than voluntarily, he shall within a reasonable time divest himself of such ownership or interest; failing to do so, his office or employment shall become vacant. Section 61-207, Idaho Code.

## ILLINOIS COMMERCE COMMISSION

By statute, five members are appointed by the Governor with the advice and consent of the Senate. These members are appointed to serve non-concurrent five year terms and no more than three of the five shall be members of the same political party. One member of the five is designated by the Governor to serve as Chairman.

## INDIANA PUBLIC SERVICE COMMISSION

At least two of the three commissioners on the PSC shall be attorneys qualified to practice law before the Supreme Court of Indiana, and not more than two shall belong to the same political party. The PSC Chairman is designated by the Governor. No commissioner, nor any person appointed to any position or employed in any capacity to serve the PSC, shall have any official or professional relationship or connection with, or hold any stock or securities or have any pecuniary interest in any public utility operating in the State. Also, each commissioner shall devote full time to PSC duties and shall not be actively engaged in any other occupation, profession or business.

## IOWA STATE COMMERCE COMMISSION

Chapter 474.2, Code of Iowa 1977 - Certain Persons Barred from Office. No person in the employ of any common carrier or other public utility, or owning any bonds, stock or property in any railroad company or other public utility shall be eligible to the office of Iowa state commerce commissioner or secretary of the commerce commission; and the entering into the employ of any common carrier or other public utility or the acquiring of any stock or other interest in any common carrier or other public utility by such commissioner or secretary after his appointment shall disqualify him to hold the office or perform the duties thereof.

## IOWA TRANSPORTATION REGULATION BOARD

No more than two commissioners shall belong to the same political party. Section 307.15, 307.16 and 307.17, Code of Iowa, 1975.

## KANSAS STATE CORPORATION COMMISSION

Kansas Statutes Annotated 74-605 provides that commissioners must be qualified electors and precludes a conflict of interest. As a matter of practice, the majority of commissioners have been attorneys.

## KENTUCKY ENERGY REGULATORY COMMISSION
## KENTUCKY UTILITY REGULATORY COMMISSION

Each commissioner must be a resident and qualified voter of the State, not less than 25 years of age at the time of appointment and shall have resided in the State for at least three years prior to his appointment.

## KENTUCKY RAILROAD COMMISSION

To hold the office of commissioner a person must be thirty years of age, have lived in the District one year, and must live in the District in which he is elected. Kentucky Revised Statutes.

## LOUISIANA PUBLIC SERVICE COMMISSION

The qualifications for PSC commissioners are: Five years of State citizenship, an elector, and a citizen of the United States (Art. IV, Sec. 2 of the Constitution of 1974).

## MARYLAND PUBLIC SERVICE COMMISSION

Each commissioner must be at least 25 years of age, must be a registered voter resident of the State when he is appointed and when he qualifies, and must have been a Maryland resident for at least five years next preceding his appointment. Section 5, Article 78 of the Annotated Code of Maryland.

## MASSACHUSETTS DEPARTMENT OF PUBLIC SERVICE

The Commissioners shall be appointed by the Governor and their terms of office shall be coterminous with his. They shall devote their full time to the duties of their office. No more than two members of the Commission shall be members of the same political party. G.L. c. 25, § 2.

## QUALIFICATIONS OF COMMISSIONERS

## MICHIGAN DEPARTMENT OF TRANSPORTATION

Commissioners are appointed by the Governor with the advice and consent of the Senate and serve at the pleasure of the Governor. Full terms run for three years on a staggered basis, with three members being Democrats and three Republicans. Each member must give to the people of the state a bond in the penal sum of $25,000, with sureties approved by the Auditor General, conditioned upon the faithful discharge of the duties of his office, the premium to be paid from the state trunkline fund (Section 3, Public Act 286 of 1964).

## MICHIGAN PUBLIC SERVICE COMMISSION

Act No. 3 provides that "A commission to be known and designated as the 'Michigan public service commission' is hereby created, which shall consist of 3 members, not more than 2 of whom shall be members of the same political party, appointed by the governor with the advice and consent of the senate. Each member shall be a citizen of the United States, and of the state of Michigan, and no member of said commission shall be pecuniarily interested in any public utility or public service subject to the jurisdiction and control of the commission. During his term no member shall serve as an officer or committee member of any political party organization or hold any office or be employed by any other commission, board, department or institution in this state. No commission member shall be retained or employed by any public utility or public service subject to the jurisdiction and control of the commission during the time he is acting as such commissioner, and for 6 months thereafter, and no member of the commission, who is a member of the bar of the state of Michigan, shall practice his profession or act as counselor or attorney in any court of this state during the time he is a member of said commission: Provided, however, this shall not require any commissioner to retire from, or dissolve any partnership, of which he is a member, but said partnership, while he is a member of the commission, shall not engage in public utility practice. Immediately upon the taking effect of this act, the offices of the present members of the Michigan public service commission are hereby abolished, and the members of the Michigan public service commission as herein created shall be appointed by the governor with the advice and consent of the senate, for terms of 6 years each: Provided, That of the members first appointed, 1 shall be appointed for a term of 2 years, 1 for a term of 4 years, and 1 for a term of 6 years. Upon the expiration of said terms successors shall be appointed with like qualifications and in like manner for terms of 6 years each, and until their successors are appointed and qualified. Vacancies shall be filled in the same manner as is provided for appointment in the first instance."

## MINNESOTA PUBLIC UTILITIES COMMISSION

The Public Utilities Commission is comprised of five commissioners. The governing statute is Minn. Statute 216A.03 which states that the appointments of the Commissioners shall be by the Governor by and with the consent of the Senate. Not more than three Commissioners shall belong to the same political party. The Governor shall give consideration to persons learned in the law or persons who have engaged in the profession of engineering, public accounting or property and utility valuation as well as being representative of the general public.

## MISSISSIPPI PUBLIC SERVICE COMMISSION

The commissioners shall be at least twenty-five years of age, a citizen of the state five years next preceding the day of election, and shall continue in office during the term of four years. The commissioners shall not operate, own any stock in, or be in the employment of any railroad, common or contract carrier by motor vehicle, telephone company, gas or electric utility company, or any other public utility that shall come under thier jurisdiction or supervision. Mississippi Code, 1942, § 77-1-1

## MISSOURI PUBLIC SERVICE COMMISSION

Each commissioner, at the time of his appointment, shall be a resident of the State of Missouri, and shall have resided in said State of Missouri for a period of five years next preceding his appointment and qualification, and he shall also be a qualified voter therein and not less than twenty-five years of age. Section 386.050, RSMo, 1969.

## MONTANA PUBLIC SERVICE COMMISSION

There are no qualifications for Commissioner other than any other elected State officer. Montana has five districts and one Commissioner is elected from each district.

The terms are for four years; two Commissioners are elected at one election and the following election, two years later, the other three are elected. This is done in staggered order so that there can be no chance of having all new Commissioners at the same time.

If any Commissioner's office becomes vacant, it is filled by appointment of the Governor and lasts until the end of the term of the Commissioner who vacated the office, at which time the position is up for election.

## NEBRASKA PUBLIC SERVICE COMMISSION

The members of the PSC shall: "be resident citizens of Nebraska; be qualified voters under the Constitution and laws thereof; be, if members of or practitioners in any profession, in good standing according to established standards of such profession; and be not less than 30 years of age nor more than 68 years of age at time of taking office." Section 75-101, R.R.S. 1943

## NEW YORK PUBLIC SERVICE COMMISSION

Section 4 of the Public Service Law provides that no more than a majority of the Commission may be members of the same political party. Section 9 states that a commissioner shall not have any official relationship to the utilities regulated nor shall he own stocks or bonds in said utilities. The Commissioners, as public officers, are subject to the New York Public Officers Law. Section 3 of the Public Officers Law provides, among other things, that to be eligible to hold civil office a person shall be 18 years of age, a citizen of the United States and a resident of New York. McKinney's Consolidated Laws of New York Annotated.

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION

There are no specific qualifications cited in Transportation Law as to qualifications of Commissioners. The Commissioner is appointed by the Governor, with the advice and consent of the Senate, for an indefinite term and is subject to the Public Officers Law which provides, among other things, that to be eligible to hold civil office a person shall be 18 years of age, a U.S. Citizen and a resident of New York (Section 3). The Assistant Commissioner is appointed by the Commissioner.

## NORTH CAROLINA UTILITIES COMMISSION

The 1975 legislation provided that Commissioners shall be appointed by the Governor, subject to confirmation by the General Assembly. Each Commissioner is required to take and file with the Secretary of State an oath of office to support the Constitution and laws of the United States and the State of North Carolina and is prohibited from the practice of law while serving as a Commissioner. G.S. 62-10-13

## NORTH DAKOTA PUBLIC SERVICE COMMISSION

Members of the PSC are elected by popular vote of the people, and the three persons choosing to run for the office of PSC shall have attained the age of twenty-five years and shall have the qualifications of state electors.

## NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES

There are no specifications as to the qualifications a person must have to hold the office of Commissioner. Sec. 2(1), Public Utilities Act.

## OHIO PUBLIC UTILITIES COMMISSION

Section 4901.05 Revised Code provides the qualifications of commissioners: (1) bona fide resident of Ohio; and (2) may not hold any other U.S., or state, or local political office.

In the negative, Section 4901.04 Revised Code states that ineligible persons for Commissioner's position are those in the employ or holding any official relation to a utility or corporation subject to regulation by PUC, or a stockholder, or a person pecuniarily interested in a utility or corporation subject to regulation.

## OKLAHOMA CORPORATION COMMISSION

To hold the office of Commissioner, a person must be a citizen of the State of Oklahoma for a minimum of two years, be a registered voter, and 30 years of age. Oklahoma Constitution - Act 9, Sec. 16.

## ONTARIO ENERGY BOARD

The appointment of a Board Member is by the Lieutenant Governor in Council - Ontario Energy Board Act, section 2(2). No specific qualifications are prescribed.

## ONTARIO TELEPHONE SERVICE COMMISSION

There is no statutory qualification for appointment to the Commission. The Chairman, a barrister, is the only full-time member. All of the Commissioners are appointed by the Lieutenant Governor in Council for a term certain (The Telephone Act, Revised Statutes of Ontario 1970, Chapter 457, section 2).

## OREGON PUBLIC UTILITY COMMISSIONER

"Subject to confirmation by the Senate in the manner provided in ORS 171.560 and 17.570, the Governor shall appoint to such office for a term of four years some suitable and competent person who is a citizen of the United States and a resident of Oregon." Oregon Revised Statute 756.020 (2).

## PENNSYLVANIA PUBLIC UTILITY COMMISSION

As under prior law, each Commissioner, at the time of his appointment and qualification, shall be a resident of the Commonwealth of Pennsylvania, and shall have been a qualified elector therein for a period of at least one year next preceding his appointment, and shall also be not less than 30 years of age.

Under the 1976 legislation, certain employment restrictions and a Code of Ethics have been imposed upon the Commissioners. See 66 P.S. §454, 454.1 (§§3 and 4 of Act 216 of October 7, 1976).

## PUERTO RICO PUBLIC SERVICE COMMISSION

Section 1052, Title 27, Laws of Puerto Rico Annotated, prescribes the qualifications of commissioners as follows: "The members of the Commission shall be citizens of the United States and of the Commonwealth. No member of the Commission who is appointed by the Governor pursuant to the terms of this Part shall hold any other remunerated office or position, or engage in any business, or practice any profession, or hold any position, or serve in or under any political party committee, but shall devote all his time to the duties of his office. No commissioner shall have any direct or indirect interest in public - service companies or private carriers subject to the jurisdiction of the Commission, or in entities within, or outside of Puerto Rico affiliated with or interested in such public - service companies or private carriers"....

## QUEBEC ELECTRICITY AND GAS BOARD

The President and Commissioners are appointed by the Lieutenant Governor in Council for a period of ten years and shall remain in office, notwithstanding the expiration of their term of office, until they have been replaced or reappointed.

# QUALIFICATIONS OF COMMISSIONERS

## QUEBEC PUBLIC SERVICE BOARD

The Chairman and Vice-Chairmen of the Board must be chosen from among the judges of the Court of Session or of the Provincial Court or of the members of the Bar of the Province of Quebec. Quebec Public Service Board Act, R.S.Q. 1964, C-229, S. 3 amended by S.Q. 1972, C-56, S. 2.

## RHODE ISLAND PUBLIC UTILITIES COMMISSION

Commissioners will be selected with regard to their qualifications and experience in law and government, economics and finance, engineering and accounting. Rhode Island General Laws, Section 39-1-4.

## SOUTH CAROLINA PUBLIC SERVICE COMMISSION

S.C. Code Ann., Section 58-3-20 (1979. Cum.Supp.) provides that members of the Public Service Commission be elected by the General Assembly upon nomination by the South Carolina Public Service Merit Selection Panel (hereinafter "the Panel"). S.C. Code Ann., Sections 58-3-25 (1979 Cum Supp.) provides that the Panel "shall consider the knowledge and experience of the potential appointees in such varied fields as business, government, accounting, law, engineering, statistics, consumer affairs and finance." The Panel is further required to seek "to find the best qualified people giving due consideration to their ability and integrity."

## SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

In order to hold the office of Commissioner, a person must be a U.S. citizen, a qualified elector, age 25 or older, a resident of the State of South Dakota for two years, and have no pecuniary interest in any company regulated by the PUC.

## TENNESSEE PUBLIC SERVICE COMMISSION

The Commissioners shall be resident citizens of Tennessee and qualified voters and shall be not less than 25 years of age. Section 65-102, Tennessee Code Annotated.

## TEXAS AERONAUTICS COMMISSION

To hold the office of Commissioner, an individual must meet the following qualifications: (1) Bona fide continuous residence in the state for the ten years immediately preceeding appointment; and (2) Ten years of successful experience in business, professional or governmental activities. Article 46c (3), Vermon's Texas Civil Statutes.

## TEXAS PUBLIC UTILITY COMMISSION

To be eligible for appointment as a Commissioner, a person must be a qualified voter, not less than 30 years of age, a citizen of the United States, and a resident of the State of Texas. No person is eligible for appointment as a Commissioner if at any time during the two-year period immediately preceding his appointment he personally served as an officer, director, owner, employee, partner, or legal representative of any public utility or any affiliated interest, or he owned or controlled, directly or indirectly, stocks or bonds of any class with a value of $10,000, or more in a public utility or any affiliated interest. Each commissioner shall qualify for office by taking the oath prescribed for other state officers and shall execute a bond for $5,000 payable to the state and conditioned on the faithful performance of his duties. Article II, of the Public Utility Regulatory Act (Art. 1446C, V.A.C.S.)

## TEXAS RAILROAD COMMISSION

The members of the Railroad Commission shall be resident citizens of Texas, qualified voters under the Constitution and laws, and not less than twenty-five years of age. No member shall be directly or indirectly interested in any railroad, or in any stock, bond, mortgage, security or earnings of any railroad, and should a member voluntarily become so interested his office shall become vacant; or should he become so interested otherwise than voluntarily, he shall within a reasonable time divert himself of such interest; failing to do this, his office shall become vacant. No railroad commissioner shall hold any other office of any character, while such commissioner, nor engage in any occupation or business inconsistent with his duties as such commissioner. Art. 6447 Tex. Rev. Civ. Stat. Ann. (1925)

## UTAH PUBLIC SERVICE COMMISSION

Each commissioner at the time of his appointment shall be a resident citizen of the United States and of the State of Utah and shall be not less than thirty years of age. Utah Code Annotated, Sec. 54-1-1.5.

## VIRGIN ISLANDS PUBLIC SERVICE COMMISSION

Title 30, Virgin Islands Code Section 1 states: "No member of the Commission shall have any interest in, or be an agent or employee of, any public utility subject to this chapter."

## VIRGINIA STATE CORPORATION COMMISSION

At least one member of the SCC must have the qualifications prescribed for judges of courts of record. State Const., Art. IX, Sec. 1.

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

In order to hold the office of Commissioner a person must be a qualified elector of the State, be financially disinterested in any person or corporation subject to regulation by the Commission, be willing to take the constitutional oath of office and give bond of $20,000 for the faithful discharge of his or her duties. No more than two of the commissioners shall belong to the same political party. RCW 80.01.020

## QUALIFICATIONS OF COMMISSIONERS

### WEST VIRGINIA PUBLIC SERVICE COMMISSION

The Commissioners shall be citizens and residents of the state and at least one of them shall be duly licensed to practice law in West Virginia, of not less than ten years' actual experience at the bar. No more than two of said Commissioners shall be members of the same political party. Each Commissioner shall, before entering upon the duties of his office, take and subscribe to an oath which shall be filed in the office of the Secretary of State. The Governor designates one of the Commissioners to serve as Chairman at the Governor's will and pleasure. The Chairman shall be the chief administrative officer of the commission. W. Va. Code § 24-1-3 (1979 Cum. Supp.)

### WISCONSIN PUBLIC SERVICE COMMISSION

No member of the PSC may have a financial interest in a railroad, public utility or motor carrier. No Commissioner may serve on or under any committee of a political party. Section 15.791, Wisconsin Statutes.

### WISCONSIN TRANSPORTATION COMMISSION

No member of the Commission shall have a financial interest in a railroad, motor carrier or other transportation enterprise. No Commission member may serve on or under any committee of a political party.

### WYOMING PUBLIC SERVICE COMMISSION

Section 37-3, Wyoming Statutes 1957, provides that no two members shall be of the same political party.

## REMOVAL OF COMMISSIONERS FROM OFFICE

The grounds and procedure for the removal of a Commissioner from office, and the legal citations therefor when reported, are summarized below alphabetically with participating Federal agencies listed first.

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CIVIL AERONAUTICS BOARD - Members of the Board may be removed by the President for inefficiency, neglect of duty or malfeasance in office. The Act does not prescribe any procedures for the removal of a Board Member.

FEDERAL COMMUNICATIONS COMMISSION - The Communications Act of 1934, as amended, does not address the question of removal of Commissioners.

FEDERAL ENERGY REGULATORY COMMISSION - There is no legal authority to remove a Commissioner once he has been confirmed by the Senate.

INTERSTATE COMMERCE COMMISSION - The Chairman is designated by and serves at the pleasure of the President of the United States. No Commissioner may be removed from office during his or her term except for cause.

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ALABAMA PUBLIC SERVICE COMMISSION - The Commissioners may be impeached and removed from office by the Supreme Court for the same causes and in the same manner as other State officers; and any Commissioner who shall accept directly or indirectly any gift, gratuity, emolument, or employment from any person, firm, corporation, company or association, owning or operating in whole or in part in this State a utility, during his continuance in office, except a pass for himself or any employee of the Commission on official business, shall forfeit his office and may be impeached and removed from office therefor, or for any other cause of impeachment. Title 48, Section 8, Code of Alabama 1940 (Recompiled 1958).

ALASKA PIPELINE COMMISSION - The Governor may remove a Commissioner from office by and with the consent of a majority of the legislature. (AS 42.06.050)

ALASKA PUBLIC UTILITIES COMMISSION - A Commissioner may be removed by the Governor, by and with the consent of a majority of the legislature. §4 ch 113 S.L.A. 1970

ALASKA TRANSPORTATION COMMISSION - The Governor may remove a Commissioner from office for cause including, but not limited to, incompetence, neglect of duty or misconduct in office.

ALBERTA PETROLEUM MARKETING COMMISSION - Commissioners may be removed by Order-in-Council of the Lieutenant Governor-in-Council.

ALBERTA PUBLIC UTILITIES BOARD - Sections 3 and 5 of the Public Utilities Board Act provide as follows: "Subject to section 5, a member of the Board shall hold office during good behaviour for ten years, from the date of his appointment to the Board, but a member is removable from office by the Lieutenant Governor in Council on address of the Assembly.

"For cause assigned, a member may be suspended at any time by the Lieutenant Governor in Council and another person appointed to act in the stead of the suspended member until the session of the Assembly next ensuing after the suspension.

"If not disqualified by age, a member of the Board on the expiration of his term of office is eligible for reappointment.

"Subject to the provisions of the Public Service Act relating to reappointment or continuance in office, a member shall cease to hold office upon reaching the age of sixty-five years."

ARIZONA CORPORATION COMMISSION - Commissioners may either be recalled (in general Arizona Constitution, Article VIII, Part 1) or impeached (in general Arizona Constitution, Article VIII, Part 2). Recall is accomplished through circulation of petitions to be signed by qualified electors of a minimum of 25% of the number of votes cast in the last election for all candidates for the office in question. The Arizona Code delineates the specific law regarding recall elections following certification by the Secretary of State that the petition requirements have been met.

Impeachment is the power of the House of Representatives upon the concurrence of a majority of all members of the House. Impeachments are tried by the Senate (conviction requiring a 2/3 vote of the entire Senate) with the Chief Justice of the Arizona Supreme Court presiding.

Commissioners are liable to impeachment "...for high crimes, misdemeanors, or malfeasance in office...." The Constitution further declares that a Commissioner, "...whether convicted or acquitted, shall nevertheless, be liable to trial and punishment according to law." Arizona Constitution, Article VIII, Part 2, Section 2.

ARKANSAS PUBLIC SERVICE COMMISSION - A Commissioner may be removed at any time by the Governor for cause upon notice and after hearing. Arkansas Statutes 73-103.

ARKANSAS TRANSPORTATION COMMISSION - There is no legal authority to remove a Commissioner once he has been confirmed by the Senate.

CALIFORNIA PUBLIC UTILITIES COMMISSION - A Commissioner may be removed for dereliction of duty, corruption, or incompetency. Removal requires a vote of two-thirds of all members of each house of the Legislature. California Constitution, Article XII, Section 22.

## REMOVAL OF COMMISSIONERS FROM OFFICE

CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL - "Sec. 16-5. Removal. Misconduct, material neglect of duty, incompetence in the conduct of his office or active participation in political management or campaigns by any commissioner shall constitute cause for removal. Such removal shall be made only after judgment of the Superior Court rendered upon written complaint of the Attorney General. The Attorney General may file such complaint in his discretion and shall file such complaint if so directed by the Governor. Upon the filing of such complaint, a rule to show cause shall issue to the accused, who may make any proper answer within such time as the court may limit and shall have the right to be heard in his own defense and by witnesses and counsel. The procedure upon such complaint shall be similar to that in civil actions, but such complaint shall be privileged in order of trial and shall be heard as soon as practicable. If, after hearing, the court finds cause for removal, it shall render judgment to that effect, and thereupon the office of such commissioner shall become vacant." 1949 Rev., S. 5394.

Continued violation of statutory duty would constitute "material neglect of duty." 111 C. 639. Statute does not violate due process, id. 647. Former provision required Attorney General to file complaint on petition of one hundred electors alleging facts not manifestly untrue 112 C. 586.

DELAWARE PUBLIC SERVICE COMMISSION - The Governor, with the advice and consent of the Senate, may remove any member of the PSC for neglect of duty or misconduct in office, giving to the member a copy of the charges against such person and affording an opportunity of being publicly heard in person or by counsel, upon ten days notice. Title 26 Delaware Code.

FLORIDA PUBLIC SERVICE COMMISSION - Section 350.03, Florida Statutes, authorizes the Governor of the State to remove commissioners and fill vacancies as follows: "The Governor shall have the same power to remove, suspend or appoint to fill vacancies, in the office of commissioners as in other offices."

GEORGIA PUBLIC SERVICE COMMISSION - The commissioners shall not jointly or severally, or in any way be the holders of any railroad stock or bonds, or be agents or employees of any railroad company, or have any interest in any way in any railroad or other corporation under the jurisdiction of the Commission, and shall so continue during the term of office; and in case any commissioner becomes disqualified in any way, he shall at once remove the disqualification or resign, and on failure so to do he must be suspended from office by the Governor and dealt with as hereinafter provided. Acts 1878-79, pp. 125, 126, 1907, p. 72.

GUAM PUBLIC UTILITIES COMMISSION - The Governor may remove or suspend for cause any member of the Commission after due notice and public hearing.

HAWAII PUBLIC UTILITIES COMMISSION - Pursuant to Section 26-34 of Hawaii Revised Statutes, the Governor may remove or suspend for cause any member of any board or commission after due notice and public hearing.

IDAHO PUBLIC UTILITIES COMMISSION - The Governor may remove any one or more of said commissioners from office for dereliction of duty or corruption or incompetency upon filing with the secretary of the commission charges against such commissioner or commissioners, setting forth the grounds of such contemplated removal and giving an opportunity for such commissioner or commissioners to be heard in regard thereto. Section 61-202, Idaho Code.

ILLINOIS COMMERCE COMMISSION - A Commissioner may be removed for holding other positions for profit, financial conflict of interests, soliciting or accepting gifts, money or favors from regulated companies. Ill. Rev. Statutes, Ch 111 2/3, Sec. 4.

INDIANA PUBLIC SERVICE COMMISSION - The Governor may remove any Commissioner at any time for cause. Indiana Code, 1971, Sec. 8-1-1-2.

IOWA STATE COMMERCE COMMISSION - No person in the employ of any common carrier or other public utility, or owning any bonds, stock or property in any railroad company or other public utility shall be eligible to the office of Iowa State Commerce commissioner or secretary of the Commerce Commission; and the entering into the employ of any common carrier or other public utility or the acquiring of any stock or other interest in any common carrier or other public utility by such commissioner or secretary after his appointment shall disqualify him to hold the office or perform the duties thereof. Chapter 474.2, Code of Iowa 1977 - Certain Persons Barred from Office.

IOWA TRANSPORTATION REGULATION BOARD - Board members may be removed or suspended from office or impeached pursuant to specific guidelines set forth in Iowa Code Chapters 66, 67, and 68 (1977).

Those Code chapters generally provide that removal of an appointive State official may be ordered by a State district court upon a finding of willful or habitual neglect or refusal to perform the duties of his office; willful misconduct or maladministration in office; corruption; extortion; conviction of a felony; or intoxication or upon conviction of intoxication. Removal from office is based upon written articles of impeachment issued by a majority of the Iowa House of Representatives and adjudicated by the Iowa Senate.

KANSAS STATE CORPORATION COMMISSION - Removal of commissioners is guided by Article 4, Section 3 of the Constitution of Kansas, which provides for popular recall of officials. Also, Kansas Statutes Annotated, Sec. 60-1205, et seq, provides for removal in case of willful misconduct, neglect, or violation of a penal statute involving moral turpitude.

KENTUCKY ENERGY REGULATORY COMMISSION AND KENTUCKY UTILITY REGULATORY COMMISSION - The Governor may remove any Commissioner for cause after giving him a copy of the charges against him and an opportunity of being publicly heard in person, or by cause in his own defense, upon not less than ten days notice.

LOUISIANA PUBLIC SERVICE COMMISSION - The Louisiana Constitution, Article 8, Section 24, provides for removal of commissioners for a felony, malfeasance or gross misconduct. Article X, Section 26, provides for recall by election.

MAINE PUBLIC UTILITIES COMMISSION - Any willful violation of the Public Utilities Law in Maine by any commissioner shall constitute sufficient cause for removal by the Governor on the address of both branches of the Legislature, or by impeachment, pursuant to Maine Constitution. Ch. 771, Public Laws of Maine, 1976.

## REMOVAL OF COMMISSIONERS FROM OFFICE

MARYLAND PUBLIC SERVICE COMMISSION - The Governor may remove any Commissioner for incompetency or misconduct in office, in accordance with the procedure set forth in Article 41, Sections 54-56, of the Annotated Code of Maryland. Section 8, Article 78 of the Annotated Code of Maryland.

MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES - There are no provisions relating specifically to the Department of Public Utilities. Any public officer appointed by the Governor may at any time be removed by the Governor for cause. G.L. c. 30, § 9.

MICHIGAN DEPARTMENT OF TRANSPORTATION - Commission members may be removed by the Governor (Article 5, Section 10, Michigan Constitution of 1963).

MICHIGAN PUBLIC SERVICE COMMISSION - Act No. 3 provides that "Any member of the Commission may be removed by the Governor for misfeasance, malfeasance or nonfeasance in office after hearing."

MINNESOTA DEPARTMENT OF TRANSPORTATION - The Governor may remove the Commissioner at any time for cause after notice and hearing. Chapter 174, Minnesota Statutes.

MINNESOTA PUBLIC UTILITIES COMMISSION - Under the provisions of M.S. Sec. 15.0575 Subd. 4 (1976) commissioners may be removed by the Governor at any time for cause after notice and hearing.

MISSISSIPPI PUBLIC SERVICE COMMISSION - If any public officer, state, district, county, or municipal, shall be convicted of misconduct or misdemeanor in office, of any felony or other infamous crime, of corruption in office or peculation therein, or of gambling or dealing in futures with money coming to his hands by virtue of his office, the court, in addition to such other punishment as may be prescribed, shall adjudge the defendant removed from office, and the office of the defendant shall thereby become vacant. If any such officer be found by inquest to be of unsound mind during the term for which he was elected or appointed, or shall be removed from office by the judgment of a court of competent jurisdiction or otherwise lawfully, his office shall thereby be vacated; and in any such case the vacancy shall be filled as provided by law. Mississippi Code, 1942 § 25-5-1.

MISSOURI PUBLIC SERVICE COMMISSION - Under Section 386.060, RSMo 1969, the Governor may remove any Commissioner for inefficiency, neglect of duty, or misconduct in office.

MONTANA PUBLIC SERVICE COMMISSION - A Commissioner may be removed from office by recall according to the Montana Recall Act, Section 59-611, Revised Codes of Montana 1947, et seq.

NEBRASKA PUBLIC SERVICE COMMISSION - "If any Commissioner shall become directly or indirectly interested, after his election or appointment, in any common carrier in the State or out of it, or who is in any way or matter pecuniarily interested in any common carrier subject to regulation of this Commission, his office shall become vacant." Section 75-101, R.R.S. 1943.

NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION - A Commissioner may be removed from office by the Governor and Council for inefficiency, neglect of duty or malfeasance in office, after a hearing and notice in writing of charges. RSA 363.6.

NEW MEXICO PUBLIC SERVICE COMMISSION - A Commissioner may be removed from office for incompetency, neglect of duty, malfeasance in office, and acceptance of gift, gratuity, emolument or employment from any public utility. Any Commissioner being charged is entitled to a receipt of a copy of charges and public hearing before the Governor upon ten days notice.

NEW MEXICO STATE CORPORATION COMMISSION - A Commissioner may be removed from office for malfeasance in office, fraud, etc.

NEW YORK PUBLIC SERVICE COMMISSION - Pursuant to Section 4-b of the Public Service Law the Governor may remove a Commissioner for inefficiency, neglect of duty or misconduct in office. The Commissioner in question has a right to a copy of the charges against him and to a public hearing. If the Commissioner is removed, the Governor must file the charges, findings, and a record of the proceeding with the Department of State. There are also procedures for the removal of public officers as set forth in Sections 33, 34 and 35 of the New York Public Officers Law. These sections roughly parallel but expand somewhat the provisions of the Public Service Law.

NEW YORK STATE DEPARTMENT OF TRANSPORTATION - There is no specific citation in Transportation Law regarding this subject. Sections 33, 34 and 35 of the New York Public Officers Law establishes removal procedures.

NORTH CAROLINA UTILITIES COMMISSION - The grounds for the removal of a Commissioner from office in North Carolina are such common law grounds as apply to all public officials generally. There are no specific statutory provisions for removal.

NORTH DAKOTA PUBLIC SERVICE COMMISSION - Commissioners may be removed from office by recall or impeachment process.

OHIO PUBLIC UTILITIES COMMISSION - Section 4901.07 Revised Code grants the power of removal to the Governor for inefficiency, neglect of duty, or malfeasance in office upon ten days notice, presentation of charges against the Commissioner and opportunity for public hearing.

ONTARIO ENERGY BOARD - The removal of a Board Member is by an order of Lieutenant Governor in Council. No specific ground or procedure for removal is prescribed.

OREGON PUBLIC UTILITY COMMISSIONER - "The Governor may at any time remove the commissioner for any cause deemed by him sufficient. Before such removal he shall give the commissioner a copy of the charges against him, and shall fix a time when he can be heard in his own defense, which shall not be less than 10 days thereafter. The hearing shall be open to the public. If the commissioner is removed, the Governor shall file in the office of the Secretary of State a complete statement of all charges made against the commissioner, and his findings thereon with a record of the proceedings. Such power of removal is absolute, and there is no right of review of the same in any court." Oregon Revised Statute 756.020 (3).

## REMOVAL OF COMMISSIONERS FROM OFFICE

PENNSYLVANIA PUBLIC UTILITY COMMISSION - Section 5 of Act 216, 66 P.S. § 455, provides that the Governor, by and with the consent of two-thirds of all the members of the Senate, shall remove from office any Commissioner who violates the provisions of Section 3 (66 P.S. §454) requiring Commissioners to devote full time to their official duties and may remove any Commissioner for inefficiency, neglect of duty or misconduct in office.

PUERTO RICO PUBLIC SERVICE COMMISSION - Section 1052, Title 27, Laws of Puerto Rico Annotated states that "...and if he voluntarily acquired any interest, his office shall become vacant ipso facto; or if he acquired any interest in any other manner which is not voluntary he shall within a period of not more than one hundred and eighty (180) days after the date on which he may convey his title thereto, rid himself of such interest; and should he fail to do so, his office shall become vacant."

QUEBEC ELECTRICITY AND GAS BOARD - The President and Commissioners shall remain in office for ten years, subject to dismissal for reason deemed sufficient by the Lieutenant-Governor in Council.

QUEBEC PUBLIC SERVICE BOARD - The Lieutenant-Governor-in-Council appoints the Board's controllers for a period not exceeding ten years. During that time, Board controllers cannot be removed. R.S.Q. 1964, C-229 S. 3 amended by L.Q. 1972, C-56, S. 2.

RHODE ISLAND PUBLIC UTILITIES COMMISSION - A Commissioner may at any time be removed from office by the Governor for inefficiency, neglect of duty or malfeasance in office but no Commissioner shall be removed from office without an opportunity to be heard, publicly before the Governor after being given notice in writing of the charges against him. A copy of such charges and a transcript of the record of such hearing shall be filed with the Secretary of State. Rhode Island General Laws, Section 39-1-5.

SOUTH CAROLINA PUBLIC SERVICE COMMISSION - There are no provisions in the statute as to the grounds and procedure for the removal of a commissioner from office.

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION - A Commissioner may be removed from office for willful negligence or refusal to perform duties as prescribed by law. Upon conviction of a misdemeanor, a commissioner must forfeit his office. S.D.C.L. 49-1-18, S.D.C.L. 49-1-4.

TENNESSEE PUBLIC SERVICE COMMISSION - Any Commissioner convicted of violating any provisions in Section 65-110 and Section 65-111 shall be dismissed from office by judgment of the Court trying the cause.

TEXAS AERONAUTICS COMMISSION - Commissioners may be removed by the Governor for good and sufficient cause subject to certain constitutional restraints. Vernon's Texas Civil Statutes, Article 5967; Texas Constitution, Article 15, Section 7.

TEXAS PUBLIC UTILITY COMMISSION - No Commissioner or employee of the Commission may do any of the following during his period of service with the Commission and for two years thereafter: (1) have any pecuniary interest, either as an officer, director, partner, owner, employee, attorney, consultant, or otherwise, in any public utility or affiliated interest, or in any person or corporation or other business entity a significant portion of whose business consists of furnishing goods or services to public utilities or affiliated interests, but not including a nonprofit group or association solely supported by gratuitous contributions of money, property or services; (2) own or control any securities in a public utility or affiliated interest, either directly or indirectly; (3) accept any gift, gratuity, or entertainment whatsoever from any public utility or affiliated interest, or from any person, corporation, agent, representative, employee, or other business entity a significant portion of whose business consists of furnishing goods or services to public utilities or affiliated interests, or from any agency, representative, attorney, employee, officer, owner, director, or partner of such business entity or of any public utility or affiliated interest; provided, however, that the receipt of acceptance of any gifts, gratuities, or entertainment after termination of service with the Commission whose cumulative value in any one-year period is less than $100 shall not constitute a violation of this Act.

Any member, officer, or employee of the Commission found in any action by a preponderance of the evidence to have violated any provision of Section 6 of this Act shall be removed from his office of employment.

The Attorney General shall institute suit on his own initiative or at the request of, in the name of, and on behalf of the Commission, or Railroad Commission, in a court of competent jurisdiction to recover the penalty under this section. Article II. Section 6(b) of the Public Utility Regulatory Act (Art. 1446C V.A.C.S.); Article XI. Sections 71 through 73 of the Public Utility Regulatory Act (Art. 1446C V.A.C.S.)

TEXAS RAILROAD COMMISSION - Article 5961-3, Texas Revised Civil Statutes Annotated (1925), provides for removal from office by impeachment in the Texas House of Representatives and conviction by two-thirds vote in the Texas Senate.

UTAH PUBLIC SERVICE COMMISSION - Any member of the Commission may be removed from office for cause by the Governor. Utah Code Annotated.

VERMONT PUBLIC SERVICE BOARD - See McFeeters v. Parker (1943) 113 Vt. 139, 30 A. 2d 300.

VIRGIN ISLANDS PUBLIC SERVICE COMMISSION - A Commissioner may be removed "for cause," however, that is not legally spelled out in the Virgin Islands Code.

VIRGINIA STATE CORPORATION COMMISSION - Grounds and procedure for removal of commissioners: "...any commissioner may be impeached or removed in the manner provided for the impeachment or removal of judges of courts of record...." State Constitution, Article IX, Section 1.

The grounds and procedure are as provided in the State Constitution, Article VI, Section 10 and in §2.1-37.1 through 2.1-37.18, the latter creating the Judicial Inquiry and Review Commission, consisting of five citizens and residents of the State chosen by a majority vote of elected members of each house of the General Assembly.

The foregoing Commission investigates charges which would be the basis for retirement, censure, or removal of a judge. If the complaint be found to be well-founded, the Commission may file formal complaint with the Supreme Court. The tribunal shall conduct a hearing in open court and if it be found that the judge has engaged in misconduct while in office, or persistently failed to perform duties of his office, or engaged in conduct prejudicial to the proper administration of justice, the Court shall censure the judge or remove him from office.

## REMOVAL OF COMMISSIONERS FROM OFFICE

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION - Any member of the commission may be removed for inefficiency, malfeasance or misfeasance in office, upon specific written charges filed by the Governor, who shall transmit such written charges to the member accused and to the chief justice of the Supreme Court. The chief justice shall thereupon designate a special tribunal composed of three judges of the Superior Court to hear and adjudicate the charges. Such tribunal shall fix the time, place and procedure for the hearing, and the hearing shall be public. The decision of such tribunal shall be final and not subject to review.

If the tribunal specified herein finds the charges of the Governor to be true, the Governor shall have the right to immediately remove the commissioner from office, to declare the position of the commissioner vacant, and appoint another commissioner to the position in accordance with the provisions of the law. RCW 80.01.010.

WEST VIRGINIA PUBLIC SERVICE COMMISSION - The Governor may remove any commissioner for incompetency, neglect of duty, gross immorality, malfeasance in office or violation of the laws governing the Public Service Commission. W. Va. Code § 24-1-3 (1979 Cum. Supp.)

WISCONSIN PUBLIC SERVICE COMMISSION - Any appointed State officer in Wisconsin may, under procedure prescribed in Sections 13.28 to 13.36, Wisconsin Statutes, be removed from office by joint resolution adopted by majority of members elected to State Assembly and Senate. Any civil officer in Wisconsin may also be removed by impeachment for corrupt conduct in office or for crimes and misdemeanors as provided in Wisconsin Constitution, Sec. 17.06, Wisconsin Statutes. State officers appointed by the Governor during a recess of the legislature or with advice and consent of the Senate may be removed by the Governor for cause, Section 17.07, Wisconsin Statutes. All of the above provisions would be applicable to members of the PSC of Wisconsin in situations calling for their exercise.

There is also a specific statute in Wisconsin to the effect that if a member of the Wisconsin PSC shall voluntarily acquire a financial interest in a railroad, public utility or motor carrier, or involuntarily acquire such an interest, and does not divest himself thereof within a reasonable time, his office shall become vacant. Section 15.79, Wisconsin Statutes.

WISCONSIN TRANSPORTATION COMMISSION - The commissioners are appointed by the Governor for a six-year term. The three commissioners' terms are staggered at two-year intervals and can be removed for cause by the Governor.

WYOMING PUBLIC SERVICE COMMISSION - A commissioner may be removed from office for maladministration, breach of good behavior, willful neglect of duty, extortion, habitual drunkeness, or any other cause deemed sufficient by the Governor. The reasons for removal must be filed with the Secretary of State. Section 9-2-101, Wyoming Statutes 1957.

## AGENCY PRACTICE AND PROCEDURE

This section summarizes the responses to the following questions concerning agency practice and procedure:

(1) Who has standing to request relief from the agency, and who has standing to intervene in an agency proceeding?

(2) Does an appearance before the agency constitute the practice of law and, if so, must parties and intervenors be represented by attorneys?

(3) What determines the admissibility of evidence in proceedings?

(4) What limitations, if any, are placed on the cross-examination of witnesses and on oral argument before the agency?

(5) Are the executive sessions of the commissioners held at regular intervals and, if so, what are they? If not, is advance public notice given of such sessions? Are the executive sessions of the agency open to the public?

(6) Are the commissioners and staff personnel governed by a written code of conduct and, if so, what is its citation?

The responses are arranged alphabetically by member agencies with the participating Federal agencies listed first.

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## CIVIL AERONAUTICS BOARD

Any person may request relief from the Board in accordance with section 1002 of the Federal Aviation Act, 49 U.S.C. 1482. Under the Board's Rules of Practice any person whose intervention will be conducive to the ends of justice and whose participation will not unduly delay the proceeding may intervene formally. In deciding that issue, the Board considers, among other things, the effect which any Board decision might have on the person and the availability of other means of protecting the person's interest. Intervention, as a practical matter, is ordinarily granted to anyone with a genuine interest in the proceeding. In addition to formal intervention, the Board has a special provision which allows any person to appear informally at a hearing, present evidence, submit a written statement on the issues involved to the presiding judge, and, with the consent of the presiding judge, cross-examine witnesses. The Board's regulations on informal and formal participation are set forth in 14 C.F.R. 302.14 and 15.

The Board does not consider an appearance before it as the practice of law and parties and intervenors need not be represented by attorneys.

Admissibility of evidence in proceedings is governed by traditional notions of relevancy and materiality. The presiding administrative law judge rules on the admissibility of evidence.

Cross-examination of witnesses at hearings is permitted consistent with the ordinary rules on relevancy and materiality, and the general prohibition against repetitive questioning or testimony. Oral argument is granted by the Board in some--but not all--cases; the cases in which oral argument is granted ordinarily involve major or novel regulatory matters. Each party at oral argument is given a fixed period of time for its presentation in accordance with standard custom in the appellate courts.

The Board holds regular meetings each week. Most meetings are open to the public (the Board has closed its meetings to public observation only when discussing international negotiating strategy) and a list of items to be discussed is announced a week before the meeting in accordance with the requirements of the Government in the Sunshine Act.

The conduct of the Board members and the Board's staff is governed by Federal statute including, most importantly, the recently enacted Ethics in Government Act. The Board's implementing regulations, which are currently being re-examined, are contained in 14 C.F.R. 370.

## FEDERAL COMMUNICATIONS COMMISSION

Any party may appear before the Commission and be heard in person or by attorney. Any person who is a member in good standing of the bar of the Supreme Court of the United States or of the highest court of any State, territory, or of the District of Columbia, and is not under any order of any court suspending, enjoining, restraining, disbarring, or otherwise restricting him in the practice of law, may represent others before the Commission. (47 CFR 1.21)

Except for cases in which formal procedures are specifically required under the provisions of Part I of the Commission's Rules and Regulations, requests for action may be submitted informally. Requests should set forth clearly and concisely the facts relied upon, the relief sought, the statutory and/or regulatory provisions (if any) pursuant to which the request is filed and under which relief is sought, and the interest of the person submitting the request.

The Administrative Law Judges control the admissibility of evidence in hearing cases, pursuant to applicable portions of the Commission's Rules (47 CFR 1. 311 - 1.325 and 47 CFR 1.351 - 1.364).

With implementation of the Government in the Sunshine Act, Commission agenda meetings are, in most cases, open to the public. A written announcement is published one week prior to Commission meetings stating the time, place, and subject matter of the session, whether the meeting is to be open or closed, and the name and phone number of an official designated to provide additional information about the meeting.

Part 19 of the Commission's Rules (47 CFR 19.735-101 to 19.735-413) governs the conduct of Commissioners and Commission staff personnel as to ethical responsibilities and outside financial interests.

Subpart A of Part 1 of the Commission's Rules and Regulations (47 CFR 1.1 - 1.120) provides details of general Commission practice and procedure.

## FEDERAL ENERGY REGULATORY COMMISSION

Any person complaining of anything done or omitted to be done by any licensee, public utility or natural-gas company in contravention of an act, rule, regulation, or order administered or issued by this Commission may file a complaint with the Commission (18 C.F.R. 1.6). A petition to intervene may be filed by any person claiming a right to intervene or an interest of such nature that intervention is necessary or appropriate to the administration of the statute under which the proceeding is brought. (18 C.F.R. 1.8).

## FEDERAL ENERGY REGULATORY COMMISSION (Continued)

An appearance before the Commission does not necessarily constitute the practice of law. Participants may appear in any proceeding in person or by an attorney or other qualified representative. For other situations in which an appearance may be made, see 18 C.F.R. 1.4.

Presiding officers duly designated by the Commission to preside at hearings have the authority to rule on the admissibility of evidence and the scope of cross-examination as provided in 18 C.F.R. 1.26, 1.27. Presiding officers also have authority to limit oral argument. (18 C.F.R. 1.29(b)).

Most Commission meetings are held in open session. Executive sessions (closed meetings) of the Commissioners are held when deemed necessary and appropriate as determined by the Commission. Closed meetings are governed by Commission regulations, 18 C.F.R. 375.201 et seq. Public notice of closed Commission meetings is provided for at section 375.204(a)(1), (2).

§375.204 Notice of meetings.

> (a) Public announcements of meetings. (1) General rule. Except to the extent that information described in §375.205(a) (involving closed meetings) is exempt from disclosure, the Secretary shall announce at least one week before each Commission meeting, the time, place, and subject matter of the meeting, whether it is an open meeting or closed meeting, and the name and telephone number of the official designated by the Commission to respond to requests for information about the meeting.
>
> (2) Abbreviated notice. If the Commission determines by a majority of its members by a recorded vote that Commission business requires that a Commission meeting be called with less than one week's notice as prescribed in subparagraph (a)(1), the Secretary shall make public announcements of the time, place, and subject matter of such meeting and whether open or closed to the public, at the earliest practicable time.

The Commissioners and Staff personnel are governed by a written code of conduct. The citations for the section are 18 C.F.R. 3c.201-3C 206 and 3C.1-3C.11.

## INTERSTATE COMMERCE COMMISSION

Carriers, shippers, and other interested parties may request relief or leave to intervene in any area of Commission jurisdiction under the Interstate Commerce Act.

A register is maintained by the Commission in which are entered the names of all persons entitled to practice before the Commission. Corporations and firms will not be admitted or recognized.

a. Attorneys at Law. Any person who is a member in good standing of the bar of the highest court of any State, possession, territory, Commonwealth or the District of Columbia may represent others before the Commission upon filing with the Commission a written declaration so stating that he or she is currently qualified.

b. Persons not Attorneys. Any person not an attorney at law who is a citizen or resident of the United States and who shall satisfy the Commission that he or she is possessed of the necessary qualifications to enable him or her to render valuable service before the Commission, and that he or she is otherwise competent to advise and assist in the presentation of matters before the Commission may qualify as a practitioner upon successful completion of a written examination (given twice yearly).

c. Individuals may represent themselves and full time employees of a party litigant may appear for that party without practitioner status.

For determination of the admissibility of evidence in Proceedings, see 49 CFR 1100.75-90. Cross-examination and oral argument are in all instances required to be reasonably necessary, relevant, and not unduly repetitious.

Regular Commission conferences are held the first and third Tuesday of each month. Special conferences can be called by the Commission at any time. Notice is posted in several parts of the building and given to the public through a mailing list and Federal Register publication. Under the Sunshine Act regulations (Ex Parte No. 333, Meetings of the Commission), meetings of the Commission or its divisions are open unless specifically closed by a majority of those Commissioners eligible to participate.

Commissioners (members) and employees of the Interstate Commerce Commission are governed by the ICC Canons of Conduct issued at 49 C.F.R. 1000.735. Practitioners before the Interstate Commerce Commission are governed by the ICC General Rules of Practice (Part 1100 of 49 C.F.R.) (Appendix A-Code of Ethics for Practitioners Before the Interstate Commerce Commission).

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## ALABAMA PUBLIC SERVICE COMMISSION

Any individual, corporation, or municipality has standing to request relief from the PSC and to intervene in proceedings.

An appearance before the PSC does not constitute the practice of law. Intervenors need not be represented by attorneys.

The admissibility of evidence in proceedings is determined by the established rules of evidence applicable to civil actions at law and will depart therefrom only when it appears that such departure will preserve substantial rights of parties.

No limitations are placed on the cross-examination of witnesses except to avoid repetition.

## ALABAMA PUBLIC SERVICE COMMISSION (Continued)

The PSC holds monthly meetings on the first Monday of each month and special meetings as required. The meetings are open to the public.

The Commissioners and staff personnel are governed by a written code of conduct. Title 55 Code of Alabama 1940 (Recompiled 1958).

## ALASKA PIPELINE COMMISSION

### 3 AAC 43.040. APPEARANCES.

(a) In a formal proceeding in which pleadings are filed, a party may appear before the commission and be represented by (1) himself; (2) attorneys at law admitted to practice in Alaska; (3) attorneys at law who are members in good standing of the bar of a court of the United States, or of the highest court of any state or any territory or insular possession of the United States, who is not a member of the Alaska Bar Association and who otherwise meets the qualifications and follows the procedures prescribed in (b) of this section; (4) a partner of a partnership; (5) an officer, or full-time employee, or authorized agent of a corporation; (6) an officer, or full-time employee, or authorized agent of an unicorporated association; (7) a duly authorized officer, or employee, or authorized agent of a political subdivision; (8) any person who can satisfy the commission that he or she possesses the qualifications necessary to enable him or her to render valuable service to the commission and that he or she is otherwise competent to advise and assist in the presentation of matters before the commission.

(b) Attorneys provided for in (a)(3) of this section shall file a motion with the commission to appear and participate in a particular proceeding before the commission. A copy of the motion and a notice of hearing on the motion shall be served on the executive secretary of the Alaska Bar Association, the State Department of Revenue, and on each of the parties to the proceeding. With this motion, the applicant must file with the commission the following: (1) the name, address and telephone number of a member of the Alaska Bar Association with whom the applicant will be associated, who maintains an office in the judicial district where the proceeding is pending and who is authorized to practice in the courts of this state; (2) a written consent to the motion, signed by the member of the Alaska Bar Association; (3) a certificate of the presiding judge or clerk of the court where the applicant has been admitted to practice, executed not earlier than 60 days before the filing of the motion, showing that he or she has been so admitted in that court, that he or she is in good standing in that court, and that his or her professional character appears to be good.

(c) An attorney permitted to represent a party, under (a) of this section, may participate in a particular proceeding in all respects, except that all documents requiring signature of counsel for the party may not be signed solely by that attorney, but must also bear the signature of local counsel with whom he or she is associated.

(d) At attorney may withdraw from a proceeding before the commission under the conditions and in the manner prescribed in Alaska Rule of Civil Procedure 81 for the withdrawal of an attorney.

(e) The commission will, in its discretion, disqualify and deny, temporarily or permanently, the privilege of appearing or practicing before it in any way, to any individual who is found by the commission, after hearing, either to be lacking in the requisite qualifications to represent others or in character or integrity or to have engaged in unethical or improper professional conduct. (Eff. 1/18/76.)

### 3 AAC 43.070. TESTIMONY AND EVIDENCE.

(a) On the date and at the place designated in the notice, the commission will give each interested person or his authorized representative, or both, the opportunity to present statements, arguments, or contentions in writing, with or without the opportunity to present them orally. The commission will consider all relevant matter presented to it before granting or denying the application as provided in AS 42.06.270.

(b) At a hearing under this section, the commission or its authorized representative may administer oaths or affirmations, and continue or postpone the hearing to the time and place which the commission determines. (Eff. 1/18/76, Reg.57) Authority: AS 42.06.140(5), AS 42.06.160, AS 42.06.170, AS 42.06.180, AS 42.06.250.

### 3 AAC 43.165. EVIDENCE RULES.

(a) Oral evidence may be taken only on oath or affirmation.

(b) Each party may (1) call and examine witnesses; (2) introduce exhibits; (3) cross-examine opposing witnesses on matter relevant to the issues, even though that matter was not covered in the direct examination; (4) impeach a witness regardless of which party first called the witness to testify; and (5) rebut the evidence against himself.

(c) If a party does not testify in his own behalf, he may be called and examined as if under cross-examination.

(d) The hearing need not be conducted according to technical rules relating to evidence and witnesses. Relevant evidence shall be admitted if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs, regardless of the existence of a common law or statutory rule which makes improper the admission of the evidence over objection in a civil action. Hearsay evidence may be used to supplement or explain direct evidence but is not sufficient by itself to support a finding unless it would be admissible over objection in a civil action. The rules of privilege are effective to the same extent that they are recognized in a civil action. Irrelevant and unduly repetitious evidence shall be excluded.

(e) Rules of burden of proof applicable in judicial proceedings in the state are also applicable in commission proceedings. (Eff. 1/18/76, Reg. 57) Authority: AS 42.06.140(5), AS 42.06.160, AS 42.06.170, AS 42.06.180.

## ALASKA PIPELINE COMMISSION (Continued)

### 3 AAC 43.170. OFFICIAL NOTICE.

In reaching a decision official notice may be taken, either before or after submission of the case for decision, of a generally accepted technical or scientific matter within the agency's special field, and of a fact which is judicially noticed by the courts of the state. Parties to the proceeding shall be informed of the matters of which judicial notice will be taken, and those matters will be noted in the record, referred to in the record, or appended to it. A party to the proceeding will, upon request, be given a reasonable opportunity to refute the officially noticed matters by evidence or by written or oral presentation of authority. (Eff. 1/18/76, Reg. 57) Authority: AS 42.06.140(5), AS 42.06.160, AS 42.06.170, AS 42.06.180.

Note: The Commissioners and staff personnel are not governed by a written code of conduct.

## ALASKA PUBLIC UTILITIES COMMISSION

There are no limitations in the statute as to who has standing to request relief from the PUC, and who has standing to intervene in a PUC proceeding.

An appearance before the PUC constitutes the practice of law; corporations generally must be represented by attorneys.

The Presiding Officer determines the admissibility of evidence in proceedings--statute provides that technical rules of evidence need not apply.

No limitations are placed on the cross-examination of witnesses and on oral argument before the PUC.

Executive sessions of the Commissioners are not held at regular intervals, and no advance public notice of such sessions. The executive sessions of the PUC are not open to the public. However, tariff action meetings are held at two-week intervals and are open to the public.

The commissioners and staff personnel are governed by a written code of conduct (S6 ch 113 S.L.A. 1970).

## ALASKA TRANSPORTATION COMMISSION

Any person has standing to request relief from the Commission; any person may petition for leave to intervene prior to or at the time the proceeding commences. For reasonable good cause the petition is usually granted.

An appearance before the Commission is considered to be the practice of law, but only corporations are required to be represented by an attorney.

All relevant evidence is admissible in proceedings when, in the opinion of the hearing officers, it is the best evidence reasonably obtainable, having due regard to its necessity, availability, and trustworthiness; and relevant evidence shall be admitted if it is the sort of evidence on which responsible persons are accustomed to rely in the conduct of serious affairs regardless of the existence of a common law of statutory rule which makes improper the admission of evidence over an objection in a civil action.

Oral evidence may be taken only on oath or affirmation. Each party may call and examine witnesses; introduce exhibits; cross-examine opposing witnesses on matters relevant to the issues, even though that matter was not covered in the direct examination; impeach a witness regardless of which party first called the witness to testify; and rebut the evidence against himself.

The hearing officer may, in his discretion, either with or without objection, exclude inadmissible evidence or order cumulative evidence discontinued.

If hearsay evidence is objected to, it may not be used by itself to support a finding unless it would be admissible over objection in a civil action. Hearsay evidence may be used, however, even over objection, to supplant or explain direct evidence.

Commission meetings are held at regular intervals and are not open to the public.

The Commissioners and staff personnel are not governed by a written code of conduct.

## ALBERTA PETROLEUM MARKETING COMMISSION

Any interested party, i.e. original gas buyer, gas seller, producer organization, can request to intervene in any proceeding before the Commission. Any original buyer may make application to change reporting practices.

No formal legal procedure applies to interventions before the agency, which in the case of an application may be by writing only.

No formal hearing procedures apply. Written evidence only may be considered by the Commission and is fully public.

Internal cost of service meetings are held bi-monthly to make determinations based on written record and staff analysis.

No written code of conduct applies, however, conflict of interest must be avoided.

## ALBERTA PUBLIC UTILITIES BOARD

Any person having an interest in a matter within the jurisdiction of the Board has standing to request relief from the Board in the matter and to intervene in any proceeding regarding the matter.

Section 33 of the Public Utilities Board Act provides: "33 All hearings and investigations before the Board shall be governed by rules which may be adopted by the Board, and in the conduct of hearings and investigations before it the Board is not bound by the technical rules of legal evidence." Parties and interveners need not be represented by legal counsel.

The presiding member rules on the admissibility and the relevance of direct evidence, the cross-examination of witnesses, and oral argument brought before the Board during a hearing. Public hearings convened by the Board are conducted in a manner similar to any inquiry that might be carried out by a judicial tribunal. Advance notices of these hearings are published in newspapers widely circulated in the service areas of the utilities involved.

The executive sessions of the Board are held weekly and are not open to the public.

Section 26 of the Public Utilities Board Act provides:

> "26 No member of the Board shall hold any office or carry on any business or employment inconsistent with the performance of his duties under this Act, nor shall he, directly or indirectly, (a) hold, purchase, or become interested in any stock, debenture or other security issued by a local authority in Alberta, or become concerned or interested in a bargain or contract for the construction of any work made with or on behalf of any such local authority, or become or remain a member of any company that deals in the securities of local authorities or is concerned or interested in any such bargain or contract, or (b) hold or acquire any interest in the stock or securities of an owner of a public utility or of a company that is the only or principal shareholder of the owner of a public utility, or (c) have any interest in any device, appliance, machine, patent, process or article, or in any part thereof, that may be used for the purpose of the business of a public utility."

## ARIZONA CORPORATION COMMISSION

Commissioners, as elected officials, are answerable to the electorate and also come under conflict of interest statutes. Employees are covered by a code of conduct drawn from the Arizona Personnel Commission Rule 2-5-31 and relevant statutes. Additionally, Commission employees in certain divisions are subject to disclosure laws, and the Securities Division has additional restrictions pertaining to cases the Division is investigating. All employees are given a copy of the code, with a signature page acknowledging they have it. The signature page becomes a part of employee's personnel file.

## ARKANSAS PUBLIC SERVICE COMMISSION

Any utility consumer has standing to request relief from the PSC. Anyone whose interests are directly affected and not adequately represented by others may intervene in a proceeding.

An appearance before the PSC does not constitute the practice of law.

The Chairman determines the admissibility of evidence in proceedings.

The only limitations placed on the cross-examination of witnesses and on oral argument before the PSC are those imposed by the Chairman during the course of the hearing.

Executive sessions of the commissioners are not held at regular intervals.

The commissioners and staff personnel are not governed by a written code of conduct.

## ARKANSAS TRANSPORTATION COMMISSION

Any interested party has standing to request relief from the ATC, and to intervene in ATC proceedings. Representatives of litigants must be attorneys. In rate matters, ICC-registered practitioners are generally permitted to appear.

The law of evidence admissible in the Courts determines the admissibility of evidence in proceedings. The laws on evidence are the only limitations placed on the cross-examination of witnesses and on oral argument before the ATC.

All executive sessions of the Commissioners are open to the public except when personnel matters are discussed.

## CALIFORNIA PUBLIC UTILITIES COMMISSION

Any person may request the PUC for relief and any person who may be affected by the outcome of the case may intervene in its proceedings.

Appearances before the PUC do not constitute the practice of law; parties and/or intervenors need not be represented by attorneys.

The admissibility of evidence in PUC proceedings is determined by the relevance and competency of the evidence.

The presiding officer uses his discretion in limiting the cross-examination of witnesses or oral argument in any proceeding.

## CALIFORNIA PUBLIC UTILITIES COMMISSION (Continued)

The PUC meets on a bi-weekly basis. These conferences are open to the public and advance notice is given. The PUC also meets in executive sessions which are not open to the public to consider only litigation, institution of enforcement proceedings and personnel matters.

The PUC and staff personnel are governed by the "Political Reform Act of 1974, California Government Code 81000, et seq," by a Conflict of Interest Code adopted pursuant to the Political Reform Act by PUC Decision No. 87342, and by its own Manual of Instructions to Employees, Paragraph 23, "Statement of Incompatibility for Officers or Employees of the California Public Utilities Commission."

## COLORADO PUBLIC UTILITIES COMMISSION

Any customer of a jurisdictional utility has standing to request relief from the PUC. Any person who can demonstrate an interest in a proceeding has standing to intervene in same.

An appearance before the PUC constitutes the practice of law, if not a pro se appearance.

The Colorado Rules of Civil Procedure determines the admissibility of evidence in proceedings.

An individual appearing pro se can cross-examine and give oral argument; otherwise must be an attorney.

Executive sessions of the commissioners are held at regular intervals.

The commissioners and staff personnel are governed by a written code of conduct as issued by the Governor.

## CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL

Connecticut's Uniform Administrative Procedures Act (UAPA) provides that a person, business entity, government subdivision or public or private organization of any character other than an agency whose legal rights, duties or privileges are required by statute to be determined by the DPUC has standing to request relief. In addition, Rules of Practice provide that any person whose legal rights, duties or privileges will be determined by the decision of the Commissioners after a hearing has standing as a party (16-1-17, Regulations of Connecticut State Agencies). In addition, intervenors may be permitted to be participants at the discretion of the Commissioners depending upon the extent of their interests and whether their participation will furnish assistance to the Commissioners in resolving the issues of the case (16-1-18, Regulations of Connecticut State Agencies).

An appearance before the DPUC can be made by an attorney, agent or representative of any person, firm, corporation or association (16-1-21, Regulations of Connecticut State Agencies). Such participation before the agency has not been considered a practice of law.

Section 4-178 of the General Statutes (UAPA) and Section 16-1-38 of the Regulations of Connecticut State Agencies permit any oral or documentary evidence which is not irrelevant, immaterial or unduly repetitious to be admitted as evidence at the discretion of the hearing officers. Documentary evidence may also be received in the form of copies, provided there is an opportunity to compare the copy with the original. Evidence which is administratively noticed must be produced as an exhibit to be admitted in the instant proceeding and opportunities to contest purported administratively noticed documents must be provided.

Cross-examination is limited wholly within the discretion of the hearing officers or Commissioners, but as a general rule, cross-examination is restricted to matters testified to on direct examination. Oral arguments before the agency are also controlled at the discretion of the examiners or Commissioners. However, Section 4-179 of the General Statutes (UAPA) requires under certain circumstances that decisions rendered by a hearing examiner be circulated to the participants and an opportunity to bring oral or written comments and exceptions must be provided to anyone adversely affected by said proposed examiner's decision.

Regular meetings of the Commissioners are held semi-monthly, as noticed with the Secretary of the State, and are open to the public. Special meetings for deliberations, etc., are also noticed and with very limited, exceptions are open to the public.

Commissioners and staff are governed as to ethics by Section 16-2(g), (h), (i), and (j) and Chapter 10, Part I, Code of Ethics for Public Officials, of the Connecticut General Statutes. In addition, the Public Utilities Control Authority has adopted the Model Code of Ethics of the National Association of Regulatory Utility Commissioners (NARUC).

## DELAWARE PUBLIC SERVICE COMMISSION

All public utilities outside the boundaries of incorporated municipalities have standing to request relief from the PSC. Title 26, Delaware Code. Relief may be requested by persons adversely affected by the action or inaction of a public utility or CATV, as well as by the companies.

A Delaware public utility or CATV company or customer has standing to intervene in a proceeding--those accepted by the Commission as having issues relevant to the proceeding.

An appearance before the PSC does not constitute the practice of law.

The admissibility of evidence in proceedings is determined by the Hearing Examiner ruling under Delaware Utility Law. The Hearing Examiner may place time constraints on oral presentations before the PSC.

Executive Sessions of the commissioners are not open to the public and are held as prescribed by the Commission. Notice is given of Executive Sessions.

The Commission adopted a Code of Ethics in March, 1978. Presently a Commissioner cannot own stock in a Delaware public utility or CATV company.

## DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

Any person having substantial and reasonable cause has standing to request relief from the PSC and to intervene in proceedings.

In most cases an appearance before the PSC does constitute the practice of law or an exercising of statutory authority. Parties and intervenors are in most cases represented by attorneys, but not in all cases.

The Commission excludes irrelevant material or that which is unduly repetitious in determining the admissibility of evidence in proceedings.

There are no formal rules concerning limitations on the cross-examination of witnesses and oral argument before the PSC.

Executive sessions of the Commissioners are held at regular intervals, whenever the circumstance dictates such a session. The sessions are open to the public during rulemaking sessions and closed when cases are contested.

The Commissioners are governed by a written code of conduct. Title 43-Public Utilities of the District of Columbia Code, Chapter 2, Section 201, "Members-Eligibility of Commissioners - Oath."

## FLORIDA PUBLIC SERVICE COMMISSION

Municipalities, cooperatives, regulated industries, any consumer or consumer group and Public Counsel have standing to request relief from the PSC, and may intervene in proceedings.

An appearance before the PSC constitutes the practice of law. Parties and intervenors need not be represented by attorneys.

The Rules of Civil Procedure of the State Circuit Courts and Chapter 120 Florida Statutes determines the admissibility of evidence in proceedings.

No limitations are placed on the cross-examination of witnesses if the questions are relevant and material. Oral argument before the PSC is limited; usual time restriction of 15 minutes to the side.

Executive sessions of the Commissioners are not held at regular intervals. The executive sessions are open to the public and advance public notice is given of such sessions.

The Commissioners and staff personnel are governed by a written code of conduct. Chapters 120 and 150, Florida Statutes, and Chapter 25 of Administrative Rules and Regulation.

## GEORGIA PUBLIC SERVICE COMMISSION

All regulated companies have standing to request relief from the PSC, and all affected parties have standing to intervene in a proceeding (any party with direct economic interest).

An appearance before the PSC does not constitute the practice of law.

A.P.A.-Title 3A, Georgia Code Ann. determines the admissibility of evidence in proceedings.

No limitations are placed on the cross-examination of witnesses and on oral argument before the PSC.

Executive sessions of the commissioners are held the first and third Tuesdays of each month and are open to the public.

Commissioners and staff personnel are governed by a written code of conduct (Rules and Regulations of the Commission as to Duties of Commissioners and Employees).

## GUAM PUBLIC UTILITIES COMMISSION

Any person who has a legitimate complaint against utility agenices has standing to request relief from the PUC. Any interested party may be allowed to intervene.

Parties and intervenors need not be represented by attorneys.

The proceedings are not bound by technical rules of evidence: any relevant and pertinent materials is admissible.

No limitation is placed on cross-examination of witnesses and oral argument.

Executive sessions of the commissioners are held the first and third Tuesday of each month. Pursuant to the "Open Government Act" of Guam the sessions are open to the public.

The commissioners and staff personnel are governed by P.L. 13-179 as amended, PUC By-Laws, PUC Rules of Practice and Procedure, and Personnel Rules and Regulations.

## HAWAII PUBLIC UTILITIES COMMISSION

The Public Utilities Commission of the State of Hawaii has published the rules of practice and procedures under General Order No. 1 and is available for a price of $1.50.

## IDAHO PUBLIC UTILITIES COMMISSION

The admissibility of evidence in proceedings is determined by the following rules:

Stipulations. With the approval of the presiding officer, the parties may stipulate as to any fact at issue, either by written stipulation introduced in evidence as an exhibit or by oral statement shown upon the record. Any such stipulation shall be binding upon all parties so stipulating and may be regarded by the Commission as evidence at the hearing. The Commission may, however, require proof by evidence of the facts stipulated to, not withstanding the stipulation of the parties. 9.9 - Rules of Practice and Procedure.

Rules of Evidence. In conducting any investigation, inquiry or hearing, neither the Commission nor any officer or employee thereof shall be bound by the technical rules of evidence, and no informality in any proceeding or in the manner of taking of testimony shall invalidate any order, decision, rule or regulation made, approved or confirmed by the Commission. Rules of evidence before the courts of the State of Idaho will be generally followed but may be relaxed in the discretion of the Commission when deviation from the technical rules of evidence will aid in ascertaining the facts. When objection is made to the admissibility of evidence such evidence may be received subject to later ruling by the Commission. The Commission, in its discretion either with or without objection may exclude inadmissible, incompetent, cumulative or irrelevant evidence or order such evidence discontinued. Parties objecting to the introduction of evidence shall briefly state the grounds of objection at the time such evidence is offered. The evidence to be admitted at hearing shall be material and relevant to the issue. 9.10 - Rules of Practice and Procedure.

Rulings. The presiding officer shall rule on the admissibility of all evidence, subject to the requirements of Rule 9.10. Such rulings may be reviewed by the Commission in determining the matter on its merits. In extraordinary circumstances, where prompt decision by the Commission is necessary to promote substantial justice, the presiding officer may refer the matter to the Commission for determination. 9.24 - Rules of Practice and Procedure.

Although there are no specific limitations placed on cross examination of witnesses or on oral arguments, and these subjects are within the discretion of the Hearing Examiner or Commissioners, Rule 4.1 provides:

"Rights of Parties. At any hearing, all parties named in the preceding rule shall be entitled to enter an appearance, to introduce evidence, examine and cross-examine witnesses, make arguments, and generally participate in the conduct of the proceeding. Other parties who are directly and substantially affected by the proceeding may enter an appearance, introduce evidence and, subject to the discretion of the Commission, may otherwise participate in the conduct of the proceeding."

Sections 61-206 and 61-208 of the Idaho Code provides:

"The commission shall have power to employ, during its pleasure, such officers, experts, engineers, statisticians, accountants, inspectors, clerks and employees as it may deem necessary to carry out the provisions of this act or to perform the duties and exercise the powers conferred by law upon the commission.

"The office of the commission shall be in the city of Boise and county of Ada. The office shall always be open, legal holidays and nonjudicial days excepted. The commission shall hold its session at least once in each calendar month in said city of Boise, and may also meet at such other times and in such other places as may be expedient and necessary for the proper performance of its duties. For the purpose of holding sessions in places other than the city of Boise, the commission shall have the power to rent quarters or offices, and the expense thereof and in connection therewith, shall be paid in the same manner as the other expenses authorized by this act. The sessions of the commission shall be public."

State employees, other than exempt employees, are subject to the Idaho Personnel Commission Rules and Regulations.

## ILLINOIS COMMERCE COMMISSION

Any person, corporation, association, or public authority may request the Illinois CC for relief, and the same may have standing to intervene as determined by the Illinois CC as those by reason of interest or possible interest in the subject matter may require relief.

An appearance before the Illinois CC constitutes the practice of law; a corporation or association may appear and present evidence by any bona fide officer, employee or representative. A natural person may appear and be heard on his own behalf. The admissibility of evidence in proceedings is determined by the rules of evidence as ruled upon by the Hearing Examiner, who, along with the Commissioners, determines also what limitations, if any, are placed on the cross-examination of witnesses and on oral argument. In these matters the Hearing Examiner and the Commissioners are guided by the Illinois Rules of Civil Practice.

According to a resolution dated November 9, 1976, regular meetings of the Illinois Commerce Commission on the docket matters to be decided will be open to the public. A later resolution established March 9, 1977, as the effective date of such action. The regular meetings on the docket are scheduled and announced ten days prior to the first day of the month scheduled.

Executive sessions of the Commissioners are governed by the Governor's Executive Orders No. 4 (1970), No. 2 (1971), and No. 4 (1973).

## INDIANA PUBLIC SERVICE COMMISSION

Any individual or entity may make informal complaint concerning any matter within the jurisdiction of the PSC. Any person or entity may make written application for any action within the PSC jurisdiction. Appearance before the PSC constitutes the practice of law. Parties and/or intervenors must be represented by attorneys. Admissibility of evidence in proceedings is determined by the PSC Rules and Regulations Concerning Practice and Procedure, effective October 11, 1972, Evidence, p. 12. When two or more intervenors have like interests, repetitive or redundant testimony may be limited to expedite hearings. The Commissioners and staff members are governed by the State's written Code of Ethics, I.C. 1971, 4-2-6-1, 4-2-6-10, Indiana Code of Ethics for the Conduct of State Business.

The three Commissioners hold executive sessions every Wednesday afternoon. These sessions are open to the public.

## IOWA STATE COMMERCE COMMISSION

The Commission applies the general standard of direct and substantial interest in the subject matter in determining who has standing to request relief from the Commission and standing to intervene in proceedings.

An appearance before the Commission does not constitute the practice of law per se, however, Commission Rule 7.2(8) b. requires that only persons admitted to practice as attorneys and counselors of law shall represent a party in proceedings before the Commission in any matter involving the exercise of legal skill or knowledge except with the expressed consent of the Commission. A natural person, however, may appear and be heard on his own behalf and any bonafide officer or employee of a corporation or association may appear and present evidence on behalf of that business entity.

The general rules of admissibility of evidence applicable in the State courts of the State of Iowa are extant in the Commission proceedings. However, in making its determination of admissibility with respect to many areas of evidence, the Commission generally applies a more liberal standard and will rely on its expertise in weighing the reliability of evidence.

With respect to the cross-examination of witnesses, paragraph three above applies. To the extent that oral argument is exercised by the Commission, any limitations placed on such argument are made based on particular situations of a particular case.

The executive sessions of the Commission are held weekly and more often than that as may be necessary. Chapter 474, C77, provides that the Commission shall be in continuous session. This has been deemed to serve as sufficient notice of any sessions of the Commission other than that explicit notice in the Code, however, no public notice is provided. Of course, notices of the public hearings and proceedings of the Commission are provided to all interested parties, and news media. An executive session is definitionally one meeting or meetings that are closed to the public.

There are several sections of the Code that provide a basis for limiting conduct by Commissioners and certain staff personnel. In particular Chapter 474 puts certain restrictions on political activity and investments, etc. by Commissioners. Chapter 475 of the Code provides similar restrictions on the Commerce Counsel, the Commission's lawyer. That Chapter has been interpreted as being imputed to all professional members of that office. In addition the Code contains various provisions found in Chapter 68A of the Code of Iowa. There is no internal written code of conduct for Commission or staff personnel.

## IOWA TRANSPORTATION REGULATION BOARD

Any interested party may seek relief from the Iowa Transportation Regulation Board. Intervention may be granted to any person who has filed a written petition for leave to intervene prior to or at the time of hearing if the person seeking to intervene raises questions which are reasonably pertinent to the issues already presented and which do not unduly broaden them.

It is generally not necessary for a person to be an attorney in order to practice before the Board. A non-lawyer may appear and practice before the Board if he or she possesses the "necessary legal and technical qualifications as to enable him (her) to render valuable legal service before the Board, and that he (she) is otherwise competent to advise and assist in the presentation of matters before the Board." Iowa Administrative Code §820-[12,B]1.4.

Generally, the rules of evidence as followed by the Iowa courts apply. For example, irrelevant, immaterial or unduly repetitious evidence may be excluded. The test is whether the offered evidence is the type of evidence "on which reasonably prudent persons are accustomed to rely for the conduct of their serious affairs." Iowa Code §17A.14. No limitations are generally placed upon cross-examination of witnesses or oral argument.

Executive sessions of the Board in which on-going, administrative matters are discussed and Board policies are developed are held at regular intervals. The Iowa Open Meetings Law requires that public notice be given at least twenty-four hours prior to each meeting. The meetings are generally open to the public. However, a discussion and determination of a contested case proceeding may be closed to the public.

The Board, General Counsel and legal staff, and Rate Analysis Section personnel are governed by the Iowa Administrative Procedure Act, Iowa Code Chapter 17A. In addition, General Counsel and legal staff are governed by the Iowa Code of Professional Responsibility.

## KANSAS STATE CORPORATION COMMISSION

Any party of interest may request relief from the SCC, or may seek to intervene in any SCC proceedings, upon such terms as the SCC may deem equitable and just. The SCC may deny intervention for good cause shown. SCC Rules of Practice and Procedure, Rule 82-1-225. A private individual may appear in his own behalf, but a corporation must be represented by an attorney. The Commissioner or Examiner presiding at a hearing determines the admissibility of evidence in any proceeding. Cross-examination follows the rules of civil procedure. The SCC has discretion to limit oral argument.

Executive sessions, known as conference day, are held as nearly as practicable weekly when the Commissioners meet with the different division heads who present formal and informal matters, together with their recommendations to the Commission for their decision. No advance public notice is given of such sessions, though it is general knowledge among industry and the press that such weekly conferences are held. These sessions are open to the public. The provisions of the Kansas Constitution and Statutes, mentioned above, form the written code of conduct. No other regulations have been issued.

## KENTUCKY DEPARTMENT OF TRANSPORTATION

Any interested party may request relief from the Department and may intervene in the proceedings.

An appearance before the Department constitutes the practice of law and a person may appear and be heard on his own behalf.

The Commissioner or the Examiner presiding at a hearing determines the admissibility of evidence. General rules of evidence are followed.

## KENTUCKY DEPARTMENT OF TRANSPORTATION (Continued)

No limitations are placed on the cross-examination of witnesses and on oral argument before the Department. General court rules and proceedings apply.

Executive sessions are not held at regular intervals and are not open to the general public. However, all formal hearings are open to the public and notice is given.

There are no specific written rules governing the conduct of the commissioners and staff personnel.

## KENTUCKY ENERGY REGULATORY COMMISSION
## KENTUCKY UTILITY REGULATORY COMMISSION

A utility and consumers of a utility have standing to request relief from the Commissions--those who seek service have standing. Utilities and consumers have standing to intervene in proceedings on appropriate grounds. The Attorney General's Consumer Protection Division has a statutory right to intervene.

An individual may represent himself or herself before the Commissions. It is necessary that an attorney represent a group, corporation, cooperative or non-profit association.

The Commissions are not bound by strict rules of evidence in determining the admissibility of evidence in proceedings, although judgment might require the exclusion of material clearly irrelevant.

No limitations, by rule, are placed on the cross-examination of witnesses and on oral argument before the Commissions. Appropriate cross-examination is permitted and oral argument usually permitted, if requested.

The commissioners and staff personnel are not governed by a written code of conduct.

## KENTUCKY RAILROAD COMMISSION

The railroad companies and shippers have standing to request relief from the Commission. Citizens may intervene in Commission proceedings.

An appearance before the Commission constitutes the practice of law. Parties must have representatives, but not necessarily attorneys.

No limitations are placed on the cross-examination of witnesses and on oral argument before the Commission.

Sessions of the Commission are held monthly. Advance public notice is given and the sessions are open to the public.

The commissioners and staff personnel are governed by a written code of conduct. Kentucky Revised Statutes

## LOUISIANA PUBLIC SERVICE COMMISSION

The following information regarding PSC practice and procedure was extracted from Rules of Practice and Procedure, Louisiana Public Service Commission, effective July 1, 1976.

Rule 10: Parties in Interest.

Any party actually in interest may appear in any proceeding before the Louisiana Public Service Commission. All appearances shall be subject to a motion to strike upon a showing that the party has no justifiable or administratively cognizable interest in the proceeding. As applied to proceedings under R.S. 45:162 et. seq. involving operating authority, a party in interest is any carrier or shipper operating or shipping over a route within the territory or serving any point proposed to be served by any applicant, and transporting any of the same class or classes of commodities, or persons, proposed to be transported by the applicant. Unless otherwise provided by law, any public official, agency or department of the State of Louisiana or any of its political subdivisions, and every civic and trade organization shall be permitted to appear in any proceeding whether as a formal intervenor or otherwise, and present any relevant and proper testimony and evidence bearing upon the issues involved in the particular proceeding.

Rule 11: Appearances Personally or by Representative.

Any party may appear and be represented by an attorney at law authorized to practice law before the highest court of any State. A natural person may appear in his own behalf, or through a bona fide full-time employee. A corporation, partnership or association may appear and be represented by any bona fide officer, partner, full-time employee, or other person if authorized to so appear by the Commission. In all proceedings for the determination, establishment, interpretation or enforcement of tariffs, rates, charges, or any classification, rule or regulation appertaining thereto, any party may appear and be represented by any person who is licensed to practice before the highest court of any State, or by any person who has regularly represented clients before the Louisiana Public Service Commission for at least five (5) years prior to the effective date of these rules.

Rule 32: Admissibility in General.

Any evidence which would be admissible under the general statutes of the State of Louisiana, or under the rules of evidence governing proceedings in matters not involving a trial by jury in the Courts of the State of Louisiana, shall be admissible before the Louisiana Public Service Commission. Other evidence may be admitted by the Commission if it is at all probative and relevant provided the substantive rights of all parties are protected. The rules of evidence shall be applied liberally in any proceeding to the end that all needful and proper evidence shall be conveniently, inexpensively and speedily heard while preserving the substantive rights of the parties to the proceedings.

Rule 42: Oral Argument.

Any party may request oral argument prior to the final determination of any proceeding, but oral argument shall be allowed only in the sound discretion of the Commission. A request for oral argument may be incorporated in the exceptions, reply to exceptions, petition for reconsideration or in a separate pleading.

Rule 4: Sessions of the Louisiana Public Service Commission.

At least one regular session customarily will be held by the Commission during each month, at such times and places as it shall designate for the purposes of public hearing, conferences, determining motions, pleas and causes, and transacting all other necessary business. Special sessions may be held at such times and places as the Commission may designate.

In cases of emergency or where the public need so requires, the Commission may confer by telephone or otherwise, and any rule or order may be entered as a result thereof upon direction to the Secretary or a majority of the Commission.

The Commissioners and staff personnel are governed by a written code of conduct. Code of Ethics, Title 42:1101 L.S.A.

## MAINE PUBLIC UTILITIES COMMISSION

Persons, firms, corporations or associations aggrieved have standing to request relief from the PUC. 35 MRSA § 291. Persons other than original parties, with a direct and substantial interest in the proceeding may intervene therein. Maine Public Utilities Commission, Rule #16 of Practice and Procedure.

Generally, a person may represent himself or his firm before the Commission without being licensed to practice law; historically, practitioners licensed to practice before Federal agencies.

The Maine rules of evidence apply and determine the admissibility of evidence. 35 MRSA § 308.

Generally no limits are placed on cross-examination except those required by the rules of evidence. Occasionally, time limits are placed on oral arguments before the Commission.

Executive sessions are held regularly each week, usually on Tuesdays. Advance notice of such sessions is required and the sessions are open to the public. 1 MRSA Chapter I, Subchapter I.

There is no written code of conduct governing the commissioners and staff personnel.

## MARYLAND PUBLIC SERVICE COMMISSION

Utilities, People's Counsel and the public can request relief from the PSC; parties showing a definite interest in a proceeding have been permitted to intervene.

Rule IIIA. Representation by Counsel. All parties, except individuals appearing in their own behalf, shall be represented by attorneys-at-law, who are duly admitted and enrolled to practice before the Court of Appeals of this State where the Commission is performing a quasi-judicial function as distinguished from a legislative, executive, or a minsterial function. No nonresident attorney shall be allowed to enter his appearance in a case before the Commission except upon motion, which will not ordinarily be granted unless some attorney with a full-time office in this State is associated with him or her in the matter.

Admissibility of evidence is determined by the rules of evidence, modified for administrative proceedings.

If direct testimony of a witness is pre-filed with the PSC and all parties at least ten days before the scheduled appearance of the witness, cross-examination on the direct is limited to thirty minutes per intervenor. Cross-examination on the re-direct testimony is limited to twenty minutes per intervenor. These limitations do not apply to the Office of People's Counsel.

The Commission regularly holds Administrative meetings which are open to the public. Advance public notice of these sessions is given. Pursuant to executive order from the Governor of the State of Maryland, most meetings, decisions, and deliberations of the Commission are open to the public.

Commissioners and staff personnel are governed by a written code of conduct. Section 16, Article 78 of the Annotated Code of Maryland.

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

Any person who desires to participate in a proceeding shall file a written petition for leave to intervene or to participate in the proceeding.

Parties in any proceeding before the agency may appear pro se or may be represented by counsel.

The Department shall follow the rules of evidence observed by courts where practicable and shall observe the rules of privilege recognized by law, except as otherwise provided by any law. Such evidence shall be excluded which is unduly repetitious or cumulative or is not the kind on which reasonable persons are accustomed to rely in the conduct of serious affairs. All unsworn statements appearing in the record shall not be considered as evidence on which a decision may be based.

All witnesses shall be subject to cross-examination by the Commissioners, the presiding officer, counsel for the Department, counsel for other parties, and counsel for any other person as permitted by the presiding officer.

When, in the opinion of the presiding officer, time permits and the nature of the proceedings, the complexity or importance of the issues of fact or law involved, and the public interest warrant, such presiding officer may, either on his own motion or at the request of a party or staff counsel at or before the close of the taking of testimony, allow and fix a time for the presentation of oral argument, imposing such limits of time on the argument as deemed appropriate in the proceeding. Such argument shall be transcribed and bound with the transcript of testimony.

Executive sessions of the Commissioners are held weekly.

The Commissioners and staff are governed by the Rules of the Ethics Commission of the Commonwealth of Massachusetts.

## MICHIGAN DEPARTMENT OF TRANSPORTATION

All citizens of the State of Michigan may request relief or intervene in a proceeding.

An appearance before the Department does not constitute the practice of law.

No limitations are placed on the cross-examination of witnesses and on oral argument before the Department.

All Transportation Meetings are open to the public. The Meetings are held monthly, time and place published in advance.

Commissioners abide by the Code of Conduct for State Officials. Staff personnel are governed by the rules of the Civil Service Commission.

## MICHIGAN PUBLIC SERVICE COMMISSION

Under the Rules of Practice and Procedure Before the Commission, issued by order of the Commission and effective May 15, 1968, the following have standing to request relief from the PSC and/or intervene in proceedings.

1. "Applicants" or "petitioners" defined as parties seeking approval, authority, a certificate of authority or of public convenience and necessity or other certificate, license, permit or exemption or other relief.

2. "Complainant" defined as a party who files a complaint.

3. "Parties" defined as a person by or against whom a proceeding is commenced, or a person admitted by the Commission to intervene in a proceeding according to its Rules.

4. "Intervenors" defined under Rule 11 as a "person not defined herein as a complainant, respondent, protestant, applicant or petitioner, and who claims an interest in a pending proceeding...." Rule 16 further provides that, "A petition to intervene shall set out clearly and concisely the facts supporting the petitioner's alleged right or interest, the grounds of the proposed intervention, and the position of the petitioner in the proceeding, so as fully and completely to advise the parties and the Commission of the specific issues of fact or law to be raised or contraverted."

5. "Protestant" defined as a motor carrier rendering service or applying for authority to render service, likely to compete with the proposed motor carrier service of a motor carrier applicant, if the former carrier opposes the application of the latter carrier.

6. "Respondent" defined as a party who is complained against, a party investigated or ordered to show cause, or a utility rendering service of the same sort within a municipality proposed to be served by any other utility.

In addition, Rule 7 provides that, "The commission staff may appear in any proceeding before the commission and through its witnesses present testimony as to the results of its accounting, engineering and economic investigations, field studies, inspections, other technical investigations and studies. The commission staff in any proceeding may file briefs, make statements of positions, or otherwise upon the record make recommendations, as it believes proper and lawful, based upon the evidence presented."

Further, Rule 16 provides that,"(1) A person may appear in a proceeding before the commission in lieu of a formal petition to intervene, if there is full disclosure of the identity of the person whose appearance is to be entered; the interest of such person in the proceeding and the position intended to be taken are fully and fairly stated; and the contentions of such person will be reasonably pertinent to the issues already presented and any right to broaden the issues unduly is disclaimed, as follows:

(a) In a proceeding to fix rates or investigate conditions of service of a carrier or utility subject to the jurisdiction of the commission, appearances by individual customers or ratepayers of such carrier or utility or by the duly authorized governmental representatives thereof.

(b) In a proceeding by a railroad company for authority for abandonment of a station or discontinuance or diminution of quantity or quality of service, appearances by other persons in interest.

(2) An appearance under this rule entitles the person to testify or make a statement of his position but he is not regarded as a party to the proceeding.

By Attorney General's opinion, practice before the Commission constitutes the practice of law and parties and intervenors must be represented by attorneys. An exception has been made, by statute, for representatives of recognized labor organizations.

The presiding officer (defined under the Administrative Procedures Act of 1969 is "the agency, 1 or more members of the agency, or person designated by statute or 1 or more hearing officers designated and authorized by the agency to handle contested cases") determines the admissibility of evidence in proceedings. The standards for admissibility are governed by the Administrative Procedures Act of 1969 and the Commission's Rules which state, respectively, that, "In a contested case the rules of evidence as applied in a non-jury civil case in circuit court shall be followed as far as practicable, but an agency may admit and give probative value to evidence of a type commonly relied upon by reasonably prudent men in the conduct of their affairs. Irrelevant, immaterial or unduly repetitious evidence may be excluded. Effect shall be given to the rules of privilege recognized by law," and that, "The Commission shall so far as practicable follow the rules of evidence applicable to proceedings in circuit courts, but may admit and give probative effort to any evidence which possesses probative value commonly accepted by reasonably prudent men in the conduct of their affairs. Rules of privilege recognized by law shall be given effect. Incompetent, immaterial and unduly repetitious evidence may be excluded."

The right of cross-examination is guaranteed by the Commission Rules, along with the right to submit rebuttal evidence, as well as by the Administrative Procedures Act of 1969 which, in addition, guarantees the right to present oral and written arguments. The only limitation is the provision that incompetent, immaterial or unduly repetitious evidence may be excluded.

Regular and Special Meetings of the Commission, at which all official business is transacted, are regularly scheduled with notice posted and are open to the public. Executive sessions are not held at regular intervals, no advance public notice is given, and such sessions are not open to the public.

The Commissioners and staff are subject to the Governor's Code of Ethics for State Employees and Appointed Officials, Executive Order 1974-3.

## MINNESOTA DEPARTMENT OF TRANSPORTATION

Any person has standing to request relief from the Department and any person may intervene in a Department proceeding.

It is not necessary for parties and intervenors to be represented by an attorney when appearing before the Department.

Admissibility of evidence in proceedings is determined by the Office of Hearing Examiners.

Limitations on the cross-examination of witnesses is determined by the Office of Hearing Examiners. Oral argument is permissible before the Department.

The commissioners and staff personnel are governed by a written code of conduct. Chapter 10A, Minnesota Statutes.

## MINNESOTA PUBLIC UTILITIES COMMISSION

Basically, any person (corporations, government agencies, etc.) who may be directly affected by an agency order or who are directly affected by an alleged violation of agency statute has standing to intervene in agency proceedings.

The general rule is that parties may appear pro se before the agency. If a party desires representation, it must be through an attorney.

The admissibility of evidence is within the discretion of the Hearing Examiner. The evidence which the Examiner may admit is set forth as rule and reads in relevant part as follows: "The Hearing Examiner may admit all evidence which possesses probative value, including hearing, if it is the type of evidence on which prudent persons are accustomed to rely in the conduct of their serious affairs. ...Evidence which is incompetent, irrelevant, immaterial or unduly repetitious may be excluded."

There are no real limitations on cross-examination, however, the Hearing Examiner "may make such rulings as are necessary to prevent repetitive or irrelevant questioning and to expedite the cross-examination to the extent consistent with disclosure of all relevant testimony and information."

Executive sessions of the commissioners are held weekly and are open to the public.

The commissioners and staff personnel abide by the general rules governing the ethical practices of State employees in Minnesota.

## MISSISSIPPI PUBLIC SERVICE COMMISSION

Any individual or company has standing to request relief from the PSC and to intervene in a proceeding. Any individual can appear before the PSC in his own proper person.

Rules of evidence on hearing should conform to the requirements of the courts of record in the State but the PSC, in its discretion, may prevent deviations from said requirements as it deems may be in the public interest.

The limitations placed on the cross-examination of witnesses and on oral argument before the PSC is determined at the discretion of the PSC.

Executive Sessions are held for the final determination of cases.

The commissioners and staff personnel are governed by a written code of conduct. Section 77-1-11, Mississippi Code of 1972.

## MISSOURI PUBLIC SERVICE COMMISSION

### Standing to Request Relief from the Commission, and Standing to Intervene in a Proceeding

Any interested person can intervene in a proceeding; however, intervention is discretionary with the Commission. "Relief" would include demand for higher or lower rates, change in service, or complaints about service. A sample Commission rule dealing with one such issue is 4 CSR 240-2.070 "Complaints" and is excerpted herein:

"240-2.070 Complaints

> PURPOSE: There is a statutory provision for complaints to be made to the commission. This rule establishes the procedures for filing such complaints.

(1) Informal complaints may be made by any person, corporation, municipality or public utility and shall be handled as the commission may determine. An informal complaint would be in writing but may be initiated by telephone or in person at the offices of the commission. A matter thus instituted is deemed to be without prejudice to the rights of the complainant to file and prosecute a formal complaint.

(2) Formal complaints may be made by the commission on its own motion, by its staff or by any person, corporation, or municipality, by petition or complaint in writing, setting forth any act or thing done or omitted to be done by any person, corporation or public utility, including any rule, regulation or charge heretofore established or fixed by or for any person, corporation or public utility, in violation or claimed to be in violation, of any provision of law, or of any rule or order or decision of the commission; provided, that no complaint shall be entertained by the commission, except upon its own motion, as to the reasonableness of any rates or charges of any public utility unless the complaint is signed by the mayor or the president or chairman of the board of alderman or a majority of the council or other legislative body of any city, town, village, or county, within which the alleged violation occurred, or not less than twenty-five (25) consumers or purchasers or prospective consumers or purchasers of such gas, electricity, water, sewer or telephone service as provided by law.

> (3) Any corporation, person or public utility has the right to file a formal complaint on any of the grounds upon which complaints are allowed to be filed by other parties, and the same procedure may be followed as in other cases.
>
> (4) The commission is not required to dismiss any complaint because of the absence of direct damage to the complainant. . . ."

Appearance Before the Commission

Persons may appear in their own behalf before the PSC; all others must be represented by attorneys, as per Commission Rule 4 CSR 240-2.040.

Admissibility of Evidence

The admissibility of evidence in proceedings is determined by the Missouri Administrative Procedure and Review Act-Chapter 536 RSMo 1969, and Commission Rule 4 CSR 240-2.130, sections of which are excerpted herein.

> "(2) The commission shall take official notice of all matters of which the courts shall take judicial notice. The commission may also take official notice of technical or scientific facts, not judicially cognizable, within its competence, if it notifies the parties, either during a hearing or in writing before a hearing or before findings are made after hearing, of the facts of which it proposes to take such notice and gives the parties fifteen (15) days after the notice to contest such facts or otherwise show that it would not be proper for the commission to take such notice thereof.
>
> (3) The presiding officer shall rule on the admissibility of all evidence. Evidence to which an objection is sustained shall, at the request of the party seeking to introduce the same, or at the instance of the commission, nevertheless be heard and preserved in the record, together with any cross-examination with respect thereto and any rebuttal thereof, unless it is wholly irrelevant, repetitious, privileged, or unduly long. When objections are made to the admission or exclusion of evidence, the grounds relied upon shall be stated briefly. Formal exceptions to rulings are unnecessary and need not be taken.
>
> (4) Rulings of a presiding officer may be reviewed by the commission in determining the matter on its own merits. In extraordinary circumstances, where prompt decision by the commission is necessary to promote substantial justice, the presiding officer may refer the matter to the commission for determination during the progress of the hearing.
>
> (5) Any evidence received without objection which has probative value shall be considered by the commission along with other evidence in the case. The rules of privilege shall be effective to the same extent that they are now or may hereafter be in civil actions. Irrelevant and unduly repetitious evidence shall be excluded.
>
> (6) Copies of writings, documents and records shall be admissible without proof that the originals thereof cannot be produced, if it shall appear by testimony or otherwise that the copy offered is a true copy of the original; but the commission may nevertheless, if it believes the interests of justice so require, sustain any objection to such evidence which would be sustained were the proffered evidence offered in a civil action in the circuit court; if the commission does sustain such an objection, it shall give the party offering such evidence reasonable opportunity and, if necessary, opportunity at a later date to establish by evidence the facts sought to be proved by the evidence to which such objection is sustained.
>
> (7) Any writing of record, whether in the form of an entry in a book or otherwise, made as a memorandum or record of an act, transaction, occurrence of event, shall be admissible as evidence of said act, transaction, occurrence or event, if it shall appear that it was made in the regular course of any business and that it was the regular course of such business to make such memorandum or record at the time of such act, transaction, occurrence, or event or within a reasonable time thereafter. All other circumstances of the making of such writing or record, including lack of personal knowledge by the entrant or maker, may be shown to affect the weight of such evidence, but such showing shall not affect its admissibility. The term "business" shall include business, profession, occupation and calling of every kind.
>
> (8) The results of statistical examinations or studies or of audits, compilations of figures, or surveys, involving interviews with many persons, or examination of many records, or of long or complicated accounts, or of a large number of figures, or involving the ascertainment of many related facts, shall be admissible as evidence of such results, if it shall appear that such examination, study, audit, compilation of figures, or survey was made by or under the supervision of a witness, who is present at the hearing, who testifies to the accuracy of such results and who is subject to cross-examination and if it shall further appear by evidence adduced that the witness making or under whose supervision such examination study, audit, compilation of figures, or survey, including the nature and extent of the qualifications for the maker, may be shown to affect the weight of such evidence, but such showing shall not affect its admissibility. . . ."

Limitations on Cross-Examination and Oral Argument

Limitations on cross-examination are discretionary with the presiding officer. Oral argument is permitted by Rule 4 CSR 240-2.130 (1)(B)(C):

> "(1) In any hearing before the commission or presiding officer, the following shall be applicable to the rules of evidence:
>
> (A) Oral evidence shall be taken only on oath or affirmation.
>
> (B) Each party shall have the right to call and examine witnesses, to introduce exhibits, to cross-examine opposing witnesses on any matter relevant to the issues even though that matter was not a subject of the direct examination, to impeach any witness regardless of which party first called him to testify, and to rebut the evidence against him.
>
> (C) A party who does not testify in his own behalf may be called and examined as if under cross-examination. . . ."

## MISSOURI PUBLIC SERVICE COMMISSION (Continued)

And 4 CSR 240-2.120(4):

> "(4) A presiding officer shall have the duty to conduct full, fair and impartial hearings, to take appropriate action to avoid unnecessary delay in the disposition of proceedings, to maintain order, and he shall possess all powers necessary to that end, including the following: to require opening statements of counsel; to administer oaths and affirmations; to order subpoenas issued; to receive evidence and rule upon all objections and motions which do not involve final determination of proceedings; to hold appropriate conferences before or during hearings; to order and fix the time for filing of briefs and argument, if contrary to 4 CSR 240-2.140; to regulate the course of hearing and the conduct of the parties; and, to take such action as may be necessary and appropriate to the discharge of his duties, consistent with the statutory authority or other authorities under which the commission functions and with the rules, regulations and policies of the commission. Auth: Section 386.410 RSMo (1969). Original rule filed Dec. 19, 1975, effective Dec. 29, 1975."

### Executive Sessions of the Commissioners

Executive sessions of the commissioners are held at regular intervals. Under Commission Rule 4 CSR 240-2.020(2), "Regular meetings of the commission are held in the office of the chairman at 9:00 a.m., Monday through Friday, except legal holidays for the purpose of conducting public business before the commission."

"Formal hearings before the commission will generally be held between 10:00 a.m. and 4:30 p.m., Monday through Friday, except legal holidays."

Missouri has a "Sunshine Act" under Chapter 610, RSMo (Supp. 1977). This means that all the meetings of the commission are open to the public with the following important exceptions. Any meeting record or vote that would affect the value of land, any personnel matter that directly could lead to the hiring and firing of an individual, any matter directly involved in court litigation or that is presumed will look directly to litigation, or that could fall under the heading of a lawyer-client advisory relationship, are subject matters for a closed meeting. Most debate over rate increase filings and votes of the commission are taken in public and are recorded.

### Code of Conduct

Commissioners and staff personnel are governed by a written code of conduct--Commission Rule 4 CSR 240-4.010.

## MONTANA PUBLIC SERVICE COMMISSION

Any utility, as defined by 70-101, Revised Codes of Montana 1947, has standing to request relief from the Commission. Any person, agency, company or corporation may intervene.

An appearance before the Commission does not constitute the practice of law; however, the State's Consumer Counsel will represent any intervenor in any case.

The admissibility of evidence in proceedings is governed by the Montana Rules of Evidence.

There are no limitations placed on the cross-examination of witnesses before the Commission except time limits at the discretion of the presiding officer. Rules of Procedure place time limits on oral argument.

Executive sessions of the commissioners are usually held once a week. Advance public notice of the meetings is not given; however, press representatives usually attend the sessions which are also open to the public.

The commissioners and staff are governed by Model Procedural Rules 1 through 38 as stated in Montana Administrative Codes 1-1.6(2)-P640 through 1-1.6(2)-P6320.

## NEBRASKA PUBLIC SERVICE COMMISSION

Any person may file a complaint concerning any matter within the jurisdiction of the PSC. One has standing to intervene in a PSC proceeding if a showing of interest is made concerning the outcome of controversy at hand.

Any person may appear before the PSC in his own behalf, but only a Member of the Bar of the Nebraska Supreme Court can represent someone else before the PSC.

Evidence which is admissible in civil actions under Nebraska Revised Statutes is admissible before the PSC. The record shall be supported by evidence which possesses probative value accepted by reasonable men in the conduct of their offices.

Oral argument before the PSC is limited to twenty minutes for each side--no limit on cross-examination.

Executive sessions of the Commissioners are normally held each Tuesday and Thursday at 9:30 a.m. The Executive sessions are open to the public and notices of the sessions are published in The Daily Record, Omaha, Nebraska.

Canons of legal ethics govern the professional behavior of the PSC personnel who occupy attorney positions. Additionally, the Commission has adopted a Code of Ethics governing both Commissioners and staff.

## NEVADA PUBLIC SERVICE COMMISSION

Any aggrieved and/or affected party has standing to request relief from the PSC, and to intervene in proceedings.

An appearance before the PSC does not constitute the practice of law.

The admissibility of evidence is governed by what is relevant or germane to the issues involved.

No limitations are placed on the cross-examination of witnesses and on oral argument before the PSC.

### NEVADA PUBLIC SERVICE COMMISSION (Continued)

The Commission holds regular meetings which are open to the public. The meetings are held at 2:00 p.m. every Monday.

The Commissioners and staff personnel are governed by a written code of conduct. Nevada Revised Stats.

### NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION

Any utility defined under RSA 362:2 may request relief from the PUC. Intervention is by discretion of the Commission.

Any person may appear before the PUC in his own behalf, by attorney authorized to practice in the State of New Hampshire or by agent thereunto authorized in writing. Nothing in this rule shall be interpreted in such a way as to permit the unauthorized practice of law.

The PUC is not bound by the technical rules of evidence--RSA 365:9. The admissibility of evidence in proceedings is at the discretion of the PUC.

No limitations are placed on the cross-examination of witnesses as long as it is relevant and material. PUC rule prohibits unnecessary cumulative evidence and may limit the number of witnesses or the time for testimony upon a particular issue at a hearing.

No regular executive sessions of the commissioners are held and no advance public notice is given of such sessions.

The commissioners and staff personnel are not governed by a written code of conduct, per se. The only governing body of rules are the Personnel Rules of the State of New Hampshire which applies equally to all State employees.

### NEW JERSEY BOARD OF PUBLIC UTILITIES

Any individual, corporation or governmental division may file a petition for relief from the Board for consideration by the Board. N.J.A.C. 14:1-3.1.

Any individual, corporation or governmental agency who may be substantially affected by the Board's action in a formal matter has standing to intervene. N.J.A.C. 14:1-9.

Corporate parties and intervenors must be represented by attorneys. Unincorporated parties or intervenors who will represent themselves alone need not be represented by an attorney. Appearances before the Board does not necessarily constitute the practice of law.

The admissibility of evidence before the Board is determined by its reliability and relevancy. New Jersey court rules and administrative procedures are followed.

No limitation is placed upon cross-examination of witnesses so long as that examination is relevant and does not result in cumulative information. Upon oral argument before the Board time limitations are generally set but not strictly enforced. The guiding principle is to permit sufficient oral argument for the parties to make their points and for the Board to understand the issues. Court rules are normally followed.

Executive sessions by the Commissioners are held weekly. Public notice of the executive sessions is required by State law. Closed sessions are held under procedures provided in the "Sunshine Law."

The Commissioners and staff personnel are governed by a written code of ethics. N.J.S.A. 52:13D-23 et seq.

### NEW MEXICO PUBLIC SERVICE COMMISSION

Any person, association, or corporation may file for intervention in a hearing before the PSC. The PSC's rules of intervention are contained in General Order No. 1, Rule 9. The PSC for good cause has waived the time requirements stated in Rule 9. The State of New Mexico's Rules of Civil Procedure, Rule 24(a) follows the Federal Rules of Civil Procedure, Rule 24. Intervention is usually permitted; only in exceptional circumstances has the PSC denied intervention.

Parties and intervenors do not have to be represented by legal counsel. Thus, the appearance of an individual without counsel before the PSC is not construed as practicing law.

The rules of evidence before the PSC are flexible. In general, the PSC usually denies motions to strike or objections to the introduction of evidence. The PSC usually states that it will consider all evidence but not give probative weight to any evidence which is considered tainted or objectionable. Since the Commissioners are experts, the problem of the admissibility of evidence before a jury is not as severe, and the PSC can weigh all evidence presented before it.

The cross-examination of witnesses is technically limited to the areas covered on direct examination. The PSC usually allows the cross-examination to continue in the face of timely and proper objections by opposing counsel if the PSC feels that the cross-examination will shed additional information on the subject of the hearing. The PSC has no limitations on oral arguments. Thus, in recent cases, a minister covered areas far afield from the case in chief and he was permitted to continue.

The executive sessions of the PSC are held continuously from day to day, beginning with the first Monday of the month. The rules of the executive session are covered in §68-4-11, N.M.S.A. 1953.

## NEW MEXICO PUBLIC SERVICE COMMISSION (Continued)

The Commissioners and staff members are governed by a written code of conduct as stated in the Public Utility Act. The Code is mainly concerned with the staff or Commissioners having any dealings with the utilities being regulated. The citation is §68-5-3, N.M.S.A. 1953, Commissioners and Employees Shall Not Render Service to Public Utilities. The State of New Mexico has a regulation which prohibits staff and Commissioners from owning securities of utilities being regulated.

## NEW MEXICO STATE CORPORATION COMMISSION

Any interested person has standing to request relief from the SCC, but intervention is determined by the nature of the case. In certain types of cases parties must be represented by attorneys.

An appearance before the SCC generally constitutes the practice of law; however, there are exceptions.

The admissibility of evidence in proceedings is governed by the District Court Rules. Reasonable limitations are placed on the cross-examination of witnesses and on oral argument before the SCC.

Executive sessions of the Commissioners are scheduled weekly; advance notice is given of such sessions and they are open to the public in accordance with the "Open Meetings Act."

The Commissioners and staff personnel are governed by a written code of conduct.

## NEW YORK PUBLIC SERVICE COMMISSION

Any aggrieved person may request relief from the PSC. Rule 2.4 of the Rules of Procedure of the Department of Public Service (see 16 NYCRR) states that the complainant, petitioner, governmental authority and the utility are to be considered parties. Any other interested persons may request inclusion as a party.

Any appearance before the Commission is not deemed to constitute the practice of law and a person appearing before the Commission does not have to be an attorney.

By statute, Public Service Law § 20(1), the Commission is not bound by the technical rules of evidence. The basic standard of admissibility is relevance.

Rule 2.4 limits the examination of witnesses to parties. As a general rule, the Common Law rules are applied to cross-examination. Rule 2.7(e) states that oral argument may be requested by any party or staff counsel who files a brief on exceptions or opposing exceptions on a tentative or recommended decision. Oral argument is limited to matters properly raised on the record. Oral argument before the Commission is permitted in only a few of its cases.

Executive sessions are regularly held, generally at two-week intervals. Advance notice is given and the sessions are open to the public.

Commissioners and staff are prohibited from doing certain acts enumerated in Section 15 of the Public Service Law. Additionally, commissioners and staff are subject to the Code of Ethics as set forth in Section 74 of the New York Public Officers Law.

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION

An appearance before the DOT is not deemed to constitute the practice of law, so a person appearing before the Department does not have to be an attorney. However, all persons appearing before the Department must conform to the standards of conduct required of attorneys appearing before State courts.

The DOT is not bound by the technical rules of evidence (Transportation Law §84(1)). Relevance is the basic standard of evidence admissibility.

Rule 502.4 limits the examination of witnesses to declared parties to the proceeding. Unless otherwise provided by the Commissioner, the hearing officer is responsible for the conduct of a case from the time of his assignment until such time as he submits his report or recommended decision. The hearing officer determines the time and place of the proceedings before him, the manner in which testimony and cross-examination is to be conducted, the time and place for submission of briefs and other matters necessary for the proper discharge of his responsibility (Sec. 502.2). Rule 502.9 states that oral argument may be requested by any party or staff counsel who files a brief on exceptions or opposing exceptions on a tentative or recommended decision. Oral argument is limited to matters properly raised on the record.

Executive sessions are regularly held, generally at two-week intervals. No advance notice is given and such sessions are not open to the public.

The Commissioner and staff are prohibited from doing certain acts enumerated in Section 82 of the Transportation Law. Additionally, the Commissioner and staff are subject to the Code of Ethics set forth in Section 74, New York Public Officers Law.

## NORTH CAROLINA UTILITIES COMMISSION

Any party having an interest in the relief requested or the NCUC proceeding involved has standing to request relief from the NCUC. Any association representing such parties has standing to intervene in a proceeding.

An appearance before the NCUC constitutes the practice of law with the exception that individuals may represent themselves. Corporations and associations must be represented by attorneys, except in rulemaking proceedings where they appear as public witnesses. Rule R1-22.

The Rules of Evidence applied in civil proceedings in the Superior Court of North Carolina, insofar as practicable, determines the admissibility of evidence in proceedings. G.S. 62-65.

The NCUC applies the same limitations in cross-examination and oral argument as those applicable to the Superior Court of North Carolina.

## NORTH CAROLINA UTILITIES COMMISSION (Continued)

A NCUC conference is held each Monday morning. Executive sessions are held to decide pending cases at the Call of the Chairman, and are closed sessions.

Commissioners and staff personnel's code of conduct are governed by the following statutes: G.S. 62-10, 11 and 327.

## NORTH DAKOTA PUBLIC SERVICE COMMISSION

Any person having an interest, or aggrieved by a decision of the PSC, has standing to request relief from the PSC and to intervene in a proceeding. An appearance before the PSC constitutes the practice of law.

The Rules of Evidence in District Court determines the admissibility of evidence in proceedings. No limitations are placed on the cross-examination of witnesses and on oral argument before the PSC.

Executive sessions of the commissioners are not held at regular intervals. All hearings are open to the public.

The following policy regarding gifts, gratuities, etc. was adopted by the PSC effective December 21, 1977:

Members and employees of the Commission shall not solicit or accept, directly or indirectly, any gift gratuity, entertainment, favor, loan, or any other thing of monetary value from any person, association, or group, that (1) has, or is seeking to obtain, contractual or other business or financial relationships with the Commission; or (2) conducts operations or activities that are subject to regulation by the Commission; or (3) has interest which may be substantially affected by the member's or employee's performance or nonperformance of his official duty; or (4) is in any way attempting to affect the member's or employee's official actions. Employees may join any persons, association or groups, as defined above, for private meals, but they shall pay all the charges directly associated with their meal, as well as a proportionate share of any common costs associated with the meal.

The requirements of this subparagraph do not apply to (i) obvious family or personal relationships when the circumstances make it clear that it is those relationships rather than the business of the persons concerned which are the motivating factors; (ii) acceptance of food or refreshments of nominal value on infrequent occasions in the course of a luncheon, dinner, or other meetings when it is an open public meeting where the public and members of various organizations are invited, or (iii) acceptance of unsolicited advertising or promotional material of nominal intrinsic value, such as pens, pencils, note pads, calendars and other similar items.

## NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES

Any interested member of the public has standing to request relief from the Board and to intervene in a proceeding.

Parties and intervenors must be represented by counsel.

Relevancy and judgment determines the admissibility of evidence in proceedings.

No limitations are placed on the cross-examination of witnesses and on oral argument before the Board, except it must be conducted by a Barrister of the Supreme Court of Nova Scotia.

Executive sessions of the commissioners are held when required; some sessions are advertised and all are open to the public.

The commissioners and staff personnel are not governed by a written code of conduct.

## OHIO PUBLIC UTILITIES COMMISSION

Any person, firm or corporation, i.e. absolutely anyone, may request relief from the PUC. § 4905.26 Revised Code. Anyone with a reasonable interest may intervene in a proceeding. Such an interest must be demonstrated on the record. With regard to members of the public, this permits a customer of the utility, railroad or contract carrier to intervene. A Consumers' Counsel has been established to represent the consumers in a proceeding. Section 4911.0 Revised Code.

Pursuant to current Commission Rules and Regulations, parties appearing before the PUC must be represented by Ohio attorneys. The exception to this rule lies in transportation matters where an employee of a party, a member of a chamber of commerce, or, in safety matters, a labor representative may present the case without an attorney. Section 4901.14 Revised Code.

The Ohio Rules of Evidence in Civil Proceedings govern evidentiary rulings. The limitations on cross-examination are cumulative evidence and repetition of the material already covered.

The Commission shall hold its sessions at least once in each calendar month in Columbus, but may also meet at such times and places as are necessary for the proper performance of its duties. Section 4901.10 Revised Code. Under PUC Code of Rules and Regulations, Chapter 4901-3, any person, may upon request and payment of a reasonable fee, obtain reasonable advance notification of all meetings at which any specific type of public business is to be discussed. Provisions for advance notification may include, but are not limited to, mailing the agenda of meetings to all subscribers or a mailing list or mailing notices in self-addressed, stamped envelopes provided by the person. The Commission shall not hold a special meeting unless it gives at least twenty-four hours advance notice to the news media that have requested notification. All meetings are open to the public unless authorized by law.

## OHIO PUBLIC UTILITIES COMMISSION (Continued)

The Commissioners and staff personnel are governed by a written code of conduct. Section 4901.24 of the Revised Code states that no public utilities commissioner, attorney to the commission, or person employed or appointed to office by the commission or by its attorney shall accept any gift, gratuity, emolument, or employment from any public utility or railroad or any officer, agent, or employee of a public utility or railroad or solicit, suggest, request, or recommend, directly or indirectly, to any person, corporation, or public utility subject to the supervision of the commission, or to any officer, attorney, agent, or employee thereof, the appointment of any person or any office, place, position, or employment. Whoever violates this section shall be removed from office.

## OKLAHOMA CORPORATION COMMISSION

Any aggrieved rate payer has standing to request relief from the CC, and has standing to intervene in proceedings. An appearance before the CC does not constitute the practice of law.

The Rules of Evidence applied to the District Court of Oklahoma determines the admissibility of evidence in proceedings; however, the Rules may be relaxed if deemed to be in the public interest to do so.

Limitations on oral argument before the CC is determined by the discretion of the Commission.

Executive sessions of the commissioners are not held at regular intervals.

The commissioners and staff personnel are governed by a written code of conduct. 74 OS et seq.

## ONTARIO ENERGY BOARD

Any person affected may request relief from the Board or intervene in a proceeding.

An appearance before the Board does not constitute the practice of law; any party may appear in person, by agent or by counsel.

All evidence relevant to the subject matter is admissible in proceedings, unless it is privileged under the law.

Cross-examination of witnesses and oral argument before the Board must be relevant.

Executive sessions of the Members of the Board are not held at regular intervals and no advance public notice is given of such sessions. The sessions are not open to the public.

The Members of the Board and staff personnel are not governed by a written code of conduct.

## ONTARIO TELEPHONE SERVICE COMMISSION

Any telephone system under the jurisdiction of the Ontario Telephone Service Commission has the standing to request relief from the Commission.

An appearance before the Commission does not constitute the practice of law.

Normal rules of evidence determines the admissibility of evidence in proceedings.

There are no limitations placed on the cross-examination of witnesses and on oral argument before the Commission other than the question of reasonableness.

The OTSC meets monthly. It is at the discretion of the Commission as to whether or not the meetings are open to the public and there is no public notice of upcoming sessions.

The Members of the OTSC and staff personnel are not governed by a written code of conduct.

## OREGON PUBLIC UTILITY COMMISSIONER

Any person can file a complaint before the Commissioner and it is not necessary that he have a pecuniary interest. Any person may become a party to the proceeding before the final taking of evidence, if he initially could have been a party. A person may also appear and participate in the proceeding before the final taking of evidence, if the appearance or participation will not unduly broaden the issues or burden the record.

Parties and intervenors are not required to be represented by counsel. They may examine and cross-examine witnesses, present evidence and make objections. However, all persons appearing in a representative capacity must conform to the standard of ethical conduct required of attorneys before the courts of Oregon.

All relevant evidence is admissible in proceedings when, in the opinion of the presiding officer, it is the best evidence reasonably obtainable, having due regard to its necessity, availability and trustworthiness. Additional evidence may be received upon order of the Commissioner after the conclusion of the taking of evidence with the parties having an opportunity to examine and rebut the evidence.

No limitations are placed on the cross-examination of witnesses and on oral argument before the PUC.

Oregon has one Commissioner. There are no executive sessions as such.

The Commissioner and staff employees are prohibited by statute from having certain interests (ORS 756.026) and statements must be filed with the Commissioner of pecuniary interests in any business or activity subject to the Commissioner's regulatory jurisdiction (ORS 756.028). A personal code of conduct has been adopted by policy of the Public Utility Commissioner.

## PENNSYLVANIA PUBLIC UTILITY COMMISSION

### Standing to Request Relief

The Commission, or any person, corporation, or municipal corporation having an interest in the subject matter, or any public utility concerned, may complain in writing; the Commonwealth of Pennsylvania through the State Attorney General in any matter involving the Commonwealth as a consumer of public utility services; the State Consumer Advocate; Applicants in proceedings requesting permission or authorization which the Commission may give under statutory or other authority delegated to it (i.e. Certificate of Public Convenience for carriers); Protestants, including any interested person or corporation desiring to protest or object on the ground of public or private interest to the approval of an application, petition, motion, or other matter which the Commission may have under consideration; Petitioners seeking relief which may not be specifically designated by rule or statute (i.e. requests for exemption from specific regulations).

### Intervention

Persons eligible to intervene: A petition to intervene may be filed by a person claiming a right to intervene or an interest of such nature that intervention is necessary or appropriate to the administration of the statute under which the proceeding is brought. Such right or interest may be: (1) A right conferred by statute of the United States or of this Commonwealth; (2) An interest which may be directly affected and which is not adequately represented by existing parties and as to which petitioners may be bound by the action of the agency in the proceeding. The following may have such an interest: consumers, customers, or other patrons served by the applicant or respondent; holders of securities of the applicant or respondent; employes of the applicant or respondent; competitors of the applicant or respondent; (3) Any other interest of such nature that participation of the petitioner may be in the public interest.

### Appearance Before the Commission

Corporations must be represented by attorneys; however, an individual may appear in his own behalf. In non-adversary proceedings (such as the filings of documents), a bona fide officer of a corporation, trust or association may represent those entities.

### Admissibility of Evidence

The admissibility of evidence in proceedings is determined by rules of evidence as generally applied to administrative agency hearings as interpreted by the presiding officer.

### Cross-Examination and Oral Argument

The only limitations placed on cross-examination of witnesses and on oral argument before the Commission are those deemed appropriate by the presiding officer under the circumstances of the case.

### Public Sessions

Public sessions of the commissioners are held at regular intervals, usually on a weekly basis. Advance public notice of such sessions is provided.

### Code of Conduct

The commissioners and staff personnel are governed by a written code of conduct. Section 319 of Act No. 1978-116 (66 Pa. Consolidated Statutes 319).

## PUERTO RICO PUBLIC SERVICE COMMISSION

Any entity regulated has standing to seek relief from the PSC; also any consumer or group of consumers has such right to seek relief. Any entity may have standing to intervene as determined by the PSC, as those by reason of interest or possible interest in the subject matter, may require relief.

An appearance before the PSC constitutes the practice of law; a corporation or association may appear and present evidence by any bona fide officer, employee or representative. A natural person may appear and be heard on his own behalf.

Generally, the rules of civil procedure established in the Laws of Puerto Rico Annotated, govern the admissibility of evidence; however strict adherence to the rules will not be engaged in if the exclusionary rules of evidence would prevent the receipt of evidence, having substantial, probative effects. The PSC follows the modern trend of administrative procedures broadening the scope in the presentation of evidence. In a hearing, the Examiners conducting the hearing determine the admissibility of evidence. On behalf of the public interest that might be involved, in every case an attorney of the PSC is always present at the hearings.

No limitations are placed on the scope and extent of cross-examination of witnesses, except for the same protection of witnesses required by law and by the rules of the Court. The same applies to oral argument.

Executive sessions of the Commissioners are held at regular intervals. No advance notice of the sessions is given as the sessions are not open to the public.

## QUEBEC ELECTRICITY AND GAS BOARD

Standing to request relief from the Board applies to anyone with a complaint concerning any organization under the jurisdiction of the Board for which an interest is provided. Any person, public official, state agency or department of any civic or trade organization may intervene.

A natural person who is a party of interest may appear for himself or be represented by a full-time employee or by an attorney. The same rules apply to corporations, partnerships and associations.

The Canada Evidence Act as interpreted by the Board determines the admissibility of evidence in proceedings, and the limitations placed on the cross-examination of witnesses and oral argument before the Board.

Executive sessions of the commissioners are held for the decision of cases and are not open to the public.

The commissioners and staff personnel are not governed by a written code of conduct.

## QUEBEC PUBLIC SERVICE BOARD

Anybody who has a personal "interest" can request relief from the Board. It can be an aggrieved subscriber or a bona fide group of subscribers. An intervenant must also have an interest but this interest is more strictly defined, being the same interest as the one found in the Quebec Code of Civil Procedure. The Attorney General of Quebec can always intervene. Anybody can appear to make representations before the Board.

An appearance before the Board constitutes the practice of law. The parties need not be represented by attorneys except companies, unincorporated associations and anybody who represents the interest of others, or collective interests.

The rules of evidence are those followed by the Superior Court of Quebec and are also determined by the Rules of Practice of the Board. The citation of the Rules of Practice is: An ordinance setting for the Rules of Practice in Replacement of Ordinance 15 and adopted by the Public Service Board on May 19, 1970.

Witnesses can be cross-examined on all the facts in issue. There is no limitation on oral argument before the Board provided the argumentation is relevant.

Executive sessions of the controllers are not held at regular intervals. There is no advance public notice given of such sessions, only private notices. The executive sessions of the Board are not open to the public.

Controllers, during the hearings, are governed by a Deontology Code. The citation is: Deontology Code adopted by the members of the Quebec Public Service Board, File No. 20-40-32.

## RHODE ISLAND PUBLIC UTILITIES COMMISSION

Anyone who has a legitimate complaint may request relief from the PUC. Anyone who represents the interested party has standing to intervene in a PUC proceeding.

An appearance before the PUC does not constitute the practice of law. It is not necessary that interested parties be represented by attorneys.

Any relevant and pertinent material may be admissible as evidence in PUC proceedings.

There are no limitations placed on the cross-examination of witnesses and on oral argument before the PUC.

The executive sessions of the commissioners are not held at regular intervals. There is no advance public notice given of such sessions. The executive sessions of the PUC are not open to the public.

The commissioners and staff personnel are governed by a written code of conduct. The citation is covered in Title 39, Chapter 1, Section 5 of the General Laws of the State of Rhode Island.

## SOUTH CAROLINA PUBLIC SERVICE COMMISSION

The South Carolina Code identifies persons who may request relief or who may intervene in PSC proceedings. In utility cases almost anyone may participate; in transportation cases there is very limited participation.

Any individual may represent himself before the PSC; groups and corporations must have attorneys to represent them.

PSC Rule 9-33 as published in the Code of Laws of South Carolina determines the admissibility of evidence in proceedings.

Time limitations are placed on the cross-examination of witnesses and on oral argument before the PSC--sequence of appearance is also a consideration.

Executive Sessions of the Commissioners are held at regular intervals. The Commissioners hold Commission Meetings regularly on Tuesdays and at other times when necessary. No advance public notice is provided for such meetings. Pursuant to the terms of S. C. Code Ann. § 1-20.3 (1975 Cum. Supp.), as amended on May 19, 1976, the Commission holds Executive Sessions for limited purposes and only after voting in regular Commission Meeting to convene an Executive Session.

The Commissioners and staff members are governed by the terms of No. 191 (1975) S. C. Acts 217 and by the provisions contained in Senate Bill No. 7, recently signed into law on June 30, 1976.

## SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

Any utility (electric, gas, telephone, carriers) within the Commission's jurisdiction has standing to request relief from the PUC. Any person "who claims an interest in a pending proceeding, may petition in the proceeding for leave to intervene." S.D.C.L. 20:10:14:02.

In an appearance before the PUC, parties and intervenors do not have to be represented by an attorney.

The admissibility of evidence in proceedings is determined by the Administrative Procedure Act. S.D.C.L. Chapter 1-26.

The cross-examination of witnesses and oral argument before the PUC are limited to the scope of the proceeding before the Commission and any pertinent direct testimony. Rules of Evidence are followed.

Executive sessions of the commissioners are open to the public.

## TENNESSEE PUBLIC SERVICE COMMISSION

Any regulated company, any customer of such company, or any other interested party has standing to request relief from the PSC. Any interested party may intervene in a PSC proceeding. The PSC does not require representation by counsel.

Strict rules of evidence are not followed in the admissibility of evidence in proceedings; a statutory "Prudent Name" test is used.

There are no limitations, except basic procedural limitations, placed on the cross-examination of witnesses and on oral argument before the PSC.

Executive sessions of the commissioners are held every second Tuesday. The sessions are open to the public and advance notice is given of such sessions.

The Commissioners and staff personnel are governed by a written code of conduct. TCA 65-101 et seq.

## TEXAS AERONAUTICS COMMISSION

Any person or agency with a sufficient legal, economic, or other interest in matters within the TAC's jurisdiction may request relief from the TAC. Intervenors may include, but are not limited to: (1) Air carriers operating over a route proposed to be served by any applicant; (2) Any person who, prior to the expiration of time for filing a notice of protest or a petition to intervene, has filed an application to operate over a route proposed to be served by any applicant; (3) Any person or agency who has a statutory right to be made a party to such proceedings before the TAC; (4) Any person who by virtue of his position within the TAC will insure that the public's, the state's, or the TAC's interest are represented; and (5) Any other person deemed by the TAC to have a sufficient legal, economic or other interest to be a party to a proceeding and whose appearance will be conducive to the ends of justice and will not unduly delay the conduct of the proceeding. Texas Aeronautics Commission Rules of Practice and Procedure, Rule 3(L) (1977).

Anyone with the right to appear and present evidence in a proceeding before the TAC may be represented by an attorney authorized to practice law before the highest court of any State. A natural person may also appear on his own behalf, or may appear and be represented by a bona fide full-time employee. A corporation, partnership, or association may also appear and be represented by any bona fide officer, partner, or full-time employee. Texas Aeronautics Rules of Practice and Procedure, Rule 23 (1977).

The admissibility of evidence in proceedings is governed by the rules of evidence for non-jury civil trials in Texas. Tex. Rev. Civ. Stat. Ann. Art. 6252-13a Sec. 14(a) (1978).

Cross-examination of witnesses before the TAC is allowed only to the extent required for a full and true disclosure of the facts. Tex. Rev. Civ. Stat. Ann. Art. 6252-13(a) Sec. 14(p) (1978). Oral argument shall be permitted only in the sound discretion of the Commission. Texas Aeronautics Commission Rules of Practice and Procedure, Rule 82 (1977).

There is one regular meeting of the Commission each year on the third Tuesday in October. Texas Aeronautics Commission Rules of Practice and Procedure Rule 4 (1977). Special meetings are called as necessary with at least one meeting in each of the first three quarters of the year. The meetings are open to the public and notice is given pursuant to the Open Meetings Act. Tex. Rev. Civ. Stat. Ann. Art. 6252-17 (1978).

The standards of conduct for commissioners and employees is governed by Tex. Rev. Civ. Stat. Ann. Art. 6252-96 (1978).

## TEXAS PUBLIC UTILITY COMMISSION

Any consumer, electric, telephone, water and sewer company has standing to request relief from the Public Utility Commission. Any party who can show a justifiable interest may intervene in a proceeding.

An appearance before the PUC does not constitute the practice of law.

The admissibility of evidence in proceedings is determined by the Texas Rules of Evidence as applied to non-jury civil cases in the District Courts of Texas.

The cross-examination of witnesses is usually limited to the subject matter of direct testimony. Oral argument may be allowed only at the discretion of the Commission.

The executive sessions of the commissioners are held at such times and places as may be determined by the Chairman or the other two members. Notice is given for all meetings open to the public unless personnel matters are being discussed.

The commissioners and staff personnel are governed by a written code of conduct. Article II, Sections 5 through 7 of the Public Utility Regulatory Act (Article 1446c, V.A.C.S.)

## TEXAS RAILROAD COMMISSION

Standing to request relief from the Commission applies to anyone with a complaint concerning any organization under the jurisdiction of the Commission, for which a penalty is provided. Any person, public official, state agency or department of any civic or trade organization may intervene.

A natural person who is a party of interest may appear for himself or be represented by a full-time employee or by an attorney. The same rules apply to corporations, partnerships, and associations.

The Statutes of the State of Texas and the non-jury rules of evidence of the State of Texas determine the admissibility of evidence in proceedings, and the limitations placed on the cross-examination of witnesses and on oral argument before the Commission.

## TEXAS RAILROAD COMMISSION (Continued)

Executive sessions of the commissioners are held every Monday at 9:00 a.m. and once monthly at a time set one year in advance. Notice is given to the Secretary of the State of Texas and posted in the Capitol Building. The executive sessions are open to the public; notice is also given to AP, UPI and 14 newspapers.

The commissioners and staff personnel are not governed by a written code of conduct.

## UTAH PUBLIC SERVICE COMMISSION

Anyone has standing to request relief from the Commission and has standing to intervene in a proceeding. UCA 1953, 54-7-9. An appearance before the Commission does not constitute the practice of law. The PSC usually follows the rules of evidence generally applicable to court proceedings.

No limitations are placed on the cross-examination of witnesses and on oral argument before the Commission. UCA 1953, 54-7-1.

Executive sessions of the Commissioners are not held at regular intervals and are not open to the public.

Commissioners and staff personnel are governed by a written Code of Conduct, UCA 1953, 54-1-11, and 54-1-6.

## VERMONT PUBLIC SERVICE BOARD

The law of standing as applied by the courts is used as a guide in determining who has standing to request relief from the PSB, and to intervene in proceedings.

An appearance before the PSB does not constitute the practice of law.

The law of evidence as interpreted by Vermont's courts determines the admissibility of evidence in proceedings, and the limitations placed on the cross-examination of witnesses and on oral argument before the PSB.

Executive sessions of the commissioners are held only for the decision of cases.

The commissioners and staff personnel are not governed by a written code of conduct.

## VIRGIN ISLANDS PUBLIC SERVICES COMMISSION

Any interested person or public utility may make formal complaint to the Commission with respect to any matters subject to the jurisdiction of the Commission.

Any person not a party to a proceeding may make written application for leave to intervene showing the extent of his interest in the matter. Upon its granting, the person shall thereafter be an intervenor in the proceeding.

The Commission rules are silent as to whether or not an appearance before the Commission constitutes the practice of law. The rules state "Any party or intervenor may be represented by himself or counsel."

The rules of evidence applied in civil proceedings in the courts of the United States in matters not involving trial by jury, shall govern Commission proceedings.

The rules are silent on what limitations, if any, are placed on the cross-examination of witnesses and on oral argument before the Commission.

The PSC is obliged to hold at least six meetings a year, to be called by the Executive Director on not less than five days notice (unless all members waive notice). In addition, the Chairman may convene such additional special meetings as are required, upon not less than three days notice (unless all members waive notice). All meetings are open to the public and advance public notice is given of such meetings.

The Commissioners and staff personnel are not governed by a written code of conduct.

## VIRGINIA STATE CORPORATION COMMISSION

### Standing to Request Relief and Intervention in Proceedings

Relief may be sought by anyone aggrieved by any regulated function of any business subject to regulation by the SCC. Upon such complaint, the SCC, upon its own motion, may also proceed in all such cases.

Anyone who could be affected by the outcome of any regulatory proceeding before the SCC may actively participate as a party by complying with simple filing or notice requirements; similarly interested persons may appear as a witness, pro se, in any such proceeding merely by attending the hearing and completing a brief appearance form.

### Appearance Before the Commission

No person not duly admitted to practice law before the court of last resort of any State or territory of the United States or of the District of Columbia shall appear as attorney or counsel in any proceeding except in his own behalf when a party thereto, or in behalf of a partnership, party to the proceeding, of which such person is adequately identified as a member; provided, however, no foreign attorney may appear unless in association with a member of the Virginia State Bar.

### Admissibility of Evidence

In all proceedings in which the Commission shall be called upon to decide or render judgment only in its capacity as a court of record, the common and statute law rules of evidence shall be as observed and administered by the courts of record of this State. In all other proceedings, due regard shall be given to the technical and highly complicated subject matter the Commission must consider, and exclusionary rules of evidence shall not be used to prevent the receipt of evidence having substantial probative effect. Otherwise, effect shall be given to the rules of evidence recognized by the courts of record of this State.

## VIRGINIA STATE CORPORATION COMMISSION (Continued)

### Cross-Examination and Oral Argument

Public witnesses (Interveners), who file no pleadings, may testify in support of or in opposition to the object of a particular proceeding but may not cross-examine witnesses. All other parties who have complied with filing requirements may cross-examine within the limits of their direct evidence. The Commission reserves the right, frequently exercised, to impose reasonable time limits - prior to the beginning of the hearing - upon all cross-examination.

The Commission at any formal hearing may require or allow oral argument on any issue presented for decision. In adversary proceedings thirty (30) minutes ordinarily will be allowed each side for oral argument; provided, however, the Commission may allow more or less time for such argument. The Commission may require, or grant requests for, oral argument on questions arising prior or subsequent to a formal hearing and fix the time and place for such argument. In all cases the Commission may limit the questions on which oral argument will be heard.

### Sessions of the Commissioners

The SCC does not sit in executive sessions. Any matter within the jurisdiction of the SCC may be decided, or otherwise resolved, formally or informally. Public sessions for the hearing of any complaint, proceeding, contest or controversary, instituted or pending upon the Commission's own motion or otherwise, are conducted at its headquarters or, at its discretion, wherever else it may designate within the State.

### Code of Conduct

There exists no "written code of conduct" especially for the Commission. However, the Commissioners are considered subject to the Canons of Judicial Conduct for the State of Virginia and members of its legal staff are, of course, likewise subject to the canons governing that profession. The Commissioners and all subordinate employees are forbidden by statute from owing any securities of, or from having any primary interest in - directly or indirectly - or from holding any position with any corporation whose rates, services, or financial ability to meet its obligations to the public are subject to supervision or regulation by the Commission. Further, the private practice of law is forbidden to all such persons.

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

Any interested person may request relief from the WUTC. Any person who desires to appear and who does not desire to broaden the issues of the proceeding may intervene, if a substantial interest is shown.

Parties and intervenors may appear before the WUTC in their own behalf; however, appearances representing some other person or company constitutes the practice of law.

Washington Administrative Code 480-08-190 provides that the rules of evidence in court proceedings serve as examples concerning the admissibility of evidence in WUTC proceedings but are not conclusive. The Administrative Code calls for the best evidence that is reasonably obtainable, giving due regard to the need for it, as well as the availability and trustworthiness.

No limitations other than against repetitive or cumulative testimony on cross-examination are imposed, but oral argument may be granted only at the discretion of the WUTC.

All regularly scheduled meetings of the WUTC are open public meetings; special meetings are open and preceded by special public notice.

Commissioners and staff personnel are governed by a written code of conduct. Administrative Procedure Act, RCW Chapter 34.04; Public Disclosure Law, RCW Chapter 42.17; Open Public Meetings Law, RCW Chapter 42.30.

## WEST VIRGINIA PUBLIC SERVICE COMMISSION

### Intervention in Proceedings

Any person having a legal interest in the subject matter of any hearing or investigation pending before the Commission may petition or move orally for leave to intervene in such proceeding, prior to or at the time it is called for hearing. If leave is granted, the petitioner becomes an intervenor and a party to the proceeding with the right to have notice of and appear at the taking of testimony, to produce and cross-examine witnesses, and to be heard on the argument of the case.

### Appearance Before the Commission

Representation or appearance of parties in all formal proceedings pending before the Commission, shall be only by attorneys at law admitted to practice before the Courts of the State of West Virginia, before the Courts of last resort of other states, or before the Supreme Court of the United States; provided, however, that a party may appear for and represent himself, a partner may represent his partnership, and a corporation or association may be represented by a duly authorized executive officer thereof, upon permission granted in the discretion of the presiding officer.

### Admissibility of Evidence

In investigations, preparations and hearings of cases, the Commission is not bound by the technical rules of pleadings and evidence, but in that respect it may exercise such discretion as will facilitate its efforts to understand and learn all the facts bearing upon the right and justice of the matters before it. Evidence may be received which, in the opinion of the presiding officer, is the best evidence reasonably obtainable, having due regard to its necessity, availability and trustworthiness. In passing upon the admissibility of evidence, the presiding officer shall give consideration to, but shall not be bound to follow the rules of evidence governing general civil procedures in courts of the State of West Virginia.

### Oral Argument

Oral argument may be presented in lieu of filing of briefs. When, in the opinion of the presiding officer, time permits and the nature of the proceedings, the complexity or importance of the issues of fact or law involved, and the public warrants, such presiding officer may, either on his own motion or at the request of a party or staff counsel at or before the close of the taking of testimony allow and fix a time for the presentation of oral argument, imposing such limits of time on the argument as deemed appropriate in the proceeding.

## WEST VIRGINIA PUBLIC SERVICE COMMISSION (Continued)

### Meetings of the Commission

Meetings of the Commission during which it makes a decision or deliberates toward a decision on any matter are exempt from the so-called Sunshine Law, and therefore closed to the public. Executive sessions of the Commission are not held at regular intervals.

### Code of Conduct

The Constitution of West Virginia, Article 4, Section 5; West Virginia Code, Chapter 24, Article 1, Section 2; and West Virginia Code, Chapter 24, Article 1, Section 3, provide certain ethical responsibilities. In addition to the above, the Commission has promulgated certain rules which requires the Commission and professional members of its staff to observe the high standards of conduct required by the professionals to which their activities relate.

## WISCONSIN PUBLIC SERVICE COMMISSION

The Wisconsin Public Utility Statutes provide that any public utility or any mercantile, agricultural or manufacturing society or body politic or municipal organization of 25 or more persons may by petition institute a formal case involving rates or service of a public utility. Also the PSC may set on its own motion, or as otherwise provided in specific statutes involving particular situations. See Wis. Statutes Section 196.26 and 196.30.

A recently enacted law provides that any person may file a written request with the PSC for a hearing and shall have the right to a hearing if: (a) a substantial interest of the person is injured in fact or threatened with injury by agency action or inaction; (b) there is no evidence of legislative intent that the interest is not to be protected; (c) the injury to the person requesting a hearing is different in kind or degree from injury to the general public caused by agency action or inaction; and (d) there is a dispute of material fact. Section 227.075(1), Wis. Stats. as created by Chapter 414, Laws of 1975. Anyone who physically appears may intervene in commission hearings.

If the substantial interests of a person will be adversely affected, whether by action or inaction, whether affirmative or negative in form, he has the right to intervene. Section 227.15, Wisconsin Statutes, as created by Chapter 414, Laws of 1975.

## WISCONSIN TRANSPORTATION COMMISSION

Any person whose substantial interests may be adversely affected by proposed Commission action has standing to request relief from the Commission and to intervene in proceedings.

An appearance before the Commission does not constitute the practice of law.

The admissibility of evidence in proceedings is determined primarily by the question of relevance and reasonable probative value.

No limitations are placed on the cross-examination of witnesses before the Commission; oral argument is at Commission discretion.

Executive sessions of the commissioners are scheduled at least once each week and are open to the public. Advance public notice of such sessions is given at least 24 hours earlier.

Commissioners and staff personnel are governed by a written code of ethics.

## WYOMING PUBLIC SERVICE COMMISSION

Any person whose legal rights, duties or privileges may be affected may appear in person or through counsel, or an officer may represent his company, or a partner may represent the partnership; all such persons may request relief from the PSC and intervene in a PSC proceeding.

An appearance before the PSC constitutes the practice of law, but any person can represent himself; an officer can represent his company, and a partner can represent the partnership.

Admissible evidence is the type of evidence commonly relied upon by reasonable prudent men in the conduct of their serious affairs; and irrelevant, immaterial or unduly repetitious evidence shall be excluded.

Limitations placed on the cross-examination of witnesses and on oral argument before the PSC are determined during the hearing process by the Chairman (upon consultation with other Commissioners, and with staff if necessary).

Open Executive Sessions of the Commissioners are held Tuesdays and Thursdays; or if a hearing falls on these days the day following, or as set by Commissioners upon notice.

Commissioners and staff personnel are not governed by a written code of conduct; however, they are subject to removal from office for misconduct, malfeasance or misfeasance under Section 9-2-101, Wyoming Statutes 1977.

The Commission is authorized by statute to appoint hearing examiners to conduct contested and non-contested cases.

## AGENCY STAFF RECRUITMENT AND JOB PROTECTION

Methods of staff recruitment by the member agencies and job protection (i.e. merit or civil service systems) for staff members are reported below.

The agencies are listed in alphabetical order with the participating Federal agencies listed first.

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CIVIL AERONAUTICS BOARD - All staff members are selected and appointed by the Board. The Secretary of the Board and an assistant and a secretary to each member are, by statute, exempt from the civil service laws and regulations. All positions of attorney, and some other positions, are exempted from the competitive appointment procedures by Civil Service Commission action and the occupants of such positions have no tenure rights. The General Counsel, most bureau heads and some office heads are exempted by Civil Service Commission regulations from the competitive appointment procedures, but their qualifications are subject to review by the Civil Service Commission. These employees have no tenure rights. All other employees are in the competitive service and have job protection pursuant to statute, Executive Orders and Civil Service Commission Regulations.

FEDERAL COMMUNICATIONS COMMISSION - Most Commission employees are covered by the merit system of the Federal Government, though positions of attorney and some other positions are exempted from competitive appointment procedures by Office of Personnel Management action. Employees in the Competitive Service (merit system) positions have tenure rights pursuant to statute, Executive Orders, and Office of Personnel Management Regulations.

Selection approval for positions at the GS-14 level and above rests with the Commission. Approval authority for GS-12 and GS-13 positions rests with the Executive Director. Bureau/Office Chief (or their designees) have approval authority for the GS-11 level and below.

FEDERAL ENERGY REGULATORY COMMISSION - Commission employees are covered by the merit system of the Federal Government, though positions of attorney and some other positions are exempted from competitive appointment procedure by Office of Personnel Management. Employees in the merit system positions have tenure rights pursuant to Statute, Executive Orders and Office of Personnel Management Regulations.

INTERSTATE COMMERCE COMMISSION - The Director of the Rail Services Planning Office is appointed by the Chairman of the Commission for a term of six years under the Railroad Revitalization and Regulatory Reform Act of 1976. The Director of the Office of Rail Public Counsel is appointed by the President of the United States for a term of four years under the same act. All other staff employees are selected by the Commission, appointed under U.S. Civil Service Commission rules and regulations, and have job protection according to their status.

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ALABAMA PUBLIC SERVICE COMMISSION - All staff employees are selected through the merit system process.

ALASKA PIPELINE COMMISSION - Staff members are selected by the Executive Director; all are state Civil Service.

ALASKA PUBLIC UTILITIES COMMISSION - The Executive Director and Deputy Director are in the partially exempt service. All other staff members, except counsel, are under the merit system. Counsel is provided by the Attorney General. The PUC selects all other staff.

ALASKA TRANSPORTATION COMMISSION - All staff members are selected by the TC. All staff members are subject to collective bargaining agreement entered into between an employee association and the Department of Administration, which recognizes the merit system.

ALBERTA PETROLEUM MARKETING COMMISSION - Staff is selected by the Alberta PMC through public notice or job search, usually through employment agencies. No specific job protection is provided.

ALBERTA PUBLIC UTILITIES BOARD - Board Members are appointed by the Lieutenant Governor in Council (the Provincial Cabinet) and all staff members are recruited under the terms of the Public Service Act. Provision exists in The Public Utilities Board Act for appointment of project consultants with expertise as required at the discretion of the Board Chairman and with the approval of the Lieutenant Governor in Council.

ARKANSAS PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC. No merit or Civil Service systems exist for regulatory employees.

ARKANSAS TRANSPORTATION COMMISSION - All staff members are selected by the ATC. There is no job protection, i.e., merit or civil service jurisdiction over employees.

CALIFORNIA PUBLIC UTILITIES COMMISSION - Staff members are appointed under the Civil Service System with the exception of the Commission Executive Director and the General Counsel who are appointed by the Commission. Staff members have job protection afforded under the Civil Service System, assuming adequate performance.

CONNECTICUT DEPARTMENT OF PUBLIC UTILITIES CONTROL - Staff members are selected by the DPUC under the merit system. There is no staff counsel; legal services are provided by Assistant Attorneys General appointed by, and working under the direction and control of, the State Attorney General.

DELAWARE PUBLIC SERVICE COMMISSION - The Director of the PSC is appointed by the Commissioners; the remainder of the staff is selected through civil service procedures. The State of Delaware benefits apply to all staff.

DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC with the exception of the three Commissioners and the People's Counsel. These individuals are selected by the Mayor and must be approved by the City Council. All staff members have job protection (civil service) assuming adequate performance is maintained.

FLORIDA PUBLIC SERVICE COMMISSION - All Department Directors are delegated the authority to appoint staff members for their respective departments. All staff members are under Career Service System at this time. All top-level employees such as General Counsel, Executive Director, Department Heads, Executive Assistants and Executive Secretaries are exempt employees and their services may be terminated without cause.

GEORGIA PUBLIC SERVICE COMMISSION - All staff members are selected by Division Directors and approved by the PSC. All staff members are covered by the State Merit System.

GUAM PUBLIC UTILITIES COMMISSION - Commission appoints Administrative Director and legal counsel; staff members are selected by the Administrative Director.

HAWAII PUBLIC UTILITIES COMMISSION - The administrative director, assistants to the Commissioners, and the legal counsel are appointed by the Chairman of the Commission. All other staff employees are employed through normal civil service procedures.

IDAHO PUBLIC UTILITIES COMMISSION - All staff members are selected by the PUC through Idaho Personnel Commission, except for the General Counsel and Administrator who are exempt employees.

ILLINOIS COMMERCE COMMISSION - All staff members are selected by the Illinois CC. Many of the professional staff members are not covered by the Illinois Civil Service Commission.

INDIANA PUBLIC SERVICE COMMISSION - The three Commissioners and the Executive Secretary are appointed by the Governor. All other staff members are appointed by the Commissioners. There is no job protection.

IOWA STATE COMMERCE COMMISSION - All staff members are selected by the agency, except Commerce Counsel and Commerce Counsel is appointed by the Commissioners and confirmed by the Senate. Commerce Counsel holds a four-year term. Staff members have job protection under the Iowa State Merit System. Legislation was enacted to permit state employees to vote for union representation and the right to collective bargaining.

IOWA TRANSPORTATION REGULATION BOARD - All staff members are selected by the three-member Board with advice from the Board's General Counsel and chief of the Rate Analysis Section. All staff members, including the General Counsel, are protected by the State's merit employment system.

KANSAS STATE CORPORATION COMMISSION - The three Commissioners are appointed by the Governor, for staggered four-year terms. General Counsel and Attorneys are appointed by the Commissioners. The Utility Division professionals are recruited and appointed by the Director of Utilities and the beginning rate of pay and any subsequent salary increases must be approved by the Governor as must all unclassified positions which include the Securities Commissioner, the Mined Land Director, Utilities Director, and Executive Secretary. The Commissioners appoint the Director of Utilities, the Securities Commissioner, the Mined Land Director, and the Executive Secretary. The General Counsel and Attorneys appointments usually expire when a new Governor of different political affiliation takes office. The other unclassified positions assuming adequate performance continue indefinitely.

KENTUCKY DEPARTMENT OF TRANSPORTATION (Bureau of Vehicle Regulation) - All staff members, except commissioner, deputy commissioner, and division directors are selected on the basis of abilities and experience from candidates referred by the State Department of Personnel and they are covered by the State merit system.

KENTUCKY ENERGY REGULATORY COMMISSION and KENTUCKY UTILITY REGULATORY COMMISSION - Commissioners are appointed by the Governor and confirmed by the senate. If the senate is not in session when a term expires or a vacancy occurs, the governor makes an appointment, effective immediately, subject only to the approval of the joint interim committee on state government of the legislative research commission. Secretaries to the Commissioners are appointed by the Commissioners and are not protected by any merit system.

The Executive Director and Secretary of the Commissions are appointed by the Commissions, subject to the approval of the Governor. Secretaries to the Executive Director and Secretary of the Commissions are appointed, by each officer, subject to the Commissions. These secretaries are not protected by any merit system.

Division Directors are appointed by the Commissions, through the Executive Director, with the prior approval of the Governor. Attorneys are appointed by the Commissions, through the Executive Director, with the prior approval of the Governor. Directors and the General Counsel are not protected by any merit system. The secretary to the General Counsel is not protected by any merit system; secretaries to the Division Directors are protected by a merit system.

All other personnel, of the Commissions, are protected by a merit system.

KENTUCKY RAILROAD COMMISSION - All staff members are appointed by the Commission. The staff members are not covered by any merit system.

LOUISIANA PUBLIC SERVICE COMMISSION - Unclassified staff members are appointed by the Commission and serve at its pleasure. Classified staff members are covered by the merit system.

MAINE PUBLIC UTILITIES COMMISSION - The Secretary, Director of Transportation, General Counsel and Director of Finance are not protected by the civil service system, as they are appointed by the Commission. All other employees have civil service status, appointed pursuant to Maine Personnel Law.

MARYLAND PUBLIC SERVICE COMMISSION - All staff personnel are selected by the PSC and are protected by the State Merit System. The Commissioners and People's Counsel are selected by the Governor.

MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES - The Counsel and Confidential Secretary positions are the only positions which are non-civil service. All other staff positions are under the jurisdiction of the Bureau of Personnel and follow civil service requirements, such receiving permanence upon taking an examination. The DPU is required to select one out of the three highest individuals who appear and are willing to accept the position.

If there is not a current certified list for a particular position, the DPU may provisionally appoint an individual who can remain in the position until a list is certified upon an examination being held for the particular position.

MICHIGAN DEPARTMENT OF TRANSPORTATION - All positions in state service are under classfied state civil service except those filled by popular elections, heads of principal departments, courts of record, employees of the legislature, boards and commissioners. All civil service positions have job protection assuming adequate performance following a probationary test period.

MICHIGAN PUBLIC SERVICE COMMISSION - All staff of the Michigan PSC are selected by the agency in accordance with civil service rules. All staff also have job protection after a six-month probationary period and assuming continuing performance. Legal counsel is provided by the State Attorney General.

MINNESOTA PUBLIC UTILITIES COMMISSION - Two positions of Director and Secretary are authorized by statute. The Department appoints the Secretary and the Governor appoints the Department Director. Counsel is assigned by the Attorney General. The remainder of the staff is selected through civil service procedures. Civil service employees serve a six-month probationary period before they are appointed permanently.

MINNESOTA DEPARTMENT OF TRANSPORTATION - The staff is selected and protected through the civil service system.

MISSISSIPPI PUBLIC SERVICE COMMISSION - Staff members are selected by the PSC and State Classification Commission. They do not have job protection.

MISSOURI PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC and serve at the pleasure of the PSC. The Commission does not have a merit or civil service personnel system.

MONTANA PUBLIC SERVICE COMMISSION - All staff members are selected by the Commission and all have job protection (civil service system).

NEBRASKA PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC. There is job protection for adequate performance; however, staff personnel are not under a merit or civil service system. Decisions are based on PSC discretion in the personnel area.

NEVADA PUBLIC SERVICE COMMISSION - The PSC Commissioners are appointed by the Governor. Classified employees are protected under the State civil service system. Four positions, the Executive Director and three Administrative Assistants to the Commission, are unclassified and do not have job protection under the State civil service system.

NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION - All staff members are classified State employees under the civil service system.

NEW JERSEY BOARD OF PUBLIC UTILITIES - Staff members are selected by the Board from established Civil Service lists. After a probationary period of up to six months, Civil Service permanent status is attained subject to adequate performance of duties and acceptable ethical conduct.

NEW MEXICO PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC and have job protection under the State Personnel Merit System.

NEW MEXICO STATE CORPORATION COMMISSION - Department directors and other key personnel are selected by the SCC. Clerical employees have job protection under the State Personnel Act (merit system).

NEW YORK PUBLIC SERVICE COMMISSION - The Chairman and other members of the Commission are appointed by the Governor and confirmed by the State Senate for six year terms. Division and Office Heads (with the exception of the Director of Administration) and professional staff members of the Offices of General Counsel, Administrative Law Judges, and Opinions and Review, serve at the pleasure of the Chairman. All other employees have the normal civil service protections.

NEW YORK STATE DEPARTMENT OF TRANSPORATION - Staff members are selected by the agency, most coming from civil service eligibility rosters. Assistant commissioners serve at the pleasure of the commissioner and therefore have no civil service protection. Generally, positions below the assistant commissioner level are civil service classified and carry civil service protection.

NORTH CAROLINA UTILITIES COMMISSION - Commission Staff: all staff members are selected by the Division heads with approval by the Commissioners. Public Staff: all staff members are selected by the Division heads with the approval of the Executive Director. All staff members are covered by the State Personnel Act which establishes procedures for continuation of employment.

NORTH DAKOTA PUBLIC SERVICE COMMISSION - All staff members are selected by the three commissioners. The Traffic Director must be a member of the Interstate Commerce Commission Bar and skilled in presentation of oral arguments before that body. An Assistant Attorney General, on a full-time basis to the PSC, bears the title of Commerce Counsel and must be a specialist in utility, transportation and other administrative law. The PSC is not under a merit or civil service system.

NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES - All staff members are selected by the Board with the exception of the Solicitor, who is named by the Attorney General.

OHIO PUBLIC UTILITIES COMMISSION - All staff members are appointed by and serve at the pleasure of the PUC. Staff members are in the unclassified state service. The Chief Assistant Attorney General is appointed by the State Attorney General.

OKLAHOMA CORPORATION COMMISSION - All staff members are selected by the Corporation Commission.

ONTARIO ENERGY BOARD - Board Members are appointed by Order in Council, i.e. by Cabinet of Ministers. Staff are appointed under the provisions of the Public Service Act of the Ontario Civil Service Commission with appropriate job protection and merit systems. The Board Solicitor is provided by Ministry of Attorney General.

ONTARIO TELEPHONE SERVICE COMMISSION - Commission staff are appointed by the Commission with approval from the Lieutenant Governor in Council and all staff members have job protection assuming adequate performance.

OREGON PUBLIC UTILITY COMMISSION - The Commissioner is appointed by the Governor. The Commissioner selects the management team which consists of the Deputy Commissioner, Executive Assistant, Information Director, and the Assistant Commissioners for the Motor Carrier, Rail/Air, Utility, Administrative Services, and Proceedings programs. These nine positions are in the Executive Service and, as such, are not covered by the state merit system. All other PUC employes are selected by the agency and, whether excluded from or represented in collective bargaining, are covered by the state merit system.

PENNSYLVANIA PUBLIC UTILITY COMMISSION - Selection. All employes are interviewed and screened by the appropriate program manager. Recommendations for appointment are made to the Commission in Public Meeting. A majority vote is required for placement. Job Protection. "...That the employes of the Commission shall be afforded employment security as provided by the Act of August 5, 1941, known as the Civil Service Act..." Act 216 §6.1 (a)

PUERTO RICO PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC and all belong to the Civil Service System.

QUEBEC ELECTRICITY AND GAS BOARD - All staff members have job protection under the Civil Service System.

QUEBEC PUBLIC SERVICE BOARD - All permanent staff is recruited through the civil service system. Occasional staff is recruited by the Board but the appointment must be approved by the Quebec Treasury Board. Permanent staff have job protection under the civil service system.

RHODE ISLAND PUBLIC UTILITIES COMMISSION - Some staff members are selected by the PUC and some are selected by the Governor. There is job protection under the merit system law.

SOUTH CAROLINA PUBLIC SERVICE COMMISSION - The PSC Legal Counsel is appointed by the Commission with the approval of the Attorney General. All staff members have job protection under the merit system, assuming adequate performance.

SOUTH DAKOTA PUBLIC UTILITIES COMMISSION - All staff members except executive secretary, director and deputy director of fixed utilities and transportation utility director are covered by the Career Service Act. Legal Counsel is appointed, with Commission concurrence, by the Attorney General.

TENNESSEE PUBLIC SERVICE COMMISSION - All staff members are selected by the Commissioners.

TEXAS AERONAUTICS COMMISSION - All staff members, except the Executive Director who is appointed by the TAC, are selected by the Executive Director. Staff members do not have job protection.

TEXAS PUBLIC UTILITY COMMISSION - All staff members are selected by existing PUC staff. The three commissioners are appointed to six year terms by the Governor. The Public Utility Commission of Texas does not function under merit or civil service systems.

TEXAS RAILROAD COMMISSION - All staff members are recruited and selected by the existing Commission staff. Employees do not function under a civil service system.

UTAH PUBLIC SERVICE COMMISSION - All staff members of the Public Service Commission and the Division of Public Utilities are hired by the Director of the Department of Business Regulation, with the exception of the Secretary to the Commission, who is recommended by the Director but must be approved by the Governor. All staff members have job protection under the State Merit System, with the exception of the Secretary to the Commission, the Hearing Examiner, and the Court Reporter.

VERMONT PUBLIC SERVICE BOARD - All staff members have job protection except for appointed members, who are exempt.

VIRGIN ISLANDS PUBLIC SERVICES COMMISSION - The Executive Director is selected by the PSC and approved by the Governor and by the Virgin Islands Legislature. He or she may choose the office secretary. Both positions are "unclassified" with no civil service or merit job protection. The Executive Director serves at the pleasure of the Governor and the office secretary at the pleasure of the Executive Director.

VIRGINIA STATE CORPORATION COMMISSION - All staff members are selected by the State Corporation Commission. All staff members have job protection assuming adequate performance. We are not a merit system agency.

WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION - All staff members are selected by the WUTC from candidates referred by the State Department of Personnel in accordance with civil service regulations. All staff members have civil service protection based on satisfactory performance with the exception of the Executive Officer and the Confidential Secretary to the Commission Chairman.

WEST VIRGINIA PUBLIC SERVICE COMMISSION - All staff members of the Commission are covered by the civil service system. An exception to this, however, occurs with the immediate personal staff of the commissioners. Each commissioner has a secretary and legal assistant not covered by the civil service system.

WISCONSIN PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC and are under civil service with the exception of the Executive Assistant to the Chairman.

WISCONSIN TRANSPORTATION COMMISSION - All staff positions are civil service.

WYOMING PUBLIC SERVICE COMMISSION - All staff members are selected by the PSC and Personnel Commission. Merit or civil service systems do not apply to PSC personnel.

## AGENCY FRINGE BENEFITS

The fringe benefits and emoluments applicable to commissioners and staff members are summarized below alphabetically with the participating Federal agencies listed first.

The benefits include the retirement plans, medical insurance, life insurance, annual leave, travel expense allowances, State automobiles and other benefits provided by the agencies.

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### CIVIL AERONAUTICS BOARD

Retirement, hospitalization and medical insurance, life insurance, sick and annual leave, injury and illness compensation and reimbursement of travel expenses for the Civil Aeronautics Board are in accord with law and regulation applicable to Federal agencies and employees generally, and are summarized below. The specific parking and health benefits vary from agency to agency.

Retirement: Eligibility for optional retirement after age 55 with 30 years service, or age 60 with 25 years, or age 62 after five years of service. Mandatory at age 70 (eligible for one year reappointments or waiver of mandatory requirement by Executive Order). Amount of annuity based on years of service, including military, and highest three years average salary. Annuity increased to reflect higher Consumer Price Index. Survivor annuity available. Lump-sum paid when no survivor eligible for annuity. Employee contributes seven percent of salary. Employee may withdraw his contributions upon separation before attaining eligibility for immediate annuity or elect a deferred annuity at age 62.

Hospitalization and Medical Insurance: A variety of plans are available to employees. Government shares cost.

Life Insurance: Available in an amount equal to annual salary plus $2,000. Government shares cost. Additional face value of $10,000 available, rates based on age. Full cost of the additional amount borne by employee.

Annual and Sick Leave: Annual leave accrued based on years of service; first three years, 13 days per year; next 12 years, 20 days per year; thereafter, 26 days per year. Up to 30 days unused annual leave may be carried forward from year to year. Sick leave accrued at rate of 13 days per year, without limit on accumulation. Unused sick leave at time of separation cancelled without payment. In event of retirement the unused time is treated as additional service for the purpose of computing annuity.

Occupational Injury and Illness Compensation: Provides for reimbursement of expenses arising out of on-the-job injuries or illnesses.

Travel Expenses: Per diem in lieu of subsistence expenses. In certain circumstances, actual costs, to a fixed ceiling, may be paid. Transportation between office, home or hotel and terminal reimburseable.

Health Program: The Board provides biennial health maintenance examination for employees aged 35 and over, flu immunization, glaucoma and diabetes tests, administration of other injections prescribed by personal physician, and health counseling.

Parking: Members and heads of bureaus and major offices, physically handicapped employees and members of carpools (three or more riders) provided free parking spaces.

### FEDERAL COMMUNICATIONS COMMISSION

Commission employees are eligible for life insurance, health insurance, and retirement benefits available to all Federal employees. Travel expenses incurred while on official business are reimbursed under General Service Administration travel regulations.

### FEDERAL ENERGY REGULATORY COMMISSION

Commission employees are eligible for sick and annual leave, injury and illness compensation. Life insurance, health insurance and retirement benefits are available to all Federal employees. Travel expenses incurred while on official business are reimbursed.

### INTERSTATE COMMERCE COMMISSION

Commissioners, staff officials and employees are entitled generally to employee fringe benefits under the following statutes: Training - 5 U.S.C. 4101; Incentive Awards - 5 U.S.C. 4501; Pay and Allowances - 5 U.S.C. 5301; Annual and Sick Leave - 5 U.S.C. 6301; Injury Compensation - 5 U.S.C. 8101; Retirement - 5 U.S.C. 8301; Unemployment Compensation - 5 U.S.C. 8501; Life Insurance - 5 U.S.C. 8701; and Health and Medical Insurance - 5 U.S.C. 8901.

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### ALABAMA PUBLIC SERVICE COMMISSION

Staff members receive free medical insurance but must participate in premiums to cover dependents. Staff members contribute toward a retirement plan. There is no retirement plan for Commissioners.

Commission members are furnished automobiles for Commission work. Staff members are furnished automobiles if the Commission deems it necessary in performing the duties of the position.

All employees, including Commissioners, are paid per diem when traveling within the State and actual expenses for travel outside the State. During 1979, per diem was increased to $30 per day and mileage reimbursement to 18¢.

Employees earn annual leave ranging from four hours to nine hours bi-weekly depending on length of service. Sick leave is earned at the rate of four hours bi-weekly.

# AGENCY FRINGE BENEFITS

## ALASKA PIPELINE COMMISSION

Commissioners and staff are covered by State Personnel Retirement System; Blue Cross medical, dental, and optical; have three weeks paid vacation and three weeks sick leave allowance. Commissioners and staff receive travel pay and per diem for official business trips.

## ALASKA PUBLIC UTILITIES COMMISSION
## ALASKA TRANSPORTATION COMMISSION

Retirement: Contributions by both employer and employee.

Medical Insurance: Funded wholly by employer; covers both the employee and dependents; includes dental and vision benefits.

Sick Leave: Fifteen days per year.

Paid Holidays: Eleven days per year.

Annual Leave: Up to two years of service, fifteen days; two to five years, 21 days; five to ten years, twenty-four days; ten years or more, thirty days.

Per Diem: Rates have been increased for the different areas in the State, ranging from $40 to $70 per day.

## ALBERTA PERTROLEUM MARKETING COMMISSION

Retirement: Public Service Pension Plan and Public Service Management Pension Plan, based on an annual salary over the five consecutive years when salary was highest, multiplied by the number of years of pensionable service. Alternative pension options - normal pension, guaranteed term and joint life.

Group Blue Cross and Alberta Health Care: Paid by employee.

Life Insurance: Accidental death or dismemberment - beneficiary receives 200% of employee's annual salary to a maximum of $100,000. All employees on business trips are authorized for $100,000. The Commission pays the majority of the premium for accidental death or dismemberment, and long-term disability.

Vacation: Three weeks vacation for each 12-month period; four weeks vacation after ten years service.

Sick Leave: Liberal.

Travel Expenses: All expenditures must be documented; daily limit flexible.

State Automobiles: Provided for the Chairman of the Commission.

Parking: Provided for Senior Management, i.e., Commissioners and Executive Director.

Dental: Dental care at no additional charge for all employees and their dependents, paid by employee.

## ALBERTA PUBLIC UTILITIES BOARD

Employee Benefits: Benefit packages include entitlements separate from, and in addition to regular salary. These benefits are considered equal to approximately 20 percent of gross salary.

Pension Plans: Public Service Pension Plan - The Alberta Government provides an excellent pension plan which is based on salary and years of service. Usually the benefits amount to five to six times what an employee contributes to the plan. Canadian Pension Plan - All employees are required to participate according to the Canada Pension Act. Benefits under this plan are payable upon retirement. Joint Life Options - An employee may elect to receive a joint life pension which is payable for the joint lives of employee and nominee. This may be a fixed amount that will be payable as long as either party lives. Other Alternative Pensions - An employee may also elect to receive any other type of alternative pension best suited to circumstances on retirement, subject to the approval of the Management Pension Board. Disability Benefits - If an employee has not less than five years pensionable service and becomes incapable of performing regular duties, due to a physical or mental disability, he or she may retire at any age subject to the approval of the Pension Board. All pension options are available for disability pensions.

Sick Leave: Separate provisions are made for casual illness leave (three successive work days or less) and general illness leave (more than three successive days and substantiated by a medical certificate). Annual casual illness leave entitlement is ten work days with pay, with each day used being deducted from the annual entitlement and is not accumulated from one year to the next. General illness leave entitlement is 60 days with 100% salary entitlement accruing over six years of consecutive employment. In the second year of employment the entitlement is 15 days at 100% of salary and 45 days at 70%. The sixth year is 100% salary entitlement. The Long Term Disability Income Continuance Plan entitles an employee with a qualifying disability to benefits of not less than 70% of normal salary.

Medical Appointments: Any absence for a medical or dental appointment must be supported by written proof of appointment.

Vacation: An employee is not eligible for paid vacation in the calendar year in which work began, however after December 31 an employee is entitled to paid vacation at the rate of 1-1/4 days for each full calendar month worked in the previous year. In the second to 15th year of service this amounts to 15 work days of vacation.

Group Life Insurance: Participation in a group life insurance plan is a condition of employment for all full-time salaried employees. Premium costs are split equally between the employee and the government. Coverage of each employee is 2.5 times regular salary rounded out to the next highest $1,000 up to a maximum of $30,000 coverage.

Medical and Hospital Insurance Plan: The Alberta Health Care Insurance Plan and the Alberta Blue Cross Plan are available at special rates to all employees. The government pays 50 percent of the medical insurance premium for either a single or family contract (including dependents only). Group rates are available for optional Blue Cross health services insurance but premium costs are not shared by the government.

Workers' Compensation: If an employee is injured on the job he is required to report to his supervisor who may file a compensation report. Coverage is provided under the Workers' Compensation Act at no cost to the employee.

Alberta Union of Provincial Employees: The Alberta Union of Provincial Employees is the official bargaining agent for all employees within the bargaining unit. Board staff belong to three of the union's twelve bargaining divisions. A.U.P.E. membership is compulsory upon appointment to all full-time positions in the bargaining unit with compulsory payment of dues. It is the responsibility of the A.U.P.E. to negotiate the terms of the collective agreement, to assist employees with grievances, and to represent them before a three-member Grievance Board, if required.

## ALBERTA PUBLIC UTILITIES BOARD
(Continued)

Travel and Subsistence Allowance: All expenses necessarily incurred in the performance of the job are paid by the department.

## ARIZONA CORPORATION COMMISSION

Holidays: Set by statute or by Federal law determination.
Annual Leave: Based upon monthly accrual by years of service in State government; ranges from eight hours to 14 hours per month.
Sick Leave: Each full-time employee is entitled to eight hours per month with unlimited accrual and forfeiture of total accrued at termination.
Compensatory Time: Approved overtime for non-exempt employees is accrued on an hour for hour basis. Federal EEO regulatory rules are currently being analyzed for implementation. Enforcement personnel come directly under EEO compensatory time rules.
Social Security: All employees come under coverage which is deducted from gross pay. This includes retirement eligibility under the Federal Social Security Act.
Retirement: All probationary and permanent employees are members of the State Employees Retirement System. Deductions are made per pay period based upon uniform percentage of gross revenue.
Workmen's Compensation: Injuries by accident arising out of and in the course of employment entitles employee to coverage.
Group Insurance: Various options of group health, life and disability insurance are available to all probationary, permanent or temporary employees who work for certain minimum hours per week.
Credit Union: Each State employee is eligible to become a member of the Arizona State Employees Federal Credit Union for which there is a $.50 per person membership fee.
Health Insurance: Permanent employees have a choice of an indemnity plan or health maintenance organization plan, paid by the State. Dependents may be added at group rates, paid by the employee. Life insurance and long-term disability insurance are paid by the State, with additional insurance available at group rates, paid by the employee.

## ARKANSAS PUBLIC SERVICE COMMISSION

Retirement: Commissioners and staff are covered under either of two retirement systems--contributory system and non-contributory system. Those employees on our staff prior to 1-1-78 were given a choice of which system they wished, with each system's benefits varying. Any employee coming on the staff after 1-1-78 is automatically a member of the non-contributory retirement system. The contributory system is allotted 6% by the State and 6% by the employee. The non-contributory system is paid in full by the State at the rate of 12%. Commissioners are eligible for the State of Arkansas contributory quasi-judicial retirement that may be chosen instead of the above.

Medical: The State offers a medical-hospitalization plan funded by the State and the employee. The State pays $19.00 monthly per employee for matching funds and the employee pays $8.80 per month. Upon approval, the employee may choose to have spouse and/or family coverage, which increases their deductions accordingly.

Vacation and Sick Leave: Annual leave is accrued at a rate of one day per month for the first three years and is graduated upward thereafter based on years of service. Thirty days annual leave is the maximum which can be carried over from one calendar year to another. Sick leave accrues at a rate of one day per month with a 90-day maximum accrual.

Travel: $35.00 per diem in-state and $45.00 per diem out-of-state. State owned cars are available for use by all Staff Sections and Commissioners.

Workmen's Compensation: All State employees are covered under Workmen's Compensation.

## ARKANSAS TRANSPORTATION COMMISSION

The ATC has had a retirement plan since 1957 based upon years of service at various rates for different years. ATC provides a medical insurance plan, including $3,000 life insurance for which the States pays $11 per month and the employee $5.

## CALIFORNIA PUBLIC UTILITIES COMMISSION

Retirement: State employees have a separate retirement system. All employees become members of the Public Employees' Retirement System when they are hired except those on less than half-time basis. All employes hired after 1961 were required to contribute to social security; prior to that time employees had the option of whether or not to contribute to social security (Federal Old-Age, Survivors and Disability Insurance). Upon the employee's death, the Retirement System also provides a fully paid $5,000 group term life insurance program in addition to the six months' salary and a return of the employee's contributions. If, at the time of death, the employee would have been eligible to retire, the eligible survivor can elect the 1957 survivor benefit in lieu of the six month salary and the return of the contributions.

Medical Insurance: Several plans are available for medical, surgical and hospital services for the employee and his family at group rates. These health benefit plans for eligible employees are made available through the Meyers-Geddes State Employees' Medical and Hospital Care Act. Employees may enroll without a medical exam during the first 60 days of their employment provided they meet certain eligibility requirements. The State contributes towards the total cost $32 for a single employee, $53 for employee and one dependent, $66 for employee and two or more dependents.

Vacation and Sick Leave: After completing six months of continuous service an employee is eligible to use accrued vacation credits that have been accruing--eight hours per month sick leave and six and two-thirds hours per month vacation. Sick leave can be used each month as earned. Employees with previous State service may earn at a different amount. One personal holiday each year has been added and general election days (two every two years) have been deleted.

## CALIFORNIA PUBLIC UTILITIES COMMISSION
(Continued)

| Travel Expense Allowances: | Commissioners | Staff |
|---|---|---|
| Travel Expense Allowance (In-State) | $46 per diem | $46 per diem |
| Travel Expense Allowance (Out-of-State) | Lodging plus $21 per diem | Lodging plus $21 per diem |
| State Automobiles | Permanent Assignment | As required |
| Free Parking Facilities | Yes | No |

## CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL

Retirement: Two plans are available; one with reduced benefits upon eligibility for Social Security, the other with full benefits regardless of eligibility for Social Security.

Medical: Blue Cross, Blue Shield, dental maintenance, and major medical coverage for employees is provided; coverage for dependents is available if 50% paid by employee. Group term life insurance partly paid by employee.

Vacation Leave: Employees hired previous to July 1, 1977 accrue fifteen days per year; employees hired subsequently accrue ten days per year.

Sick Leave and Personal Leave: Sick leave accrues at fifteen days a year plus an additional three personal leave days per year.

Travel: Expenses of $25.00 per day for room and meals plus actual costs for other travel expenses. State automobiles are generally available for State business. Substantial free parking is available; incentives exist for use of vanpools and carpools.

## DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION

All staff members must pay for the retirement plan, medical insurance, and life insurance; the PSC contributes 50% for retirement, 60% medical insurance, and 33% for life insurance--the employee or staff member must pay the additional cost.

Vacation and sick leave is earned by the staff members and is dependent upon length of service: one to three years - 4 hours every two weeks; three to fifteen years - 6 hours every two weeks; more than fifteen years - 8 hours every two weeks (this includes vacation and sick leave). The three Commissioners and the People's Counsel are not granted leave on the basis of length of service. It is entirely an individual decision, but generally they follow the same guidelines as do the other staff members.

Travel expense allowance is granted upon approval of desired designation by the proper authority (the Mayor's Office or the Personnel Office) and within the limitation of funding for that fiscal year.

State automobiles are used by all employees of the Commission to travel between various District agencies. Free parking facilities are not available to any members of the Commission's staff.

## FLORIDA PUBLIC SERVICE COMMISSION

Retirement: All staff members are covered under the Florida Retirement System (FRS). Under FRS all employees are covered for normal retirement as well as involuntary retirement caused by disability. An individual immediately upon becoming a State employee is covered for disability benefits incurred on-the-job, and after five years for any total and permanent disability. An employee becomes eligible to receive benefits from the Retirement Program upon reaching retirement age or completing 30 years. The State contributes 9.1% of the employee's annual salary towards retirement. There is no cost to the employee.

Medical Insurance: Eighty percent of the following expenses are paid: The daily average semi-private room charge, not to exceed $76.00 a day; the daily intensive care unit charge, not to exceed double the average semi-private rate or an actual payment of $152.00 per day, whichever is less; covered hospital expenses which include such services as use of the operating room, recovery room, drugs and medicines, laboratory services, x-rays, etc. Physician's Case: 100% of the charges, after satisfaction of the deductible and subject to the maximum amount payable under the Approved Fee Schedule, will be paid for all necessary services rendered by a covered physician for treatment of accidental injury or illness in or out of a hospital. Covered Major Medical Expenses: 80% of the reasonable and customary charges (subject to certain limitations) after satisfaction of the deductible, will be paid for the following covered services, in or out of the hospital, when deemed necessary by a covered physician: nursing care by RN or LPN, prescription drugs, artifical limbs or eyes, trusses, braces or crutches, oxygen, wheelchair rental, hospital-type bed, ambulance expenses, other medical supplies as determined by administrator of plan. Deductible - per calendar year: Individual coverage - a single deductible of $100 per calendar year; family coverage - each member of family has a separate $100 deductible per calendar year. However, after two separate deductions of $100 each have been met by members of family, all covered charges incurred by any members of the family are payable.

Life Insurance: The life insurance plan is term life insurance with a corresponding amount of Accidental Death and Dismemberment Insurance. The amount of coverage is determined in the following manner: Full-time or part-time under 71 - the amount of coverage at any time is determined by multiplying annual salary by the appropriate age factor; full-time or part-time 71 or over - the amount of coverage is a flat $1,500; retired employee - the amount of coverage is a flat $1,500.

Vacation Benefits: Employees earn vacation leave as follows: 0-5 years of employment - 13 days; 5-10 years of employment - 16-1/4 days; 10 plus years of employment - 19-1/2 days. Vacation leave is earned monthly at the rate of 8 hours, 40 minutes/10 hours, 50 minutes/13 hours depending on length of service. Upon approval, vacation leave may be taken each year as it is earned or may be left to accumulate. No more than 240 hours (30 days) may be carried from one calendar year to another. In addition to flexible scheduling of vacation leave, an employee who terminates employment with the State and has at least six months service will be paid for all unused vacation leave credits up to 240 hours.

Sick Leave Benefits: Sick leave is earned at the rate of 8 hours, 40 minutes monthly, 13 days annually. There is no limit on the number of hours of sick leave credits an employee may accumulate. Upon separation from the State, after a minimum of ten years service, employees receive payment for a percentage of unused sick leave.

## FLORIDA PUBLIC SERVICE COMMISSION
(Continued)

Holidays: The State provides 9 paid holidays - New Year's Day, Memorial Day, Independence Day, Labor Day, Veterans' Day, Thanksgiving Day, Friday after Thanksgiving, Christmas Day, and personal holiday (employee selects).

Administrative Leave: For jury duty, court attendance, elections, short-term military training, National Guard service; death in immediate family is granted with full pay.

State Awards Program: An employee who submits his/her idea to the State Awards Program which results in a tangible savings to the State may receive up to 10% of the first year's savings. Those suggestions which result in an intangible savings receive commendatory or monetary awards based on a point system.

Travel: Employees are reimbursed for travel at the rate of $40 per diem. State cars are assigned to those employees whose job requires extensive travel and generally carry materials or equipment with them in the performance of their duties. State pool cars are also available on a first come, first served basis. If no State cars are available, employees may use their personal vehicle, and are reimbursed at the rate of 17¢ a mile.

Parking: Free parking is available on a first come, first served basis. Reserved parking is also available at a minimal monthly rate.

## GEORGIA PUBLIC SERVICE COMMISSION

All staff members are eligible to participate in the State Employees Retirement Plan and the State Employees Health Insurance Program. A group life insurance policy is part of the retirement plan.

Each employee receives 1-1/4 days each of annual leave and sick leave per month. Annual leave increases to 1-1/2 days per month after five years and to 1-3/4 days per month after ten years--which is the maximum rate.

Employees are reimbursed for actual and necessary expenses incurred while travelling on PSC business. The State Department of Administrative Services provides a motor pool for the use of employees travelling on State business. The agencies are billed for the use of these vehicles. If a motor pool vehicle is not available, the employees are allowed to use their personal automobiles and are reimbursed at 10¢ per mile travelled on PSC business.

The State does not provide free parking for its employees. However, it does provide somewhat limited parking facilities at reasonable monthly rates compared with those charged by commercial parking facilities in the area.

## GUAM PUBLIC UTILITIES COMMISSION

Retirement Plan: Employee pays 6.5% and employer pays .1014% of gross salary, bi-weekly.

Medical Insurance: Plan has three classes; both the employee and employer pay a certain percentage of the total premium amount as follows--Class I - employee without dependents (employee - 50%, employer - 50%); Class II - employee with one or more dependents (employee - 18%, employer - 82%); Class III - employee with one or more dependents, whose spouse Government of Guam employee eligible under plan (employee - 35%, employer - 65%).

Life Insurance: Plan is term life with four classes as follows--Class I - annual salary of $6,000 but less than $10,000; Class II - annual salary of $10,000 but less than $15,000; Class III - annual salary of $15,000 but less than $18,000; Class IV - annual salary of $18,000 and above. Coverage and contributions as follows: (Note: Government contribution pays a flat rate of $.69 for all classes)--Class I - $20,000, employee without dependents - $4.50, employee with dependents - $5.08; Class II - $30,000, employee without dependents - $6.92; employee with dependents - $7.50; Class III - $40,000, employee without dependents - $9.35, employee with dependents - $9.93; Class IV - $50,000, employee without dependents - $11.77; employee with dependents - $12.35.

Vacation: Vacation (annual) leave is based upon length of service with the Government of Guam--(a) One-half day (4 hours) for each full bi-weekly pay period, in the case of employees with less than three years of service; (b) Three-fourths day (6 hours) for each full bi-weekly pay period, except that the accrual for the last period in the employee's pay year shall be one and one-fourth days (10 hours), in the case of employees with a minimum of three years, but less than fifteen years of service; (c) One day (8 hours) for each full bi-weekly pay period, in the case of employees with fifteen or more years of service.

Sick Leave: Sick leave accrues to any employee in permanent pay status, on and after completion of any regular bi-weekly pay period, in which the employee is in a pay status for the entire ten work days, at the rate of one-half day (4 hours) for each such pay period.

## HAWAII PUBLIC UTILITIES COMMISSION

The following fringe benefits apply to Commissioners and staff members, except for the legal counsel who is on contract with the State of Hawaii; mandatory retirement plan, optional group insurance, 21 working days annual leave per year; 21 working days sick leave per year, 14 holidays, and travel expenses.

## IDAHO PUBLIC UTILITIES COMMISSION

Retirement Plan: The State of Idaho matches the scheduled contribution of employees established according to their salaries.
Medical Insurance: State employees are furnished an established health insurance program which does not include families. Family plans and additional benefits are available through the State upon request.
Life Insurance: The State of Idaho provides insurance equal to the amount of the employee's annual salary (one-half that amount after age 70). Disability benefits are available upon request.

## IDAHO PUBLIC UTILITIES COMMISSION
(Continued)

Vacation Leave: Up to five years--approximately one day per month; 5-10 years--approximately 1-1/4 days per month; 10-15 years--approximately 1-1/2 days per month; over 15 years--approximately 1-3/4 days per month (based on hours worked).

Sick Leave: Approximately one day per month (based on hours worked).

Travel Expenses: Hotel expenses paid; meals at $12.00 per day in Idaho and $15.00 per day out-of-state; mileage at 15¢ per mile; automobiles are available for travel upon assignment.

Miscellaneous: The PUC is furnished with 17 parking spaces which are assigned to employees.

## ILLINOIS COMMERCE COMMISSION

Retirement: Employee, mandatory 4% of salary; employer, 8% of salary.

Medical and Life Insurance: State provides basic health insurance and one-half of annual salary as life insurance. Various additional options are available to employee.

Vacation and Sick Leave: Vacation leave is accrued at .833 - 1.666 days per month depending on tenure. Sick leave is accrued at the rate of one day per month; accumulative.

Travel Expense Allowance: $13.00 per diem; 17¢ per mile.

## INDIANA PUBLIC SERVICE COMMISSION

The State of Indiana provides a fringe benefit package for all full-time employees, effective immediately upon employment. Each employee earns vacation leave at the rate of one (1) day for each full month of service, and vacation leave may be taken after six (6) months of full-time employment with the approval of the department head. Employees also earn sick leave at the rate of one (1) day per month, which may be taken as earned to cover absences due to illness, accident, or legal quarantine. Both vacation leave and sick leave are accumulative without limit. In most years the State of Indiana observes thirteen (13) legal holidays, including election days.

All employees are covered under the Indiana Workmen's Compensation Act for injury and disabilities, including payment by the State for medical costs related to Workmen's Compensation awards. In addition, the State provides health insurance with major medical coverage. All full-time employees are also eligible for participation in a group life insurance (term insurance). All employees are eligible to be covered by the State's Dental Insurance Plan.

Employees are covered by social security. An employee's pension is based upon his average salary, the best five years of employment and the number of years of creditable State service.

Cafeteria services for employees are provided in the State Office Building complex at reasonable prices. Free parking facilities are available for employees who commute to work. Car pool reserved parking is also available.

The State pays 16¢ per mile if an employee uses his own vehicle for approved travel. A $15 per day allowance is granted and Indiana pays up to $30 per day for in-State hotel accommodations.

## IOWA STATE COMMERCE COMMISSION
## IOWA TRANSPORTATION REGULATION BOARD

An employee can elect their health insurance plans from Bankers Life Insurance Company or Blue Cross/Blue Shield and the State pays $33.28 toward the premium of the health insurance coverage.

All permanent full-time employees receive $10,000 life insurance coverage which is paid by the State. State employees are entitled to add an additional $5,000 or $10,000 life insurance coverage through a payroll deduction plan.

An employee accrues two weeks vacation per year during the first through the fourth year of employment; three weeks vacation per year during the fifth through the 11th year of employment and four weeks vacation per year during the 12th and subsequent years.

State employees receive nine designated holidays off with pay and two additional paid holiday days are granted as vacation time.

All permanent classified employees of the State accrue sick leave at the rate of one and one-half days for each month of service. The first ninety days of accrued sick leave is placed in an employee's active sick leave account. All additional accrued sick leave is placed in an employee's bank sick leave account.

The IPERS program is administered by the State Employment Security Commission and provides retirement benefits for employees. The employees contribute 3.6% of their gross salary and the State contributes 5.25% of the gross salary of each employee.

Subsistence has been increased to $25.45 per day.

## KANSAS STATE CORPORATION COMMISSION

Commissioners and staff receive group health insurance, paid in full by the State, which includes a major medical benefit per lifetime of $250,000 per person ($100 deductible each calendar year). They can protect their families by paying a group rate for family coverage.

Commissioners and staff receive immediate group life insurance protection equal to 65% of their gross annual earnings; disability insurance equal to 50% of their annual salary (offset by any Social Security disability payment); the employee pays 4% of his gross earnings into the retirement plan - the State pays a changing percent toward his/her retirement (present time 6.2%); all have the option of purchasing additional group life insurance and the charge taken out of their salary check. The Commissioners earn no annual or sick leave. The staff members earn one day sick leave each month, and one day vacation time up to five years service, after which they earn 1-1/4 days vacation up to ten years service, and then 1-1/2 days per month. The three Commissioners are given free parking; parking is available to staff members for the charge of $5.00 a month.

## KANSAS STATE CORPORATION COMMISSION
(Continued)

State cars are provided for business travel only; if Commissioners or staff members choose to drive their personal cars on assignments, they are reimbursed at the rate of 17¢ per mile.

The per diem paid is $7 per quarter in-State, and $10 per quarter out-of-State, except for high cost geographic areas which are specifically listed and paid at the rate of $12.50 per quarter.

## KENTUCKY DEPARTMENT OF TRANSPORTATION
### Bureau of Vehicle Regulation

The following fringe benefits are afforded the Commissioner and other bureau employees: Mandatory State employee retirement; individual medical insurance; $5,000 life insurance; vacation from 1 to 1-3/4 days per month depending upon length of service; one day of sick leave per month. Employees are reimbursed for actual and necessary expense incurred while travelling on official business with a set personal subsistence allowance. State cars are available for official use and free parking is available for State employees.

## KENTUCKY ENERGY REGULATORY COMMISSION
## KENTUCKY UTILITY REGULATORY COMMISSION
## KENTUCKY RAILROAD COMMISSION

There is a retirement plan. The State contributes 7.25%, employee 4% of gross salary. State pays one-half of social security.

The State pays for Blue Cross/Blue Shield equal to the monthly cost of a single plan. All employees are covered at their election.

A $5,000 life insurance policy, for each employee, is paid for by the State. Employees have the option to purchase additional life insurance, through payroll deduction.

Sick leave is earned at the rate of one day per month worked.

Vacation leave is earned, per month worked, as follows: 0 to 5 years - 1 day; 5 to 10 years - 1-1/4 days; 10 to 15 years - 1-1/2 days; 15 years and over - 1-3/4 days.

Generally, travel expenses are paid in full, with the following exceptions: Daily per-diem for meals (non-high rate area) - $14.00; daily per-diem for meals (high rate area) - $20.00. Motel: Maximum amount, daily, non-high rate - $35.00 plus tax; maximum amount, daily, high rate area - $50.00 plus tax.

It is mandatory to use State automobiles, where feasible. Free parking is available at the Commissions' building.

## LOUISIANA PUBLIC SERVICE COMMISSION

Employees are provided the following fringe benefits: State retirement plan, medical and life insurance with the PSC paying half of the premium, reimbursable travel expenses, free parking, and annual and sick leave earned on length of service.

## MAINE PUBLIC UTILITIES COMMISSION

Retirement Plan: All regular full-time employees must become members of the Maine State Retirement System as a condition of employment. Benefits are financed by joint contributions of the employees and the State. The employee makes a contribution of five percent of total pay on every payroll. The amount paid in this connection by the State is fixed on the basis of actuarial computations. The normal retirement age is fixed at 60 and the compulsory retirement age at 70.

Medical Insurance: Blue Cross/Blue Shield health care plans. Major medical coverage is also an available option.

Travel Expenses: Employees utilizing personal automobiles are reimbursed 12 cents per mile for actual mileage travelled. One State automobile is assigned the Commission.

Life Insurance: Available to all employees who have permanent status. Plan provides for life insurance and in the event of accidental death twice the face amount of the policy is payable, and certain benefits are also provided for dismemberment. Each employee shall be eligible to be insured for an amount of life insurance based upon annual compensation.

## MARYLAND PUBLIC SERVICE COMMISSION

The PSC staff enjoys the following fringe benefits: retirement plan, partial payment of medical insurance, term life insurance while employed and under the retirement plan, reimbursable travel expenses, and limited free parking.

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

Note: See end of "Agency Fringe Benefits Section" for data submitted by the Massachusetts Department of Public Utilities.

# AGENCY FRINGE BENEFITS

## MICHIGAN DEPARTMENT OF TRANSPORTATION

*Retirement Plan*: Michigan has a comprehensive contributory retirement system based on years of service and job level attained.

*Insurance*: Medical, life and long-term disability plans are available with 10% paid by employee, 90% by State.

*Vacation and Sick Leave*: Upon entry into classified service, permanent employees are credited an initial annual leave grant of 16 hours and employee begins earning four hours per 80 hours work with a graduated allowance table based on years of service. Sick leave is accrued at the rate of four hours per 80 hours of service.

*Travel Expenses*: Employees on official business do have a standard travel expense allowance and State automobiles are available.

*Parking*: Both free and paid parking are available.

## MICHIGAN PUBLIC SERVICE COMMISSION

*Retirement*: Full benefits at age 55 with 30 years service, fully funded by State; benefits equal 1.5% of final average salary times years of service; vested after 10 years, payable at age 60.

*Medical Insurance*: Group medical insurance; 90% of premium paid by State.

*Life Insurance*: Life insurance provided equal to employee salary rounded upward to nearest thousand dollars; State pays 75% of premium.

*Vacation Leave*: 13 days annually plus two personal leave days; two additional days for each five years service.

*Sick Leave*: 13 days annually.

*Disability Insurance*: Disability insurance provided equal to 2/3 of current pay rate; State pays a percentage of premium varying upon applicable plan of insurance.

*Travel Expense*: Costs are reimbursed up to established maximums.

*State Vehicles*: Vehicles are not provided unless job description so requires.

## MINNESOTA PUBLIC UTILITIES COMMISSION

*Retirement*: Ten percent of gross income each pay period is set aside for retirement income; employees contribute 4%, the State contributes 6%. Benefits include: (A) Retirement Annuities - 1. Full-age 65; Age 62-30 years credited service; 2. Modified or reduced - Age 58-20 years service; Age 62-10 years service, and 3. Early-Adjusted 1/2 of 1 percent for each month under full benefit age, (B) Disability Benefit (10 years State service age 50 and under, 5 years State service and 50 and over), (C) Deferred Annuity - leave State employment - leave money in fund - monthly annuity at retirement age, (D) Refund - full refund 60 or more days after termination - over 3 years of service 3-1/2 percent interest compounded annually on deductions after 3 years of covered service, (E) Lump Sum Death Payment - death in State service - beneficiary gets full refund plus 3-1/2 percent interest compounded annually from date of coverage, (F) Surviving Spouse Benefit - death in service and qualified by age and service - optional-surviving spouse receives either a modified joint and survivor lifetime annuity or a lump sum refund with interest, (G) Combined Service Annuity-amount of retirement benefit not affected by changing from one level of government employment to another - requires combination ten years service.

*Medical Insurance*: Employees may elect coverage under Blue Cross and Blue Shield or may choose one of three health maintenance organizations. The full cost of medical insurance for employees and their dependents is paid for by the State. The State pays the total cost of employee dental coverage and one-half the cost of dependent dental coverage.

*Life Insurance*: Employees are provided with $5,000 term life insurance paid for by the State. Coverage is increased to $10,000 after five years of service.

*Annual Leave*: First four years - 4 hours per 2 weeks; 5 to 8 years - 5 hours per 2 weeks; 8 to 18 years-7 hours per 2 weeks; 18 to 25 years - 7-1/2 hours per 2 weeks; after 25 years - 8 hours per 2 weeks; maximum accrual 224 hours. Managers and Commissioners accrue annual leave at a slightly different rate.

*Sick Leave*: Four hours per 2 weeks; reduced to 2 hours per 2 weeks after accumulation of 900 hours.

*Travel Expense Allowance*: Meals - in-State: breakfast $2.70, lunch $3.20, dinner $6.40; out-State: breakfast $3.45, lunch $3.95, dinner $8.40. Lodging - in-State: $21.00 per night maximum; out-State: from $28.00 to $49.50 depending on city. Authorized commercial transportation fully reimbursed. State car provided for trips, or if none available, 16¢ per mile allowance for personal vehicle; 11¢ per mile allowance for personal vehicle if State car is available and not used. Travel advances may be provided.

## MINNESOTA DEPARTMENT OF TRANSPORTATION

The State provides retirement benefits, life and medical insurance, sick and vacation leave to all employees.

## MISSISSIPPI PUBLIC SERVICE COMMISSION

All persons employed by the State of Mississippi are required by law to be members of the retirement system. Deductions are made from employee's compensation in the amount of 5% with the State matching this with a contribution of 5.85%. Persons may retire at 55 years of age with 30 years service or at 60 years of age with 10 years service. If a person retires before age 65, with less than 30 years service, the monthly allowance is reduced 3% for each year of age under 65.

Medical insurance is provided by Blue Cross-Blue Shield. For basic hospitalization coverage, the State and the employee share the cost. For additional coverages, i.e., cancer, maternity, the employee bears the cost. The life insurance program is geared to salary. The employee and the State split this cost equally.

Each employee is given 15 days annual leave upon completion of one year service. This leave cannot be accumulated in excess of 15 days. Sick leave is accrued at the rate of 15 days per year with a maximum accumulation of 60 days.

## MISSISSIPPI PUBLIC SERVICE COMMISSION
(Continued)

Travel expenses are reimbursed to employees at the rate of 12¢ per mile for mileage and fuel and for subsistence, with a maximum allowance of $400 per month. Automobiles are furnished by the employee. Free parking facilities are provided to all employees.

## MISSOURI PUBLIC SERVICE COMMISSION

Vacation: Up to ten years of service, vacation is earned at 1-1/4 days a month or 15 days a year; over ten years of service, vacation is earned at 1-1/2 days a month or 18 days a year; over 15 years of service, vacation is earned at 1-3/4 days a month or 21 days a year.

Sick Leave: Accrues at 1-1/4 days a month regardless of the length of time of service.

Retirement: Benefits are paid for by the State of Missouri; no personal contributions are made. Benefits determined as follows--1-1/4% of Average Compensation of greatest five consecutive years X Creditable Service/Normal Retirement Benefit.

Holidays: New Year's Day - January 1, Lincoln's Birthday - February 12, Washington's Birthday - February 18, Truman's Birthday - May 8, Memorial Day - May 28, Independence Day - July 4, Primary Election Day - August 5, Labor Day - September 1, Columbus Day - October 13, Election Day - November 4, Veteran's Day - November 11, Thanksgiving Day - November 27, Christmas Day - December 25.

Medical Care: Administered by Blue Cross/Blue Shield--member employee - $6.50 per month; member employee and family - $56.40 per month.

Life Insurance Death Benefit: Six months but less than two years - $1,000; two years but less than three years - $2,000; three years but less than four years - $3,000; four years but less than five years - $4,000; five years or more - $5,000.

## MONTANA PUBLIC SERVICE COMMISSION

Medical Insurance: The State contributes $50 per month towards medical insurance, of which $3.11 applies to a newly instituted dental plan.

Life Insurance: A $10,000 life insurance policy is in effect, costing $2.20 per month, and is a part of the $50 State contribution.

Retirement: A retirement plan is provided. Employees contribute 5.75 percent.

Annual Leave: Each employee accrues 15 days annual leave per year for the first 10 years; 18 days for the next five years; 21 days for the next five; then 24 days for all over 20 years of service.

Sick Leave: Sick leave is accrued at the rate of 10 days per year, and upon retirement, one-fourth of any sick leave is paid to the employee at his present salary.

Parking: All parking is free on State property, with parking lots provided for all employees.

Traveling Expenses: In-State, an employee is paid $2.00 for breakfast, $3.50 for lunch and $6.50 for dinner; out-of-State an employee is paid $3.00 for breakfast, $5.00 for lunch and $8.50 for dinner. An employee is furnished a State car to travel in or can use his own car and be reimbursed at the rate of 12¢ per mile. If the State cannot furnish a car, he is reimbursed at the rate of 15¢ per mile. When traveling in a State car, all automobile actual expenses are paid by the State. Lodging in-State is the actual amount up to $21.00 per day; out-of-State the actual amount is up to $40.00 per day. When traveling by air the actual amount of the fare is paid by the State.

## NEBRASKA PUBLIC SERVICE COMMISSION

The PSC on March 18, 1974, adopted rules and regulations covering vacation and sick leave for its employees. Vacation leave is earned at the rate of 96 hours per year for the first five years of employment and progressively increases to 200 hours per year at the 16th year of continuous employment. Employees who work less than 40 hours a week are entitled to vacation leave proportionate to their regular work week.

Sick leave is earned at the rate of 96 hours per year during the first five years of employment and progressively increases until 240 hours per year are earned during the 19th year of continuous employment and thereafter.

The State Retirement Plan requires 3% deducted from each employee's salary up to $4,800 annually and then 6% for the balance of the year. Upon retirement, the employee is given several options and it is difficult to advise exactly what each individual will receive, but the maximum at the present time is not much over $100 per month.

Health insurance, plus $5,000 life insurance, is provided free of charge to each employee.

PSC employees are paid actual expenses while on the road and drive PSC-owned automobiles. The employees pay $4.00 per month to park in a State lot. The Commissioners park in a parking garage next to their offices free of charge.

## NEVADA PUBLIC SERVICE COMMISSION

The following benefits are available to Nevada State employees: retirement plan, medical insurance, life insurance, vacation and sick leave, travel expense allowances, State automobiles and free parking facilities.

## AGENCY FRINGE BENEFITS

### NEW JERSEY BOARD OF PUBLIC UTILITIES

Retirement, Medical and Life Insurance: Pension rights attain from date of permanent status as do non-contributory group life insurance benefits, hospital, medical-surgical plans. Contributory group life insurance is also available for members of the retirement system.

Vacation: One day per month for temporary or probationary employees; up to 25 days per year for employees with 20 years or more of service. Vacation time is subject to work load and may be lost if not taken in the succeeding year.

Sick Leave: One day per month for temporary or probationary employees; up to 15 days per year for permanent employees with one or more years of service. Unused sick leave is cumulative during service. An employee may request reimbursement at his last pay rate for up to one-half of his unused sick leave or $12,000, whichever is the lesser amount, upon service retirement.

Administrative Leave: Not to exceed a total of three days in any one year for family or other unexpected emergencies; not cumulative.

Travel Expenses: Travel expenses are allowed in accordance with State Travel Regulations upon approval of Department Head. Personally owned car mileage allowable only when State-owned car or other means of transportation is not available to carry out assigned duties.

### NEW MEXICO PUBLIC SERVICE COMMISSION

Retirement Plan: Five percent of employee's salary contributed to the employees saving fund by payroll deduction; six percent is paid by employing agency into the same fund. Voluntary retirement will be: 30 years of service prior to age 65; 20 years of service at age 60 or 20 years prior to age 65; attains age 65 and has five or more years of service; acquires five or more years of service after age 65. Final average salary will be based on the highest salary for a period of five consecutive years. There are several options which may be exercised at retirement as to payment of benefits.

Group Insurance: Medical insurance is provided by the State of New Mexico with the employee paying half of the premium and the PSC the other half. Maximum benefits on health care is $250,000 with 100% of hospital covered charges paid for the first $2,000. After that 80% of covered charges are paid. This policy has $5,000 - Basic Life ($2,000 for dependents), $5,000 - Accidental Death and Dismemberment - $40 weekly indemnity benefit. Term life insurance may be purchased by the employee at a minimal rate based on age and salary.

Vacation and Sick Leave: Vacation time is accumulated at the rate of 1-1/4 days per month; any excess over 30 days must be used by the end of a calendar year or lost. On termination an employee is paid for vacation time. One day a month is granted for sick leave with no restriction on the amount accumulated. No payment is made at termination for accumulated sick leave. In addition to the above, one personal holiday is granted each calendar year.

Travel Expenses: Per diem expenses - $36.00. State cars are utilized for in-State travel, or 12¢ a mile is paid for use of private car. Expense of public conveyance, taxis, parking, etc. for out-of-State travel is paid by the PSC.

Other Benefits: Tuition, registration, travel and per diem is paid by the PSC for seminars, courses, conventions, etc., for Commissioners and staff members.

### NEW MEXICO STATE CORPORATION COMMISSION

The following fringe benefits apply to commissioners and staff members: mandatory retirement plan--employees and SCC contribute, optional group insurance--employees and SCC contribute, 15 days annual leave per year, 12 days sick leave per year, and free parking.

### NEW YORK PUBLIC SERVICE COMMISSION

Retirement Plan: Non-contributing, minimum retirement age 55 years; benefits - 1/60 of the three highest consecutive years' salary times number of years of service up to 24; 1/50 for 25 or more years of service (half-pay).

Medical Insurance: Basic Blue Cross/Blue Shield plus major medical and dental insurance; premium - free coverage for employees, employees pay 25% of cost of dependents' coverage.

Life Insurance: $20,000 or one month's salary times number of years of service, whichever is greater; premium - free. Group insurance plans are available to employees.

Vacation: Thirteen days per year plus one additional day for each year of service up to the completion of seven years at which time employees earn 20 days per year; maximum accumulation - 40 days; 30 days payable in cash at time of separation from employment.

Personal Leave: Five days per year.

Sick Leave: Thirteen days per year to a maximum accumulation of 165 days; sick leave at one-half pay granted on basis of two weeks for each six months of service.

Travel Expense Allowance: Per diem, *$24 ($14 lodging and incidentals, $10 meals); 13¢ per mile for travel. New York City only: $30 ($19 lodging and incidentals, $11 meals). Out-of-State: $29 ($18 lodging and incidentals, $11 meals).

State Automobiles: The Chairman and Commissioners are provided State automobiles, as well as field employees who travel in excess of 12,000 miles per year. The Chairman also has the service of a chauffeur.

Free Parking Facilities: The Chairman, Commissioners, Secretary to the Commission and Counsel to the Commission have reserved parking places. All other employees are provided free parking on a first-come, first-served basis.

*The Chairman is paid $6,000 annually to cover the cost of lodging and travel within New York State. For out-of-State travel and lodging, his expenses are reimbursed according to the above schedule.

## AGENCY FRINGE BENEFITS

### NEW YORK STATE DEPARTMENT OF TRANSPORTATION

Retirement: Non-contributing, minimum retirement age 55 years; benefits - 1/60 of the three highest consecutive years' salary times number of years service up to 24; 1/50 for 25 or more years service (half-pay) for those entering State service prior to 1 July 1973. For those entering State service from 1 July 1973-30 June 1976, same as above except that to receive full benefits one must retire at age 62; may retire at age 55 with reduced benefits. For those entering State service after 1 July 1976, substantially the same as those entering after July 1973 except that after 1 January 1977 such employees will be required to contribute three percent of their gross salary to the retirement plan, and after age 62, State pension payment reduced by amount equaling 50% of primary Social Security benefit payment.

Medical Insurance: Basic Blue Cross/Blue Shield plus major medical and dental insurance. Employee's premium fully paid by employer; employee pays 25% of cost for dependents.

Life Insurance: For employees hired prior to 1 July 1976 - (1) Benefit is equal to one month's salary for each full year of service, up to a maximum of three years' salary upon the completion of 36 full years of credit. Benefit not payable if death occurs subsequent to retirement; or (2) Benefit is equal to three times annual salary after completion of three full years of service, if entered State service prior to 52nd birthday; benefit is reduced if joined retirement system between ages 52 and 65. Benefit reduced following retirement. For employees hired after 1 July 1976, benefit is equal to three times final year's salary after three years service, subject to a $50,000 maximum, if entered State service prior to 52nd birthday and die before reaching age 60. Employees entering State service after age 52 are eligible for reduced benefits. At age 60, benefit is reduced ten percent and is reduced by ten percent each year thereafter.

Vacation: Thirteen days per year plus one additional day for each year of service up to the completion of seven years at which time employees earn 20 days per year. Maximum accumulation is 40 days; 30 days maximum payable in cash at time of separation from employment. Eleven paid holidays per year. Five days per year personal leave are granted.

Sick Leave: Thirteen days per year to a maximum accumulation of 165 days; sick leave at one-half pay available on basis of two weeks for each six months service.

Travel Expense Allowance (Effective 1 April 1977): In-State per diem - $32.00 ($19 lodging and incidentals, $13 meals); 13¢ per mile for travel by personal car when no State car available. New York City per diem - $38.00 ($24 lodging and incidentals, $14 meals). Out-of-State per diem - $37.00 ($23 lodging and incidentals, $14 meals). Effective 1 July 1977: Up to $6.00 each way may be allowed for travel between home and transportation terminals. Up to $10 each way may be allowed for taxi fare directly to or from the airport, provided a receipt is obtained from taxi driver. The Commissioner is given an annual lump sum allotment to cover costs of his travel and lodging within New York State; his expenses for out-of-State travel are reimbursed according to the above schedule.

State Automobile: The Commissioner is provided with a chauffeur driven State automobile. Field employees who travel in excess of 12,000 miles per year are provided with State automobiles.

Free Parking Facilities: The Commissioner, Assistant Commissioner and Division Heads are provided with reserved parking places. All other employees are provided free parking on a first-come, first-served basis.

### NORTH CAROLINA UTILITIES COMMISSION

The following fringe benefits apply to Commissioners and staff members: State Employee's Retirement Plan; individual medical insurance; one year's salary as death benefit up to $20,000; vacation from 10 to 24 days per year, depending upon service; sick leave, 10 days per year; travel expense allowance, actual transportation cost or personal car mileage allowance, plus maximum of $31.00 per day room and board in State and $39 per day room and board out-of-State; parking, $10 to $15 per month; and disability salary continuation benefits.

### NORTH DAKOTA PUBLIC SERVICE COMMISSION

The North Dakota Public Employees Retirement System was authorized by the 1965 Legislature. The 1971 Legislature also passed a bill which created a uniform group insurance contract for all State employees with Blue Cross/Blue Shield being given the hospital-surgical, major medical part of the program, and Northern National Life the group life insurance contract. The retirement program allows a 3% contribution by the State, and 1/4% contribution to the hospital insurance program. Travel expense allowances provide 15¢ per mile in-State travel, 11¢ out-of-State mileage, $26.00 meals and lodging in-State, $15.00 out-of-State meals and actual lodging expenses. Staff members and Commissioners use private automobiles for State travel. Reserved parking spaces are provided for the elected State officials and free ample parking space for Capitol employees.

In addition to the above benefits, the PSC has adopted the following policy regarding employee annual, sick and other types of leave.

Vacation Allowance (permanent employees only) based on a 40-hour week: 1-3 years - 1 day per month, 4-7 years - 1-1/4 days per month; 8-12 years - 1-1/2 days per month, 13-18 years - 1-3/4 days per month; over 18 years - 2 days per month.

Sick Leave (permanent employees only): Based on a 40-hour week, the allowance is one day per month. Up to 144 hours may be taken in advance of being earned, at the PSC's discretion. There is no maximum accumulation. No payment is made for accumulated sick leave at termination of employment. In extreme cases, such as heart attacks or major surgery, additional sick leave may be granted at the discretion of the PSC.

Other Leave: Up to 24 hours of leave (3 days per calendar year) allowed for funeral leave; up to 30 calendar days allowed for military leave; actual time in court is allowed for court and jury duty.

Maternity Leave (permanent employees only): A delivered pregnancy or miscarriage does not constitute a sickness, and illness due to pregnancy cannot be considered as sick leave. The PSC may grant a maternity leave without pay, or employees may be terminated. Leave without pay, if granted, shall not exceed seven months, and shall begin not less than one month prior to birth and not exceed more than six months after delivery. Employees while on leave shall retain their years service rights but shall earn no additional benefits while absent.

## AGENCY FRINGE BENEFITS

### NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES

The Board and its staff enjoy all the fringe benefits of Provincial Government employees.

### OHIO PUBLIC UTILITIES COMMISSION

Retirement Plan: The employees of the PUC are members of the Public Employee's Retirement System (PERS). Membership is mandatory for all employees except those specifically exempted under PERS rules. The State of Ohio and the employee both contribute to the retirement fund at the following percentages of gross wages: employer - 12.0%, employee - 8.0%. Employees are eligible to retire with full benefits after 32 years of service. There are provisions for survivor benefits with this system.

Medical Insurance: The State Medical Plan is of the Blue Cross/Blue Shield type, and all full-time permanent and part-time permanent employees are eligible to enroll. The premium amounts vary with age, martial status and size of family, but as a general rule the PUC pays 75% and the employee pays 25%. In addition to the Blue Cross/Blue Shield Plan, the State of Ohio offers coverage through the United Health Care Plan. This is a health care corporation coverage which is available in those areas of the State where State approved health care corporations are in operation. All full-time permanent and part-time permanent employees may choose to enroll. The PUC shares costs. An employee may choose to enroll through payroll deductions in only one of the medical plans offered. Effective September 1, 1979, employees of the State of Ohio are covered by dental and vision care plans. Those eligible are: all permanent full-time employees, permanent part-time employees, and elected and appointed officials of the State of Ohio who have completed one full year of continuous State service and are enrolled in one of the State's basic health plans.

Life Insurance: Employees are covered by the State Group Life Insurance Plan. Under this plan, each employee under age 65 is insured in the amount of $2,000 for each year of total Ohio public service up to five years, for a maximum of $10,000 or one times the insured person's annual salary, to a maximum of $75,000. For employees age 65 and older, the amount of insurance reduces on the basis of attained age. An employee must work for the State one year before he/she becomes eligible for this insurance. The premium is paid entirely by the PUC.

Vacation and Sick Leave: Employees accrue sick leave at the rate of 4.6 hours of leave per 80 hours of duty. Vacation leave is earned at a rate of two weeks per year by employees in their first year of service and increases with longevity to a maximum of five weeks per year of service after 25 years.

Travel Expense Allowance: Authorized employees are allowed $32 per day ($12 is allocated for meals and $20 for lodging) travel expenses for in-State travel. All expenses must be documented by invoices, bills, receipts, etc. Expenses are paid in full for out-of-State travel.

State Automobiles: Employees are assigned State automobiles on the basis of need in performance of job duties.

Parking: Free parking facilities are provided to Commissioners, Department Heads and pool cars.

Other: Personnel assigned State automobiles are issued gasoline credit cards. Personnel who spend considerable time in the field and who have need to contact the main office frequently are issued telephone credit cards.

### OKLAHOMA CORPORATION COMMISSION

The Oklahoma CC provides all employees with hospital, surgical, medical, and life insurance benefits. The CC pays the full cost of the premium for the employees' $12,000 basic life insurance and the $12,000 accidental death and dismemberment and for the hospital, surgical, and medical expense benefits.

The CC has established a policy pertaining to annual leave, sick leave, and enforced leave as follows.

Annual Leave: A permanent employee with less than five (5) years of continuous service shall be entitled to annual leave with full pay computed at the rate of one and one-fourth working day (10 hours) for each calendar month of service; and a permanent employee with more than five (5) years of continuous service shall be entitled to annual leave with full pay computed at the rate of one and one-half working days (12 Hours) for each calendar month of service.

Sick Leave: A permanent employee shall be entitled to sick leave with full pay computed at the rate of one and one-fourth working days (10 hours) for each calendar month of service.

Enforced Leave: The CC may grant a permanent employee time off from his duties with compensation for absence necessary or reasonable when some member of his immediate family requires the employee's care and attention, or the case of death in the immediate family. Said leave shall be charged against the employee's sick leave and may not be granted in excess of accumulated sick leave. The number of days granted will be governed by the circumstance of the case, but in no event shall they exceed five (5) working days in any calendar year.

### ONTARIO ENERGY BOARD

Medical and Hospital: Ontario Health Insurance Plan (OHIP) and Supplementary Health and Hospital Plan--employer pays 100% of premiums.

Sickness and Disability Income: Short Term Sickness Plan (Management and excluded employees only)--employer pays 100%; Attendance Credit System (bargaining unit employees only)--employer pays 100%; Long Term Income Protection Plan (LTIP)--employee pays 15% of premium and employer pays 85%; Workmen's Compensation--employer pays 100% of cost; Unemployment Insurance--premium determined by U.I.C.

Retirement Income: Public Service Superannuation Fund (PSSF) and Canadian Pension Plan (CPP)--employee pays 6% of salary and employer pays 6% of salary plus actuarial deficits for PSSF; Superannuation Adjustment Fund (Pension Escalation Fund)--employee and employer pay 1% of salary.

### ONTARIO ENERGY BOARD
(Continued)

Survivor Benefits: Basic Life Insurance--employer pays 100% of premium; Supplementary Life Insurance (Voluntary)--employee pays 100% of premium; Post Retirement Life Insurance--employer pays 100% of premium; Dependent's Life Insurance (Voluntary)--employee pays 100% of premium. Travel Insurance--employer pays 100% of premium.

Vacation: Fifteen days annually.

Parking: Provided on limited basis as to need and seniority and at approximately commercial rates for the area.

Travel Expenses: Automobile at 17¢ per kilometer; meals at $20 per day within Province; lodging and miscellaneous items at reasonable costs.

### ONTARIO TELEPHONE SERVICE COMMISSION

Fringe benefits are in accordance with Provincial Government policy.

### OREGON PUBLIC UTILITY COMMISSIONER

Retirement Program: (Public Employees Retirement System) - Employees who occupy positions which require more than 600 hours of work per year are required to join PERS upon completion of six full months of State employment. Both employee and employer portions are contributed by the State.

Medical Insurance: Management service employees (those excluded from collective bargaining because of supervisory or confidential duties) are eligible to enroll themselves and their dependents in the health insurance plan of their choice, with the State paying the premium. They may choose from those plans available through the Oregon State Employees Benefit Board, which is responsible for awarding insurance contracts for this group. All bargaining unit employees are eligible to enroll themselves and their dependents in the health insurance plan of their choice, with the State paying $63 toward the premium cost (effective August 1, 1980 this will increase to $70). Under currently available plans, the State's contribution covers the cost of the employee's coverage, but the employee may have to pay a portion of the premium for dependent coverage depending on the plan selected. For insurance plans effective August 1, 1980, bargaining unit employees will choose from plans available through the Bargaining Units Benefit Board.

Life Insurance: Management service employees are eligible to enroll in a basic life insurance plan providing coverage equal to the amount of the employee's annual salary. Management service employees are eligible to enroll in a long-term disability insurance plan which provides 66-2/3 percent of the first $1800 of basic monthly earnings, reduced by deductible benefits, with a six-month waiting period. Both of these insurances are fully paid for by the State. Management service employees also have the option to enroll in an additional policy for accidental death and dismemberment insurance at their own expense. Bargaining unit employees may choose from three group life insurance plans available to all full-time employees but the State does not contribute to the premium cost.

Vacation Leave: Upon completion of six months employment in a permanent, full-time position, an employee is credited with 48 hours of vacation leave credits. He/she earns an additional 8 hours for each subsequent full month worked up to a maximum accrual of 250 hours. As length of service increases, so does the rate of vacation earned, as follows: 0-5 years - 8 hours per month; 5-10 years - 10 hours per month; 10-15 years - 12 hours a month; 15-20 years - 14 hours a month; 20 plus years - 16 hours per month. Permanent, part-time employees earn vacation leave on a prorated basis.

Sick Leave: A permanent, full-time employee earns 8 hours of sick leave per month with no limit on the amount which can be accrued. There are limitations on how sick leave can be used. Permanent, part-time employees earn sick leave on a prorated basis.

Travel Expense Allowances: Employees are reimbursed for actual travel expenses with the following limits: In-State rates - breakfast $3.50, lunch $3.95, dinner $9.00, lodging $21.00; out-of-State rates - breakfast $3.75, lunch $4.25, dinner $10.00, lodging-minimum available for accommodations. An employee is expected to use a State automobile while conducting State business unless the supervisor approves in advance use of a private car. Reimbursement for use of a private car is at the rate of 17¢ per mile.

Parking: There is no allowance for parking of private automobiles used to commute to work.

Other Benefits: Management service employees are eligible to enroll themselves and their dependents in group dental insurance plans with the State paying the premium. Bargaining unit employees may enroll themselves only under the current plans and the State's contribution of $7.90 covers the cost of the premium. Effective August 1, 1980, new dental plans will be available for bargaining unit employees and dependent coverage will be available. The State will contribute $8.85 toward the premium cost.

### PENNSYLVANIA PUBLIC UTILITY COMMISSION

The Pennsylvania Public Utility Commission provides a comprehensive package of employee benefits which costs the agency 29.59% of gross payroll.

Medical/Hospital: Fully paid by employer (5.62%); major medical, out-patient diagnostic, ambulance, and related coverage including psychiatric/psychological care. Participation in HMO's (Health Maintenance Organizations) is included.

Health and Welfare Fund: Fully paid by employer (2.4%); $0.17 per hour for each employee-worked hour provides a paid prescription program, a partial fee dental plan and a partial fee optometric/ophthalmologic plan.

## AGENCY FRINGE BENEFITS

### PENNSYLVANIA PUBLIC UTILITY COMMISSION
(Continued)

Retirement: Employer/employee paid (13.27%). For each $0.05 contributed by the employee, the agency contributes $0.1327 into a fund. An employee may vest after ten years, may retire after 25 years with fully paid hospital/medical, and may retire at 35 years of service without actuarial offset regardless of age (if over 55). The retirement formula is: 2% x the years of service x the average of the highest three years' gross pay.

Group Life Insurance: Fully paid by employer (0.98%). Term insurance set annually to the nearest thousand of an employee's salary, not to exceed $20,000.

Annual Leave: Fully employer paid (0.69%). Up to one year - 78 hours of leave; 1-15 years - 117 hours of leave; 15.1-25 years - 156 hours of leave; over 25 years - 195 hours of leave. A maximum of 337 hours may be carried into the next year. Upon termination, a lump sum settlement (at no interest) is paid.

Other Benefits: Social Security - employer/employee paid (6.13%); Workmen's Compensation - employer paid (1.02%), 70% of gross wages for a maximum of one year for work-related disability; Unemployment Compensation - employer paid (.01%), up to $166.00 weekly for 36 weeks if furloughed or terminated by the employer.

Sick Leave: Fully employer paid (0.34%); earned at the rate of 6% of regular hours worked (117 hours annually). A maximum of 1500 hours may be carried forward. Upon retirement, a settlement of 30% of unused sick leave is paid.

Personal Leave: Fully employer paid (0.11%); two "wild card" days per year for personal business.

Travel Expenses: The Public Utility Commission adheres to the Commonwealth Travel Expense program which provides for fully-paid commercial travel costs (economy class), fully paid lodging costs (lowest rate available), food costs (not to exceed $13.00 in a 24-hour period), and miscellaneous expenses (actual cost) for parking, car rentals, taxis, etc. For selected purposes, an advance fund of $300 is available.

State Automobiles: Vehicles are permanently assigned to Commissioners and the Director of Operations. Vehicles are also assigned to each Enforcement Officer who monitor the transportation industries, the rail inspection program and the gas industry safety program. For other employees, an automotive fleet is available on an ad hoc basis.

Parking Facilities: Limited parking facilities are available to accomodate the State equipment, handicapped employees, and car pools (based upon number of occupants and number of miles from available mass transit).

### PUERTO RICO PUBLIC SERVICE COMMISSION

Retirement Plan: Employee pays four percent of salary and government seven percent. This plan is administered by the Government's Office of Personnel.

Medical Insurance: Government pays $15 monthly and the employees pay the difference of the total cost.

Vacation and Sick Leave: Regular vacation - two and one-half days per month, maximum accrual of 60 days at end of year. Sick leave - one and one-half days per month, maximum accrual of 90 days at end of year.

Travel Expense Allowance: $0.20 per mile plus per diem.

State Automobiles: Only the President of the Commission is allowed the use of an automobile with chauffeur.

Parking Facilities: Offices have free parking facilities.

### QUEBEC ELECTRICITY AND GAS BOARD

Retirement: Employees automatically join the retirement plan and contribute 7% of their salary to the fund.

Medical Insurance: Hospitalization and accident including major medical; Government pays 100%.

Life Insurance: Automatic coverage, $3,000 up to once or twice times salary.

Vacation: For professionals, 20 working days after one year; for non-professionals, up to two years - 1 day per month; from 2 to 10 years - 15 working days; from 10 to 17 years - 20 working days; 17 and 18 years - 21 working days; 19 and 20 years - 22 working days; 21 and 22 years - 23 working days; 23 and 24 years - 24 working days; 25 and more - 25 working days.

Travel Expense Allowance: An average of 21¢ per mile is paid for own car expenses; $14.25 is allowed for meals, and the cost of lodging is reimbursed.

### QUEBEC PUBLIC SERVICE BOARD

Retirement Plan: Accumulation of pension credits at the rate of 2% per year with a maximum of 35 years (or 70%) based upon the average salary during the five highest paid years of service.

Group Medical and Life Insurance: Provided and paid for by controllers and staff.

Vacation: For controllers and division heads - one month; up to three weeks for other staff members.

Travel: Controllers and staff members are entitled to travel expense allowances.

### RHODE ISLAND PUBLIC UTILITIES COMMISSION

Hospitalization: Full-time employees of the State are automatically covered under Rhode Island Blue Cross and Physician's Service on an individual basis wholly paid for by the State.

Group Insurance Plan: All eligible full-time State employees are automatically covered by the Group Insurance Plan which includes Life Insurance and Accidental Death and Dismemberment Coverage unless the employee has given notice that he does not desire to be insured. This plan is on a cooperative basis. Each employee who participates in it will pay part of the cost and the State will assume the balance.

## RHODE ISLAND PUBLIC UTILITIES COMMISSION
(Continued)

Retirement and Social Security Plans: All employees of the State automatically become members of the State retirement system if they are full-time workers under 60, and all become members of the Federal Social Security Program. Deductions for these plans are made automatically from the employee's pay check. Under the retirement plan 5% of an employee's salary is deducted each pay period and should an employee leave State employment before retirement he or she will be paid in a lump sum the total amount deducted during employment. Included in the Retirement Plan is life insurance accruing at the rate of $250 for every year of service up to a total of $5,000.

Vacation: Everyone employed by the State gets a paid vacation each year. All classified employees receive 15 days per calendar year. Employees with 10 years of service will receive two additional days of vacation time. Employees with 20 years of service will receive five additional days of vacation time.

Sick Leave: Classified employees accrue four hours of sick leave per biweekly period for 35 hour employees and five hours for 40 hour employees to a maximum of 840 and 960 respectively. Sick leave is granted only when, in the opinion of the supervisor, it is warranted and may not be used as added vacation time. Medical certificates may be required to substantiate sick leave requests.

## SOUTH DAKOTA PUBLIC UTILITIES COMMISSION

Retirement Plan: Employee pays five percent of salary which is matched by the State at the time of retirement.

Medical Insurance: Full medical insurance is provided by the State through Blue Cross/Blue Shield.

Life Insurance: A selection of group life insurance policies are provided through Metropolitan Life.

Vacation and Sick Leave: State employees are given three weeks of vacation and sick leave each year.

Travel Expense Allowance: The Commission has a travel budget that is allocated according to priorities throughout the fiscal year.

Parking Facilities: Free reserved parking facilities are provided for the Commissioners.

## TENNESSEE PUBLIC SERVICE COMMISSION

Fringe benefits applicable to Commissioners and staff members are as follows: retirement plan, hospital and life insurance, vacation and sick leave, and actual travel expenses. State automobiles are available and parking facilities are furnished.

## TEXAS AERONAUTICS COMMISSION
## TEXAS PUBLIC UTILITY COMMISSION
## TEXAS RAILROAD COMMISSION

All State of Texas employees receive the following fringe benefits:

Sick Leave: Each employee earns eight hours leave each month with unlimited accumulation. Sick leave may be used when the employee or a member of the employee's immediate family is ill.

Vacation Leave: Each employee earns vacation leave based on total State employment as follows:

| Employees with Total State Employment of: | Hours Accrued Per Month | Maximum Hours to be Carried Forward from One Fiscal Year to Next |
|---|---|---|
| 0 but less than 2 years | 7 | 168 |
| 2 but less than 5 years | 8 | 192 |
| 5 but less than 10 years | 9 | 216 |
| 10 but less than 15 years | 10 | 240 |
| 15 but less than 20 years | 12 | 288 |
| 20 and over years | 14 | 336 |

An employee will earn vacation entitlement beginning on the first day of employment with the State and terminate on the last day of duty. Vacation with pay may not be granted until the employee has had continuous employment with the State for six months, although credit will be accrued during that period.

Holidays: Holidays for State employees are only those authorized by the current Appropriation Bill. There are 10 to 12 holidays each year. Holidays falling on Saturday or Sunday shall not be observed on the preceding or following days except when specific days are authorized for a Christmas holiday period.

Insurance: The State pays $35.00 on a comprehensive medical care plan which includes $4000 life insurance for the employee only. Optional coverage is available on a volunteer basis for an employee's dependents. Additional life, AD and D and Disability Income benefits are also available. Optional coverage and/or additional coverage of any type is at the employee's expense.

Retirement: Each employee must become a member of the Employees Retirement System of Texas, which requires that six percent of each month's gross salary be withheld by the employing agency. Retirement laws require the employing agency to contribute 8% based on each employee's monthly gross salary to each employee's retirement account. These funds are invested by the Retirement System to provide a retirement annuity at such time as the employee becomes eligible to retire. Minimum eligibility is ten years State employment and age 60. The employee's contributions plus 6% interest is refundable if he leaves State employment.

All State employees are eligible to become members of one of several State employee credit unions and the Texas Public Employees Association.

## UTAH PUBLIC SERVICE COMMISSION

Annual Leave: From one month to five years of full-time continuous employment--one day per month or twelve days per year. Five years to ten years--one and one-fourth day per month or fifteen days per year. Over ten years--one and one-half day per month or eighteen days per year. A maximum of thirty days may be carried from one calendar year to the next.

Sick Leave: Accumulated at the rate of one day per month or twelve days per year. As an incentive to reduce sick leave abuse, after an employee has accumulated eighteen unused sick leave days, any sick leave days accumulated during any calendar year in excess of eight days may be converted to a scheduled vacation period the following year.

Health Insurance: The State has two basic health plans. Coverage is available to eligible employees and their dependents. The State pays part of the premium for the employee on both plans.

Life Insurance: A group life basic term insurance plan is available and the State shares with the employee the nominal cost of coverage. At a small additional cost, the employee's dependents may be covered.

24-Hour Accident Insurance Program: Subscribers to this insurance plan have coverage for death, dismemberment or permanent total disability resulting from an accident. Dependents may also be insured. The State does not participate in this program.

Holidays: There are twelve paid holidays each year.

Educational Assistance: The State may pay up to one-half of the cost of the tuition of an approved course up to $300 per year.

Travel Allowance: Employees away from the office may be reimbursed for the necessary expenses they incur within specific limits for in-State and out-of-State per diem.

Retirement: All State employees are covered by Social Security and by the Utah State Retirement System. The employee pays part of the retirement system cost.

## VERMONT PUBLIC SERVICE BOARD

Retirement: Effective July 1, 1978, the first day of employment of new employee is date employee enters retirement system.

Medical Insurance: Hospitalization-accident, including major medical; State pays 75%, including coverage for dependents.

Life Insurance: Automatic coverage of $8,000 and up to $20,000.

Vacation and Sick Leave: Up to 5 years - 1 day each month; 5 to 10 years - 1-1/4 days each month; 10 to 15 years - 1-1/2 days each month; 15 and over - 1-3/4 days each month.

Other Benefits: Free parking facilities are provided, as well as travel expense allowances.

## VIRGIN ISLANDS PUBLIC SERVICES COMMISSION

In addition to a stipend of $30 per day for each meeting and/or hearing attended, free transportation to and from meetings and hearings is provided to the Commissioners. Air cargo charges, postage, stationery, etc., is reimbursed to each member incurring such expenses.

Staff members are regular employees of the Government of the Virgin Islands and entitled to the same fringe benefits, which include retirement and a group insurance plan.

Hospitalization: Maternity, Doctor's visits, X-rays and laboratory, surgical and major medical.

Holidays: 22 paid Holidays.

Leave:

- Vacation - 1-3 years service, 13 days; 3-15 years, 20 days; over 15 years, 26 days.
- Sick - 13 days per year.
- Military - Leave with pay for mandatory attendance at annual reserve summer training encampment; leave without pay for service in the U.S. Armed Forces.
- Jury - Leave with pay.
- Maternity - Leave up to eight months with such leave first chargeable to accumulated sick leave, then to accumulated vacation leave, then to leave without pay.
- Other - Leave without pay, up to one year. Study leave may be granted up to one year with pay.

## VIRGINIA STATE CORPORATION COMMISSION

Annual Leave: Probationary and permanent employees are credited with annual (vacation) leave for each month of service as follows: Eight hours (1 work day) for employees with less than five years service, maximum accumulation 192 hours (24 work days); ten hours (1-1/4 work days) for employees with 5 to 10 years service, maximum accumulation 240 hours (30 work days); twelve hours (1-1/2 work days) for employees with 10-20 years service, maximum accumulation 288 hours (36 work days); fourteen hours (1-3/4 work days) for employees with more than 20 years service, maximum accumulation 336 hours. Vacations are usually authorized at the request of the employee when the public service will not be unduly impaired. An employee leaving the Commonwealth service is paid for each hour of unused annual leave, up to the maximum indicated.

Sick Leave: Probationary and permanent employees are credited with ten hours (1-1/4 work days) of sick leave each month. Unused credits may be accumulated without limit. Earned sick leave permits the continuation of full pay during absences caused by illness or injury. Special benefits are allowed if an illness is compensable by the Workmen's Compensation Act.

Insurance: The Commonwealth holds a group life insurance policy in which employees must participate. Life insurance premium charges are shared by the employee and the Commonwealth. The cost to the employee is 60¢ per month per thousand dollars of base annual pay, rounded to the next highest thousand. An employee aged 65 and under is insured for approximately two times the next highest $1,000 of his annual salary. Basic hospitalization/medical insurance is provided at no cost to the employee. Low cost family coverage and/or high option coverage may be purchased on an optional basis.

Merit Increases: Usually, an employee whose work is satisfactory, as rated by his supervisor, will receive periodic increases (approximately 4.8% of his salary) until maximum rate for the position is being paid.

Retirement: The Commonwealth supplements Federal Social Security benefits with provisions for retirement on account of age or disability. The employee and the Commonwealth share the costs. An employee contributes 5% of his regular salary, and appropriate deductions are made each pay period. Approximate matching contributions are made by the Commonwealth. Normal retirement age is 65 (or 60 with 30 years service) and retirement

## VIRGINIA STATE CORPORATION COMMISSION
(Continued)

is mandatory at age 70. An employee leaving the government service before retirement may withdraw the total of his own contributions to the Virginia Supplemental Retirement System, plus the accrued interest on his payments. A retired State employee may purchase hospitalization/medical insurance through the Commonwealth.

Credit Union: An employee may become a member of the State Employees Credit Union. Participation in this cooperative association is secured through the purchase of one or more shares of stock and the payment of a very small membership fee. An employee is then privileged to borrow money from the Credit Union at a low rate of interest and to maintain a savings account which pays interest based upon the earnings of the corporation.

Holidays: The following holidays are authorized--New Year's Day - January 1st, Lee-Jackson Day - 3rd Monday in January, George Washington Day - 3rd Monday in February, Confederate Memorial Day - Last Monday in May, Independence Day - July 4th, Labor Day - 1st Monday in September, Columbus Day - 2nd Monday in October, General Election Day - the Tuesday after the 1st Monday in November, Veteran's Day - 11th day of November, Thanksgiving Day - 4th Thursday in November, Christmas Day - December 25th.

## WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION

Retirement: Retirement benefits are computed at the rate of 2% for each full year of State service, based upon the salary for the two highest consecutive years of service. Some alternative options are available which provide protection for beneficiaries with corresponding reduction in benefits to the employee.

Medical/Hospitalization: Effective July 1, 1979, all State employees are eligible for a fully paid health, medical and dental plan. The State's cost is $85.00 per employee. Contributions toward medical insurance premiums are paid by the State up to a maximum of $72.90 per month per employee. Several different plans are available with varying amounts of coverage and premium rates. The State contribution does not always cover the entire cost of medical insurance. However, if a plan is chosen which results in a premium remainder, the employee may apply it toward increased group life coverage in addition to the base amount provided by the State.

Life Insurance: Group life insurance is provided to each State employee in the base amount of $5,000, the State paying the premium.

Vacation: Vacation credit is allowed at a graduated rate from 12 to 22 days per year according to length of service, with maximum credit accruing after 25 years of service.

Travel Allowances: Travel expense on official business is allowed up to a maximum of $35.00 per day within the State of Washington and $40.00 per day elsewhere, and mileage at a rate of 18-1/2¢ per mile for authorized private car use if motor pool cars are not available. Automobiles are provided for all enforcement personnel.

Social Security: Full Social Security supplementation is also provided for all State employees.

## WEST VIRGINIA PUBLIC SERVICE COMMISSION

All PSC staff personnel receive the following fringe benefits:

Medical/Hospitalization: Fully paid by employer after first year.

Retirement: Each employee is protected by the Public Employees Retirement Plan.

Vacation: Fully paid by employer; 1-5 years - 1-1/4 days per month; 6-10 years - 1-1/2 days per month; 11-15 years - 1-3/4 days per month; 16-20 years - 2 days per month. Thirty days of annual leave may be carried over to the following year.

Travel Expense Allowance: Authorized employees using their own car for travel receive 20¢ per mile; $15 per day for meals if on an overnight trip; and full payment for motels.

Sick Leave: Employees earn 1-1/2 days per month.

## WISCONSIN PUBLIC SERVICE COMMISSION
## WISCONSIN TRANSPORTATION COMMISSION

Holidays: Ten and one-half holidays are granted each year.

Sick Leave: Employees begin to earn sick leave immediately upon employment. Four hours of sick leave are earned for each biweekly period of service. Unused sick leave may accumulate from year to year without limit.

Vacation: The schedule for annual leave is as follows--1-5 years - 80 hours; 5-10 years - 120 hours; 10-15 years - 136 hours; 15-20 years - 160 hours; 20-25 years - 176 hours; and 25 years plus - 200 hours.

Food, Lodging and Automobile Mileage: All reasonable expenses while traveling on State business are reimbursed. If a State employee uses his own car for State business reimbursement will be made at the rate of (1) 11¢ per mile if the employee used his own car when a State owned vehicle was otherwise available, or (2) 18¢ per mile if the employee was required to use his own car because no State vehicle was available.

Comprehensive Health Insurance: State employees are eligible, almost immediately upon employment, to participate in a comprehensive health insurance program that includes hospitalization, surgical-medical and major medical coverage. The State pays 90% of the cost, but the State payments do not begin until a qualifying period of approximately six months has been completed. In most areas of the State, employees have the option of participating in the State Health Maintenance Plan.

## WISCONSIN PUBLIC SERVICE COMMISSION
## WISCONSIN TRANSPORTATION COMMISSION
(Continued)

Group Life Insurance: After six months of employment, new employees may purchase life insurance equal to their annual earnings (rounded off to the next higher $1,000 multiple). Employees may elect to receive an additional amount of life insurance equal to 50% or 100% of earnings rounded off to the next highest $1,000. The life insurance provided is group term insurance with liberal benefits.

Retirement Benefits: Participation in a state-operated retirement program is mandatory (with certain technical exceptions). Retirement benefits for career employees who retire at or after the normal retirement age, when added to Social Security Benefits, should equal approximately 50% to 80% of the employee's average earnings during the three years of highest earnings.

## WYOMING PUBLIC SERVICE COMMISSION

Retirement Plan: One-half of the State Retirement Plan is paid by the State.

Medical Group Insurance: $45.00 paid by State on employee only.

Group Life Insurance: Paid by employee.

Per Diem: In-State - $45.00, out-of-State - $55.00 or actual reasonable expenses.

Vacation: 0-4 years continuous service - one day per month; 5-9 years continuous service - 1-1/4 days per month; 10-14 years continuous service - 1-1/2 days per month; 15-19 years continuous service - 1-3/4 days per month; 20 years continuous service - 2 days per month.

Sick Leave: Accumulate one day per month; no maximum.

Parking: Parking is provided.

Holidays: Nine paid holidays are granted.

## MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES

Retirement Plan: Employees hired before January 1, 1975, contribute 5%; employees hired after January 1, 1975, contribute 7%. Employee contributions are used to provide an annuity upon retirement and interest is paid annually on these contributions. Persons who terminate before retirement are entitled to receive their contributions plus accumulated interest on these funds. The Commonwealth provides retirees an annual pension based on age, length of service, and salaries earned in the highest three years.

Medical Insurance: Group insurance plans are available to employees after 60 days of service. The basic plan includes $2,000 of life insurance in addition to medical expense coverage. Plans currently in effect are those offered by Blue Cross/Blue Shield and such others as Harvard Community Health Plan. The premium for these plans is paid 90% by the Commonwealth and 10% by the employee.

Life Insurance: In addition to the basic insurance plan outlined above, the group insurance plans offer additional life insurance at rates which vary according to age categories. The premium cost for this optional coverage is paid for entirely by the employee.

Vacations: Credit for vacations is currently based on the following: service of less than one year -- one day per month, but not exceeding ten days; service for one year but less than four and one-half years -- ten days; service for more than four and one-half, but less than nine and one-half -- fifteen days; service for nine and one-half or more years -- twenty days.

Sick Leave and Personal Leave: Sick leave -- 1-1/4 days per month after one full month's service; accumulated indefinitely, but not paid for upon termination or retirement. Personal leave -- 3 days per fiscal year, lost if not taken by the following June 30.

Travel Expenses: Automobile mileage reimbursed at 14 cents per mile; meals allowance: $1.00, $2.00, $4.00 within the Commonwealth, $1.50, $2.25, $4.00 outside the Commonwealth; hotel cost, air fares etc., reimbursed in full.

Deferred Compensation Plan: Employees may put aside up to 25% of gross income up to a maximum of $7,500 per year and have the federal and state taxes on these amounts deferred until retirement or termination. This plan is in addition to the state retirement plan, and is optional to all state employees.

# PART II

# 1979 UTILITY AND CARRIER RATE CASES

## Introductory Statement

Part II contains information that summarizes utility and carrier rate cases that have been or are being considered (within the reporting period) by regulatory agencies during 1979. Information contained herein is based on data received from the various agencies in response to a questionnaire distributed by the NARUC.

The information is arranged in tables by type of utility and carrier, and then alphabetically by member agency and company name. A section is provided for multi-utility rate cases for instances in which a single utility company provides more than one type of service, such as gas and electric. Also, a section is provided for miscellaneous carrier rate cases which includes information on rate increases involving pipelines, limousines, and water carrier service.

Data contained in Part II is the actual data received from the member agencies. In most of the utility cases the numbers are rounded to the nearest thousand dollars. In the cases where the agencies did not round to the nearest thousand dollars, the entire amount is shown. The final increase (in the utility and carrier cases) includes any interim increase granted.

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| FEDERAL ENERGY REGULATORY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alabama Power Co. | 7/12/74 | 12,624 | 3/01/74 | 12,624 | 8/01/79 | | N/A | | | | | | | |
| Alabama Power Co. | 12/01/77 | 18 | 12/30/77 | 18 | 7/15/79 | | 11 | | | | | | | |
| Appalachian Power Co. | 7/31/79 | 2,963 | 9/28/79 | 2,963 | | | | | | | | | | |
| Appalachian Power Co. | 7/31/79 | 3,116 | 9/28/79 | 3,116 | | | | | | | | | | |
| Arizona Public Service Co. | 2/26/76 | 4,500 | 3/31/76 | 4,500 | 5/03/79 | | 3,500 | | | | | | | |
| Arizona Public Service Co. | 7/21/77 | 145 | 8/01/77 | 145 | 8/23/79 | | 145 | | | | | | | |
| Arizona Public Service Co. | 12/22/77 | 9,900 | 1/30/78 | 9,900 | 10/29/79 | | 7,300 | | | | | | | |
| Arizona Public Service Co. | 12/29/78 | 10,243 | 2/28/79 | 10,243 | | | | | | | | | | |
| Arkansas Power & Light Co. | 4/01/77 | 5,600 | 4/29/77 | 5,600 | 5/29/79 | | 4,900 | | | | | | | |
| Arkansas Power & Light Co. | 5/01/79 | 6,674 | 8/06/79 | 6,674 | | | | | | | | | | |
| Black Hills Power & Light Co. | 6/08/79 | 700 | 8/06/79 | 700 | | | | | | | | | | |
| Boston Edison Co. | 2/27/79 | 332 | 4/24/79 | 332 | | | | | | | | | | |
| Boston Edison Co. | 2/27/79 | 656 | 4/24/79 | 656 | | | | | | | | | | |
| Cambridge Electric Light Co. | 7/27/79 | 530 | | | 10/11/79 | | 530 | | | | | | | |
| Central Illinois Public Service Co. | 11/02/79 | 11,603 | | | | | | | | | | | | |
| Central Kansas Power Co. | 12/01/78 | 612 | 1/31/79 | 612 | | | | | | | | | | |
| Central Maine Power Co. | 7/30/79 | 230 | 9/28/79 | 230 | | | | | | | | | | |
| Central Tel. & Utilities Co. | 11/22/77 | 2,700 | 12/30/77 | 2,700 | 5/09/79 | | 1,200 | | | | | | | |
| Cincinnati Gas & Electric Co. | 7/20/79 | 5,649 | 9/14/79 | 5,649 | | | | | | | | | | |
| The Cleveland Electric Illuminating Co. | 5/31/79 | 3,194 | 7/31/79 | 3,194 | | | | | | | | | | |
| Columbus & So. Ohio Electric Co. | 7/29/77 | 2,500 | 8/26/77 | 2,500 | 8/29/79 | | 2,500 | | | | | | | |
| Commonwealth Edison Co. | 8/30/74 | 4,207 | 10/29/74 | 4,207 | 9/14/79 | | N/A | | | | | | | |
| Commonwealth Edison Co. | 1/30/79 | 5,500 | 3/30/79 | 5,500 | | | | | | | | | | |
| Consumers Power Co. | 10/30/79 | 7,400 | | | 12/20/79 | | 5,900 | | | | | | | |
| Detroit Edison Co. | 11/20/78 | 7,830 | 3/09/79 | 7,830 | | | | | | | | | | |
| Duquesne Light Co. | 5/15/78 | 49 | 8/17/78 | 49 | 5/29/79 | | 41 | | | | | | | |
| El Paso Electric Co. | 7/27/79 | 393 | 9/24/79 | 393 | | | | | | | | | | |
| Georgia Power Co. | 12/30/77 | 28 | 2/28/78 | 28 | 9/01/79 | | 26 | | | | | | | |
| Georgia Power Co. | 12/01/78 | 8,388 | 1/30/79 | 8,388 | | | | | | | | | | |
| Gulf Power Co. | 7/16/74 | 2,300 | 8/13/74 | 2,300 | 9/21/79 | | 1,600 | | | | | | | |
| Gulf Power Co. | 7/29/77 | 1,800 | 9/30/77 | 1,800 | 9/21/79 | | 1,500 | | | | | | | |
| Gulf States Utilities Co. | 7/29/76 | 929 | 8/31/76 | 929 | 3/06/79 | | 756 | | | | | | | |
| Gulf States Utilities Co. | 10/31/79 | 1,358 | 12/28/79 | 1,358 | | | | | | | | | | |
| Idaho Power Co. | 1/09/76 | 2,300 | 3/18/76 | 2,300 | 5/03/79 | | 413 | | | | | | | |
| Idaho Power Co. | 7/30/79 | 1,239 | 9/28/79 | 1,239 | | | | | | | | | | |
| Indiana & Michigan Electric Co. | 12/08/77 | 8,700 | 1/06/78 | 8,700 | 8/29/79 | | 8,100 | | | | | | | |
| Interstate Power Co. | 8/02/79 | 570 | 9/28/79 | 570 | | | | | | | | | | |
| Iowa Electric Light & Power Co. | 1/31/79 | 914 | 6/14/79 | 914 | 10/04/79 | | 500 | | | | | | | |
| Iowa-Illinois Gas & Electric Co. | 5/25/79 | 1,077 | | | 7/13/79 | | 1,077 | | | | | | | |
| Iowa Power & Light Co. | 6/30/78 | 103 | 9/14/78 | 103 | 7/14/79 | | 26 | | | | | | | |
| Iowa-Southern Utilities Co. | 7/10/79 | 198 | | | 8/30/79 | | 198 | | | | | | | |
| Jersey Central Power & Light Co. | 7/27/76 | 1,201 | 8/26/76 | 1,201 | 5/23/79 | | 1,117 | | | | | | | |
| Jersey Central Power & Light Co. | 12/18/78 | 2,136 | 2/16/79 | 2,136 | | | | | | | | | | |
| Kansas City Power & Light Co. | 1/23/79 | 997 | 3/28/79 | 997 | | | | | | | | | | |
| Kansas City Power & Light Co. | 10/05/79 | 139 | 12/04/79 | 139 | | | | | | | | | | |
| Kansas Power & Light Co. | 9/28/78 | 972 | | | 3/23/79 | | 792 | | | | | | | |
| Kansas Power & Light Co. | 3/30/79 | 2,658 | 5/29/79 | 2,658 | | | | | | | | | | |
| Lockhart Power Co. | 7/27/79 | 144 | 9/24/79 | 144 | | | | | | | | | | |
| Metropolitan Edison Co. | 11/14/78 | 4,772 | 1/12/79 | 4,772 | | | | | | | | | | |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| FEDERAL ENERGY REGULATORY COMMISSION (Continued) | | | | | | | | | | | | | | |
| Minnesota Power & Light Co. | 10/03/79 | 5,389 | 12/31/79 | 5,389 | | | | | | | | | | |
| Mississippi Power Co. | 6/29/79 | 1,761 | 8/24/79 | 1,761 | | | | | | | | | | |
| Missouri Power & Light Co. | 3/02/76 | 104 | 4/26/76 | 104 | 8/03/79 | | N/A | | | | | | | |
| Missouri Power & Light Co. | 5/19/78 | 271 | 6/19/78 | 271 | 6/21/79 | | 251 | | | | | | | |
| Missouri Public Service Co. | 12/01/78 | 758 | | | 1/31/79 | | 571 | | | | | | | |
| Missouri Public Service Co. | 11/27/79 | 484 | | | | | | | | | | | | |
| Missouri Utilities Co. | 10/13/78 | 160 | 2/02/79 | 160 | | | | | | | | | | |
| Monongahela Power Co. | 7/11/78 | 291 | 8/10/78 | 291 | 7/14/79 | | 180 | | | | | | | |
| Montana Power Co. | 7/28/78 | 2,900 | 8/31/78 | 2,900 | 8/04/79 | | 2,200 | | | | | | | |
| Montaup Electric Co. | 6/28/78 | 3,700 | 7/28/78 | 3,700 | 8/29/79 | | 1,600 | | | | | | | |
| Nevada Power Co. | 12/12/74 | 998 | 1/17/75 | 998 | 7/16/79 | | 998 | | | | | | | |
| Nevada Power Co. | 7/28/75 | 948 | 10/15/75 | 948 | 7/16/79 | | 330 | | | | | | | |
| New England Power Co. | 11/13/78 | 550 | | | 3/05/79 | | 253 | | | | | | | |
| New England Power Co. | 12/01/78 | 1,242 | 1/30/79 | 1,242 | 9/06/79 | | -0- | | | | | | | |
| New England Power Co. | 11/01/79 | 53,473 | 12/31/79 | 53,473 | | | | | | | | | | |
| Northern Indiana Public Service Co. | 7/27/78 | 8,381 | 11/22/78 | 8,381 | 11/08/79 | | N/A | | | | | | | |
| Northern States Power Co. (Minnesota) | 4/07/78 | 1,900 | 5/05/78 | 1,900 | 9/26/79 | | 1,300 | | | | | | | |
| Ohio Edison Co. | 7/27/77 | 7,400 | 8/31/77 | 7,400 | 2/03/79 | | 5,700 | | | | | | | |
| Ohio Edison Co. | 2/09/79 | 288 | | | 4/23/79 | | 288 | | | | | | | |
| Ohio Power Co. | 4/27/79 | 9,392 | 6/27/79 | 9,392 | | | | | | | | | | |
| Oklahoma Gas & Electric Co. | 6/21/77 | 2,400 | 11/30/77 | 2,400 | 6/04/79 | | 2,100 | | | | | | | |
| Pacific Power & Light Co. | 9/21/79 | 1,498 | | | 11/19/79 | | 1,498 | | | | | | | |
| Philadelphia Electric Co. | 5/27/77 | 2,100 | 7/26/77 | 2,100 | 5/03/79 | | 2,100 | | | | | | | |
| Philadelphia Electric Co. | 5/31/78 | 3,177 | 6/30/78 | 3,177 | 11/19/79 | | 2,293 | | | | | | | |
| Potomac Edison Co. | 6/26/78 | 1,305 | 11/22/78 | 1,305 | 9/14/79 | | 1,056 | | | | | | | |
| Potomac Electric Power Co. | 10/31/79 | 10,000 | | | 12/20/79 | | 4,600 | | | | | | | |
| Public Service Co. of Colorado | 7/24/78 | 2,053 | 10/04/78 | 2,053 | 11/24/79 | | 987 | | | | | | | |
| Public Service Co. of Indiana | 9/23/75 | 9,713 | 11/28/75 | 9,713 | 6/28/79 | | N/A | | | | | | | |
| Public Service Co. of New Hampshire | 12/21/79 | 4,240 | | | | | | | | | | | | |
| Public Service Co. of New Mexico | 6/28/79 | 3,363 | 8/28/79 | 3,363 | | | | | | | | | | |
| Public Service Co. of Okla. | 7/28/78 | 3,000 | 10/12/78 | 3,000 | 5/05/79 | | 1,400 | | | | | | | |
| Puget Sound Power & Light Co. | 7/22/77 | 397 | 8/01/77 | 397 | 7/06/79 | | 382 | | | | | | | |
| Sierra-Pacific Power Co. | 8/25/75 | 716 | 11/25/75 | 716 | 1/12/79 | | N/A | | | | | | | |
| Sierra-Pacific Power Co. | 11/30/78 | 847 | 3/16/79 | 847 | | | | | | | | | | |
| South Carolina Electric & Gas Co. | 3/31/78 | 2,300 | 7/14/78 | 2,300 | 7/02/79 | | 1,450 | | | | | | | |
| Southern Calif. Edison Co. | 2/04/74 | 20,590 | 3/01/74 | 20,590 | 8/01/79 | | N/A | | | | | | | |
| Southern Calif. Edison Co. | 10/31/75 | 16,900 | 12/31/76 | 16,900 | 8/02/79 | | N/A | | | | | | | |
| Southern Calif. Edison Co. | 1/15/79 | 5,707 | 3/15/79 | 5,707 | | | | | | | | | | |
| Southwestern Electric Power Co. | 10/16/75 | 792 | 12/31/75 | 792 | 1/04/79 | | N/A | | | | | | | |
| Southwestern Public Service Co. | 3/28/79 | 2,075 | 7/13/79 | 2,075 | | | | | | | | | | |
| Upper Peninsula Power Co. | 12/14/78 | 630 | 2/12/79 | 630 | 6/20/79 | | 361 | | | | | | | |
| Upper Peninsula Power Co. | 12/07/79 | 250 | | | | | | | | | | | | |
| Utah Power & Light Co. | 4/29/76 | 4,501 | 5/28/76 | 4,501 | 1/03/79 | | 2,439 | | | | | | | |
| Utah Power & Light Co. | 4/20/77 | 5,122 | 6/23/77 | 5,122 | 1/14/79 | | 3,319 | | | | | | | |
| Utah Power & Light Co. | 12/28/78 | 12,419 | 4/05/79 | 12,419 | | | | | | | | | | |
| West Penn Power Co. | 6/20/78 | 285 | 7/21/78 | 285 | 8/23/79 | | 145 | | | | | | | |
| West Texas Utilities Co. | 12/07/79 | 2,261 | | | | | | | | | | | | |
| Western Massachusetts Electric Co. | 7/30/76 | 1,644 | 8/27/76 | 1,644 | 5/15/79 | | 1,466 | | | | | | | |
| Wisconsin Electric Power Company | 11/28/75 | 3,500 | 12/31/75 | 3,500 | 1/24/79 | | 2,000 | | | | | | | |
| Wisconsin Public Service Company | 7/25/78 | 1,057 | 8/24/78 | 1,057 | 10/30/79 | | (180) | | | | | | | |

# 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| ALABAMA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alabama Power Co. | 12/20/78 | 282,900 | 3/06/79 | 81,906 | 7/19/79 | | 208,347 | 8.40 | 11.70 | | | | 60.1 D<br>11.5 PFD<br>28.4 CE | 8.55 D<br>8.12 PFD<br>11.70 CE |
| Alabama Power Co. | 12/28/79 | 123,000 | | | No final action as of 1/15/80. | | | | | | | | | |
| ALBERTA PUBLIC UTILITIES BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alberta Power Limited | 5/20/77 | 12,365 | | | 1/01/80 | 302,370 | 12,365 | 10.83 | 14.18 | 114,635 | 81,888 | 32,747 | 41.5 D<br>21.5 PFD<br>4.8 1/<br>32.2 E | 9.21 D<br>8.82 PFD<br>--<br>14.18 E |
| Calgary Power Ltd. | 12/18/78 | 21,831 | 3/01/79 | 21,831 | | | | | | | | | | |
| Southern Utilities Company Limited | 1/05/79 | 31 | 3/01/79 | 25 | | | | | | | | | | |
| 1/ No cost. | | | | | | | | | | | | | | |
| ARIZONA CORPORATION COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Arizona Public Service Company | 11/01/79 | 97,414 | | | | | | | | | | | | |
| Tucson Electric Power Co. | 2/02/79 | 14,628 | | | 11/15/79 | Increase Denied. | | | | | | | | |
| CALIFORNIA PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Pacific Gas & Electric Company | 12/26/78 | 216,433 | | | 12/19/79 | 4,357,743 | 85,769 | 10.22 | 13.90 | 1,296,396 | 851,035 | 445,361 | 45.92 D<br>14.57 PFD<br>39.51 CE | 7.79 D<br>7.92 PFD<br>13.90 CE |
| San Diego Gas & Electric | 5/15/78 | 87,300 | 1/16/79 | 23,700 | 6/05/79 | 874,327 | 56,038 | 10.59 | 14.50 | 264,281 | 171,690 | 92,591 | 47.75 D<br>14.16 PFD<br>38.09 CE | 8.19 D<br>8.21 PFD<br>14.50 CE |
| COLORADO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Empire Electric Association, Inc. | 5/08/79 | 330 | | | 12/11/79 | 5,730<br>1/ | 225 | 7.60 | 13.74 | 4,071 | 3,635 | 435 | 62.30 D<br>37.70 CE | 3.89 D<br>13.74 CE |
| Highline Electric Association | 1/26/79 | 1,634 | | | 3/19/79 | 19,377 | 1,634 | 4.96 | 16.47 | 9,294 | 8,332 | 962 | 79.72 D<br>20.28 CE | 2.03 D<br>16.47 CE |
| Home Light & Power Company | 3/29/79 | 1,383 | | | 7/20/79 | 21,302 | 1,184 | 10.57 | 13.50 | 15,771 | 13,519 | 2,252 | 42.20 D<br>51.97 CE<br>5.83 2/ | 8.41 D<br>13.50 CE<br>-- |
| Poudre Valley Rural Electric Association | 3/29/79 | 528 | | | 8/24/79 | 17,157 | 460 | 6.79 | 18.75 | 10,521 | 9,355 | 1,165 | 76.21 D<br>23.79 CE | 3.06 D<br>18.75 CE |
| Public Service Co. of Colorado | 6/20/79 | 52,938 | 11/21/79 | 31,169 | 1/22/80 | 1,097,848<br>1/ | 31,169 | 9.49 | 14.6 | 438,603 | 339,146 | | 48.12 D<br>15.17 PFD<br>35.47 CE<br>1.24 2/ | 6.90 D<br>6.78 PFD<br>14.60 CE<br>-- |
| Sangre DeCristo Electric Association, Inc. | 8/14/79 | 178 | | | 12/21/79 | 4,984 | 171 | 8.67 | 31.71 | 2,376 | 1,943 | 432 | 83.35 D<br>16.65 CE | 4.07 D<br>31.71 CE |
| San Luis Valley Rural Electric Corp., Inc. | 4/16/79 | 408 | | | 7/03/79 | 10,763 | 408 | 8.80 | 23.04 | 6,295 | 5,348 | 947 | 76.69 D<br>23.31 CE | 4.47 D<br>23.04 CE |
| Southeast Colorado Power Association | 6/20/79 | 1,326 | | | 12/17/79 | 27,828 | 1,703 | 7.82 | 85.10 | 7,116 | 6,684 | 473<br>3/ | 93.02 D<br>6.98 CE | 2.02 D<br>85.10 CE |
| Wheatland Electric Coop., Inc. | 5/10/79 | 5 | | | 6/10/79 | 46 | 5 | (1.64) | (24.42) | 26 | | (1) | 81.45 D<br>18.55 CE | 3.55 D<br>(24.42)CE |
| 1/ Year-end rate base.<br>2/ Deferred taxes.<br>3/ Includes AFUDC. | | | | | | | | | | | | | | |
| CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL | | | | | | | | | | | | | | |
| Bozah Light & Power Co. | 9/13/78 | 147 | | | 2/20/79 | 979<br>(original cost) | 90 | 9.68 | 10.0 | 1,217 | 1,122 | 95 | | |
| Fletcher Electric Light Co. | 4/16/79 | 5 | | | 9/24/79 | | 4 | | | | | | | |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Potomac Electric Power Co. | 7/26/77 | 45,501<br>1/ | 6/15/79 | 2/ | 7/20/79 | 755,484 | 5,890 | 9.03 | 12.75 | 294,967 | 226,794 | 68,173 | 54.9 D<br>9.1 PFD<br>36.0 CE | 6.89 D<br>7.27 PFD<br>12.75 CE |
| Potomac Electric Power Co. | 2/15/79 | 15,464<br>3/ | | | Pending | | | | | | | | | |
| 1/ Revised 1/25/78 to $44,800.<br>2/ 3.374% surcharge except first 450 kwh on "R" schedule and schedules "SL" and "TS".<br>3/ Amended to $18,500. | | | | | | | | | | | | | | |
| GEORGIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Georgia Power Co. | 11/20/78 | 230,167 | | | 1/02/80 | 2,881,337 | 169,060 | 9.888 | 13.5 | 1,264,652 | 1,063,748 | 200,904 | 57.83 D<br>11.00 PFD<br>31.17 CE | 8.22 D<br>8.42 PFD<br>13.5 CE |
| Savannah Electric & Power Co. | 1/17/79 | 14,391 | | | 7/17/79 | 217,036 | 13,426 | 10.19 | 12.75 | 72,531 | 57,106 | 15,425 | 65.9 D<br>8.9 PFD<br>25.2 CE | 9.14 D<br>10.78 PFD<br>12.75 CE |
| HAWAII PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Kauai Electric Div. of Citizens Utilities Co. | 8/07/78 | 1,589 | | | 7/10/79 | 26,402 | 1,092 | 9.67 | 13.25 | 18,024 | 15,471 | 2,553 | 52.2 D<br>12.5 PFD<br>35.3 CE | 7.50 D<br>8.64 PFD<br>13.25 CE |
| Molokai Electric Co., Ltd. | 5/18/78 | 396 | | | 1/12/79 | 2,036 | 396 | 10.15 | 12.91 | 2,560 | 2,353 | 207 | 51.5 D<br>17.1 PFD<br>31.4 CE | 8.68 D<br>9.50 PFD<br>12.91 CE |
| IDAHO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Citizens Utilities | 4/03/79 | 124 | | | | | | | | | | | | |
| Idaho Power Co. | 11/30/79 | 11,311 | | | | | | | | | | | | |
| Idaho Power Co. | 12/28/79 | 57,765 | | | | | | | | | | | | |
| Utah Power & Light | 10/30/79 | 27,923 | | | | | | | | | | | | |
| Washington Water Power | 2/28/79 | 3,749 | | | 10/12/79 | 137,162 | 3,083 | 9.19 | 13.25 | 38,112 | 27,138 | 10,974 | | |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Central Illinois Public Service Co. | 1/30/79 | 35,000.0 | | | 12/27/79 | | 22,215.0 | 9.00 | | | | | | |
| Commonwealth Edison | 4/06/79 | 452,000.0 | 9/12/79 | 45,200.0 | | | | | | | | | | |
| Illinois Power Company | 1/09/79 | 69,100.0 | | | 11/28/79 | | 45,683.0 | 9.85 | | | | | | |
| Interstate Power Company | 3/29/78 | 1,655.3 | 7/12/78 | 1,259.5 | 2/07/79 | | 1,551.7 | 9.29 | | | | | | |
| Sherrard Power System | 3/30/79 | 663.0 | | | | | | | | | | | | |
| INDIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Indiana Statewide REMC 1/ | 11/13/78 | 5,873 | | | 4/25/79 | | 5,873 | | | 45,690 | 38,882 | 6,808 | | |
| Northern Indiana Public Service Co. 2/ | 12/27/78 | 64,400 | | | 7/18/79 | 1,316,441 | 61,500 | 7.35 | 13.19 | 541,368 | 444,610 | 96,758 | 50.9 D<br>14.6 PFD<br>34.5 CE | |
| Southern Indiana Gas & Electric Co. 2/ | 11/22/78 | 20,725 | | | 9/27/79 | 305,238 | 15,625 | 7.30 | 14.4 | 103,085 | 80,804 | 22,282 | 49.35 D<br>12.02 PFD<br>38.63 CE | |
| 1/ This utility's rates are based upon revenue requirements.<br>2/ Method of computing rate base: Fair Value. | | | | | | | | | | | | | | |
| IOWA STATE COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Amana Society Service Co. RPU-79-34 | 6/29/79 | 50 | 11/01/79 | 50<br>1/ | | | | | | | | | | |
| Buchanan County REC RPU-77-39 | 12/01/77 | 254 | | | 1/12/79 | | 254- | | | | | | | |
| Eastern Iowa Light & Power Coop. RPU-78-51 | 12/29/78 | 2,261 | 1/31/79 | 2,261<br>1/ | | | | | | | | | | |
| Franklin Rural Coop. RPU-78-43 | 11/15/78 | 193 | | | 3/19/79 | | 152 | | | | | | | |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| IOWA STATE COMMERCE COMMISSION (Continued) | | | | | | | | | | | | | | |
| Interstate Power Company<br>RPU-77-18 | 7/28/77 | 15,763 | 7/01/78 | 13,652 | 5/18/79 | 220,183 | 10,660 | 9.01 | 12.5 | 95,104 | 75,266 | 19,838 | 51.65 D<br>14.49 PFD<br>30.96 CE | 7.2 D<br>7.61 PFD<br>12.5 CE |
| Interstate Power Company<br>RPU-79-32 | 6/08/79 | 14,400 | 10/07/79 | 12,200<br>2/ | | | | | | | | | | |
| Iowa Electric Light & Power Co.<br>RPU-76-27 | 5/28/76 | 11,417 | 7/02/76 | 7,500 | 10/31/79 | 322,017 | 11,417 | 9.15 | 12.5 | 135,247 | 105,783 | 29,464 | 50.10 D<br>15.58 PFD<br>34.13 CE | 7.43 D<br>7.38 PFD<br>12.5 CE |
| Iowa Power & Light Co.<br>RPU-78-27 | 6/30/78 | 27,148 | 1/01/79 | 27,148<br>1/ | | | | | | | | | | |
| Iowa Public Service<br>RPU-77-1 | 1/04/77 | 7,515 | | | 4/12/79 | 253,901 | 6,202<br>Phase II | 9.57 | 12.50 | 108,269 | 83,971<br>Phase II | 24,298 | 52.74 D<br>13.36 PFD<br>33.90 CE | 7.85 D<br>7.45 PFD<br>12.25 CE |
| Iowa Public Service<br>RPU-78-49 | 12/15/78 | 12,200 | 4/15/79 | 12,200<br>1/ | | | | | | | | | | |
| Iowa So. Utility<br>RPU-79-21 | 4/30/79 | 5,630 | 9/01/79 | 5,630<br>1/ | | | | | | | | | | |
| Osceola Electric Coop.<br>RPU-78-50 | 12/19/78 | 69 | | | 1/17/79 | | 69 | | | | | | | |
| Plymouth Electric Cooperative Association<br>RPU-78-39 | 11/08/78 | 344 | | | 12/12/79 | | 327 | | | | | | | |
| Southeast Iowa Cooperative Association | 12/12/78 | 775 | | | 1/12/79 | | 775 | | | | | | | |
| Union Electric Company<br>RPU-78-18 | 5/01/78 | 2,747 | 6/01/78 | 2,747<br>1/ | | | | | | | | | | |
| Woodbury County REC<br>RPU-78-41 | 11/15/78 | 201 | | | 9/27/79 | | 201 | | | | | | | |

1/ Revenue collected under bond subject to refund.
2/ Revenue collected under bond subject to refund. Smaller amount to be collected until final decision is made.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| KANSAS STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Ark Valley Electric Co-op. | 1/04/79 | 97 | | | 9/17/79 | 4,917 | 82 | 6.0 | 23.58 | 3,484 | 3,188 | 295 | 81.85 D<br>18.15 CE | 1.72 D<br>4.28 CE |
| Butler Rural Co-op. | 8/28/79 | 301 | | | | | | | | | | | | |
| Central Kansas Power | 2/01/79 | 2,423 | | | | | | | | | | | | |
| City of Anthony | 4/30/79 | 7 | | | 7/01/79 | | 7 | | | | | | | |
| City of Ashland | 10/08/79 | .766 | | | | | | | | | | | | |
| City of Attica | 5/14/79 | .399 | | | 8/24/79 | | .399 | | | | | | | |
| City of Herington | 4/02/79 | 4 | | | 1/11/80 | | 4 | | | | | | | |
| City of McPherson | 9/20/79 | 170 | | | | | | | | | | | | |
| City of Russell | 1/22/79 | 31¢ per kwh | | | 1/29/79 | | 31¢ per kwh | | | | | | | |
| City of Russell | 8/14/79 | .276¢ per kwh | | | 8/24/79 | | 276¢ per kwh | | | | | | | |
| City of Sabetha | 5/24/79 | 19 | | | 8/02/79 | | 19 | | | | | | | |
| City of Wellington | 7/20/79 | 5 | | | 12/21/79 | | 5 | | | | | | | |
| Caney Valley Electric Co-op. | 11/28/79 | 203 | | | | | | | | | | | | |
| Empire District Electric Co. | 7/03/78 | 982 | | | 2/27/79 | 12,479 | 721 | 9.43 | 13.75 | 6,682 | 5,147 | 1,535 | 47.68 D<br>7.23 PFD<br>45.09 CE | 3.74 D<br>.48 PFD<br>5.21 CE |
| Jewell Mitchell | 11/16/78 | 243 | | | 5/01/79 | 4,153 | 99 | 5.6 | 19.3 | 2,384 | 2,152 | 232 | 79.25 D<br>20.75 CE | 1.58 D<br>4.02 CE |
| Kansas City Power & Light | 4/01/77 | 18,888 | 10/14/77 | 9,854 | 3/13/79 | 223,371 | 14,858 | 8.61 | 14.0 | 82,385 | 63,152 | 19,232 | 48.613 D<br>12.394 PFD<br>30.361 CE<br>8.63 1/ | 3.409 D<br>.940 PFD<br>4.251 CE<br>.006 1/ |
| Kansas City Power & Light | 8/06/79 | 27,800 | | | | | | | | | | | | |
| Kansas Gas and Electric | 12/29/78 | 38,887 | | | 9/24/79 | 521,937 | 17,341 | 9.36 | 13.93 | 227,557 | 178,704 | 48,853 | 48.46 D<br>12.9 PFD<br>33.06 CE<br>5.58 1/ | 3.72 D<br>1.02 PFD<br>4.75 CE<br>.01 1/ |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| KANSAS STATE CORPORATION COMMISSION (Continued) | | | | | | | | | | | | | | |
| Kansas Power & Light | 11/10/77 | 55,204<br>2/ | 9/19/78 | 27,109 | 8/23/79 | 497,251 | 32,984 | 9.1 | 13.71 | 192,742 | 147,492 | 45,250 | 45.37 D<br>10.28 PFD<br>36.39 CE<br>7.96 1/ | 3.35 D<br>.74 PFD<br>4.95 CE<br>.02 1/ |
| Lane-Scott Electric Co-op. | 6/07/79 | 116 | | | | | | | | | | | | |
| Lyon County Electric Co-op. | 4/06/79 | 75 | | | 9/18/79 | 2,184 | 75 | 5.7 | 12.75 | 2,200 | 2,075 | 125 | 73.01 D<br>26.99 CE | 2.26 D<br>3.44 CE |
| Nemaha-Marshall Electric Co-op. | 2/10/79 | 163 | | | 10/12/79 | 1,711 | 111 | 5.77 | 8.22 | 1,839 | 1,740 | 99 | 39.4 D<br>60.6 CE | .79 D<br>4.98 CE |
| P.R.W. Electric Co-op. Association, Inc. | 6/23/78 | 113 | | | 9/29/78 | 2,629 | 73 | 6.02 | 21.99 | 1,331 | 1,172 | 158 | 80.54 D<br>19.46 CE | 1.74 D<br>4.28 CE |
| Pioneer Electric Co-op. | 1/25/79 | 355 | | | 6/20/79 | 14,236 | 234 | 6.57 | 16.47 | 6,621 | 5,686 | 935 | 78.44 D<br>21.56 CE | 3.02 D<br>3.55 CE |
| Sekan Electric Co-op. | 11/01/78 | 248 | | | 3/09/79 | 3,170 | 63 | 4.81 | 6.10 | 1,638 | 1,485 | 153 | 45.9 D<br>54.1 CE | 1.51 D<br>3.3 CE |
| Southwestern Public Service | 10/17/79 | 99 | | | | | | | | | | | | |
| Sunflower Electric Co-op. | 10/03/79 | 3,175 | | | | | | | | | | | | |
| Twin Valley Electric Co-op. | 11/30/78 | 86 | | | 6/08/79 | 1,306 | 34 | 4.84 | 9.43 | 1,160 | 1,097 | 63 | 64.28 D<br>35.72 3/ | 1.47 D<br>3.37 3/ |
| Victory Electric Co-op. | 6/29/79 | 151 | | | 11/30/79 | 6,358 | 128 | 6.15 | 32.04 | 4,355 | 3,964 | 391 | 86.3 D<br>13.7 CE | 1.76 D<br>4.39 CE |
| Western Power Div. of Central Telephone & Utility | 5/01/78 | 8,162 | 9/20/78 | 7,489 | 9/19/79 | 92,586 | 5,154 | 9.04 | 13.4 | 53,260 | 44,890 | 8,370 | 51.03 D<br>4.21 PFD<br>44.76 CE | 3.93 D<br>.23 PFD<br>4.88 CE |
| Wheatland Electric Co-op., Inc. | 7/21/78 | 606 | | | 12/18/78 | 21,053 | 476 | 5.7 to 6 | | 13,646 | 12,383 | 1,263 | 80.98 D<br>19.02 CE | 2.804 D<br>3.196 CE |
| 1/ Customer related.<br>2/ Amended to $58,119.<br>3/ Patron equity. | | | | | | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gulf States Utilities, Inc. | 12/11/79 | 98,000 | | | Pending | | | | | | | | | |
| La. Power & Light Co. | 12/20/78 | 114,700 | | | 12/18/79 | 1,144,844 | 59,607 | 10.48 | 13.25 | 425,049 | 304,840 | 120,209 | 57.0 D<br>9.0 PFD<br>34.0 CE | 9.1 D<br>8.65 PFD<br>13.25 CE |
| Southwestern Electric Power Co. | 5/30/79 | 18,957 | | | | | | | | | | | | |
| MARYLAND PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| A & N Electric Coop. | 8/10/79 | N/A | | | Pending | | | | | | | | | |
| Baltimore Gas & Electric Company | 11/14/79 | 123,000 | | | Pending | | | | | | | | | |
| Berlin Municipal Electric | 1/22/79 | N/A | | | 8/29/79 | | 81 | 8.0 | | | | | | |
| Berlin Municipal Electric | 12/31/79 | N/A | | | Pending | | | | | | | | | |
| Choptank Electric | 7/25/79 | 1,205 | | | 12/21/79 | 26,616 | 915 | 6.72 | | | | | | |
| Conowingo Electric | 12/13/79 | 4,200 | | | Pending | | | | | | | | | |
| Delmarva Power & Light Co. of Maryland | 5/31/78 | 13,496 | | | 12/28/79 | 153,637 | 1,914 | 9.05 | | | | | | |
| Delmarva Power & Light Co. of Maryland | 8/02/79 | 7,643 | | | Pending | | | | | | | | | |
| Potomac Edison Co. | 4/30/79 | 24,100 | | | 11/21/79 | 376,964 | 18,412 | 9.74 | | | | | | |
| Potomac Electric Power Co. | 1/18/79 | 19,062 | | | 4/18/79 | 928,897 | 14,110 | 9.12 | | | | | | |
| Potomac Electric Power Co. | 9/17/79 | 48,507 | | | Pending | | | | | | | | | |
| Southern Md. Electric Coop. | 12/03/79 | 1,634 | | | Pending | | | | | | | | | |
| MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Boston Edison 19991-19991A | 3/16/79 | 46,159 | | | 10/12/79 | 1,053,803 | 20,037 | 10.28 | 13.62 | 382,740 | 293,405 | 89,335 | 55.15 D<br>13.28 PFD<br>31.58 CE | 8.64 D<br>9.16 PFD<br>13.62 CE |
| Brockton Edison 19841 | 12/15/78 | 2,100 | | | 6/28/79 | 44,002 | -0- | 10.60 | 12.50 | 63,476 | 58,732 | 4,744 | 48.3 D<br>8.4 PFD<br>43.3 CE | 9.17 D<br>9.06 PFD<br>12.50 CE |
| Cambridge Electric 20104 | 6/15/79 | 2,877 | | | 12/27/79 | 34,725 | 1,324 | 9.93 | 12.42 | 37,618 | 36,122 | 1,496 | 46.7 D<br>53.3 CE | 7.08 D<br>12.42 CE |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| MICHIGAN PUBLIC SERVICE COMMISSION 1/ | | | | | | | | | | | | | | |
| Consumers Power Co. | 1/29/79 | 316,012 | 11/27/79 | 29,327 | | | | | | | | | | |
| Detroit Edison Co. | 12/14/78 | | 5/22/79 | 56,932 | | | | | | | | | | |
| Indiana & Michigan Electric Co. | 11/ 4/77 | 21,179 | 5/15/78 | -0- | 4/10/79 | 195,957 | 10,767 | 9.41 | 13.50 | | | | 51.59 2/<br>5.23 3/<br>9.17 PFD<br>28.55 CE<br>5.46 4/ | 8.42 2/<br>8.25 3/<br>8.58 PFD<br>13.50 CE<br>-0- |
| Michigan Power Co. | 8/14/78 | 3,269 | 12/23/78 | 2,166 | 4/10/79 | 20,561 | 2,693 | 9.50 | 13.0 | | | | 37.67 2/<br>48.30 CE<br>14.03 4/ | 8.54 2/<br>13.0 CE<br>-0- |
| Upper Peninsula Power Co. | 5/10/79 | 2,607 | | | 10/16/79 | 54,014 | 1,141 | 8.74 | 13.25 | | | | 44.39 2/<br>6.50 3/<br>11.20 PFD<br>27.72 CE<br>10.19 4/ | 7.94 2/<br>8.95 3/<br>8.40 PFD<br>13.25 CE<br>-0- |
| Wisconsin Electric Power Company | 12/04/78 | 2,663 | | | 4/24/79 | 33,794 | 2,233 | 8.51 | 13.1 | | | | 42.63 2/<br>1.75 3/<br>11.30 PFD<br>35.44 CE<br>8.88 4/ | 6.68 2/<br>8.00 3/<br>7.78 PFD<br>13.10 CE<br>-0- |
| Wisconsin Public Service Corp. | 7/23/79 | 627 | | | 1/16/80 | 11,728 | 523 | 8.67 | 13.5 | | | | 35.66 2/<br>1.24 3/<br>12.04 PFD<br>38.13 CE<br>12.93 4/ | 7.07 2/<br>10.00 3/<br>7.35 PFD<br>13.5 CE<br>-0- |
| 1/ Method of Computing Rate Base: Original Cost (with the exception of Consumers Power Co. and Detroit Edison Co.--Projected).<br>2/ Long term debt.<br>3/ Short term debt. 4/ Deferred taxes. | | | | | | | | | | | | | | |
| MINNESTOA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Home Light & Power Co. | 5/11/79 | 27.4 | 8/01/79 | 27.4 | 11/21/79 | 66.76 | 25.4 | 11.2 | 13.7 | 222 | | | 60.0 D<br>40.0 CE | 9.5 D<br>14.0 CE |
| Interstate Power Co. | 9/27/78 | 4,996 | 12/27/78 | 4,996 | 9/27/79 | | 4,060 | 9.08 | 12.75 | 24,810 | | | 55.01 D<br>14.37 PFD<br>30.62 CE | 7.43 D<br>7.60 PFD<br>12.75 CE |
| Minnesota Power & Light Co. | 4/10/78 | 40,960 | 7/09/78 | 40,960 | 4/09/79 | 505,283 | 25,274 | 9.76 | 13.0 | 230,047 | | | 53.77 D<br>10.58 PFD<br>35.65 CE | 8.06 D<br>7.58 PFD<br>13.0 CE |
| MISSISSIPPI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Mississippi Power Co. | 9/10/79 | 23,930 | | | | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Citizens Electric Corp. | | 483 | | | 4/13/79 | 14,279 | 438 | 5.61 | 11.47 | | | | | |
| Kansas City Power & Light | | 41,700 | | | 3/05/79 | 468,214 | 24,229 | 9.47 | 13.40 | | | | 51.00 D<br>13.10 PFD<br>35.90 CE | 7.20 D<br>7.59 PFD<br>13.40 CE |
| Missouri Edison Co. | | 3,300 | | | 9/25/79 | 38,635 | 1,673 | 9.87 | 10.92 | | | | 50.19 D<br>49.81 CE | 8.333 D<br>10.92 CE |
| Missouri Power & Light Co. | | 5,100 | | | 3/09/79 | | 2,900 | 9.47 | 12.70 | | | | | |
| Missouri Public Service Co. | | 22,100 | | | 7/19/79 | 220,058 | 1,351 | 9.17 | 13.0 | | | | 53.87 D<br>15.78 PFD<br>30.35 CE | 3.86 D<br>3.95 PFD<br>13.00 CE |
| Sho-Me Power Corp. | | 3,284 | | | 3/20/79 | | 3,284 | | | | | | | |
| Sho-Me Power Corp. | | 5,095 | | | 5/15/79 | | 5,095 | | | | | | | |
| St. Joseph Power & Light | | 6,000 | | | 7/13/79 | 67,112 | 4,775 | 9.985 | | | | | 57.61 D<br>12.10 PFD<br>30.29 CE | 4.891 D<br>4.068 PFD<br>-- |
| MONTANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Montana-Dakota Utilities | 3/20/78 | 2,313 | | | 1/04/79 | 30,680 | 99 | 8.84 | 12.32 | 12,844 | 10,132 | 2,712 | 54.33 D<br>12.09 PFD<br>33.58 CE | 7.4 D<br>6.574 PFD<br>12.0 CE |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted ($) | Projected Net Operating Income (000 Omitted ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NEVADA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Nevada Power Co. | 7/28/78 | 17,689 | | | 3/12/79 | 369,487 | 8,606 | 9.81 | 14.0 | 141,065 | 104,818 | 36,247 | 51.0 D<br>16.0 PFD<br>33.0 CE | 3.71 D<br>1.47 PFD<br>4.63 CE |
| Sierra Pacific Power Co. | 4/10/79 | 10,859 | | | 10/01/79 | 241,811 | 10,859 | 10.39 | 14.0 | 105,262 | 85,927 | 19,335 | 51.0 D<br>10.0 PFD<br>39.0 CE | 8.1 D<br>8.4 PFD<br>14.0 CE |
| NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Exeter & Hampton Electric Co. | 4/16/79 | 619 | 11/29/79 | 1/ | | | | | | | | | | |
| N.H. Electric Cooperative, Inc. | 8/17/79 | 534 | | | 1/08/80 | 33,007 | 534 | 5.93 | 11.65 | 18,610 | 16,657 | 1,953 | 70.0 D<br>30.0 CE | 3.48 D<br>11.65 CE |
| Public Service Co. of N.H. | 9/04/79 | 18,456 | 12/28/79 | 11,971<br>Emergency Rates | | | | | | | | | | |
| 1/ Existing rates as temporary rates. | | | | | | | | | | | | | | |
| NEW JERSEY BOARD OF PUBLIC UTILITIES | | | | | | | | | | | | | | |
| Jersey Central Power & Light | October 1976 | 110,000 | 9/01/77<br>Phase I | 20,200 | 1/31/79 | 1,337,489 | 54,000 | 9.62 | 13.25 | 626,833 | 405,010 | | 53.15 D<br>10.62 PFD<br>34.46 CE | 7.78 D<br>9.31 PFD<br>13.25 CE |
| Rockland Electric Co. | 5/01/79 | 4,493 | | | 1/09/80 | 60,254 | 2,500 | 9.75 | 13.50 | 55,992 | 50,117 | 5,875 | 7.5 D<br>7.12 PFD<br>13.50 CE | 3.57 D<br>1.00 PFD<br>5.18 CE |
| NEW MEXICO PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Central Valley Electric Coop. | 5/18/79 | 192 | | | 11/07/79 | 8,728<br>Original Cost | 192 | 5.44 | 7.85 | 6,180 | 5,705 | 475 | 42.4 D<br>57.6 CE | 2.16 D<br>7.85 CE |
| El Paso Electric | 6/30/78 | 6,939 | | | 6/08/79 | 81,423<br>Fair Value | 1,860 | 10.68 | 14.75 | 27,743 | 23,161 | 4,582 | 48.46 D<br>13.20 PFD<br>38.34 CE | 8.12 D<br>8.23 PFD<br>14.75 CE |
| Farmers Electric Coop. | 11/03/78 | 379 | | | 7/23/79 | 7,132<br>Original Cost | 379 | 7.0 | | 3,333 | 2,871 | 462 | | |
| Northern Rio Arolia Electric Coop. | 6/28/79 | 66 | | | 11/07/79 | 2,037<br>Fair Value | 66 | 6.30 | 10.56 | 958 | 830 | 128 | 70.0 D<br>30.0 CF | 3.46 D<br>10.56 CE |
| Otero County Electric Coop. | 10/13/78 | 188 | | | 5/07/79<br>Date Final Order | | -0- | 7.47 | being earned | | | | | |
| Otero County Electric Coop. | 4/05/79 | 343 | | | 11/02/79 | 8,530<br>Original Cost | 224 | 6.00 | | 3,089 | 2,577 | 512 | | |
| Rio Grade Electric Coop. | 8/21/79 | 39 | | | 11/07/79 | 549<br>Original Cost | 39 | 3.38 | 23.5 | 262 | 243 | 19 | 93.59 D<br>6.41 CE | 2.00 D<br>23.50 CE |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Central Hudson Gas & Electric Corp. | 11/27/78 | 22,992 | | | 11/03/79 | 338,597 | 22,040 | 9.93 | 14.0 | 204,729 | 171,106 | 33,623 | 49.7 D<br>13.3 PFD<br>36.4 CE<br>.6 1/ | 7.78 D<br>6.86 PFD<br>14.00 CE<br>9.00 1/ |
| Consolidated Edison Co. of N.Y., Inc. | 5/12/78 | 228,000 | | | 4/29/79 | 5,202,377 | 158,049 | 8.76 | 12.14 | 2,660,378 | 2,204,640 | 455,738 | 44.5 D<br>11.9 PFD<br>42.7 CE<br>.9 1/ | 6.26 D<br>5.96 PFD<br>12.14 CE<br>8.00 1/ |
| Consolidated Edison Co. of N.Y., Inc. | 7/11/79 | 12,344<br>2/ | | | 7/21/79 | 5,202,377 | 12,344<br>2/ | 8.76 | 12.14 | 2,660,378 | 2,204,640 | 455,738 | 44.5 D<br>11.9 PFD<br>42.7 CE<br>.9 1/ | 6.26 D<br>5.96 PFD<br>12.14 CE<br>8.00 1/ |
| Fishers Island Elec. Corp. | 12/22/78 | 16.6 3/ | | | 3/01/79 | | 16.1 | | | | | | | |
| Fishers Island Elec. Corp. | 12/17/79 | 53.4 | | | Pending as of 12/31/79. | | | | | | | | | |
| Granby & Hemenway Gas Co. | 6/22/79 | 4 | | | 10/01/79 | 13.6 | 4 | 7.50 | 6.0 | 39.5 | 38.5 | 1.0 | 100.0 CE | 6.00 CE |
| Lawrence Park Heat, Light & Power Co. | 2/15/79 | 42.7 | | | 6/01/79 | 861.0 | 42.7 | 9.83 | (3.77) | 343.6 | 259.0 | 84.6 | 79.6 D<br>11.4 4/<br>9.0 CE | 14.23 D<br>6.00 4/<br>(3.77) CE |
| Long Island Lighting Co. | 5/31/78 | 147,100 | | | 5/04/79 | 1,558,728 | 26,015 | 10.26 | 13.7 | 813,492 | 653,526 | 159,966 | 46.0 D<br>14.0 PFD<br>39.7 CE<br>.3 1/ | 7.88 D<br>8.35 PFD<br>13.70 CE<br>9.00 1/ |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NEW YORK PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | | |
| Long Island Lighting Co. | 9/21/79 | 25,600 | | | Pending as of 12/31/79. | | | | | | | | | |
| New York State Electric & Gas Corporation | 5/24/78 | 75,445 | | | 5/01/79 | 1,143,635 | 28,025 | 10.09 | 13.8 | 524,320 | 408,931 | 115,389 | 49.0 D<br>12.7 PFD<br>38.2 CE<br>0.1 1/ | 7.81 D<br>7.7 PFD<br>13.2 CE<br>9.0 1/ |
| New York State Electric & Gas Corporation | 8/28/79 | 85,888 | | | Pending as of 12/31/79. | | | | | | | | | |
| Niagara Mohawk Power Corp. | 8/25/78 | 5/ | | | 3/14/79 | 1,996,557 | 6/ | 9.44 | 13.30 | 1,142,885 | 954,411 | 188,474 | 48.1 D<br>14.3 PFD<br>37.4 CE<br>0.2 1/ | 7.15 D<br>7.08 PFD<br>13.30 CE<br>8.00 1/ |
| Niagara Mohawk Power Corp. | 4/06/79 | 154,882 General<br>4,778 Street Lighting | | | Pending as of 12/31/79. | | | | | | | | | |
| Orange & Rockland Utilities, Inc. | 5/01/79 | 11,628 | | | Pending as of 12/31/79. | | | | | | | | | |
| Pennsylvania Electric Co. | 11/01/79 | 433 | | | Pending as of 12/31/79. | | | | | | | | | |
| Rochester Gas & Electric Corporation | 5/26/78 | 7/ | | | 5/01/79 | 501,291 | 8/ | 9.89 | 13.40 | 212,029 | 162,451 | 49,578 | 47.7 D<br>12.1 PFD<br>40.1 CE<br>0.1 1/ | 7.65 D<br>7.12 PFD<br>13.40 CE<br>8.10 1/ |
| Rochester Gas & Electric Corporation | 7/25/79 | 9/ | | | 8/01/79 | 421,787 | As Requested | | 12.80 | 198,137 | 163,880 | | 48.6 D<br>13.4 PFD<br>37.9 CE<br>0.1 1/ | 7.22 D<br>7.04 PFD<br>12.80 CE<br>8.00 1/ |
| Rochester Gas & Electric Corporation | 8/24/79 | 39,478 General<br>1,311 Street Lighting | | | Pending as of 12/31/79. | | | | | | | | | |

1/ Customer deposits. 2/ Additional to reflect property tax increase. 3/ To recover direct increase in cost of purchases. 4/ Bonds. 5/ General increase - $34,740; street lighting - $1,733. 6/ General increase - $15,565.9, street lighting - $716.7. 7/ General increase - $33,801.6 and street lighting - $1,196.9. 8/ Total - $23,098.9, general increase - $17,104.2 and street lighting - $594.7. 9/ Additional increase to recover wage increase over a twelve month period through surcharges: general increase - $655.2 and street lighting - $24.3.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NORTH CAROLINA UTILITIES COMMISSION 1/ | | | | | | | | | | | | | | |
| Carolina Power & Light Co. | 8/31/79 | 55,868 | | | Pending | | | | | | | | | |
| Duke Power Co. | 3/09/79 | 35,511 | | | 10/08/79 | 1,803,680 | 28,314 | 9.15<br>2/ | | 915,767 | 750,359 | 165,408 | 43.28 D<br>12.62 PFD<br>44.10 CE | 8.05 D<br>7.98 PFD<br>10.56 CE |

1/ Method of Computing Rate Base: Fair Value (for Duke Power Company).
2/ 10.15% on Original Cost.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Otter Tail Power Co. | 8/16/79 | 16,088 | | | | | | | | | | | | |
| *** | | | | | | | | | | | | | | |
| OHIO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cleveland Electric Illuminating Co. | 7/24/78 | 65,842 | | | 5/02/79 | 1,387,985 | 60,482 | 10.09 | 14.11 | 718,331 | 578,283 | 140,048 | | |
| Cleveland Electric Illuminating Co. | 9/17/79 | 79,795 | | | | | | | | | | | | |
| Columbus & S. Ohio Electric Co. | 3/01/79 | 125,865 | 2/28/79 | 32,800 | 12/12/79 | 1,005,581 | 72,020 | 10.79 | 14.27 | 438,370 | 329,868 | 108,502 | | |
| Dayton Power & Light Co. | 6/02/78 | 59,143 | 6/09/78 | 15,000 | 3/09/79 | 602,617 | 40,456 | 10.07 | 13.33 | 374,371 | 313,687 | 60,684 | | |
| Dayton Power & Light Co. | 1/19/79 | 1,765 | | | 8/08/79 | 6,855 | 1,765 | 9.06 | | 8,770 | 8,149 | 621 | | |
| Dayton Power & Light Co. | 10/19/79 | 100,188<br>70,000<br>Interim | | | | | | | | | | | | |
| Monongahela Power Co. | 8/04/78 | 3,113 | | | 7/18/79 | 37,758 | 1,642 | 9.61 | 13.94 | 16,760 | 13,132 | 3,628 | | |
| Ohio Edison Company | 4/02/79 | 87,190 | | | | | | | | | | | | |
| Ohio Edison Company | 7/19/79 | 7,661 | | | | | | | | | | | | |
| Ohio Power Company | 6/30/78 | 122,869 | | | 4/16/79 | 1,718,428 | 62,807 | 10.17 | 13.77 | 698,691 | 523,927 | 174,764 | | |
| Toledo Edison Co. | 5/22/79 | 38,318 | | | | | | | | | | | | |

*** For Nova Scotia rate cases see end of Electric Utility Rate Cases Section.

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| ****<br>OREGON PUBLIC UTILITY COMMISSIONER (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| CP National Corporation | 9/24/79 | 1,075 | | | Pending | | | | | | | | | |
| Pacific Power & Light | 3/16/79 | 36,500 | | | 11/01/79 | 1,001,465 | 26,888 | 10.06 | 14.80 | 327,409 | 232,849 | 94,560 | 52.4 D<br>9.7 PFD<br>34.9 CE<br>3.0 1/ | 7.74 D<br>8.55 PFD<br>14.80 CE<br>-0- |
| Portland General Electric Co. | 4/06/78 | 69,913 | | | 1/25/79 | 900,179 | 34,496 | 10.53 | 13.84 | 336,982 | 231,768 | 105,214 | 52.63 D<br>9.58 PFD<br>35.73 CE<br>2.06 1/ | 8.93 D<br>9.23 PFD<br>13.84 CE<br>-0- |
| Portland General Electric Co. | 6/01/79 | 78,000 | | | 1/14/80 | 1,058,383 | 62,300 | 11.15 | 15.17 | 420,512 | 302,502 | 118,010 | 52.66 D<br>8.58 PFD<br>36.65 CE<br>2.11 1/ | 9.14 D<br>9.07 PFD<br>15.17 CE<br>-0- |
| Portland General Electric Co. | 11/15/79 | | | | 11/15/79 | (Power Cost Adjustment Only--4 mills per Kwh allowed until 1/01/80) | | | | | | | | |
| Portland General Electric Co. | 11/30/79 | | | | 1/01/80 | (Power Cost Adjustment Only--3.7 mills per Kwh allowed for first quarter of 1980) | | | | | | | | |
| 1/ Deferred income taxes. | | | | | | | | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | | |
| Duquesne Light Co. | 1/26/79 | 106,615 | | | 7/12/79 | 1,900,000<br>1/ | 58,000 | 8.8 | 13.1 | 552,844 | 430,800 | 122,044 | 50.5 D<br>16.3 PFD<br>33.2 CE | 7.58 D<br>7.84 PFD<br>12.0 CE |
| Metropolitan Edison Co. | 6/30/78 | 86,802 | | | 3/22/79 | 1,160,000<br>1/ | 52,597 | 8.5 | 13.37 | 336,339 | 237,391 | 98,948 | 52.0 D<br>13.0 PFD<br>35.0 CE | 7.73 D<br>7.40 PFD<br>10.15 CE |
| Metropolitan Edison Co. | 4/19/79 | 2/ | | | 6/15/79 | 690,686 | (49,178) | | | 287,161 | 212,422 | 74,739 | | |
| Pennsylvania Electric Co. | 4/28/78 | 87,064 | | | 1/11/79 | 1,350,000<br>1/ | 64,272 | 8.5 | 13.12 | 456,723 | 276,397 | 180,326 | 54.0 D<br>13.0 PFD<br>33.0 CE | 7.52 D<br>8.89 PFD<br>10.03 CE |
| Pennsylvania Electric Co. | 4/25/79 | 2/ | | | 6/15/79 | 1,035,187 | (25,000) | | | 431,723 | 328,846 | 102,877 | | |
| Philadelphia Electric Co. | 7/27/79 | 123,731 | | | | | | | | | | | | |
| UGI Corporation | 8/03/79 | 3,203 | | | 12/14/79 | 47,268<br>3/ | 850 | 10.31 | 13.01 | 28,986 | 24,383 | 4,603 | 45.7 D<br>14.5 PFD<br>39.8 CE | 8.82 D<br>8.84 PFD<br>13.01 CE |
| W. Penn Power Co. | 10/27/78 | 73,759 | | | 8/27/79 | 1,065,700<br>1/ | 21,724 | 8.1 | 14.0 | 384,597 | 297,423 | 87,174 | 53.0 D<br>13.0 PFD<br>34.0 CE | 7.12 D<br>6.57 PFD<br>10.47 CE |
| 1/ Method of computing rate base: Fair Value.<br>2/ Three Mile Island incident rate adjustment.<br>3/ Method of computing rate base: Original Cost. | | | | | | | | | | | | | | |
| QUEBEC ELECTRICITY AND GAS BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Pembroke Electric Light Co., Ltd. 955 R-2744-79 | 4/06/79 | 61 | | | 10/05/79 | 1,053 | 61 | 25.00 | | 1,087 | 884 | 203 | | |
| Station Clova Limitee 956 R-2748-79 | 5/18/79 | 8 | | | 11/14/79 | 44 | 9 | | | 17 | 34 | (17) | | |
| *****<br>SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Duke Power Co. | 4/17/78 | 34,545 | 8/21/78 | 34,545<br>1/ | 5/17/79 | 87,116 | 18,206 | 9.56 | 12.75 | 356,963 | 282,820 | 74,143 | 50.8 D<br>14.78 PFD<br>34.37 CE | 7.89 D<br>7.91 PFD<br>12.75 CE |
| South Carolina Electric & Gas Co. | 6/01/79 | 38,981 | 6/27/79 | 38,981<br>1/ | Pending | | | | | | | | | |
| 1/ Entire increase in effect under bond. | | | | | | | | | | | | | | |
| SOUTH DAKOTA PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Black Hills Power & Light Co. | 8/02/78 | 4,977 | | | 2/02/79 | 67,272 | 2,478 | 9.65 | 12.25 | 26,554<br>1/ | 21,412<br>1/ | 5,142<br>1/ | .4570 D<br>.1714 PFD<br>.3716 CE | 7.69 D<br>9.22 PFD<br>12.25 CE |

**** For Oklahoma rate cases see end of Electric Utility Rate Cases.

*****For Rhode Island rate cases see end of Electric Utility Rate Cases.

### 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| SOUTH DAKOTA PUBLIC UTILITIES COMMISSION (Continued) | | | | | | | | | | | | | | |
| Northwestern Public Service Co. | 3/08/79 | 9,741 | | | 8/01/79 | 134,532 | 5,920 | 9.86 | 12.6 | 34,908<br>1/ | 24,836<br>1/ | 10,072<br>1/ | .5672 D<br>.1169 PFD<br>.3159 CE | 8.61 D<br>8.53 PFD<br>12.6 CE |
| Otter Tail Power Co. | 12/20/78 | 990 | | | 12/05/79 | 16,114 | (90) | 9.52 | 12.5 | 6,707<br>1/ | 5,333<br>1/ | 1,374<br>1/ | .4857 D<br>.1616 PFT<br>.3257 CE | 7.826 D<br>8.123 PFD<br>12.5 CE |
| 1/ Pro forma before rate increase. | | | | | | | | | | | | | | |
| TEXAS PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | | |
| Cap Rock Electric Cooperative, Inc. | 1/16/78 | 700 | | | 1/30/79 | 13,899.5<br>1/ | 700 | 8.94 | | | 7,710 | | | |
| Coleman County Electric Cooperative, Inc. | 12/05/78 | 167 | | | 3/28/79 | 4,659.2<br>1/ | 133.5 | 4.47 | | | 1,055.3 | | | |
| Dallas Power & Light Co. | 4/27/79 | 56,402.4 | | | 10/16/79 | 845,593.8<br>2/ | 36,690.6 | 10.02 | 14.5 | | 422,572.7 | | 41.88 D<br>.06 3/<br>11.82 PFD<br>4.63 4/<br>41.61 CE | 6.63 D<br>3.592 3/<br>6.275 PFD<br>10.02 4/<br>14.5 CE |
| El Paso Electric Co. | 6/06/79 | 26.9 | | | 9/13/79 | 243,418.7<br>1/ | 11.9 | 10.94 | 15.5 | | 137,428.4 | | | |
| Greenbelt Electric Cooperative, Inc. | 3/30/79 | 213.6 | | | 6/25/79 | 4,747.3<br>1/ | 212.6 | 4.76 | | | 1,543.8 | | | |
| Houston Lighting & Power Co. | 7/02/79 | 79,400 | | | 1/09/80 | | 81,955 | 10.55 | 15.0 | | 1,802,512 | | 47.97 D<br>.08 3/<br>8.63 PFD<br>5.31 4/<br>38.01 CE | 7.46 D<br>9.00 3/<br>8.23 PFD<br>10.55 4/<br>15.0 CE |
| Kimble Electric Cooperative, Inc. | 1/16/79 | 79.5 | | | 5/15/79 | 3,723<br>1/ | 62.5 | 3.18 | | | 1,211 | | | |
| Lamar County Electric Cooperative, Inc. | 2/27/79 | 261.7 | | | 8/28/79 | 4,628.9<br>5/ | 261.7 | 7.97 | | | 2,758.8 | | | |
| Lower Colorado River Authority | 3/21/79 | 17,400 | | | 8/22/79 | 388,379<br>5/ | 10,008 | 5.04 | | | 127,280 | | | |
| Rita Blanca Electric Cooperative, Inc. | 4/04/79 | 139.3 | | | 8/21/79 | 5,909.6<br>5/ | 116.5 | 5.74 | | | 2,430.3 | | | |
| Swisher Electric Cooperative, Inc. | 12/20/78 | 100.8 | | | 3/08/79 | 8,746.9<br>1/ | 100.8 | 5.24 | 5.24 | | 4,809 | | | |
| Texas Electric Service Co. | 5/17/79 | 87,997.1 | | | 10/16/79 | 1,287,719.2<br>5/ | 37,909.1 | 10.516 | | | 536,897 | | 42.62 D<br>.12 3/<br>12.07 PFD<br>5.18 4/<br>40.01 CE | 3.236 D<br>.005 3/<br>.929 PFD<br>.545 4/<br>5.801 CE |
| 1/ Method of Computing Rate Base: Original Cost.<br>2/ Method of Computing Rate Base: Original Cost/Fair Value.<br>3/ Notes payable.<br>4/ Deferred tax credit.<br>5/ Method of Computing Rate Base: Fair Value. | | | | | | | | | | | | | | |
| UTAH PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| CP National Corp. (Case No. 79-023-04) 1/ | 6/05/79 | 1,278 | | | 9/11/79 | | 1,285 | | | 2/ | | | | |
| CP National Corp. (Case No. 79-023-07) 3/ | 7/23/79 | 762 | | | 1/11/80 | 13,318 | 288 | 9.88 | 14.00 | 7,860 | 6,692 | 1,168 | 54.16 D<br>11.09 PFD<br>32.59 CE<br>2.16 4/ | 7.91 D<br>9.39 PFD<br>14.00 CE<br>-0- |
| CP National Corp. (Case No. 79-023-08) 5/ | 8/08/79 | 135 | | | 9/11/79 | | 135 | | | 6/ | | | | |
| Strawberry Water Users Assoc. (Case No. 79-034-01) 7/ | 3/20/79 | 135 | | | 5/18/79 | 1,985 | 76 | 9.95 | 9.45 | 1,867 | 1,669 | 198 | 5.0 D<br>95.0 CE | .50 D<br>9.45 CE |
| Strawberry Water Users Assoc. (Case No. 79-034-02) 8/ | 4/23/79 | 59 (UP&L increase)<br>21 (Refund) | | | 1/04/80 | | 38 9/ | | | | | | | |

1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| UTAH PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | | |
| Strawberry Water Users Assoc. (Case No. 79-034-03) 10/ | 8/17/79 | 95 | 9/24/79 | 82 | 12/13/79 | | 93 | | | | | | | |
| Utah Power & Light Co. (Case No. 78-035-21) 11/ | 11/22/78 | 1,292 | | | 8/21/79 | | 1,292 | 10.31 | 14.00 | | | | 47.0 D<br>10.0 PFD<br>39.0 CE<br>4.0 12/ | 7.67 D<br>8.66 PFD<br>14.00 CE<br>9.50 12/ |
| Utah Power & Light Co. (Case No. 78-035-21) 13/ | 11/22/78 | 21,740 | | | 7/20/79 | 1,132,976 | 11,940 | 10.25 Earned | 14.00 Actual | 304,475 | 194,662 | 109,813 | 47.0 D<br>10.0 PFD<br>39.0 CE<br>4.0 12/ | 7.67 D<br>8.66 PFD<br>14.00 CE<br>8.00 12/ |
| Utah Power & Light Co. 14/ | 5/02/79 | (5,936) | | | 12/21/79 | | (5,936) | 10.31 Actual | 14.00 Actual | | | | | |
| Utah Power & Light Co. (Case No. 79-035-07) 10/ | 8/10/79 | 4,164 | | | 8/21/79 | | 4,164 | 10.31 Actual | 14.00 Actual | | | | 47.0 D<br>10.0 PFD<br>39.0 CE<br>4.0 12/ | 7.67 D<br>8.66 PFD<br>14.00 CE<br>9.50 12/ |
| Utah Power & Light Co. (Case No. 79-035-15) 15/ | 12/04/79 | 19,839 | | | 12/21/79 | | 19,839 | 10.31 | 14.00 | | | | 47.0 D<br>10.0 PFD<br>39.0 CE<br>4.0 12/ | 7.67 D<br>8.66 PFD<br>14.00 CE<br>9.50 12/ |
| VERMONT PUBLIC SERVICE BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Green Mountain Power Corp. | 9/13/78 | 828 | | | Pending | | | | | | | | | |
| Hardwick Electric Department | 3/14/79 | 234 | | | Pending | | | | | | | | | |
| Morrisville Water & Light | 11/30/78 | 439 | | | Pending | | | | | | | | | |
| Vermont Electric Cooperative | 11/28/79 | 339 | | | Pending | | | | | | | | | |
| Washington Electric Cooperative, Inc. | 9/19/78 | 544 | | | 4/20/79 | | 407 | | | | | | | |
| VIRGIN ISLANDS PUBLIC SERVICES COMMISSION | | | | | | | | | | | | | | |
| Virgin Islands Water & Power Authority | 1/23/79 | 4,694 | | | 7/02/79 | | 2,466 | | | 31,500 | 29,706 | 1,794 | | |
| VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Appalachian Power Co. | 5/01/78 | 27,648 Interim<br>51,202 Permanent | 6/07/78 | 16,000 | 4/13/79 | 702,047 | 27,370 | 9.7 | 13.0-14.0 | 313,998 | 251,432 | | 58.12 D<br>6.51 PFD<br>30.62 CE<br>2.60 1/<br>2.15 2/ | 7.97 D<br>8.35 PFD<br>13-14 CE<br>9.00 1/<br>-0- |
| Appalachian Power Co. | 11/09/79 | 16,202 | | | | | | | | | | | | |
| B-A-R-C Electric Cooperative | 9/12/78 | 175 | | | 3/22/79 | 5,743 | 175 | 5.71 | 8.52 | 3,441 | 3,108 | 333 | 78.19 D<br>21.36 CE | 3.57 D<br>8.25 CE |
| B-A-R-C Electric Cooperative | 11/16/79 | 302 | | | | | | | | | | | | |
| Community Electric Coop. | 9/05/78 | 149 | | | 2/05/79 | 4,894 | 149 | 5.13 | 9.30 | 3,706 | 3,450 | 256 | 60.0 D<br>40.0 CE | 2.18 D<br>3.72 CE |
| Craig-Botetourt Electric Coop. | 3/30/79 | 164 | | | 7/17/79 | 4,118 | 164 | 7.18 | 11.81 | 1,878 | 1,582 | 296 | 93.09 D<br>.05 3/<br>6.37 CE<br>.49 4/ | 3.49 D<br>6.00 PFD<br>11.81 CE<br>-- |
| Mecklenburg Electric Coop. | 10/24/78 | 874 | | | 3/30/79 | 13,824 | 814 | 7.31 | 12.48 | 9,719 | 8,674 | 1,045 | 79.27 D<br>19.69 CE<br>1.04 4/ | 3.38 D<br>12.48 CE<br>-0- |
| Northern Neck Electric Coop., Inc. | 11/26/79 | 173 | | | | | | | | | | | | |
| Old Dominion Power Co. | 2/28/79 | 4,860 | 7/26/79 | 4,100 | | | | | | | | | | |

1/ Fuel pass-through from UP&L to Iron and Washington counties. 2/ Additional revenue needed is $1,296. 3/ General rate increase for Iron and Washington counties. 4/ Deferred taxes. 5/ Fuel pass-through for Kane County, Utah. 6/ Additional revenue needed is $135. 7/ General rate increase for Payson, Utah. 8/ Fuel pass-through, UP&L; also refund on Energy Balancing Account. 9/ Surcharge to be recovered in full the amount of $37,665.37 from all 1,700 customers on a uniform cents per Kwh basis by April 30, 1980. When recovered the tariff appears without surcharge. 10/ Fuel pass-through. 11/ Rehearing as to cost of short-term borrowing from 8.00 to 9.50%. 12/ Short-term debt. 13/ General rate increase. 14/ Case Nos. 78-035-14, 78-035-21, 79-035-03 and 7167. Refund order relating to over-collection of CWIP (three cases). 15/ Energy Balancing Account #1.

Note: VIWAPA, a Virgin Islands government instrumentality, must earn 1.5 times its debt service requirements. Rate base and rate of return are not factors in this computation.

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| VIRGINIA STATE CORPORATION COMMISSION (Continued) | | | | | | | | | | | | | | |
| Potomac Edison Co. | 10/17/78 | 6,222 | 12/22/78 Interim Denied | | 2/27/79 | 108,675 | 3,351 | 9.5 | 13.3-13.6 | 43,959 | 33,131 | 10,828 | 51.9 D<br>8.85 PFD<br>35.6 CE<br>4.0 2/ | 7.80 D<br>7.59 PFD<br>13.3-<br>13.6 CE |
| Powell Valley Elec. Coop. | 3/01/79 | 209 | | | 6/21/79 | 5,466 | 209 | 4.77 | 12.00 | 2,594 | 2,333 | 261 | 86.17 D<br>13.52 CE<br>.31 4/ | 4.15 D<br>12.00 CE<br>-0- |
| Prince William Elec. Coop. | 7/05/79 | 1,794 | | | | | | | | | | | | |
| Shenandoah Elec. Coop. | 1/14/78 | 477 | | | 2/28/79 | 19,450 | 477 | 7.6 | 12.96 | 11,335 | 9,856 | 1,479 | 73.43 D<br>26.18 CE<br>.39 4/ | 4.15 D<br>12.96 CE<br>-- |
| Tri-County Elec. Coop. | 8/01/79 | 185 | | | 10/31/79 | 5,066 | 204 | 6.8 | 9.99 | 3,278 | 2,933 | 345 | 60.00 D<br>40.00 CE | 5.73 D<br>9.99 CE |
| Virginia Electric Coop. | 5/07/79 | 840 | | | 9/19/79 | 23,085 | 848 | 8.3 | 13.33 | 19,663 | 17,747 | | 71.79 D<br>27.48 PFD<br>.73 CE | 4.2 D<br>13.33 PFD<br>-0- |
| Virginia Electric Power Co. | 4/28/78 | 246,000 | 5/05/78 | 82,000 | 3/19/79 | | 148,122 | 9.6 | 13.5 | | | | 50.00 D<br>.23 3/<br>13.50 PFD<br>33.30 CE<br>2.97 2/ | 7.94 D<br>--<br>8.51 PFD<br>13.5 CE<br>-- |
| Virginia Electric Power Co. | 5/22/79 | 64,100 | | | | | | | | | | | | |

1/ Short term. 2/ Cost free capital. 3/ Customers' deposit 4/ Deferred interest.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Pacific Power & Light Co. | 9/08/78 | 5,681 | | | 6/04/79 | 246,750 | 3,066 | 9.46 | 13.00 | | | 23,343 1/ | 53.43 D<br>10.79 PFD<br>34.75 CE<br>1.03 2/ | 7.54 D<br>8.45 PFD<br>13.00 CE<br>-- |
| Puget Sound Power & Light Co. | 4/28/78 | 46,500 | | | 3/08/79 | 750,985 | 32,600 | 9.8 | 13.00 | | | | 51.9 D<br>12.8 PFD<br>35.3 CE | 4.07 D<br>1.13 PFD<br>4.59 CE |

1/ Based on a historical test year. 2/ Deferred Federal income tax associated with deferred amortization.

WEST VIRGINIA PUBLIC SERVICE COMMISSION

### ELECTRIC RATE CASES-FINAL ORDERS-1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 79-274 | Black Diamond Power Company | 7/26/79 | 12/01/79 | 12/20/79 | 42,796 | 30,079 | 9.5 |
| 79-173 | Craig-Botetourt Electric Cooperative | 5/28/79 | 11/28/79 | 11/28/79 | 19,004 | 19,004 | 7.24 |
| 9573 | Elk Power Company | 11/15/78 | 12/16/78 | 8/22/79 | 29,690 | 29,690 | 10.191 |
| 9665 | Monongahela Power Company | 12/19/78 | 6/01/79 | 12/21/79 | 44,800,000 | 5,000,000 | 9.6 |
| 9479 | Shenandoah Valley Electric Cooperative | 8/30/78 | 10/01/78 | 7/19/79 | 84,830 | 84,830 | 7.75 |
| 79-063 | Union Power Company | 2/28/79 | 3/28/79 | 3/23/79 | | | |
| 9536 | War Light and Power Company | 10/19/78 | 11/16/78 | 8/22/79 | 13,923 | 13,923 | 11.124 |

## 1979 ELECTRIC UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| **WISCONSIN PUBLIC SERVICE COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lake Superior District Power Co. | 8/08/78 | 2,148 | | | 6/04/79 | 31,589 | 1,508 | 10.29 | 13.0 | 22,296 | 19,036 | 3,250 | 50.33 D<br>6.54 PFD<br>43.13 CE | 7.54 D<br>5.00 PFD<br>13.00 CE |
| Northwestern Wisconsin Electric Co. | 9/08/78 | | | | 12/11/79 | 4,987 | 338 | 11.47 | 14.00 | 4,398 | 3,826 | 572 | 59.06 D<br>40.94 CE | 9.70 D<br>14.00 CE |
| Superior Water, Light & Power Co. | 6/16/78 | 360 | | | 9/28/79 | 8,510 | 457 | 9.35 | 13.25 | 17,380 | 16,584 | 796 | 53.00 D<br>9.90 PFD<br>37.10 CE | 5.88 D<br>7.96 PFD<br>13.25 CE |
| Wisconsin Electric Power Co. | 4/21/78 | 63,565 | | | 3/06/79 | 971,481 | 50,661 | 11.09 | 13.25 | 661,565 | 550,051 | 111,514 | 50.00 D<br>10.00 PFD<br>40.00 CE | 7.48 D<br>7.97 PFD<br>13.25 CE |
| **WYOMING PUBLIC SERVICE COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lincoln Service Corp. | 8/23/79 | 617 | | | 12/13/79 | 5,247 | 550 | 8.76 | 8.26 | 3,632 | 3,082 | 550 | 56.0 D<br>44.0 CE | 5.126 D<br>3.634 CE |
| **NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Municipality of the District of Clare | 10/05/79 | 37.9 | | | 11/01/79 | 950.1 | 37.9 | 2.78 | | 1,688.2 | 1,661.8 | 26.4 | | |
| Nova Scotia Power Corporation | 4/03/79 | 36.2 | | | 6/18/79 | 1,054.8 | 29.9 | 7.8 | | 328.4 | 246.2 | 82.2 | | |
| Town of Berwick | 9/20/79 | 55.7 | | | 1/01/80 | 491.2 | 55.7 | 9.71 | | 647.6 | 599.9 | 47.7 | | |
| **OKLAHOMA CORPORATION COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Caddo Electric Coop. | 12/22/78 | 419 | | | 8/06/79 | 14,353 | 314 | 5.51 | 14.99 | | | | 78.08 D<br>21.92 CE | 2.86 D<br>4.01 CE |
| Choctaw Electric Coop., Inc. | 7/28/78 | 479 | | | 3/15/79 | 12,731 | 39 | 4.8 | 12.8 | | | | | |
| Empire District Electric Co. | 6/22/78 | 408 | | | 4/16/79 | 5,422 | 239 | 10.0 | 14.00 | 75,885 | | 662 | | |
| Greenbelt Electric Coop. | 8/07/79 | 14 | | | 10/09/79 | 4,747 | 14 | 4.76 | 12.9 | | | | | |
| Kiamichi Electric Coop., Inc. | 8/22/78 | 261 | | | 2/16/79 | 8,475 | 182 | 5.40 | 14.9 | | | | | |
| Kiwash Electric Coop., Inc. | 8/04/78 | 131 | | | 2/16/79 | 4,319 | 114 | 4.77 | 6.9 | | | | | |
| Northfork Electric Coop. | 2/08/79 | 156 | | | 8/21/79 | 4,518 | 144 | 4.87 | 10.75 | | | | 67.17 D<br>32.83 CE | 2.00 D<br>10.75 CE |
| Oklahoma Gas & Electric Co. | 12/15/78 | 93,356 | | | | 1,024,727 | 30,847 | 9.61 | 14.00 | | | | 51.0 D<br>13.0 PFD<br>36.0 CE | 7.02 D<br>7.51 PFD<br>14.00 CE |
| Red River Electric | 6/15/79 | 335 | | | 12/27/79 | 6,657 | 208 | 6.36 | 9.00 | | | | 55.81 D<br>44.19 CE | 4.26 D<br>2.47 CE |
| Tri-County Electric Coop. | 4/20/79 | 203 | | | 8/21/79 | 11,851 | 203 | 5.48 | 10.42 | | | | | |
| **RHODE ISLAND PUBLIC UTILITIES COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Blackstone Valley Electric Company | 8/15/79 | 4.03 M<br>or 7.8% | | | | | | | | | | | | |
| Newport Electric Corporation | 2/09/79 | 1,203<br>or 7.46% | | | 10/12/79 | 17,097 | 902 | 9.01 | | | | | | |

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| ALABAMA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alabama Gas Corp. | 11/30/78 | 12,082 | | | 6/19/79 | 107,383 | 7,850 | 8.09 | 12.97 | | | | 45.5 D<br>5.0 PFD<br>49.5 CE | --<br>4.70 PFD<br>12.97 CE |
| Mobile Gas Service Corp. | 9/17/79 | 4,167 | | | No final action as of 1/15/80. | | | | | | | | | |
| ALBERTA PUBLIC UTILITIES BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Border Utilities Ltd. | 1/05/79 | 5 | 3/01/79 | 5 | | | | | | | | | | |
| Canadian Western Natural Company, Ltd. | | 4,032 | 8/28/78 | 4,032 | 5/15/79 | 128,524 | 3,537 | 9.3 | 14.0 | 186,862 | 174,937 | 11,925 | 36.46 D<br>19.77 PFD<br>12.22 1/<br>31.55 E | 9.30 D<br>7.47 PFD<br>--<br>14.00 E |
| Canadian Western Power & Fuel Co., Ltd. | 9/26/78 | 113 | | | 10/05/79 | 633 | 25 | 7.2 | 12.8 | 192 | 147 | 45 | 44.12 1/<br>55.88 E | --<br>12.81 E |
| County of Athabasca (No. 12) | 9/27/79 | 27 | 1/01/80 | 27 | | | | | | | | | | |
| County of Smoky Lake (No. 13) | 7/18/78 | 51 | 8/01/78  2/ | 51 | 12/01/79 2/ | 3,077 | 51 | 5.31 | 10.0 | 306 | 276 | 30 | 40.26 D<br>46.90 1/<br>12.84 E | 10.00 D<br>--<br>10.00 E |
| County of Thorhild (No. 7 - Gas Utility) | 5/29/78 | 139 | 10/01/78 | 89 | 3/30/79 | 2,964 | 141 | 4.37 | N/A | 378 | 249 | 129 | 45.64 D<br>54.36 1/ | 9.57 D<br>-- |
| Dunmore Natural Gas | 1/03/79 | 7 | | | 6/01/79 | 23,800 | 6 | 7.77 | 14.50 | 16,723 | 14,871 | 1,852 | 31.45 D<br>44.29 1/<br>24.26 E | 13.50 D<br>--<br>14.50 E |
| Elk Point Gas, Ltd. | 8/17/79 | 27 | | | 1/01/80 | 353,100 | 24 | 12.77 | 14.00 | 161,200 | 116,100 | 45,100 | 5.94 D<br>7.85 PFD<br>4.10 1/<br>82.11 E | 13.50 D<br>6.00 PFD<br>--<br>14.00 E |
| Lloydminster Gas Company, Ltd. | 8/01/79 | 134 | 8/31/79 | 128 | 11/30/79 | 2,608 | 128 | 11.42 | 14.46 | 3,164 | 2,866 | 298 | 56.53 D<br>5.13 1/<br>38.34 E | 10.40 D<br>--<br>14.46 E |
| Municipality of Crowsnest Pass | 8/21/78 | 37 | | | 11/22/79 | 243 | 31 | 10.83 | 13.27 | 543 | 517 | 26 | 34.96 D<br>65.04 E | 6.28 D<br>13.27 E |
| Northwestern Utilities Ltd. | 8/20/75 | 2,785 | 10/01/75 | 2,785 | 9/07/79 3/ | 1,029 | | | | | | | | |
| Plains-Western Gas & Electric Co. Ltd. | 10/30/78 4/ | 1,057 5/<br>801 6/ | 12/01/78 | 980 5/ | 5/23/79 | 20,379 7/ | 980 5/<br>801 6/ | 10.85 7/ | 14.33 7/ | 14,158 7/ | 11,924 7/ | 2,234 7/ | 54.93 D<br>5.00 PFD<br>.50 1/<br>39.57 E | 8.84 D<br>6.48 PFD<br>--<br>14.33 E |
| Town of Valleyview | 4/12/79 | 107 | | | 10/10/79 | 292 | 105 | 9.24 | 12.51 | 337 | 310 | 27 | 33.39 D<br>8.64 1/<br>57.97 E | 5.95 D<br>--<br>12.51 E |
| Valley Gas Co. Limited | 12/20/78 | 79 | | | 9/28/79 | 391 | 39 | 8.25 | 6.42 | 331 | 299 | 32 | 50.58 D<br>49.42 E | 10.04 D<br>6.42 E |
| 1/ No Cost. 2/ Effective date. 3/ Final action delayed pending Decision of Supreme Court of Canada. 4/ Updated March 21, 1979. 5/ 1978. 6/ 1979. 7/ 1979 Forecast. | | | | | | | | | | | | | | |
| ARIZONA CORPORATION COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Arizona Public Service Company | 11/01/79 | 16,980 | | | | | | | | | | | | |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Pacific Gas & Electric Company | 12/26/78 | 190,380 | | | 12/19/79 | 1,465,745 | 115,087 | 10.30 | 14.10 | 1,777,031 | 1,626,059 | 150,972 | 45.92 D<br>14.57 PFD<br>39.51 CE | 7.79 D<br>7.72 PFD<br>14.10 CE |
| San Diego Gas & Electric Company | 5/15/78 | 25,241 | 1/16/79 | 9,834 | 6/05/79 | 140,345 | 14,639 | 10.59 | 14.5 | 134,799 | 119,936 | 14,862 | 47.75 D<br>14.16 PFD<br>38.09 CE | 8.74 D<br>8.21 PFD<br>14.5 CE |

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| COLORADO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Colorado Springs, City of | 6/29/79 | 715 | | | 12/31/79 | 11,189 | 482 | 91.85<br>1/ | | 10,955 | 10,062 | 893 | | |
| Public Service Co. of Colorado | 6/20/79 | 10,999 | 11/21/79 | 8,771 | 1/22/80 | 205,034<br>2/ | 8,771 | 9.73 | 14.6 | 174,842 | 155,127 | | 48.12 D<br>15.17 PFD<br>35.47 CE<br>1.24 3/ | 6.90 D<br>6.78 PFD<br>14.60 CE<br>-- |
| Rocky Mountain Natural Gas Co. | 6/15/79 | 634 | | | 9/21/79 | 13,077 | 510 | 11.60 | 14.0 | 12,051 | 10,534 | 1,517 | 46.02 D<br>9.92 PFD<br>44.06 CE | 9.58 D<br>10.31 PFD<br>14.00 CE |
| Salida Gas Service Co. | 8/16/78 | 46 | | | 1/31/79 | 398 | 42 | 11.05 | 14.0 | 728 | 684 | 44 | 46.23 D<br>51.02 CE<br>2.75 3/ | 8.45 D<br>14.00 CE<br>-- |
| 1/ Operating ratio.<br>2/ Year-end.<br>3/ Deferred taxes. | | | | | | | | | | | | | | |
| CONNECTICUT PUBLIC UTILITIES CONTROL (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Connecticut Natural Gas Corp | 12/11/78 | 9,369 | | | 5/21/79 | 95,168 | 3,912 | 10.377 | | 87,687 | 77,811 | 9,876 | | |
| Southern Conn. Gas Co. | 4/27/79 | 6,120 | | | 9/17/79 | 89,387 | 4,510 | 10.775 | | 94,931 | 85,299 | 9,632 | | |
| DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Washington Gas Light Company | 7/29/77 | 8,600<br>1/ | | | 3/16/79 | 87,124 | 7,127 | 9.25 | 13.0 | 93,654 | 85,595 | 8,059 | 43.3 D<br>12.5 PFD<br>.9 2/<br>38.3 CE | 7.04 D<br>6.59 PFD<br>6.00 2/<br>13.00 CE |
| Washington Gas Light Company | 6/29/79 | 17,800 | | | Pending | | | | | | | | | |
| 1/ Amended 12/01/77 to $10,959<br>2/ Customer deposits. | | | | | | | | | | | | | | |
| GEORGIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gas Light of Columbus | 6/21/79 | 1,465 | | | 12/13/79 | 13,904 | 1,065 | 11.27 | 14.25 | 27,068 | 26,033 | 1,035 | 47.02 D<br>8.00 PFD<br>44.98 CE | 9.32 D<br>6.00 PFD<br>14.25 CE |
| HAWAII PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| GASCO, Inc. | 8/03/78 | 1,005 | | | 1/01/79 | 33,760 | 961 | 10.47<br>1/ | 14.25 | 29,652 | 26,119 | 3,533 | 3.8 2/<br>57.6 3/<br>5.8 PFD<br>32.8 CE | 10.52 2/<br>8.74 3/<br>7.68 PFD<br>14.25 CE |
| 1/ Note that although weighted cost amounts to 10.55%, company stipulated for 10.47 which was granted by the Commission in the prior docket.<br>2/ Short-term debt.<br>3/ Long-term debt. | | | | | | | | | | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Intermountain Gas Co. | 2/16/79 | 5,517 | | | 8/17/79 | 72,343 | 1,719 | 10.14 | 14.0 | 103,246 | 96,775 | 6,471 | 55.7 D<br>11.6 PFD<br>32.7 CE | 9.05 D<br>8.29 PFD<br>14.00 CE |
| Intermountain Gas Co. | 8/31/79 | 18,909<br>(Tracker Only) | | | 9/28/79 | | 18,871 | | | | | | | |
| Intermountain Gas Co. | 10/15/79 | 13,946<br>(Tracker Only) | | | 11/15/79 | | 13,946 | | | | | | | |
| Intermountain Gas Co. | 12/07/79 | (362)<br>(Tracker Only) | | | 12/31/79 | | (362) | | | | | | | |
| Washington Water Power | 2/28/79 | 1,432 | | | 10/01/79 | 14,994 | 1,317 | 9.19 | 13.25 | 29,236 | 28,555 | 681 | | |

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| IDAHO PUBLIC UTILITIES COMMISSION (Continued) | | | | | | | | | | | | | | |
| Washington Water Power | 8/30/79<br>(Tracker Only) | 6,482 | | | 9/28/79 | | 6,842 | | | | | | | |
| Washington Water Power | 10/12/79<br>(Tracker Only) | 3,915 | | | 11/14/79 | | 3,915 | | | | | | | |
| Washington Water Power | 11/29/79<br>(Tracker Only) | (123) | | | 12/31/79 | | (123) | | | | | | | |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Illinois Power Company | 1/09/79 | 19,969.0 | | | 11/28/79 | 233,940.5 | 16,926.6 | 9.55 | | | | | | |
| Interstate Power Co. | 4/19/78 | 333.8 | | | 2/28/79 | 2,182.8 | 325.7 | 9.29 | | | | | | |
| Iowa-Illinois Gas & Electric Co. | 7/26/79 | 1,991.0 | | | | | | | | | | | | |
| Kaskaskia Gas Co. | 12/19/79 | 172.0 | | | | | | | | | | | | |
| Northern Ill. Gas Co. | 2/09/79 | 93,000.0 | | | 1/03/80 | 1/ | 3,689.4 | 2/ | | | | | | |
| North Shore Gas Co. | 1/19/79<br>(79-0072)<br>5/03/79<br>(79-0281)<br>Cons. | 5,918.4 | | | 12/12/79 | 89,937.4<br>(Original Cost)<br>105,544.9<br>(Fair Value) | 1,037.0 | 10.2 (Original Cost)<br>8.69 Fair Value | | | | | | |
| Peoples Gas Light & Coke Co. | 2/02/79<br>(79-0073)<br>5/03/79<br>(79-0282)<br>Cons. | 42.208.2 | | | 12/27/79 | 89,937.4<br>(Original Cost)<br>105,544.9<br>(Fair Value) | 7,519.0 | 10.0 (Original Cost)<br>8.0 (Fair Value) | | | | | | |
| Town Gas Co. of Ill. | 5/26/78 | 150.7 | | | 2/07/79 | 1,135.3 | 117.6 | 9.40 | | | | | | |
| United Cities Gas Co. | 5/14/79 | 427.0 | | | | | | | | | | | | |
| 1/ $955,463.0 - Original Cost: $1,235,334.0 - Fair Value. | | | | | | | | | | | | | | |
| 2/ 10.0% - Original Cost: 7.73% - Fair Value. | | | | | | | | | | | | | | |
| INDIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Hoosier Gas Corp. | 11/09/78 | 398 | | | 2/15/79 | 11,952 | 398 | 7.2 | 11.3 | 10,402 | 9,542 | 860 | 30.1 D<br>3.2 PFD<br>66.7 CE | |
| IOWA STATE COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Iowa Power & Light Co.<br>RPU-77-20 | 8/02/77 | 1,846 | | | 4/11/79 | 45,655 | 1,217 | 9.13 | 12.5 | 73,187 | 69,019 | 4,168 | 48.43 D<br>14.40 PFD<br>37.02 CE | 6.60 D<br>7.28 PFD<br>12.5 CE |
| Iowa Power & Light Co.<br>RPU-78-30 | 8/01/78 | 3,200 | 11/01/79 | 3,200<br>1/ | | | | | | | | | | |
| Iowa Southern Utility<br>RPU-79-21 | 4/30/79 | 954 | 9/01/79 | 954<br>1/ | | | | | | | | | | |
| North Central Public Service<br>RPU-77-15<br>RPU-78-29 | 5/31/77<br>7/17/78 | 575<br>2/ | 10/01/77<br>11/14/78 | 575<br>1/ 2/ | 10/22/79 | 4,138,153<br>2/ | 182<br>2/ | 10.83<br>2/ | 12.5<br>2/ | 32,796,663<br>2/ | 32,348,586<br>2/ | 448,077<br>2/ | 44.43 D<br>55.57 CE | 8.132 D<br>12.5 CE |
| Peoples Natural Gas Co.<br>RPU-78-8 | 3/01/78 | 3,181 | | | 1/12/79 | 27,481 | 263 | 10.10 | 12.3 | 68,845 | 66,991 | | 44.6 D<br>3.3 PFD<br>52.1 CE | 7.77 D<br>6.97 PFD<br>12.3 CE |
| Peoples Natural Gas Division<br>RPU-79-30 | 6/01/79 | 3,716 | 9/30/79 | 3,716<br>1/ | | | | | | | | | | |
| 1/ Revenue collected under bond subject to refund. | | | | | | | | | | | | | | |
| 2/ Amounts shown are for RPU-77-15 and 78-29 as cases were docketed together and resolved in one action. | | | | | | | | | | | | | | |
| KANSAS STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gas Service Company | 1/30/78 | 4,581 | | | 6/17/78 | 98,663 | 3,987 | 8.9 | 14.5 | 147,637 | 136,803 | 10,835 | 48.61 D<br>3.61 PFD<br>33.75 CE | 3.66 D<br>.20 PFD<br>4.87 CE |
| Gas Service Company | 6/23/78 | 12,604 | | | 3/16/79 | 102,458 | 961 | 9.02 | 14.0 | 147,868 | 138,149 | 9,719 | 45.36 D<br>3.56 PFD<br>36.50 CE<br>14.58 1/ | 3.63 D<br>.20 PFD<br>5.11 CE<br>.08 1/ |

1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| KANSAS STATE CORPORATION COMMISSION (Continued) | | | | | | | | | | | | | | |
| Gas Service Company | 6/20/79 | 12,749 | | | | | | | | | | | | |
| Kansas Gas Supply | 8/01/79 | 2,187 | | | | | | | | | | | | |
| Kansas-Nebraska Natural Gas Co. | 12/20/77<br>2/ | 5,339 | | | 3/12/79 | 21,660 | 604 | 9.02 | 13.72 | 19,650 | 17,697 | 1,953 | 42.9 D<br>7.16 PFD<br>35.49 CE<br>14.45 1/ | 3.6 D<br>.52 PFD<br>4.87 CE<br>.03 1/ |
| Kansas Power and Light | 11/16/79 | 4,406 | | | | | | | | | | | | |
| People's Natural Gas | 10/03/78 | 1,706 | | | 6/01/79 | 21,787 | 647 | 9.292 | 11.57 | 48,532 | 46,508 | 2,025 | 40.367 D<br>2.854 PFD<br>56.779 CE | 2.561 D<br>.163 PFD<br>6.568 CE |
| People's Natural Gas | 8/14/79 | 1,882 | | | | | | | | | | | | |
| Producers Gas Equities | 10/04/79 | 481 | | | | | | | | | | | | |
| Rural Gas Equities | 10/04/79 | 110 | | | | | | | | | | | | |
| SEKCO Gas Company | 6/28/79 | 84 | | | 9/19/79 | 347 | 83 | 19.68 | | 546 | 477 | | (.245) D | -- |
| Sunflower Pipeline Co. | 8/08/77<br>3/ | 103 | | | 7/28/78 | 167 | 94 | 10 | 18.5 | 233 | 216 | 17 | 67.87 D<br>32.13 CE | 4.07 D<br>5.93 CE |
| Sunflower Pipeline Co. | 1/09/79<br>4/ | 84 | | | 9/17/79 | 162 | 39 | 10 | 8.7 | 215 | 199 | 16 | 56.73 D<br>43.27 CE | 6.24 D<br>3.76 CE |
| Union Gas System | 1/15/79 | 587 | | | 11/21/79 | 10,322 | 397 | 10.14 | 13.5 | 21,540 | 20,296 | 1,244 | 42.63 D<br>41.94 CE<br>15.43 1/ | 8.766 D<br>13.500 CE<br>4.805 1/ |

1/ Customer related.
2/ Revised 7/21/78.
3/ Amended 4/17/78.
4/ Amended 4/02/79.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Arkansas La. Gas Co. | 11/15/78 | 15,[illegible] | | | 5/23/79 | 59,681 | 10,340 | 10.28 | 11.5 | 41,551 | 35,416 | 6,135 | 44.06 D<br>.36 PFD<br>55.58 CE | 8.78 D<br>4.50 PFD<br>11.50 CE |
| Central La. Electric Co., Inc. | 11/14/78 | 3,[illegible]37 | | | 5/22/79 | 13,410 | 2,137 | 8.5 | 11.02 | 23,161 | 22,021 | 1,140 | 50.68 D<br>13.27 PFD<br>36.05 CE | 7.119 D<br>6.928 PFD<br>11.02 CE |
| Dixie Gas Co. | 10/20/78 | 195 | | | 3/21/79 | 1,443 | 92 | 8.89 | 8.5 | 1,399 | 1,271 | 128 | 40.43 D<br>59.57 CE | 9.47 D<br>8.5 CE |
| Dixie Gas Co. | 9/26/79 | 220 | | | Pending | | | | | | | | | |
| Gulf States Utilities Co. | 11/15/79 | 3,354 | | | Pending | | | | | | | | | |
| La. Place Gas & Fuel Co., Inc. | 5/29/79 | 20 | | | Pending | | | | | | | | | |
| Livingston Gas & Utility Co. | 9/17/79 | 64 | | | Pending | | | | | | | | | |
| Norco Gas & Fuel Co., Inc. | 5/22/79 | 210 | | | Pending | | | | | | | | | |
| Reserve Public Utilities Corp. | 6/21/79 | 35 | | | Pending | | | | | | | | | |
| Robeline-Stanley Gas Company | 3/05/79 | 29 | | | Denied | | | | | | | | | |
| South Coast Gas Company, Inc. | 6/11/79 | 340 | | | 10/31/79 | 2,265 | 144 | 8.50 | 8.03 | 3,529 | 3,336 | 193 | 25.28 D<br>74.72 CE | --<br>8.03 CE |
| Starks Water & Gas Co., Inc. | 3/05/79 | 23 | | | 7/18/79 | 32 | 11 | 8.5 | 8.5 | 101 | 98 | 3 | 100.0 CE | 8.5 CE |
| Suburban Utilities, Inc. | 10/19/79 | 48 | | | | | | | | | | | | |
| MARYLAND PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Baltimore Gas & Electric Co. | 11/14/79 | 22,500 | | | Pending | | | | | | | | | |
| Citizens Gas | 7/31/79 | 232.7 | | | 10/30/79 | 2,092 | 211.9 | 9.0 | | | | | | |
| Columbia Gas of Md. | 3/16/79 | 859.8 | | | 6/13/79 | 12,432 | 778.0 | 8.9 | | | | | | |
| Emmitsburg Gas Co. | 8/03/79 | 45.8 | | | Pending | | | | | | | | | |
| Frederick Gas Co. | 9/05/79 | 183.6 | | | 11/26/79 | 4,572 | 183.6 | 8.6 | | | | | | |
| Washington Gas Light | 10/16/78 | 3,626.3 | | | 1/10/79 | 155,307 | 3,626.3 | 9.25 | | | | | | |
| Washington Gas Light | 10/18/79 | 4,911.1 | | | Pending | | | | | | | | | |

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bay State Gas<br>19920 | 5/17/79 | 6,551 | | | 11/30/79 | 132,148 | 1,476 | 10.44 | 13.0 | 130,564 | 118,244 | 12,320 | 51.5 D<br>9.2 PFD<br>39.3 CE | 8.92 D<br>8.01 PFD<br>13.00 CE |
| Blackstone Gas<br>19980 | 1/07/78 | 48 | | | 10/31/79 | 137 | 8 | 11.625 | 12.5 | 118 | 111 | 7 | 50.0 D<br>50.0 CE | 10.75 D<br>12.5 CE |
| Cape Cod Gas<br>19665 | 7/14/78 | 2,376 | | | 8/07/79 | 29,026 | 1,205 | 10.34 | 12.75 | 11,581 | 9,657 | 1,924 | 52.34 D<br>6.73 PFD<br>40.93 CE | 9.05 D<br>5.72 PFD<br>12.75 CE |
| Haverhill Gas<br>19660 | 7/14/78 | 986 | | | 3/20/79 | 14,848 | 986 | 10.63 | 13.20 | 13,982 | 13,098 | 884 | 47.99 D<br>4.02 PFD<br>47.99 CE | 8.49 D<br>5.60 PFD<br>10.63 CE |
| Lowell Gas<br>19666-19921 | 7/14/78 | 2,876 | | | 8/07/79 | 48,963 | 1,791 | 10.45 | 13.5 | 21,652 | 18,073 | 3,579 | 53.33 D<br>9.97 PFD<br>36.70 CE | 9.08 D<br>6.67 PFD<br>13.5 CE |
| MICHIGAN PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Consumers Power Co. | 3/13/78 | 76,200 1/ | 7/10/78 | 19,885 | 6/27/79 2/ | 841,460 3/ | 5,248 3/ | 8.84 | 13.25 | 836,982 | 762,597 | 74.385 | 47.63 D<br>12.78 PFD<br>30.49 CE<br>9.10 4/ | 7.92 D<br>8.05 PFD<br>13.25 CE<br>-- |
| Michigan Consolidated Gas Co. | 10/17/78 | 102,000 | 3/20/79 | 20,368 | 11/06/79 | 847,681 | 56,353 | 8.93 | 13.25 | 947,923 | 901,947 | 45,976 | 50.19 D<br>3.41 PFD<br>35.63 CE<br>10.77 5/ | 17.68 D<br>8.69 PFD<br>13.25 CE<br>-- |
| Michigan Consolidated Gas Co. | 12/14/79 | 62,555 | | | | | | | | | | | | |
| Michigan Gas Utilities | 3/01/79 | 4,167 | | | | | | | | | | | | |
| MINNESOTA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Northern States Power Co. | 9/22/78 | 7,442 | 12/21/78 | 7,442 | 9/21/79 | 92,749 | 4,822 | 9.73 | 13.8 | 136,512 | | | 48.26 D<br>11.74 PFD<br>40.00 CE | 7.02 D<br>6.30 PFD<br>12.90 CE |
| Western Gas Utilities | 1/02/79 | 100.2 | 1/08/79 | 100.2 | 5/24/79 | 448.6 | 63.4 | 10.8 | 14.0 | 560,2 | | | 65.51 D<br>34.49 CE | 9.112 D<br>14.0 CE |
| MISSOURI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Associated Natural Gas Co. | | 4,600 | | | 6/19/79 | | 1,214 | 9.92 | 12.32 | | | | 55.66 D<br>39.45 CE | --<br>12.32 CE |
| Gas Service Co. | | 14,237 | | | 7/23/79 | 100,183 | 2,400 | 10.01 | 13.05 | | | | 50.5 D<br>12.2 PFD<br>37.3 CE | 8.28 D<br>7.828 PFD<br>13.05 CE |
| Great River Gas Co. | | 432 | | | 9/06/79 | 5,759 | 336 | 10.12 | 12.96 | | | | 56.65 D<br>13.36 PFD<br>25.05 CE | 8.57 D<br>10.5 PFD<br>12.96 CE |
| Missouri Public Service Co. | | 1,400 | | | 7/19/79 | 13,322 | 46 | 9.17 | 13.0 | | | | 53.87 D<br>15.78 PFD<br>30.35 CE | 3.86 D<br>3.95 PFD<br>13.0 CE |
| MONTANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Montana-Dakota Utilities | 3/20/78 | 10,160 | | | 12/20/78 | 35,336 | 5,322 | 9.44 | 12.25 | 28,256 | 24,920 | 3,336 | 47.37 D<br>10.54 PFD<br>42.09 CE | 7.57 D<br>6.574 PFD<br>12.25 CE |
| Montana Power Co. | 9/29/78 | 23,854 | | | 11/19/79 | | 15,426 | 9.95 | 12.72 | 106,003 | 92,767 | 13,235 | 58.87 D<br>8.52 PFD<br>32.61 CE | 5.16 D<br>.64 PFD<br>4.15 D |

1/ Revised later to $88,000.
2/ Amended 10/02/79.
3/ As amended.
4/ Net deferred taxes.
5/ Deferred taxes and credits.

# 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NATIONAL ENERGY BOARD - CANADA 1/ | | | | | | | | | | | | | | |
| Trans-Canada Pipelines Limited | 2/28/79 | 9,565 | | | August 1979 | 1,356,207 | 3,060 | 10.90 | 14.00 | 2,133,811 | 533,438 2/ | | 53.06 D<br>5.91 PFD<br>41.03 CE | 8.73 D<br>7.61 PFD<br>14.00 CE |
| Westcoast Transmission Co. Limited 6/ | May 1977 | 15,241 | | | Sept. 1979 | 578,793 | 98,000 3/ | 10.88 | 14.25 | 1,000,000 4/ | 218,349 | 75,000 5/ | 55.38 D<br>4.67 PFD<br>39.95 CE | 8.63 D<br>8.77 PFD<br>14.25 CE |

1/ Method of Computing Rate Base: Original Cost less depreciation/amortization.
2/ Including return.
3/ Approximate--not including cost of gas.
4/ Estimated.
5/ Approximate.
6/ Company recovers each month the actual cost of service.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gas Services, Inc. | 6/01/79 | 653 | | | | | | | | | | | | |
| Manchester Gas Co. | 6/15/78 | 558 | 12/18/78 | 1/ | 7/13/79 | 6,679 | 486 | 10.73 | 13.2 | 6,704 | 5,987 | 717 | 38.7 D<br>10.5 PFD<br>47.4 CE<br>3.4 2/ | 7.7 D<br>7.0 PFD<br>13.2 CE<br>6.0 2/ |

1/ Existing rates as temporary rates.
2/ Customer deposits.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NEW JERSEY BOARD OF PUBLIC UTILITIES | | | | | | | | | | | | | | |
| Elizabethtown Gas Co. | 9/01/78 | 16,615 | 4/05/79 | 5,000 | 9/13/79 | 101,702 | 11,332 | 10.89 | 13.5 | | | | | |
| New Jersey Natural Gas | 12/29/78 | 14,378 | | | 6/27/79 | 104,660 | 9,163 | 10.30 | | | | | | |
| NEW MEXICO PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gas Co. of New Mexico | 4/09/79 | 9,281 | | | Pending | | | | | | | | | |
| Hobbs Gas Company | 7/01/79 | | | | Pending | | | | | | | | | |
| Ruidoso Natural Gas Co. | 5/25/78 | 63 | | | 11/07/79 | 823 | 43 | 10.86 | 13.5 | 727 | 638 | 89 | 76.31 D<br>23.69 CE | 10.05 D<br>13.5 CE |
| Ruidoso Natural Gas Co. | 10/27/78 | 105 | | | 5/24/79<br>Date Final Order | 830 | -0- | 10.99 being earned | | | | | | |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bath Electric, Gas & Water System | 12/03/79 | 71.9 | | | Pending as of 12/31/79. | | | | | | | | | |
| Brooklyn Union Gas Co. | 6/29/79 | 6,401 1/ | | | 8/11/79 | 490,363 | 6,262.1 1/ | 10.17 | 13.75 | 380,695 | 330,820 | 49,875 | 47.4 D<br>13.1 PFD<br>38.3 CE<br>1.2 2/ | 7.80 D<br>8.42 PFD<br>13.75 CE<br>8.00 2/ |
| Brooklyn Union Gas Co. | 10/10/79 | 40,791 | | | Pending as of 12/31/79. | | | | | | | | | |
| Central Hudson Gas & Electric Corporation | 11/27/78 | 2,097 | | | 11/03/79 | 39,893 | 1,563 | 9.93 | 14.0 | 30,622 | 26,661 | 3,961 | 49.7 D<br>13.3 PFD<br>36.4 CE<br>.6 2/ | 7.78 D<br>6.86 PFD<br>14.00 CE<br>9.00 2/ |
| Columbia Gas of N.Y., Inc. | 2/27/78 | 2,315.9 | | | 2/07/79 | 16,134.7 | 1,146.5 | 10.15 | 13.50 | 47,189.7 | 45,552 | 1.637.7 | 54.30 D<br>4.06 PFD<br>41.64 CE | 7.49 D<br>11.28 PFD<br>13.50 CE |
| Columbia Gas of N.Y., Inc. | 6/08/79 | 1,005.1 | | | 11/01/79 | 16,322.7 | 538.1 | 10.15 | 12.7 | 50,159.2 | 48,502.5 | 1,656.7 | 53.9 D<br>45.7 CE<br>0.4 2/ | 7.49 D<br>12.7 CE<br>8.0 2/ |
| Consolidated Edison Co. of N.Y., Inc. | 4/11/79 | 46,998 | | | Pending as of 12/31/79. | | | | | | | | | |
| Corning Natural Gas Corp. | 7/18/79 | 511.6 | | | 12/13/79 | 4,281.8 | 241.5 | 10.09 | 13.3 | 36,993.5 | 36,561.5 | 432.0 | 46.2 D<br>51.7 CE<br>2.1 2/ | 6.53 D<br>13.3 CE<br>9.0 2/ |
| Fillmore Gas Co., Inc. | 5/11/79 | 35.8 | | | 9/01/79 | 139.1 | 7.1 | 10.95 | 14.0 | 445.2 | 429 | 16.2 | 56.9 D<br>39.0 CE<br>4.1 2/ | 9.0 D<br>14.0 CE<br>9.0 2/ |

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NEW YORK PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | | |
| Long Island Lighting Company | 5/31/78 | 23,900 | | | 5/04/79 | 238,761 | 16,574 | 10.26 | 13.7 | 198,870 | 174,363 | 24,507 | 46.0 D<br>14.0 PFD<br>39.7 CE<br>.3 2/ | 7.88 D<br>8.35 PFD<br>13.70 CE<br>9.00 2/ |
| National Fuel Gas Distribution Corporation | 2/01/78 | 41,269 | | | 1/05/79 | 215,553 | 21,001 | 10.05 | 12.70 | 359,668 | 338,005 | 21,663 | 48.1 D<br>6.7 PFD<br>45.0 CE<br>.2 2/ | 7.64 D<br>9.57 PFD<br>12.70 CE<br>8.00 2/ |
| National Fuel Gas Distribution Corporation | 2/16/79 | 26,600 | | | Pending as of 12/31/79. | | | | | | | | | |
| New York State Electric & Gas Corporation | 5/24/78 | 4,834.3 | | | 5/01/79 | 91,635 | 2,880.5 | 10.09 | 13.8 | 108,979 | 99,730 | 9,249 | 49.0 D<br>12.7 PFD<br>38.2 CE<br>0.1 2/ | 7.81 D<br>7.7 PFD<br>13.8 CE<br>9.0 2/ |
| New York State Electric & Gas Corporation | 8/28/79 | 8,369.6 | | | Pending as of 12/31/79. | | | | | | | | | |
| Niagara Mohawk Power Corp. | 4/06/79 | 19,864 | | | Pending as of 12/31/79. | | | | | | | | | |
| Orange & Rockland Utilities, Inc. | 5/01/79 | 4,798.5 | | | Pending as of 12/31/79. | | | | | | | | | |
| Pavilion Natural Gas Co. | 7/20/78 | 556.5 | | | 1/01/79 | 2,486 | 296.9 | 10.44 | 11.0 | 6,540.3 | 6,280.7 | 259.6 | 26.1 D<br>72.4 CE<br>1.5 2/ | 4.4 D<br>11.0 CE<br>9.0 2/ |
| Pavilion Natural Gas Company | 10/01/79 | 185.9 | | | Pending as of 12/31/79. | | | | | | | | | |
| Pennsylvania & Southern Gas Co. | 10/03/78 | 92 | | | 2/01/79 | 729.2 | 66.5 | 10.8 | 13.0 | 632.8 | 554 | 78.8 | 44.0 D<br>56.0 CE | 7.9 D<br>13.0 CE |
| Reserve Gas Company, Inc. | 5/09/79 | 100 | | | Pending as of 12/31/79. | | | | | | | | | |
| Rochester Gas & Electric Corporation | 5/26/78 | 9,996.7 | | | 5/02/79 | 138,503 | 8,109.1 | 9.89 | 13.40 | 129,846 | 116,148 | 13,598 | 47.7 D<br>12.1 PFD<br>40.1 CE<br>.1 2/ | 7.65 D<br>7.12 PFD<br>13.40 CE<br>8.00 2/ |
| Rochester Gas & Electric Corporation | 7/25/79 | 290.2<br>3/ | | | 8/01/79 | 126,093 | 290.2<br>3/ | 9.31 | 12.80 | 116,859.8 | 105,120.5 | 11,739 | 48.6 D<br>13.4 PFD<br>37.9 CE<br>0.1 2/ | 7.[illegible]2 D<br>7.04 PFD<br>12.80 CE<br>8.00 2/ |
| Rochester Gas & Electric Corporation | 8/24/79 | 8,347.4 | | | Pending as of 12/31/79. | | | | | | | | | |
| NEVADA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Southwest Gas | 11/08/78 | 7,517 | | | 5/04/79 | 29,033 | 6,355 | 10.55 | 14.2 | 37,804 | 34,741 | 3,063 | 50.78 D<br>9.77 PFD<br>39.45 CE | 4.08 D<br>.84 PFD<br>5.63 CE |
| Southwest Gas | 2/09/79 | 36,519 | | | 8/06/79 | 51,277 | 25,488 | 10.74 | 14.2 | 84,075 | 78,568 | 5,507 | 50.0 D<br>9.27 PFD<br>40.73 CE | 4.31 D<br>.87 PFD<br>5.56 CE |
| NORTH CAROLINA UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Piedmont Natural Gas Co. | 8/01/79 | 5,984 | | | 10/31/79 | 94,878 | 4,300 | 9.77 | 13.06 | 128,880 | 119,612 | 9,268 | 55.55 D<br>2.42 PFD<br>42.03 CE | 7.48 D<br>5.14 PFD<br>13.06 CE |
| United Cities Gas Co. | 10/11/79 | 174 | | | Pending | | | | | | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Montana-Dakota Utilities Co. | 6/23/78 | 2,725 | | | 5/23/79 | 53,929 | 2,273 | 9.42 | 12.58 | 41,665 | 36,585 | 5,080 | 50.00 D<br>13.21 PFD<br>36.79 CE | 7.75 D<br>6.90 PFD<br>12.58 CE |

1/ Additional to reflect wage and property tax increase.
2/ Customer deposits.
3/ Additional increase to recover wage increase over a twelve month period through surcharges.

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| OHIO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Columbia Gas of Ohio, Inc. | | | | | | | | | | | | | | |
| (Bexley) | 11/06/79 | 297 | | | | | | | | | | | | |
| (Brice) | 11/20/79 | 8 | | | | | | | | | | | | |
| (Canal Winchester) | 12/05/79 | 42 | | | | | | | | | | | | |
| (Clark Co. Unincorp Areas) | 5/24/79 | 606 | | | | | | | | | | | | |
| (Clyde) | 12/24/79 | 85 | | | | | | | | | | | | |
| (Columbus) | 9/28/78 | 10,126 | | | 7/18/79 | 39,120 | 4,785 | 10.39 | 13.57 | 93,486 | 88,881 | 4,605 | | |
| (Gahanna) | 11/08/79 | 223 | | | | | | | | | | | | |
| (Grove City) | 11/02/79 | 297 | | | | | | | | | | | | |
| (Groveport) | 11/13/79 | 54 | | | | | | | | | | | | |
| (Hilliard) | 11/09/79 | 115 | | | | | | | | | | | | |
| (Lorain) | 7/27/78 | 1,004 | | | | | | | | | | | | |
| (Martin's Ferry) | 8/01/78 | 164 | | | 5/24/79 | 1,001 | 95 | 10.39 | | 1,896 | 1,792 | 104 | | |
| (Minerva Park) | 11/16/79 | 29 | | | | | | | | | | | | |
| (Mingo Junction) | 10/03/78 | 54 | | | 5/02/79 | 409 | 15 | 10.39 | | 649 | 607 | 42 | | |
| (Mt. Sterling) | 8/01/78 | 38 | | | 5/24/79 | 219 | 25 | 10.39 | | 307 | 284 | 23 | | |
| (New Albany) | 12/03/79 | 15 | | | | | | | | | | | | |
| (New Boston) | 2/08/79 | 94 | | | 9/26/79 | 354 | 72 | 10.39 | | 558 | 521 | 37 | | |
| (New Rome) | 11/29/79 | 7 | | | | | | | | | | | | |
| (Obetz) | 11/15/79 | 50 | | | | | | | | | | | | |
| (Parma) | 11/09/78 | 1,441 | | | 8/08/79 | 7,197 | 877 | 10.39 | 13.57 | 15,284 | 14,536 | 748 | | |
| (Port Clinton) | 12/24/79 | 106 | | | | | | | | | | | | |
| (Proctorville) | 12/24/79 | 25 | | | | | | | | | | | | |
| (Reynoldsburg) | 10/22/79 | 244 | | | | | | | | | | | | |
| (Richland Co. Unincorp. Areas) | 5/18/79 | 616 | | | | | | | | | | | | |
| (Steubenville) | 8/24/79 | 345 | | | | | | | | | | | | |
| (Toledo) | 11/09/78 | 6,996 | | | 7/25/79 | 28,926 | 3,714 | 10.39 | 13.57 | 67,748 | 64,743 | 3,005 | | |
| (Upper Arlington) | 10/18/79 | 677 | | | | | | | | | | | | |
| (Urbancrest) | 11/19/79 | 13 | | | | | | | | | | | | |
| (Valleyview) | 11/16/79 | 16 | | | | | | | | | | | | |
| (Whitehall) | 10/25/79 | 465 | | | | | | | | | | | | |
| (Worthington) | 10/31/79 | 194 | | | | | | | | | | | | |
| Dayton Power & Light Co. | 8/07/79 | 10,990 | | | | | | | | | | | | |
| ~~East Ohio Gas Co.~~ | ~~10/02/79~~ | ~~62,259~~ | | | | | | | | | | | | |
| National Fuel Gas Distribution Corp. | 12/05/78 | 139 | | | 7/25/79 | 2,161 | 139 | 5.98 | | 2,010 | 1,881 | 129 | | |
| Oxford Natural Gas Co. | 4/12/79 | 69 | | | | | | | | | | | | |
| West Ohio Gas Co. | 2/26/79 | 3,977 | | | 11/28/79 | 18,208 | 1,507 | 10.83 | 13.41 | 41,938 | 39,966 | 1,972 | | |
| *** | | | | | | | | | | | | | | |
| ONTARIO ENERGY BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Consumers' Gas Co. | 6/26/79 | 40,221 | 9/01/79 | 40,221 | (Interim order was confirmed at a later date.) | | | | | | | | | |
| Consumers' Gas Co. | 8/04/78 | 17,854 | | | 10/01/79 | 810,679 | 15,565 | 10.34 | 14.0 | 765,670 | 689,612 | 76,058 | 49.5 D<br>12.8 PFD<br>3.0 1/<br>34.7 CE | 9.28 D<br>6.52 PFD<br>1.83 1/<br>14.00 CE |
| Northern & Central Gas Corp. Limited | 7/09/79 | 16,932 | 8/01/79 | 16,932 | | | | | | | | | | |
| Union Gas Limited | 3/29/79 | 31,428 | 1/01/80 | 14,184 | | | | | | | | | | |
| Union Gas Limited | 8/[illegible]1/79 | 34,100 | 9/01/79 | 34,100 | | 2/ | | | | | | | | |
| 1/ Deferred taxes. | | | | | | | | | | | | | | |
| 2/ Rate Base determination dispensed with. | | | | | | | | | | | | | | |
| OREGON PUBLIC UTILITY COMMISSIONER (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cascade Natural Gas | 1/12/79 | 1,162 | | | 9/01/79 | 15,188 | 854 | 10.80 | 14.85 | 17,744 | 16,103 | 1,640 | 57.11 D<br>11.19 PFD<br>31.70 CE | 9.27 D<br>7.14 PFD<br>14.85 CE |
| CP National Corporation | 4/23/79 | 1,200 | | | 7/30/79 | 22,716 | 712 | 9.76 | 13.25 | 28,736 | 26,519 | 2,217 | 49.93 D<br>7.13 PFD<br>35.31 CE<br>7.63 1/ | 6.83 D<br>7.21 PFD<br>9.18 CE<br>-- |

*** For Oklahoma rate cases see end of Gas Utility Rate Cases.

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| OREGON PUBLIC UTILITY COMMISSIONER (Continued) | | | | | | | | | | | | | | |
| Northwest Natural Gas | 11/14/78 | 8,596 | | | 1/05/79 | 230,109 | 6,555 | 10.51 | 13.40 | 228,541 | 204,357 | 24,184 | 47.2 D<br>13.4 PFD<br>39.4 CE | 8.97 D<br>7.44 PFD<br>13.40 CE |
| 1/ Deferred income taxes. | | | | | | | | | | | | | | |
| | | | | | (Tracking of Purchased Gas Costs Only) | | | | | | | | | |
| Cascade Natural Gas | 3/01/79 | 517 | | | 4/01/79 | | 517 | | | | | | | |
| Cascade Natural Gas | 8/30/79 | 3,024 | | | 10/01/79 | | 3,024 | | | | | | | |
| Cascade Natural Gas | 10/15/79 | 2,188.5 | | | 1/15/79 | | 2,188.5 | | | | | | | |
| CP National Corporation | 3/26/79 | 772 | | | 4/05/79 | | 772 | | | | | | | |
| CP National Corporation | 9/26/79 | 4,238 | | | 10/01/79 | | 4,238 | | | | | | | |
| Northwest Natural Gas | 3/02/79 | 6,203 | | | 4/01/79 | | 6,203 | | | | | | | |
| Northwest Natural Gas | 8/31/79 | 44,402 | | | 10/01/79 | | 44,402 | | | | | | | |
| Northwest Natural Gas | 10/15/79 | 30,393 | | | 11/15/79 | | 30,393 | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Allied Gas Co. (Shamokin Div.) | 10/29/79 | 123 | | | | | | | | | | | | |
| Andreassi & Hillwig Co. | 4/30/79 | 17 | | | 6/28/79 | 107 | 17 | 10.45 | 12.03 | 78 | 67 | 11 | 55.0 D<br>45.0 CE | 8.5 D<br>12.03 CE |
| Apollo Gas Co. | 8/28/79 | 1,608 | | | | | | | | | | | | |
| Carnegie Natural Gas Co. | 10/26/79 | 5,836 | | | | | | | | | | | | |
| Central Penn Gas-Jersey Shore | 11/28/78 | 67 | | | 1/25/79 | 768 | 67 | 10.41 | 12.54 | 374 | 294 | 80 | 47.7 D<br>11.3 PFD<br>41.0 CE | 9.25 D<br>7.62 PFD<br>12.54 CE |
| Columbia Gas of Pa., Inc. | 12/29/78 | 26,915 | | | 8/09/79 | 204,000 1/ | 8,500 | 8.04 | 13.51 | 299,330 | 282,932 | 16,398 | 53.0 D<br>3.9 PFD<br>43.1 CE | 7.63 D<br>11.37 PFD<br>8.26 CE |
| Honesdale Gas Co. | 7/31/78 | 137 | | | 6/21/78 | 856 | 40 | 9.75 | 13.66 | 906 | 823 | 83 | 63.5 D<br>36.5 CE | 7.5 D<br>13.66 CE |
| Honesdale Gas Co. | 8/30/79 | 199 | | | | | | | | | | | | |
| Kane Gas Light & Heating Co. | 11/02/78 | 255 | | | 3/21/79 | 843 | 68 | 10.25 | | 1,021 | 934 | 86 | | |
| Kaylor Natural Gas Co. | 7/02/79 | 8 | | | 11/01/79 | Denied | | | | | | | | |
| Mercer Gas Co. | 10/26/78 | 77 | | | 5/10/79 | 635 | 43 | 10.0 | 12.71 | 559 | 495 | 64 | 50.0 D<br>9.0 PFD<br>41.0 CE | 8.8 D<br>8.8 PFD<br>12.71 CE |
| Mercer Gas Co. | 7/25/79 | 193 | | | 11/01/79 | 712 | 46 | 10.3 | 12.5 | 552 | 479 | 73 | 55.0 D<br>45.0 CE | 8.5 D<br>12.5 CE |
| Myers Gas Co. | 4/09/79 | 11 | | | 6/13/79 | 24 | 2 | 10.25 | 13.0 | 23 | 20 | 3 | 55.0 D<br>45.0 CE | 8.0 D<br>13.0 CE |
| Myers Gas Co. | 9/24/79 | 37 | | | | | | | | | | | | |
| National Fuel Gas Distribution Company | 11/29/79 | 21,247 | | | | | | | | | | | | |
| North East Heat & Light Co. | 1/03/79 | 169 | | | 4/25/79 | 393 | 28 | 10.2 | 13.2 | 1,128 | 1,088 | 40 | 50.0 D<br>9.0 PFD<br>41.0 CE | 8.9 D<br>7.0 PFD<br>13.2 CE |
| North Penn Gas Co. | 11/28/78 | 3,866 | | | 3/29/79 | 24,379 | 2,200 | 9.6 | 12.29 | 27,584 | 25,119 | 2,465 | 56.25 D<br>43.75 CE | 8.42 D<br>12.29 CE |
| Philadelphia Electric Co. (Gas Div.) | 3/30/79 | 15,500 | | | | | | | | | | | | |
| Sergeant Gas Co. | 3/29/79 | 37 | | | 7/19/79 | 96 | 26 | 10.18 | 14.05 | 76 | 66 | 10 | 76.6 D<br>23.4 CE | 9.0 D<br>14.05 CE |
| Taylorstown Natural Gas Co. | 1/23/79 | 111 | | | 8/09/79 | Denied | | | | | | | | |
| Union Gas Co. (Pittston Div.) | 8/13/79 | 162 | | | 11/01/79 | 1,115 | 130 | 10.45 | 12.75 | 809 | 737 | 72 | 45.17 D<br>10.27 PFD<br>44.56 CE | 8.83 D<br>7.6 PFD<br>12.75 CE |

1/ Fair Value method of computing rate base for Columbia Gas of Pa., Inc.

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| QUEBEC ELECTRICITY AND GAS BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Gaz Metropolitain, Inc.<br>G-200<br>R-2733-78 | 1/26/79 | 1,500 | 2/27/79 | Rejected | | | | | | | | | | |
| Gaz Metropolitain, Inc.<br>G-201<br>R-2680-77 | 2/19/79 | 3,500 | 3/07/79 | Rejected | | | | | | | | | | |
| Gaz Metropolitain, Inc.<br>G-210<br>R-2680-77 Phase 1B | 6/27/79 | 4,233 | | | 7/27/79 | 213,626 | 2,550 | 10.90 | 17.00 | 242,076 | 221,283 | 20,793 | 55.77 D<br>15.93 PFD<br>29.30 CE | 8.77 D<br>7.02 PFD<br>17.00 CE |
| Gaz Metropolitain, Inc.<br>G-213<br>R-2756-79 | 8/07/79 | 13,138 | 8/27/79 | 13,138 | | | | | | | | | | |
| Le Gaz Provincial du Nord<br>Quebec Ltee<br>G-216<br>R-2753-79 | 9/26/79 | 255 | 10/24/79 | 255 | | | | | | | | | | |
| Societe Gazifere de Hull<br>Incorporee<br>G-212<br>R-2754-79<br>*** | 7/30/79 | 566 | 8/23/79 | 566 | | | | | | | | | | |
| SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| South Carolina Electric<br>& Gas Co. | 6/01/79 | 6,749 | 6/27/79 | 6,749<br>1/ | 12/31/79 | 87,116 | 6,425 | 9.56 | 12.75 | 113,996 | 105,412 | 8,584 | 55.08 D<br>11.60 PFD<br>33.32 CE | 15.86 D<br>8.17 PFD<br>12.75 CE |
| 1/ Entire increase in effect under bond. | | | | | | | | | | | | | | |
| SOUTH DAKOTA PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Iowa Public Service Co. | 10/06/78 | 221 | | | 4/06/79 | 41,068 | 167 | 9.63 | 12.5 | 76,627<br>1/ | 74,147<br>1/ | 2,480<br>1/ | 46.94 D<br>16.14 PFD<br>36.92 CE | 7.93 D<br>8.00 PFD<br>12.5 CE |
| MINNEGASCO | 3/26/79 | 1,628 | | | 9/26/79 | 8,830 | 979 | 10.16 | 12.3 | 19,407<br>1/ | 19,038<br>1/ | 369<br>1/ | .4310 D<br>.1095 PFD<br>.4595 CE | 8.19 D<br>8.92 PFD<br>12.3 CE |
| Montana-Dakota Utilities | 6/25/79 | 1,931 | | | 12/26/79 | 18,005 | 651 | 9.45 | 12.4 | 18,385<br>1/ | 17,035<br>1/ | 1,350<br>1/ | .5474 D<br>.1149 PFD<br>.3377 CE | 8.159 D<br>6.833 PFD<br>12.4 CE |
| 1/ Pro forma before rate increase. | | | | | | | | | | | | | | |
| TEXAS RAILROAD COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| High Plains Natural Gas Co. | 8/08/79 | | | | 11/19/79 | 1,831 | | 8.38 | 16.0 | 480 | 205 | 275 | 48.0 D<br>52.0 CE | 17.0 D<br>16.0 CE |
| Lone Star Gas Co. | 3/31/78 | 1,486 | 8/28/78 | 116 | 7/11/79 | 7,389 | 916 | 9.53 | 13.75 | 10,622 | 9,602 | 1,020 | 51.9 D<br>7.4 PFD<br>40.7 CE | 7.93 D<br>9.53 PFD<br>13.75 CE |
| Lone Star Gas Co. | 5/08/78 | 3,918 | | | 6/14/79 | 46,356 | 327 | 6.03 | 13.85 | 71,794 | 68,359 | 3,435 | 56.4 D<br>6.7 PFD<br>36.9 CE | 8.11 D<br>9.53 PFD<br>13.85 CE |
| Lone Star Gas Co. | 7/11/78 | 428 | | | 6/18/79 | 3,377 | 122 | 7.47 | 13.76 | 5,526 | 5,184 | 342 | 54.5 D<br>7.4 PFD<br>38.2 CE | 6.79 D<br>8.84 PFD<br>13.76 CE |
| Southern Union | 4/17/78 | 2,760 | | | 10/11/79 | 37,976 | 814 | 6.54 | 14.5 | 40,974 | 37,750 | 3,224 | 44.5 D<br>7.9 PFD<br>47.6 CE | 8.26 D<br>8.53 PFD<br>14.5 CE |
| Southern Union | 11/14/78 | 2,922 | | | 7/16/79 | 37,664 | 1,359 | 6.47 | 14.5 | 38,862 | 35,109 | 3,753 | 45.8 D<br>7.6 PFD<br>46.6 CE | 8.25 D<br>8.53 PFD<br>14.5 CE |

*** For Rhode Island rate cases see end of Gas Utility Rate Cases.

## 1979 GAS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| UTAH PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Mountain Fuel Supply Co. (Case No. 78-057-14) 1/ | 12/26/78 | 261 | | | 1/24/79 | | 261 | | | | | | | |
| Mountain Fuel Supply Co. (Case No. 79-057-03) 2/ | 3/30/79 | 23,382 | | | Effective Date<br>8/17/79 | 279,326 3/ | 16,376 4/ | 10.10 | 14.00 | 239,040 3/ | 198,563 3/ | 28,212 3/ 5/ | 57.6 D<br>6.8 PFD<br>35.6 CE<br>(Utah Percentages) | 7.89 D<br>8.49 PFD<br>14.00 CE |
| Mountain Fuel Supply Co. (Case No. 79-057-06) 1/ | 7/27/79 | 10,041 | | | 8/17/79 | | 10,041 | | | | | | | |
| Mountain Fuel Supply Co. (Case No. 79-057-11) 1/ | 10/09/79 | 19,551 | | | 11/06/79 | | 15,697 | | | | | | | |
| Utah Gas Service (Case No. 78-059-07) 6/ | 11/30/78 | 448 | | | 3/29/79<br>Effective Date<br>12/09/78 | | 448 | | | | | | | |
| Utah Gas Service (Case No. 78-059-08) 7/ | 12/15/78 | 25 | | | 3/29/79<br>Effective Date<br>1/01/79 | | 25 | | | | | | | |
| Utah Gas Service (Case No. 75-059-01) 6/ | 1/29/79 | 18 | | | 2/02/79<br>Effective Date<br>2/03/79 | | 18 | | | | | | | |
| Utah Gas Service (Case No. 79-059-03) 7/ | 5/23/79 | 151 | | | 9/04/79<br>Effective Date<br>6/01/79 | | 151 | | | | | | | |
| Utah Gas Service (Case No. 79-059-04) 8/ | 5/31/79 | (77) | | | 9/04/79<br>Effective Date<br>6/01/79 | | (77) | | | | | | | |
| Utah Gas Service (Case No. 79-059-05) 7/ | 9/17/79 | 584 | | | 11/08/79<br>Effective Date<br>10/02/79 | | 584 | | | | | | | |
| Utah Gas Service (Case No. 79-059-06) 7/ | 11/13/79 | 568 | | | 1/08/80<br>Effective Date<br>11/22/79 | | 560 | | | | | | | |

1/ Fuel Balancing Account 191. 2/ General rate increase. 3/ Total company amounts--includes other states. Utah allocation percentage is 93.8%. 4/ Utah deficiency.
5/ Income taxes--$12,265. 6/ Fuel pass-through--purchases from intrastate suppliers. 7/ Fuel pass-through. 8/ Gas Balancing Account (Credit Balance).

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| CNG Transmission Co. | 6/16/78 | 1,851 | | | 9/13/79 | 20,950 | 1,490 | 9.4 | | 64,747 | 62,778 | 1,969 | 40.3 D<br>14.5 CE<br>14.2 1/ | 7.7 D<br>13.8 CE<br>-0- |
| Portsmouth Gas Co. | 8/08/79 | 603 | | | | | | | | | | | | |
| Virginia Electric & Power Co. | 8/09/79 | 3,648 | 11/15/79 | 3,648 | | | | | | | | | | |
| Washington Gas Light Co. | 7/31/79 | 1,524 | | | | | | | | | | | | |

1/ Deferred items.

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cascade Natural Gas Corp. | 12/0[illegible]/78 | 6,994 | | | | 72,522 | 3,153 | 10.92 | 14.25 | | | | 50.0 D<br>15.0 PFD<br>35.0 CE | 4.80 D<br>1.13 PFD<br>4.99 CE |
| Wash. Natural Gas Corp. | 3/30/79 | 5,250 | | | 9/24/79 | 169,559 | 2,786 | 10.45 | | | | | | |

# 1979 GAS UTILITY RATE CASES

## WEST VIRGINIA PUBLIC SERVICE COMMISSION

### Gas Rate Cases - Final Orders - 1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9556 | ABC Gas Company | 7/13/78 | 11/01/78 | 1/11/79 | 6,906 | 5,805 | |
| 9460 | Bluefield Gas Company | 8/16/78 | 9/18/78 | 9/07/79 | 158,714 | 158,714 | 9.66 |
| 9252 | Consumers Gas Utility Company | 3/16/78 | 4/15/78 | 5/28/79 | 988,073 | 263,476 | 12 |
| 9359 | Equitable Gas Company | 5/22/78 | 6/21/78 | 6/04/79 | 1,313,188 | 1,313,188 | 8.42 |
| 9309 | Hope Natural Gas Company | 5/03/78 | 6/03/78 | 12/21/79 | 25,067,000 | 14,955,328 | |
| 9544 | Interstate Utilities Company | 8/16/78 | 11/17/78 | 11/02/79 | 35,241 | 19,205 | 12 |
| 9599 | Lumberport-Shinnston Gas Company | 11/03/78 | 12/01/78 | 12/20/79 | 297,633 | - | |
| 9457 | Oceana Gas Company, Inc. | 8/02/78 | 8/25/78 | 8/28/79 | 53,576 | 32,193 | 11.95 |
| 9658 | Ravencliff Fuel and Supply Company | 12/19/78 | 11/26/79 | 11/26/79 | 29,720 | 17,551 | |
| 9275 | Union Oil and Gas, Inc. | 3/29/78 | 4/29/78 | 5/01/79 | 333,706 | 141,356 | 12 |
| 79-177 | Union Oil and Gas, Inc. | 5/29/79 | 6/27/79 | 7/30/79 | 185,881 | - | |
| 9637 | Waggoner Gas Company | 11/30/78 | 7/18/79 | 7/18/79 | 16,114 | 10,760 | 7.57 |

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| WISCONSIN PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lake Superior District Power Co. | 3/02/78 | 343 | | | 2/06/79 | 2,655 | 266 | 9.71 | 13.00 | 6,907 | 6,649 | 258 | 52.98 D<br>6.32 PFD<br>40.70 CE | 7.74 D<br>5.00 PFD<br>13.00 CE |
| Superior Water, Light & Power Co. | 6/16/78 | 324 | | | 9/28/79 | 4,379 | 314 | 9.21 | 13.25 | 7,967 | 7,564 | 403 | 53.00 D<br>9.90 PFD<br>37.10 CE | 5.88 D<br>7.96 PFD<br>13.25 CE |
| Wisconsin Fuel & Light Co. | 11/02/78 | 867 | | | 8/23/79 | 10,971 | 507 | 9.71 | 12.0 | 35,097 | 34,032 | 1,065 | 43.00 D<br>57.00 CE | 6.75 D<br>12.00 CE |
| Wisconsin Southern Gas Company | 9/07/78 | 1,800 | | | 2/11/79 | 15,048 | 1,176 | 12.47 | 17.00 | 23,508 | 21,632 | 1,876 | 62.20 D<br>5.40 PFD<br>32.40 CE | 9.42 D<br>8.63 PFD<br>17.00 CE |
| WYOMING PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cody Gas | 8/0[illegible]/79 | 255 | | | 9/10/79 | 2,161 | 210 | 11.75 | 14.0 | 857 | 785 | 72 | 72.7 D<br>27.3 CE | 7.93 D<br>3.82 CE |
| McCulloch Gas Transmission Company | 6/22/78 | 558 | | | 3/15/79 | 996 | 490 | 10.0 | 14.2 | 6,181 | 6,081.4 | 99.6 | 51.3 D<br>48.7 CE | 3.08 D<br>6.92 CE |
| Montana-Dakota Utilities | 5/05/78 | 1,421 | | | 2/14/79 | 6,787 | 817 | 9.5 | 13.0 | 7,311 | 6,666 | 645 | 50.3 D<br>11.2 PFD<br>38.5 CE | 3.74 D<br>.75 PFD<br>5.01 CE |
| OKLAHOMA CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lone Star Gas Co. | 10/23/78 | 2,250 | | | 9/28/79 | 11,890 | (1,170)<br>Reduction | 10.05 | 13.25 | 15,358 | | | 54.5 D<br>7.4 PFD<br>38.1 CE | 7.88 D<br>8.84 PFD<br>13.22 CE |
| Tecumseh Gas System, Inc. | 6/22/78 | 290 | | | 7/17/79 | | 120 | 10.0 | | | | | | |
| West-Tol Gas Co. | 3/19/79 | | | | | 114 | 26 | | | | | | | |
| RHODE ISLAND PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bristol & Warren Gas Company | 12/01/78 | 306,000<br>or<br>12.5% | | | Denied and dismissed 8/10/80. | | | | | | | | | |
| Bristol & Warren Gas Company | 8/30/79 | 325,757 | | | Denied and dismissed. | | | | | | | | | |
| Bristol & Warren Gas Company | 10/16/79 | 578,761 | | | Pending | | | | | | | | | |
| Providence Gas Company | 12/27/78 | 7,556 | | | 9/27/79 | 47,500 | 4,436 | 11.58 | 13 | 67,490 | 62,464 | 5,026 | | |

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| FEDERAL COMMUNICATIONS COMMISSION | | | | | | | | | | | | | | |
| MCI Telecommunications Commission | 4/13/79 | 28,938 | | | 7/01/79 | | 28,938 | | | 229,731 | 153,784 | 75,947 | | |
| MCI Telecommunications Commission | 8/03/79 | | | | 10/01/79 | | | | | | | | | |
| Southern Pacific Communications Corp. | 5/23/79 | .0096 (Min.)<br>8,645 (Year) | | | 7/01/79 | | 8,645 (Year) | | | 259,015 (Year) | 160,064 (Year) | 98,952 (Year) | | |
| United States Transmission Systems, Inc. | 2/16/79 | 421 (Year) | | | 4/15/79 | | 421 (Year) | | | 8,036 (Year) | 8,036 (Year) | | | |
| ALABAMA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| General Telephone | 11/21/79 | 3,884 | | | | | | | | | | | | |
| South Central Bell | 4/27/79 | 63,700 | | | 9/28/79 | 1,111,771 | 38,708 | 9.70 | 13.25 | 465,072 | 371,890 | 93,182 | 31.24 D<br>52.27 CE | 8.3 D<br>13.25 CE |
| ARKANSAS PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| General Telephone Co. of the Southwest | 1/10/78 | 2,968 | | | 6/05/79 | 50,888 | 1,501 | 8.5 | 13.69 | 17,663 | 13,435 | | 57.16 D<br>7.09 PFD<br>35.74 CE | 7.65 D<br>7.88 PFD<br>12.07 CE |
| Northern Arkansas Telephone Co. | 10/13/78 | 700 | 11/09/78 | 156 | 7/02/79 | 2,989 | 253 | 4.9 | 13.0 | 1,047 | 898 | 149 | 85.41 D<br>14.59 E | 3.54 D<br>13.0 E |
| Southwestern Bell Telephone Co. | 1/20/78 | 42,227 | | | 7/05/79 | 430,774 | 2,500 | 8.4 | 11.56 | 174,876 | 138,691 | 36,185 | 53.51 D<br>2.84 PFD<br>43.65 CE | 5.85 D<br>7.65 PFD<br>11.56 CE |
| CALIFORNIA PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Pacific Tel. & Telegraph Co., General Tel. Co. of California | 7/14/78<br>11/13/79 | 470,000<br>119,000 | | | 1/ | 6,753,500 | 1/ | 10.25 | | 3,582,839 | 2,926,085 | 656,754 | 50.04 D<br>4.24 PFD<br>45.72 CE | 8.64 D<br>7.90 PFD<br>12.25 CE |

1/ Three rate decisions involved: July 31, 1979--corrected by 10/10/79 order: October 10, 1979--$1.3 million: December 18, 1979--$36.6 million, total $37.9 million.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| COLORADO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| B&C Mobile Communications | 2/01/79 | 5 | | | 5/09/79 | 61 | 5 | 7.14 | Negative | 36 | 32 | 4 | 100.0 D | |
| Phillips County Tel. Co. | 7/23/79 | 19 | | | 11/07/79 | 957 | 19 | 9.29 | 8.57 | 374 | 285 | 89 | 22.63 D<br>77.37 CE | 11.75 D<br>8.57 CE |
| DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| The Chesapeake & Potomac Telephone Co. | 10/24/79 | 9,200 | | | Pending | | | | | | | | | |
| FLORIDA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| General Telephone Co. of Florida | 2/16/79 | 25,580<br>1/ | 7/24/79 | 9,826 | 12/27/79 | 880,448 | 12,085 | 9.06 | 13.25 | | | | 42.76 D<br>7.45 PFD<br>38.44 CE | 7.81 D<br>8.44 PFD<br>13.25 CE |
| United Telephone Co. of Florida 2/ | 10/06/78 | 1,451<br>3/ | 5/01/79 | (3,300) | 1/14/80 | 154,158 | (2,804) | 9.62 | 13.25 | | | | 42.63 D<br>45.71 CE | 8.35 D<br>13.25 CE |

1/ Make whole--revised to $45,986.
2/ Reverse make whole initiated on Commission's own motion.
3/ Although this proceeding was initiated by the Commission, the company filed data requesting the increase.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| GEORGIA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alma Telephone Company | 2/14/79 | 200 | | | 8/01/79 | 7,408 | 84 | 5.09 | | 1,234 | 917 | 377 | 76.18 D<br>23.82 CE | |
| Bulloch County Rural Tel. Coop., Inc. | 10/25/78 | 101 | | | 1/16/79 | 6,497 | 101 | 2.31 | | 1,166 | 1,016 | 150 | 96.04 D<br>3.96 CE | |
| Darien Telephone Co. | 10/13/78 | 72 | | | 2/07/79 | (Denied) | | | | | | | | |
| Empire Telephone Co. | 11/20/78 | 466 | | | 5/09/79 | 8,371 | 282 | 5.02 | 5.08 | 2,041 | 1,621 | 420 | 87.60 D<br>12.40 CE | |
| Southern Bell Tel. & Telegraph Co. | 1/05/79 | 52,650 | | | 6/19/79 | 1,478,005 | 50,930 | 9.73 | 12.00 | | | 118,203 | 46.19 D<br>2.56 PFD<br>51.25 CE | 7.32 D<br>7.70 PFD<br>12.00 CE |

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| IDAHO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| General Tel. Co. of the Northwest | 12/28/79 | 1,245 | | | | | | | | | | | | |
| Mountain States Telephone | 7/31/78 | 11,563 | | | 4/13/79 | 153,641 | 4,370 | 9.06 | 12.5 | 79,849 | | 13,920 | 38.19 D<br>46.37 CE | 7.43 D<br>12.5 CE |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| General Telephone Co. | 3/01/79 | 150.0 | | | 5/23/79 | | 150.0 | | | | | | | |
| Illinois Bell Tel. Co. | 2/07/78 | 2,106.0 | | | 1/03/79 | | 2,106.0 | | | | | | | |
| Illinois Bell Tel. Co. | 5/05/78 | 3.1<br>(New Service Charge) | | | 3/28/79 | | 3.1 | | | | | | | |
| Illinois Bell Tel. Co. | 6/26/78 | 580.0 | | | 2/07/79 | | 580.0 | | | | | | | |
| Illinois Bell Tel. Co. | 12/29/78 | 695.0 | | | 1/ | | 695.0 | | | | | | | |
| Illinois Bell Tel. Co. | 2/16/79 | 17,200.0 | | | 1/08/80 | | 7,530.0 | | | | | | | |
| Illinois Bell Tel. Co. | 6/01/79 | 734.0 | | | | | | | | | | | | |
| Illinois Bell Tel. Co. | 7/13/79 | (74.9) Decrease | | | | | | | | | | | | |
| Illinois Bell Tel. Co. | 12/21/79 | 201,000.0 | | | | | | | | | | | | |
| Montrose Mutual Tel. Co. | 4/11/78 | 21.0 | | | 3/07/79 | | 21.0 | | | | | | | |
| 1/ No docketed order; advice numbers go into effect 30 days from filing date. | | | | | | | | | | | | | | |
| IOWA STATE COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Central Telephone Co. RPU-79-51 | 12/12/79 | 2,426 | 4/10/80 | 2,426<br>1/ | | | | | | | | | | |
| Clear Lake Independent Tel. Co. RPU-78-16 | 5/02/78<br>2/ | | | | 10/11/79<br>3/ | | (255)<br>4/ | | | | | | | |
| Northwestern Bell Tel. Co.<br>RPU-77-21<br>Phase I Complete | 9/02/77<br>Phase I<br>5/31/79<br>Phase II 5/ | 17,300 | 1/01/78 | 16,300<br>1/ | | | | | | | | | | |
| United Telephone Co. | 3/30/79 | 520 | 7/31/79 | 520 | | | | | | | | | | |
| RPU-79-12 | | 1,072 | 1/01/80 | 1,072 1/ | | | | | | | | | | |
| 1/ Revenue collected under bond subject to refund.<br>2/ Date commission instituted investigation.<br>3/ Date decrease ordered.<br>4/ Decrease due to contested findings of Docket U-455.<br>5/ Commission allowed an extension of this Docket which is tantamount to a new filing in 1979. | | | | | | | | | | | | | | |
| KANSAS STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Southwestern Bell Tel. | 1/11/79 | 47,276 | | | | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Athens Tel. Co., Inc. | 4/20/79 | 6 | | | 10/31/79 | 467 | 6 | 4.94 | 4.39 | 106 | 83 | 23 | 46.25 D<br>53.75 CE | 5.57 D<br>4.39 CE |
| Caddoan Tel. Company | 4/20/79 | 132 | | | 10/31/79 | 8,230 | 132 | 9.88 | 10.16 | 2,465 | 1,652 | 813 | 65.54 D<br>34.46 CE | 9.74 D<br>10.16 CE |
| Century Tel. Company, Inc. | 10/26/79 | 25 | | | Pending | | | | | | | | | |
| Coastal Telephone & Electronics Corp. | 10/26/79 | 70 | | | Pending | | | | | | | | | |
| Lafourche Tel. Company | 4/02/79 | 354 | | | Pending | | | | | | | | | |
| Louisiana Western Tel. Co. | 10/26/79 | 82 | | | Pending | | | | | | | | | |
| Northeast La. Tel. Co., Inc. | 1/26/79 | 34 | | | 6/19/79 | | 34 | | | | | | | |
| Northeast La. Tel. Co., Inc. | 4/20/79 | 17 | | | 10/31/79 | 1,022 | 17 | 7.67 | 9.84 | 339 | 261 | 78 | 46.43 D<br>53.57 CE | 5.16 D<br>9.84 CE |
| Ringgold Tel. Co., Inc. | 5/01/79 | 37 | | | 9/19/79 | 1,227 | 37 | 6.56 | 12.08 | 429 | 349 | 80 | 54.72 D<br>45.28 CE | 2.00 D<br>12.08 CE |
| South Central Bell Tel. Co. | 3/02/79 | 111,600 | | | Pending | | | | | | | | | |
| South Central Bell Tel. Co. | 6/01/79 | 12,689 | | | Pending | | | | | | | | | |
| The Plain Dealing Tel. Co., Inc. | 4/20/79 | 30 | | | 10/31/79 | 1,973 | 30 | 8.97 | 12.13 | 588 | 411 | 177 | 68.75 D<br>31.25 CE | 7.53 D<br>12.13 CE |
| United Tel. Co. of La., Inc. | 4/20/79 | 29 | | | 10/31/79 | 2,574 | 29 | 6.23 | 8.57 | 765 | 605 | 160 | 43.39 D<br>56.61 CE | 3.17 D<br>8.57 CE |

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| MARYLAND PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Chesapeake & Potomac Tel. Co. | 4/16/79 | N/A | | | 11/13/79 | 1,190,664 | 16,414 | 9.9 | | | | | | |
| MICHIGAN PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Michigan Bell Tel. Co. | 12/07/78 | 234,096 | 6/05/79 | 41,230 | | | | | | | | | | |
| MINNESOTA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Continental Tel. Co. | 5/11/79 | 4,363 | 8/09/79 | 2,521 | | | | | | | | | | |
| Northwestern Bell Tel. Co. | 4/06/79 | 28,382 | 7/07/79 | 28,382 | | | | | | | | | | |
| Twin Valley-Ulen Tel. Co. | 5/25/79 | 19.5 | 9/01/79 | 19.5 | | | | | | | | | | |
| United Tel. Co. | 7/30/79 | 3,406 | 10/28/79 | 3,406 | | | | | | | | | | |
| Wikstrom Tel. Co. | 4/30/79 | 230.9 | 8/01/79 | 230.9 | | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Chariton Valley Tel. Corp. | | 124 | | | 10/03/79 | 5,914<br>1/ | 124 | 5.04 | 16.0 | | | | 90.9 D<br>9.0 CE | --<br>16.0 CE |
| Continental Tel. Co. of Mo. | | -0- | | | 9/05/79 | | (311) | | | | | | | |
| Continental Tel. Co. of Mo. | | -0- | | | 2/21/79 | | (291) | 9.12 | 12.00 | | | | 50.73 D<br>8.12 PFD<br>34.68 CE | --<br>--<br>12.00 CE |
| Ellington Tel. Co. | | 29 | | | 5/16/79 | | 24 | | | | | | | |
| Fidelity Tel. Co. | | -0- | | | 10/31/79 | | (228) | | | | | | | |
| United Tel. Co. | | -0- | | | 12/19/79 | | (1,317) | | | | | | | |
| 1/ Method of Computing Rate Base: Original Cost. | | | | | | | | | | | | | | |
| NEBRASKA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Hooper Tel. Company | 1/19/78 | 33 | | | 12/11/79 | 672 | 33 | 11.5 | | | | | | |
| United Tel. Co. of the West | 8/07/79 | 700 | | | 12/18/79 | 22,751 | 133 | 9.75 | 12.15 | 9,071 | 6,853 | 2,218 | 60.03 D<br>4.37 PFD<br>35.60 CE | 8.34 D<br>9.05 PFD<br>12.15 CE |
| NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Union Tel. Co. | 5/25/79 | 125 | 7/25/79 | 81 | 12/19/79 | 2,052 | 80 | 10.56 | 13.5 | 849 | 632 | 217 | 61.89 D<br>38.11 CE | 8.5 D<br>13.5 CE |
| NEW JERSEY BOARD OF PUBLIC UTILITIES | | | | | | | | | | | | | | |
| New Jersey Bell Telephone | 11/77 | 148,000 | 1/07/79 | 51,157 | | | | | | | | | | |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Dunkirk & Fredonia Tel. Co. | 6/11/79 | 95.2 | | | 10/01/79 | 3,501.2 | 95.2 | 9.48 | 12.9 | 1,801 | 1,189.3 | 314.3 | 42.4 D<br>11.1 PFD<br>46.4 CE | 6.5 D<br>6.5 PFD<br>12.9 CE |
| Edwards Tel. Co. | 7/13/79 | 78 | | | 11/14/79 | 1,513 | 63 | 6.36 | 19.0 | 388 | 274 | 96 | 43.8 D<br>15.8 PFD<br>40.4 CE | 9.1 D<br>7.1 PFD<br>19.0 CE |
| New York Tel. Co. | 5/08/78 | 80,000 | | | 4/07/79 | 5,947,053 | 15,200 | 9.24 | 11.5 | 3,438,886 | 2,258,122 | 532,665 | 44.9 D<br>5.7 PFD<br>49.4 CE | 7.0 D<br>7.6 PFD<br>11.5 CE |
| New York Tel. Co. | 12/11/78 | 240,300 | | | 11/20/79 | 6,127,167 | 166,650 | 10.53 | 13.2 | 3,551,336 | 2,988,545 | 562,821 | 43.1 1/<br>4.1 2/<br>2.4 PFD<br>50.4 CE | 7.3 1/<br>13.5 2/<br>7.6 PFD<br>13.2 CE |
| Nicholville Tel. Co., Inc. | 9/18/78 | 40 | | | 1/01/79 | 964 | 40 | 6.22 | 14.0 | 272 | 263 | 9 | 77.6 D<br>9.9 PFD<br>12.5 CE | 5.0 D<br>6.0 PFD<br>14.0 CE |

1/ Long-term debt.
2/ Short-term debt.

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Barnardsville Telephone Company | 4/23/79 | 60 | | | 8/02/79 | | 42 | 6.97 | | 166 | 123 | 43 | 82.14 D<br>17.86 CE | 5.00 D<br>16.00 CE |
| Carolina Tel. & Telegraph Company | 1/19/78 | 5,519 | | | 4/20/79 | 277,439<br>2/ | 3,420<br>1/ | 10.19 | 12.75 | 127,918 | 100,352 | 27,566 | 50.10 D<br>49.90 CE | 7.64 D<br>12.75 CE |
| Mebane Home Tel. Co. | 4/23/79 | 129 | | | 11/26/79 | 3,697<br>2/ | 37 | 5.92 | 16.50 | 1,341 | 1,122 | 219 | 83.08 D<br>16.92 CE | 3.76 D<br>16.50 CE. |
| Southern Bell Tel. & Telegraph Co. | 7/03/79 | 45,282 | | | Pending | | | | | | | | | |
| 1/ Net increase of toll rate increase in P-100, Sub. 45 and local service rate decrease in P-7, Sub. 624. | | | | | | | | | | | | | | |
| 2/ Method of computing rate base: fair value. | | | | | | | | | | | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Northwestern Bell Tel. Co. | 10/19/79 | 7,525 | | | | | | | | | | | | |
| OHIO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Wabash Mutual Tel. Co. | 12/13/79 | 17 | | | | | | | | | | | | |
| *** | | | | | | | | | | | | | | |
| ONTARIO TELEPHONE SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Brooke Municipal Telephone System | 10/10/78 | 9.9 | | | 1/30/79 | | 9.2 | | | | | | | |
| Coldwater Municipal Telephone System | 12/18/78<br>Revised<br>6/29/79 | 18.3<br>Revised<br>22.9 | | | 11/21/79 | | -- | | | | 88.3 | 125 | | |
| Community Telephone Co. of Ontario Ltd. | 6/21/78<br>Revised<br>9/14/78 | 356.<br>Revised<br>216.1 | | | 8/28/79 | 7,011 | 156.7 | 9.5 | 11.2 | | 3,695.9 | 2,122.3 | 56.14 D<br>3.88 PFD<br>39.98 CE | 8.86 D<br>6.37 PFD<br>12-14 CE |
| Hay Municipal Telephone System | 5/10/79 | 55.4 | | | 12/16/79 | | -- | | | | 563 | 617 | | |
| Huron & Kinloss Municipal Telephone System | 5/01/79<br>Revised<br>10/04/79 | 59.2<br>Revised<br>62.5 | | | 12/01/79 | | 57.4 | | | | 177 | 351 | | |
| North Renfrew Telephone Co., Ltd. | 4/22/79<br>Revised<br>5/14/79 | 18.1<br>Revised<br>18.6 | 9/21/79<br>10/01/79 | 18.6 | | | | | | | | | | |
| People's Telephone Co. of Forest Limited | 8/21/78<br>2/19/79 | 40.4<br>51.0 | | | 10/03/79 | 1,721 | -- | | 17.2 | 162.3 | | 764 | 45.31 D<br>54.69 CE | 13.25 D<br>14-16 CE |
| Thunder Bay Telecommunications | 10/30/78 | 637 | | | 10/31/79 | | 137 | | | | 652.2 | 1,093.68 | | |
| OREGON PUBLIC UTILITY COMMISSIONER | | | | | | | | | | | | | | |
| Pacific Northwest Bell Telephone Co. | 12/14/79 | 43,902 | | | Pending | | | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bell Telephone Co. of Pa. | 1/22/79 | 77,299 | | | | | | | | | | | | |
| Big Run Telephone Co. | 10/19/79 | 115 | | | | | | | | | | | | |
| Citizens Utilities Co. of Pa. | 10/19/79 | 204 | | | | | | | | | | | | |
| General Tel. Co. of Pa. | 11/28/79 | 13,195 | | | | | | | | | | | | |
| Lackawaxen Telephone Co. | 10/29/79 | 29 | | | 12/28/79 | 1,025 | 6 | 5.50 | 14.07 | 285 | 229 | 56 | 82.95 D<br>17.05 CE | 3.738 D<br>14.07 CE |
| Marianna & Scenery Hill Telephone Co. | 6/15/79 | 97 | | | 9/13/79 | 1,951 | 53 | 6.32 | 13.75 | 637 | 514 | 123 | 71.9 D<br>28.1 CE | 3.42 D<br>13.75 CE |
| North Penn Tel. Co. | 12/01/78 | 53 | | | 4/25/79 | 3,727 | 45 | 5.42 | 14.0 | 1,055 | 849 | 206 | 77.54 D<br>.31 PFD<br>22.15 CE | 2.97 D<br>5.0 PFD<br>14.0 CE |
| S. Canaan Telephone Co. | 10/27/78 | 24 | | | 2/07/79 | 1,116 | 17 | 6.79 | 14.0 | 362 | 286 | 76 | 73.6 D<br>26.4 CE | 4.2 D<br>14.0 CE |

*** For Oklahoma rate cases see end of Telephone Utility Rate Cases.

# 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| QUEBEC PUBLIC SERVICE BOARD | | | | | | | | | | | | | | |
| Quebec-Telephone | 8/14/78 | 11,000 | | | 3/20/79 | 261,122 | 10,170 | 7.88<br>1/ | | 108,794 | 77,307 | 10,299 | 59.3 D<br>11.8 PFD<br>28.9 CE<br>2/ | 9.7 D<br>7.0 PFD<br>14.0 CE<br>2/ |

1/ The rate of return is granted on the rate base which is composed of the assets used by the public (original cost less accumulated depreciation).
2/ Although the Board is not directly involved in determining the capital structure and the cost of capital allowed, the above figures are accepted by the Board.

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Southern Bell | 8/05/79 | 35,903 | | | Pending | | | | | | | | | |
| SOUTH DAKOTA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Northwestern Bell | 8/31/79 | 3,800 | | | Pending | | | | | | | | | |
| TENNESSEE PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Concord Tel. Exchange, Inc. | 1/02/79 | 315 | | | | | -0- | | | | | | | |
| Millington Telephone Co. | 7/02/79 | 387 | | | 12/21/79 | 13,898 | 387 | 6.15 | 10.5 | 4,720 | 3,866 | 854 | 86.0 D<br>14.0 CE | 5.44 D<br>10.50 CE |
| S. Central Bell Tel. Co. | 7/01/77 | 92,000 | 3/15/79 | 31,833 | 6/28/79 | 1,245,550 | 48,935 | 9.10 | 11.73 | 603,041 | 489,696 | 113,345 | 51.0 D<br>4.0 PFD<br>45.0 CE | 6.89 D<br>7.79 PFD<br>11.73 CE |
| United Inter-Mountain Telephone Company | 7/10/78 | 3,400 | 1/18/79<br>by Court Order | 1,630 | 12/28/79 | 100,746 | 2,352 | 10.2 | | 36,637 | 26,361 | 10,276 | | |
| TEXAS PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Avery Telephone Co. | 9/25/78 | 18.2 | | | 3/08/79 | 225.4 | 18.2 | 8.57 | 9.07 | | 176.0 | | 18.45 D<br>81.55 CE | 1.18 D<br>9.17 CE |
| Clifton Telephone Co. 1/ | 12/20/78 | 160.3 | | | 6/26/79 | 1,956.3 | | 6.34 | 12.34 | | 837.5 | | 57.94 D<br>6.05 2/<br>36.01 CE | 1.15 D<br>.75 2/<br>4.44 CE |
| Colmesneil Telephone Co. | 1/19/79 | 17.1 | | | 7/11/79 | 1,506.1 | 17.1 | 10.24 | | | | | | |
| Garrison Telephone Co. 1/ | 12/20/78 | 196.0 | | | 6/14/79 | 2,609.5 | 110.9 | 9.59 | 12.34 | | 1,058.9 | | 18.94 D<br>33.24 2/<br>47.82 CE | .37 D<br>3.32 2/<br>5.90 CE |
| LaWard Telephone Co., Inc. | 11/13/78 | 26.4 | | | 3/27/79 | 1,730.3 | 26.4 | 3.115 | 9.57 | | 220.1 | | 85.0 D<br>15.0 CE | |
| Mid-State Telephone Co. 1/ | 12/20/78 | 113.2 | | | 6/26/79 | 2,080.2 | 113.2 | 3.49 | 12.34 | | 615.7 | | 15.66 D<br>33.67 2/<br>50.6 CE | .31 D<br>3.37 2/<br>6.25 CE |
| Poka Lambro Rural Tel. Co. | 7/20/78 | 52.7 | | | 1/11/79 | 6,524.5 | 52.7 | 5.14 | | | 1,283.3 | | | |
| Southwestern Bell Tel. Co. | 6/28/79 | 143,667 | | | 11/09/79 | 4,295,286 | 138,776 | 10.17 | 13.1 | | 2,322,609 | | 39.58 3/<br>5.29 4/<br>13.52 5/<br>41.61 CE | 7.63 3/<br>10.37 4/<br>--<br>13.10 CE |
| United Telephone Co. 1/ | 12/20/78 | 190.6 | | | 6/26/79 | 3,499.3 | 190.6 | 1.14 | 12.34 | | 1,073.2 | | 11.92 D<br>41.20 2/<br>46.88 CE | .23 D<br>4.12 2/<br>5.78 CE |
| Wise County Tel. Co. 1/ | 12/20/78 | 431.0 | | | 6/14/79 | 6,863.0 | 270.5 | 7.41 | 12.34 | | 3,257.5 | | 47.67 D<br>6.85 2/<br>45.48 CE | .95 D<br>.85 2/<br>5.61 CE |

1/ Subsidiary of Central Telephone Co. of Texas. 2/ Advances. 3/ Long-term debt. 4/ Short-term debt. 5/ Retained earnings.

| | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| UTAH PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Continental Telephone Co. of the West (Case No. 78-041-02, Ariz. Corp.) | 10/12/78 | 523 | | | 12/05/79 | 15,390 | 163<br>1/ | 9.63 | 14.00 | 6,610 | 5,239 | 1,371 | 53.23 D<br>4.84 PFD<br>38.71 CE<br>3.23 2/ | 7.118 D<br>8.673 PFD<br>14.00 CE<br>-0- |

1/ Additional modification of rate increase filed August 22, 1979 and granted on December 5, 1979 for $16,376 increase.
2/ Deferred taxes.

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| VERMONT PUBLIC SERVICE BOARD | | | | | | | | | | | | | | |
| New England Telephone & Telegraph Co. Docket 4 66 | 3/08/79 | 10,500 | 9/13/79 | 2,450<br>2,450 | (Effective 9/15/79)<br>(Effective 12/30/79) | | | | | | | | | |

Note: The following telephone companies all filed to concur with New England Telephone and Telegraph Co.'s rate increase so that the rates for message toll telephone service, private line service, Vermont wide area telephone, and foreign exchange service would be the same: Shoreham Telephone Company, Waitsfield-Fayston Telephone Company, Topsham Telephone Company, Continental Telephone Company, Franklin Telephone Company, Northfield Telephone Company, Perkinsville Telephone Company, and Ludlow Telephone Company. Docket No. 4412; for effect 9/29/79.

VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost)

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Citizens Tel. Coop. | 1/04/79 | 169 | | | 5/01/79 | 2,983 | 169 | 6.64 | 12.12 | 947 | 747 | 198 | 59.63 D<br>.25 1/<br>39.75 CE<br>.37 2/ | 3.42 D<br>--<br>12.12 CE<br>6.00 2/ |
| Clifton Forge-Waynesboro Telephone Co. | 11/23/79 | 790 | | | | | | | | | | | | |
| Continental Telephone Co. of Virginia | 11/01/79 | 2,779 | | | | | | | | | | | | |
| General Telephone Co. of the Southeast | 6/09/78 | 1,041 | | | 1/02/79 | 23,412 | 478 | 9.0 | 11.75-12.5 | 7,659 | 5,552 | 2,107 | 52.0 D<br>8.0 PFD<br>32.0 CE<br>8.0 3/ | 8.5 D<br>8.5 PFD<br>11.75 -<br>12.75 CE |
| General Telephone Co. of the Southeast | 11/01/79 | 758 | | | | | | | | | | | | |
| United Inter-Mountain Telephone Co. | 10/31/79 | 811 | | | | | | | | | | | | |

1/ Deferred interest. 2/ Customers' deposit. 3/ Cost free capital.

WEST VIRGINIA PUBLIC SERVICE COMMISSION

Telephone Rate Cases - Final Orders - 1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9251 | Armstrong Telephone Company | 3/03/78 | 4/01/78 | 6/12/79 | 57,308 | 57,308 | 5.97 |
| 9358 | C & P Telephone Company | 5/15/78 | 6/15/78 | 3/23/79 | 34,200,000 | 20,459,790 | 9 |
| 9273 | Richie Telephone Company | 3/31/78 | 5/01/78 | 7/22/79 | 78,000 | 16,385 | 4.46 |
| 9364 | West Virginia Telephone Company | 6/02/78 | 7/03/78 | 6/28/79 | 1,575,430 | 720,669 | 8 |

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| WISCONSIN PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| La Crosse Tel. Corp. | 5/10/75 | 1,533 | | | 5/10/79 | 23,260 | 1,108 | 11.01 | 26.0 | 12,036 | 9,475 | 2,561 | 71.80 D<br>10.36 PFD<br>17.84 CE | 6.59 D<br>8.13 PFD<br>26.0 CE |
| North-West Tel. Co. | 5/05/77 | 1,850 | | | 8/30/79 | 48,471 | 1,472 | 10.15 | 22.0 | 18,119 | 13,199 | 4,920 | 80.06 D<br>.35 PFD<br>19.59 CE | 6.31 D<br>4.50 PFD<br>22.00 CE |
| Wisconsin Tel. Co. | | 49,722 | | | 11/29/79 | 1,020,259 | 19,887 | 10.09 | 11.50 | 507,619 | 404,675 | 102,944 | 45.80 D<br>2.80 PFD<br>51.40 CE | 8.33 D<br>7.57 PFD<br>11.50 CE |

## 1979 TELEPHONE UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| OKLAHOMA CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cherokee Telephone Co. | 10/16/78 | 32 | | | 11/06/79 | | 13 | | | | | | | |
| Continental Telephone Co. | 4/03/78 | 27 | | | 5/15/79 | 302 | 27 | 8.35 | 13.0 | | | | | |
| Valliant Telephone Co. | 7/19/78 | 11 | | | 5/01/79 | 1,827 | (27)<br>Reduction | 6.81 | | | | | | |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| **ARIZONA CORPORATION COMMISSION** (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Arizona Water Co. | 2/17/78 | 1,500 | | | 10/18/79 | 27,480 | 1,206 | 6.7 | 13.6 | 7,414 | 5,568 | 1,847 | 53.1 D<br>8.7 PFD<br>38.2 CE | 9.2 D<br>6.0 PFD<br>13.6 CE |
| **ARKANSAS PUBLIC SERVICE COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Russellville Water Co. | 10/09/78 | | | | 9/25/79 | 2,932 | 137 | 10.20 | | 1,016 | | 301 | 54.32 D<br>45.68 E | 8.26 D<br>12.5 E |
| **CALIFORNIA PUBLIC UTILITIES COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alisal Water Corp. | 2/28/78 | 81.6 | | | 2/27/79 | 679.5 | 25.7 | 9.8 | 11.5 | 372.3 | 144.4 | | 32.0 D<br>68.0 CE | 6.09 D<br>11.5 CE |
| Apple Valley Ranches Water Co. | 12/03/78<br>Amended<br>3/09/79 | 426.4 | 6/25/79 | 140.7 | | | | | | | | | | |
| Arrowhead Manor Water Co. | 8/23/77 | 16.3 | 10/10/79 | 15.6 | | | | | | | | | | |
| Camp Meeker Water System, Inc. | 12/04/79 | 48 | | | Pending | | | | | | | | | |
| Campbell Water Co. | 12/29/77 | 89.7 | | | 1/16/79 | 1,631.6 | 73.9 | 9.75 | 12.60 | 861.8 | 692.7 | 169.1 | 54.22 D<br>2.86 PFD<br>42.92 CE | 7.70 D<br>6.00 PFD<br>12.60 CE |
| Del Este Water Company | 6/28/78 | 308.7 | 2/14/79 | 78.0 | 12/18/79 | 2,781.5 | 103.4 | 11.4 | 13.0 | 1,852.5 | 1,373.6 | | | |
| Donner Lake Utility Co. | 9/27/79 | 46.6 | | | | | | | | | | | | |
| East Side Canal & Irrigation Company | 9/27/78 | 54.3 | | | 9/25/79 | 208.4 | 28.9 | 9.2 | 9.2 | 76.2 | 49 | | 100.0 CE | 9.2 CE |
| Elk Grove Water Works | 11/30/77 | 32 | | | 7/17/79 | 219 | 28 | 5.5 | | 158 | 146 | 12 | | |
| Gibbs Ranch Water Co. | 5/05/78 | 32.1 | | | 6/05/79 | 274.6 | 24.1 | 9.6 | 9.6 | 76.4 | 31.4 | | 100.0 CE | 9.6 CE |
| Hillview #6 Water Co. | 12/18/79 | 2 | | | Pending | | | | | | | | | |
| Jackson Water Works | 1/07/75 | 102.8 | | | 4/10/79 | 774.7 | 48.0 | 7.7 | 9.1 | 774.7 | 115.2 | | | |
| Patterson City Water Co. | 1/30/78 | 45.4 | | | 1/16/79 | 237.4 | 18.3 | 10.4 | 11.06 | 168.5 | 143.8 | 24.7 | 37.5 D<br>62.5 CE | 9.31 D<br>11.06 CE |
| Pomona Valley Water Co. | 12/23/77 | 137.4 | 1/16/79 | 44.3 | | | | | | | | | | |
| Tustin Water Works | 7/05/78 | 499.6 | | | 7/31/79 | 3,758.6 | 441.3 | 9.8 | 12.68 | 1,770.0 | 1,685.5 | 84.5 | 65.86 D<br>34.14 CE | 8.3 D<br>-- |

Note: In addition to the water utility formal applications for rate increases authorized in 1979, there were numerous other rate increases authorized under the Advice Letter Procedure. These are summarized as follows: (A) General rate increases--49 Advice Letters, total rate increases - $617.921; (B) Offset rate increases--30 Advice Letters, total rate increases - $1,910,076.

| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| **COLORADO PUBLIC UTILITIES COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Cascade Public Service Co. | 9/28/79 | 8 | | | 1/31/79 | 89 | 8 | 12.37 | 13.18 | 52 | 41 | 11 | 25.42 D<br>74.58 CE | 10.00 D<br>13.18 CE |
| **CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL** 1/ | | | | | | | | | | | | | | |
| Ansonia Derby Water Co. | 7/24/73 | 511 | | | 12/21/79 | 4,125 | 276 | 12.08 | | 1,598 | 1,104 | 494 | | |
| Broad Brook Div. of Conn. Water Co. | 11/30/78 | 34 | | | 5/09/79 | 375 | 16 | 8.16 | 13.00 | 118 | 87 | 31 | | |
| Conn. American Water Co. | 10/06/78 | 1,642 | | | 8/09/79 | 14,459 | 1,061 | 9.01 | | 5,744 | 4,442 | 1,302 | | |
| Connecticut Water Co. | 1/16/79 | 609 | | | 6/07/79 | 21,894 | 483 | 9.83 | 13.00 | 7,356 | 5,211 | 2,145 | | |
| Crystal Water Co. | 5/11/79 | 64 | | | 10/11/79 | 244 | 57 | 12.69 | 13.5 | 270 | 239 | 31 | | |
| Dancon Corp., Water Div. | 7/30/79 | 18 | | | 12/28/79 | | 9 | | | 64 | 46 | 18 | | |
| Litchfield County Water | 3/15/79 | 114 | | | 8/14/79 | 697 | 44 | 12.56 | 13.81 | 349 | 262 | 87 | | |
| New Haven Water Co. | 4/12/79 | 1,812 | | | 9/12/79 | 71,522 | 1,724 | 9.75 | | 25,018 | 18,042 | 6,976 | | |
| Thompson Water Co. | 5/11/79 | 23 | | | 10/11/79 | | 8 | | | | | | | |
| Village Water Co. of Simsburg | 11/02/78 | 82 | | | 4/12/79 | 414 | 57 | 12.25 | 11.28 | 401 | 351 | 50 | | |
| Williamsville Water Co. | 5/11/79 | 6 | | | 10/11/79 | | 6 | | | 20 | 15 | 5 | | |

1/ Rate base is computed on original cost in all cases where rate base is used.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| FLORIDA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Apache Shores Utilities, Inc. | 6/09/75 | 1/ | 2/23/77 | | 3/07/79 | 15.8 | 4.1 | 10.53 | 11.50 | 14.3 | 12.6 | 1.7 | 23.92 D<br>76.08 CE | 7.44 D<br>11.50 CE |
| B.C.D. Industries, Inc. | 2/24/77 | 3.5 | | | 2/08/79 | 24.8 | (1.40) | 8.64 | 20.0 | 8.6 | 6.5 | 2.1 | 98.8 D<br>1.2 CE | 8.5 D<br>20.0 CE |
| Broadview Park Water Co. | 9/25/78 | 50.6 | 7/05/79 | 6.1 | | | | | | | | | | |
| Broadview Utilities Corporation | 8/02/78 | 119 | 9/08/78 | 26 | 11/14/79 | 513 | 106 | 10.07 | 12.75 | 301 | 244 | 57 2/ | 53.0 D<br>47.0 CE | 7.7 D<br>12.75 CE |
| Citrus Springs Utilities (A Division of Deltona Corp.) | 10/78 | 13.0 | | | 6/06/79 | 362 | 13.0 | 3.85 | 15.0 | 60 | 46 | 14 | 60.0 D<br>40.0 CE | 7.0 D<br>15.0 CE |
| Consolidated Utility Co., Inc. | 3/30/78 | 63.8 | | | 7/16/79 | 322 | 54.1 | 11.19 | 11.50 | 104 | 68 | 36 | 17.50 D<br>82.50 CE | 1.70 D<br>9.49 CE |
| Cooper City Utilities, Inc. | 2/01/78 | 122.0 | 6/21/79 | 122 | 7/18/79 | 464 | 29.5 | 10.0 | 10.0 | 314 | 268 | 46 | 100.0 D | 10.0 CE |
| First Florida Utilities, Inc. | 7/17/78 | 102.6 | | | 12/20/79 | 505.8 | 92.4 | 11.0 | 11.17 | 236 | 183.2 | 55.6 | 3.0 D<br>97.0 CE | 5.5 D<br>11.17 CE |
| General Development Utilities, Inc. | | | | | | | | | | | | | | |
| (Port Malabar Div.) | 1/26/78 | 394.7 | 2/27/79 | 329.5 | 10/09/79 | 2,203 | 337.3 | 9.67 | 13.50 | 599 | 386 | 213 | 58.50 D<br>40.00 CE | 7.14 D<br>13.50 CE |
| (Port St. Lucie Div.) | 1/08/78 | 230.9 | 2/27/79 | 230.9 | Rates put into effect under bond. | | | | | | | | | |
| (Port St. John Water & Sewer System) | 8/23/79 | 18.2 | 9/21/79 | 18.2 | | | | | | | | | | |
| Gulf Stream Utility Company | 9/07/78 | 173.0 | | | 5/22/79 | 1,677 | 118.8 | 9.87 | 13.0 | 564 | 399 | 166 | 66.98 D<br>3.18 3/<br>29.84 CE | 5.99 D<br>--<br>3.88 CE |
| Harbor Utilities Co., Inc. | 10/13/78 | 29.1 | 11/09/79 | 24.6 | | | | | | | | | | |
| Island Services | 10/15/79 | 4/ | 11/15/79 | 9 | | | | | | | | | | |
| J. C. Utilities, Inc. | 8/01/79 | 99 | 8/23/79 | Denied | | | | | | | | | | |
| Jacksonville Surburban Utilities Corp. | 4/05/79 | 130.9 | 7/09/79 | Denied | | | | | | | | | | |
| Jasmine Lakes Service Co. | 10/15/79 | 91.386 | 11/11/79 | 55.411 | | | | | | | | | | |
| LeHigh Utilities, Inc. | 7/31/79 | 281.6 | 8/23/79 | 138.6 | | | | | | | | | | |
| Lucina Utilities Company | 1/19/79 | 24.7 | | | 11/26/79 | 57.2 | .3 | 12.75 | | 51.1 | 43.8 | 7.3 | 100.0 D | 12.75 D |
| Pascoe Water Authority | 4/02/79 | 643.0 | 6/06/79 | 434.3 | | | | | | | | | | |
| Pine Lake Mobile Home Estates, Inc. | 1/24/78 | 2.4 | 2/22/78 | 1.1 | 5/23/79 | 12.0 | 2.3 | 8.66 | 13.0 | 8.9 | 7.9 | 1.0 | 62.0 D<br>38.0 CE | 6.0 D<br>13.0 CE |
| Ridgeway Mobile Home Subdivision, Inc. dba Hydratech Util. | 11/26/79 | 68.2 | 12/21/79 | 23.9 | | | | | | | | | | |
| San Carlos Utilities, Inc. | 4/04/79 | 76.3 | 6/01/79 | 51.0 | | | | | | | | | | |
| Southern Utilities Co. | 4/05/79 | 264.9 | 7/09/79 | 22.2 | | | | | | | | | | |
| Trient, Inc. | 11/21/78 | 23.4 | | | 10/17/79 | 42 | 11.7 | 10.91 | 11.0 | 58 | 53 | 5 | 2.25 D<br>97.75 CE | 7.0 D<br>11.0 CE |
| IDAHO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Citizens Utilities | 4/03/79 | 105 | | | | | | | | | | | | |
| Northview Water Corp. | 7/31/78 | 3 | | | 11/30/79 | 19 | 3 | 5.19 | | 9 | 8 | 1 | | |
| South County Water | 1/29/79 | 34 | | | 8/24/79 | 328 | 34 | | 13.5 | 177 | 159 | 18 | | |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Alton Water Co. | 4/18/79 | 532.0 | | | | | | | | | | | | |
| Blake Water Corp. | 6/19/79 | 3.6 | | | | | | | | | | | | |
| Cairo Water Company | 4/28/78 | 115.0 | | | 3/07/79 | | 66.5 | | | | | | | |
| Cities Water Co. | 10/03/78 | 31.2 | | | 8/23/79 | | 10.8 | | | | | | | |
| Citizens Utilities Co. of Illinois | 11/30/78 | 738.6 | | | 10/24/79 | | 535.0 | | | | | | | |
| Commonwealth Edison | 6/22/79 | 441.0 | | | | | | | | | | | | |
| Community Service Corp. | 12/29/78 | 14.3 | | | 10/10/79 | | 14.3 | | | | | | | |
| Domestic Utility Service Company | 3/05/79 | 379.6 | | | | | | | | | | | | |
| Douglas Water Co., Inc. | 4/05/79 | 61.8 | | | | | | | | | | | | |
| Douglas Water Co., Inc. | 10/27/78 | 9.1 | | | 11/26/78 | | 9.1 | | | | | | | |

1/ From flat to metered rates.
2/ $5,000 to be escrowed.
3/ Deferred income taxes.
4/ No stated amount requested.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| ILLINOIS COMMERCE COMMISSION (Continued) | | | | | | | | | | | | | | |
| DuPage Utility Co. | 4/17/78 | 42.5 | 7/12/78 | 8.4 | 2/14/79 | | 26.1 | | | | | | | |
| East St. Louis & Interurban Water Co. | 4/26/78 | 2,086.0 | | | 3/14/79 | | 1,496.4 | | | | | | | |
| Eastwood Manor Water Co. | 7/16/79 | 33.3 | 11/20/79 | 26.5 | | | | | | | | | | |
| Eldorado Water Co. | 12/29/78 | 27% | | | 8/23/79 | | 68.2 | | | | | | | |
| Fox Lake Utilities Co. | 11/13/7? | 10.6 | | | 10/10/79 | | 10.6 | | | | | | | |
| Holiday Utilities Corp. | 1/02/79 | 111.5 | 6/27/79 | 28.7 | 11/28/79 | | 33.8 | | | | | | | |
| Illinois-American Water Co. | 4/25/79 | 1,335.0 | | | 11/28/79 | | 170.8 | | | | | | | |
| Lake Marion Water Corp. | 7/31/79 | 17.7 | | | | | | | | | | | | |
| Midwest Palos Water Co. | 6/27/79 | 6.3 | | | | | | | | | | | | |
| Nordic Park Water & Sewerage | 7/28/78 | 9.7 | | | 6/06/79 | | 6.2 | | | | | | | |
| Northern Ill. Water Corp. | | | | | | | | | | | | | | |
| (Champaign) | 12/08/78 | 214.0 | | | 3/07/79 | | 214.0 | | | | | | | |
| (Pontiac) | 4/25/79 | 107.0 | | | 12/19/79 | | 107.0 | | | | | | | |
| (Streator) | 3/15/79 | 88.0 | | | 11/28/79 | | 88.0 | | | | | | | |
| Pekin Water Works Company | 9/20/78 | 218.5 | 11/08/78 | 154.7 | 7/18/79 | | 218.5 | | | | | | | |
| Peoria Water Co. | 5/02/79 | 2,046.0 | | | 9/19/79 | | 893.0 | | | | | | | |
| Pioneer Acres Water Corp. | 10/04/79 | 22.7 | | | | | | | | | | | | |
| Prestwick Utilities Co. | 10/01/79 | 52.0 | | | | | | | | | | | | |
| Triple Oaks Water Co. | 10/18/79 | 31.2 | | | | | | | | | | | | |
| Washington Estates, Inc. | 12/01/78 | 50% | | | Withdrawn | | | | | | | | | |
| Westport Water System | 5/18/78 | 4.3 | | | 3/21/79 | | 1.1 | | | | | | | |
| Wildwood Utility Co. | 9/24/79 | 11.2 | | | | | | | | | | | | |
| Will County Water Co. | 4/30/79 | 6.1 | | | | | | | | | | | | |
| INDIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Hoosier Water Company (Cause No. 35614-7 Locations) | 2/16/79 | 531 | | | 11/28/79<br>1/<br>12/21/79<br>2/ | 4,252 | 404 | 7.0-7.2 | 14.94 | 1,269 | 963 | 306 | 3/ | |
| Indianapolis Water Co. | 6/15/78 | 3,684 | | | 1/03/79 | 136,062 | 3,147 | 6.95 | 17.1 | 33,273 | 23,817 | 9,456 | 58.6 D<br>8.7 PFD<br>32.7 CE | |
| Muncie Water Works Co. (Cause No. 35479) | 9/28/78 | 1,071 | | | 8/21/79 | 19,040 | 661 | 6.99 | 15.40 | 4,597 | 3,266 | 1,331 | 45.9 D<br>4.7 PFD<br>49.4 CE | |
| West Lafayette Water Co., Inc. (Cause No. 35518) | 11/14/78 | 235 | | | 6/06/79 | 3,900 | 205 | 7.20 | 9.51 | 1,042 | 762 | 280 | 15.73 D<br>84.27 CE | |

1/ Original order.
2/ Amended order.
3/ 57.0 Debt and 43.0 Common Equity--assumed based on parent's debt and equity.

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| IOWA STATE COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | |
| Clinton Water Co.<br>RPU-76-22 | 5/14/76 | 173 | | | 11/15/79 | 4,067 | 143 | 6.84 | 11.00 | 1,129 | 851 | 278 | 65.32 D<br>8.13 PFD<br>26.55 CE | 5.25 D<br>6.00 PFD<br>11.00 CE |
| Clinton Water Co.<br>RPU-78-37 | 11/01/78 | 157 | 3/12/79 | 157<br>1/ | | | | | | | | | | |
| Davenport Water Co.<br>RPU-78-32 | 8/23/78 | 236 | 12/21/78 | 236<br>1/ | | | | | | | | | | |
| Iowa Metropolitan Water Co.<br>RPU-78-36 | 11/01/78 | 22 | | | 8/10/79 | Request withdrawn. | | | | | | | | |
| Iowa Public Service<br>RPU-79-8 | 2/28/79 | 23 | 3/31/79 | 23<br>1/ | | | | | | | | | | |
| Park View Water Co.<br>RPU-78-26 | 6/28/78 | 12 | | | 1/11/79 | 139 | 4 | 10.88 | | | | | | |

1/ Revenue collected under bond subject to refund.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| KANSAS STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Central Kansas Power | 10/23/78 | 16 | | | 1/18/79 | 75 | 15 | 10.26 | 13.5 | 70 | 60 | 10 | 38.03 D<br>61.97 CE | 1.9 D<br>8.36 CE |
| Kansas Water Company | 1/19/79 | 180 | | | 5/07/79 | | 180 | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Baton Rouge Water Works Co. | 10/26/78 | 2,486 | | | 4/23/79 | 24,488 | 1,581 | 8.57 | 10.5 | 9,045 | 6,946 | 2,099 | 60.35 D<br>39.65 CE | 7.308 D<br>10.5 CE |
| Champagne Water System | 11/15/79 | 26 | | | Pending | | | | | | | | | |
| David & Olinde Water Well | 11/06/79 | 3 | | | Pending | | | | | | | | | |
| Greenacres Water Supply | 3/27/79 | 2 | | | 10/31/79 | | 2 | | | | | | | |
| Guidroz Water System | 10/18/79 | 10 | | | Pending | | | | | | | | | |
| Jeansonne Water Co., Inc. | 7/27/79 | 1 | | | 12/06/79 | | 1 | | | | | | | |
| M & B Water Service | 3/03/79 | 1/ | | | 5/22/79 | | 1/ | | | | | | | |
| Red Oak Water Co., Inc. | 8/15/79 | 103 | | | Pending | | | | | | | | | |
| Shadow Springs Utility Corp. | 2/12/79 | 22 | | | Pending | | | | | | | | | |
| Sixth Ward Water System | 2/22/79 | 1 | | | 6/19/79 | | 1 | | | | | | | |
| Southeast Hammond Water Co. | 5/21/79 | 22 | | | Pending | | | | | | | | | |
| Tangi Water Co., Inc. | 11/28/78 | 10 | | | 4/19/79 | 56 | 5 | 9.66 | 9.66 | 43 | 38 | 5 | 100.0 D | -- |
| 1/ Increase in flat rates from $6.00 to $10.00 per month. | | | | | | | | | | | | | | |
| MARYLAND PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Chesapeake Ranch Water Co. | 5/08/78 | 13.892 | | | 3/07/79 | 30.762 | 4.461 | 9.0 | | | | | | |
| Greenridge Utilities | 7/24/78 | 20.468 | | | 2/21/79 | 165.066 | 14.913 | 6.74 | | | | | | |
| Lake Spring Water Co. | 6/23/78 | N/A | | | 9/29/79 | N/A | 3.588 | 8.0 | | | | | | |
| Maryland Water Works | 9/18/79 | N/A | | | Pending | | | | | | | | | |
| Pinto Utilities, Inc. | 12/07/77 | 63.180 | | | 1/17/79 | 228.890 | 19.478 | 10.0 | | | | | | |
| Pinto Utilities, Inc. | 9/17/79 | 24.738 | | | Pending | | | | | | | | | |
| Province Water Co., Inc. | 7/05/78 | 60.360 | | | 1/03/79 | 925.599 | 13.542 | 3.41 | | | | | | |
| Utilco | 6/04/79 | N/A | | | 12/27/79 | 10.012 | 2.248 | 6.0 | | | | | | |
| Valley View Water Co. | 11/14/79 | 1.800 | | | Pending | | | | | | | | | |
| Vista Water Co. | 10/01/79 | 3.600 | | | Pending | | | | | | | | | |
| MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Dedham Water Co. 19598 | 5/16/78 | 466 | | | 3/30/79 | 4,772 | 306 | 9.13 | 12.60 | 1,872 | 1,744 | 128 | 51.44 D<br>6.05 PFD<br>42.81 CE | 6.47 D<br>7.23 PFD<br>12.60 CE |
| Dover Water Co. 19565 | 4/14/78 | 78 | | | 1/08/79 | | 87 | 1/ | | 132 | 134 | (2) | 42.0 D<br>58.0 CE | --<br>-- |
| Hingham Water Co. 19744 | 9/15/78 | 305 | | | 6/29/79 | 3,396 | 230 | 9.84 | 12.60 | 1,381 | 1,277 | 104 | 61.69 D<br>5.01 PFD<br>33.30 CE | 8.71 D<br>5.44 PFD<br>12.60 CE |
| Salisbury Water Supply 19844 | 12/14/78 | 93 | | | 10/31/79 | 1,346 | 79 | 10.739 | 12.85 | 479 | 414 | 65 | 53.32 D<br>4.77 PFD<br>41.91 CE | 8.80 D<br>5.98 PFD<br>12.85 CE |
| Whitinsville Water Co. 19680 | 7/17/78 | 204 | | | 4/05/79 | 1,042 | 161 | 10.66 | 12.5 | 548 | 448 | 100 | 24.56 D<br>75.44 CE | 5.00 D<br>12.5 CE |
| 1/ Company privately held--not seeking rate of return beyond covering expenses. | | | | | | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Capital City Water Co. | | 416 | | | 1/03/79 | 4,088 | 233 | 9.63 | 11.80 | | | | 57.00 D<br>43.00 CE | 8.00 D<br>11.80 CE |
| Joplin Water Works Co. | | 460 | | | 8/03/79 | 6,422 | 208 | 8.95 | 11.97 | | | | 61.00 D<br>4.20 PFD<br>34.80 CE | 7.529 D<br>4.65 PFD<br>11.97 CE |
| Missouri Public Service Co. | | 546 | | | 9/24/79 | 5,057 | 375 | 9.15 | | | | | | |
| Missouri Utilities Co. | | 602 | | | 9/25/79 | 5,187 | 507 | 9.05 | 12.11 | | | | 55.87 D<br>4.76 PFD<br>36.10 CE | 7.25 D<br>5.32 PFD<br>12.11 CE |
| Missouri Water Co. | | 103 | | | 4/23/79 | 831 | 92 | 9.20 | 11.50 | | | | | |
| Raytown Water Co. | | 170 | | | 7/16/79 | 972 | 85 | 10.18 | 10.18 | | | | 100.00 CE | 10.18 CE |

1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| MISSOURI PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | | |
| St. Joseph Water Co. | | 694 | | | 12/26/79 | 11,474 | 592 | 8.75 | 11.25 | | | | 61.32 D<br>2.71 PFD<br>35.75 CE | --<br>--<br>11.25 CE |
| St. Louis Water Co. | | 4,460 | | | 4/10/79 | 105,776 | 3,471 | 8.62 | 11.80 | | | | 57.08 D<br>.80 PFD<br>39.41 CE | 6.35 D<br>4.50 PFD<br>11.80 CE |
| NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Hampton Water Works | 2/22/79 | 220 | 5/25/79 | 1/ | 11/01/79 | 2,813 | 164 | 9.58 | 13.78 | 1,023 | 754 | 269 | 62.08 D<br>5.9 PFD<br>32.02 CE | 7.68 D<br>6.81 PFD<br>13.78 CE |
| Hanover Water Works Corp. | 8/17/79 | 61 | | | 12/28/79 | 1,005 | 34 | 10.3 | 13.0 | 406 | 303 | 103 | 59.3 D<br>40.7 CE | 8.5 D<br>13.0 CE |
| Hudson Water Company | 7/31/78 | 228 | 12/18/78 | 1/ | 3/15/79 | 2,538 | 186 | 11.2 | 13.5 | 824 | 539 | 285 | 61.6 D<br>38.4 CE | 10.3 D<br>13.5 CE |
| Manchester Water Works | 3/13/79 | 54 | | | 5/29/79 | 17,451 | 54 | 6.0 | 6.0 | 4,703 | 3,664 | 1,039 | 69.12 D<br>30.88 CE | 5.64 D<br>6.0 CE |
| Pennichuck Water Works | 12/29/78 | 596 | 4/30/79 | 117 | 7/12/79 | 7,285 | 428 | 11.65 | 13.9 | 3,019 | 2,170 | 849 | 46.1 D<br>53.9 CE | 9.4 D<br>13.9 CE |
| Walnut Ridge Water Co., Inc. | 1/02/79 | 19 | | | 7/19/79 | 65 | 12 | 8.4 | 13.0 | 33 | 26 | 7 | 91.9 D<br>8.02 CE | 8.0 D<br>13.0 CE |
| 1/ Existing rates as temporary rates. | | | | | | | | | | | | | | |
| NEW MEXICO PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Albuquerque Utility, Inc. | 5/25/78 | 294 | | | 4/17/79 | 2,629 | 294 | 6.65 | 5.84 | 777 | 602 | 175 | 19.53 D<br>80.47 CE | 10.0 D<br>5.87 CE |
| Conchas Water Co. | 1/27/78 | 20 | | | 2/23/79 | 101 | 22 | 15.1 | | 42 | 27 | 15 | | |
| Independent Water Co. | 1/08/79 | 16 | | | 8/13/79 | 173 | 14 | 6.6 | 6.06 | 31 | 20 | 11 | 32.85 D<br>67.15 CE | 7.90 D<br>6.06 CE |
| Lakeshore Sanitation District | 5/23/78 | 16 | | | 4/11/79 | 19 | 4 | 9.51 | | 35 | 33 | 2 | Not applicable | |
| National Utility Co. | 12/05/78 | 105 | | | 8/31/79 | 407 | 80 | 7.69 | 10.00 | 111 | 80 | 31 | 23.10 1/<br>76.90 CE | -0-<br>10.00 CE |
| Southwestern Public Service | 3/30/79 | 559 | | | Pending | | | | | | | | | |
| 1/ Contributed capital. | | | | | | | | | | | | | | |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Beaver Dam Lake Water Corp. | 9/18/79 | 1.942 | | | 11/01/79 | 27.617 | 1.942 | 12.47 | | 16.663 | 12.669 | 3.994 | | |
| Brinkerhoff Water Co., Inc. | 2/20/79 | 4.660 | 8/15/79 | 4,660 | 8/15/79 | 54.743 | 4.660 | 12.0 | | 80.566 | 73.997 | 6.569 | | |
| Calico Colony Central Water System | 11/03/79 | 12.109 | | | 1/01/80 | 15.564 | 12.109 | 14.7 | 14.7 | 40.032 | 37.741 | 2.291 | 100.0 CE | 14.7 CE |
| Candlewood Park Corporation | 2/27/79 | 5.422 | | | 10/01/79 | 18.157 | 5.422 | 14.58 | | 11.775 | 9.127 | 2.648 | | |
| Deansboro Water Co., Inc. | 1/12/79 | 6.037 | | | 1/25/79 | 19.562 | 6.037 | 10.63 | 11.0 | 17.370 | 15.279 | 2.091 | 37.1 D<br>62.9 CE | 10.0 D<br>11.0 CE |
| Forestburg Acres | 4/09/79 | 2.457 | | | 6/01/79 | 0.0 | 2.457 | N/A | N/A | 5.382 | 5.069 | 0.313 | | |
| Great Beach Water Corp. | 3/20/79 | 16.436 | | | 3/24/79 | 156.084 | 16.430 | 11.5 | | 108.316 | 90.368 | 17.948 | | |
| H. V. Water Co., Inc. | 7/31/79 | 9.255 | | | 9/01/79 | 104.839 | 9.255 | 10.8 | | 22.671 | 11.348 | 11.323 | | |
| Harbourd Hills Water Co., Inc. | 8/31/79 | 8.958 | | | 10/18/79 | 0.0 | 8.958 | N/A | N/A | 28.498 | 27.498 | 1.000 | | |
| Hudson Valley Water Companies, Inc. | 2/15/79 | 3.407 | | | 4/04/79 | 69.992 | 3.407 | 7.0 | 48.987 | 42.875 | 4.908 | | | |
| Knolls Water Co., Inc. | 10/09/79 | 4.644 | | | 1/01/80 | 27.580 | 4.644 | 11.08 | | 18.000 | 14.944 | 3.056 | | |
| Lake Louise Marie | 5/21/79 | 51.654 | 8/01/79<br>Temporary | 30.992 | | | | | | | | | | |
| Lake View Park Water Works Corp. | 7/17/79 | 6.054 | | | 9/01/79 | 19.112 | 6.054 | 12.0 | | 15.408 | 13.114 | 2.294 | | |
| Little Switzerland | 11/10/77 | 7.970 | | | 5/17/79 | 38.442 | 4.283 | 10.3 | | 17.667 | 13.696 | 3.971 | | |
| Merriwold Water Corp. | 12/06/78 | 18.767 | | | 8/01/79 | 141.227 | 10.776 | 11.0 | | 78.054 | 62.519 | 15.535 | | |
| Minisink Valley Utility Corp. | 1/16/79 | 4.700 | | | 3/15/79 | | 4.700 | | | 9.400 | 8.900 | 0.500 | | |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital, Allowed D/PFD/CE (%) |
| NEW YORK PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | | |
| Mountain Lodge Park Water Corp. | 10/05/79 | 15.609 | | | 10/10/79 | | 15.609 | 13.61 | | 64.217 | 60.846 | 3.371 | | |
| New Vernon Water Co. | 11/30/78 | 5.228 | | | 4/01/79 | 42.562 | 4.144 | 14.0 | | 12.880 | 6.905 | 5.975 | | |
| New York Water Service Corp. | 2/08/79 | 173.175 | | | 2/15/79 | | 173.175 | | | | | | | |
| Orchard Hill Water Co. | 2/15/79 | 2.155 | | | 4/15/79 | 15.650 | 2.155 | 11.0 | | 12.019 | 10.298 | 1.721 | | |
| Pabst Water Co., Inc. | 7/27/79 | 2.714 | | | 10/01/79 | 18.860 | 2.714 | 11.0 | | 6.254 | 4.186 | 2.068 | | |
| Park Water-Works Co., Inc. | 6/18/79 | 2.222 | | | 10/01/79 | | 2.222 | | | 11.884 | 10.956 | | | |
| Pine Hill Water Co. | 11/13/78 | 7.203 | 1/01/79 | 7.203 | 11/01/79 | 29.981 | 7.203 | 13.4 | | 19.952 | 15.934 | 4.018 | | |
| Pine Plains Water Co. | 2/15/79 | 8.184 | | | 1/16/80 | 38.575 | 4.356 | 8.5 | 6.3 | 34.508 | 31.223 | 3.285 | 42.5 D<br>57.5 CE | 11.5 D<br>6.3 CE |
| Port Chester Water Works, Inc. | 1/22/79 | 86.772 | | | 2/10/79 | | 86.772 | | | | | | | |
| Rand Water Corporation | 2/01/79 | 3.534 | 4/01/79<br>Temporary | 3.534 | 7/01/79 | 22.927 | 3.534 | 11.5 | | | | | | |
| Red Mills Water Co., Inc. | 11/09/78 | 10.956 | | | 2/01/79 | 29.059 | 1.980 | 11.23 | | 14.800 | 11.537 | 3.263 | | |
| Sherwood Forest Waterworks Co., Inc. | 11/13/79 | 2.970 | | | 1/01/80 | 24.371 | 2.970 | 10.97 | 15.0 | 16.199 | 13.055 | | 78.1 D<br>21.9 CE | 9.8 D<br>15.0 CE |
| Shorewood Water Corp. | 2/28/79 | 57.915 | | | 3/01/79 | | 57.915 | 11.46 | | 352.979 | 289.180 | 63.799 | | |
| Sylvan Spring Water Co. | 9/25/79 | 22.125 | | | 1/01/80 | 248.477 | 22.125 | 7.47 | | 137.656 | 119.091 | 18.565 | 55.4 D<br>44.6 CE | 14.0 D<br>-- |
| Wanakah Water Co. | 4/30/79 | 67.232 | | | 5/04/79 | 1,619.462 | 67.232 | 10.24 | | 692.983 | 476.949 | 216.034 | 62.1 D<br>6.0 PFD<br>31.9 CE | 8.42 D<br>6.29 PFD<br>14.50 CE |
| Wappinger Park Homes, Inc. | 5/04/79 | 2.780 | | | 5/10/79 | 2.805 | 2.780 | 10.0 | | 14.920 | 14.639 | 0.281 | | |
| Wappinger Park Homes, Inc. | 12/03/79 | 1.705 | | | 1/09/80 | | 1.705 | | | 16.625 | 16.191 | 0.434 | | |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Barrier Grain Co. | 9/05/79 | .1 | | | Pending | | | | | | | | | |
| Carolina Water Service, Inc. | 7/02/79 | 1[illegible]6 | | | Pending | | | | | | | | | |
| Chapel Hills Utility | 11/01/76 | 2.9 | | | 7/24/79 | | 2.9 | 96.28 1/ | | 6.7 | 6.5 | .2 | | |
| Corriher Water Service | 4/12/78 | 10.1 | | | 6/08/79 | 32.7 2/ | 7.7 | 10.12 | | 16.3 | 13.0 | 3.3 | | |
| Cumberland Water Co. | 6/22/78 | 33 | | | 2/27/79 | | 31 | 87.48 1/ | | 262 | 229 | 33 | | |
| Dillard Grading Company | 10/09/78 | 8.2 | | | 6/08/79 | 32.7 2/ | 7.7 | 10.12 | 11.60 | 16.3 | 13.0 | 3.3 | 57.0 D<br>43.0 CE | 9.00 D<br>11.60 CE |
| Edgewood Water System | 9/21/79 | 1.5 | | | Pending | | | | | | | | | |
| Elon Smawley | 9/21/79 | .6 | | | Pending | | | | | | | | | |
| Holiday Island Property Owners Association 3/ | 12/19/78 | 11.5 | | | 12/19/79 | | 9.4 | 90.50 1/ | | 21.0 | 19.0 | 2.0 | | |
| Hydraulics, Ltd. | 4/25/79 | 37.3 | | | 9/25/79 | | 33.9 | 88.84 1/ | | 102.5 | 91.8 | | | |
| J. D. Foreman | 3/08/79 | .3 | | | 5/23/79 | | .3 | 121.30 1/ | | 1.9 | 2.3 | (.4) | | |
| Lake Summit Corporation | 7/03/78 | 4.0 | | | 2/20/79 | | 4.0 | 92.41 1/ | | 7.0 | 6.0 | 1.0 | | |
| Mineral Springs Mountain Water Supply | 3/22/79 | 1.1 | | | 8/31/79 | | 1.1 | 105.8 1/ | | 4.4 | 4.7 | (.3) | | |
| Norwood Beach Water System | 8/03/79 | 2.3 | | | 1/09/80 | | 2.3 | 95.[illegible]9 1/ | | 6.0 | 5.7 | .3 | | |
| Pilot Insurance & Realty Co., Inc. | 7/19/78 | 1.3 | | | 3/29/79 | | 1.0 | 90.39 1/ | | 3.8 | 3.4 | .4 | | |
| Pinehurst, Inc. | 11/14/78 | 69.9 | | | 8/27/79 | 194.7 2/ | 13.0 | 10.0 | | 120.2 | 100.7 | 19.5 | | |
| Raeford Plumbing & Heating Co. | 10/23/79 | .6 | | | Pending | | | | | | | | | |
| Spring Water Co., Inc. | 5/09/79 | 8.4 | | | 10/30/79 | 38.7 2/ | 5.9 | 12.40 | | 30.0 | 25.2 | 4.8 | | |
| Springdale Water Co. | 8/25/78 | 15.8 | | | 5/15/79 | 95.7 | 10.4 | 11.85 | | 49.2 | 37.9 | 11.3 | | |
| Springdale Water Co. | 7/05/79 | 9.2 | | | | 20.2 2/ | 8.2 | 10.9 | | 16.6 | 14.4 | 2.2 | | |
| Suburban Industries, Inc. | 11/16/78 | 6.2 | | | 6/13/79 | 42.6 4/ | 3.9 | 8.3 | | 11.8 | 8.3 | 3.5 | | |
| Suburban Utilities | 7/16/79 | .1 | | | Pending | | | | | | | | | |
| Urban Water Co. | 2/01/79 | 24.8 | | | 10/10/79 | 93.9 2/ | 10.5 | 23.5 | | 81.1 | 74.6 | 6.5 | | |

1/ Operating ratio.
2/ Method of Computing Rate Base: Original Cost.
3/ Non-profit association.
4/ Method of Computing Rate Base: Fair Value.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Kentville Water Commission | 4/25/79 | 58.9 | | | 8/22/79 | 771.1 | 62.5 | 7.98 | | 127.0 | 65.5 | 61.5 | | |
| Municipality of the County of Annapolis (Granville Ferry Water System) | 3/12/79 | 5.8 | | | 8/13/79 | 348.1 | 6.8 | 1.98 | | 14.2 | 7.3 | 6.9 | | |
| Municipality of the County of Antigonish (Fringe Area Water Systems) | 7/23/79 | 21.9 | | | 11/22/79 | 681.6 | 21.6 | 3.18 | | 57.1 | 35.4 | 21.7 | | |
| (Lower South River Water System) | 10/10/79 | 28.1 | | | 1/28/80 | 438.1 | 28.2 | 1.05 | | 28.1 | 23.2 | 4.9 | | |
| (St. Andrew's Water Utility) | 7/23/79 | | | | 11/22/79 | | | 1.6 | | 17.3 | 13.3 | 4.0 | | |
| Municipality of the District of St. Mary's (Sherbrooke Water Utility) | 6/08/79 | 3.0 | | | 9/10/79 | 84.9 | 5.6 | 5.42 | | 13.0 | 8.4 | 4.6 | | |
| Public Service Commission of Halifax | 11/24/78 | 1,332.2 | | | 3/23/79 | 65,814 | 1,332.2 | 2.54 | | 7,311.5 | 5,641.8 | 1,669.6 | | |
| Town of Canso | 5/31/79 | 54.1 | | | 7/13/79 | 496 | 54.1 | 7.2 | | 123.0 | 87.4 | 35.6 | | |
| Town of Mulgrave | 7/17/79 | 62.0 | | | 9/21/79 | 739.4 | 62.0 | 1.65 | | 87.0 | 74.8 | 12.2 | | |
| Town of Yarmouth | 12/18/78 | 227.6 | | | 3/30/79 | 2,980.0 | 244.6 | 6.53 | | 537.9 | 343.4 | 194.5 | | |
| Village Commissioners of Uplands Park | 6/25/79 | 4.2 | | | 12/06/79 | 40.7 | 4.2 | 2.46 | | 9.0 | 8.0 | 1.0 | | |
| Village of Canning | 11/10/78 | 5.8 | | | 7/25/79 | 836.0 | 5.8 | 1.83 | | 48.4 | 33.2 | 15.2 | | |
| OHIO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Country Club Utilities, Inc. | 6/02/78 | 3.5 | | | 1/10/79 | 34 | 4 | 5.52 | | 8 | 6 | 2 | | |
| Lake White Water Works | 10/26/79 | 78 | | | | | | | | | | | | |
| Lakeside Utilities Corp. | 10/26/79 | 90 | | | | | | | | | | | | |
| Masury Water Company | 9/23/79 | 124 | | | | | | | | | | | | |
| Ohio Suburban Water Co. | 6/06/78 | 486 | | | 3/8/79 | (Denied) | | | | | | | | |
| Ohio Utilities Co. | 3/21/79 | 871 | | | 12/12/79 | 3,951 | 276 | 10.79 | | 1,649 | 1,223 | 426 | | |
| Ohio Water Service Co. | | | | | | | | | | | | | | |
| (Lake Erie W. District) | 10/31/78 | 139 | | | 3/28/79 | 1,682 | 88 | 9.53 | | 664 | 504 | 160 | | |
| (Mentor) | 6/14/78 | 481 | | | 3/28/79 | 3,935 | 109 | 9.53 | | 1,403 | 1,028 | 375 | | |
| (Struthers) | 10/27/78 | 656 | | | 7/18/79 | 4,791 | 486 | 9.62 | | 1,874 | 1,413 | 461 | | |
| (Washington Court House) | 10/18/79 | 168 | | | | | | | | | | | | |
| Seneca Utilities, Inc. | 9/25/78 | 33 | | | 6/27/79 | 166 | 9 | 9.75 | | 51 | 35 | 16 | | |
| Valley Water Works, Inc. | 7/20/78 | 9 | | | 4/18/79 | 121 | 10 | 7.53 | | 23 | 14 | 9 | | |
| Walnut Creek Water Co. | 5/31/78 | 12 | | | 1/30/79 | 58 | 8 | 9.50 | | 21 | 16 | 5 | | |
| OREGON PUBLIC UTILITY COMMISSIONER (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Campbell Water Co. | 11/01/78 | 15 | | | 2/27/79 | 66 | 15 | 10.15 | 4.2 | 42 | 35 | 7 | 100.0 CE | |
| Community Water Co. | 2/23/79 | 14.5 | | | 3/26/79 | 43 est. | 14.5 | 0.9 | 2.7 | 65 | 64.6 | 0.4 | 100.0 CE | |
| Community Water Co. | 6/14/79 | 8.5 | | | 7/14/79 | | 8.5 | (Tracking of Purchased Water Costs) | | | | | | |
| Fruitdale Water Utility Co. | 12/29/78 | 17 | | | 4/16/79 | | 9 | 12.0 | | 28 | 27 | 1 | 100.0 D | 6.33 D |
| Pacific Power & Light Co. | 12/21/79 | 131 | | | Pending | | | | | | | | | |
| Pete's Mountain Water Co. | 9/27/79 | 5 | | | 11/01/79 | | 5 | | | 11 | 11 | 0 | | |
| Suburban Water Co. | 11/20/79 | 11.4 | | | 1/01/80 | 32 | 11.2 | 11.96 | 13.2 | 37 | 33 | 4 | 100.0 CE | |
| Willamette Water Co. | 8/24/79 | 24 | | | 11/01/79 | | 15 | | | 67 | 66 | 1 | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Audubon Water Company | 5/24/79 | 49 | | | 8/23/79 | 482 | 38 | 10.01 | 11.3 | 187 | 139 | 48 | 88.7 D<br>11.3 CE | 9.5 D<br>11.3 CE |
| Barrett Water Co. | 3/01/79 | 1 | | | 5/01/79 | 7 | 1 | 10.1 | | 5 | | | | |
| Birch Acres Water Works | 9/06/79 | 2 | | | 10/18/79 | 2 | 2 | 7.66 | | 4 | 2 | 2 | | |
| Blue Mountain Cons. Water Co. | 10/27/78 | 260 | | | 9/27/79 | 2,556 | 21 | 9.36 | 13.0 | 916 | 665 | 251 | 55.0 D<br>45.0 CE | 6.9 D<br>13.0 CE |
| Borough of Ambler | 4/24/79 | 219 | | | 7/26/79 | 1,746 | 120 | 6.49 | 7.0 | 506 | 393 | 113 | 39.4 D<br>60.6 CE | 5.7 D<br>7.0 CE |
| Borough of Chalfont | 11/29/79 | 10 | | | | | | | | | | | | |
| Borough of Ligonier | 7/27/79 | 14 | | | 9/27/79 | 229 | 14 | 7.3 | | 82 | 66 | 16 | | |
| Brooklyn Water Company | 4/27/79 | 3 | | | 6/28/79 | 18 | 3 | 9.16 | 12.45 | 7 | 5 | 2 | 60.0 D<br>40.0 CE | 6.97 D<br>12.45 CE |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | | |
| Brownsville Water Company | 4/16/79 | 38 | | | 6/14/79 | 104 | 27 | 8.21 | 13.0 | 403 | 270 | 134 | 53.2 D<br>5.2 PFD<br>41.6 CE | 7.33 D<br>6.2 PFD<br>13.0 CE |
| Buck Hill Water Co. | 3/22/79 | 13 | | | 5/31/79 | 106 | 8 | 10.0 | 13.0 | 34 | 24 | 10 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |
| Butler TWP Water Co. | 9/28/79 | 103 | | | 11/21/79 | 496 | 20 | 10.11 | 12.75 | 162 | 112 | 50 | 56.3 D<br>43.7 CE | 8.06 D<br>12.75 CE |
| California Water Co. | 4/16/79 | 15 | | | 6/13/79 | 405 | 10 | 8.63 | 10.6 | 161 | 111 | 50 | 53.2 D<br>5.2 PFD<br>41.6 CE | 7.33 D<br>6.2 PFD<br>10.6 CE |
| Chatham Water Co. | 4/27/79 | 25 | | | 6/28/79 | 543 | 25 | 8.69 | 10.75 | 117 | 70 | 47 | 53.2 D<br>5.2 PFD<br>41.6 CE | 7.33 D<br>6.2 PFD<br>10.75 CE |
| Chatwood Water Co. | 4/30/79 | 3 | | | 6/15/79 | 24 | 3 | 6.21 | 13.0 | 6 | 5 | 1 | 65.4 D<br>34.6 CE | 9.75 D<br>13.0 CE |
| Chinchilla Water Co. | 5/11/79 | 2 | | | 7/05/79 | 26 | 0.4 | 9.16 | 12.45 | 8.8 | 6.4 | 2.4 | 60.0 D<br>40.0 CE | 6.97 D<br>12.45 CE |
| City of Erie | 7/31/79 | 1,316 | | | 12/03/79 | 22,189 | 750 | 7.0 | | 6,034 | 4,479 | 1,555 | | |
| Clear View Water Supply Co. | 9/28/79 | 215 | | | 11/21/79 | 1,939 | 73 | 10.11 | 12.75 | 639 | 443 | 196 | 56.3 D<br>43.7 CE | 8.06 D<br>12.75 CE |
| Conemaugh TWP. Water Co. | 3/02/79 | 7 | | | 6/21/79 | 25 | 7 | 6.97 | | 45 | 40 | 5 | | |
| Creekside Water Co. | 1/30/79 | 3 | | | 9/27/79 | 25 | 3 | 8.0 | 20.0 | 14 | 13 | 1 | 85.7 D<br>14.3 CE | 60.0 D<br>20.0 CE |
| Dale Dech Water Co. | 2/13/79 | 2 | | | 4/11/79 | 22 | 2 | 6.14 | | 5.8 | 4.4 | 1.4 | | |
| Dalmatia Water Co. | 1/22/79 | 4 | | | 3/29/79 | 19 | 2 | 9.5 | 13.07 | 9 | 7 | 2 | 50.0 D<br>50.0 CE | 8.0 D<br>13.07 CE |
| Dauphin Consolidated Water Supply Co. | 5/30/78 | 538 | | | 3/29/79 | 9,246 | 180 | 9.92 | 12.48 | 2,769 | 1,280 | 1,489 | 57.0 D<br>43.0 CE | 8.0 D<br>12.48 CE |
| Dauphin Consolidated Water Supply Co. | 10/26/79 | 917 | | | | | | | | | | | | |
| E. McKeesport Water Co. | 9/28/79 | 50 | | | 11/21/79 | 244 | 24 | 10.11 | 12.75 | 153 | 128 | 25 | 56.3 D<br>43.7 CE | 8.06 D<br>12.75 CE |
| Elizabethville Water Co. | 10/01/79 | 21 | | | 11/29/79 | 290 | 15 | 10.27 | 13.0 | 83 | 53 | 30 | 60.0 D<br>40.0 CE | 8.45 D<br>13.0 CE |
| Fielding, John | 5/29/79 | 3 | | | 7/26/79 | 14 | 3 | 2.13 | | 8 | 7 | 1 | | |
| Flint Hill Water Co. | 1/26/79 | 3 | | | 3/15/79 | 27 | 3 | 10.54 | | 14 | 11 | 3 | | |
| Fox Knoll Water Co. | 1/03/79 | 3 | | | 4/11/79 | 17 | 2 | 10.0 | 13.0 | 7 | 5 | 2 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |
| Franklin Water Company | 5/01/79 | 4 | | | 6/28/79 | 62 | 4 | 1.72 | (8.44) | 9 | 8 | 1 | 60.0 D<br>40.0 CE | 8.5 D<br>(8.44) CE |
| Freeport Water Company | 4/30/79 | 90 | | | 10/18/79 | 555 | 38 | 9.96 | 12.46 | 183 | 128 | 55 | 56.5 D<br>43.5 CE | 8.04 D<br>12.46 CE |
| Galeton-Eldred Water Co. | 4/30/79 | 91 | | | 6/28/79 | 398 | 61 | 9.36 | 12.46 | 182 | 143 | 39 | 56.5 D<br>43.5 CE | 8.04 D<br>12.46 CE |
| Grantham Water Co. | 1/29/79 | 17 | | | 9/06/79 | 152 | 7 | 10.48 | 14.0 | 62 | 45 | 17 | 80.0 D<br>20.0 CE | 9.6 D<br>14.0 CE |
| Hamilton Water Co. | 10/31/78 | 9 | | | 3/08/79 | 67 | 6 | 9.0 | 12.45 | 21 | 15 | 6 | 60.0 D<br>40.0 CE | 6.7 D<br>12.45 CE |
| Hillcrest Water Company | 4/30/79 | 2 | | | | | | | | | | | | |
| Hop Bottom Water Co. | 4/30/79 | 3 | | | 6/28/79 | 15 | 3 | 9.16 | 12.45 | 13 | 11 | 2 | 60.0 D<br>40.0 CE | 6.97 D<br>12.45 CE |
| Jersey Shore Water Co. | 4/30/79 | 185 | | | 10/18/79 | 951 | 90 | 9.96 | 12.48 | 349 | 257 | 92 | 56.5 D<br>43.5 CE | 8.04 D<br>12.48 CE |
| Keystone: | | | | | | | | | | | | | | |
| (Abington Dist.) | 5/31/79 | 107 | | | 8/09/79 | 1,799 | 38 | 10.08 | 13.0 | 627 | 446 | 181 | 56.6 D<br>2.9 PFD<br>40.5 CE | 8.12 D<br>7.47 PFD<br>13.0 CE |
| (Bangor Dist.) | 3/30/79 | 89 | | | 5/24/79 | 1,705 | 40 | 10.18 | 13.25 | 516 | 282 | 234 | 55.3 D<br>2.9 PFD<br>41.8 CE | 8.0 D<br>7.53 PFD<br>13.25 CE |
| (Berwick Dist.) | 8/28/79 | 71 | | | 10/18/79 | 1,467 | 32 | 10.11 | 13.0 | 681 | 533 | 148 | 56.5 D<br>2.9 PFD<br>40.6 CE | 8.16 D<br>7.52 PFD<br>13.0 CE |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | | |
| Keystone: | | | | | | | | | | | | | | |
| (Frackville Dist.) | 6/28/79 | 31 | | | 8/23/79 | 374 | 22 | 10.11 | 13.0 | 214 | 177 | 38 | 56.0 D<br>2.9 PFD<br>41.1 CE | 8.13 D<br>7.54 PFD<br>13.0 CE |
| (Moshannon Dist.) | 7/27/79 | 86 | | | 9/20/79 | 207 | 56 | 10.13 | 13.0 | 481 | 343 | 138 | 56.4 D<br>2.9 PFD<br>40.7 CE | 8.19 D<br>7.54 PFD<br>13.0 CE |
| (Norristown Dist.) | 11/28/79 | 334 | | | | | | | | | | | | |
| (Norristown Dist.) | 12/29/78 | 586 | | | 2/22/79 | 10,542 | 326 | 10.2 | 13.25 | 3,061 | 1,996 | 1,065 | 54.9 D<br>3.0 PFD<br>42.1 CE | 8.0 D<br>7.53 PFD<br>13.25 CE |
| (Northumberland Dist.) | 1/25/79 | 66 | | | 3/22/79 | 435 | 55 | 10.24 | 13.24 | 192 | 148 | 44 | 56.6 D<br>2.9 PFD<br>40.5 CE | 8.23 D<br>7.53 PFD<br>13.24 CE |
| (Palmyra Dist.) | 5/31/79 | 43 | | | 8/30/79 | 1,158 | 6 | 10.08 | 13.0 | 496 | 379 | 117 | 56.6 D<br>2.9 PFD<br>40.5 CE | 8.12 D<br>7.47 PFD<br>13.0 CE |
| (Susquehanna Dist.) | 6/28/79 | 53 | | | 8/23/79 | 348 | 44 | 9.25 | 13.0 | 161 | 126 | 35 | 56.0 D<br>2.9 PFD<br>41.1 CE | 8.13 D<br>7.54 PFD<br>13.0 CE |
| (White Deer Dist.) | 1/25/79 | 418 | | | 3/22/79 | 6,931 | 239 | 10.24 | 13.24 | 1,739 | 1,029 | 710 | 56.6 D<br>2.9 PFD<br>40.5 CE | 8.23 D<br>7.53 PFD<br>13.24 CE |
| Lake Spangenberg & Lake Loretta | 4/09/79 | 4 | | | 6/21/79 | 77 | 4 | (11.68) | | 13 | 16 | (3) | | |
| Lemont Water Co. | 11/28/79 | 22 | | | | | | | | | | | | |
| Manwalamink Water Co. | 8/23/79 | 6 | | | 10/25/79 | 98 | 6 | (5.77) | | 17 | 23 | (6) | | |
| Marion Heights Water Co. | 10/31/78 | 23 | | | 5/10/79 | 29 | 15 | 9.0 | 12.45 | 44 | 40 | 4 | 60.0 D<br>40.0 CE | 6.7 D<br>12.45 CE |
| Mather Water Co. | 2/02/79 | 9 | | | 9/13/79 | 31 | 0 | 10.0 | 13.0 | 66 | 54 | 12 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |
| Mocanaqua Water Co. | 11/29/78 | 15 | | | 6/21/79 | 82 | 9 | 9.0 | 12.45 | 31 | 24 | 7 | 60.0 D<br>40.0 CE | 6.7 D<br>12.45 CE |
| Monroe Manor Water Co. | 4/30/79 | 9 | | | 6/28/79 | 89 | 7 | 10.3 | 13.0 | 32 | 23 | 9 | 60.0 D<br>40.0 CE | 8.5 D<br>13.0 CE |
| Mountain Spring Water, Inc. | 4/13/79 | 1 | | | 6/07/79 | 24 | 1 | 9.31 | | 4 | 2 | 2 | | |
| Newberry Water Co. | 1/12/79 | 5 | | | 3/22/79 | 277 | 5 | 9.4 | | 53 | 27 | 26 | | |
| Ninevah Water Co. | 1/29/79 | 113 | | | 3/29/79 | 923 | 86 | 9.5 | 12.98 | 215 | 127 | 88 | 54.0 D<br>13.0 PFD<br>33.0 CE | 7.58 D<br>8.89 PFD<br>12.98 CE |
| Northview Water Company | 11/26/79 | 4 | | | | | | | | | | | | |
| Noxen Water Co. | 10/01/79 | 10 | | | | | | | | | | | | |
| Oak Hill Water Co. | 5/11/79 | 4 | | | 7/05/79 | 43 | 2 | 9.38 | | 16 | 12 | 4 | | |
| Overbrook Water Co. | 10/31/79 | 7 | | | | | | | | | | | | |
| Pennsylvania Water Co. | | | | | | | | | | | | | | |
| (Erie Suburban Div.) | 4/10/79 | 81 | | | 6/21/79 | 1,815 | 28 | 11.02 | 13.0 | 569 | 369 | 200 | 68.2 D<br>31.8 CE | 10.1 D<br>13.0 CE |
| (Sayre Div.) | 8/28/79 | 127 | | | 10/25/79 | 2,048 | 60 | 11.01 | 13.0 | 694 | 468 | 226 | 68.7 D<br>31.3 CE | 6.94 D<br>13.0 CE |
| Philadelphia Suburban Water Co. | 4/30/79 | 4,125 | | | | | | | | | | | | |
| Pine Twp. Water Co. | 12/28/78 | 13 | | | 3/29/79 | 36 | 4 | 10.0 | 13.0 | 23 | 20 | 3 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |
| Poconos Water Co. | 6/30/78 | 119 | | | | | | | | | | | | |
| Ralston Water & Water Power Co. | 10/22/79 | 6 | | | 12/28/79 | 22 | 6 | 4.21 | | 12 | 11 | 1 | | |
| Redstone: | | | | | | | | | | | | | | |
| (Crescent Hts. Div.) | 2/27/79 | 7 | | | 12/28/79 | 34 | 7 | 6.09 | 6.3 | 40 | 38 | 2 | 60.0 D<br>40.0 CE | 8.0 D<br>6.3 CE |
| (Redstone Div.) | 2/27/79 | 22 | | | 4/26/79 | 143 | 22 | 8.56 | 12.48 | 129 | 117 | 12 | 60.0 D<br>40.0 CE | 8.0 D<br>12.48 CE |
| (Royal Allison Div.) | 2/27/79 | 9 | | | 4/26/79 | 29 | 5 | 10.0 | 13.0 | 51 | 48 | 3 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | | |
| Reynolds Water Co. | 4/27/79 | 64 | | | 6/28/79 | 612 | 62 | 10.4 | 13.25 | 246 | 182 | 64 | 60.0 D<br>40.0 CE | 8.5 D<br>13.25 CE |
| Ridgeville Water Co. | 1/17/79 | 3 | | | 3/29/79 | 13 | 3 | 6.08 | 3.2 | 6 | 5 | 1 | 60.0 D<br>40.0 CE | 8.0 D<br>3.2 CE |
| Rivercrest Water Company: | | | | | | | | | | | | | | |
| (Lazybrook Div.) | 10/10/79 | 3 | | | | | | | | | | | | |
| (Rivercrest Div.) | 10/19/79 | 7 | | | | | | | | | | | | |
| Riverton Consolidated Water Co. | 11/28/78 | 377 | | | 1/25/79 | 9,960 | 156 | 9.25 | 13.04 | 2,464 | 1,543 | 921 | 61.3 D<br>7.2 PFD<br>31.5 CE | 7.53 D<br>7.3 PFD<br>13.04 CE |
| Riverton Consolidated Water Co. | 11/28/79 | 339 | | | | | | | | | | | | |
| Shavertown and Kingston Twp. Water Co. | 4/30/79 | 6 | | | | | | | | | | | | |
| Shavertown Water Co. | 4/02/79 | 29 | | | 6/21/79 | 314 | 20 | 10.25 | 13.0 | 145 | 103 | 42 | 53.2 D<br>5.2 PFD<br>41.6 CE | 8.5 D<br>6.2 PFD<br>13.0 CE |
| Shenango Valley Water Co. | 11/13/78 | 431 | | | 1/11/79 | 12,502 | 249 | 9.71 | 13.5 | 3,621 | 2,408 | 1,213 | 62.14 D<br>10.48 PFD<br>27.38 CE | 8.55 D<br>6.65 PFD<br>13.5 CE |
| Skyline Water Co. | 4/27/79 | 7 | | | 6/28/79 | 49 | 6 | 9.16 | 12.45 | 14 | 10 | 4 | 60.0 D<br>40.0 CE | 6.97 D<br>12.45 CE |
| Slate Belt Water Co. | 6/28/79 | 9 | | | 8/23/79 | 51 | 9 | 8.18 | 7.7 | 21 | 17 | 4 | 60.0 D<br>40.0 CE | 8.5 D<br>7.7 CE |
| Summerdale Water Co. | 4/26/79 | 7 | | | 7/19/79 | 60 | 7 | 10.07 | 12.43 | 18 | 12 | 6 | 60.0 D<br>40.0 CE | 8.5 D<br>12.43 CE |
| Tobyhanna Water Co. | 5/16/79 | 3 | | | 7/12/79 | 13 | 2 | 9.16 | 12.45 | 8 | 7 | 1 | 60.0 D<br>40.0 CE | 6.97 D<br>12.45 CE |
| Tri-County Water Co. | 4/18/79 | 21 | | | 6/28/79 | 78 | 16 | 9.61 | 12.5 | 32 | 24 | 8 | 44.5 D<br>55.5 CE | 6.0 D<br>12.5 CE |
| Trucksville Water Co. | 4/30/79 | 37 | | | | | | | | | | | | |
| Twp. of Middletown | 4/26/78 | 210 | | | 3/29/79 | 933 | 23 | 7.0 | | 362 | 297 | 65 | | |
| Washington Run Water Works | 1/02/79 | 16 | | | 9/20/79 | 20 | 14 | 10.22 | 13.0 | 57 | 53 | 4 | 60.0 D<br>40.0 CE | 8.0 D<br>13.0 CE |
| West Pa. Water Co. | | | | | | | | | | | | | | |
| (Butler Div.) | 9/28/79 | 423 | | | 11/21/79 | 8,771 | 171 | 8.88 | 13.0 | 2,453 | 1,674 | 779 | 61.7 D<br>6.7 PFD<br>31.6 CE | 7.12 D<br>5.65 PFD<br>13.0 CE |
| (Indiana Div.) | 10/29/79 | 110 | | | 12/21/79 | 3,648 | 29 | 9.10 | 13.0 | 1,126 | 794 | 332 | 58.9 D<br>7.2 PFD<br>33.9 CE | 7.28 D<br>5.6 PFD<br>13.0 CE |
| (Kane Div.) | 2/28/79 | 111 | | | 5/25/79 | 868 | 88 | 8.7 | 13.25 | 363 | 287 | 76 | 58.2 D<br>7.6 PFD<br>34.2 CE | 6.42 D<br>5.66 PFD<br>13.25 CE |
| (McDonald Div.) | 12/29/79 | 103 | | | | | | | | | | | | |
| (Mt. Jewitt Div.) | 2/28/79 | 31 | | | 4/26/79 | 122 | 26 | 8.7 | 13.26 | 85 | 74 | 11 | 58.2 D<br>7.6 PFD<br>34.2 CE | 6.42 D<br>5.66 PFD<br>13.26 CE |
| (New Castle Div.) | 10/29/79 | 508 | | | 12/21/79 | 5,936 | 385 | 9.1 | 13.0 | 2,679 | 2,139 | 540 | 58.9 D<br>7.2 PFD<br>33.9 CE | 7.28 D<br>5.6 PFD<br>13.0 CE |
| (Pittsburgh Suburban District) | 4/30/79 | 4,118 | | | 9/13/79 | 81,018 | 1,675 | 9.08 | 13.21 | 25,156 | 17,800 | 7,356 | 58.7 D<br>7.2 PFD<br>34.1 CE | 7.09 D<br>5.73 PFD<br>13.21 CE |
| (Pittsburgh Valley Div.) | 5/01/78 | 4,016 | | | 1/25/79 | 80,054 | 2,200 | 9.07 | 14.29 | 24,050 | 16,972 | 7,078 | 58.3 D<br>7.5 PFD<br>34.2 CE | 6.43 D<br>5.72 PFD<br>14.29 CE |
| (Punxsutawney Div.) | 12/29/78 | 239 | | | 2/22/79 | 1,751 | 181 | 8.66 | 13.25 | 722 | 570 | 152 | 58.5 D<br>7.5 PFD<br>34.0 CE | 6.38 D<br>5.66 PFD<br>13.25 CE |
| (Punxsutawney Div.) | 12/28/79 | 102 | | | | | | | | | | | | |
| (Warren Div.) | 2/28/79 | 96 | | | 4/26/79 | 1,466 | 53 | 8.7 | 13.25 | 638 | 511 | 128 | 58.2 D<br>7.6 PFD<br>34.2 CE | 6.42 D<br>5.66 PFD<br>13.25 CE |

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | | |
| West Pa. Water Co. (Washington Div.) | 7/27/79 | 913 | | | 9/20/79 | 13,504 | 337 | 8.88 | 13.0 | 4,572 | 3,373 | 1,199 | 61.7 D<br>6.7 PFD<br>31.6 CE | 7.12 D<br>5.65 PFD<br>13.0 CE |
| Wildbar Realty Company | 4/30/79 | 15 | | | 9/13/79 | 53 | 10 | 12.35 | 13.75 | 19 | 15 | 4 | 70.4 D<br>29.6 CE | 11.76 D<br>13.75 CE |
| Wrightsville Water Supply Co. | 4/30/79 | 101 | | | 10/18/79 | 448 | 15 | 9.96 | 12.46 | 158 | 114 | 44 | 56.5 D<br>43.5 CE | 8.04 D<br>12.46 CE |
| *** | | | | | | | | | | | | | | |
| SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Duke Power Co. | 9/19/79 | 269 | | | 1/29/80 | 9,407 | 269 | 2.16 | (2.84) | 1,778 | 1,577 | 201 | 47.11 D<br>15.12 PFD<br>37.77 CE | 8.05 D<br>7.98 PFD<br>(7.51)CE |
| Hughes Water System, Inc. | 3/28/79 | 42 | | | 9/10/79 | | 19 | 19.97 1/ | | 126 | 102 | 24 | | |
| Warren Norman & Co. | 3/16/79 | 7 | | | 6/14/79 | | 7 | 13.52 1/ | | 26 | 22 | 4 | | |
| 1/ Operating margin. | | | | | | | | | | | | | | |
| TENNESSEE PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Friendship Water Co. | 3/13/79 | 6 | | | 5/08/79 | 33 | 6 | 10.0 | 10.0 | 24 | 21 | 3 | 30.0 D<br>70.0 1/ | 10.0 D<br>10.0 1/ |
| Roan Mountain Water Co. | 10/30/78 | 26 | 5/03/79 | 26 | | | | | | | | | | |
| Tennessee-American Water Co. | 10/17/78 | 3,005 | | | 4/10/79 | 42,859 | 2,098 | 9.52 | 13.5 | 13,310 | 9,236 | 4,074 | 63.36 D<br>8.91 PFD<br>27.73 CE | 8.24 D<br>6.30 PFD<br>13.50 CE |
| 1/ Proprietorship. | | | | | | | | | | | | | | |
| TEXAS PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Baumgart Water Supply Co. | 7/25/79 | 19.5 | | | 10/25/79 | 135.0 | 16.8 | 15.5 | 15.5 | | 53.6 | | | |
| Cave Springs Water Corp. | 6/20/79 | 4.4 | | | 10/25/79 | 23.2 | 4.4 | 15.0 | 15.0 | | 9.4 (or greater) | | | |
| Crystal Springs Water Co. | 10/16/78 | 8.8 | | | 3/27/79 | 43.1 | 5.6 | 11.95 | | | 15.4 | | | |
| Dixie Lane Corp. | 2/26/79 | 6.3 | | | 8/21/79 | 19.5 | 6.3 | 12.5 | | | 10.1 | | | |
| Lake Tegas Water System | 12/22/78 | .7 | | | 5/15/79 | 21.6 | .7 | 12.5 | | | 6.1 | | | |
| Lakeshore Water Co., Inc. | 7/18/79 | 6.1 | | | 10/25/79 | 29.5 | 2.5 | 15.0 | 15.0 | | 31.3 | | | |
| Lakeside Services | 2/02/79 | 14.4 | | | 11/27/79 | 116.6 | 11.9 | 10.2 | 19.0 | | 48.3 | | | |
| Oak South/Palo Alto Water Co. | 6/06/79 | 5.9 | | | 10/25/79 | 26.4 | 3.7 | 14.3 | 14.3 | | 20.5 | | | |
| Redwood Water System | 4/02/79 | 4.9 | | | 8/21/79 | 2,710 | 4.9 | 15.0 | 15.0 | | 5 | | | |
| S.S.S. Water Systems, Inc. | 6/22/79 | 98.5 | | | 12/27/79 | 246.5 | 50.8 | 14.7 | 14.7 | | 183.0 | | | |
| Sunday Canyon Development Co. | 3/12/79 | 2.9 | | | 6/26/79 | 9.1 | 2.9 | 12.5 | | | 8.1 | | | |
| Tanglewood Resort Management, Inc. | 3/15/79 | 11.7 | | | 10/29/79 | 94.4 | 13.7 | 8.0 | | | 31.4 | | | |
| Twin Oaks Water Co. | 9/15/78 | 2.01 | | | 5/06/79 | 11.6 | 1.0 | 12.4 | | | 4.5 | | | |
| Windy's Water Works | 2/26/79 | 2.8 | | | 9/14/79 | 131.9 | 2.8 | 7.42 | 13.8 | | 79.5 | | | |
| VERMONT PUBLIC SERVICE BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Arlington Water Company | 11/23/79 | 127 | | | Pending | | | | | | | | | |
| Duxbury Water Company | 10/01/79 | 10 | | | Pending | | | | | | | | | |
| Lapan Bay Water Systems | 5/05/78<br>Amended<br>3/30/79 | 1 | | | 7/31/79 | | | 10.0 | 10.0 | | | | | |
| Stowe Lower Village Water Co. | 7/31/79 | 3 | | | Pending | | | | | | | | | |
| Teachout Bros. Plumbing & Heating, Inc. | 8/31/79 | 2 | | | Pending | | | | | | | | | |
| Towne Hill Water Co. | 12/05/77 | 5,196 | | | Pending--Supreme Court | | | | | | | | | |
| VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Blue Ridge Water Co. | 3/01/79 | 1/ | | | | | | | | | | | | |
| Big Caney Water Corp. | 10/30/79 | 4 | | | | | | | | | | | | |
| Colchester Public Service Corp. | 7/18/78 | 18 | | | 5/03/79 | 68 | 18 | 4.69 | | 62 | 58 | 3 | | |
| County Utilities Corp. | 7/28/78 | 134 | | | 5/07/79 | (78) | 61 | | | 207 | 197 | 10 | | |
| Indian River Water Co. | 3/07/79 | 21 | | | 8/27/79 | 28 | 21 | .38 | | 86 | 85 | | | |

*** For Rhode Island rate cases see end of Water Utility Rate Cases.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| VIRGINIA STATE CORPORATION COMMISSION (Continued) | | | | | | | | | | | | | | |
| Jelco Water Co., Inc. | 10/23/79 | 28.00 Minimum<br>800.00 Connection Fee | | | | | | | | | | | | |
| Kempsville Utilities Corp. | 7/28/78 | 108 | | | 5/07/79 | (168) | 69 | | | 345 | 335 | 10 | | |
| Marshall Water Works | 3/16/79 | 21 | | | 7/24/79 | 192 | 14 | 8.0 | | 66 | 51 | 15 | | |
| Monterey Hills Water Co., Inc. | 10/23/79 | 2/ | | | | | | | | | | | | |
| Occoquan Water, Inc. | 10/02/79 | 3/ | | | | | | | | | | | | |
| Suburban Water Supply Co. | 7/13/78 | 9 | | | 10/16/79 | 267 | 9 | 3.05 | | 110 | 102 | 8 | | |
| Suburban Water Supply Co. | 4/27/79 | 39 | 10/03/79 | 39 | | | | | | | | | | |
| Va.-American Water Co. | 8/21/78 | 1.2 4/<br>429 5/ | | | 1/25/79 | 6,591 4/<br>3,947 5/ | 319 4/<br>181 5/ | 8.35 4/<br>8.35 5/ | 13.5 | 3,224 4/<br>1,128 5/ | 2,972 4/<br>871 5/ | 384 4/<br>234 5/ | 63.1 D<br>11.5 PFD<br>21.5 CE | 18.14 D<br>5.42 PFD<br>12.5 -<br>13.5 CE |
| Va.-American Water Co. | 7/06/79 | 627 | | | | | | | | | | | | |
| Va.-American Water Co. (Alexandria District) | 12/18/79 | 614 | | | | | | | | | | | | |

1/ First 4,000 gallons - $8.50, next 6,000 gallons - .80 M, over 10,000 - .70 M, meter deposit - $8.50.
2/ Quarterly charges: First 6,000 gallons - $18.00 minimum, all over 6,000 gallons - $1.25 per 1,000 gallons.
3/ First 2,000 gallons - $10.00 per month, next 4,000 gallons - $2.50 per 1,000 gallons, over 6,000 gallons - $1.25 per 1,000 gallons.
4/ Alexandria District.
5/ Prince William District.

### WEST VIRGINIA PUBLIC SERVICE COMMISSION

### Water Rate Cases - Final Orders - 1979 1/

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9452 | Town of West Hamlin - Water | 3/15/78 | 1/24/79 | 1/24/79 | 12,887 | 12,887 | |
| 9380 | City of Welch - Water | 3/29/76 | 6/15/78 | 5/30/79 | 126,736 | 126,736 | |
| 9507 | Matheny Public Service District | 4/04/78 | 1/29/79 | 1/29/79 | 9,248 | 9,248 | |
| 9603 | Thomas Municipal Water Works | 4/21/78 | 1/29/79 | 1/29/79 | 13,267 | 9,763 | |
| 9313 | Iaeger Water Works | 5/05/78 | 6/05/78 | 4/23/79 | 15,851 | 15,851 | 11.24 |
| 9348 | City of Keyser - Water | 5/18/78 | 6/20/78 | 6/11/79 | 56,325 | 52,382 | |
| 9363 | War Water Works, Inc. | 5/22/78 | 6/17/78 | 6/10/79 | 18,445 | 18,445 | |
| 9386 | West Logan Water Company | 5/23/78 | 6/26/78 | 5/28/79 | 24,441 | 24,441 | 9.74 |
| 9605 | Town of Lester | 6/06/78 | 3/22/79 | 3/22/79 | 13,077 | 13,077 | |
| 9433 | City of Fairmont - Water | 6/13/78 | 8/02/78 | 8/07/79 | 425,452 | 425,452 | |
| 9420 | Mullens Water Works | 6/21/78 | 7/17/78 | 6/15/79 | 20,789 | 20,789 | 8.026 |
| 9609 | Sewell Creek Water Company | 6/22/78 | 3/12/79 | 3/12/79 | 9,521 | 6,543 | 10.8 |
| 9463 | Town of Pratt - Water | 6/23/78 | - | 3/05/79 | 66,198 | 66,198 | |
| 9465 | Town of Cedar Grove - Water | 6/27/78 | 6/18/79 | 6/18/79 | 65,156 | - | |
| 9610 | Little Creek Public Service District | 6/27/78 | - | 8/21/79 | 12,684 | 10,019 | |
| 9623 | Lavalette Public Service District | 7/10/78 | 8/23/79 | 8/23/79 | 54,000 | 51,840 | |
| 9611 | Salem-Gatewood Public Service District | 7/12/78 | 8/02/79 | 8/31/79 | 42,243 | 30,314 | |
| 9477 | Town of Bancroft - Water | 8/21/78 | 9/24/78 | 9/06/79 | 22,700 | 22,700 | |
| 9521 | Town of Summersville - Water | 9/29/78 | 11/01/78 | 8/02/79 | 114,967 | 104,664 | |
| 9547 | Logan Water Company | 11/08/78 | 12/01/78 | 11/04/79 | 21,248 | 15,210 | 11.57 |
| 9548 | J. C. Evans Utilities, Inc. | 11/08/78 | 12/01/78 | 11/04/79 | 25,461 | 17,967 | 11.548 |
| 9549 | Stolling Water Company | 11/08/78 | 12/01/78 | 11/04/79 | 10,718 | 2,906 | 11.456 |
| 9638 | City of Mount Hope - Water | 12/04/78 | 12/30/78 | 6/08/79 | 48,083 | 39,989 | |
| 9644 | Berkeley County Public Service District - Water | 12/12/78 | 12/05/79 | 8/03/79 | 82,595 | 66,310 | |
| 79-018 | Ices Run Route #250 - Public Service District | 1/02/79 | 5/22/79 | 5/22/79 | 20,000 | 19,843 | |
| 79-041 | McDowell County Water Company | 2/05/79 | 3/06/79 | 10/07/79 | 67,600 | 50,501 | 11.696 |
| 79-050 | Dry Ridge Civic Improvement Association, Inc. | 2/15/79 | 4/30/79 | 4/30/79 | 4,516 | 4,516 | |
| 79-132 | Sugar Creek Public Service District | 4/19/79 | 10/24/79 | 10/26/79 | 5,935 | 5,138 | |
| 79-235 | New Creek Water Association | 7/03/79 | 12/19/79 | 12/11/79 | 6,334 | 2,513 | |
| 79-280 | East Campbell Park Water Company | 8/01/79 | 11/15/79 | 11/15/79 | 1,091 | 1,091 | |
| 79-325 | Van Public Service District | 8/05/79 | 12/07/79 | 11/30/79 | 14,000 | 15,978 | |
| 79-326 | Smithers Utilities Company | 8/15/79 | 1/11/80 | 12/27/79 | 26,874 | 18,198 | 9.5 |

1/ Water rate cases are listed in order by date filed.

## 1979 WATER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| **WISCONSIN PUBLIC SERVICE COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Superior Water, Light & Power Company | 6/16/78 | 211 | | | 9/28/79 | 3,115 | 154 | 9.20 | 13.25 | 1,430 | 1,143 | 287 | 53.00 D<br>9.90 PFD<br>37.10 CE | 5.88 D<br>7.96 PFD<br>13.25 CE |
| **RHODE ISLAND PUBLIC UTILITIES COMMISSION** (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bristol County Water Company | 10/27/78 | 808 | | | 7/26/79 | 5,121 | 595 | 9.3 | | 2,122 | 1,646 | 476 | | |
| Wakefield Water Company | 4/05/79 | 215 or 37.16% | | | 1/04/80 | 1,775 | 142 | 10.5 | 13.7 | 7.17 | 531 | 186 | | |

## 1979 SEWER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| CALIFORNIA PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Laguna Hills Sanitation, Inc | 8/02/79<br>amended 1/25/79 | 571.5 | 2/27/79 | 135.2 | | | | | | | | | | |
| FLORIDA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Apache Shores Utilities, Inc. | 6/09/75 | 1/ | 2/23/77 | | 3/07/79 | 19.2 | 3.8 | 10.53 | 11.50 | 14.6 | 12.6 | 2.0 | 23.92 D<br>76.08 CE | 7.44 D<br>11.50 CE |
| B.C.D. Industries | 2/24/77 | 4.0 | | | 2/08/79 | 11.4 | (5.42) | 8.64 | 20.0 | 6.8 | 5.9 | .9 | 98.8 D<br>1.2 CE | 8.5 D<br>20.0 CE |
| Broadview Utilities Corp. | 8/02/78 | 62.0 | | | 11/14/79 | 542.0 | 27.0 | 10.07 | 12.75 | 253.0 | 198.0 | 55.0 | 53.0 D<br>47.0 CE | 7.7 D<br>12.75 CE |
| Citrus Springs Utilities | 7/25/78 | 14.0 | | | 6/12/79 | 545.0 | 14.0 | 10.88 | 15.0 | 40.0 | 52.0 | (12.0) | 61.23 D<br>38.77 CE | 8.26 D<br>15.0 CE |
| Citrus Springs Utilities | 10/78 | 14.6 | | | 6/06/79 | 542.0 | 14.6 | .48 2/ | 15.0 | 55.0 | 52.0 | 3.0 | 60.0 D<br>40.0 CE | 7.0 D<br>15.0 CE |
| Consolidated Utility Co., Inc. | 3/30/78 | 35.0 | | | 7/16/79 | 234.0 | 28.6 | 11.19 | 11.50 | 86.0 | 60.0 | 26.0 | 17.50 D<br>82.50 CE | 1.70 D<br>9.49 CE |
| Cooper City Utilities, Inc. | 2/01/78 | 99.4 | 6/21/79 | 99.4 | 7/18/79 | 722.0 | (57.8) | 10.0 | 10.0 | 311.0 | 238.0 | 72.0 | 100.0 D | 10.0 D |
| First Florida Utilities, Inc. | 7/17/78 | 90.3 | | | 12/20/79 | 416.0 | 64.9 | 11.0 | 11.17 | 199.2 | 153.5 | 45.8 | 3.0 D<br>97.0 CE | 5.5 D<br>11.17 CE |
| General Development Utilities, Inc. | | | | | | | | | | | | | | |
| (Port Malabar Div.) | 1/26/78 | 116.2 | | | 10/09/79 | 972.0 | 63.2 | 9.67 | 13.50 | 326.0 | 232.0 | 94.0 | 58.50 D<br>40.00 CE | 7.14 D<br>13.50 CE |
| (Port St. John Water & Sewer Div.) | 8/23/79 | 22.4 | 9/21/79 | 22.4 | | | | | | | | | | |
| (Port St. Lucie Div.) | 12/12/77 | 304.7 | | | 7/30/79 | 1,896.0 | 225.0 | 9.67 | 13.50 | 397.0 | 324.0 | 73.0 | 58.50 D<br>40.00 CE | 7.14 D<br>13.50 CE |
| (Port St. Lucie Div.) | 1/08/78 | 132.7 | 2/27/79 | 132.7 | Rates put into effect under bond. | | | | | | | | | |
| (South Port Charlotte Div.) | 12/19/75 | 141.2 | | | 2/23/79 | 1,405.9 | 119.2 | 14.33 | 13.20 | 539.8 | 394.0 | 145.8 | 50.0 D<br>50.0 CE | 10.71 D<br>13.20 CE |
| (South Port Charlotte Div.) | 8/31/78 | 579.7 | | | 9/13/79 | 3,551.0 | 579.7 | 11.22 | 12.75 | 1,125.0 | 813.0 | 312.0 | 57.26 D<br>42.74 CE | 10.08 D<br>12.75 CE |
| Greenbrier Service Corp. | 8/29/79 | 207.9 | 9/19/79 | 8.5 | | | | | | | | | | |
| Gulfstream Utility Company | 9/07/78 | 152.9 | | | 5/22/79 | 2,194.0 | 152.9 | 9.87 | 13.0 | 562.0 | 361.0 | 201.0 | 66.98 D<br>3.18 3/<br>29.84 CE | 5.99 D<br>--<br>3.88 CE |
| Holiday Lake Water System | 8/02/76 | 302.0 | | | 3/13/79 | 517.0 | 107.0 | 9.82 | | 214.0 | 164.0 | 50.0 | 99.0 D<br>1.0 CE | 9.8 D<br>22.0 CE |
| J. C. Utilities, Inc. | 8/01/79 | 62.7 | 8/23/79 | 33.7 | | | | | | | | | | |
| Jacksonville Suburban Utilities Corp. | 4/05/79 | 648.4 | 7/09/79 | 30.5 | | | | | | | | | | |
| Jasmine Lakes Service Co. | 10/15/79 | 58.2 | 11/07/79 | 35.6 | | | | | | | | | | |
| Lehigh Utilities, Inc. | 7/31/79 | 230.3 | 7/31/79 | 63.9 | | | | | | | | | | |
| Lost Tree Utilities Corp. | 11/21/78 | 52.8 | | | 5/23/79 | 205.4 | 39.0 | 10.91 | 11.0 | 59.5 | 37.1 | 22.4 | 4.36 D<br>95.64 CE | 9.0 D<br>11.0 CE |
| Lucina Utilities Co. | 1/19/79 | 54.9 | | | 11/26/79 | 259.4 | 16.1 | 12.75 | | 162.1 | 129.0 | 33.1 | 100.0 D | 12.75 D |
| Mangonia Park Utility Co. | 5/21/79 | 59.2 | 6/21/79 | 54.7 | | | | | | | | | | |
| Mariner Properties, Inc. dba Sanibel Sewer System | 8/06/79 | 148.8 | 9/13/79 | 59.5 | | | | | | | | | | |
| Old Bridge Utilities Corp. | 11/30/79 | 110.5 | 12/21/79 | 83.2 | | | | | | | | | | |
| Ridgeway Mobile Home Subdivision dba Hydratech Utilities, Inc. | 11/26/79 | 92.7 | 12/21/79 | 40.9 | | | | | | | | | | |
| San Carlos Utilities, Inc. | 4/04/79 | 36.5 | 6/01/79 | 34.8 | | | | | | | | | | |
| Southern Utilities Company | 4/05/79 | 660.8 | 7/09/79 | 54.3 | | | | | | | | | | |
| Spring Hill Utilities Div. of Deltona Utilities, Inc. | 7/31/79 | 99.3 | 8/23/79 | 99.3 | | | | | | | | | | |
| West Orlando Utilities, Inc. | 3/23/79 | 20.4 | 5/21/79 | 1.8 | | | | | | | | | | |

1/ Specific amount not requested.
2/ Utility requested less than a fair rate of return.
3/ Deferred income taxes.

## 1979 SEWER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| HAWAII PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Hawaii Kai Community Services Co. | 4/26/77 | 1,335 | | | 11/16/79 | 1,736 | 263 | 8.6 | 12.0 | 834 | 683 | 151 | 60.0 D<br>40.0 CE | 6.3 D<br>12.0 CE |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Arlington Heights Water Co. | 8/30/79 | 18.7 | | | | | | | | | | | | |
| Bellm Utility Company | 10/05/79 | 11.7 | | | | | | | | | | | | |
| Citizens Utilities Co. of Illinois | 11/30/78 | 658.2 | | | 10/24/79 | | 463.0 | (Present status - rehearing) | | | | | | |
| Domestic Utility Service Co. | 9/05/79 | 129.1 | | | | | | | | | | | | |
| DuPage Utility Co. | 5/10/78 | 20.6 | 7/12/78 | 4.8 | 2/14/79 | | 13.7 | | | | | | | |
| Fox Lake Utilities Co. | 11/13/78 | 43.2 | | | 10/10/79 | | 43.2 | | | | | | | |
| Highview Water Co. | 12/01/78 | 100% | | | Withdrawn | | | | | | | | | |
| Prestick Utilities Co. | 10/01/79 | 153.0 | | | | | | | | | | | | |
| Sundale Sewer Corp. | 12/01/78 | 71.7 | | | 10/24/79 | | 23.5 | | | | | | | |
| Washington Estates, Inc. | 12/01/78 | 80% | | | Withdrawn | | | | | | | | | |
| Will Co. Water Co. | 4/30/79 | 11.9 | | | | | | | | | | | | |
| Woodlawn Utilities Corp. | 8/27/79 | 150% | | | | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| East Eighty Utility Corp. | 10/26/79 | 17 | | | Pending | | | | | | | | | |
| Magnolia Park Service Corp. | 5/02/79 | 10 | | | 11/08/79 | | 7 | | | | | | | |
| Pyramid Corp. | 7/10/79 | 1 | | | Pending | | | | | | | | | |
| Thoroughbred Park Service Corporation | 5/21/79 | 7 | | | 11/08/79 | | 3 | | | | | | | |
| MARYLAND PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Fair Value) | | | | | | | | | | | | | | |
| Lake Spring Water Co. | 6/23/78 | N/A | | | 9/02/79 | | 4.7 | 8.0 | | | | | | |
| Pinto Utilities, Inc. | 12/07/77 | 18.1 | | | 1/17/79 | | (11.9) | 10.0 | | | | | | |
| Pinto Utilities, Inc. | 9/17/79 | 27.0 | | | Pending | | | | | | | | | |
| Potomac Utilities | 4/10/79 | N/A | | | Case resolved by means of a settlement agreement. | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Binder Basin Sewer Co., Mid-Mo. Eng. Co. | | -0- | | | 4/13/79 | | 15 | | | | | | | |
| Saline Sewer Co. | | -0- | | | 12/26/79 | | 60 | | | | | | | |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Carolina Water Service, Inc. | 7/02/79 | 16.9 | | | Pending | | | | | | | | | |
| Cumberland Water Co. | 6/22/78 | 32.0 | | | 2/27/79 | | 30 | 88.38 1/ | | 133 | 153 | (20) | | |
| Goose Creek Utility Co. | 1/05/79 | 3.6 | | | Pending | | | | | | | | | |
| Pinehurst, Inc. | 11/14/78 | 198.7 | | | 8/27/79 | 313.1 2/ | 174.3 | 10.0 | | 214.4 | 183.1 | 31.3 | | |
| Scientific Water Sewage, Inc. | 10/19/78 | 18.5 | | | 4/25/79 | 140.9 2/ | 14.8 | 11.75 | | 104.5 | 87.9 | 16.6 | | |
| 1/ Operating ratio. | | | | | | | | | | | | | | |
| 2/ Method of computing rate base: original cost. | | | | | | | | | | | | | | |
| NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Port Williams Village Service Commission | 6/25/79 | 4.8 | | | 10/09/79 | 153.7 | 5.7 | 4.29 | | 21.8 | 15.2 | 6.6 | | |
| Sydner Water Commission | 11/15/78 | 637.2 | | | 3/14/79 | 5,284.8 | 620 | 9.63 | | 1,687.4 | 1,178.4 | 509 | | |
| Town of Hantsport | 6/22/79 | 33.3 | | | 9/18/79 | 356.2 | 33.3 | 4.63 | | 72.3 | 55.8 | 16.5 | | |
| Town of North Sydney | 5/28/79 | 154.3 | | | 10/25/79 | 1,034 | 150.3 | 10.44 | | 421.9 | 314.0 | 107.9 | | |
| Town of Port Hawkesbury | 1/11/79 | 250 | | | 6/28/79 | 2,414 | 250 | 4.84 | | 376.6 | 259.8 | 116.8 | | |
| Town of Middleton | 10/27/78 | 47.9 | | | 8/22/79 | | 47.9 | 3.33 | | 207 | 151 | 56 | | |
| OHIO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lakeside Utilities Corp. | 10/26/79 | 141 | | | | | | | | | | | | |
| Western Ohio Utilities, Inc. | 8/22/79 | 21 | | | | | | | | | | | | |

# 1979 SEWER UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Corner Sewer Corp. | 5/18/79 | 26 | | | 7/26/79 | 193 | 16 | 10.01 | 14.0 | 39 | 20 | 19 | 71.3 D<br>28.7 CE | 8.4 D<br>14.0 CE |
| Delaware Valley Industrial Sewage Co. | 12/21/79 | 145 | | | | | | | | | | | | |
| George F. Fleeher | 7/31/79 | 7 | | | 9/27/79 | 51 | 7 | | | 27 | 30 | (3) | | |
| Hickory Sewer Corp. | 5/24/79 | 6 | | | 7/26/79 | 31 | 6 | 3.26 | | 11 | 10 | 1 | | |
| Newberry Sewer Co. | 1/12/79 | 1 | | | 3/21/79 | 86 | 1 | (-.21) | | 15 | 15 | 0 | | |
| Poconos Sewer Co. | 6/30/78 | 140 | | | | | | | | | | | | |
| SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Artistic Builders, Inc. | 5/01/79 | 8 | | | 9/29/79 | | 8 | 25.26 1/ | | 17 | 13 | 4 | | |
| Carolina Water Service, Inc. | 11/29/78 | 43 | 1/01/79 | 43 2/ | 2/27/79 | 429 | 43 | 3.86 1/ | | 173 | 157 | 16 | | |
| Greystone Utility Corp. | 4/18/79 | 24 | | | 7/18/79 | | 19 | 26.99 1/ | | 27 | 20 | 7 | | |
| North Brookdale Sewerage Co. | 3/30/79 | 5 | | | 9/05/79 | 33 | 5 | (3.73) | | 8 | 9 | (1) | | |
| Pinedale Utility Co. | 8/03/78 | 15 | | | 3/22/79 | | 5 | 10.83 1/ | | 17 | 15 | 2 | | |
| Sedgefield Sewerage Co. | 6/27/79 | 74 | | | 9/27/79 | | 41 | 29.95 1/ | | 86 | 60 | 26 | | |
| Wildewood Utilities, Inc. | 8/02/79 | 21 | | | 11/01/79 | | 7 | 30.21 1/ | | 23 | 16 | 7 | | |
| TEXAS PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Nitsch & Son Utility Co. | 9/27/78 | 18.4 | | | 2/15/79 | 40.2 | 11.1 | 13.0 | | | 40.2 | | | |
| VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| South Anna Corporation | 9/07/79 | 3 | | | | | | | | | | | | |

1/ Operating margin.
2/ Entire increase in effect under bond.

WEST VIRGINIA PUBLIC SERVICE COMMISSION

Sewer Rate Cases - Final Orders - 1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9596 | Cabell Utilities, Inc. | 11/30/78 | 5/21/79 | 9/30/79 | 44,784 | 9,854 | |
| 9384 | City of Huntington | 4/10/78 | 3/26/79 | 3/26/79 | 375,278 | 295,244 | |
| 9639 | City of Mount Hope | 12/04/78 | 12/30/78 | 6/08/79 | 16,266 | 11,547 | |
| 79-004 | J. H. Richmond Sewer System, Inc. | 1/05/79 | 2/12/79 | 9/20/79 | 24,896 | 18,384 | |
| 9303 | Newell Company | 4/18/78 | 5/18/78 | 5/20/79 | 52,978 | 34,574 | |
| 79-123 | Spring Valley Public Service District | 4/11/79 | 8/02/79 | 8/02/79 | 24,480 | 11,064 | 14 |
| 9456 | Town of Athens | 8/01/78 | 11/11/79 | 11/25/79 | 14,834 | 14,834 | 38 |

## 1979 MULTI-UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| ELECTRIC AND GAS | | | | | | | | | | | | | | |
| CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Connecticut Light & Power Co. | 2/08/79 | 87,650 | | | 6/29/79 | 1,123,179 | 53,722 | 9.68 | | 555,699 | 446,975 | 108,724 | | |
| Hartford Electric Light Co. | 2/08/79 | 43,600 | | | 6/29/79 | 637,212 | 32,286 | 9.94 | | 302,197 | 238,858 | 63,339 | | |
| DELAWARE PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Delmarva Power & Light Co. | 2/01/79 | 6,396 | | | 9/25/79 | 19,107 | 3,766 | 9.37 | 14.00 | 2,332 | 1,434 | 1,790 | 45.9 D<br>12,0 PFD<br>35.8 CE<br>6.3 1/ | 7.59 D<br>7.31 PFD<br>14.00 CE<br>-0- |
| VERMONT PUBLIC SERVICE BOARD (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Green Mountain Power Corp. | 10/11/79 | 10,633 | | | Pending | | | | | | | | | |
| WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Washington Water Power Co. | 2/28/79 | 7,009 | | | 10/09/79 | 252,583 | 5,142 | 9.0 | 12.75 | | | 22,732 | 53.8 D<br>10.2 PFD<br>35.6 CE<br>0.4<br>Deferred Taxes | 3.50 D<br>0.92 PFD<br>4.54 CE<br>-- |

### ELECTRIC AND WATER

WEST VIRGINIA PUBLIC SERVICE COMMISSION

Electric and Water Rate Cases - Final Orders - 1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9524 | Elkhorn Public Service Company | 9/26/78 | 10/24/78 | 8/09/79 | 23,003 water<br>9,754 electric | 23,003<br>9,754 | 11.36 |
| 9478 | Kimball Light and Water Company | 8/31/78 | 9/30/78 | 10/22/79 | 46,536 | 37,755 | 8.83 water<br>8.54 electric |

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| WATER AND SEWER | | | | | | | | | | | | | | |
| ALBERTA PUBLIC UTILITIES BOARD | | | | | | | | | | | | | | |
| Calgary Power Ltd. | 7/11/79 | 185 | 12/01/79 | 185 | | | | | | | | | | |
| CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL | | | | | | | | | | | | | | |
| Water & Sewer Div. of Lifotine Homes | 6/20/79 | 127 | | | 12/07/79 | | 28 | | | 212 | 144 | 68 | | |
| ILLINOIS COMMERCE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Kankakee Water Co. | 10/02/78 | 400.0 | | | 8/29/79 | | 400.0 | | | | | | | |
| Mt. Vernon Water & Sewer Corp. | 3/21/78 | 9.2 | | | 2/14/79 | | 5.2 | | | | | | | |
| Prestbury Utility Company | 5/05/78 | 13.0 water<br>19.3 sewer | | | 1/17/79 | | 19.2 (water & sewer) | | | | | | | |

## 1979 MULTI-UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return On Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| WATER AND SEWER (Continued) | | | | | | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Estate Utility Corp. | 11/15/79 | 64 | | | Pending | | | | | | | | | |
| Forbing & Western Utilities, Inc. | 6/18/79 | 15 | | | Pending | | | | | | | | | |
| Greater Tangipahoa Water Co. | 4/24/79 | 56 | | | Pending | | | | | | | | | |
| Mimosa Gardens Service Corp., Inc. | 12/21/79 | 2 | | | Pending | | | | | | | | | |
| Northside Service Co., Inc. | 1/29/79 | 14 | | | 6/05/79 | | 14 | | | | | | | |
| Suburban Water Works, Inc. | 6/18/79 | 3 | | | Pending | | | | | | | | | |
| Town & Country Service Co., Inc. | 1/29/79 | 42 | | | 6/06/79 | | 42 | | | | | | | |
| Wildwood South Public Service Co. | 9/19/79 | 4 | | | Pending | | | | | | | | | |
| NORTH CAROLINA UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Associated Utilities, Inc. | 5/21/79 | 28.8 | | | | 127.3 | 28.8 | 11.27 | | 78.4 | 64.0 | 14.4 | | |
| SOUTH CAROLINA PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Carolina Water Service, Inc. | 5/24/79 | 308 | 7/01/79 | 308 1/ | 8/29/79 | 4,068 | 256 | 6.87 | | 1,485 | 1,206 | 279 | 55.6 D<br>34.95 CE | 8.97 D<br>4.14 CE |
| Commodore Utility Corp. | 11/29/78 | 38 | 1/01/79 | 38 1/ | 3/01/79 | 299 | 24 | 5.72 | | 152 | 135 | 17 | | |
| Glendale Water Corporation | 11/02/78 | 43 | 12/04/78 | 43 1/ | 2/01/79 | | 38 | 30.69 2/ | | 186 | 129 | 57 | | |
| Isle of Palms Water Co. | 11/21/79 | 80 | 1/08/80 | 80 1/ | Pending | | | | | | | | | |
| Westoe Plantation Land Co. | 5/29/78 | 25,026 | | | 2/14/79 | | -0- | | | | | | | |
| Westoe Plantation Land Co. | 11/13/79 | 53 | | | Pending | | | | | | | | | |
| TEXAS PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Bayou Vista Utilities Co. | 4/27/79 | 70.1<br>water<br>31.1<br>sewer | | | 10/29/79 | 62.0<br>water<br>242.8<br>sewer | 53.8<br>water<br>31.1<br>sewer | 9.7 | | | 105.7<br>water<br>73.4<br>sewer | | | |
| Consumer's Water, Inc. | 4/25/79 | 104.0<br>water<br>5.5<br>sewer | | | 10/25/79 | 189.4<br>water<br>5.01<br>sewer | 38.4<br>water<br>1.6<br>sewer | 12.05 | 15.0 | | 214.8<br>water<br>19.6<br>sewer | | | |
| Rayburn Country, Inc. | 1/09/79 | 24.1 | 7/12/79 | 24.1 | 11/30/79 | 660.0<br>water<br>2,160.0<br>sewer | 6.5<br>water<br>17.6<br>sewer | | | | 19.4<br>water<br>25.7<br>sewer | | | |
| Sabine Investment Co. | 3/05/79 | 87.1<br>water<br>18.7<br>sewer | | | 10/25/79 | 411.6<br>water<br>127.2<br>sewer | 42.6<br>water<br>8.2<br>sewer | 12.25 | | | 101.7<br>water<br>34.7<br>sewer | | | |
| Tarrant Utility Co. | 9/29/78 | 727.9 | | | 3/20/79 | 1,766.4 | 319.6 | 11.81 | 13.48 | | 793.3 | | | |
| VIRGINIA STATE CORPORATION COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Lake Holiday Estates | 4/10/78 | 6 | | | 9/13/79 | 637 | 6 | -0- | N/A | 63 | 78 | (14) | | |
| Read Mountain Water Co., Inc. | 5/01/78 | 2 | | | 3/07/79 | 49 | 2 | N/A | N/A | 11 | 8 | 2 | | |

1/ Entire increase in effect under bond.
2/ Operating margin.

## 1979 MISCELLANEOUS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| AIR CONDITIONING | | | | | | | | | | | | | | |
| VIRGINIA STATE CORPORATION COMMISSION | | | | | | | | | | | | | | |
| Reston Air Conditioning Corp. | 2/28/79 | 53 | | | | | | | | | | | | |
| CATV | | | | | | | | | | | | | | |
| VERMONT PUBLIC SERVICE BOARD | | | | | | | | | | | | | | |
| Olsen's TV | 5/29/79 | 720.00 | | | Pending | | | | | | | | | |
| LIQUEFIED PETROLEUM | | | | | | | | | | | | | | |
| COLORADO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Salida Gas Service Co. | 10/02/78 | 18 | | | 1/31/79 | 103 | 18 | 11.05 | 14,0 | 151 | 140 | 11 | 46.23 D<br>51.02 CE<br>2.75 1/ | 8.45 D<br>14.00 CE<br>-- |
| RADIO COMMON CARRIERS | | | | | | | | | | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | | |
| Coeur d'Alene Answering Service | 2/02/79 | 10 | | | 4/12/79 | 97 | 10 | 9.55 | | 144 | 117 | 27 | | |
| LOUISIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Gulf Central International, Inc. | 7/10/79 | 250 | | | Pending | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Radio Communications, Inc. (Mobile Telephone) | | | | | 7/27/79 | | 11 | | | | | | | |
| OKLAHOMA CORPORATION COMMISSION | | | | | | | | | | | | | | |
| Western Communication Service, Inc. | 1/04/78 | 12 | | | 9/10/79 | 149 | 12 | 7.91 | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | | |
| Professional Communications, Inc. (Radio Telephone) | 10/11/79 | 25 | | | | | | | | | | | | |
| Susquehanna Mobile Comm., Inc. (Radio Telephone) | 8/01/78 | 4 | | | 11/01/79 | 74 | 4 | | | 210 | 161 | 49 | | |

1/ Deferred taxes.

**WEST VIRGINIA PUBLIC SERVICE COMMISSION**

### Radio Common Carrier Rate Cases - Final Orders - 1979

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) | Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|
| 9293 | Dobier Electronics | 3/21/78 | 4/13/78 | 6/18/79 | 32,146 | 32,146 | 6.49 |
| 9632 | General Communications Company | 5/01/78 | - | 4/20/79 | 7,409 | 7,409 | |

## 1979 MISCELLANEOUS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| | | | | | | SOLID WASTE | | | | | | | | |
| NEW JERSEY BOARD OF PUBLIC UTILITIES (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Ambrose Buldo Sanitation | 9/09/78 | 40 | | | 3/21/79 | 56 | 40 | 10.33 | 10.33 | 105 | 99 | 6 | 100.CE | 10.33 CE |
| Arty's Disposal Service | 12/07/79 | 19 | | | 3/28/79 | 16 | 19 | 9.81 | | 72 | 71 | 1 | 57.74 D<br>45.26 CE | 12.0 D<br>8.0 CE |
| Bridgewater Disposal | 7/06/78 | 120 | | | 1/24/79 | 178 | 120 | 12.0 | | 283 | 290 | (7) | | |
| Biaggo Puzzlento | 11/14/78 | 25 | | | 3/07/79 | | 25 | | | 68 | 68 | -0- | | |
| C & A Disposal Service | 8/10/79 | 26 | | | 10/12/79 | 16 | 26 | 11.85 | 12.0 | 62 | 62 | (.346) | 36.62 D<br>63.38 CE | 11.6 D<br>12.0 CE |
| Carmine Franco | 6/08/78 | 295 | | | 3/07/79 | 706 | 295 | 11.63 | | 1,944 | 1,878 | 66 | 53.0 D<br>47.0 CE | 11.3 D<br>12.0 CE |
| City of Budgeton | 10/15/79 | 34 | | | 12/14/79 | | 34 | loss | | 68 | 115 | (47) | | |
| Cooperative Disposal Co. | 9/08/78 | 25 | | | 4/18/79 | 30 | 22 | 10.62 | 12.0 | 99 | 96 | 3 | 60.0 D<br>40.0 CE | 9.7 D<br>12.0 CE |
| Coppola Sanitation, Inc. | 11/07/77 | 20 | | | 4/05/79 | 15 | 20 | 8.5 | 12.0 | 56 | 54 | 2 | | |
| Covino's Industrial Disposal Service, Inc. | 9/04/79<br>Amended Petition | | | | 12/24/79 | 759 | 383 | 11.33 | | 1,697 | 1,607 | 90 | 90.5 D<br>9.5 CE | 11.26 D<br>12.0 CE |
| Custom Disposal Service | 3/15/79 | 243 | | | 9/19/79 | 228 | 95 | 13.1 | 12.0 | | | | | |
| David Taber & Son, Inc. | 7/16/79 | 51 | | | 8/03/79 | 74 | 38 | 12.9 | 12.0 | 220 | 215 | 5 | 45.8 D<br>54.2 CE | 14.0 D<br>12.6 CE |
| Edison Township Sanitary Landfill | 9/05/79 | 194 | | | 9/05/79 | 66 | 194 | 5.125 | | 544 | 541 | 3 | | |
| Eldon Ayers | 10/25/78 | 95 | | | 2/28/79 | 102 | 89 | 10.0 | 10.0 | 257 | 247 | 10 | 100.0 D | 10.0 CE |
| Falgi Carting | 8/28/78 | 54 | | | 9/05/79 | 29 | 37 | 11.72 | | 103 | 100 | 3 | 100.0 D | 11.72 D |
| Florence Land Recon. | 10/12/78 | 32 | | | 4/25/79 | | 32 | | | 675 | 686 | (11) | | |
| Frank V. Capassio | 4/20/79 | 39 | | | 9/13/79 | | 39 | | | | | | | |
| Gene & George Smith | 9/07/79 | 67 | | | 1/24/79 | 48 | 43 | 11.3 | | 156 | 151 | 5 | | |
| Gerald & Charles Infantino | 11/02/78 | 20 | | | 1/31/79 | 60 | 36 | 11.099 | 12.0 | 154 | 151 | 3 | 40.0 D<br>60.0 CE | 9.75 D<br>12.00 CE |
| Hamm's Sanitation, Inc. | 9/08/78 | 210 | | | 6/06/79 | 189 | 136 | 10.61 | | 320 | 300 | 20 | | |
| High Point Sanitation | 8/28/79 | 174 | | | 6/06/79 | 499 | 174 | 10.77 | 12.0 | 872 | 825 | 47 | 58.74 D<br>41.26 CE | 9.9 D<br>12.0 CE |
| Intercounty Refuse Service | | 250 | | | 2/15/79 | | 167 | | | | | | | |
| John Bace Disposal | 9/09/78 | 17 | | | 4/25/79 | | 17 | | | 47 | 48 | (1) | | |
| Joseph Costa | | 93 | | | 2/28/79 | 120 | 79 | 13.0 | 13.0 | 394 | 379 | 15 | | |
| Joseph Holeva | 9/05/78 | 21 | | | 1/24/79 | | 21 | | | | | | | |
| Lee's Gravel Pit | 12/01/78 | 564 | | | 12/19/79 | | 203 | | | | | | | |
| Marlin Disposal | 6/14/79 | 25 | | | 12/19/79 | 13 | 24 | 10.86 | | 57 | 56 | 1 | | |
| Michael's Industrial Disposal, Inc. | 2/08/79 | 91 | | | 3/28/79 | 109 | 91 | 8.67 | 15.0 | 355 | 342 | 13 | | |
| Nicholas Dininzio | 10/04/78 | 21 | | | 1/10/79 | 73 | 21 | 10.44 | 12.0 | 92 | 90 | 2 | 63.0 D<br>37.0 CE | 9.52 D<br>12.00 CE |
| Pacio Sanitation | 9/08/78 | 62 | | | 1/03/79 | 274 | 62 | 10.1 | 12.0 | 268 | 241 | 27 | 92.4 D<br>7.6 CE | 10.0 D<br>12.0 CE |
| Sanitary Landfill, Inc. | | 347 | | | 11/28/79 | 2,011 | 340 | 13.254 | 13.0 | 1,717 | 1,518 | 199 | 40.0 D<br>60.0 CE | 13.64 D<br>13.0 CE |
| Sorrento Disposal Service, Inc. | 1/04/79 | 34 | | | 3/07/79 | 28 | 35 | | | 105 | 106 | (1) | | |
| | | | | | | STEAM | | | | | | | | |
| COLORADO PUBLIC UTILITIES COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Public Service Co. of Col. | 6/20/79 | 507 | 11/21/79 | 451 | 1/22/80 | 5,897<br>1/ | 451 | 9.53 | 14.6 | 4,458 | 3,898 | 560 | 48.12 D<br>15.17 PFD<br>35.47 CE<br>1.24 2/ | 6.90 D<br>6.78 PFD<br>14.60 CE<br>-- |
| MARYLAND PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | | |
| Baltimore Gas & Electric Co. | 11/14/79 | 1,500 | | | Pending | | | | | | | | | |

1/ Year-end.
2/ Deferred taxes.

1979 MISCELLANEOUS UTILITY RATE CASES

| Application | | | Interim Action | | Final Action | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested (000 Omitted) ($) | Date Interim Increase Granted | Interim Increase Granted (000 Omitted) ($) | Date Final Increase Granted | Rate Base (000 Omitted) ($) | Final Increase Granted (000 Omitted) ($) | Rate of Return Granted (%) | Return on Equity Granted (%) | Projected Gross Revenue (000 Omitted) ($) | Projected Operating Expenses (000 Omitted) ($) | Projected Net Operating Income (000 Omitted) ($) | Capital Structure Allowed D/PFD/CE (%) | Cost of Capital Allowed D/PFD/CE (%) |
| STEAM (Continued) | | | | | | | | | | | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Kansas City Power & Light | | 228 | | | 3/05/79 | | 228 | | | | | | | |
| Missouri Power & Light Co. | | 75 | | | 3/09/79 | | 72 | | | | | | | |
| Union Electric Co. | | 1,992 | | | 4/13/79 | 4,848 | 1,665 | 9.04 | 13.10 | | | | 52.69 D<br>15.30 PFD<br>32.01 CE | 7.02 D<br>7.55 PFD<br>13.10 CE |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| BASF Wyandotte Corporation | 8/24/79 | 127.5 | | | 10/19/79 | | 127.5 | | | | | | | |
| Lawrence Park Heat, Light & Power Co. | 7/21/78 | 99.7 | 9/01/78 | 99.7 | 6/05/79 | 1,226 | 99.7 | 12.97 | (3.77) | 1,214 | 1,055 | 159 | 79.6 D<br>11.4 1/<br>9.0 CE | 14.23 D<br>6.00 1/<br>(3.77) CE |
| Rochester Gas & Electric Corporation | 5/25/79 | 2,895 | | | 12/15/79 | 16,882 | 2,895 | 4.37 | | 21,731 | 20,987 | 744 | | |
| 1/ Bonds. | | | | | | | | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Community Central Energy Corp. | 11/27/79 | 378 | | | | | | | | | | | | |
| Pennsylvania Electric Co. | 3/30/79 | 996 | | | 6/13/79 | 3,934 | 996 | 6.31 | 3.30 | 3,251 | 3,003 | 248 | 54.0 D<br>13.0 PFD<br>33.0 CE | 7.52 D<br>8.89 PFD<br>3.30 CE |
| Pennsylvania Power & Light Co. | 11/09/79 | 850 | | | | | | | | | | | | |
| Philadelphia Electric Co. | 4/06/79 | 4,312 | | | 9/20/79 | 33,710 | 2,950 | 9.59 | 12.26 | 39,994 | 36,789 | 3,205 | 52.1 D<br>12.8 PFD<br>35.1 CE | 8.23 D<br>7.84 PFD<br>12.26 CE |
| TELEGRAPH | | | | | | | | | | | | | | |
| NEW JERSEY BOARD OF PUBLIC UTILITIES | | | | | | | | | | | | | | |
| Western Union Telegraph | 3/02/78 | 79.6 | | | 1/16/79 | | 79.6 | 11.40 | | | | | | |
| NEW YORK PUBLIC SERVICE COMMISSION (Method of Computing Rate Base: Original Cost) | | | | | | | | | | | | | | |
| Western Union Telegraph Co. | 1/29/79 | 333 | | | 1/11/80 | 10,757 | 333 | 7.58 | 15.3 | 6,311 | 5,496 | 816 | 44.0 D<br>15.8 PFD<br>40.2 CE | 8.22 D<br>8.14 PFD<br>15.3 CE |

## 1979 AIR CARRIER RATE CASES

**CIVIL AERONAUTICS BOARD** 1/

| Name of Applicant | Date Increase Granted | Amount (%) |
|---|---|---|
| 48 States - Alaska | 8/01/79 | 5.0 |
| 48 States - Alaska | 9/01/79 | 9.4 |
| 48 States - Alaska | 11/01/79 | 3.7 |
| 48 States - Puerto Rico/Virgin Islands | 9/01/79 | 9.4 |
| 48 States - Puerto Rico/Virgin Islands | 11/01/79 | 3.7 |
| All trunks and local service carriers - 48 states | 5/15/79 | 4.0 |
| All trunks and local service carriers - 48 states | 7/01/79 | 6.6 |
| All trunks and local service carriers - 48 states | 9/01/79 | 9.4 |
| All trunks and local service carriers - 48 states | 11/01/79 | 3.7 |
| Mainland - Hawaii | 9/01/79 | 9.4 |
| Mainland - Hawaii | 11/01/79 | 3.7 |
| Mainland - Hawaii (AA, BN, CO, NW, UA, WA, PA) | 8/01/79 | 5.0 |
| Mainland - Puerto Rico/Virgin Islands (AA, EA, DL) | 5/15/79 | 2.3 |
| Mainland - Puerto Rico/Virgin Islands (AA, EA, DL) | 7/01/79 | 6.6 |

### Summary Chart

### Fare Increases Allowed by the Board During Calendar Year 1979

| Date | Continental U.S. | To Alaska | To Hawaii | To Puerto Rico |
|---|---|---|---|---|
| 5-15 | 4.0% | - | - | 2.3% |
| 7-1 | 6.6% | - | - | 6.6% |
| 8-1 | - | 5.0% | 5.0% | - |
| 9-1 | 9.4% | 9.4% | 9.4% | 9.4% |
| 11-1 | 3.7% | 3.7% | 3.7% | 3.7% |

1/ When Congress passed the Airline Deregulation Act of 1978, the Board's authority to regulate fares and rates changed significantly. The Board no longer suspended and investigated fares which were in a "zone of reasonableness." This flexible "zone" conce, [illegible] een implemented in August 1978 (PS-80) and the Act further enforced the Board's pricing philosophy; that is, the pricing decisions and the consequences of those decisions were p [illegible] where they belong--in the marketplace and the hands of carrier management. The board will continue to operate under this "free pricing atmosphere" until authority to regulate fares ceases on January 1, 1983, under the terms of the Airline Deregulation Act of 1978. The Board no longer calculates the rate of return, projected gross revenues, projected operating expenses or projected net operating income for fare increases. The Board no longer has suspension power over fares as long as they are within the established "zone of reasonableness". Carriers may implement fares above the zone so long as they are cost justified and the Board does not suspend the fares.

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| FLORIDA PUBLIC SERVICE COMMISSION<br>Florida Airlines | 11/02/78<br>Amended<br>3/14/79 | 14,000<br>1/<br>71,280<br>1/ | 3%<br>1/<br>9%<br>1/ | Passenger | 12/26/78 | 14,000<br>3% | 6/25/79 | 85,280 | 12 | 4,433,393 | 4,268,610 | 164,783 | 96.3 |

1/ Increase on selected routes.

# 1979 BUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>C=Charter<br>E=Express<br>T=Tour<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Airline Commute | 6/18/79 | 4,680 | | P, C, PR, B | | | 8/28/79 | 4,680 | | | | | |
| Airline Limo Service, Inc. | 2/08/79 | 87,358 | | P, B | | | 4/24/79 | 87,358 | | | | | |
| Airport Limo Service of Sunnyvale | 4/19/79 | 215,400 | 10 | P, C, PR, B | | | 8/28/79 | 215,400 | | | | | |
| Airport Service, Inc. | 9/28/79 | 232,400 | | P, C, PR, B | | | 11/30/79 | 232,400 | | | | | |
| Calaveras Transp. Co. | 12/29/78 | 7,000 | | P, B | | | 4/24/79 | 7,000 | | | | | |
| Desert Stage Lines | 10/02/78 | 7,958 | | P | | | 1/04/79 | 7,958 | | | | | |
| Fleetwood Limo Service | 3/07/79 | 12,000 | | P, C, B | | | 6/05/79 | 12,000 | | | | | |
| Franciscon Lines, Inc. | 10/02/78 | 20,160 | | P, C | | | 6/30/79 | 20,160 | | | | | |
| Great American Stageline, Inc. | 6/12/79 | 30,700 | 7 | P, C, PR, B | | | 7/17/79 | 30,700 | | | | | |
| Greyhound Lines | 9/11/78 | 6,356,000 | 13 | P, C, PR, B | | | 8/28/79 | 4,747,899 | | | | | |
| Hunt Transportation | 3/09/79 | 7,488 | | P, C, PR, B | | | 5/08/79 | 7,488 | | | | | |
| J. R. Foster | 10/05/78 | 1,869 | | P, B | | | 1/04/79 | 1,869 | | | | | |
| Mavin Airporter, Inc. | 7/30/79 | 42,300 | 11.5 | P, C, B | | | 10/10/79 | 42,300 | | | | | |
| Mendocino Stage | 6/29/79 | 11,700 | 25 | P, C, PR, B | | | 7/31/79 | 11,700 | | | | | |
| Metro Commuter Co., Inc. | 3/27/79 | 17,800 | | P, C, PR, B | | | 7/17/79 | 17,800 | | | | | |
| Orange Belt Stages | 6/19/79 | 39,434 | 15 | P | | | 8/28/79 | 39,434 | | | | | |
| Orange Blossom Lines | 7/05/79 | 12,000 | | P, C, PR, B | | | 11/06/79 | 12,000 | | | | | |
| Orange Coast Sightseeing Co. | 9/28/79 | 18,953 | | P, C | | | 11/30/79 | 18,953 | | | | | |
| R. R. Hassler | 1/23/79 | 10,000 | | P, C, PR, B | | | 4/24/79 | 10,000 | | | | | |
| R. R. Hassler | 10/01/79 | 2,846 | 7.5 | P, PR, B | | | 11/06/79 | 2,846 | | | | | |
| Santa Rosa Airporter, Inc. | 4/24/79 | 19,213 | | P, C, PR, B | | | 6/15/79 | 19,213 | | | | | |
| SFO Airporter, Inc. | 8/17/79 | 426,059 | 11.4 | P, C, B | | | 10/10/79 | 426,059 | | | | | |
| Skyview Limo Service, Inc. | 6/07/79 | 27,780 | | P, C, PR, B | | | 7/31/79 | 27,780 | | | | | |
| So. Calif. Commuter Bus Service, Inc. | 5/08/79 | 33,000 | 9.7 | P, C | | | 6/19/79 | 33,000 | | | | | |
| Travelors Trans. Co. | 8/14/78 | 7,000 | 50 | P, B | | | 1/30/79 | 7,000 | | | | | |
| 24 Hour Airport Express, Inc. | 7/30/79 | 41,000 | | P, C, PR, B | | | 10/23/79 | 41,000 | | | | | |
| W. P. Hendricksen | 7/06/79 | 7,970 | | P, C, B | | | 7/31/79 | 7,970 | | | | | |
| Yosemite Park & Curry Co. | 1/05/79 | 47,600 | | P, PR, B | | | 5/08/79 | 47,600 | | | | | |
| CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL | | | | | | | | | | | | | |
| Blue Line, Inc. | 2/20/79 | | 10 | P | | | 6/28/79 | | 10 | 25,318 | 26,258 | (940) | |
| FLORIDA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| National Bus Traffic Association 1/ | 9/29/78 | | 5<br>Passenger<br>4.5<br>Express | P, B, E | | | 2/12/79<br>and<br>2/20/79 | | 5<br>Passenger<br>4.5<br>Express | 21,210,777 | 20,731,953 | 478,824 | 97.74 |
| 1/ Consists of seven carriers. | | | | | | | | | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| NBTA | 8/16/79 | | 10 | P | | | 12/19/79 | | 10 | | | | |
| NBTA | 10/09/79 | | 11 | P | | | 12/18/79 | | 11 | | | | |
| *** | | | | | | | | | | | | | |
| KANSAS STATE CORPORATION COMMISSION | | | | | | | | | | | | | |
| Greyhound Lines | 9/26/79 | 37,000 | 13.0 | P, E | | | Pending | | | | | | |
| Passenger Carriers (6) | 12/26/79 | 39,000 | 13.0 | P, E | | | Pending | | | | | | |
| Trailways, Inc. | 9/13/79 | 260,000 | 13.0 | P, E | | | Pending | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Aizen, Alton J. | 6/09/79 | Various | | P | | | 11/12/79 | 3.00 per day | | | | | |
| Batiste, Albert | 12/17/79 | 1/ | | P | | | Pending | | | | | | |
| Hotard Bus Line, Inc. | 3/26/79 | | 15 | P | | | 9/19/79 | | 15 | | | | |
| National Bus Traffic Association, Inc. | 6/29/79 | | 10 | P | | | 11/09/79 | | 10 | | | | |

1/ $8.00 to $12.00 per adult and $4.00 to $6.00 per child.

***For Iowa rate cases see end of Bus Carrier Rate Cases.

## 1979 BUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>C=Charter<br>E=Express<br>T=Tour<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES | | | | | | | | | | | | | |
| Baker, Elmer J. | 2/08/79 | | 0-33 | P | | | 7/24/79 | | 0-33 | | | | |
| Coastal Acre Dune Tours, Inc. | 3/06/79 | | 67.1-100 | P | | | 3/16/79 | | 67.1-100 | 7,980 | 9,355 | (1,375) | 117 |
| Englander Coast Lines, Inc. | 2/28/79 | 25,898 | 5 | P | | | 8/13/79 | 25,898 | 5 | 681,766 | 617,380 | 64,386 | 90.55 |
| Gay Head Sightseeing Co. | 4/27/78 | | 20-33 | P | | | 4/26/79 | | 25-33 | | | | |
| Greyhound Lines, Inc. | 6/21/78 | 25,835 | 10 | P | | | 5/01/79 | 24,478 | 9.43 | 367,701 | 346,558 | 21,143 | 94.2 |
| Island Transport, Inc. | 9/21/78 | 5,000<br>Revised to<br>2,500 | 20-25<br>Revised to<br>10-12½ | P | | | 7/31/79 | 2,500 | 10-12½ | 85,295 | 71,178 | 14,117 | 83 |
| Martha's Vineyard Sightseeing Bus Line, Inc. | 4/28/78 | | 12-50 | P | | | 3/27/79 | | 12-50 | | | | |
| Plymouth and Brockton | 1/10/79 | 360,550 | 6.9-8 | P | | | 10/29/79 | 299,129 | 5.5-6.4 | 3,852,853 | 3,603,558 | 249,295 | 93.5 |
| Trailways of New England, Inc. | 9/20/78 | 13,786 | 10 | P | | | 8/17/79 | 13,786 | 10 | 203,629 | 208,853 | (5,224) | 103.3 |
| Vermont Transit Co. | 6/26/78 | 14,842 | 10 | P | | | 1/16/79 | 14,842 | 10 | 191,718 | 243,833 | (52,115) | 127 |
| MINNESOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Greyhound Lines, Inc. | 7/18/79 | 277,437 | 8 | P | | | 11/20/79 | 277,437 | 8 | 5,255,521 | 4,936,613 | 318,908 | 93.93 1/ |
| Jefferson Lines, Inc. | 7/05/79 | 46,053 | 8 | P | | | 11/20/79 | 46,053 | 8 | 467,941 | 459,007 | 8,934 | 98.1 1/ |
| Midland Lines, Inc. | 7/05/79 | 43,256 | 8 | P | | | 11/20/79 | 43,256 | 8 | 439,523 | 438,036 | 1,487 | 99.7 1/ |
| Midwest Coaches, Inc. | 6/06/79 | 34,279 | 8 | P | | | 11/06/79 | 34,279 | 8 | 680,145 | 663,910 | 16,235 | 97.6 1/ |
| Northern Transportation Co. | 7/02/79 | 32,487 | 8 | P | | | 10/30/79 | 32,487 | 8 | 1,566,630 | 1,507,090 | 59,540 | 96.2 1/ |

1/ Excludes interest expense and income tax.

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MISSISSIPPI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Greyhound Lines, Inc. | 9/29/79 | | 6-11 | P, C, PR | | | 11/15/79 | | 6-11 | | | | |
| Gulf Transport Co. | 9/29/79 | | 11 | P | | | 11/15/79 | | 11 | | | | |
| Trailways, Inc. | 8/07/79 | | 11 | P | | | 9/10/79 | | 11 | | | | |
| NEBRASKA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Antelope County Dial-A-Ride | 6/27/79 | 1/ | | P | 7/03/79 | 1/ | 12/12/79 | 1/ | | | | | |
| Arrow Stage Lines, Inc. & Black Hills Stage Lines, Inc. | 6/04/79 | | 5-25 | C | 6/29/79 | 5-25% | 12/26/79 | | 5-25 | | | | |
| Greyhound Lines, Inc. | 7/02/79 | | 2.51 | P, C | 7/03/79 | 2.51% | 12/26/79 | | 2.51 | | | | |
| Job Bus Service | 8/22/79 | 2/ | | P | | | 11/12/79 | 2/ | | | | | |
| Trailways, Inc. | 7/02/79 | | 2½ | P, C | 7/03/79 | 2½% | 11/28/79 | | 2½ | | | | |

1/ Ten cents on one-way fare per passenger when trip totally within one city--granted.
2/ Twenty cents per passenger per daily round trip.

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NEW YORK STATE DEPARTMENT OF TRANSPORTATION | | | | | | | | | | | | | |
| D & F Transit | 6/07/79 | 58,700 | 33 | P | | | 9/14/79 | 58,700 | 33 | 1,463,700 | 1,366,800 | 96,900 | 93.4 |
| Greyhound | 3/01/79 | 325,600 | 7 | P | | | 5/01/79 | 325,600 | 7 | 6,610,000 | 7,721,800 | (1,111,800) | 116.8 |
| Niagara Scenic Bus Lines | 5/20/79 | 10,200 | 10 | P | Suspended | | 7/29/79 | 10,200 | 10 | 164,400 | 152,600 | 11,800 | 92.8 |
| Resort Bus | 4/30/79 | 34,400 | 8 | P | | | 7/19/79 | 34,400 | 8 | 2,021,000 | 2,004,700 | 16,300 | 99.2 |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | |
| Blue Ridge Lines | 8/31/79 | | 5-7 | C | | | 10/79 | | 5-7 | | | | |
| D & M Bus Co. | 5/04/79 | 400 | 11 | C | | | 6/04/79 | 400 | 11 | 4,321 | 5,396 | (1,075) | 124.9 |
| D & M Bus Co. | 12/20/79 | 768 | 10 | C | | | Pending | | | | | | |
| Duke Power | 8/28/79 | 5,814 | | P | | | 11/26/79 | 5,814 | | | | | |
| Gastonia Transit | 8/25/79 | | 16.6 | C | | | 12/79 | Withdrawn | | | | | |
| Kannapolis Transit | 7/25/79 | 3,000 | 11.5 | P | | | 12/12/79 | 3,000 | 11.5 | | | | |
| Kannapolis Transit | 10/05/79 | 100 | | C | | | 12/79 | 100 | | | | | |
| NBTA | 9/09/78 | 1,586,000 | 20 | P | | | 3/28/79 | 1,586,000 | 20 | 9,488,316 | 8,444,524 | 1,043,792 | 89.0 |
| Rockingham Hamlet Bus Line | 6/15/79 | 914 | | P | | | 10/01/79 | 914 | | | | | |
| Safety Transit | 4/11/79 | 33,000 | | P | | | 5/21/79 | 33,000 | | | | | |
| Virginia Dare Transportation | 7/15/79 | 4,000 | 20 | P | | | 1/14/80 | 4,000 | 20 | | | | |

## 1979 BUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>C=Charter<br>E=Express<br>T=Tour<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Dakota Film & Express | 2/26/79 | 10,080 | 14 | P, E | | | 4/01/79 | 10,080 | 14 | | | | |
| Williston Daily Herald | 2/07/79 | 900 | 14 | P, E | | | 3/12/79 | 900 | 14 | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | |
| Ashbourne Transportation, Inc. | 9/04/79 | 6,900 | | P, C | | | 10/18/79 | 6,900 | | | | | |
| Baran's Transit Lines | 11/07/79 | 6,250 | | P | | | 12/14/79 | 6,250 | | | | | |
| Bellefonte Charter Bus Service, Inc. | 5/18/79 | 208 | | P | | | 6/15/79 | 208 | | | | | |
| Bellefonte Charter Bus Service, Inc. | 5/18/79 | 1,148 | | C | | | 6/15/79 | 1,148 | | | | | |
| Blue & White Lines, Inc. | 7/26/79 | 27,971 | | C | | | 9/06/79 | 27,971 | | | | | |
| Blue & White Lines, Inc. | 11/26/79 | 51,534 | | C | | | 1/04/80 | 51,534 | | | | | |
| Bollman Charter Service, Inc. | 12/21/78 | 51,481 | | C | | | 3/14/79 | 51,481 | | | | | |
| Bortner Bus Co. | 11/29/79 | 9,420 | | C | | | 1/11/80 | 9,420 | | | | | |
| Bowersox Bus Service | 8/20/79 | 4,688 | | P | | | 9/13/79 | 4,688 | | | | | |
| Burke Bus Lines | 6/26/79 | 18,976 | | P | | | 7/26/79 | 18,976 | | | | | |
| Butler Motor Transit Company | 7/26/79 | 13,310 | | C | | | 9/06/79 | 13,310 | | | | | |
| Cameron S. Myers | 7/30/79 | 8,212 | | C | | | 8/30/79 | 8,212 | | | | | |
| Capitol Bus Company | 7/10/79 | 778 | | P | | | 8/09/79 | 778 | | | | | |
| Capitol Bus Company | 9/19/79 | 229 | | C | | | 11/01/79 | 229 | | | | | |
| Carl R. Bieber, Inc. | 12/11/78 | 99,242 | | C | | | 1/04/79 | 99,242 | | | | | |
| Carl R. Bieber, Inc. | 3/15/79 | 3,930 | | P | | | 4/19/79 | 3,930 | | | | | |
| Carl R. Bieber, Inc. | 11/15/79 | 96,464 | | C | | | 1/18/80 | 96,464 | | | | | |
| Catawese Coach Lines, Inc. | 9/19/79 | 62,334 | | C | | | 11/01/79 | 62,334 | | | | | |
| Catawese Coach Lines, Inc. | 9/19/79 | 18,636 | | P | | | 11/01/79 | 18,636 | | | | | |
| City Bus Line | 3/01/79 | 3,703 | | P | | | 4/05/79 | 3,703 | | | | | |
| Conestoga Transportation Co. | 12/07/79 | 26,742 | | C | | | 1/04/80 | 26,742 | | | | | |
| Debolt-Somerset Bus Company | 10/01/79 | 70,246 | | C | | | 11/01/79 | 70,246 | | | | | |
| Edwards Motor Transit Co. | 7/26/79 | 34,317 | | C | | | 9/06/79 | 34,317 | | | | | |
| Edwards Motor Transit Co. | 8/22/79 | 163,593 | | P | | | 10/18/79 | 163,593 | | | | | |
| 88 Transit Lines, Inc. | 12/13/79 | 19,769 | | C | | | 1/11/80 | 19,769 | | | | | |
| Fullerton Auto Bus Co., Inc. | 12/13/78 | 8,223 | | C | | | 1/18/79 | 8,223 | | | | | |
| G.G. & C. Bus Company, Inc. | 6/21/79 | 17,518 | | P | | | 10/11/79 | 17,518 | | | | | |
| Greyhound Lines, Inc.(East) | 9/07/79 | 157,578 | | C | | | 10/11/79 | 157,578 | | | | | |
| Groomes' Transit | 1/02/79 | 4,900 | | P | | | 3/08/79 | 4,900 | | | | | |
| Grove City Bus Lines, Inc. | 7/26/79 | 12,370 | | C | | | 9/06/79 | 12,370 | | | | | |
| Grove City Bus Lines, Inc. | 11/26/79 | 39,033 | | C | | | 1/04/80 | 39,033 | | | | | |
| Hagey's Bus Service, Inc. | 8/28/79 | 41,575 | | C | | | 9/27/79 | 41,575 | | | | | |
| Hahn's Charter Service, Inc. | 9/18/79 | 2,717 | | C | | | 10/18/79 | 2,717 | | | | | |
| Lanich Bus Lines | 9/12/79 | 13,798 | | C | | | 12/07/79 | 13,798 | | | | | |
| Leiphart Bus Co., Inc. | 11/27/79 | 23,374 | | C | | | 12/21/79 | 23,374 | | | | | |
| Lincoln Coach Lines | 7/26/79 | 14,647 | | P | | | 8/30/79 | 14,647 | | | | | |
| Lincoln Goach Lines | 7/26/79 | 22,396 | | C | | | 8/30/79 | 22,396 | | | | | |
| Luzerne & Carbon County Motor Transit Co., Inc. | 5/29/79 | 40,297 | | C | | | 6/28/79 | 40,279 | | | | | |
| Motor Transportation Company, Inc. | 12/01/78 | 832 | | C | | | 2/07/79 | 832 | | | | | |
| Motor Transportation Company, Inc. | 12/01/78 | 5,975 | | P | | | 2/07/79 | 5,975 | | | | | |
| O. D. Anderson, Inc. | 7/26/79 | 16,829 | | C | | | 8/30/79 | 16,829 | | | | | |
| Pennsylvania State University | 7/03/79 | 85,488 | | P | | | 8/23/79 | 85,488 | | | | | |
| Perkiomen Valley Bus Company | 1/22/79 | 14,281 | | C | | | 2/15/79 | 14,281 | | | | | |
| Perry Bus Lines | 8/10/79 | 5,000 | | P | | | 9/06/79 | 5,000 | | | | | |
| Ritchey Trans. Company | 7/26/79 | 9,552 | | C | | | 9/06/79 | 9,552 | | | | | |
| Rohrer Bus Service | 7/30/79 | 5,725 | | C | | | 8/30/79 | 5,725 | | | | | |
| Safeway Trails, Inc. | 8/22/79 | 30,762 | | P | | | 10/18/79 | 30,762 | | | | | |
| Safeway Trails, Inc. | 8/22/79 | 3,275 | | PR | | | 10/18/79 | 3,275 | | | | | |
| Suburban Lines, Inc. | 4/06/79 | 113,564 | | P | | | 5/10/79 | 84,511 | | | | | |
| Trans-Bridge Coach Lines, Inc. | 2/15/79 | 14,291 | | C | | | 6/21/79 | 14,291 | | | | | |
| Tri-City Coach Line, Inc. | 2/20/79 | 15,083 | | C | | | 6/21/79 | 15,083 | | | | | |
| Walters Transportation Co., Inc. | 3/17/79 | 46,946 | | P | | | 7/05/79 | 46,946 | | | | | |
| Werner Bus Lines, Inc. | 5/09/79 | 31,929 | | C | | | 6/07/79 | 31,929 | | | | | |

## 1979 BUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic P=Passenger PR=Parcels B=Baggage C=Charter E=Express T=Tour Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| T[illegible]NESSEE PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Cinder Tours | 1/09/79 | | 18 | C | | | 5/29/79 | | 18 | | | | |
| Custom Tours | 2/13/79 | | 20 | C | | | 5/30/79 | | 20 | | | | |
| Maple & Ogle Trans. Co., Inc. | 1/18/79 | | 25 | C | | | 4/09/79 | | 25 | | | | |
| National Bus Traffic Association | 4/09/79 | | 10<br>Passenger<br>22.24<br>Express | P, E | | | 7/12/79 | | 10<br>Passenger<br>22.24<br>Express | | | | |
| Trailways, Inc. | 6/04/79 | | 1 | P, C, E | | | Rate increase denied. | | | | | | |
| Trailways SE Line, Inc., Trailways Tenn. Lines, Inc. & Trailways So. Lines, Inc. | | | 7.2 | C | | | 4/26/79 | | 7.2 | | | | |
| TEXAS RAILROAD COMMISSION | | | | | | | | | | | | | |
| National Bus Traffic Association | 12/11/78 | 2,533,881 | 5.0 | P, C, PR | | | 4/23/79 | 2,533,881 | 5.0 | 192,545,777 | 181,930,605 | 10,615,172 | 94.4 |
| WISCONSIN TRANSPORTATION COMMISSION | | | | | | | | | | | | | |
| Badger Coaches, Inc. | 5/01/79 | 74,510 | 12½ | P | | | 8/16/79 | 74,510 | 12½ | 942,817 | 881,533 | 61,284 | 93.5 |
| Chippewa Yellow Bus Co., Inc. | 11/03/78 | 560 | 15 | P | | | 1/04/79 | 560 | 15 | 4,563 | 9,534 | (4,971) | 209 |
| Commuter Service, Inc. | 7/24/79 | 3,613 | 13 | P | | | 10/30/79 | 3,613 | 13 | 27,300 | 46,150 | (18,850) | 169 |
| Greyhound Lines, Inc. | 6/28/79 | 109,147<br>(fuel surcharge) | 2.7 | | | | 7/18/79 | 81,050<br>(fuel surcharge) | 2 | | | | |
| LaCrosse and Western Stages, Inc. | 8/29/78 | 1,012 | 5 | P | | | 1/10/79 | 1,012 | 5 | 20,240 | 18,438<br>(LaCrosse-Tomah Route) | 1,802 | 91.1 |
| Peoria Rockford Bus Co. | 9/12/79 | 8,819 | 12.3 | P | | | 11/07/79 | 8,819 | 12.3 | 197,103 | 229,133 | 32,030 | 116 |
| Seymour Transfer Lines, Inc. | 12/11/78 | 3,770 | 5 | P | | | 2/01/79 | 3,770 | 5 | 158,761 | 208,651 | (49,890) | 131 |
| Wisconsin Mich. Coaches, Inc. | 7/30/79 | 61,878 | 15 | P | | | 10/30/79 | 61,878 | 15 | 973,189 | 937,181 | 36,008 | 96.3 |
| WYOMING PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| American Business, Inc., D-CS-P Motor Way, Greyhound Lines, Inc. | 6/05/79<br>and<br>6/28/79 | | 2.7<br>Fuel cost<br>surcharge | [illegible], P, C | | | 7/03/79<br>effective<br>7/10/79 | | 2.7<br>Fuel cost<br>surcharge | | | | |
| Central Wyo. Trans. Co. | 9/14/78 | | 76.2 | C | | | 2/07/79<br>effective<br>3/01/79 | 11,006 | 30.0 | | | | |
| Jackson-Rock Spring Stages, Inc. | 10/27/78 | | 30.0 | C | | | 2/09/79<br>effective<br>3/12/79 | | 30.0 | | | | |
| Jackson-Rock Spring Stages, Inc. | 10/28/78 | | 46.0 | P | | | 2/09/79<br>effective<br>3/12/79 | | 30.0 | | | | |
| National Park Tours, Inc. | 5/03/79 | | 22.4 | C | | | 6/08/79<br>effective<br>6/28/79 | | 22.4 | | | | |

IOWA TRANSPORTATION REGULATION BOARD

### 1979 Passenger Carrier Rate Increases

| Name of Firm | Date Effective | Percent Increase | Service Affected |
|---|---|---|---|
| Arnold Henn | 3/05/79 | 15.00 | Passenger |
| | 4/23/79 | 15.00 | Passenger |
| | 8/20/79 | 15.00 | Passenger |
| Brothers Bus | 6/05/79 | 15.00 | Passenger |
| | 6/27/79 | 2.77 | Passenger |
| Charter Coaches | 8/01/79 | 18.00 | Charter |
| City Transit | 2/08/79 | 6.00 | Charter |
| | 7/23/79 | 10.00 | Charter |
| City Transit | 7/23/79 | 10.00 | PFRT |
| Fort Dodge Transportation | 1/06/79 | 10.00 | |
| | 11/01/79 | 10.00 | Charter |
| Industrial Transportation | 6/25/79 | 7.50 | Passenger |
| | 6/27/79 | 2.77 | Passenger |
| | 10/18/79 | 6.00 | Passenger |

IOWA TRANSPORTATION REGULATION BOARD (continued)

### 1979 Passenger Carrier Rate Increases

| Name of Firm | Date Effective | Percent Increase | Service Affected |
|---|---|---|---|
| Inter City Airport | 4/10/79 | 66.00 | Passenger |
| Iowa City Coach | 6/27/79 | 2.77 | Charter |
| | 8/01/79 | 18.00 | Charter |
| Iowa Coaches | 6/27/79 | 2.77 | Charter |
| | 6/29/79 | 5.00 | Charter |
| | 10/01/79 | 8.00 | Charter |
| Iowa Coaches | 4/01/79 | 6.50 | Express |
| Iowa Coaches | 4/01/79 | 6.50 | Passenger |
| | 6/29/79 | 5.00 | Passenger |
| Jefferson Lines | 5/01/79 | 7.60 | Charter |
| | 6/27/79 | 2.77 | Charter |
| | 8/01/79 | 8.00 | Charter |
| Jefferson Lines | 6/27/79 | 2.77 | Passenger |
| | 11/11/79 | 15.00 | Passenger |
| Jefferson Lines | 6/27/79 | 2.77 | Express |
| | 11/11/79 | 15.00 | Express |
| Marshall Motor Coach | 6/27/79 | 2.77 | Charter |
| | 8/01/79 | 8.00 | Charter |
| Midwest Transit of Muscat | 10/17/79 | 15.00 | Charter |
| Missouri Transit | 6/27/79 | 2.77 | Charter |
| | 10/15/79 | 10.00 | Charter |
| Missouri Transit | 6/27/79 | 2.77 | Passenger |
| | 7/27/79 | 45.00 | Passenger |
| River Trails | 10/01/79 | 8.00 | Charter |
| Scenic Hawkeye Stages | 6/27/79 | 2.77 | Passenger |
| | 11/01/79 | 5.00 | Passenger |
| Scenic Hawkeye Stages | 6/27/79 | 2.77 | Express |
| | 11/15/79 | 5.00 | Express |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| INTERSTATE COMMERCE COMMISSION | | | | | | | | | | | | | |
| Central & Southern Motor Freight Tariff Assoc. | | | | | 4/13/79 | 4%<br>1/ | Pending | | | | | | |
| Central & Southern Motor Freight Tariff Assoc. | | | | | 4/13/79 | 2/ | Pending | | | | | | |
| Eastern Central Motor Carriers Association, Inc. | | | | | 8/10/79 | 2%<br>3/ | Pending | | | | | | |
| Eastern Central Motor Carriers Association, Inc. | | | | | | 2.4% | 10/01/79 | | | | | | |
| Household Goods Carriers Bureau | | | | HG | 5/01/78 | 2-23%<br>Transportation Rates<br>3-100%<br>Access. Charges | Pending | | | | | | |
| Household Goods Carriers Bureau | | | | HG | 7/16/78 | 9.1% | Pending | | | | | | |
| Middle Atlantic Conference | | | | | | 2% | 7/24/78 | | | | | | |
| Middlewest Motor Freight Bureau | | | | | | 2.6% | 10/01/79 | | | | | | |
| Mover's Warehousemen's Assn. of America | | | | | | 5% | 8/18/79 | | | | | | |
| New England Motor Rate Bureau | | | | | 1/19/79 | 2% | Pending | | | | | | |
| New England Motor Rate Bureau | | | | | | 3% | 10/01/79 | | | | | | |
| Rocky Mountain Motor Tariff Bureau | | | | | | 2.6% | 10/01/79 | | | | | | |
| Southern Motor Carriers Rate Conference | | | | | 4/12/79 | 7% | 4/13/79 | | | | | | |
| Southern Motor Carriers Rate Conference | | | | | | 2.6% | 10/01/79 | | | | | | |
| United Parcel Service, Inc. | | | | | | 2.0% | 7/11/79 | | | | | | |

1/ Not applicable on frozen foods, cast iron pipe, fittings, fresh meat, PHP, canned citrus juice out of Florida, frozen/chilled fruit and vegetable products.
2/ Motor carrier--$1.28 per shipment: LTL/AQ:RB--0-6.00-6.3%, 6.01+-5.4%: TL-4.8%: ACC/C (except as stopoff, split PUD-4.8%)--6.3% (excludes rates on cast iron pipe, fittings, fresh meats, php.).
3/ Not applicable to iron/steel, php., meats.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ALABAMA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Carriers Parties to ATA Tariff 1-M | 6/15/79 | 1/ | | F, TL, Paper & Paper Articles | | | Proposal was cancelled and suspension vacated. | | | | | | |
| Carriers Parties to ATA Tariff 8-H | | | 7.0 | F, BC, Cement, Lime & Mortar | | | 2/15/79<br>2/ | | 7.0 | | | | |
| Carriers Parties to ATA Tariff 12-B | 6/24/79 | | 10.0 | F, BC, Accessorial Charges | | | 7/30/79<br>2/ | | 10.0 | | | | |
| Barrett Mobile Home Transit | 4/16/79 | | 20.0 | F, Mobile Homes | | | 6/23/79<br>2/ | | 20.0 | | | | |
| Miller Transporters, Inc. | 12/31/78 | | 5.5 | F, BC | | | 2/15/79<br>2/ | | 5.5 | | | | |
| Morgan Drive Away, Inc. | 5/21/79 | | 13.0 | F, Mobile Homes | | | 7/15/79<br>2/ | | 13.0 | | | | |
| National Trailer Convoy | 5/30/79 | | 15.0 | F, Mobile Homes | | | 7/05/79<br>2/ | | 15.0 | | | | |
| Purolator Courier Corp. | 1/02/79 | | 9.0 | LTL, Parcels | | | 1/02/79<br>2/ | | 9.0 | | | | |

1/ Cancellation of specific commodity rates.
2/ Suspension vacated.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| California Moving & Storage Assn. | 5/21/79 | 4.6 million | 1/ | F, HG | | | 9/01/79 | 4.6 million | 1/ | | | | |
| California Moving & Storage Assn. | 7/17/79 | | | F | | | 10/25/79 | 2.1 million | 2% Distance<br>6% Hourly | | | | |
| California Trucking Assn. | 2/ | Fuel Surcharges | | All Categories | 5/28/79<br>7/08/79<br>8/05/79<br>9/16/79<br>10/28/79 | $70,165,000 (Approximate amount of new revenue derived from fuel increases granted to industry at large during 1979). | | | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| CALIFORNIA PUBLIC UTILITIES COMMISSION (Continued) | | | | | | | | | | | | | |
| CTA | 11/13/78 | 1.4 million | 6 | F, TL | | | 8/11/79 | 1.1 million | 4.5 | | | | |
| CTA | 12/18/78 | 3.6 million | 7.9 | F, TL, LTL | | | 8/25/79 | 3.1 million | 6.8 | | | | |
| CTA | 5/31/79 | | 5½ | F, TL, BC, Petroleum & Petroleum Products | | | 8/19/79 | 5.0 million | 5¼ | | | | |
| CTA | 5/31/79 | 1,360,000 | 6.3 | F, TL, Cement | | | 10/10/79 | 1,170,000 | 5.4 | | | | |
| CTA | 5/31/79 | 1.7 million | 8.5 | F, TL, Cement | 8/11/79 | 1.5 million<br>7.6% | | | | | | | |
| CTA | 7/06/79 | 1.7 million | 7.0 | F, TL | | | 11/03/79 | 1.4 million | 5.4 | | | | |
| Highway Carriers Association | 4/16/79 | | 6.5 to 8.9 | F | | | 9/13/79 | 628,000 | 4.9 | | | | |

1/ Distance rates 3%; territory C hourly rates 5%.
2/ Various dates, continuing proceeding.

## MINIMUM RATE TARIFF INCREASES 1978 - 1979 FISCAL YEAR

| TRANSPORTATION | DECISION NUMBERS | PERCENT | THOUSANDS OF DOLLARS | EFFECTIVE DATE |
|---|---|---|---|---|
| GENERAL COMMODITIES | | | | |
| Statewide--MRT 2 | 89978 | 1.75TL,2.5LTL | 17,011 | Feb. 24, 1979 |
| Statewide--MRT 2 | 90224 | 6.25TL, 6.5LTL | 49,872 | May 5, 1979 |
| Statewide--MRT 2 | 90325 | 1.25TL,.5LTL | 6,390 | May 28, 1979 |
| Drayage--MRT 1-B | 89980 | 2.0TL,2.5LTL | 69 | Feb. 24, 1979 |
| Drayage--MRT 1-B | 90226 | 6.0TL,6.5LTL | 191 | May 5, 1979 |
| Drayage--MRT 1-B | 90325 | 1.0 | 31 | May 28, 1979 |
| Drayage--MRT 9-B | 89979 | 2.5 | 73 | Feb. 24, 1979 |
| Drayage--MRT 9-B | 90225 | 5.5TL,6.5LTL | 176 | May 5, 1979 |
| Drayage--MRT 9-B | 90325 | 1.0 | 29 | May 28, 1979 |
| Drayage--MRT 19 | 89980 | 1.0 | 26 | Feb. 24, 1979 |
| Drayage--MRT 19 | 90226 | 6.5 | 167 | May 5, 1979 |
| Drayage--MRT 19 | 90325 | 1.0 | 26 | May 28, 1979 |
| HOUSEHOLD GOODS | | | | |
| MRT 4-B | 90327 | .69 | 818 | May 28, 1979 |
| MRT 4-B | 90350 | 3.2 | 4,100 | June 21, 1979 |
| BULK PETROLEUM | | | | |
| MRT 6-B | 89086 | 5.5 | 4,714 | Aug. 5, 1978 |
| MRT 6-B | 90090 | 4.5 | 3,639 | April 6, 1979 |
| MRT 6-B | 90328 | 2.0 | 1,714 | May 28, 1979 |
| DUMP TRUCK | | | | |
| MRT 7-A | 89597 | 6.0 | 7,000 | Dec. 2, 1978 |
| MRT 7-A | 90329 | 3.25 | 4,302 | May 28, 1979 |
| MRT 17-A | 90038 | 6.0 | 2,351 | April 28, 1979 |
| MRT 17-A | 90329 | 3.5 | 1,372 | May 28, 1979 |
| MRT 20 | 89922 | 7.65 | 1,240 | Mar. 10, 1979 |
| MRT 20 | 90329 | 3.5 | 540 | May 28, 1979 |
| FRESH FRUITS & VEGETABLES | | | | |
| MRT 8-A | 89416 | 12.0 ) | 4,200 ) | Oct. 14, 1978 |
| MRT 8-A | 89494 | ) | ) | Oct. 14, 1978 |
| MRT 8-A | 90326 | 3.0TL,2.5LTL | 1,172 | April 3, 1979 |

| TRANSPORTATION | DECISION NUMBERS | PERCENT | THOUSANDS OF DOLLARS | EFFECTIVE DATE |
|---|---|---|---|---|
| BULK AGRICULTURAL COMMODITIES | | | | |
| MRT 14-A | 89301 | 5.0 SAFF SEED | 14 | Aug. 24, 1978 |
| MRT 14-A | 89481 | 5.0 COTTONSEED | 199 | Oct. 5, 1978 |
| MRT 14-A | 90223 | 4.7 | 2,250 | June 2, 1979 |
| MRT 14-A | 90326 | 3.75 | 1,689 | May 28, 1979 |
| LIVESTOCK | | | | |
| MRT 3-A | 90072 | 2.8 | 358 | April 21, 1979 |
| MRT 3-A | 90326 | 3.0 | 384 | May 28, 1979 |
| MONTHLY VEHICLE UNIT RATES | | | | |
| MRT 15 | 89981 | 2.0 | 1,606 | Feb. 24, 1979 |
| MRT 15 | 90227 | 6.0 | 4,818 | May 5, 1979 |
| MRT 15 | 90325 | 1.25 | 1,004 | May 28, 1979 |
| MOBILE HOMES | | | | |
| MRT 18 | 89840 | 5.0 | 588 | Feb. 12, 1979 |
| MRT 18 | 90330 | 3.75 | 374 | May 28, 1979 |
| BULK CEMENT | | | | |
| MRT 10 | 88957 | 4.8 | 1,400 | July 18, 1978 |
| MRT 10 | 90022 | 3.9 | 1,100 | April 3, 1979 |
| MRT 10 | 90331 | 2.75 | 964 | May 28, 1979 |
| UNCRATED FURNITURE | | | | |
| MRT 11-A | 89479 | 8.0 | 991 | Oct. 28, 1978 |
| MRT 11-A | 90327 | 1.75 | 206 | May 28, 1979 |
| AUTOMOBILE TRUCKAWAY | | | | |
| MRT 12-A | 90330 | 2.25 | 548 | May 28, 1979 |
| VACUUM TANK SERVICE | | | | |
| MRT 13 | 89352 | 7.7 | 1,379 | Oct. 15, 1978 |
| MRT 13 | 90328 | 1.5 | 290 | May 28, 1979 |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| COLORADO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Acme Delivery Service, Inc. | 6/01/79 | | 7.4 | F | | | 7/25/79 | | 7.4 | 1,444,313 | 1,401,815 | 42,498 | 93.04 |
| Bassett Trash Service | 8/23/79 | | 5-100 | Trash | | | 12/18/79 | | 1/ | | | | |
| Berta Bros. Trans., Inc. | 3/21/79 | | 16.4-17.6 | GC | | | 5/30/79 | | 15.4 | | | | |
| Best Way Disposal | 5/25/79 | | 1-25 | Trash | | | 7/24/79 | | 1-25 | | | | 92.6 |
| Big Mac Disposal Service, Inc. | 4/27/79 | | 6-39.6 | Trash | | | 7/24/79 | | 3-35 | | | | 91.5 |
| Colton's Moving & Storage, Inc. | 8/31/79 | | 10.7-17.9 | HG | | | 12/11/79 | | 12.9-53.1 | | | | 91.5 |
| Don Ward & Co. | 6/01/79 | | 12 | BC | | | 7/11/79 | | 12 | 286,267 | 296,595 | (10,328) | 103.61 |
| Don Ward & Co. and Atwood Truck Line | 6/01/79 | | 2.9-20 | BC | | | 7/17/79 | | 9 | | | | 98 |
| G.I. Moving & Storage Co. | 7/27/79 | | 28.3-559.2 | HG | | | 9/19/79 | | 28.3-559.2 | | | | 93 |
| Morgan Drive Away, Inc. | 8/24/79 | | 0-100 | Mobile Homes | | | 11/13/79 | | 21 | 250,630 | 256,708 | (6,078) | 102.4 |
| Purolator Courier Corporation | 6/05/79 | | 20 | GC | | | 12/21/79 | | 20 | 473,305 | 443,664 | 29,641 | 93.7 |
| Rio Grande Motor Way, Inc. | 7/13/79 | | 9.4-11.4 | GC | | | 9/18/79 | | 10 | | | | |
| Ruan Transport Corporation | 6/07/79 | | 3.25 | BC | | | 8/17/79 | | 3.25 | | | | |
| Sunshine Trash Service | 1/30/79 | | 2.7-100 | Trash | | | 4/24/79 | | 2/ | | | | |
| Superior Sanitation, Inc. | 12/01/78 | | 9.5-60.0 | Trash | | | 1/03/79 | | 8.8-55.8 | | | | |
| Transit Homes, Inc. | 9/17/79 | | 34 | Mobile Homes | | | 12/21/79 | | 20 | | | | |
| Western Oil Transportation Co., Inc. | 6/22/79 | | 14.2-121.1 | BC | | | 10/09/79 | | 5 | | | | 93.42 |

1/ Decrease to 8.8%; increase to 67%.
2/ Decrease to 33%; increase 7-100%.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CONNECTICUT DIVISION OF PUBLIC UTILITIES | | | | | | | | | | | | | |
| Motor Transport Association | 8/02/79 | | 25 | Dump Truck | | | 10/09/79 | | 25 | 3,617,852 | 3,481,513 | 136,339 | 96.2 |
| New England Motor Rate Bureau | 6/19/79 | | 2.7 LTL<br>6.0 TL | GC | | | 6/22/79 | | 2.7 LTL<br>6.0 TL | | | | |
| New England Motor Rate Bureau | 10/10/79 | | 15 | GC | | | 12/14/79 | | 15 | | | | 96.74 |
| FLORIDA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Fla. Household Goods Carriers' Bureau, Inc. 1/ | 3/30/79 | 287,546 | 10.17 | TL, LTL, HG | 7/16/79 | 141,376<br>5% | 11/27/79 | 287,546 | 4.8 | 6,308,875 | 6,107,556 | 201,319 | 96.81 |
| Fla. Intrastate Rate Bureau 2/ | 7/18/79 | 4,360,432<br>3/ | 11 | F, TL, LTL, GC | 5/10/79 | 1,982,014<br>5% | | | | | | | |
| Fla. Mobile Homes Carriers Conference 2/ | 8/29/79 | 87,216 | 10 | Mobile Homes | | | 9/20/79 | 87,216 | 10 | 959,381 | 1,007,349 | (47,968) | 105.61 |
| Jack Rabbit Express | 6/08/79 | 247,286 | 8.5 | LTL, Parcels | 7/03/79 | 145,462<br>5% | 10/05/79 | 221,002 | 7.6<br>4/ | 3,130,243 | 2,994,675 | 135,568 | 95,67 |
| John G. Lane Lines, Inc. | 5/10/79 | 595,844 | 22 | TL, LTL, Bakery Products | 6/08/79 | 406,257<br>15% | 1/03/80 | 595,844 | 22 | 3,549,667 | 3,237,995 | 311,672 | 91.2 |
| John G. Lane Lines, Inc. | 5/14/79 | 595,844 | 22 | F, TL, Perishables | 6/08/79 | 406,257<br>15% | | | | | | | |
| Morgan Drive Away | 5/22/79 | 112,741 | 10 | Mobile Homes | 8/02/79 | 112,741<br>10% | | | | | | | |
| Motor Fuel Carriers | 6/28/79 | 699,431 | 20 | TL, BC | 7/05/79<br>5/ | 349,176<br>10% | 10/19/79 | 489,602 | 14 | 3,986,759 | 3,751,540 | 235,219 | 94.10 |
| National Trailer Convoy, Inc. | 6/20/79 | 198,599 | 44 | TL, Mobile Homes | 7/22/79 | 51,211<br>10% | | | | | | | |
| New Truck Lines, Inc. | 5/22/79 | 56,532 | 10 | F, TL, LTL | 6/27/79 | 56,532<br>10% | 10/05/79 | 56,532 | 10 | 621,848 | 646,270 | (24,422) | 103.9 |
| Petroleum Carriers 6/ | 6/28/79 | 2,456,434-<br>3,002,309 | 18-22 | BC, Petroleum Products | 7/05/79 | 1,364,469<br>10% | 10/19/79 | 1,910,561 | 14 | 16,663,285 | 15,557,420 | 1,105,865 | 93.36 |
| Redwing Carriers | 6/28/79 | 1,152,807 | 22 | TL, BC | 7/05/79 | 524,003<br>10% | 10/19/79 | 733,604 | 14 | 5,973,634 | 5,797,412 | 176,222 | 97.05 |
| United Parcel Service | 3/20/79 | 1,640,575<br>368,722 | 7.6<br>1.6 | LTL, Parcels | | | 6/21/79<br>7/16/79 | 1,640,575<br>368,658 | 7.6<br>1.6 | 23,145,936<br>23,514,658 | 23,097,818<br>23,097,818 | 48,118<br>416,840 | 99.9<br>99.0 |
| Yarnall Warehouse & Transfer, Inc. | 7/27/79 | 2,500<br>3/ | 5 | TL, LTL, HG | 11/02/79 | 2,500<br>3/<br>5% | | | | | | | |

1/ Fourteen study carriers. 2/ Six study carriers. 3/ Approximate. 4/ Overall average; 8.5%--Item 115. 5/ Docket 790136-CCT consolidated with Docket 790542-CCT. 6/ Five study carriers.

**1979 MOTOR CARRIER RATE CASES**

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| HAWAII PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Western Motor Tariff Bureau, Inc. | (1) 9/01/78<br>(2) 9/01/78 | Varied | (1) 12.5<br>(2) 7.5 | P | | | (1) 1/01/79<br>(2) 1/01/79 | (1) Varied<br>(2) Varied | (1) 12.5<br>(2) 7.5 | 20,685,612 | 19,046,927 | 1,638,685 | 92.08 |
| (1) Island of Oahu | | | | | | | | | | | | | |
| (2) Islands of Maui, Kauai, and Hawaii. | | | | | | | | | | | | | |
| Western Motor Tariff Bureau, Inc. | | | | | | | | | | | | | |
| (Island of Oahu) | 3/16/79 | Varied | 7.0 | F, GC | 4/16/79 | 5.0 | 8/20/79 | Varied | 7.0 | 7,299,161 | 6,710,955 | 588,206 | 93.60 |
| (Island of Maui) | 2/09/79 | Varied | 4.5 | F, GC | | | 3/12/79 | Varied | 4.5 | 2,787,832 | 2,572,828 | 215,004 | 92.29 |
| (Island of Hawaii) | 9/13/79 | Varied | 5.2 | F, GC | 10/15/79 | 4.0 | Pending | | | | | | |
| Note: No increase granted for Island of Kauai. General Commodities grouping includes parcels, baggages, and agricultural products. | | | | | | | | | | | | | |
| Western Motor Tariff Bureau, Inc. | | | | | | | | | | | | | |
| (Island of Oahu) | 8/03/79 | Varied | 10.0 | F, HG, Commodities transported in dump trucks | | | 9/03/79 | | 24.4 | 5,161,617 | 4,961,110 | 200,507 | 96.12 |
| (Island of Kauai) | 10/09/79 | Varied | 30.0 | F, HG, Commodities transported in dump trucks | 11/09/79 | 8.0 | Pending | | | | | | |
| (Island of Hawaii) | 10/15/79 | Varied | 12.0 | F, HG, Commodities transported in dump trucks | 10/16/79 | 4.0 | Pending | | | | | | |
| Note: Operating revenues and expenses for the respective islands taken from study by Hawaii Transportation Association and reflects results of sample carriers only. | | | | | | | | | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| City Delivery Service, Inc. | 10/05/79 | | 9 | LTL, GC | | | 2/14/80 | | 9 | | | | 98 |
| Garrett Freight Lines | 7/02/79 | | 13.7 | F, TL, LTL, GC | | | 10/16/79 | | 13.7 | | | | |
| Garrett Freight Lines | 10/25/79 | | 3 | TL, LTL, GC | | | 11/26/79 | | 3 | | | | |
| Idaho Motor Tariff Bureau | 2/05/79 | | 10 | F, GC | | | 5/05/79 | | 10 | | | | |
| Idaho Motor Tariff Bureau | 2/09/79 | | 8 | F, TL, LTL, GC | | | 4/02/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 2/16/79 | | 10 | F, TL, LTL, GC | | | 4/23/79 | | 10 | | | | |
| Idaho Motor Tariff Bureau | 3/22/79 | | 12 | F, TL, GC | | | 4/23/79 | | 12 | | | | |
| Idaho Motor Tariff Bureau | 4/12/79 | | 25 | F, Agricultural Commodities | | | 8/27/79 | | 25 | | | | |
| Idaho Motor Tariff Bureau | 4/16/79 | | 8 | F, TL, GC | | | 5/25/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 4/20/79 | | 8 | Agricultural Commodities | | | 5/17/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 4/26/79 | | 9 | TL, Agricultural Commodities | | | 5/15/79 | | 9 | | | | |
| Idaho Motor Tariff Bureau | 5/07/79 | | 8 | F, LTL, GC | | | 6/08/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 5/22/79 | | 12 | TL | | | 6/15/79 | | 12 | | | | |
| Idaho Motor Tariff Bureau | 5/23/79 | | 7 | F, LTL, GC | | | 6/01/79 (Dismissed) | | | | | | |
| Idaho Motor Tariff Bureau | 5/23/79 | | 20 | TL, Agricultural Commodities | | | 12/10/79 (Dismissed) | | | | | | |
| Idaho Motor Tariff Bureau | 5/25/79 | | 7 | F, LTL, GC | | | 7/02/79 | | 7 | | | | |
| Idaho Motor Tariff Bureau | 6/06/79 | | 20 | F, TL, LTL, GC | | | 9/29/79 | | 20 | | | | 93 |
| Idaho Motor Tariff Bureau | 6/14/79 | | 12 | BC | | | 9/29/79 | | 12 | | | | 94 |
| Idaho Motor Tariff Bureau | 6/15/79 | | 7 | F, TL, GC | | | 7/26/79 | | 7 | | | | |
| Idaho Motor Tariff Bureau | 6/15/79 | | 14 | F, TL, GC | | | 9/24/79 (Application withdrawn) | | | | | | |
| Idaho Motor Tariff Bureau | 6/18/79 | | 7 | F, TL, Lumber | | | 11/26/79 | | 7 | | | | |
| Idaho Motor Tariff Bureau | 6/22/79 | | 12 | F, Dump Truck | | | 9/27/79 | | 12 | | | | |
| Idaho Motor Tariff Bureau | 7/27/79 | | 8 | F, TL, LTL, GC | | | 8/15/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 8/10/79 | | 20 | F, TL, GC | | | 2/12/80 | | 20 | | | | 93 |
| Idaho Motor Tariff Bureau | 8/29/79 | | 20 | TL, LTL, HG | | | 2/02/80 | | 20 | | | | |
| Idaho Motor Tariff Bureau | 10/09/79 | | 10 | F, TL, LTL, GC | | | 10/31/79 | | 10 | | | | |
| Idaho Motor Tariff Bureau | 10/29/79 | | 8 | F, Agricultural Commodities | | | 11/15/79 | | 8 | | | | |
| Idaho Motor Tariff Bureau | 11/16/79 | | 15 | Heavy Hauling | | | 11/26/79 (Application withdrawn) | | | | | | |
| Idaho Motor Tariff Bureau | 12/27/79 | | 12 | BC | | | 2/29/80 | | 12 | | | | 93 |
| Intermountain Tarrif Bureau | 7/16/79 | | 8 | TL, LTL, GC | | | 11/19/79 | | 8 | | | | |
| John Kopcaynski Co. | 8/02/79 | | 6 | LTL, GC | | | 8/15/79 | | 6 | | | | 95 |
| JMF Co., Inc. | 6/29/79 | | 10 | F, TL, LTL, GC | | | 6/29/79 | | 10 | | | | |
| Morgan Drive Away | 6/01/79 | | 15 | Mobile Home Movers | | | 9/27/79 | | 15 | | | | |
| National Trailer Co. | 8/13/79 | | 8 | Mobile Home Movers | | | 12/21/79 | | 8 | | | | |
| FITB | 7/23/79 | | 12 | TL, LTL, GC | | | 10/24/79 | | 12 | | | | |
| Twin Falls Distributors | 8/02/79 | | 8 | Parcels | | | 11/26/79 | | 8 | | | | |
| UPS | 6/19/79 | | 2 | Parcels | | | 7/16/79 | | 2 | | | | |
| UPS | 9/28/79 | | 8 | Parcels | | | 10/19/79 | | 8 | | | | |
| Western Crane & Rigging, Inc. | 9/10/79 | | 12 | TL, Heavy Haul | | | 10/19/79 | | 12 | | | | |
| Wycott Co., Inc. | 2/23/79 | | 7 | TL, LTL, GC | | | 7/02/79 | | 7 | | | | 97 |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| ***<br>KANSAS STATE CORPORATION COMMISSION | | | | | | | | | | | | | |
| Centropolis Transfer | 5/31/79 | 15,000 | 4.5 | F, TL, BC | | | 7/17/79 | Denied | | | | | |
| Exhibitors Film Delivery | 1/26/79 | 190,000 | 5.5 | F, LTL, Express, Parcels | | | 3/22/79 | 190,000 | 5.5 | | | | |
| Exhibitors Film Delivery | 8/21/79 | 220,000 | 6.1 | F, LTL, Express, Parcels | | | 10/02/79 | 220,000 | 6.1 | | | | |
| Exhibitors Film Delivery | 12/28/79 | | 4.8 | F, LTL, Express, Parcels | | | Pending | | | | | | |
| Farm-to-Market Carriers (395) | 8/24/79 | 2,105,000 | 6.5 | TL, BC, Agricultural Commodities | | | 12/21/79 | Denied | | | | | |
| Gelco Courier | 12/04/78 | 135,000 | 8.2 | F, LTL, Express | | | 5/01/79 | 127,000 | 7.7 | | | | |
| Gelco Courier | 10/09/79 | 285,000 | 15.7 | F, LTL, Parcels, Express | | | Pending | | | | | | |
| General Commodity Carriers (53) | 8/28/78 | 913,000 | 6.9 | F, TL, LTL, GC | | | 3/15/79 | 913,000 | 6.9 | | | | |
| General Commodity Carriers (52) | 7/16/79 | 1,300,000 | 10.0 | TL, LTL, GC | | | 11/14/79 | 845,000 | 6.5 | | | | |
| Grain Haulers (225) | 3/01/79 | 583,000 | 5.0 | F, TL, BC, Grain | | | 6/13/79 | 583,000 | 5.0 | | | | |
| Household Goods Carriers (60) | 3/01/79 | 214,000 | 8.6 | TL, HG | | | 6/13/79 | 214,000 | 8.6 | | | | |
| Mistletoe Express | 1/04/79 | 130,000 | 21.0 | F, LTL, Parcels, Express | | | 3/16/79 | Denied | | | | | |
| Motor Common & Contract Intrastate Carriers (1,100) | 6/22/79 | 8,875,000 | 8.0 TL<br>2.0 LTL | F, TL, LTL, BC, GC, HG, Agricultural Commodites | | | 6/25/79 | 8,875,000 | 7.0 | | | | |
| Oilfield Equip. & Heavy Machinery Carriers (50) | 6/05/79 | 771,000 | 6.5 | Oilfield Equip. & Heavy Machinery | | | 11/15/79 | 771,000 | 6.5 | | | | |
| Petroleum Products Carriers (70) | 3/21/79 | 1,684,000 | 6.5 | TL, LTL, BC | | | 6/12/79 | 1,684,000 | 6.5 | | | | |
| Ruan Transport | 3/02/79 | 264,000 | 4.5 | F, TL, BC | | | 4/15/79 | 264,000 | 4.5 | | | | |
| Ruan Transport | 12/10/79 | 750,000 | 12.0 | F, TL | | | Pending | | | | | | |
| Salt Carriers (15) | 12/18/78 | 210,000 | 25.3 | F, TL, BC, Salt | | | 6/12/79 | 53,000 | 6.5 | | | | |
| Schwerman Trucking | 6/20/79 | 18,000 | 4.5 | F, TL, BC | | | 8/02/79 | Denied | | | | | |
| Tank Service Carriers (100) | 8/06/79 | 1,881,000 | 11.6 | TL, BC, Tank Service | | | 10/17/79 | 1,881,000 | 11.6 | | | | |
| United Parcel Service | 3/21/79 | 164,000 | 7.9 | F, LTL, Parcels, Express | | | 6/04/79 | 164,000 | 7.9 | | | | |
| United Parcel Service | 9/28/79 | 136,000 | 5.9 | F, LTL, Parcels, Express | | | 11/01/79 | 136,000 | 5.9 | | | | |
| Note: In addition to the above, a total of 95 informal rate increases were granted during 1979 amounting to $782,235.00. Twenty-three informal tariff filings were rejected--a total of $881,909.00. | | | | | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| All States Truck Lines, Inc. | 10/04/78 | | 25 | F, GC | | | 3/05/79 | | 25 | | | | |
| All States Truck Lines, Inc. | 8/01/79 | | 20 | F, GC | | | 12/06/79 | | 20 | | | | |
| Brannan Systems, Inc. | 5/23/79 | | 10 | F, GC | | | 11/12/79 | | 10 | | | | |
| Bulk Transport, Inc. | 3/28/79 | | 68½ | F, BC | | | 4/23/79 | | 68½ | | | | |
| Bulk Transport, Inc. | 5/07/79 | | 12 | F, BC | | | 8/22/79 | | 12 | | | | |
| Bulk Transport, Inc. | 5/08/79 | | 13 | F, BC | | | 8/09/79 | | 13 | | | | |
| Bulk Transport, Inc. | 6/18/79 | | 7½ | F, BC | | | 9/17/79 | | 7½ | | | | |
| Bulk Transport, Inc. | 11/27/79 | | 6 | F, BC | | | Pending | | | | | | |
| Champ's Truck Service, Inc. | 1/30/79 | | 10 | F, BC | | | 7/18/79 | | 10 | | | | |
| Glenn McClendon Trucking Co., Inc. | 8/08/79 | | 10 | F, GC | | | 12/06/79 | | 10 | | | | |
| Groendyke Transport, Inc. | 6/05/79 | | 71 | F, BC | | | 11/08/79 | | 71 | | | | |
| Groendyke Transport, Inc. | 7/18/79 | | 7 | F, BC | | | 12/06/79 | | 7 | | | | |
| Intracoastal Truck Line, Inc. | 2/14/79 | | 10 | F, GC | | | 6/20/79 | | 10 | | | | |
| J & M Transportation Co., Inc. | 1/16/79 | | 6 | F, BC | | | 6/20/79 | | 6 (minimum of 2¢ per cwt) | | | | |
| John N. John, III | 9/19/79 | | 7 | F, TL | | | Pending | | | | | | |
| L & T Trucking Co. | 8/29/79 | | 21½ | F, GC | | | Pending | | | | | | |
| La. Oil Field Carriers Association, Inc. | 10/10/78 | | 3 | F, GC | | | 3/22/79 | | 3 | | | | |
| La. Oil Field Carriers Association, Inc. | 12/14/78 | | Various | F, GC | | | 3/22/79 | Dismissed | | | | | |
| La. Oil Field Carriers Association, Inc. | 4/25/79 | | Various | F, GC | | | 7/14/7° | | Various | | | | |
| La. Oil Field Carriers Association, Inc. | 4/19/79 | | 5 | F, GC | | | 6/21/79 | | 5 | | | | |
| La. Oil Field Carriers Association, Inc. | 10/26/79 | | Various | F, GC | | | 1/29/80 | | Various | | | | |
| La. Tank Truck Carriers, Inc. | 12/15/78 | | 26 | F, BC | | | 4/23/79 | | 26 | | | | |
| La. Tank Truck Carriers, Inc. | 2/13/79 | | Approx.<br>7 | F, BC | | | 6/21/79 | | Approx.<br>7 | | | | |

***For Iowa rate cases see end of Motor Carrier Rate Cases.

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| LOUISIANA PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| La. Tank Truck Carriers, Inc. | 4/10/79 | | 5 | F, BC | | | 6/20/79 | | 5 | | | | |
| La. Tank Truck Carriers, Inc. | 6/20/79 | 1.25 per hour | | F, BC | | | 11/09/79 | 1.25 per hour | | | | | |
| La. Tank Truck Carriers, Inc. | 8/13/79 | | Various | F, BC | | | 12/06/79 | | Various | | | | |
| Marchand Construction, Inc. | 6/05/79 | | 25 | F, GC | | | 11/12/79 | | 25 | | | | |
| Mathews Trucking Co., Inc. | 9/07/79 | | 7 | F, GC | | | Pending | | | | | | |
| Matlack, Inc. | 12/18/78 | | 7 | F, BC | | | 3/20/79 | | 7 | | | | |
| Matlack, Inc. | 6/20/79 | | 2½ | F, BC | | | 9/17/79 | | 2½ | | | | |
| Matlack, Inc. | 11/19/79 | | 9½ | F, BC | | | Pending | | | | | | |
| McNair Transport, Inc. | 5/23/79 | | 7 | F, BC | | | 11/12/79 | | 7 | | | | |
| Middlewest Motor Freight Bureau | 4/10/79 | | 2 | F, GC | | | 6/20/79 | | 2 | | | | |
| Molasses Transportation, Inc. | 9/12/79 | | 15 | F, GC | | | 1/25/80 | | 15 | | | | |
| Morgan Drive Away, Inc. | 6/06/79 | | 18 | F, GC | | | 11/12/79 | | 18 | | | | |
| Morgan Drive Away, Inc. | 12/10/79 | | 7½ | F, TL | | | Pending | | | | | | |
| Morgan Express, Inc. | 3/08/79 | | 5 | F, GC | | | 7/18/79 | | 5 | | | | |
| Morgan Express, Inc. | 12/17/79 | | 9 | F, GC | | | Pending | | | | | | |
| Murphy Bonded Warehouse, Inc. | 3/26/79 | | 9.25 | F, GC | | | 9/19/79 | | 9.25 | | | | |
| Paul Wall Truck Line, Inc. | 1/25/79 | | 15<br>Average | F, GC | | | 4/03/79 | | 15<br>Average | | | | |
| Purolator Courier Corporation | 2/28/79 | | 6 | F, GC | | | 7/18/79 | | 6 | | | | |
| Schwerman Trucking Co. | 2/13/79 | | 85 | F, GC | | | 6/21/79 | | 85 | | | | |
| Schwerman Trucking Co. | 3/26/79 | | Various | F, GC | | | 7/18/79 | | Various | | | | |
| Security Van Lines | 12/28/78 | | 7<br>Approx. Average | F, HG | | | 5/25/79 | | 7<br>Approx. Average | | | | |
| Security Van Lines | 11/05/79 | | Various | F, HG | | | Pending | | | | | | |
| Service Truck Line, Inc. | 10/05/78 | | 8 | F, GC | | | 4/23/79 | | 8 | | | | |
| Southern Freight Association | 4/18/79 | | 7 | F, GC | | | 9/19/79 | | 7 | | | | |
| Southern Freight Association | 7/31/79 | | 10 | F, GC | | | 11/09/79 | | 10 | | | | |
| Southern Freight Association | 8/29/79 | | 4 | F, GC | | | 12/06/79 | | 4 | | | | |
| Southern Freight Association | 9/07/79 | | 3 | F, GC | | | Pending | | | | | | |
| Southern Pacific Trans. Co. & St. Louis SW Ry. Co. | 6/26/79 | | 1.2 | F, GC | | | 9/19/79 | | 1.2 | | | | |
| Stephens Truck Lines, Inc. | 11/06/79 | | Various | F, BC | | | Pending | | | | | | |
| Transit Homes, Inc. | 12/14/78 | | 27<br>Overall Average | F, TL | | | 5/25/79 | | 7 | | | | |
| Truax Truck Line, Inc. | 4/18/79 | | 7 | F, GC | | | 7/30/79 | | 7 | | | | |
| Truck Service, Inc. | 5/08/79 | | 17 | F, GC | | | 9/19/79 | | 17 | | | | |
| Truck Transport, Inc. | 3/28/79 | | 7 | F, GC | | | 9/19/79 | | 7 | | | | |
| United Parcel Service | 3/21/79 | | 9.5 | Parcels | | | 6/20/79 | | 9.5 | | | | |
| United Parcel Service | 6/25/79 | | 1.8 | Parcels | | | 11/12/79 | | 1.8 | | | | |
| United Parcel Service | 10/04/79 | | 5.7 | Parcels | | | Pending | | | | | | |
| Vacuum Truck Carriers of La., Inc. | 5/22/79 | | 7 | F, BC | | | 7/30/79 | | 7 | | | | |
| Younger Brothers, Inc. | 3/27/79 | | 7 | F, BC | | | 9/19/79 | | 7 | | | | |
| MICHIGAN PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| | Effective Date | | | | | | | | | | | | |
| Bulk Carrier Confernce, Inc. 1/ | 11/15/79 | | 9 | Petroleum Products | | | 11/15/79 | | 9 | | | | |
| Curtis W. Welty 1/ | 2/01/79 | | 5 | Cement | | | 2/01/79 | | 5 | | | | |
| | 7/26/79 | | 7 | Cement | | | 7/26/79 | | 7 | | | | |
| | 3/12/79 | | 7 2/ | Sand, Gravel | | | 3/12/79 | | 7 2/ | | | | |
| | 8/07/79 | | 5.4 3/ | Sand, Gravel | | | 8/07/79 | | 5.4 3/ | | | | |
| Michigan Intrastate Motor Tariff Bureau, Inc. 1/ | 4/18/79 | | 7 | GC | | | 4/18/79 | | 7 | Across-the-Board | | | |
| | 10/07/79 (COLA) | | 2½ (COLA) | | | | 10/07/79 | | 2½ | Across-the-Board | | | |
| Michigan Movers & Warehousemen's Assn., Inc. 1/ | 9/14/79 | | 7 | HG | | | 9/14/79 | | 7 | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| MICHIGAN PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| | Effective Date | | | | | | | | | | | | |
| United Parcel Service, Inc. | 5/01/79 | | 9.75 4/ | Parcels | | | 5/01/79 | | 7.8 | | | | |
| | 11/01/79 | | 5.85 | Parcels | | | 11/01/79 | | 5.85 | | | | |
| Wolverine Carriers, Inc., | 6/18/79 | | 6 | Petroleum Products | | | 6/18/79 | | 6 | | | | |
| for participating carriers | 11/15/79 | | 6 | Petroleum Products | | | 11/15/79 | | 6 | | | | |

1/ Agent for participating carriers.
2/ Hourly and ton-mile rate.
3/ Ton-mile rate.
4/ Reduced subsequently to 7.8%.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MINNESOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Dahlen Transport, Inc. (Group D Commodities) | 6/20/79 | 137,282 | 40 | F, TL, BC | | | 10/30/79 | 137,282 | 40 | 486,524 | 451,518 | 35,006 | 92.8 1/ |
| Middlewest Motor Freight Bureau 2/ | 3/19/79 | 3,447,854 | 18 | F, TL, LTL | 4/02/79 | 1,106,816<br>5.5% | 7/23/79 | 2,143,086 | 11.5 | 21,160,190 | 23,093,689 | (1,933,499) | 109.14 1/ |
| 4 Petroleum Carriers (LPG, Anhydrous ammonia, liquid fertilizers) | 10/02/78 | 202,477 | 10 | TL, BC | 1/02/79 | 126,769<br>6.27% | 3/22/79 | 192,354 | 9.5 | 2,217,132 | 2,070,373 | 146,759 | 93.38 1/ |
| 10 Petroleum Carriers (Group A, B, C petroleum products) | 8/23/78 | 2,347,507 | 15 | TL, BC | 11/27/78 | 974,998<br>6.23% | 3/05/79 | 1,870,664 | 9.5 | 17,520,712 | 16,547,595 | 973,117 | 94.45 1/ |
| 13 Minnesota Petroleum Carriers | 11/13/79 | 1,505,150 | 7.60 | F, TL, BC | 12/04/79 | 1,356,616<br>6.85% | To be finalized in 1980. | | | | | | |

1/ Excludes interest expense and income tax.
2/ On behalf of participating regular route common carriers.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MISSISSIPPI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| K & L Cartage Co. | 6/13/79 | | 6 | Masonite Products | | | 8/31/79 | | 6 | | | | |
| Ligon Specialized Haulers, Inc. | 5/28/79 | | 6 | Masonite Products | | | 6/29/79 | | 6 | | | | |
| Melton Truck Lines | 8/10/79 | | 18 | Plywood & Particle Board | | | 9/10/79 | | 18 | | | | |
| Miss. Tank Truck Carriers, Agent | 5/17/79 | | 2 | BC | | | 5/17/79 | | 2 | | | | |
| Miss. Tank Truck Carriers, Agent | 7/26/79 | | 6 | BC | | | 8/1/79 | | 6 | | | | |
| Morgan Drive-Away, Inc. | 6/18/79 | | 12 | Mobile Homes | | | 9/25/79 | | 12 | | | | |
| Movers Conference of Mississippi | 8/07/79 | | 7 | HG | | | 9/10/79 | | 7 | | | | |
| Movers Conference of Mississippi | 9/29/79 | | 7 | HG | | | 10/15/79 | | 7 | | | | |
| National Trailer Convoy | 5/08/79 | | 9 | HG | | | 6/05/79 | | 9 | | | | |
| Oil Field Haulers Association | 7/06/79 | | 7 | Equipment | | | 8/31/79 | | 7 | | | | |
| Olen Burrage Trucking, Inc. | 8/16/79 | | 24 | Plywood & Particle Board | | | 9/10/79 | | 24 | | | | |
| Southern Motor Carriers Rate Conference | 1/19/79 | | 5.75 | GC | | | 4/09/79 | | 5.75 | | | | |
| Southern Motor Carriers Rate Conference | 11/30/79 | | 7 | GC | | | 12/20/79 | | 7 | | | | |
| Transport Service, Inc. | 6/08/79 | | 30-35 | Plywood & Particle Board | | | 6/26/79 | | 30-35 | | | | |
| United Parcel Service, Inc. | 3/16/79 | | 6-18 | Parcels | | | 4/10/79 | | 6-18 | | | | |
| MISSOURI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| A-Mrazek Van & Storage, McCormack-Payton, Action Moving & Williams Moving Co. | 1/05/79 | | 23.4 | HG | 8/20/79 | 14% | 12/15/79 | | 24 Approximate | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| MISSOURI PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| Middlewest Motor Freight Bureau | 10/01/79 | | 7.49 then 2.1 plus additional 2.0 | GC | 10/24/79 | 7.49% then 2.1% | | | | | | | |
| Reynolds Potterfield | 9/21/78 | | 15 | Bulk Commodities in Dump Trucks | 5/01/79 | 10% | | | | | | | |
| NEBRASKA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| D.E.W. Film Service | 6/12/79 | | 73 1/ | LTL, GC Film & Theater Supplies | | | 9/02/79 | | 73 1/ | | | | |
| Dilt's Trucking, Inc. & Dahlsten Truck Line, Inc. | 7/10/79 | | 25-96 | F, TL, Salt-bulk & package | 7/02/79 | 12% | 1/07/80 | | 25-96 | | | | |
| Gelco Courier Services, Inc. | 4/12/79 | Various 2/ | | LTL, Parcels, Messenger Service | 7/03/79 | As Requested | 11/20/79 | As Requested | | | | | |
| Gelco Courier Services, Inc. | 7/18/79 | Various 3/ | | LTL, Parcels, Messenger Service | 8/04/79 | As Requested | | | | | | | |
| Herman Bros., Inc. | 10/12/78 | | 11 | F, TL, BC | | | 1/15/79 | | 11 | | | | |
| Herman Bros., Inc. | 12/07/78 | | 11 4/ | F, TL, BC | | | 3/22/79 | | 11 4/ | | | | |
| Herman Bros., Inc. | 6/12/79 | | 3 4/ | F, TL, BC | 7/05/79 | 3% 4/ | 12/05/79 | | 3 4/ | | | | |
| Herman Bros., Inc. | 7/09/79 | | 4 4/ | F, TL, BC | 7/12/79 | 4% 4/ | 12/26/79 | | 4 4/ | | | | |
| Herman Bros., Inc. | 8/27/79 | | 1½ 4/ | F, TL, BC | 9/07/79 | 1½% 4/ | 12/31/79 | | 1½ 4/ | | | | |
| Hull & Smith Horse Vans, Inc. | 6/14/79 | | 30-50 | TL, LTL Race Horses | 6/29/79 | 30-50% | 12/05/79 | | 30-50 | | | | |
| Hunt Transportation, Inc. | 7/10/79 | | 9 5/ | F, TL, Heavy Hauling | 7/21/79 | 7% 5/ | 1/01/80 | | 9 5/ | | | | |
| I-Go Van & Storage Co. | 4/16/79 | | 7 | F, TL, LTL, HG | | | 6/13/79 | | 7 | | | | |
| I-Go Van & Storage Co. | 6/19/79 | | 4 6/ | F, TL, LTL, HG | 6/29/79 | 4% 6/ | 12/31/79 | | 4 6/ | | | | |
| I-Go Van & Storage Co. | 8/28/79 | | 5 6/ | F, TL, LTL, HG | 9/07/79 | 5% 6/ | 12/31/79 | | 5 6/ | | | | |
| Morgan Drive Away, Inc. | 6/15/79 | | 0-50 | Trailer Towing | | | 8/20/79 | | 0-50 | | | | |
| Neb. General Commodity Carriers | 6/19/79 | | 9 7/ | F, TL, LTL, GC | 6/29/79 | 9% 7/ | 1/21/80 | | 9 7/ | | | | |
| Neb. General Commodity Carriers | 7/17/79 | | 9 8/ | F, TL, LTL, GC | 7/27/79 | 9% 8/ | 1/21/80 | | 9 8/ | | | | |
| Neb. Petroleum Carriers | 2/09/79 | | 7 9/ | F, TL, BC | 3/01/79 | 7% 9/ | 11/06/79 | | 7 9/ | | | | |
| Neb. Petroleum Carriers | 5/29/79 | | 4½ 9/ | F, TL, BC | 6/11/79 | 4½% 9/ | 12/17/79 | | 4½ 9/ | | | | |
| Neb. Petroleum Carriers | 7/02/79 | | 2½ 9/ | F, TL, BC | 7/12/79 | 2½% 9/ | 12/17/79 | | 2½ 9/ | | | | |
| Neb. Petroleum Carriers | 9/25/79 | | 2 9/ | F, TL, BC | 10/02/79 | 2% 9/ | 12/17/79 | | 2 9/ | | | | |
| Rapid Film Service, Inc. | 2/02/79 | | 126 10/ | LTL, GC, Film & Theater Supplies | ~~5/02/79~~ | 126% 10/ | 11/20/79 | | 126 10/ | | | | |
| Richardson Trucking, Inc. | 7/24/79 | | 7 11/ | F, TL, BC | 8/03/79 | 7% 11/ | | | | | | | |
| Rocky Mountain Trucking Co. | 6/18/79 | | 7 | F, TL, Oil Field Equipment | 6/29/79 | 7% | 12/05/79 | | 7 | | | | |
| Rocky Mountain Trucking Co. | 7/02/79 | | 3 | F, TL, Oil Field Equipment | 7/12/79 | 3% | 12/05/79 | | 3 | | | | |
| United Parcel Service, Inc. | 3/22/79 | | 7.7 | LTL, Parcels | 5/07/79 | 7.7% | 11/20/79 | | 7.7 | | | | |
| United Parcel Service, Inc. | 7/13/79 | | 1.7 | LTL, Parcels | 7/23/79 | 1.7% | 11/28/79 | | 1.7 | | | | |
| United Parcel Service, Inc. | 9/04/79 | | .7 5/ | LTL, Parcels | 9/10/79 | .7% 5/ | 11/28/79 | | .7 5/ | | | | |
| United Parcel Service, Inc. | 10/26/79 | | 3.86 | LTL, Parcels | 11/01/79 | 3.86% | | | | | | | |
| Western Oil Transportation Co., Inc. | 4/16/79 | | 6 | TL, BC, crude oil & Water | | | 8/13/79 | | 6 | | | | |

1/ On minimum charge and feature films in Northeast Nebraska area.

2/ New rate table on business records changing from one rate per pound for any distance to three tables based on 0-75, 75-150, and over 150 miles. 0-75 approximately same as before, 75-150 up 30¢, over 150 up additional 50¢. Rates on dental, optical and photo supplies up 40¢. Rates on blood, etc. up $1.75 per shipment.

3/ New rate table changing from one rate per pound for any distance to three tables based on 0-75, 75-150, and over 150 miles. 0-75 approximately same as before, 75-150 up 30¢, over 150 up additional 50¢.

4/ Applies on fly ash and lime dust in pneumatics.

5/ Proposed as fuel surcharge--granted as fuel cost justified rate increase.

6/ Fuel surcharge.

7/ Minimum charge and class rates only.

8/ On specific commodity rates only.

9/ Except accessorial rates and charges.

10/ On minimum charge and feature films in central Nebraska area.

11/ Sand, gravel, crushed stone, etc. in dump truck.

## 1979 MOTOR CARRIER RATE CASES

NEW JERSEY BOARD OF PUBLIC UTILITIES

MOVING COMPANIES

| Name of Applicant | Date Filed | Rate Increase Requested ($) (Hourly Rate for Van & 3 Men) Regular Hours | Date Increase Granted | Hourly Rate Increase Granted ($) |
|---|---|---|---|---|
| A-Greater N.J. Movers, Inc. | 6/18/79 | $ 40 to 42 | 7/18/79 | $ 40 to 42 |
| A. Sakel Mvg. & Stor., Inc. | 5/25/79 | 32 to 38 | 6/24/79 | 32 to 38 |
| ABC Moving | 4/02/79 | 40 to 48 | 5/02/79 | 48 |
| Able Transfer | 4/16/79 | 36 to 40 | 5/16/79 | 40 |
| Accredited Movers, Inc. | 5/22/79 | 42 to 52 | 6/21/79 | 40 to 52 |
| Ace Movers | 7/13/78 | 36 | 1/24/79 | 36 |
| Allstate Moving & Storage | 2/28/79 | 40 | 3/30/79 | 40 |
| American Transfer, Inc. | 4/30/79 | 42 to 54 | 5/30/79 | 54 |
| Anchor Moving & Storage Co. | 5/23/79 | 34 to 42 | 6/22/79 | 34 to 42 |
| Apollo Moving & Storage | 2/21/79 | 54 | 3/23/79 | 54 |
| Applegate Moving | 4/05/79 | 38 to 44 | 5/05/79 | 44 |
| Arenel Moving & Storage | 4/19/79 | 32 to 40 | 5/19/79 | 40 |
| Bainard Moving & Storage | 6/15/79 | 38 to 48 | 7/15/79 | 38 to 48 |
| Banfield Moving & Storage | 4/20/79 | 38 to 48 | 5/20/79 | 48 |
| Banner Moving | 3/21/79 | 54 | 4/20/79 | 54 |
| Barry's Moving & Storage | 4/20/79 | 38 to 44 | 5/20/79 | 44 |
| Bee Line Express | 4/17/79 | 34 to 38 | 5/17/79 | 38 |
| Bekin's Moving & Storage | 3/02/79 | 47 | 4/01/79 | 47 |
| Belleville Nutley Moving | 5/24/79 | 32 to 40 | 5/24/79 | 40 |
| Belmon Moving & Storage | 3/30/79 | 44 | 4/29/79 | 44 |
| Benton & Holden, Inc. | 5/01/79 | 38 to 52 | 5/31/79 | 52 |
| Berberick & Son Del. Serv. | 6/11/79 | 27 to 39 | 7/11/79 | 27 to 39 |
| Biggers Light Trucking | 4/10/79 | 28 to 36 | 5/10/79 | 36 |
| Birardi Movers | 11/08/78 | 36 | 1/24/79 | 36 |
| Block & Rose, Inc. | 5/18/79 | 42 to 57 | 6/17/79 | 42 to 57 |
| Brantley Bros. | 4/09/79 | 34 to 45 | 5/09/79 | 45 |
| Broadway Moving | 3/27/79 | 42 | 4/26/79 | 42 |
| Brook's Moving | 4/09/79 | 32 to 44 | 5/09/79 | 44 |
| Brown's Moving | 3/30/79 | 38 to 40 | 4/29/79 | 40 |
| C. Chase Moving | 4/03/79 | 34 to 44 | 5/03/79 | 44 |
| Cal's Moving & Storage | 3/20/79 | 40 | 4/19/79 | 40 |
| CDS Delivery Service | 3/21/79 | 55 | 4/20/79 | 55 |
| Certified Moving & Storage | 3/29/79 | 55 | 4/18/79 | 55 |
| Checker Van Lines | 3/13/79 | 44.90 | 4/12/79 | 44.90 |
| Chuck's Moving | 3/19/79 | 36 | 4/18/79 | 36 |
| Clausen Young | 3/30/79 | 36 to 44 | 4/24/79 | 44 |
| Clinton Edge Corp. | 3/29/79 | 34 to 44 | 4/28/79 | 44 |
| Colonial Moving & Storage | 5/14/79 | 34 to 48 | 6/13/79 | 48 |
| Cordt's Warehouse | 4/16/79 | 34 to 45 | 5/10/79 | 45 |
| Cornell Moving & Storage | 2/20/79 | 55 | 3/22/79 | 55 |
| Dave Levinc, Inc. | 5/10/79 | 32 to 52 | 5/10/79 | 52 |
| Don Jacobson Mvg. & Stor. | 5/08/79 | 36 to 45 | 1/07/79 | 45 |
| Dominic C., Inc. | 4/16/79 | 34 to 40 | 5/05/79 | 40 |
| East Bergen Mvg. & Stor. | 3/09/79 | 42 | 4/08/79 | 42 |
| Ed Schmidt Moving | 3/26/79 | 35 | 4/25/79 | 35 |
| Ed Schmidt Moving & Hauling | 6/22/79 | 35 to 41 | 6/22/79 | 35 to 41 |
| Elbecon Express | 5/18/79 | 34 to 42 |  | 35 to 42 |
| Empire Storage | 3/14/79 | 40 | 4/13/79 | 40 |
| Engle Van Lines | 3/12/79 | 55 | 4/11/79 | 55 |
| Executive Moving & Storage | 3/27/79 | 49 | 4/26/79 | 49 |
| Fisher Brothers of N.J. | 3/05/79 | 55 | 4/04/79 | 55 |
| Frank Malatesta Mvg. & Stor. | 5/24/79 | 34 to 44 | 6/23/79 | 34 to 44 |
| Fred Strahl Mvg. & Stor. | 5/29/79 | 25 to 32 | 6/28/79 | 25 to 32 |
| Fry's Moving & Storage | 5/10/79 | 30 to 36 | 6/09/79 | 36 |
| George B. Hollman Co., Inc. | 3/28/79 | 55 | 4/27/79 | 55 |
| George P. O'Grady, Inc. | 3/26/79 | 46 | 4/25/79 | 46 |
| Gibralter Moving & Storage | 4/20/79 | 38 to 44 | 5/20/79 | 44 |
| Gneiding Movers | 3/26/79 | 36 | 4/25/79 | 36 |
| Goodman Warehouse Corp. | 5/18/79 | 42 to 48 | 6/17/79 | 42 to 48 |
| Graehel Eastern Movers, Inc. | 3/01/79 | 63.75 | 3/31/79 | 63.75 |
| Gsell Moving & Storage | 5/07/79 | 34 to 48 | 6/06/79 | 48 |
| Harbld's Moving | 3/28/79 | 44 | 4/27/79 | 44 |
| Harker's Moving | 2/28/79 | 36 | 3/30/79 | 36 |
| Hemming's Moving & Storage | 4/26/79 | 38 to 48.50 | 5/26/79 | 48.50 |
| Ideal Way Movers | 3/06/79 | 40 | 4/05/79 | 40 |
| J. D. Cartor & Son | 3/14/79 | 54 | 4/13/79 | 54 |
| James Arsick Co., Inc. | 4/25/79 | 32 to 68 | 5/25/79 | 36 |
| James W. Ba sch Mvg. & Stor. | 5/07/79 | $ 34 to 40 | 6/06/79 | $ 40 |
| John A. Neder Co. | 3/05/79 | 40 | 4/04/79 | 40 |
| Joy Van Lines | 3/02/79 | 38 | 4/01/79 | 38 |
| Kearney Moving Vans | 4/02/79 | 36 to 48 | 5/02/79 | 48 |
| Kelly Movers, Inc. | 4/09/79 | 32 to 40 |  | 40 |
| Kilpatrick Moving | 3/15/79 | 55 | 4/14/79 | 55 |
| Knecht Moving & Storage | 4/16/79 | 32 to 48 | 5/16/79 | 48 |
| Louis Wilson Moving | 3/23/79 | 43 | 4/22/79 | 43 |
| Lyndhurst Moving Vans | 4/04/79 | 32 to 40 | 5/04/79 | 40 |
| Mahon's Moving & Trucking | 2/23/79 | 40 | 3/25/79 | 40 |
| Main Trucking & Rigging | 3/13/79 | 55 | 4/12/79 | 55 |
| Mark E. Daly & Son, Inc. | 3/13/79 | 40 | 4/12/79 | 40 |
| Marshall's Moving Service | 3/26/79 | 44 | 4/25/79 | 44 |
| Matumas Van Service | 4/23/79 | 36 to 44 | 5/23/79 | 44 |
| McClair Moving Co. | 3/05/79 | 58 | 4/04/79 | 58 |
| McLeod Moving | 3/21/79 | 38 | 4/20/79 | 38 |
| Meilheim's Transfer | 3/20/79 | 48 | 4/19/79 | 48 |
| Menlo Movers | 3/07/79 | 40 | 4/08/79 | 40 |
| Merritt Movers | 3/28/79 | 31 | 4/27/79 | 31 |
| Miller's Moving, Inc. | 3/01/79 | 45 | 3/31/79 | 45 |
| Montaque Moving & Storage | 5/18/79 | 36 to 40 | 6/17/79 | 36 to 40 |
| Montclair-New York Express | 2/14/79 | 32 | 3/16/79 | 32 |
| Morgan-Leach of Chelsea Inc. | 3/26/79 | 54 | 4/25/79 | 54 |
| Mt. Ephiarm's Storage | 4/23/79 | 38 to 48 | 5/23/79 | 48 |
| National Movers | 2/28/79 | 59 | 3/30/79 | 59 |
| National Moving & Warehouse Corp. of N.J. | 3/12/79 | 54.50 | 4/11/79 | 54.50 |
| Nelson-Westerburg of NJ, Inc. | 2/26/79 | 57 | 3/28/79 | 57 |
| North Arlington Van Lines | 4/24/79 | 32 to 40 | 5/24/79 | 40 |
| N. Garden State Mvg. & Stor. | 5/02/79 | 38 to 52 | 6/02/79 | 52 |
| Padula Bros. | 4/23/79 | 56.50 to 60.25 | 5/23/79 | 60.25 |
| Padula Bros. Moving | 2/28/79 | 56.50 | 3/30/79 | 56.50 |
| Palmer's Moving & Storage | 5/07/79 | 32 to 42 | 6/06/79 | 42 |
| Pard's Moving | 2/16/79 | 24 | 3/19/79 | 24 |
| Park Ave. Storage Corp. | 6/01/79 | 38 to 44 | 7/01/79 | 38 to 44 |
| Peer's Moving Co. | 4/17/79 | 34 to 36 | 5/17/79 | 36 |
| Peter A. Thomson Moving | 2/26/79 | 40 | 3/28/79 | 40 |
| Peter Van Loo Co. | 3/16/79 | 44 | 4/15/79 | 44 |
| Pierce Van Lines | 4/25/79 | 58 to 40 | 5/25/79 | 40 |
| Quality Delivery Service | 3/15/79 | 36 | 4/14/79 | 36 |
| Quick, Inc. | 4/04/79 | 42 to 55 | 5/04/79 | 55 |
| Reliable Van | 3/05/79 | 55 | 4/04/79 | 55 |
| Reynold's Moving | 3/07/79 | 40 | 4/08/79 | 40 |
| Richard Cariell Inc. | 4/12/79 | 38 to 54 | 5/12/79 | 54 |
| Richard's Hauling | 4/11/79 | 32 to 36 | 5/11/79 | 36 |
| Ridgefield Park | 3/23/79 | 32 | 4/22/79 | 32 |
| Rinaldi Moving | 3/23/79 | 40 | 3/23/79 | 40 |
| Rutherford Van Lines | 3/23/79 | 40 | 4/12/79 | 40 |
| Safeway Moving & Storage | 5/14/79 | 34 to 38 | 6/13/79 | 38 |
| Sakel Moving & Storage | 5/25/79 | 32 to 38 | 6/24/79 | 32 to 38 |
| Santini Van Company | 3/13/79 | 59 | 4/12/79 | 59 |
| Short Line Movers | 5/25/79 | 32 to 36 | 6/24/79 | 32 to 36 |
| Sinclair Moving & Storage | 3/06/79 | 28 | 4/05/79 | 38 |
| Sisser Bros. | 5/01/79 | 36 to 40 | 5/31/79 | 40 |
| Sopko Moving | 3/05/79 | 37 | 4/04/79 | 37 |
| T. Lombardo Bros. | 2/21/79 | 32 | 3/23/79 | 32 |
| Tea-Bac Corp. | 3/29/79 | 45 | 4/28/79 | 45 |
| Theo Trucking Company | 5/25/79 | 33.50 to 38.50 | 6/24/79 | 33.50 to 38.50 |
| Townsend Bros. Moving | 5/11/79 | 34 to 40 | 6/10/79 | 34 to 40 |
| Tyler Moving | 3/22/79 | 36 | 4/21/79 | 36 |
| Valentine's Inc. | 3/12/79 | 42 | 4/11/79 | 42 |
| W.W.S. International, Inc. | 6/13/79 | 42 to 53.50 | 7/13/79 | 42 to 53.50 |
| Walter Hoffman, Inc. | 3/20/79 | 55 | 4/19/79 | 55 |
| Ware's Van & Stor. Co., Inc. | 5/21/79 | 42 to 63.05 | 6/20/79 | 42 to 63.05 |
| William Verney | 3/23/79 | 44 | 4/22/79 | 44 |
| Wisneski Furniture Delivery | 4/27/79 | 55 | 4/26/79 | 55 |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| NEW YORK STATE DEPARTMENT OF TRANSPORTATION | | | | | | | | | | | | | |
| Bulk Carriers Conference, Inc., Agent | 12/05/79 | 2,349,000 | 10.0 | F, TL, BC | | | 1/07/80 | 1,896,000 | 8.0 | 27,333,000 | 27,128,000 | 205,000 | 99.3 |
| Middle Atlantic Conference, Agent | 3/09/79 | 536,015 | 5.5 | F, TL, LTL, GC | | | 4/16/79 | 389,830 | 4.0 | 55,442,000<br>1/ | 55,209,000<br>1/ | 233,000<br>1/ | 99.6<br>1/ |
| Middle Atlantic Conference, Agent | 5/17/79 | 350,000 | 3.4 | F, TL, LTL, GC | | | 6/18/79 | 350,000 | 3.4 | 57,761,000<br>1/ | 55,737,000<br>1/ | 2,024,000<br>1/ | 96.5<br>1/ |
| Middle Atlantic Conference, Agent | 9/07/79 | 1,834,000 | 2.8 | TL, LTL, GC | | | 10/08/79 | 1,834,000 | 2.8 | 45,415,000 | 45,398,000 | 17,000 | 100.0 |
| N.Y. Motor Carrier Conference, Inc., Agent | 2/23/79 | 3,878,641<br>Upstate<br>1,542,943 | 7.0<br>Upstate<br>5.5 | F, TL, LTL, GC | | | 4/09/79 | 3,878,641<br>Upstate<br>1,542,943 | 7.0<br>Upstate<br>5.5 | 62,029,000<br>2/ | 59,974,000<br>2/ | 2,055,000<br>2/ | 96.7<br>2/ |
| N.Y. Motor Carrier Conference, Inc., Agent | 5/07/79 | Downstate<br>102,500<br>3/ | Downstate<br>3.4 | F, TL, LTL, GC | | | 6/18/79 | Downstate<br>102,500 | Downstate<br>1.4-2.0 | 23,474,000 | 21,930,000 | 1,544,000 | 93.4 |
| Purolator Courier Corp. | 12/26/78 | 86,250 | 4.5 | F, LTL, Parcels | | | 5/08/79 | (Denied) | | | | | |
| United Parcel Service, Inc. | 6/11/79 | 736,700 | 1.8 | F, GC, LTL, Parcels | | | 7/16/79 | 736,700 | 1.8 | 32,783,000 | 28,493,000 | 4,290,000 | 102.1 |
| United Parcel Service, Inc. | 9/28/79 | 2,119,000 | 5.7 | F, GC, LTL, Parcels | | | 11/01/79 | 1,4[illegible]5,000 | 3.7 | 65,323,000 | 69,147,000 | (3,824,000) | 105.9 |
| 1/ Systemwide. | | | | | | | | | | | | | |
| 2/ Combined--Upstate and Downstate. | | | | | | | | | | | | | |
| 3/ Ten percent of total Systemwide. | | | | | | | | | | | | | |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | |
| Bryant's Trailer Convey | 5/21/79 | 15,000 | 36 | Mobile Homes | | | 6/25/79 | 15,000 | 36 | | | | |
| C. H. Eure Trucking Co. | 4/18/79 | 2,000 | 16.5 | GC | | | 6/04/79 | 2,000 | 16.5 | 15,460 | 14,532 | 928 | 94.0 |
| Central Transport Resins | 2/26/79 | 42,000 | 29 | BC | | | 6/27/79 | 42,000 | 29 | | | | |
| Cooper's Mobile Home Service | 3/20/79 | 32,000 | 37 | Mobile Homes | | | 4/79 | 32,000 | 37 | 118,043 | 87,234 | 30,809 | 73.9 1/ |
| Harper Trucking Co. | 5/17/79 | 94,000 | 15 | GC | | | 7/10/79 | 94,000 | 15 | 723,841 | 824,088 | (100,247) | 113.8 |
| Howell's Motor Freight | 12/18/78 | 3,000 | 7 | GC | | | 1/15/79 | 3,000 | 7 | | | | |
| Media Express | 7/05/79 | 10,000 | 7 | GC | | | 7/31/79 | 10,000 | 7 | | | | |
| Mid-State Delivery Service | 7/11/79 | 92,000 | 14 | GC | | | 8/27/79 | 92,000 | 14 | | | | |
| Morgan Drive Away | 5/10/79 | 29,000 | 21 | Mobile Homes | | | 9/18/79 | 29,000 | 21 | | | | |
| NCMCA | 10/26/78 | 121,000 | 8.5 | BC | | | 1/22/79 | 121,000 | 8.5 | 1,525,791 | 1,445,040 | 80,751 | 94.7 |
| NCMCA | 2/12/79 | 42,000 | 17.5 | BC | | | 5/15/79 | 42,000 | 17.5 | 276,906 | 266,193 | 10,713 | 96.1 |
| NCMCA | 3/02/79 | 169,000 | 18 | HG | | | 8/09/79 | 169,000 | 18 | | | | |
| NCMCA | 3/07/79 | 142,000 | 7 | BC, Cement | | | 6/01/79 | 142,000 | 7 | 2,145,690 | 2,022,583 | 123,107 | 94.3 |
| NCMCA | 4/20/79 | 392,000 | 5-15 | BC | | | 6/25/79 | 392,000 | 5-15 | 4,397,576 | 4,103,869 | 293,707 | 93.3 |
| NCMCA | 5/02/79 | 382,500 | 7 | BC | | | 7/16/79 | 382,500 | 7 | 5,939,730 | 5,519,360 | 420,370 | 92.9 |
| NCMCA | 6/01/79 | 106,000 | 7 | Tobacco | | | 7/09/79 | 106,000 | 7 | 1,620,098 | 1,909,209 | (289,111) | 117.8 |
| NCMCA | 6/07/79 | 319,400 | 5-12 | BC | | | 7/22/79 | 314,600 | 5.67 | | | | |
| NCMCA | 8/09/79 | 2,000 | | Mobile Homes | | | 11/15/79 | 2,000 | | | | | |
| NCMCA | 10/26/79 | 257,000 | 12 | BC | | | Pending | | | | | | |
| NCMCA, SMCRC | 12/31/79 | | 20 | GC | | | Pending | | | | | | |
| NCMCA, SMCRC | 11/01/78 | 5,492,000 | 9.4-20 | GC | | | 6/22/79 | 5,492,000 | 9.4-20 | | | | |
| Observer Transportation | 7/05/79 | 40,000 | 15 | GC | | | 9/05/79 | 40,000 | 15 | | | | |
| Rick's Trailer Park | 8/01/79 | 5,000 | 33 | Mobile Homes | | | 12/79 | 5,000 | 33 | | | | |
| Riverside Transportation Co. | 11/10/78 | 2,400 | 3.2 | GC | | | 1/11/79 | 2,400 | 3.2 | | | | |
| UPS | 3/29/79 | 1,025,000 | 7.7 | Parcels | | | 5/01/79 | 1,025,000 | 7.7 | | | | |
| UPS | 6/19/79 | 267,000 | 1.9 | Parcels | | | 7/09/79 | 267,000 | 1.9 | 14,592,442 | 13,895,223 | 697,219 | 95.2 |
| UPS | 10/79 | 556,000 | 3.8 | Parcels | | | 11/21/79 | 556,000 | 3.8 | | | | |
| 1/ Does not include adjustments for needed capital improvements (equipment needs). | | | | | | | | | | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Carl J. Austad & Son | 3/21/79 | 7,700 | 7 | TL, BC | | | 6/22/79 | 7,700 | 7 | | | | |
| Dan Dugan Transport Co. | 3/05/79 | 15,000 | 5.6-8.3 | TL, BC | | | 4/02/79 | 15,000 | 5.6-8.3 | | | | |
| Dan Dugan Transport Co. | 6/01/79 | 225,000 | 9 | TL, BC | | | Rates voluntarily suspended: proceeding terminated. | | | | | | |
| Dan Dugan Transport Co. | 10/03/79 | 377,000 | 1/ | TL, BC | | | 11/12/79 | 377,000 | 1/ | | | | |
| Dan Dugan Transport Co. | 10/27/78 | 255,000 | 9 | TL, BC | | | 2/01/79 | 255,000 | 9 | 2,833,333 | 2,680,533 | 153,000 | 94.6 |
| Gerhard Pettes | 6/29/79 | 15,000 | 7-14 | TL, LTL, GC, HG, Electronic Equipment | | | 7/30/79 | 15,000 | 7-14 | | | | |
| Getter Trucking, Inc. | 6/11/79 | 208,000 | 7 | TL, LTL, BC, Oil Field Commodities | | | 7/11/79 | 208,000 | 7 | | | | |
| Hornoi Transport, Inc. | 2/09/79 | 17,000 | 9 | BC, Petroleum & Petroleum Products | | | 3/11/79 | 17,000 | 9 | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| Hornoi Transport, Inc. | 10/03/79 | 26,000 | 1/ | TL, BC, Petroleum Products | | | 11/04/79 | 26,000 | 1/ | | | | |
| Indianhead Truck Line | 11/17/78 | 52,888 | 9 | TL, BC | | | 2/01/79 | 52,888 | 9 | 587,644 | 544,804 | | 92.7 |
| Indianhead Truck Line | 10/03/79 | 63,000 | 1/ | TL, BC, Petroleum Products | | | 11/15/79 | 63,000 | 1/ | | | | |
| Low Boy Service, Inc. | 5/21/79 | 9,500 | 7 | Heavy Machinery | | | 6/21/79 | 9,500 | 7 | | | | |
| Matador Service, Inc. | 4/04/79 | 565,000 | 7 | BC, Oil Field Commodities & Crude Oil | | | 5/07/79 | 565,000 | 7 | | | | |
| Motor Carriers Party to N.D. Motor Carriers Assn. Tariff | 8/21/79 | 96,000 | 12 | HG | | | 9/21/79 | 56,000 | 7 | | | | |
| Northern Tank Line | 6/01/79 | 143,000 | 9 | TL, BC, Petroleum Products | | | Rates voluntarily suspended; proceeding terminated. | | | | | | |
| Northern Tank Line | 10/03/79 | 176,000 | 1/ | TL, BC Petroleum Products | | | 11/04/79 | 176,000 | 1/ | | | | |
| Power Fuels, Inc. | 5/05/79 | 73,000 | 7 | TL, Petroleum Crude Oil, Natural water, Salt water | | | 5/15/79 | 73,000 | 7 | | | | |
| Power Fuels, Inc. | 5/24/79 | 180,000 | 9-18 | TL, Petroleum Crude Oil, Natural water, Salt Water | | | Increase denied | | | | | | |
| Transport, Inc. | 11/06/78 | 63,000 | 9 | TL, BC | | | 2/01/79 | 63,000 | 9 | 700,000 | 696,500 | 3,500 | 99.5 |
| Transport, Inc. | 10/03/79 | 73,000 | 1/ | TL, BC, Petroleum Products | | | 11/14/79 | 73,000 | 1/ | | | | |
| United Parcel Service | 3/19/79 | 125,000 | 9 | Parcels | | | 5/01/79 | 125,000 | 9 | | | | |
| United Parcel Service | 6/13/79 | 20,000 | 1.5 | Parcels | | | 7/11/79 | 20,000 | 1.5 | | | | |
| United Parcel Service | 10/01/79 | 83,000 | 5.6 | Parcels | | | 11/01/79 | 83,000 | 5.6 | | | | |
| Universal Transport, Inc. | 4/09/79 | 6,000 | 7-20 | TL, BC | | | 5/10/79 | 3,000 | 7 | | | | |
| Universal Transport, Inc | 12/10/79 | 17,000 | 12 | TL, BC, GC, Livestock, Petroleum Products | | | 1/15/80 | 17,000 | 12 | | | | |
| 1/ Refined petroleum products-9%, asphalt-9%, liquid fertilizer-9%, crude oil-19%, liquefied petroleum gas-22 to 29%. | | | | | | | | | | | | | |
| OHIO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Ohio Carriers Tariff Service | 2/13/79 | | 9 | GC | | | 6/25/79 | | 9 | | | | |
| Ohio Carriers Tariff Service | 12/07/79 | | 2 | GC | | | 1/06/80 | | 2 | | | | |
| Ohio Motor Freight Tariff Committee | 8/30/79 | | 9 | GC | | | 10/01/79 | | 9 | | | | |
| ** | | | | | | | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | |
| AAA Lite Hauling | 4/26/79 | 14,300 | | GC | | | 5/16/79 | 14,300 | | | | | |
| A.A.A. Transport, Inc. | 6/26/79 | 35,000 | | GC | | | 7/19/79 | 35,000 | | | | | |
| A.B. Pollock Transfer & Storage | 5/19/79 | 4,949 | | GC | | | 6/15/79 | 4,949 | | | | | |
| A. D. George | 4/03/79 | 480 | | GC | | | 5/03/79 | 480 | | | | | |
| A. Duie Pyle, Inc. | 2/05/79 | 2,543 | | GC | | | 3/01/79 | 2,543 | | | | | |
| A. Duie Pyle, Inc. | 4/01/79 | 231,651 | | GC | | | 4/25/79 | 231,651 | | | | | |
| A. Marianni's Sons, Inc. | 11/23/79 | 1,737 | | GC | | | 12/21/79 | 1,737 | | | | | |
| Ace Motor Freight | 4/11/79 | 7,500 | | GC | | | 5/10/79 | 7,500 | | | | | |
| Ace Motor Freight | 4/11/79 | 3,000 | | GC | | | 5/03/79 | 3,000 | | | | | |
| Ace Motor Freight | 6/13/79 | 11,200 | | GC | | | 7/05/79 | 11,200 | | | | | |
| All State Van Lines, Inc | 4/06/79 | 10,202 | | GC | | | 4/25/79 | 10,202 | | | | | |
| Allegheny Plant Service, Inc. | 9/13/79 | 242 | | GC | | | 10/04/79 | 242 | | | | | |
| Alvin D. Frey, Inc. | 7/20/79 | 89,976 | | GC | | | 8/09/79 | 89,976 | | | | | |
| Alvin D. Frey, Inc. | 11/29/79 | 122,676 | | GC | | | 12/21/79 | 122,676 | | | | | |
| Alzeffino Transfer & Storage, Inc. | 3/05/79 | 19,361 | | GC | | | 3/29/79 | 19,361 | | | | | |
| Alzeffino Transfer & Storage, Inc. | 3/29/79 | 158,873 | | GC | | | 4/25/79 | 158,873 | | | | | |
| American Sweetners, Inc. | 10/30/79 | 7,370 | | GC | | | 11/29/79 | 7,370 | | | | | |
| Anthony J. Picone | 12/19/78 | 13,419 | | GC | | | 1/18/79 | 13,419 | | | | | |
| Anthony J. Picone | 1/01/79 | 8,134 | | GC | | | 2/01/79 | 8,134 | | | | | |
| Anthony J. Picone | 1/29/79 | 1,049 | | GC | | | 2/22/79 | 1,049 | | | | | |
| Anthony J. Picone | 9/25/79 | 143,860 | | GC | | | 10/18/79 | 143,860 | | | | | |
| Anthony J. Picone | 10/25/79 | 1,031 | | GC | | | 11/01/79 | 1,031 | | | | | |
| Anthony J. Picone | 10/30/79 | 6,546 | | GC | | | 11/29/79 | 6,546 | | | | | |

** For Oklahoma rate cases see end of Motor Carrier Rate Cases.

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic F=Freight TL=Truck Load LTL=Less than Truck Load BC=Bulk Commodities GC=General Commodities HG=Household Goods Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Anthony J. Picone | 12/12/79 | 7,265 | | GC | | | 12/28/79 | 7,265 | | | | | |
| Anthony Pettolina & Sons, Inc. | 4/30/79 | 35,770 | | GC | | | 5/24/79 | 35,770 | | | | | |
| Apex Equipment Co. | 8/01/79 | 120,000 | | GC | | | 8/30/79 | 120,000 | | | | | |
| Arco Auto Carriers, Inc. | 2/05/79 | 5,273 | | GC | | | 3/08/79 | 5,273 | | | | | |
| Arway, Inc. | 4/06/79 | 6,683 | | GC | | | 5/03/79 | 6,683 | | | | | |
| Arway, Inc. | 5/31/79 | 5,468 | | GC | | | 6/21/79 | 5,468 | | | | | |
| Auto Express, Inc. | 3/27/79 | 44,768 | | GC | | | 4/19/79 | 44,768 | | | | | |
| B and D Leasing, Inc. | 2/07/79 | 500 | | GC | | | 3/08/79 | 500 | | | | | |
| B and D Leasing, Inc. | 11/27/79 | 2,600 | | GC | | | 12/21/79 | 2,600 | | | | | |
| B and P Motor Express, Inc. | 3/02/79 | 144,998 | | GC | | | 3/29/79 | 144,998 | | | | | |
| B and T Trucking | 11/15/79 | 40,000 | | GC | | | 12/07/79 | 40,000 | | | | | |
| B. G. Morrissey, Inc. | 3/30/79 | 9,444 | | GC | | | 4/25/79 | 9,400 | | | | | |
| B. G. Morrissey, Inc. | 6/19/79 | 20,176 | | GC | | | 7/12/79 | 20,176 | | | | | |
| B. H. Stumpf Co. | 4/16/79 | 10,880 | | GC | | | 5/10/79 | 10,880 | | | | | |
| B. R. Scholl & Sons, Inc. | 8/18/79 | 3,475 | | GC | | | 8/30/79 | 3,475 | | | | | |
| B. T. Geiman & Son | 3/20/79 | 304 | | GC | | | 4/11/79 | 304 | | | | | |
| Balistreri Trucking, Inc. | 8/09/79 | 38,297 | | GC | | | 8/30/79 | 38,297 | | | | | |
| Bearoff Brothers, Inc. | 3/03/79 | 900 | | GC | | | 3/21/79 | 900 | | | | | |
| Bearoff Brothers, Inc. | 7/07/79 | 2,229 | | GC | | | 8/02/79 | 2,229 | | | | | |
| Beaver Country Trucking, Inc. | 4/27/79 | 132,164 | | GC | | | 5/24/79 | 132,164 | | | | | |
| Beck Express, Inc. | 10/20/79 | 37,734 | | GC | | | 11/08/79 | 37,734 | | | | | |
| Becker Brothers Trucking, Inc. | 3/30/79 | 7,935 | | GC | | | 4/25/79 | 7,935 | | | | | |
| Becker Brothers Trucking, Inc. | 6/19/79 | 16,952 | | GC | | | 7/12/79 | 16,952 | | | | | |
| Becker Co., Inc. | 3/30/79 | 22,000 | | GC | | | 4/25/79 | 22,000 | | | | | |
| Bell Storage & Warehouse | 4/12/79 | 13,721 | | GC | | | 5/10/79 | 13,721 | | | | | |
| Bell Storage & Warehouse | 5/18/79 | 18,711 | | GC | | | 6/07/79 | 18,711 | | | | | |
| Bell's Trucking, Inc. | 12/10/78 | 21,966 | | GC | | | 1/04/79 | 21,966 | | | | | |
| Ben Kessler, Inc. | 5/12/79 | 4,500 | | GC | | | 6/07/79 | 4,500 | | | | | |
| Ben Kessler, Inc. | 12/08/79 | 8,280 | | GC | | | 12/28/79 | 8,280 | | | | | |
| Beverage Transportation, Inc. | 4/18/79 | 6,119 | | GC | | | 5/10/79 | 6,119 | | | | | |
| Beverage Transportation, Inc | 11/09/79 | 16,764 | | GC | | | 11/29/79 | 16,764 | | | | | |
| Bickley's Auto Express, Inc. | 7/30/79 | 30,7[illegible] | | GC | | | 8/27/79 | 30,700 | | | | | |
| Bickley's Auto Express, Inc. | 9/12/79 | 9,265 | | GC | | | 10/04/79 | 9,265 | | | | | |
| Bill's Trucking, Inc. | 7/05/79 | 42,649 | | GC | | | 8/09/79 | 42,649 | | | | | |
| Birk Transfer, Inc. | 7/12/79 | 31,000 | | GC | | | 8/02/79 | 31,000 | | | | | |
| Bisett Trucking Co. | 3/30/79 | 4,252 | | GC | | | 4/19/79 | 4,252 | | | | | |
| Blairsville Transport, Inc. | 8/22/79 | 12,585 | | GC | | | 9/13/79 | 12,585 | | | | | |
| Blue Diamond Co. | 3/21/79 | 14,366 | | GC | | | 4/19/79 | 14,366 | | | | | |
| Blue Diamond Co. | 5/01/79 | 33,481 | | GC | | | 5/24/79 | 33,481 | | | | | |
| Blue Line Transfer Co., Inc. | 2/28/79 | 4,950 | | GC | | | 3/21/79 | 4,950 | | | | | |
| Bob Bradley & Sons | 4/24/79 | 4,027 | | GC | | | 5/16/79 | 4,027 | | | | | |
| Bob Head, Inc. | 4/30/79 | 63,377 | | GC | | | 5/24/79 | 63,377 | | | | | |
| Bob Young Trucking, Inc. | 7/20/79 | 12,000 | | GC | | | 8/16/79 | 12,000 | | | | | |
| Bob's Local Express, Inc. | 6/23/79 | 6,793 | | GC | | | 7/19/79 | 6,793 | | | | | |
| Breman's Express Co. | 2/03/79 | 23,192 | | GC | | | 3/08/79 | 23,192 | | | | | |
| Breman's Express Co. | 2/17/79 | 27,737 | | GC | | | 3/08/79 | 27,737 | | | | | |
| Breman's Express Co. | 2/24/79 | 165,505 | | GC | | | 3/14/79 | 165,505 | | | | | |
| Breman's Express Co. | 3/03/79 | 47,351 | | GC | | | 3/29/79 | 47,351 | | | | | |
| Breman's Express Co. | 5/19/79 | 252,261 | | GC | | | 6/15/79 | 252,261 | | | | | |
| Breman's Express Co. | 7/21/79 | 43,427 | | GC | | | 8/16/79 | 43,427 | | | | | |
| Breman's Express Co. | 12/12/79 | 83,232 | | GC | | | 12/28/79 | 83,232 | | | | | |
| Bridgeville-Avella Express | 3/12/79 | 2,011 | | GC | | | 4/05/79 | 2,011 | | | | | |
| Bridgeville-Avella Express | 6/12/79 | 2,933 | | GC | | | 7/05/79 | 2,933 | | | | | |
| Bridgeville-Avella Express | 12/12/79 | 560 | | GC | | | 12/28/79 | 560 | | | | | |
| Bristol Consolidators, Inc. | 2/16/79 | 6,689 | | GC | | | 3/14/79 | 6,689 | | | | | |
| Bristol Consolidators, Inc. | 7/13/79 | 20,127 | | GC | | | 8/02/79 | 20,127 | | | | | |
| Bristol Consolidators, Inc. | 11/12/79 | 27,954 | | GC | | | 12/07/79 | 27,954 | | | | | |
| Brocious Trucking, Inc. | 4/06/79 | 61,302 | | GC | | | 5/03/79 | 61,302 | | | | | |
| Brooks Trucking, Inc. | 7/05/79 | 8,250 | | GC | | | 7/26/79 | 8,250 | | | | | |
| Brown Bros. Bulk Transport, Inc. | 3/16/79 | 100,447 | | GC | | | 4/11/79 | 100,447 | | | | | |
| Burkett & Dimpfl, Inc. | 3/09/79 | 9,774 | | GC | | | 4/05/79 | 9,774 | | | | | |
| Burkett & Dimpfl, Inc. | 7/24/79 | 5,953 | | GC | | | 8/16/79 | 5,953 | | | | | |
| Burrell Trucking, Inc. | 8/26/79 | 186,253 | | GC | | | 7/19/79 | 186,253 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic F=Freight TL=Truck Load LTL=Less than Truck Load BC=Bulk Commodities GC=General Commodities HG=Household Goods Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Butler Refrigerated Delivery, Inc. | 8/09/79 | 2,984 | | GC | | | 8/30/79 | 2,984 | | | | | |
| Butler Trucking Co. | 1/30/79 | 36,021 | | GC | | | 2/15/79 | 28,882 | | | | | |
| Butler Trucking Co. | 2/17/79 | 34,130 | | GC | | | 3/21/79 | 34,130 | | | | | |
| Butler Trucking Co. | 8/06/79 | 25,292 | | GC | | | 8/30/79 | 25,292 | | | | | |
| C. Summers, Inc. | 2/16/79 | 5,000 | | GC | | | 4/05/79 | 5,000 | | | | | |
| C. Summers, Inc. | 11/05/79 | 14,950 | | GC | | | 11/29/79 | 14,950 | | | | | |
| C. D. Ambrosia Trucking Co. | 7/03/79 | 73,436 | | GC | | | 7/26/79 | 73,436 | | | | | |
| C. L. Feather, Inc. | 7/24/79 | 24,101 | | GC | | | 8/16/79 | 24,101 | | | | | |
| C. W. Bierkamp & Son, Inc. | 4/04/79 | 7,596 | | GC | | | 5/03/79 | 7,596 | | | | | |
| Callahan Transportation | 2/26/79 | 1,456 | | GC | | | 3/21/79 | 1,456 | | | | | |
| Callahan Transportation | 7/05/79 | 956 | | GC | | | 8/02/79 | 956 | | | | | |
| Cannon Trucking Co. | 3/29/79 | 79,540 | | GC | | | 4/25/79 | 79,540 | | | | | |
| Cannon Trucking Co. | 4/25/79 | 850 | | GC | | | 5/16/79 | 850 | | | | | |
| Capp Express, Inc. | 2/24/79 | 44,011 | | GC | | | 3/21/79 | 44,011 | | | | | |
| Capp Express, Inc. | 3/12/ | 34,974 | | GC | | | 4/05/79 | 34,974 | | | | | |
| Capp Express, Inc. | 4/05/79 | 5,868 | | GC | | | 4/25/79 | 5,868 | | | | | |
| Capp Express, Inc. | 5/19/79 | 32,008 | | GC | | | 6/15/79 | 32,008 | | | | | |
| Capp Express, Inc. | 7/10/79 | 35,561 | | GC | | | 8/09/79 | 35,561 | | | | | |
| Capp Express, Inc. | 12/12/79 | 44,947 | | GC | | | 12/28/79 | 44,947 | | | | | |
| Capp Express, Inc. | 12/29/78 | 7,683 | | GC | | | 2/01/79 | 7,683 | | | | | |
| Carl R. Capparella | 9/11/79 | 9,966 | | GC | | | 10/04/79 | 9,966 | | | | | |
| Carnegie & Greentree Express | 3/10/79 | 3,683 | | GC | | | 4/05/79 | 3,683 | | | | | |
| Charles J. Merlo, Inc. | 4/16/79 | 209,140 | | GC | | | 5/10/79 | 209,140 | | | | | |
| Charles M. Shirk, Inc. | 2/27/79 | 7,779 | | GC | | | 3/21/79 | 7,779 | | | | | |
| Charles M. Shirk, Inc. | 3/13/79 | 20,420 | | GC | | | 4/05/79 | 20,420 | | | | | |
| Charles W. Karpev, Inc. | 12/04/79 | 33,375 | | GC | | | 12/28/79 | 33,375 | | | | | |
| Charles W. Karpev, Inc. | 12/31/78 | 22,489 | | GC | | | 1/18/79 | 22,489 | | | | | |
| Chester Carriers, Inc. | 4/12/79 | 67,000 | | GC | | | 5/10/79 | 67,000 | | | | | |
| Chester Durst Moving Co. | 7/31/79 | 23,480 | | GC | | | 8/27/79 | 23,480 | | | | | |
| City Transfer, Inc. | 6/11/79 | 11,690 | | GC | | | 7/05/79 | 11,690 | | | | | |
| City Transport Co. | 10/13/79 | 17,139 | | GC | | | 11/01/79 | 17,139 | | | | | |
| Clark Transfer, Inc. | 4/13/79 | 1,280 | | GC | | | 5/03/79 | 1,280 | | | | | |
| Clay Transport, Inc. | 2/24/79 | 7,563 | | GC | | | 3/21/79 | 7,563 | | | | | |
| Clay Transport, Inc. | 10/30/79 | 2,644 | | GC | | | 11/29/79 | 2,644 | | | | | |
| Clay Trucking, Inc. | 2/27/79 | 5,739 | | GC | | | 3/21/79 | 5,739 | | | | | |
| Cletus E. Mummert, Inc. | 6/15/79 | 45,418 | | GC | | | 7/12/79 | 45,418 | | | | | |
| Coldway Carriers, Inc. | 5/25/79 | 3,376 | | GC | | | 6/21/79 | 3,376 | | | | | |
| Conley Motor Express, Inc. | 8/14/79 | 1,936 | | GC | | | 9/06/79 | 1,936 | | | | | |
| Container Transport, Inc. | 4/20/79 | 7,989 | | GC | | | 5/16/79 | 7,989 | | | | | |
| Container Transport, Inc. | 5/18/79 | 5,210 | | GC | | | 6/15/79 | 5,210 | | | | | |
| Container Transport, Inc. | 12/03/79 | 3,827 | | GC | | | 12/28/79 | 3,827 | | | | | |
| Contract Services, Inc. | 6/05/79 | 8,649 | | GC | | | 6/28/79 | 8,649 | | | | | |
| Contract Services, Inc. | 12/11/79 | 650 | | GC | | | 12/28/79 | 650 | | | | | |
| Cook Transport | 3/29/79 | 5,134 | | GC | | | 4/25/79 | 5,134 | | | | | |
| Cook Transport | 4/30/79 | 4,250 | | GC | | | 5/24/79 | 4,250 | | | | | |
| Creger Freight Lines, Inc. | 2/10/79 | 16,336 | | GC | | | 5/16/79 | 16,336 | | | | | |
| Creger Freight Lines, Inc. | 2/10/79 | 1,860 | | GC | | | 3/01/79 | 1,860 | | | | | |
| Creger Freight Lines, Inc | 5/31/79 | 14,476 | | GC | | | 6/28/79 | 14,476 | | | | | |
| Creger Freight Lines, Inc. | 10/23/79 | 15,893 | | GC | | | 11/15/79 | 15,893 | | | | | |
| Cresco Lines, Inc. | 1/23/79 | 4,000 | | GC | | | 2/22/79 | 4,000 | | | | | |
| Cresco Lines, Inc. | 6/12/79 | 6,250 | | GC | | | 7/26/79 | 6,250 | | | | | |
| Cressona Trucking Co. | 1/14/78 | 4,568 | | GC | | | 2/15/79 | 4,568 | | | | | |
| Cressona Trucking Co. | 4/17/79 | 93,076 | | GC | | | 5/10/79 | 93,076 | | | | | |
| Crider Truck Leasing, Inc. | 5/01/79 | 39,028 | | GC | | | 5/24/79 | 39,028 | | | | | |
| Crimbly Trucking Service, Inc. | 6/09/79 | 56,787 | | GC | | | 7/05/79 | 56,787 | | | | | |
| Crimbly Trucking Service, Inc. | 12/14/79 | 107,402 | | GC | | | 12/28/79 | 107,402 | | | | | |
| Crouthamel, Inc. | 11/30/79 | 11,310 | | GC | | | 12/21/79 | 11,310 | | | | | |
| D. F. Best, Inc. | 2/26/79 | 15,067 | | GC | | | 3/21/79 | 15,067 | | | | | |
| D. F. Best, Inc. | 4/16/79 | 108,641 | | GC | | | 5/16/79 | 108,641 | | | | | |
| D & L Delivery Service, Inc. | 4/24/79 | 2,467 | | GC | | | 5/16/79 | 2,467 | | | | | |
| D & L Delivery Service, Inc. | 9/24/79 | 2,062 | | GC | | | 10/18/79 | 2,062 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic F=Freight TL=Truck Load LTL=Less than Truck Load BC=Bulk Commodities GC=General Commodities HG=Household Goods Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| D. P. Almeida & Sons, Inc. | 7/26/79 | 3,952 | | GC | | | 8/16/79 | 3,952 | | | | | |
| Daily Express, Inc. | 3/05/79 | 130,957 | | GC | | | 4/05/79 | 130,957 | | | | | |
| Daily Express, Inc. | 6/08/79 | 134,885 | | GC | | | 7/05/79 | 134,885 | | | | | |
| Daily Express, Inc. | 6/26/79 | 6,000 | | GC | | | 7/19/79 | 6,000 | | | | | |
| Daily Moving & Storage | 6/19/79 | 6,823 | | GC | | | 7/13/79 | 6,823 | | | | | |
| Dale A. Riley Express | 7/12/79 | 907 | | GC | | | 8/02/79 | 907 | | | | | |
| Dan Gaich Trucking, Inc. | 2/24/79 | 68,894 | | GC | | | 3/21/79 | 68,894 | | | | | |
| Dan Gaich Trucking, Inc. | 5/19/79 | 89,884 | | GC | | | 6/15/79 | 89,884 | | | | | |
| Dan Gaich Trucking, Inc. | 12/12/79 | 15,445 | | GC | | | 12/28/79 | 15,445 | | | | | |
| Dan Gaich Trucking, Inc. | 12/18/79 | 47,536 | | GC | | | 1/04/80 | 47,536 | | | | | |
| Danella Bros., Inc. | 1/12/79 | 975 | | GC | | | 2/08/79 | 975 | | | | | |
| Danella Bros., Inc. | 4/21/79 | 20,000 | | GC | | | 5/16/79 | 20,000 | | | | | |
| Danella Bros., Inc. | 6/16/79 | 25,200 | | GC | | | 7/12/79 | 25,200 | | | | | |
| Danella Bros., Inc. | 12/26/78 | 1,140 | | GC | | | 2/01/79 | 1,140 | | | | | |
| Daniel's Transfer Co. | 3/02/79 | 800 | | GC | | | 3/29/79 | 800 | | | | | |
| Daniel's Transfer Co. | 3/13/79 | 16,016 | | GC | | | 4/05/79 | 16,016 | | | | | |
| Daniel's Transfer Co. | 4/06/79 | 11,440 | | GC | | | 5/03/79 | 11,440 | | | | | |
| Dante F. Volpe | 8/11/79 | 540 | | GC | | | 8/30/79 | 540 | | | | | |
| David Graham Co. | 4/09/79 | 21,343 | | GC | | | 5/10/79 | 21,343 | | | | | |
| David Graham Co. | 7/20/79 | 16,140 | | GC | | | 8/16/79 | 16,140 | | | | | |
| David Tesone Trucking, Inc. | 8/10/79 | 456,232 | | GC | | | 9/06/79 | 456,232 | | | | | |
| David W. Hassler, Inc. | 4/27/79 | 5,726 | | GC | | | 5/24/79 | 5,726 | | | | | |
| Davidson Brothers | 1/26/79 | 319,827 | | GC | | | 2/15/79 | 170,575 | | | | | |
| DeBolt Transfer, Inc. | 3/03/79 | 151,338 | | GC | | | 3/29/79 | 151,338 | | | | | |
| DeBolt Transfer, Inc. | 8/13/79 | 75,128 | | GC | | | 9/06/79 | 75,128 | | | | | |
| DeFazio Express, Inc. | 4/05/79 | 39,415 | | GC | | | 4/25/79 | 39,415 | | | | | |
| Dennis Trucking Co. | 5/07/79 | 41,677 | | GC | | | 5/31/79 | 41,677 | | | | | |
| Dennis Trucking Co. | 6/13/79 | 46,723 | | GC | | | 7/12/79 | 46,723 | | | | | |
| Dennis Trucking Co. | 11/09/79 | 1,104 | | GC | | | 12/07/79 | 1,104 | | | | | |
| DePen Line, Inc. | 8/25/79 | 250 | | GC | | | 9/13/79 | 250 | | | | | |
| Dependable Delivery Service, Inc. | 5/04/79 | 4,785 | | GC | | | 5/31/79 | 4,785 | | | | | |
| Dietz Trucking, Inc. | 6/04/79 | 61,767 | | GC | | | 6/28/79 | 61,767 | | | | | |
| Domenic Christinzio, Inc. | 5/07/79 | 62,019 | | GC | | | 5/31/79 | 62,019 | | | | | |
| Domenic Christinzio, Inc. | 9/14/79 | 1,984 | | GC | | | 10/04/79 | 1,984 | | | | | |
| Don Martin Trucking Co. | 5/21/79 | 114,165 | | GC | | | 6/15/79 | 114,165 | | | | | |
| Don Martin Trucking Co. | 2/11/78 | 125,000 | | GC | | | 1/04/79 | 125,000 | | | | | |
| Downingtown Trailer Carriers, Inc. | 5/22/79 | 14,474 | | GC | | | 6/15/79 | 14,474 | | | | | |
| Downingtown Trailer Carriers, Inc. | 12/11/79 | 19,756 | | GC | | | 12/28/79 | 19,756 | | | | | |
| Downs Brothers Hauling, Inc. | 5/01/79 | 9,210 | | GC | | | 5/24/79 | 9,210 | | | | | |
| Downs Brothers Hauling, Inc. | 6/19/79 | 19,677 | | GC | | | 7/12/79 | 19,677 | | | | | |
| Duble & Kriebel | | 4,329 | | GC | | | 5/24/79 | 4,329 | | | | | |
| Dunlo Transfer Co. | 5/21/79 | 35,508 | | GC | | | 6/07/79 | 35,508 | | | | | |
| Dunmyre Motor Express, Inc. | 5/12/79 | 2,515 | | GC | | | 6/07/79 | 2,515 | | | | | |
| Duquesne Trucking Service | 3/26/79 | 2,813 | | GC | | | 4/[illegible]/79 | 2,813 | | | | | |
| Duquesne Trucking Service | 4/30/79 | 2,994 | | GC | | | [illegible]/24/79 | 2,994 | | | | | |
| Duquesne Trucking Service | 7/17/79 | 392 | | GC | | | 8/09/79 | 392 | | | | | |
| Duquesne Trucking Service | 8/21/79 | 476 | | GC | | | 9/13/79 | 476 | | | | | |
| E. D. Fee Transfer, Inc. | 5/17/79 | 6,385 | | GC | | | 6/07/79 | 6,385 | | | | | |
| E. F. Smith, Inc. | 12/15/78 | 25,037 | | GC | | | 1/18/79 | 25,037 | | | | | |
| E. M. Nee Transfer and Storage | 6/09/79 | 5,261 | | GC | | | 7/05/79 | 5,261 | | | | | |
| E. P. & P. Trucking | 5/14/79 | 3,100 | | GC | | | 5/31/79 | 3,100 | | | | | |
| Eaborn Truck Service, Inc. | 3/05/79 | 11,085 | | GC | | | 3/29/79 | 11,085 | | | | | |
| Eaborn Truck Service, Inc. | 3/05/79 | 2,664 | | GC | | | 3/29/79 | 2,664 | | | | | |
| Eaborn Truck Service, Inc. | 7/20/79 | 17,688 | | GC | | | 8/09/79 | 17,688 | | | | | |
| Eaborn Truck Service, Inc. | 10/09/79 | 232 | | GC | | | 11/01/79 | 232 | | | | | |
| Earl S. Savage | 2/27/79 | 4,947 | | GC | | | 3/21/79 | 4,947 | | | | | |
| Eastern Colsolidation & Distribution Services, Inc. | 7/13/79 | 4,877 | | GC | | | 8/09/79 | 4,877 | | | | | |
| Easton Storage | 9/18/79 | 3,478 | | GC | | | 10/11/79 | 3,478 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic F=Freight TL= Truck Load LTL=Less than Truck Load BC=Bulk Commodities GC=General Commodities HG=Household Goods Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Ed Chatterton Trucking | 8/17/79 | 46,240 | | GC | | | 9/06/79 | 46,240 | | | | | |
| Ed Transport Co. | 5/21/79 | 7,027 | | GC | | | 6/15/79 | 7,027 | | | | | |
| Ed Warner-Donaldson Transfer & Storage Co. | 3/31/79 | 5,881 | | GC | | | 5/10/79 | 5,881 | | | | | |
| Ed Warner-Donaldson Transfer & Storage Co. | 6/29/79 | 18,222 | | GC | | | 7/26/79 | 18,222 | | | | | |
| Edgar G. Houser, Jr. | 3/20/79 | 1,200 | | GC | | | 4/11/79 | 1,200 | | | | | |
| Edward A. Waters | 5/03/79 | 11,810 | | GC | | | 5/24/79 | 11,810 | | | | | |
| Edward H. Hoffheins | 3/20/79 | 1,706 | | GC | | | 4/11/79 | 1,706 | | | | | |
| Elmer P. Taylor Express | 3/24/79 | 1,585 | | GC | | | 4/19/79 | 1,585 | | | | | |
| Emanuel's Express | 9/08/79 | 2,305 | | GC | | | 10/04/79 | 2,035 | | | | | |
| Emory S. Miller | 3/20/79 | 4,034 | | GC | | | 4/11/79 | 4,034 | | | | | |
| Ennis Trucking | 4/06/79 | 1,764 | | GC | | | 4/25/79 | 1,764 | | | | | |
| Esther Brown | 5/07/79 | 1,411 | | GC | | | 5/31/79 | 1,411 | | | | | |
| Eugene A. Minahan | 2/06/79 | 130,400 | | GC | | | 3/01/79 | 130,400 | | | | | |
| Evans Delivery Co. | 3/02/79 | 11,620 | | GC | | | 3/21/79 | 11,620 | | | | | |
| Evans Delivery Co. | 5/12/79 | 6,793 | | GC | | | 5/31/79 | 6,793 | | | | | |
| Evans Delivery Co. | 6/25/79 | 750 | | GC | | | 7/19/79 | 750 | | | | | |
| Evans Delivery Co. | 9/26/79 | 947 | | GC | | | 10/18/79 | 947 | | | | | |
| Exhibitors Service Company | 3/12/79 | 60,928 | | GC | | | 4/05/79 | 60,928 | | | | | |
| Fagan Moving & Storage Co. | 5/30/79 | 4,334 | | GC | | | 6/21/79 | 4,334 | | | | | |
| Farruggio's Bristol & Phil. Auto Express | 4/16/79 | 610 | | GC | | | 5/16/79 | 610 | | | | | |
| Farruggio's Bristol & Phil. Auto Express | 6/08/79 | 5,610 | | GC | | | 7/12/79 | 5,610 | | | | | |
| Farruggio's Bristol & Phil. Auto Express | 9/10/79 | 3,274 | | GC | | | 10/04/79 | 3,274 | | | | | |
| Firpos Moving & Storage | 2/28/79 | 28,508 | | GC | | | 3/21/79 | 28,508 | | | | | |
| Fischer's Transfer, Inc. | 5/12/79 | 6,355 | | GC | | | 6/07/79 | 6,355 | | | | | |
| Fleming Transport, Inc. | 2/12/79 | 9,323 | | GC | | | 3/01/79 | 9,323 | | | | | |
| Flite Line Service, Inc. | 11/30/79 | 1,500 | | GC | | | 12/07/79 | 1,500 | | | | | |
| Floyd E. Cable, Jr. | 4/23/79 | 59,515 | | GC | | | 5/16/79 | 59,515 | | | | | |
| Floyd E. Cable, Jr. | 10/16/79 | 5,851 | | GC | | | 11/08/79 | 5,851 | | | | | |
| Forest Hills Transfer & Storage, Inc. | 5/29/79 | 30,916 | | GC | | | 6/21/79 | 30,916 | | | | | |
| Fox Transport System | 7/19/79 | 12,349 | | GC | | | 8/16/79 | 12,349 | | | | | |
| Fox Transport System | 8/29/79 | 2,392 | | GC | | | 9/20/79 | 2,392 | | | | | |
| Francia Trucking, Inc. | 7/05/79 | 2,103 | | GC | | | 8/02/79 | 2,103 | | | | | |
| Francis A. Patton, Inc. | 7/05/79 | 2,728 | | GC | | | 8/02/79 | 2,728 | | | | | |
| Francis J. Bearoff, Inc. | 3/06/79 | 8,800 | | GC | | | 3/29/79 | 8,800 | | | | | |
| Frank A. Vegeley | 1/06/79 | 6,000 | | GC | | | 2/01/79 | 6,000 | | | | | |
| Frank W. Hake, Inc. | 8/06/79 | 28,937 | | GC | | | 8/30/79 | 28,937 | | | | | |
| Frank W. Lilly, Inc. | 6/02/79 | 4,475 | | GC | | | 6/28/79 | 4,475 | | | | | |
| Frank W. Lilly, Inc. | 12/27/79 | 2,757 | | GC | | | 1/10/80 | 2,757 | | | | | |
| Frank's Hauling Service, Inc. | 3/26/79 | 67,222 | | GC | | | 4/19/79 | 67,222 | | | | | |
| Freeport Transfer, Inc. | 6/21/79 | 38,683 | | GC | | | 7/19/79 | 38,683 | | | | | |
| Freeport Transport | 1/04/79 | 53,000 | | GC | | | 2/01/79 | 53,000 | | | | | |
| Freeport Transport | 10/24/79 | 66,653 | | GC | | | 11/29/79 | 46,653 | | | | | |
| Frey's Motor Express, Inc. | 6/14/79 | 248 | | GC | | | 7/12/79 | 248 | | | | | |
| Frey's Motor Express, Inc. | 10/11/79 | 146 | | GC | | | 11/08/79 | 146 | | | | | |
| Fry Trucking, Inc. | 5/23/79 | 6,940 | | GC | | | 6/15/79 | 6,940 | | | | | |
| Fry Trucking, Inc. | 6/22/79 | 6,939 | | GC | | | 7/19/79 | 6,939 | | | | | |
| Funk's Hauling Service, Inc. | 9/19/79 | 35,460 | | GC | | | 10/11/79 | 35,460 | | | | | |
| G. C. Hemphill Trucking, Inc. | 5/22/79 | 5,517 | | GC | | | 6/15/79 | 5,517 | | | | | |
| G. C. Hemphill Trucking, Inc. | 10/26/79 | 9,661 | | GC | | | 11/29/79 | 9,661 | | | | | |
| G. C. Lane Movers | 12/27/78 | 5,597 | | GC | | | 1/18/79 | 5,597 | | | | | |
| G. C. Lane Movers | 11/01/79 | 8,088 | | GC | | | 11/29/79 | 8,088 | | | | | |
| G. I. Hazlett Express | 2/24/79 | 5,846 | | GC | | | 3/21/79 | 5,846 | | | | | |
| G. I. Hazlett Express | 5/19/79 | 3,903 | | GC | | | 6/15/79 | 3,903 | | | | | |
| G & D Parcel Delivery, Inc. | 7/07/79 | 21,850 | | GC | | | 2/02/79 | 21,850 | | | | | |
| Gates Trucking Co. | 2/26/79 | 1,881 | | GC | | | 3/21/79 | 1,881 | | | | | |
| Gates Trucking Co. | 7/05/79 | 1,238 | | GC | | | 8/02/79 | 1,238 | | | | | |
| Gateway Trucking, Inc. | 3/02/79 | 85,927 | | GC | | | 3/29/79 | 85,927 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| George F. Johnson | 6/06/79 | 2,649 | | GC | | | 6/28/79 | 2,649 | | | | | |
| George Husack | 8/04/79 | 900 | | GC | | | 8/30/79 | 900 | | | | | |
| George Hutt, Inc. | 12/23/79 | 3,485 | | GC | | | 2/01/79 | 3,485 | | | | | |
| George M. Willauer, Inc. | 7/27/79 | 2,059 | | GC | | | 8/27/79 | 2,059 | | | | | |
| George M. Willauer, Inc. | 11/26/79 | 13,778 | | GC | | | 12/21/79 | 13,778 | | | | | |
| George M. Willauer, Inc. | 12/29/78 | 5,565 | | GC | | | 2/01/79 | 5,565 | | | | | |
| George W. Kugler, Inc. | 3/30/79 | 3,480 | | GC | | | 4/25/79 | 3,480 | | | | | |
| Geppert Bros., Inc. | 7/14/79 | 5,800 | | GC | | | 8/09/79 | 5,800 | | | | | |
| Gilchrist Trucking, Inc. | 5/23/79 | 6,396 | | GC | | | 6/21/79 | 6,396 | | | | | |
| Glenn Trucking, Inc. | 5/10/79 | 1,873 | | GC | | | 6/07/79 | 1,873 | | | | | |
| Gottry Corp. | 7/31/79 | 3,253 | | GC | | | 8/27/79 | 3,253 | | | | | |
| Greensburg Messenger Service | 7/25/79 | 953 | | GC | | | 8/16/79 | 953 | | | | | |
| Greensburg Messenger Service | 12/26/79 | 1,744 | | GC | | | 1/11/80 | 1,744 | | | | | |
| Gust A. Ketsilas & Son | 6/[illegible]0/79 | 28,607 | | GC | | | 7/26/79 | 28,607 | | | | | |
| Guy Heavener, Inc. | 3/31/79 | 300 | | GC | | | 4/25/79 | 300 | | | | | |
| Guy Heavener, Inc. | 6/01/79 | 1,640 | | GC | | | 6/28/79 | 1,640 | | | | | |
| H. C. Gabler, Inc. | 1/24/79 | 5,706 | | GC | | | 3/01/79 | 5,706 | | | | | |
| H. C. Gabler, Inc. | 2/20/7[illegible] | 123,903 | | GC | | | 3/21/79 | 123,903 | | | | | |
| H. F. Campbell & Son, Inc. | 4/02/79 | 7,800 | | GC | | | 5/03/79 | 7,800 | | | | | |
| H. F. Foreman, Inc. | 3/27/79 | 5,205 | | GC | | | 4/19/79 | 5,205 | | | | | |
| H. F. Miller Mobile Home Transport | 5/14/79 | 9,000 | | GC | | | 6/07/79 | 9,000 | | | | | |
| H. M. Skinner & Sons, Inc. | 7/27/79 | 5,918 | | GC | | | 8/27/79 | 5,918 | | | | | |
| H. O. Schiffer & Sons | 3/09/79 | 10,637 | | GC | | | 4/05/79 | 10,637 | | | | | |
| H. S. Foreman, Inc. | 8/03/79 | 24,920 | | GC | | | 8/27/79 | 24,920 | | | | | |
| H. S. Foreman, Inc. | 11/27/79 | 5,368 | | GC | | | 12/21/79 | 5,368 | | | | | |
| Hammel's Express, Inc. | 2/24/79 | 144,245 | | GC | | | 3/21/79 | 144,245 | | | | | |
| Hammel's Express, Inc. | 5/19/79 | 277,553 | | GC | | | 6/15/79 | 277,553 | | | | | |
| Hammel's Express, Inc. | 12/12/79 | 79,992 | | GC | | | 12/28/79 | 79,992 | | | | | |
| Hammel's Express, Inc. | 12/29/78 | 18,420 | | GC | | | 2/01/79 | 18,420 | | | | | |
| Hanover Transfer | 4/04/79 | 3,289 | | GC | | | 4/25/79 | 3,289 | | | | | |
| Harchelroad Trucking Co. | 3/10/79 | 51,456 | | GC | | | 4/11/79 | 51,456 | | | | | |
| Harchelroad Trucking Co. | 10/15/79 | 31,500 | | GC | | | 11/08/79 | 31,500 | | | | | |
| Harold M. Felty, Inc. | 2/27/79 | 53,611 | | GC | | | 3/21/79 | 53,611 | | | | | |
| Harry J. Carpenter | 6/19/79 | 5,724 | | GC | | | 7/12/79 | 5,724 | | | | | |
| Haser Trucking, Inc. | 6/20/79 | 99,004 | | GC | | | 7/12/79 | 99,004 | | | | | |
| Hatboro Delivery Service, Inc. | 5/05/79 | 30,615 | | GC | | | 5/24/79 | 30,615 | | | | | |
| Hatboro Delivery Service, Inc. | 12/29/78 | 1,400 | | GC | | | 2/01/79 | 1,400 | | | | | |
| Hecla Machinery & Equip. Co. | 1/03/79 | 55,836 | | GC | | | 2/01/79 | 55,836 | | | | | |
| Henneous Motor Express | 5/18/79 | 2,200 | | GC | | | 6/07/79 | 2,200 | | | | | |
| Henry Elick | 7/09/79 | 3,797 | | GC | | | 8/02/79 | 3,797 | | | | | |
| Henry J. Dornish, Inc. | 7/07/79 | 9,000 | | GC | | | 8/02/79 | 9,000 | | | | | |
| Herman R. Ewell, Inc. | 9/25/79 | 71,593 | | GC | | | 10/18/79 | 71,593 | | | | | |
| Herr's Motor Express | 2/05/79 | 13,500 | | GC | | | 3/01/79 | 13,500 | | | | | |
| Herr's Motor Express | 2/20/79 | 1,000 | | GC | | | 3/08/79 | 1,000 | | | | | |
| Herr's Motor Express | 3/14/79 | 3,000 | | GC | | | 4/05/79 | 3,000 | | | | | |
| Herr's Motor Express | 4/10/79 | 34,600 | | GC | | | 5/03/79 | 34,600 | | | | | |
| Herr's Motor Express | 6/15/79 | 6,400 | | GC | | | 7/12/79 | 6,400 | | | | | |
| Herr's Motor Express | 7/25/79 | 400 | | GC | | | 8/27/79 | 400 | | | | | |
| Herr's Motor Express | 8/24/79 | 100 | | GC | | | 9/13/79 | 100 | | | | | |
| Herr's Motor Express | 9/24/79 | 10,000 | | GC | | | 10/18/79 | 10,000 | | | | | |
| Herr's Motor Express | 10/15/79 | 45,000 | | GC | | | 11/08/79 | 45,000 | | | | | |
| Herr's Motor Express | 11/30/79 | 9,000 | | GC | | | 11/29/79 | 9,000 | | | | | |
| Herr's Motor Express | 12/30/78 | 2,500 | | GC | | | 1/18/79 | 2,500 | | | | | |
| Hess Trucking Co. | 6/18/79 | 14,600 | | GC | | | 7/12/79 | 14,600 | | | | | |
| Hess Trucking Co. | 10/11/79 | 48,000 | | GC | | | 11/08/79 | 48,000 | | | | | |
| Hively Transportation, Inc. | 5/21/79 | 68,414 | | GC | | | 6/15/79 | 68,414 | | | | | |
| Hively Transportation, Inc. | 9/10/79 | 18,416 | | GC | | | 10/04/79 | 18,416 | | | | | |
| Hoffman Trk. Co. | 9/11/79 | 12,661 | | GC | | | 10/04/79 | 12,661 | | | | | |
| Holt Motor Express, Inc. | 1/19/79 | 16,580 | | GC | | | 2/08/79 | 16,580 | | | | | |
| Horace F. Tranter Moving & Hauling | 4/07/79 | 7,875 | | GC | | | 5/03/79 | 7,875 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Howard Delivery Service, Inc. | 4/27/79 | 29,592 | | GC | | | 5/24/79 | 29,592 | | | | | |
| Howard Delivery Service, Inc. | 9/15/79 | 15,743 | | GC | | | 10/11/79 | 15,743 | | | | | |
| Hugh F. Gannon Trucking, Inc. | 8/07/79 | 12,907 | | GC | | | 8/30/79 | 12,907 | | | | | |
| Indian Valley Bulk Carriers, Inc. | 7/07/79 | 37,740 | | GC | | | 7/26/79 | 37,740 | | | | | |
| Interstate Dress Carriers, Inc. | 6/21/79 | 81,051 | | GC | | | 7/26/79 | 81,051 | | | | | |
| Ira L. Hackman, Jr. | 7/10/79 | 3,404 | | GC | | | 8/02/79 | 3,404 | | | | | |
| Issac Jones, Jr. | 5/18/79 | 19,342 | | GC | | | 6/15/79 | 19,342 | | | | | |
| J. Bernard Klapec | 8/14/79 | 43,700 | | GC | | | 9/06/79 | 43,700 | | | | | |
| J. Darwin Cage, Inc. | 1/12/79 | 26,193 | | GC | | | 2/08/79 | 26,193 | | | | | |
| J. Darwin Cage, Inc. | 6/16/79 | 82,670 | | GC | | | 7/12/79 | 82,670 | | | | | |
| J. Marlin Ernst | 7/17/79 | 18,981 | | GC | | | 8/09/79 | 18,981 | | | | | |
| J. A. Grimm & Wheeling Motor Express, Inc. | 2/24/79 | 23,452 | | GC | | | 4/05/79 | 23,452 | | | | | |
| J. A. Grimm & Wheeling Motor Express, Inc. | 6/23/79 | 20,101 | | GC | | | 7/19/79 | 20,101 | | | | | |
| J. A. Grimm & Wheeling Motor Express, Inc. | 12/16/79 | 7,864 | | GC | | | 1/04/80 | 7,864 | | | | | |
| J. A. Thompson Trucking, Inc. | 10/23/79 | 17,046 | | GC | | | 11/15/79 | 17,046 | | | | | |
| J. C. Services, Inc. | 4/15/79 | 3,687 | | GC | | | 5/10/79 | 3,687 | | | | | |
| J. H. Beers, Inc. | 5/08/79 | 4,000 | | GC | | | 5/24/79 | 4,000 | | | | | |
| J. H. McEnery, Inc. | 10/26/79 | 45,298 | | GC | | | 11/29/79 | 45,298 | | | | | |
| J. M. Beatty Trucking, Inc. | 11/30/79 | 4,123 | | GC | | | 12/21/79 | 4,123 | | | | | |
| J. R. Butler, Inc. | 5/18/79 | 14,550 | | GC | | | 6/15/79 | 14,550 | | | | | |
| J. R. Butler, Inc. | 7/09/79 | 10,250 | | GC | | | 8/02/79 | 10,250 | | | | | |
| J. T. Ford Trucking Co. | 5/21/79 | 4,765 | | GC | | | 6/15/79 | 4,765 | | | | | |
| J. V. Baker, Inc. | 2/27/79 | 19,251 | | GC | | | 3/21/79 | 19,251 | | | | | |
| Jack E. Heckert | 3/20/79 | 1,707 | | GC | | | 4/11/79 | 1,707 | | | | | |
| Jacoby Transport System, Inc. | 3/29/79 | 37,624 | | GC | | | 4/25/79 | 37,624 | | | | | |
| Jacoby Transport System, Inc. | 6/25/79 | 89,544 | | GC | | | 7/26/79 | 89,544 | | | | | |
| James C. Logan, Inc. | 6/08/79 | 14,816 | | GC | | | 7/05/79 | 14,816 | | | | | |
| James J. Neve | 10/20/79 | 2,700 | | GC | | | 11/08/79 | 2,700 | | | | | |
| James Moving Co. | 12/29/78 | 9,150 | | GC | | | 2/01/79 | 9,150 | | | | | |
| John Benkhart & Sons | 12/21/78 | 28,102 | | GC | | | 2/01/79 | 28,102 | | | | | |
| John Benkhart & Sons | 4/09/79 | 27,255 | | GC | | | 5/03/79 | 27,255 | | | | | |
| John Benkhart & Sons | 6/01/79 | 18,751 | | GC | | | 6/21/79 | 18,751 | | | | | |
| John Benkhart & Sons | 9/19/79 | 11,547 | | GC | | | 10/11/79 | 11,547 | | | | | |
| John Curry, Inc. | 4/05/79 | 16,647 | | GC | | | 4/25/79 | 16,647 | | | | | |
| John Curry, Inc. | 5/09/79 | 36,605 | | GC | | | 5/31/79 | 36,605 | | | | | |
| John D. Bohr, Jr. | 6/08/79 | 36,970 | | GC | | | 7/05/79 | 36,970 | | | | | |
| John F. Fowler | 4/28/79 | 9,100 | | GC | | | 5/16/79 | 9,100 | | | | | |
| John F. Scott Co. | 2/03/79 | 54,842 | | GC | | | 4/05/79 | 54,842 | | | | | |
| John F. Scott Co. | 3/10/79 | 149,034 | | GC | | | 4/05/79 | 149,034 | | | | | |
| John F. Scott Co. | 5/23/79 | 184,303 | | GC | | | 6/21/79 | 184,303 | | | | | |
| John F. Scott Co. | 8/13/79 | 3,627 | | GC | | | 9/06/79 | 3,627 | | | | | |
| John F. Scott Co. | 8/27/79 | 2,470 | | GC | | | 9/20/79 | 2,470 | | | | | |
| John F. Scott Co. | 11/20/79 | 7,500 | | GC | | | 12/07/79 | 7,500 | | | | | |
| John F. Walter, Inc. | 6/13/79 | 70,319 | | GC | | | 7/12/79 | 70,319 | | | | | |
| John Himmer Transfer, Inc. | 11/15/79 | 18,240 | | GC | | | 12/07/79 | 18,240 | | | | | |
| John J. Starner | 8/02/79 | 2,087 | | GC | | | 8/27/79 | 2,087 | | | | | |
| John P. Sorice | 10/09/79 | 5,478 | | GC | | | 11/01/79 | 5,478 | | | | | |
| John Pfrommer, Inc. | 3/03/79 | 400 | | GC | | | 3/29/79 | 400 | | | | | |
| John Pfrommer, Inc. | 3/03/79 | 3,800 | | GC | | | 3/21/79 | 3,800 | | | | | |
| John Pfrommer, Inc. | 3/03/79 | 4,325 | | GC | | | 3/21/79 | 4,325 | | | | | |
| John Pfrommer, Inc. | 7/14/79 | 1,750 | | GC | | | 8/09/79 | 1,750 | | | | | |
| Johnson Truck Lines | 5/01/79 | 5,880 | | GC | | | 5/24/79 | 5,880 | | | | | |
| Johnson's Transfer, Inc. | 3/22/79 | 100,232 | | GC | | | 4/11/79 | 100,232 | | | | | |
| Johnson's Transfer, Inc. | 4/27/79 | 136,448 | | GC | | | 5/24/79 | 136,448 | | | | | |
| Johnstown-Pittsburgh Express, Inc. | 1/28/79 | 6,000 | | GC | | | 3/01/79 | 6,000 | | | | | |
| Johnstown-Pittsburgh Express, Inc. | 3/07/79 | 2,000 | | GC | | | 4/05/79 | 2,000 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Johnstown-Pittsburgh Express, Inc. | 4/21/79 | 79,455 | | GC | | | 5/16/79 | 79,455 | | | | | |
| Johnstown-Pittsburgh Express, Inc. | 10/18/79 | 47,911 | | GC | | | 11/15/79 | 47,911 | | | | | |
| Jonas P. Doninoyer, Inc. | 3/02/79 | 80,822 | | GC | | | 3/29/79 | 80,822 | | | | | |
| Julius C. Gardocky, Jr. | 6/29/79 | 3,946 | | GC | | | 7/26/79 | 3,946 | | | | | |
| Juniata Moving & Storage Co. | 3/29/79 | 2,716 | | GC | | | 4/25/79 | 2,716 | | | | | |
| KBS, Inc. | 12/01/78 | 45,000 | | GC | | | 2/01/79 | 45,000 | | | | | |
| KBS, Inc. | 7/31/79 | 76,107 | | GC | | | 8/27/79 | 76,107 | | | | | |
| KSI Trucking, Inc. | 6/08/79 | 29,831 | | GC | | | 7/05/79 | 29,831 | | | | | |
| K & K Transportation Co. | 4/09/79 | 2,573 | | GC | | | 5/03/79 | 2,573 | | | | | |
| K & K Transportation Co. | 6/19/79 | 5,496 | | GC | | | 7/12/79 | 5,496 | | | | | |
| Kauffman & Minteer, Inc. | 9/01/79 | 1,400 | | GC | | | 9/20/79 | 1,400 | | | | | |
| Kauffman & Minteer, Inc. | 10/20/79 | 15,930 | | GC | | | 11/15/79 | 15,930 | | | | | |
| Keller Moving & Storage, Inc. | 3/26/79 | 962 | | GC | | | 4/19/79 | 962 | | | | | |
| Keller Moving & Storage, Inc. | 8/02/79 | 1,714 | | GC | | | 8/30/79 | 1,714 | | | | | |
| Kennedy Transfer | 12/06/79 | 15,576 | | GC | | | 12/28/79 | 15,576 | | | | | |
| Kephart Trucking Co. | 4/06/79 | 81,176 | | GC | | | 4/25/79 | 81,176 | | | | | |
| Kepler Brothers | 2/05/79 | 4,000 | | GC | | | 3/01/79 | 4,000 | | | | | |
| Keystone Lawrence Express | 9/19/79 | 37,111 | | GC | | | 10/11/79 | 37,111 | | | | | |
| King Trucking, Inc. | 4/16/79 | 4,631 | | GC | | | 5/10/79 | 4,631 | | | | | |
| King Trucking, Inc. | 12/26/79 | 4,815 | | GC | | | 1/11/80 | 4,815 | | | | | |
| Knepshield Trucking, Inc. | 8/27/79 | 1,004 | | GC | | | 9/20/79 | 1,004 | | | | | |
| Kramer's Motor Service & Storage | 1/22/79 | 4,138 | | GC | | | 2/22/79 | 4,138 | | | | | |
| Krebs Brothers Transfer | 2/05/79 | 2,304 | | GC | | | 3/01/79 | 2,304 | | | | | |
| Kuhn Transportation Co., Inc. | 4/06/79 | 14,808 | | GC | | | 5/03/79 | 14,808 | | | | | |
| Kulp & Gordon, Inc. | 12/22/78 | 3,225 | | GC | | | 1/18/79 | 3,225 | | | | | |
| Kulp & Gordon, Inc. | 4/11/79 | 57,049 | | GC | | | 5/03/79 | 57,049 | | | | | |
| Kurtz Transport, Inc. | 12/02/78 | 25,845 | | GC | | | 2/01/79 | 25,845 | | | | | |
| Kurtz Transport, Inc. | 8/28/79 | 48,845 | | GC | | | 9/20/79 | 48,845 | | | | | |
| LaBar's, Inc. | 12/17/78 | 33,096 | | GC | | | 2/01/79 | 33,096 | | | | | |
| LaBar's, Inc. | 5/12/79 | 164,561 | | GC | | | 6/07/79 | 164,651 | | | | | |
| LaBar's, Inc. | 6/29/79 | 10,920 | | GC | | | 7/26/79 | 10 920 | | | | | |
| LaBar's, Inc. | 8/18/79 | 25,742 | | GC | | | 9/06/79 | 25,742 | | | | | |
| Lackawanna Cold Storage | 2/23/79 | 4,326 | | GC | | | 3/21/79 | 4,326 | | | | | |
| LaFace Express | 3/14/79 | 48,682 | | GC | | | 4/11/79 | 48,682 | | | | | |
| LaFace Express | 8/30/79 | 44,000 | | GC | | | 9/27/79 | 44,000 | | | | | |
| LaFace Express | 11/30/79 | 43,889 | | GC | | | 12/21/79 | 43,889 | | | | | |
| Langer Transport Corp. | 1/13/79 | 1,409 | | GC | | | 2/08/79 | 1,409 | | | | | |
| Langer Transport Corp. | 4/19/79 | 840 | | GC | | | 5/16/79 | 840 | | | | | |
| Lansdale Warehouse Co., Inc. | 11/21/79 | 6,501 | | GC | | | 12/07/79 | 6,501 | | | | | |
| Laurel Mountain Express, Inc. | 2/24/79 | 34,980 | | GC | | | 3/21/79 | 34,980 | | | | | |
| Laurel Mountain Express, Inc. | 5/19/79 | 64,212 | | GC | | | 6/15/79 | 64,212 | | | | | |
| Laurel Mountain Express, Inc. | 12/18/79 | 19,827 | | GC | | | 1/04/80 | 19,827 | | | | | |
| Lausch's Moving Service | 10/13/79 | 23,430 | | GC | | | 11/01/79 | 23,430 | | | | | |
| Leaman Trucking Co. | 7/17/79 | 112 | | GC | | | 8/09/79 | 112 | | | | | |
| Lehigh Valley Refrig. Service | 2/23/79 | 12,117 | | GC | | | 3/21/79 | 12,117 | | | | | |
| Leo Movers & Storage, Inc. | 4/07/79 | 5,596 | | GC | | | 5/03/79 | 5,596 | | | | | |
| Leonard-Wherley Moving Systems, Inc. | 3/19/79 | 5,508 | | GC | | | 4/25/79 | 5,508 | | | | | |
| Leslie G. Wiser Trucking | 7/25/79 | 1,144 | | GC | | | 8/16/79 | 1,144 | | | | | |
| Lester M. Prange, Inc. | 12/24/78 | 7,092 | | GC | | | 2/01/79 | 7,092 | | | | | |
| Lester M. Prangu, Inc. | 2/14/79 | 1,599 | | GC | | | 3/14/79 | 1,599 | | | | | |
| Lester M. Prange, Inc. | 3/27/79 | 677 | | GC | | | 4/25/79 | 677 | | | | | |
| Lester M. Prange, Inc. | 4/26/79 | 3,674 | | GC | | | 5/24/79 | 3,674 | | | | | |
| Lester M. Prange, Inc. | 4/30/79 | 80 | | GC | | | 5/24/79 | 80 | | | | | |
| Lester M. Prange, Inc. | 8/20/79 | 110 | | GC | | | 9/13/79 | 110 | | | | | |
| Lester R. Summers, Inc. | 4/16/79 | 40,000 | | GC | | | 5/10/79 | 40,000 | | | | | |
| Louis L. Grimm, Inc. | 2/24/79 | 23,838 | | GC | | | 3/21/79 | 23,838 | | | | | |
| Louis L. Grimm, Inc. | 5/19/79 | 42,664 | | GC | | | 6/15/79 | 42,664 | | | | | |
| Louis L. Grimm, Inc. | 12/15/79 | 11,324 | | GC | | | 1/04/80 | 11,324 | | | | | |
| Luke B. Hollinger | 3/05/79 | 5,100 | | GC | | | 3/29/79 | 5,100 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Lyons Transportation Lines, Inc. | 4/02/79 | 10,599 | | GC | | | 4/25/79 | 10,599 | | | | | |
| M & G Convoy, Inc. | 1/04/79 | 4,800 | | GC | | | 2/01/79 | 4,800 | | | | | |
| M & G Convoy, Inc. | 4/30/79 | 17,525 | | GC | | | 5/24/79 | 17,525 | | | | | |
| Mack Transportation Co. | 2/28/79 | 302,379 | | GC | | | 4/05/79 | 302,379 | | | | | |
| Mack Transportation Co. | 5/30/79 | 131,489 | | GC | | | 7/19/79 | 131,489 | | | | | |
| Mack Transportation Co. | 9/10/79 | 140,988 | | GC | | | 10/11/79 | 140,988 | | | | | |
| Mahally Trucking Service | 5/18/79 | 4,680 | | GC | | | 6/15/79 | 4,680 | | | | | |
| Mahally Trucking Service | 9/19/79 | 13,703 | | GC | | | 10/11/79 | 13,703 | | | | | |
| Mail Delivery | 6/11/79 | 18,990 | | GC | | | 7/12/79 | 18,990 | | | | | |
| Makowski Hauling | 4/07/79 | 1,900 | | GC | | | 4/25/79 | 1,900 | | | | | |
| Marinari Brothers | 4/07/79 | 5,000 | | GC | | | 4/25/79 | 5,000 | | | | | |
| Mark Trucking, Inc. | 4/17/79 | 22,564 | | GC | | | 5/16/79 | 22,564 | | | | | |
| Maroadi Transfer & Storage | 2/10/79 | 2,273 | | GC | | | 3/08/79 | 2,273 | | | | | |
| Maroadi Transfer & Storage | 12/12/79 | 7,878 | | GC | | | 12/28/79 | 7,878 | | | | | |
| Martin Motor Freight, Inc. | 8/15/79 | 17,000 | | GC | | | 9/13/79 | 17,000 | | | | | |
| Martin Trucking, Inc | 6/18/79 | 105,419 | | GC | | | 7/12/79 | 105,419 | | | | | |
| Martin Trucking, Inc. | 12/10/79 | 12,965 | | GC | | | 12/28/79 | 12,965 | | | | | |
| Marty's Express, Inc. | 4/12/79 | 63,159 | | GC | | | 5/10/79 | 63,159 | | | | | |
| Marty's Express, Inc. | 9/26/79 | 13,167 | | GC | | | 10/18/79 | 13,167 | | | | | |
| Marty's Express, Inc. | 10/11/79 | 38,842 | | GC | | | 11/01/79 | 38,842 | | | | | |
| Marty's Express, Inc. | 12/01/79 | 12,095 | | GC | | | 12/28/79 | 12,095 | | | | | |
| Masterson Transfer Co., Inc. | 11/06/79 | 14,623 | | GC | | | 11/29/79 | 14,623 | | | | | |
| Material Trucking | 7/14/79 | 200 | | GC | | | 8/09/79 | 200 | | | | | |
| Materials Transport Service, Inc. | 2/23/79 | 150,376 | | GC | | | 3/21/79 | 150,376 | | | | | |
| Matheson Transfer Co. | 7/27/79 | 8,039 | | GC | | | 8/16/79 | 8,039 | | | | | |
| Mawson & Mawson, Inc. | 3/06/79 | 11,205 | | GC | | | 3/29/79 | 11,205 | | | | | |
| McCormick Dray Line, Inc. | 2/23/79 | 296 | | GC | | | 3/21/79 | 296 | | | | | |
| McCormick Dray Line, Inc. | 4/17/79 | 7,505 | | GC | | | 5/16/79 | 7,505 | | | | | |
| McCormick Dray Line, Inc. | 8/03/79 | 13,398 | | GC | | | 8/27/79 | 13,398 | | | | | |
| McCormick Dray Line, Inc. | 12/07/79 | 700 | | GC | | | 12/28/79 | 700 | | | | | |
| McCormick Dray Line, Inc. | 12/11/79 | 2,972 | | GC | | | 12/28/79 | 2,972 | | | | | |
| McDonald Express & Transfer | 2/26/79 | 2,251 | | GC | | | 3/21/79 | 2,251 | | | | | |
| McDonald Express & Transfer | 7/11/79 | 450 | | GC | | | 8/02/79 | 450 | | | | | |
| McGaughey Bros., Inc. | 3/29/79 | 13,700 | | GC | | | 4/25/79 | 13,700 | | | | | |
| McHugh Brothers Heavy Hauling, Inc. | 8/09/79 | 79,856 | | GC | | | 8/30/79 | 79,856 | | | | | |
| McKeesport Trucking, Inc. | 9/25/79 | 60,192 | | GC | | | 10/18/79 | 60,192 | | | | | |
| McKeesport Trucking, Inc. | 11/30/79 | 3,311 | | GC | | | 11/29/79 | 3,311 | | | | | |
| Mercury Express | 3/16/79 | 4,401 | | GC | | | 4/05/79 | 4,401 | | | | | |
| Mercury Freight, Inc. | 5/31/79 | 25,460 | | GC | | | 6/21/79 | 25,460 | | | | | |
| Mercury Motor Freight, Inc. | 8/02/79 | 2,519 | | GC | | | 8/27/79 | 2,519 | | | | | |
| Michael J. Bobb, Inc. | 4/04/79 | 4,048 | | GC | | | 4/25/79 | 4,048 | | | | | |
| Michael J. Bobb, Inc. | 6/19/79 | 8,648 | | GC | | | 7/12/79 | 8,648 | | | | | |
| Michael Sedlacek | 10/05/79 | 3,967 | | GC | | | 11/01/79 | 3,967 | | | | | |
| Michael Sedlacek | 12/26/79 | 709 | | GC | | | 1/11/80 | 709 | | | | | |
| Mill Industrial Service Co. | 12/03/79 | 1,080 | | GC | | | 12/21/79 | 1,080 | | | | | |
| Milo Express | 11/30/79 | 21,263 | | GC | | | 12/21/79 | 21,263 | | | | | |
| Milton Transportation, Inc. | 3/30/79 | 74,778 | | GC | | | 5/03/79 | 74,778 | | | | | |
| Milton Transportation, Inc. | 12/19/79 | 5,436 | | GC | | | 1/04/80 | 5,436 | | | | | |
| Minshells' Express, Inc. | 8/15/79 | 350 | | GC | | | 9/06/79 | 350 | | | | | |
| Mitchell Transport, Inc. | 5/30/79 | 47,096 | | GC | | | 6/21/79 | 47,096 | | | | | |
| Mitchell Transport, Inc. | 12/03/79 | 185,800 | | GC | | | 12/28/79 | 185,800 | | | | | |
| Monroeville Moving & Storage | 6/02/79 | 3,714 | | GC | | | 6/28/79 | 3,714 | | | | | |
| Moore-Flesher Hauling Co., Inc. | 3/06/79 | 49,000 | | GC | | | 3/29/79 | 49,000 | | | | | |
| Moore-Flesher Hauling Co., Inc. | 3/12/79 | 19,013 | | GC | | | 4/05/79 | 19,013 | | | | | |
| Moore-Flesher Hauling Co., Inc. | 6/19/79 | 92,743 | | GC | | | 7/12/79 | 92,743 | | | | | |
| Moore-Flesher Hauling Co., Inc. | 9/16/79 | 70,657 | | GC | | | 10/11/79 | 70,657 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Morgan Drive Away, Inc. | 5/04/79 | 40,262 | | GC | | | 5/31/79 | 40,262 | | | | | |
| Morris H. Fenton, Jr. | 12/11/79 | 5,684 | | GC | | | 12/28/79 | 5,684 | | | | | |
| Morris Kreitz & Sons, Inc. | 7/17/79 | 2,657 | | GC | | | 8/09/79 | 2,657 | | | | | |
| Murphy Brothers | 6/22/79 | 12,894 | | GC | | | 7/17/79 | 12,894 | | | | | |
| National Freight, Inc. | 2/19/79 | 3,800 | | GC | | | 3/21/79 | 3,800 | | | | | |
| National Freight, Inc. | 8/20/79 | 50 | | GC | | | 9/13/79 | 50 | | | | | |
| National Trailer Convoy, Inc. | 6/18/79 | 21,416 | | GC | | | 7/19/79 | 21,416 | | | | | |
| Neda & Ralph Van Buskirk, Co-Pts. | 5/11/79 | 26,287 | | GC | | | 6/07/79 | 26,287 | | | | | |
| Neel Transportation Co., Inc. | 4/27/79 | 553 | | GC | | | 5/24/79 | 553 | | | | | |
| Nelson's Express, Inc. | 12/19/78 | 7,824 | | GC | | | 2/01/79 | 7,824 | | | | | |
| Noerr Motor Freight, Inc. | 4/12/79 | 6,397 | | GC | | | 5/10/79 | 6,397 | | | | | |
| Noerr Motor Freight, Inc. | 6/14/79 | 144,037 | | GC | | | 7/05/79 | 144,037 | | | | | |
| NOI Transfer, Inc. | 3/31/79 | 5,153 | | GC | | | 4/25/79 | 5,153 | | | | | |
| NOI Transfer, Inc. | 7/02/79 | 3,279 | | GC | | | 7/26/79 | 3,279 | | | | | |
| NOI Transfer, Inc. | 9/20/79 | 2,715 | | GC | | | 10/11/79 | 2,715 | | | | | |
| North & South Lines, Inc. | 4/24/79 | 26,917 | | GC | | | 5/16/79 | 26,917 | | | | | |
| North & South Lines, Inc. | 5/01/79 | 26,917 | | GC | | | 5/24/79 | 26,917 | | | | | |
| North Penn Transfer, Inc. | 4/21/79 | 6,000 | | GC | | | 5/16/79 | 6,000 | | | | | |
| North Penn Transfer, Inc. | 6/12/79 | 400 | | GC | | | 7/19/79 | 400 | | | | | |
| Northstein Bros., Inc. | 3/28/79 | 105,760 | | GC | | | 5/03/79 | 105,760 | | | | | |
| Omer R. Boser (Mrs.) & Sons | 8/08/79 | 22,623 | | GC | | | 9/06/79 | 22,623 | | | | | |
| Oren S. Bird | 5/01/79 | 4,615 | | GC | | | 5/24/79 | 4,615 | | | | | |
| P. E. Kramme, Inc. | 5/12/79 | 39,672 | | GC | | | 6/15/79 | 39,672 | | | | | |
| P. E. Kramme, Inc. | 7/19/79 | 12,508 | | GC | | | 8/09/79 | 12,508 | | | | | |
| P. E. Kramme, Inc. | 11/10/79 | 12,852 | | GC | | | 11/29/79 | 12,852 | | | | | |
| P. L. Lawton, Inc. | 12/26/78 | 3,343 | | GC | | | 2/01/79 | 3,343 | | | | | |
| P. L. Lawton, Inc. | 4/23/79 | 8,585 | | GC | | | 6/15/79 | 8,585 | | | | | |
| P. L. Lawton, Inc. | 8/06/79 | 5,210 | | GC | | | 8/30/79 | 5,210 | | | | | |
| P. L. Lawton, Inc. | 11/26/79 | 23,721 | | GC | | | 12/21/79 | 23,721 | | | | | |
| P.C.M. Trucking, Inc. | 3/06/79 | 4,950 | | GC | | | 3/29/79 | 4,950 | | | | | |
| P. Dale Leiphart | 2/27/79 | 443 | | GC | | | 3/21/79 | 443 | | | | | |
| Pallet Trucking Co. | 2/16/79 | 5,908 | | GC | | | 3/08/79 | 5,908 | | | | | |
| Panther Valley Carriers, Inc. | 6/15/79 | 9,243 | | GC | | | 7/12/79 | 9,243 | | | | | |
| Parks Moving & Storage, Inc. | 3/01/79 | 9,863 | | GC | | | 3/21/79 | 9,863 | | | | | |
| Parks Moving & Storage, Inc. | 6/20/79 | 11,470 | | GC | | | 7/12/79 | 11,470 | | | | | |
| Paul L. Fetterolf | 2/27/79 | 2,824 | | GC | | | 3/21/79 | 2,824 | | | | | |
| Paul L. Zamferlin & Sons, Inc. | 3/16/79 | 18,700 | | GC | | | 4/11/79 | 18,700 | | | | | |
| Paul L. Zamferlin & Sons, Inc. | 9/11/79 | 7,857 | | GC | | | 10/04/79 | 7,857 | | | | | |
| Pennsylvania Petroleum Trans. Co., Inc. | 1/12/79 | 11,896 | | GC | | | 2/08/79 | 11,896 | | | | | |
| Pennsylvania Petroleum Trans. Co., Inc. | 12/26/79 | 20,465 | | GC | | | 1/18/80 | 20,465 | | | | | |
| Pennsylvania Truck Lines, Inc. | 3/02/79 | 1,912 | | GC | | | 3/29/79 | 1,912 | | | | | |
| Pettigrew Trucking, Inc. | 9/11/79 | 371 | | GC | | | 10/04/79 | 371 | | | | | |
| Philip Stinger, Inc. | 6/09/79 | 123,929 | | GC | | | 7/12/79 | 123,929 | | | | | |
| Pitt Oil Service, Inc. | 1/26/79 | 7,841 | | GC | | | 2/22/79 | 7,841 | | | | | |
| Pittsburgh-Fayette Express, Inc. | 2/24/79 | 6,931 | | GC | | | 3/21/79 | 6,931 | | | | | |
| Pittsburgh-Fayette Express, Inc. | 5/19/79 | 3,838 | | GC | | | 6/15/79 | 3,838 | | | | | |
| Pittsburgh-Fayette Express, Inc. | 12/12/79 | 2,467 | | GC | | | 12/28/79 | 2,467 | | | | | |
| Pittsburgh-Fayette Express, Inc. | 12/18/78 | 4,022 | | GC | | | 1/18/79 | 4,022 | | | | | |
| Pittsburgh-Greensburg Express, Inc. | 2/24/79 | 11,039 | | GC | | | 3/21/79 | 11,039 | | | | | |
| Pittsburgh-Greensburg Express, Inc. | 5/19/79 | 8,543 | | GC | | | 6/15/79 | 8,543 | | | | | |
| Pittsburgh-Greensburg Express, Inc. | 12/12/79 | 5,896 | | GC | | | 12/28/79 | 5,896 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Pittsburgh-New England Trucking Co. | 12/19/78 | 4,100 | | GC | | | 1/18/79 | 4,100 | | | | | |
| Pittsburgh-New England Trucking Co. | 12/19/79 | 8,837 | | GC | | | 5/10/79 | 8,837 | | | | | |
| Pittsburgh Trucking, Inc. | 2/24/79 | 2,854 | | GC | | | 3/21/79 | 2,854 | | | | | |
| Pittsburgh Trucking, Inc. | 5/19/79 | 2,575 | | GC | | | 6/15/79 | 2,575 | | | | | |
| Pittsburgh Trucking, Inc. | 12/12/79 | 1,400 | | GC | | | 12/28/79 | 1,400 | | | | | |
| Pittsburgh-Vernon-Oakmont Express | 3/24/79 | 5,735 | | GC | | | 4/19/79 | 5,735 | | | | | |
| Pittsburgh-Vernon-Oakmont Express | 6/02/79 | 5,017 | | GC | | | 6/28/79 | 5,017 | | | | | |
| Pittsburgh-Vernon-Oakmont Express | 12/22/79 | 3,017 | | GC | | | 1/11/80 | 3,017 | | | | | |
| Pileggi & Sons, Inc. | 4/07/79 | 3,820 | | GC | | | 5/03/79 | 3,820 | | | | | |
| Plunkett Motor Freight, Inc. | 6/12/79 | 5,485 | | GC | | | 7/05/79 | 5,485 | | | | | |
| Potter Company | 4/13/79 | 3,289 | | GC | | | 5/03/79 | 3,289 | | | | | |
| Purolator Courier Corp. | 4/23/79 | 21,600 | | GC | | | 5/16/79 | 21,600 | | | | | |
| Purolator Courier Corp. | 5/28/79 | 236,141 | | GC | | | 6/28/79 | 236,141 | | | | | |
| Purolator Courier Corp. | 11/29/79 | 1,117,344 | | GC | | | 1/04/80 | 1,117,344 | | | | | |
| Quick Metropolitan Messenger Service | 7/02/79 | 44,676 | | GC | | | 8/02/79 | 44,676 | | | | | |
| R. C. Stahlnecker Co. | 5/11/79 | 5,000 | | GC | | | 5/31/79 | 5,000 | | | | | |
| R. H. Crawford, Inc. | 8/03/79 | 55,000 | | GC | | | 8/27/79 | 55,000 | | | | | |
| R. J. Glass, Inc. | 4/01/79 | 137,700 | | GC | | | 4/25/79 | 137,700 | | | | | |
| R. C. Hershey & J.E. Hershey | 3/20/79 | 1,926 | | GC | | | 4/11/79 | 1,926 | | | | | |
| R. S. Border Co. | 5/25/79 | 1,412 | | GC | | | 6/15/79 | 1,412 | | | | | |
| R & G Airfreight, Inc. | 12/31/78 | 48 | | GC | | | 1/18/79 | 48 | | | | | |
| R & G Airfreight, Inc. | 7/12/79 | 173 | | GC | | | 8/02/79 | 173 | | | | | |
| R & H Corporation | 2/28/79 | 55,318 | | GC | | | 3/21/79 | 55,318 | | | | | |
| R & H Corporation | 7/10/79 | 1,200 | | GC | | | 8/02/79 | 1,200 | | | | | |
| R & H Corporation | 8/28/79 | 44,402 | | GC | | | 9/20/79 | 44,402 | | | | | |
| Ralph C. Huttick | 6/19/79 | 16,260 | | GC | | | 7/12/79 | 16,260 | | | | | |
| Ralph C. Huttick | 8/31/79 | 1,017 | | GC | | | 9/27/79 | 1,017 | | | | | |
| Ralph G. Smith, Inc. | 12/23/78 | 1,407 | | GC | | | 2/01/79 | 1,407 | | | | | |
| Rapid Transit, Inc. | 5/01/79 | 10,877 | | GC | | | 5/24/79 | 10,877 | | | | | |
| Ray L. Brandt Trucking Co. | 5/28/79 | 92,747 | | GC | | | 6/28/79 | 92,747 | | | | | |
| Reber Corp. | 3/03/79 | 1,600 | | GC | | | 3/29/79 | 1,600 | | | | | |
| Reber Transport, Inc. | 6/23/79 | 210 | | GC | | | 7/19/79 | 210 | | | | | |
| Reed's Van Service, Inc. | 5/12/79 | 5,331 | | GC | | | 6/07/79 | 5,331 | | | | | |
| Reid J. Cavanaugh | 12/15/78 | 10,318 | | GC | | | 1/04/79 | 10,318 | | | | | |
| Reinsfelder, Inc. | 12/09/78 | 5,488 | | GC | | | 1/04/79 | 5,488 | | | | | |
| Reliable Transfer, Inc. | 5/03/79 | 7,897 | | GC | | | 5/24/79 | 7,897 | | | | | |
| Rex Motor Freight Co. | 5/28/79 | 2,926 | | GC | | | 6/21/79 | 2,926 | | | | | |
| Roan's Trucking Service | 12/12/79 | 6,766 | | GC | | | 12/28/79 | 6,766 | | | | | |
| Robbins Motor Transportation, Inc. | 4/20/79 | 15,113 | | GC | | | 5/16/79 | 15,113 | | | | | |
| Robert Cole Trucking Co. | 9/11/79 | 20,210 | | GC | | | 10/04/79 | 20,210 | | | | | |
| Robert L. Evans, Jr. | 3/23/79 | 54,624 | | GC | | | 4/11/79 | 54,624 | | | | | |
| Robert S. Fowler | 4/28/79 | 2,200 | | GC | | | 5/16/79 | 2,200 | | | | | |
| Robert Sechan | 7/23/79 | 43,280 | | GC | | | 8/16/79 | 43,280 | | | | | |
| Robideau's Express, Inc. | 4/17/79 | 83,636 | | GC | | | 5/16/79 | 83,636 | | | | | |
| Robideau's Express, Inc. | 8/09/79 | 37,994 | | GC | | | 9/06/79 | 37,994 | | | | | |
| Root's Transfer | 4/27/79 | 2,772 | | GC | | | 5/16/79 | 2,772 | | | | | |
| Route Messengers of Pa., Inc. | 12/28/79 | 61,195 | | GC | | | 1/18/80 | 61,195 | | | | | |
| Russell A. Karchner | 5/18/79 | 58,708 | | GC | | | 6/15/79 | 58,708 | | | | | |
| Russell A. Karchner | 6/04/79 | 502 | | GC | | | 8/27/79 | 502 | | | | | |
| Russell Trucking Line, Inc. | 2/20/79 | 88,000 | | GC | | | 3/21/79 | 88,000 | | | | | |
| S.R.T. Motor Freight, Inc. | 3/02/79 | 84,012 | | GC | | | 3/29/79 | 84,012 | | | | | |
| S.T.S. Motor Freight | 4/23/79 | 24,663 | | GC | | | 5/16/79 | 24,663 | | | | | |
| S.T.S. Motor Freight | 9/04/79 | 2,382 | | GC | | | 10/04/79 | 2,382 | | | | | |
| Safe-Way Moving & Storage | 6/16/79 | 6,650 | | GC | | | 7/12/79 | 6,650 | | | | | |
| Samuel J. Lansberry, Inc. | 3/28/79 | 204,811 | | GC | | | 4/19/79 | 204,811 | | | | | |

1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Samuel W. Smith, Jr. | 7/14/79 | 3,900 | | GC | | | 8/02/79 | 3,900 | | | | | |
| Samuels Motor Express | 12/30/78 | 12,000 | | GC | | | 2/08/79 | 12,000 | | | | | |
| Samuels Motor Express | 2/23/79 | 14,000 | | GC | | | 4/05/79 | 14,000 | | | | | |
| Samuels Motor Express | 6/09/79 | 17,094 | | GC | | | 7/12/79 | 17,094 | | | | | |
| Samuels Motor Express | 12/12/79 | 9,195 | | GC | | | 1/04/80 | 9,195 | | | | | |
| Scherr Motor Freight, Inc | 3/30/79 | 13,502 | | GC | | | 4/25/79 | 13,502 | | | | | |
| Scherr Motor Freight, Inc. | 5/05/79 | 28,847 | | GC | | | 6/07/79 | 28,847 | | | | | |
| Schwerman Trucking Co. | 1/01/79 | 1,096 | | GC | | | 2/01/79 | 1,096 | | | | | |
| Schwerman Trucking Co. | 2/06/79 | 96,908 | | GC | | | 3/08/79 | 96,908 | | | | | |
| Schwerman Trucking Co. | 3/02/79 | 2,000 | | GC | | | 3/29/79 | 2,000 | | | | | |
| Schwerman Trucking Co. | 12/13/79 | 171,585 | | GC | | | 1/11/80 | 171,585 | | | | | |
| Sciarretti Hauling Co. | 10/16/79 | 95,316 | | GC | | | 11/08/79 | 95,316 | | | | | |
| Shaw Trucking, Inc. | 5/15/79 | 40,000 | | GC | | | 6/07/79 | 40,000 | | | | | |
| Sieber Express | 12/19/78 | 7,905 | | GC | | | 1/18/79 | 7,905 | | | | | |
| Sieber Express | 12/12/79 | 2,802 | | GC | | | 12/28/79 | 2,802 | | | | | |
| Sigel's Hauling, Inc. | 8/18/79 | 523 | | GC | | | 9/13/79 | 523 | | | | | |
| Signal Delivery Service, Inc. | 11/27/79 | 110,800 | | GC | | | 12/21/79 | 110,800 | | | | | |
| Sloane Moving & Storage, Inc. | 10/27/79 | 13,916 | | GC | | | 11/29/79 | 13,916 | | | | | |
| Smith Truck Lines, Inc. | 12/23/78 | 22,300 | | GC | | | 1/18/79 | 22,300 | | | | | |
| Smith Truck Lines, Inc. | 5/28/79 | 5,483 | | GC | | | 6/28/79 | 5,483 | | | | | |
| Smith's Tank Service Corp. | 5/10/79 | 5,457 | | GC | | | 6/07/79 | 5,457 | | | | | |
| Smith's Truck Lines | 3/01/79 | 24,630 | | GC | | | 4/05/79 | 24,630 | | | | | |
| Smith's Truck Lines | 8/06/79 | 4,970 | | GC | | | 9/13/79 | 4,970 | | | | | |
| Smith's Truck Lines | 10/15/79 | 7,795 | | GC | | | 11/15/79 | 7,795 | | | | | |
| Sober's Transfer & Storage | 6/02/79 | 9,152 | | GC | | | 6/28/79 | 9,152 | | | | | |
| St. Marys Transfer Co. | 5/04/79 | 13,038 | | GC | | | 5/31/79 | 13,038 | | | | | |
| Stanley D. Moore | 3/27/79 | 4,060 | | GC | | | 4/19/79 | 4,060 | | | | | |
| Star Carriers, Inc. | 1/29/79 | 43,044 | | GC | | | 2/22/79 | 6,915 | | | | | |
| Star Carriers, Inc. | 7/01/79 | 46,871 | | GC | | | 7/19/79 | 46,871 | | | | | |
| Stearly's Motor Freight, Inc. | 5/28/79 | 2,506 | | GC | | | 6/21/79 | 2,506 | | | | | |
| Steele Town Trucking | 5/10/79 | 2,008 | | GC | | | 6/07/79 | 2,008 | | | | | |
| Steele Valley Trucking, Inc. | 4/09/79 | 46,117 | | GC | | | 5/03/79 | 46,117 | | | | | |
| Steele Valley Trucking, Inc. | 8/16/79 | 18,967 | | GC | | | 9/06/79 | 18,967 | | | | | |
| Sterling Storage & Distributing Co., Inc. | 4/25/79 | 14,000 | | GC | | | 5/16/79 | 14,000 | | | | | |
| Story's Express Co., Inc. | 2/12/79 | 1,040 | | GC | | | 3/14/79 | 1,040 | | | | | |
| Story's Express Co., Inc. | 5/21/79 | 2,933 | | GC | | | 6/21/79 | 2,933 | | | | | |
| Story's Express Co., Inc. | 10/15/79 | 5,700 | | GC | | | 11/08/79 | 5,700 | | | | | |
| Stuart E. Keeny | 3/20/79 | 823 | | GC | | | 4/11/79 | 823 | | | | | |
| Stumpf Moving & Storage Co. | 5/11/79 | 8,295 | | GC | | | 6/07/79 | 8,295 | | | | | |
| Suwak Trucking Co. | 3/01/79 | 10,915 | | GC | | | 3/21/79 | 10,915 | | | | | |
| T.M.S. Trucking, Inc. | 4/13/79 | 4,107 | | GC | | | 5/10/79 | 4,107 | | | | | |
| T.M.S. Trucking, Inc. | 12/04/79 | 2,164 | | GC | | | 12/28/79 | 2,164 | | | | | |
| Tajon, Inc. | 3/02/79 | 101,153 | | GC | | | 3/29/79 | 101,153 | | | | | |
| Tajon, Inc. | 7/10/79 | 36,103 | | GC | | | 8/02/79 | 36,103 | | | | | |
| Taynton Freight System, Inc. | 3/06/79 | 19,980 | | GC | | | 3/29/79 | 19,980 | | | | | |
| Taynton Freight System, Inc. | 5/04/79 | 1,938 | | GC | | | 5/24/79 | 1,938 | | | | | |
| Taynton Freight System, Inc. | 7/31/79 | 2,000 | | GC | | | 8/27/79 | 2,000 | | | | | |
| Taynton Freight System, Inc. | 10/12/79 | 45,620 | | GC | | | 11/08/79 | 45,620 | | | | | |
| Three Rivers Trucking | 3/28/79 | 45,167 | | GC | | | 4/25/79 | 45,167 | | | | | |
| Timothy G. Bergman | 12/08/79 | 9,500 | | GC | | | 12/28/79 | 9,500 | | | | | |
| Tooney Trucking & Transfer | 3/05/79 | 2,001 | | GC | | | 3/29/79 | 2,001 | | | | | |
| Tooney Trucking & Transfer | 12/12/79 | 1,639 | | GC | | | 12/28/79 | 1,639 | | | | | |
| Tose, Inc. | 5/03/79 | 39,329 | | GC | | | 5/24/79 | 39,329 | | | | | |
| Tose, Inc. | 11/14/79 | 19,303 | | GC | | | 12/07/79 | 19,303 | | | | | |
| Transit Homes, Inc. | 4/09/79 | 13,132 | | GC | | | 5/03/79 | 13,132 | | | | | |
| Trogolo Transfer | 3/19/79 | 2,611 | | GC | | | 4/11/79 | 2,611 | | | | | |
| Trogolo Transfer | 5/19/79 | 2,129 | | GC | | | 6/15/79 | 2,129 | | | | | |
| Trogolo Transfer | 12/12/79 | 1,481 | | GC | | | 12/28/79 | 1,481 | | | | | |
| Truckway Service, Inc. | 9/10/79 | 20,808 | | GC | | | 10/04/79 | 20,808 | | | | | |
| United Delivery Service | 4/30/79 | 970 | | GC | | | 5/24/79 | 970 | | | | | |
| United Parcel Service, Inc | 3/21/79 | 3,091,379 | | GC | | | 4/19/79 | 3,091,379 | | | | | |
| United Parcel Service, Inc. | 6/15/79 | 694,360 | | GC | | | 7/19/79 | 694,360 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| UNTCO, Inc. | 2/26/79 | 48,853 | | GC | | | 3/21/79 | 48,853 | | | | | |
| Valley Farms Equipment Co., Inc. | 7/20/79 | 10,500 | | GC | | | 8/09/79 | 10,500 | | | | | |
| Valley Freight Lines, Inc. | 7/16/79 | 39,544 | | GC | | | 8/09/79 | 39,544 | | | | | |
| Vesely Brothers | 5/02/79 | 2,215 | | GC | | | 5/24/79 | 2,215 | | | | | |
| W. B. Wills & Son | 8/21/79 | 56,801 | | GC | | | 9/13/79 | 56,801 | | | | | |
| W. C. Kline, Inc. | 4/05/79 | 33,500 | | GC | | | 4/19/79 | 33,500 | | | | | |
| W. C. Kline, Inc. | 11/23/79 | 21,700 | | GC | | | 12/21/79 | 21,700 | | | | | |
| W. C. McQuaide, Inc. | 4/05/79 | 1,500 | | GC | | | 5/03/79 | 1,500 | | | | | |
| W. C. McQuaide, Inc. | 4/18/79 | 275,670 | | GC | | | 5/10/79 | 275,670 | | | | | |
| W. C. McQuaide, Inc. | 5/17/79 | 454,794 | | GC | | | 6/07/79 | 454,794 | | | | | |
| W. C. McQuaide, Inc. | 10/26/79 | 51,533 | | GC | | | 11/15/79 | 51,533 | | | | | |
| W. H. Christie & Sons, Inc. | 8/16/79 | 2,570 | | GC | | | 9/13/79 | 2,570 | | | | | |
| W. H. Johns, Inc. | 4/13/79 | 95,118 | | GC | | | 5/31/79 | 95,118 | | | | | |
| W. H. Johns, Inc. | 5/07/79 | 249,758 | | GC | | | 6/07/79 | 249,758 | | | | | |
| W. H. Johns, Inc. | 6/11/79 | 46,312 | | GC | | | 7/12/79 | 46,312 | | | | | |
| W. H. Johns, Inc. | 10/08/79 | 35,362 | | GC | | | 11/01/79 | 35,362 | | | | | |
| W. H. Johns, Inc. | 11/19/79 | 39,550 | | GC | | | 12/07/79 | 39,550 | | | | | |
| W. J. Beitler Co. | 5/22/79 | 38,962 | | GC | | | 6/15/79 | 38,962 | | | | | |
| W. J. Dillner Transfer Co. | 7/02/79 | 52,000 | | GC | | | 7/26/79 | 52,000 | | | | | |
| W. W. Springer & Son | 5/26/79 | 9,697 | | GC | | | 6/21/79 | 9,697 | | | | | |
| Walter B. Lowrie | 2/26/79 | 2,513 | | GC | | | 3/29/79 | 2,513 | | | | | |
| Ward Trucking Corp. | 3/30/79 | 5,000 | | GC | | | 4/25/79 | 5,000 | | | | | |
| Ward Trucking Corp. | 4/20/79 | 38,150 | | GC | | | 5/10/79 | 38,150 | | | | | |
| Ward Trucking Corp. | 10/22/79 | 34,017 | | GC | | | 11/15/79 | 34,017 | | | | | |
| Warner & Smith Motor Freight, Inc. | 2/27/79 | 27,969 | | GC | | | 3/29/79 | 27,969 | | | | | |
| Warner & Smith Motor Freight, Inc. | 4/02/79 | 8,999 | | GC | | | 4/25/79 | 8,999 | | | | | |
| Warner & Smith Motor Freight, Inc. | 5/08/79 | 6,816 | | GC | | | 6/07/79 | 6,816 | | | | | |
| Warner & Smith Motor Freight, Inc. | 7/03/79 | 25,801 | | GC | | | 8/02/79 | 25,801 | | | | | |
| Warner Motor Express, Inc. | 1/22/79 | 4,088 | | GC | | | 2/22/79 | 4,088 | | | | | |
| Warner Transp. Co. | 12/05/79 | 37,200 | | GC | | | 12/28/79 | 37,200 | | | | | |
| Watsontown Trucking Co. | 2/27/79 | 15,503 | | GC | | | 3/21/79 | 15,503 | | | | | |
| Wayne W. Sell Corp. | 1/09/79 | 113,372 | | GC | | | 2/15/79 | 113,372 | | | | | |
| Wayne W. Sell Corp. | 8/21/79 | 54,243 | | GC | | | 9/13/79 | 54,243 | | | | | |
| Weiss Trans. Co. | 1/05/79 | 1,400 | | GC | | | 2/01/79 | 1,400 | | | | | |
| Weleski Transfer, Inc. | 7/21/79 | 48,882 | | GC | | | 8/16/79 | 48,882 | | | | | |
| West Motor Freight, Inc. | 1/22/79 | 32,682 | | GC | | | 2/22/79 | 32,682 | | | | | |
| West Motor Freight, Inc. | 1/12/79 | 230 | | GC | | | 2/01/79 | 230 | | | | | |
| West Motor Freight, Inc. | 1/14/79 | 200 | | GC | | | 2/08/79 | 200 | | | | | |
| West Motor Freight, Inc. | 5/29/79 | 53,652 | | GC | | | 6/21/79 | 53,652 | | | | | |
| West Motor Freight, Inc. | 9/04/79 | 2,610 | | GC | | | 9/27/79 | 2,610 | | | | | |
| West Penn Warehousing, Inc. | 7/12/79 | 477 | | GC | | | 8/02/79 | 477 | | | | | |
| Wicker Trucking, Inc. | 7/14/79 | 23,995 | | GC | | | 8/09/79 | 23,995 | | | | | |
| William A. Kelly, Inc. | 5/24/79 | 44,093 | | GC | | | 7/05/79 | 44,093 | | | | | |
| William A. Kelly, Inc. | 8/17/79 | 23,354 | | GC | | | 9/13/79 | 23,354 | | | | | |
| William C. Baird | 7/25/79 | 50,400 | | GC | | | 8/27/79 | 50,400 | | | | | |
| William C. Confer | 4/10/79 | 16,625 | | GC | | | 5/03/79 | 16,625 | | | | | |
| William J. Brown Trucking, Inc. | 10/26/79 | 51,936 | | GC | | | 11/29/79 | 51,936 | | | | | |
| Williams Brothers | 9/04/79 | 2,711 | | GC | | | 9/27/79 | 2,711 | | | | | |
| Wilson D. Putt & Sons | 6/11/79 | 3,418 | | GC | | | 7/05/79 | 3,418 | | | | | |
| Wilton Storage Co., Inc. | 4/05/79 | 13,100 | | GC | | | 5/03/79 | 13,100 | | | | | |
| Wilton Storage Co., Inc. | 11/27/79 | 15,500 | | GC | | | 12/21/79 | 15,500 | | | | | |
| Windle Bird | 3/23/79 | 3,151 | | GC | | | 4/11/79 | 3,151 | | | | | |
| Windsor Service Trucking Corp. | 3/13/79 | 57,300 | | GC | | | 4/11/79 | 57,300 | | | | | |
| Wishard Trucking | 6/22/79 | 23,000 | | GC | | | 7/19/79 | 23,000 | | | | | |
| Witmyer Express Lines | 6/05/79 | 28,181 | | GC | | | 6/28/79 | 28,181 | | | | | |
| Womeldorf, Inc. | 3/07/79 | 126,715 | | GC | | | 4/05/79 | 126,715 | | | | | |
| Womeldorf, Inc. | 8/31/79 | 40,999 | | GC | | | 10/04/79 | 40,999 | | | | | |

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Woodrow W. Walters | 3/20/79 | 2,566 | | GC | | | 4/11/79 | 2,566 | | | | | |
| Woolerer Transfer | 4/16/79 | 29,386 | | GC | | | 5/10/79 | 29,386 | | | | | |
| Woolerer Transfer | 12/12/79 | 4,046 | | GC | | | 12/28/79 | 4,046 | | | | | |
| Worster Motor Lines, Inc. | 3/24/79 | 67,750 | | GC | | | 4/11/79 | 67,750 | | | | | |
| Yeager Trucking, Inc. | 3/29/79 | 433 | | GC | | | 4/25/79 | 433 | | | | | |
| Yeager Trucking, Inc. | 7/24/79 | 9,804 | | GC | | | 8/16/79 | 9,804 | | | | | |
| York Stone & Supply Co. | 6/22/79 | 124,457 | | GC | | | 7/12/79 | 124,457 | | | | | |
| Zimmerman Moving & Storage Co. | 2/19/79 | 1,430 | | GC | | | 3/14/79 | 1,430 | | | | | |
| Zimmerman Moving & Storage Co. | 6/15/79 | 1,992 | | GC | | | 7/12/79 | 1,992 | | | | | |
| *** | | | | | | | | | | | | | |
| TENNESSEE PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Blackwood Crane & Truck Service, Inc. | 4/05/79 | | 6 | Size & Weight Commodities | | | 12/13/79 | | 6 | | | | |
| Chemical Leaman Tank Lines, Inc. | 2/20/79 | | 9.5 | BC | | | 7/16/79 | | 9.5 | | | | |
| Davis Transport, Inc. | 6/07/79 | | 2 | BC | | | Rate increase denied. | | | | | | |
| General Trucking Co., Inc. | 6/01/79 | | 10 | BC | | | 7/11/79 | | 10 | | | | |
| Herman Bros., Inc. | 6/04/79 | | 4 | BC | | | Rate increase denied. | | | | | | |
| Highway Transport, Inc. | 6/15/79 | | 2½ | BC | | | Rate increase denied. | | | | | | |
| Miller Transporters, Inc. | 5/23/79 | | 2 | BC | | | Rate increase denied. | | | | | | |
| Miller Transporters, Inc. | 6/18/79 | | 2 | BC | | | Rate increase denied. | | | | | | |
| Morgan Drive Away, Inc. | 6/09/79 | | 34 | Mobile Homes | | | 11/28/79 | | 34 | | | | |
| National Trailer Convoy, Inc. | 5/21/79 | | 8.5-18.4 | Mobile Homes | | | 8/10/79 | | 8.5-18.4 | | | | |
| Paulie Brazier Company | 2/22/79 | | 7 | BC | | | 4/10/79 | | 7 | | | | |
| Producers Transport Co. | 6/04/79 | | 4 | BC | | | Rate increase denied. | | | | | | |
| Purolator Courier Corp. | 6/29/79 | | 10 | GC | | | 10/26/79 | | 10 | | | | |
| Ruan Transport Corp. | 6/08/79 | | 2 | BC | | | Rate increase denied. | | | | | | |
| Russ Transport, Inc. | 6/11/79 | | 4 | BC | | | Rate increase denied. | | | | | | |
| Schwerman Trucking Co. | 12/28/78 | | 14.18 | TL, BC | | | 4/26/79 | | 14.18 | | | | |
| Southern Motor Carrier Rate Conference | 3/12/79 | | 7 | F, TL, LTL | | | 5/25/79 | | 7 | | | | |
| Southwest Motor Freight | 6/26/79 | | 16 | F | | | 9/11/79 | | 16 | | | | |
| Tanksley Transfer Co. | 4/17/79 | | 7 | Size & Weight Commodities | | | 7/24/79 | | 7 | | | | |
| Tennessee Cartage Company, Inc. | 5/25/79 | | 6 LTL<br>3 TL | Refrigerated Products | | | Rate increase denied. | | | | | | |
| Tennessee Tank Truck Carriers Association | 4/09/79 | | 7 | BC | | | 6/22/79 | | 7 | | | | |
| Tennessee Tank Truck Carriers Association | 6/15/79 | | 2 | BC | | | Rate increase denied. | | | | | | |
| Tennessee Tank Truck Carriers Association | 7/20/79 | | 10 | BC | | | 9/12/79 | | 10 | | | | |
| United Parcel Service | 3/15/79 | | 9.5 | Parcels | | | 5/01/79 | | 9.5 | | | | |
| United Parcel Service | 9/26/79 | | 5.8 | Parcels | | | 10/29/79 | | 5.8 | | | | |
| Wharton Transport Corporation | 1/15/79 | | 5 | BC | | | 4/20/79 | | 5 | | | | |
| TEXAS RAILROAD COMMISSION | | | | | | | | | | | | | |
| Common Carrier Motor Freight Association | 12/01/78 | 16,637,000 | 7.2 | TL, LTL, GC | | | 3/19/79 | 10,398,000 | 4.5 | 324,234,000 | 291,491,000 | 32,743,000 | 89.9 |
| SW Warehouse & Transfer Assoc. | 9/15/78 | 360,281 | 5.0 | HG | | | 1/15/79 | 360,281 | 5.0 | 7,742,722 | 7,382,444 | 360,278 | 95.4 |
| Texas Tank Truck Carriers Association | 2/14/79 | | 6.0 | F, TL, BC | | | 6/05/79 | 1,572,659 | 5.0 | 67,431,418 | 62,124,471 | 5,306,947 | 92.41 |
| WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION | | | | | | | | | | | | | |
| Dependable Messenger Service, Inc. | 6/01/79 | 29,300 | 14.20 | Parcels | | | 7/01/79 | 29,300 | 14.20 | 236,017 | 227,204 | 8,813 | 96.27 |
| Mail Dispatch Service, Inc. | 1/18/79 | 54,300 | 5.0 | Parcels | | | 2/18/79 | 54,300 | 5.0 | 1,140,979 | 1,089,300 | 51,679 | 95.5 |
| Mail Dispatch Service, Inc. | 6/01/79 | 74,390 | 5.0 | Parcels | | | 7/01/79 | 74,390 | 5.0 | 1,562,175 | 1,533,477 | 28,697 | 98.16 |
| M/C Parcel Delivery, Inc. | 10/10/79 | 19,350 | 9.19 | Parcels | | | 11/19/79 | 19,350 | 9.19 | 229,729 | 228,646 | 1,083 | 99.53 |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 3/15/79 | 1,839,900 | 7.45 | F, TL, LTL, GC | | | 4/20/79 | 1,358,315 | 5.5 | 26,054,290 | 28,350,855 | (2,296,565) | 108.81 |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 12/14/78 | 1,961,352 | 7.88 | F, Petroleum | 3/05/79 | $ 497,805<br>2.0% | 7/14/79 | 1,961,352 | 7.88 | 26,856,473 | 25,511,494 | 1,344,979 | 95.0 |

*** For Puerto Rico rate cases see end of Motor Carrier Rate Cases.

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION (Continued) | | | | | | | | | | | | | |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 4/26/79 | 3,040,608 | 11.57 | F, Chemicals | 3/05/79<br>5/19/79 | 525,602 20%<br>1,876,400 7.0% | 9/15/79 | 3,040,608 | 11.57 | 29,321,881 | 27,635,872 | 1,686,009 | 94.25 |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 6/04/79 | 2,732,186 | 6.0 | F, Petroleum & Chemicals | | | 7/18/79 | 2,276,822 | 5.0 | 54,176,887 | 51,061,715 | 3,115,172 | 94.25 |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 6/13/79 | 1,074,423 | 4.12 | F, TL, LTL, GC | | | 7/25/79 | 1,074,423 | 4.12 | 27,128,713 | 28,189,388 | 1,060,675 | 103.91 |
| Pacific Inland Tariff Bureau (sample carrier impact only) | 8/21/79 | 773,776 | 2.50 | F, TL, LTL, GC | | | 10/01/79 | 773,776 | 2.50 | 31,713,991 | 31,175,002 | 538,989 | 98.30 |
| Puget Sound Transportation & Storage Conference (sample carrier impact only) | 4/10/79 | 1,417,250 | 5.50 | F, TL, LTL, GC | | | 4/30/79 | 1,417,250 | 5.50 | 27,185,450 | 26,075,544 | 1,109,906 | 95.9 |
| Puget Sound Transportation & Storage Conference (sample carrier impact only) | 10/29/79 | 2,171,326 | 8.0 | F, TL, LTL, GC | | | 1/13/79 | 1,792,326 | 6.5 | 33,063,570 | 31,147,530 | 1,916,040 | 94.20 |
| Puget Sound Transportation & Storage Conference (sample carrier impact only) | 11/07/78 | 504,600 | 2.5 | F, TL, LTL, GC | | | 1/16/79 | 504,600 | 2.5 | 25,762,868 | 24,513,571 | 1,249,297 | 95.15 |
| Purolator Courier Corp. | 5/24/79 | 284,202 | 9.48 | Parcels | | | 7/01/79 | 284,202 | 9.48 | 3,282,113 | 3,096,173 | 185,940 | 94.30 |
| United Parcel Service, Inc. | 3/20/79 | 1,288,397 | 11.0 | Parcels | | | 5/01/79 | 785,669 | 6.70 | 12,514,303 | 12,436,275 | 78,028 | 99.38 |
| United Parcel Service, Inc. | 6/18/79 | 584,294 | 4.67 | Parcels | | | 7/16/79 | 548,736 | 4.38 | 13,063,040 | 12,447,461 | 615,579 | 95.29 |
| United Parcel Service, Inc. | 8/03/79 | 122,287 (fuel offset) | 0.94 | Parcels | | | 9/10/79 | 91,065 | 0.70 | 13,154,105 | 12,530,665 | 623,440 | 95.26 |
| United Parcel Service, Inc. | 9/27/79 | 798,290 | 5.83 | Parcels | | | 11/01/79 | 528,950 | 3.87 | 14,213,460 | 13,656,685 | 556,775 | 96.08 |
| Washington Log Truckers Conference (sample carrier impact only) | 6/20/79 | 1,269,500 | 20.0 | F, TL, Logs | 8/11/79 | 880,600 14% | | | | | | | |
| Washington Movers Conference, Inc. (sample carrier impact only) | 4/27/79 | 30,877 | 2.50 | G, TL, LTL, HG | | | 5/17/79 | 30,877 | 2.50 | 1,265,964 | 1,179,419 | 86,545 | 93.16 |
| *** | | | | | | | | | | | | | |
| WISCONSIN TRANSPORTATION COMMISSION | | | | | | | | | | | | | |
| Wisconsin Motor Carrier Association | 2/15/79 | 5,718,566 1/ | 24.6 | F, TL, LTL, GC | 4/03/79<br>5/31/79<br>9/25/79 | 6.5%<br>2.0%<br>3.3% 2/ | 11/21/79 | 3,696,147 | 13.3 3/ | 27,736,192 1/ | 26,323,901 1/ | 1,412,291 1/ | 95.0 |
| Wisconsin Movers Association | 5/25/78 | | 17.5 | HG | | | 2/14/79 | | 15.8 | | | | 92.6 |
| WYOMING PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| 3 Common Motor Carriers | 5/04/79 | – | 40.9 | TL, BC Petroleum & Petroleum Products | 6/27/79 | 17% | 10/09/79 effective 11/01/79 | | 20.8 | | | | |
| 4 Common Motor Carriers | 4/06/79 | | 5 fuel costs | TL, BC, Crude Oil & Heavy Lubricating Oils | | | 4/20/79 effective 4/25/79 | | 3 | | | | |
| 9 Common Motor Carriers | 4/17/79 | 60,000 | 19 | F, GC | 5/01/79 | 38,000 12% | 8/06/79 effective 9/10/79 | 60,000 | 19 | | | | 93.0 Operating Ratio |
| 9 Common Motor Carriers | 8/30/79 | | 3.4 | F, GC | | | 9/17/79 effective 10/01/79 | | 3.4 | (labor off-set) | | | |
| Blaney Transfer & Storage, Inc. | 9/12/79 | | 9.5 fuel cost surcharge | HG | | | 10/16/79 effective 11/01/79 | | 4 | (fuel cost surcharge) | | | |
| Dick Jones Trk., Haines Trk. Co. | 6/01/79 | 46,000 | 8.8 | Oil Field Equipment & Supplies | 7/09/79 | 27,600 5.3% | 8/20/79 effective 9/12/79 | 46,000 | 8.8 | | | | 94.0 Operating Ratio |

1/ Represents eight carriers of a total of 38 intrastate carriers.
2/ Does not include 1.1% fuel surcharge.
3/ Includes three interim increases and 1.5% fuel surcharge.

*** For West Virginia rate cases see end of Motor Carrier Rate Cases Section.

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | % Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| WYOMING PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| Dick Jones Trk.,<br>Haines Trk. Co. | 10/12/79 | | fuel cost surcharge | | | | 10/26/79 effective 11/12/79 | | 4 | (fuel cost surcharge) | | | |
| Dixon Bros., Inc.,<br>Newman Transit Co., Inc. | 2/10/79 | | 17 | BC, Liquid Asphalt or Road Oil | | | 4/20/79 effective 5/14/79 | | 15.6 | | | | 95.0 Operating Ratio |
| Melrose Trucking Co., Inc. | 5/22/79 | | 49 | TL, BC, Bulk Cement | 6/18/79 | 29.4 | 9/07/79 effective 9/28/79 | | 23.29 | | | | |
| Western Oil Trans. Co., Inc. | 10/10/78 | | 10 | TL, BC, Crude Oil, Petroleum & Petroleum Products | | | 1/09/79 effective 2/01/79 | | 10 | 401,276 | 365,308 | 35,968 | 91.04 Operating Ratio |

WEST VIRGINIA PUBLIC SERVICE COMMISSION

Motor Carrier Rate Cases - Final Orders - 1979 1/

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) |
|---|---|---|---|---|---|---|
| 19991 | Warwood Transfer and Storage Company | 3/28/78 | 5/01/78 | 4/05/79 | 11,013 | 11,013 |
| 20211 | Tower Lines, Inc. | 3/28/78 | 2/02/79 | 2/02/79 | 438 | 438 |
| 20148 | David L. Stone, Stone Disposal | 4/04/78 | 1/18/79 | 1/18/79 | 33,275 | 13,475 |
| 20173 | Guyan Taxi Company | 4/10/78 | - | 3/06/79 | 59,500 | 56,500 |
| 20210 | Yellow Cab Company | 4/10/78 | 3/06/79 | 3/06/79 | 35.54% est. | 34.70% est. |
| 20041 | Adkins Transfer, Inc. | 5/17/78 | 6/10/78 | 4/05/79 | 1[illegible],248 | 15,248 |
| 20032 | Weir Cove Moving and Storage | 5/31/78 | 5/29/78 | 2/13/79 | 1,826 | 1,826 |
| 9092 | Weir Cove Moving and Storage | 6/02/78 | 4/01/78 | 2/13/79 | 14,642 | 14,642 |
| 20194 | Archie A. Vaughn-Garbage | 6/07/78 | 3/28/79 | 3/28/79 | 10,980 | 10,980 |
| 20193 | Century Chevrolet Company, Inc. | 6/12/78 | 3/19/79 | 3/19/79 | 3,461 | 3,460 |
| 20171 | Weston City Cab Company | 6/13/78 | 2/13/79 | 2/13/79 | 46,122 | 23,157 |
| 20215 | Ray M. Mitchell, Mitchell Waste Collection | 6/19/78 | 3/19/79 | 3/19/79 | 9,234 | 9,234 |
| 20216 | Lacy Endicott, dba B & E Cartage Co. | 6/23/78 | 3/05/79 | 3/05/79 | 12,702 | 12,702 |
| 20229 | Sanitation Service Inc. | 6/29/78 | - | 5/15/79 | 30,510 | - |
| 20202 | Lewis Snow, Snow's Sanitation Service | 6/29/78 | 4/11/79 | 5/15/79 | 10,872 | 5,400 |
| 20137 | Burnsville Community Cab | 6/29/78 | 1/17/79 | 1/17/79 | 15,876 | 15,876 |
| 20177 | R. H. Kline, dba Kline Motor Sales | 7/01/78 | 2/02/79 | 2/02/79 | 1,940 | 707 |
| 20109 | Curry Transfer and Storage Company | 7/10/78 | 9/17/78 | 5/01/79 | 44,303 | 44,303 |
| 20165 | Thomas Williams dba Nu Way Cab Company | 7/13/78 | - | 1/24/79 | 7,616 | 7,616 |
| 20226 | Meyers Transfer and Storage (Huntington) | 7/13/78 | - | 6/27/79 | 34,602 | -0- |
| 20225 | Myers, Inc. (Charleston) | 7/13/78 | - | 6/27/79 | 52,005 | -0- |
| 20227 | Damon Moles-Mobile Homes | 8/08/78 | 3/12/79 | 3/12/79 | 31,918 | 14,611 |
| 20120 | Coastal Tank Lines, Inc. | 8/08/78 | 10/01/78 | 1/15/79 | 29,424 | 29,424 |
| 20230 | Taylor County Sanitation, Inc. | 8/09/78 | 11/01/79 | 11/01/79 | 32,043 | 19,130 |
| 20232 | Orbie Campbell, dba War Taxi, Inc. | 8/09/78 | - | 5/11/79 | 4,075 | 4,075 |
| 20138 | Transit Homes, Inc. | 9/20/78 | 11/01/78 | 5/01/79 | 3,930 | 3,930 |
| 20248 | Frank Adkins Garage, Inc. | 9/22/78 | - | 12/04/79 | 3,674 | - |
| 20249 | Billy K. Cutlip, Bill's Body Shop | 9/22/78 | - | 12/04/79 | 56,103 | - |
| 20250 | Brooks Blake, Blake's Wrecker Service | 9/22/78 | - | 12/04/79 | 6,500 | - |
| 20252 | John Heck, dba Heck's Service Station | 9/22/78 | - | 12/04/79 | 16,149 | - |
| 20253 | James R. Barger, dba J. M. Barger, Inc. | 9/22/78 | - | 12/04/79 | 15,220 | - |
| 20254 | Dennis Payne, Payne's Body Shop | 9/22/78 | - | 12/04/79 | 15,886 | - |
| 20241 | Wilson P. Harsher, St. Albans Wrecker Service | 9/22/78 | - | 12/04/79 | 28,830 | - |
| 20224 | Ralph G. Haymonds, Haymonds Wrecker Service | 10/02/78 | - | 12/04/79 | 51,247 | - |
| 20180 | Yellow Cab, Inc. | 10/13/78 | 11/13/78 | 7/15/79 | 459,325 | 370,765 |
| 20222 | Capital Transfer and Storage Company | 10/16/78 | - | 4/20/79 | 1,738 | 1,738 |
| 20281 | B & K Wrecking Company, Inc. | 10/19/78 | 2/28/79 | 2/28/79 | 23,528 | 23,528 |
| 20228 | Obugene Trash and Garbage Service | 10/20/78 | 10/20/78 | 6/27/79 | 160,125 | 136,155 |
| 20231 | Wable Ford-Mercury, Inc. | 11/03/78 | - | 12/04/79 | 3,974 | - |
| 20181 | W. H. Kincaid, Valley Sanitation Service | 11/09/78 | 5/18/79 | 5/18/79 | 24,849 | 24,849 |
| 20161 | Central Service Corporation - Taxi | 11/13/78 | 8/02/79 | 8/02/79 | 75,603 | 74,386 |
| 20162 | Jefferson Transit Company - Taxi | 11/13/78 | 7/31/79 | 7/31/79 | 15,992 | 15,992 |
| 20163 | Orndorff Taxi, Inc. | 11/13/78 | 8/02/79 | 8/02/79 | 146,829 | 119,774 |

WEST VIRGINIA PUBLIC SERVICE COMMISSION (Continued)

Motor Carrier Rate Cases - Final Orders - 1979 1/

| Case Number | Name of Company | Date Filed | Date Effective | Date of Final Order | Increase Requested ($) | Increase Granted ($) |
|---|---|---|---|---|---|---|
| 20164 | Delbert P. Tebay, dba Tebay's Disposal | 11/13/78 | 5/18/79 | 5/18/79 | 10,980 | 10,980 |
| 20188 | Brenda Surrett, dba S.W. Sanitation | 12/07/78 | - | 4/27/79 | 11,454 | 10,012 |
| 20190 | Chemical Leamen Tank Lines, Inc. | 12/08/78 | - | 3/12/79 | 164,000 | 164,000 |
| 20191 | Morton's Oak Hill Taxi, Inc. | 12/08/78 | 7/31/79 | 7/31/79 | 46,397 | 46,397 |
| 20204 | Thurston Stemple | 12/15/78 | 3/09/79 | 3/09/79 | 7,899 | 7,899 |
| 20209 | James M. Perdue | 12/19/78 | 7/12/79 | 7/12/79 | 29,056 | 10,000 |
| 20214 | James H. Lipps, dba Lipps Wrecker Service | 12/26/78 | - | 12/01/79 | 7,623 | - |
| 20218 | Schwerman Trucking Company | 12/27/78 | 4/30/79 | 4/30/79 | 81,628 | 27,388 |
| 20257 | Nick Mason, Northfork Taxi | 1/02/79 | 7/11/79 | 7/31/79 | 5,703 | 5,703 |
| 20258 | Glen Cornett, dba Glen's Taxi | 1/02/79 | 7/11/79 | 7/31/79 | 4,750 | 4,750 |
| 20264 | Thomas E. Walker, dba Walker's Sanitation | 1/10/79 | 4/10/79 | 4/10/79 | 3,173 | 3,173 |
| 20268 | Hinton Taxi Company | 1/15/79 | 8/22/79 | 8/22/79 | 23,083 | 23,083 |
| 20283 | James N. Stiles, dba Stiles and Sons Sanitation | 1/31/79 | 8/01/79 | 8/21/79 | 3,909 | 2,798 |
| 20289 | John H. Adkins - Wrecker | 2/05/79 | - | 12/04/79 | 39,923 | - |
| 20290 | Sam Hope, dba Hope Wrecker | 2/05/79 | - | 12/04/79 | 21,300 | - |
| 20291 | Miles Manahan, dba Manahan's Werecking Service | 2/05/79 | - | 12/04/79 | 12,281 | - |
| 20292 | Jerry B. Lewis, dba Nellis Motor Sales | 2/05/79 | - | 12/04/79 | 31,287 | - |
| 20293 | Richard DeNettles, dba Elk Valley Motor Sales | 2/05/79 | - | 12/04/79 | 38,712 | - |
| 20296 | Woodrow Knollinger, dba Woody's House Trailer Towing Service | 2/08/79 | 7/16/79 | 7/16/79 | - | - |
| 20297 | Woodrow Knollinger, dba Middle Creek Garage | 2/08/79 | 9/19/79 | 10/09/79 | -0- | 13,178 |
| 20298 | Galen Lane Ellis, dba Clay Transportation | 2/08/79 | 5/25/79 | 5/25/79 | 3,332 | 3,332 |
| 20309 | Yellow Cab of Moundsville, Inc. | 2/15/79 | 10/19/79 | 10/30/79 | 34,570 | 46,080 |
| 20311 | Surburban Sanitation Company, Inc. | 2/16/79 | 7/31/79 | 7/30/79 | 53,683 | 50,328 |
| 20320 | Hubert Jones, Inc. | 2/29/79 | 4/05/79 | 11/22/79 | 246,202 | 193,066 |
| 20307 | Charles Ratcliff, dba Fort Gay Taxi Service | 3/13/79 | 6/04/79 | 6/04/79 | - | - |
| 20340 | Peaslee Trucking Company | 3/21/79 | 7/16/79 | 8/05/79 | 42,428 | 36,503 |
| 20347 | United Parcel Service, Inc | 3/26/79 | 5/01/79 | 5/24/79 | 106,756 | 106,756 |
| 20344 | Weir - Cove Moving and Storage Company | 3/27/79 | 6/15/79 | 6/15/79 | 11,688 | 11,688 |
| 20350 | Harold Taylor, dba Harold's Refuse Removal | 3/27/79 | 4/24/79 | 4/24/79 | 3,420 | 3,420 |
| 79-090 | Moundsville Ferry Company | 4/03/79 | 5/15/79 | 5/15/79 | N/A | 25¢ per vehicle |
| 20362 | Bridgeport Disposal, Inc. | 4/06/79 | 11/08/79 | 11/26/79 | 47,362 | 32,816 |
| 20375 | Orie Richards, dba West Logan Sanitation Service | 4/17/79 | 10/18/79 | 10/18/79 | 33,070 | 16,474 |
| 20382 | Gassaway Cabs, Inc. | 4/24/79 | 6/15/79 | 6/15/79 | 10,676 | 10,676 |
| 20393 | Morgantown Yellow Cab, Inc. | 5/02/79 | 12/23/79 | 12/23/79 | 67,755 | 67,755 |
| 20394 | Tower Lines, Inc. | 5/03/79 | - | 6/13/79 | 20,858 | 20,858 |
| 20398 | Lonnie Ball, dba Industrial and Residential Sanitation Service | 5/07/79 | 10/22/79 | 10/22/79 | 38,301 | 25,923 |
| 20406 | Albert C. Hizer, dba Hizer Trucking Company | 5/17/79 | 10/22/79 | 10/22/79 | 37,543 | 24,577 |
| 20409 | Burgess Motor Company | 5/22/79 | - | 12/04/79 | 3,248 | - |
| 20411 | Nelson Transfer and Storage | 5/24/79 | 12/27/79 | 12/27/79 | 14,407 | 14,407 |
| 20417 | George W. Sisler, dba City Cab and Grafton Taxi | 5/28/79 | 7/30/79 | 7/30/79 | 39,000 | 30,426 |
| 20418 | Dittinburn Trucking, Inc. | 5/31/79 | 7/01/79 | 8/20/79 | 63,199 | 63,199 |
| 20421 | Harry L. Eversole, dba Bud's Taxi | 6/01/79 | 11/21/79 | 11/01/79 | 95,264 | 60,743 |
| 20422 | Kenneth P. Huey and Jack West, dba Manning Refuse Collection | 6/01/79 | 10/10/79 | 10/10/79 | 11,925 | 10,727 |
| 20423 | Mercer Auto Brokers, Inc. | 6/01/79 | - | 12/04/79 | 20,807 | - |
| 20430 | Charles S. Gillespie | 6/08/79 | 9/10/79 | 9/10/79 | 16,104 | 12,298 |
| 20432 | Frank J. Thompson, dba Mack's Taxi | 6/11/79 | 8/14/79 | 8/14/79 | 9,245 | 9,245 |
| 20434 | United Parcel Service | 6/11/79 | 7/16/79 | 7/20/79 | 36,455 | 36,455 |
| 20445 | Danieley's, Inc. | 6/18/79 | 10/13/79 | 10/17/79 | 15,244 | 15,244 |
| 20448 | Jimmy Lee Owens | 6/21/79 | 9/07/79 | 10/17/79 | 2,956 | 2,956 |
| 20450 | Ansted Garbage Disposal | 6/21/79 | 9/18/79 | 8/30/79 | 1,920 | 1,920 |
| 20454 | Morgan Drive Away, Inc | 6/25/79 | 7/30/79 | 8/30/79 | 1,409 | 1,409 |
| 20464 | Robert A. Taylor, dba Taylor Disposal Service | 6/28/79 | 11/26/79 | 11/26/79 | 15,732 | 15,732 |
| 20466 | Charles Steffich, dba Mountain State Towing | 7/02/79 | 11/13/79 | 12/21/79 | 19,207 | 19,207 |
| 20472 | James R. Rice, dba Rice Sanitation Service | 7/11/79 | - | 12/24/79 | 18,358 | - |
| 20481 | Kenneth E. Hancock, dba Hancock Garbage Service | 7/24/79 | - | 12/26/79 | 65,088 | - |
| 20488 | Bernard E. Gwinn, dba Gwinn's Taxi | 7/26/79 | 12/14/79 | 12/11/79 | 22,263 | 19,505 |
| 20491 | Lemmon Transport Company, Inc. | 8/01/79 | 12/17/79 | 12/17/79 | 88,713 | 88,713 |
| 20495 | Vernon Hartwell | 8/03/79 | 9/07/79 | 9/07/79 | 17,642 | 17,642 |
| 20509 | Lamberts Used Auto Parts | 8/16/79 | 12/31/79 | 12/31/79 | 121,049 | 67,285 |
| 20533 | United Parcel Service, Inc. | 9/21/79 | 12/11/79 | 12/11/79 | 94,083 | 94,083 |
| 20537 | Virginia Stage Lines | 9/24/79 | 11/02/79 | 12/27/79 | 78,851 | 78,851 |

1/ Motor carrier rate cases arranged in order by date filed.

## 1979 MOTOR CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>TL=Truck Load<br>LTL=Less than Truck Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>HG=Household Goods<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| OKLAHOMA CORPORATION COMMISSION | | | | | | | | | | | | | |
| Associated Motor Carriers of Oklahoma | 3/19/79 | | 4 | | | | 4/13/79 Dismissed | | | | | | |
| Bebo Truck Lines | 8/10/78 | | 10 | BC | | | 5/30/79 | | 10 | | | | |
| Bebo Truck Lines, Bruce Trucking, Dawson Trucking | 4/18/79 | | 15 | BC | 5/09/79 | 5% (emergency surcharge) | 6/20/79 | | 15 (5% emergency surcharge cancelled) | | | | |
| Bray Transports, Inc. | 5/21/79 | | 7 | Petroleum Products | 6/06/79 | 4.8% | 8/20/79 | | 7 (reduced fuel surcharge 7.28 to 6.8%) | | | | |
| Central Oklahoma Freight Lines | 5/24/79 | | | GC | | | 7/31/79 | | 10-11 | | | | |
| Chemical Express Carriers, Inc. | 5/29/79 | | Rate Restructuring | Cement | | | 9/06/79 | | As requested | | | | |
| Ellsworth Motor Freight Lines, Inc. | 5/24/79 | | | Cement | 6/07/79 | 5.8% | 9/06/79 | | As requested | | | | |
| Magic Empire Express | 6/01/79 | | | Express | 7/17/79 | Fuel Surcharge Added | 7/31/79 | | 12 | | | | |
| Masters Transfer & Storage Co. | 5/09/79 | | 12 line haul<br>7 accessorial | HG | 6/21/79 | 6.8% | 8/08/79 | | 12 line haul<br>7 accessorial<br>(decrease of .61% in fuel surcharge) | | | | |
| McCorkle Truck Lines, Inc. | 6/20/79 Permanent<br>6/25/79 Emergency | | 25 | BC | 7/05/79 | 10% | 10/30/79 | | 15 general increase<br>5 temporary surcharge<br>(cancel 10% surcharge) | | | | |
| National Trailer Convoy | 6/15/79 | | 20 | Mobile Homes | 9/24/79 | 30% | 10/26/79 | | 30 (interim made permanent and rules added) | | | | |
| Transit Homes, Inc. | 6/25/79 | | 30 | | | | | | | | | | |
| Osage Oil & Trans. | 1/19/78 | | 5<br>Rate Restructuring | BC | | | 3/23/79 | | 5 Rate Restructuring | | | | |
| Purolation Courier Corp. | 6/14/79 | | | Courier | | | 10/10/79 | | 7 | | | | |
| S & W Transports | 5/09/79 | | 12.2 | Oil Field Fluids | 6/13/79 | 4% | 9/26/79 | | 12.2 | | | | |
| Turner Bros. | 5/15/79 | | 5 | Oil Field Equipment | | | 8/02/79 | | 10 | | | | |
| PUERTO RICO PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Sindicato Autentico de Camioneros & others | 8/01/79 | | | Concrete Aggregates, Land Fill & Asphalt | 9/17/79 | 6.49% | 11/14/79 | | 29.80 | | | | |
| IOWA TRANSPORTATION REGULATION BOARD | | | | | | | | | | | | | |

General Freight Carriers - 1979 Rate Increases

| Name of Firm | Date Effective | Percent Increase | Service Affected |
|---|---|---|---|
| A.W. Schmidt Transfer, Inc. | 5/16/79 | 2.5 | Minimum, LTL, TL |
| | 7/04/79 | 2.55 | Minimum, LTL, TL |
| Boone Freight Lines | 5/09/79 | 6.0 | LTL, TL |
| | 7/04/79 | 2.55 | LTL, TL |
| Carstensen Freight Lines | 4/06/79 | 2.5 | Local Freight Service |
| | 7/04/79 | 2.55 | Local Freight Service |
| Iowa Parcel Service | 4/01/79 | 8.0 | Express |
| | 7/04/79 | 2.2 | Express |
| | 11/11/79 | 2.0 | Express |
| | 5/13/79 | 8.0 | Film |
| Middlewest Motor Freight Bureau | 4/25/79 | 5.5 | General Freight |
| | 6/25/79 | 1.99 | General Freight |
| | 7/04/79 | 2.55 | General Freight |
| | 10/09/79 | 2.1 | General Freight |
| | 12/10/79 | 2.0 | General Freight |
| | 12/13/79 | .5 | General Freight |
| United Parcel Service | 5/01/79 | 7.0 | All Classifications |
| | 7/04/79 | 2.2 | All Classifications |
| | 7/16/79 | 1.5 | All Classifications |
| | 11/05/79 | 5.9 | All Classifications |
| Van Sant Motor Freight | 3/07/79 | 10.0 | Minimum, LTL, TL |
| | 7/04/79 | 2.55 | Minimum, LTL, TL |

IOWA TRANSPORTATION REGULATION BOARD (continued)

## 1979 Liquid Carrier Rate Increases

| Name of Firm | Date Effective | Percent Increase | Commodity Affected |
|---|---|---|---|
| Acme Transport | 6/03/79 | 9.50 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Bacon Transport | 3/06/79 | 10.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| C.H. Wilson Transportation | 3/04/79 | 6.50 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| C.L. Trucking | 5/28/79 | 8.00 | All Liquid Commodities |
| | 6/03/79 | 8.00 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| C-S Transport | 2/09/79 | 7.00 | All Liquid Commodities |
| | 4/25/79 | 4.00 | All Liquid Commodities |
| | 5/20/79 | 3.00 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 8/15/79 | 9.00 | All Liquid Commodities |
| Cherokee Transp. | 3/01/79 | 7.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| | 3/01/79 | 6.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| | 7/13/79 | 7.00 | Liquid Propane Gas |
| | 7/04/79 | 8.93 | Liquid Propane Gas |
| Cornbelt Transp. | 2/22/79 | 7.50 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 8/22/79 | 3.00 | All Liquid Commodities |
| Cronbaugh Trans. | 3/13/79 | 10.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| Dan Dugan Trans. | 3/13/79 | 8.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Daufeldt Transp. | 6/11/79 | 5.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 7/23/79 | 11.00 | All Liquid Commodities |
| Dickey Transportation | 3/12/79 | 8.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Dilts Trucking | 3/30/79 | 8.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| | 7/30/79 | 10.00 | Anhydrous Ammonia |
| E-N Transport | 3/13/79 | 8.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Energy Transp. | 4/14/79 | 10.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| Figanbaum Truck | 7/04/79 | 8.93 | Liquid Propane Gas |
| | 7/13/79 | 7.00 | Liquid Propane Gas |
| Ford Truck Line | 3/14/79 | 8.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| Happy Hauler | 3/03/79 | 6.50 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 7/18/79 | 7.00 | All Liquid Commodities |
| Hawkeye Transp. | 3/01/79 | 6.50 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| | 7/16/79 | 10.00 | Liquids |
| Herman Bros. | 4/26/79 | 20.00 | |
| | 7/04/79 | 8.93 | |
| | 7/04/79 | 8.93 | Weed Killer Solution |
| | 7/07/79 | 3.50 | Weed Killer Solution |
| | 7/04/79 | 8.93 | Petroleum Products |
| | 7/15/79 | 4.50 | Petroleum Products |
| | 8/13/79 | 7.50 | Petroleum Products |
| IA Petro Carrie | 2/01/79 | 6.50 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 7/05/79 | 7.00 | All Liquid Commodities |
| Indianhead Trucking | 5/07/79 | 9.50 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Jensen Transp. | 5/20/79 | 14.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| Jet Transport | 3/12/79 | 8.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| Jorge Corporation | 3/02/79 | 10.00 | Liquids |
| | 3/30/79 | 12.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |

IOWA TRANSPORTATION REGULATION BOARD (continued)

1979 Liquid Carrier Rate Increases (continued)

| Name of Firm | Date Effective | Percent Increase | Commodity Affected |
|---|---|---|---|
| Kroblin Refrig. | 7/04/79 | 8.93 | Petroleum Products |
| | 8/29/79 | 7.00 | Petroleum Products |
| Liquid Carriers | 3/01/79 | 7.00 | Liquid Propane Gas |
| | 7/04/79 | 8.93 | Liquid Propane Gas |
| | 3/01/79 | 12.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Luppes Transp. | 2/22/79 | 7.50 | All Liquid Commodities |
| | 6/28/79 | 8.20 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| McMorhan Trucking | 5/07/79 | 7.00 | Syrup |
| | 7/04/79 | 8.93 | Syrup |
| | 7/16/79 | 3.00 | Syrup |
| Monte Transp. | 5/17/79 | 7.00 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| | 8/20/79 | 2.00 | All Liquid Commodities |
| P-M Transfer | 2/01/79 | 9.00 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| Peake Truckline | 4/14/79 | 7.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| Petroleum Carrier | 4/14/79 | 13.20 | Liquids |
| | 6/25/79 | 7.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| | 9/10/79 | 5.00 | Anhydrous Ammonia |
| Quickie Transp. | 1/19/79 | 10.00 | All Liquid Commodities |
| | 7/04/79 | 8.93 | All Liquid Commodities |
| Randolphs Inc. | 5/21/79 | 7.00 | Liquids |
| | 7/04/79 | 8.93 | Liquids |
| Ruan Transport | 2/12/79 | 9.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| | 11/19/79 | 9.00 | Anhydrous Ammonia |
| | 2/12/79 | 9.00 | Liquid Fertilizer |
| | 7/04/79 | 8.93 | Liquid Fertilizer |
| | 11/19/79 | 9.00 | Liquid Fertilizer |
| | 1/09/79 | 9.09 | Dry Fertilizer |
| | 3/13/79 | 0.83 | Dry Fertilizer |
| | 7/04/79 | 8.93 | Dry Fertilizer |
| | 11/19/79 | 9.00 | Dry Fertilizer |
| | 1/01/79 | 9.09 | Liquid Propane Gas |
| | 3/18/79 | 0.83 | Liquid Propane Gas |
| | 7/04/79 | 8.93 | Liquid Propane Gas |
| | 11/19/79 | 9.00 | Liquid Propane Gas |
| | 1/01/79 | 8.83 | Petroleum Products |
| | 3/15/79 | 5.70 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| | 11/19/79 | 9.00 | Petroleum Products |
| | 1/01/79 | 9.09 | Petroleum Products |
| | 3/15/79 | 5.42 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| | 12/03/79 | 11.00 | Petroleum Products |
| | 1/01/79 | 7.00 | Weed Killer Solution |
| | 7/04/79 | 8.93 | Weed Killer Solution |
| | 11/26/79 | 9.00 | Weed Killer Solution |
| | 1/01/79 | 12.22 | Other |
| | 7/04/79 | 0.04 | Other |
| | 1/01/79 | 12.00 | Cement |
| | 7/04/79 | 8.93 | Cement |
| Service Oil Co. | 4/15/79 | 10.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Simonsen Tank | 7/04/79 | 8.93 | |
| | 12/13/79 | 12.00 | |
| Sioux Transport | 6/07/79 | 9.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |
| Stahly Cartage | 7/04/79 | 8.93 | Anhydrous Ammonia |
| | 7/09/79 | 3.00 | Anhydrous Ammonia |
| Sully Transport | 4/13/79 | 8.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |

## 1979 MOTOR CARRIER RATE INCREASES

IOWA TRANSPORTATION REGULATION BOARD (continued)

### 1979 Liquid Carrier Rate Increases (continued)

| Name of Firm | Date Effective | Percent Increase | Commodity Affected |
|---|---|---|---|
| Two Rivers Coop. | 5/01/79 | 12.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Truck Transport | 7/04/79 | 8.93 | Liquid Fertilizer |
| | 9/04/79 | 3.00 | Liquid Fertilizer |
| W.W. Transport | 4/30/79 | 6.00 | Anhydrous Ammonia |
| | 7/04/79 | 8.93 | Anhydrous Ammonia |
| Western Tank | 5/31/79 | 9.00 | Petroleum Products |
| | 7/04/79 | 8.93 | Petroleum Products |

## 1979 RAILROAD CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>CL=Car Load<br>LCL=Less than Car Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>SC=Switching Charge<br>DC=Demurrage Charge<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| INTERSTATE COMMERCE COMMISSION | | | | | | | | | | | | | |
| United States Railroads | | | 9.0<br>7.8<br>6.4 | Eastern Territory<br>Western Territory<br>Southern Territory | 10/01/79 | As Requested | Denied | | | | | | |
| Western Railroads | | | 2.0 | | 2/27/78 | 2% | Pending | | | | | | |
| ALABAMA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Alabama RR Parties to Tariff X357-A | 1/28/79 | | 8.0 | F, CL, SC | | | 5/06/79 | 3,232,164 | 8.0 | | | | |
| Carriers Parties to SFTB 763-G | 1/13/79 | | 10.0 | F, CL, Brick Materials | | | 7/13/79 | | 10.0 | | | | |
| Carriers Parties to Tariff SFA 867-F | 9/27/79<br>10/05/79<br>12/79 | 1/ | | F, CL | | | 11/19/79 | As originally filed. | | | | | |
| Illinois Central Gulf RR | 1/06/79 | 2/ | | SC | | | 5/13/79 | As requested except at Mobile and Tuscaloosa. | | | | | |
| Southern Railway System | | 3/ | | F, SC | | | 6/01/79 | 3/ | | | | | |
| Southern System Illinois Central Gulf Family Lines | 1/20 & 2/03/79 | 4/ | | F, CL, SC | | | Tariffs proposing the cancellation were cancelled by railroads. | | | | | | |

1/ Increase the carload minimum on paper from 50M to 70M.
2/ Increase from $7.34 to $20.00 per car.
3/ From $56.84 to $100.00.
4/ Cancellation of absorption of switching charges on pulpwood.

| | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Atchison, Topeka & Santa Fe Railway, its affiliates & Class III Connections 1/ | 1/24/79 Amended 2/21, and March 15, 19, & 20, 1979 | 1,873,837 | 7.33 Weighted Average | F | 3/27/79 | 2/ | Pending | | | | | | |
| Burlington Northern & its Class III Connections 3/ | 2/20/79 Amended March 19 & 20, 1979 | 3,000 | 7.0 Weighted Average | F | | | 3/27/79 | 3,000 | 7.0 | | | | |
| Southern Pacific Transportation Co., Northwestern Pacific RR | 11/17/78 | 977,568 | 15-52 | F, BC, Rock, Sand, Gravel | | | 12/18/79 | 977,568 | 15-52 | | | | |
| Southern Pacific Transportation Co., its affiliates & Class III Connections 4/ | 12/22/78 Amended Feb. 9, March 1, 20 & 22, 1979 | 8,314,913 | 9.23 Weighted Average | F | 3/27/79 | 5/ | Pending | | | | | | |
| Union Pacific RR and its Class III Connections 6/ | 2/16/79 Amended March 19, 20 & 21, 1979 | 167,596 | 8.5 Weighted Average | F | | | 3/27/79 | 167,596 | 8.5 | | | | |
| Western Pacific RR, its affiliates & Class III Connections 7/ | 12/29/78 Amended Feb. 26, March 19 & 20, 1979 | 273,000 | 9.0 Weighted Average | F | 3/27/79 | 2/ | Pending | | | | | | |

1/ The Commission consolidated this application with the X-357-A applications of BN, SP, UP and WP.
2/ Interim decision of March 27, 1979, granted the application in its entirety except that increase on wood chips (15% requested) was held to 7% pending hearing. Hearings have been completed and the matter submitted.
3/ The Commission consolidated this application with the X-357-A applications of ATSF, SP, UP and WP.
4/ The Commission consolidated this application with X-357-A applications of ATSF, BN, UP and WP.
5/ Interim decision of March 27, 1979, granted the application in its entirety except that increases on sugar beets and wood chips (15% requested on both) were held to 7% pending hearing. Hearings have been completed and the matter submitted.
6/ The Commission consolidated this application with the X-357-A applications of ATSF, BN, SP and WP.
7/ The Commission consolidated this application with the X-357-A applications of ATSF, BN, SP and UP.

## 1979 RAILROAD CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>CL=Car Load<br>LCL=Less then Car Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>SC=Switching Charge<br>DC=Demurrage Charge<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| FLORIDA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Florida Railroads | 6/21/78 | 1,049,917 | 1.6 | CL, GC | | | 3/12/79 | 987,545 | 1.5 | 65,266,807 | 65,488,000 | (218,193) 1/ | (.003) |
| Florida Railroads | 6/11/79 | 825,816 | 1.2 | F, TL, BC, GC, SC | | | 7/19/79 | 825,816 | 1.2 | 68,818,000 | 16,576,199 2/ | | |

1/ Includes income taxes.
2/ Cost of fuel price increase January-April 1979, systemwide.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Burlington Northern | 5/21/79 | | 8 | F, CL, LCL, GC | | | 7/06/79 | | 8 | | | | |
| Union Pacific Railroad Co. | 3/07/79 | | 8 | F, CL, LCL | | | 7/12/79 | (Denied) | | | | | |
| Union Pacific Railroad Co. | 6/21/79 | | 8 | CL, LCL, GC | | | 7/20/79 | | 8 | | | | |
| Union Pacific Railroad | 8/02/79 | | 5 | Lumber | | | 9/10/79 | | 5 | | | | |
| ILLINOIS COMMERCE COMMISSION | | | | | | | | | | | | | |
| B & O Railroad Co. | 11/13/79 | Freight Tariffs | | F | | | | | | | | | |
| Burlington Northern, Inc | 11/26/79 | Coal Rates | | F | | | | | | | | | |
| Chicago & NW Trans. Co. | 11/26/79 | Coal Rates | | F | | | | | | | | | |
| Chicago R.I. Pacific RR Co. | 11/26/79 | Coal Rates | | F | | | | | | | | | |
| I.C. Gulf RR Co. | 11/16/79 | Coal Rates | | F | | | | | | | | | |
| Mo.-Pacific RR Co. | 11/26/79 | Coal Rates | | F | | | | | | | | | |
| Western Truck Lines | 11/26/79 | Coal Rates | | F | | | | | | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Ala. Great So. RR Co. | 2/22/79 | | 11 | F, GC | | | 6/20/79 | | 11 | | | | |
| Ark. & La. Mo. RY Co. | 3/19/79 | | 11 | F, GC | | | 6/20/79 | | 11 | | | | |
| Ark. & La. Mo. RY Co. | 9/26/79 | | 7 | F, GC | | | Pending | | | | | | |
| Ill. Central Gulf RR Co. | 9/12/79 | | Various | F, GC | | | Pending | | | | | | |
| Ill. Central Gulf RR Co. | 8/22/79 | | 25.9 | F, GC | | | 12/06/79 | | 25.9 | | | | |
| Kansas City So. & La. & Ark. RY Companies | 7/03/79 | 75.00 per car | | F, GC | | | Pending | | | | | | |
| Kansas City So. & La. & Ark. RY Companies | 6/06/79 | | 11 | F, GC | | | 11/12/79 | | 11 | | | | |
| Mo. Pacific RR Co. | 2/05/79 | | 7 | F, GC | | | 6/20/79 | | 7 | | | | |
| Mo. Pacific RR Co. | 3/09/79 | | 19 | F, GC | | | 6/20/79 | | 19 | | | | |
| Southern Freight Assn. 1/ | 6/06/79 | | 1.2 | F, GC | | | 9/19/79 | Dismissed | | | | | |

1/ In behalf of participating carriers (railroads operating in La. east of the Mississippi River).

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MINNESOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| 6 Minnesota Class I Railroads (X-357) | 4/11/79 | 1,517,000 | 8 | F, CL, GC | 4/24/79 | 1,364,000 8% 1/ | | | | | | | |
| 10 Minnesota Railroads (X-349) | 10/23/78 | 645,000 | 4 | F, CL, GC | | | 6/08/79 | 645,000 | 4 2/ | 3,340,294,000 3/ | 2,763,787,000 4/ | 10,856,000 5/ | .24 6/ |

1/ Pulpwood excepted in interim order.
2/ Pulpwood excepted from any increase.
3/ System figures (1977) for 7 Minnesota Class I railroads (Minnesota intrastate revenues $30,104,000 approximately 1% of system).
4/ System figures for 7 Minnesota Class I railroads (railroads contend they cannot allocate intrastate expense).
5/ System net railway operating income for 7 Minnesota Class I railroads.
6/ 1977 system return on investment for 7 Minnesota Class I railroads.

| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| MISSISSIPPI PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| All Railroads Operating in Mississippi | 5/23/79 | | 1.2 | F | | | 6/05/79 | | 1.2 | | | | |
| All Railroads Operating in Mississipi | 10/11/79 | | 6.4 | F | | | 12/20/79 | | 6.4 | | | | |
| All Railroads Operating in Mississipi | 10/11/79 | | 1.1 | F | | | 11/16/79 | | 1.1 | | | | |

## 1979 RAILROAD CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>CL=Car Load<br>LCL=Less than Car Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>SC=Switching Charge<br>DC=Demurrage Charge<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| MISSISSIPPI PUBLIC SERVICE COMMISSION (Continued) | | | | | | | | | | | | | |
| Illinois Central Gulf RR & Columbus & Greenville RR | 8/20/79 | | 29.8 Woodchips<br>22.8 Pulpwood | Pulpwood & Woodchips | | | 9/18/79 | | 29.8 Woodchips<br>22.8 Pulpwood | | | | |
| Southern Railway System | 9/19/79 | | 6% Woodchips<br>14% Pulpwood | Pulpwood & Woodchips | | | 10/29/79 | | 6 Woodchips<br>14 Pulpwood | | | | |
| NEBRASKA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| BN (R-329) | 11/13/78 | | 6.6 | Sand & Gravel | | | 1/01/79 | | 6.6 1/ | | | | |
| BN (R-344) | 3/01/79 | | 2/ | CL, GC | | | 7/25/79 | | 2/ | | | | |
| BN (R-348) | 3/08/79 | | 7-10 3/ | Grain, GC | | | 8/30/79 | | 7-10 3/ | | | | |
| BN (R-358) | 3/21/79 | | 2 4/ | SC | | | 4/25/79 | | 2 4/ | | | | |
| BN (R-367) | 6/07/79 | | 5/ | | | | 7/17/79 | | 5/ | | | | |
| BN (R-389) | 10/11/79 | | 6/ | SC | | | 11/15/79 | | 6/ | | | | |
| Brandon Corp. | 11/13/79 | | 12 | SC (Omaha) | | | 12/26/79 | | 12 | | | | |
| CNWT (R-336) | 12/07/78 | | 6.6 | Sand & Gravel | | | 6/01/79 | | 6.6 (for 10-car sand & gravel) | | | | |
| CNWT (R-338) | 12/13/78 | | 4 | SC | | | 1/24/79 | | 4 7/ | | | | |
| CNWT (R-356) | 3/19/79 | | 7-10 3/ | Grain, GC | | | 8/30/79 | | 7-10 3/ | | | | |
| CNWT (R-377) | 8/24/79 | | 5 & 4 8/ | SC | | | 10/17/79 | | 5 & 4 8/ | | | | |
| CNWT (R-383) | 9/14/79 | | 9/ | SC | | | 10/17/79 | | 9/ | | | | |
| CNWT (R-398) | 11/02/79 | | 10/ | SC | | | 12/12/79 | | 10/ | | | | |
| CRI & P (R-355) | 3/15/79 | | 7-10 3/ | Grain, GC | | | 8/30/79 | | 7-10 3/ | | | | |
| ICG (R-370) | 7/03/79 | 11/ | | SC | | | 8/10/79 | 11/ | | | | | |
| Mo. Pac. RR (R-340) | 1/02/79 | | 4 & 5 12/ | SC | | | 2/02/79 | | 4 & 5 12/ | | | | |
| Mo. Pac. RR (R-351) | 3/11/79 | | 7-10 3/ | Grain, GC | | | 8/30/79 | | 7-10 3/ | | | | |
| Mo. Pac. RR (R-349) | 3/12/79 | | 2 13/ | SC | | | 4/11/79 | | 2 13/ | | | | |
| Nebraska Railroads (R-303) | 6/26/78 | | 35 14/ | | | | 1/24/79 | | 35 14/ | | | | |
| Nebraska Railroads (R-390) | 10/15/79 | | 15/ | | | | 11/15/79 | | 15/ | | | | |
| Omaha, Lincoln & Beatrice Ry. (R-331) | 11/15/78 | | 55 to 111 16/ | SC | | | 1/01/79 | | 55 to 111 16/ | | | | |
| Union Pacific (R-332) | 11/17/78 | | 6.6 | Sand & Gravel | | | 1/01/79 | | 6.6 (for 10-car sand and gravel) | | | | |
| Union Pacific (R-395) | 10/25/79 | | 17/ | SC | | | 12/12/79 | | 17/ | | | | |
| Union Pacific (R-350) | 3/12/79 | | 7-10 3/ | Grain, GC | | | 8/30/79 | | 7-10 3/ | | | | |

1/ Increase of 6.6% on 10-car sand and gravel rates on BN, and also on CRI & P and Mo. Pac.

2/ To increase minimum carload charges by varying amounts. Sugar beets to be increased about 44% now, and be approximately doubled again effective 3/1/80. Other commodities increase about 46% at the X-349 level, but no increases will apply on 10-car sand and gravel and crushed stone.

3/ X-357-A increases except no increase on 10-car sand, gravel and crushed stone; 7% granted on sugar beets. Grain and other commodities vary from 7% to 10% increase.

4/ Two percent additional on reciprocal switching under X-330 (7% instead of 5% originally granted) except no increase on 10-car sand, gravel and crushed stone.

5/ Increase aluminum smelting residues rates on 40,000 lbs. by 20% to 25%, and putting in new lower rates in 100,000 and 120,000 lb. categories. Made applicable via all Nebraska railroads.

6/ Increase reciprocal switching charges by 5% under X-343, 4% under X-349 and 7% under X-357-A, except no increase on 10-car sand and gravel.

7/ Increase reciprocal switching charges against Milwaukee and up 4% (X-349), except on 10-car sand and gravel.

8/ Increase reciprocal switching against BN by 5% under X-343 and 4% under X-349.

9/ Increase reciprocal switching by 7% (X-357-A) against UP and Milwaukee, and 5% (X-343) plus 4% (X-349) plus 7% (X-357) against BN.

10/ Increase reciprocal switching charges against CRI&P by 4% under X-336, plus 5% under X-343, plus 4% under X-349, plus 7% under X-357 except no increase on 10-car sand and gravel.

11/ ICG to add $59.93 per car additional for special equipment switching in Omah, Nebraska.

12/ Reciprocal switching charges increased against BN and UP 4% (X-366), plus added against UP 5% (X-343), but no increases to apply on 10-car sand, gravel or crushed stone.

13/ Two percent additional reciprocal switching charges under X-330 against BN, CNW, ICG, Milwaukee and UP, but no increase on 10-car sand, gravel and crushed stone.

14/ Increase stop-off charges to $105.00 per car.

15/ National Attendant's Tariff for 7% in lieu of 5% under X-330, plus 5% under X-343, plus 4% under X-349, plus 9% under X-357-A.

16/ Switching charges between CRI&P and BN increased 55% on empty cars and 111% on loaded cars in Lincoln, Nebraska.

17/ Increase in reciprocal switching charges by 4% under X-349, plus 7% under X-357, except no increase on 10-car sand and gravel.

# 1979 RAILROAD CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>F=Freight<br>CL=Car Load<br>LCL=Less then Car Load<br>BC=Bulk Commodities<br>GC=General Commodities<br>SC=Switching Charge<br>DC=Demurrage Charge<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| NORTH CAROLINA UTILITIES COMMISSION | | | | | | | | | | | | | |
| N.C. Railroads | 4/03/79 | 2,237,000 | 8 | F, CL, GC | | | 8/30/79 | 2,237,000 | 8 | | | | |
| N.C. Railroads | 5/30/79 | 271,128 | 1.2 | F, CL, GC | | | 7/28/79 | 271,128 | 1.2 | | | | |
| N.C. Railroads | 9/26/79 | 791,000 | 3.5 | F, CL, GC | | | 12/31/79 | 791,000 | 3.5 | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| North Dakota Railroads | 3/09/79 | 800,000 | 8 | F, BC, GC | | | 3/19/79 | 690,400 | 7 1/ | | | | |
| 1/ Increase granted on all commodities except grain and grain products. | | | | | | | | | | | | | |
| OHIO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Ohio Power Co. US Railroads (Case 79-931-RR-AST) | 10/22/79 | | 2 | Coal | | | Pending | | | | | | |
| Wheeling Pittsburg Steel US Railroads (Case 79-846-RR-CO) | 8/17/79 | | 7 | Lime | | | Pending | | | | | | |
| TENNESSEE PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Southern Freight Tariff Bureau | 5/29/79 | | 1.2 | Fuel Surcharge | | | 6/07/79 | | 1.2 | | | | |
| Southern Freight Tariff Bureau | 7/31/79 | | 1.4 | Fuel Surcharge | | | 9/11/79 | | 1.4 | | | | |
| Southern Freight Tariff Bureau | 8/28/79 | | 1.0 | Fuel Surcharge | | | 2/04/80 | | 1.0 | | | | |
| Southern Freight Tariff Bureau | 9/05/79 | | 6.4 | General Increase | | | Final action pending. | | | | | | |
| Southern Freight Tariff Bureau | 10/09/79 | | 1.1 | Fuel Surcharge | | | 2/04/80 | | 1.1 | | | | |
| Southern Railway System | 3/06/79 | | various amounts | SC | | | 6/20/79 | | As requested | | | | |
| TEXAS RAILROAD COMMISSION | | | | | | | | | | | | | |
| M-K-T Railroad | 2/12/79 | 23,986,934 | 7.0 | F, CL, GC | | | 6/12/79 | 13,035,673 | 4.9 | | | | |
| WISCONSIN TRANSPORTATION COMMISSION | | | | | | | | | | | | | |
| Wisconsin Railroads | 2/28/79<br>7/25/79<br>9/18/79<br>11/30/79 | (X-357)<br>(X-311)<br>(X-311)<br>(X-368) | 7.0<br>1.2 1/<br>1.2 1/<br>10.1 | F, TL, BC<br>GC | | | 11/30/79 | | 20.4 | | | | |
| 1/ Represents fuel surcharge--rolled into the general rate increase 11/30/79. | | | | | | | | | | | | | |
| WYOMING PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Wyoming Railroads 1/ | 4/18. 4/30,<br>5/4 & 5/14,<br>1979 | 462,000 | 8.0<br>2/ | F | | | 7/24/79<br>effective<br>8/25/79 | 440,000 | 8.0<br>2/ | | | | |
| Wyoming Railroads 1/ | 6/28/79<br>7/11/79 | | 1.2<br>1.4<br>(X-311, Fuel Costs) | F | | | 9/10/79<br>effective<br>10/12/79 | | 1.2<br>1.4 | | | | |
| Wyoming Railroads 1/ | 9/14/79<br>10/12/79 | | 1.0<br>1.1<br>(X-311, Fuel Costs) | F | | | 10/19/79<br>effective<br>11/20/79 | | 1.0<br>1.1 | | | | |
| Wyoming Railroads 1/ | 10/12/79 | 549,000 | 7.8<br>(X-368 with exceptions) | F | | | | | | | | | |

1/ BN, C & NW, C & S, C & W, UP.
2/ Except on coal from Wyodak to Osage.

| 1979 MISCELLANEOUS CARRIER RATE CASES | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>BC=Bulk Commodities<br>GC=General Commodities<br>F=Freight<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| | | | | | COURIER SERVICE | | | | | | | | |
| FLORIDA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Choice Delivery Systems of Fla., Inc. | 12/21/78 | 71,510 | 9.5 | Less than Truck Load | | | 7/17/79 | 71,510 | 9.5 | 824,252 | 780,151 | 44,101 | 94.65 |
| Pony Express Courier Corp. | 7/17/78 | 91,413 | 10 | Less than Truck Load | | | 6/21/79 | 91,413 | 10 | 1,005,542 | 911,276 | 94,266 | 90.63 |
| Purolatof Courier Corp. | 3/26/79 | 653,365 | 18 | Less than Truck Load | 5/24/79 | 362,980<br>10% | 9/14/79 | 362,980 | 10 | 3,992,783 | 3,951,352 | 41,431 | 98.9 |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Purolator Courier Corp. | 11/30/78 | 66,000 | 9 | GC, PR, Commercial & Accounting Papers | | | 1/13/79 | 51,000 | 7 | | | | |
| Purolator Courier Corp. | 7/29/79 | 22,000 | 3 | GC, PR, Commercial & Accounting Papers | | | 8/01/79 | 22,000 | 3 | | | | |
| | | | | | FREIGHT FORWARDER | | | | | | | | |
| FLORIDA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Florida Freight Fowarders Rate bureau | 4/24/79 | 234,685 | 5 | F, Truck Load, Less than Truck Load, GC | 6/22/79 | 215,973<br>4% | 12/19/79 | 234,685 | 5 | 4,881,975 | 4,501,761 | 380,214 | 92.2 |
| | | | | | LIMOUSINE | | | | | | | | |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Golden State Limo, Inc. | 7/23/79 | 51,448 | 15 | P, C, B | | | 9/12/79 | 51,448 | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Moody Transportation | 7/13/79 | | 10 | P | | | 10/11/79 | | 10 | | | | |
| Sun Valley Stages | 7/06/79 | | 10 | P | | | 8/13/79 | (Dismissed) | | | | | |
| Sun Valley Stages | 8/23/79 | | 10 | P | | | 9/17/79 | | 10 | | | | |
| Taxi Limo | 8/28/79 | | 10 | P | | | 12/18/79 | | 10 | | | | |
| NEW YORK STATE DEPARTMENT OF TRANSPORTATION | | | | | | | | | | | | | |
| Airport Transportation Services | 4/01/79 | 253,100 | 15 | P | | | 5/01/79 | 253,100 | 15 | 2,041,700 | 1,897,700 | 144,000 | 93.0 |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | |
| Deluxe Limousine, Inc. | 8/28/79 | 8,721 | | P | | | 10/11/79 | 8,721 | | | | | |
| Deluxe Limousine, Inc. | 12/29/79 | 9,030 | | P | | | 1/25/80 | 9,030 | | | | | |
| Lancaster Limousine Service, Ltd. | 7/17/79 | 22,755 | | P | | | 8/09/79 | 22,755 | | | | | |
| United Limo, Inc. | 6/14/79 | 87,998 | | P | | | 11/29/79 | 87,998 | | | | | |
| Yellow Limousine Service, Inc. | 7/31/79 | 178,327 | | P | | | 8/30/79 | 178,327 | | | | | |
| | | | | | MOBILE HOME MOVERS | | | | | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Barrett Mobile Home Transport, Inc. | 4/16/79 | 40,000 | 33 | Mobile Homes | | | 5/16/79 | 8,500 | 7 | | | | |
| Barrett Mobile Home Transport, Inc. | 9/20/79 | 22,000 | 20 | Mobile Homes | | | 10/21/79 | 22,000 | 20 | | | | |
| Bud's Mobile Home Towing | 6/18/79 | 2,700 | 7 | Mobile Home Towing | | | 6/28/79 | 2,700 | 7 | | | | |
| National Trailer Convoy, Inc. | 6/18/79 | 3,500 | 47-100 | Truckaway Service for Mobile Homes and Trailers | | | Proceeding terminated; no increase granted. | | | | | | |

## PIPELINE

## 1979 Gas Pipeline Rate Cases

Page 1 of 2

FEDERAL ENERGY REGULATORY COMMISSION

| Name Docket No.(s) of Applicant (1) | Date Filed (2) | Rate Increase Requested ($) (3) | Suspension Order Date (4) | Date Interim Increase Granted (5) | Date Final Increase Granted (6) | Final Increase Granted ($) (7) |
|---|---|---|---|---|---|---|
| AT RP78-49 | 3-24-78 | 1,100,000 | 4-24-78 | 9-25-78 | 5-31-79 | 719,000 |
| Arkla Rp77-54 | 4-15-77 | 2,200,000 | 5-27-77 | 11-1-77 | 10-6-78 | 1,473,000 |
| Arkla RP77-55 | 4-19-77 | 352,000 | 5-27-77 | 11-1-77 | 10-6-78 | 3/ |
| CIG RP76-76 | 3-31-76 | 14,046,000 | 4-30-76 | 10-1-76 | 3-16-79 | 1/ |
| CIG RP77-105 | 6-30-77 | 19,959,000 | 7-26-77 | 1-1-78 | 3-16-79 | 4/ |
| CIG RP78-51 | 3-31-78 | 12,148,000 | 5-1-78 | 10-1-78 | 9-21-79 | 1/ |
| CIG RP79-1 | 10-2-78 | 8,900,000 | 10-27-78 | 4-1-79 | 9-21-79 | 5/ |
| Col. Gas RP78-20 | 11-30-77 | 94,000,000 | 12-30-77 | 6-1-78 | 7-3-79 | 90,527,000 |
| Col. Gulf RP78-19 | 11-30-77 | 9,100,000 | 12-30-77 | 6-1-78 | 7-3-79 | 9,100,000 |
| Commercial RP77-125 | 8-22-77 | 19,000 | 9-22-77 | 9-24-77 | 4-23-79 | 19,000 |
| E.T. RP78-12 | 10-31-77 | 3,928,000 | 11-30-77 | 5-1-78 | 4-23-79 | 2,994,000 |
| El Paso RP79-12 | 11-30-78 | 167,875,000 | 12-28-78 | 6-1-79 | 7-20-79 | 84,500,000 |
| K-N RP78-10 | 10-27-77 | 5,805,000 | 11-25-77 | 4-28-78 | 6-7-79 | 4,500,000 |
| Lawrenceburg RP78-37 | 1-31-78 | 33,000 | 2-27-78 | 3-1-78 | 2-26-79 | 28,000 |
| McCulloch RP77-124 | 8-10-77 | 44,000 | 9-9-77 | 11-1-77 | 12-19-78 | 40,000 |
| Mich Wisc RP77-60 | 4-29-77 | 66,000,000 | 5-27-77 | 11-1-77 | 3-30-79 | 34,000,000 |
| Mich Wisc RP76-100 7/ | 4-30-76 | 68,705,000 | 5-28-76 | 11-1-76 | 12-19-78 | 45,068,000 |
| Mid La. RP77-58 | 4-29-77 | 4,369,000 | 6-6-77 | 7-15-77 | 10-12-78 | 3,908,000 |
| Miss River RP78-77 | 6-30-78 | 21,400,000 | 7-31-78 | 1-1-79 | 12-11-79 | 1/ |
| MFS RP79-19 | 12-18-78 | 155,000 | 1-17-79 | 6-18-79 | 12-5-79 | 135,000 |
| Natural RP77-98 | 5-31-77 | 112,600,000 | 6-30-77 | 12-1-77 | 5-15-79 | 14,000,000 |
| Natural RP78-78 | 6-30-78 | 53,000,000 | 7-28-78 | 12-1-78 | 10-4-79 | 26,400,000 |
| N.N.(Peoples) RP78-75 | 6-29-78 | 50,000 | 7-28-78 | 12-31-78 | 9-21-79 | 13,000 |
| Northwest RP78-50 | 3-31-78 | 32,621,000 | 4-28-78 | 10-1-78 | 7-3-79 | 28,706,000 |
| Panhandle RP78-62 | 5-1-78 | 73,600,000 | 5-31-78 | 11-1-78 | 7-3-79 | 1/ |
| So. Texas 8/ RP77-59 | 4-29-77 | 2,700,000 | 5-27-77 | 11-1-77 | 6-20-79 | 200,000 |
| So. Nat. RP77-31 | 1-29-77 | 37,800,000 | 2-28-77 | 8-1-77 | 2-23-79 | 19,200,000 |
| So. Nat. RP78-36 | 1-30-78 | 117,700,000 | 2-27-78 | 8-1-78 | 2-23-79 | 2/ |
| Southwest RP78-92 | 9-20-78 | 1,389,000 | 10-19-78 | 3-20-79 | 12-7-79 | 1,389,000 |
| Tenn. Nat. RP79-21 | 12-28-78 | 1,126,000 | 1-30-79 | 7-1-79 | 12-5-79 | 178,000 |
| Tex. Gas. RP79-11 | 11-30-78 | 135,000 | 12-28-78 | 6-1-79 | 8-6-79 | 109,869 |
| Tex.Gas.Trans.RP77-139 | 9-30-77 | 64,000,000 | 10-31-77 | 4-1-78 | 11-17-78 | 53,269,000 |
| Tex.Gas.Trans RP78-94 | 9-29-78 | 92,600,000 | 10-31-78 | 4-1-79 | 10-11-79 | 89,600,000 |
| Transco RP77-108 | 7-1-77 | 50,800,000 | 7-20-77 | 1-1-78 | 10-11-79 | 34,600,000 |
| Trunkline RP78-11 | 10-31-77 | (5,300,000) | 11-30-77 | 12-1-77 | 11-22-78 | (7,200,000) |

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*/ Includes non-jurisdictional revenues.
1/ Information not available given the time constraints imposed.
2/ Reserved issue in hearing - all other items settled.
3/ Undetermined: consolidated with RP77-54 in joint settlement.
4/ Undetermined: consolidated with RP75-86 and RP76-76 in joint settlements.
5/ Undetermined: consolidated with RP78-51 in joint settlement.
6/ Consolidated with Columbia Gas, RP78-20.
7/ Consolidated with RP79-96 in joint settlement.
8/ Name changed to Valero Interstate Transmission Company.

## PIPELINE

## 1979 Gas Pipeline Rate Cases

Page 2 of 2

FEDERAL ENERGY REGULATORY COMMISSION

| Rate Base ($) (8) | Rate of Return Granted (%) (9) | Return on Equity Granted (%) (10) | Projected Gross Revenues * ($) (11) | Projected Operating Expenses ($) (12) | Projected Net Operating Income ($) (13) | Capital Structure Allowed | | | | Cost of Capital Allowed | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | Debt - (14) | Preferred (%) (15) | Acct 281 (16) | Common - (17) | Debt - (18) | Preferred (%) (19) | Acct 281 (20) | Common - (21) |
| 1/ | 13.65 | 15.50 | 37,597,000 | 1/ | 1/ | 22.33 | - | - | 77.67 | 7.19 | - | - | 15.50 |
| 265,380,000 | 2/ | 2/ | 227,279,000 | 194,525,000 | 32,854,000 | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ |
| 3/ | 2/ | 2/ | 3/ | 3/ | 3/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ |
| 1/ | 9.50 | 13.25 | 252,877,000 | 1/ | 1/ | 50.89 | 17.26 | - | 31.85 | 8.02 | 6.95 | - | 13.25 |
| 4/ | 9.62 | 12.75 | 366,033,000 | 4/ | 4/ | 48.14 | 14.82 | - | 37.04 | 7.97 | 7.12 | - | 12.75 |
| 1/ | 9.87 | 13.00 | 1/ | 1/ | 1/ | 49.08 | 12.65 | - | 38.27 | 8.27 | 6.56 | - | 13.00 |
| 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ | 5/ |
| 809,990,000 | 10.01 | 12.75 | 1,481,134,000 | 1,400,054,000 | 81,080,000 | 49.29 | 4.17 | - | 46.54 | 7.33 | 11.28 | - | 12.75 |
| 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ |
| 339,000 | 11.58 | 14.50 | 589,000 | 550,000 | 39,000 | 73.06 | - | - | 26.94 | 10.50 | - | - | 14.50 |
| 35,117,000 | 12.14 | 13.88 | 83,257,000 | 78,994,000 | 4,263,000 | 29.85 | - | - | 70.15 | 8.05 | - | - | 13.88 |
| 918,104,000 | 10.78 | 14.00 | 1,482,963,000 | 1,383,991,000 | 98,972,000 | 54.82 | 11.57 | - | 33.61 | 9.29 | 8.47 | - | 14.00 |
| 173,160,000 | 10.14 | 14.50 | 129,314,000 | 111,756,000 | 17,558,000 | 49.19 | - | - | 50.81 | - | - | - | 14.50 |
| 180,000 | 9.87 | 12.24 | 4,062,000 | 4,044,000 | 18,000 | 50.00 | - | - | 50.00 | 7.50 | - | - | 12.24 |
| 5,000,000 | 9.97 | 12.00 | 4,954,000 | 4,455,000 | 499,000 | 60.00 | - | - | 40.00 | 8.62 | - | - | 12.00 |
| 1,086,000,000 | 10.40 | 12.35 | 1,083,576,000 | 970,632,000 | 112,944,000 | 44.83 | 8.59 | 0.38 | 46.20 | 8.53 | 10.13 | - | 12.35 |
| 1,021,000,000 | 10.60 | 13.00 | 748,503,000 | 640,277,000 | 108,226,000 | 46.75 | 8.50 | 0.42 | 49.33 | 8.51 | 10.13 | - | 13.00 |
| 8,127,000 | 10.72 | 13.50 | 24,192,000 | 23,321,000 | 871,000 | 49.65 | - | - | 50.35 | 7.90 | - | - | 13.50 |
| 176,489,000 | 11.57 | 12.25 | 335,008,000 | 314,588,000 | 20,420,000 | 45.76 | - | - | 54.24 | 10.77 | - | - | 12.25 |
| 1,541,000 | 10.38 | 12.97 | 654,000 | 494,000 | 160,000 | 47.87 | 2.49 | - | 49.64 | 7.82 | 8.12 | - | 12.97 |
| 1,160,961,000 | 9.98 | 13.50 | 1,119,620,000 | 1,003,756,000 | 115,864,000 | 46.79 | 10.77 | - | 42.44 | 7.33 | 7.59 | - | 13.50 |
| 1,152,865,000 | 10.15 | 13.25 | 1,288,659,000 | 1,171,643,000 | 117,016,000 | 47.28 | 9.94 | - | 42.78 | 7.89 | 7.59 | - | 13.25 |
| 193,000 | 10.06 | 12.00 | 1,258,000 | 1,238,000 | 20,000 | 43.08 | 2.65 | - | 54.27 | 7.81 | 7.04 | - | 12.00 |
| 421,498,000 | 10.33 | 13.50 | 759,952,000 | 716,411,000 | 43,541,000 | 57.10 | 11.90 | - | 31.00 | 8.70 | 9.80 | - | 13.50 |
| 556,259,000 | 9.90 | 13.00 | 840,916,000 | 785,846,000 | 55,070,000 | 57.04 | 4.46 | - | 38.50 | 8.03 | 6.94 | - | 13.00 |
| 11,496,000 | 10.20 | 12.91 | 21,243,000 | 20,070,000 | 1,173,000 | 48.81 | - | - | 51.19 | 7.35 | - | - | 12.91 |
| 508,149,000 | 10.08 | 12.50 | 510,875,000 | 459,654,000 | 51,221,000 | 49.94 | - | 0.05 | 50.01 | 7.66 | - | - | 12.50 |
| 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ |
| 20,161,000 | 10.31 | 13.25 | 61,451,000 | 59,372,000 | 2,079,000 | 49.71 | 9.72 | - | 40.57 | 8.07 | 9.48 | - | 13.25 |
| 6,931,000 | 12.018 | 12.75 | 37,100,000 | 36,267,000 | 833,000 | 56.93 | 0.36 | - | 42.71 | 11.52 | 4.00 | - | 12.75 |
| 222,000 | 10.76 | 14.00 | 2,421,000 | 2,379,000 | 24,000 | 36.49 | - | | 63.51 | 8.10 | - | - | 14.00 |
| 1/ | 10.25 | 13.00 | 593,817,000 | 1/ | 1/ | 53.76 | 2.10 | 0.39 | 43.75 | 8.13 | 8.83 | - | 13.00 |
| 376,049,000 | 10.45 | 13.00 | 789,918,000 | 750,621,000 | 39,297,000 | 47.61 | 6.07 | - | 46.32 | 8.21 | 8.53 | - | 13.00 |
| 1,399,532,000 | 9.33 | 14.50 | 764,334,000 | 633,758,000 | 130,576,000 | 61.11 | 14.89 | - | 24.00 | 7.60 | 8.15 | - | 14.50 |
| 471,248,000 | 9.87 | 12.25 | 500,116,000 | 453,604,000 | 46,512,000 | 46.82 | 6.85 | 0.32 | 46.01 | 7.96 | 7.28 | - | 12.25 |

## 1979 COMMON CARRIER OIL PIPELINE CASES

| Application | | | Interim Action | | Final Action | | |
|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Range or Arithmetic Average of Rate Increase Requested (%) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Final Increase Granted | Disposition |
| **FEDERAL ENERGY REGULATORY COMMISSION** | | | | | | | |
| Amdel Pipeline, Inc.<br>IS79-13 | 5/21/79 | 4.5 | Suspended to 7/2/79 | | | | Withdrawn; Proceeding terminated by order issued 9/20/79 |
| Arco Pipe Line Company<br>IS79-17 | 8/27/79 | 7.2 | Suspended to 10/2/79 | | | | Increase filed for the benefit of Williams P/L Co.; consolidated with OR79-1 |
| Butte Pipe Line Company<br>IS79-12 | 5/29/79 | 5.8 | Suspended to 2/1/80 | | | | Withdrawn; Increase was for benefit of Western; proceeding terminated by order issued 11/26/79 |
| Dixie Pipeline Company<br>IS79-5 | 12/15/78 | 6.9 | Suspended to 1/15/79 | | | | Investigation stayed by Commission Order issued 1/9/80 in Docket No. OR79-5, et al. |
| Dome Pipeline Corporation<br>IS79-16 | 8/1/79 | 6.9 | Suspended to 10/1/79 | | | | Withdrawn; Proceeding terminated by order issued 1/24/80 |
| Four Corners Pipe Line Company<br>IS79-10 | 5/4/79 | 6.5-6.8 | Suspended to 6/2/79 | | | | Withdrawn; Special Permission granted to withdraw increase by order issued 1/18/80 in SP80-2 |
| Gulf Central Pipeline Company<br>IS79-2 | 11/20/78 | 8.1 | Suspended to 12/18/78 | | 9/25/79 | 8.1% | Increase granted by Commission Order issued 9/25/79 |
| Hydrocarbons Transportation, Inc.<br>IS80-10 | 12/19/79 | | Suspended to 1/19/80 | | | | Pending insofar as increase is for Williams P/L Co.; Williams' portion consolidated with OR79-1 |
| Kaneb Pipe Line Company<br>IS80-8 | 11/30/79 | | Suspended to 2/1/80 | | | | Withdrawn; Increase for benefit of Mid-America; Withdrawn & proceedings terminated 1/30/80 |
| Kerr-McGee Refining Corporation vs Texoma Pipe Line Company<br>OR78-11 | 4/28/77 | Complaint Case | | | | | Withdrawn; Authorization to withdraw complaint granted by Adm. Law Judge on 5/8/79 |
| Lakehead Pipe Line Company<br>OR79-3 | 1/30/79 | Rate investigation on Commission's own motion | | | | | Investigation stayed by Commission Order issued 1/9/80 in Docket No. OR79-5 et al. |
| Mid-America Pipeline System<br>IS80-9 | 11/30/79 | 6.7-6.8 | Suspended to 2/1/80 | | | | Pending |
| Mobil Pipe Line Company | | | | | | | |
| IS78-3 | 5/31/78 | 24.7 | Suspended to 6/30/78 | | | | Investigation stayed by Commission Order issued 1/9/80 in Docket No. OR79-5 et al. |
| IS79-15 | 8/2/79 | 5.7 | Suspended to 8/29/79 | | | | |
| IS80-3 | 10/16/79 | New Rate | Suspended to 12/2/79 | | | | |
| Pactex Pipeline Company<br>OR78-10 | 8/14/78 | Petition for Declaratory Order | | | | | Order issued 4/19/79 partially granting requested treatment of costs for ratemaking purposes |
| Phillips Pipe Line Company | | | | | | | |
| IS78-1 | 5/25/78 | 16 | Suspended to 2/1/79 | | | | Investigation stayed by Commission Order issued 1/9/80 in Docket No. OR79-5 et al. |
| IS80-4 | 11/1/79 | Initial Rate | Suspended to 11/11/79 | | | | |
| Portal Pipe Line Company<br>IS80-5 | 10/31/79 | 9.9 | Suspended to 1/1/80 | | | | Pending |
| Portland Pipe Line Corporation<br>IS79-3 | 11/29/78 | 83 | Suspended to 12/27/78 | | 12/13/79 | 54.7% | |
| Powder River Pipeline Corporation vs Amoco Pipeline Company<br>OR78-6 | 1/4/78 | Complaint Case | | | | | Parties negotiated settlement agreement; proceedings terminated by Commission Order issued 1/15/80 |
| Sun Pipe Line Company<br>IS79-14 | 5/31/79 | 6.9 | Suspended to 7/2/79 | | | | Withdrawn; Proceeding terminated by order issued 9/27/79 |
| The Texas Deepwater Port Authority<br>OR79-2 | 12/7/78 | Petition for Declaratory Order | | | | | Order approving lawfullness of incentive rates issued 8/14/79 |
| Trans Alaska Pipeline System (TAPS)<br>OR78-1 | | | | | | | |
| Amerada Hess Pipeline Company | 6/1/77 | $6.44 | 6/28/77 | $4.85 | | | ICC suspended the proposed rates and allowed interim rates, as shown until January 29-31, 1978, when the originally proposed rates were allowed to become effective subject to refund. Administrative Law Judge Initial Decision in Phase I of TAPS issued February 1, 1980. |
| ARCO Pipeline Company | 5/27/77 | $6.04 | 6/28/77 | $4.91 | | | |
| BP Pipeline Company | 6/3/77 | $6.35 | 6/28/77 | $4.68 | | | |
| Exxon Pipeline Company | 6/8/77 | $6.27 | 6/28/77 | $5.10 | | | |
| Mobil Alaska Pipeline Corporation | 6/10/77 | $6.31 | 6/28/77 | $4.84 | | | |
| Phillips Alaska Pipeline Corp. | 6/20/77 | $6.22 | 6/28/77 | $4.83 | | | |
| Sohio Pipe Line Company | 6/3/77 | $6.16 | 6/28/77 | $4.70 | | | |
| Union Alaska Pipeline Corporation | 6/15/77 | $6.09 | 6/28/77 | $4.89 | | | |
| OR78-1 | | | | | | | |
| Exxon Pipeline Company | 2/1/79 | | Suspended to 3/3/79 | | | | Quality bank provisions in tariffs suspended for one-day with investigation in OR79-1 |
| Sohio Pipeline Company | 2/2/79 | | Suspended to 3/4/79 | | | | |
| Union Alaska Pipeline Company | 2/11/79 | | Suspended to 3/13/79 | | | | |
| Amerada Hess Pipeline Company<br>IS79-9 & OR78-1 | 4/27/79 | | Suspended to 5/28/79 | | | | |
| Arco Pipeline Company<br>IS79-7 & OR78-1 | 2/12/79 | | Suspended to 3/15/79 | | | | |
| BP Pipeline, Inc.<br>IS79-7 & OR78-1 | 2/16/79 | | Suspended to 3/19/79 | | | | |
| Mobil Alaska Pipeline Company<br>IS79-8 & OR78-1 | 2/22/79 | | Suspended to 4/2/79 | | | | |
| Phillips Alaska Pipeline Corp.<br>IS79-19 & OR78-1 | 8/29/79 | | Suspended to 10/2/79 | | | | |
| OR78-1 | | | | | | | |
| Exxon Pipeline Company<br>IS80-6 | 12/13/79 | | Suspended to 7/31/80 | | | | |
| Western Oil Transportation Company<br>IS79-11 | 2/29/79 | | Suspended to 2/1/80 | | | | In-transit shipments rule change in tariff withdrawn; proceeding terminated by order issued 11/26/79 |
| Williams Pipeline Company | | | | | | | Consolidated with on-going investigation in Williams Docket No. OR79-1 |
| IS79-[illegible] & OR79-1 | 11/30/78 | 6.6% | Suspended to 1/15/79 | | | | |
| IS79-18 & OR79-1 | 8/31/79 | 7.2% | Suspended to 9/25/79 | | | | |

## 1979 MISCELLANEOUS CARRIER RATE CASES

| Application | | | Interim Action | | Final Action | |
|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Initial Rate Requested Per Barrel ($) | Date Interim Rate Granted | Interim Rate Granted Per Barrel ($) | Date Final Rate Granted | Final Rate Granted Per Barrel ($) |
| PIPELINE<br>(Crude Oil/Oil Products) | | | | | | |
| ALASKA PIPELINE COMMISSION | | | | | | |
| Amerada Hess Pipeline Co.<br>(Trans-Alaska Pipeline) | 9/05/78 | 6.44 | 9/20/78 | 3.87-Pump station #1 to North Pole Refinery:<br>6.44-Pump station #1 to Valdez | | |
| ARCO Pipeline Co.<br>(Trans-Alaska Pipeline) | 8/10/77 | 6.04<br>to all points<br>in Alaska | 12/12/77 | 2.51-N. Pole Refinery to Valdez:<br>2.94-Pump station #1 to N. Pole Refinery:<br>6.04-Pump station #1 to Valdez | 6/14/78 | 3.63-Pump station #1 to N. Pole Refinery:<br>6.04 to Valdez |
| BP Pipelines, Inc.<br>(Trans-Alaska Pipeline) | 9/05/78 | 6.35 | 9/20/78 | 3.81-Pump station #1 to N. Pole Refinery:<br>6.35-Pump station #1 to Valdez | | |
| Cook Inlet Pipeline Co. | 12/14/79 | .04<br>10.53% | 12/31/79<br>(Suspended) | | | |
| Exxon Pipeline Co.<br>(Trans-Alaska Pipeline) | 8/01/77 | 6.27<br>to all points<br>within Alaska | 12/12/77 | 2.60-N. Pole Refinery to Valdez:<br>3.05-Pump station #1 to N. Pole:<br>6.27-Pump station #1 to Valdez | 6/14/78 | 3.76-Pump station #1 to N. Pole:<br>6.27-Pump station #1 to Valdez |
| Mobil Alaska Pipeline Co.<br>(Trans-Alaska Pipeline) | 8/30/78 | 6.31 | 9/20/78 | 3.79-Pump station #1 (Prudhoe Bay) to N. Pole Refinery:<br>6.31-Pump station #1 to Valdez | | |
| Phillips Alaska Pipeline Co.<br>(Trans-Alaska Pipeline) | 9/01/78 | 6.22 | 9/20/78 | 3.74-Pump station #1 to N. Pole Refinery:<br>6.22-Pump station #1 to Valdez | | |
| Union Alaska Pipeline Co.<br>(Trans-Alaska Pipeline) | 9/14/77 | 6.09<br>to all points<br>in Alaska | 12/12/77 | 2.53-N. Pole Refinery to Valdez:<br>2.96-Pump station #1 to N. Pole Refinery:<br>6.09-Pump station #1 to Valdez | 6/14/78 | 3.66-Pump station #1 to N. Pole:<br>6.09 to Valdez |

Note: The information concerning Amerda Hess, ARCO, BP, Exxon, Mobil, Phillips, and Union is current as initial rate-setting activity continued for each of these Trans-Alaska Pipeline System carriers during all of 1979.

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>BC=Bulk Commodities<br>GC=General Commodities<br>F=Freight<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| PIPELINE | | | | | | | | | | | | | |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Four Corners Pipeline Co. | 3/13/79<br>Amended<br>9/04/79 | 1.1 -<br>million | 6.8 | Crude Oil & Petroleum Products | | | 1/15/80 | 1.1<br>million | 6.8 | | | | |
| LOUISIANA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Amoco Pipeline Co. | 5/07/79 | | Various | BC | | | 11/01/79 | Denied | | | | | |
| Continental Pipeline Co. | 12/17/79 | 5¢ per barrel to 18¢ per barrel | | BC | | | Pending | | | | | | |
| Exxon Pipeline Co. | 4/25/79 | | 105 | BC | | | 11/06/79 | Denied | | | | | |
| Exxon Pipeline Co. | 6/05/79 | | 5.29 | BC | | | 11/01/79 | Denied | | | | | |
| Matador Pipelines, Inc. | 5/07/79 | 30¢ to 32¢ per barrel | | BC | | | 6/21/79 | Dismissed | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | |
| Arco Pipe Line Co. | 11/30/79 | 936 | | | | | | | | | | | |
| Sun Pipe Line Co. | 11/29/79 | 2,300 | | | | | | | | | | | |
| WYOMING PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Plains Pipe Line Co. | 9/21/78 | 184,987 | 70.9 | BC, Crude Petroleum Oil | | | 3/08/79 | | Various Adjustments | 708,672 | 687,574 | 21,098 | 1.30 1/<br>97.01 2/ |

1/ Rate of Return. 2/ Operating Ratio.

## 1979 MISCELLANEOUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>BC=Bulk Commodities<br>GC=General Commodities<br>F=Freight<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| | | | | | TAXI | | | | | | | | |
| COLORADO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| City Cab Co., Inc. | 7/27/79 | | 25-30 | P | | | 12/18/79 | | 25-30 | 428,711 | 385,657 | 43,054 | 89.9 |
| CONNECTICUT DIVISION OF PUBLIC UTILITIES CONTROL | | | | | | | | | | | | | |
| Branford Cab | 5/11/79 | 8,845 | 35 | P | | | 9/28/79 | 8,845 | 35 | 32,847 | [illegible] | 8,590 | |
| Norwich City Cab | 6/21/79 | | 13 | P | | | 10/15/79 | | 13 | 349,330 | [illegible] | 15,077 | |
| Old Lyme-Saybrook Taxi | 2/22/79 | 15,202 | 32 | P | | | | | | | | | |
| Taxicab Operators | 6/28/79 | 15¢ trip | | P | | | 8/13/79 | 15¢ trip | | | | | |
| Yellow Cab of Torrington | 6/05/79 | 20¢ trip | | P | | | 9/28/79 | 20¢ trip | | 53,523 | 52,835 | 688 | |
| Yellow Cab of Winchester & Torrington | 6/05/79 | | 24 | P | | | 9/28/79 | 6,023 | 24 | 31,698 | 31,774 | (76) | |
| KANSAS STATE CORPORATION COMMISSION | | | | | | | | | | | | | |
| Yellow Cab | 6/06/79 | 10,000 | 15.0 | P | | | 6/27/79 | Denied | | | | | |
| MINNESOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Yellow Taxi Company of Minneapolis | 7/13/79 | 214,300 | 21 | P | | | 8/10/79 | 214,300 | 21 | 1,218,700 | 1,180,300 | 37,800 | 96.9 1/ |
| 1/ Excludes interest expense and income tax. | | | | | | | | | | | | | |
| NORTH DAKOTA PUBLIC SERVICE COMMISSION | | | | | | | | | | | | | |
| Taxi 9000 | 1/05/79 | 7,000 | 28.6 | P | | | 2/05/79 | 7,000 | 28.6 | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION | | | | | | | | | | | | | |
| Altoona Cab Co. | 10/06/79 | 51,780 | | P | | | 11/15/79 | 51,780 | | | | | |
| Ardmore Cab Co., Inc. | 3/06/79 | 14,499 | | P | | | 4/05/79 | 14,499 | | | | | |
| Bell Taxi of Chester, Pa. | 6/30/79 | 58,822 | | P | | | 8/09/79 | 58,822 | | | | | |
| Berwyn Taxi Service | 7/30/79 | 6,083 | | P | | | 8/30/79 | 6,083 | | | | | |
| Chester County Keystone Cab Co. | 3/06/79 | 5,916 | | P | | | 4/05/79 | 5,916 | | | | | |
| Clark Summit Taxi, Inc. | 7/09/79 | 3,810 | | P | | | 8/09/79 | 3,810 | | | | | |
| Colonial Taxi Company, Inc. | 7/02/79 | 54,702 | | P | 7/26/79 Suspended | | 8/09/79 | 54,702 | | | | | |
| Colonial Taxi Company, Inc. | 12/15/78 | 72,266 | | P | | | 1/18/79 | 72,266 | | | | | |
| Conshohocken Yellow Cab Co., Inc. | 12/17/79 | 8,353 | | P | | | 1/25/80 | 8,353 | | | | | |
| County Cabs, Inc. | 7/24/79 | 980 | | P | | | 8/30/79 | 980 | | | | | |
| Cynwyd Taxi Service | 3/21/79 | 13,705 | | P | | | 5/31/79 | 13,705 | | | | | |
| Diamond Cab Co. | 3/02/79 | 44,990 | | P | | | 3/29/79 | 44,990 | | | | | |
| Eastern Taxi, Inc. | 12/05/79 | 5,421 | | P | | | 1/04/80 | 5,421 | | | | | |
| Erie Metropolitan Taxi Co., Inc. | 5/05/79 | 245,880 | | P | | | 8/16/79 | 144,085 | | | | | |
| Friendly Cab Co. | 9/13/78 | 9,720 | | P | | | 1/25/79 | 9,720 | | | | | |
| Friendly Taxi Cab of Lancaster | 4/04/79 | 54,782 | | P | | | 5/10/79 | 38,585 | | | | | |
| Greater Johnstown Yellow Cab, Inc. | 4/25/79 | 9,550 | | P | | | 6/21/79 | 9,550 | | | | | |
| Hanover Taxi Service | 6/01/79 | 4,113 | | P | | | 8/16/79 | 4,113 | | | | | |
| Harp Brothers, Inc. | 11/26/79 | 28,198 | | P | | | 1/04/80 | 28,198 | | | | | |
| Hazleton Checker Cab | 6/25/79 | 38,990 | | P | | | 7/19/79 | 38,990 | | | | | |
| Independent Taxi Service, Inc. | 3/06/79 | 10,910 | | P | | | 4/05/79 | 10,910 | | | | | |
| Jelo Cab Co. | 2/28/79 | 2,504 | | P | | | 4/11/79 | 2,504 | | | | | |
| Jenkintown Taxi Co. | 7/11/79 | 8,427 | | P | | | 8/09/79 | 8,427 | | | | | |
| Jenkintown Taxi Service, Inc. | 7/11/79 | 6,670 | | P | | | 8/09/79 | 6,670 | | | | | |
| Jose A. Grimaldo Taxi Service | 6/11/79 | 6,798 | | P | | | 7/26/79 | 6,798 | | | | | |
| Lackawanna Taxicab Co., Inc. | 3/06/79 | 15,798 | | P | | | 4/05/79 | 15,798 | | | | | |
| Lafayette Taxi Service of Meadville | 6/28/79 | 30,236 | | P | 7/26/79 Suspended | | 8/09/79 | 30,236 | | | | | |
| Lancaster Yellow Cab & Baggage, Inc. | 12/10/78 | [illegible],467 | | P | | | 2/07/79 | 15,467 | | | | | |
| Lancaster Yellow Cab & Baggage, Inc. | 10/25/79 | 34,870 | | P | | | 12/07/79 | 34,870 | | | | | |
| Lansdale Yellow Cab, Inc. | 5/30/79 | 23,721 | | P | | | 6/28/79 | 23,721 | | | | | |

1979 MISCELLANEOUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>BC=Bulk Commodities<br>GC=General Commodities<br>F=Freight<br>Other (Listed) | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| | | | | | TAXI (Continued) | | | | | | | | |
| PENNSYLVANIA PUBLIC UTILITY COMMISSION (Continued) | | | | | | | | | | | | | |
| Lehighton Taxi | 6/18/79 | 11,100 | | P | | | 8/09/79 | 11,100 | | | | | |
| Maple City Taxi Service, Inc. | 3/21/79 | 4,377 | | P | | | 5/03/79 | 4,377 | | | | | |
| Norristown Radio Taxi, Inc. | 11/15/79 | 68,424 | | P | | | 12/14/79 | 68,424 | | | | | |
| Norristown Yellow Cab Co., Inc. | 12/17/79 | 61,079 | | P | | | 1/25/79 | 61,079 | | | | | |
| Paoli Taxi Service | 9/14/79 | 10,383 | | P | | | 10/11/79 | 10,383 | | | | | |
| Penn Del Cab Co., Inc. | 9/17/79 | 8,931 | | P | | | 10/18/79 | 8,931 | | | | | |
| Penn Harris Taxi Service, Inc. | 1/11/79 | 59,769 | | P | | | 2/07/79 | 86,060 | | | | | |
| Penn Radio Cab, Inc. | 6/11/79 | 125,000 | | P | | | 9/13/79 | 115,153 | | | | | |
| Peoples Cab Co. | 7/03/79 | 36,645 | | P | | | 8/23/79 | 36,645 | | | | | |
| Posten Taxi Inc. | 10/17/79 | 49,567 | | P | | | 1/18/80 | 49,567 | | | | | |
| Public Service Taxi Co. | 3/06/79 | 40,508 | | P | | | 4/05/79 | 40,508 | | | | | |
| Quick Service Taxi Co., Inc. | 7/31/79 | 84,895 | | P | | | 8/27/79 | 84,895 | | | | | |
| Reading Metro Taxi Cab, Inc. | 5/25/79 | 99,870 | | P | | | 6/21/79 | 82,354 | | | | | |
| Scranton & Dunmore Yellow Cab Co., Inc. | 3/06/79 | 5,284 | | P | | | 4/05/79 | 5,284 | | | | | |
| Shun-Pike Tours | 9/18/79 | 36,210 | | P | | | 1/11/80 | 36,210 | | | | | |
| Super Cab, Inc. | 6/29/79 | 3,523 | | P | | | 9/13/79 | 3,523 | | | | | |
| Terry Permut Taxi | 8/13/79 | 3,836 | | P | | | 9/20/79 | 2,260 | | | | | |
| Tom Ford's Redtop Cabs | 3/06/79 | 20,788 | | P | | | 4/05/79 | 20,788 | | | | | |
| Valley Cab Co. | 4/13/79 | 6,421 | | P | | | 5/24/79 | 6,421 | | | | | |
| Valley Taxi | 9/21/79 | 6,594 | | P | | | 11/15/79 | 6,594 | | | | | |
| Wayne Cab Co. | 3/10/79 | 5,400 | | P | | | 4/11/79 | 5,400 | | | | | |
| Wheary's Station Wagon Service | 6/19/79 | 1,300 | | P | | | 7/26/79 | 1,300 | | | | | |
| Yellow Cab and Transfer Co. | 3/21/79 | 74,504 | | P | | | 5/24/79 | 74,504 | | | | | |
| Yellow Cab Co. | 2/21/79 | 11,749 | | P | | | 3/21/79 | 11,749 | | | | | |
| Yellow Cab Co. of Easton | 11/29/79 | 16,303 | | P | | | 1/04/80 | 16,303 | | | | | |
| Yellow Cab Co. of Lebanon, Inc. | 6/29/79 | 6,875 | | P | | | 9/13/79 | 6,875 | | | | | |
| Yellow Cab Co. of Pittsburgh | 5/16/79 | 1,008,126 | | P | | | 6/21/79 | 1,008,126 | | | | | |
| Yellow Cab Co. of York, Inc. | 5/29/79 | 276,910 | | P | | | 6/28/79 | 276,910 | | | | | |
| Yellow Limousine Service, Inc. | 1/02/79 | 110,967 | | P | | | 2/02/79 | 110,967 | | | | | |
| ** For Rhode Island rate cases see end of Miscellaneous Rate Cases. | | | | | | | | | | | | | |
| | | | | | WAREHOUTE | | | | | | | | 1/ |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Beckman Express & Warehouse Co. | 5/24/79 | 13,312 | 15 | Storage & Handling | | | 7/03/79 | 13,312 | 15 | 91,617 | 91,127 | 490 | 99.5 |
| Calif. Cartage Warehousing Co. | 2/22/79 | 49,063 | 9 | Storage-GC | | | 5/10/79 | 49,063 | 9 | 593,000 | 537,258 | | 90.6 |
| Christina Warehouse Co., Inc. | 5/04/79 | 45,962 | 11 | Storage | | | 6/19/79 | 45,962 | 11 | 563,886 | 557,977 | 5,909 | 99 |
| Citizens Warehouse Trucking Co., Inc. | 7/25/79 | 13,080 | 21 | Storage | | | 8/28/79 | 13,080 | 21 | 75,368 | 129,032 | (53,664) | 171.29 |
| Continental Warehouse, Inc. | 3/27/79 | | 12.8 | Storage-GC | | | 4/26/79 | 4,053 | 12.8 | 412,451 | 408,398 | | 99 |
| Dompe Warehouse Co. | 4/03/79 | 56,721 | 88.25 | Storage | | | 5/22/79 | 56,721 | 88.25 | 120,991 | 115,608 | 5,383 | 95.6 |
| E. M. Olson Warehouse | 9/27/79 | 38,809 | 103 | Storage & Handling | | | 12/06/79 | 38,809 | 103 | 76,300 | 74,581 | 1,719 | 97.7 |
| Emery Warehouse Co. | 11/02/79 | 73,306 | 30 | Storage | | | 1/17/80 | 73,306 | 30 | 384,526 | 356,491 | | 94.9 |
| F & S Distributing Co., Inc. | 3/05/79 | 3,741 | 5.25 | Storage-GC | | | 5/24/79 | 3,741 | 5.25 | 75,000 | 68,625 | | 91.5 |
| Gibralter Warehouse | 9/27/79 | 112,662 | 10 | Storage & Handling | | | 12/06/79 | 112,662 | 10 | 1,760,793 | 1,648,131 | 112,662 | 96.6 |
| Guerin Drayage Co. | 10/04/79 | 16,272 | 12.5 | Storage | | | 12/18/79 | 16,272 | 12.5 | 130,182 | 126,594 | 3,588 | 97 |
| Haslett Company | 12/04/78 | | 5.5 | Storage-GC | | | 3/01/79 | 82,252 | 5.5 | 1,577,751 | 1,552,709 | | 98.4 |
| Helm Bean & Seed Warehouse | 10/22/79 | 21,233 | 31.5 | Storage | | | 12/18/79 | 21,233 | 31.5 | 88,742 | 81,855 | 6,887 | 92.2 |
| Interamerican Public Dist. Corp. | 4/03/79 | 228,514 | 10 | Storage | | | 5/22/79 | 228,514 | 10 | 2,461,266 | 2,457,493 | 3,773 | 99.85 |
| Lompoe Warehouse Corp. | 6/27/79 | 18,907 | 45 | Storage | | | 8/28/79 | 18,907 | 45 | 67,024 | 80,586 | (17,562) | 127.9 |
| Los Angeles Transport & Warehouse Co. | 9/21/79<br>Amended<br>12/03/79 | 12,858 | 6 | Storage & Handling | | | 1/08/80 | 12,858 | 6 | 227,166 | 212,590 | 14,576 | 93.6 |
| Marcantelli Warehouse Co. | 11/13/79 | 10,721 | 20 | Storage | | | 1/08/80 | 10,721 | 20 | 103,720 | 96,931 | 6,789 | 93.5 |
| Metropolitan Distribution Centers, Inc. | 5/25/79 | 455,438 | 10.8 | Storage | | | 8/04/79 | 455,438 | 10.8 | 4,672,461 | 4,501,302 | 171,159 | 97.9 |
| Nakano Warehouse & Trans. Corp. | 5/25/79<br>Amended<br>10/18/79 | | | GC | | | 11/06/79 | 32,250 | 3.8 | 880,025 | 873,300 | 6,725 | 99.3 |

## 1979 MISCELLANEOUS CARRIER RATE CASES

| Application | | | | | Interim Action | | Final Action | | | With Increase Granted | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| Name of Applicant | Date Filed | Rate Increase Requested ($) | Range or Weighted Average of Rate Increase Requested (%) | Type of Traffic<br>P=Passenger<br>PR=Parcels<br>B=Baggage<br>BC=Bulk Commodities<br>GC=General Commodities<br>F=Freight<br>Other (Listed)<br>C=Charter | Date Interim Increase Granted | Interim Increase Granted | Date Final Increase Granted. | Rate Increase Granted ($) | Range or Weighted Average of Rate Increase Granted (%) | Projected Operating Revenues ($) | Projected Operating Expenses ($) | Projected Net Operating Revenues ($) | Operating Ratio or Rate of Return Granted (%) |
| CALIFORNIA PUBLIC UTILITIES COMMISSION (Continued) | | | | | WAREHOUSE (Continued) | | | | | | | | |
| Oakland Bean Clearing & Storage Co. | 6/07/79 | 73,354 | 110 | Storage | | | 7/17/79 | 73,354 | | 138,710 | 194,629 | (55,919) | 140 |
| Orange Freight Consolidators | 12/03/79 | 629 | 20 | Storage | | | 2/28/80 | 629 | 20 | 7,090 | 6,520 | 570 | 20 |
| Overland Terminal Warehouse Co. | 10/11/79 | | | GC | | | 11/30/79 | 75,260 | 21 | 391,562 | 381,982 | 9,580 | 97.5 |
| Pacific Coast Service Co. | 10/19/79 | 11,120 | 8 | Storage | | | 11/20/79 | 11,126 | 8 | 150,201 | 145,200 | 5,001 | 97 |
| Pacific Coast Warehouse Corp. | 5/08/79 | | | GC | | | 7/03/79 | 344,847 | 12 | 3,011,427 | 2,954,795 | 56,632 | 98.12 |
| Patterson Warehouse Co. | 6/27/79 | 146,496 | 114 | Storage and Handling | | | 8/28/79 | 146,496 | 114 | 274,681 | 335,035 | (60,354) | 122 |
| Redway Truck & Warehouse Co. | 2/13/79 | | 8 | Storage-GC | | | 5/10/79 | 36,330 | 8 | 600,000 | 570,000 | | 95 |
| Romeo Drayage & Warehousing Company | 10/03/79 | 34,694 | 8.8 | Storage | | | 11/06/79 | 34,694 | 8.8 | 425,749 | 395,846 | | 95.1 |
| Russell Truck Company | 7/25/79 | 50,571 | 22 | Storage | | | 9/26/79 | 50,571 | 22 | 274,272 | 260,100 | 14,172 | 95 |
| San Francisco Warehouse Co. | 10/15/79 | 62,813 | 15 | Storage | | | 12/18/79 | 43,225 | 14 | 477,378 | 435,019 | 42,359 | 91.1 |
| Sacramento Valley Milling Co. | 6/07/79 | 38,805 | 62 | Storage | | | 7/17/79 | 38,805 | 62 | 101,364 | 100,093 | 1,271 | 98.7 |
| Santa Maria Valley Warehouse Co. of California | 2/05/79 | 18,305 | 45 | Storage-GC | | | 5/08/79 | 18,305 | 45 | 67,870 | 82,513 | | 122 |
| South End Warehouse Company | 7/20/79 | 35,881 | 10 | Storage | | | 8/28/79 | 35,881 | 10 | 439,463 | 429,507 | 9,956 | 97.7 |
| Tab Transportation, Inc. | 5/25/79 | 50,185 | 8.5 | Storage and Handling | | | 7/31/79 | 50,185 | 8.5 | 468,580 | 451,982 | 16,598 | 96.5 |
| Tarke Warehouse | 7/27/79 | 55,932 | 85 | Storage | | | 8/28/79 | 55,932 | 85 | 122,006 | 113,318 | 8,688 | 93 |
| Union Terminal Warehouse | 10/19/79 | 195,897 | 10.5 | Storage and Handling | | | 12/20/79 | 195,897 | 10.5 | 2,154,866 | 2,150,477 | 4,389 | 99.8 |
| United California Express & Storage Co. | 3/09/79 | 4,385 | 38 | Storage-GC | | | 5/24/79 | 4,835 | 38 | 60,931 | 56,096 | 4,835 | 94.8 |
| Walton Distribution Service | 3/06/79 | 95,575 | 7 | Storage-GC | | | 5/24/79 | 95,575 | 7 | 1,042,608 | 927,298 | | 94.1 |
| 1/ Operating ratio. | | | | | | | | | | | | | |
| CALIFORNIA PUBLIC UTILITIES COMMISSION | | | | | WATER CARRIERS | | | | | | | | |
| Angel Island Ferry | 9/28/78 | 53,969 | | P | | | 5/22/79 | 53,969 | | | | | |
| Balboa Island Ferry | 4/30/79 | 95,648 | | P, PR, B | | | 8/14/79 | 95,648 | | | | | |
| Catalina Passenger Service, Inc. | 3/28/79 | 63,642 | 18 | P, C, PR, B | | | 8/14/79 | 63,642 | | | | | |
| H-10 Water Taxi Co., Ltd. | 4/15/79 | 208,000 | | P, C, PR, B | | | 7/31/79 | 208,000 | | | | | |
| H. Tourist, Inc. | 7/17/79 | 431,800 | | P, C, PR, B | | | 10/20/79 | 129,500 | | | | | |
| Harbor Carriers, Inc. | 7/25/79 | 58,994 | | P, C | | | 8/28/79 | 58,994 | | | | | |
| IDAHO PUBLIC UTILITIES COMMISSION | | | | | | | | | | | | | |
| Latterty Transportation | 6/07/79 | | 11 | Log Towing | | | 6/28/79 | | 12 | | | | |
| RHODE ISLAND PUBLIC UTILITIES COMMISSION | | | | | TAXI (Continued) | | | | | | | | |
| Airport Taxi, Inc. | 4/04/79 | 1.25<br>First 1/5 mile<br>25¢ Additional 1/5 mile<br>10.00 Per Hour-Waiting | 25 | P | | | 10/04/79 | 1.50<br>First 1/4 mile<br>30¢ Additional 1/4 mile<br>10.00 Per Hour-Waiting | 20.3 | 509,909 | 496,745 | 13,164 | Operating Ratio-2% |
| Bonanza Cab, Inc. | 6/15/79 | 95¢<br>First 1/10 mile<br>10¢ Additional 1/10 mile | 20 | P | | | Withdrawn by the applicant 2/18/80. | | | | | | |
| Doris Cab Co. | 8/07/79 | 95¢<br>First 1/10 mile<br>10¢ Additional 1/10 mile | 20 | P | | | Withdrawn by the applicant 2/28/80. | | | | | | |
| East Providence Cab | 5/29/79 | 85¢<br>First 1/10 mile<br>10¢ Additional 1/10 mile | 40 | P | | | Increase denied on 5/28/80. | | | | | | |
| Independent Taxi Owners Association | 4/25/79 | 95¢<br>First 1/10 mile<br>10¢ Additional 1/10 mile | 12.5 | P | | | Increase denied on 2/08/80. | | | | | | |

## PART III

## NATIONAL TABLES ON UTILITY REGULATION

### Introductory Statement

Part III presents the results of a survey of the extent of regulatory jurisdiction over utilities in each of the States, the District of Columbia, Guam, Alberta, Nova Scotia, Ontario, Quebec, Puerto Rico, and the Virgin Islands. Also included is the extent of regulation over these utilities by the Federal Energy Regulatory Commission (FERC) [1] [2] [3], and the Federal Communications Commission (FCC). This information represents the interpretation, by the regulatory authorities concerned, of the nature and extent of their jurisdiction over utility companies under existing laws as of December 31, 1979.

The term "private" as used in this publication, refers to privately-owned or investor-owned utilities. The term "public" refers to publicly-owned utilities operated by municipalities and Federal or State governmental agencies.

The extent of agency authority may vary not only with the language of specific statutes but also with the interpretations of the laws by the agency and the courts. Within the limitations inherent in the interpretation of statutes, authority specifically granted and authority implied from general statutory provisions are shown in the tables by an "X". A blank indicates that the agency does not have specific authority to control the activity under consideration nor does it consider such authority as incidental to its general powers.

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[1] On October 1, 1977, pursuant to the provisions of the Department of Energy Organization Act (DOE Act), Public Law 95-91, 91 Stat. 565 (August 4, 1977) and Executive Order No. 12009, 42 Fed. Reg. 46267 (September 15, 1977), the Federal Power Commission ceased to exist and its functions and regulatory responsibilities were transferred to the Secretary of Energy and the Federal Energy Regulatory Commission (FERC) which, as an independent commission within the Department of Energy, was activated on October 1, 1977.

[2] In addition, under the Act and the Executive Order, regulatory functions formerly vested in the Interstate Commerce Commission (ICC) were transferred to the FERC, where the regulatory function establishes rates or charges for the transportation of oil by pipeline or the valuation of oil pipelines. Because the FERC has had these additional responsibilities only since October 1, 1977, no attempt has been made to reflect this in the tables that follow other than to indicate the number of jurisdictional oil pipelines.

[3] Rate methodology for common carrier oil pipeline companies will be determined in Phase I Proceedings of TAPS, Docket No. OR78-1 and Williams Pipe Line Company, Docket No. OR79-1.

## SECTION A

## NUMBER OF UTILITIES

Tables 1 and 2 show the number of utilities operating within each State as well as the number and various types of utilities subject to an agency's review. Some utilities operate in more than one State and therefore are represented in the totals of more than one State. Also, some utilities provide more than one type of utility service--such as gas and electric service--and therefore could be considered in more than one total. The degree of each agency's regulatory authority over the various utilities is presented in subsequent sections of Part III.

Table 1 - NUMBER OF ELECTRIC, GAS AND TELEPHONE UTILITIES

| STATE | Number of utilities operating in the State - | | | | | |
|---|---|---|---|---|---|---|
| | Electric | | | Gas | | Telephone 1/ |
| | Private | Public | Cooperative | Private | Public | |
| ALABAMA | 1 | 36 | 23 | 4 | 103 | 36 |
| ALASKA | 23 | 13 | 14 | 4 | 1 | 25 |
| ALBERTA PUB | 4 | 11 15/ | 359 15/ | 19 | 16/ | 3 19/ |
| ARIZONA | 8 | 19 | 9 | 10 | 5 | 12 |
| ARKANSAS | 5 | 4 | 19 | 7 | 15 | 37 |
| CALIFORNIA | 8 | 34 13/ | 4 | 7 | 3 13/ | 28 |
| COLORADO | 3 | 30 | 30 | 10 | 10 | 36 |
| CONNECTICUT | 5 | 6 | 1 | 5 | 1 | 3 |
| DELAWARE | 2 | 9 | 1 | 3 | 0 | 1 |
| DISTRICT OF COLUMBIA | 1 | 0 | 0 | 1 | 0 | 1 |
| FLORIDA | 6 | 34 | 16 | 14 | 111 | 20 |
| GEORGIA | 2 | 54 | 39 | 4 | 89 | 41 |
| GUAM PUC | 0 | 1 | 0 | 0 | 0 | 1 |
| HAWAII | 5 | 0 | 0 | 1 | 0 | 1 |
| IDAHO | 5 | 11 | 21 | 2 | 0 | 16 18/ |
| ILLINOIS | 13 | 40 | 30 | 19 22/ | 66 | 55 |
| INDIANA | 9 | 73 | 46 | 26 | 20 | 75 11/ |
| IOWA | 10 | 142 | 65 | 11 | 41 | 174 |
| KANSAS | 7 | 138 | 37 | 26 | 64 | 52 12/ |
| KENTUCKY | 10 | 27 | 29 | 45 | 45 | 35 |
| LOUISIANA | 5 | 24 | 16 | 42 | 97 | 25 |
| MAINE | 11 | 7 | 1 | 2 | 0 | 26 |
| MARYLAND | 5 | 7 | 4 | 10 | 1 | 2 |
| MASSACHUSETTS | 14 | 40 | 0 | 14 | 4 | 25 23/ |
| MICHIGAN | 11 | 41 | 15 | 10 | 0 | 50 |
| MINNESOTA | 8 4/ | 133 | 57 | 13 4/ | 16 | 105 |
| MISSISSIPPI | 2 | 28 | 26 | 9 | 46 | 24 |
| MISSOURI | 11 | 87 | 47 | 14 | 33 | 51 18/ |
| MONTANA | 7 | 0 | 20 | 13 | 2 | 23 10/ |
| NEBRASKA | 5/ | 405 | 5/ | 5/ | 10 | 45 |
| NEVADA | 7 | 11 | 8 | 3 | 0 | 16 |
| NEW HAMPSHIRE | 5 | 3 | 1 | 7 | 0 | 13 |
| NEW JERSEY | 4 | 9 | 1 | 4 | 0 | 8 |
| NEW MEXICO | 5 | 9 | 21 | 0 | 10 | 16 |
| NEW YORK | 12 8/ | 46 14/ | 5 | 24 8/ | 2 | 94 |
| NORTH CAROLINA | 11 20/ | 72 | 34 21/ | 5 | 8 | 30 6/ |
| NORTH DAKOTA | 3 | 11 | 25 | 4 | 5 | 40 |
| NOVA SCOTIA PUB | 0 | 13 | 0 | 0 | 0 | 3 |
| OHIO | 10 | 80 | 28 | 28 | 5/ | 68 9/ |
| OKLAHOMA | 4 | 70 | 33 | 42 | 56 | 44 |
| ONTARIO EB | 3 | 353 | 0 | 7 | 2 | |
| ONTARIO TSC | | | | | | 32 |
| OREGON | 4 | 15 7/ | 16 | 3 | 0 | 37 |
| PENNSYLVANIA | 12 | 6 | 5/ | 43 | 0 | 61 |
| PUERTO RICO | 0 | 1 | 0 | 10 | 0 | 2 |
| QUEBEC EGB | 26 | | 0 | 3 | 0 | |
| QUEBEC PSB | | | | | | 23 2/ |
| RHODE ISLAND | 4 | 1 | 0 | 5 | 0 | 1 |
| SOUTH CAROLINA | 6 | 22 | 23 | 6 | 15 | 30 |
| SOUTH DAKOTA | 6 | 36 | 35 | 4 | 1 | 33 24/ |
| TENNESSEE | 3 | 58 | 22 | 7 | 93 | 19 17/ |
| TEXAS | 15 | 73 | 85 | 325 | 84 | 96 |
| UTAH | 3 | 37 | 10 | 4 | 0 | 19 |
| VERMONT | 13 | 15 | 3 | 5 | 0 | 9 |
| VIRGIN ISLANDS | 0 | 1 | 0 | 0 | 0 | 1 |
| VIRGINIA | 6 | 16 | 14 | 14 | 3 | 24 |
| WASHINGTON | 3 | 45 | 16 | 4 | 3 | 26 |
| WEST VIRGINIA | 16 | 2 | 3 | 41 | 0 | 13 |
| WISCONSIN | 17 | 85 | 26 | 16 | 1 | 117 |
| WYOMING | 7 | 14 | 21 | 22 | 0 | 22 3/ |

1/ Telephone industry sources usually publish fewer number of companies. Differences may be farmer-owned rural lines which are not completely telephone companies. Some agencies may include mobile telephones in the number of companies while others do not.

2/ Number of telephone undertakings regulated by the Board as of 1/1/79. Four other undertakings are not regulated by the Board.

3/ Includes seven mobile telephones.

4/ Includes two combination gas and electric utilities.

5/ Not available.

6/ Includes nine cooperatives.

7/ Includes 11 municipalities.

8/ Seven combination gas/electric companies included in total of private gas and private electric companies. Twenty-four count also includes four small private gas concerns that have their own local production and sell to themselves and one or two other customers. They are regulated by the commission from a safety standpoint.

9/ Includes 19 mobile telephones.

10/ Private and cooperative.

11/ Includes 21 mobile telephones.

12/ Includes 16 cooperatives.

13/ Not regulated by the California Public Utilities Commission.

14/ Includes 42 under jurisdiction of Power Authority of the State of New York.

15/ Under partial Board jurisdiction.

16/ Twenty-two municipally owned gas utilities under full Board jurisdiction; seven municipally owned gas utilities under partial Board jurisdiction; 101 member-owned natural gas cooperatives under partial Board jurisdiction.

17/ Does not include 8 cooperative telephone companies regulated for ad valorem purposes only.

18/ Does not include mobile telephones.

19/ One public under full Board jurisdiction; one municipally owned and one cooperative under partial Board jurisdiction.

20/ Includes one state-owned utility.

21/ Includes one state-owned cooperative, 28 cooperatives owned and operated in the state, and five cooperatives domesticated in the state.

22/ Includes eight (8) combination electric and gas companies.

23/ Includes RCCs.

24/ Includes 14 cooperatives for which rates are no longer regulated by PUC. Does not include foreign telephone companies.

Table 2 - NUMBER OF ELECTRIC, GAS, COMBINATION ELECTRIC AND GAS, AND PETROLEUM PIPELINES

| AGENCY | Number of Electric, Gas, Combination Electric and Gas, and Petroleum Pipelines Under Agency Jurisdiction – | | | | | |
|---|---|---|---|---|---|---|
| | Electric | | | | | |
| | Cooperative | Citation of Jurisdictional Authority | Private | Citation of Jurisdictional Authority | Public | Citation of Jurisdictional Authority |
| FERC | 27/ | 16 U.S.C. 791 et seq. | 218 1/ | 16 U.S.C. 791 et. seq. | 27/ | 16 U.S.C. et. seq. |
| ALABAMA PSC | 0 | | 1 | Sec. 37, Code of Ala., 1975 | 0 | |
| ALASKA PUC | 14 | Sec. 6, Ch. 113 S.L.A. 1970 | 23 | Sec. 6, Ch. 113 S.L.A. 1970 | 1 | Sec. 6, Ch. 113 S.L.A. 1970 |
| ALBERTA PMC | | | | | | |
| ALBERTA PUB | 359 | Hydro and Electric Energy Act | 4 | P.U.B. Act | 11 | Sec. 271, Municipal Govt. Act |
| ARIZONA CC | 9 | Article XV, Ariz. Constit. | 8 | Article XV, Ariz. Constit. | 0 | |
| ARKANSAS PSC | 19 | Acts of Ark. Act 324 of 1935 | 5 | Acts of Ark. Act 324 of 1935 | 0 | |
| CALIFORNIA PUC | 4 | Calif. P. U. Code Sec. 216, 217, 218 | 8 | Calif. P. U. Code Sec. 216, 217, 218 | 0 | |
| COLORADO PUC | 10 | Sec. 40-1-103 CRS 1973 | 3 | Sec. 40-1-103 CRS 1973 | 13 | Sec. 40-1-103 CRS 1973 |
| CONNECTICUT PUC | 0 | | 5 | Title 16, Conn. Gen. Stat. | 0 | |
| DELAWARE PSC | 1 | Delaware Code, Title 26 | 2 | Delaware Code, Title 26 | 0 | |
| D.C. PSC | 0 | | 1 | D.C. Code, Title 43, et. seq. | 0 | |
| FLORIDA PSC | 16 | Ch. 366 (limited) | 6 | Ch. 366 | 34 | Ch. 366 (limited) |
| GEORGIA PSC | 7/ | | 2 | Acts 1907 pp. 72, 75; 1922 pp. 143, 144 | 8/ | |
| GUAM PSC | 0 | | 0 | | 1 | Govt. Code of Guam, Title XXI, Ch.1 |
| HAWAII PUC | 0 | | 5 | Ch. 269, Hawaii Revised Stat. | 0 | |
| IDAHO PUC | 0 | | 5 | Title 61, Idaho Code | 0 | |
| ILLINOIS CC | 0 | Ill. Revised Statutes, Ch. 111 2/3, Sec. 401 et. seq. | 13 | Ill. Revised Statutes, Ch.111 2/3, Sec. 1 et. seq. | 0 | |
| INDIANA PSC | 46 | Ind. Code of 1971, 8-1-13-18, 8-1-2-1 | 9 | Ind. Code of 1971, 8-1-8-2 | 73 | Ind. Code of 1971, 8-1-2-1 |
| IOWA SCC | 65 1/ | 478 and 476 | 10 | 478 and 476 | 142 25/ | 478 and 476 |
| KANSAS SCC | 37 | Ch. 66, Kan. Stat. Ann. | 7 | Ch. 66, Kan. Stat. Ann. | 19 | Ch. 66, Kan. Stat. Ann. |
| KENTUCKY ERC | 29 | Ch. 278, Ky. Rev. Stats. | 10 | Ch. 278, Ky. Rev. Stats. | 0 | |
| LOUISIANA PSC | 0 | Revised Statutes | 5 | State Constitution | 0 | |
| MAINE PUC | 3 | 35 M.R.S.A. | 11 | 35 M.R.S.A. | 5 | 35 M.R.S.A. |
| MARYLAND PSC | 4 | Article 78 | 5 | Article 78 | 7 | Article 78 |
| MASSACHUSETTS DPU | | | 14 | Chapter 164 | 40 | Ch. 164 (limited) |
| MICHIGAN PSC | 15 | Act 106, PA 1909, Amended | 11 | Act 106, PA 1909 Amended | 0 | |
| MINNESOTA PSC 28/ | 57 | Ch. 216B (1974) | 8 | Ch. 216B (1974) | 133 | Ch. 216B (1974) |
| MISSISSIPPI PSC | 26 | Public Utilities Act of 1956, Amended | 2 | Public Utilities Act of 1956, Amended | | |
| MISSOURI PSC | 0 | | 11 | Sec. 386.250, RSMo 1969 | 0 | |
| MONTANA PSC | 0 | | 7 | Title 69, MCA 1979 | 0 | |
| NEBRASKA PSC | 0 | | 0 | | 0 | |
| NEVADA PSC | 8 | | 7 | | 0 | |
| NEW HAMPSHIRE PUC | 1 | RSA 362.2 | 5 | RSA | 3 13/ | RSA |
| NEW JERSEY BPU | 1 | NJSA 48:2-13, et. seq. | 4 | NJSA 48:2-13, et. seq. | 9 9/ | |
| NEW MEXICO PSC | 21 | NMSA 1953, Sec. 68-3-1.1 | 5 | NMSA 1953, Sec. 68-3-1, 68-3-1.1 | 0 | NMSA 1953, Sec. 68-3-2, 68-3-3 |
| NEW YORK PSC | 5 14/ | | 12 17/ | Public Service Law Sec. 2(12) (13), 5(1)(b) and Article 4 | 4 | Public Service Law, Sec. 2(16) 5(1)(b) and Article 4 15/ |
| NORTH CAROLINA UC | 34 | N.C. Gen. Stats., Ch. 62, 110.2 et. seq. | 11 | N.C. Gen. Stats., Ch. 62, 3(23)a.1 | 0 | |
| NORTH DAKOTA PSC | 0 | | 3 | | 0 | |
| NOVA SCOTIA PUB | 0 | | 0 | | 13 | |
| OHIO PUC | 0 | | 10 | Title 49, Ohio Revised Code | 0 | |
| OKLAHOMA CC | 11 | Title 17, Sec. 158.21, et. seq. | 4 | Title 17, Sec. 151 et. seq. | 0 | |
| ONTARIO EB | 0 | | 0 | | 0 | |
| OREGON PUC | 16 | 19/ | 4 | Oregon Revised Statutes, Ch. 756, 757 | 15 18/ | |
| PENNSYLVANIA PUC | 0 | | 12 | | 6 11/ | |
| PUERTO RICO PSC | 0 | | 0 | | 0 | |
| QUEBEC EGB | 0 | EGB Act, R.S. 1964, c.87 | 26 | EGB Act, R.S. 1964, c.87 | 0 | EGB Act, R.S. 1964, c.87 |
| RHODE ISLAND PUC | 0 | | 4 | Title 39, Chapter 2 | 1 | Title 39, Chapter 2 |
| SOUTH CAROLINA PSC | 0 | | 6 | | 0 | |
| SOUTH DAKOTA PUC | 0 | | 6 | SDCL 49-34A | 0 | |
| TENNESSEE PSC | 22 20/ | 67-901 | 3 | 65-401 | 0 | |
| TEXAS PUC | 85 | Art. 1446c, Tex. Rev. Civ. Stat. Ann. (Supp. 1978) | 15 | Art. 1446c, Tex. Rev. Civ. Stat. Ann. (Supp. 1978) | 73 | Art. 1446c, Tex. Rev. Civ. Stat. Ann. (Supp. 1978) |
| TEXAS RC | | | | | | |
| UTAH PSC | 10 | Utah Code, Sec. 54-2-1(19) | 3 | Utah Code, Sec. 54-2-1(19) | 0 | |
| VERMONT PSB | 3 | 30 V.S.A. | 13 | 30 V.S.A. | 15 | 30 V.S.A. |
| VIRGIN ISLANDS PSC | 0 | | 0 | | 1 | Title 30, VIC, Sec. 1 Amended |
| VIRGINIA SCC | 14 | Virginia Code, Title 56 | 6 | Virginia Code, Title 56 | 0 | |
| WASHINGTON UTC | 0 | | 3 | Title 80, Rev. Code of Wash. | 0 | |
| WEST VIRGINIA PSC | 3 | W. Va. Code, Ch. 24 | 16 | W. Va. Code, Ch. 24 | 0 | |
| WISCONSIN PSC | 0 | | 17 | Chapter 196 | 85 | Chapter 196 |
| WYOMING PSC | 21 | Sec. 37-1(f)(3) Wyo. Stats., 1957 | 7 | Sec. 37-1(f)(3) Wyo. Stats., 1957 | 8 | |

Table 1 - NUMBER OF ELECTRIC, GAS, COMBINATION ELECTRIC AND GAS, AND PETROLEUM PIPELINES (Continued)

| AGENCY | Number of Electric, Gas, Combination Electric and Gas, and Petroleum Pipelines under Agency Jurisdiction - | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | Gas | | | | | | | |
| | Private | Citation of Jurisdictional Authority | Public | Citation of Jurisdictional Authority | Combination Gas and Electric | Citation of Jurisdictional Authority | Petroleum Pipelines | Citation of Jurisdictional Authority |
| FERC | 122 2/ | 15 U.S.C. 717(a) et. seq. | | | 6 | 16 U.S.C. 791 et seq.<br>15 U.S.C. 717(a) et seq. | 1/<br>122 | P.L. 95-91 29/ |
| ALABAMA PSC | 4 | Sec. 37, Code of Ala., 1975 | 0 | | | Sec. 37, Code of Ala., 1975 | | |
| ALASKA PC | 0 | | 0 | | 0 | | 12 | As 42.06 |
| ALASKA PUC | 4 | Sec. 6, Ch. 113, S.L.A. 1970 | 0 | | | | | |
| ALBERTA PMC | 7 | Natural Gas Pricing Agreement Act 10/ | | | | | | |
| ALBERTA PUB | 19 | Gas Utilities Act | 29 | Gas Utilities Act 30/ | 0 | | 1 | Alberta Gas Act 26/ |
| ARIZONA CC | 10 | Art. XV, Ariz. Const. | 0 | | 4 | Art. XV, Ariz. Const. | 0 | |
| ARKANSAS PSC | 7 | Acts of Ark. Act 324 of 1935 | 15 3/ | Acts of Ark., Act 285 of 1971 | 0 | Act 324 of 1935 | | |
| CALIFORNIA PUC | 7 | Calif. P.U. Code Sec. 221, 222 | 0 | | 2 | Calif. P.U. Code, Sec. 216, 217, 218, 221, 222 | 4/ | |
| COLORADO PUC | 10 | Sec. 40-1-103 CRS 1973 | 2 | Sec. 40-1-103 CRS 1973 | 3 | Sec. 40-1-103 CRS 1973 | 3 | |
| CONNECTICUT PUC | 5 | Title 16, Conn. Gen. Stat. | 0 | | | Title 16, Conn. Gen. Stat. | 1 | Title 16, Conn. Gen. Stat. |
| DELAWARE PSC | 3 | Del. Code, Title 26 | 0 | | 1 5/ | Del. Code, Title 26 | 1 | Del. Code, Title 26 |
| D.C. PSC | 1 | D.C. Code, Title 43 et. seq. | 0 | | 0 | | 0 | |
| FLORIDA PSC | 14 | Ch. 366 & 368 | 111 6/ | Ch. 368 | 0 | Ch. 366 | 0 | |
| GEORGIA PSC | 4 | Acts 1907, pp. 72, 75: 1922, pp. 143, 144 | 26 24/ | | 0 | | 0 | |
| GUAM PUC | 0 | | 0 | | 0 | | 0 | |
| HAWAII PUC | 1 | Ch. 269, Hawaii Rev. Stat. | 0 | | 0 | | 0 | |
| IDAHO PUC | 2 | Title 61, Idaho Code | 0 | | | | 1 | |
| ILLINOIS CC | 11 | Ill. Rev. Stats. Ch. 111 2/3, Sec. 1, et. seq. | 0 | | 8 | Ill. Rev. Stats. Ch. 111 2/3, Sec. 1, et. seq. | 21 | Ill. Rev. Stats. Ch. 111 2/3, Sec. 1, et. seq. |
| INDIANA PSC | 26 | Ind. Code of 1971 8-1-2-1 | 20 | Ind. Code of 1971 8-1-2-1 | 2 | Ind. Code of 1971 8-1-2-1 | 0 | |
| IOWA SCC | 11 | 479 and 476 | 41 25/ | 479 and 476 | 5/ | | 10 21/ | 479 |
| KANSAS SCC | 26 | Ch. 66, Kansas Stats. Ann. | 18 | Ch. 66, Kansas Stats. Ann. | 2 | Ch. 66, Kansas Stats. Ann. | 14 | Ch. 66, Kansas Stats. Ann. |
| KENTUCKY PSC | 45 | | 0 | | 2 | | 2 | |
| LOUISIANA PSC | 42 | State Constitution | 0 | | 2 | State Constitution | 18 | State Constitution |
| MAINE PUC | 2 | 35 M.R.S.A. | 0 | | | | | |
| MARYLAND PSC | 10 | Article 78 | 1 | Article 78 | 2 | Article 78 | 0 | |
| MASSACHUSETTS DPU | 14 | Chapter 164 | 4 | Ch. 164 (limited) | | | | |
| MICHIGAN PSC | 10 | Act 300, PA 1909 Amended | 0 | | 4 | Acts 106 and 300, 1909 Amended | N/A | |
| MINNESOTA PSC 28/ | 13 | Ch. 216B (1974) | 16 | Ch. 216B (1974) | 4 | Ch. 216B (1974) | 0 | |
| MISSISSIPPI PSC | 9 | Public Utilities Act of 1956, Amended | 19 12/ | Public Utilities Act of 1956, Amended (safety only) | 0 | | 0 | |
| MISSOURI PSC | 14 | Sec. 386.250, RSMo 1969 | 33 | | 6 | Sec. 386.260, RSMo 1969 | | |
| MONTANA PSC | 13 | Title 69, MCA 1979 | 2 | Title 69, MCA 1979 | 2 | Title 69, MCA 1979 | 13 | Title 69, MCA 1979 |
| NEBRASKA PSC | 0 | | 0 | | 0 | | 0 | |
| NEVADA PSC | 3 | | 0 | | 0 | | 1 | |
| NEW HAMPSHIRE PUC | 7 | RSA | 0 | | | | | |
| NEW JERSEY BPU | 4 | NJSA 48:2-13 et. seq. | 0 | | 1 | NJSA 48:2-13 et. seq. | 3 | NJSA 48:2-13 et. seq. |
| NEW MEXICO PSC | 6 | NMSA 1953, Sec. 68-3-1, 68-3-1.1 | | NMSA 1953, Sec. 68-3-2, 68-3-3 | | | | |
| NEW YORK PSC | 20 17/ | Public Service Law Sec. 2(10)(11), 5(1)(b) and Article 4 | 2 | Public Service Law Sec. 2(16), 5(1)(b) and Article 4 | 7 | Public Service Law Sec. 2(10)(11)(12) (13), 5(1)(b) & Art.4 | 12 | Public Service Law |
| NORTH CAROLINA UC | 5 | N.C. Gen. Stats. Ch. 62, 3(23) a.1 | 0 | | 0 | N.C. Gen. Stats. Ch. 62, 3(23) a.1 | 0 | |
| NORTH DAKOTA PSC | 4 | | 0 | | | | 3 | |
| NOVA SCOTIA PUB | 0 | | 0 | | 0 | | 10 | |
| OHIO PUC | 28 | Title 49, Ohio Rev. Code | 0 | | 3 | Title 49, Ohio Rev. Code | 6 16/ | Title 49, Ohio Rev. Code |
| OKLAHOMA CC | 42 | Title 17, Sec. 151 | 0 | | 0 | | 0 | |
| ONTARIO EB | 7 | Ontario Energy Board Act | 0 | | 0 | | | |
| OREGON PUC | 3 | Oregon Rev. Stats. Ch. 756, 757 | 0 | | 1 23/ | Oregon Rev. Stats. Ch. 756, 757 | 0 | |
| PENNSYLVANIA PUC | 43 | | 0 | | 3 | | 6 | |
| PUERTO RICO PSC | 10 | | 0 | | | | 1 | |
| QUEBEC EGB | 3 | EGB Act, Ch. 87, S.R. 1964 | 0 | | 0 | | 0 | |
| RHODE ISLAND PUC | 5 | Title 39, Ch. 2 | 0 | | 0 | | 0 | |
| SOUTH CAROLINA PSC | 6 | | 0 | | 1 | | 0 | |
| SOUTH DAKOTA PUC | 4 | SDCL 49-34A | 0 | | 3 | SDCL 49-34A | 0 | |
| TENNESSEE PSC | 7 | 65-401 | 0 | | 0 | | 0 | |
| TEXAS PUC | | | | | | Tex. Rev. Civ. Stats. Ann. Art. 1446c (Supp. 1977) | | |
| TEXAS RC | 325 | Tex. Rev. Civ. Stats. Ann.(1925) Art. 6050-6056, Tex. Rev. Civ. Stats Ann. Art. 1446c (Supp. 1977) | 84 (Safety only) | | | | | |

Table 2 - NUMBER OF ELECTRIC, GAS, COMBINATION ELECTRIC AND GAS, AND PETROLEUM PIPELINES (Continued)

| AGENCY | Number of Electric, Gas, Combination Electric and Gas, and Petroleum Pipelines under Agency Jurisdiction - | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | Gas | | | | | | | |
| | Private | Citation of Jurisdictional Authority | Public | Citation of Jurisdictional Authority | Combination Gas and Electric | Citation of Jurisdictional Authority | Petroleum Pipelines | Citation of Jurisdictional Authority |
| UTAH PSC | 4 | Utah Code.Sec.54-2-1(17) | 0 | | | | | |
| VERMONT PSB | 5 | 30 V.S.A. | 0 | | | | | |
| VIRGIN ISLANDS PSC | 0 | | 0 | | 0 | | 0 | |
| VIRGINIA SCC | 14 | Title 56, Code of Va. | 0 | | 1 | | 0 | |
| WASHINGTON UTC | 4 | Title 80, Rev. Code of Wash. | 3<br>22/ | Title 80, Rev. Code of Wash. | 1<br>23/ | Title 80, Rev. Code of Wash. | 3 | Title 81, Rev. Code of Wash. |
| WEST VIRGINIA PSC | 41 | W. Va. Code, Ch. 24 | | | | | 1 | |
| WISCONSIN PSC | 16 | Chapter 196 | 1 | Chapter 196 | | | | |
| WYOMING PSC | 22 | Sec.37-1(f)(4) Wyo. Stats., 1957 | 0 | | 2 | Wyo. Stats. | 22 | |

## Footnotes - Table 2 - NUMBER OF ELECTRIC, GAS, COMBINATION ELECTRIC AND GAS, AND PETROLEUM PIPELINES

1/ Approximately.

2/ One hundred and twenty-two interstate gas pipeline companies and about 7,500 independent gas producers. Nine companies have obtained export and/or import permits on a long term basis but operate intrastate.

3/ Pipeline safety only.

4/ Regulated by the Transportation Division of the Public Utilities Commission.

5/ Included with gas and electric figures.

6/ Public gas companies regulated only as to safety practices.

7/ Territory and finance only.

8/ Territory only.

9/ Limited jurisdiction.

10/ Jurisdiction limited to cost of service.

11/ Municipal.

12/ Municipal - 18; District - 1.

13/ Outside municipal limits.

14/ Rural Electric Cooperative Law Sec. 67.

15/ Section 1014 of the Public Authorities Law exempts the Power Authority of the State of New York from regulation by the Commission except as to the siting of transmission and generation facilities under Article 7 and 8 of the Public Service Law and Section 18(a) of the Public Service Law. Municipalities which buy power from the Power Authority are also exempted from regulation by the Public Service Commission under Sec. 1005(5)(g) of the Public Authorities Law.

16/ Interstate.

17/ Seven combination gas/electric companies included in total of private gas and private electric companies.

18/ Includes 11 municipalities. The PUC does not regulate municipally owned or operated utilities.

19/ The PUC regulates safety and area allocation. Full regulation is at volition of Cooperative.

20/ Electric cooperatives are under the Commission's jurisdiction only for ad valorem assessment.

21/ Pipelines - gas and petroleum.

22/ Certification and safety only.

23/ Also counted as "electric, private" and as "gas, private."

24/ When municipally owned gas systems operate outside county in which municipality is located, Commission has jurisdiction over rates, service and safety.

25/ Service regulation only; not rates.

26/ Under partial Board jurisdiction.

27/ Jurisdiction exists but no regulatees come under this category.

28/ Gas and electric regulation established April 12, 1974; rate regulation became effective Jan. 1, 1975.

29/ Under the Department of Energy Organization Act, Public Law 95-91, 91 Stat. 565 (August 4, 1977) and Executive Order No. 12009, 42 F.R. 46267 (September 15, 1977), functions formerly vested in the Interstate Commerce Commission were transferred to FERC, where the regulatory function establishes rates or charges for the transportation of oil by pipeline or the valuation of oil pipelines, effective October 1, 1977.

30/ Twenty-two under full Board jurisdiction; seven under partial Board jurisdiction.

## SECTION B

## REGULATION OF ELECTRIC, GAS AND TELEPHONE RATES

Tables 3 through 11 show the extent of the authority of regulatory agencies over the various facets of the rate regulation of electric, gas and telephone utilities. Data is included on all 50 States, the District of Columbia, Puerto Rico and the Virgin Islands, as well as the Federal Energy Regulatory Commission, the Federal Communications Commission, and the regulatory authorities of Guam, Nova Scotia, and Ontario, Alberta, and Quebec, Canada.

Absence of agency control over rates does not necessarily mean complete lack of governmental regulation. Under common law public utilities must render service at reasonable rates and without undue discrimination. In the States where the agency lacks authority over one or more services the statutes often provide other methods of regulating utility sales and service. For instance, Table 3 shows that relatively few State agencies have control over the rates of publicly owned or cooperatively owned utilities. However, regulatory authority over these utilities is generally vested within a municipal government or other governmental agency. Table 4 supplies further information on municipal utility regulation.

State agencies with authority to regulate rates of privately owned electric, gas and telephone utilities also generally have the authority to require prior authorization of rate changes, to suspend proposed rate changes, and to initiate rate investigations. In a majority of the States, the agencies have the authority to prescribe interim rates and to establish sliding scale (i.e., the authority to establish a plan for automatic periodic changes in rates), and to make other adjustments. Also, State agencies generally provide for representation of the consumer interest during regulatory proceedings on both a formal basis (representation by a Peoples' Counsel or State Attorney General), and informal basis (the right of intervention by interested parties).

Details of the authority of the various State agencies to control rate changes and other aspects of the operation of the ownership classes of utilities are shown in the following tables of this Section.

Table 3 - REGULATION OF RATES: ELECTRIC, GAS AND TELEPHONE UTILITIES

| AGENCY | The Agency has authority to regulate or control rates on sales to – | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Ultimate consumers | | | | | | Industrial customers of | | Public authorities for public use (not resale) | | | | | | U.S. Government – not for resale | | | | |
| | Electric | | | Gas | | | | | Electric | | | Gas | | | Electric | | | Gas | |
| | Private | Public | Cooperative | Private | Public | Telephone | Interstate pipe-line companies | Natural Gas producers | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public |
| FCC | | | | | | X16/ | | | | | | | | X16/ | | | | | |
| FERC | | 1/ | | | | | 17/ | 17/ | | 1/ | | | | | | 1/ | | | |
| ALABAMA PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| ALASKA PC | | | | | | | X | X | | | | X | X | | | | | | |
| ALASKA PUC | X | X2/ | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PUB | X | X | X | X | X | X | | X51/ | X | X | X | X | X | X | | | | | |
| ARIZONA CC | X | | X | X | | X | | X | X | | X | X | | X | X | | X | X | |
| ARKANSAS PSC | X | | X | X | | X | | X | | | | | | X | X | | X | X | |
| CALIFORNIA PUC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| COLORADO PUC | X | X3/ | X | X | X3/ | X | | | X | X3/ | X | X | X3/ | | X | X3/ | X | X | X3/ |
| CONNECTICUT PUC | X | | X12/ | X | | X | | | X | | X12/ | X | | X | X | | X12/ | X | |
| DELAWARE PSC | X | | X | X | | X | | | X | | X | X | | X | X | | X | X | |
| D.C. PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| FLORIDA PSC | X | X43/ | X43/ | X | | X | | | X | X43/ | X43/ | X | | X | X | X43/ | X43/ | X | |
| GEORGIA PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| GUAM PUC | X | X | | | | X | | | X | X | | | | X | X | X | | | |
| HAWAII PUC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| IDAHO PUC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| ILLINOIS CC | X | | | X | | X | X | | X | | | X | | X | X | | | X | |
| INDIANA PSC | X | X | X | X | X | X | | | X | X | X | X | X | | X | X | X | X | X |
| IOWA SCC | X | | X | X | | X35/ | X | | X | | X | X | | X35/ | X | | X | X | |
| KANSAS SCC | X | 4/ | X | X | 4/ | X | | | X | 4/ | X | X | 4/ | X | X | 4/ | X | X | 4/ |
| KENTUCKY ERC | X | | X | X | | | | | X | | X | X | | | X | | X | X | |
| KENTUCKY URC | | | | | | X | | | | | | | | X | | | | | |
| LOUISIANA PSC | X | | | X41/ | | X | | | X | | | X | | X | X | | | X | |
| MAINE PUC | X | X | X | X | X12/ | X | | | X | X | X | X | X12/ | X | X | X | X | X | X12/ |
| MARYLAND PSC | X | X | X | X | X | X | | | X | | X | X | | X | X | X | X | X | X |
| MASSACHUSETTS DPU | X | 5/ | | X | 5/ | X | | | X | 5/ | | X | 5/ | X | X | 5/ | | X | 5/ |
| MICHIGAN PSC | X | | X | X | | X | X | | X20/ | | X20/ | X20/ | | | X | | X | X | |
| MINNESOTA PSC | X | | 56/ | X | | X | | | X | | 56/ | X | | | | | | | |
| MISSISSIPPI PSC | X | | | X | X | X | | | X | | X | X | X | X | X | | X | X | X |
| MISSOURI PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| MONTANA PSC | X | X | | X | X | X | X | X | X | X | | X | X | X | X | X | | X | X |
| NEBRASKA PSC 42/ | | | | | | X | | | | | | | | | | | | | |
| NEVADA PSC | X | 6/ | X | X | 6/ | X | X | | X | 6/ | X | X | 6/ | | X | 6/ | X | X | 6/ |
| NEW HAMPSHIRE PUC | X | X3/ | X | X | | X | | | X | X3/ | X | X | | X | X | X3/ | X | X | |
| NEW JERSEY BPU | X | 7/ | X | X | | X | | | X | | X | X | | X | X | | X | X | |
| NEW MEXICO PSC | X | 8/ | X | X | 15/ | | X18/ | | X | 8/ | X | X | 15/ | | X | 8/ | X | X | 15/ |
| NEW MEXICO SCC | | | | | | X | | | | | | | | X | | | | | |
| NEW YORK PSC | X | X9/ | | X | X | X | | | X21/ | X21/ | | X21/ | X21/ | X21/ | X21/ | X21/ | | X21/ | X21/ |
| NORTH CAROLINA UC | X | | | X | | X | X | | X | | | X | | X | X | | | X | |
| NORTH DAKOTA PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| NOVA SCOTIA PUB | X | X | X | X12/ | X12/ | X | | | X | X | X | X12/ | X12/ | X | | | | | |
| OHIO PUC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| OKLAHOMA CC | X | | X | X | | X | | | X | | X | X | | X | X | | X | X | |
| ONTARIO EB | | | | X | | | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | X | | | | | | | | X | | | | | |
| OREGON PUC | X | | | X | 12/ | X | | | X | | | X | 12/ | X | X | | | X | 12/ |
| PENNSYLVANIA PUC | X | X11/ | | X | X11/ | X | | | X | X11/ | | X | X11/ | X | X | X | | X | X11/ |
| PUERTO RICO PSC | | | | X | | 19/ | | 10/ | | | | | | 19/ | | | | | |
| QUEBEC EGB | X | | | X | | | | | X | | | X | | | | | | | |
| QUEBEC PSL | | | | | | X | | | | | | | | X | | | | | |
| RHODE ISLAND PUC | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | | | X | | | X | | X | X | | | X | |
| SOUTH DAKOTA PUC | X | | | X | | X58/ | | | X | | | X | | X | X | | | X | |
| TENNESSEE PSC | X | | | X | | X | X | | X | | | X | | X | X | | | X | |
| TEXAS PUC | X | X48/ | X | | | X | | | | | | | | | | | | | |
| TEXAS RC 46/ | | | | X | X | | | X | | | | X | X | | | | | X | X |
| UTAH PSC | X | | X | X | | X | X | X | X | | X | X | | X | X | | X | X | |
| VERMONT PSB | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | | | | | | X | | | | X | | | | X | | X | | | |
| VIRGINIA SCC | X | | X | X | | X | | | | | | | | X | | | | | |
| WASHINGTON UTC | X | | | X | | X | | X | X | | | X | | X | X | | | X | |
| WEST VIRGINIA PSC | X | X | X | X | | X | | | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | 14/ | X | X | X | 12/ | 12/ | X | X | 14/ | X | X | X | X | X | 14/ | X | X |
| WYOMING PSC | X | X45/ | X | X | X45/ | X | X50/ | | X | X45/ | X | X | X45/ | | X | X45/ | X | X | X45/ |

Table - REGULATION OF RATES: ELECTRIC, GAS AND TELEPHONE UTILITIES (Continued)

| AGENCY | The Agency has authority to regulate or control rates on sales to - | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Public authorities for resale | | | | | U.S. Government for resale | | | | | Privately owned utilities for resale | | | | | Publicly owned utilities for resale | | | | |
| | Electric | | | Gas | | Electric | | | Gas | | Electric | | | Gas | | Electric | | | Gas | |
| | Private 44/ | Public | Cooperative | Private | Public | Private | Public | Cooperative | Private | Public | Private 44/ | Public | Cooperative | Private | Public | Private | Public | Cooperative | Private | Public |
| FCC | | | | | | | | | | | | | | | | | | | | |
| FERC | | 1/ | | X | | X | 1/ | | X | | X | 1/ | | X | | | 1/ | | | |
| ALABAMA PSC | X | | | X | | | | | | | X | | | X | | X | | | X | |
| ALASKA PC | | | | X | X | | | | X | X | | | | X | X | | | | X | X |
| ALASKA PUC | X | X | X | | | X | X | X | | | X | X | X | | | X | X | X | | |
| ALBERTA PUB | X | | | X | X | | | | | | X | X | X | X | X | 53/ | 53/ | 53/ | 53/ | 53/ |
| ARIZONA CC | X | | X | X | | X | | X | X | | X | | X | X | | X | | X | X | |
| ARKANSAS PSC | | | | | | | | | | | | | | | | | | | | |
| CALIFORNIA PUC | | | | X | | | | | X | | | | | X | | | | | X | |
| COLORADO PUC | X | X3/ | X | X | X3/ | X | X3/ | X | X | X3/ | X | X3/ | X | X | X3/ | X | X3/ | X | X | X3/ |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | X | | X | X | | | | | | |
| D.C. PSC | | | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC | | | | | | | | | | | | | | | | | | | | |
| GEORGIA PSC | X | | | X | | X | | | X | | X | | | X | | | | | | |
| GUAM PUC | X | X | | | | X | X | | | | X | X | | | | X | X | | | |
| HAWAII PUC | X | | | X | | X | | | X | | X | | | X | | X | | | X | |
| IDAHO PUC | | | | | | | | | | | X | | | X | | | | | | |
| ILLINOIS CC | X | | | X | | X | | | X | | X | | | X | | X | | | X | |
| INDIANA PSC | | | | | | | | | | | | | | | | X | X | X | | |
| IOWA SCC | | | | | | | | | | | | | | | | | | | | |
| KANSAS SCC | X | 4/ | X | X | 4/ | X | 4/ | X | X | 4/ | X | 4/ | X | X | 4/ | X | 4/ | X | X | 4/ |
| KENTUCKY ERC | | | X | | | X | | X | X | | | | | | | | | X | X | |
| LOUISIANA PSC | | | | | | | | | | | | | | | | | | | | |
| MAINE PUC | | | | X | X12/ | | | | | 12/ | | | X | X | X12/ | | | X | X | X12/ |
| MARYLAND PSC | | | | | | | | | | | | | | | | | | | | |
| MASSACHUSETTS DPU | X | 5/ | | X | 5/ | 24/ | 24/ | | 24/ | 24/ | 24/ | 24/ | | 24/ | 24/ | 24/ | 24/ | | 24/ | 24/ |
| MICHIGAN PSC | 22/ | | 22/ | 22/ | | 22/ | | 22/ | 22/ | | 22/ | | 22/ | 22/ | | 22/ | | 22/ | 22/ | |
| MINNESOTA PSC | | | | | | | | | | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | | | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | X | | | X | | | | | | |
| MONTANA PSC | X | X | | X | X | X | X | | X | X | X | X | | X | X | X | X | | X | X |
| NEBRASKA PSC 42/ | | | | | | | | | | | | | | | | | | | | |
| NEVADA PSC | X | 6/ | X | X | 6/ | X | 6/ | X | X | 6/ | X | 6/ | X | X | 6/ | X | 5/ | X | X | 6/ |
| NEW HAMPSHIRE PUC | X | X3/ | X | X | | X | X3/ | X | X | | X | X3/ | X | X | | X | X3/ | X | X | |
| NEW JERSEY BPU | X | | X | X | | X | | X | X | | X | | X | X | | X | | X | X | |
| NEW MEXICO PSC | X23/ | 8/ | X23/ | X23/ | 15/ | X23/ | 8/ | X23/ | X23/ | 15/ | X23/ | 8/ | X23/ | X23/ | 15/ | X23/ | 8/ | X23/ | X23/ | 15/ |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | | | |
| NEW YORK PSC | X | X | | X | X | X | X | | X | X | X | X | | X | X | X | X | | X | X |
| NORTH CAROLINA UC | | | | | | | | | | | | | | | | | | | | |
| NORTH DAKOTA PSC | | | | | | | | | | | | | | | | | | | | |
| NOVA SCOTIA PUB | | | | | | | | | | | | | | | | | | | | |
| OHIO PUC | | | | | | | | | | | X | | | X | | | | | | |
| OKLAHOMA CC | X | | | X | | X | | | X | | X | | | X | | X | | | X | |
| ONTARIO EB | | | | X | | | | | | | | | | X | | | | | X | |
| ONTARIO TSC | | | | | | | | | | | | | | | | | | | | |
| OREGON PUC | | | | | 12/ | | | | | 12/ | | | | | 12/ | | | | | 12/ |
| PENNSYLVANIA PUC | X | X11/ | | X | X11/ | | | | | | | | | | | | | | | |
| PUERTO RICO PSC | | | | | | | | | | | | | | | | | | | | |
| QUEBEC EGB | X | | | X | | | | | | | X | | | X | | | | | | |
| QUEBEC PSE | | | | | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | | | | X | | | | | X | | | | | X | | | | | X | |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | | | | | | | |
| TENNESSEE PSC | X | | | X | | X | | | X | | X | | | X | | X | | | X | |
| TEXAS PUC | X | X | X | | | X | X | X | | | X | X | X | | | X | X48/ | X | | |
| TEXAS RC 46/ | | | | X | X | | | | X | X | | | | X | X | | | | X | X |
| UTAH PSC | X | | X | X | | X | | X | X | | X | | X | X | | X | | X | X | |
| VERMONT PSB | | | | | | | | | | | | | | | | | | | | |
| VIRGIN ISLANDS PSC | | X | | | | | X | | | | | X | | | | | X | | | |
| VIRGINIA SCC | | | | | | | | | | | | | | | | | | | | |
| WASHINGTON UTC | X | | | X | | X | | | X | | X | | | X | | X | | | X | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | 14/ | X | X | X | X | 14/ | X | X | X | X | 14/ | X | X | X | X | 14/ | X | X |
| WYOMING PSC | X | X45/ | X | 49/ | 49/ | X | X45/ | X | 49/ | 49/ | X | X45/ | X | 49/ | 49/ | X | X45/ | X | 49/ | 49/ |

Table 1 - REGULATION OF RATES; ELECTRIC, GAS AND TELEPHONE UTILITIES (Continued)

| AGENCY | The Agency has authority to - | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Regulate rates for transmission on account of others | | | | | Prescribe temporary rates, pending investigation | | | | | | Require prior authorization of rate changes | | | | | | Define and prescribe rates for interchange power | | |
| | Electric | | | Gas | | Electric | | | Gas | | | Electric | | | Gas | | | Electric | | |
| | Private | Public | Cooperative | Private | Public | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative |
| FCC | | | | | | | | | | | 16/30/ | | | | | | X16/ | | | |
| FERC | X | 47/ | | X | | 29/ | | | 29/ | | | X | | | X | | | X | | |
| ALABAMA PSC | X | | | X | | X | | | X | | | X | | | X | | | | | |
| ALASKA PC | | | | X | X | | | | X | X | | | | | X | X | | | | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PMC | | | | X52/ | | | | | X52/ | | | | | | X52/ | | | | | |
| ALBERTA PUB | X | X | X | X | X | X | 54/ | | X | 54/ | X | X | 54/ | | X | 54/ | X | X | 54/ | |
| ARIZONA CC | X | | X | X | | X | | X | X | | X | X | | X | X | | X | X | | X |
| ARKANSAS PSC | | | | | | X | | X | X | | X | X | | X | X | | X | | | |
| CALIFORNIA PUC | | | | X | | X | | | X26/ | | X26/ | X | | | X | | X | | | |
| COLORADO PUC | X | X3/ | X | X | X3/ | X25/ | X3/25/ | X25/ | X25/ | X3/25/ | X25/ | X | X3/ | X | X | X3/ | X | X | X3/ | X |
| CONNECTICUT PUC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| DELAWARE PSC | | | | | | X | | X | X | | X | X | | X | X | | X | | | |
| D.C. PSC | | | | | | | | | | | | X | | | X | | X | | | |
| FLORIDA PSC | | | | | | X | 55/ | 55/ | X | | X | X | 55/ | 55/ | X | | X | | | |
| GEORGIA PSC | X | | | X | | X | | | X | | X | X | | | X | | X | | | |
| GUAM PUC | | | | | | X | X | | | | X | X | X | | | | X | | | |
| HAWAII PUC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| IDAHO PUC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| ILLINOIS CC | X | | | X | | X | | | X | | X | X | | | X | | X | X | | |
| INDIANA PSC | | | | | | | | | | | | X | X | X | X | X | X | | | |
| IOWA SCC | | | | | | X27/ | | X27/ | X27/ | | X27/ | X | X | X | X | X | X | | | |
| KANSAS SCC | X | 4/ | X | X | 4/ | X | 4/ | X | X | 4/ | X | X | 4/ | X | X | 4/ | X | X | 4/ | X |
| KENTUCKY ERC | X | | X | X | | X | | X | X | | | X | | X | X | | | X | | X |
| KENTUCKY URC | | | | | | | | | | | X | | | | | | X | | | |
| LOUISIANA PSC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| MAINE PUC | X | X | X | X | X12/ | X | X | X | X | X12/ | | X | X | X | X | X12/ | X | X | X | X |
| MARYLAND PSC | | | | | | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | | X | X . | X | X | 31/ | | X | 31/ | X | X | X | |
| MICHIGAN PSC | X | | X | X | | X28/ | | X28/ | X28/ | | X28/ | X | | X | X | | X | X32/ | | X32/ |
| MINNESOTA PSC | | | | | | X | | 56/ | X | | X | X | | 56/ | X | | X | | | |
| MISSISSIPPI PSC | | | | | | | | | | | | X | | | X | | X | | | |
| MISSOURI PSC | X | | | | | X | | | X | | X | X | | | X | | X | | | |
| MONTANA PSC | | | | | | X | X | | X | X | X | X | X | | X | X | X | | | |
| NEBRASKA PSC 42/ | | | | | | | | | | | X | | | | | | X | | | |
| NEVADA PSC | X | 6/ | X | X | 6/ | | 6/ | | | 6/ | | X | 6/ | X | X | 6/ | X | X | 6/ | X |
| NEW HAMPSHIRE PUC | X | X3/ | X | X | | X | X3/ | X | X | | X | X | X3/ | X | X | | X | X33/ | X33/ | X33/ |
| NEW JERSEY BPU | | | | X | | X | 7/ | X | X | | X | X | | X | X | | X | | | |
| NEW MEXICO PSC | X | 8/ | X | X | 15/ | X | 8/ | X | X | 15/ | | X | 8/ | X | X | 15/ | | X | 8/ | X |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | X | | | |
| NEW YORK PSC | X | X | | X | X | X | X | | X | X | X | X | X | | X | X | X | | | |
| NORTH CAROLINA UC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| NORTH DAKOTA PSC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| NOVA SCOTIA PUB | | | | | | X | X | X | X12/ | X12/ | | X | X | X | X12/ | X12/ | X | | | |
| OHIO PUC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| OKLAHOMA CC | X | | | X | | X | | X | X | | X | X | | X | X | | X | X | | X |
| ONTARIO EB | | | | X | | | | | X | | | | | | X | | | | | |
| ONTARIO TSC | | | | | | | | | | | X | | | | | | X | | | |
| OREGON PUC | | | | | | 13/ | | | 13/ | 12/ | 13/ | X | | | X | 12/ | X | X | | |
| PENNSYLVANIA PUC | X | X11/ | | X | X11/ | X | X11/ | | X | X11/ | X | X | X11/ | | X | X11/ | X | X | X11/ | |
| PUERTO RICO PSC | | | | | | | | | | | 19/ | | | | | | 19/ | | | |
| QUEBEC EGB | X | | | X | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | | | | | | X | | | | | | X | | | |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | | | | X | | X | | | X | | X | X | | | X | | X | | | |
| SOUTH DAKOTA PUC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| TENNESSEE PSC | | | | | | X | | | X | | X | X | | | X | | X | | | |
| TEXAS PUC | | | | | | X | X48/ | X | | | | X | X48/ | X | | | | | | |
| TEXAS RC 46/ | | | | X | X | | | | X | X | | | | | X | X | | | | |
| UTAH PSC | X | | X | X | | X | | X | X | | X | X | | | X | | X | | | |
| VERMONT PSB | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | | X | | | | | X | | | | X | | X | | | | X | | X | |
| VIRGINIA SCC | | | | | | X | | X | X | | X | X | | X | X | | X | | | |
| WASHINGTON UTC | X | | | X | | X | | | X | | X | X | | | X | | X | | | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | |
| WISCONSIN PSC | X | X | 14/ | X | X | X | X | 14/ | X | X | X | X | X | 14/ | X | X | X | X | X | 14/ |
| WYOMING PSC | X | X45/ | X | X | X45/ | X | X45/ | X | X | X45/ | X | X | X45/ | X | X | X45/ | X | X | X | X |

Table 3 - REGULATION OF RATES: ELECTRIC, GAS AND TELEPHONE UTILITIES (Continued)

| AGENCY | The Agency has authority to - | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Suspend proposed rate changes | | | | | | | Initiate rate investigation upon its own motion | | | | | |
| | Electric | | | Gas | | | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Maximum period of rate change suspension | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | X16/ | 3 months | | | | | | X16/ |
| FERC | X | | | X | | | 5 months | X | | | X | | |
| ALABAMA PSC | X | | | X | | X | 6 months | X | | | X | | X |
| ALASKA PC | | | | X | X | | 18 months | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | 6 months | X | X | X | X | X | X |
| ALBERTA PUB | X | 54/ | | X | X | X | Not fixed | X | 54/ | | X | X | X |
| ARIZONA CC 57/ | | | | | | | | X | | X | X | | X |
| ARKANSAS PSC | X | | X | X | | X | 6 months | X | | X | X | | X |
| CALIFORNIA PUC | X | | | X | | X | | X | | | X | | X |
| COLORADO PUC | X | X3/ | X | X | X3/ | X | 210 days | X | X3/ | X | X | X3/ | X |
| CONNECTICUT PUC | X | | | X | | X | 150 days | X | | | X | | X |
| DELAWARE PSC | X | | X | X | | X | Indefinite | X | | X | X | | X |
| D.C. PSC | X | | | X | | | | X | | | X | | X |
| FLORIDA PSC | X | | | X | | X | 8 months | X | 43/ | 43/ | X | | X |
| GEORGIA PSC | X | | | X | | X | 5 months | X | | | X | | X |
| GUAM PUC | X | X | | | | X | | X | X | | | | X |
| HAWAII PUC | | | | | | | Indefinite 36/ | X | | | X | | X |
| IDAHO PUC | X | | | X | | X | 6 months | X | | | X | | X |
| ILLINOIS CC | X | | | X | | X | 10 months | X | | | X | | X |
| INDIANA PSC | X | X | X | X | X | X | | X | X | X | X | X | X |
| IOWA SCC | X | | X | X | | X35/ | 12 months | X | | X | X | | X35/ |
| KANSAS SCC | X | 4/ | X | X | 4/ | X | Indefinite | X | 4/ | X | X | 4/ | X |
| KENTUCKY ERC | X | | X | X | | | 5 months | X | | X | X | | |
| KENTUCKY URC | | | | | | X | 5 months | | | | | | X |
| LOUISIANA PSC | X | | | X | | X | No limit | X | | | X | | X |
| MAINE PUC | X | X | X | X | X12/ | X | 8 months | X | X | X | X | X12/ | X |
| MARYLAND PSC | X | X | X | X | X | X | 180 days | X | X | X | X | X | X |
| MASSACHUSETTS DPU | X | X | | X | X | X | 6 months | X | X | | X | X | X |
| MICHIGAN PSC | 34/ | | 34/ | 34/ | | 34/ | 34/ | X | | X | X | | X |
| MINNESOTA PSC | X | 6/ | 56/ | X | 6/ | X | 9 months | X | 6/ | 56/ | X | 6/ | X |
| MISSISSIPPI PSC | X | X | | X | | X | 6 months | X | X | | X | | X |
| MISSOURI PSC | X | | | X | | X | 10 months | X | | | X | | X |
| MONTANA PSC | X | X | | X | X | X | 9 months | X | X | | X | X | X |
| NEBRASKA PSC 42/ | | | | | | X | 6 months | | | | | | X |
| NEVADA PSC | X | 6/ | X | X | 6/ | X | 150 days | X | 6/ | X | X | 6/ | X |
| NEW HAMPSHIRE PUC | X | X3/ | X | X | | X | 6 months | X | X3/ | X | X | | X |
| NEW JERSEY BPU | X | | X | X | | X | 8 months | X | | X | X | | X |
| NEW MEXICO PSC | X | 8/ | X | X | 15/ | | 9 months | X | 8/ | X | X | 15/ | |
| NEW MEXICO SCC | | | | | | X | 6 months | | | | | | X |
| NEW YORK PSC | X | X | | X | X | X | 10 months | X | | | X | | X |
| NORTH CAROLINA UC | X | | | X | | X | 9 months | X | | 40/ | X | | X |
| NORTH DAKOTA PSC | X | | | X | | X | 11 months | X | | | X | | X |
| NOVA SCOTIA PUB | X | X | X | X12/ | X12/ | X | | X | X | X | X12/ | X12/ | X |
| OHIO PUC | | | | | | | 9 months | X | | | X | | X |
| OKLAHOMA CC | X | | X | X | | X | No limit | X | | X | X | | X |
| ONTARIO EB | | | | 34/ | | | | | | | X | | |
| ONTARIO TSC | | | | | | X | | | | | | | X |
| OREGON PUC | X | | | X | 12/ | X | 10 months | X | | | X | 12/ | X |
| PENNSYLVANIA PUC | X | X11/ | | X | X11/ | X | 9 months | X | X11/ | | X | X11/ | X |
| PUERTO RICO PSC | | | | | | 19/ | | | | | X | | 19/ |
| QUEBEC EGB | X | | | X | | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | | X |
| RHODE ISLAND PUC | X | X | X | X | X | X | 8 months | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | 12 months 38/ | X | | | X | | X |
| SOUTH DAKOTA PUC | X | | | X | | X | 6 months 37/ | X | | | X | X | X |
| TENNESSEE PSC | X | | | X | | X | 90 days 39/ | X | | | X | | X |
| TEXAS PUC | X | X48/ | X | | | X | 6 months | X | | X | | | X |
| TEXAS RC 46/ | | | | X | X | | 150 days | | | | X | X | |
| UTAH PSC | X | | X | X | | X | 8 months | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | X | X | 6 months | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | | X | | | | X | 5 months | | X | | | | X |
| VIRGINIA SCC | X | | X | X | | X | 12 months | X | | X | X | | X |
| WASHINGTON UTC | X | | | X | | X | 10 months | X | | | X | | X |
| WEST VIRGINIA PSC | X | X | X | X | X | X | 120 days | X | X | X | X | X | X |
| WISCONSIN PSC | 34/ | 34/ | 14/ | 34/ | 34/ | 34/ | 34/ | X | X | | X | X | X |
| WYOMING PSC | X | X45/ | X | X | X45/ | X | 10 months | X | X45/ | X | X | X45/ | X |

## Footnotes - Table 3 - REGULATION OF RATES; ELECTRIC, GAS AND TELEPHONE UTILITIES

1/ The FERC has jurisdiction over the rates charged for power generated pursuant to the Bonneville, Fort Peck Flood Control, Eklutna and Falcon Dam Project Acts.
2/ Publicly owned utilities are regulated as to service area. Full regulation is imposed when competition exists between municipal and similar utility.
3/ Public utilities regulated when outside of municipal boundary only.
4/ Same as for private utilities and co-ops for facilities outside of 3 miles from the corporate limits of municipalities. Commission has no jurisdiction within the 3 mile limit.
5/ Only if earnings exceed 8 percent of original cost of plant in service or if discrimination between class of customers.
6/ Municipal utilities exempt from State regulation.
7/ Authority limited in individual cases by legislation or court decision.
8/ Municipally owned electric utilities are fully regulated with respect to service beyond five miles of municipal boundary.
9/ Not over publicly owned utilities served by N.Y.S. Power Authority.
10/ No natural gas in Puerto Rico.
11/ Only when service extends beyond the corporate limits of a publicly owned utility company.
12/ None in state.
13/ Grant emergency increases only.
14/ Not unless co-op extends activities to include functions that make it a public utility under the statutes (except to portion of co-op service within incorporated municipality as a result of annexation).
15/ Intercommunity natural gas association (owned by 2 or more municipals) should be answered same as private gas utilities.
16/ Authority to regulate rates for interstate and foreign services of telephone and telegraph carriers.
17/ FERC reviews rates by interstate pipelines to mainline industrial customers in certificate proceedings.
18/ Authority not exercised.
19/ The Puerto Rico Telephone Authority, a state public corporation created by Law 25 (May 6, 1974) purchased the Puerto Rico Telephone Company.
20/ Commission jurisdiction excluded from rates covered by special agreements with municipalities.
21/ Jurisdiction over all rates either by tariff or contract.
22/ Commission jurisdiction excluded from rates for intrastate service covered by special agreements with municipalities and rates for interstate services subject to the Federal Energy Regulatory Commission.
23/ Authority limited to rate charged and manner of delivery.
24/ Primarily Federal Energy Regulatory Commission jurisdiction.
25/ No specific statutory authority.
26/ May fix temporary rates, but practice is not followed.
27/ Application rates are temporary and are collected under bond subject to refund, from one to 90 days after suspension.
28/ Commission has authority to grant partial and immediate rate relief during pendency of final order, after statutory requirements are met.
29/ May permit rates to go into effect, subject to refund.
30/ Interim rates may be prescribed after a hearing.
31/ Required to advertise 30 days prior to change.
32/ Rates for interstate service subject to FERC.
33/ When not subject to FERC.
34/ Specific authority required to change rates. Rates do not become effective after a specified period, consequently, no suspension is required.
35/ Not for companies with less than 2,000 stations.
36/ Hawaii law provides that rate increases may not go into effect until approved by the Commission.
37/ Effective July 1, 1978 for electric and gas private utilities. One year suspension for telephone companies.
38/ Twelve months - electric; 6 months - telephone; 60 days - gas.
39/ 90 days at a time; up to a total of 6 months.
40/ Can investigate co-op rates for discriminatory practices.
41/ Except no authority over rates charged to industrial customers by any gas company.
42/ Telephone is the only regulated utility.
43/ Basic rate structure regulation only.
44/ Rates for interstate sales are subject to the jurisdiction of the FERC; intrastate rates are subject to State regulation.
45/ Public utilities regulated insofar as they are owned and operated outside corporate limits.
46/ The Railroad Commission of Texas has safety jurisdiction over municipally owned gas utilities and appellate rate jurisdiction over these utilities outside the corporate limits of the city.
47/ Rates for the transmission of non-Federal electric power over the Federal Columbia River Transmission system became effective upon confirmation and approval by FERC under the Federal Columbia River Transmission System Act.
48/ Appellate jurisdiction.
49/ Wyoming Supreme Court decision to effect PSC cannot regulate gas sale for resale.
50/ To extent not regulated by the FERC.
51/ Only if authorized by Lieutenant Governor in Council.
52/ Alberta PMC may determine what amounts are eligible for inclusion in cost of service.
53/ If for resale outside municipal boundaries.
54/ Only if a municipal which has passed a by-law approved by the Lieutenant Governor in Council, bringing itself under the Alberta PUB, or if the public body is the Government of the Province of Alberta.
55/ Review rate structure changes and comment on their compatability with common rate structure guidelines.
56/ Has authority only at the election of the cooperative.
57/ Rates cannot be increased without hearings and a subsequent order of the Commission, consequently, no suspension is required.
58/ PUC does not regulate rates of rural telephone cooperatives.

Table 4 - REGULATION OF MUNICIPAL ELECTRIC AND GAS UTILITIES

| AGENCY | Authority to regulate municipal electric and gas utilities | | | | Municipal utility regulated within/outside municipal's boundaries | | Municipal Sales Regulated - | | |
|---|---|---|---|---|---|---|---|---|---|
| | Gas | | Electric | | | | Retail--Ultimate Consumer | Retail--Public Authorities | Wholesale |
| | Yes | No | Yes | No | Within | Outside | | | |
| Alabama PSC | | X | | X | | | | | |
| Alaska PUC | X | | X | | | X | X | X | X |
| Alberta PUB | 12/ | | 12/ | | 13/ | X | 13/ | X | X |
| Arizona CC | | X | | X | | | | | |
| Arkansas PSC | X | | X | | | X | X | | |
| California PUC | | X | | X | | | | | |
| Colorado PUC | X | | X | | | X | X | | |
| Connecticut PUC | | X 1/ | | X 1/ | | | | | |
| Delaware PSC | | X | | X | | | | | |
| D.C. PSC | | X | | X | | | | | |
| Florida PSC | X | | X 14/ | | X | X | X | | |
| Georgia PSC | | X | | X | | | | | |
| Guam PUC | | | X | | | | | | |
| Hawaii PUC | | X | | X | | | | | |
| Idaho PUC | | X | | X | | | | | |
| Illinois CC | X | | | X | X | X | | | |
| Indiana PSC | X | | X | | X | X | X | X | X |
| Iowa SCC | X 2/ | | X 2/ | | X | X | X | X | X |
| Kansas SCC | X | | X | | | X 10/ | X | X | X |
| Kentucky ERC | | X | | X | | | | | |
| Louisiana PSC | | X 3/ | | X 3/ | | | | | |
| Maine PUC | X | | X | | | X | X | X | |
| Maryland PSC | X | | X | | X | X | X | X | |
| Massachusetts DPU | X | | X | | X | X | X | X | X |
| Michigan PSC | | X | | X | | | | | |
| Minnesota PSC | X | | X | | X | | | | |
| Mississippi PSC | X | | | X | | X | | | |
| Missouri PSC | | X 16/ | | X | | | | | |
| Montana PSC | X | | X | | X | X | X | X | |
| Nevada PSC | | X | | X | | | | | |
| New Hampshire PUC | X | | X | | | X | X | | |
| New Jersey BPU | X 4/ | | X | | | X | X | | |
| New Mexico PSC | X 5/ | | X 5/ | | | X 11/ | | | |
| New York PSC | X | | X | | X | X | X | | |
| North Carolina UC | | X 6/ | | X 6/ | | | | | |
| North Dakota PSC | | X | | X | | | | | |
| Nova Scotia PUB | X 4/ | | X | | X | X | X | X | X |
| Ohio PUC | | X | | X | | | | | |
| Oklahoma CC | | X | | X | | | | | |
| Oregon PUC | X | | X | | X | X | | | |
| Pennsylvania PUC | X 7/ | | X 7/ | | | X | X | | |
| Quebec EGB | | X | | X | | | | | |
| Rhode Island PUC | X | | X | | X | X | X | X | |
| South Carolina PSC | | X | X | | | X | | | |
| South Dakota PUC | | X | | | X | | | | |
| Tennessee PSC | | X | | X | | | | | |
| Texas PUC | | X | X 8/ | | | X | X | X | X |
| Texas RC | X 8/ | | | X | | X | X | X | X |
| Utah PSC | | X | | X | | | | | |
| Vermont PSB | X | | X | | X | X | X | X | X 15/ |
| Virginia SCC | | X | | X | | | | | |
| Washington UTC | X | | | X | X | X | | | |
| West Virginia PSC | | 17/ | | 17/ | | | | | |
| Wisconsin PSC | X | | X | | X | X | X | X | X |
| Wyoming PSC | X 9/ | | X 9/ | | | X | X | X | X |

Footnotes - Table 4 - REGULATION OF MUNICIPAL ELECTRIC AND GAS UTILITIES

1/ By statute, customers of municipal utilities may appeal disputed bills to the State PUCA.

2/ Regulation is limited to service rules, plant siting and safety matters. Municipal utilities are exempt from rate regulation in accordance with Chapter 476 Code of Iowa.

3/ Constitution of 1974 exempts city owned or operated utilities unless referendum held. To date, no referendums.

4/ However, there are no municipal gas utilities within the State.

5/ NMPSC only allowed to regulate if municipality petitions for such regulation. There has not been any such petition.

6/ Municipal electric systems are specifically exempted from regulation by North Carolina General Statute 62-3.(23)d.

7/ SS301 and 401 of the Public Utility Law, Act of May 28, 1937, P.L. 1053, as amended, regarding rates and service apply only to service rendered beyond a municipality's corporate limits.

8/ Appellate jurisdiction over municipal rates outside city limits.

9/ This authority is not exercised because there are no municipal gas utilities in Wyoming. Also, if a municipality generates power, sales over and above that portion used by the municipality-owned utility are regulated by the Wyoming PSC, W.S.37-1-101 (a)(vi)(H) 1977.

10/ Full regulation of rates and services of those customers outside three miles from the corporate city limits.

11/ Rates, charges and service conditions for electric customers more than five miles outside municipal boundaries.

12/ Only municipal utilities which have passed by-laws, approved by the Lieutenant Governor in Council, to bring themselves under jurisdiction of the Alberta PUB. Balance of municipal utilities under Board jurisdiction.

13/ On complaint only.

14/ Basic rate structure regulation.

15/ Approval of contracts.

16/ Municipal gas systems are regulated for safety only.

17/ No jurisdiction over rates and charges; jurisdiction over facilities and service.

Table 4 - REGULATION OF MUNICIPAL ELECTRIC AND GAS UTILITIES (Continued)

| AGENCY | Description of agency regulations over municipal electric and gas utilities - |
|---|---|
| Alaska PUC | Municipal utilities are subject to total regulation if one of the utilities is in competition with a privately owned utility--then all utilities owned by that municipality are subject to total regulation. If none of a municipality's utilities are in competition, they must apply for a Certificate of Public Convenience and Necessity which prescribes a service area. Also, a municipal utility may petition the Commission for total, irrevocable regulation, if it desires. This has occurred. |
| Alberta PUB | Municipal utilities are only subject to total regulation by the PUB if they have passed a by-law, approved by the Lieutenant Governor in Council. Municipal utilities which have not brought themselves under regulation by the PUB are subject to partial regulation, generally with respect to complaints over rates and approval of supply contracts. |
| Arkansas PSC | Regulates sales outside the municipal boundaries for gas and electric. |
| Colorado PUC | Facilities, service, rates, and charges. |
| Florida PSC | Territorial boundaries, rate structures (although not rate level), power pooling, interconnection (accounting-uniform system), and wheeling of electric utilities. Municipal gas utilities regulated only as to safety practices. |
| Guam PUC | Complete jurisdiction. |
| Illinois CC | Gas pipeline safety jurisdiction over municipal gas utilities. |
| Indiana PSC | Jurisdiction over rates, but are prohibited from interfering with their operations, rules or regulations. |
| Iowa SCC | Regulation is limited to service rules, plant siting, and safety matters. Municipal utilities are exempt from rate regulation in accordance with Chapter 476 Code of Iowa. |
| Kansas SCC | Same regulations for rates and services as any other utility except they are restricted to those customers outside three (3) miles from corporate city limits. Also, jurisdiction for gas pipeline safety over all municipal services, regardless of where they are located. |
| Maine PUC | Jurisdiction over all facilities, service, rates and charges. |
| Maryland PSC | Identical to jurisdiction over private investor and cooperative utilities, involving rates, terms and conditions, standards of service and customer rights. |
| Massachusetts DPU | Prescribe accounting, review permissible earnings under statute, insure statutes are followed as to street light rates, permit depreciation higher than statutory requirement, regulate as to service, approve issues of revenue bonds, see that rates are not changed more often than once every three months. |
| Minnesota PSC | Municipal utilities are under Commission jurisdiction for accounting procedures, depreciation, certification, amortization, depletion in respect to utility property, property acquisitions, rights of entrance and inspection, production of records, construction of facilities, assigned service areas and to some extent, customer complaints. |
| Mississippi PSC | Gas pipeline safety jurisdiction. |
| Missouri PSC | Municipal gas safety regulation. |
| Montana PSC | Facilities, service and rates. |
| New Hampshire PUC | For those customers outside municipal limits, tariffs are required and rates are regulated, i.e., that portion of the municipal utility's business is subject to PUC jurisdiction as is the case of any public utility. |
| New Jersey BPU | The municipal utilities are subject to the jurisdiction, regulation, and control of this Board insofar as the rates that are charged to customers outside the municipal boundaries. The municipal utilities are required to file annual reports with the agency. Service complaints are directed to the agency for appropriate action. |
| New Mexico PSC | NMPSC may disapprove rates, charges, and service conditions for electric customers served more than five (5) miles outside municipal boundaries; must approve issuance of revenue bonds, purchase of utility and refunding revenue bonds, and refunding utility or joint utility bonds; general jurisdiction over intercommunity water or natural gas supply associations; approve acquisition and operation of gas distribution facilities by one municipality in whole or in part within the boundary of another municipality. |
| New York PSC | Essentially the same as over private utilities except questions of need within municipal boundaries and except electric municipals which take their power from the Power Authority of the State of New York. |
| North Carolina UC | Gas pipeline safety jurisdiction (G.S. 62-50). |
| Oregon PUC | Only safety and curtailment matters during electrical shortages. |
| Pennsylvania PUC | When a municipal corporation is deemed to be rendering public utility service beyond its corporate limits, it is then subject to exactly the same regulations as is any other public utility with regard to its extraterritorial service. Its rates must be just and reasonable and its service and facilities must be adequate, efficient, safe and reasonable. |
| Rhode Island PUC | All types, including but not limited to tariffs, safety, plant repairs, etc. |
| South Carolina PSC | Regulates municipal electric utilities only to the extent of authorizing extensions of service beyond corporate limits by issuance of certificates of public convenience and necessity. |
| South Dakota PUC | Territorial boundaries only. |
| Texas PUC | Certification and appellate rate jurisdiction for areas outside city limits (electric). |
| Texas RC | Safety jurisdiction over municipally owned gas utilities and appellate rate jurisdiction over those utilities outside the corporate limits of the city. |

Table 4 - REGULATION OF MUNICIPAL ELECTRIC AND GAS UTILITIES (Continued)

| AGENCY | Description of agency regulations over municipal electric and gas utilities - |
|---|---|
| Vermont PSB | Total regulation: rates, approve sales of wholesale power through contracts, territories, new construction, tariff filings. |
| Washington UTC | Gas regulation extends only to the granting of a Certificate of Convenience and Necessity and any transfer thereof as well as the protection of the public safety in the construction and operation of natural gas pipelines. |
| West Virginia PSC | Jurisdiction over facilities and services; no jurisdiction over rates and charges. In early 1979 legislation was passed giving municipalities independent rate setting authority for municipally owned utilities. |
| Wisconsin PSC | Regulates rates for service, utility plant construction, standards of service, rates for service including billing and customer deposit rules. The jurisdiction is identical to jurisdiction over private utilities, except the Commission does not regulate security issues of municipal utilities. |
| Wyoming PSC | Regulates electric and gas utilities insofar as they extend outside corporate limits generally to the extent that other utilities are regulated; namely, certification of service area, construction safety, adequacy of service, environment, and rates and tariffs, etc. Municipal financing accomplished under laws relating to municipalities is not regulated by the PSC. |

Table 5 - AGENCY AUTHORITY TO PRESCRIBE TEMPORARY RATES FOR UTILITIES PENDING INVESTIGATION

| AGENCY | Bond Required | Amount of Bond | Interest Paid on Refunds | AGENCY | Bond Required | Amount of Bond | Interest Paid on Refunds |
|---|---|---|---|---|---|---|---|
| CAB | No | NA | NA | MONTANA PSC | No | NA | 10% |
| FCC | No | NA | NA | NEBRASKA PSC | No | NA | NA |
| FERC | No | NA | 19/ | NEVADA PSC 3/ | | | |
| ICC | | | | NEW HAMPSHIRE PUC | Yes | | Yes |
| ALABAMA PSC | No | NA | NA | NEW JERSEY BPU | No | NA | 17/ |
| ALASKA PUC | No | NA | 16/ | NEW MEXICO PSC | No | NA | No |
| ALASKA TC | 16/ | 16/ | 16/ | NEW MEXICO SCC | | | |
| ALBERTA PUB | No | NA | NA | NEW YORK DOT | | | |
| ARIZONA CC | No | NA | | NEW YORK PSC | No | NA | 9/ |
| ARKANSAS PSC | Yes | 100% 1/ | 10% | NORTH CAROLINA UC | Yes | 100% | 9% |
| ARKANSAS TC | | | | NORTH DAKOTA PSC | Yes | 100% 1/ | 6% |
| CALIFORNIA PUC | No | NA | 9/ | NOVA SCOTIA PUB | No | | |
| COLORADO PUC | | | | OHIO PUC | No | NA | 12/ |
| CONNECTICUT PUC | Yes | 100% 1/ | 8% | OKLAHOMA CC | Yes | 1/ | 8/ |
| DELAWARE PSC | Yes | 4/ | 5/ | ONTARIO EB | No | NA | 16/ |
| DELAWARE TA 3/ | | | | ONTARIO TSC 3/ | | | |
| D.C. PSC | | | | OREGON PUC | No | NA | NA |
| FLORIDA PSC | Yes 18/ | 100% | 6% | PENNSYLVANIA PUC | No | NA | 6% |
| GEORGIA PSC | No | NA | 6/ | PUERTO RICO PSC | | | |
| GUAM PUC | No | NA | | QUEBEC EGB | No | NA | |
| HAWAII PUC | No | NA | 5/ | QUEBEC PSB | No | NA | NA |
| IDAHO PUC | No | NA | | RHODE ISLAND PUC | No | NA | Varies |
| ILLINOIS CC | No | NA | | SOUTH CAROLINA PSC | Yes | 100% 1/ | 9% |
| INDIANA PSC | No | NA | No | SOUTH DAKOTA PUC | Yes | 100% 1/ | 13/ |
| IOWA SCC | Yes 18/ | 100% | 5-9% | TENNESSEE PSC | Yes | 100% 1/ | 6% |
| IOWA TRB | | | | TEXAS AC | | | |
| KANSAS SCC | Yes | 100% 1/ | 8% | TEXAS PUC | 8/ | 8/ | 10/ |
| KENTUCKY DOT | | | | TEXAS RC | No | 8/ | 7% |
| KENTUCKY ERC & URC | No | NA | 2/ | UTAH PSC | No | NA | NA |
| KENTUCKY RC | | | | VERMONT PSB | 8/ | 8/ | 6% |
| LOUISIANA PSC | Yes | 100% | 8/ | VIRGIN ISLANDS PSC | No | NA | 8/ |
| MAINE PUC | No | NA | 7/ | VIRGINIA SCC | Yes | 100% 1/ | 8/ |
| MARYLAND PSC | Yes | 8/ | 8/ | WASHINGTON UTC | No | NA | |
| MASSACHUSETTS DPU 15/ | No | NA | NA | WEST VIRGINIA PSC | Yes | 100% 1/ | 14/ |
| MICHIGAN PSC | Yes | 100% 1/ | 8/ | WISCONSIN PSC | No | NA | 16/ |
| MINNESOTA PSC | Yes | 100% 1/ | 10/ | WISCONSIN TC | No | NA | 16/ |
| MISSISSIPPI PSC | Yes | 50% 11/ | 8% | WYOMING PSC | Yes | 8/ | 8/ |
| MISSOURI PSC | Yes | | Varies | | | | |

1/ 100% of the amount of the temporary rate increase.
2/ At the discretion of the Commission.
3/ No temporary increases granted.
4/ Not to exceed 15% of utility's intrastate operating revenue.
5/ Same as rate of return.
6/ Maximum legal rate.
7/ Cost of utility's capital.
8/ As specified by Commission.
9/ Depends on current cost of money.
10/ Current rate.
11/ 50% of the amount of the temporary rate increase.
12/ Legal interest rate.
13/ 2% above prime interest rate.
14/ Six - ten percent based on Commission's judgement.
15/ The Commission has the authority to issue temporary rates but have thus far not done so.
16/ Determined on a case-by-case basis.
17/ Interim relief can be granted pursuant to statute based upon negotiation and agreement as to the amount of emergency relief.
18/ Bond or approved corporate undertaking required.
19/ Prime rate. See FERC Order No. 47 (Docket No. RM77-22) issued September 10, 1969.

Table 6 - REGULATION OF ENERGY COST ADJUSTMENT CLAUSES

| State PSC | Authority to Establish Automatic Adjustment Clause in Tariff | Allows Automatic Adjustment Clause To Permit Utilities to Recover Changes In: | | | Requires That Hearing Be Held on Adjustment Clause Before Permitting Utilities to Recover Changes In: | | |
|---|---|---|---|---|---|---|---|
| | | Fuel Cost--Electric | Purchased Gas--Gas | Other | Fuel Cost--Electric | Purchased Gas--Gas | Other |
| Alabama | Yes | Yes | Yes | Taxes not assessed uniformly statewide, i.e., municipal taxes. | No | No | No |
| Alaska | Yes | Yes | Yes | No | No | No | No |
| Arizona | Yes | Yes 1/ | Yes | No | Yes 1/ | No | Purchased power. |
| Arkansas | Yes | Yes | Yes | Municipal franchise tax. For co-ops only, cost of Debt adjustment. | No | No | |
| California | Yes | No | No | No | Yes | Yes | Increased franchise fees. Uncollectables associated with revenue change. |
| Colorado | Yes | No | No | No | Yes | Yes | No |
| Connecticut | No | No | No | No | Yes | Yes | Savings shares to FAC and PGA revenues. |
| Delaware | Yes | No | No | No | Yes | Yes | No |
| District of Columbia | Yes | Yes | Yes | No | No | No | No |
| Florida | Yes | No | Yes | No | Yes | No | Purchased power. |
| Georgia | Yes--Gas<br>No--Electric | No | Yes | Transportation costs, blending costs. | Yes | No | No |
| Guam | Yes | Yes | 13/ | No | No | 13/ | No |
| Hawaii | Yes | Yes | Yes | Public service company tax. Public utility fee. Franchise tax on gross revenues. | No | No | No |
| Idaho | Yes | N/A*3/ | N/A* | No | N/A* | N/A* | N/A* |
| Illinois | Yes | Yes | Yes | Ad Valorem taxes on large use rates that are priced close to costs. Gross revenue taxes as affected by the fuel cost increase collected through the clauses. | No | No | No |
| Indiana | Yes | No | No | No | Yes | Yes | No |
| Iowa | Yes | Yes | Yes | Franchise taxes imposed on municipalities, financing cost of electric generating station by Iowa So. Utilities Co. | No | No | No |
| Kansas | Yes | Yes | Yes | Costs included in FERC Acct. 151, less Refunds Acct. 555 (for co-ops) and Acct. 555 less demand, capacity and fixed charges for private utilities. | No | No | Purchased power, interchange gas, limestone for scrubbers and others. (See KCC Docket No. 106, 850-U). |
| Kentucky | Yes | Yes 16/ | No | No | No 16/ | Yes 2/ | No |
| Louisiana | Yes | Yes | Yes | No | Yes | No | No |
| Maine | Yes | Yes 4/ | Yes | No | No | No | No |
| Maryland | Yes | No | Yes | No | Yes | No | No |
| Massachusetts | Yes--Gas<br>No--Electric | No | No | No | Yes | Yes | No |
| Michigan | Yes | Yes | Yes | No | No | No | 1. Purch. & interchange power. 2. Oper. & maint. expenses other than fuel, purch. power, & elect. prod. maint. costs. 5/ |
| Minnesota | Yes 6/ | Yes | Yes | No | No | No | No |
| Mississippi | Yes | Yes | Yes | No | No | No | No |
| Missouri | Yes 7/ | No | Yes | No | Yes 7/ | No | No |
| Montana | No | N/A* | N/A* | N/A* | N/A* | N/A* | N/A* |
| Nevada | Yes | No | No | No | Yes | Yes | No |
| New Hampshire | Yes | No | No | No | Yes | Yes | No |
| New Jersey | Yes | No | No | No | Yes | Yes | Revenue taxes and energy losses. |
| New Mexico | Yes | Yes | Yes | Pub. Serv. Co. of NM has an indexing system which allows for the pass-through of all increased costs. | No | No | No |
| New York | Yes | Yes | Yes 8/ | No | No | No | No |

Table 6 - REGULATION OF ENERGY COST ADJUSTMENT CLAUSES (Continued)

| State PSC | Authority to Establish Automatic Adjustment Clause in Tariff | Allows Automatic Adjustment Clause To Permit Utilities to Recover Changes In: | | | Requires That Hearing Be Held on Adjustment Clause Before Permitting Utilities to Recover Changes In: | | |
|---|---|---|---|---|---|---|---|
| | | Fuel Cost--Electric | Purchased Gas--Gas | Other | Fuel Cost--Electric | Purchased Gas--Gas | Other |
| North Carolina | No | No | Yes | No | Yes | No | Energy portion of purchased and interchanged power. |
| North Dakota | Yes | Yes | Yes | No | No | No | No |
| Nova Scotia | Yes | No | No | Fuel costs built into rates. | No | No | No |
| Ohio | Yes | Yes | Yes | Non-fuel energy costs of economic power which substitute for own higher cost generation. 9/ | No | No | No |
| Oklahoma | Yes | Yes | Yes | All items charged to fuel or gas in FERC accounts. | No | No | No |
| Oregon | Yes | Yes | N/A* | N/A* | No | N/A* | N/A* |
| Pennsylvania | Yes | Yes | Yes | Corporate stock tax, corporate net income tax, gross receipts tax and realty tax. | No | No | No |
| Rhode Island | Yes | Yes | Yes | No | No | No | No |
| South Carolina | Yes | Yes 14/ | Yes | No | Yes 14/ | No | No |
| South Dakota | Yes | Yes | Yes | No | No | No | No |
| Tennessee | Yes | Yes 10/ | Yes | No | No | No | No |
| Texas (PUC) | Yes | Yes | 11/ | No | No | 11/ | No |
| Texas (RC) | Yes | 12/ | Yes | Taxes in some adjustment clauses. | 12/ | No | No |
| Utah | No | N/A* | N/A* | N/A* | N/A* | N/A* | N/A* |
| Vermont | Yes | Yes | Yes | No | No | No | No |
| Virginia | Yes | No | Yes | No | Yes | No | No |
| Washington | Yes | N/A* | N/A* | N/A* | N/A* | N/A* | N/A* |
| West Virginia | Yes--Gas<br>No--Electric | N/A* | Yes | No | N/A* | No | No |
| Wisconsin | Yes | Yes | Yes | Yes | No 15/ | No 15/ | No 15/ |
| Wyoming | Yes | No | No | No | Yes | Yes | No |

FOOTNOTES

* Not applicable--no adjustment clause in effect.

1/ The automatic fuel adjustment clause was eliminated in November, 1978, for investor owned electric utilities.
2/ No change may be made by any utility except upon twenty (20) days notice to the Commission. If after examination of the data provided the rate is justified, public hearing is waived and on Order of the Commission the change in rate is made.
3/ One utility does have a FAC but it applies to only two special contract customers.
4/ Hearings are required by law effective in November of 1978.
5/ Applies only to Consumers Power Company and Detroit Edison Company.
6/ The Commission permits the utilities to file rate schedules containing provisions for the automatic adjustment of charges.
7/ FAC for electric dismantled in 1980. Must file a general rate case to recover increased cost of fuel.
8/ A generic proceeding to examine all aspects of the fuel adjustment clause (FAC) for electric utilities is currently in the decisional stage.
9/ Upon prior approval of the Commission, the company may include demand costs of certain purchased economic power. Non-fuel costs may be included only when the alternative would be even greater incremental fuel costs of own generation.
10/ Only a purchased power clause is in effect. The one electric utility regulated by the Commission does not generate any of its power requirements.
11/ Not applicable. Gas utilities are subject to the jurisdiction of the Texas Railroad Commission.
12/ Not applicable. Electric utilities are regulated by Texas PUC.
13/ Not applicable. No regulated gas utilities in Guam.
14/ Adjusted on a semi-annual basis.
15/ The Wisconsin Legislature recently requested the Attorney General's opinion on the legality of automatic pass-through of costs without hearing.
16/ Hearings are held at six-month intervals to determine if the FAC has been applied per 807 KAR 50:075.

Table 7 - TYPE OF TEST YEAR USED IN DETERMINING RATES

| State PSC | Utility/Type of Test Year Employed | | | |
|---|---|---|---|---|
| | Electric | Gas | Telephone | Water |
| Alabama | Historic | Historic | Historic | Historic |
| Alaska | Historic 1/ | Historic 1/ | Historic 1/ | Historic 1/ |
| Arkansas | Historic | Historic | Historic | Historic |
| Arizona | Historic | Historic | Historic | Historic |
| California | Full Forecast | Full Forecast | Full Forecast | Full Forecast 2/ |
| Colorado | Historic | Historic | Historic | Historic |
| Connecticut | Historic | Historic | Historic | Historic |
| Delaware | Historic & Partial Forecast 3/ | Historic | Historic | Historic |
| D.C. | Historic | Historic | Historic | Not Regulated |
| Florida | Historic 4/ | Historic | Historic | Historic |
| Georgia | Historic & Partial Forecast 5/ | Historic & Partial Forecast 5/ | Historic | Not Regulated |
| Hawaii | Full Forecast | Full Forecast | Full Forecast | Full Forecast |
| Idaho 6/ | Historic | Historic | Historic | Historic |
| Illinois | Historic & Partial Forecast 7/ | Historic & Partial Forecast 7/ | Historic | Historic |
| Indiana | Historic | Historic | Historic | Historic |
| Iowa | Historic 8/ | Historic 8/ | Historic 8/ | Historic 8/ |
| Kansas | Historic 9/ | Historic | Historic | Historic |
| Kentucky | Historic | Historic | Historic | Historic |
| Louisiana | Historic | Historic | Historic | Historic |
| Maine | Historic | Historic | Historic | Historic |
| Maryland | Historic & Partial Forecast | Historic & Partial Forecast | Historic | Historic |
| Massachusetts | Historic | Historic | Historic | Historic |
| Michigan | Full Forecast 10/ & Historic 11/ | Historic 12/ | Partial Forecast 10/ & Historic | Historic |
| Minnesota | Historic & Full Forecast 13/ | Historic & Full Forecast 13/ | Historic & Full Forecast 13/ | Not Regulated |
| Mississippi | Historic | Historic | Historic | Historic |
| Missouri | Historic | Historic | Historic | Historic |
| Montana | Historic | Historic | Historic | Historic & Partial Forecast |
| Nebraska | Not Regulated | Not Regulated | Historic & Partial Forecast 14/ | Not Regulated |
| Nevada | Historic | Historic | Historic | Historic |
| New Hampshire | Historic | Historic | Historic | Historic |
| New Jersey | Partial Forecast | Partial Forecast | Partial Forecast | Historic & Partial Forecast |
| New Mexico PSC | Historic | Historic | Not Regulated | Historic |

Table 7 - TYPE OF TEST YEAR USED IN DETERMINING RATES (continued)

| State PSC | Utility/Type of Test Year Employed | | | |
|---|---|---|---|---|
| | Electric | Gas | Telephone | Water |
| New Mexico SCC | Not Regulated | Not Regulated | Historic | Not Regulated |
| New York | Full Forecast[15] | Full Forecast[15] | Full Forecast[15] | Full Forecast[15] |
| North Carolina | Historic | Historic | Historic | Historic |
| North Dakota | Partial or Full Forecast[16] | Partial or Full Forecast[16] | Partial or Full Forecast[16] | Not Regulated |
| Ohio | Partial Forecast[17] | Partial Forecast[17] | Partial Forecast[17] | Partial Forecast[17] |
| Oklahoma | Historic | Historic | Historic | Not Regulated |
| Oregon | Full Forecast | Historic | Partial Forecast | Historic |
| Pennsylvania | Historic or Partial Forecast (Optional)[18] | Historic or Partial Forecast (Optional)[18] | Historic or Partial Forecast (Optional)[18] | Historic or Partial Forecast (Optional)[18] |
| Rhode Island | Historic | Historic | Historic & Partial Forecast | Historic |
| South Carolina | Historic | Historic | Historic | Historic |
| South Dakota | Historic | Historic | Historic | Not Regulated |
| Tennessee [19] | Historic | Historic | Historic | Historic |
| Texas PSC | Historic | Not Regulated | Historic | Historic |
| Texas RC | Not Regulated | Historic | Not Regulated | Not Regulated |
| Utah | Full Forecast[20] & Historic[21] | Full Forecast[20] & Historic[21] | Full Forecast[20] & Historic[21] | Historic |
| Vermont | Historic | Historic | Historic | Historic |
| Virginia | Historic | Historic | Historic | Historic |
| Washington | Historic | Historic | Historic | Historic |
| West Virginia | Historic | Historic | Historic | Historic |
| Wisconsin | Partial Forecast | Partial Forecast | Partial Forecast | Partial Forecast |
| Wyoming | Historic | Historic | Historic | Historic |

## Footnotes - Table 7 - TYPE OF TEST YEAR USED IN DETERMINING RATES

1/ The Commission, however, allows emergency interim rate relief to generally become effective within 45 days of receipt of a filing which demonstrates the utility to be in a confiscatory financial position.

2/ However, if a small water utility filed for a rate increase early in 1979, the Commission might use a 1979 test year because these applications can be processed more quickly.

3/ Case by case consideration; in one case used partial forecast.

4/ The Commission has experimented with partially projected test years (6 months actual and 6 months projected) for electric utilities but the projected data became historic prior to the issuance of the final order.

5/ Generally, when a case is filed a partial forecast is used. This is generally updated to actual by the time the case is taken under advisement, thereby becoming an historic test year.

6/ The Commission has normally used a historic test year with a 12-month average rate base, but has departed from this approach in several rate cases by approving the use of a partial or full forecast test year in appropriate circumstances.

7/ Partial forecast test year is used in some instances and updated to actual during the course of the rate proceeding.

8/ Under the provisions of the Iowa Code rates are permitted to be placed in effect, subject to refund, 90 days after suspension by the Commission. However, the Commission may allow rates to be placed in effect subject to refund one day after the proposed effective date. All rates are to be filed 30 days prior to the proposed effective date.

9/ A full forecast test year was used in a recent case, but no movement away from historic test years is expected in the near future. Generally inadequate utility budgeting procedures prevent the Commission from accurately testing projected data.

10/ Major companies only.

11/ Smaller utilities and cooperatives.

12/ Pro-forma, one year into the future based on known or anticipated changes.

13/ The Commission allows but does not mandate the use of a forecast test year. Generally, the larger utilities (Northern States Power Company, Minnesota Power & Light Company, and Northwestern Bell Telephone Company) have used a future test year.

14/ Partial forecast test year used in last Bell Telephone rate case.

15/ Smaller utilities are permitted to file on an historic test year basis annualized and adjusted for known changes, since they do not normally have the resources to prepare a forecast test year.

16/ Historic test year data must be submitted as supplemental information.

17/ However, fully historic test year use is permitted.

18/ Utilities have the option of using a historic or partial forecast test year consisting of 6 months actual and 6 months estimated. The larger utilities usually file a partial forecast while the smaller utilities utilize an historic test year.

19/ The Commission has no rules and regulations which require the use of a specific test period. In recent cases the Commission has used a historic test period with an average rate base. An analysis was then made of the operating results during the first year increased rates would be in effect to determine if attrition would occur. If it was determined that attrition would occur, an adjustment was made to the historic test period to recognize the eroding effects of attrition. In all instances, test period selection and adjustment methodology is determined on a case by case basis.

20/ Actual data sometimes becomes available during the course of the rate case and is used if time and circumstances permit.

21/ Large utilities use the full forecast method; small utilities generally use the historic method adjusted or normalized for known changes.

Table [illegible] - REGULATION OF RATES - MISCELLANEOUS

| AGENCY | The Agency staff has authority to - | | | Year in which the Agency received statutory jurisdiction over rates | | | The Agency regulates the sale of - | | Regulation of "LNG" and "LPG" established by another authority |
|---|---|---|---|---|---|---|---|---|---|
| | Appeal Agency decisions in court | Cross-examine | % of cross-examination done by non-attorney | Electric | Gas | Telephone | Liquefied Natural Gas | "LPG" or bottled gas | |
| FCC | | | | | | 1934 | | | |
| FERC | | X | 0% | 1935 | 1938 | | X | | |
| ALABAMA PSC | | X | 50% | 1919 | 1919 | 1919 | X 1/ 5/ | | |
| ALASKA PC | | X | | | 1972 | | | | |
| ALASKA PUC | | X | 5% | 1960 | 1960 | 1960 | | | |
| ALBERTA PMC | | X | 95% | | 1975 | | | | |
| ALBERTA PUB | | X | 5% | 1915 | 1915 | 1915 | | 27/ | 27/ |
| ARIZONA CC | | X | 50% | 1912 | 1912 | 1912 | X | X 30/ | |
| ARKANSAS PSC | X | X | | 1935 | 1935 | 1935 | | | 2/ |
| CALIFORNIA PUC | | X | 5% | 1912 | 1912 | 1912 | 3/ | 3/ | |
| COLORADO PUC | | | | 1913 | 1913 | 1913 | 4/ | 4/ | |
| CONNECTICUT PUC | | X | 95-100% | 1911 | 1911 | 1911 | X | | |
| DELAWARE PSC | | | | 1949 | 1949 | 1949 | | | |
| D.C. PSC | | | | 1913 | 1913 | 1913 | | | |
| FLORIDA PSC | | X | 5% | 1951 | 1951 | 1913 | X | | |
| GEORGIA PSC | | X | 50% | 1906 | 1906 | 1906 | X | | |
| GUAM PUC | X | X | 50% | 1976 | | 1976 | | | |
| HAWAII PUC | | | | 1913 | 1913 | 1913 | | | |
| IDAHO PUC | | X | 0% | 1913 | 1913 | 1913 | | | |
| ILLINOIS CC | | X | 50% | 1913 | 1913 | 1913 | 5/ | 5/ | |
| INDIANA PSC | | | | 1913 | 1913 | 1913 | | | 23/ |
| IOWA SCC | | 28/ | 0% | 1963 | 1963 | 1963 | 4/ | 4/ | |
| KANSAS SCC | | 25/ | 0% | 1911 | 1911 | 1911 | | | |
| KENTUCKY ERC | | X | | 1935 | 1935 | | | | |
| KENTUCKY URC | | X | | | | 1935 | | | |
| LOUISIANA PSC | | X | | 1921 | 1921 | 1921 | | | 6/ |
| MAINE PUC | | X | 10% | 1914 | 1914 | 1914 | 7/ | | 8/ |
| MARYLAND PSC | | | | 1910 | 1910 | 1910 | 9/ | 9/ | |
| MASSACHUSETTS DPU | | X | | 1885 | 1885 | 1851 | X 10/ | X | |
| MICHIGAN PSC | | X | 10% | 1909 | 1919 | 1913 | | | |
| MINNESOTA PSC | X | X | 5% | 1975 | 1975 | 1916 | | | |
| MISSISSIPPI PSC | | | | 1956 | 1956 | 1956 | | | |
| MISSOURI PSC | | X | | 1913 | 1913 | 1913 | 4/ | 11/ | |
| MONTANA PSC | X | X | 20% | 1913 | 1913 | 1913 | X | | 12/ |
| NEBRASKA PSC | | X | 0% | | | 1909 | | | |
| NEVADA PSC | | | 5% | 1920 | 1920 | 1920 | 13/ | X | |
| NEW HAMPSHIRE PUC | | X | 99% | 1911 | 1911 | 1911 | | | |
| NEW JERSEY BPU | | X | 50% | 1911 | 1911 | 1911 | | | 14/ |
| NEW MEXICO PSC | | X | 5% | 1941 | 1941 | N/A | X | | |
| NEW MEXICO SCC | | X | 40% | | | 1912 15/ | | | |
| NEW YORK PSC | | X | | 1905 | 1905 | 1910 | X | | 24/ |
| NORTH CAROLINA UC | X | X | 5% | 1913 | 1913 | 1893 | X | | |
| NORTH DAKOTA PSC | | X | 20% | 1919 | 1919 | 1919 | 16/ | X | |
| NOVA SCOTIA PUB | | X 25/ | 0% | 1909 | 1913 | 1909 | X | X | 31/ |
| OHIO PUC | | X | | 1913 | 1913 | 1913 | | | |
| OKLAHOMA CC | | X | | 17/ | 17/ | 1917 | | | X |
| ONTARIO EB | | X | 0% | | 1954 | | | | |
| ONTARIO TSC | | | 90% | | | 1907 | | | |
| OREGON PUC | | X | 0% | 1911 18/ | 1911 18/ | 1911 18/ | X | | |
| PENNSYLVANIA PUC | | X | 2% | 1913 | 1913 | 1913 | | | 19/ |
| PUERTO RICO PSC | | X | | | 1917 | 1917 | | X | |
| QUEBEC EGB | | X 26/ | 0% | 1935 | 1940 | | | | |
| QUEBEC PSB | | | | | | 1909 | | | |
| RHODE ISLAND PUC | 29/ | X | 5% | 1969 | 1969 | 1969 | | | |
| SOUTH CAROLINA PSC | X | X | 0% | 1912 | 1912 | 1912 | X | | 20/ |
| SOUTH DAKOTA PUC | | X | 0% | 1975 | 1975 | 1909 | | | |
| TENNESSEE PSC | | X | 10% | 1919 | 1919 | 1913 | | | |
| TEXAS PUC | | X | | 1976 | | 1976 | | | |
| TEXAS RC | | X | | | 1925 | | | 21/ | |
| UTAH PSC | X | X | 5% | 1917 | 1917 | 1917 | | | |
| VERMONT PSB | | X | 1% | 1923 | 1923 | 1923 | X | | |
| VIRGIN ISLANDS PSC | | X | 5% | 1973 | | 1959 | | | |
| VIRGINIA SCC | | X | 0% | 1914 | 1914 | 1902 | X | | |
| WASHINGTON UTC | | X | | 1909 | 1909 | 1909 | 16/ | 16/ | |
| WEST VIRGINIA PSC | | X | | 1915 | 1915 | 1915 | | | |
| WISCONSIN PSC | | X | 50% | 1907 | 1907 | 1907 | 3/ | | 21/ 22/ |
| WYOMING PSC | | X | 20% | 1915 | 1915 | 1915 | 3/ | 3/ | 21/ 23/ |

## Footnotes - Table 8 - REGULATION OF RATES - MISCELLANEOUS

1/ Commission fixes rates to be charged to customers.
2/ L. P. Gas Board.
3/ Only on sales from piped systems.
4/ Only if used in distribution systems.
5/ Only where gasified and delivered through privately owned public utility system.
6/ Liquefied Petroleum Gas Commission.
7/ Not applicable to ultimate consumer.
8/ Maine State Insurance Department.
9/ If company is a public service company by statute.
10/ Only when not subject to FERC control.
11/ Only if used in distribution system to supplement natural gas or sales from piped distribution system.
12/ Division of Weights and Measures.
13/ Only if distribution is partially within public right-of-way.
14/ Department of Law and Public Safety, Division of Consumer Offices, Weights and Measures Section.
15/ Constitution.
16/ If sold by regulated utility through system.
17/ All Laws 1913, Ch. 93, p. 150, section 2.
18/ Present law and form of commission adopted in 1930.
19/ Department of Labor and Industry (pressure vessels).
20/ Insurance Commission.
21/ Safety only.
22/ Department of Industry, Labor and Human Relations.
23/ State Fire Marshal.
24/ The State Department of Environmental Conservation has LNG siting jurisdiction. LNG facilities must comply with commission and municipal safety regulations.
25/ Staff counsel only is permitted to cross-examine on behalf of staff.
26/ The Agency staff is represented by one of two permanent Attorneys of the Agency.
27/ By Board Decisions No. C77147 and C77148 the Board ordered that the distributor price of LPG be unregulated effective June 30, 1977 and the producer price be unregulated effective March 31, 1978, by exempting distributors and producers from certain provisions of the Gas Utilities Act. Since those dates the Board has continued to monitor LPG prices and should the Board consider price increases have become inordinate, regulation can be reimplemented by recision of the exemptions.
28/ Chapter 476, Code of Iowa. Employees, Public Utility Regulation staff, have no authority to cross-examine company technical witnesses, but are represented by chapter 475, Commerce Counsel/staff.
29/ Division staff by Attorney General's Office.
30/ Commission fixes rates to be charged to customers if distributed through pipeline.
31/ LNP and LPG regulated by Board under Gasoline and Fuel Oil Licensing Act.

## Table 9 - REGULATION OF RATES BY MINOR POLITICAL SUBDIVISIONS

| AGENCY | Extent of regulatory authority of minor political sub-divisions over rates of electric, gas and telephone utilities including overlapping, joint or jurisdiction of mutually exclusive agencies to be invoked by the applicant. |
|---|---|
| FCC | Rate jurisdiction is limited to interstate and international telephone service. Rate jurisdiction over intra-state telephone is vested in state regulatory bodies. |
| FERC | Not applicable. |
| ALABAMA PSC | No jurisdiction over municipally owned utilities. The PSC has full jurisdiction over privately owned telephone, electric, gas and water utilities. |
| ALASKA PC | None. |
| ALASKA PUC | Publicly owned utilities are regulated as to service area. Full regulation is imposed when competition exists between a municipal utility and any other similar utility. |
| ALBERTA PUB | Municipally owned utilities, unless having brought themselves under Board jurisdiction by by-law, set their own rates within municipal boundaries. The Board can determine rates to be charged other municipalities, outside municipal boundaries, on complaint of the customer municipality. |
| ARIZONA CC | None. |
| ARKANSAS PSC | None. |
| CALIFORNIA PUC | Municipally owned utilities set their own rates. |
| COLORADO PUC | Municipally owned electric and gas utilities set their own rates inside city limits. PUC has jurisdiction outside city. PUC has jurisdiction of gas safety both inside and outside city. |
| CONNECTICUT PUC | None. |
| DELAWARE PSC | None. |
| D.C. PSC | Jurisdiction in the city of Washington, D.C. only. |
| FLORIDA PSC | Commission jurisdiction over privately owned gas, electric, and telephone utilites is exclusive. The Commission has jurisdiction over rate structure for municipals and cooperatives. Municipalities regulate municipal utilities, rate levels and grant franchises. The Commission regulates water and sewer upon request of counties. |
| GEORGIA PSC | None, unless the facilities (electric-gas) extend beyond the county in which city is located. |
| GUAM PUC | None. |
| HAWAII PUC | No regulatory authority. |
| IDAHO PUC | Franchises only for gas and water. |
| ILLINOIS CC | Article VI of the Public Utilities Act provides for regulation by a city under certain conditions but this provision of the Act has never been exercised. |
| INDIANA PSC | None. |
| IOWA SCC | None. |
| KANSAS SCC | Privately owned electric and gas utilities which operate only in one city are subject to jurisdiction of the city instead of state commission, except customers who are more than three miles beyond the city limits. |
| KENTUCKY ERC AND URC | Exclusive jurisdiction over municipal districts organized by county court. |
| LOUISIANA PSC | Commission regulated except municipally owned or, possibly, bonding districts. City of New Orleans regulates investor owned electric utility company operations within its area. |
| MAINE PUC | Regulation of municipalities and districts involved in utility sales. |
| MARYLAND PSC | None over rates or service regulations. |
| MASSACHUSETTS DPU | None. |
| MICHIGAN PSC | Commission jurisdiction excluded from rates provided in franchises or special agreements with municipalities (City, Township, or Incorporated Village). |
| MINNESOTA PSC | Cities lost their authority to regulate electric and gas utility rates when regulation by the PSC was established in 1974. |
| MISSISSIPPI PSC | No jurisdiction over municipally owned utilities except for service rendered outside one (1) mile from the corporate limits. |
| MISSOURI PSC | None. |
| MONTANA PSC | Franchises, inspections. |
| NEBRASKA PSC | Full jurisdiction - telephone; safety jurisdiction - electric transmission lines; right-of-way - electric transmission lines. |
| NEVADA PSC | Municipal utility operations not subject to State jurisdiction. Operations regulated at the city or county level. |
| NEW HAMPSHIRE PUC | None. |
| NEW JERSEY BPU | Specific statutes or judicial direction. |
| NEW MEXICO PSC | None. |
| NEW YORK PSC | None. |
| NORTH CAROLINA UC | No authority over any municipal systems in respect to rates or service. Commission regulates the safety aspects of gas supply systems of municipalities through formal agreement with D.O.T. |
| NORTH DAKOTA PSC | None. |
| NOVA SCOTIA PUB | None. |
| OHIO PUC | Municipal corporations and utilities can negotiate rates and if they agree, Commission cannot interfere, but if no agreement is reached either party may appeal to Commission which thereafter has complete jurisdiction for two years. Full jurisdiction over telephone rates. Appellate jurisdiction over ordinance rates. |
| OKLAHOMA CC | No jurisdiction over municipally owned utilities. |
| ONTARIO EB | Municipalities regulate municipal gas utilities. |
| ONTARIO TSC | Jurisdiction over all telephone systems in Ontario except Bell Canada. |
| OREGON PUC | Municipalities may set private utility rates following certain statutory provisions. This is an old statute that has never been exercised. |
| PENNSYLVANIA PUC | Only when the service extends beyond the corporate limits of a publicly-owned utility company. |
| PUERTO RICO PSC | None. |
| QUEBEC EGB | Municipalities regulate municipal electric rates. |
| QUEBEC PSB | None. |
| RHODE ISLAND PUC | Not applicable. Political subdivisions have no regulatory authority. |
| SOUTH CAROLINA PSC | Gas-Pipeline Safety jurisdiction only. |
| SOUTH DAKOTA PUC | Telephone authority is overlapping. |
| TENNESSEE PSC | None. |
| TEXAS PUC | Full PUC jurisdiction over telephone; split jurisdiction with local government over electric, water and sewer. |
| TEXAS RC | Original jurisdiction over gas transmission companies and distribution companies in unincorporated areas. Cities have original jurisdiction over distribution companies within their limits. |
| UTAH PSC | Cities and towns have complete jurisdiction over municipal utility systems. |
| VERMONT PSB | Pole setting in town right of way, other. |
| VIRGIN ISLANDS PSC | None. |
| VIRGINIA SCC | None. |
| WASHINGTON UTC | None. |
| WEST VIRGINIA PSC | None. |
| WISCONSIN PSC | Concurrent powers in certain respects subject to Commission's final authority. |
| WYOMING PSC | Municipally owned gas and electric utilities regulated by municipality within corporate limits. PSC regulates outside. There are no municipally owned gas utilities in Wyoming. |

Table 10 - COMPLAINTS TO THE AGENCY

| AGENCY | Persons having standing before the Agency to file a complaint that rates are excessive and to request an investigation as to lawfulness of the rates. |
|---|---|
| FCC | Any person, body politic, municipal organization or state commission. |
| FERC | For electric power, see 16 U.S.C. 824(e): for gas see 15 U.S.C. 717 d. for oil pipelines see 49 U.S.C. § 1 et seq. |
| ALABAMA PSC | Any customer, Commission on own motion. |
| ALASKA PC | Commission on own motion, staff, any interested party. |
| ALASKA PUC | Commission on own motion, staff, any interested party. |
| ALBERTA PMC | Commission on own motion or any interested party: primarily gas producers and transmission companies. |
| ALBERTA PUB | Board on own motion or any interested party. |
| ARIZONA CC | A petition by not less than 25 customers of a utility. |
| ARKANSAS PSC | Any political sub-division government by resolution and any 25 or more customers by petition. |
| CALIFORNIA PUC | The Mayor, President, Chairman of the Board of Trustees or a majority of the legislative body of the city or county where the alleged violation occurred or by not less than 25 consumers, prospective consumers or purchasers of the service. |
| COLORADO PUC | The Mayor or the President or Chairman of the Board of Trustees or a majority of the Council, or other legislative body of the county, city and county, or city, or town, or not less than 25 customers or prospective customers of gas, electric, telephone and water utilities. |
| CONNECTICUT PUC | Any adversely affected customer. |
| DELAWARE PSC | Any person or organization doing so in accordance with the Commission's Rules of Practice. |
| D.C. PSC | Any person affected by a Commission order. |
| FLORIDA PSC | Any party served by the jurisdictional operation or other party in interest. |
| GEORGIA PSC | Any user of utility service. |
| GUAM PUC | Any interested party. |
| HAWAII PUC | Any person - "Person" means and shall include individuals, partnerships, corporations, associations, joint stock companies, public trusts, organized groups of persons, whether incorporated or not, receivers or trustees of the foregoing, municipalities, including cities, counties or other political subdivision of the State of Hawaii, or any agency, authority or instrumentality of the State of Hawaii, or any one or more of the foregoing or any officer, agent, or employee of any of the foregoing acting as such in the course of his official duty. |
| IDAHO PUC | Commission on own motion, by the Mayor, the President, the Chairman of the Board of Trustees, a majority of the Council, Commission or other legislative body of the city or county, or city or town, if any, or not less than 25 consumers or purchasers or prospective consumers or purchasers. |
| ILLINOIS CC | Any person, corporation, etc. who can show an interest. |
| INDIANA PSC | Any group of 10 users of utility facilities. |
| IOWA SCC | By any customer, interest group, political body or Commission Staff. |
| KANSAS SCC | Utility customers, political subdivisions, etc. |
| KENTUCKY ERC & URC | Any mercantile, agriculture or manufacturing society or any body politic or municipal organization or any public utility or by any 10 patrons of the utility complained of or by any 10 complaints of all or any of these classes. |
| LOUISIANA PSC | Party of interest. |
| MAINE PUC | Complaint signed by 10 or more responsible individuals, firms, or corporations or associations aggrieved: Commission also may act on its own motion. |
| MARYLAND PSC | Any interested customer, People's Counsel and Chief Executive of any municipality or county in which a gas or electric company is authorized to operate if 100 customers of a gas and electric company complain in writing, Commission must investigate. |
| MASSACHUSETTS DPU | Twenty ratepayers, selectmen, state representative or senator. Others at discretion of Commission. |
| MICHIGAN PSC | Utility customers and their authorized representatives, cities, incorporated villages, townships, counties and state agencies. |
| MINNESOTA PSC | Anyone. |
| MISSISSIPPI PSC | Customers of utilities and/or their authorized representatives. |
| MISSOURI PSC | Mayor, President, or Chairman of Board of Aldermen or majority of a Council of any city, town, village or county, or 25 consumers or prospective consumers and Public Counsel. |
| MONTANA PSC | Any affected person, firm, corporation, body politic, municipal organization, etc. |
| NEBRASKA PSC | Any interested party. |
| NEVADA PSC | Any directly affected party. |
| NEW HAMPSHIRE PUC | Any aggrieved consumer or group of consumers. |
| NEW JERSEY BPU | Any individual on his own behalf, any organization represented by a New Jersey attorney, or the Public Advocate. |
| NEW MEXICO PSC | No standing requirement. Most appear at hearings as intervenors. May file formal complaints with $25 fee. |
| NEW YORK PSC | Any customer or local municipal official. |
| NORTH CAROLINA UC | Any person, corporation, body politic, or organization having an interest in the subject matter. |
| NORTH DAKOTA PSC | Any governing body of county, city or village or not less than 10% of ratepayers. |
| NOVA SCOTIA PUB | Any municipal corporation or any five persons, firms or corporations. |
| OHIO PUC | Any customer or governmental Subdivision. |
| OKLAHOMA CC | Any customer. |
| ONTARIO EB | Any customer. |
| ONTARIO TSC | Any person having an interest in the subject matter. |
| OREGON PUC | Any customer of a public utility. |
| PENNSYLVANIA PUC | Any customer of a public utility. |
| PUERTO RICO PSC | Any subscriber or consumers' group. |
| QUEBEC EGB | Any interested person. |
| QUEBEC PSB | Any interested person. |
| RHODE ISLAND PUC | Complaint signed by twenty people. |
| SOUTH CAROLINA PSC | Applications and complaints may be made by any corporation, public or private, person, chamber of commerce or board of trade, by any civic, commercial, mercantile, traffic, agricultural or manufacturing association or by any body politic, commission board or municipal corporation by petition or complaint in writing, setting forth any act or thing done, or omitted to be done, with respect to which, under the provisions of this chapter, the Commission has jurisdiction or is alleged to have jurisdiction. But the Commission may at its discretion refuse to entertain a complaint as to the reasonableness of any rates or charges unless it be signed by the Mayor or the President or Chairman of the Board of Trustees or a majority of the Council, Commission or other legislative body of the city or county or city or town affected by the subject matter of such complaint or by not less than 25 consumers of the public utility named in the complaint. |
| SOUTH DAKOTA PUC | Any citizen. |
| TENNESSEE PSC | Any interested party. |
| TEXAS PUC | Any affected person or on motion of PUC. |
| TEXAS RC | Any affected person which is defined by statute as any public utility affected by any action of the regulatory authority, any person or corporation whose utility service or rates are affected by any proceeding before the regulatory authority, or any person or coporation that is a competitor of a public utility with respect to any service performed by the utility or that desires to enter into competition. |
| UTAH PSC | The Mayor, President, or Chairman of the Board of Trustees, or a majority of the Council, Commission, or other legislative body of a city, county or town or not less than 25 consumers or prospective consumers. Also by the Commission on its own motion. |
| VERMONT PSB | Sec. 208, V.S.A., Title 30. In exercise of its Judicial Power, the PSB has the authority and duty to investigate any claim. |
| VIRGIN ISLANDS PSC | The public (individually or collectively), subscribers of the utility. |
| VIRGINIA SCC | Any party affected by the rates, or his representative. |
| WASHINGTON UTC | Mayor or the legislative authority of the town or city in which the company complained of is engaged in business, or by not less than 25 of the utility's customers. Commission on its own motion, by any person or corporation, chamber of commerce, board of trade, or any commercial, mercantile, agricultural or manufacturing society, or any body politic or municipal corporation, by petition or complaint in writing, and signed by Mayor, Council, or Commission of the city or town in which the company complained of is engaged in business, or not less than 25 consumers. |
| WEST VIRGINIA PSC | Any customer. |
| WISCONSIN PSC | Any mercantile, agricultural, or manufacturing society, or any body politic or municipal organization or any 25 persons. |
| WYOMING PSC | Any user or potential user of a utility service: interested governmental authorities on any level: public oriented groups or their representatives. |

Table 11 - OTHER METHODS OF CONTROL

| AGENCY | Methods by which rates are in any degree controlled by other than formal rate cases or settlement thereof - |
|---|---|
| FCC | Continuing surveillance by informal meeting between the Commission and carrier. |
| FERC | Authority exists to set gas and electric rates by rule. Some gas producer rates set by NGPA. |
| ALABAMA PSC | Some rates may be set on an informal basis. Rates allowed to go into effect after 30 days if Commission does not suspend them. |
| ALASKA PC | Surveillance procedures based on annual reports and review of monthly through-put data; informal contact with pipeline companies. |
| ALASKA PUC | Surveillance procedures based on reviews of annual reports and informal discussions with utilities. |
| ALBERTA PUB | Supervision of regulated utilties based on reviews at least once in three years. |
| ARIZONA CC | None. |
| ARKANSAS PSC | None, except in accordance with cost adjustment plans on file with the Commission. |
| CALIFORNIA PUC | Hydraulic utilities with annual revenue below $750,000 may request increase by advice letter filing. |
| COLORADO PUC | Rates may be filed to become effective on 30 days' notice and if not suspended become effective on date specified. Commission by order may permit filing of rates on less than 30 days' notice after said filing -- there may or may not be a hearing on the rates. All rate filings are reviewed at weekly open public meetings of the Commission. |
| CONNECTICUT PUC | Surveillance procedure based on statutory bi-annual report or annual report. |
| DELAWARE PSC | None. |
| D.C. PSC | Formal hearing before increasing rates. Informal negotiations for rate decreases. |
| FLORIDA PSC | Continuing surveillance program, informal conferences, automatic rate adjustment clauses in tariffs, monthly hearings. |
| GEORGIA PSC | Rule Nisi to Show Cause by Utility. |
| GUAM PUC | Rates which existed prior to establishment of PUC continue without formal rate case. |
| HAWAII PUC | No other method. |
| IDAHO PUC | None. |
| ILLINOIS CC | Automatic adjustment provisions with annual hearings and continuing surveillance; negotiated reductions where returns become too high. |
| INDIANA PSC | Any new rate offering can be filed on a 30-day filing after which the Commission can approve same in the weekly conference, provided that any change in electric rates based on cost of fuel permitted only after summary hearing on sole issue of fuel cost. |
| IOWA SCC | EAC-PGA escalations, FCC jurisdiction allocations of costs. Rates become automatically effective if not suspended within 30 days. Commission may approve filed rates by Order within 30 days from date of filing if the rates are determined to be appropriate. |
| KANSAS SCC | Investigations instituted by Commission and by continuing surveillance conferences whereby companies agree to announce reductions. |
| KENTUCKY ERC & URC | None. |
| LOUISIANA PSC | Rates for new services, rate reductions and rate changes due to changes in the cost of purchased gas are reviewed and settled by the Commission without formal hearing. South Central Bell Telephone rate changes due to regrouping are reviewed and approved without hearing. The Commission may authorize changes in minimum rates and non-recurring changes after monthly conference. |
| MAINE PUC | Rates become effective without a formal proceeding 30 days after being filed with the Commission if they are not suspended. |
| MARYLAND PSC | Informal acceptance upon 30-day notice, after review. |
| MASSACHUSETTS DPU | Reductions can be made by conference and agreement. |
| MICHIGAN PSC | Negotiated rate reductions; upgrading of telephone service; negotiated rate settlements. |
| MINNESOTA PSC | Minor rate adjustments are made by ex parte "proposal for decision." |
| MISSISSIPPI PSC | None. |
| MISSOURI PSC | If tariffs are not suspended they become effective within 30 days after filing. |
| MONTANA PSC | Rate reductions can be approved informally without a formal hearing. |
| NEBRASKA PSC | Continued surveillance by Commission. |
| NEVADA PSC | Submission of justification material by applicant subject to investigation and analysis by Commission staff personnel prior to placing before the Commission for its review and decision. |
| NEW HAMPSHIRE PUC | Continuing surveillance. |
| NEW JERSEY BPU | Rate of return studies (Surveillance procedures.) |
| NEW MEXICO PSC | Purchased cost of gas, fuel and tax adjustment clauses. Automatic cost of service adjusted for Public Service Company of NM. |
| NEW YORK PSC | Minor increases (less than 2½% of gross revenue) may be allowed into effect without hearing based on staff investigation. |
| NORTH CAROLINA UC | Changes in rates not considered to be across the board or general in scope are controlled by Commission action at informal conferences. Exception to the above since July 1, 1971 is the changes in rates by natural gas companies due to changes in the cost of gas may be reviewed and settled by Commission without formal hearing. |
| NORTH DAKOTA PSC | Statutory rate filings. (No suspensions.) |
| NOVA SCOTIA PUB | Surveillance based on annual reports and informal meetings; control of capital spending and bond and share issues. |
| OHIO PUC | Municipal Council may by ordinance, if accepted by Company establish rates for all utilities except telephone applicable within their corporation limits. |
| OKLAHOMA CC | None. |
| ONTARIO EB | In limited circumstances rates may be changed by the Board without a hearing. |
| ONTARIO TSC | Some rates are dealt with on an "ad hoc" basis. |
| OREGON PUC | Rate reductions can be negotiated. Rate increases of small utilities may go into effect without hearing if issues are all resolved, no major service problem exists and all customers were notified of the filing. |
| PENNSYLVANIA PUC | Many smaller utilities' rates are adjusted through informal Commission action without formal proceedings. |
| PUERTO RICO PSC | None. |
| QUEBEC EGB | In limited situations, rates may be revised without a hearing. |
| QUEBEC PSB | Rates can be modified by the agency on its own motion after a hearing. |
| RHODE ISLAND PUC | No rate increase without public hearing. Settlements allowed in regard to reductions only. |
| SOUTH CAROLINA PSC | By filing 30 days in advance of proposed new rate schedule which becomes effective in the absence of suspension on disapproval by the Commission. Telephone rates have automatic increases for growth (group rates). |
| SOUTH DAKOTA PUC | The South Dakota Commission has authority to grant electric, gas, and telephone rates without public hearings. The Commission only has to satisfy that the rates are "fair and reasonable." |
| TENNESSEE PSC | None. |
| TEXAS PUC | By filing 35 days in advance of proposed new rate which becomes effective in the absence of suspension or disapproval by the Commission. |
| TEXAS RC | Economic competition. Statement of intent to raise rates filed under RCC original jurisdiction; becomes effective after 35 days if not disapproved by Commission. |
| UTAH PSC | Rate reductions can be approved informally without a formal hearing. |
| VERMONT PSB | If tariffs not suspended, they become effective 30 days or later after filing. |
| VIRGIN ISLANDS PSC | If tariffs are not suspended, they become effective within 30 days after filing. |
| VIRGINIA SCC | Entry by rate case with perhaps some insignificant exceptions." |
| WASHINGTON UTC | Through review of filed tariff revisions during the statutory notice period. |
| WEST VIRGINIA PSC | None. |
| WISCONSIN PSC | After negotiations rate decreases can be made without a formal proceeding. |
| WYOMING PSC | Rates for new services or rate reductions may be authorized without hearing. In some cases wholesale supply cost increases authorized without hearing after public notice and opportunity for hearing. |

## SECTION C

## RATE BASE

Statutes providing for the regulation of electric, gas and telephone utilities generally specify that the rates charged shall be just and reasonable. Determination of a proper rate base is an important step toward that end, since the method of rate base valuation is a major factor in determining the rates a utility may charge. Some statutes specify the factors to be considered in developing a rate base, enumerating original cost, reproduction cost, prudent investment, and other recognized elements of value. However, in most jurisdictions the statutory language is in general terms providing simply that the agency ascertain the value of the property to be included in the rate base. Thus in most jurisdictions it is necessary to look beyond the statutory language to the actions of the regulatory authority to learn the method of rate base determination in general use.

The methods of rate base determination generally followed by the various agencies are shown in the following tables. Factors which affect the valuation of a utility's rate base and which are subject to the jurisdiction of the various agencies are also included in these tables. These factors include: land held for future use, construction work in progress, advance payments, pollution control equipment, accrued depreciation, year-end, average, or future test year, and determination of working capital.

Table 12 - METHOD OF VALUATION GENERALLY APPLIED

| AGENCY | The method of determining rate base specifically prescribed by | | | Section Number or Case Citation | Method Prescribed | Brief Explanation of Method |
|---|---|---|---|---|---|---|
| | Statute | Regulation | Court Decision | | | |
| FCC 1/ | | | | | Original Cost | |
| FERC | | | X | | Original Cost | Net original cost for test year. |
| ALABAMA PSC | X | X | X | | Original Cost | Original cost less accrued depreciation. |
| ALASKA PC | | | | | Original Cost | Original cost less depreciation. |
| ALASKA PUC | X | | | A.S. 4205.441 | Original Cost | |
| ALBERTA PUB | X | | | 81 (3) | Original Cost | Cost of property when first devoted to public use or prudent acquisition cost less depreciation. |
| ARIZONA CC | | | X | 80 Ariz. 145 | Fair Value | RCN determined by either trending or pricing. Depreciation determined by age, life ratio. |
| ARKANSAS PSC | X | X | X | | Original Cost | Depreciated original cost. |
| CALIFORNIA PUC | | | | | Original Cost | |
| COLORADO PUC | | X | | | Original Cost | |
| CONNECTICUT PUC | | X | | | Original Cost | Depreciated original cost. |
| DELAWARE PSC | X | | | 26 Del. Code | Original Cost | |
| D.C. PSC | X | | | D.C. Code, Title 43, et. seq. | Original Cost | Depreciated original cost. |
| FLORIDA PSC | X | | | 366.06 Electric and Gas<br>364.03 Telephone<br>367.081 Water and Sewer | Prudent Investment<br>Original Cost<br>Other<br>Prudent Investment | Prudent investment for electric and gas; the telephone statute requires only that the rates be fair, just, reasonable, and sufficient. For all practical purposes, the Commission employs original cost. For water and sewer, a fair return on the utility's investment in property that is currently used and useful. |
| GEORGIA PSC | | X | | | Original Cost | |
| GUAM PUC | X | X | | | Other | Any combination as determined by the Commission. |
| HAWAII PUC | | X5/ | X | D. 1167 Hawaii Electric Co. | Original Cost | |
| IDAHO PUC | | | X | | Original Cost | |
| ILLINOIS CC | | | X | 77 Ill. 2d 364 NE 2d (1979) | Fair Value | |
| INDIANA PSC | X | | | I.C. 8-1-2-6 | Fair Value | |
| IOWA SCC | | | X | U-138, Davenport Water Company | Original Cost | Net investment rate base. |
| KANSAS SCC | | X | X | 192 Kans. 39 | Original Cost | |
| KENTUCKY ERC & URC | X | | X | | Original Cost<br>Reproduction Cost<br>Prudent Investment<br>Other | Capital structure and other element of value recognized by law. |
| LOUISIANA PSC | | X | X | | Original Cost | |
| MAINE PUC | X | | | | Original Cost | Original cost plus prudent acquisition cost, other also if relevant. |
| MARYLAND PSC | X | | | | Fair Value | Evidence of current value based upon TOC, CPI, IPI, reproduction cost, or appraisal considered. |
| MASSACHUSETTS DPU | | X | | | Original Cost | |
| MICHIGAN PSC | X4/ | X | X | | Original Cost<br>Prudent Investment | If plant carried is imprudent investment, we do not include that investment in original cost rate base. Electric statute specifies fair value, cost, etc., to be considered. Gas and telephone statutes do not specify. |
| MINNESOTA PSC | X | X | | M.S. 237.08 Telephone only<br>M.S., Ch. 216B Sec. 16, Subd. 6 Electric and Gas only | Original Cost | Original cost prescribed by statute. As to electric and gas, the PSC decision, in the Northern States Power Company proceeding, dated 6/2/75, stated that the PSC will not accept testimony on fair value or current value in any utility rate case, but will insist on original cost data for rate base purposes. |
| MISSISSIPPI PSC | X | | | | Fair Value | In arriving at fair value, original cost, reproduction cost, and prudent investment would all be considered. |
| MISSOURI PSC | | | X | 308SW 2nd 704,<br>532SW 2nd 20 | Fair Value | Consider original cost and trended original cost and other relevant factors. |
| MONTANA PSC | X | X | | | Original Cost | Depreciated original cost. |
| NEBRASKA PSC 1/ | | | | 75-609 | Other | Must consider current value. Most use trended investment. |
| NEVADA PSC | | | X | | Original Cost | |
| NEW HAMPSHIRE PUC | | X | X | | Original Cost | |
| NEW JERSEY BPU | | | X | Sup. Ct. in Re Sand Rates Case, 66, No. 12 (1974) | Other | No particular method or combination of methods. Board must consider all evidence submitted. |
| NEW MEXICO PSC | X | | X | 68-S-14 NMSA, 1953 | Fair Value | No State Supreme Court decision except with general statement of "Fair Value." Several district court decisions. Must consider history and development of the property and business, original cost, reproduction cost as going concern and other elements recognized by laws of land. |
| NEW MEXICO SCC 1/ | | | | | Original Cost Less Depreciation | |
| NEW YORK PSC | | | | | Original Cost | |
| NORTH CAROLINA UC | X | | | G.S. 62-133 Session Laws 1977 c.6915.3.2 and 3 | Original Cost | Reasonable original cost of the utilities used and useful less applicable depreciation plus construction work in progress. Effective July 1, 1979. |
| NORTH DAKOTA PSC | X | | X | 49-02-03, NDCC | Prudent Investment | Amount invested by utility, any excess over original cost allowed in rate base if approved by the Commission. |
| NOVA SCOTIA PUB | X | | | | Original Cost | Depreciated original cost. |
| OHIO PUC | X | | | 4909.05, R.C. | Original Cost | Original cost less accumulated depreciation. |
| OKLAHOMA CC | X | | | Title 17, Sec. 137 Laws 1959, p. 86, Sec. 3 | Fair Value | Fair return on fair value - telephone companies only. All others - depreciated original cost. |
| ONTARIO EB | X | | | R.S.O. 1970, C-312, S. 19 | Prudent Investment | |

Table 12 - METHOD OF VALUATION GENERALLY APPLIED (Continued)

| AGENCY | The method of determining rate base specifically prescribed by | | | Section Number or Case Citation | Method Prescribed | Brief Explanation of Method |
|---|---|---|---|---|---|---|
| | Statute | Regulation | Court Decision | | | |
| ONTARIO TSC | X | | | Section 12, R.S.O. 1970, C-457 | Original Cost | Capital investment. |
| OREGON PUC | | | | | Original Cost | No specific statutory provision. |
| PENNSYLVANIA PUC | X | X | | | Fair Value | The fair value approach utilized by this Commission gives weight to original cost, trended original cost and/or depreciation reserve requirement is utilized for rate making. |
| PUERTO RICO PSC | X | | | | Original Cost | |
| QUEBEC EGB | | | | | Original Cost | Original cost less depreciation. |
| QUEBEC PSB | | | | | Original Cost | Original cost less depreciation. |
| RHODE ISLAND PUC | | | X | | Original Cost | |
| SOUTH CAROLINA PSC | | X | | | Original Cost | |
| SOUTH DAKOTA PUC | X | X | | SDCL 49-34A-19 | Original Cost | |
| TENNESSEE PSC | | X | | | Original Cost | Original cost of property used and useful-financed by debt and equity investors. |
| TEXAS PUC | X | X | | Sec. 41(a), Art. 1446c | Fair Value | Original cost less depreciation and current cost less an adjustment for age and condition. |
| TEXAS RC 2/ | X | | X | Tex. Rev. Civ. Stat. Ann. Art. 1446C, 339, 40, 41 (Supp. 1979) | Fair Value | Reasonable balance within stated limits between original cost less depreciation and current cost less an adjustment for age and condition. |
| UTAH PSC | | | | | Original Cost | |
| VERMONT PSB | | X | X | | Original Cost | Original cost and prudent investment. |
| VIRGIN ISLANDS PSC 3/ | X | X | | 30 V.I.C. 23 | Fair Value | Net investment in property prudently acquired for and devoted to public use. |
| VIRGINIA SCC | | X | | | Net Original Cost | |
| WASHINGTON-UTC | X | | | 19 Wn. (2nd) 200, (1943) | Other | Any method or combination of methods warranted by law. |
| WEST VIRGINIA PSC | | | | | Original Cost | |
| WISCONSIN PSC | | | X | | Other | Original cost of utility plant used and useful in providing service. |
| WYOMING PSC | | X | X | | Original Cost | Year-end data used where large construction program; otherwise, average may be used. |

1/ No Commission regulation of electric or gas utilities - telephone only.
2/ No Commission regulation of electric or telephone utilities.
3/ Electric facilities are Government owned and operated, but are regulated by the PSC for changes in rates. No gas service in the Virgin Islands other than "bottled gas" which is not regulated by the PSC.
4/ Electric statute specifies fair value, cost, etc., to be considered. Gas and telephone statutes do not specify.
5/ Based on Commission decision.

Table 13 - ITEMS ALLOWED IN RATE BASE OF ELECTRIC, GAS AND TELEPHONE UTILITIES

| AGENCY | The Agency Allows the Following in Rate Base - | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Land held for future use | Property held for future use | Construction work in progress | Allowance for funds used during construction 1/ | Contributions in aid of construction - Credit | Advance Payments | Research and Development | An inflation factor | Excess of cost over original cost | Materials and supplies | Allowance for working capital | Customer advances - Credit | Accumulated tax deferrals - Credit | Pollution Control Equipment |
| FCC 2/3/ | | X | X | | | | | | | X 68/ | X | X | X | |
| FERC | X 4/ | X 4/ | 5/ | X | | X | X | | | X | X | X | 6/ | X |
| ALABAMA PSC | X | X | X | X | | | | | | X | X | | | X |
| ALASKA PC | | | X | X | | | X | | | X | X | | X | X |
| ALASKA PUC | 7/ | 7/ | | X | 8/ | | | | | X | X | X | X | |
| ALBERTA PMC | | | | X | | 9/ | | | | X | X 10/ | | | |
| ALBERTA PUB | 7/ | 7/ | | X | X | | 7/ | | | X 11/ | X | | X | X |
| ARIZONA CC | | | X | X | X | | X | | | X | X | X | X | X |
| ARKANSAS PSC | X | X | X | X | X | X | X 67/ | | X 14/ | X | X | | | X |
| CALIFORNIA PUC | 7/ | 7/ | X 15/ | 16/ | X | X | X | | | X | X | X | X | X |
| COLORADO PUC | X 17/ | X 17/ | X | X | X | X | X | | | X | X 18/ | X | X | X |
| CONNECTICUT PUC | | | 19/ | | X | | | | | X | X | X | X | X |
| DELAWARE PSC | X | X | X | X | X | | X | | | X | X | | X | X |
| D.C. PSC | X | X | X 20/ | X 21/ | X | | | | | X | X | X | X | X |
| FLORIDA PSC | X 22/ | X 22/ | X 23/ | X 24/ | X 25/ | | X 26/ | | X 27/ | X | X | X | X 28/ | X |
| GEORGIA PSC | X | X | X | | X | | X | | | X | X | | X | X |
| GUAM PUC | | | X | X | X | | | X | X | X | X | X | | X |
| HAWAII PUC | X 22/ | X 22/ | | X | X | | | | | | X | X | X | X |
| IDAHO PUC | X 29/ | X 29/ | | X | | | | | | X | X | | | X |
| ILLINOIS CC | X 30/ | X 30/ | X 31/ | X 32/ | X 33/ | | X | X | | X | X 34/ | X | X | X |
| INDIANA PSC | | | 35/ | X | | | X | | | X | X | | | X |
| IOWA SCC | X 36/ | | 37/ | X | X | X | | | | X 11/ | X | X | X | X |
| KANSAS SCC | 7/ | 7/ | 38/ | X | X | X | X | | | X | X | | | X |
| KENTUCKY ERC & URC | X | X | X | | X 33/ | X | X | | X | X | X | X 33/ | X 33/ | X |
| LOUISIANA PSC | X | | X | X | X 33/ | X | X | | | X | X | X | X 33/ | X |
| MAINE PUC | X | X | | X | | | X | | X 39/ | X | X | | | X |
| MARYLAND PSC | X 17/ | X 17/ | X | X | X | X 22/ | X 22/ | | | X | X | X | X | X |
| MASSACHUSETTS DPU | | | | X | | X | | | | X 40/ | 7/ | | X | X |
| MICHIGAN PSC | 7/ | X | X | X | X | | | | | X | X | X | | X |
| MINNESOTA PSC | X | X | 41/ | 41/ | X | | | X | | X | X | X | X | |
| MISSISSIPPI PSC | X | X | X | X | | | X | | X 42/ | X | X | | X | X |
| MISSOURI PSC | | | | X | X | X | X | | | X | X | X | X | X |
| MONTANA PSC | X | X | | X | X | | | | | X | X | X | X | X |
| NEBRASKA PSC 3/ | | | 38/ | | | | X | | | X | X | | X | X |
| NEVADA PSC | 43/ | 43/ | | | X | X | | | | X | | | 43/ | X |
| NEW HAMPSHIRE PUC | X 30/ | X 30/ | 44/ | X | X | 33/ | X 22/ | 45/ | X | X | X | X | X | X 46/ |
| NEW JERSEY BPU | X | X | X 23/ | X 23/ | X | | X | | | X | X | X | X 23/ | X |
| NEW MEXICO PSC | | | 47/ | | | X | X | | | X | X | | | X |
| NEW MEXICO SCC | | X | X | X | X | | | | | X | X | | | |
| NEW YORK PSC | X | X | X | X | X | | 48/ | | | X | X | X | X | X |
| NORTH CAROLINA UC | | | X 49/ | X | X | X 12/ | | | | X | X | X | X | X |
| NORTH DAKOTA PSC | X | X | | X | | | | | X | X | X | | | X |
| NOVA SCOTIA PUB | X | X | | X | | | | | | X | X | | | X |
| OHIO PUC | | | 50/ | X | X | | | | | X | X | X | X | X |
| OKLAHOMA CC | X | X 17/ | 51/ | X | X | X 52/ | | | | X | X | X | X | |
| ONTARIO EB | | | 53/ | X | X | | | | | X | X | X | | |
| ONTARIO TSC | | | X | X | X | | | | | X | X | | | |
| OREGON PUC | 54/ | 54/ | 54/ | X | X | | | | | X | X | X | X 55/ | X |
| PENNSYLVANIA PUC | X | X | X 56/ | X | | | X | | | X | X | X | X | X |
| PUERTO RICO PSC | | | | | | | | | | X | X | | | |
| QUEBEC EGB | | | | X | X | X | | | | X | X | X | | |
| QUEBEC PSB | X 17/ | X 17/ | | | | | | | | X | X | | | X |
| RHODE ISLAND PUC | X 10/ | X 17/ | | X | X | | X | X | | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | X | X | X | X | X | | | | X | X | X | X | X |
| SOUTH DAKOTA PUC | X 17/ | X 17/ | | X | X | X | | | | X | X | X | X | X |
| TENNESSEE PSC | X | X | X 57/ | X | X | | | | 7/ | X | X | X | X | |
| TEXAS PUC | X | X | X | | | X | | X | | X | X | | X | X |
| TEXAS RC 58/ | X | X | X 67/ | X | X | | X | X | | X | X | X | X | |
| UTAH PSC | X | X | X 13/ | X | X | X | | | | X | X | X | X | X |
| VERMONT PSB | X | X | X 59/ | | | X | X | | | X | X | | | X |
| VIRGIN ISLANDS PSC | X | X | | X | | | X 26/ | | | X | X | | | X |
| VIRGINIA SCC | X | X | X | X | | X | X | | | X | X | | X | X |
| WASHINGTON UTC | X | X | 60/ | X 61/ | X 33/ | | | | X 62/ | | X | | X | X |
| WEST VIRGINIA PSC | X | X | 63/ | | X | X | | | | X | X | X | X | X |
| WISCONSIN PSC | | | | X | X | | | | | X | | X | | X |
| WYOMING PSC | 64/ | 64/ | | X | 65/ | | | | | X | X | X | 66/ | X |

## Footnotes - Table 13 - Items Allowed in Rate Base

1/ AFUDC as an account balance does not appear directly in the rate base. Rate base effect takes place at that time when property to which AFUDC is related is allowed in rate base.
2/ Net book cost of plant in service, property held for future use, construction work in progress (projects with expected completion dates under one year receive rate base treatment; those with expected completion dates greater than one year receive an allowance for funds used during construction--Docket 19129), plus materials and supplies actually paid for plus allowance for cash working capital. Customer advances are used as a credit to reduce the rate base; accumulated tax deferrals are used as a credit reducing the rate base.
3/ No commission regulation of electric or gas utilities.
4/ For gas utilities, to a limited extent; for electric utilities "yes" for land and "no" for property held for future use.
5/ Limited to expenditures for pollution control and for oil or natural gas fueled plants to use as other fuels.
6/ Account 281 included in capitalization for rate of return at zero cost.
7/ Discretionary.
8/ Deduct unamortized CIAC relating to depreciable contributed plant.
9/ Carrying cost for eligible advance payments and take or pay payments are expensed monthly rather than included in rate base. Advance payments so treated were incurred prior to NGPA Act Regulation.
10/ Includes stored gas.
11/ Included in working capital.
12/ Considered on a case-by-case basis.
13/ CWIP may be allowed to increase cash flow.
14/ Plant acquisition adjustment if beneficial to customers.
15/ Completed but not interest bearing.
16/ Capitalized upon completion.
17/ If use is imminent in the near future.
18/ With offset of accrued property taxes.
19/ Electric and gas--no, telephone--yes. CWIP allowed under certain circumstances for plant to satisfy the Safe Drinking Water Act.
20/ On construction since June, 1979, limited to expenditures for pollution control for electric--not telephone and gas.
21/ For telephone and gas, not electric.
22/ Must meet tests of reasonableness.
23/ Based on facts and circumstances on the case in question.
24/ Only AFUDC charged on construction already closed to plant in service; depreciation on same allowed in cost of services.
25/ Follow FERC methodology.
26/ On those projects which are successfully completed and capitalized; unsuccessful projects expensed or accumulated and amortized.
27/ Prudent acquisition adjustments are sometimes permitted in rate base and amortization thereof in cost of service.
28/ But used as cost free capital in determining overall cost of capital.
29/ In certain instances depending on plan for use in immediate future.
30/ Where planned use is known and it will be used in a reasonable period of time.
31/ Decided on a case-by-case basis considering in service dates and cash flow requirements among other factors.
32/ Only interest charged to construction already capitalized to plant in service; depreciation on same allowed in cost of service; book-tax timing difference flowed through to the income statement.
33/ These items are used to reduce rate base.
34/ If not offset by other cash flows.
35/ Portion has been allowed if enumerated in prehearing orders.
36/ Current policy is to allow land held for future use in rate base only if it is part of a definite short range construction plan.
37/ Construction work in progress on which AFUDC has not been capitalized has been included in rate base in some rate case proceedings.
38/ CWIP placed in service within 12 months may be included in rate base.
39/ Original cost plus prudent acquisition cost.
40/ Less appliances.
41/ Minnesota Statute Sec. 216b.16, Sub 6a provides in part that the Commission shall determine in its descretion whether and to what extent the income used in determining the actual return on the utility property shall include an allowance for funds used during construction based on magnitude, impact on cash flow, benefit to customers, and whether it will be immediately useful.
42/ If utility is resold to a new company and price of items of plant accounts are reasonable; otherwise, excessive cost would be charged to surplus over future years.
43/ Conditional.
44/ Law passed by legislature in 1979 excluding CWIP in determination of rates.
45/ Inflation factor allowed on occasion by addition of attrition percentage to the allowed rate of return.
46/ When required or needed by State or Federal agency.
47/ Allowed only if interest has not been capitalized.
48/ General research and development allowed as an operating expense; research and development performed in connection with a specific project would become part of rate base if such project ultimately became part of plant in service.
49/ Effective July 1, 1979.
50/ Includable in rate base at discretion of commission if 75% complete on date certain and allowance for CWIP does not exceed 20% of total valuation.
51/ Case-by-case basis with certain restrictions--1975 ruling.
52/ For insurance, etc., but excludes advances for exploration and drilling for new sources of supply for natural gas.

## Footnotes - Table 13 - Items Allowed in Rate Base (Continued)

53/ Construction work in progress normally disallowed; if included, AFDC on such assets is included in utility income.

54/ In 1978 an initiative was adopted prohibiting rate base inclusion of assets not "presently" in service.

55/ When not included as interest and dividend free capital.

56/ Primarily non-revenue producing.

57/ If interest charged to construction is included in income.

58/ No commission regulation of electric or telephone utilities.

59/ Included if construction is imminent.

60/ In extraordinary circumstances; then only production facilities and related transmission with AFUDC included as operating income.

61/ For major electric generation and related transmission expenditures.

62/ Only if commensurate benefit to the ratepayer is shown.

63/ Complete and in service.

64/ May be allowed if in service at time of order issuance.

65/ Deduct from rate base.

66/ Deduct from rate base in some cases; not where gas utilities are participating in gas exploration within the state.

67/ Requires a showing by clear and convincing evidence that the inclusion is necessary to maintain the financial integrity of the utility.

68/ Materials and Supplies less amounts held for future construction and Class "C" stock (out of service - awaiting repairs).

Table 14 - METHOD OF DETERMINING RATE BASE

| AGENCY | The method of determining the rate base is left to the judgment of the Agency under its general authority to determine just and reasonable rates | State the method prescribed by the Agency either for general application or in a recent specific case. |
|---|---|---|
| FCC 1/ | X | Net book cost of plant in service, property held for future use, construction work in progress (projects with expected completion dates under one year receive rate base treatment, those with expected completion dates greater than one year receive an allowance for funds used during construction--Docket 19129), plus materials and supplies actually paid for, plus allowance for cash working capital. Customer advances are used as a credit to reduce the rate base; accumulated tax deferrals are used as a credit reducing the rate base. |
| FERC | X | Gas - Original cost less depreciation reserve plus prepayments, advance payments, R&D, depending on evidence presented in rate proceedings.<br>Electric - Original cost less depreciation plus allowance for working capital, materials and supplies, prepayments, and unamortized research and development. |
| ALABAMA PSC | X | Original cost less accrued depreciation. |
| ALASKA PC 7/ | X | Original cost less accrued depreciation |
| ALASKA PUC | X | 13-Month average original cost. Rate base adjusted for known changes. |
| ALBERTA PMC | X | Original cost depreciated of plant in service and items per table headed "Items Allowed in Rate Base." Determined monthly. Company must apply for approval of significant changes. |
| ALBERTA PUB 6/ | X | |
| ARIZONA CC | X | The weighting as to original costs and reconstruction costs is at the discretion of the Commission. |
| ARKANSAS PSC | X | Net book cost of plant in service, property held for future use, construction work in progress (projects with expected completion dates under one year receive rate base treatment, those with expected completion dates greater than one year receive an allowance for funds used during construction--Docket 19129), plus materials and supplies actually paid for, plus allowance for cash working capital. Customer advances are used as a credit to reduce the rate base. |
| CALIFORNIA PUC | X | Used and useful original cost, plus completed CWIP, plus M & S, plus working cash allowance, less book depreciation reserve, less contributed plant, less advances in aid of construction, less deferred income tax reserves. |
| COLORADO PUC | X | Average or year-end net original cost less depreciation plus material and supplies, working capital (45 days expenses less ½ accrual taxes), prepayments less deferred taxes and advances. |
| CONNECTICUT PUC | X | Utility plant in service, less accumulated depreciation, add materials and supplies, fuel reserve (3-month supply) and allowance for working capital; deduct customer advances for construction, contributions in aid of construction. |
| DELAWARE PSC | X 4/ | |
| D.C. PSC | X | Original cost rate base includes plant in service, reserve for depreciation; construction work in progress limited to expenditures for pollution control and a substantial amount of construction prior to June 14, 1979, (electric) or AFUDC (gas and telephone), 13-month average materials and supplies, and working capital. Customer's advances, contributions in aid of construction, and accumulated deferred taxes are deducted from rate base. |
| FLORIDA PSC | X | 13-Month average net investment (original cost), plus working capital, less income tax lag. Year-end investment used only if extraordinary growth experienced. |
| GEORGIA PSC | X | Original cost. |
| GUAM PUC | X | Not prescribed--Commission decision. |

Table 14 - METHOD OF DETERMINING RATE BASE (Continued)

| AGENCY | The method of determining the rate base is left to the judgment of the Agency under its general authority to determine just and reasonable rates | State the method prescribed by the Agency either for general application or in a recent specific case. |
|---|---|---|
| HAWAII PUC | X | Original cost including M & S, working capital, less customer advances and contribution, less deferred taxes and investment credits. |
| IDAHO PUC | X | Original cost. |
| ILLINOIS CC | 8/ | Fair value. |
| INDIANA PSC |  | Fair value. |
| IOWA SCC | X | Original cost less accrued depreciation, accumulated deferred taxes, and investment tax credits plus working capital as defined in table entitled "Method of Rate Base Determination Generally Applied - Part II." |
| KANSAS SCC | X | Original cost depreciated. |
| KENTUCKY ERC | X | Gas and electric: original cost less depreciation reserve plus prepayments, materials and supplies and allowance for working capital, less deferred taxes, customer advances for construction and contributions in aid of construction. |
| KENTUCKY URC | X | Telephone: Same as above for electric and gas except for working capital. |
| LOUISIANA PSC | X | Average test year - original cost based on table headed "Items Allowed in Rate Base." |
| MAINE PUC | X | Commission judgment based upon factors as detailed in table headed "Items Allowed in Rate Base." Original Cost. |
| MARYLAND PSC | X | Fair value. |
| MASSACHUSETTS DPU | X | Original cost of plant in service - less accumulated depreciation, plus materials and supplies (not including appliances), plus working capital (if warranted) plus prepayments, less contribution advance, deferred tax and unamortized investment credit prior to 1971. Average year rate base is used. |
| MICHIGAN PSC | X | Original cost prudently reinvested. For major utiliies, the rate base is often projected. For others, average or year-end techniques are used. |
| MINNESOTA PSC |  | M.S. 237.08 (telephone) and M.S. 216B, Sec. 16, Subd. 6, outline the factors to be considered in establishing a gas or electric rate base, and do not mention "current value." PSC decision dated June 2, 1975, stated that current value or fair value will not be considered under these statutes. |
| MISSISSIPPI PSC | X | The Commission uses its discretion and judgment in determining reasonable value of rate base. |
| MISSOURI PSC | X | Consider original cost and trended original cost and other relevant factors. |
| MONTANA PSC | X | Depreciated original cost. |
| NEBRASKA PSC 1/ | X | Consider current value and original cost - depreciated. |
| NEVADA PSC | X | By audit and testimony of witnesses. |
| NEW HAMPSHIRE PUC; | X | Generally the FERC method has been used by the N.H. PUC. |
| NEW JERSEY BPU | X | Where considered proven, original cost less accumulated depreciation of used and useful plant plus material and supplies and working capital less customers advances and contributions in aid of construction. |
| NEW MEXICO PSC | 2/ | A 50-50 weighting of original cost depreciated and reproduction cost depreciated. |
| NEW MEXICO SCC | X | Original cost less depreciation. |
| NEW YORK PSC | X | Depreciated original cost. |
| NORTH CAROLINA UC | X | Depreciated original cost. |
| NORTH DAKOTA PSC | X | Prudent investment less reserve for depreciation, contributions in aid of construction and accumulated tax deferrals plus materials and supplies and cash working capital less low point of tax accruals. |
| NOVA SCOTIA PUB | X | Original cost depreciated plus construction overheads, etc. |
| OHIO PUC | X | Original cost less accumulated depreciation for used and useful plant, plus working capital and CWIP, as appropriate, less customer advances, contributions in aid of construction and deferred taxes. |
| OKLAHOMA CC | X | As described in table headed "Items Allowed in Rate Base" and table headed "Method of Valuation Generally Applied." |
| ONTARIO EB | X | Depreciated prudent investment cost. |

Table 14 - METHOD OF DETERMINING RATE BASE (Continued)

| AGENCY | The method of determining the rate base is left to the judgment of the Agency under its general authority to determine just and reasonable rates. | State the method prescribed by the Agency either for general application or in a recent specific case. |
|---|---|---|
| ONTARIO TSC | X | Depreciated original cost plus an allowance for working capital. If supported by evidence, an allowance for materials and supplies and construction work in progress. |
| OREGON PUC | X | As described in table headed "Items Allowed in Rate Base." |
| PENNSYLVANIA PUC | | Fair value is prescribed by law, however, the Commission must employ its judgment as to the proper fair value weighted components for each rate case. |
| PUERTO RICO PSC | X | Recorded book costs less depreciation. |
| QUEBEC EGB | X | Original cost less depreciation, excluding construction work in progress, including materials and supplies and allowance for working capital. |
| QUEBEC PSB | X | Original cost less depreciation excluding construction work in progress, including materials and supplies and allowance for working capital. |
| RHODE ISLAND PUC | X | Average for test period. Original cost less accumulated depreciation, plus material and supplies, working capital allowance less contributions in aid of construction, customer deposits and deferred income taxes. |
| SOUTH CAROLINA PSC | X | Original cost less accumulated depreciation, plus property held for future use, material and supplies, construction work in progress, working capital allowance less cost in aid of construction, customer deposits and Accumulated Deferred Income Tax (Lib. Deprec.). |
| SOUTH DAKOTA PUC 5/ | X | Net Investment rate base - original cost only. |
| TENNESSEE PSC | X | Original cost less accumulated depreciation, plus materials and supplies and working capital, less customers advances and contributions in aid of construction and deferred taxes. |
| TEXAS PUC | X | Formal direction has not been given. Commission judgment based upon factors detailed in table headed "Items Allowed in Rate Base." |
| TEXAS RC 3/ | X | A 60/40 weighting of original cost depreciated and reproduction cost new depreciation is employed. See table headed "Items Allowed in Rate Base." |
| UTAH PSC | X | Average for test period - Electric plant in service plus plant held for future use, less accumulated provision for depreciation and amortization, contributions in aid of construction, accumulated deferred income taxes, customer advances for construction; plus material and supplies and working capital. |
| VERMONT PSB | X | Formal direction has not been given. |
| VIRGIN ISLANDS PSC | X | As described in table headed "Items Allowed in Rate Base," however, the Commission must employ its judgment as to proper fair value. |
| VIRGINIA SCC | X | Net original cost. |
| WASHINGTON UTC | X | Average original cost plant in service and property held for future use, less average depreciation reserve, unamortized investment credit and accumulated deferred income tax, plus an allowance for investor supplied working capital. |
| WEST VIRGINIA PSC | X | As shown in table headed "Items Allowed in Rate Base." |
| WISCONSIN PSC | X | Depreciated original cost plus items indicated in table headed "Items Allowed in Rate Base." |
| WYOMING PSC | X | Original cost less depreciation, plus allowance for material and supplies, working capital, adjustment for tax deferrals (not including job development investment tax credit). |

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1/ No commission regulation of electric or gas utilities.

2/ Weighting of original and reproduction cost is left to commission judgment.

3/ No commission regulation of electric or telephone utilities.

4/ By statute.

5/ Gas and electric regulation effective July 1, 1975.

6/ Statute prescribes that the Board give due consideration, in determining rate base, to the cost of the property when first devoted to public use, to prudent acquisition cost to the owner, less depreciation, amortization or depletion in respect to each and to necessary working capital.

7/ Methodology still being refined.

8/ The Supreme Court of Illinois, by case law, has established "Fair Value" as the regulatory standard and has enumerated the factors which the Commission is obliged to consider in its determination.

## Table 1 METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part I

| AGENCY | Method of determining and the treatment of accrued depreciation in computing the rate base | Method of treatment of deferred taxes: Deducted from rate base | Method of treatment of deferred taxes: Capitalization at no return | Method of treatment of deferred taxes: Other | Method of determining the rate base differs for different types of utility ownership |
|---|---|---|---|---|---|
| FCC 2/ | The book depreciation reserve is deducted from gross book cost of plant in service. | | | 3/ | |
| FERC | Depreciation deducted from rate base. | X | 1/ | | |
| ALABAMA PSC | Straight line. | X | X | | |
| ALASKA PC 4/ | Straight line. | X | | | |
| ALASKA PUC | Straight line depreciation accumulation is deducted from original cost to arrive at net plant. | X | | | |
| ALBERTA PMC | Booked amount deducted from rate base. | | | | |
| ALBERTA PUB | Based upon approved rates. Accrued depreciation deducted from gross plant in service. | X | | | |
| ARIZONA CC | Deducted as booked. | X | X | | |
| ARKANSAS PSC | Deduction. | X | X | | |
| CALIFORNIA PUC | Straight-line remaining life method of depreciation. Book reserve deducted from original cost of plant in computing rate base. | X | | | |
| COLORADO PUC | Straight line deducted from gross plant in service. | X | X | | X 5/ |
| CONNECTICUT PUC | The accrued depreciation (based on the straight-line method) as recorded on the books of the company is deducted from the original cost of utility plant in service. | X | | | |
| DELAWARE PSC | Accrued depreciation deducted: normalization permitted. | | | | |
| D.C. PSC | As booked - based upon approved rates. | X | | | |
| FLORIDA PSC | Straight line rate - deducted from gross plant in service to obtain net plant in service | | X | | |
| GEORGIA PSC | Deducted from gross plant in service. | X | | | |
| GUAM PUC | Book depreciation taken from company books. | | | 17/ | 20/ |
| HAWAII PUC | Deducted from plant in calculating the rate base. Depreciation expense computed on straight line basis. | X | | | |
| IDAHO PUC | Service life method - reserve deducted. | | X 14/ | | 8/ |
| ILLINOIS CC | Straight line and deduction from rate base. | X | | | |
| INDIANA PSC | Straight line - Net plant. | | | X 25/ | X 9/ |
| IOWA SCC | Book accrued depreciation is used to reduce gross plant. Consideration of theoretical reserve given. | X | | | |
| KANSAS SCC | Accumulated depreciation is a reduction of the gross plant when an average rate base is used. The accumulated depreciation is computed and deducted from the gross plant when a year-end rate base is used. | | X | | |
| KENTUCKY ERC & URC | Book reserve based straight line accrual. | X | X | | X 11/ |
| LOUISIANA PSC | Straight line. | X | | | |
| MAINE PUC | Accrued depreciation deducted. Straight line. | X | | | 12/ |
| MARYLAND PSC | Deducted from gross plant in service. | X | | | |
| MASSACHUSETTS DPU | Deducted from plant in service. | X | | | X 13/ |
| MICHIGAN PSC | Deducted from plant in service. | | X | | |
| MINNESOTA PSC | Is regularly reported to Commission - straight line; 5-year certification. | X | | | |
| MISSISSIPPI PSC | Depreciation is calculated on straight-line basis. Any variation by utility is normalized. | X | X | | |
| MISSOURI PSC | Depreciation expense adjusted to year-end level, depreciation reserve adjusted correspondingly, and reserve then deducted from plant in service to arrive at net plant in service. | X | X | | |
| MONTANA PSC | Depreciation expense adjusted to year-end level, depreciation reserve adjusted correspondingly, and reserve then deducted from plant in service to arrive at net plant in service. | | X | | 26/ |
| NEBRASKA PSC 2/ | Book depreciation reserve deducted from rate base. | X | X | | |
| NEVADA PSC | Deducted therefrom. | | | 14/ | X 15/ |
| NEW HAMPSHIRE PUC | Accrued depreciation (depreciation reserve) is deducted from gross plant in determining the rate base. | 16/ | 16/ | 16/ | |
| NEW JERSEY BPU | Depreciation determined by applying rates approved by Board for each utility: the accrued depreciation is deducted from value of plant in computing rate base. | X | | | |
| NEW MEXICO PSC | Book depreciation is subtracted from original cost. If there is no reproduction cost depreciation study, reproduction cost is diminished by the percentage that book depreciation bears to original cost. | X | | | |
| NEW MEXICO SCC | Deducted from original cost of telephone plant. | | | | |
| NEW YORK PSC | (a) Straight line, (b) book depreciation deducted from rate base if reasonably consistent with theoretical: if serious discrepancy, theoretical is used. | X | | | |
| NORTH CAROLINA UC | Book depreciation based on rates approved by the Commission which has been accumulated in the depreciation reserve account is deducted from the original cost investment in plant. | | X | | |
| NORTH DAKOTA PSC | Company book figure. | X | | | |
| NOVA SCOTIA PUB | Straight line depreciation at approved rates deducted from original cost. | | | | X 27/ |
| OHIO PUC | Depreciation determined by applying accrual rates approved by commission to date certain plant accounts. The depreciation reserve is deducted from the plant accounts in computing the rate base. | X | | | |
| OKLAHOMA CC | Depreciation reserve deducted from gross plant. | X 6/ | | | |
| ONTARIO EB | Straight line remaining life method of depreciation. Accumulated depreciation applicable to gas plant in service deducted from gross plant in rate base. | | | 7/ | |
| ONTARIO TSC | (a) Straight line, (b) book depreciation deducted from rate base if reasonably consistent with theoretical: if serious deiscrepancy, theoretical is used. | X | | | |

Table 15 - METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part I (Continued)

| AGENCY | Method of determining and the treatment of accrued depreciation in computing the rate base | Method of treatment of deferred taxes | | | Method of determining the rate base differs for different types of utility ownership |
|---|---|---|---|---|---|
| | | Deducted from rate base | Capitalization at no return | Other | |
| OREGON PUC | Straight-line depreciation deducted from rate base. | X10/ | X10/ | | |
| PENNSYLVANIA PUC | Generally, straight-line depreciation - reserve requirements determination based on lives and ages deducted from gross plant. | X | | | |
| PUERTO RICO PSC | Average depreciation plant in service during test period. | | | | |
| QUEBEC EGB | Straight line remaining life is being considered. Book reserve is deducted from original cost of plant in computing rate base. | | | | |
| QUEBEC PSB | Straight line deducted from original cost. | | | | |
| RHODE ISLAND PUC | As a deduction from gross plant. | X | | | 24/ |
| SOUTH CAROLINA PSC | Depreciation reserve deducted from gross plant. | X | | | |
| SOUTH DAKOTA PUC | Accept FCC rates - Deducted accumulated depreciation in determining net investment. | X | | | |
| TENNESSEE PSC | These amounts are deducted. | X | | | |
| TEXAS PUC | Depreciation is computed on a straight line basis over the useful life expectancy of the item or facility. | X | | | |
| TEXAS RC 18/ | Book depreciation taken from company books. | X25/ | X | | |
| UTAH PSC | Accrued depreciation, usually per books, deducted. | X | | | 19/ |
| VERMONT PSB | The accrual as booked is deducted from rate base. | X | X | | |
| VIRGIN ISLANDS PSC 21/ | Depreciation is determined by applying rates approved by the Commission: the accrued depreciation is deducted from the value of plant in computing rate base. | | | 17/ | 20/ |
| VIRGINIA SCC | Depreciation reserve deducted from original cost. | | X | | |
| WASHINGTON UTC | Per books if reasonable, use average of monthly averages. | X | | | |
| WEST VIRGINIA PSC | Average reserve for depreciation deducted from utility plant. | X | | | X22/ |
| WISCONSIN PSC | Recorded reserve for depreciation or required reserve for depreciation (in specified instances) deducted in computing rate base. | X | | | |
| WYOMING PSC | All companies now are on straight-line. | 23/ | | | |

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1/ For gas utilities "No", for electric utilities "Yes." Accelerated amortization (Account 281) included in capitalization.
2/ No commission regulation of electric and gas utilities.
3/ Both normalization and flow-through methods have been used.
4/ Methodology still being refined.
5/ Private and cooperative are the same: Commission has used operating ratio in municipal public utility rate cases.
6/ Ratio of imbedded cost of debt and rate of return used.
7/ Deferred income taxes included in rate base where applicable: included in capitalization at 2% rate of return. In a special case interest is imputed on deferred taxes at the rate for embedded cost of debt.
8/ Public, cooperative not subject to Commission jurisdiction.
9/ Private - rate of return on fair value: public and cooperative - revenue requirements.
10/ Both methods have been used.
11/ Revenue requirements given first consideration in cooperative cases rather than rate base.
12/ Publicly owned and cooperatives operate on net income against net investment of plant and not on a rate of return basis.
13/ In municipal lighting plants it is prescribed by statute. Original cost of plant in service divided into net income (less any bond payment during year).
14/ In some cases deducted from rate base, in other cases from capitalization.
15/ Rate base not a consideration in cooperative proceedings.
16/ Rate base - includible to the extent chargeable in a given year. Capitalization - liberalized depreciation - flow-through to 1/1/70. Can normalize after that date - 4 percent investment tax credit - can normalize and reserve not be deducted from rate base.
17/ Not considered.
18/ No Commission regulation of electric and telephone utilities.
19/ A cooperative does not have items such as deferred income taxes and in this respect there would be a difference between a privately owned utility and a cooperative.
20/ Public - no rate base determined, use cost of service plus funds for debt retirement plus allownace for contingencies.
21/ Electric facilities are government owned and operated, but are regulated by the Commission; no natural gas service in Virgin Islands other than "bottled gas" which is not regulated by the Commission.
22/ Rate base not used on publicly owned utilities: no separate allowance for rate of return.
23/ Rate base - deduction of rate base or consideration as tax-free capital to reduce expenses.
24/ Public - no rate base determined, use cost of service plus funds for debt service.
25/ Deducted from rate base up to amount of working capital.
26/ Cooperatives--not subject to jurisdiction: private--rate of return on rate base; public--revenue requirement.
27/ All utilities are publicly owned except for one private telephone utility.

Table 16 - METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part II

| AGENCY | The Agency generally prescribes - | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Year-end rate base | | | Average rate base | | | | | | |
| | | | | | | | An average of | | | |
| | Electric | Gas | Telephone | Electric | Gas | Telephone | Beginning and end of year | 12 months average | 13 months average | Other |
| FCC | | | | | | X | | X | | |
| FERC | | X 15/ | | X | | | X | X | X | |
| ALABAMA PSC | X | X | X | | | | | | | |
| ALASKA PC | | | | | X | | X | | | X |
| ALASKA PUC | | | | X | | X | | | X | |
| ALBERTA PMC | | | | | | | | | | |
| ALBERTA PUB | | | | X | X | X | X | | | |
| ARIZONA CC | X | X | X | | | | | | | |
| ARKANSAS PSC | X | X | X | | | | | | | |
| CALIFORNIA PUC | | | | X | X | X | | | | X 11/ |
| COLORADO PUC | X 9/ | X 9/ | | X | X | X | X | X | X | |
| CONNECTICUT PUC | X | X | X | | | | | | | |
| DELAWARE PSC | | | | X | X | X | | | | X 20/ |
| D.C. PSC | X 3/ | X 3/ | X 3/ | | | | | | | |
| FLORIDA PSC | X 23/ | X 23/ | X 23/ | X | X | X | | | X | |
| GEORGIA PSC | X | X | X | X | X | X | X | | X | |
| GUAM PUC | 18/ | 12/ | 21/ | | | | | | | X |
| HAWAII PUC | | | | X | X | X | X | | | |
| IDAHO PUC | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | |
| ILLINOIS CC | X | X | X | | | | | | | |
| INDIANA PSC | X | X | X | | | | | | | |
| IOWA SCC | | | | X | X | X | X | | X | |
| KANSAS SCC | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | X | | | |
| KENTUCKY ERC & URC | X | X | X | | | | | | | |
| LOUISIANA PSC | | | | X | X | X | X | | X | |
| MAINE PUC | | | | X | X | X | | X | | |
| MARYLAND PSC | X 3/ | X 3/ | X 3/ | | | | 7/ | 7/ | 7/ | 7/ |
| MASSACHUSETTS DPU | | | | X | X | X | X | X | | |
| MICHIGAN PSC | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | | 1/ | |
| MINNESOTA PSC | | | | X | X | X | X | | | |
| MISSISSIPPI PSC | | | | X | X | X | X | X | | |
| MISSOURI PSC | X | X | X | | | | | | | |
| MONTANA PSC | | | | X | X | X | X | X | X | |
| NEBRASKA PSC 16/ | | | X | | | | | | | |
| NEVADA PSC | X | X | X | | | | | | | |
| NEW HAMPSHIRE PUC | | | | X | X | X | X | | X | |
| NEW JERSEY BPU | X | X | X | | | | | | | |
| NEW MEXICO PSC | X | X | | 5/ | 5/ | | | | | |
| NEW MEXICO SCC | | | | | | X | X | | | |
| NEW YORK PSC | | | | X | X | X | | X | | |
| NORTH CAROLINA UC | X | X | X | | | | | | | |
| NORTH DAKOTA PSC | | | | X | X | X | X | | | |
| NOVA SCOTIA PUB | X 24/ | | X | | | X | | X | | |
| OHIO PUC 22/ | | | | | | | | | | X |
| OKLAHOMA CC | X | X | X | | | | | | | |
| ONTARIO EB | | X | | | | | | | | |
| ONTARIO TSC | | | | | | X | X | | | |
| OREGON PUC | | | | X | X | X | X 8/ | | | 14/ |
| PENNSYLVANIA PUC | X | X | X | | | | | | | |
| PUERTO RICO PSC | | | | | X | | | X | | |
| QUEBEC EGB | X | X | | | | | | | | |
| QUEBEC PSB | | | | | | X | X | | | |
| RHODE ISLAND PUC | | | | X | X | X | X | | | |
| SOUTH CAROLINA PSC | X | X | X | | | | | | | |
| SOUTH DAKOTA PUC | | | | X | X | X | X | | X | X |
| TENNESSEE PSC | | | X | X | X | | | | X | |
| TEXAS PUC | X | | X | | | | | | | |

Table 16 - METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part II (Continued)

| AGENCY | The Agency generally prescribes - | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Year-end rate base | | | Average rate base | | | | | | |
| | | | | | | | An average of | | | |
| | Electric | Gas | Telephone | Electric | Gas | Telephone | Beginning and end of year | 12 months average | 13 months average | Other |
| TEXAS RC 17/ | | X | | | | | | | | |
| UTAH PSC | | | | X | X | X | X | | | |
| VERMONT PSB | X 4/ | X 4/ | X 4/ | X 6/ | | X 6/ | X | X | X | X 13/ |
| VIRGIN ISLANDS PSC | 18/ | 19/ | X | | | | | | | |
| VIRGINIA SCC | X | X | X | | | | | | | |
| WASHINGTON UTC | | | | X | X | X | | | | X 10/ |
| WEST VIRGINIA PSC | | | | X | X | X | X | X | X | X 14/ |
| WISCONSIN PSC | | | | X | X | X | | X | | |
| WYOMING PSC | X | 1/ | X | | | | 1/ | 1/ | 1/ | |

Table 16 - METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part II (Continued)

| AGENCY | The method of determining working capital, for rate purposes, generally approved by the Agency. |
|---|---|
| FCC | Lead-lag study has been used, but not exclusively. |
| FERC | Materials and supplies plus prepayments plus 1/8 cash operating expenses exclusive of purchased gas costs plus an allowance for advance payments and research and development expenditures depending on evidence in rate case. The allowance for materials and supplies, prepayments and gas for current delivery from underground storage is determined on the basis of the average of 13 months ending balances - for gas utilities.<br>Average materials and supplies, average prepayments plus cash working capital of 1/8 operation and maintenance expense for electric utilities. |
| ALABAMA PSC | |
| ALASKA PC | Methodology being refined. 12.3% of operating expenses less depreciation. |
| ALASKA PUC | 12-1/2% of operating expenses after eliminating depreciation, amortization and other non-cash outlay expenses. |
| ALBERTA PMC | 1/8 annual cash operating expenses including line pack. |
| ALBERTA PUB | 1/8 annual cash operating expenses (excluding purchased fuel) and income tax plus materials and supplies inventory allowance. |
| ARIZONA CC | 1/24 purchased power, fuel and water, 1/8 remaining operation and maintenance expenses, materials and supplies, prepayments, special deposits. |
| ARKANSAS PSC | 1/8 operating expenses less depreciation, taxes, purchased power and fuel adjustments. |
| CALIFORNIA PUC | Study showing lead or lag in payment of operating expenses to receipt of revenues, plus allowance for other necessary operational cash requirements, plus materials and supplies. |
| COLORADO PUC | 45 days operating expenses, excluding depreciation and taxes, offset by 1/2 accrued property taxes; 15 days purchased power costs if applicable. |
| CONNECTICUT PUC | Electric and gas - for rate making purposes 12-1/2% (1/8 of the utility's operating and maintenance expense is included in the rate base as working capital). |
| DELAWARE PSC | |
| D.C. PSC | Lag study, plus cash. |
| FLORIDA PSC | 45 day lag i.e. 1/8 of operating and maintenance expense less fuel, depreciation and taxes, and purchased power for electric. Also, includes 13-month average of M & S, 24 days purchased gas if applicable, 13-month average fuel inventory, reduced by 20% income tax lag. Use 1/8 formula in gas and telephone, with indications. |
| GEORGIA PSC | Amount in excess of taxes accrued. |
| GUAM PUC | Discretion of the Commission. |
| HAWAII PUC | Lead-lag study or number of days expenses. |
| IDAHO PUC | 1/8 of operating expenses. |
| ILLINOIS CC | That part of the operating expenses not covered by cash accruals and required to be paid by the utility before recovery through rates plus an allowance for materials and supplies. |
| INDIANA PSC | 1/8 of operating and maintenance expenses. |
| IOWA SCC | W.C. is not being allowed on an automatic basis such as 45 days--materials and supplies, cash, working capital, and prepayments less 13 month average accumulated taxes, interest, and preferred dividends. A lead/lag study is normally required. |
| KANSAS SCC | 30 days for telephone and 45 days for other utilities less various tax accruals and advance payments. |
| KENTUCKY ERC & URC | 1/8 of annual out-of-pocket expense less minimum tax. |
| LOUISIANA PSC | Lead-lag study method has been used, but not exclusively. |
| MAINE PUC | Equal to 1/2 the billing period plus 30 days (for collection) and this is generally 45 days. |
| MARYLAND PSC | Material and supplies allowed, CWC supported by lag study. |
| MASSACHUSETTS DPU | Usually use 45 day method (expenses) purchased gas or electricity based on study. Total is offset by property tax accumulated. These taxes now paid twice per year--virtually no accumulation. |
| MICHIGAN PSC | Cash allowance, billing lag, fuel and stored gas, less accrued taxes. |
| MINNESOTA PSC | Lead-lag study or balance sheet method. |
| MISSISSIPPI PSC | Utility allowed 45 days of operating expenses for working capital requirements and then available accrued taxes are offset against this requirement; customers' deposits deducted. |
| MISSOURI PSC | Lead lag study or as an alternative a 45-day allowance of operating expenses for working capital requirements and then available accrued taxes are offset against this requirement. |
| MONTANA PSC | 1/8 of operating expenses, plus materials and supplies. |
| NEBRASKA PSC 16/ | Materials and supplies allowed plus lead-lag study. |
| NEVADA PSC | Not allowed. |
| NEW HAMPSHIRE PUC | Usually the FERC method or cash lag formula. |
| NEW JERSEY BPU | Either use lag study or 1/8 operation and maintenance expenses. |
| NEW MEXICO PSC | 45 days working capital. |
| NEW MEXICO SCC | 1/3 average monthly expenses and 1/3 average monthly taxes. |

Table 16 - METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part II (Continued)

| AGENCY | The method of determining working capital, for rate purposes, generally approved by the Agency. |
|---|---|
| NEW YORK PSC | FERC as modified for utility involved. |
| NORTH CAROLINA UC | Generally determined as follows:<br>1. Gas and electric companies - 45 days or 1/8 of the test period operation and maintenance expenses (excluding purchased power or gas) plus test period balance in material and supplies account. From this amount the average monthly balances of the state and federal income taxes plus prepayments, gross receipts taxes, ad valorem taxes, FICA taxes, and customer deposits are deducted.<br>2. Telephone companies - Same as gas and electric except that 30 days or 1/12 of the test period operation and maintenance expenses is used.<br>3. The larger companies are required to present a comprehensive lead/lag study; recognition may be given its merits and above procedures modified. |
| NORTH DAKOTA PSC | Generally 6 weeks operating expenses excluding depreciation, purchased electricity, or gas and income taxes less the low point of tax accruals. |
| NOVA SCOTIA PUB | Judgment used to determine working capital of small utilities. For larger utilities lead-lag studies used to determine cash working capital with materials and supplies based upon monthly averages. |
| OHIO PUC | Working capital determined by formula approach (which varies with type of utility). |
| OKLAHOMA CC | 1/8 of operating expenses excluding depreciation, taxes, and cost of purchased power or purchased fuel (gas, fuel oil, coal, etc.) offset in (1) electric, gas and water by 1/2 advalorem tax collections and other precollection such as (2) monthly prepayments for local service for telephones, and (3) adjusted by lead-lag study. |
| ONTARIO EB | To date 1/8th of operating and maintenance expenses. Lead-lag studies are being requested. |
| ONTARIO TSC | To date 1/8 of operating expenses less depreciation (under study). |
| OREGON PUC | Lead-lag studies tested by investor supplied studies. |
| PENNSYLVANIA PUC | Allowances are predicted on reasonable revenue expense and payment lag, usually a 45 day period. |
| PUERTO RICO PSC | Lag in revenue receipts vs. lag in payment of expenses. |
| QUEBEC EGB | 46 days of total operating and maintenance expense, plus inventory of materials and supplies equivalent to quantities not exceeding 18 months of usage. |
| QUEBEC PSB | 1/6 of operating expenses (including current taxes and interests but excluding depreciation and deferred taxes). |
| RHODE ISLAND PUC | Lead-lag studies. Also, material and supplies (avg.) 45 days operating and maintenance expense in some cases and deduction is made for tax funds available. |
| SOUTH CAROLINA PSC | Latest Electric Decision - Cash - 1/8 O & M Expenses less purchased power; minimum bank balances, prepayments; less average tax accruals. Lead-lag studies are required when deemed necessary for both Telephone and Electric. For large telephone companies, a fewer number of days are used in computing cash working capital. |
| SOUTH DAKOTA PUC | Lead-lag studies. |
| TENNESSEE PSC | Lead-lag studies. |
| TEXAS PUC | Working capital includes, but not limited to, materials, supplies, fuels, reasonable prepayment of operating expenses and up to 1/8 of total annual operations and maintenance expenses. |
| TEXAS RC 17/ | Generally the working capital allowance is 45 days of operating expenses excluding gas cost, depreciation and taxes, plus an average amount of materials and supplies and prepayment. |
| UTAH PSC | Lead-lag study is preferred, but commission also may use 1/8 of operation and maintenance expenses of electric and gas utilities. For telephone utilities the allowance is either 20 days or 30 days operation and maintenance expenses. |
| VERMONT PSB | Operating cost less depreciation, purchase power, operating taxes, that are paid quarterly or other longer periods such as real estate taxes. This is then divided by the period of recovery, monthly - 45 days 1/8, Bi-monthly 90 days 1/4 - Quarterly 180 days /2. |
| VIRGIN ISLANDS PSC | Short term debt but not current maturities of long term debt. |
| VIRGINIA SCC | 20 days for telephone, 30-40 days for electric and gas. |
| WASHINGTON UTC | Investor supplied approach. |
| WEST VIRGINIA PSC | Cash, working capital, percent of operating expenses, and average material and supplies and prepaid items. |
| WISCONSIN PSC | Experience to date indicates that funds available to the utility due to the lag between collection of revenues and payments of such items as property taxes, income taxes, and other components of operating expenses exceeds working capital requirements. Accordingly, no amount is required in net investment rate base for working capital requirements. Funds available above working capital requirements as indicated above are taken into consideration in translating cost of capital into return applicable to net investment rate base. |
| WYOMING PSC | 45 days working capital and average materials and supplies. |

## Footnotes - Table 16

## METHOD OF RATE BASE DETERMINATION GENERALLY APPLIED - Part II

1/ Both year-end and average; depends upon plant and customer growth and severity of attrition.

2/ The utilities select the method of determining rate base for any consecutive 12-month period.

3/ Use terminal rate base if attrition is present.

4/ Small utilities.

5/ Average rate base has sometimes been used. Revenues and expenses are normalized when year-end rate base is used.

6/ Large utilities.

7/ Can be any of several methods.

8/ Used when monthly data are not available.

9/ The PUC has allowed Public Service Company of Colorado and other electric and gas utilities a year-end rate base.

10/ Twelve months average of montly average.

11/ Twelve months weighted.

12/ There are no gas utilities in Guam.

13/ Five quarters.

14/ Average of monthly averages.

15/ Any twelve month period, not necessarily a calendar year.

16/ No Commission regulation of electric or gas utilities.

17/ No Commission regulation of electric or telephone utilities.

18/ Government owned, operates on net income less operating expenses with sufficient balance to cover debt service. Rate base per se is not considered.

19/ There are no gas utilities in the Virgin Islands.

20/ Case by case.

21/ Method of determining the telephone rate base has yet to be determined.

22/ Rate base determined on selected date certain, usually midpoint of test year.

23/ Only if utility can demonstrate it has experienced extraordinary growth, or if expenses and revenues are stated at year-end levels to provide proper matching.

24/ Year-end rate base is used except largest electric utility commences depreciation when asset placed in service, while telephone utility averages depreciation monthly.

## SECTION D

## OTHER COST OF SERVICE ALLOWANCES

In addition to determining the rate base valuation upon which a utility may earn a rate of return, the various agencies also have jurisdiction over expenses or cost of service items which a utility may or may not be permitted to charge against its operations. The States ~~do~~ not allow political contributions as a component of cost of service. However, most of the States do allow expenditures for economic, trade and professional activities to be included as a cost of service item.

Advertising expenditures, especially promotional advertising, are limited or excluded as a cost of service item by virtually every regulatory authority. Most expenditures of this type, allowed as a cost of service item, are intended to promote conservation or public awareness or to provide public information on the operations of the utility. Tables 17 and 19 in this Section provide information on the types of expenditures in which an agency may or may not allow as a cost of service item. Table 18 outlines the general policy and requirements initiated on behalf of the various agencies to determine which items may be included in a utility's cost of service.

Table 17 - ALLOWABLE CONTRIBUTIONS AND DUES

| AGENCY | In respect to cost of service allowances, the Agency allows contributions and dues payments to the following types of organizations - | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Religious | Charitable | Educational | Civic | Patriotic | Political | Fraternal | Economic Development | Service | Trade | Professional | Promotional | Local, State and National ad hoc fundraising drives |
| FCC 9/ | | | | | | | | | | | | | |
| FERC | X | X | X | X | 1/ | | | 1/ | 1/ | 1/ | 1/ | | 1/ |
| ALABAMA PSC | | X | X | X | X | | | X | | | | X | |
| ALASKA PUC | | X | X | X | X | | | | | X | X | | |
| ALASKA TC | | X | X | X | X | | | | | | | | |
| ALBERTA PUB 7/ | | | | | | | | | | X | X | X | |
| ARIZONA CC | | X | X | X | X | | X | X | X | X | X | X | |
| ARKANSAS PSC 10/ | | | | | | | | | | X | X | | |
| CALIFORNIA PUC | | | | | | | | | | X | X | | |
| COLORADO PUC | | | | | | | | | | X | X1/ | | |
| CONNECTICUT PUC | | | | | | | | X | X | X | X | | |
| DELAWARE PSC | X | X | X | X | X | | | X | | | X | | X |
| D.C. PSC | | | | | | | | X | X | X | X | | |
| FLORIDA PSC | | X | X | X | | | | X | | | X | | X7/ |
| GEORGIA PSC | | | | | | | | X | | X | X | | |
| GUAM PUC | X | X | X | X | X | | | X | X | X | X | | X |
| HAWAII PUC | | | | X | | | | X | X | X | X | | |
| IDAHO PUC | X | X | X | X | X | | | X | X | X | X1/ | X1/ | X |
| ILLINOIS CC | X16/ | X16/ | X16/ | | | | | X | X | X | X | X | |
| INDIANA PSC 12/ | | | | | | | | | | | | | |
| IOWA SCC | | | | | | | | | X | X | X | | |
| KANSAS SCC | X17/ | X17/ | X17/ | X17/ | | | | X17/ | X17/ | X17/ | | | X17/ |
| KENTUCKY ERC & URC 22/ | | | | | | | | | | | | | |
| LOUISIANA PSC | | X | X | X | X | | | X | X | X | X | | |
| MAINE PUC | | | | | | | | | | X | X | | |
| MARYLAND PSC | | | | | | | | X | X | X | X | | |
| MASSACHUSETTS DPU | X | X | X | X | X | | X | X | X | X | X | X | X |
| MICHIGAN PSC | | | | | | | | X3/ | | X | X | | |
| MINNESOTA PSC | | | | | | | | X1/ | | X | X | | 19/ |
| MISSISSIPPI PSC | X | X | X | X | X | | X | X | X | X | X | X | |
| MISSOURI PSC | | | | | | | | X | X | X | X | | |
| MONTANA PSC | X | X | X | X | X | | | X | X | X | X | | X |
| NEBRASKA PSC | | 7/ | | X | | | | | X | X | X | | |
| NEVADA PSC | | | | 4/ | | | | 4/ | X | X | X | 4/ | 4/ |
| NEW HAMPSHIRE PUC | | 9/ | 5/ | | | | | 5/ | 5/ | X | X | | |
| NEW JERSEY BPU | X | X | X | X | X | | X | X | X | X | X | X | X |
| NEW MEXICO PSC | | | | | | | | | | | | | |
| NEW MEXICO SCC | X | X | X | X | X | | | | X | | X | | |
| NEW YORK PSC | | 6/ | 6/ | 6/ | | | | X | X | X | X | X | X |
| NORTH CAROLINA UC | | 7/ | 7/ | 7/ | | | | 7/ | 7/ | 7/ | 7/ | 7/ | |
| NORTH DAKOTA PSC | | | | | | | | X | | X | X | X | |
| NOVA SCOTIA PUB | | 2/ | 2/ | | | | | | 2/ | 2/ | 2/ | 2/ | |
| OHIO PUC | 20/ | 20/ | 20/ | 20/ | | | | 20/ | 20/ | 20/ | 20/ | | |
| OKLAHOMA CC 11/ | | | | | | | | X | | X | X | | |
| ONTARIO EB 18/ | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | X | | X | X | 3/ | |
| OREGON PUC | | | | | | | | | | X | X | | |
| PENNSYLVANIA PUC | | | | | | | | X | | X | X | | |
| PUERTO RICO PSC | | | | | | | | | X | X | X | X | |
| QUEBEC EGB 15/ | X | X | X | X | X | | X | X | X | X | X | | X |
| QUEBEC PSB | X | X | X | X | | | | X | X | X | X | X | |
| RHODE ISLAND PUC | | | | | | | | | | X | X | | 7/ |
| SOUTH CAROLINA PSC | | | | | | | | | | X | X | | |
| SOUTH DAKOTA PUC | | | | | | | | | X | X | X | | |
| TENNESSEE PSC 15/ | | X | X | X | X | | | X | X | X | X | X | |
| TEXAS PUC 13/ | | 1/ | 1/ | 1/ | | | | 1/ | 1/ | X | X | | |
| TEXAS RC 21/ | | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | X | X | | |
| VERMONT PSB | | X | X | X | X | | | X | X | X | X | X4/ | X5/ |
| VIRGIN ISLANDS PSC | X | X | X | X | X | | X | X | X | X | X | X | X |
| VIRGINIA SCC | X | X | X | X | | | | X | | X | X | | |
| WASHINGTON UTC 8/ | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | | | | | | | | | X1/ | X | X | X | |
| WISCONSIN PSC | | | | 1/ | | | | 1/ | X | X | X | 1/ | |
| WYOMING PSC 14/ | | | | | | | | | | | | | |

1/ Allowance would depend on specific nature of activity to be funded.
2/ No firm policy.
3/ Allowed if it promotes utility growth, but burden of proof of this rests with the utility.
4/ Conditional.
5/ Sometimes.
6/ The level of contributions during the period when contributions were not allowed serves as a benchmark for the future.
7/ Handled on an individual case basis.
8/ Current cases--none allowed.
9/ Reasonable amounts allowed.
10/ All contributions and dues are at issue.
11/ Justification for inclusion in cost of service placed on utility.
12/ The PSC has eliminated certain charitable contributions in recent rate cases, only advertising producing material benefits to customers allowed. Utility has burden of proving material benefit. The PSC may issue declaration of compliance regarding proposed advertising. The PSC normally allows any above the line items as enumerated by the system of accounts.
13/ Actual expenditure for ordinary advertising contributions and donations is allowed as cost of service up to .3% of operating revenues. Specific exclusion may be made.
14/ None allowed.
15/ Reasonable amounts of the items indicated.
16/ Ill. Revised Stats., Ch. 111 2/3, Sec. 41. "...for the public welfare or for charitable, scientific, religious or educational purposes, providing that such donations are reasonable in amount."
17/ All such expenses are subject to removal from the cost of service. Applicant has the responsibility to establish that the ratepayer will be benefited as opposed to the stockholder.
18/ Charitable donations disallowed. Other items considered on basis of prudent expenses.
19/ Only 50% of qualified contributions shall be allowed as operating expense. MS 216B.9.
20/ Contributions to Ohio organizations are permitted in cost of service not to exceed 1/10 of 1% of operating revenues. Dues are handled on an individual case basis.
21/ Charitable contributions not allowed: dues allowed if reasonable.
22/ The Commissions do not allow charitable contributions and only allow advertising producing material benefits for the ratepayers. The Commissions would normally allow any above the line items as specified by the system of accounts.

Table 18 - AGENCY POLICY WITH RESPECT TO CONTRIBUTIONS AND DUES

| AGENCY | Agency policy with respect to including contributions and dues payments as an allowance in cost of service including requirements for itemization of expenditures for contributions and dues payments - |
|---|---|
| FCC | Reasonable amounts allowed. |
| FERC | Varies depending on circumstances and evidence in the rate case. Charitable donations are allowed, other contributions and dues payments are allowed to the extent that they are reasonable and legitimate. |
| ALABAMA PSC | Must be itemized. Each case is decided on its own merit. |
| ALASKA PUC | Contributions are treated below the line; treatment of dues payments varies. |
| ALBERTA PUB | Each case decided on its own merit. |
| ARIZONA CC | The Commission in recent cases has not allowed all of the items, only those related to the trade. Some charitable contributions and donations are not allowed as operating expenses. |
| ARKANSAS PSC | If it can be determined that these benefit the subscriber or customer. |
| CALIFORNIA PUC | Policy is to allow dues payments that are directly beneficial to the ratepayer. |
| COLORADO PUC | Permitted only if benefit to consumer is evident. |
| CONNECTICUT PUC | None. |
| DELAWARE PSC | Contributions and dues must be itemized. Each case decided on its own merit. |
| D.C. PSC | No formal policy - details are available through audit. |
| FLORIDA PSC | Items recorded below the line as per USA; a reasonable portion might be transferred above the line for rate case purposes, i.e., those contributions which benefit the utilities service area; detailed itemization required in suggested rate case supporting data. |
| GEORGIA PSC | Full details by utility subject to review as to their reasonableness by the Commission. |
| GUAM PUC | None. |
| HAWAII PUC | Contributions and dues payments are handled on an individual case basis. |
| IDAHO PUC | None. |
| ILLINOIS CC | Contributions are allowed if they are kept within reasonable bounds. The Commission does not require utilities to itemize contributions by categories, but such expenses are scrutinized during rate cases. |
| INDIANA PSC | The PSC has eliminated certain charitable contributions in recent rate cases; only advertising producing material benefits to customers allowed. Utility has burden of proving material benefit. The PSC may issue declaration of compliance regarding proposed advertising. The PSC normally allows any above the line items as enumerated by the system of accounts. |
| IOWA SCC | Amounts charged to FERC account 426 are generally not included in cost of service of any company filing. |
| KANSAS SCC | A Kansas Supreme Court decision requires allowance of reasonable amounts but they must be scrutinized. |
| KENTUCKY ERC and URC | Must be justified by item of expenditure. |
| LOUISIANA PSC | Contributions to local organizations and subscriptions and dues to trade or professional organizations are allowed. Expenses are to be itemized. |
| MAINE PUC | Itemization not required on books of account. |
| MARYLAND PSC | Charitable contributions not allowed. |
| MASSACHUSETTS DPU | Attempted to disallow "image" advertising and charitable contributions and was overruled in court decision. |
| MICHIGAN PSC | Itemized as required by FERC and FCC report forms, but the Commission makes an independent audit for rate cases. Our criterion generally is what is the benefit to the ratepayer. |
| MINNESOTA PSC | Only 50% of qualified contributions shall be allowed. |
| MISSISSIPPI PSC | Certain dues were disallowed by Commission, but were reversed and allowed by State Supreme Court. |
| MISSOURI PSC | Contributions and dues must be business related expenses. |
| MONTANA PSC | Reasonable contributions; anything over $500 itemized. |
| NEBRASKA PSC | Each case decided on its own merits. |
| NEVADA PSC | Developed through audit. |
| NEW HAMPSHIRE PUC | When authorized, amounts must always be reasonable and not disproportionate. Any amount contributed must be disclosed along with the name of organization receiving contribution. Subject to continuing Commission review. |
| NEW JERSEY BPU | None. |
| NEW MEXICO PSC | None. |
| NEW MEXICO SCC | None. |
| NEW YORK PSC | Commission will allow contributions for charitable, social and community welfare programs when the company has exercised prudence both as to recipients and amounts. The level of contributions during the period when contributions are not allowed in the cost of service, will serve as a benchmark for the future. |
| NORTH CAROLINA UC | Must be itemized. Each case is decided on its own merit. |

Table 18 - AGENCY POLICY WITH RESPECT TO CONTRIBUTIONS AND DUES (Continued)

| AGENCY | Agency policy with respect to including contributions and dues payments as an allowance in cost of service including requirements for itemization of expenditures for contributions and dues payments - |
|---|---|
| NORTH DAKOTA PSC | Allow only those contributions directly related to the utility. |
| NOVA SCOTIA PUB | No firm policy. |
| OHIO PUC | Complete itemization of major expenditures is required. Contributions to Ohio organizations are permitted in cost of service not to exceed 1/10 of 1% of operating revenues. Dues are handled on an individual case basis. |
| OKLAHOMA CC | An Oklahoma Supreme Court decision holds that contribution on an item be disallowed. Dues are scrutinized - burden on utility to prove benefits. |
| ONTARIO EB | Charitable donations are disallowed. However, trade dues, promotional expenses, etc. are allowed if prudently incurred. |
| ONTARIO TSC | Judgment factor used as to amount and purpose. |
| OREGON PUC | Payments to organizations related to the utility industry are approved. |
| PENNSYLVANIA PUC | Generally, any charitable contributions are disallowed for rate making purposes. |
| PUERTO RICO PSC | None. |
| QUEBEC EGB | No formal policy. Contributions are generally allowed in cost of service. Amounts must be reasonable. |
| QUEBEC PSB | The amounts must be reasonable before they are allowed. |
| RHODE ISLAND PUC | Generally, all charitable contributions are disallowed for rate making purposes. |
| SOUTH CAROLINA PSC | None. |
| SOUTH DAKOTA PUC | Charitable contributions not allowed. |
| TENNESSEE PSC | The amounts must be reasonable before they are allowed. |
| TEXAS PUC | All payments must be itemized and are subject to review. |
| TEXAS RC | Charitable contributions not allowed; dues allowed if reasonable. |
| UTAH PSC | Contributions for charitable, social or community welfare purposes are included in Account 426, Other Income Deductions. |
| VERMONT PSB | Judgment factor as to amount and purpose of donation. |
| VIRGIN ISLANDS PSC | None. |
| VIRGINIA SCC | Generally allowed as expenses when they are to organizations located or active in service area of utility. |
| WASHINGTON UTC | Current cases--none allowed. |
| WEST VIRGINIA PSC | None. |
| WISCONSIN PSC | Civic, economic development, service, trade, professional and promotional contributions and dues generally allowed. Facts and circumstances of individual cases may result in alternate approach. |
| WYOMING PSC | None Allowed. |

## Table 18 - MISCELLANEOUS COST OF SERVICE ALLOWANCES

| AGENCY | In respect to cost of service allowances, the Agency - Limits (L) or Excludes (E) | | | | | |
|---|---|---|---|---|---|---|
| | Advertising Expenditures | Advertising expenditures including advertising of special services | Institutional Advertising | "Goodwill" Advertising | Sales promotion expenses | POLICY |
| FCC | L | L | L | L | L | An issue in Docket No. 19129 - heretofore reasonable amounts were included as a cost of service. |
| FERC | L | L | L | L | L | All reasonable expenses allowed depending on evidence in rate case. |
| ALABAMA PSC | L | L | L | L | L | None. |
| ALASKA PUC | | | | | | Allowed to the extent considered to be reasonable in the circumstances. |
| ALBERTA PUB | L | L | L | L | L | Advertising expense subject to evaluation, allowed to extent considered reasonable. |
| ARIZONA CC | L | L | L | E | L | None. |
| ARKANSAS PSC 5/ | L | L | L | E | L | If it can be determined that these benefit the subscriber or customer. |
| CALIFORNIA PUC | L | L | E | E | 24/ | Allow advertising expense that is of benefit to the rate payer using the service. |
| COLORADO PUC | L | L | E | E | E | Permitted only if benefit to consumer is evident. |
| CONNECTICUT PUC | L | E | E | E | E | None. |
| DELAWARE PSC | | | | | E | Case by case for allowance for ratemaking. |
| D.C. PSC | 16/ | 16/ | 16/ | 16/ | 16/ | Reasonable expenditures. |
| FLORIDA PSC | L | L | E | E | E | Allowed in reasonable amounts on a case by case basis. |
| GEORGIA PSC | L | L | L | L | L | Full details are furnished by utility, subject to review as to their reasonableness by Commission. |
| GUAM PUC | | | | | | None. |
| HAWAII PUC | L | L | L | L | L | Case by case basis for allowance for ratemaking. |
| IDAHO PUC | L | L | L | L | L25/ | Reasonable amounts allowed. |
| ILLINOIS CC | L | L | L | L | L | Utility must justify on a program by program basis for inclusion as a cost of service item. |
| INDIANA PSC 12/ | | | | | | |
| IOWA SCC | L | L | E | E | L | Commission decision on U-463 issued 1/29/75 prescribes below the line accounting treatment of institutional good will and promotional advertising expenses. These items normally will not be included as a cost of service expense unless benefits can be established. |
| KANSAS SCC | L | L | L | E | E | Specific items must be fully excluded and percentage exclusions when the amount appears unduly high. |
| KENTUCKY ERC & URC | L | L | E | E | E | Based on savings or reduced rates to utility and its customers. |
| LOUISIANA PSC | L | L | L | E | E | Amount expended for advertising is allowed providing the Commission deems they are not exorbitant and are beneficial to consumers. |
| MAINE PUC | L | L | E | E | E | Reasonableness. |
| MARYLAND PSC | E | E | E | E | E | Promotion expenditures by utilities (electric and gas) severely limited. |
| MASSACHUSETTS DPU | L | L | L | | 19/ | |
| MICHIGAN PSC | L | L | E | E | E | Advertising for appliances or gratuity advertising excluded. |
| MINNESOTA PSC 18/ | | | | | | |
| MISSISSIPPI PSC | L&E | L&E | L&E | L&E | L&E | Commission is currently studying these with view of controlling amount. |
| MISSOURI PSC | L | L&E | L&E | L&E | E | Advertising expense examined for compliance with 4 CSR 240-14 delineating illegal promotional practices. |
| MONTANA PSC | L | L | E | E | E | Reasonableness. |
| NEBRASKA PSC | L | L | L | L | L | Review propriety of expenditures. |
| NEVADA PSC | | | | | | Policy developed through rate case presentation. |
| NEW HAMPSHIRE PUC | L | L | L | E | E | Only those amounts which are deemed reasonable. |
| NEW JERSEY BPU | L | L | L | L | E | None. |
| NEW MEXICO PSC | L | L | L | E | E | |
| NEW MEXICO SCC | | | | | | None. |
| NEW YORK PSC | L | L | L | L | L | All such expenditures are reviewed for reasonableness. The total allowed for informational and goodwill advertising is limited to a percentage (within a specific range) of operating revenues. When supply or service problems exist, Commission has adopted rules specifying impermissible activities. |
| NORTH CAROLINA UC | L | L | L | L | L | For electric and gas companies, only institutional goodwill and conservation advertising are permitted in the cost of service. For telephone companies, these type expenditures are included in operating expenses only if they are found to be reasonable. |
| NORTH DAKOTA PSC | L | L | E | E | L | Allow expenditures which result in conserving consumption as customer education. |
| NOVA SCOTIA PUB | L | L | L | L | L | Allowed in reasonable amounts. |
| OHIO PUC | L | E | L | L | E | Advertising associated with conservation and public information is considered for inclusion in cost of service. Institutional and goodwill advertising are permitted to a maximum of 2/10 of 1% of operating revenues; sales promotion and political advertising are excluded. Advertising for special services other than utility service is also excluded. |
| OKLAHOMA CC | L | L | L | L | E | The Oklahoma Supreme Court decision places burden of necessity on utility. |
| ONTARIO EB | L | L | L | L | L | |
| ONTARIO TSC | L | L | L | E | L | |
| OREGON PUC | L | L | E | E | E | Allowed to the extent of conservation advertising. |
| PENNSYLVANIA PUC | L | L | L | L | L | Issued a stringent policy on sales and promotional practices, Order dated 9/28/70. |
| PUERTO RICO PSC | L | L | L | L | L | None. |
| QUEBEC EGB | L | L | L | L | L | Allowed in reasonable amounts. |
| QUEBEC PSB | L | L | L | L | L | Allowed in reasonable amounts, and subject to limitation or exclusion if not reasonable. |
| RHODE ISLAND PUC | L | L | L | L | L | Commission controls by total dollars spent in relation to size of company. |
| SOUTH CAROLINA PSC | L | L | E | E | L | Only that portion of institutional advertising dealing with energy conservation was allowed in most recent electric case. |
| SOUTH DAKOTA PUC | L | L | E | E | [illegible] | The Commission evaluates the reasonable cost of an advertising program as a whole in the context of a rate case. |
| TENNESSEE PSC | L | L | L | L | L | Has not been a major issue in any recent rate case. |
| TEXAS PUC | L | L | L | L | E | Each expenditure subject to review. |
| TEXAS RC | L&E | L&E | L&E | E | E | Will limit or exclude if not reasonable. |
| UTAH PSC | L | L | L | | L | In two recent orders, disallowed as an expense for rate purposes the sales promotion and advertising expenditures in excess of those incurred in a prior period. |
| VERMONT PSB | L | L | L | E | E | General Order limits company to advertisements of items that conserve energy, protect equipment, etc. |
| VIRGIN ISLANDS PSC | 3/ | 3/ | 3/ | 3/ | 3/ | None. |
| VIRGINIA SCC | L | L | L | L | | Generally allow advertising and promotional costs when benefits more than offset costs. |
| WASHINGTON UTC | | | | | | Allowed if reasonable and not adverse to any conservation program. |
| WEST VIRGINIA PSC | | | | | | These items are subject to revaluation in a rate hearing. |
| WISCONSIN PSC | L | L | E | E | E | None. |
| WYOMING PSC | L | L | L | L | L | Examines propriety on case by case basis. |

Table [illegible] - MISCELLANEOUS COST OF SERVICE ALLOWANCES (Continued)

| AGENCY | In respect to cost of service allowances, the Agency - Allows advertising and other expenditures of regulated companies in connection with local referenda campaigns involving: | | | | Disallows all revenue and expense items in connection with appliance merchandising | Allows pollution abatement expenses | Allows the cost of financing interventions as a component of cost of service |
|---|---|---|---|---|---|---|---|
| | Public ownership of utilities | Public utility franchise and tax matters | Questions regarding public utility regulations | Policy | | | |
| FCC | | | | No experience or decisions in this area. | | 2/ | |
| FERC | | | | Usually not applicable to natural gas companies. Such expenditures have not been shown to be a reasonably necessary cost of providing wholesale electric service for resale. | X | X | X |
| ALABAMA PSC | | | | None. | X | X | |
| ALASKA PUC | | | | None. | X | | |
| ALBERTA PUB | 2/ | 2/ | 2/ | None. | | X | 23/ |
| ARIZONA CC | | | | No expenditures relative to political issues. | X | X | X |
| ARKANSAS PSC | X | X | X | If it can be determined that these benefit the subscriber or customer. | X | X | X |
| CALIFORNIA PUC | | | | No allowance for expenditures relating to political issues. | X | X | X6/ |
| COLORADO PUC | | X | X | None. | X | X | X |
| CONNECTICUT PUC | | | | None | | X | X |
| DELAWARE PSC | | | | None. | | | |
| D.C. PSC | | | | None. | X | X | |
| FLORIDA PSC | | | | All political donations and lobbying expenses disallowed. | X8/ | X | X |
| GEORGIA PSC | | | | None. | X | X | X |
| GUAM PUC | | | | None. | | X | |
| HAWAII PUC | | | | Have not faced this problem. Generally, if it is to influence public opinion advertising would be disallowed. | 9/ | X | |
| IDAHO PUC | X | X | 16/ | None. | 10/ | X | |
| ILLINOIS CC | X | X | X | Have not had a problem of excess expenditures in this category. | X11/ | X | |
| INDIANA PSC 12/ | | | | | | | |
| IOWA SCC | | X | | Limited by Commission in Order in U-461. | X | X | X15/ |
| KANSAS SCC | 2/ | 13/ | 2/ | Allows pollution abatement expenses. None of these before us in recent years. Commission requires municipal franchise taxes to be shown separately on billings. | X | | 2/ |
| KENTUCKY ERC & URC | | | | Only allowed when present customers are benefited. | 14/ | X | X |
| LOUISIANA PSC | | | | Do not disallow any advertising that will be in public interest. | X | X | |
| MAINE PUC | | | | None. | X | X | 18/ |
| MARYLAND PSC | | | | None. | X | X | |
| MASSACHUSETTS DPU | | | | Presently questioning advertising in this area. | X | X | X |
| MICHIGAN PSC | | | | Disallowed. | X | X | 17/ |
| MINNESOTA PSC 18/ | | | | | | | |
| MISSISSIPPI PSC | X | X | X | None. | X | 2/ | 2/ |
| MISSOURI PSC | X | X | X | Advertising expenses examined for compliance with 4 CSR 240-14. | X | X | |
| MONTANA PSC | | | X | Reasonableness. | X | X | X |
| NEBRASKA PSC | | | | Exclude. | | | |
| NEVADA PSC | | | | Disallowed. | 20/ | X | |
| NEW HAMPSHIRE PUC | | | | Do not allow, must be deducted in retained earnings account. | 21/ | X | X |
| NEW JERSEY BPU | | | X | None. Currently under investigation. | X | X | X |
| NEW MEXICO PSC | | | | No experience during rate hearings on test year expenses. Little experience, but no limitations outside test year. | X | X | X |
| NEW MEXICO SCC | | | | None. | | | |
| NEW YORK PSC | X | X | X | Such expenditures are classified as below the line and have been treated so in rate cases. | X | X | X |
| NORTH CAROLINA UC | | | | These type expenditures are examined for their reasonableness and unless found to be unreasonable are allowed in the over-all cost of service. | X | X | |
| NORTH DAKOTA PSC | | | | Stockholder expense. | 16/ | X | X |
| NOVA SCOTIA PUB | | | | None. | X | X | X |
| OHIO PUC | | | | Excluded from cost of service. | 22/ | X | |
| OKLAHOMA CC | X | X | X | None. | X | | |
| ONTARIO EB | | | | No experience or decisions in this area. | | | |
| ONTARIO TSC | | | | Excludes. | | | |
| OREGON PUC | | | | Stockholders expense. | X | X | |
| PENNSYLVANIA PUC | X | X | X | For major utilities, advertising expenses are reviewed semi-annually regardless of the direction of advertising. | 4/ | X | X |
| PUERTO RICO PSC | | | | None. | X | X | |
| QUEBEC EGB | | | | No decision in this area. | | | |
| QUEBEC PSB | X | X | X | Allowed in cost of service if it is not politically oriented. | | | X |
| RHODE ISLAND PUC | | | | Not allowed in cost of service. | X | X | X |
| SOUTH CAROLINA PSC | | | | Determination never made by this Commission. | X | X | X |
| SOUTH DAKOTA PUC | | | | None. | | | |
| TENNESSEE PSC | | | | None. | 16/ | | |
| TEXAS PUC | | | | Not allowed. | | X | |
| TEXAS RC | | | | Never a question before the Commission. | 1/ | | X |
| UTAH PSC | | | | Never a question before the Commission. | X | X | |
| VERMONT PSB | | | | None. | X | X | X |
| VIRGIN ISLANDS PSC | X | X | X | None. | | X | |
| VIRGINIA SCC | | | | None. | | X | |
| WASHINGTON UTC | | | | None. | X | X | |
| WEST VIRGINIA PSC | | | | Never a question before this Commission. | X | X | |
| WISCONSIN PSC | | | | None. | X | X | X |
| WYOMING PSC | | | | Politically oriented advertising is disallowed, other is scrutinized. | X | X | 7/ |

## Footnotes - Table 19 - Miscellaneous Cost of Service Allowances

1/ Allowed if reasonable.

2/ No experience or decisions in this area.

3/ Not limited or excluded.

4/ The revenues and associated expenses from this function are included above the line.

5/ All advertising expenditures are currently at issue.

6/ For a utility intervening in a rate case in which its rates may be affected.

7/ Must be shown to be necessary and in the best interests of the utility's users.

8/ Also disallow appliance repair operations connected thereto including related materials and supplies.

9/ Included as part of cost of service in service area where appliance merchandising was limited by other businesses.

10/ Income and expenses from appliance merchandising included in the income statement, but only one gas utility has such items.

11/ The Commission has both allowed and disallowed merchandising. If merchandising results in a lesser return than the return authorized for utility service, merchandising is normally excluded.

12/ The PSC has eliminated certain charitable contributions in recent rate cases. Only advertising producing "material benefit" to customers is allowed. The utility has the burden of proving material benefit. The PSC may issue declaration of compliance regarding proposed advertising.

13/ Very limited allowances.

14/ May be treated in some cases as utility income.

15/ Yes, if referring to regulatory expenses.

16/ Case by case determination.

17/ Intervenors pay own expenses.

18/ The Commission may allow advertising only to the extent that it encourages conservation, promotes safety or informs customers of financial services of utilities to its customers.

19/ Unamortized promotion allowances for which the cost was incurred in earlier years are allowed.

20/ Handled on an individual basis.

21/ Must show profit, loss is deducted from retained earnings.

22/ In rate cases they are eliminated from pro-forma income statement.

23/ Amortized portions only.

24/ Advertising for telephone utilities limited, but permitted energy utilities advertising excluded.

25/ Gas promotion only.

# SECTION E

## DETERMINATION OF DEPRECIATION POLICIES AND PRACTICES

In addition to determining the treatment of accrued depreciation, which was included in Section C, the various regulatory agencies may also prescribe specific depreciation rates for certain classes of utility property. Most agencies also require depreciation rate studies to be performed by the utilities to determine the proper rate of depreciation to be charged. Table 21, within this Section, summarizes the specific depreciation rates established for the utilities by the regulatory agencies.

Table [illegible] - DEPRECIATION POLICIES AND PRACTICES

| AGENCY | The Agency has authority to - | | | | Depreciation method prescribed | | | |
|---|---|---|---|---|---|---|---|---|
| | Prescribe depreciation method | Prescribe specific depreciation rates for various classes of property | | Require depreciation rate studies | Straight line | Straight line remaining life | Interest | Other |
| | | Rate making | General accounting purposes | | | | | |
| FCC | X | X | X | X | X | | | |
| FERC | X 1/ | X | X | X 5/ | X 17/ | | | |
| ALABAMA PSC | X | X | X | X | X | | | |
| ALASKA PC | X | X | X | X | X 16/ | | | |
| ALASKA PUC | X | X | X | X | X | | | |
| ALBERTA PMC | X | X | | X | X | | | 4/ |
| ALBERTA PUB | X | X | | X 5/ | X | | | X 18/ |
| ARIZONA CC | X | X | X | X | X | | | |
| ARKANSAS PSC | X | X | X | X | X | X 19/ | | X 20/ |
| CALIFORNIA PUC | X | X | X | X | | X | | |
| COLORADO PUC | X | X | X | X | X | | | |
| CONNECTICUT PUC | X | | | X | | | | |
| DELAWARE PSC | X | X | X | X | | 19/ | | |
| D.C. PSC | X | X | X | X | X | X | | 14/ |
| FLORIDA PSC | X | X | X | X | X | | | |
| GEORGIA PSC | X | X | X | X | X | X | | |
| GUAM PUC | X | X | X | X | X | | | |
| HAWAII PUC | X | X | X | X | X | X | | |
| IDAHO PUC | X | X | X | X | X | | | X 21/ |
| ILLINOIS CC | X | X | X | X | | X 3/ | | |
| INDIANA PSC | X | X | X | X | X | | | |
| IOWA SCC | X | X | X | X | X | X | | |
| KANSAS SCC | X | X | X | X | X | | | |
| KENTUCKY ERC & URC | X | X | X | X 5/ | | | | |
| LOUISIANA PSC | X | X | | X | X | | | |
| MAINE PUC | X | X | X | X 5/ | X | | | |
| MARYLAND PSC | X | X | X | X | X 7/ | | | |
| MASSACHUSETTS DPU | X | X | X | X | X | | | |
| MICHIGAN PSC | X | X | X | X | X | X 11/ | | |
| MINNESOTA PSC | X | X | X | X | X | | | |
| MISSISSIPPI PSC | X | | | X 6/ | X | | | |
| MISSOURI PSC | X | X | X | X | X | | | |
| MONTANA PSC | X | X | X | X | X | | | |
| NEBRASKA PSC | X | X | X | X | X | | | |
| NEVADA PSC | X | X | X | X | X | | | |
| NEW HAMPSHIRE PUC | X | X | X | X | X | | | |
| NEW JERSEY BPU | X | X | X | X | X 8/ | | | |
| NEW MEXICO PSC | X | X | X | X | | X | | |
| NEW MEXICO SCC | X | | X | X | X | | | |
| NEW YORK PSC | X 15/ | X | | X | X 15/ | | | |
| NORTH CAROLINA UC | X | X | X | X | X | | | |
| NORTH DAKOTA PSC | X | X | X | X | | | | |
| NOVA SCOTIA PUB | X | X | X | X | X | | | |
| OHIO PUC | X | X | X | X | X | | | X 22/ |
| OKLAHOMA CC | X | X | X | X | X | | | |
| ONTARIO EB | X | X | X | X | X | X | | |
| ONTARIO TSC | X | X | | X | X | | | |
| OREGON PUC | X | X | X | X | X | X | | |
| PENNSYLVANIA PUC | X | | | X | 9/ | | | |
| PUERTO RICO PSC | X | X | X | X | X | | | |
| QUEBEC EGB | X | X | X | X | X | 2/ | | |
| QUEBEC PSB | X | X | X | X | X | X | | |
| RHODE ISLAND PUC | X | X | X | X | X | | | |
| SOUTH CAROLINA PSC | X | X | X | X | X | | | |
| SOUTH DAKOTA PUC | X | X | X | X | X | | | |
| TENNESSEE PSC | X | X | X | X | X | | | |
| TEXAS PUC | X | X | X | X | | X | | |
| TEXAS RC | X | X | X | X | X | | | |
| UTAH PSC | X | X | X | X | X | X | 12/ | |
| VERMONT PSB | X | X | X | X | X | | X | |
| VIRGIN ISLANDS PSC | X | X | X | X | X | | | |
| VIRGINIA SCC | X | X | X | X | X | | | |
| WASHINGTON UTC | X | X | X | X | X | | | |
| WEST VIRGINIA PSC | X | | | X | X | | | |
| WISCONSIN PSC | X | X | X | X | X | | | |
| WYOMING PSC | X | X | X | X | X | | 13/ | |

## Footnotes - Table 20 - DEPRECIATION POLICIES AND PRACTICES

1/ Authority exists to prescribe method of calculation of depreciation but generally is not exercised.
2/ Under consideration.
3/ Some telephone utility rates are based on remaining life.
4/ Other method may be submitted for approval.
5/ Even though the agency has the authority, the utilities generally perform or have depreciation studies made, subject to approval of the agency.
6/ In case of Bell System only.
7/ Encouraged.
8/ Although we would have the authority to do so, we normally do not order a utility to use a specific method. They do, however, usually employ straight-line depreciation.
9/ Reserve requirement utilizing straight-line depreciation in formal rate case proceedings.
10/ Generally reviews and approves method in effect.
11/ Remaining Life basis for electric, gas and water utilities; Average Service Life basis for telephone.
12/ Interest method prescribed for one utility for only a part of its property.
13/ Has been allowed in the past. No Wyoming utility now uses this method.
14/ Average Service Life basis for telephone.
15/ Applies only to telephone and telegraph utilities.
16/ Methodology being refined.
17/ Electric utilities and licensees are encouraged to use this method although, in limited instances, certain other methods have been accepted.
18/ Equal life group. In some cases has allowed municipal debenture payments in lieu of depreciation when debenture relates solely to utility assets.
19/ Acceptable.
20/ Equal life group--under consideration.
21/ Five percent present value licensed hydro.
22/ Units of Production Method approved for one electric nuclear generating unit.

Table 21 - SPECIFIC DEPRECIATION RATES ESTABLISHED BY MAJOR CLASSES OF PROPERTY

| AGENCY | Summary statement of specific rates established by major classes of property either for general application or in recent cases. |
|---|---|
| FCC | Specific rates are prescribed by major classes of property for each large company. These data are available at the FCC. |
| FERC | Commission has discretionary authority to prescribe all depreciation rates for accounting purposes. For gas pipeline companies, Commission determines depreciation levels as part of ratemaking proceedings. Each utility is treated independently on evaluating depreciation requirements. |
| ALABAMA PSC | Not available. |
| ALASKA PUC | Not available. |
| ALBERTA PUB | Each case examined on its own merits. |
| ARIZONA CC | No prescriptions made. |
| ARKANSAS PSC | Depreciation rate changes are initiated either by a company request or Commission staff motion. Either straight line or SLRL methods are acceptable. |
| CALIFORNIA PUC | Rates developed by each plant account classification. For Pacific Telephone Co. and Continental Telephone Co. of California, used SLRL for ratemaking, accounted for by memorandum records; and straight line (total life) for corporate books. |
| COLORADO PUC | No prescription made. |
| CONNECTICUT PUC | None. |
| DELAWARE PSC | None. |
| D.C. PSC | For telephone, annual rates of depreciation as set forth in order, "Estimated Average Age Service Life". For gas, same as electric, except (not including transportation and power operated equipment) a composite rate per annum to be determined at the beginning of each calendar year by the application of the individual annual accrual rates as set forth in order to depreciate the property of the company as of the close of the preceding calendar year. |
| FLORIDA PSC | Rates and components developed for each plant account. |
| GEORGIA PSC | Electric composite range 3% to 3-½%, gas composite range 2-½% to 3.5%, telephone composite range 4% to 6%. |
| GUAM PUC | None. |
| HAWAII PUC | Depreciation rates developed by each plant account classification. |
| IDAHO PUC | Not available. |
| ILLINOIS CC | A composite rate based upon life expectancy of major classes of plant, subject to regular review. |
| INDIANA PSC | Upon request of specific company. |
| IOWA SCC | Commission in the past has not prescribed or determined depreciation rates to be used for book purposes. It has questioned rates used during rate proceedings in conjunction with staff proposed reserve deficiencies. |
| KANSAS SCC | Utilities file proposed changes in rates for review and approval, usually by separate primary accounts. At times depreciation rate changes are made during rate cases. |
| KENTUCKY ERC & URC | Book depreciation used for rate purposes except where books are at variance with facts. |
| LOUISIANA PSC | No general prescription--on a case-to-case basis. |
| MAINE PUC | Not available. |
| MARYLAND PSC | Companies are studied on an individual basis; water and sewerage companies primarily based on national average. |
| MASSACHUSETTS DPU | Up to now has only determined if rate appears reasonable. Depreciation studies prescribed in major rate cases. |
| MICHIGAN PSC | The Commission periodically issues orders for each utility under its jurisdiction. |
| MINNESOTA PSC | Utilities file proposed rates by primary account in regularly scheduled depreciation studies which the Commission reviews for reasonableness. |
| MISSISSIPPI PSC | Composite rates are used in rate cases which are the results of specific rates used. In the case of Bell Systems, specific rates are prescribed in joint meetings of State, Company, and FCC. |
| MISSOURI PSC | Depreciation rates are prescribed by primary plant account for various utility companies. This data is available at the Missouri PSC. |
| MONTANA PSC | Utilities file proposed changes in rates for review and approval, usually by separate primary accounts. At times depreciation rate changes are made during rate cases. |
| NEBRASKA PSC | Each case decided on its own merits. |
| NEVADA PSC | None. |
| NEW HAMPSHIRE PUC | Each utility treated independently. |
| NEW JERSEY BPU | We would have authority to prescribe but do not do so. We treat each utility independently. |
| NEW MEXICO PSC | Rates developed by each plant account classification. |
| NEW MEXICO SCC | No prescription made. |
| NEW YORK PSC | Straight line group method employed by Commission for ratemaking purposes. |
| NORTH CAROLINA UC | Revised rates based on depreciation studies have been developed for use in rate cases and for general application for the major utilities. |
| NORTH DAKOTA PSC | No general prescription - on a case-to-case basis. |
| NOVA SCOTIA PUB | Utilities file proposed changes in depreciation rates for review and approval by Board. |
| OHIO PUC | Rates by accounts are established for each company or rates used, if found acceptable, are approved. |
| OKLAHOMA CC | None. |

Table 21 - SPECIFIC DEPRECIATION RATES ESTABLISHED BY MAJOR CLASSES OF PROPERTY (Continued)

| AGENCY | Summary statement of specific rates established by major classes of property either for general application or in recent cases. |
|---|---|
| ONTARIO EB | Specific rates are prescribed by major classes of gas utility property for each large company. |
| ONTARIO TSC | In process of development. |
| OREGON PUC | Depreciation studies are developed by account by the utility and are subject to review and approval or disapproval, by the Commissioner. Depreciation method generally SL for larger utilities and modified for smaller utilities. |
| PENNSYLVANIA PUC | None. |
| PUERTO RICO PSC | Concrete building, 2% per year; Central Office equipment, 3.2%, Poles, 5.5%. |
| QUEBEC EGB | Rates developed by each plant account classification. |
| QUEBEC PSB | Approximately six percent for all classes of property for larger utilities; on a case-by-case basis for smaller utilities. |
| RHODE ISLAND PUC | None available. |
| SOUTH CAROLINA PSC | |
| SOUTH DAKOTA PUC | None. |
| TENNESSEE PSC | Not available. |
| TEXAS PUC | Rates as approved by FCC are acceptable for Bell and General Telephone; others are developed on a case-by-case basis. |
| TEXAS RC | Determined on a case-by-case basis. |
| UTAH PSC | None recently prescribed. |
| VERMONT PSB | Recent case composite rate of 3% for Distribution Plant. Telephone is a negotiated rate with cooperation of telephone and FCC staff. |
| VIRGIN ISLANDS PSC | Rates as approved by FCC are acceptable to the Commission. |
| VIRGINIA SCC | Depreciation studies are developed by account by the utility and are subject to review, and approval or disapproval, by the Commission. Depreciation method generally straight line for larger utilities and modified for smaller utilities. Rates as approved by FCC are acceptable for Bell and General Telephone; others are developed on a case-by-case basis. |
| WASHINGTON UTC | Rate approved on an individual company examination. |
| WEST VIRGINIA PSC | Not available. |
| WISCONSIN PSC | Not available. |
| WYOMING PSC | Rates accepted coincide with those prescribed by FERC and FCC. |

Table 22 - ADJUSTMENTS TO ESTABLISHED RATE OF DEPRECIATION

| AGENCY | DATE | AMOUNT INCREASE | DATE | AMOUNT DECREASE |
|---|---|---|---|---|
| FCC | 1978 | $90,975,000 - Bell System<br>$ 7,448,000 - General Telephone<br>$ 722,000 - Continental | 1978 | $3,199,000 - C&P Telephone |
| FERC 11/ | | | | None recently. |
| ALBERTA PUB | 1978 | Rates are adjusted on a case-by-case basis. | | |
| ARKANSAS PSC 2/ | | | | |
| CALIFORNIA PUC 9/ | | | | |
| FLORIDA PSC | 1979 | 1 electric, 4 telephone--$14,000,000 | | None. |
| GEORGIA PSC 6/ | | | | |
| HAWAII PUC | 1979 | $1,600,000 - Hawaiian Telephone | 1979 | None. |
| INDIANA PSC 2/ | | | | |
| IOWA SCC 4/ | | Rates are adjusted on a case-by-case basis. | | Not available - case by case [illegible] |
| KANSAS SCC | 1979 | Rates are adjusted on a case-by-case basis. | | None. |
| KENTUCKY ERC & URC 2/ | | | | |
| LOUISIANA PSC | | None. | | None. |
| MARYLAND PSC 4/ | | | | |
| MICHIGAN PSC 5/ | 1979 | 2 - Electric, $432,392 14.60%<br>2 - Gas, $5,566,963 15.34%<br>18- Telephone, $664,968 14.10% | 1979 | None. |
| MISSOURI PSC 6/ | | | | |
| MONTANA PSC | 4/78 | Electric - $262,000 | | |
| NEW MEXICO PSC | | None. | | |
| NEW YORK PSC 1/ | | | | |
| NORTH CAROLINA UC | 1979 | Various increases granted. | | None. |
| NOVA SCOTIA PUB | 1978 | Rates are adjusted in application. | | |
| OHIO PUC 7/ | | | | |
| OKLAHOMA CC | | None. | | None. |
| ONTARIO EB 10/ | 1978 | $11,142,000 - Gas | | |
| ONTARIO TSG | 3/76 | A system changed to ELG without approval. Ordered to return to straight line. | 1975 Test Year | Reduced operating expenses for the year by 2.56%. |
| OREGON PUC | | 3/ | | 13/ |
| QUEBEC EGB 14/ | | | | |
| TENNESSEE PSC 8/ | | Rates are adjusted on a case-by-case basis. | | |
| TEXAS PUC 12/ | | | | |
| VERMONT PSB | 1975 | Telephone adjustment to 5.78%. | | |
| WASHINGTON UTC 9/ | | | | |
| WISCONSIN PSC 10/ | | | | |
| WYOMING PSC 4/ | | | | |

1/ Rates for each property group reviewed by the Commission during a rate case. Utilities ordinarily adopt rates established by the Commission.

2/ This varies with the utility and the company.

3/ Depreciation rates have been gradually increasing for most plant categories in all utilities. This data available on request.

4/ The Commission, in cooperation with FCC, periodically reviews and establishes annual rates for the local Bell Company. Annual rates for electric, gas and water utilities are reviewed when conditions warrant.

5/ The Commission reviews depreciation rates periodically, normally every five years for electric, gas and water utilities, and each three to four years for telephone companies.

6/ Rates are established by property classification on company by company basis, not by a general rate application to all companies.

7/ Rates by accounts are established for each company or rates used, if found acceptable, are approved.

8/ Companies are studied on individual basis.

9/ Rates of depreciation are periodically examined by the staff for many of the companies. Rates go up and down. There are no "established rates of depreciation" for all companies or for any group of companies.

10/ Certification of depreciation rates is a continuing process. Trend is to higher depreciation rates.

11/ Higher depreciation rates have been prescribed in several recent gas pipeline rate proceedings to reflect increasing economic obsolescence brought about by declining gas supply.

12/ The Commission, in cooperation with FCC, periodically reviews and establishes annual rates for the local Bell Company. For electric, water, sewer and radio-telephone, rates are determined on a case-by-case basis.

13/ A few decreases have occurred. Data supplied on request.

14/ Rates by accounts are reviewed by the staff.

## SECTION F

## BASIS OF RATE OF RETURN

The rate of return established as fair and reasonable by an agency is the return that a utility may earn on its net investment in plant and equipment after deducting all allowable expenses and costs of service. A utility company is not guaranteed a specific rate of return by a regulatory authority but it is given an opportunity to earn a rate of return which is determined appropriate by an agency.

Table 23 shows the methods used by the agencies in determining the rate of return, and it also lists the rates of return prescribed by the various agencies and, when reported, the actual rates of return earned by the utilities. Table 24 lists the prescribed rates of return on common equity made by the agencies.

Table 15 - RATE OF RETURN

| AGENCY | The determination of the rate of return is left up to the Agency under its general authority | The determination by the Agency to adjust the capital structure to exclude non-utility financing where traceable | The method the Agency favors in rate of return determination | | | | | The duration of the call provision influences the judgment in the determination |
|---|---|---|---|---|---|---|---|---|
| | | | Discounted cash flow | Comparable earnings test | Earnings price ratio method | Midpoint approach | Other | |
| FCC | X | X | 5/ | 5/ | 5/ | 5/ | 5/ | |
| FERC | X | X | | | | | 13/ | |
| ALABAMA PSC | X | X | | X | X | | | |
| ALASKA PC | X | | | | | | X | |
| ALASKA PUC | X | X | | X | | | X | 22/ |
| ALBERTA PMC | X | X | | X | | | 32/ | |
| ALBERTA PUB | X | X | | | | | 13/ | |
| ARIZONA CC | X | X | | | | | X 14/ | |
| ARKANSAS PSC | X | X | X | | | | | X |
| CALIFORNIA PUC | X | X 2/ | | 9/ | | | 9/ | X 23/ |
| COLORADO PUC | X | X | X | X | | | | |
| CONNECTICUT PUC | X | X | | | | | X 5/ | |
| DELAWARE PSC | X | | | | | | X 14/ | |
| D.C. PSC | X | X | 7/ | 7/ | 7/ | 7/ | 7/ | |
| FLORIDA PSC | X | X | X 8/ | X 8/ X 10/ | X 8/ | X 13/ | | |
| GEORGIA PSC | X | X | X | X | X | | X 1/ | |
| GUAM PUC | X | | | | | | | |
| HAWAII PUC | X | X | | X | | | X 16/ | |
| IDAHO PUC | X | X | | X | X | | | |
| ILLINOIS CC | X | X | 7/ | 7/ | 7/ | 7/ | | |
| INDIANA PSC | X | | | | | | X 7/ | |
| IOWA SCC | X | X | X | | | | | |
| KANSAS SCC | X | X | | | | | X | |
| KENTUCKY ERC & URC | X | X | 48/ | 48/ | 48/ | 48/ | 48/ | |
| LOUISIANA PSC | X | X | X | | | | | |
| MAINE PUC | X | 3/ | 5/ | 6/ | 6/ | 6/ | | |
| MARYLAND PSC | X | X | 7/ | 7/ | 7/ | 7/ | 7/ | X 21/ |
| MASSACHUSETTS DPU | X | X | X 8/ | X 8/ | X 8/ | | | |
| MICHIGAN PSC | X | X | X | X | | X | X 17/ | |
| MINNESOTA PSC | X | | X | X | | | X 7/ | |
| MISSISSIPPI PSC | X | X | | X | X | | | |
| MISSOURI PSC | X | X | | X | | | X 18/ | |
| MONTANA PSC | X | X | X | X | | | | |
| NEBRASKA PSC 27/ | X | X | X | | | | | |
| NEVADA PSC | X | X | | X | | | | |
| NEW HAMPSHIRE PUC | X | X | X 11/ | X 11/ | | | | X |
| NEW JERSEY BPU | X | X | | | | | X | |
| NEW MEXICO PSC | X | | | | | | X | |
| NEW MEXICO SCC | X | | | | | | | |
| NEW YORK PSC | X | X | X | | | | X 19/ | |
| NORTH CAROLINA UC | X | X | | | | | 15/ | |
| NORTH DAKOTA PSC | X | | | | | | | |
| NOVA SCOTIA PUB | X | X | | | | | 7/ | |
| OHIO PUC | X | X | | | | | 43/ | 22/ |
| OKLAHOMA CC | X | X | | | | | X | |
| ONTARIO EB | X | X | | X | | | | |
| ONTARIO TSC | X | | 5/ | 5/ | 5/ | 5/ | | |
| OREGON PUC | X | 2/ | | | | | 41/ | |
| PENNSYLVANIA PUC | X | X | 42/ | 42/ | 42/ | 42/ | 42/ | 24/ |
| PUERTO RICO PSC | X | X | | X | | | | |
| QUEBEC EGB | X | X | | | | | | |
| QUEBEC PSB | X | | | | X | | 38/ | |
| RHODE ISLAND PUC | X | X | X | | | | 20/ | |
| SOUTH CAROLINA PSC | X | X | | | | | 21/ | |
| SOUTH DAKOTA PUC | X | X | X | X | | | | |
| TENNESSEE PSC | X | X | X | X | X | | | |
| TEXAS PUC | X | X | | | | | 6/ | |
| TEXAS RC 28/ | X | X | X | X | | | 44/ | |
| UTAH PSC | X | X | | X | | | 7/ | |
| VERMONT PSB | X | X | X | X | | | X | |
| VIRGIN ISLANDS PSC | X | 3/ | | | | | 7/ | |
| VIRGINIA SCC | X | X | X | X | X | | | |
| WASHINGTON UTC | X | 3/ | X | | | | | |
| WEST VIRGINIA PSC | X | | | | | | 7/ | |
| WISCONSIN PSC | X | X | | | | | 7/ | |
| WYOMING PSC | X | 4/ | | X 12/ | | | | X 25/ |

Table 23 - RATE OF RETURN (Continued)

| AGENCY | Rates of return prescribed or found reasonable and actual rate of return earned by the largest utility in terms of gross revenue in each utility group during the most recently reported period. | | | | | | | | | Valuation standards generally applied with allowances for adjustments for related factors such as contributions and working capital. |
|---|---|---|---|---|---|---|---|---|---|---|
| | Electric | | | Gas | | | Telephone | | | |
| | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % | |
| FCC | | | | | | | 1976 | 9.5-10.0 | 9.25 | Original cost |
| FERC | | 35/ | | | 35/ | | | | | Original cost |
| ALABAMA PSC | 7/19/79 | 8.7 | N/A | 6/19/79 | 8.09 | N/A | 9/28/79 | 9.7 | N/A | Original cost |
| ALASKA PC | | | | | | | | | | Original cost |
| ALASKA PUC | 12/75 | 12.16 | N/A | 8/76 | 10.83 | N/A | 6/76 | 12.21 | N/A | Original cost |
| ALBERTA PMC 37/ | | | | 8/79 | 10.9 | 10.9 | | | | Original cost, prudent investment |
| ALBERTA PUB | 1977 | 10.78 | 10.83 | 1978 | 10.22 | 10.09 | 1977 | 9.0 | N/A | Original cost, prudent investment |
| ARIZONA CC | 8/77 | 8.32 | N/A | 8/77 | 7.94 | N/A | 4/75 | 7.38 | N/A | Fair value |
| ARKANSAS PSC | 9/78 | 9.00 | N/A | 10/78 | 9.37 | N/A | 1/79 | 8.4 | N/A | Original cost |
| CALIFORNIA PUC | 12/79 | 10.22 | N/A | 12/79 | 10.30 | N/A | 12/79 | 10.25 | N/A | Original cost |
| COLORADO PUC | 11/21/79 | 9.49 | 7.25 | 11/21/79 | 9.73 | 4.85 | 9/30/77 | 9.40 | 9.15 | Original cost |
| CONNECTICUT PUC | 6/29/79 | 9.68 | N/A | 5/21/79 | 10.377 | N/A | 12/77 | 9.15 | N/A | Original cost |
| DELAWARE PSC | | 47/ | | | 47/ | | | 47/ | | Original cost |
| D.C. PSC | 5/80 | 9.38 | N/A | 3/79 | 9.25 | N/A | 5/76 | 9.45 | N/A | Other |
| FLORIDA PSC | 12/79<br>(FPSL) | 9.16 | 8.79 | 3/30/78 | 11.27 | (7.62) | 11/79<br>(Bell) | 9.02 | 9.57 | Prudent investment, other |
| GEORGIA PSC | 12/31/79 | 9.888 | N/A | 12/31/79 | 9.73 | N/A | 12/31/79 | 10.57 | N/A | Original cost, prudent investment |
| GUAM PUC 7/ | | | | | | | | | | |
| HAWAII PUC | 5/78 | 9.59 | N/A | 6/78 | 10.47 | N/A | 2/78 | 7.69 | N/A | Original cost |
| | 9/78 | 10.33 | N/A | | | | | | | |
| IDAHO PUC | 3/79 | 8.64 | N/A | 9/78 | 10.03 | N/A | 2/79 | 9.06 | N/A | Original cost |
| ILLINOIS CC | 1979 | 9.08<br>(O.C.) | N/A | 1979 | 10.0<br>(O.C.)<br>8.0<br>(F.V.) | | 1979 | 9.4<br>(O.C.) | | Original cost and Fair value |
| INDIANA PSC | 7/79 | 7.35 | N.A | 2/79 | 7.2 | N/A | 12/78 | 7.00 | N/A | Fair value |
| IOWA SCC | 3/27/79 | 9.15 | N/A | 4/30/79 | 8.91 | N/A | 6/09/77 | 8.81 | N/A | Original cost |
| KANSAS SCC | 1979 | 9.36 | N/A | 1979 | 9.02 | N/A | 1978 | 8.8 | N/A | Original cost |
| KENTUCKY ERC | 6/29/78 | 9.03 | 6.64 | 6/01/79 | 8.92 | 6.74 | | | | Original cost, reproduction, prudent investment, other |
| KENTUCKY URC | | | | | | | 8/07/79 | 9.53 | 8.59 | |
| LOUISIANA PSC | 12/18/79 | 10.48 | 8.50 | 5/23/79 | 10.28 | 3.76 | 10/31/79 | 9.88 | 7.95 | Original cost |
| MAINE PUC | 10/13/78 | 9.48 | 7.9 39/ | | | | 6/28/79 | 9.24 | | Original cost, other |
| MARYLAND PSC | 4/80 | 9.60 | | 1/80 | 9.25 | | 11/79 | .90 | | Fair value |
| MASSACHUSETTS DPU | 1976 | 8.13-9.49 | | 1976 | 9.07-10.26 | | | | | Original cost |
| MICHIGAN PSC 40/ | 9/28/78 | 9.04 | 7.80 | 11/06/79 | 8.93 | 4.74 | 4/04/77 | 8.86 | 8.63 | Original cost, prudent investment |
| MINNESOTA PSC | 3/78 | 9.05 | N/A | 10/78 | 10.03 | N/A | 11/78 | 9.3 | N/A | Other |
| MISSISSIPPI PSC | 12/75 | 8.28 | 8.5 | 12/75 | 8.8 | 8.7 | 2/78 | 8.95 | | Fair value |
| MISSOURI PSC | 1/78 | 9.11 | 8.41 | /79 | 10.01 | 9.29 | 12/76 | 9.07 | 7.70 | |
| MONTANA PSC | 1/79 | 8.84 | | 11/79 | 9.95 | | 10/78 | 8.78 | | Original cost |
| NEBRASKA PSC 27/ | | | | | | | 7/78 | 9.25 | 8.7 | Original cost |
| NEVADA PSC | 1978 | 9.81 | | 1979 | 18.74 | | 1976 | 8.60 | | Original cost |
| NEW HAMPSHIRE PUC | 5/78 | 10.19 | 11.3 | 2/80 | 11.1 | N/A | 4/76 | 9.81 | 8.92 | Actual cost |
| NEW JERSEY BPU | 6/78 | 8.83 | N/A | 6/78 | 8.83 | N/A | 1/31/79 | 8.89 | N/A | Other |
| NEW MEXICO PSC | 5/79 | 30/ | N/A | 11/79 | 9.1 | N/A | | | | Fair value |
| NEW MEXICO SCC | | | | | | | 12/79 | 9.02 | 7.22 | Original cost |
| NEW YORK PSC | 6/28/78 | 9.44 | N/A | 5/28/78 | 9.44 | N/A | 12/01/77 | 9.24 | N/A | Original cost |
| NORTH CAROLINA UC 33/ | 8/31/78 | 9.98 | 9.48 | 8/07/78 | 9.47 | 10.24 | 4/26/78 | 8.9 | 8.26 | Original cost |
| NORTH DAKOTA PSC | 7/78 | 8.70 | 5.87 | 5/79 | 9.42 | 7.25 | 4/78 | 8.75 | 6.64 | Prudent investment |
| NOVA SCOTIA PUB | 1/09/78 | 10.49 | N/A | | | | 6/14/78 | 8.66 | N/A | Original cost |
| OHIO PUC | 4/79 | 10.17 | 8.14 | 7/79 | 10.39 | N/A | | | | Original cost |
| OKLAHOMA CC | 4/16/75 | 8.50 | 7.32 | 11/29/74 | 7.5-7.75 | | 12/04/74 | 8.35 | | Fair value 36/ |
| ONTARIO EB | | | | 8/31/79 | 10.34 | N/A | | | | Prudent investment |
| ONTARIO TSC | | | | | | | 1977 | 8.9-10.1 | N/A | Original cost |
| OREGON PUC | 1/80 | 11.15 | | 1/79 | 10.51 | | 12/77 | 9.57 | | Original cost |
| PENNSYLVANIA PUC | 7/12/79 | 8.8(F.V.)<br>9.46(O.C.) | N/A<br>8.50<br>31/ | 8/09/79 | 8.04(F.V.)<br>10.31<br>(O.C.) | N/A<br>9.53 | 3/01/79 | 9.0 | 8.86 | Fair value |
| PUERTO RICO PSC | | | | 11/15/74 | 8.5 | | | | | Original cost |
| QUEBEC EGB | | | | 5/04/76 | 10.2 45/ | | | | | Original cost |
| QUEBEC PSB | | | | | | | 1979 | 8.00-9.75 | 8.27 | Original cost |
| RHODE ISLAND PUC 26/ | 1978 | 8.55 | N/A | 1978 | 9.65 | N/A | 1977 | 8.6 | N/A | Original cost |
| SOUTH CAROLINA PSC | 5/17/79 | 9.56 | 8.59 | 11/10/76 | 9.88 | 12.12 | 3/05/80 | 9.88 | 8.65 | Original cost |
| SOUTH DAKOTA PUC | 7/31/79 | 9.86 | N/A | 9/26/79 | 10.16 | N/A | 1976 | 8.68 | 9.38 29/ | Original cost |
| TENNESSEE PSC | 10/78 | 10.64 | 9.32 | 9/78 | 12.00 | N/A | 6/79 | 9.1 | 9.5 | Original cost |
| TEXAS PUC | 4/79 | 10.044 | N/A | | | | 11/07/79 | 10.17 | N/A | Original cost |
| TEXAS RC 28/ | | | | 7/79 | 9.53 | N/A | | | | Fair value |
| UTAH PSC | 8/77 | 10.25 | N/A | 12/75 | 9.4 | 7.16 | 7/77 | 9.12 | N/A | Original cost |
| VERMONT PSB | 1/80 | 10.8 | 9.96 | 4/80 | 13.74 | 13.74 | 1/76 | 9.40 | N/A | Original cost |
| VIRGIN ISLANDS PSC | | | | | | | 1976 | 9.27 | 6.82 | Fair value |
| VIRGINIA SCC 46/ | 4/79 | 9.60 | 8.43 | 2/80 | 9.41 | 9.14 | 3/77 | 9.20 | 8.69 | Original cost |
| WASHINGTON UTC | 3/79 | 9.79 | 8.65 | 1/79 | 10.45 | 9.75 | 10/78 | 9.7 | 9.83 | Original cost |
| WEST VIRGINIA PSC | 9/79 | 10.17 | | 4/79 | 9.6 | | 10/79 | 9.0 | | Original cost |
| WISCONSIN PSC | 3/80 | 11.56 | 10.61 | 11/79 | 9.84 | 10.31 | 11/79 | 10.09 | 9.61 | Original cost |
| WYOMING PSC | 10/78 | 9.71 | 8.31 | 2/79 | 9.5 | 6.9 | 12/76 | 9.0 | 8.86 | Original cost |

## Footnotes - Table 23 - RATE OF RETURN

1/ Capital asset pricing model.

2/ Use rate base as the expression on investment dollars against which rate of return will be applied. Non-utility dollars of investment will always be excluded from such investment. As to capital structure, which pertains to percent of capital assigned to debt, preferred and equity, our determination can vary depending upon circumstances. Where non-utility investment is comparatively small, which is generally the case, we would consider that capital ratios for the whole would be the equivalent of those for the utility area. In the few instances where non-utility investment bulks large, we reserved the right to vary the capital structures in such a manner as we deem proper where such action appears necessary.

3/ Have not had this problem.

4/ According to facts and types of service.

5/ Commission favors no single method, but rather that which produces the most reasonable results.

6/ An appraisal of the results of all methods.

7/ No specific method.

8/ All three methods have been used and accepted. In some cases more than one method has been introduced.

9/ This Commission considers rate of return determination to be a matter of informed judgement. This judgment is influenced by the "end result" and "balancing of consumer and investor interests" which are maxims of the Hope Case. Our staff studies would tend to show comparative earnings data, however, we give attention, if not consideration, to market methods when presented. Our determination is guided by the factors which may be pertinent in a particular case. Our method could be stated as the use of informed judgment.

10/ Other methods are used to supplement comparable earnings approach.

11/ Both given consideration due to many judgment factors.

12/ All methods are considered but comparisons are required.

13/ Varies from company to company depending on evidence in rate case and agency judgment.

14/ The Commission has heard evidence of cost of capital based on all methods.

15/ No specific method, however the discounted cash flow and comparable earnings test are used frequently.

16/ Rate of return found reasonable and actual.

17/ In the last year this Commission has also given favor to market-to-book ratios in several cases.

18/ In recent orders the commission has relied on information relating market to book value levels and required equity returns.

19/ Informed judgment based on all the evidence presented.

20/ It may use any method it desires especially in the case of a small company.

21/ Consider both discounted cash flow and comparable earnings test.

22/ There have been no decisions involving call provisions.

23/ Might influence the judgment.

24/ Possibly, if soon.

25/ Not given weighty consideration.

26/ Each company is evaluated on an individual basis. There is no "blanket" rate of return.

27/ No Commission regulation of electric or gas utilities.

28/ No Commission regulation of electric or telephone utilities.

29/ September 30, 1977.

30/ COSI Order based on Return of Common Equity (Case 1419).

31/ Return on original cost rate base for 1979.

32/ Individually considered according to standard criteria--utilize double leverage principle.

33/ Prescribed rates of return were found for both original cost and fair value valuations. Original cost is used in this table for comparative purposes. Actual rates of return are those the companies earned approximately one year after the rates were granted to give effect to a full year of the rate increase. These rates of return are determined from an on-going surveillance program conducted by the Commission.

34/ Determined on a case-by-case basis.

35/ Varies, depending on risk factors, debt-equity ratio, cost of capital and other factors.

36/ Telephone companies only.

37/ Recovery of return assured by monthly determination and adjustment for quantities.

38/ Percentage on rate basis.

39/ Central Maine Power Company rate case.

40/ The prescribed return rate percentages (both overall and on common equity) are based on the actual ORDERS put out by the Commission as being authorized. The actual rates of return earned on common equity are taken from our monthly data on the Big-4, Sam Byers' computer-printed output on all Michigan regulated companies, and our own calculations. Certain actual overall return rates are based on Company-supplied information.

41/ Agency prefers the use of Capital Asset Pricing Model (CAPM).

42/ The Commission favors no single method; considers all data.

43/ No single method, however, discounted cash flow is frequently used.

44/ The Commission is in the process of investigating other methods.

45/ The rate of return allowed for GAZ Metropolitan, Inc. The Board determines the rate of return for each utility taking account, inter alia, of the capitalization rates and the equity cost.

46/ There is no fixed rate of return applicable to each or the types of industries. Rate of return is dependent upon the financial and operating conditions expected to prevail while the rates are in effect.

47/ Varies, depending on risk factor, debt-equity ratio, cost of debt, and other factors.

48/ The Commissions have accepted testimony on all methods of return determinations listed but subscribe to no specific one.

Table 24 - RETURN ON COMMON STOCK EQUITY

| AGENCY | Return on common stock equity prescribed or approved by the Agency in the two most recent cases in each utility group and the return on common stock equity actually earned by the company during the most recently reported period. | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | First Case | | | | | | | | |
| | Electric | | | Gas | | | Telephone | | |
| | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % |
| FCC 13/ | | | | | | | | | |
| FERC | 1/ | 1/ | | | 1/ | | | | |
| ALABAMA PSC | 1/20/77 | 14.24 | 6.78 | 12/28/76 | 12.80 | 11.70 | 11/22/77 | 12.24 | N/A |
| ALASKA PC | | | | N/A | N/A | N/A | | | |
| ALASKA PUC | 8/76 | 17.5 2/ | N/A | 8/76 | 14.25 | N/A | 6/76 | 15.5 | N/A |
| ALBERTA PMC | | | | 8/79 | 14.0 | 14.0 3/ | | | |
| ALBERTA PUB | 1977 | 14.50 | N/A | 1978 | 14.21 | N/A | 1977 | 15.00 | N/A |
| ARIZONA CC | 12/78 | 13.75 | N/A | 8/77 | 13.75 | N/A | 4/75 | 10.92 | N/A |
| ARKANSAS PSC | 9/78 | 12.48 | N/A | 10/78 | 12.95 | N/A | 1/79 | 11.56 | N/A |
| CALIFORNIA PUC | 12/79 | 13.90 | N/A | 12/79 | 14.10 | N/A | 12/79 | 12.25 | N/A |
| COLORADO PUC | 11/21/79 | 14.6 | 8.20 | 11/21/79 | 14.6 | N/A | 9/30/77 | 11.50 | 10.61 |
| CONNECTICUT PUC | 6/29/79 | 14.10 | N/A | 5/21/79 | 14.25 | N/A | 12/16/77 | 12.11 | N/A |
| DELAWARE PSC 5/ | | | | | | | | | |
| D.C. PSC | 5/80 | 13.25 | N/A | 3/79 | 13.0 | N/A | 5/76 | 12.39 | N/A |
| FLORIDA PSC | 8/07/78 | 13.25 | 10.16 6/ | 5/10/78 | 14.25 | N/A | 12/79 | 13.25 | 11.25 6/ |
| GEORGIA PSC | 9/77 | 12.25 | | 11/76 | 13.25 | | 11/76 | 12.00 | |
| HAWAII PUC | 6/79 | 13.25 | 13.19 | 1/79 | 14.25 | 13.7 | 2/78 | 14.0-14.5 | N/A |
| IDAHO PUC | 3/79 | 13.5 | N/A | 9/78 | 14.0 | N/A | 2/79 | 12.5 | N/A |
| ILLINOIS CC | | 5/ | | | 5/ | | | 5/ | |
| INDIANA PSC | 7/79 | 13.19 | N/A | 2/79 | 11.30 | N/A | 12/78 | 14.13 | N/A |
| IOWA SCC | 3/22/79 | 12.5 | N/A | 10/22/79 | 12.50 | N/A | 5/05/77 | 11.5 | N/A |
| KANSAS SCC | 12/79 | 18.0 | N/A | 11/79 | 13.5 | N/A | | | |
| KENTUCKY ERC | 6/01/79 | 13.10 | 9.42 | 4/23/79 | 11.5 | N/A | | | |
| KENTUCKY URC | | | | | | | 8/07/79 | 11.5 | 9.56 |
| LOUISIANA PSC | 12/18/79 | 13.25 | 7.44 | 10/31/79 | 8.03 | 4.51 | 10/31/79 | 10.16 | 4.56 |
| MAINE PUC | 10/13/78 | 12.5 | 11.99 | | | | 6/25/79 | 11.5 | |
| MARYLAND PSC | 4/18/79 | N/A | N/A | 4/26/79 | N/A | N/A | 11/13/79 | N/A | N/A |
| MASSACHUSETTS DPU | 8/12/76 | 13.0 | N/A | 4/30/76 | 12.5 | N/A | | | |
| MICHIGAN PSC 14/ | 10/16/79 | 13-13.5 | [illegible].19 | 11/06/79 | 13.25 | 3.00 | 12/20/79 | 11.83 | 2.98 |
| MINNESOTA PSC | N/A | 13.00 | 1[illegible].56 | N/A | 12.90 | N/A | N/A | 11.56 | N/A |
| MISSISSIPPI PSC | 12/75 | 11.52 | | | | | 2/78 | 10.13 | |
| MISSOURI PSC 7/ | 1977 | 13.25 | | 1977 | 14.00 | | 1977 | 11.5 | |
| MONTANA PSC | 1/79 | 12.32 | | 11/79 | 12.72 | | 4/78 | 12.32 | |
| NEBRASKA PSC | | | | | | | N/A | | |
| NEVADA PSC | 1979 | 14.0 | | 1979 | 14.2 | | 1976 | 10.75 | |
| NEW HAMPSHIRE PUC | 5/80 | 13.5 | N/A | 2/80 | 14.0 | N/A | 12/79 | 13.5 | N/A |
| NEW JERSEY BPU | 6/27/79 | 13.07 | N/A | 9/27/79 | 13.5 | N/A | 1/31/79 | 13.0 | N/A |
| NEW MEXICO PSC | 5/79 | 13.5 | N/A | 12/78 | 13.5 | N/A | | | |
| NEW MEXICO SCC | | | | | | | 12/31/79 | 12.25 | 8.92 |
| NEW YORK PSC | 10/24/79 | 14.0 | N/A | 10/24/79 | 14.0 | N/A | 11/09/79 | 13.2 | N/A |
| NORTH CAROLINA UC 8/ | 8/31/78 | 13.59 | 11.93 | 8/07/78 | 13.92 | 19.00 | 4/26/78 | 12.64 | 11.80 |
| NORTH DAKOTA PSC | 7/78 | 11.69 | | 5/79 | 12.58 | 6.70 | 4/78 | 11.49 | |
| OHIO PUC | 4/79 | 13.77 | N/A | 7/79 | 13.57 | N/A | | | |
| OKLAHOMA CC 9/ | | | | | | | | | |
| ONTARIO EB | | | | 8/31/79 | 14.00 | N/A | | | |
| ONTARIO TSC | | | | | | | 1977 | 11.26-14.14 | N/A |
| OREGON PUC | 1/80 | 15.2 | | 1/79 | 13.4 | | 12/77 | 13.5 | |
| PENNSYLVANIA PUC 10/ | 7/12/79 | 13.10 | 8.5 | 10/26/78 | 13.50 | N/A | 9/14/78 | 12.95 | N/A |
| PUERTO RICO PSC | | | | | | | | | |
| QUEBEC BGB | | | | 5/04/75 | 17.00 11/ | | | | |
| QUEBEC PSB | | | | | | | 1979 | 11.0-15.0 | 14.6 |
| RHODE ISLAND PUC | 1978 | 12.0 | N/A | 1978 | 12.8 | N/A | 1977 | 11.53 | N/A |
| SOUTH CAROLINA PSC | 5/17/79 | 12.75 | 11.50 | 11/01/76 | 13.80 | 18.91 | 3/05/80 | 12.25 | 9.45 |
| SOUTH DAKOTA PUC | 7/31/79 | 12.6 | N/A | 9/26/79 | 12.3 | N/A | 4/10/76 | 11.22 | 11.20 |
| TENNESSEE PSC | N/A | | | 4/78 | 13.5 | N/A | 6/79 | 11.5 | N/A |
| TEXAS PUC | 10/29/79 | 15.0 | N/A | | | | 11/09/79 | 13.1 | N/A |
| TEXAS RC | | | | 9/78 | 14.0 | N/A | | | |
| UTAH PSC | 8/77 | 14.5 | N/A | | | | 5/76 | 13.00 | N/A |
| VERMONT PSB | 1/80 | 14.5 | 12.4 | 4/80 | 14.5 | N/A | 1/76 | 12.65 | N/A |
| VIRGIN ISLANDS PSC | | | | | | | 1976 | 14.0 | |
| VIRGINIA SCC 9/ | 4/79 | 13.5 | 8.0 | 2/80 | 13.0 | 12.74 | 3/77 | 12.0-12.5 | N/A |
| WASHINGTON UTC | 10/79 | 12.75 | N/A | 7/79 | 13.50 | N/A | 10/78 | 12.25 | N/A |
| WEST VIRGINIA PSC | 6/78 | 13.00 | | 11/78 | 12.00 | | | | |
| WISCONSIN PSC | 2/80 | 13.00 | 9.80 | 2/80 | 13.00 | 11.26 | 8/79 | 22.00 | 20.85 |
| WYOMING PSC 15/ | 10/78 | 12.9 | 9.81 | 2/79 | 13.0 | 6.2 | 12/76 | 10.4 12/ | 11.79 |

N/A - Not Available

Table 24 - RETURN ON COMMON STOCK EQUITY (Continued)

| AGENC | Return on common stock equity prescribed or approved by the Agency in the two most recent cases in each utility group and the return on common stock equity actually earned by the company during the most recently reported period. | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Second Case | | | | | | | | |
| | Electric | | | Gas | | | Telephone | | |
| | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % | Date | Prescribed % | Actual % |
| FCC 13/ | | | | | | | | | |
| FERC | | 1/ | | | 1/ | | | | |
| ALABAMA PSC | 7/19/79 | 11.70 | 4.13 | 6/19/79 | 12.97 | 11.80 | 9/28/79 | 13.25 | N/A |
| ALASKA PC | | | | N/A | N/A | N/A | | | |
| ALASKA PUC | N/A | N/A | N/A | N/A | N/A | N/A | 6/76 | 14.25 | |
| ALBERTA PMC | | | | 4/80 | 14.25 | 14.25 3/ | | | |
| ALBERTA PUB | 1978 | 14.18 | N/A | 1978 | 14.00 | N/A | 4/ | | |
| ARIZONA CC | | | | | | | | | |
| ARKANSAS PSC | 4/ | | | | | | 4/ | | |
| CALIFORNIA PUC | 6/79 | 14.50 | N/A | 6/79 | 14.50 | N/A | 6/77 | 12.40 | N/A |
| COLORADO PUC | 16/ | | | 1/79 | 14.4 | 11.78 | 17/ | | |
| CONNECTICUT PUC | 6/29/79 | 14.10 | N/A | 9/17/79 | 13.35 | N/A | | | |
| DELAWARE PSC 5/ | | | | | | | | | |
| D.C. PSC | 7/79 | 12.75 | N/A | 10/76 | 13.1 | N/A | 1/74 | 11.0 | N/A |
| FLORIDA PSC | 10/04/78 | 13.25 | 2.84 6/ | 4/20/78 | 11.50 | N/A | 1/23/80 | 13.25 | 15.80 6/ |
| GEORGIA PSC | 9/77 | 12.25 | | 11/76 | 13.25 | | 11/76 | 12.50 | |
| HAWAII PUC | 1/79 | 12.91 | 9.51 | | | | | | |
| IDAHO PUC | 6/79 | 13.5 | N/A | | | | | | |
| ILLINOIS CC | | 5/ | | | 5/ | | | 5/ | |
| INDIANA PSC | 9/79 | 14.40 | N/A | 6/77 | 14.73 | N/A | 10/79 | 5.46 | N/A |
| IOWA SCC | 2/26/79 | 12.5 | N/A | 4/11/79 | 12.5 | N/A | 5/05/77 | 11.4 | N/A |
| KANSAS SCC | 7/78 | 5.67 | N/A | 7/78 | 18.45 | N/A | | | |
| KENTUCKY ERC | 4/11/80 | 12.75 | 12.18 | 6/01/79 | 13.1 | 9.42 | | | |
| KENTUCKY URC | | | | | | | 5/16/80 | 12.5 | 10.79 |
| LOUISIANA PSC | 12/18/78 | 12.75 | 8.64 | 5/23/79 | 11.50 | (.23) | 10/31/79 | 12.13 | 4.77 |
| MAINE PUC | | | | | | | | | |
| MARYLAND PSC | 12/27/78 | N/A | N/A | 6/13/79 | N/A | N/A | 2/23/78 | N/A | N/A |
| MASSACHUSETTS DPU | 11/30/76 | 12.0 | N/A | 9/28/76 | 12.25 | N/A | | | |
| MICHIGAN PSC 14/ | 4/24/79 | 13.10 | 12.42 | 6/27/79 | 13.25 | 11.43 | 2/21/79 | 12.50 | 7.61 |
| MINNESOTA PSC | N/A | 12.75 | 11.75 | N/A | 13.00 | 12.92 | N/A | 13.20 | N/A |
| MISSISSIPPI PSC | | | | | | | | | |
| MISSOURI PSC | 7/19/79 | 13.00 | 11.22 | 7/22/79 | 13.05 | 13.32 | 11/28/78 | 11.50 | 12.92 |
| MONTANA PSC | 4/78 | 12.0 | | 3/78 | 12.25 | | N/A | | |
| NEBRASKA PSC | | | | | | | | | |
| NEVADA PSC | 1979 | 14.0 | | 1979 | 14.2 | | | | |
| NEW HAMPSHIRE PUC | 8/78 | 13.8 | 13.5 | 4/79 | 13.2 | 13.8 | 2/78 | 13.5 | N/A |
| NEW JERSEY BPU | 1/31/79 | 13.25 | N/A | 6/27/79 | 13.5 | N/A | N/A | | |
| NEW MEXICO PSC | | | | | | | | | |
| NEW MEXICO SCC | | | | | | | 11/02/78 | 10.8 | N/A |
| NEW YORK PSC | 4/27/79 | 13.7 | N/A | 4/27/79 | 13.7 | N/A | N/A | | |
| NORTH CAROLINA UC 8/ | 9/01/78 | 12.39 | 7.38 | 11/01/79 | 13.06 | 13.69 | 6/01/79 | 12.75 | 12.26 |
| NORTH DAKOTA PSC | | | | 5/03/79 | 12.58 | N/A | | | |
| OHIO PUC | | | | | | | | | |
| OKLAHOMA CC 9/ | | | | | | | | | |
| ONTARIO EB | | | | 8/31/79 | 14.00 | N/A | | | |
| ONTARIO TSC | | | | | | | | | |
| OREGON PUC | 10/79 | 14.8 | N/A | 11/77 | 13.5 | 6.35 | 10/76 | 13.5 | |
| PENNSYLVANIA PUC 10/ | 8/23/79 | 13.97 | 13.86 | 8/19/79 | 13.51 | 11.13 | 8/17/78 | 12.39 | N/A |
| PUERTO RICO PSC | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | |
| QUEBEC PSB | | | | | | | 1979 | 11.0-15.0 | 14.1 |
| RHODE ISLAND PUC | N/A | | | 3/77 | 14.0 | N/A | N/A | | |
| SOUTH CAROLINA PSC | | | | | | | | | |
| SOUTH DAKOTA PUC | 7/31/79 | 12.6 | N/A | 9/79 | 12.3 | N/A | | | |
| TENNESSEE PSC | N/A | | | N/A | | | N/A | | |
| TEXAS PUC | 10/16/79 | 14.5 | | | | | 6/26/79 | 12.34 | N/A |
| TEXAS RC | | | | 7/79 | 13.75 | N/A | | | |
| UTAH PSC | 4/ | | | 4/ | | | 4/ | | |
| VERMONT PSB | 12/78 | 14.5 | N/A | 11/75 | 11.0 | N/A | 1/76 | 12.7 | N/A |
| VIRGIN ISLANDS PSC | | | | | | | | | |
| VIRGINIA SCC 9/ | 4/30/79 | 13.0-14.0 | 8.7 | 9/17/79 | 13.14 | 4.89 | 3/19/76 | 12.0 | 13.57 |
| WASHINGTON UTC | 6/79 | 13.0 | | 6/79 | 14.25 | | 5/77 | 13.6 | |
| WEST VIRGINIA PSC | 9/79 | 13.62 | | | | | | | |
| WISCONSIN PSC | 3/79 | 13.25 | 13.11 | 2/80 | 13.00 | 9.80 | 12/79 | 10.99 | 11.49 |
| WYOMING PSC 15/ | 9/78 | 12.9 | 8.84 | 12/78 | 12.26 | 15.8 | | | |

## Footnotes - Table 24 - RETURN ON COMMON STOCK EQUITY

1/ Varies: depending on risk factors, debt-equity ratio and other factors. In 1976: 12.40, 12.25, 14.00, 13.00.

2/ Cooperative.

3/ Due to monthly cost of service on a total dollar, not a toll basis, full recovery of approved return is achieved.

4/ No recent case.

5/ Return varies; depends on risk factor, debt-equity ratio and other factors.

6/ The earned rate of return for test period (per commission staff) was substituted for the prescribed rate of return and the return on equity earned "forced" using the prescribed debt equity ratio. This is not the same as the actual percent earned on equity, but the resulting figure is more comparable with the return on equity prescribed or allowed based on the test period data.

7/ Allowed returns on equity have been approximately 13% for electric, 13½ - 14½ for gas, and 10-11% for telephone.

8/ Actual rates of return based on original cost are those the companies earned approximately one year after the rates were granted to give effect to a full year of the rate increase. The rates of return are determined from an on-going surveillance program conducted by the Commission.

9/ The Commission has not specified or prescribed a rate of return on common equity; however, an equity return is implicit in a given overall rate of return. Thus, in its recent decisions, the Commission has pointed to the obvious effect on equity return of a specific overall return or range of overall return.

10/ Return on common equity not prescribed in Fair Value jurisdiction.

11/ Case of Gaz Metropolitan, Inc. with a relatively weak equity-debt ratio.

12/ Latest significant telephone rate case.

13/ The FCC does take into consideration a range of recommendations as to the costs of both debt and equity in arriving at its final decision.

14/ The prescribed return rate percentages (both overall and on common equity) are based on the actual ORDERS put out by the Commission as being authorized. The actual rates of return earned on common equity are taken from monthly data on the Big-4, Sam Byer's computer-printed output on all Michigan regulated companies, and our own calculations. Certain actual overall return rates are based on Company-supplied information.

15/ No recent rate case for major utilities.

16/ There is only one other investor owned electric utility in Colorado and the second one has not had a recent rate increase.

17/ Telephone utilities in Colorado, other than Mountain Bell, are quite small and the return on equity is not significant.

# SECTION G

## ACCOUNTING, AUDITING AND REPORTS

All agencies having the authority to regulate rates of electric, gas or telephone utilities also have the authority to prescribe a uniform system of accounts, to designate records to be kept and to order specific entries or adjustments in the accounts of privately owned electric, gas and telephone utilities. Only a few agencies, however, have the authority to provide for the uniform recording of data for publicly owned and cooperatively owned utilities. Details of this and other information on accounting, auditing and report forms are included in Tables 25 through 32.

Table 25 - ACCOUNTING - AUTHORITY

| AGENCY | The Agency has authority to -- | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Prescribe a uniform system of accounts | | | | | | Require the making (and approval) of specific entries or adjustments in the accounts | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | X | | | | | | X |
| FERC | X 1/ | 2/ | 3/ | X 1/ | | | X 1/ | 2/ | 3/ | X 1/ | | |
| ALABAMA PSC | X | | | X | | X | X | | | X | | X |
| ALASKA PC | | | | X | X | | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PMC | | | | X | | | | | | | | |
| ALBERTA PUB 9/ | X | X | | X | X | X | X | X | | X | X | X |
| ARIZONA CC | X | | X | X | | X | X | | X | X | | X |
| ARKANSAS PSC | X | | X | X | | X | X | | X | X | | X |
| CALIFORNIA PUC | X | | X | X | | X | X | | X | X | | X |
| COLORADO PUC | X | X | X | X | X | X | X | X | X | X | X | X |
| CONNECTICUT PUC | X | X | | X | X | X | X | X | | X | X | X |
| DELAWARE PSC | X | | X | X | | X | X | | X | X | | X |
| D.C. PSC | X | 5/ | 5/ | X | 5/ | X | X | 5/ | 5/ | X | 5/ | X |
| FLORIDA PSC | X | X | X | X | | X | X | | | X | | X |
| GEORGIA PSC | X | | | X | | X | X | | | X | | X |
| GUAM PUC | 5/ | X | 5/ | 5/ | 5/ | X | 3/ | X | 5/ | 5/ | 5/ | X |
| HAWAII PUC | X | | | X | | X | X | | | X | | X |
| IDAHO PUC | X | | | X | | X | X | | | X | | X |
| ILLINOIS CC | X | | | X | | X | X | | | X | | X |
| INDIANA PSC | X | X | X | X | X | X | X | X | X | X | X | X |
| IOWA SCC | X | | X | X | | X | X | | X | X | | X |
| KANSAS SCC | X | | X | X | | X | X | | X | X | | X |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X |
| LOUISIANA PSC | X | | | X | | X | X | | | X | | X |
| MAINE PUC | X | X | X | X | X | X | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | X | X | X | X | X | X | X | | X |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | X | | X | X | X |
| MICHIGAN PSC | X | X | X | X | X | X | X | | X | X | | X |
| MINNESOTA PSC | X | X | 10/ | X | X | X | X | X | 10/ | X | X | X |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | X | X | X | X | X |
| MISSOURI PSC | X | | | X | | X | X | | | X | | X |
| MONTANA PSC | X | X | | X | X | X | X | X | | X | X | X |
| NEBRASKA PSC | | | | | | X | | | | | | X |
| NEVADA PSC | X | | X | X | | X | X | | X | X | | X |
| NEW HAMPSHIRE PUC | X | | X | X | | X | X | | X | X | | X |
| NEW JERSEY BPU | X | 7/ | X | X | 7/ | X | X | 7/ | X | X | 7/ | X |
| NEW MEXICO PSC | X | | X | X | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | X | | | | | | |
| NEW YORK PSC | X | X | | X | X | X | X | X | | X | X | X |
| NORTH CAROLINA UC | X | | | X | | X | X | | | X | | X |
| NORTH DAKOTA PSC | X | | | X | | X | X | | | X | | X |
| NOVA SCOTIA PUB | X | X | X | X | X | X | X | X | X | X | X | X |
| OHIO PUC 8/ | X | | | X | | X | X | | | X | | X |
| OKLAHOMA CC | X | | X | X | | X | X | | X | X | | X |
| ONTARIO EB | | | | X | | | | | | X | | |
| ONTARIO TSC | | | | | | X | | | | | | |
| OREGON PUC | X | | | X | | X | X | | | X | | X |
| PENNSYLVANIA PUC | X | | | X | | X | X | | | X | | X |
| PUERTO RICO PSC | 5/ | 5/ | 5/ | X | 5/ | | 5/ | 5/ | 5/ | X | 5/ | |
| QUEBEC EGB | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | X |
| RHODE ISLAND PUC | X | X | 5/ | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | X | | | X | | X |
| SOUTH DAKOTA PUC | X | | | X | | X | X | | | X | | X |
| TENNESSEE PSC | X | | | X | | X | X | | | X | | X |
| TEXAS PUC | X | X | X | | | X | X | X | X | | | X |
| TEXAS RC | | | | X | | | | | | X | | |
| UTAH PSC | X | | X | X | | X | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | X | X | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC 4/ | | | | | | X | | | | | | X |
| VIRGINIA SCC | X | | X | X | | X | X | | X | X | | X |
| WASHINGTON UTC | X | | | X | | X | X | | | X | | X |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | | X | X | X | X | X | | X | X | X |
| WYOMING PSC | X | X | X | X | X | X | X | X | X | X | X | X |

Table 25 - ACCOUNTING - AUTHORITY (Continued)

| AGENCY | The Agency has authority to -- | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Prescribe rules for the preservation of records | | | | | | Make interpretations of uniform systems of accounts | | | | | | Prescribe units of property | | | | | |
| | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone |
| | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | |
| FCC | | | | | | X | | | | | | X | | | | | | X |
| FERC | X 1/ | 2/ | 3/ | X 1/ | | | X 1/ | 2/ | 3/ | X 1/ | | | X 1/ | 2/ | 3/ | X 1/ | | |
| ALABAMA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| ALASKA PC | | | | X | X | | | | | X | X | | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PMC | | | | | | | | | | X | | | | | | | | |
| ALBERTA PUB 9/ | X | X | | X | X | X | X | | | X | X | X | X | X | | X | | |
| ARIZONA CC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| ARKANSAS PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| CALIFORNIA PUC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| COLORADO PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| CONNECTICUT PUC | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| DELAWARE PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| D.C. PSC | X | 5/ | 5/ | X | 5/ | X | X | 5/ | 5/ | X | | X | X | 5/ | 5/ | X | 5/ | X |
| FLORIDA PSC | X | | | X | | X | X | X | X | X | | X | X | | | X | | X |
| GEORGIA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| GUAM PUC | 5/ | X | 5/ | 5/ | 5/ | X | 5/ | X | 5/ | 5/ | 5/ | X | 5/ | X | 5/ | 5/ | 5/ | X |
| HAWAII PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| IDAHO PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| ILLINOIS CC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| INDIANA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| IOWA SCC | X | X | X | X | X | X | X | | X | X | | X | X | | X | X | | X |
| KANSAS SCC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X | | | | | | X |
| LOUISIANA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| MAINE PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| MICHIGAN PSC | X | | X | X | | X | X | | X | X | | X | X | | | X | | X |
| MINNESOTA PSC | X | X | 10/ | X | X | X | X | X | 10/ | X | X | X | X | X | 10/ | X | X | X |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MISSOURI PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| MONTANA PSC | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| NEBRASKA PSC | | | | | | X | | | | | | X | | | | | | X |
| NEVADA PSC | X | | X | X | | X | X | | X | X | | X | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ |
| NEW HAMPSHIRE PUC | X | | X | X | | X | X | X | X | X | | X | X | X | X | X | | X |
| NEW JERSEY BPU | X | 7/ | X | X | 7/ | X | X | 7/ | X | X | 7/ | X | X | 7/ | X | X | 7/ | X |
| NEW MEXICO PSC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | | | | | | | X | | | | | | |
| NEW YORK PSC | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| NORTH CAROLINA UC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| NORTH DAKOTA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| NOVA SCOTIA PUB | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| OHIO PUC 8/ | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| OKLAHOMA CC | X | | X | X | | X | X | | | X | | X | X | | | X | X | X |
| ONTARIO EB | | | | X | | | | | | X | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | X | | | | | | |
| OREGON PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| PENNSYLVANIA PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| PUERTO RICO PSC | 5/ | 5/ | 5/ | X | 5/ | | 5/ | 5/ | 5/ | X | 5/ | | 5/ | 5/ | 5/ | X | 5/ | |
| QUEBEC EGB | X | | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | X | | | | | | X |
| RHODE ISLAND PUC | X | X | 5/ | X | X | X | X | X | 5/ | X | X | X | X | X | 5/ | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| SOUTH DAKOTA PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| TENNESSEE PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| TEXAS PUC | X | X | X | | | X | X | X | X | | | X | X | X | X | | | X |
| TEXAS RC | | | | X | | | | | | X | | | | | | X | | |
| UTAH PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC 4/ | | | | | | X | | | | | | X | | | | | | X |
| VIRGINIA SCC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| WASHINGTON UTC | X | | | X | | X | X | | | X | | X | | | | | | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| WYOMING PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |

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1/ For utilities engaged in interstate commerce and licensees other than state or municipal licensees.

2/ U.S. agencies must follow FERC accounting.

3/ Yes, for licensees only.

4/ Electric facilities are Government owned and operated and are regulated by the PSC in the area of rate increases only. There is no natural gas service other than bottled gas which is not regulated.

5/ No system in this ownership classification.

6/ Never undertaken.

7/ When subject to specific statute and judicial decision, Board requires publicly owned utilities to comply with applicable rules and regulations.

8/ No jurisdiction over publicly owned or cooperative utilities, except mutual telephone utilities.

9/ Alberta statutes prescribe that an owner of a public utility shall, with respect to the public utility, keep his books, records and accounts so as to afford an intelligent understanding of the conduct of his business and in accord with such uniform system of accounting as the Board may prescribe by regulations.

10/ Has authority only at the election of the cooperative.

Table 26 - ACCOUNTING

| AGENCY | The Agency has -- | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Prescribed a uniform system of accounts for: /FERC (1), FCC (2), NARUC (3), Own (4), No (5)/ | | | Made interpretations of the prescribed system of accounts | | | Prescribed the maintenance of continuing property records | Prescribed rules for the preservation of records | Prescribed units of property to be used in accounts | | | |
| | Electric | Gas | Telephone | | Published | Made Informally | | | FERC | FCC | NARUC | Other |
| FCC | | | 2 | X | X | X | X | X | | X | | |
| FERC | 1 | 1 | | X | X | X | X | X | X | | | |
| ALABAMA PSC | 3 | 3 | 2 | X | X | | X | X | | | | X |
| ALASKA PC 16/ | | 5 | | | | | | | | | | |
| ALASKA PUC | 1 | 1 | 2 | X | | X | X | | | | | |
| ALBERTA PMC | | 5 18/ | | | | | | | | | | |
| ALBERTA PUB | 5 | 4 | 5 | X | | X | 5 | 5 | | | | 5 |
| ARIZONA CC | 3 | 3 | 3 | X | | X | X | | | | X | |
| ARKANSAS PSC | 1 | 1 | 2 | | | | | X | X | | | X |
| CALIFORNIA PUC | 1 1/ | 1 1/ | 2 1/ | X | | X | X | X | X | X | | |
| COLORADO PUC | 1 | 1 | 2 | X | | X | X | X | X | X | X | |
| CONNECTICUT PUC | 3 | 3 | 2 | X | | | X | | | | | |
| DELAWARE PSC | 1 | 1 | 2 | X | | X | | | | | X | |
| D.C. PSC | 1 | 1 | 2 | X | | X | X | X | X | X | | |
| FLORIDA PSC | 1 | 1 | 2 | X | | X 2/ | X 20/ | X 2/ | X | X | X | |
| GEORGIA PSC | 3 | 3 | 2 | X | | X | X | X | X | X | X | |
| GUAM PUC | | 17/ | | | | | | | | | | |
| HAWAII PUC | 3 | 3 | 2 | | | | X | X | | X | X | |
| IDAHO PUC | 3 | 3 | 2 | X | | X | X | X | | | X | |
| ILLINOIS CC | 4 | 4 | 4 | X | | X | X | X | | | | X |
| INDIANA PSC | 1,3 | 1,3 | 2,4 | X | | X | | X | X | X | X | |
| IOWA SCC | 1 | 1 | 2 | X | | X | X | X | X | X | | |
| KANSAS SCC | 1 | 1 | 2,4 | X | | X | X | 5/ | X | | | |
| KENTUCKY ERC | 1 | 1 | | X | | X | X | X | X | | | |
| KENTUCKY URC | | | 2 | X | | X | X | X | | | | |
| LOUISIANA PSC | 3 | 3 | 2 | X | | X | X | X | | X | X | |
| MAINE PUC | 4 | 3 | 2,4 6/ | X | | X | 7/ | | | | | |
| MARYLAND PSC | 1 | 1 | 2 | X | | X | X | X | X | X | X | |
| MASSACHUSETTS DPU | 4 | 4 | 2 | X | | X | X | X | | | | |
| MICHIGAN PSC | 4 | 4 | 4 | X | | X | X | X | | | | |
| MINNESOTA PSC | 1 | 1 | 2 | X | | | X | X | | | | |
| MISSISSIPPI PSC | 1,3 | 1,3 | 1,2 | | | | | | X | X | X | X |
| MISSOURI PSC | 1 | 1 | 2 | 9/ | | | X | 10/ | X | X | X | |
| MONTANA PSC | 3 | 3 | 2 | X | | X | X | X | | X | X | |
| NEBRASKA PSC 8/ | | | 2,4 | X | | X | | X | | X | | |
| NEVADA PSC | 1 | 1 | 2 | X | | X | | X | | | | |
| NEW HAMPSHIRE PUC | 1 | 4 | 2 | X | X | | X | X | X | X | | |
| NEW JERSEY BPU | 3 3/ | 3 3/ | 2 3/ | X | | X | X | X | | | X | |
| NEW MEXICO PSC | 3 | 3 | | | | | | | | | X | |
| NEW MEXICO SCC | | | 2 | | | | | | | X | | |
| NEW YORK PSC | 4 | 4 | 4 | X | X | X | X | X | | | | X 11/ |
| NORTH CAROLINA UC | 3 | 3 | 2 | | | X | X | X | | X | X | |
| NORTH DAKOTA PSC | 3 | 3 | 2 | X | | X | | | | | X | |
| NOVA SCOTIA PUB | 4 | 5 | 4 | X | | X | X | X | | | | X |
| OHIO PUC | 1,4 12/ | 1,4 12/ | 2,4 12/ | X | | X | X 13/ | X | | | | 14/ |
| OKLAHOMA CC | 3 | 3 | 2 | X | | X | X | X | | | | |
| ONTARIO EB | | 4 | | X | | | | | | | | |
| ONTARIO TSC | | | 3 | | | X | X | X | | | | X |
| OREGON PUC | 1 | 1 | 2 19/ | X | | X | X | X | X | X | | |
| PENNSYLVANIA PUC | 1 | 1 | 2,4 | X | X | X | X | X | | | | 15/ |
| PUERTO RICO PSC | | 3 | 2 | X | | X | X | X | | X | X | |
| QUEBEC EGB | 4 | 4 | | X | X | | X | X | | | | X |
| QUEBEC PSB | | | 4 | X | | X | | X | | | | 11/ |
| RHODE ISLAND PUC | 3 | 3 | 2 | X 9/ | | X | | | | | X | |
| SOUTH CAROLINA PSC | 1,3 | 1,3 | 2 | X | | X | X | X | X | X | | |
| SOUTH DAKOTA PUC 4/ | 1,4 | 1,4 | 2 | X | | X | X | X | X | X | | |
| TENNESSEE PSC | 3 | 3 | 2 | X | | X | | | | X | X | |
| TEXAS PUC | 1,3 | N/A | 2,4 | | | | | | | | | |
| TEXAS RC | | 3 | | X | | X | | | | | | |
| UTAH PSC | 3 | 3 | 2 | 9/ | | X | X | X | | X | X | |
| VERMONT PSB | 1 | 1,3 | 2 | X | | X | X | X | X | X | X | |
| VIRGIN ISLANDS PSC | | 17/ | 2 | | | | | | | X | | |
| VIRGINIA SCC | 3 | 3 | 4 | | | | | X | | | X | |
| WASHINGTON UTC | 3 | 3 | 2 | X | | X | | X | | | | |
| WEST VIRGINIA PSC | 3 | 1 | 2 | | | | X | X | X | X | X | |
| WISCONSIN PSC | 3,4 | 3,4 | 2,4 | X | | X | X | X | | | X | |
| WYOMING PSC | 1 19/ | 1 19/ | 2 19/ | | | | X | X | | | | |

1/ With modifications.
2/ Also use NARUC, FCC, and FERC.
3/ Electric complete, gas incomplete, no action for telephone, use NARUC with modification.
4/ Commission regulation of electric and gas utilities became effective July 1, 1975.
5/ Orders for destruction are issued.
6/ FCC for Bell Companies, own for independents.
7/ Utilities determine method subject to Commission approval.
8/ No Commission regulation of electric or gas utilities.
9/ Adopted NARUC interpretations.
10/ Adopted FERC rules.
11/ "Own" for telephone only.
12/ FERC and FCC Systems of Accounts accepted where company is subject to Federal Agency regulation for accounting.
13/ In specific cases.
14/ Per applicable system of accounts.
15/ Own.
16/ The Commission has proposed a system of accounts which is being reviewed by carriers and Commission.
17/ No natural gas service other than bottled gas which is not regulated by Commission.
18/ National Energy Board.
19/ Own system of accounts for very small companies.
20/ Electric and gas complete, no action for telephone, use NARUC with modification.

Table 27a - ACCOUNTING TREATMENT

| AGENCY | The Agency has prescribed the accounting treatment for - | |
|---|---|---|
| | Gains and losses from reacquired securities | Research and development expenditures |
| FCC | Extraordinary income accounts for gains and losses on debt securities; other capital or retained earnings account for gains and losses on equity securities. | |
| FERC 1/ | Order No. 505 (Docket No. R-424) issued February 11, 1974: (a) Included in income currently if insignificant in amount. (b) Deferred and amortized over life of the original issue (without refunding). (c) Deferred and amortized over the life of the new issue (when refunding). (d) Included in income currently if allowed by a State Commission, for rates. | Order No. 462 (Docket No. 483) issued April 30, 1973: Expenditures within the definitions of R&D are to be included in Account 188, 107 or 103. Amounts initially recorded in Account 188 are to be expensed currently if minor in amount or amortized to expense over a period of years, if significant. Order No. 566 (Docket No. RM76-17) issued June 3, 1977, clarified the Commission's rate review procedures by allowing advance approval of the RD&D program by organizations which derive financial support from jurisdictional companies and establishing tracking procedures. |
| ALABAMA PSC | | Expensed. |
| ALASKA PUC | As described in the FERC Uniform System of Accounts. | |
| CALIFORNIA PUC | Adopts FERC or FCC requirements contained in system of accounts. | Adopted FERC Accounting treatment. |
| COLORADO PUC | As provided in the applicable uniform system of Accounts. | As provided in the applicable uniform system of Accounts. |
| CONNECTICUT PUC | Gains or losses are credited or charged to Other Income or Other Income Deductions. Significant gains or losses are credited or charged to Extraordinary Income or Deductions. | |
| D.C. PSC | FERC Method. | FERC Method. |
| FLORIDA PSC | Use FERC and FCC. | Currently use FERC and FCC. |
| GEORGIA PSC | No accounting treatment prescribed. | |
| GUAM PUC | No accounting treatment prescribed. | |
| ILLINOIS CC | Miscellaneous debit or credit to surplus. | R&D expenditures classified as Miscellaneous General Expenses in the Administrative and General Expenses category. |
| INDIANA PSC | FERC Method. | Yes. |
| IOWA SCC | As provided in the applicable system of accounts. | As provided in the applicable system of accounts. |
| KANSAS SCC | FERC Rules. | Individual orders issued to certain electric utilities. |
| KENTUCKY ERC & URC | As provided in the applicable system of accounts. | As provided in the applicable system of accounts. |
| MAINE PUC | Capital surplus. | Authorized as allowable for rate-making. |
| MARYLAND PSC | The problem has never arisen where a decision was needed. | Allows reasonable expenses. |
| MICHIGAN PSC | Treated as other income. | Treated as operating expense. |
| MINNESOTA PSC | As prescribed in the applicable system of accounts. | As prescribed in the applicable system of accounts. |
| MISSISSIPPI PSC | No jurisdiction over issuance of securities. | No jurisdiction. |
| MISSOURI PSC | Adopted FERC rules. | Operating expenses per Mo. PSC Order 4-12-73, Case No. 17583. |
| MONTANA PSC | FERC, FCC, NARUC as applicable. | |
| NEVADA PSC | As prescribed in the applicable system of accounts. | FERC method. |
| NEW HAMPSHIRE PUC | Debit or credit retained earnings. | FERC Treatment. |
| NEW JERSEY BPU | Uniform System of Accounts. | Same as FERC. |
| NEW MEXICO SCC | FCC Method. | |
| NEW YORK PSC | Gains or losses are reflected in current year's income statement unless other treatment is allowed by the PSC. | Specific accounts have been created for R&D on the balance sheet, and R&D was added to item lists in expense accounts. R&D for specific projects is charged to a subaccount of Work in Progress. Other R&D is charged to a deferred debit account and with PSC approval, amortized. |

Table 27a - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency has prescribed the accounting treatment for - | |
|---|---|---|
| | Gains and losses from reacquired securities | Research and development expenditures |
| NORTH CAROLINA UC | As provided for in the NARUC System of Accounts. | As provided for in the NARUC System of Accounts. |
| NOVA SCOTIA PUB | As provided in applicable system of Accounts. | |
| OHIO PUC | As provided in applicable system of accounts. | As provided in applicable system of accounts. |
| OKLAHOMA CC | Same as FERC, FCC or NARUC as applicable. | Same as FERC, FCC or NARUC as applicable. |
| OREGON PUC | As provided in the applicable Uniform System of Accounts. | As provided in the applicable Uniform System of Accounts. |
| PENNSYLVANIA PUC | As detailed in system of accounts. | This Commission has initiated a quarterly review of major R&D programs. |
| QUEBEC PSB | As provided in applicable system of accounts. | As provided in applicable system of accounts. |
| RHODE ISLAND PUC | Adopts FERC method. | Same as FERC, FCC or NARUC as applicable. |
| SOUTH DAKOTA PUC | No. | No. |
| TENNESSEE PSC | As provided in applicable system of accounts. | As provided in applicable system of accounts. |
| TEXAS PUC | FERC, FCC, or NARUC as applicable. | FERC, FCC, or NARUC as applicable. |
| TEXAS RC | Considered on a case by case basis. | Considered on a case by case basis. |
| UTAH PSC | Only as covered by system of accounts. | |
| VERMONT PSB | Same as FERC, FCC, or NARUC as applicable. | Same as FERC, FCC, or NARUC as applicable. |
| VIRGIN ISLANDS PSC | FCC rules and regulations. | FCC rules and regulations. |
| VIRGINIA SCC | FERC (b). | Expensed. |
| WASHINGTON UTC | As covered by adopted system of accounts. | As covered by adopted system of accounts. |
| WISCONSIN PSC | As provided in applicable system of accounts. | As provided in applicable system of accounts. |
| WYOMING PSC | As covered by adopted system of accounts. | |

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[1] The Uniform Systems of Accounts for oil pipelines has been developed for the most part to support generally accepted accounting principles (GAAP). Unless otherwise stated the accounting treatment for these areas are based upon GAAP.

Table 27b - ACCOUNTING TREATMENT

| AGENCY | The Agency allows --- (the conditions and accounts or the method generally used by companies) | | | |
|---|---|---|---|---|
| | Transfers from contributions in aid of construction account | Amortization of plant acquisition adjustment to operating income | Amortization of extraordinary property losses | Allocation of negative income taxes to department of a company |
| FCC | Requires this to be credited directly to the plant accounts. | Portion of plant acquisition adjustment represented by excess of present value depreciated over original cost depreciated may be so amortized. | This amortization is rarely permitted and only on a case by case basis of hardship showing. Amortization is to an "above the line" account for extraordinary retirements. | Tax value of a tax loss is assigned to the department producing such tax loss. |
| FERC 1/ | Effective January 1, 1974, contributions are to be credited to the appropriate property investment account of plant in service. Amounts previously included in Account 271 are to be transferred to depreciation reserves 108 (if property is no longer in service or is not identifiable) or to plant in service accounts. | Acquisition adjustments are to be amortized to a nonoperating account, Account 425, over a period not longer than the estimated remaining life of the related properties unless the company can demonstrate overriding benefits to the ratepayers as a result of the acquisition. | When properly supported as prudently incurred by the company, extraordinary property loss amounts are to be amortized to Account 407. The related tax effects of the loss should also be deferred in Account 283 and amortized over the same period as the loss. | Tax value of a tax loss is assigned to the department producing such tax loss. The uniform system of accounts provides for the assignment of negative income taxes to any utility department(s) or to operating or non-utility operations which generates tax losses. |
| ALABAMA PSC | | Expensed over specified period. | Yes. | |
| ALASKA PUC | Amortization of depreciable utility property acquired by contributions. | Upon showing of a commensurate benefit to the ratepayer. | If management is not at fault. To deferred charges. | |
| ALBERTA PUB | Amortization as a credit to annual depreciation expense at the composite depreciation rate applicable to the property acquired by the contributions. | Prudent acquisition cost only subject to specific Board action. | At discretion of the Board. | Negative income taxes are allocated between various utility operations in accordance with audited financial statements. |
| ARIZONA CC | Adopted FERC and FCC requirements. | | | |
| ARKANSAS PSC | As a credit to depreciation reserve. | With approval to operating expense. | With approval to operating expense. | |
| CALIFORNIA PUC | Adopted FERC and FCC requirements. | Only if in public interest. | Determined on merits of each request and financial condition. Amortized to various accounts. As authorized by the Commission. | |
| COLORADO PUC | Adopted FERC and FCC requirements. | If benefits derived by the customers from the acquisition by a new company equals the amortization of acquisition adjustment. | Upon written request of each utility showing that immediate write-off would be a hardship. Credit extraordinary retirment and debit extraordinary retirement expense. | When company operates separate geographical divisions and can substantiate a separate income statement for each division. |
| CONNECTICUT PUC | Only if inadvertently credited to this account originally. Also this account eliminated for electric and gas. | | As per NARUC uniform systems of accounts. | |
| DELAWARE PSC | No. | No. | Yes. | No. |
| D.C. PSC | Adopted FERC and FCC requirements. | Yes. | In accordance with the uniform systems of accounts. | |
| FLORIDA PSC | Adopted FERC and FCC. | If public interest is clearly served by the acquisition: use FERC or FCC accounting. Amortization allowed in cost of service and unamortized balance allowed in rate base. | If result is undue depletion of depreciation reserve: use FERC or FCC accounting. Amortization allowed in cost of service but unamortized balance not allowed in rate base. | Yes. |
| GEORGIA PSC | | | Only if there is undue depletion of reserve for depreciation. | |
| GUAM PUC | Case by case. | Case by case. | Case by case. | Case by case. |
| HAWAII PUC | After estimated service life of facilities is past. | | Storm damages over a period of 5 years. To Account 407, Amortization of Property Losses. | |
| IDAHO PUC | | | Depends on individual circumstances whether above or below the line. | |
| ILLINOIS CC | Requires Commission approval. | Only if shows public benefit. | Operating expense (net of tax consequences). | Negative income taxes are allocated to loss departments of a combination company in proportion to their contribution to reduction of taxable income. |
| INDIANA PSC | Adopted FERC and FCC. | No. | Storm damages - 5 years. | |

Table 27b - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency allows --- (the conditions and accounts or the method generally used by companies) | | | |
|---|---|---|---|---|
| | Transfers from contributions in aid of construction account | Amortization of plant acquisition adjustment to operating income | Amortization of extraordinary property losses | Allocation of negative income taxes to department of a company |
| IOWA SCC | Adopted FERC and FCC requirements. | FERC method. | In accordance with the uniform system of accounts. | Negative income taxes are allocated between various utility operations in a combination company. |
| KANSAS SCC | Requires Order by Commission. | Allowed in the public interest. | When the amounts are large enough to seriously affect the reserve and/or the financial position. | |
| KENTUCKY ERC AND URC | Adopted FERC and FCC requirements. | If adjustment arose from transaction which resulted in benefit to customers. | If customer benefited. | Yes. |
| LOUISIANA PSC | | | Force Majeure; obsolescence above the line to Account 407. | |
| MAINE PUC | | Prudent acquisition cost - miscellaneous amortization. | Extraordinary losses not covered by depreciation - miscellaneous amortization. | |
| MARYLAND PSC | To depreciation reserve. | If situation warrants. | Income. | |
| MASSACHUSETTS DPU | Normally not permitted: however, allowed if company wants to conform to FERC System of Accounts as a credit to Plant Accounts. | Only one case, and it was charged to income over the life of the property but the unamortized balance, by agreement with company will be included in rate base. | Usually permitted to amortize not over 10 years. Excluded from rate base. Offset by tax benefits. One court case ordered department to include in rate base. | |
| MICHIGAN PSC | Recorded as reductions of plant account balances. | If change in ownership benefits the customers. Generally burden is on utility to prove benefit. | Above the line if abuse of managerial discretion is not involved. | Income tax is computed for each department based on taxable income or loss attributed to that department. |
| MINNESOTA PSC | In accordance with FERC and FCC Uniform System of Accounts. | Not for rate-making. | If rate making permit amortization to expense. Exclude from rate base. | |
| MISSISSIPPI PSC | Remains as deferred credit. | Yes. Written off over responsible life. | Generally to surplus. | Credit to taxes paid. |
| MISSOURI PSC | In accordance with FERC Uniform Systems of Accounts. | No. | Depends on conditions surrounding extraordinary loss to appropriate expense account. | Yes. |
| MONTANA PSC | Normally not permitted; however allowed if company wants to conform to FERC System of Accounts as a credit to Plant Accounts. | Account 406 - Commission judgment. | If loss is caused by weather obsoletion, etc. Accounts 407 and 407.1. | Yes. |
| NEBRASKA PSC | | | If property not fully depreciated to amortize expense. | |
| NEVADA PSC | FERC method for electric and gas; NARUC method for water. | Commission judgment. | FERC method. | |
| NEW HAMPSHIRE PUC | FERC and FCC treatment for electric and telephone. Gas-credit contributions and debit plant. Depreciate over life of plant. | Adjustment to plant and amortized over average life of property. | Use FERC and FCC methods. | |
| NEW JERSEY BPU | Only to correct errors and overcharges. | | Sudden and unexpected destruction or loss not otherwise recovered. Amortized over reasonable time to above the line amortization account if warranted. | |
| NEW MEXICO PSC | | | | |
| NEW MEXICO SCC | FCC method. | | | |
| NEW YORK PSC | We have no contributions in aid of construction account. | | Extraordinary losses not provided for by accumulated depreciation or amortization which could not have been foreseen, provided for or anticipated and not covered by insurance, can, with PSC approval, be written off above the line. | Operating income is departmental per books: reconciling items which can be identified with departments are so identified: interest and other non-departmental reconciling items are allocated according to usual procedures. |
| NORTH CAROLINA UC | FERC and FCC Methods. | FERC and FCC Methods. | Amortization of extraordinary losses from acts of God or technological changes when requested by the company may be allowed. The amortization is generally charged to the appropriate operating expense account. | |
| NORTH DAKOTA PSC | | Commission approval at time of acquisition. | Commission approval, to depreciate and amortize expense. | |

Table 27b - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency allows --- (the conditions and accounts or the method generally used by companies) | | | |
|---|---|---|---|---|
| | Transfers from contributions in aid of construction account | Amortization of plant acquisition adjustment to operating income | Amortization of extraordinary property losses | Allocation of negative income taxes to department of a company |
| NOVA SCOTIA PUB | Contributions allowed to be depreciated. | | Commission approval required to depreciate or amortize expense. | |
| OHIO PUC | Agree with FCC and FERC treatment. In case of other types of utilities the balance of contributions in aid of construction is used to reduce the rate base for ratemaking purposes. | Not for rate making purposes. | For telephone, gas and electric deferral is to accounts 138 and 182, respectively. Charges against income are to various accounts. In no case is the charge allowed in rate proceedings. | Tax value of a tax loss is assigned to the department producing such tax loss. |
| OKLAHOMA CC | Adopted FCC and FERC treatment. | To non-operating account. | Case by case. | |
| ONTARIO EB | | | Board approval to amortize "above the line." | |
| ONTARIO TSC | | | | |
| OREGON PUC | The Oregon PUC does not have a contributions account. | Only if the acquisition results in improved service with no change in rates. Account charged is specifically identified. | Based on showing of financial hardship. | Yes. |
| PENNSYLVANIA PUC | Plant or earned surplus, but only with approval by the Commission. | | When the loss is not to dereliction of public utility, management, unrecovered loss after taxes to operating expense. | |
| PUERTO RICO PSC | | | Over a period of years. | |
| QUEBEC EGB | Contributions in aid of construction is depreciated at the rate of 5% straight line. No withdrawal is required. | Consider each case separately. | Yes. | No. |
| QUEBEC PSB | Case-by-case. | Yes. | Yes. | Yes. |
| RHODE ISLAND PUC | FERC rules. | Consider each case separately. | Yes. | Yes. |
| SOUTH CAROLINA PSC | | Electric account 406, telephone account 614. | Telephone account 609. | |
| SOUTH DAKOTA PUC | FERC or FCC methods. | Yes. | Casualties and obsolescence using accounts 138 and 609. | Yes. |
| TENNESSEE PSC | No. | Consider each case on its merits. | Consider each case on its merits. | Yes. |
| TEXAS PUC | FERC or FCC methods. | FERC or FCC methods. | FERC or FCC methods. | FERC or FCC methods. |
| TEXAS RC | Each case considered on its merits. | Each case considered on its merits. | Each case considered on its merits. | Each case considered on its merits. |
| UTAH PSC | | | If charging loss to depreciation reserve would deplete provisions in reserve too much. Account 407 for electric and gas, and account 609 for telephone. | Taxable income. |
| VERMONT PSB | FERC rules. | Consider each case separately. | Five-year period. | |
| VIRGIN ISLANDS PSC | FCC rules. | FCC rules. | FCC rules. | N/A |
| VIRGINIA SCC | Yes. | Consider each case on its merits. | Yes. | Yes. |
| WASHINGTON UTC | Debit contributions; credit depreciation reserve. To amortize contributions over their service life. | If it is shown to be a commensurate benefit to the ratepayer. | Below the line - under most conditions. Above the line - if not excessive, is offset by any Federal Income Tax benefit received and could not have been reasonably prevented. | Yes. |
| WEST VIRGINIA PSC | | | Commission approval required. Gas account 407.1, electric account 406, and telephone account 609. | Plant investment. |
| WISCONSIN PSC | | | Yes. | Yes. |
| WYOMING PSC | | | Extraordinary losses on property abandoned or otherwise retired from service, or storm damage and castastrophe. | |

1/ The Uniform Systems of Accounts for oil pipelines has been developed for the most part to support generally accepted accounting principles (GAAP). Unless otherwise stated the accounting treatment for these areas are based upon GAAP.

Table 27c - ACCOUNTING TREATMENT

| AGENCY | The Agency has defined - | |
|---|---|---|
| | Operating units or system | Extraordinary property losses |
| FCC | Any substantial property such as a principal building, a run of cable, a line of poles or conduit. | Cause of retirement must not be a recognized factor in depreciation and a charge to depreciation reserve must unduly deplete it. |
| FERC 1/ | An operating unit or system has not been formally defined, however, any substantial unit or segment of utility operating stations, large segments of transmission lines, substations, etc., will be considered operating units or systems. | Losses on property abandoned or otherwise retired from service which have not been provided for by depreciation and amortization which could not have been reasonably foreseen and provided for, provided the amounts are significant. |
| ARKANSAS PSC | Same as NARUC, FCC or FERC. | Same as NARUC, FCC or FERC. |
| CALIFORNIA PUC | Adopted FCC and FERC procedures. | Adopted FCC and FERC procedures. |
| COLORADO PUC | Adopted FERC procedures. | No. |
| FLORIDA PSC | Adopted FERC and FCC procedures. | Adopted FERC and FCC procedures. |
| GUAM PUC | No | No. |
| ILLINOIS CC | | Extraordinary property losses shall include extraordinary losses on property abandoned or otherwise retired from service which are not provided for by the accumulated provisions for depreciation or amortization and which could not reasonably have been foreseen and provided for, and extraordinary losses, such as damages to property which could not have been anticipated and which are not covered by insurance or other provisions. |
| INDIANA PSC | Yes. | Losses due to tornadoes. |
| IOWA SCC | Same as FERC and FCC. | Same as FCC and FERC. |
| LOUISIANA PSC | | Losses due to hurricanes and/or obsolescence. |
| MARYLAND PSC | Adopted FERC procedures. | |
| MICHIGAN PSC | Not formally, but on a case-by-case basis it has in connection with original cost audits. | Not formally, but on a case-by case basis it has in authorizing accounting procedures and practices. |
| MINNESOTA PSC | | In accordance with FERC and FCC Uniform System of Accounts. |
| MISSOURI PSC | Systems of accounts definition for gas, electric and telephone. | Systems of accounts and on individual fact situation. |
| MONTANA PSC | Not formally, but on a case-by-case basis it has in connection with original cost audits. | Loss caused by weather, obsolescence. |
| NEVADA PSC | Not formally defined. | Not formally defined. |
| NEW HAMPSHIRE PUC | Adopted FERC "List of Units of Property." | Sold at less than original cost. |
| NEW JERSEY BPU | Same as FERC, NARUC. | Same as FERC, NARUC. |
| NEW YORK PSC | No, except in individual cases and circumstances. | No, except in individual cases and circumstances. |
| NORTH CAROLINA UC | Same as FERC, NARUC, and FCC. | Same as FERC, NARUC, and FCC. |
| NOVA SCOTIA PUB | No. | No. |
| OHIO PUC | Determined in individual situation. | Per system of accounts. |
| OKLAHOMA CC | System of accounts definition for gas, water, electric and telephone. | System of accounts on case-by-case fact situation. |
| OREGON PUC | Same as FERC and FCC. | Same as FERC and FCC. |
| QUEBEC EGB | No. | On a case-by-case basis. |
| QUEBEC PSB | By system of accounts. | By system of accounts and on case-by-case basis. |

Table 27c - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency has defined - | |
|---|---|---|
| | Operating units or system | Extraordinary property losses |
| RHODE ISLAND PUC | Same as FERC, NARUC or FCC. | FERC and NARUC, and on a case-by-case basis. |
| SOUTH DAKOTA PUC | No. | No. |
| TENNESSEE PSC | Same as NARUC or FCC. | Same as NARUC or FCC. |
| TEXAS PUC | Same as FERC, NARUC, or FCC. | Same as FERC, NARUC. |
| TEXAS RC | Considered on a case-by-case basis. | Considered on a case-by-case basis. |
| VERMONT PSB | Has generally accepted interpretations of the adopted system of accounts. | Has generally accepted interpretations of the adopted system of accounts. |
| VIRGIN ISLANDS PSC | FCC rules. | FCC rules. |
| VIRGINIA SCC | Yes, through system of accounts. | On a case-by-case basis. |
| WISCONSIN PSC | By system of accounts and on case-by-case basis. | By system of accounts and on case-by-case basis. |

Table 27c - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency has defined - | | |
|---|---|---|---|
| | Proper period for the capitalization of allowance for funds used during construction | Proper interest rate for allowance for funds used during construction | Method of determining allowance base |
| FCC | From commencement of construction on projects designed to be completed in over one year to completion or ready for utility service, including periods of suspension of construction which must not exceed six months. On projects designed to be completed in one year or less, but are not from commencement of the second year of the project. | | Plant Under Construction account balance excluding expenses for projects which have been abandoned or suspended for over six months. |
| FERC 1/ | From the date that construction costs are first expended on a planned progressive basis until the project is placed in or ready for service. Disallowed during periods of interrupted construction, unless justified under the circumstances. | Maximum rate determined in accordance with applicable Plant Instruction 3(17) of the U.S. of A. Generally the cost of borrowed funds used for construction and reasonable rate on other funds when so used. | The Uniform Systems of Accounts does not provide a specific method for computing AFUDC. The most widely used method by utilities, supported by the staff, is: Beginning month accumulated balance of qualifying expenditures x ½ current month's expenditures x monthly AFUDC rate. Qualifying expenditures include balances in Account 107 and 120 where a construction period is involved. The allowance base may include previously capitalized AFUDC, compounded semi-annually, but cannot include other construction charges for which a cash outlay has not been made, such as amounts withheld from construction contract payments. |
| ALABAMA PSC | In process of evaluating position. | 6% | |
| ALASKA PUC | During period of active construction. | Actual. | No prescribed method. |
| ALBERTA PWC | Prior to used or useful. | Current rate of return. | No prescribed method. |
| ALBERTA PUB | During actual period of construction and until transfer is made from work-in-progress to plant ledger accounts. | Varies case by case. | No prescribed method. |
| ARKANSAS PSC | During period of active construction. | Varies from case to case. | Various. |
| CALIFORNIA PUC | Adopted FERC procedures. | FERC for electric and gas utilities. Case by case for telephone utilities. | Beginning CWIP balance, excluding land, plus average CWIP increases during the month. |
| COLORADO PUC | FERC and FCC. | Encourage use of last rate of return not to exceed FERC formula. | Average base, CWIP allowed if AFUDC is credited to income - based upon a past test year - net original cost and FERC requirements. |
| DELAWARE PSC | | | End of test year. |
| D.C. PSC | FERC and FCC. | | FERC and FCC. |
| FLORIDA PSC | FERC and FCC. | Long-term embedded capital cost, using last authorized return on equity (Docket No. 72609-PU Order No. 6640, Order No. 8506 and 8618). | Average monthly balance of CWIP, excluding CWIP included in rate base and AFUDC previously capitalized. |
| GUAM PUC | Case by case. | Case by case. | Case by case. |
| HAWAII PUC | Until property is used and useful for utility purposes. | Rate for capitalization of allowance for funds used during construction is geared to the prime interest rate. | No set formula for setting a rate to be used in computing the amounts to be capitalized. Rate varies between companies. |
| IDAHO PUC | From time construction starts until first unit is in operation. | Various. | No specific method--varies by utility. |
| ILLINOIS CC | Uninterrupted period of construction, unless interruption justified by circumstances. | Net cost of funds. | CWIP accumulated balance less CWIP included in rate base. |
| INDIANA PSC | FERC or NARUC. | Varies by utility. | Generally as prescribed by uniform system of accounts. |
| IOWA SCC | FERC and FCC. | FERC and FCC. | FERC method. |
| KANSAS SCC | Actual period of construction. | Floor: embedded cost of debt. Ceiling: allowed rate of return. | Base is the monthly accumulated construction cost, excluding any AFUDC previously included. |
| LOUISIANA PSC | | A rate approximately equal to the current cost of capital, with certain exceptions. | Construction work in progress. |
| MAINE PUC | Until the property is ready for service. | Net current cost of borrowed funds. | Construction expenditures excluding AFUDC from the time construction starts until the project is in service. |
| MARYLAND PSC | FERC. | Various. | Monthly additions plus accumulation. |
| MASSACHUSETTS PUC | Construction period. | | Not allowed. |

Table 17c - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency has defined - | | |
|---|---|---|---|
| | Proper period for the capitalization of allowance for funds used during construction | Proper interest rate for allowance for funds used during construction | Method of determining allowance base |
| MICHIGAN PSC | Not formally, although generally in audits we use the date the plant went into service as the stopping point and first labor charges as starting point. | Subject of generic hearings. | Hearings are presently under way on this subject. Generally the cost of the project and its duration indicate which work orders are included. The AFUDC capitalized previously and certain capitalized overheads are often excluded from the base. |
| MINNESOTA PSC | This issue has not been addressed by the PSC. If at this time a decision as to what proper period should be used, the staff would probably favor the FERC basis. | As provided for in FERC and FCC Uniform System of Accounts. | This issue has not been addressed by the Commission. If a decision became necessary at this time, the staff would favor the FERC approach. |
| MISSISSIPPI PSC | | Yes. | |
| MISSOURI PSC | Actual period of construction. | Various. | Use FERC Order 561 re AFUDC. |
| MONTANA PSC | FERC. | FERC Order 561. | FERC method. |
| NEVADA PSC | NARUC interpretation. | The lesser rate as determined, using the FERC Order 561 formula versus the rate of return authorized in the last rate making procedure as per the Commission Order in Docket 1397 and 1437 applicable to Sierra Pacific Power Company and Nevada Power Co. | Beginning monthly balance, excluding accumulated AFDC; plus one-half of the monthly increment, excluding AFDC. Note: AFDC on AFDC not allowed. |
| NEW HAMPSHIRE PUC | FERC or FCC rules. | FERC or FCC rules. | FERC or FCC rules. |
| NEW JERSEY BPU | Same as FERC. | Various. | As prescribed in the Uniform System of Accounts, or as stipulated in formal rate proceedings. |
| NEW MEXICO PSC | | 6-1/2% company options as to minimum amount of expenditure on which interest is capitalized and when interest is capitalized. | |
| NEW YORK PSC | Date of period construction commences until property is ready for service, including any reasonable and necessary period property is held for future service. | Not to exceed allowed rate of return (latest rate case). Exceptions by approval of Director of Accounting. | Not specifically defined--method used is examined for reasonableness. |
| NORTH CAROLINA UC | Same as FERC and FCC. | For electric companies it is the same as prescribed by FERC Order 561 in Docket RM 75-27. Also the AFUDC rate should be calculated semi-annually using the current embedded cost of debt and preferred stock and the rate of return on equity granted in the company's last rate case, and the average capital structure for the previous six months. No specific formula has been designed for companies other then electric. | Actual CWIP not included in the rate base has interest compounded no more frequently than semi-annually. |
| NORTH DAKOTA PSC | | FERC formula. | FERC or FCC. |
| NOVA SCOTIA PUB | Yes - when asset used or useful in supplying service. | Interest based upon market rates. | No prescribed method. |
| OHIO PUC | During active construction period, with limits on construction suspension periods. | Each utility's rate is determined by analysis of the cost of capital used to support construction. | FERC or FCC. |
| OKLAHOMA CC | Yes. | Various. | FERC or FCC. |
| ONTARIO EB | During actual period of construction and until transfer is made from work-in-progress to plant ledger accounts. | Shall not exceed ½ of 1% higher than the average cost of borrowed funds unless a higher rate is approved by the Board. | |
| OREGON PUC | As prescribed in the Uniform System of Accounts. | Varies by telephone utility. Gas and Electric - FERC Order 561. | As prescribed in the Uniform System of Accounts. |
| PENNSYLVANIA PUC | As defined in FERC Uniform System of Accounts. | Each utility's rate is determined by individual analysis. | As prescribed by the FERC Uniform System of Accounts for recording plant costs. |
| PUERTO RICO PSC | | Same as rate of return allowed. | |
| QUEBEC EGB | Yes. | Same as rate of return allowed: applicable to specific accounts. | Yes. |
| QUEBEC PSB | During actual period of construction and until asset put into operation. | Various. | |
| RHODE ISLAND PUC | FERC, NARUC or FCC. | Various. | |
| SOUTH DAKOTA PUC | Yes. | In some cases. | Yes. |
| TENNESSEE PSC | During active construction period. | Various. | Method used is examined for reasonableness. |
| TEXAS PUC | Case by case. | Maximum rate as determined using formula in FERC Order No. 561. | Case by case. |
| TEXAS RC | From time construction started until asset put into operation. | Same as rate of return on rate base. | Funds committed to or invested in construction. |

Table 27c - ACCOUNTING TREATMENT (Continued)

| AGENCY | The Agency has defined - | | |
|---|---|---|---|
| | Proper period for the capitalization of allowance for funds used during construction | Proper interest rate for allowance for funds used during construction | Method of determining allowance base |
| UTAH PSC | | FERC formula. | Not defined. |
| VERMONT PSB | Decided on a case-by-case basis. | Maximum amount is the rate of return authorized. | FERC or FCC. |
| VIRGIN ISLANDS PSC | FCC rules. | Same as rate of return on rate base. | Same as rate of return. |
| VIRGINIA SCC | During actual period of construction. | Various. | |
| WISCONSIN PSC | Yes. | Case by case. | Case by case. |
| WYOMING PSC | Same as FERC. | Case by case. | Combination of prime rate on short-term borrowing and average embedded cost of long-term debt. |

1/ The Uniform Systems of Accounts for oil pipelines has been developed for the most part to support generally accepted accounting principles (GAAP). Unless otherwise stated the accounting treatment for these areas are based upon GAAP.

Table 28 - AUDITS

| AGENCY | The Agency - | | | | | | Number of audits completed in last calendar year | | | | | | Number of utilities subject to audit by the Commission in preceding calendar year | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Requires annual audits by independent accountants - | | Has authority to require annual audits by independent accountants | | Requires copies of independent audit reports | Makes periodic audits of regulated companies | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone |
| | Annually | Or at other intervals | Under a general statute | Under a specific statute | | | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | |
| FCC | | | | | | | | | | | | 11/ | | | | | | 11/ |
| FERC | X 34/ | X | X | | X 31/ | X | 51 | | | 17 | | | 203 | | 5 12/ | 82 | | |
| ALABAMA PSC | | | | | X 6/ | X | | | | | | | | | | | | |
| ALASKA PC | | | X | | | | | | | | | | | | | | | |
| ALASKA PUC | | | X | | | X | 2 | 1 | 7 | 2 | | | 23 | 1 | 12 | 2 | | |
| ALBERTA PMC | | | | | | X | | | | 2 | | | | | | | | |
| ALBERTA PUB | X | | | | X | X | 3 | | | 11 | 5 | | 3 | | | 19 | 22 | 1 |
| ARIZONA CC | | | | | | X | 3 | | 1 | 7 | | | 3 | | 2 | 4 | | 1 |
| ARKANSAS PSC | | | | | | X | 1 | | 4 | 0 | | 6 | 5 | | 19 | 7 | | 35 |
| CALIFORNIA PUC | | | X | | X | 9/ | 7 | | | 4 | | 3 | 13 | | 3 | 16 | | 26 35/ |
| COLORADO PUC | 6/ | | X | | X | X | 12 | | 20 | 13 | | 4 | 3 | 15 | 30 | 11 | 4 | 40 |
| CONNECTICUT PUC | X | | X | | X | X | | | | | | | | | | | | |
| DELAWARE PSC | | | X | | X | | 23/ | | | 23/ | | | | | | | | |
| D.C. PSC | X | | X | | X | X | 0 | | | 8 | | 0 | 1 | | | 1 | | 1 |
| FLORIDA PSC | X | X | X | | X | X | 168 27/ | | | 137 27/ | | 120 27/ | 6 | | | 15 | | 20 |
| GEORGIA PSC | | | | | X | X | 2 | | | 4 | | 42 | 2 | | | 4 | | 42 |
| GUAM PUC | X | | X | | X | | | 1 | | | | | 1 | | | | | 1 |
| HAWAII PUC 37/ | | | X | | X | X | 2 | | | 1 | | | 5 | | | 1 | | 1 |
| IDAHO PUC | | | X | | | X | 4 | | | 2 | | 2 | 5 | | | 2 | | 16 |
| ILLINOIS CC | | | | | | X | 3 | | | 6 | | 2 | 13 | | | 19 | | 55 |
| INDIANA PSC 16/ | | | | | | | 2 | 10 | 14 | 6 | 2 | 3 | | | | | | |
| IOWA SCC | | | X 5/ | | X 17/ | X | 13 | | 39 | 9 | | 8 | 10 | | 65 | 11 | | 17 |
| KANSAS SCC | | | X | | X | 10/ | 6 | 6 | 8 | 6 | | 1 | 8 | 19 | 38 | 26 | 18 | 53 |
| KENTUCKY ERC | | | X | | | X | 10 | | 39 | 45 | | | 10 | | 29 | 45 | | |
| KENTUCKY URC | | | X | | | X | | | | | | 35 | | | | | | 15 |
| LOUISIANA PSC | X 1/ | | X | | X | X | | | | 13 | | 12 | | | 3 | 9 | | 2 |
| MAINE PUC | X | | | X | 7/ | | | | | | | | | | | | | |
| MARYLAND PSC | | | | | | X | 27 | 1 | 3 | 13 | 1 | 1 | 12 | 6 | 4 | 12 | 1 | 2 |
| MASSACHUSETTS DPU | | | X | | | | | | | | | 1 | | | | | | |
| MICHIGAN PSC | | | X 5/ | | | X | 5 | | 5 | 8 | | 5 | 10 | | 15 | 5 | | 5 |
| MINNESOTA PSC | | | | X | X | X 21/ | 3 | | 11 | 2 | | 10 | 3 | | 6 | 2 | | 10 |
| MISSISSIPPI PSC | | | X | X | | | | | | | | | 2 | | 26 | 8 | | 23 |
| MISSOURI PSC | | | X | | | X | | | | | | | 12 | | | 14 | | 51 |
| MONTANA PSC | X | | X | X | X | X | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | X | 9/ | | | | | | 8 | | | | | | 45 |
| NEVADA PSC | | | | | X 7/ | X | 3 | | | 1 | | | 3 | | | 3 | | 2 |
| NEW HAMPSHIRE PUC | | X | | | X | X | 1 | | | | | 1 | 7 | | 1 | 7 | | 13 |
| NEW JERSEY BPU | X | X | X | X | X | X | 2 | 0 | 0 | 2 | 0 | 0 | 5 | 9 | 1 | 4 | | 8 |
| NEW MEXICO PSC | | | | | | | 5 | | 10 | | | | 5 | | 22 | 12 | | |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | |
| NEW YORK PSC | | | X 5/ | | | X | 33 | 2 | | 30 | | 41 | 42 | 2 | | 34 | | 76 |
| NORTH CAROLINA UC | 2/ | | | | 8/ | X | | | | | | | 10 | | | 5 | | 21 |
| NORTH DAKOTA PSC | | | X | | | | 1 | | | 1 | | 1 | 2 | | | 1 | | 1 |
| NOVA SCOTIA PUB | X | | | X | X | | | 13 | | | | | | | | | | |
| OHIO PUC | 10/ | | X | | 29/ | 9/ | | | | | | | 9 | | | 28 | | 64 |
| OKLAHOMA CC | | | X | | X | | 11/ | | 11/ | 11/ | | 11/ | | 4 | 33 | 42 | | 44 |
| ONTARIO EB | X | | | | X | | | | | 3 11/ | | | | | | 2 11/ | | |
| ONTARIO TSC | | | | | | | | | | | | 12 | | | | | | 1 |
| OREGON PUC | | | X | | | X | 4 | | | 3 | | 3 | 4 | | | 3 | | 39 |
| PENNSYLVANIA PUC | 4/ | 4/ | 4/ | 4/ | | X | 27 | | | 17 | | 7 | 27 | | | 25 | | 10 |
| PUERTO RICO PSC | | | | X | X | | | | | 1 | | | | | | 1 | | |
| QUEBEC EGB | X | | X | | X | X | 5 | | | 3 | | | 9 | | | 3 | | |
| QUEBEC PSB | X | | X | | X | X 8/ | | | | | | 11 | | | | | | 28 |
| RHODE ISLAND PUC | X | | X | | | X | | | | 2 | | 0 | 4 | 1 | | 5 | | 1 |
| SOUTH CAROLINA PSC | X 3/ | | | X | X 6/ | 10/ | 3 | | | 2 | | 2 | 6 | | | 5 | | 31 |
| SOUTH DAKOTA PUC | X | | | | X | | | | | | | | | | | | | |
| TENNESSEE PSC | | | | | | X | 1 | | | 3 | | 2 | 5 | | | 7 | | 19 |
| TEXAS PUC | | | | X | X | | 7 | 1 | 26 | | | 4 | 15 | 2 | 85 | | | 82 |
| TEXAS RC | | | | X | X | X | | | | 134 | | | | | | 280 | | |
| UTAH PSC | | | X | | 6/ | X | | | | | | | | | | | | |
| VERMONT PSB | | | X | | X | X | | 4 | 2 | 3 | | | 11 | 15 | 3 | 5 | | 9 |
| VIRGIN ISLANDS PSC | | | X | | | | | | | | | | | 1 | | | | 1 |
| VIRGINIA SCC | | | X | | | X | 6 | | 7 | 10 | | 4 | 5 | | 11 | 11 | | 14 |
| WASHINGTON UTC | | | X | | | | | | | | | | 3 | | | 4 | | 39 |
| WEST VIRGINIA PSC | | | X | | | | | | | | | | | | | | | |
| WISCONSIN PSC | | | X | | | | | | | | | | 17 | 85 | | 16 | 1 | 117 |
| WYOMING PSC | | | X | | X | 1 | | | | 1 | | | | | | | | 1 |

Table 28 - AUDITS (Continued)

| AGENCY | Percentage of time spent by staff auditors on comprehensive on site audits | | | | | | Independent accountants are used to perform any Agency audits | The year the most recent audit was completed for each utility under Agency's jurisdiction with gross operating revenue over $10 million | | | | | | The Agency utilizes the services of - | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Electric | | | Gas | | Telephone | | Electric | | | Gas | | Telephone | Independent accountants to perform Agency work | | | | Consultants other than independent accountants | | |
| | Private | Public | Cooperative | Private | Public | | | Private | Public | Cooperative | Private | Public | | Consultation | Rate of return | Testimony | Other | Rate cases | Depreciation studies | Other |
| FCC | | | | | | 11/ | | | | | | | 11/ | | | | | X | X | |
| FERC | 90 | | | 90 | | | X | 1979 | | | 1979 | | | | | | X32/ | | X | X18/ |
| ALABAMA PSC | 40 | | | 15 | | | | 1976 | | | | | 1975 | | | | | X | | |
| ALASKA PC | | | | | | | | | | | | | | X | X | X | | X | X | X |
| ALASKA PUC | | | | | | | | | | | 1976 | | 1976 | X | X | X | X | X | | X20/ |
| ALBERTA PMC | | | | 10 | | | | | | | 1977 | | | | | | | | | |
| ALBERTA PUB | | | | 15 | | | | 1978 | | | 1978 | | 1977 | | | | | | | |
| ARIZONA CC | | | | | | | X | | | | | | | X | X | X | | X | | X |
| ARKANSAS PSC | 30 | | 30 | | | 30 | | 1979 | | | | | 1980 | X | X | X | | X | | X19/ |
| CALIFORNIA PUC | 90 | | | 90 | | 90 | | 1979 | | 1974 | 1979 | | 1979 | | | | | | | |
| COLORADO PUC | 20 | | 60 | 5 | | 15 | | 1978 | 1978 | 1978 | 1978 | 1978 | 1977 | | | | | X | | |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | | | X | | X |
| DELAWARE PSC | | | | | | | | | | | | | | | | | | | | |
| D.C. PSC | | | | | | | | 1976 | | | 1976 | | 1976 | | | | X22/ | X | X | |
| FLORIDA PSC | 22 | | | 5 | | 22 | | 1979 | | | 1979 | | 1979 | X | | | X | X | | X |
| GEORGIA PSC | 50 | | | | | 40 | | 1979 | | | 1979 | | 1979 | | | | | X | X | |
| GUAM PUC | | | | | | | | | 1979 | | | | | X | | | | | | |
| HAWAII PUC 37/ | | | | | | | | 1979 | | | 1978 | | 1976 | | | | | | | |
| IDAHO PUC | 40 | | | 10 | | | | 1978 | | | 1978 | | | X | X | X | | X | | X |
| ILLINOIS CC | 50 | | | 50 | | 50 | | 1978 | | | 1978 | | 1978 | | | | | | | X |
| INDIANA PSC 36/ | | | | | | | | 1979 | | | 1979 | | 1977 | | | | | | | |
| IOWA SCC | 13/ | | 13/ | 13/ | | 13/ | | 1978 | | | 1978 | | 1978 | | | | X | X | X | |
| KANSAS SCC | 10 | | 30 | 10 | | 10 | X | 1979 | | 1979 | 1979 | | 1979 | X | X | X | X | X | X | X |
| KENTUCKY ERC | | | | | | | | 1976 | | | 1974 | | | | | | | | | |
| KENTUCKY URC | | | | | | | | | | | | | 1974 | | | | | | | |
| LOUISIANA PSC | | | | 40 | | 40 | X | 1978 | | | 1978 | | 1978 | X | X | X | | X | | |
| MAINE PUC | | | | | | | | | | | | | | X14/ | X14/ | X14/ | X14/ | X | X | X14/ |
| MARYLAND PSC | | | | | | | | | | | | | | | X | | | X | X | |
| MASSACHUSETTS DPU | | | | | | | | | | | | | | X | | X | X | X | | |
| MICHIGAN PSC 15/ | 2 | 30 | 5 | 0 | 30 | 30 | | 1979 | | | 1978 | | 1979 | | | | | | | |
| MINNESOTA PSC | | | | | | | | | | | | | | X | X | X | X | X | | |
| MISSISSIPPI PSC | 10 | | | 8 | | 6 | | | | | | | | X | X | X | X | X | | X |
| MISSOURI PSC | 40 | | | 30 | | 15 | X | 1979 | | 1979 | 1979 | | 1979 | X | | X | X | X | | X38/ |
| MONTANA PSC | | | | | | | | 1977 | | | 1977 | | 1977 | X | X | X | | X | X | X24/ |
| NEBRASKA PSC | | | | | | 20 | | | | | | | 1977 | X | | | | X | | |
| NEVADA PSC | 50 | | | 20 | | | | 1979 | | | 1979 | | 1977 | | | | | | | X25/ |
| NEW HAMPSHIRE PUC | 10 | | | 10 | | 10 | | 1979 | | 1975 | | | 1979 | | X | X | | | | |
| NEW JERSEY BPU | 20 | | | 20 | | | | 1979 | 1978 | 1977 | 1977 | | 1975 | | | | | X | | |
| NEW MEXICO PSC | 45 | | 25 | 5 | | | X | 1979 | 1979 | 1979 | 1979 | | | | X | | | | X | X26/ |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | | | |
| NEW YORK PSC | 13/ | 13/ | | 13/ | 13/ | 13/ | | | | | | | | | | | | | | X |
| NORTH CAROLINA UC | 20 | | | 10 | | 15 | | 1979 | | | 1979 | | 1979 | | | | | X | X | X |
| NORTH DAKOTA PSC | 7.0 | | | 7.3 | - | 4.8 | | 1979 | | | 1978 | | 1979 | | | | | X | | |
| NOVA SCOTIA PUB | | | | | | | | | | | | | | X | X | X | X | X | X | X |
| OHIO PUC | 50 | | | 25 | | 10 39/ | X | 1979 | | | 1979 | | 1976 | | | | | | | |
| OKLAHOMA CC 36/ | | | | | | | | | | | | | | | | | | | | |
| ONTARIO EB | | | | 5 | | | | | | | 1973 | | | | | | | | | |
| ONTARIO TSC | | | | | | 25 | | | | | | | | X | X | | | X | X | |
| OREGON PUC | 50 | | | 50 | | | | 1979 | | | 1979 | | 1979 | | | | | | | X |
| PENNSYLVANIA PUC | 30 | | | 20 | | 25 | | 1978 | | | 1978 | | 1978 | | | | | | | |
| PUERTO RICO PSC | | | | | | | | | | | | | | X | X | X | | X | | X28/ |
| QUEBEC EGB | 10 | | | 30 | | | | 1978 | | | 1978 | | | | | | | | | |
| QUEBEC PSB | | | | | | | X 6/ | | | | | | 1978 | X | | X | | | | |
| RHODE ISLAND PUC | 0 | | | 20 | | | | 1976 | | | 1976 | | 1977 | X | X | X | 33/ | X | | X |
| SOUTH CAROLINA PSC | | | | | | | | 1978 | | | 1978 | | 1978 | | | | | X | | |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | X | | | | X | X | X |
| TENNESSEE PSC | | | | 25 | | 25 | | 1978 | | | 1977 | | 1979 | | | | | X | | X |
| TEXAS PUC | 40/ | 40/ | 40/ | | | | | | | | | | | | | | | | | |
| TEXAS RC | | | | 80 | | | | | | | 1977 | | | | | | | | | |
| UTAH PSC | 20 | | 5 | 20 | | 10 | | | | | | | | X | X | X | X | X | | X16/ |
| VERMONT PSB | 5 | 10 | 15 | 5 | | | X | 1978 | | | | | | X | X | X | X | X | X | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | X | X | X | X | X | X | X |
| VIRGINIA SCC | | | | | | | | 1978 | | 1978 | 1978 | | 1978 | | | | | X | | X |
| WASHINGTON UTC | | | | | | | | 1978 | | | 1978 | | 1978 | | | | | X | | |
| WEST VIRGINIA PSC | | | | | | | | 1977 | | | 1977 | | 1977 | | | | | X | | |
| WISCONSIN PSC | 30 | 30 | | 30 | 30 | 30 | | | | | | | | | | | | | | |
| WYOMING PSC | 10 | | | 10 | | 5 | | | | | | | | | | | | | | |

## Footnotes - Table 28 - AUDITS

1/ General auditing procedures.
2/ Encourage annual audits.
3/ Major companies.
4/ The Public Utility Law of Pennsylvania gives this Commission the authority to audit at any time.
5/ Not adjudicated, but probably "yes" under a general statute.
6/ Under certain circumstances.
7/ Only on request.
8/ Accounting staff examines independent accountants' audit reports in conjunction with its own general rate case audits and makes case-by-case audit when necessary.
9/ Audits are generally made in connection with a rate filing or other special circumstances.
10/ All companies requesting rate increases are audited by accounting staff of the Commission.
11/ Special examinations of accounts for specific purposes are made as required and staff limitations permit.
12/ Includes original cost audits of licensed hydroelectric projects.
13/ Not readily available for 1979.
14/ Any aspect of commission work, plus engineering, etc.
15/ Each industry division of the Michigan PSC has its own audit section.
16/ Engineers, rate design consultants.
17/ Requests that copies be filed with annual reports to the Commission.
18/ Consultants for Regulatory Information System (RIS).
19/ Various generic hearings.
20/ Engineering, gas safety.
21/ As part of rate filing investigation.
22/ Management audit of electric utility, review of fuel adjustment clause for electric utility and review of purchased gas adjustment for gas utility.
23/ Auditing staff conducts fuel adjustment audits monthly.
24/ Safety inspections.
25/ Engineers.
26/ Engineers and attorneys.
27/ On-site compliance audits of annual report, special audits, and rate case audits.
28/ Regulatory matters.
29/ Copies not formally provided, but they are reviewed in conjunction with audit activity.
30/ Fuel costs.
31/ Certification of certain financial information included in Forms No. 1 and No. 2; opinion on examination of book amounts and accounting adjustments in connection with a rate application.
32/ Also use accountants for work in connection with National Gas Survey.
33/ Lead-lag study.
34/ Annual audits required for public utilities, licensees and natural gas companies; not for common carrier oil pipelines.
35/ Excludes radiotelephone utilities.
36/ Audits are made in connection with rate proceedings.
37/ Special audits by Commission Staff are conducted as required. Rate case audits are conducted by Consumer Advocate Staff.
38/ Management audits.
39/ Remaining time spent on site audits of water and sewer utilities.
40/ Depend on FERC compliance audits.

Table [illegible] - SPECIALIZED TYPE OF AUDITS

| AGENCY | Number of utilities which received - | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | A comprehensive on site audit | | | | | | A desk type audit | | | | | | A rate case audit | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | 2/ | | | | | | 2/ | | | | | | 2/ |
| FERC | 51 | | | 17 | | | 19/ | | | 19/ | | | 19/ | | | 19/ | | |
| ALABAMA PSC | | | | | | | | | | | | | | | | | | |
| ALASKA PUC | | | | | | 1 | 1 | | | | | | 2 | 1 | 7 | 2 | 1 | |
| ALBERTA PMC | | | | 2 | | | | | | 7 | | | | | | | | |
| ALBERTA PUB | 1 | | | 5 | | | | | | | | | 2 | | | 6 | 5 | |
| ARIZONA CC | | | | | | | | | | | | | 3 | | 1 | 2 | | |
| ARKANSAS PSC | | | | | | | | | | | | | 1 | | 4 | | 1 | 6 |
| CALIFORNIA PUC | 7 | | | 4 | | 4 | | | | | | | 2 | | | 3 | | 2 |
| COLORADO PUC | 2 | | 1 | 1 | | 1 | 15 | 10 | 30 | 7 | 8 | 3 | 3 | 1 | 6 | 3 | 1 | |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | | | 1 | | 1 | | | |
| D.C. PSC | 1 | | | 1 | | 1 | | | | | | | 1 | | | 1 | | 1 |
| FLORIDA PSC | 1 | | | 2 | | 9 | 84 | | | 128 | | 96 | 7420/ | | | | | 12 |
| GEORGIA PSC | 2 | | | | | 6 | 2 | | | 4 | | 42 | 1 | | | | | 4 |
| HAWAII PUC | | | | | | | | | | | | | 2 | | | 1 | | |
| IDAHO PUC | | | | 1 | | | | | | | | | 4 | | | 2 | | 2 |
| ILLINOIS CC | 3 | | | 6 | | 2 | 13 | | | 19 | | 55 | 3 | | | 6 | | 2 |
| INDIANA PSC | | | | | | | | | | | | | 218/ | 1018/ | 1418/ | 618/ | 218/ | 318/ |
| IOWA SCC | 3 | | | | | 2 | | | | | | | 7 | | 39 | 8 | | 5 |
| KANSAS SCC | | | | | | | | | 37 | | | 33 | 6 | 6 | 8 | 6 | | 1 |
| KENTUCKY ERC | 1 | | | 1 | | | 10 | | 29 | 45 | | | | | | 1 | | |
| KENTUCKY URC | | | | | | | | | | | | 35 | | | | | | 0 |
| LOUISIANA PSC | | | | 13 | | 12 | | | | | | | | | | 13 | | 12 |
| MAINE PUC | | | | | | | | | | | | | 11 | 6 | 3 | | | |
| MARYLAND PSC | | | | | | | | | | | | | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ |
| MASSACHUSETTS DPU | | | | | | | | | | | | | | | | | | |
| MICHIGAN PSC | 4 | | 4 | 3 | | 5 | 10 | | | 5 | | 53 | 5 | | 5 | 8 | | 5 |
| MINNESOTA PSC | | | | | | 1 | 2 | | | | | | 4 | | | | | |
| MISSISSIPPI 14/ | | | | | | | | | | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | | | 5 | | 3 | 4 | | 7 |
| MONTANA PSC | All | | | All | | All | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | | | | | | | | | | | | | 7 |
| NEVADA PSC | 2 | | | 1 | | 1 | | | | | | | 2 | | | 1 | | |
| NEW HAMPSHIRE PUC | 1 | | | 1 | | 1 | 7 | | 1 | 7 | | 13 | 3 | | | 2 | | |
| NEW JERSEY BPU | 2 | | | 2 | | 2 | 4 | | | 4 | | | 2 | | | 1 | | |
| NEW MEXICO PSC | | | | | | | 4 | | 10 | 2 | 2 | N/A | 4 | | 6 | 2 | 2 | N/A |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | |
| NEW YORK PSC 16/ | 14 | | | 4 | | 17 | 215/ | | | 515/ | | 9 | 11 | | | 20 | | 12 |
| NORTH CAROLINA UC | 1 | | | | | | 9 | | | 55/ | | 22 | 2 | | | 1 | | 3 |
| NORTH DAKOTA PSC | | | | | | | 3 | | | 4 | | 40 | 1 | | | | | 1 |
| NOVA SCOTIA PUB | | | | | | | | | | | | | | | | | | |
| OHIO PUC | 18/ | | | 18/ | | 18/ | 13/ | | | 13/ | | | 18/ | | | 18/ | | 18/ |
| OKLAHOMA CC | | | | | | | | | | | | | 18/ | | 18/ | | | 18/ |
| ONTARIO EB | | | | 1 | | | | | | 1 | | | | | | 5 | | |
| ONTARIO TSC | | | | | | 0 | | | | | | 0 | | | | | | 0 |
| OREGON PUC | 4 | | | 3 | | 3 | | | | | | 36 | 2 | | | 2 | | |
| PENNSYLVANIA PUC | 19 | | | 19 | | 23 | 20411/ | | | 62411/ | | | 2 | | | 4 | | |
| PUERTO RICO PSC | | | | | | | | | | | | | | | | 1 | | |
| QUEBEC EGB | 5 | | | 3 | | | 5 | | | 3 | | | 2 | | | 1 | | |
| QUEBEC PSB | | | | | | 14 | | | | | | 28 | | | | | | 3 |
| RHODE ISLAND PUC | | | | | | | | | | | | | | | | 1 | | |
| SOUTH CAROLINA PSC | | | | | | | | | | | | | 3 | | | 2 | | 2 |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | | | | | |
| TENNESSEE PSC | | | | 1 | | 6 | 1 | | | 7 | | 19 | 1 | | | 3 | | 2 |
| TEXAS PUC | | | | | | | | | | | | | 7 | 1 | 26 | | | 4 |
| TEXAS RC | | | | 111 | | | | | | 278 | | | | | | | | |
| UTAH PSC | 3 | | | 2 | | 2 | | | 10 | 2 | | 17 | 3 | | 10 | 2 | | 3 |
| VERMONT PSB | | 1 | 1 | | | | 11 | 15 | 3 | 5 | | 9 | 1 | 2 | 1 | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC 10/ | 1 | | | 3 | | 1 | | | | 3 | | 3 | 5 | | 7 | 7 | | 2 |
| WASHINGTON UTC | | | | | | | 3 | | | 4 | | 39 | 1 | | | 1 | | 1 |
| WEST VIRGINIA PSC | | | | | | | 8 | 3 | 2 | 2 | | 2 | 2 | 1 | 1 | 13 | | 4 |
| WISCONSIN PSC | | | | | | | 17 | | | 16 | 1 | 110 | 8 | 4 | | 9 | | 12 |
| WYOMING PSC | | | | | | | | | | | | | 2 | | | 2 | | 1 |

Table 29 - SPECIALIZED TYPE OF AUDITS (Continued)

| AGENCY | Number of utilities which received - | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | An original cost audit | | | | | | Other | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | 2/ | | | | | | 2/ |
| FERC | 1/ | | | | | | 1/ | | | 1/ | | |
| ALABAMA PSC | | | | | | | | | | | | |
| ALASKA PUC | | | | | | | 23 7/ | | 12 7/ | 4 | | 18 7/ |
| ALBERTA PMC | | | | | | | | | | | | |
| ALBERTA PUB 3/ | | | | | | | | | | | | |
| ARIZONA CC | 1 | | | | | | | | | | | |
| ARKANSAS PSC | | | | | | | | | | | | |
| CALIFORNIA PUC | | | | | | | 5 4/ | | | 3 | | 2 |
| COLORADO PUC | | | | | | | | | | | | |
| CONNECTICUT PUC | | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | | |
| D.C. PSC | | | | | | | | | | | | |
| FLORIDA PSC | 9 3/ | | | 3 3/ | | 2 3/ | | | | | | |
| GEORGIA PSC | | | | | | 3/ | 4 | | | | | 1 |
| GUAM PUC | | | | | | | 21/ | | | | | 21/ |
| HAWAII PUC | | | | | | | | | | | | |
| IDAHO PUC | | | | | | | | | | | | |
| ILLINOIS CC | 1 | | | 1 | | | 13 22/ | | | 19 22/ | | |
| INDIANA PSC | | | | | | | | | | | | |
| IOWA SCC | | | | | | | 3 8/ | | | 1 8/ | | 1 |
| KANSAS SCC | | | | | | | | | | | | |
| KENTUCKY ERC | | | | 1 | | | 1 | | | | | |
| KENTUCKY URC | | | | | | | | | | | | |
| LOUISIANA PSC | | | | | | | | | | | | |
| MAINE PUC | | | | | | | | | | | | |
| MARYLAND PSC | | | | | | | 6/ | 6/ | 6/ | 6/ | 6/ | 12/ |
| MASSACHUSETTS DPU | | | | | | | | | | | 2 | 1 |
| MICHIGAN PSC | 2 | | | 3 | | 2 | | | | | | |
| MINNESOTA PSC | | | | | | | | | | | | |
| MISSISSIPPI PSC 14/ | | | | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | | |
| MONTANA PSC | All | | | All | | All | | | | | | |
| NEBRASKA PSC | | | | | | | | | | | | 1 |
| NEVADA PSC | | | | | | | | | | | | |
| NEW HAMPSHIRE PUC | 1 | | | 1 | | 1 | | | | | | |
| NEW JERSEY BPU | | | | | | | 4 10/ | | | 2 10/ | | |
| NEW MEXICO PSC | | | | | | | | | | | | |
| NEW MEXICO SCC | | | | | | | | | | | | |
| NEW YORK PSC | | | | | | 1 | 8 | | | | | |
| NORTH CAROLINA UC | | | | | | | 3 | | | 2 17/ | | 2 |
| NORTH DAKOTA PSC | | | | | | | | | | | | |
| NOVA SCOTIA PUB | | | | | | | | | | | | |
| OHIO PUC | 3/ | | | 3/ | | 3/ | | | | | | |
| OKLAHOMA CC | | | | | | | 12/ | | 12/ | 12/ | | 12/ |
| ONTARIO EB | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | |
| OREGON PUC | | | | | | | 2 | | | 3 | | 1 |
| PENNSYLVANIA PUC | | | | | | | 11 | | | 8 | | 7 |
| PUERTO RICO PSC | | | | | | | | | | | | |
| QUEBEC EGB | 5 | | | 3 | | | | | | | | |
| QUEBEC PSB | | | | | | 2/ | | | | | | 12/ |
| RHODE ISLAND PUC | | | | | | | | | | | | |
| SOUTH CAROLINA PSC | | | | | | | 4 | | | 3 | | |
| SOUTH DAKOTA PUC | | | | | | | 3 | | | | | |
| TENNESSEE PSC | | | | | | | | | | | | 1 |
| TEXAS PUC | 7 | 1 | 25 | | | | | | | | | |
| TEXAS RC | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | | | |
| VERMONT PSB | | | | | | | | | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | |
| VIRGINIA SCC 10/ | | | | | | 1 | | | | | | |
| WASHINGTON UTC | | | | | | | | | | | | |
| WEST VIRGINIA PSC | | | | | | | | | | | | |
| WISCONSIN PSC | | | | | | | 1 | 1 | | 1 | | 18 |
| WYOMING PSC | | | | | | | | | | | | |

1/ During 1979, on all audits, the original cost of plant additions during the period covered by the audits were reviewed together. Comprehensive field audits include a review of the original cost of utility plant together with all other balance sheet, income and retained earnings accounts.

2/ Special examinations of accounts for specific purposes are made as required and staff limitations permit.

3/ Original plant audits performed in conjunction with other audit activity.

4/ Energy cost adjustment.

5/ These include examinations of 11 applications to track the cost of emergency gas, 9 applications to recover gas exploration costs, 5 applications to recover margin losses resulting from curtailment of gas supply, 10 applications to track supplier increases in the cost of gas.

6/ Monthly audit of FRA and PGA, and spot checks.

7/ Annual report audit.

8/ Refunds and/or energy adjustment clause audits.

9/ PGA.

10/ The staff reviews all major utilities' monthly financial statements and keep quarterly rate of return on each major utility operating in the state.

11/ Includes monthly desk audits of submitted data.

12/ Spot checks.

13/ Monthly audits for FCA and PGA.

14/ All audits except desk audits listed by categories are on-site audits. Many are quite comprehensive. It is the staff's practice to make on-site audits for applications for rate changes, security issues and business combinations or spin-offs. Also frequent visits (for the larger companies several times a year) are made to investigate areas which are not adequately covered in the special purpose audits and to maintain surveillance over accounting practices and the trend of operations.

15/ Includes two of seven combination electric and gas companies.

16/ All audits listed by categories except desk audits are on-site audits. Many are quite comprehensive. It is staff's practice to make on-site audits for application for rate changes, security issues and business combinations or spin-offs.

17/ Complaint investigation on transportation charge for 533 gas: gas allocation proceeding for Piedmont Natural.

18/ All applying for rate relief.

19/ Conducted on an ad hoc basis only.

20/ Includes monthly fuel adjustment.

21/ The PUC requires that all utilities have an audit performed by an independent certified public accountant.

22/ Fuel audits in conjunction with reconciliation of fuel costs with purchase gas adjustment and fuel clause adjustment revenues.

## Table 30 - MANAGEMENT AUDITS

The following information was developed on the basis of a questionnaire sent to the State Commissions and other NARUC member agencies, and updated by a survey for the 1979 Annual Report.

Question 1 - Has your Commission ordered any utility to undergo a management audit or management study of its operating performance, structure, objectives, and efficiency during the last five years?

| State/Agency | | Electric | Gas | Telephone | Water & Sewerage | Other |
|---|---|---|---|---|---|---|
| Alabama | | X | | | | |
| Alaska PC | No | | | | | |
| Alaska PUC | No | | | | | |
| Alberta PMC | No | | | | | |
| Alberta PUB | No | | | | | |
| Arizona | | X | X | | | |
| Arkansas | | | | | | |
| California | | X | X | | X | |
| Colorado 1/ | | X | | | | |
| Connecticut | | X | X | X | X | |
| Delaware | | X | | | | |
| D.C. 2/ | | X | | | | |
| Florida | | X 8/ | | | | |
| Georgia | No | | | | | |
| Guam PUC | No | | | | | |
| Hawaii 3/ | | | | | | |
| Idaho | | | | | X | |
| Illinois | | X | X | | | |
| Indiana | | X | | | | |
| Iowa | | X | X | | | |
| Kansas | | X | | | | |
| Kentucky | | X | | | | |
| Louisiana | No | | | | | |
| Maine | | X | | | | |
| Maryland 4/ | | X | X | | | |
| Massachusetts | | X | X | X | | |
| Michigan | | X | X | | | |
| Minnesota | No | | | | | |
| Mississippi | No | | | | | |
| Missouri | Yes | X | X | X | X | |
| Montana | | X | X | X | X | |
| Nebraska | No | | | | | |
| Nevada | No | | | | | |
| New Hampshire | Yes | X 9/ | | | | |
| New Jersey | | X | X | | X | X 10/ |
| New Mexico PSC | No | | | | | |
| New Mexico SCC | No | | | | | |
| New York | | X | X | | X water | |
| North Carolina | | X | X | X | | |
| North Dakota | No | | | | | |
| Nova Scotia | | X | | X | X | |
| Ohio | Yes | 5/ | | | | |
| Oklahoma | Yes | X | | | | |
| Ontario EB | No | | | | | |
| Ontario TSC | No | | | | | |
| Oregon | | X | | | | |
| Pennsylvania | | X | X | X | X | |
| Quebec EGB | No | | | | | |
| Quebec PSB | Yes | | | X 11/ | | |
| Rhode Island | Yes | | X | | | |
| South Carolina | No | | | | | |
| South Dakota | No | | | | | |
| Tennessee | Yes | | | X | | |
| Texas PUC | | X | | | | |
| Texas RC | No | | | | | |
| Utah | No | | | | | |
| Vermont | | X | | | | |
| Virgin Islands PSC | No | | | | | |
| Virginia 7/ | | X | X | | | |
| Washington | No | | | | | |
| West Virginia | No | | | | | |
| Wisconsin 6/ | | | | | | |
| Wyoming | No | | | | | |

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1/ This Commission has ordered only one management audit to date, but it has been concerned with company efficiency for some time. All utilities are required to complete the Commission's Appendix A Questionnaire annually and up-date it when requesting rate increases and pass-on increases.

2/ The Commission is currently developing the capability to perform studies of utilities on an "in house" basis. We are also considering the use of a consultant to perform these reviews until such time as the staff develops the capability to do so.

Table 30 - MANAGEMENT AUDITS (Continued)

3/ The Commission's future plans include management audits both on a consultant basis as well as on an in-house basis. The recent reorganization and creation of a full-time Commission and future appropriations for the regulatory program has temporarily placed most of the planning and programs on a status quo basis.

4/ On August 25, 1972, this Commission directed certain utilities in the State of Maryland under its jurisdiction whose gross annual revenues from customers in Maryland was in excess of $25,000,000 to undertake, by a qualified consulting firm, a study of its operations, practices, organization and other phases of its business as appropriate. Such studies were required as a part of any future rate proceedings. However, because of the relatively short time between such applications the Commission believed that repeated studies would become an unfair burden on the ratepayer and were no longer required as of December 11, 1974.

5/ Audits were directed to specific areas of company performance, i.e., fuel procurement and operations.

6/ The Wisconsin Commission has over a period of years evaluated operating results of Wisconsin public utilities through statistical analyses. These analyses, however, have not approached the in-depth studies which could be made by outside consulting firms specializing, with professional staffs, in this type of work.

7/ Audit not ordered but conducted at the request and expense of the Virginia SCC.

8/ A management study of Florida Power Corporation is currently in progress.

9/ Management audit of Power Service Co. of N.H. completed in October 1978.

10/ Solid waste and pipeline audits; N.J. DOE audits.

11/ Audits are directed to specific areas of company performance and generally when requesting rate increases.

Question 1 (Appendix)

| State | Utility Studied | Consultant's Name | Size of Contract |
|---|---|---|---|
| Arizona | Tucson Gas & Electric | Theodore Barry & Assoc. | $115,000 |
| | Arizona Public Service | Peat, Marwick, Mitchell & Co. | 125,000 |
| California | Citizens Utilities Co. | Arthur Young Company | 17,000 |
| | Pacific Gas & Electric Company | Cresap, McCormick & Paget, Inc. | 696,850 |
| Colorado | Colorado-Ute Electric Assn., Inc. | Arthur Young & Company | 37,650 (Phase 1) |
| Connecticut | Stamford Water Company | Arthur Young & Company | 16,800 |
| | Southern Connecticut Gas Company | Harbridge House, Inc. | 125,000 |
| | Southern New England Telephone Company | A. T. Kearney, Inc. | 456,000 |
| | Connecticut Natural Gas Corporation | Booz-Allen & Hamilton | 75,000 |
| | United Illuminating Company | Theodore Barry & Assoc. | 152,000 |
| | Northeast Utilities | Cresap, McCormick & Paget, Inc. | 245,800 |
| | Greenwich Water Company | Emerson Consultants, Inc. | 24,500 |
| | Bridgeport Hydraulic Company | Coopers & Lybrand | 42,000 |
| | Woodbury Tel. Co. | Commission Staff | N/A |
| | 3 Class A Water Utilities | Commission Staff | N/A |
| | Review of Previous Audit | Commission Staff | N/A |
| Delaware | Delaware Power & Light | Theodore Barry & Assoc. | 240,000 |
| District of Columbia | Potomac Electric Power Company | Ernst & Ernst | 275,000 |
| Florida | Florida Power Corp. | Arthur Young | 168,000 |
| Idaho | General Waterworks Corp. (Boise Water Corp. parent firm) | Ernst & Ernst | Payment made by utility studied |
| Illinois | Central Illinois Public Service | Ernst & Ernst | 247,350 |
| Indiana | Indiana & Michigan Electric Co. | Theodore Barry & Assoc. | 250,000 |
| Iowa | Iowa Electric Light & Power Company | Touche Ross and Co. | 280,000 |
| Kansas | Kansas Gas & Electric Co. | Arthur D. Little | N/A |
| | Sunflower Electric Corp. | Theordore Barry & Assoc. | N/A |

Table 30 - MANAGEMENT AUDITS (Continued)

| State | Utility Studied | Consultant's Name | Size of Contract |
|---|---|---|---|
| Kentucky | Big Rivers Electric Corporation | Theodore Barry & Assoc. | Payment made by utility studied |
| Maryland | Balti. Gas & Elec. Co. | Arthur Anderson & Co. | N/A |
| | The Potomac Edison Co. | Arthur Anderson & Co. | N/A |
| | Wash. Gas Light Co. | Gen. Management Consult. System of Commonwealth Mgt. Consultants | |
| Michigan | Consumers Power Co. | Booz-Allen & Hamilton | Payment made by utility studied-- |
| | Michigan Consolidated Gas Company | Commonwealth Services | Information not available |
| Missouri | Union Electric Co. | Arthur Young & Co. | $ 60,000 |
| | Mo. Public Service Co. | Arthur D. Little, Inc. | 96,000 |
| | Continental Tele. Co. | Theordore Barry & Assoc. | 90,000 |
| | Missouri Utilities Co. | Staff | |
| | St. Joseph Light & Power Company | Staff | |
| | Kansas City Power & Light | Staff | |
| | General Telephone | Staff | |
| | Kansas City Power & Light | Booz-Allen & Hamilton | 260,000 |
| | Missouri Power & Light | Staff | |
| | Customer Service Audits: | | |
| | Arkansas-Missouri Power Co. | Staff | |
| | Associated National Gas Co. | Staff | |
| New Hampshire | Public Service Co. of New Hampshire | Cresap, McCormick and Paget, Inc. | 252,801 |
| New Jersey | Public Service Electric and Gas Company | McKinsey & Co., Inc. | 1,000,000 |
| New York | Consolidated Edison | Arthur D. Little | 1,300,000 |
| | Orange and Rockland | Commission Staff | |
| | Niagara Mohawk Power Corp. | Arthur Young & Co. | 630,000 |
| | National Fuel Gas Distribution Corp. | Arthur D. Little | 260,000 (Phase 1)<br>85,000 (Phase 2) |
| | Long Island Lighting Company | Booz-Allen & Hamilton | 400,000 (Phase 1) |
| | Fuel Procurement Practices at several Electric Utilities | Commission Staff | |
| | New York State Electric Gas Corporation | Theodore Barry & Assoc. | 350,000 (Phase 1)<br>472,500 (Phase 2) |
| | Niagra Mohawk Power Corporation (management of gas business) | Commission Staff* | N/A |
| | Jamaica Water Supply Co. | Commission Staff | N/A |
| | Central Hudson Gas & Electric | Theodore Barry & Assoc. | 201,250 (Phase 1) |
| North Carolina | Duke Power Co. | Booz-Allen & Hamilton | 331,250 |
| | Carolina Power & Light | Booz-Allen & Hamilton | 293,750 |
| | Southern Bell Tele. | Arthur D. Little | 250,000 |
| | Western Carolina & Westco Tele. Companies (Subsidiaries of Continental) | Theodore Barry & Assoc. | 103,750 |
| | Piedmont Natural Gas Corp. | Ernst & Ernst | 158,000 |
| Nova Scotia | Nova Scotia Power Corporation | Touche Ross & Co. | Payment made by utility studied |
| | Maritime Telegraph & Telephone Co. | Touche Ross & Co. | Payment made by utility studied |
| | City of Darlsville Water Utility | H.R. Doane & Co. | Payment made by utility studied |

* Ten recommendations for improving the management of Niagara Mohawk's gas business resulted from the staff study. Several of these have been implemented by the company and others are under consideration.

Table 30 - MANAGEMENT AUDITS (Continued)

| State | Utility Studied | Consultant's Name | Size of Contract |
|---|---|---|---|
| Ohio | All Electric Companies<br>Fuel Audits | Various CPA Firms | |
| Oregon | Pacific Power & Light | Theodore Barry & Assoc. | $ 235,000 |
| | Portland General Electric Co. | Arthur D. Little | 215,000 |
| Tennessee | United Inter-Mountain Telephone | A. D. Little | 50,000 (Phase 1) |
| Vermont | All electric utilities | Theodore Barry & Assoc. | 250,000 |
| Virginia | Virginia Electric Power Co. | A. D. Little | 233,000 |
| | | Theodore Barry & Assoc. | 190,000 |

## Table 30 - MANAGEMENT AUDITS (continued)

Question 2 - Does your commission have and/or are you developing a capability to perform such studies of utility operating performance on an "in house" basis?

A. Presently assigned one or more commission staff members to work with the consulting firm performing the study.
B. Do not have the capability to perform studies of utility performance.
C. Are attempting to develop the capability to participate in such studies along with the consultant.
D. Are developing the capability to perform studies of utilities on an "in house" basis.
E. Already possess the ability to evaluate utilities.

| State/Agency | A | B | C | D | E |
|---|---|---|---|---|---|
| Alabama | | | | | |
| Alaska PC | | | X | | |
| Alaska PUC 1/ | | | | X | |
| Alberta PUB 1/ | | | | | |
| Arizona | | | X | | |
| Arkansas | | | X | X | |
| California | X | X | | | |
| Colorado | | X | | | |
| Connecticut | X | X | | X | X |
| Delaware | | | X | | |
| D.C. | | | | X | |
| Florida | X | | | X | |
| Georgia | | X | | | |
| Guam PUC | | X | | | |
| Hawaii | | X | | | |
| Idaho | | X | | | |
| Illinois | | | | X | |
| Indiana | | X | | | |
| Iowa | | X | | | |
| Kansas | X | | | | |
| Kentucky | | X | X | | |
| Louisiana | | X | | | |
| Maine | | | X | X | |
| Maryland 2/ | | X | | | |
| Massachusetts | X | | X | X | |
| Michigan | | X | | | |
| Minnesota | | X | | | |
| Mississippi | | X | | | |
| Missouri | | | | | X |
| Montana | | X | | | |
| Nebraska | | | | | |
| Nevada | | | X | | |
| New Hampshire | | X | | | |
| New Jersey | | | | | X |
| New Mexico | | X | X | | |
| New York | X | | | | X |
| North Carolina | | | | X | |
| North Dakota | | X | | | |
| Nova Scotia | | X | | | |
| Ohio | X | | X | X | |
| Oklahoma | | X | | | |
| Ontario EB | | | | | |
| Ontario TSC | | | | X | |
| Oregon | | | | | X |
| Pennsylvania | X | | | X | |
| Quebec EGB | | | | X | |
| Quebec PSB | | | | | X |
| Rhode Island | | X | | | |
| South Carolina | | X | | | |
| South Dakota | | X | | | |
| Tennessee | | X | | | |
| Texas PUC | | X | | | |
| Texas RC | | | | X | |
| Utah | | | | | |
| Vermont | | | X | | |
| Virgin Islands PSC | | X | | | |
| Virginia | X | | | X | |
| Washington | | X | | | |
| West Virginia | | | | | |
| Wisconsin | (See Footnote #6, Question 1) | | | | X |
| Wyoming | | X | | | |

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1/ We believe the Agency staff has or will have the expertise to perform such studies, but at present the staff does not have sufficient numbers of personnel to perform comprehensive Studies of any except the very smallest utilities.

2/ The independent organizations retained by the utilities during the period 1972-1974, expressed opinions in direct testimony at rate proceedings, based upon a comprehensive audit, as to the adequacy and effectiveness of the utility's programs for achieving and maintaining efficiency and productivity.

Table 30 - MANAGEMENT AUDITS (continued)

Question 3 - What has been the use of management audits?

A. As general input in a rate case or as the result of a rate case order.
B. For the commission's general review, but not in connection with a rate case.
C. As input in a rate case in determining the rate level or level of profits.

| State | A | B | C |
|---|---|---|---|
| Alabama | | X | |
| Alaska | | | |
| Arizona | X | | |
| Arkansas | X | | |
| California | X | | |
| Colorado | | X | |
| Connecticut | | X | X |
| Delaware | | X | |
| D.C. | X | | |
| Florida | | | |
| Georgia | | | |
| Hawaii | | | |
| Idaho | | X | |
| Illinois | X | | |
| Indiana | X | | |
| Iowa | X | | |
| Kansas | | | |
| Kentucky | X | | |
| Louisiana | | | |
| Maine | | X | |
| Maryland | X | | X |
| Massachusetts | X | | |
| Michigan 1/ | | | |
| Minnesota | | | |
| Mississippi | | | |
| Missouri | | X | |
| Montana | | | |
| Nebraska | | | |
| Nevada | | | |
| New Hampshire | | X | |
| New Jersey | X | X | X |
| New Mexico | | | |
| New York | X | X | X |
| North Carolina | | X | |
| North Dakota | | | |
| Nova Scotia | X | | X |
| Ohio 2/ | X | | |
| Oklahoma | X | | |
| Ontario EB | | | |
| Oregon | X | X | |
| Pennsylvania | | X | |
| Quebec EGB | | | |
| Quebec PSB | X | | |
| Rhode Island | | X | |
| South Carolina | | | |
| South Dakota | | X | |
| Tennessee | X | | |
| Texas PUC | X | | |
| Utah | X | | |
| Vermont | | X | |
| Virginia 3/ | X | X | |
| Washington | | | |
| West Virginia | | | |
| Wisconsin | | | |
| Wyoming | | | |

1/ Management audits which have been conducted in Michigan have been prescribed in specific rate orders of the Commission. However, the audits have not been specifically designed for use or presentation in a subsequent rate case. Their general purpose has been to provide a broad understanding of the company's operations for the Commission. In addition, the company involved presumably receives guidance as to areas in which potential economies can be accomplished.

2/ Fuel cost pass-through hearings for electric companies, not general rate cases.

3/ Multiple purposes.

Table 30 - MANAGEMENT AUDITS (Continued)

Question 4 - As the result of a management audit has a utility's management changed in any way any of its operating procedures or ways of doing business? If so, could you briefly describe?

Arizona - Tucson Gas & Electric Co. has had a reorganization of top level management. They have an expanded budget and planning departments and are implementing other suggestions. Arizona Public Service Company is in the process of implementing recommendations of consultants.

Arkanasas - Arkansas Power & Light has reorganized a portion of its management level personnel and developed a corporate communications division to enhance management efficiency. Training programs and studies have been implemented to increase the efficiency of line crews and generation operations to an optimum level. Various other recommendations are being studied for possible implementation.

California - The only Commission mandated audit completed to date was too limited in scope to effect changes in operation.

Connecticut - All management audits are presently in process or due to start soon.

District of Columbia - Management audit has been completed and the recommendations have been implemented by the audited electric utility.

Florida - Electric utilities have revised their fuel procurement policies.

Illinois - The first audit report was submitted February 7, 1977. This report included recommendations for change, an overall implementation plan and on-going performance measures. The company is in the process of implementing priority recommendations and is submitting periodic progress reports on each recommendation. The Commission and company have not reached agreement on an implementation plan for the non-priority recommendations.

Indiana - We will soon have proceedings to specifically determine the effects of an audit.

Kentucky - Some of the recommendations have been implemented by the company.

Michigan - As a follow-up to these audits, we have found in each case that the company has employed various consultants to implement specific recommendations developed in the study. It is our view that benefits have been derived in this fashion.

Missouri - As a part of the management audit process at the Missouri Commission, the staff performs periodic implementation reviews of those utilities that have been the subject of a management audit. The implementation reviews focus on determining what actions the utility has taken in addressing the recommendations and on assessing the impact of those actions on the utility's operations. Through the implementation review process, the staff has noted significant changes and improvements in a wide variety of areas. Some of the more significant changes have been noted in the areas of work force management, planning and budgeting systems, materials management, training, thermal efficiency, and commercial operations.

New Jersey - Two management audits in discussion stages with utilities' management.

New York - Numerous management studies have been completed. In a number of them substantial cost savings and/or service improvements have been realized or are anticipated in areas such as work force management, customer service, fuels procurement, materials management, and vehicle fleet management. There have also been numerous smaller scale improvements which staff feels have been very beneficial, in such areas as better project management, improved customer relations, and improved working relations with the Commission.

North Carolina - Some of the recommendations listed in the audits have been implemented by the companies. The Commission is currently evaluating reports submitted by the companies concerning parts of the audit recommendations.

Nova Scotia - Accounting principles used by electric and water utilities have been revised. Operating efficiency of telephone utility has been improved.

Oklahoma - No change apparent.

Oregon - PGE's audit has resulted in reorganization of the management structure and the formation of a corporate planning group. PP&L's audit has resulted in the formation of an economic forecasting group.

Tennessee - The audit has not been completed.

Vermont - Some of the specific recommendations have been implemented by the utilities.

Virginia - Review of fuel procurement contracts and load forecasting techniques. Improving cost control techniques on new construction.

Table 32 - REPORTS

| AGENCY | The Agency | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Has authority to require filing of annual accounting report forms FERC (1), FCC (2), NARUC (3), Own (4) | | | | | | Has prescribed an annual accounting report (use FERC (1), FCC (2), NARUC (3), Own (4) | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | |
| | Private | Public | Co-op | Private | Public | Telephone | Private | Public | Co-op | Private | Public | Telephone |
| FCC | | | | | | 2 | | | | | | 2 |
| FERC | 1 | 12/ | | 1 | | | 1 | 1 | 1 | 1 | | |
| ALABAMA PSC | 1,4 | | | 1,4 | | 2,4 | 1 | | | 1 | | 2,4 |
| ALASKA PC 1/ | | | | 1,4 | 1,4 | | | | | | | |
| ALASKA PUC | 4 | 4 | 4 | 4 | 4 | 4 | 4 2/ | 4 2/ | 4 2/ | 4 2/ | 4 2/ | 4 2/ |
| ALBERTA PUB | 4 | | | 4 | 4 | 4 | | | | | | |
| ARIZONA CC | 1,4 | | 1,4 | 1,4 | | 2,4 | 1,4 | | 1,4 | 1,4 | | 2,4 |
| ARKANSAS PSC | 1,4 | 4 | 1,4 | 1,4 | | 2,4 | 1,4 | 4 | 4 | 1,4 | | 2,4 |
| CALIFORNIA PUC | 1,3,4 | | 1,3,4 | 1,3,4 | | 2,4 | 1 13/ | | 1 | 1 13/ | | 2 13/ |
| COLORADO PUC | 1 | 1 | 4 | 1 | 1 | 2,4 3/ | 1 | 1 | 4 | 1 | 1 | 2,4 3/ |
| CONNECTICUT PUC | 3 | 3 | | 3 | 3 | 2 | 3 | 3 | | 3 | 3 | 2 |
| DELAWARE PSC | 1 | | 1 | 1 | | 2 | 1 | | 1 | | | 2 |
| D.C. PSC | 1 | | | 1 | | 2 | 1 | | | 1 | | 2 |
| FLORIDA PSC | 1,4 | | | 1,4 | | 2,4 | 1,4 | 14/ | | 1,4 | 14/ | 2,4 |
| GEORGIA PSC | 4 | | | 4 | | 4 | 1 | | | 1 | | 2 |
| GUAM PUC | | 1,3,4 | | | | 2,4 | | | | | | |
| HAWAII PUC | 1,3 | | | 3 | | 2 | 1,4 | | | 4 | | 2 |
| IDAHO PUC | 1 | | | 1 | | 2 | 1 | | | 1 | | 2 |
| ILLINOIS CC | 1,3,4 | | | 1,3,4 | | 2,4 | 4 | | | 4 | | 4 |
| INDIANA PSC | 1 | 4 | 4 | 1,4 | 4 | 2,4 | 1 | 4 | 4 | 1,3 | 4 | 2,4 |
| IOWA SCC | 1,4 | 4 | 4 | 1,4 | 4 | 2,4 | 1,4 | 4 | 4 | 1,4 | 4 | 2,4 |
| KANSAS SCC | 4/ | 5/ | | 4/ | 5/ | 6/ | 4/ | 5/ | | 4/ | 5/ | 6/ |
| KENTUCKY ERC | 1,4 | | 4 | 1 | | | 1,4 | | 4 | 1 | | |
| KENTUCKY URC | | | | | | 2,4 | | | | | | 2,4 |
| LOUISIANA PSC | 1 | | | 1,4 | | 2,4 | 1 | | | 1,4 | | 2,4 |
| MAINE PUC | 4 | 4 | 4 | 1 | 1 | 2,4 7/ | 4 | 4 | 4 | 1 | 1 | 2,4 |
| MARYLAND PSC | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | 1 | 2 |
| MASSACHUSETTS DPU | 4 | 4 | | 4 | 4 | 4 8/ | 4 | 4 | | 4 | 4 | 4 8/ |
| MICHIGAN PSC | 4 | 4 | 4 | 4 | 4 | 2 | 1 | 4 | 4 | 1 | 4 | 2 |
| MINNESOTA PSC | 1,4 | | | 1,4 | | 4 | 4 | | | 4 | | 4 |
| MISSISSIPPI PSC | | 1 | | 4 | 1 | 2,4 | | 4 | | 4 | 1 | 2 |
| MISSOURI PSC | | | | | | 4 | 1 | | | 1 | | 4 |
| MONTANA PSC | 1,4 | 4 | | 1,4 | 4 | 2,4 | 4 | 4 | | 4 | 4 | 2,4 |
| NEBRASKA PSC | | | | | | 2,4 | | | | | | 2,4 |
| NEVADA PSC | 1 | | 1 | 1 | | 2 | 1 | | 1 | 1 | | 2 |
| NEW HAMPSHIRE PUC | 1 | 1 | 1 | 4 | | 2 | 1 | 1 | 1 | 4 | | 2 |
| NEW JERSEY BPU | 1,4 | 4 | 4 | 4 | | 2,4 | 1,4 | 4 | 4 | 4 | | 2,4 |
| NEW MEXICO PSC | 1 | | 4 | 1,3 | | | 1 | | 4 | 1 | | |
| NEW MEXICO SCC | | | | | | 2,4 | | | | | | 2,4 |
| NEW YORK PSC | 4 | 4 | | 4 | 4 | 4 | 4 | 4 | | 4 | 4 | 4 |
| NORTH CAROLINA UC | 1 | 4 | | 1 | 4 | 2 | 1 | 4 | | 1 | 4 | 2 |
| NORTH DAKOTA PSC | 1,4 | | | 1,4 | | 2,4 | 1,4 | | | 1,4 | | 2,4 |
| NOVA SCOTIA PUB | 4 | 4 | 4 | | | 4 | 4 | 4 | 4 | | | 4 |
| OHIO PUC | 3,4 | | | 1,3,4 | | 2,4,3 | 1 | | | 1,4 | | 2,4 |
| OKLAHOMA CC 9/ | 1 | | 4 | 1,4 | | 2,4 | 1 | | 4 | 1,4 | | 2,4 |
| ONTARIO EB | | | | 4 | | | | | | | | |
| ONTARIO TSC | | | | | | 4 | | | | | | |
| OREGON PUC | 1 | | | 1 | | 2 | 1 | | | 1 | | 2 |
| PENNSYLVANIA PUC | 1,4 | 1,4 | | 1,4 | | 2,4 | 1,4 | 1,4 | | 1,4 | | 2,4 |
| PUERTO RICO PSC | | | | 3 | | | | | | 3 | | |
| QUEBEC EGB | 4 | | | 4 | | | 4 | | | 4 | | |
| QUEBEC PSB | | | | | | 4 | | | | | | 4 |
| RHODE ISLAND PUC | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | 1 | 2 |
| SOUTH CAROLINA PSC | 1,4 | | | 4 | | 2,4 | 1,4 | | | 4 | | 2,4 |
| SOUTH DAKOTA PUC | 1,4 | | | 1,4 | | 2,4 | 1 | | | 1 | | 2,4 |
| TENNESSEE PSC | 1 | | | 4 | | 2,4 | 1,4 | | | 4 | | 2,4 |
| TEXAS PUC 10/ | | | | N/A | N/A | 2,4 | | | | N/A | N/A | 2,4 |
| TEXAS RC | | | | 4 | | | | | | 3 | | |
| UTAH PSC | 1 | | 4 | 1 | | 2,4 | 1 | | 4 | 1 | | 2,4 |
| VERMONT PSB | 1,4 | 4 | 1 | 4 | | 2,4 | 1,4 | 4 | 1 | 4 | | 2,4 |
| VIRGIN ISLANDS PSC | | | | | | 2,4 | | 4 | | | | 2 |
| VIRGINIA SCC | 1 | | 4 | 4 | | 2,4 | 1 | | 4 | 4 | | 2,4 |
| WASHINGTON UTC | 1 | | | 1 | | 2 | 1 | | | 1 | | 2 |
| WEST VIRGINIA PSC | 1 | 1 | 1 | 1 | 1 | 2 | 1 | 1 | 1 | 1 | 1 | 2 |
| WISCONSIN PSC | 4 | 4 | | 4 | 4 | 4 | 4 | 4 | | 4 | 4 | 4 |
| WYOMING PSC | 1,4 10/ | 4 11/ | 4 | 1,4 | 4 11/ | 2,4 | 1,4 | 4 11/ | 4 | 1,4 | 4 11/ | 2,4 |

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1/ The Commission has proposed an annual report form which is being reviewed by carriers and commission.

2/ A copy of FERC or FCC annual report form may be substituted.

3/ The Commission requires a supplement to the FCC form for State information.

4/ Class A and Class B electric and gas utilities file an annual Kansas supplement plus the form filed with FERC.

5/ Municipal electric and gas utilities which operate more than three miles from city limits file annual reports on forms developed by our Commission.

6/ Class A and Class B telephone companies file Kansas supplements with the FCC Form M and Classes C, D, and E telephone companies file on KCC forms.

7/ FCC for Bell Company, own for independent telephone companies.

8/ FCC for large companies

9/ If multi-state required to file Oklahoma supplement: cooperatives use REA report of borrowers.

10/ Large electric utilities are required to report on FERC forms.

11/ Commission regulates service outside of corporate limits. There are no municipal gas utilities in Wyoming.

12/ The Energy Information Administration (EIA) of the DOE has the authority to require public filing of the ERA Form No. 412 under Sec. 311 of the Federal Power Act.

13/ For Class A and B. Own form for Class C and D. Utilities which have interstate operations file a state supplement in addition to Federal Form.

14/ Accept monthly published reports. Receive Federal reports and municipal reports on an annual basis.

## Table 32 - MISCELLANEOUS REPORT FORMS

(Miscellaneous report forms required by Agencies in addition to Annual Financial Reports)

| AGENCY | Title of Form | Frequency of Collection |
|---|---|---|
| FCC | Monthly Report of Revenues, Expenses and Other Items.<br>Financial and statistical reports. | Monthly<br>Monthly |
| FERC (Form No.) | | |
| FERC-121 | Application for Determination of Maximum Lawful Price Under the Natural Gas Policy Act of 1978. | Annually |
| FERC-122 | Report of First Sales of Natural Gas Under Sec. 109 NGPA. | Annually |
| FERC-123 | Report of First Sales of Natural Gas Under Sec. 105. | Annually |
| FERC-124 | Report of First Sales of Natural Gas Under NGPA Sec. 106(b). | Annually |
| FERC-125 | Annual Report of First Sales of Natural Gas Under NGPA. | Annually |
| FERC-126 | Annual Report of Purchases of Natural Gas Under Sec. 104 & 106(a) of NGPA Existing & Rollover Contracts. | Annually |
| FERC-127 | Annual Report of First Sales of Natural Gas Under Secs. 102, 103, 107, and 108 of the NGPA. | Annually |
| FPC-R0016 | Report on Service Interruptions on Pipeline Systems. | Event Activated |
| FPC-R0211 | Report of Events Affecting Bulk Power Supply (Order No. 331, 361). | Event Activated To be eliminated (Proposed) |
| FPC-R0284 | Annual Reports of System Flow Diagrams. | Annually |
| FPC-R0326 | Temp. Emergency Sales/Deliveries of Natural Gas for Resale by Persons W/Exemptions Under NGA. | Event Activated |
| FPC-1 | Annual Report for Electric Utilities, Licensees and Others (Class A and B). | Annually |
| FPC-1F | Annual Report for Public Utilities and Licensees (Class C and D). | Annually |
| FPC-2 | Annual Report for Natural Gas Companies (Class A and B). | Annually |
| FPC-2A | Annual Report for Natural Gas Companies (Class C and D). | Annually |
| FPC-3 | Typical Monthly Net Bills for Electric Service. | To be eliminated (Proposed) |
| FPC-3A | All Electric Homes Data Sheet. | To be eliminated (Proposed) |
| FPC-3P | Monthly Residential, Commercial, and Industrial Data on Electric Bills. | To be eliminated (Proposed) |
| FPC-4 | Monthly Power Plant Report (Electric Utility and Industrial). | Monthly |
| FPC-5 | Monthly Statement of Electric Operating Revenue and Income. | Monthly |
| FPC-8 | Underground Gas Storage Report. | Monthly |
| FPC-11 | Natural Gas Pipeline Company Monthly Statement. | Monthly |
| FPC-12 | Power System Statement. | Annually |
| FPC-12A | Power System Statement. | Annually |
| FPC-12C | Industrial Electric Generating Capacity (Smaller Industrial Plants). | Annually |
| FPC-12D | Power System Statement. | Annually |
| FPC-12E2 | Supplemental Power Statement. | Semi-Annually |
| FPC-12F | Power Line Construction Data. | Annually |
| FPC-14 | Annual Report for Importers and Exporters of Natural Gas. | Annually |
| FPC-15 | Annual Report of Gas Supply for Certain Natural Gas Pipeline Companies. | Annually |
| FPC-16 | Report of Gas Supply, Requirements, and Curtailments. | Semi-Annually |
| FPC-40 | Annual Survey of Domestic Oil and Gas Reserves. | Annually |
| FPC-67 | Steam-Electric Plant Air and Water Quality Control Data. | Annually |
| FPC-80 | Licensed Projects Recreation Report. | Biennially |
| FPC-82 | Report of Changes in Retail Rates. | To be eliminated (Proposed) |
| FPC-108 | Rate Schedule Analysis on a Current and Continuing Basis. | Event Activated |
| FPC-314A | Application for Small Producer Exemption. | One-time filing |
| FPC-314B | Annual Statement to Support Small Producer Exemption. | Annually |
| FPC-334 | Reserve Dedication Report. | |
| FPC-423 | Monthly Report of Cost and Quality of Fuels for Electric Plants. | Monthly |
| FPC-1002 | Annual Report of Army Corps of Engineers for Electric Utilities (Class A and B) | Annually |
| FPC-1003 | Data for Headwater Benefits. | On request |
| ICC ACV-1 | Statement of Property Changes Other Than Land and Right-of-Way Pipeline Carriers. | Annually |
| ICC ACV-2 | Summary of Land and Rights-of-Way Property Changes - Pipeline Carriers. | Annually |
| ICC ACV-3 | Summary of Changes in Original Cost and Total Original Cost at End of Period - Pipeline Carriers. | Annually |
| ICC ACV-4 | Summary of Cost Reproduction New and Reproduction of New Less Depreciation - Pipeline Carriers. | Annually |
| ICC ACV-5 | Inventory of Property Other Than Land and Rights of Way. | Annually |
| ICC ACV-6 | Inventory of Land and Rights-of-Way. | Annually |
| ICC ACV-7 | Summary of Original Cost of Inventory. | Annually |
| ICC ACV-8 | Cost Data for Equipment and Tanks. | Annually |
| ICC ACV-9 | Cost Data for Pipeline Construction. | Annually |
| ICC ACV159 | Service Life Data. | Annually |
| ICC ACV162 | Application for Annual or Basic Valuation. | Annually |

Table 32 - MISCELLANEOUS REPORT FORMS (Continued)

(Miscellaneous report forms required by Agencies in addition to Annual Financial Reports)

| AGENCY | Title of Form | Frequency of Collection |
|---|---|---|
| FERC (Form No.) | | |
| ICC-P | Annual Report -- Carriers by Pipeline. | Annually |
| ICC-QPS | Quarterly Report of Pipeline Companies. | Annually |
| PURPA-133 | Cost of Service Information Under Sec. 133 of PURPA. | Biennially |
| ALABAMA PSC | Financial reports from larger utilities. | Monthly |
| | Fuel adjustments from power companies. | Monthly |
| | Station data from telephone companies. | Monthly |
| ALASKA PC | Policy on forms required other than annual financial report is under development. | |
| ALASKA PUC | Financial reports for utilities applying fuel cost surcharges. | Quarterly |
| ALASKA TC | Air carrier operating statements | Quarterly |
| | Motor carrier operating statements. | Quarterly |
| ALBERTA PMC | Cost of Service Application: Current month estimate and past month actual. | Monthly |
| | Alberta Sales. | Monthly |
| | Purchased Gas Quantity Variance. | Monthly |
| | Return and Depreciation. | Monthly |
| | Natural Gas Take or Pay Report. | Periodically |
| ALBERTA PUB | No formal report forms filed. Information requested on an as required basis. | As required |
| ARIZONA CC | Financial (selected utilities.) | Monthly |
| ARKANSAS PSC | As required by various departments. | Various |
| ARKANSAS TC | As required by various departments. | Various |
| CALIFORNIA PUC | Monthly reports re sale of stocks, bond, etc., authorized by Commission. | Monthly |
| | Refunding of notes not to exceed 12 months in the aggregate. | As refunded |
| | Filing of monthly financial and operating reports. | Monthly or for definite period |
| | Filing of data re compensation, dues, donations, contributions, legal fees. | Annually |
| | Report on California intrastate operations. | Annually |
| COLORADO PUC | Electric and gas energy use. | Annually |
| | Electric use forecast. | Annually |
| | Gas curtailment. | Semi-annually |
| | Gas estimated peak day requirements. | Semi-annually |
| | Gas status. | Semi-annually |
| | Accidents and outages. | Each occurrence |
| CONNECTICUT PUC | Financial and operating Reports. | Monthly |
| DELAWARE PSC | | |
| D.C. PSC | Operating reports. | Monthly |
| FLORIDA PSC | Annual Construction Budget. | Annually |
| | Quarterly Financial Report. | Quarterly |
| | Monthly Financial Report. | Monthly |
| | FERC Operating and Fuel Reports. | Various |
| | Telephone Service Reports. | Quarterly |
| GEORGIA PSC | Electric - Revenue & Expenses, Sales of Energy. | Monthly |
| | Gas - Revenues & Expenses, Sales of Gas. | Monthly |
| | Telephone - REA, Financial & Statistical Report. | Semi-annually |
| GUAM PUC | Accident Reports. | As required |
| HAWAII PUC | Financial and Statistical. | Monthly |
| IDAHO PUC | Any report required by the IPUC to carry out its duties. | As required |
| ILLINOIS CC | Accident forms. | For each accident |
| | Accident or Incident Report--Gas and Electric. | For each accident or incident |
| | Peak Day and Seasonal Gas Supply and Requirements. | Annually |
| | Gas Limitations Report. | Monthly |
| | Monthly Underground Facilities Damage Report--Telephone, Gas. | Monthly |
| | Underground Storage Fields. | Monthly |
| | Fuel Adjustment Computation--Electric. | Monthly |
| | Out of Service Reports--Telephone. | As required |
| | Letters of Agreement--Telephone. | As required |
| INDIANA PSC | Private rural sewerage utilities. | Annually |
| IOWA SCC | Report of Underground Gas Storage. | Monthly |
| | Major Service Interruption Report. | As Occurs |
| | Operation and Maintenance Statistics. | Monthly |
| | Utility Plant Construction Starts. | Following Month |
| | Accident Report. | As Occurs |
| | Stockholder Reports. | Quarterly |
| | Operating Financial Statements. | Monthly |
| | Revenue Collections Subject to Refunds. | Monthly |
| | Change in Purchased Gas Adjustment. | As Occurs |
| | Fuel Adjustment Clause Calculation. | Monthly |

Table 32 - MISCELLANEOUS REPORT FORMS (Continued)

(Miscellaneous report forms required by Agencies in addition to Annual Financial Reports)

| AGENCY | Title of Form | Frequency of Collection |
|---|---|---|
| IOWA SCC (Cont'd) | FERC Form 4. | Monthly |
| | FERC Form 5. | Monthly |
| | FERC Form 423. | Monthly |
| | REA Form 7. | Monthly |
| | Natural Gas Consumption. | Monthly |
| | Report of Secondary Standard Watt-hour Meter Tests. | Annually |
| KANSAS SCC | Radio Common Carrier. | Annually |
| KENTUCKY ERC & URC | Reports required for all Class A & B utilities to include balance sheet, income statement and certain statistical data and customer statistics. | Monthly |
| | Quarterly reports required for Class C & D utilities. | Quarterly |
| LOUISIANA PSC | Financial and Operating Reports. | Annually |
| MAINE PUC | Accident Reports. | Each accident |
| | Financial Reports (major utilities). | Monthly |
| MARYLAND PSC | Accident Reports. | Each fatality & Annually |
| | Major construction. | Start & complete |
| | Unaccountable Gas. | Monthly |
| | Electric and Gas Service and Sales. | Monthly |
| | Voltage Reduction. | As Occurs |
| | Underground Service (Electric and Telephone). | Annually |
| | Service Maps. | As changes |
| | Depreciation Data. | Three years |
| | Gas BTU. | Daily |
| | Electric and Gas Meter Sample Testing. | Three years |
| | Other. | Random |
| | Financial Data (From select utilities). | Monthly |
| | Annual Estimates of KWH's Generated, Purchased and Sold. | Annually |
| | Ten-Year Plans for Electric Companies. | Annually |
| | Late Payment Data. | Quarterly |
| | Gas Adjustment. | Monthly |
| | Fuel Rate - Cost Supporting Data. | Monthly |
| | Purchased Power Adjustments. | Monthly |
| MASSACHUSETTS DPU | Additions and retirements reports. | Annually |
| MICHIGAN PSC | Quarterly station reports--telephone. | Quarterly |
| | Operating and financial reports by all major utilities. | Monthly |
| MINNESOTA PSC | To date no electric or gas reports required on a regular basis. | |
| MISSISSIPPI PSC | Fuel Cost - Electric, PGA - Gas. | Monthly |
| MISSOURI PSC | Purchased Gas Adjustment. | As occurs |
| | Financial Surveillance. | Monthly |
| | Fuel Adjustment. | Annually |
| MONTANA PSC | Operating and budget forms. | Quarterly |
| NEBRASKA PSC | Any report deemed necessary by Commission. | As required |
| NEVADA PSC | Other than safety reports, none except on a special case basis as ordered. | |
| NEW HAMPSHIRE PUC | Electric - income statement and energy report. | Monthly |
| | Gas - income statement and statistical report. | Monthly |
| NEW JERSEY BPU | N.J.A.C. 14:11 | Semi-annually |
| | Financial Reports (major utilities) | Monthly/ Quarterly |
| NEW MEXICO PSC | Basis of Fuel Adjustment. | Monthly-Electric<br>Quarterly-Gas |
| | Meter Testing. | Annually |
| | Continuity of Service. | Annually |
| | Service Complaints. | Annually |
| | Accidents. | Annually |
| | Generating Maintenance. | Annually |
| NEW MEXICO SCC | | |
| NEW YORK PSC | Quarterly Report of Electric and/or Gas Corporations, Class A & B. | Quarterly |
| | Quarterly Report of Telephone Corporations. (Report required from all telephone companies with annual revenues of $2,000,000 or more). | Quarterly |
| | Monthly Reports of New York Telephone Co. (These reports include selective financial and operating data). | Monthly |
| NORTH CAROLINA UC | Reports of Class A & B Electric, Gas Companies, and Reports of Telephone Companies having annual revenues of $1,000,000 or more. (These reports include selective financial and operating data for surveillance programs). | Quarterly |
| | Electric Fuel Report. | Monthly |
| | Fossil Fuel Cost Adjustments. (Electric) | Monthly |
| | Base Load Plant Performance Report. (Electric) | Monthly |

Table 32 - MISCELLANEOUS REPORT FORMS (Continued)

(Miscellaneous Report forms required by Agencies in addition to Annual Financial Reports)

| AGENCY | Title of Form | Frequency of Collection |
|---|---|---|
| NORTH CAROLINA UC (Cont'd) | Base Load Plant Performance Report. (Electric) | Monthly |
| | Load Forecasts and Construction Programs. (Electric) | Annually |
| | FERC Form 12. (Power System Statement) (Electric) | Annually |
| | Emergency Contingency Plans for Load Shedding. (Electric) | Annually |
| | FERC Form 423. (Cost & Quality of Fuels for Electric Plants) | Monthly |
| | Dam Safety Inspection Report. (Electric) | Annually |
| | Meter Sample Test Report. (Electric) | Annually |
| | Underground Distribution Cost Reports. (Electric) | Annually |
| | Load Growth Reports. (Gas) | Monthly |
| | Gas Sales by Priorities. | Monthly |
| | Gas Pipeline Safety Report. | Monthly |
| | Meter Reports. (Gas) | Monthly |
| | Corrosion Reports. (Gas) | Semi-annually |
| | Federal DOT Report. (Gas) | Annually |
| | Pipeline Accident Report. (Gas) | Each accident |
| | Operations and Maintenance Manual Changes. (Gas) | As Occurs |
| | Welders Qualification Reports. (Gas) | Semi-annually |
| | Trouble Report Index. (Telephone) | Monthly |
| | Operator Answer Time Report. (Telephone) | Monthly |
| | Held Order Report. (Telephone) | Monthly |
| | Held Order Carryover Report. (Telephone) | Monthly |
| | Intrastate Toll Settlement Ratio Report. (Telephone) | Monthly |
| | Telephone Station Development Report. | Monthly |
| | Construction Plans and Objectives. (Telephone) | Annually |
| | Rail Accident Report. | Monthly |
| NORTH DAKOTA PSC | As required by various departments. | As required |
| NOVA SCOTIA PUB | Studies on different aspects of utility operations. | |
| OHIO PUC | Fuel Costs, Supply and Source, Consumption and Related Data. | Monthly |
| | Gas Costs, Purchases, Supply and Demand. | Quarterly |
| | Gas Incremental Pricing Data. | Monthly |
| | Gas Pipeline Safety. | Monthly |
| | Power Plant Productivity Data. | Various |
| | Periodic Financial and Operating Data. | Various |
| OKLAHOMA CC | Gas Distribution. | Monthly |
| | Gas Transmission. | Monthly |
| | Cost of Gas Purchased. | Monthly |
| | Cost of Fuel Purchased - Electric. | Monthly |
| | Electric Transmission. | Monthly |
| | Electric Distribution. | Monthly |
| | Additions and Retirement and System Maps. | Annually |
| ONTARIO EB | | |
| ONTARIO TSC | Telephone Statistics Annual Report. | Annually |
| OREGON PUC | Budget of Expenditures. | Annually |
| | Construction Budget. | Annually |
| | Sales Promotion and Advertising. | Annually |
| PENNSYLVANIA PUC | Quarterly Typical Residential Bills - Electric. | Quarterly |
| | Fuel Adjustment Clauses - Electric, Gas and Steam Heat. | Monthly |
| | Household Mover's Overrun of Estimated Charges. | Quarterly |
| | Quarterly reports from large electric, gas, and telephone utilities showing results of 12 months operations. | Quarterly |
| | Sales Promotion. | Semi-annually |
| | Research and Development. | Quarterly |
| | Residential Electric Undergrounding. | Annually |
| | Advertising and Sales. | Quarterly and/or Semi-annually |
| PUERTO RICO PSC | | |
| QUEBEC EGB | Budget of Capital Expenditures. (Financial) | Yearly |
| | Capital Additions and Operating Data. (Security) | Yearly |
| | Financial Statements. | Monthly |
| | Movement of Gas. (Supply and Sales) | Monthly |
| | Results of Conversion of Equipment Programs Approved by the Board. | Yearly |
| | Customer Deposits. | Yearly |
| | Contracts of Customers - Large Size Use. | Monthly |
| | List of Customers - Medium Size Use. | Monthly |
| QUEBEC PSB | Number of Telephones. | Annually |
| | Information on Exchanges. | Annually |
| | Employee Statistics. | Annually |
| | Data Transmission Services. | Annually |
| | Program Transmission Facilities. | Annually |
| | Construction Expenditures. | Annually |
| | Depreciation Report. | |

Table 32 - MISCELLANEOUS REPORT FORMS (Continued)

(Miscellaneous Report forms required by Agencies in addition to Annual Financial Reports)

| AGENCY | Title of Form | Frequency of Collection |
|---|---|---|
| RHODE ISLAND PUC | E-Fuel Adjustment Clause Data. | Monthly |
| | E-Fuel and Energy Report (generation, purchase, etc.). | Monthly |
| | G-Purchased Gas Adjustment Data. | Monthly |
| | G-Operating Data (production, sendout, purchase, etc.). | Monthly |
| | Telephone Financial Reports. | Monthly |
| SOUTH CAROLINA PSC | Financial Statement (Major Electric Utilities). | Monthly |
| | Financial data in accordance with orders issued by Commission. | Quarterly |
| | Fuel Adjustment Clause data. | Monthly |
| | Gas BTU Content Adjustment data. | Monthly |
| | Form 522 - Telephone. | Monthly |
| SOUTH DAKOTA PUC | Northwestern Bell, Form 5110 - Development Report. | Monthly |
| | Telephone, electric and gas financial and operating Statements. | Monthly |
| TENNESSEE PSC | Monthly operating and financial reports by all Class A and B utilities and Quarterly reports for all other companies. | Monthly and Quarterly |
| TEXAS PUC | Operating and financial reports for all companies required at such intervals as are set forth in Substantive Rules of Texas PUC. | |
| TEXAS RC | Boiler Fuel Reports. (Pertains to Commission order to reduce use of natural gas as a boiler fuel.) | |
| | Curtailment Report. | |
| | Gross Receipts Tax Reports. | Quarterly |
| | Cost of Gas Reports. | Monthly |
| | Various safety reports. | Annually, Monthly and Quarterly |
| UTAH PSC | | |
| VERMONT PSB | Property Evaluation by Town Forms. | Yearly |
| | Outage Reports, Accident Reports. | As Needed |
| VIRGIN ISLANDS PSC | Financial Statements, Accident Reports, Major Construction. | Monthly, each fatality, prior to start of construction |
| VIRGINIA SCC | Projections of Gas Supply and Requirements. | Monthly |
| | Electric Fuel Reports, Curtailment Reports. | Monthly |
| | Load Forecasts and Construction Programs for Electric, Telephone and Gas | Annually |
| WASHINGTON UTC | Budget of Expenditures. | Annually |
| WEST VIRGINIA PSC | | |
| WISCONSIN PSC | Monthly operating and financial reports by all Class A & B utilities. | Monthly |
| | Numerous regular and special reports of various types. | |
| WYOMING PSC | Telephone Quarterly Report (Separations). Accident Reports, construction reports, 10-year forecast. | Quarterly |
| | Gas Curtailment and Interruption Reports. | |
| | BTU Content of Gas Reports. | |

# SECTION H

## FINANCIAL AND CORPORATE REGULATION

The authority of the various agencies to regulate financial and other corporate matters is summarized in Tables 33, 34 and 35. The agencies regulate or control utility purchases, mergers and consolidations, the issuance of securities, the purchase of securities of other utilities, the declaration of dividends, budgets and capital expenditures, and other financial and corporate transactions.

Table 35 shows each agency's policy toward transactions with affiliates concerning accounting and reporting requirements.

Table 33 - FINANCIAL AND CORPORATE - Part I

| AGENCY | Approval of the Agency is required prior to - | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Sale of facilities (entire operating units) | Purchase of facilities (entire operating units) | Merger or consolidation | Issuance of securities | | | | | | Purchase of securities of other utilities | Purchase or issuance of securities by utilities operating in the state but incorporated in another | Issuance of restricted stock options | Entrance into lease transactions |
| | | | | Mortgage bonds | Debentures | Notes over one year | Notes under one year | Preferred stock | The underwriting of new common stock | | | | |
| FCC | X | 4/ | | | | | | | | | | | |
| FERC | X 1/ | X 1/ | X 1/ | X 2/ | X 2/ | X 2/ | X 2/ | X 2/ | X 2/ | X 2/ | X 2/ | X 2/ | X |
| ALABAMA PSC | X | X | X | X | X | X | 5/ | X | X | X | X | X | X |
| ALASKA PC | X | X | X | | | | | | | | | | X |
| ALASKA PUC | | | | | | | | | | | | | |
| ALASKA TC | X | X | X | | | | | | | | | | X |
| ALBERTA PUB | X | | X | X | X | X | | X | X | | | | X |
| ARIZONA CC | X | X | X | X | X | X | | X | X | X | X | X | |
| ARKANSAS PSC | X | X | X | X | X | X | | X | X | X | X | X | X |
| CALIFORNIA PUC | X | X | X | X | X | X | 8/ | X | X | X | X | X | 9/ |
| COLORADO PUC | X | X | X | X 10/ | X 10/ | X 10/ | | X 10/ | X 10/ | | X | X 10/ | |
| CONNECTICUT PUC | X | X | X | X | X | X | | X | X | X | X | X | X |
| DELAWARE PSC | X | | X | X | X | X | | X | | | X | | |
| D.C. PSC | | | X | X | X | X | | X | X | X | X | X | |
| FLORIDA PSC | | | | X 13/ | X 13/ | X 13/ | X 13/ | X 13/ | X 13/ | | X 13/ | X 13/ | |
| GEORGIA PSC | X | X | X | X | X | X | | X | X | | X | X | X |
| GUAM PUC | X | X | X | X | X | X | | X | X | X | X | X | X |
| HAWAII PUC | X | X | X | X | X | X | | X | X | X | X | X | X |
| IDAHO PUC | X | X | X | X | X | X | X | X | X | | X | X | |
| ILLINOIS CC | X | X | X | X | X | X | | X | X | X | X | X | X |
| INDIANA PSC | X 18/ | X 19/ | X | X | X | X | | X | X | X | X | X | X |
| IOWA SCC | X | X | X | | | | | | | | | | |
| KANSAS SCC | X | X | X | X | X | X | | X | X | X | X | X | |
| KENTUCKY ERC & URC | X | X | X | X | X | X | | X | X | | X | X | |
| LOUISIANA PSC | X | X | X | X | X | X | | X | X | X | | X | |
| MAINE PUC | X | X | X | X | X | X | 59/ | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | X | X | X | | X | | | | X | X |
| MASSACHUSETTS DPU | X | X | X | X | X | X | | X | X | X | | X | |
| MICHIGAN PSC | 28/ | 28/ | X | X | X | X | | X | X | | X | X | X |
| MINNESOTA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MISSISSIPPI PSC | X | X | X | | | | | | | | | | |
| MISSOURI PSC | X | X | X | X | X | X | | X | X | X | X | X | X |
| MONTANA PSC | | | | X | X | X | X | X | X | | X | X 30/ | |
| NEBRASKA PSC 31/ | X | X | X | X | X | X | | X | X | | X | X | |
| NEVADA PSC | | | X | X | X | X | X | X | X | | | | |
| NEW HAMPSHIRE PUC | X | X | X | X | X | X | X | X | X | X | X | X | |
| NEW JERSEY BPU | X | X | X | X | X | X | | X | X | X | X | X | 34/ |
| NEW MEXICO PSC | X | X | X | X | X | 35/ | | X | X | X | X | | |
| NEW MEXICO SCC | X | X | X | | | | | | | | | | |
| NEW YORK PSC | X | X | X | X | X | X | | X | X | X | X | X | X |
| NORTH CAROLINA UC | X | X | X | X | X | 39/ | | X | X | X | X | X | X |
| NORTH DAKOTA PSC | X | X | X | X | X | X | | X | X | X | X | X | 41/ |
| NOVA SCOTIA PUB | X | X | X | X | X | X | | X | X | | X | | |
| OHIO PUC | X | X | X | X | X | X | 5/42/ | X | X | X | X | 43/ | 44/ |
| OKLAHOMA CC | X | X | X | X 46/ | | | | X 46/ | | | | | |
| ONTARIO EB | X | X | X | | | | | | | X | | | |
| ONTARIO TSC | X | X | X | X | X | X | X | X | X | | | | X |
| OREGON PUC | X | 47/ | X | X | X | X | | X | X | X | X | X | X |
| PENNSYLVANIA PUC | X | X | X | X | X | X | | X | X | X | X | | X |
| PUERTO RICO PSC | X | X | X | X | X | X | | X | | | X | | X |
| QUEBEC EGB | X | X | X | X 45/ | X 45/ | X 61/ | | X 45/ | X 45/ | | | X 45/ | |
| QUEBEC PSB | X | X | X | X 63/ | X 63/ | X 63/ | X 63/ | X 63/ | X 63/ | X | X | X | X |
| RHODE ISLAND PUC | X | X | X | X | X | X | | X | X | X 12/ | 7/ | X | 62/ |
| SOUTH CAROLINA PSC | X | X | X | X | X | X | | X | X | X | X | X | X |
| SOUTH DAKOTA PUC | | | X 54/ | X 48/ | | | X 48/ | X 48/ | | | | | X 48/ |
| TENNESSEE PSC | X | X | X | X | X | X | | X | X | | X | | X |
| TEXAS PUC | X | X | X | | | | | | | X | | | |
| TEXAS RC 49/ | X | X | X | | | | | | | X | | | |
| UTAH PSC | X | X | X | X | X | X | X | X | X | X | X | | X 50/ |
| VERMONT PSB | X | X | X | X | X | X | X 6/ | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC 17/ | X | X | X | | | | | | | | | | |
| VIRGINIA SCC | X | X | X | X | X | X | | X | X | X | | | X |
| WASHINGTON UTC | X 51/ | X 52/ | X | X | X | X 53/ | X 53/ | X | X | X | X | X | X 54/ |
| WEST VIRGINIA PSC | X | X | X | | | | | | | X | | | X |
| WISCONSIN PSC | X | X | X | X | X | X | X | X | X | X | | X | X |
| WYOMING PSC 57/ | X | X | X | X | X | X 58/ | | X | X | | X | X | X |

Table 55 - FINANCIAL AND CORPORATE - Part I (Continued)

| AGENCY | The Agency - | | | | | | | | For any preceding responses in this table that are - | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Requires a submission of proposed accounting for - | | | | Participates as a party in reorganization proceedings | Requires a procurement policy to be established | Requires approval of any procurement contracts | Audits equipment procurements to insure reasonableness of cost to regulated companies | Affirmative, requirement may be waived | Negative, it is due to Commission policy | Negative, it is due to lack of authority |
| | Sales | Purchases | Mergers | Consolidations | | | | | | | |
| FCC | | X | X | X | | | | X | | X | X |
| FERC | X | X | X | X | | 3/ | | X | | | |
| ALABAMA PSC | X | X | X | X | | X | X | X | X | X | |
| ALASKA PC | X | X | X | X | | | | | | | |
| ALASKA PUC | X | X | X | X | | | | X | | | X |
| ALASKA TC | X | X | X | X | | | | | | | |
| ALBERTA PUB | X | | X | X | | | | | X | | |
| ARIZONA CC | | | | | | | | | | X | |
| ARKANSAS PSC | X | X | X | X | X | | | | | | X |
| CALIFORNIA PUC | X | X | X | X | X | | | X | | X | |
| COLORADO PUC | X | X | X | X | | | | | X | X | 11/ |
| CONNECTICUT PUC | X | X | X | X | | | | | X | | X |
| DELAWARE PSC | | | | | X | | | | | | |
| D. C. PSC | X | X | X | X | | | | X | | X | X |
| FLORIDA PSC | X 14/ | X 14/ | X 14/ | X 14/ | | | | X | X 15/ | | X |
| GEORGIA PSC | X | X | X | X | | | | | X 16/ | X | X |
| HAWAII PUC | X | X | X | X | | | | | | X | |
| IDAHO PUC | X | X | X | X | | | | | | | X |
| ILLINOIS CC | X | X | X | X | | | X 20/ | X | X 21/ | X | |
| INDIANA PSC | X | X | X | X | X | | | | | X | X |
| IOWA SCC | X | X | X | X | | | | X 22/ | X | | X |
| KANSAS SCC | X | X | X | X | | | | 23/ | | | X |
| KENTUCKY ERC & URC | X | X | X | X | | | | X | X 25/ | X | X |
| LOUISIANA PSC | X | X | X | X | X | | | | | X | |
| MAINE PUC | X | X | X | X | | | | | | X | |
| MARYLAND PSC | | | | | | | | | | X | |
| MASSACHUSETTS DPU | X | X | X | X | | | | 26/ | X 27/ | X | |
| MICHIGAN PSC | X | X | X | X | X | | | X | X 29/ | X | X |
| MINNESOTA PSC | X | X | X | X | | X | | | | | X |
| MISSISSIPPI PSC | | | | | | | | | | | |
| MISSOURI PSC | X | X | X | X | | | | X | | X | |
| MONTANA PSC | X | X | X | X | | | | X | | | X |
| NEBRASKA PSC 31/ | X | X | X | X | | | | | | X | |
| NEVADA PSC | | | | | | | | | | | X 11/ |
| NEW HAMPSHIRE PUC | X | X | X | X | X 32/ | | X 33/ | X | X | X | |
| NEW JERSEY BPU | X | X | X | X | X 29/ | | | X 23/ | X 15/ | X | |
| NEW MEXICO PSC | X | X | X | X | | | | | | X | |
| NEW MEXICO SCC | | | | | | | | | | | |
| NEW YORK PSC | X | X | X | X | 37/ | | X 36/ | X | X 38/ | X | X 60/ |
| NORTH CAROLINA UC | X | X | X | X | | | X 40/ | | | X | |
| NORTH DAKOTA PSC | X | X | X | X | X | | | | | X | |
| NOVA SCOTIA PUB | X | X | X | X | | | | | | | |
| OHIO PUC | X | X | X | X | 44/ | | | 44/ | 25/ | X | X |
| OKLAHOMA CC | X | X | X | X | | | | | | | |
| ONTARIO EB | | | | | | | | | | | |
| ONTARIO TSC | X | X | X | X | | | | | | | |
| OREGON PUC | X | X | X | X | X | | | X 24/ | | | X |
| PENNSYLVANIA PUC | X | X | X | X | X | | | | | | X |
| PUERTO RICO PSC | | | | | | | | | X | X | |
| QUEBEC EGB | X | | X | X | | | | | X | X | |
| QUEBEC PSB | X | X | X | X | | | | | X | X | |
| RHODE ISLAND PUC | X | X | X | X | X | | | | X | X | |
| SOUTH CAROLINA PSC | X | X | X | X | | | | | | | X |
| SOUTH DAKOTA PUC | X | X | X | X | | | | | | X | X |
| TENNESSEE PSC | X | X | X | X | | | | | | | X |
| TEXAS PUC | X | X | X | X | | | | X | | X | X |
| TEXAS RC 49/ | X | X | X | X | | | | | X | | X |
| UTAH PSC | X | X | X | X | | | | | | | |
| VERMONT PSB | X | X | X | X | X | | | | | | |
| VIRGIN ISLANDS PSC 17/ | X | X | X | X | | | | | X | X | |
| VIRGINIA SCC | X | X | X | X | | X | | | | X | |
| WASHINGTON UTC | X 55/ | X 52/ | X | X | X 56/ | | | | | | |
| WEST VIRGINIA PSC | X | X | X | X | | | | | | X | X |
| WISCONSIN PSC | X | X | X | X | | X | | X | X | X | |
| WYOMING PSC | X | X | X | X | | | | | | X | |

## Footnotes - Table 33 - FINANCIAL AND CORPORATE - Part I

1/ "Yes," for gas jurisdictional facilities. In case of electric facilities, "yes" for sale of jurisdictional facilities of a value of $50,000 or over.

2/ "No," for gas utilities. "Yes," for electric utilities, but FERC jurisdiction over issuance of securities is limited to companies organized and operating in states where their securities are not regulated by the State and the proceeds are $1,000,000 or more. Also, notes are exempted if not more than 5 percentum of the par value of the other securities of the public utility then outstanding. (See Section 204 of the Federal Power Act.)

3/ The Commission requires procurement policies to be in writing and filed with the Commission.

4/ Securing Commission approval of purchases is optional. Obtaining such approval makes anti-trust laws inapplicable.

5/ Approval required only if short-term exceeds 5% of total capitalization.

6/ If short-term debt exceeds 20% of assets. 30 V.S. A. Section 108.

7/ No approval necessary for issuance of securities.

8/ "No," unless to refund other notes, the combined terms of which exceeds one year. "Yes," if utility subject to Federal Power Act and notes exceed five percent limitation.

9/ "Yes," as lessor of operating unit.

10/ Gas and electric companies only.

11/ Commission has broad powers to regulate utilities although no specific statute exists.

12/ Purchase of stock requires approval.

13/ Not required for telephone; only electric, gas, water and sewer.

14/ Accounting subject to review in compliance audits.

15/ The Commission can waive any of its rules.

16/ When it is shown that such waiver is in the public interest.

17/ Responses do not apply to publicly owned electric utility.

18/ To a public utility.

19/ For a public utility.

20/ "Yes," affiliated interest transactions.

21/ By general rules applicable alike to all public utilities affected.

22/ Commission reviews equipment and supply transactions between affiliated companies in order to determine reasonableness of costs.

23/ The staff makes reviews of proposed construction costs in connection with applications for issuance of securities for such purposes, and makes reviews of equipment purchases in connection with plant additions during rate case studies.

24/ Under the new construction review program this will be taken into account.

25/ Statutory requirements may not be waived but policy may.

26/ Principal vouchers of major work orders examined by an accountant and engineer during field audit.

27/ Competitive bidding may be waived by Commission on security issues.

28/ Existing telephone facilities cannot be purchased, leased or sold without Commission authority.

29/ To require the submission of proposed accounting for property and participation as a party in reorganization procedures is at staff discretion.

30/ If new stock issued.

31/ No Commission regulation of electric or gas utilities.

32/ To the extent approval is required.

33/ Services rendered to utility by service company.

34/ Major units of property.

35/ Notes under 18 months require no approval. Over 18 months require approval.

36/ Cost plus contracts over $100,000 must be filed 30 days before effective date.

37/ Corporate reorganizations require commission approval.

38/ When in the public interest and/or non-material.

39/ Commission requires approval to issue notes in excess of two years maturity.

40/ Commission requires prior approval for the furnishing of goods and services between regulated companies and affiliates or parent of the N.C. regulated companies.

41/ Matter has yet to appear.

42/ Electric utilities only.

43/ If new issue of stock is involved, the plan must be approved.

44/ Depends on particular transaction.

45/ Applies only to gas and electric utilities.

46/ Yes, for privately owned electric utilities only.

47/ From another utility.

48/ Black Hills Power Light Company only.

49/ No Commission regulation of electric or telephone utilities.

50/ Applies if lease is between two utilities engaged in the same general line of business in the State.

51/ When used in utility service.

52/ From other utilities.

53/ When combined terms of original and refunding notes exceed 12 months or the proposed notes together with outstanding notes exceed 5% of total capitalization of a utility subject to regulation by the FERC.

54/ When leases are with affiliated interest.

55/ Sales of operating property.

56/ If securities are to be issued.

57/ Commission regulates security transactions of electric and gas utilities only.

58/ Over 18 months.

59/ Interim approval.

60/ Applies only to notes under one year.

61/ If the amount of the note or the aggregate amount of the outstanding notes attains 25% of the assets of the undertaking (Electricity and Gas Board Act, Section 34a) applies to gas and electric utilities.

62/ Among utilities only.

63/ The construction program is previously approved.

64/ Telephone only.

Table 31 - FINANCIAL AND CORPORATE - Part II

| AGENCY | The Agency has authority to - | | | | | Treatment given call protection provisions | | Industrial revenue bonds - that have been | | Other forms of bonds approved or authorized |
|---|---|---|---|---|---|---|---|---|---|---|
| | Disqualify directors and officers where interlocking directorates and conflicts of interest are involved | Require advance submission of budgets on capital expenditures | Regulate | | | | Minimum time has been established | Approved | Authorized | |
| | | | The declaration of dividends | The entry of utility into non-utility activities either directly or through an affiliate | Approved diversifications | | | | | |
| FCC | X | | | X 7/ | | | | | | |
| FERC | X | | | | | | | | | X 11/ |
| ALABAMA PSC | | X | X | | | | | | | |
| ALASKA PC 48/ | | | | | | | | | | |
| ALASKA PUC | | | X | | | None. | | | | |
| ALASKA TC | 1/ | 1/ | 1/ | | | None. | | | | X 33/ |
| ALBERTA PUB | | 47/ | | 9/ | | None. | | | | |
| ARIZONA CC | | | | | | | | | | |
| ARKANSAS PSC | | | | | | None. | | | | |
| CALIFORNIA PUC | | X | X 4/ | 8/ | | Approve reasonable periods. | | | | |
| COLORADO PUC | | X | | | | | | | | |
| CONNECTICUT PUC | | | | | | | | | | |
| DELAWARE PSC | | X | X 27/ | | | | | | | |
| D. C. PSC | | X | | | | No special treatment. | | X 31/ | | X 34/ |
| FLORIDA PSC | | X | | | | Reviewed for reasonableness. | | | | X 35/ |
| GEORGIA PSC | | X | | | | | | | | |
| HAWAII PUC | | X | X | X 9/ | 22/ | None. | | | | |
| IDAHO PUC | | X | | 44/ | | | | | | |
| ILLINOIS CC | X | | | X 11/ | | Reviewed for reasonableness. | | | | |
| INDIANA PSC | | X 46/ | | X | | | | | | |
| IOWA SCC | | | | | | None. | | | | |
| KANSAS SCC | 1/ | X | X | 12/ | | Reviewed for reasonableness. | | | | |
| KENTUCKY ERC & URC | | X | X | | | | | | | |
| LOUISIANA PSC | | | | X | | | | | | |
| MAINE PUC | | X | | | | No specific treatment. | | | | 36/ |
| MARYLAND PSC | | X | | | | | | | | 37/ |
| MASSACHUSETTS DPU | | | X | X 11/ | 23/ | | | | | X 38/ |
| MICHIGAN PSC | | | | | | Limitations are approved. | | | X | |
| MINNESOTA PSC | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | |
| MISSOURI PSC | | X | | | | Reviewed for reasonableness. | | | | |
| MONTANA PSC | | | | | | Approved. | | | | |
| NEBRASKA PSC 42/ | | X | | | | | | | | |
| NEVADA PSC | | | | | | None. | | | | |
| NEW HAMPSHIRE PUC | X | X | | X 13/ | 24/ | Accepted, if reasonable. | | X | | |
| NEW JERSEY BPU | | X | X 4/ | X 14/ | 25/ | Approved. | | X 41/ | X 41/ | 39/ |
| NEW MEXICO PSC | | X | | | | None. | | | | |
| NEW MEXICO SCC | | | | | | | | | | |
| NEW YORK PSC | | X | X | X 15/ | X 15/ | Case by case basis. 28/ | | | | |
| NORTH CAROLINA UC | X | X | X | X 16/ | X 26/ | Studied. 29/ | | | | 45/ |
| NORTH DAKOTA PSC | | X | | | | None. | | 41/ | | |
| NOVA SCOTIA PUB | | X | | X 30/ | | | | | | |
| OHIO PUC | | X | X 5/ | 12/ | 12/ | Each case reviewed. | | 41/ | 41/ | |
| OKLAHOMA CC | | X | | | | None. | | | | |
| ONTARIO EB | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | |
| OREGON PUC | | X | | X 17/ | | Approve reasonable periods. 30/ | | | | |
| PENNSYLVANIA PUC | | X | X | | | Called on 30-day notice. | 30 days | | | X 40/ |
| PUERTO RICO PSC | | X | X | | | | | | | |
| QUEBEC EGB | | X | | | | | | | | |
| QUEBEC PSB | | X | X | X | X | | | | | |
| RHODE ISLAND PUC | | | | | | | | | | |
| SOUTH CAROLINA PSC | 1/ | 3/ | 1/ | X 18/ | | None. | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | |
| TENNESSEE PSC | | X | | | | None. | | | | |
| TEXAS PUC | | X | | | X | | | | | |
| TEXAS RC 43/ | | | | | | | | | | |
| UTAH PSC | | X | 6/ | 19/ | None. | | | | | |
| VERMONT PSB | | X | | | | None. | | | | |
| VIRGIN ISLANDS PSC 10/ | | X | | X | | | | | | |
| VIRGINIA SCC | | X | X | X | | | | X 41/ | X 41/ | |
| WASHINGTON UTC | | X | | 20/ | | None. | | X 41/ | X 41/ | |
| WEST VIRGINIA PSC | | | | | | None. | | | | |
| WISCONSIN PSC | | X | X | X | | Case by case basis. | | | | 32/ |
| WYOMING PSC | 2/ | X | 2/ | X 21/ | None. | None. | | | | |

## Footnotes - Table 34 - FINANCIAL AND CORPORATE - Part II

1/ Determination never made.

2/ There is a probability of some authority in these areas under the Commission's general authority, but it has never been exercised.

3/ Filed with Commission.

4/ If excessive dividends disable performance of duties as a public utility.

5/ When company has not fully complied with orders relating to the provision of designated customer services.

6/ Regulation of dividends apply only to common stock dividends of electric and gas utilities.

7/ Policy has been to permit communication common carriers to engage in non-regulated activities so long as such activities do not adversely affect their obligation to provide adequate and efficient communications services at just, reasonable and nondiscriminatory charges or impair effective competition in the non-regulated activities. A final decision and order in Docket No. 16979, March 10, 1971, requires that regulated common carriers with annual operating revenues of $1 million or more may provide data processing services only through affiliates completely separated from the parent company.

8/ Unless such entry would jeopardize the performance of duties as a public utility.

9/ Governed by effect on utility operations; no standards established.

10/ **Responses do not apply to publicly owned electric utility.**

11/ Approval of the non-utility activity will in no way be detrimental to the utility's ability to provide safe and adequate service at just and reasonable rates, all in the public interest.

12/ To an extent there is limited control in cases where mergers are proposed and when stock and other securities are to be issued.

13/ Example: Property bought for future use.

14/ Purpose must be lawful and provide some advantage to utility and its customers. No recent cases.

15/ Non-utility industries into which utilities may engage should be related to utility business or be socially desirable (e.g. investment in low-cost housing in utilities service area).

16/ Standard - The utility rate payers will not absorb any of the risks or costs of the non-utility activities. Entire investment in the non-utility activities is eliminated from the common stockholder's equity.

17/ Statutes regulating purpose for which securities may be issued. No formal decisions, all handled informally.

18/ All necessary steps to protect consumers.

19/ Case No. 6369 provided that a company shall not lend either its capital or its credit to subsidiary or non-utility functions without the express approval of the Commission.

20/ Yes, for non-utility activities of an affiliated interest if the affiliates activity would adversely affect the utility.

21/ Requirements patterned to facts of each case. No company has been stopped to date.

22/ Honolulu Gas Company, limited: May 27, 1971.

23/ Except that as a condition of permission to lend money, an effort has been made to restrict the activities of the non-regulated companies.

24/ Property held for future use may be transferred to operating plant if it has definite use in near future (by authorization of commission).

25/ Subsidiaries for acquiring land for utility purposes or disposition of property no longer used or useful.

26/ Coal mining operations which are directly related to utility activities and the method of pricing the coal is under the commission's surveillance.

27/ Where fraud or wrong-doing were involved; or clearly not in public interest.

28/ Considerations include size of company, size of issue, money market conditions, available sources of funds and other relevant facts.

29/ Studied at the time security issue authorization is being considered from a comparative money market standpoint.

30/ When need is shown.

31/ Approval required if utility issuer; if governmental agency issuer approval is not required but complimentary petitions still normally filed.

32/ First mortgage bonds issued to a municipality to serve as a revenue source and collateral for municipal pollution control revenue bonds.

33/ Anti-pollution bonds.

34/ Surety bonds, purpose - ensure availability of revenue in event rate refund is required.

35/ First mortgage for construction.

36/ Only regular mortgage bonds.

37/ Usually mortgage or debenture bonds issued by domestic corporations must be approved.

38/ Regular first mortgage bonds, general mortgage bonds, debentures, negative pledge bonds, notes.

39/ Mortgage debentures, secured and unsecured promissory notes.

40/ First mortgage bonds for construction.

41/ Authorize utility to guarantee payments of interest and principal of such bonds when issued to finance pollution control facilities.

42/ No Commission regulation of electric or gas utilities.

43/ No Commission regulation of electric or telephone utilities.

44/ No regulation unless an investigation discloses that utility ratepayers are absorbing the risks on costs of the non-utility activity.

45/ Intermediate - term notes and obligations to finance pollution control facilities. These securities are Federal Income Tax exempt and are sanctioned by political subdivisions.

46/ When outside financing is required.

47/ PUB may do so under general provisions of the governing statute.

48/ Policies have not been developed.

Table 35 - TRANSACTIONS WITH AFFILIATES

| AGENCY | The Agency prescribes special requirements for transactions with affiliates - | |
|---|---|---|
| | Accounting | Reporting |
| FCC and FERC | Prescribed system of accounts and annual report forms, require maintenance and reporting of separate accounts for investments in advances to, notes receivable from, accounts receivable from, advances from, notes payable to, and accounts payable to affiliates. | Same. |
| ALABAMA PSC | Yes. | Investigation by staff. |
| ALASKA PC | Policy not developed. | Policy not developed. |
| ALASKA PUC | Yes. | No limitation. |
| ALBERTA PUB | No special requirements established to date. | No special requirements established to date. |
| ARKANSAS PSC | Per system of accounts and annual reports; also same requirements as FERC and FCC, where applicable. | Same. |
| CALIFORNIA PUC | Accounting requirements same as FERC and FCC. | Same. |
| COLORADO PUC | | Reasonableness of affiliate transactions are considered in rate cases. |
| CONNECTICUT PUC | | Service contract changes from affiliates are reported in the utilities' annual reports. |
| D.C. PSC | Any special accounting treatment it requires. | The Commission can set those reporting requirements necessary to carry out its statutory responsibilities. |
| FLORIDA PSC | Yes. | Yes. |
| HAWAII PUC | Yes. | Must submit consolidating statements with explanation of transactions and basis of allocation of common expenses. |
| IDAHO PUC | | This commission does not have an affiliated interest statute. |
| ILLINOIS CC | Approval of all transactions except those specifically excluded by Sec. 8(a) of the Public Utilities Act. | File reports relative to such transactions as the commission may prescribe. |
| INDIANA PSC | Yes. | Yes. |
| IOWA SCC | Records of transactions must be preserved in the same manner as for the utility. Extraordinary documentation may be required and pricing is subject to greater scrutiny. | Same as FERC and FCC. |
| KANSAS SCC | Making adjustments for cases of ratemaking (Statute requires full disclosure). | Must identify related companies in annual report, file all contracts, and keep Commission fully informed of transactions (required by statute). |
| LOUISIANA PSC | Yes, insofar as certain transactions are concerned. | |
| MAINE PUC | Only as occasion requires. | Only as occasion requires. |
| MARYLAND PSC | No special requirements prescribed would follow requirements of the uniform system of accounts and report forms. | |
| MASSACHUSETTS DPU | To the extent that they affect the regulated utility adversely. | Requires an abbreviated report form from each affiliate. |
| MICHIGAN PSC | Per system of accounts. | Yes, in rate case filing requirements and annual reports. |
| MINNESOTA PSC | Per system of accounts. | Part of Annual Report. |
| MISSISSIPPI PSC | No. | No. |
| MISSOURI PSC | Yes. | Yes. |
| MONTANA PSC | Must be absolutely separate. | All transactions are kept separately. |
| NEBRASKA PSC | All transactions deemed necessary by PSC. | Same. |
| NEVADA PSC | | |
| NEW HAMPSHIRE PUC | Depends upon specific transactions. | Must submit various contracts which affect New Hampshire utilities - such as contract for services rendered and charged to utility. |
| NEW JERSEY BPU | Transaction must be reasonable and provide for elimination of unsupportable gains. | Description required to be given in annual report plus supplemental reports if deemed necessary. |
| NEW YORK PSC | Companies required to keep accounts so as to be able to accurately and expeditiously produce statements of all transactions with associates. | Commission has authority under its general powers to require any special reports to keep the commission informed. |
| NORTH CAROLINA UC | The type and dollar amount of the goods and services represented by transactions between the regulated company and its affiliate must be identifiable in the books of account of the regulated company. | Annually the regulated companies must report the type, dollar amount and the name of the affiliate from which goods and services were received. |
| NORTH DAKOTA PSC | No prescription found necessary to date. | No prescription. |
| NOVA SCOTIA PUB | No special requirements established to date. | No special requirements established to date. |
| OHIO PUC | Commission has authority but no standards have been adopted. Not significant in Ohio. | Part of annual report. Commission has authority to require report. |
| OKLAHOMA CC | As required by uniform system of accounts. | Same. |
| ONTARIO EB | No special requirements established to date. | |
| OREGON PUC | Furnish detail of costs and no profit between affiliates. | File detail of all transactions showing costs and other pertinent data. |
| PENNSYLVANIA PUC | Commission has authority to approve acquisition by a public utility of 5 percent or more of the voting capital stock of any corporation. | |
| QUEBEC EGB | No. | No. |
| RHODE ISLAND PUC | Yes. | Yes. |
| SOUTH CAROLINA PSC | All necessary steps to protect consumers. | Reports and other transactions filed and reviewed by the commission. |
| SOUTH DAKOTA PUC | Yes. | Yes. |
| TENNESSEE PSC | Yes. | Yes. |
| TEXAS PUC | Yes. | PUC has power to require any special reports to keep it informed. |
| UTAH PSC | There are no specific provisions in our law on these items but the commission is of the opinion it has adequate authority under the section of the law relating to accounts and records to prescribe such requirements. | Same. |
| VIRGIN ISLANDS PSC | | Part of the Annual Report. |
| VIRGINIA SCC | Yes. | Yes. |
| WASHINGTON UTC | Maintain record of the cost of the services provided by the affiliate and if ascertainable the cost of all items sold to the utility. | All services and things should be provided at cost by the affiliate and annual reports of the cost thereof are filed with the commission. |
| WEST VIRGINIA PSC | As required by uniform system of accounts. | As required by annual report. |
| WISCONSIN PSC | Highly extensive. | Yes. |
| WYOMING PSC | Generally, complete separation of operations with only benefits flowing to utility. | Requirements patterned to facts of each case. |

## SECTION I

## COMPETITIVE BIDDING

The authority of agencies to require open competitive bidding on security issues and purchases of goods and services is shown in Table 36. Certain agencies have the authority to regulate the issuance of mortgage bonds, debentures, notes, preferred stocks, property additions, material supplies purchases and personal service purchases. Table 36 shows the number of agencies which have the authority over such competitive bidding practices and the number of agencies which actually require it.

## Table 36 - COMPETITIVE BIDDING

| AGENCY | The Agency has authority to require open competitive bidding on - | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Mortgage Bonds | Debentures | Notes over one year | Notes under one year | Preferred stock | The underwriting of new common stock | Insurance coverage | Major property additions | Equipment purchases | Materials, supplies, purchases | Personal services, such as management and construction supervision |
| FCC 26/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ |
| FERC | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | 13/ | 13/ | 13/ | 13/ | 13/ |
| ALABAMA PSC | No | No | No | No | No | No | No | No | No | No | No |
| ALASKA PUC | | | | | | | | | | | |
| ALBERTA PUB 29/ | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| ARIZONA CC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| ARKANSAS PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| CALIFORNIA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| COLORADO PUC | Yes 3/ | Yes 3/ | Yes 3/ | Yes 3/ | Yes 1/ | Yes 3/ | Yes | Yes | Yes | Yes | Yes |
| CONNECTICUT PUC | | | | | | | | | | | |
| DELAWARE PSC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| D.C. PSC | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | |
| FLORIDA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| GEORGIA PSC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| GUAM PUC | Yes | Yes | Yes | Yes | Yes | Yes | | | | | |
| HAWAII PUC | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| IDAHO PUC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| ILLINOIS CC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| INDIANA PSC | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| IOWA SCC | No | No | No | No | No | No | No | No | No | No | No |
| KANSAS SCC | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ | 4/ |
| KENTUCKY ERC & URC | Yes | Yes | Yes 9/ | No | Yes | Yes | 14/ | Yes | Yes | 14/ | Yes |
| LOUISIANA PSC | Yes | Yes | | | Yes | Yes | Yes | Yes | Yes | Yes | |
| MAINE PUC | | | | | | | | Yes 18/ | | | |
| MARYLAND PSC | | | | | | | | | | | |
| MASSACHUSETTS DPU | Yes 5/ | Yes 5/ | Yes 5/ | | | | | | | | |
| MICHIGAN PSC | Yes | Yes | Yes | No | Yes | Yes | 14/ | 14/ | 14/ | 14/ | 14/ |
| MINNESOTA PSC | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | | |
| MISSOURI PSC | Yes 6/ | Yes 6/ | Yes 6/ | | Yes 6/ | Yes 6/ | | | | | |
| MONTANA PSC | No | No | No | No | No | No | No | No | No | No | No |
| NEBRASKA PSC 26/ | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| NEVADA PSC | 7/ | 7/ | 7/ | | 7/ | 7/ | Yes | Yes | Yes | Yes | Yes |
| NEW HAMPSHIRE PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| NEW JERSEY BPU | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| NEW MEXICO PSC | Yes | Yes | 10/ | | Yes | Yes | | | | | |
| NEW MEXICO SCC | | | | | | | | | | | |
| NEW YORK PSC | Yes | Yes | Yes | No | Yes | Yes 12/ | No 15/ | No 15/ | No 15/ | No 15/ | No 15/ |
| NORTH CAROLINA UC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| NORTH DAKOTA PSC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| NOVA SCOTIA PUB | 24/ | 24/ | 24/ | No | 24/ | 24/ | 24/ | 24/ | 24/ | 24/ | 24/ |
| OHIO PUC | Yes | Yes | Yes | 31/ | Yes | Yes | No | No | No | No | No |
| OKLAHOMA CC | Yes 8/ | Yes 8/ | Yes | Yes 8/ | Yes 8/ | | | | | | |
| ONTARIO EB | | | | | | | | | | | |
| ONTARIO TSC | No | No | No | No | No | No | No | No | No | No | No |
| OREGON PUC | Yes | Yes | Yes | No | Yes | Yes | | | | | |
| PENNSYLVANIA PUC | No | No | No | N/A | No | No | No | Yes | No | No | No |
| PUERTO RICO PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| QUEBEC EGB | No | No | No | No | No | No | No | No | No | No | No |
| QUEBEC PSB | No | No | No | No | No | No | No | No | No | No | No |
| RHODE ISLAND PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| SOUTH CAROLINA PSC | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| SOUTH DAKOTA PUC | No | No | No | No | No | No | No | No | No | No | No |
| TENNESSEE PSC | No | No | No | No | No | No | No | No | No | No | No |
| TEXAS PUC | No | No | No | No | No | No | No | No | No | No | No |
| TEXAS RC 21/ | | | | | | | | | | | |
| UTAH PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| VERMONT PSB | Yes | Yes | Yes | | 11/ | 11/ | | | | | |
| VIRGIN ISLANDS PSC 20/ | | | | | | | | | | | |
| VIRGINIA SCC | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| WASHINGTON UTC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| WEST VIRGINIA PSC | No | No | No | No | No | No | No | No | No | No | No |
| WISCONSIN PSC | Yes | Yes | Yes | Yes | Yes | Yes | 4/ | 4/ | 4/ | 4/ | 4/ |
| WYOMING PSC 27/ | Yes 4/ | Yes 4/ | 4/ 28/ | | Yes 4/ | Yes 4/ | Yes 4/ | Yes 4/ | Yes 4/ | Yes 4/ | Yes 4/ |

Table 16 - COMPETITIVE BIDDING (Continued)

| AGENCY | The Agency requires open competitive bidding on - | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Mortgage bonds | Debentures | Notes over one year | Notes under one year | Preferred stock | The underwriting of new common stock | Insurance coverage | Major property additions | Equipment purchases | Materials, supplies, purchases | Personal services, such as management and construction supervision |
| FCC 26/ | | | | | | | | | | | |
| FERC | 16/ | 16/ | 22/ | 22/ | 16/ | 16/ | | | | | |
| ALABAMA PSC | No | No | No | No | No | No | No | No | No | No | No |
| ALASKA PUC | | | | | | | | | | | |
| ALBERTA PUB 30/ | No | No | No | No | No | No | No | No | No | No | No |
| ARIZONA CC | No | No | No | No | No | No | No | No | No | No | No |
| ARKANSAS PSC | | | | | | | | | | | |
| CALIFORNIA PUC | Yes 17/ | Yes 17/ | Yes 17/ | No | No | No | No | No | No | No | No |
| COLORADO PUC | No | No | No | No | No | No | No | No | No | No | No |
| CONNECTICUT PUC | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | |
| D.C. PSC | | | | | | | | | | | |
| FLORIDA PSC | No | No | No | No | No | No | No | No | No | No | No |
| GEORGIA PSC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| GUAM PUC | No | No | No | No | No | No | No | No | No | No | No |
| HAWAII PUC | No | No | No | No | No | No | No | No | No | No | No |
| IDAHO PUC | | | | | | | | | | | |
| ILLINOIS CC | | | | | | | | | | | |
| INDIANA PSC | No | No | No | No | No | No | No | No | No | No | No |
| IOWA SCC | No | No | No | No | No | No | No | No | No | No | No |
| KANSAS SCC | No | | | | | | | | | | |
| KENTUCKY ERC & URC | No | No | No | No | No | No | No | Yes | Yes | No | Yes |
| LOUISIANA PSC | Yes | Yes | | | Yes | Yes | Yes | Yes | Yes | Yes | |
| MAINE PUC | | | | | | | | | | | |
| MARYLAND PSC | | | | | | | | | | | |
| MASSACHUSETTS DPU | Yes 5/ | Yes 5/ | Yes 5/ | | Yes | | | | | | |
| MICHIGAN PSC | No | No | No | No | No | No | No | No | No | No | No |
| MINNESOTA PSC | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | | |
| MISSOURI PSC | 6/ | 6/ | 6/ | | 6/ | | | | | | |
| MONTANA PSC | No | No | No | No | No | No | No | No | No | No | No |
| NEBRASKA PSC 26/ | No | No | No | No | No | No | No | No | No | No | No |
| NEVADA PSC | | | | | | | | | | | |
| NEW HAMPSHIRE PUC | Yes | Yes | Yes | Yes | Yes | Yes | | Yes | Yes | | |
| NEW JERSEY BPU | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | | | | | |
| NEW MEXICO PSC | Yes | Yes | | | Yes | Yes | | 23/ | | | |
| NEW MEXICO SCC | | | | | | | | | | | |
| NEW YORK PSC | Yes 12/ | Yes 12/ | Yes 12/ | No | Yes 12/ | Yes 12/ | No 15/ | No 15/ | No 15/ | No 15/ | No 15/ |
| NORTH CAROLINA UC | 19/ | 19/ | | | 19/ | 19/ | | | | | |
| NORTH DAKOTA PSC | | | | | | | | | | | |
| NOVA SCOTIA PUB | No | No | No | | No | No | No | No | No | No | No |
| OHIO PUC | No 25/ | No 25/ | No 25/ | No 25/ | No 25/ | No 25/ | No | No | No | No | No |
| OKLAHOMA CC | | | | | | | | | | | |
| ONTARIO EB | | | | | | | | | | | |
| ONTARIO TSC 24/ | No | No | No | No | No | No | No | No | No | No | No |
| OREGON PUC | No | No | No | No | No | No | | | | | |
| PENNSYLVANIA PUC | No | No | No | N/A | No | No | No | No | No | No | No |
| PUERTO RICO PSC | | | | | | | | 24/ | 24/ | | |
| QUEBEC EGB | No | No | No | No | No | No | No | No | No | No | No |
| QUEBEC PSB | No | No | No | No | No | No | No | No | No | No | No |
| RHODE ISLAND PUC | | No | | | | Yes | | | | | |
| SOUTH CAROLINA PSC | | | | | | | | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | | |
| TENNESSEE PSC | No | No | No | No | No | No | No | No | No | No | No |
| TEXAS PUC | No | No | No | No | No | No | No | No | No | No | No |
| TEXAS RC 21/ | | | | | | | | | | | |
| UTAH PSC | No | No | No | No | No | No | No | Yes 25/ | Yes 25/ | No | No |
| VERMONT PSB | | | | | | | | | | | |
| VIRGIN ISLANDS PSC 20/ | | | | | | | | | | | |
| VIRGINIA SCC | | | | | | | | | | | |
| WASHINGTON UTC | | | | | | | | | | | |
| WEST VIRGINIA PSC | No | No | No | No | No | No | No | No | No | No | No |
| WISCONSIN PSC | Yes | Yes | Yes | | Yes | Yes | | | | | |
| WYOMING PSC | | | | | | | | | | | |

## Footnotes - Table 36 - COMPETITIVE BIDDING

1/ "No," for gas utilities. "Yes," for electric utilities, but FERC jurisdiction over issuance of securities is limited to companies organized and operating in states where their securities are not regulated by the State and the proceeds are $1,000,000 or more. Also, notes are exempted if not more than 5 percentum of the par value of the other securities of the public utility then outstanding. (See Section 204 of the Federal Power Act.)

2/ FCC has not considered this question, however, there is little doubt that authority exists if required by appropriate circumstances.

3/ Gas and electric utilities only.

4/ Probably "yes" subject to adjudication.

5/ "Yes," if payable more than 3 years after the date of sale and aggregating more than $1 million.

6/ If other than competitive bidding, a utility must prove that prices, terms, and conditions are reasonable and the best obtainable at the time of negotiation.

7/ "No," except where intrastate revenues exceed 85 percent of total revenues.

8/ "Yes," for electric utilities only.

9/ "Yes," for notes over two years.

10/ "Yes," for notes over 18 months.

11/ In the opinion of the Board this authority exists.

12/ Not in all cases.

13/ "No," for electric utilities. For gas utilities, this authority exists only as a condition to the issuance of a certificate.

14/ Probably "no".

15/ "No," unless cost plus contracts involved.

16/ "Yes," for electric utilities, but the Commission may, upon proper showing, exempt any issuance from competitive bidding. "No," for gas utilities.

17/ If the issue is over five million dollars.

18/ Any contract in excess of $2,000 between a public utility and a contractor for the construction of facilities located on private property for the exclusive use of a private individual and for which the private individual is required to pay the total cost directly to the utility, shall be awarded by a system of competitive bidding.

19/ Commission encourages open competitive bidding.

20/ Electric facilities are owned and operated by the Government and are regulated by the Commission only in the area of rate increases. No natural gas service in Virgin Islands other than "bottled gas" which is not regulated by the Commission.

21/ No Commission regulation of electric or telephone utilities.

22/ Commission rules exempt short-term notes if less than 10 years. Not for resale to the public and no finders fee or other fee is paid. Also if less than 5 percent of capitalization.

23/ Most companies voluntarily go to bid.

24/ No specific statutory authority, but may have authority under general powers.

25/ The exercise of this power is discretionary with the Commission.

26/ No Commission regulation of electric or gas utilities.

27/ Regulates securities of gas and electric utilities only.

28/ Notes over 18 months.

29/ The Board has implicit authority to require open competitive bidding, since none of these transactions can be completed without Board approval.

30/ The Board has not specifically directed open competitive bidding as a regular practice, but while hearing an application for approval of any of these transactions it hears evidence as to the competitive nature of the rates and has in the past directed that the utility obtain other bids when it has felt the rate involved is not competitive on the open money market.

31/ Electric only -- and not applicable if less than 5% of capitalization.

# SECTION J

## ELECTRONIC DATA PROCESSING

Table 37 reflects the progress the agencies have made in implementing electronic data processing systems. Although most of the agencies indicated that they were not presently using data processing equipment, several agencies reported that they were making use of a computer data base in the regulatory process.

The table also includes information on electronic data processing staff and budget.

Table [illegible] - STATUS OF ELECTRONIC DATA PROCESSING

| AGENCY | Computers presently being used in data processing operation | Agency Computer | | | | Government Service Center | | | | Commercial Service Center | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Computer 1 | | Computer 2 | | Computer 1 | | Computer 2 | | Computer 1 | | Computer 2 | |
| | | Vendor | Model | Vendor | Model | Vendor | Model | Vendor | Model | Vendor | Model | Vendor | Model |
| FCC | Yes | Honeywell | 66/60 | UNIVAC | 1106 | - | - | - | - | - | - | - | - |
| FERC | Yes | none | none | none | none | IBM 1/ | 3033 | IBM 2/ | 370 | IBM 3/ | 3033 | IBM 4/ | 370 |
| ALABAMA PSC | | | | | | | | | | | | | |
| ALASKA PC | | | | | | | | | | | | | |
| ALASKA PUC | | | | | | | | | | | | | |
| ALBERTA PMC | | | | | | | | | | | | | |
| ALBERTA PUB | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | | | | |
| ARKANSAS PSC | | | | | | | | | | | | | |
| CALIFORNIA PUC | Yes | Burroughs | 1720 | - | - | - | - | - | - | - | - | Control Data | CUBER 175 |
| COLORADO PUC | | | | | | | | | | | | | |
| CONNECTICUT PUC | | | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | | | |
| D.C. PSC | No | | | | | | | | | | | | |
| FLORIDA PSC | Yes | ADDS | SY70 | - | - | CDC | 730 | - | - | - | - | - | - |
| GEORGIA PSC | No | | | | | | | | | | | | |
| GUAM PUC | No | | | | | | | | | | | | |
| HAWAII PUC | No | | | | | | | | | | | | |
| IDAHO PUC | No | | | | | | | | | | | | |
| ILLINOIS CC | | | | | | | | | | | | | |
| INDIANA PSC | No | | | | | | | | | | | | |
| IOWA SCC | | | | | | | | | | | | | |
| KANSAS SCC | Yes | none | none | none | none | Honeywell | 66/DPS II | Honeywell | 66/60 | none | none | none | none |
| KENTUCKY ERC | No | | | | | | | | | | | | |
| KENTUCKY URC | No | | | | | | | | | | | | |
| LOUISIANA PSC | No | | | | | | | | | | | | |
| MAINE PUC | | | | | | | | | | | | | |
| MARYLAND PSC | | | | | | | | | | | | | |
| MICHIGAN PSC | Yes | | | | | Burroughs | 6800 | | | PDP | 11 | | |
| MINNESOTA PSC | | | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | | | |
| MONTANA PSC | | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | | | | | | | | |
| NEVADA PSC | | | | | | | | | | | | | |
| NEW HAMPSHIRE PUC | | | | | | | | | | | | | |
| NEW JERSEY BPU | No | (Presently under consideration) | | | | | | | | | | | |
| NEW MEXICO PSC | | | | | | | | | | | | | |
| NEW MEXICO SCC | | | | | | | | | | | | | |
| NEW YORK PSC | Yes | | | | | IBM | 360 | Honeywell | 6060 | Gen. El. cybernet | Time-shares | | |
| NORTH CAROLINA UC | Yes 6/<br>Yes 7/ | | | | | IBM | 370/165 | IBM | 370/158 | | | | |
| NORTH DAKOTA PSC | Yes | | | | | IBM | 370/158 | Harris | | | | | |
| NOVA SCOTIA PUB | | | | | | | | | | | | | |
| OHIO PUC | Yes | - | - | - | - | IBM | 370/3033 | DEC | 2050 | - | - | - | - |
| OKLAHOMA CC | No | (Legislature has been requested to provide capability for FY 80-81 and thereafter.) | | | | | | | | | | | |
| ONTARIO EB | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | | |
| OREGON PUC | Yes | Data 100 | Mod. 78 | | | IBM | 370 | | | | | | |
| PENNSYLVANIA PUC | Yes | Burroughs On-Site | B-1709 | UNIVAC/ State | U-1182 | | | | | | | | |
| PUERTO RICO PSC | | | | | | | | | | | | | |
| QUEBEC EGB | No | | | | | | | | | | | | |
| QUEBEC PSB | | | | | | | | | | | | | |
| RHODE ISLAND PUC | No | | | | | | | | | | | | |
| SOUTH CAROLINA PSC | | | | | | | | | | | | | |
| SOUTH DAKOTA PUC | No | | | | | | | | | | | | |
| TENNESSEE PSC | No 8/ | | | | | | | | | | | | |
| TEXAS PUC | | | | | | | | | | | | | |
| TEXAS RC | | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | | | | |
| VERMONT PSB | No | | | | | | | | | | | | |
| VIRGINIA SCC | | | | | | | | | | | | | |
| WASHINGTON UTC | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | Yes | | | | | IBM | 370/158 | | | | | | |
| WISCONSIN PSC | Yes | | | | | Amdahl | 470V/6 | UNIVAC | 1100 | | | | |
| WYOMING PSC | Yes | | | | | IBM | 370/3130 Dual Processor | | | | | | |

Table 37 - STATUS OF ELECTRONIC DATA PROCESSING (Continued)

| AGENCY | Agency EDP Staff | | Processing Used or Planned | | | | | | Approx. Total EDP Budget (Including Cost of Staff) |
|---|---|---|---|---|---|---|---|---|---|
| | | | Batch | | | Interactive (No. Terminals) | | | |
| | Data Entry | Other | Admin. | Util. | Trans. | Admin. | Util. | Trans. | |
| FCC | 9 | 121 | Yes | Yes | No | 45 | 68 | - | |
| FERC | 0 | 12 | Yes | Yes | Yes | 12 | 40 Util. & Trans. | - | Unknown - Majority of EDP is part of EIA's Budget or DOE's Budget |
| ALABAMA PSC | | | | | | | | | |
| ALASKA PC | | | | | | | | | |
| ALASKA PUC | | | | | | | | | |
| ALBERTA PMC | | | | | | | | | |
| ALBERTA PUB | | | | | | | | | |
| ARIZONA CC | | | | | | | | | |
| ARKANSAS PSC | | | | | | | | | |
| CALIFORNIA PUC | 5 | 31 | Yes | Yes | Yes | 6 | 2 | 2 | $1,000,000 |
| COLORADO PUC | | 1 | | | | 2 | 5/ | | $ 80,000 |
| CONNECTICUT PUC | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | |
| D.C. PSC | | | | | | | | | |
| FLORIDA PSC | 9 | 4 | - | - | Yes | Yes | Yes | Yes | $ 300,000 |
| GEORGIA PSC | | | | | | | | | |
| GUAM PUC | | | | | | | | | |
| HAWAII PUC | | | | | | | | | |
| IDAHO PUC | | | | | | | | | |
| ILLINOIS CC | | | | | | | | | |
| INDIANA PSC | | | | | | | | | |
| IOWA SCC | | | | | | | | | |
| KANSAS SCC | 0 | 2 | Yes | No | No | 0 | 2 | 0 | $ 60,000 |
| KENTUCKY ERC | | | | | | | | | |
| KENTUCKY URC | | | | | | | | | |
| LOUISIANA PSC | | | | | | | | | |
| MAINE PUC | | | | | | | | | |
| MARYLAND PSC | | | | | | | | | |
| MICHIGAN PSC | | 2 | | | Yes | 3 | 5 | 0 | $ 355,500 |
| MINNESOTA PSC | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | |
| MONTANA PSC | | | | | | | | | |
| NEBRASKA PSC | | | | | | | | | |
| NEVADA PSC | | | | | | | | | |
| NEW HAMPSHIRE PUC | | | | | | | | | |
| NEW JERSEY BPU | | | | | | | | | |
| NEW MEXICO PSC | | | | | | | | | |
| NEW MEXICO SCC | | | | | | | | | |
| NEW YORK PSC | 6 | 6 | Yes | Yes | No | | | | $ 360,936 |
| NORTH CAROLINA UC 6/<br>7/ | <br>1 | 1<br> | <br>Yes | Yes<br> | | <br>1 | 1<br> | | $ 24,000<br>$ 16,201 |
| NORTH DAKOTA PSC | 1 | | Yes | No | Yes | 1 | 1 | | $ 180,000 |
| NOVA SCOTIA PUB | | | | | | | | | |
| OHIO PUC | 1 | 13 | Yes | Yes | Yes | 4 | 1 | 1 | $ 377,820 |
| OKLAHOMA CC | | | | | | | | | |
| ONTARIO EB | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | |
| OREGON PUC | 12 | 7 | Yes | Yes | Yes | 3 | 2 | 33 | $1,798,626 |
| PENNSYLVANIA PUC | 2 | 3 | Yes | Yes | Yes | 12 | - | - | $ 350,000 |
| PUERTO RICO PSC | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | |
| QUEBEC PSB | | | | | | | | | |
| RHODE ISLAND PUC | | | | | | | | | |
| SOUTH CAROLINA PSC | | | | | | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | |
| TENNESSEE PSC | | | | | | | | | |
| TEXAS PUC | | | | | | | | | |
| TEXAS RC | | | | | | | | | |
| UTAH PSC | | | | | | | | | |
| VERMONT PSB | | | | | | | | | |
| VIRGINIA SCC | | | | | | | | | |
| WASHINGTON UTC | | | | | | | | | |
| WEST VIRGINIA PSC | 0 | 0 | | 9/ | | | | | $ 140,000 |
| WISCONSIN PSC | | 3 | Yes | Yes | | Yes<br>1 Terminal | Yes<br>2 Terminals | | $ 115,000 |
| WYOMING PSC | 2 | | No | No | No | | 3 | | $ 106,668 |

1/ EIA.
2/ DOE Administrative.
3/ Boeing Computer Services.
4/ Litton Computer Services.
5/ Programs for utility section in RFP stage - outside vendors.
6/ Utilized by the Public Staff of the NCUC.
7/ Utilized by the Commission Staff of the NCUC.
8/ The only use of computers by the Tennessee PSC is in maintaining an active list of all companies and in the valuation and assessment of utilities by our Assessment Division for ad valorem tax purposes.
9/ Batch processing is currently being used only for fuel purchase reporting.

# SECTION K

# SAFETY AND ADEQUACY OF SERVICE

In most cases, agencies with authority to regulate rates for electric, gas and telephone utilities also have the authority to set safety and service standards and to require interconnections and line extensions in service areas. Less than half the agencies, however, have this authority over publicly owned and cooperatively owned utilities.

Tables 38 and 39 show the types of safety and adequacy of service standards enforced by the agencies on the various types of utility companies. Table 40 lists the priorities among customer classes of service established by the agencies to be used during periods of short supply or curtailment of service.

Table 55 - SAFETY AND ADEQUACY OF SERVICE - Part I

| AGENCY | The Agency has authority to regulate standards by - | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Testing meters or by setting standards for the accuracy of meters by establishing standards for measuring voltage and/or cubic feet of gas - | | | | | | Establishing standards for voltage levels | | | Establishing standards for BTU content of gas | | Establishing standards for pressure of gas | |
| | Electric | | | Gas | | | Electric | | | Gas | | Gas | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Private | Public |
| FCC | | | | | | | | | | | | | |
| FERC | | | | X | | | | | | X 21/ | | X 21/ | |
| ALABAMA PSC | X | | | X | | X | X | | | X | | X | |
| ALASKA PC | | | | | X | | | | | X | X | X | X |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PUB 24/ | | | | | | | | | | | | | |
| ARIZONA CC | X | | X | X | | X | X | | X | X | | X | |
| ARKANSAS PSC | X | | X | X | | X | X | | X | X | | X | X |
| CALIFORNIA PUC | X | | | X | | | X | | | X | | X | |
| COLORADO PUC 20/ | X | X | X | X | X | X | X | X | X | X | X | X | X |
| CONNECTICUT PUC | X | X | 5/ | X | | | X | | 5/ | X | | X | |
| DELAWARE PSC | X | | X | X | | X | X | | X | | | | |
| D.C. PSC | X | | | X | | | X | | | X | | X | |
| FLORIDA PSC | X | | | X | | X | X | | | X | | X | |
| GEORGIA PSC | X | | | X | | X | X | | | X | | X | |
| GUAM PUC | X | X | | | | X | X | X | | | | | |
| HAWAII PUC | X | | | X | | | X | | | X | | X | |
| IDAHO PUC | X | | | X | | | X | | | X | | X | |
| ILLINOIS CC | X | | | X | | X | X | | X | X | | X | X |
| INDIANA PSC | X | X | X | X | X | | X | X | X | X | X | X | X |
| IOWA SCC | X | X | X | X | X | X | X | X | X | X | X | X | X |
| KANSAS SCC | X | X 1/ | X | X | X 1/ | | X | X | X 1/ | X | X 1/ | X | X 1/ |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | X | |
| KENTUCKY URC | | | | | | X | | | | | | | |
| LOUISIANA PSC | X | | | X | | | X | | | X | | X | |
| MAINE PUC | X | X | X | X | X | | X | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | X | | X | X | X | X |
| MICHIGAN PSC | X | | X | X | 25/ | | X | | X | X | 25/ | X | 25/ |
| MINNESOTA PSC | X | | X | X | | X | | | | | | | |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | X | X | | | | |
| MISSOURI PSC | X | | | X | | | X | | | X | | X | |
| MONTANA PSC | X | X | | X | X | | X | X | | X | X | X | X |
| NEBRASKA PSC | | | | | | X | | | | | | | |
| NEVADA PSC | X | | X | X | | | X | | X | X | X | X | |
| NEW HAMPSHIRE PUC | X | X 2/ | X | X | | X | X | X 2/ | | X | | X | |
| NEW JERSEY BPU | X | X 4/ | X | X | X 23/ | X | X | X 4/ | X | X | X 23/ | X | X 23/ |
| NEW MEXICO PSC | X | | X | X | | | X | | X | X | | X | |
| NEW MEXICO SCC | | | | | | | | | | | | | |
| NEW YORK PSC | X | X 3/ | | X | X | | X | X 3/ | | X | X | X | X |
| NORTH CAROLINA UC | X | | | X | | | X | | X | X | | X | |
| NORTH DAKOTA PSC | X | | | X | | X | X | | | X | | X | |
| NOVA SCOTIA PUB 24/ | | | | | | | | | | | | | |
| OHIO PUC | X | | | X | | X | X | | | X | | X 6/ | |
| OKLAHOMA CC | X | | X | X | | X | | | | X | | X | |
| ONTARIO EB | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | | |
| OREGON PUC | X | | | X | | | X | | | X | | X | |
| PENNSYLVANIA PUC | X | | | X | | | X | | | X | | X | |
| PUERTO RICO PSC | | | | X | | | | | | X | | X | |
| QUEBEC EGB | | | | | | | X | | | X | | X | |
| QUEBEC PSB | | | | | | | | | | | | | |
| RHODE ISLAND PUC | X | X | | X | X | | X | X | | X | | X | X |
| SOUTH CAROLINA PSC | X | | | X | | | X | | | X | | X | X |
| SOUTH DAKOTA PUC | X | | | X | | X | X | | | X | | X | |
| TENNESSEE PSC | X | | | X | | X | X | | | X | | X | |
| TEXAS PUC | X | X | X | | | X | X | X | X | | | | |
| TEXAS RC | | | | X | X | | | | | X | X | X | X |
| UTAH PSC | X | | X | X | | X | X | | X | X | | X | |
| VERMONT PSB | X | X | X | X | X | | X | X | X | X | X | X | |
| VIRGIN ISLANDS PSC | | | | | | X | | | | | | | |
| VIRGINIA SCC | X | | X | X | | X | X | | X | X | | X | |
| WASHINGTON UTC | X | | | X | | | X | | | X | | X | |
| WEST VIRGINIA PSC | X | X | X | X | X | | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | | X | X | X | X | X | | X | X | X | X |
| WYOMING PSC | X | X 4/ | X | X | X 4/ | X | X | X 4/ | X | X | X | X 6/ | X 6/ |

Table 58 - SAFETY AND ADEQUACY OF SERVICE - Part I - (Continued)

| AGENCY | The Agency has authority to regulate standards by - | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Setting safety standards | | | | | | Authorizing interconnections | | | | | | Requiring interconnections | | | | | |
| | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone |
| | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | |
| FCC | | | | | | X | | | | | | X | | | | | | X |
| FERC | 7/ | 7/ | 7/ | | | | | | | X | | | X | 14/ | 14/ | X15/ | | |
| ALABAMA PSC | X | | | X | X | X | X | | | X | | X | X | | | X | | X |
| ALASKA PC | | | | X | X | | | | | X | X | | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PUB | X | | | X | X | X | X | | | X | X | X | X | | | X | X | X |
| ARIZONA CC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| ARKANSAS PSC | X | | X | X | X | X | | | | | | | | | | | | |
| CALIFORNIA PUC | X | X | X | X | | X | X | | | X | | X | X | | | X | | X |
| COLORADO PUC 20/ | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| CONNECTICUT PUC | X | | 5/ | X | X | X | | | 5/ | | | | | | 5/ | | | |
| DELAWARE PSC | X | | X | X | | X | X | | X | X | | | | | | | | |
| D.C. PSC | X | | | X | | X | | | | | | | | | | | | |
| FLORIDA PSC | X | | | X | X | X | X | | | X | | X | X | | | X | | X |
| GEORGIA PSC | | | | X | | | | | | | | | | | | | | |
| GUAM PUC | X | X | | | | X | | | | | | X | | | | | | X |
| HAWAII PUC | X | | | X | | | | | | | | | | | | | | |
| IDAHO PUC | X | | | X | | X | | | | | | X | | | | | | X |
| ILLINOIS CC | X | | | X | X | X | X | | | X | | X | X | | | X | | X |
| INDIANA PSC | X | X | X | X | X | | | | | | | X | | | | | | X |
| IOWA SCC | X | X | X | X | X | X | X | X | X | X | X | X | 11/ | 11/ | 11/ | 11/ | 11/ | 11/ |
| KANSAS SCC | X | X | X | X | X | X | X | X1/ | X | X | X1/ | | X | X1/ | X | X | X1/ | X |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X | | | | | | X |
| LOUISIANA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| MAINE PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | X | X | X | X9/ | X | X | X | X | | 12/ | X | X | X | X | |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | | X |
| MICHIGAN PSC | X | | X | X | 25/ | X | X | | X | X | 25/ | X | | | | | | X |
| MINNESOTA PSC | | | | | | X | | | | | | X | | | | | | |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | X | X | X | X | X | | | | | | |
| MISSOURI PSC | X | | X | X | X | X | X | | | X | | X | | | | | | X |
| MONTANA PSC | X | X | | X | X | X | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | X | | | | | | X | | | | | | X |
| NEVADA PSC | X | | X | X | | X | X | | X | X | | | X | | X | X | | |
| NEW HAMPSHIRE PUC | X | X2/ | X | X | | X | X | X2/ | X | X | | X | | | | | | |
| NEW JERSEY BPU | X | X4/ | X | X | X23/ | X | X | X4/ | X | X | X23/ | X | X | X4/ | X | X | X23/ | X |
| NEW MEXICO PSC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | X | | | | | | X | | | | | | X |
| NEW YORK PSC | X8/ | X3/8/ | | X | X | | 10/ | 10/ | | X | X | X | X13/ | X13/ | | X | X | X |
| NORTH CAROLINA UC | X | | X | X | X | X | X | | | X | | X | X | | | X | | X |
| NORTH DAKOTA PSC | X | X | X | X | X | X | X | | | X | | X | | | | | | X |
| NOVA SCOTIA PUB | X | X | X | | | X | X | X | X | | | X | X | X | X | | | X |
| OHIO PUC | X | | | X | | X | X | | | X | | X | | | | | | |
| OKLAHOMA CC | X | | | X | | X | X | | X | X | | | X | | | X | | X |
| ONTARIO EB | | | | | | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | X | | | | | | X | | | | | | |
| OREGON PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| PENNSYLVANIA PUC | X | | | X | | X | | | | | | X | | | | | | X |
| PUERTO RICO PSC | | | | X | | | | | | X | | | | | | X | | |
| QUEBEC EGB | X | | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | | | | | | | |
| RHODE ISLAND PUC | X | X | | X | X | X | X | X | | X | | | X | X | | | | |
| SOUTH CAROLINA PSC | X | | | X | X | | X | X | | X | | X | | | | X | | X |
| SOUTH DAKOTA PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| TENNESSEE PSC | X | | | X | X | X | | | | | | | | | | | | |
| TEXAS PUC | X | X | X | | | X | X | X | X | | | X | X | X | X | | | X |
| TEXAS RC | | | | X | X | | | | | X | X | | | | | X | X | |
| UTAH PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | 22/ | X | X | X | X | X | 22/ | X | X | X | X | X | 22/ | X |
| VIRGIN ISLANDS PSC | | | | | | X | | | | | | X | | | | | | X |
| VIRGINIA SCC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| WASHINGTON UTC | | | | X | X | X | X | | | X | X | X | | | | | | X |
| WEST VIRGINIA PSC | X | X | X | X | X | X | | | | X | X | X | | | | | | X |
| WISCONSIN PSC | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X |
| WYOMING PSC | X | X4/ | X | X6/ | X6/ | X | X | X4/ | X | X | X4/ | X | X | X4/ | X | X | X4/ | X |

Table 38 - SAFETY AND ADEQUACY OF SERVICE - Part I - (Continued)

| AGENCY | The Agency has authority to regulate standards by - | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Requiring a utility to act as a common carrier | | | | | | Requiring joint use of facilities | | | | | | Requiring line extensions within the area served | | | | | |
| | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone |
| | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | |
| FCC | | | | | | X | | | | | | X | | | | | | X |
| FERC | | | | | | | X18/ | X18/ | X18/ | | | | X19/ | | | X19/ | | |
| ALABAMA PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| ALASKA PC | | | | X | X | | | | | X | X | | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ALBERTA PUB | | | | | | | | | | | | | X | | | X | X | X |
| ARIZONA CC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| ARKANSAS PSC | | | | | | | | | | | | | | | | | | |
| CALIFORNIA PUC | X | | | X | | X | X | | | X | | X | X | | X | X | | X |
| COLORADO PUC 20/ | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| CONNECTICUT PUC | | | 5/ | | | | X | | 5/ | X | | X | X | | 5/ | X | | X |
| DELAWARE PSC | | | | | | | | | | | | | X | | X | X | | X |
| D.C. PSC | | | | | | | X | | | X | | X | X | | | X | | X |
| FLORIDA PSC | | | | | | | | | | | | X | X | | | X | | X |
| GEORGIA PSC | X | | | X | | | X | | | | | | X | X | X | X | | X |
| GUAM PUC | | | | | | X | | | | | | | X | X | | | | X |
| HAWAII PUC | X | | | X | | X | X | | | X | | | X | | | X | | |
| IDAHO PUC | X | | | | | X | | | | | | X | X | | | X | | X |
| ILLINOIS CC | | | | | | X | X | | | X | | X | X | | X | X | | X |
| INDIANA PSC | | | | | | | X | X | X | | | X | X | | X | X | | X |
| IOWA SCC | 17/ | 17/ | 17/ | 17/ | 17/ | 17/ | X | X | X | X | X | X | X | X | X | X | X | X |
| KANSAS SCC | X | X | X | X | X | X | X | X | X | X | X | X | X | X1/ | X | X | X1/ | X |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X | | | | | | X |
| LOUISIANA PSC | | | | | | X | X | | X | | | X | X | | | X | | X |
| MAINE PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MARYLAND PSC | | | | | | | X | X | X | X | X | X | | | | | | |
| MASSACHUSETTS DPU | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| MICHIGAN PSC | | | | | 25/ | X | X | | X | X | 25/ | X | X | | X | X | 25/ | X |
| MINNESOTA PSC | | | | | | X | | | | | | X | X | | X | X | | X |
| MISSISSIPPI PSC | | | | | | | | | | | | | X | X | X | X | X | X |
| MISSOURI PSC | | | | | | | | | | | | | X | | | X | | X |
| MONTANA PSC | | | | | | | X | X | | | | X | X | X | | X | X | X |
| NEBRASKA PSC | | | | | | X | | | | | | X | | | | | | X |
| NEVADA PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| NEW HAMPSHIRE PUC | | | | | | | | | | | | | X | X2/ | X | X | | X |
| NEW JERSEY BPU | | | | | | X | X | X4/ | X | X | X23/ | X | X | X4/ | X | X | X23/ | X |
| NEW MEXICO PSC | | | | | | | X | | X | X | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | X | | | | | | | | | | | | |
| NEW YORK PSC | X13/ | X13/ | | X | X | X | X13/ | X13/ | | X | X | X | X | X1/ | | X | X | X |
| NORTH CAROLINA UC | | | | X | | X | | | | X | | X | X | | X | X | | X |
| NORTH DAKOTA PSC | | | | | | X | X | | | X | | X | X | | | X | | X |
| NOVA SCOTIA PUB | | | | | | | X | X | X | | | X | X | X | X | | | X |
| OHIO PUC | | | | X | | | | | | | | | X | | | X | | X |
| OKLAHOMA CC | X | | X | X | | X | X | | | X | | X | X | | | X | | X |
| ONTARIO EB | | | | | | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | X | | | | | | X |
| OREGON PUC | | | | | | | X | | | X | | X | X | | | X | | X |
| PENNSYLVANIA PUC | | | | | | | | | | | | X | X | | | X | | X |
| PUERTO RICO PSC | | | | X | | | | | | X | | | | | | X | | |
| QUEBEC EGB | X | | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | | | | | | | X | | | | | | X |
| RHODE ISLAND PUC | X | X | | X | X | X | X | X | | | | X | X | X | | X | X | X |
| SOUTH CAROLINA PSC | | | | | | X | | | | | | X | X | | | X | | X |
| SOUTH DAKOTA PUC | | | | | | X | | | | | | X | X | | | X | | X |
| TENNESSEE PSC | | | | | | | | | | | | | X | | | X | | X |
| TEXAS PUC | X | X | X | | | X | X | X | X | | | X | X | X | X | | | X |
| TEXAS RC | | | | X | X | | | | | X | X | | | | | X | X | |
| UTAH PSC | | | | | | | X | | X | X | | X | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | 22/ | X | X | X | X | X | X | 22/ | X | X | X | X | 22/ | X |
| VIRGIN ISLANDS PSC | | | | | | X | | | | | | X | | | | | | X |
| VIRGINIA SCC | | | | | | X | X | | X | X | | X | X | | X | X | | X |
| WASHINGTON UTC | X | | | X | | X | | | | | | X | X | | | X | | X |
| WEST VIRGINIA PSC | | | | X | X | X | | | | | | X | X16/ | X16/ | X16/ | X | X | X |
| WISCONSIN PSC | X | X | | X | X | X | X | X | | X | X | X | X | X | | X | X | X |
| WYOMING PSC | | | | | | | | | | | | | X | X4/ | X | X | X4/ | X |

## Footnotes - Table 38 - SAFETY AND ADEQUACY OF SERVICE - Part 1

1/ Same as for private utilities and cooperatives for facilities outside of 3 miles from the corporate limit of municipalities -- Commission has no jurisdiction within the 3 mile limit.

2/ Only outside municipal limits.

3/ Yes, except for municipals supplied by Power Authority of the State of New York (PASNY).

4/ Commission regulates municipal utilities outside corporate limits.

5/ There are no co-ops in this state.

6/ DOT gas safety standards now apply.

7/ Yes, for licensed projects.

8/ The commission has not promulgated specific safety standards for electrical systems but does make inspections to determine compliance with good practice. The National Electrical Safety Code is used informally as an acceptable standard.

9/ Interconnections authorized by exercise of certificate proceedings for construction of transmission line.

10/ Commission is not required to approve interconnections.

11/ This commission may after hearing require these things, not a blanket requirement.

12/ Probably yes, if found to be necessary in the public interest. This power of the commission has never actually been tested, however.

13/ Probably yes, if found to be necessary in the public interest. This power of the commission has never actually been tested, however. The commission has no jurisdiction over municipal systems supplied by PASNY.

14/ Under section 202(b) of the Federal Power Act, the FERC, upon application of a state commission or utility, may direct a public utility to establish physical connection of its transmission facilities with those of another utility. The Economic Regulatory Administration of the Department of Energy, however, has the authority to require temporary emergency interconnections under section 202(c) of the Federal Power Act.

15/ Between pipelines and gas distributors, after filing by a gas distributor of an application pursuant to Sec. 7(a) of Natural Gas Act.

16/ Per approved tariff revisions.

17/ This type of case has not been before the Commission and the law has not been researched or tried.

18/ The commission has the authority to require the joint use of primary lines of a hydroelectric project.

19/ Under the circumstances specified in Sections 202(b) and 202(c) of the Federal Power Act and Section 7(a) of the Natural Gas Act. (See footnote 14).

20/ Colorado Public Utilities Commission has no jurisdiction over publicly-owned (municipal) utilities inside corporate limits, except for gas safety. Public utilities regulated when outside of municipal boundary only.

21/ For reporting purposes.

22/ Have authority, but there are no gas public companies operating in Vermont.

23/ However, there are no public gas suppliers within the State.

24/ Standards are set by other agencies at the Federal and/or Provincial Governments, but the Board has authority to direct compliance.

25/ There are no public gas utilities in Michigan.

Table [illegible] - SAFETY AND ADEQUACY OF SERVICE - Part II

| AGENCY | The Agency has | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | Enforced its safety standards by - | | | | | | | |
| | Inspecting materials | | | | Periodically testing and inspecting existing factilities and operations - | | | |
| | Electric utility facilities | Gas Pipelines | Other gas utility facilities | Telephone utility facilities | Electric utility facilities | Gas Pipelines | Other gas utility facilities | Telephone utility facilities |
| FCC | | | | | | | | |
| FERC | X 1/ | | | | X 1/ | | | |
| ALABAMA PSC | | X | X | X | | X | X | X |
| ALASKA PUC | | X | | | | X | | |
| ALBERTA PUB 23/ | | | | | | | | |
| ARIZONA CC | | X | X | | | X | X | |
| ARKANSAS PSC | | X | | | X | X | | X |
| CALIFORNIA PUC | X | X | X | X | X | X | X | X |
| COLORADO PUC | | X | X | | | X | X | |
| CONNECTICUT PUC | X | X | X | X | X | X | X | X |
| DELAWARE PSC 18/ | | | | | | | | |
| D.C. PSC | X | X | X | X | X | X | X | X |
| FLORIDA PSC | X | X | X | X | X | X | X | X |
| GEORGIA PSC | | X | X 5/ | | | X | X 5/ | |
| HAWAII PUC | X | X | X | X | X | X | X | X |
| IDAHO PUC | X | X | X | X | X | X | X | X |
| ILLINOIS CC | X | X | X | X | X 7/ | X 7/ | X 7/ | X 7/ |
| INDIANA PSC | | X | X | | | X | X | |
| IOWA SCC | X | X | X | X | X | X | X | X |
| KANSAS SCC | X | X | X | X | X | X | X | X |
| KENTUCKY ERC | X | X | X | | X | X | X | |
| KENTUCKY URC | | | | X | | | | X |
| LOUISIANA PSC | | 4/ 8/ | 4/ 8/ | | X | 4/ 8/ | 4/ 8/ | X |
| MAINE PUC | X | X | X | X | X | X | X | X |
| MARYLAND PSC | X | X | X | | X | X | X | |
| MASSACHUSETTS DPU | | X | X | | X | X | X | X |
| MICHIGAN PSC | | X | X | | X | X | X | X |
| MINNESOTA PSC | | | | X | | | | X |
| MISSISSIPPI PSC | | X | X | | | X | X | |
| MISSOURI PSC 21/ | X 19/ | X | X | X | X | X | X | X |
| MONTANA PSC | X | X | X | X | X | X | X | X |
| NEBRASKA PSC | | | | X | | | | X |
| NEVADA PSC | X | X | X | X | X | X | X | X |
| NEW HAMPSHIRE PUC | X | X | X | X | X | X | X | X |
| NEW JERSEY BPU | X | X | X | X | X | X | X | X |
| NEW MEXICO PSC | | 10/ | | | | | | |
| NEW MEXICO SCC | | X | | | | X | | |
| NEW YORK PSC | X 11/ | X | X | | X 11/ | X | X | X |
| NORTH CAROLINA UC | X | X | X | X | X | X | X | X |
| NORTH DAKOTA PSC | | X | X | | | X | X | |
| NOVA SCOTIA PUB | | | | | | | | |
| OHIO PUC | | X | | | | X | | |
| OKLAHOMA CC | X | X | X | X | X | X | X | |
| ONTARIO EB | | | | | | | | |
| ONTARIO TSC | | | | | | | | X |
| OREGON PUC | X | X | X | X | X | X | X | X |
| PENNSYLVANIA PUC | | X | X | | | X | | |
| PUERTO RICO PSC | | X | X | | | X | X | |
| QUEBEC EGB | X | X | X | | X | X | X | |
| QUEBEC PSB | | | | | | | | X |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | | X | X | | | X | X | |
| SOUTH DAKOTA PUC | | | | | | | | |
| TENNESSEE PSC | X | X | X | X | X | X | X | X |
| TEXAS PUC 16/ | | | | | | | | |
| TEXAS RC | | X | X | | | X | X | |
| UTAH PSC | X | X | X | X | X | X | X | X |
| VERMONT PSB | X | X | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | | | | X | | | | X |
| VIRGINIA SCC | | X | X | | X | X | X | X |
| WASHINGTON UTC | | X | X | | | X 13/ | X 13/ | |
| WEST VIRGINIA PSC | | X | X | | | X | X | |
| WISCONSIN PSC | X | X | X | X | X | X | X | X |
| WYOMING PSC | X | X | X | X | X | X | X | X |

1/ Yes, for licensed projects.
2/ The rules of the various gas utilities contain priority of service in case of outages and curtailment procedures.
3/ Arrangements made through New England Power Pool.
4/ Pipeline safety is under the authority of Louisiana Department of Conservation.
5/ LP gas systems and natural gas systems downstream of a main meter such as industrial sites, are inspected by State Fire Marshal personnel.
6/ Only requirement is that the telephone utility provide emergency power during any electrical utility outages.
7/ Testing is more often performed by utility or its agent, but checked by commission staff on a random basis.
8/ This commission did not participate in U.S. Natural Gas Pipeline Safety Act of 1968.
9/ General order #18 issued.
10/ Authority in Corporation Commission.
11/ The commission has not promulgated specific safety standards for electric systems but does make inspections to determine compliance with good practice. The National Electrical Safety Code is used informally as an acceptable standard.

Table 39 - SAFETY AND ADEQUACY OF SERVICE - Part II - Continued

| AGENCY | The Agency has - | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Prescribed emergency regulations governing utility service to customers during - | | | | | | Established standards for attaching new customers during shortages - | | |
| | Outages of - | | | Curtailments of - | | | | | |
| | Electric | Gas | Telephone | Electric | Gas | Telephone | Electric | Gas | Telephone |
| FCC | | | X | | | X | | | X |
| FERC | | X | | | X | | | X 15/ | |
| ALABAMA PSC | | | | X | X | X | | | |
| ALASKA PUC | X | X | X | X | X | X | X | X | X |
| ALBERTA PUB | | X | | | | | | | |
| ARIZONA CC | | X | | | | | | X | |
| ARKANSAS PSC 25/ | | | X | X | X | | | | |
| CALIFORNIA PUC | X | X 2/ | | X 22/ | X 2/ | | | X | |
| COLORADO PUC | | | | | | | X | X | X |
| CONNECTICUT PUC | X | X | X | X | X | X | X | X | X |
| DELAWARE PSC | | | | | | | | | |
| D.C. PSC | | | | | X | | | X | |
| FLORIDA PSC | | X | X | | X | X | | | |
| GEORGIA PSC | X | X | X | X | X | X | X | X | X |
| HAWAII PUC | X | X | X 6/ | | | | | | |
| IDAHO PUC | X | X | | X | X | | X | X | |
| ILLINOIS CC | X | | X | X 24/ | X | X | | X | X |
| INDIANA PSC | | | | X | X | | | | |
| IOWA SCC | X | X | X | X | X | | | 2/ | |
| KANSAS SCC | | | | | X | | | X | |
| KENTUCKY ERC & URC | X | | | | X | | | X | |
| LOUISIANA PSC | | | | | | | | | |
| MAINE PUC | | | | | | | | | |
| MARYLAND PSC | | | | | X | | | X | |
| MASSACHUSETTS DPU | | | | | | | | | |
| MICHIGAN PSC | X | X | | X | X | | X | X | |
| MINNESOTA PSC | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | |
| MISSOURI PSC | | X | | | X | | | X | |
| MONTANA PSC | X | X | | X | X | | | | |
| NEBRASKA PSC | | | | | | | | | |
| NEVADA PSC | | 9/ 2/ | | | X | | | | |
| NEW HAMPSHIRE PUC | | | | | | | | | |
| NEW JERSEY BPU | | | | | X | | | X | |
| NEW MEXICO PSC | X | X | | | X | | | X | |
| NEW MEXICO SCC | | | | | | | | | |
| NEW YORK PSC | | 17/ | | 12/ | 17/ | | | 17/ | |
| NORTH CAROLINA UC | X | X | X | X | X | X | | X | X |
| NORTH DAKOTA PSC | X | | | X | X | | | X | |
| NOVA SCOTIA PUB | | | | | | | | | |
| OHIO PUC | | X | | | X | | | X | |
| OKLAHOMA CC | | 2/ | | | 2/ | | | | |
| ONTARIO EB | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | |
| OREGON PUC | X | X | X | X | X | X | X | X | X |
| PENNSYLVANIA PUC | X | X | X | X | X | X | | X | |
| PUERTO RICO PSC | | X | | | X | | | | |
| QUEBEC EGB | | | | | | | | | |
| QUEBEC PSB | | | | | | | | | |
| RHODE ISLAND PUC | X | X | X | | X | X | X | X | X |
| SOUTH CAROLINA PSC | | X | X | | X | X | | X | X |
| SOUTH DAKOTA PUC | | | | | | | | | |
| TENNESSEE PSC | X | X | | X | X | | X | X | |
| TEXAS PUC | | | | | | | | | |
| TEXAS RC | | X | | | X | | | X | |
| UTAH PSC | | X | | | X | | | X | |
| VERMONT PSB | X 3/ | | | | | | X | | |
| VIRGIN ISLANDS PSC | | | X | | | X | | | X |
| VIRGINIA SCC | | | | X | X | | | X | |
| WASHINGTON UTC | | | X | | | X | | X | X |
| WEST VIRGINIA PSC | | | X | X | X | X | | X | X |
| WISCONSIN PSC | X | X | | X | X | | | X | |
| WYOMING PSC | 14/ | 14/ | 14/ | X | X 20/ | | | X | |

12/ Case 25937 - Procedures of electric corporations for load shedding in times of emergency.
13/ The commission itself does not perform tests but does witness testing which is performed by qualified personnel.
14/ Commission has worked with utilities and drawn up an emergency operation plan.
15/ Done on case by case basis.
16/ Testing is performed by utility or independent agent and results are reported annually.
17/ Commission has set up in its Case 25766 emergency regulations governing gas utility service during periods of curtailment and also standards for the attachment of customers during shortages.
18/ PSC has 5B Agreement with O.P.S. for Gas Pipeline Safety: 49 U.S.C., 1674.
19/ The National Electrical Safety Code issued as an acceptable standard.
20/ Only one plan approved.
21/ Testing performed with other's equipment when deemed necessary.
22/ The rules of the various electric utilities contain priority of service in case of curtailment procedures.
23/ Authority and facilities for inspection rests with the Energy Resources Conservation Board and the Department of Labor--Inspection Branch.
24/ Hearings are in progress concerning feasibility of developing electric energy contingency plans and standardization.
25/ General Rule 5 D directs the utilities to issue instructions for emergency service.

Table 40 - PRIORITIES DURING SHORT SUPPLY

| AGENCY | The Agency has the authority to regulate standards by requiring the establishment of priorities among customer classes of service during periods of short supply. | | | | | | |
|---|---|---|---|---|---|---|---|
| | Electric | | | Gas | | Tele-phone | Priorities that have been established under this authority. |
| | Private | Public | Cooper-ative | Private | Public | | |
| FCC | | | | | | X | None. |
| FERC | X | | | X | | | Generally in accordance with priorities for gas service established as a statement of policy in Order No. 467-B, issued March 2, 1973; otherwise on a case-by case basis. |
| ALABAMA PSC | X | | | X | | X | None. |
| ALASKA PC | | | | | | | None. |
| ALASKA PUC | X | X | X | | | X | None. |
| ALBERTA PUB | | | | | | | None. |
| ARIZONA CC | X | | X | X | | X | Not yet established. |
| ARKANSAS PSC | X | | X | X | | X | Under General Rule 70 priorities may be established by the city or the commission. |
| CALIFORNIA PUC | X | | X | X | | X | Authority is in Public Utility Code, but priority of service for telephone service has not been used since 1950. |
| COLORADO PUC | X | 1/ | X | X | 1/ | X | |
| CONNECTICUT PUC | X | | 2/ | X | | X | State Energy Emergency Plan; Commission Curtailment Plan. |
| DELAWARE PSC | | | | | | | Voluntary cooperation with residential highest priority. |
| D.C. PSC | X | | | X | | | Refer to gas curtailment Orders No. 5467 and 5505. |
| FLORIDA PSC | X | | | X | | | Interruptible rate schedules. |
| GEORGIA PSC | X | | | X | | | General Assembly in 1972 provided Commission with authority to allocate gas and electricity in emergency. |
| GUAM PUC | | | | | | | None. |
| HAWAII PUC | X | | | X | | X | None. |
| IDAHO PUC | X | | | X | | X | Consult curtailment plans on file with the PUC. |
| ILLINOIS CC | X | | | X | | X | The Commission has entered gas limitation orders for all of the major gas utilities in Illinois. The orders generally grant preference to small customers first, progressing to industrial last. Electric utilities have an emergency operating procedure filed informally with the Commission. The Commission is currently in the process of holding hearings on Emergency Energy Contingency Plans for fuel and long term energy shortages. |
| INDIANA PSC | X | | X | X | | | Interruptible, industrial, commercial, residential and human needs last. |
| IOWA SCC | X | X | X | X | X | X | Not been tested. The industry is in process of setting priorities subject to Commission review. |
| KANSAS SCC | X | X 3/ | X | X | X 3/ | | The gas utilities have been required to file priority schedules. |
| KENTUCKY ERC | X | | X | X | | | The gas and electric companies have filed curtailment plans where necessary. |
| KENTUCKY URC | | | | | | X | None. |
| LOUISIANA PSC | X | | | X | | X | |
| MAINE PUC | | | | X | X | | None. |
| MARYLAND PSC | X | X | X | X | X | X | Domestic and residential most preferential. |
| MASSACHUSETTS DPU | X | X | | X | X | X | None. Have authority, but not used. |
| MICHIGAN PSC | X | | X | X | 7/ | X | Controlled service policies established for gas companies, with residential and residential space heating highest priority. |
| MINNESOTA PSC | | | | | | | Authority granted to Minnesota Energy Agency. |
| MISSISSIPPI PSC | X | X | X | X | X | | |
| MISSOURI PSC | X | | | X | | | Approve tariffs prescribing disconnect priorities. |
| MONTANA PSC | X | X | | X | X | X | Industrials served are interruptible. |
| NEBRASKA PSC | | | | | | X | |
| NEVADA PSC | X | | X | X | | X | |
| NEW HAMPSHIRE PUC | X | X 1/ | X | X | | X | None recently. |
| NEW JERSEY BPU | X | X 1/ | X | X | X 8/ | X | Priorities for gas service established 6/1/76 - Order 748-649. |
| NEW MEXICO PSC | X | | X | X | | | None. |
| NEW MEXICO SCC | | | | | | | |
| NEW YORK PSC | X 4/ | X 4/ | | X | X | X | Commission opinions and orders in Case 25766. |
| NORTH CAROLINA UC | X | 5/ | X | X | | X | Natural gas Priorities for Curtailment of Service: 1. Residential; 2. Industrial less than 50 mcf/day; 3. Industrial less than 300 mcf/day; 4. and 5. Industrial non-boiler over 300 mcf/day; 6. and 7. Boiler use. Rule R6-19.2. |
| NORTH DAKOTA PSC | X | | | X | | X | Interruptible, large heating. |
| NOVA SCOTIA PUB 6/ | | | | | | | None. |
| OHIO PUC | X | | | X | | X | The PUC has entered gas limitation orders for all of the major gas utilities in Ohio. The orders generally grant preference to residential and small commercial customers first, progressing to industrial and interruptible last. |
| OKLAHOMA CC | X | | X | X | | X | None. |
| ONTARIO EB | | | | X | | | |
| ONTARIO TSC | | | | | | X | |
| OREGON PUC | X | X | X | X | | | |
| PENNSYLVANIA PUC | | | | X | | | Residential customers would be the customer classification having priority. |
| PUERTO RICO PSC | | | | | | | |
| QUEBEC EGB | X | | | X | | | |
| QUEBEC PSB | | | | | | X | |
| RHODE ISLAND PUC | X | X | | X | X | X | Authority of Commission has been questioned. |
| SOUTH CAROLINA PSC | X | | | X | | X | None promulgated, but approved those established by various gas utilities. |
| SOUTH DAKOTA PUC | | | | | | X | |
| TENNESSEE PSC | X | | | X | | X | |
| TEXAS PUC | X | | X | | | X | State disaster and emergency plans. |
| TEXAS RC | | | | X | X | | |
| UTAH PSC | X | | X | X | | X | |
| VERMONT PSB | X | X | X | X | X | X | Electrical - critical services, e.g., hospitals, nursing homes, fire, police, etc |
| VIRGIN ISLANDS | | | | | | X | None. |
| VIRGINIA SCC | X | | X | X | | | Statewide Curtailment Rules and Priorities (Gas-Electric). |
| WASHINGTON UTC | X | | | X | | X | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | Residential, commercial, essential industrials, and non-essential industrials. |
| WISCONSIN PSC | X | X | | X | X | X | |
| WYOMING PSC | X | X | X | X | X | X | Approved priorities for residential, commercial, essential industrials, and non-essential industrials for one gas utility with severely limited supply. |

## Footnotes - Table 40 - PRIORITIES DURING SHORT SUPPLY

[1] Only outside municipal limit.
[2] There are no co-ops in this state.
[3] Same as for private utilities and cooperatives for facilities outside of 3 miles from the corporate limit of municipalities. Commission has no jurisdiction within the three mile limit.
[4] Yes, except for municipals supplied by Power Authority of the State of New York (PASNY). A formal investigation by the Commission has resulted in development of procedures by New York Power Pool Member companies. These procedures appear acceptable under current conditions.
[5] Municipalities participating voluntarily.
[6] The Board would have authority under its general statutory powers.
[7] There are no public gas utilities in Michigan.
[8] However, there are no public gas suppliers within the state.

## SECTION L

## CERTIFICATES, LICENSES AND PERMITS

The areas in which electric, gas and telephone utilities operate are generally prescribed by certificates of convenience and necessity issued by the jurisdictional regulatory agency. Franchises and permits from municipalities or other local authorities are also generally required in these States and also in States not issuing certificates. The various agencies also issue licenses or permits to control initiation of service, construction and abandonment of facilities and other related actions.

Tables 41, 42 and 43 list the authority of the agencies to issue certificates, licenses, and permits to regulate the actions of electric, gas and telephone utilities. These tables show which actions of the utilities are controlled by the agency, the source of the agency's authority, and also list other agencies within the various States which have additional licensing power over utility companies.

Table II - CERTIFICATES, LICENSES AND PERMITS - Part I

| AGENCY | The Agency has authority to require certificates of convenience and necessity for -- | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Initiating Service | | | | | | Constructing major additions - | | | | | | | | | | | |
| | | | | | | | Generating Plant | | | | | | Transmissions Lines | | | | | |
| | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone | Electric | | | Gas | | Telephone |
| | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | | Private | Public | Cooperative | Private | Public | |
| FCC | | | | | | X | | | | | | | | | | | | X |
| FERC | | | | X | | | X38/ | X38/ | X38/ | | | | X38/ | X38/ | X38/ | X | | |
| ALABAMA PSC | X | | | X | | X | X | | | | | | X | | | X | | |
| ALASKA PC | | | | X | X | | | | | X | X | | | | | X | X | |
| ALASKA PUC | X | X | X | X | X | X | | | | | | | | | | | | |
| ALBERTA PUB 45/ | | | | | | | | | | | | | | | | | | |
| ARIZONA CC | X | | X | X | | X | | | | | | | | | | | | |
| ARKANSAS PSC | X | | X | X | | X | X | | X | | | | X | | X | X | | X |
| CALIFORNIA PUC | X | | X | X | | | X8/ | | X8/ | | | | X13/ | | X13/ | | | |
| COLORADO PUC 27/ | X | X | X | X | X | X | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ |
| CONNECTICUT PUC | | | 28/ | | | | 47/ | 47/ | 28/ | 47/ | 47/ | 47/ | 47/ | 47/ | 28/ | 47/ | 47/ | 47/ |
| DELAWARE PSC | X | | X | X | | X | | | | | | | | | | | | |
| D.C. PSC | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC 40/ | | | | | | X | | | | | | | | | | | | |
| GEORGIA PSC | | | | X | | X | | | | | | | | | | X | | X |
| HAWAII PUC | | | | | | | 10/ | | | 10/ | | 10/ | 10/ | | | 10/ | | 10/ |
| IDAHO PUC | X | | | X | | X | X | | | | | | X | | | X | | |
| ILLINOIS CC | X | | | X | | X14/ | X | | | X | | X14/ | X | | | X | | 14/ |
| INDIANA PSC | | | | | | | | | | | | | | | | | | |
| IOWA SCC | | | | | | | X | X | X | | | | X | X | X | X | X | |
| KANSAS SCC | X | 5/ | X | X | 5/ | X | X | X | X | | | | X | 5/ | X | | | |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X | | | | | | X |
| LOUISIANA PSC 29/ | | | | | | | | | | | | | | | | | | |
| MAINE PUC | X1/ | X1/ | X1/ | X1/ | X1/ | X1/ | X1/ | X1/ | X1/ | | | | X1/ | X1/ | X1/ | | | |
| MARYLAND PSC | X2/ | X2/ | X2/ | X2/ | X2/ | X | X | X | X | | | | X | X | X | | | |
| MASSACHUSETTS DPU | | | | | | | | | | | | | X15/ | X15/ | | X15/ | X15/ | |
| MICHIGAN PSC | 3/ | | 3/ | 3/ | | X3/ | | | | | | | | | | X | | |
| MINNESOTA PSC | X | X | X | X | | X | | | | | | | | | | | | |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | | X | X | X | X | X | | X | X | X | X |
| MISSOURI PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| MONTANA PSC 31/ | | | | | | | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | X | | | | | | X | | | | | | X |
| NEVADA PSC | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| NEW HAMPSHIRE PUC | X | | X | X | | X | X | | | | | | X | | | | | |
| NEW JERSEY BPU 32/ | | | | | | | | | | | | | | | | | | |
| NEW MEXICO PSC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | X | | | | | | | | | | | | |
| NEW YORK PSC | X | 6/ | | X | 6/ | X | 11/ | 6/11/ | | | | | X | X | X | X | X | 11/ |
| NORTH CAROLINA UC | X4/ | | | X | | X | X | | | | | | | | | | | |
| NORTH DAKOTA PSC | X | | | X | | X | X | X | X | X | X | | X | X | X | X | X | X |
| NOVA SCOTIA PUB 48/ | | | | | | | | | | | | | | | | | | |
| OHIO PUC | | | | | | | 12/ | 12/ | 12/ | 12/ | 12/ | | 12/ | 12/ | 12/ | 12/ | 12/ | |
| OKLAHOMA CC | X | | | X | | X | | | | | | | | | | | | |
| ONTARIO EB | | | | X | | | | | | X | | | | | | X | | |
| ONTARIO TSC 46/ | | | | | | | | | | | | | | | | | | |
| OREGON PUC 33/ | | | | | | | | | | | | | X16/ | X16/ | X16/ | | | |
| PENNSYLVANIA PUC | X | | | X | | X | | | | | | | X | | | | | |
| PUERTO RICO PSC | | | | X | | | | | | X | | | | | | X | | |
| QUEBEC EGB | X | | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | X | | | | | | X |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | X | | | | | | X | | | | | |
| SOUTH DAKOTA PUC | X | | | X | | X | X | X | X | | | | X | X | X | | | |
| TENNESSEE PSC 36/ | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| TEXAS PUC | X | | X | | | X | X | | X | | | X | X | | X | | | X |
| TEXAS RC | | | | | | | | | | | | | | | | | | |
| UTAH PSC | X | | X | X | | X | X | | X | X | | | X17/ | | X | | | X |
| VERMONT PSB | X | X | X | X | | X | X | X | X | X | | | X | X | X | X | 44/ | |
| VIRGIN ISLANDS PSC 35/ | | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC 32/ | X | | X | X | | X | X | | X | X | | X | X | | X | X | | X |
| WASHINGTON UTC | | | | X | X | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | 7/ | X | X | X | X | X | 7/ | X | X | X | X | X | 7/ | X | X | X |
| WYOMING PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |

Table 41 - CERTIFICATES, LICENSES AND PERMITS - Part I - (Continued)

| AGENCY | The Agency has authority to require certificates of convenience and necessity for -- | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Constructing major additions -- | | | | | | | | | | | | | | | | | |
| | Distribution Lines | | | | | | Other Plant | | | | | | Abandonment of facilities or service | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | X | | | | | | | | | | | | X |
| FERC | | | | | | | | | | X39/ | | | X38/ | X38/ | X38/ | X | | |
| ALABAMA PSC | | | | | | | X | | | X | | X | X | | | X | | X |
| ALASKA PC | | | | X | X | | | | | X | X | | | | | | | |
| ALASKA PUC | | | | | | | | | | | | | X | X | X | X | X | X |
| ALBERTA PUB 45/ | | | | | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | | | | X | | X | X | | X |
| ARKANSAS PSC | | | | | | | X | | X | X | | X | X | | X | X | | X |
| CALIFORNIA PUC | | | | | | | | | | | | | X | | X | X | | X |
| COLORADO PUC 27/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | 9/ | X | X | X | X | X | X |
| CONNECTICUT PUC | 47/ | 47/ | 28/ | 47/ | 47/ | 47/ | 47/ | 47/ | 28/ | 47/ | 47/ | 47/ | | | 28/ | | | |
| DELAWARE PSC | | | | | | | | | | | | | X | | X | X | | X |
| D.C. PSC | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC 40/ | | | | | | | | | | | | | | | | | | |
| GEORGIA PSC | | | | X | | | | | | | | | | | | X | | X |
| HAWAII PUC | 10/ | | | 10/ | | 10/ | 10/ | | | 10/ | | 10/ | | | | | | |
| IDAHO PUC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| ILLINOIS CC | X | | | X | | | X | | | X | | X14/ | X | | X | X | | X |
| INDIANA PSC | | | | | | | | | | | | | X | X | X | X | | X |
| IOWA SCC | X | X | X | X | X | | X | X | X | | | | X | X | X | X | X | X |
| KANSAS SCC | X | 5/ | X | | | | | 5/ | X | | | | X | 5/ | X | X | 5/ | X |
| KENTUCKY ERC | X | | X | X | | | X | | X | X | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | X | | | | | | X |
| LOUISIANA PSC 29/ | | | | | | | | | | | | | | | | | | |
| MAINE PUC | | | | | | | | | | | | | 30/ | 30/ | 30/ | 30/ | 30/ | 30/ |
| MARYLAND PSC | | | | | | | | | | | | | | | | | | |
| MASSACHUSETTS DPU | | | | | | | | | | | | | X | X | | X | X | X |
| MICHIGAN PSC | | | | | | | | | | X | | | X | | X | X | | X |
| MINNESOTA PSC | | | | | | | | | | | | | | | | | | X |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | | X | X | | X | X | X | X | X | X | X |
| MISSOURI PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| MONTANA PSC 31/ | | | | | | | | | | | | | X | X | | X | X | X |
| NEBRASKA PSC | | | | | | | | | | | | | | | | | | X |
| NEVADA PSC | X | | X | X | | X | | | | | | | X | | X | X | | X |
| NEW HAMPSHIRE PUC | | | | | | | | | | | | | X | 19/ | X | X | | X |
| NEW JERSEY BPU 32/ | | | | | | | | | | | | | | | | | | |
| NEW MEXICO PSC | 18/ | 18/ | 18/ | 18/ | 18/ | | 18/ | 18/ | 18/ | 18/ | 18/ | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | X |
| NEW YORK PSC | 11/ | 6/11/ | | 11/ | 6/11/ | 11/ | 11/ | 6/11/ | | 11/ | 6/11/ | 11/ | X | X | | X | X | X |
| NORTH CAROLINA UC | | | | | | | | | | | | | X | | | X | | X |
| NORTH DAKOTA PSC | X | | | X | | X | | | | | | | X | | | X | | |
| NOVA SCOTIA PUB 48/ | | | | | | | | | | | | | | | | | | |
| OHIO PUC | | | | | | | | | | | | | X41/ | | | X41/ | | X41/ |
| OKLAHOMA CC | | | | | | | | | | | | | X | | | X | | X |
| ONTARIO EB | | | | X | | | | | | X | | | | | | X | | |
| ONTARIO TSC 46/ | | | | | | | | | | | | | | | | | | |
| OREGON PUC 33/ | | | | | | | | | | | | | | | | | | |
| PENNSYLVANIA PUC | X | | | X | | X | | | | | | | X | | | X | | X |
| PUERTO RICO PSC | | | | X | | | | | | X | | | | | | X | | |
| QUEBEC EGB | X | | | X | | | X | | | X | | | X | | | X | | |
| QUEBEC PSB 42/ | | | | | | X | | | | | | | | | | | | X43/ |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X | X | X | X | X | | | | | | |
| SOUTH CAROLINA PSC | | | | X | | | | | | X | | | X | | | X | | X |
| SOUTH DAKOTA PUC | | | | | | | X | X | X | | | | X | | | X | | X |
| TENNESSEE PSC | X | | | X | | X | X | | | X | | X | X | | | X | | X |
| TEXAS PUC | X | | X | | | X | X | | X | | | X | X | | X | | | X |
| TEXAS RC | | | | | | | | | | | | | | | | X | X | |
| UTAH PSC | X | | X | | | X | X | | X | | | X | X | | X | X | | X |
| VERMONT PSB | X | X | X | X | | X | X34/ | X34/ | X34/ | X34/ | X34/ | X34/ | X | X | X | X | | X |
| VIRGIN ISLANDS PSC 35/ | | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC 32/ | | | X | X | | X | | | | | | | | | | | | |
| WASHINGTON UTC | | | | | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| WISCONSIN PSC | X | X | 7/ | X | X | X | X | X | 7/ | X | X | X | X | X | 7/ | X | X | X |
| WYOMING PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |

Table 11 - CERTIFICATES, LICENSES AND PERMITS - Part I (Continued)

| AGENCY | The Agency has Authority to | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Issue indeterminate permits | | | | | | Regulate state exports | | | | | Allocate unincorporated territory among utilities | | | | | |
| | Electric | | | Gas | | | Electric | | | Gas | | Electric | | | Gas | | |
| | Private | Public | Cooperative | Private | Public | Telephone | Private | Public | Cooperative | Private | Public | Private | Public | Cooperative | Private | Public | Telephone |
| FCC | | | | | | X | | | | | | | | | | | |
| FERC | | | | | | | | | | | | | | | | | |
| ALABAMA PSC | X | | | X | | | | | | | | X | | | | | X |
| ALASKA PC | | | | | | | | | | | | | | | | | |
| ALASKA PUC | X | X | X | X | X | X | | | | | | X | X | X | X | X | X |
| ALBERTA PUB 45/ | | | | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | X | | X | X | | X | | X | X | | X |
| ARKANSAS PSC | | | | | | | | | | | | X | X | X | X | X | X |
| CALIFORNIA PUC | X | | X | X | | X | | | | | | X | | X | X | | X |
| COLORADO PUC 27/ | X | X | X | X | X | X | | | | | | X | X | X | X | X | X |
| CONNECTICUT PUC | | | 28/ | | | | | | 28/ | | | | | 28/ | | | |
| DELAWARE PSC | X | | X | X | | X | X | | X | X | | X | | X | X | | X |
| D.C. PSC | | | | | | | | | | | | | | | | | |
| FLORIDA PSC 40/ | | | | | | | | | | | | X | X | X | | | X |
| GEORGIA PSC | | | | | | | | | | | | X | X | X | X | | X |
| HAWAII PUC | | | | | | | | | | | | | | | | | |
| IDAHO PUC | | | | | | | | | | | | X | | | X | | X |
| ILLINOIS CC | X | | X | X | | X | X21/ | | | X | | X | | X | X | | X |
| INDIANA PSC | X | | X | X | | | | | | | | X | | X | X | | X |
| IOWA SCC | X | X | X | X | X | X | | | | | | X | X | X | X | X | X |
| KANSAS SCC | | 5/ | | | 5/ | | | 5/ | | | 5/ | X | 5/ | X | X | 5/ | X |
| KENTUCKY ERC | X | | X | X | | | | | | | | X | | X | X | | |
| KENTUCKY URC | | | | | | X | | | | | | | | | | | X |
| LOUISIANA PSC 29/ | | | | | | | | | | | | X | | X | X | | X |
| MAINE PUC | | | | | | | | | | | | | | | | | |
| MARYLAND PSC | | | | | | | | | | | | | | | | | |
| MASSACHUSETTS DPU | X | X | | X | X | X | | | | | | 23/ | 23/ | 23/ | 23/ | 23/ | 23/ |
| MICHIGAN PSC | | | | | | | | | | | | | | | | | 24/ |
| MINNESOTA PSC | | | | | | X | | | | | | | | | | | X |
| MISSISSIPPI PSC | | | | | | | | | | | | X | X | X | X | X | X |
| MISSOURI PSC | X | | | X | | X | | | | | | X | | | X | | X |
| MONTANA PSC 31/ | | | | | | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | X | | | | | | | | | | | X |
| NEVADA PSC | | | | | | | | | | | | X24/ | | X24/ | X24/ | | X24/ |
| NEW HAMPSHIRE PUC | | | | | | | X22/ | | X22/ | | | X | X | | X | | X |
| NEW JERSEY BPU 32/ | | | | | | | | | | | | | | | | | |
| NEW MEXICO PSC | | | | | | | | | | | | X | | X | X | | |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | |
| NEW YORK PSC | X | 6/ | | X | 6/ | X | X | X | | X | X | 25/ | 6/25/ | | 25/ | 6/25/ | 25/ |
| NORTH CAROLINA UC | | | | | | | | | | | | X26/ | | | X26/ | | X26/ |
| NORTH DAKOTA PSC | X | X | X | X | | X | | | | | | X | | | X | | X |
| NOVA SCOTIA PUB | | | | | | | | | | | | X | X | X | X | X | X |
| OHIO PUC | | | | | | | | | | | | | | | | | X |
| OKLAHOMA CC | X | | | X | | X | | | | | | X | | | | | X |
| ONTARIO EB | | | | | | | | | | | | | | | | | |
| ONTARIO TSC 46/ | | | | | | | | | | | | | | | | | |
| OREGON PUC 33/ | | | | | | | | | | | | X | X | X | X | | X |
| PENNSYLVANIA PUC | X | | | X | | X | | | | | | X | | | X | | X |
| PUERTO RICO PSC | | | | | | | | | | | | | | | | | |
| QUEBEC EGB | X | | | X | | | | | | | | X | | | X | | |
| QUEBEC PSB | | | | | | X | | | | | | | | | | | X |
| RHODE ISLAND PUC | | | | | | | | | | | | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | | | X | | X | | | | | | X | | X | X | | X |
| SOUTH DAKOTA PUC | | | | | | X | | | | | | X | X | X | X | X | X |
| TENNESSEE PSC | | | | | | | | | | | | X | | | X | | X |
| TEXAS PUC | X | | X | | | X | | | | | | X37/ | X | X37/ | | | X37/ |
| TEXAS RC | | | | | | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | | | X | | X | X | | X |
| VERMONT PSB | | | | | | | | | | | | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC 35/ | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC 32/ | X | | X | X | | X | | | | | | X | | X | X | | X |
| WASHINGTON UTC | | | | | | | | | | | | | | | | | X |
| WEST VIRGINIA PSC | | | | | | | | | | | | X | X | X | X | X | X |
| WISCONSIN PSC | 20/ | 20/ | 7/ | 20/ | 20/ | | X | X | 7/ | | | | | | | | |
| WYOMING PSC | | | | | | | | | | | | X | X | X | X | X | X |

## Footnotes - Table 41 - CERTIFICATES, LICENSES AND PERMITS - Part I

1/ Finding of public convenience and necessity required if another utility is already offered or is authorized to offer a comparable service in the same area. 35 M.R.S.A. 13-A.

2/ Authorize exercise of franchise rather than issue certificate of Public Convenience and Necessity.

3/ Present certificate authority limited to gas transmission pipelines, gas storage fields, telephone companies and, in the case of gas and electric companies, to situations where one utility proposes to extend service into a municipality presently receiving like and contemporaneous service from another utility.

4/ Answered with "private" meaning investor-owned and "public" meaning municipal or governmental.

5/ Same as for private utilities and cooperatives for facilities outside of 3 miles from the corporate limits of municipalities -- commission has no jurisdiction within the 3-mile limit.

6/ "No," except for service outside the municipality. General Municipal Law, Sec. 361, 364; Public Service Law, Sec. 68.

7/ "No," unless cooperative extends activities to include functions that make it a utility under the statutes.

8/ Generating plants in excess of 50 MW.

9/ Not necessary to obtain certificate for extension of its line, plant or system if contiguous to its existing system and if such extension is not into area of another utility of like character, and if extension is necessary in the ordinary course of its business.

10/ Although certification is not required, all capital expenditures in excess of $500,000 or 10 percent of the total plant in service must be submitted to the commission for review.

11/ The certificates of public convenience and necessity heretofore issued by the commission for the most part authorize construction of minor electric, gas and telephone plant of all sorts, without time limit, within specified municipalities. Therefore, the utility needs no additional certificate, other than for a major steam electric generating facility under Public Service Law, Article 8, and a transmission line under Public Service Law, Article 7, to construct additional plant within its previously certified area. A certificate is required, however, before a utility may construct plant of any sort outside its previously certificated area. In 1972 the State's Public Service Law was amended to establish within the Department of Public Service a Board on Electric Generation Siting and the Environment, intended to have one-stop siting jurisdiction. The Chairman of the Public Service Commission acts as Chairman of the Board. The other members are the Commissioners of Environmental Conservation, Health and Commerce, and an ad hoc member appointed by the Governor, who shall be a resident of the judicial district in which the facility as primarily proposed is to be located. The Commissioner of Health now participates in pending Article VIII cases only. The State Legislature amended Article VIII of the Public Service Law in 1978; pursuant to that amendment, the Commissioner of the New York State Energy Office participates in new Article VIII cases (in lieu of the Commissioner of Health).

12/ Participates through membership on Power Siting Commission, which has authority as indicated.

13/ Transmission lines in excess of 200 KV.

14/ Certificates are required if new areas are to be served by the facilities. The state is completely covered for telephone service.

15/ Department has power to rezone property for construction of utility facilities and make takings in Eminent Domain Proceedings.

16/ Limited to when condemnation is required.

17/ Once a utility has been certified by the commission the general policy followed by the commission is to not require further certification for major additions within the service area of the utility.

18/ Certificate needed for extensions into new territory not contiguous to existing service or being served by another utility.

19/ Only where service is outside municipal limits.

20/ Indeterminate permits in Wisconsin come into existence by operation of law resulting from any grant from the State or a municipality to any public utility to own, operate, manage or control any plant for the furnishing of a public utility service. Whether an indeterminate permit exists in any given situation depends essentially upon the existence of such underlying grant.

21/ To the extent that it is not in conflict with interstate commerce [Federal Energy Regulatory Commission (FERC)].

22/ Only electricity generated by water power.

23/ All territory is incorporated.

24/ Upon proper application.

25/ "No," except where duplicate franchises may have been granted to more than one utility.

26/ Unless the territory is contiguous to another public utility.

27/ Colorado Public Utilities Commission has no jurisdiction over municipally owned utilities operating inside corporate limits except as to gas safety.

28/ There are no co-ops in this state.

29/ Louisiana Constitution of 1974 grants wide and plenary authority to "regulate" but no specific certification authority is provided except by statute to radio common carriers. Authority may be implied. Allocation of territory has been undertaken by General Order as well as R.S. 45:121 et seq.

30/ 35 M.R.S.A., Sec. 212.

31/ Certificates, Permits and Licenses - None in Montana.

32/ The key word here is authority. The commission can do all these things on the basis that utilities must provide safe, adequate and proper service.

33/ Utilities, at their option, may request exclusive territories.

34/ If significant environmental impact.

35/ Electric facilities owned and operated by the government and are regulated by the commission only for rate increases. No natural gas service in the Virgin Islands other than "bottled gas" which is not regulated by the Commission.

36/ The term "certificates of convenience and necessity" does not apply for construction, but the agency does approve major additions of all regulated utilities.

37/ Cannot be exclusive territory.

38/ Yes, for licensed hydro-electric projects.

39/ Underground storage and LNG plant.

Footnotes - Table 41 - CERTIFICATES, LICENSES AND PERMITS - Part 1

40/ The 1974 legislature gave territory authority to the PSC over all electrics, including municipal and REA's -- scope not yet settled.

41/ Limited authority.

42/ The Board has exclusive jurisdiction to decide the location and conditions for connecting the installations necessary for the operation of a public service. It may also order the sharing of the utilization of a public service installation (for distribution lines). Finally, an undertaking must also obtain the Board's authorization to extend or amend service.

43/ The Board's authorization is needed to abandon service.

44/ Have authority, but no public gas companies in Vermont.

45/ Authority rests with Energy Resources Conservation Board.

46/ Certificates are not applicable to telephone companies and systems under the Ontario TSC jurisdiction.

47/ Participates through membership on Power Facilities Evaluation Council which has authority indicated.

48/ The Board has power to grant franchises but not certificates of convenience except where territory already served by a public utility. Board's approval is required for expenditures to construct major additions. Consent of Board is required for any abandonment of lines or works.

Table 42 - AGENCY'S AUTHORITY

| AGENCY | The Agency's authority to certificate is derived from - | | | | The Agency's authority to certificate is contingent upon the prior approval or authorization of - | | | | The certification authority is given to another agency | Where the Agency does not have the authority to certificate facilities, legislation is now pending which would grant authority to - | | | | Certification is mandatory |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Statute | Judicial Interpretation | Administrative Interpretation | Other | Federal Agency | Regional Agency | Other State Agency | Local Agency | | Your State Agency | Other State Agency | Regional Agency | Local Agency | |
| FCC | X | | | | | | | | | | | | | X |
| FERC | X | | | | | | | | | | | | | X |
| ALABAMA PSC | X | | | | | | | | 21/ | | | | | X |
| ALASKA PC | X | | | | | | | | | | | | | |
| ALASKA PUC | X | | | | | | | | | | | | | X |
| ALBERTA PUB | 1/ | 1/ | 1/ | | | | | | | | | | | |
| ARIZONA CC | X | | | | | | | | | | | | | |
| ARKANSAS PSC | X | | | | | | | | | | | | | X |
| CALIFORNIA PUC | X | X | X | | | | | | 10/ | | | | | 25/ |
| COLORADO PUC | X | | | | | | X | X | | | | | | |
| CONNECTICUT PUC | X | | | | | | | | X | | | | | X |
| DELAWARE PSC | X | | | | | | | | | | | | | |
| D.C. PSC | 1/ | 1/ | 1/ | | | | | | | | | | | |
| FLORIDA PSC | X2/ | | | | | | | | | | | | | X11/ |
| GEORGIA PSC | X3/ | | | | | | | | | | | | | |
| GUAM PUC | X | | | | | | | | | | | | | |
| HAWAII PUC | | | | | | | | | | X | | | | |
| IDAHO PUC | X | | | | | | | | | | | | | |
| ILLINOIS CC | X | | | | | | | | | | | | | X |
| INDIANA PSC | X | | | | | | | | | | | | | |
| IOWA SCC | X | X | X | | | | | | 22/ | | | | | |
| KANSAS SCC | X | | | | | | | | | | | | | X |
| KENTUCKY ERC & URC | X | | | | | | | | | | | | | |
| LOUISIANA PSC 7/ | | | | | | | | | | | | | | X |
| MAINE PUC | X | | | | | | | | | | | | | X |
| MARYLAND PSC | X | | | | | | | 15/ | | | | | | |
| MASSACHUSETTS DPU | X | | X | | | | | 16/ | | | | | | |
| MICHIGAN PSC | X4/ | | | | | | | | | X | | | | |
| MINNESOTA PSC | X | | | | | | | X17/ | | | | | | X |
| MISSISSIPPI PSC | X | X | | | | | | | | | | | | X |
| MISSOURI PSC | X | | | | | | | | | | | | | X |
| MONTANA PSC 12/ | | | | | | | | | | | | | | |
| NEBRASKA PSC | X | | | | | | | | | | | | | X |
| NEVADA PSC | X | | | | | | | | | | | | | X |
| NEW HAMPSHIRE PUC | X | X | | | | | X13/14/ | | | | | | | X13/ |
| NEW JERSEY BPU | X | X | X | | 8/9/ | | 8/9/ | 8/9/ | | | | | | 23/ |
| NEW MEXICO PSC | | | | | | | | | | | | | | |
| NEW MEXICO SCC | X | | | | | | | | | | | | | |
| NEW YORK PSC | X | | | | | | | 18/19/ | 24/ | | | | | X |
| NORTH CAROLINA UC | X | X | X | | | | | | | | | | | X |
| NORTH DAKOTA PSC | X | | | | | | | | | | | | | |
| NOVA SCOTIA PUB 26/ | | | | | | | | | | | | | | |
| OHIO PUC | X | | | | | | | | | | | | | |
| OKLAHOMA CC | X | | | | | | | | | | | | | |
| ONTARIO EB | X | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | | | |
| OREGON PUC 5/ | X | | | | | | | | | | | | | |
| PENNSYLVANIA PUC | X | | | | | | | | | | | | | |
| PUERTO RICO PSC | X | | | | | | | | | | | | | X |
| QUEBEC EGB | X | | | | | | | | | | | | | |
| QUEBEC PSB | X | | | | | | | | | | | | | |
| RHODE ISLAND PUC | X | | | | | | | | | | | | | X |
| SOUTH CAROLINA PSC | X | | | | | | | | | | | | | X |
| SOUTH DAKOTA PUC | X | | X | | | | | X20/ | | | | | | |
| TENNESSEE PSC | X | | | | | | | | | | | | | X |
| TEXAS PUC | X | | | | | | | | | | | | | X |
| TEXAS RC | | | | | | | | | | | | | | |
| UTAH PSC | X | | | | | | | | | | | | | X |
| VERMONT PSB | X | X | X | | | | | | | | | | | X |
| VIRGIN ISLANDS PSC | X | | | | | | | | | | | | | |
| VIRGINIA SCC | X | | | | | | | | | | | | | X |
| WASHINGTON UTC | X6/ | | | | | | | | | | | | | X6/ |
| WEST VIRGINIA PSC | X | X | | | | | | | | | | | | X |
| WISCONSIN PSC | X | | | | | | | | | | | | | X |
| WYOMING PSC | X | | | | | | | | | | | | | X |

## Footnotes - Table 42 - AGENCY'S AUTHORITY

1/ No authority to certificate.

2/ Telephone only, no CCN granted for gas and electric. CCN also required for transportation.

3/ Only telephone and gas. Electric territorial assignment authority by statute.

4/ Present certificate authority limited to gas transmission pipelines, gas storage fields, telephone companies and, in the cases of gas and electric companies, to situations where one utility proposed to extend service into a municipality presently receiving like and contemporaneous service from another utility.

5/ Answers related to allocation of territory. (Not a certificate of convenience and necessity statute.)

6/ Certificates of convenience and necessity are required of gas companies only.

7/ The Louisiana Constitution gives broad authority to the Commission to regulate all aspects of sales and service of regulated utilities and carriers. Certification authority may be implied.

8/ Sometimes.

9/ Department of Environmental Protection, Trenton, New Jersey -- able to participate as party. Municipal Councils, Title 40 and Title 48 -- able to participate as party, EPC; AEC; Delaware Water Commission; State Department of Energy for energy facilities.

10/ Energy Resources Conservation and Development Commission and the PUC both have certification responsibilities.

11/ Yes, for telephone; no, for gas and electric.

12/ Certificates, Permits and Licenses --- none in Montana.

13/ Electric only.

14/ Site Evaluation Committee -- for electric facilities only. RSA 162-F.

15/ Political subdivisions grant other franchises and in each instance commission has power to authorize the exercise of the franchises.

16/ Cities and towns must authorize opening of streets, pole locations and overhead lines crossing public ways only.

17/ Minnesota statutes 237.18 provide that if a telephone company surrenders a municipal franchise, it shall receive a franchise from the Commission.

18/ Local consent of the municipality involved is required before the Commission may issue a certificate of public convenience and necessity to an electric or gas corporation. *Public Service Law*, Sec. 68, *Transporation Corporations Law*, Sec. 11, subd. 3. Local consents are also required in the case of telephone company, if its lines are to be placed underground. *Transportation Corporations Law*, Sec. 27. Local consents are not required as a prerequisite to the issuance of a certificate of environmental compatibility and public need for the construction of electric and gas transmission lines, if the Commission finds such requirement would be "unreasonably restrictive." *Public Service Law*, Sec. 126, subd. 1(f).

19/ Any municipality in which utility plant is to be constructed may appear in the certification case, cross-examine, present testimony and submit briefs on relevant issue.

20/ Municipalities - franchises.

21/ Municipalities in some cases.

22/ Municipalities, as far as rights on streets and alleys.

23/ The key word here is authority. The Commission can do all these things on the basis that utilities must provide safe, adquate, and proper service.

24/ In 1972 the State's Public Service Law was amended to establish within the Department of Public Service a Board on Electric Generation Siting and the Environment, intended to have one-stop siting jurisdiction. The Chairman of the Public Service Commission acts as Chairman of the Board. The other members are the Commissioners of Environmental Conservation, Health and Commerce and an *Ad Hoc* member appointed by the Governor who shall be a resident of the judicial district in which the facility as primarily proposed is to be located.

25/ Commission does, however, have authority to order construction of facilities.

26/ Board has power to grant franchises but not certificates of convenience except where territory already served by a public utility.

Table 43 - CERTIFICATES, LICENSES AND PERMITS - Part II

| AGENCY | The Agency has authority to - | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Set legal standards or criteria for certification to include - | | | | | | | | | | | | |
| | Guidelines or standards for evaluating - | | | | | | | | Procedures to assure public participation in the certification proceedings through - | | | | |
| | The effects of planned rights of way, sites and facilities on environmental values affecting - | | | | | | | | | | | | |
| | Air pollution controls | Water pollution controls | Water rights and usage | Use of land | Radiological control | Relative environmental impacts of alternative sites | The projected utility needs | When positive, the future plans for facilities proposed for next 10 years are prepared and published annually | Public notice of certification proceedings | Opportunity for public hearing thereon | Mandatory public hearing | Requirement for pre-hearing consultation between applicant and interested persons or groups | Rights of intervention in the certification proceeding | Other safeguards |
| FCC | | | | | | X | X | No | X | X | X | X | X | |
| FERC | X | X | X86/ | X | X | X | X | | X | X | X80/ | | X | |
| ALABAMA PSC | | | | | | | X | | X | X | | | X | |
| ALASKA PC | X | X | X | X | X | X | X | | X | X | X | X | X | |
| ALASKA PUC | X | X | X | X | X | X | X | | X | X | | X | X | |
| ALBERTA PMC | | | | | | | | | | | | | | |
| ALBERTA PUB 88/ | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | X | X | X | | X | |
| ARKANSAS PSC | | | | X | | | X | No | X | | X | | X | |
| CALIFORNIA PUC | X | X | | X | X | X | X | 8/ | X | X | X17/ | X | X | |
| COLORADO PUC | X | X | X | X | X | X | X | No | X | X | X | | X | |
| CONNECTICUT PUC | 89/ | 89/ | 89/ | 89/ | 89/ | 89/ | 89/ | 89/ | 89/ | | 89/ | | 89/ | |
| DELAWARE PSC | | | | | | | | | X | X | | | | |
| D.C. PSC | | | | | | | | | | | | | | |
| FLORIDA PSC | | | | | | | X36/ | | X15/ | X | X | X | X | X22/ |
| GEORGIA PSC | | | | | | | | | X | X | X | | X | |
| GUAM PUC | | | | | | | X | | X | X | X | | X | |
| HAWAII PUC | | | | | | | | | | | | | | |
| IDAHO PUC | X | X | | | X | X | X | No | X | X | | | X | 23/25/ |
| ILLINOIS CC | X | X | X | X | X | X | X | No | X84/ | X | X | 19/ | X | X23/ |
| INDIANA PSC | | | | | | | | | X | X | | | X | |
| IOWA SCC | | | | | | | X | No | X | X | | X | X | 23/ |
| KANSAS SCC | | | | | | | | | X | X | X | X | X | |
| KENTUCKY ERC & URC | | | | | | | X | No | X | X | X | | X | |
| LOUISIANA PSC | | | | | | | | | X | X | X | | X | 24/ |
| MAINE PUC | 1/ | 1/ | 1 | 1/ | 1/ | 1/ | X | 9/ | | X | | | X | |
| MARYLAND PSC | | | | | | | | | X | X | X | | X | |
| MASSACHUSETTS DPU | | | | X | | | X | | X | X | X | X | X | X25/ |
| MICHIGAN PSC | | | | X | | | | | X | X | | X | X | |
| MINNESOTA PSC | | | | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | X | X | X | X | X | |
| MISSOURI PSC | | | | | | | | | X | X | X | | X | |
| MONTANA PSC 81/ | | | | | | | | | | | | | | |
| NEBRASKA PSC | | | | | | | | | X | X | X | | X | |
| NEVADA PSC | X | | | | | X | X | | X | X | | 20/ | X | 26/ |
| NEW HAMPSHIRE PUC | X | X | X | X | X | X | X | Electric | X | X | X | | X | |
| NEW JERSEY BPU | X | X | X | X | X | X | X | No | X | X | X | X | X | X27/ |
| NEW MEXICO PSC | X | X | | | | X | X | 10/ | X | X | X | X | X | |
| NEW MEXICO SCC | | | | | | | | | X | X | X | X | X | |
| NEW YORK PSC | 2/ | 2/ | 2/ | 2/7/ | 2/ | 2/7/ | 2/7/ | 2/ | X | X | X | X | X | X28/ |
| NORTH CAROLINA UC | | | | | | X | X | Yes | X | X | | | X | |
| NORTH DAKOTA PSC | | | | | | X | | | X | X | X | X | X | X29/ |
| NOVA SCOTIA PUB 90/ | | | | | | | | | | | | | | |
| OHIO PUC | | | | | | | | | | | | | | |
| OKLAHOMA CC | | | | | | | | | X | X | X | X | X | |
| ONTARIO EB | | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | X | X | | | X | |
| OREGON PUC | | | | | | | | | | | | | | |
| PENNSYLVANIA PUC | | | | X | | X | X | 12/ | X | X | X | X | X | 30/ |
| PUERTO RICO PSC | | | | | | | | | X | X | X | | X | |
| QUEBEC EGB | | | | | | | X | | X | X | | X | X | |
| QUEBEC PSB | X | X | X | X | X | X | X | | X | X | 11/ | | X | |
| RHODE ISLAND PUC | | | | | | | X | No | | X | | | | |
| SOUTH CAROLINA PSC | X | X | X | X | X | X | X | Yes | X | X | | | X | |
| SOUTH DAKOTA PUC 14/ | | | | | | | | | X | X | X | | X | |
| TENNESSEE PSC | | | | | | | | | X | X | X | | X | |
| TEXAS PUC | X | X | X | X | X | X | X | No | X | X | X | | X | |
| TEXAS RC | | | | | | | | | X | X | | | X | X |
| UTAH PSC | 3/ | 3/ | 3/ | 3/ | 3/ | 3/ | 3/ | 3/ | X | X | X | | X | |
| VERMONT PSB | | | X | | | X | X | No | X | X | X | | X | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | |
| VIRGINIA SCC | | | X | X | | X | X | | X | X | | | X | |
| WASHINGTON UTC | 4/ | 4/ | 4/ | 4/ | 4/ | | | | X16/ | X | | | X | |
| WEST VIRGINIA PSC | | | | | | | | | X | X | | | X | |
| WISCONSIN PSC | X5/ | X5/ | 5/ | X5/ | | X | X | 18/ | X | X | X | X | X | X18/ |
| WYOMING PSC | 6/ | 6/ | 85/ | X6/ | | | X | 13/ 83/ | X | X | | | X | |

Table 45 - CERTIFICATES, LICENSES AND PERMITS (Continued) - Part II

| AGENCY | The Agency has authority to - | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Set legal standards or criteria for certification to include - | | Publish such standards or criteria for certification in - | | | | Require authorization of hydro-electric developments or require authorization for the diversion or use of water | Require the installation of - | | | | Set the criteria for the commencement or completion of the installation of underground lines | When positive, the dates or time limitation for - | | | |
| | | | | | | | | Underground transmission lines for - | | Underground distribution lines for - | | | Underground transmission lines - | | Underground distribution lines - | |
| | Procedures for formation of regional certifying bodies | Procedures for consideration of multi-state impacts in certification proceedings | Statute | Agency Regulation | Judicial decision | Other | | Future facilities | Relocation of existing facilities | Future facilities | Relocation of existing facilities | | Future | Existing | Future | Existing |
| FCC | | X | | X | X | | | X | X | X | X | | | | | |
| FERC | | | | | | | X | | | | | | | | | |
| ALABAMA PSC | | X | X | X | X | | X | X | X | X | X | X | | | | |
| ALASKA PC | | X | X | X | X | | | X | X | X | X | | | | | |
| ALASKA PUC | | X | | X | | | X | X | X | X | X | X | | | | |
| ALBERTA PMC | | | | | | | | | | | | | | | | |
| ALBERTA PUB 88/ | | | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | X | | | | | | |
| ARKANSAS PSC | | X | X | X | X | X37/ | X | | | X | | X46/ | 46/ | | 46/ | |
| CALIFORNIA PUC | | | | X35/ | | | X | X | X | X | X | X | 49/ | 49/ | 51/ | 49/ |
| COLORADO PUC | | X | | X | | | | X | X | X | X | X | | | | |
| CONNECTICUT PUC | | | | 89/ | | | 89/ | 89/ | | 89/ | | | | | | |
| DELAWARE PSC | | | | X | | | | X | X | X | X | | | | | |
| D.C. PSC | | | | | | | | | | | | | | | | |
| FLORIDA PSC | | | | | | | | X | X | X | X | X | None | None | None | None |
| GEORGIA PSC | | | | | | | | | | | | | | | | |
| GUAM PUC | | | | X | | | | | | | | | None | None | None | None |
| HAWAII PUC | | | | | | | | | | | | | | | | |
| IDAHO PUC | | X | | X | | X37/ | X | X | X | X | X | X | None | None | None | None |
| ILLINOIS CC | 31/ | 31/ | X32/ | X32/ | X32/ | | X | X | X | X | X | X | None | None | 52/ | None |
| INDIANA PSC | | | | | | | | | | | | | | | | |
| IOWA SCC | | | | X38/ | X38/ | | | 44/ | 44/ | 44/ | 44/ | 44/ | | | | |
| KANSAS SCC | X | X | | X39/ | | | | | | | | | | | | |
| KENTUCKY ERC & URC | | | | X | | | | X | | X | | | | | | |
| LOUISIANA PSC | | | X33/ | X33/ | | | | | | | | | | | | |
| MAINE PUC | | | | X40/ | | X | X | X45/ | X45/ | X45/ | X45/ | 45/ | | | | |
| MARYLAND PSC | | | | | | | | X | | X | | X | | | | |
| MASSACHUSETTS DPU | | | | | | | | X | X | X | X | 47/ | | | | |
| MICHIGAN PSC | | | | | | | | X | X | X | X | X | None | None | X53/ | None |
| MINNESOTA PSC | | | | | | | | | | | | | | | | |
| MISSISSIPPI PSC | | | X | X | X | | | | | | | | | | | |
| MISSOURI PSC | | | | X | | 43/ | | X | X | X | X | X | 43/ | 43/ | 43/ | 43/ |
| MONTANA PSC 81/ | | | | | | | | | | | | | | | | |
| NEBRASKA PSC | | | | X | | | | | | | | | | | | |
| NEVADA PSC | | | X | X | | | X | X | X | X | X | X | | | | |
| NEW HAMPSHIRE PUC | | X | | X | | | X | | | | | | | | | |
| NEW JERSEY BPU | | X | X | X | | | | X | X | X | X | X | X | X | X | X |
| NEW MEXICO PSC | | | | X | | | | X | X | X | X | 48/ | | | | |
| NEW MEXICO SCC | | | | X | | | | | | | | | | | | |
| NEW YORK PSC | | X | | X | | | 2/ | X | X | X | X | X | 50/ | 50/ | 50/ | 50/ |
| NORTH CAROLINA UC | | X | | X | X | | | X | X | X | X | X | None | None | None | None |
| NORTH DAKOTA PSC | | | | X41/ | | | | X | X | X | X | | | | | |
| NOVA SCOTIA PUB | | | | | | | | | | | | | | | | |
| OHIO PUC | | | | | | | | | | | | | | | | |
| OKLAHOMA CC | | | | X | | | | | | | | | | | | |
| ONTARIO EB | | | | | | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | | | | | | |
| OREGON PUC | | | | | | | | X | | X | X | X | None | None | None | None |
| PENNSYLVANIA PUC | | | | | | | | X | | X | X | | | | | |
| PUERTO RICO PSC | X | | | X | | | | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | | | | X | None | None | None | None |
| QUEBEC PSB | | | | | X | | | | | 73/ | 73/ | X | None | None | None | None |
| RHODE ISLAND PUC | | | | | | | X | X | X | X | X | X | | | | |
| SOUTH CAROLINA PSC | X | X | X | X | | | | X | X | X | X | X | None | None | None | None |
| SOUTH DAKOTA PUC 14/ | | | | X | | | | | | | | | | | | |
| TENNESSEE PSC | | | | X | | | | | | | | | None | None | None | None |
| TEXAS PUC | | | | X | | X | | X | X | X | X | X | None | None | None | None |
| TEXAS RC | | | | | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | X | X | X | X | | | | | |
| VERMONT PSB | | | X34/ | | | | X | X | X | X | X | | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | | | |
| VIRGINIA SCC | | | X | X | | | X | X | X | X | X | | | | | |
| WASHINGTON UTC | | | | X | | | | | | | | | None | None | None | None |
| WEST VIRGINIA PSC | | | X | | | | | | | | | | | | | |
| WISCONSIN PSC | | | | | | | | X | X | X | X | X | | | | |
| WYOMING PSC | | X | | X42/ | | | X21/ | X | X | X | X | X | None | None | None | None |

Table 43 - CERTIFICATES, LICENSES AND PERMITS (Continued) - Part II

| AGENCY | Foregoing authority (ies) rests with other agencies (See table 43a) | Interstate compacts affecting hydroelectric development (See Table 14) | The agency which has authority to grant eminent domain | The agency which grants damages if different from agency making the initial determination | Certificates of convenience and necessity contingent upon a favorable recommendation from any environmental agency | The Agency requires a certificate of compliance with the new environmental | | |
|---|---|---|---|---|---|---|---|---|
| | | | | | | Federal Standards | State Standards | Federal and State Standards |
| FCC | | | | | | X87/ | | |
| FERC | | | | | | | | |
| ALABAMA PSC | | | Courts | Courts | | | | |
| ALASKA PUC | | | | Courts | | | | |
| ALBERTA PMC | X | | | | | | | |
| ALBERTA PUB 88/ | X | | | | | | | |
| ARIZONA CC | X | X | Judicial | Judicial | | | | |
| ARKANSAS PSC | X | | Courts | Courts | X68/ | X | X | X |
| CALIFORNIA PUC | X | X | None | None | 69/ | X77/ | X77/ | X77/ |
| COLORADO PUC | X | X | Statute | Courts | X | | | |
| CONNECTICUT PUC | X | X | | | | | | |
| DELAWARE PSC | | | | | | | | |
| D.C. PSC | X | | Congress | | | | | |
| FLORIDA PSC | X | | Legislature | Courts | | | | |
| GEORGIA PSC | X | | Statute | Courts | | | | |
| GUAM PUC | | | Courts | Courts | | | | |
| HAWAII PUC | | | Courts | Courts | | | | |
| IDAHO PUC | | | Statute | Courts | 72/ | | | |
| ILLINOIS CC | | | 54/ | Courts | 70/ | | | |
| INDIANA PSC | | | Statute | Courts | | | | |
| IOWA SCC | X | X | 55/ | Courts | 71/ | | | |
| KANSAS SCC | X | X | | | | | | |
| KENTUCKY ERC & URC | | | ERC & URC | Courts | X | X | X | X |
| LOUISIANA PSC | | | Courts | Courts | | | | |
| MAINE PUC | X | | 56/ | 65/ | X | X | X | X |
| MARYLAND PSC | | X | Legislature | Courts | | | | |
| MASSACHUSETTS DPU | X | | 57/ | Courts | 72/ | 72/ | 72/ | 72/ |
| MICHIGAN PSC | X | | Courts | | | | | |
| MINNESOTA PSC | X | X | Courts | | | | | |
| MISSISSIPPI PSC | | | Statute | Courts | X74/ | X | X | X |
| MISSOURI PSC | X | | 54/ | Courts | X | 78/ | 78/ | 78/ |
| MONTANA PSC 81/ | | X | | | | | | |
| NEBRASKA PSC | | X | 58/ | 66/ | | | | |
| NEVADA PSC | | X | 59/ | Courts | X75/ | | X | |
| NEW HAMPSHIRE PUC | | | P.U.C. | | X76/ | X | X | X |
| NEW JERSEY BPU | X | X | 60/ | Courts | | | | |
| NEW MEXICO PSC | X | X | Courts | | | | 79/ | |
| NEW MEXICO SCC | X | | Courts | | | | | |
| NEW YORK PSC | X | X | Courts | | | | | |
| NORTH CAROLINA UC | X | | Courts | Courts | X | X | X | X |
| NORTH DAKOTA PSC | X | X | Statute | Courts | | | | |
| OHIO PUC | X | | Courts | Courts | | | | |
| OKLAHOMA CC | X | X | Statute | Courts | | | | |
| ONTARIO EB | | | | | | | | |
| ONTARIO TSC | | | | | | | | |
| OREGON PUC | X | X | Courts | Courts | | | | |
| PENNSYLVANIA PUC | X | X | 61/ | 61/ | | | | |
| PUERTO RICO PSC | X | | | | X | | | X |
| QUEBEC EGB | X | | | | | | X | |
| QUEBEC PSB | X | | 82/ | | | | | |
| RHODE ISLAND PUC | | | Legislature | | | X | | |
| SOUTH CAROLINA PSC | | | Statute | Courts | X | X | X | X |
| SOUTH DAKOTA PUC 14/ | | X | Statute | Courts | | | | X |
| TENNESSEE PSC | | | Courts | Courts | | | | |
| TEXAS PUC | | | Courts | Courts | | | | |
| TEXAS RC | | X | Courts | | | | | |
| UTAH PSC | | X | Courts | Courts | | | | |
| VERMONT PSB | | | 62/ | | | | | |
| VIRGIN ISLANDS PSC | | | Courts | | | | | |
| VIRGINIA SCC | | X | | | | | | |
| WASHINGTON UTC | | X | 63/ | Courts | | 4/ | 4/ | 4/ |
| WEST VIRGINIA PSC | X | | Courts | Courts | | | | |
| WISCONSIN PSC | X | | 64/ | 67/ | X | X | X | |
| WYOMING PSC | | X | Courts | Courts | 6/ | X | X | X |

## Footnotes - Table 43 - CERTIFICATES, LICENSES AND PERMITS - Part II

1/ The Public Utilities Commission may have some of this authority pursuant to language in 35 M.R.S.A. 13-A.

2/ The certificates of public convenience and necessity heretofore issued by the Commission for the most part authorize construction of electric, gas and telephone plants of all sorts, without time limit, within specified municipalities. Therefore, the utility needs no additional certificate, other than for a transmission line under Public Service Law, Article 7, and a major steam electric generating facility under Public Service Law, Article 8, to construct additional plant within its previously certified area. A certificate is required, however, before a utility may construct plant of any sort outside its previously certificated area. In 1972 the State's Public Service Law was amended to establish within the Department of Public Service a Board on Electric Generation Siting and the Environment, intended to have one-stop siting jurisdiction. The Chairman of the Public Service Commission acts as Chairman of the Board. The other members are the Commissioners of Environmental Conservation, Health and Commerce, and an ad hoc member appointed by the Governor, who shall be a resident of the judicial district in which the facility as primarily proposed is to be located. The New York State Legislature amended Article VIII of the Public Service Law in 1978. Pursuant to that amendment the Commissioner of the New York State Energy Office participates in new Article VIII cases (in lieu of the Commissioner of Health).

3/ There are no specific statutory provisions in relation to these items but the Commission probably has some authority under a general jurisdiction section of the statute.

4/ No case has yet arisen.

5/ The Wisconsin Environmental Policy Act and Power Plant Siting Law (electric only) require Commission findings with respect to environmental concerns. Commission's authority extends to issuing certificates of public convenience and necessity conditioned on actions to be taken by the applicant.

6/ Requires showing of compliance with Department of Environmental Quality, state engineer, and Industrial Siting Council requirements

7/ In addition to the certificates of public convenience and necessity required before a utility may construct plant in new territory, gas or electric companies, before constructing a transmission line of substantial size anywhere, must obtain from the Commission a certificate of environmental compatibility and public need and, before constructing a major steam electric generating facility, must obtain a similar certificate from the Board on Electric Generating Siting and the Environment.

8/ File 10-year plan annually, 20-year plan biennially.

9/ Currently there is no such requirement, however, general purpose statutory language probably gives PUC authority to require same.

10/ Environmental authority only for plants 300 megawatts and over, and transmission lines 230 kv and over. Plant siting limited to air and water pollution considerations.

11/ When the Board's decision might derogate from a zoning by-law, it shall call before it the municipality or any other person concerned to make representations before it.

12/ The Commission requires electric utilities to file capacity additions and load forecasts for 10-year period.

13/ Provided for in the Commission Environmental Protection Rules.

14/ Commission regulation of gas and electric utilities became effective July 1, 1975.

15/ Only telephone and motor carriers required to obtain CCN.

16/ Certificates of convenience and necessity are required of gas companies only.

17/ If hearing is requested.

18/ Long range electric utility plans are published every two years and subjected to a formal review and approval process.

19/ May be done, but not a requirement.

20/ Optional.

21/ Certificate of public convenience and necessity. Licenses and permits obtained from other agencies.

22/ Notice required to be given public officials.

23/ Notice to State and Municipal agencies and groups deemed to have an interest.

24/ Certificates of public convenience and necessity issued only to Radio Common Carriers. All other utilities are accepted as found.

25/ Right of appeal to the Supreme Judicial Court.

26/ Court appeal.

27/ (a) Taking of exceptions to report after hearing. (b) The key word here is authority. This Commission has the authority to do almost anything it wants in order to make utilities provide safe, adequate and proper service.

28/ The commission has comprehensive authority to conduct its hearings in such fashion as will best facilitate the gathering of information and the airing of opposing views, as for example, in the choice of the location of the hearing.

29/ Proceedings held in areas other than State Capitol.

30/ Not applicable, although such participation is permitted in other areas where jurisdiction is exercised.

31/ A written procedure has not been adopted, but one could be developed should the need arise.

32/ Illinois Public Utilities Act, Section 8.

33/ For Radio Common Carriers only. Other certification authority may be implied by constitutional grant.

34/ Title 30, V.S.A. Section 248.

35/ General Order No. 131.A.

36/ Electric only: Commission evalutates need as part of certification procedures for new steam and solar generating plants only. The final authority for certification on new plants rests with the Cabinet after hearings held by the Department of Environmental Regulation.

## Footnotes - Table 43 - CERTIFICATES, LICENSES AND PERMITS - Part II

37/ Commission Rules of Practice and Procedure.

38/ Iowa Departmental Rules.

39/ State Statute.

40/ 35 M.R.S.A. 13-A.

41/ 28-32-03, N.D.C.C.

42/ Regulations filed with Wyoming Secretary of State.

43/ Case-by-case determination.

44/ Commission has started hearings on these matters.

45/ There is no specific authority in the Maine Statutes, however, persuasive arguments can be advanced to support authority in general purpose language of the Statute.

46/ In August 1969, the Commission ordered all future distribution lines serving new residential developments, to be planned underground. In June 1971, the legislature suspended the order's effect for a period of two years.

47/ Has authority but rarely exercised.

48/ Authority not exercised.

49/ Not specified.

50/ Commission's Cases 25352 (Electric Companies) and 25396 (Telephone and Telegraph Companies) determine whether and to what extent existing and future transmission lines and existing distribution lines should be placed underground. Following hearings in those cases, rules have been adopted requiring that most electric, telephone and telegraph distribution lines constructed after June 28, 1972, be placed underground in residential areas.

51/ Mandatory requirement for extensions to new residential subdivisions and commercial and industrial developments. Deviations from mandatory requirements may be applied for.

52/ The four largest utilities presently place all new distribution lines underground free of extra cost in subdivisions of seven or more houses.

53/ Required after January 1, 1971, for new residential subdivisions and commercial services.

54/ After approval of this commission, a local court.

55/ This Commission and the Iowa Executive Council.

56/ Public Utilities Commission - Eminent Domain authority for transmission lines only. 35 M.R.S.A. 2306.

57/ Department of Public Utilities.

58/ Roads Department; University of Nebraska; Legislature.

59/ Certain public utilities have the right to obtain a judgment of condemnation in the courts.

60/ Board of Public Utilities.

61/ Court of Common Pleas - after a certificate of necessity has been issued by the regulatory commission, initial condemnation action is taken by the individual utilities. If any disputes arise between the parties, the action is then taken before the Court of Common Pleas.

62/ Public Service Board.

63/ Legislature and courts.

64/ Direct grant by Statute (Section 32.02 Wisconsin Statutes). PSCW in case of oil pipelines Section 32.02(13).

65/ County Commissioners.

66/ Legislative and courts.

67/ Condemnation Commissioners subject to appeal in court.

68/ State Department of Environmental Control and Ecology and State Health Department for Water Certification cases.

69/ Environmental agencies have concurrent jurisdiction with Public Utilities Commission.

70/ Certificates are not required, but evidence of compliance is sought in certificate proceedings.

71/ Not by law other than public interest.

72/ Such standards probably would be considered if brought to attention and certificate would most likely impose conditions.

73/ Such authority is vested in municipalities governed by the Cities and Towns Act. Power has been given to some of them to construct and manage an underground conduit system which most public utility undertakings must use when established. An appeal lies before the Board from all decisions in any matter connected with such conduit undertaking.

74/ Air and Water Pollution Control Commission.

75/ State Commission of Environmental Protection.

76/ Site Evaluation Committee (electric only).

77/ Evidence required in commission proceedings on these matters (not necessarily a certificate of compliance).

78/ Not at present does it require by statute or policy the presentation of such a certificate.

79/ Water and air pollution standards only for plants of 300 megawatts and over.

80/ Hearing on certification is mandatory, but whether hearing is public is discretionary.

81/ Certificates, Permits and Licenses -- none in Montana.

82/ Telephone companies are granted by statute the authority to install telephone poles in case of disagreement as to indemnity with the owner of land. In the other cases it is up to the Legislature to grant eminent domain. The Gas, Water and Electricity Companies Act (Ch. 285, p.77) grants such companies the right to institute expropriation proceedings before the Expropriation Tribunal (Ch. 38, Q.S. 1973).

83/ Siting of industrial units, including generation, under Industrial Siting Council.

84/ Notice to landowners required.

85/ Requires showing of compliance with State engineer requirements.

86/ The FERC has no authority to determine water rights per se, but can determine usage of water in the licensed project.

87/ Environmental impact statements must accompany applications for authority to construct major communications facilities, as defined in Part 1. 1305 of the FCC rules, from which the processing staff can determine whether grant would have significant effect on quality of human environment.

88/ No authority to certificate.

89/ Participates through membership on Power Facilities Evaluation Council which has authority indicated.

90/ Control exercised by Nova Scotia Department of the Environment.

Table 43a - FOREGOING AUTHORITIES, TABLE 43, WHICH REST WITH OTHER AGENCIES

| STATE | AGENCY | Sets legal standards or criteria for certification to include guidelines or standards for evaluating the effects of planned rights of way, sites and facilities on environmental values affecting - |
|---|---|---|
| ALBERTA | Alberta Energy Resources Conservation Board | Environmental considerations, public participation, construction, authorization. |
| ARIZONA | Attorney General as Chairman of Siting Committee | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls, relative environmental impacts of alternative sites, and the projected needs of the utilities.<br>In addition, the authority includes the setting of standards or criteria for procedures for the formation of regional certifying bodies and for consideration of multi-State impacts in certification proceedings. |
| ARKANSAS | State Department of Environmental Control and Ecology | Air pollution controls, water pollution controls and radiological controls. |
| CALIFORNIA | Air Pollution Control Districts<br>State Water Resources Control Board<br>State Department of Water Resources<br>State Energy Commission | Air pollution controls.<br>Water pollution controls.<br>Water rights and usage.<br>Energy development. |
| COLORADO | Several other State agencies involved in same problem | Under certain conditions a certificate is required prior to construction. |
| CONNECTICUT | Power Facilities Evaluation Council | Siting of new electric plants or power lines for environmental compatability and public need. |
| DISTRICT OF COLUMBIA | Congress of the United States or the D.C. Council or Commissioner | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls, relative environmental impacts of alternative sites, and the projected needs of the utilities. |
| FLORIDA | Department of Environmental Regulation | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls and the relative environmental impacts of alternative sites. |
| GEORGIA | Air Quality Control Division, Natural Resources Department<br>Water Quality Control Board, Natural Resources Department | Air pollution controls.<br>Water pollution controls. |
| GUAM PUC | Department of Public Works<br>Guam Environmental Protection Agency | Land use.<br>Pollution. |
| IDAHO | Cities, counties, county prosecuting attorneys<br>Environmental Protection, Division of Department of Health and Welfare<br>Water Resource Board<br>Local Improvement Districts | Water, water usage, sewage, garbage, air pollution. |
| IOWA | Natural Resources Council | This agency has authority to require authorization of hydroelectric developments and the diversion or use of water. |
| KANSAS | State Board of Health and/or Division of Water Resources | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls, relative environmental impacts of alternative sites and the projected needs of the utilities. |
| MAINE | Board of Environmental Protection | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls and relative environmental impacts of alternative sites. In addition, a favorable recommendation is required from this agency. |
| MASSACHUSETTS | State Department of Public Health<br>Water Resources Board and Department of Natural Resources | Air pollution controls, water pollution controls and radiological controls.<br>Water pollution controls and water rights and usage. |
| MICHIGAN | Six other agencies | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls and relative environmental impacts of alternative sites. |
| MINNESOTA | State Planning Board,<br>Minnesota Energy Agency,<br>Environmental Quality Board.<br>Pollution Control Agency.<br>Department of Natural Resources. | Siting of new electric plants or power lines.<br>Air and water pollution controls.<br>Environmental impact statements on various issues. |

Table 43a - FOREGOING AUTHORITIES, TABLE 43, WHICH REST WITH OTHER AGENCIES (Continued)

| STATE | AGENCY | Sets legal standards or criteria for certification to include guidelines or standards for evaluating the effects of planned rights of way, sites and facilities on environmental values affecting - |
|---|---|---|
| MISSISSIPPI | Air and Water Pollution Control Commission | Air and water pollution controls, water rights of way, use of land and radiological controls. |
| MISSOURI | Department of Natural Resources | Air pollution controls, water pollution controls, water rights and usage, use of land, radiological controls, relative environmental impacts of alternative sites and the projected needs of the utilities. |
| MONTANA | Department of Natural Resources and Conservation | Siting of new plants and lines. |
| NEW JERSEY | Department of Environmental Protection<br>Department of Energy | Use of land and environmental impact of planned rights-of-way, sites and facilities.<br>Siting of energy facilities. 1/ |
| NEW MEXICO | State Engineer | This agency has the authority to require authorizations of hydroelectric development and the diversion or use of water. |
| NEW YORK | Department of Environmental Conservation | Air pollution controls, water pollution controls and water rights and usage. (Construction and operating permits required.) |
| NORTH CAROLINA | Environmental Management (Natural and Economic Resources Dept.) | Air pollution controls, water pollution controls and water rights and usage. In addition, a favorable recommendation is required from this agency. |
| NORTH DAKOTA | State Health Department<br>State Water Commission | Air and water pollution controls, and water rights and usage.<br>Air pollution controls, water pollution controls and water rights and usage. In addition, this agency has authority to require authorizations of hydroelectric developments and the diversion of water or use of water. |
| NOVA SCOTIA | Department of the Environment and Environmental Control Council. | Environmental preservation and protection, regulation of related construction projects, and research. |
| OHIO | Environmental Protection Agency<br>Natural Resources<br>Power Siting Commission | Air, water, esthetic controls.<br>Land use.<br>Power generating stations, power and gas transmission line location. |
| OKLAHOMA | Water Resources Board<br>State Department of Health<br>Conservation Commission | |
| OREGON | Department of Environmental Quality<br>Energy Facility Siting Council | Pollution controls.<br>As relating to generating plants, gas and oil pipelines and oil refineries. |
| PENNSYLVANIA | Environmental Resources | Air and water pollution controls, water rights and usage, use of land and radiological controls. |
| PUERTO RICO | Environmental Quality Board<br>Department of Public Works | Air and water pollution controls. In addition, a favorable recommendation is required from this agency.<br>Use of land. |
| RHODE ISLAND | Coastal Resources Management Council<br>Health Department<br>Department of Natural Resources<br>State Planning Council | Compatibility with coastal zone plans.<br>Air and water pollution control.<br>Compatibility with wetlands regulations.<br>Compatibility with land use plans. |
| TENNESSEE | State Health Department | Air and water pollution controls. |
| UTAH | State Department of Health<br>State Water Pollution Control Board | Air pollution controls.<br>Water pollution controls. |
| VERMONT | Environmental Agency<br>Department of Health and Environmental Agency | Air pollution and water pollution.<br>Radiological. |
| VIRGIN ISLANDS | Virgin Islands Planning Board<br>Department of Public Works | Use of land. |
| WEST VIRGINIA | Air pollution Control Commission | Air pollution controls. |
| WISCONSIN | Department of Natural Resources<br>Department of Health and Social Services | Air and water pollution controls, water rights and usage, use of land, and relative environmental impacts of alternative sites.<br>Radiological monitoring. |

Table 43a - FOREGOING AUTHORITIES, TABLE 43 , WHICH REST WITH OTHER AGENCIES (Continued)

| STATE | AGENCY | Sets legal standards or criteria for certification to include guidelines or standards for evaluating the effects of planned rights of way, sites and facilities on environmental values affecting - |
|---|---|---|
| WYOMING | Department of Environmental Quality<br>State Engineer<br>State Health Department<br>Industrial Siting Council | Air pollution, water pollution, land use, water rights, use and potability, industrial siting. |

[1] The Board of Public Utilities is an autonomous body of D.O.E. Legislation is pending as to siting jurisdiction. D.O.E. or Board determination expected in 1980.

Table 44 - INTERSTATE COMPACTS AFFECTING HYDROELECTRIC DEVELOPMENTS

| Compacts | Congressional Consent to Compact | Description |
|---|---|---|
| Arkansas River | Act of May 31, 1949 (63 Stat. 145) | Compact between the States of Colorado and Kansas to apportion and determine utilization of the natural waters of the Arkansas River originating in Colorado. |
| Arkansas River Basin | Act of November 11, 1966 (80 Stat. 1909) | Compact between the States of Kansas and Oklahoma to apportion the waters of the Arkansas River Basin entering the Arkansas River generally between Witchita, Kansas, and Muskogee, Oklahoma. |
| Bear River | Act of March 17, 1958 (72 Stat. 38) | Compact among the States of Idaho, Utah, and Wyoming to provide for efficient use and distribution of the water resources of the Bear River. |
| Belle Fourche River | Act of February 26, 1944 (58 Stat. 94) | Compact between the States of South Dakota and Wyoming to provide for equitable division and the most efficient use of the waters of the Belle Fourche River and its tributaries originating in Wyoming. |
| Canadian River | Act of May 17, 1952 (66 Stat. 74) | Compact among the States of New Mexico, Texas and Oklahoma to apportion the waters of and to provide for the construction of additional works for the conservation of the Canadian River. |
| Colorado River | Boulder Canyon Project Act of December 21, 1928 (45 Stat. 1057, 1064) | Compact among the States of Arizona, California, Colorado, Nevada, New Mexico Utah, and Wyoming to provide for the apportionment of the waters of the Colorado River and its tributaries within the United States of America and to secure the expeditious agricultural and industrial development of the Colorado River Basin. |
| Costilla Creek | Act of December 12, 1963 (77 Stat. 350) | Compact between the States of Colorado and New Mexico to apportion and assure the most efficient utilization of the waters of Gostilla Creek. |
| Delaware River Basin | Act of September 27, 1961 (75 Stat. 688) | Compact among the States of Delaware, New Jersey, New York, the Commonwealth of Pennsylvania, and the United States of America to provide for the planning, conservation, utilization, development, management, and control of the water resources of the Delaware River Basin. |
| Kansas-Nebraska Big Blue River | Act of June 2, 1972 (PL 92-308) | Compact between the States of Kansas and Nebraska to apportion the waters of the Big Blue River Basin. |
| Klamath River Basin | Act of August 30, 1957 (71 Stat. 497) | Compact between the States of California and Oregon to provide for the distribution and use and to establish preferential rights and prescribed relationships between beneficial uses of water of the Klamath River Basin, including the closed basins included in the Klamath Basin upstream from the boundary between the States. |
| La Plata River | Act of January 29, 1925 (43 Stat. 796) | Compact between the States of Colorado and New Mexico to provide for the distribution of the waters of the La Plata River. |
| Pecos River | Act of June 9, 1949 (63 Stat. 159) | Compact between the States of New Mexico and Texas for the division and apportionment of the use of the waters of the Pecos River. |
| Red River of the North | Act of April 2, 1938 (52 Stat. 150) | Compact among the States of South Dakota, North Dakota, and Minnesota to control lake levels and streamflows of the Red River of the North Basin with the exception of the Otter Tail River Basin, and to control State, municipal, and industrial water developments of the Red River of the North Basin. |
| Republican River | Act of May 26, 1943 (57 Stat. 86) | Compact among the States of Colorado, Kansas, and Nebraska to provide for the most efficient use and to provide for equitable division of the waters of the Republican River Basin. |
| Rio Grande | Act of May 31, 1939 (53 Stat. 785) | Compact among the States of Colorado, New Mexico, and Texas to provide equitable apportionment of the waters of the Rio Grande above Fort Quitman, Texas. |
| Sabine River | Act of August 10, 1954 (68 Stat. 690) | Compact between the States of Texas and Louisiana to provide equitable apportionment of the waters of the Sabine River and its tributaries. |
| Snake River | Act of March 21, 1950 (64 Stat. 29) | Compact between the States of Idaho and Wyoming to provide for equitable division of the waters of the Snake River and tributaries in Wyoming and the Salt River and its tributaries. |
| South Platte River | Act of March 8, 1926 (44 Stat. 195) | Compact between the States of Colorado and Nebraska to apportion the waters of the South Platte River originating in Colorado. |
| Susquehanna River Basin | Act of December 24, 1970 (84 Stat. 1509) | Compact among the States of New York and Maryland, the Commonwealth of Pennsylvania, and the United States of America to provide for the planning, conservation, utilization, development, management, and control of the water resources of the Susquehanna River Basin. |
| Upper Colorado River Basin | Act of April 6, 1949 (63 Stat. 31) | Compact among the States of Arizona, Colorado, New Mexico, Utah, and Wyoming to provide for the division and apportionment of the use of the waters of the Colorado River System, the use of which was apportioned perpetuity to the Upper Basin by the Colorado River Compact. |
| Yellowstone River | Act of October 30, 1951 (64 Stat. 663) | Compact among the States of Montana, North Dakota, and Wyoming to apportion the waters of the Yellowstone River and its tributaries, other than waters within or waters which contribute to the flow of streams within the Yellowstone National Park. |

# SECTION M

## ENVIRONMENTAL DATA

Table 45 shows the regulatory agency which enforces environmental standards for utility operations. As can be seen in the table, this authority usually rests with a State agency other than the utility commission. At this time, only the Arkansas Public Service Commission has incorporated into their rules provisions limiting pollution emissions on behalf of utility companies.

Table 45 also indicates those agencies that have taken specific action to require compliance with clear air and water quality standards or other State agency having such authority.

Table 15 - ENVIRONMENTAL DATA

| AGENCY | The Agency has incorporated into its rules governing fuels used for electric generation the following provisions: | | | | Specific action taken to require company compliance with clear air and water quality standards established by Federal or state law or local ordinances - | |
|---|---|---|---|---|---|---|
| | Limited sulphur content of fuels | Limited ash content of fuels | Requiring stack gas effluent | Other State Agency which has this authority | | Other State Agency which has this authority |
| FCC | | | | | 6/ | |
| FERC | | | | | | Environmental Protection Agency. |
| ALABAMA PSC | | | | Air Pollution Commission | | Water Improvement Commission |
| ALASKA PUC | | | | Department of Environmental Conservation | | Department of Environmental Conservation |
| ALBERTA PUB | | | | Department of Environment | | Department of Environment |
| ARIZONA CC | | | | State Health Department | | State Health Department |
| ARKANSAS PSC | X | | | Dept. of Pollution Control and Ecology | | Department of Pollution Control and Ecology |
| CALIFORNIA PUC | | | | Air Resources Board | | Air Resources Board, Dept. of Health |
| COLORADO PUC | | | | 2/ | | 2/ |
| CONNECTICUT PUC | | | | Department of Environmental Protection | | Department of Health |
| DELAWARE PSC | | | | Department of Natural Resources | | Department of National Resources and Environmental Control |
| D. C. PSC | | | | | | Environmental Health Department of Human Resources |
| FLORIDA PSC | | | | Department of Environmental Regulations | | Department of Environmental Regulations |
| GEORGIA PSC | | | | | | 1/ |
| GUAM PUC | | | | Guam Environmental Protection Agency | | Guam Environmental Protection Agency |
| HAWAII PUC | | | | Department of Health | | Department of Health |
| IDAHO PUC | | | | Department of Health and Welfare | | Department of Health and Welfare |
| ILLINOIS CC | | | | Environmental Protection Agency | 8/ | |
| INDIANA PSC | | | | Air Pollution Control and Board of Health | | State Stream and Air Pollution Control Boards |
| IOWA SCC | | | | Department of Environmental Quality | | Department of Environmental Quality |
| KANSAS SCC | | | | | | Department of Health |
| KENTUCKY ERC & URC | | | | Department of Natural Resources | | Department of Natural Resources |
| LOUISIANA PSC | | | | Division of Health | | Dept. of Health and Wildlife & Fisheries Commission |
| MAINE PUC | | | | | | Board of Environmental Protection |
| MARYLAND PSC | | | | Air Quality Control Bureau | | Department of Natural Resources |
| MASSACHUSETTS DPU | | | | Department of Health | | Department of Health |
| MICHIGAN PSC | | | | Air Pollution Control Commission | | Pollution Control & Water Resources Commission |
| MINNESOTA PSC | | | | Pollution Control Agency | | |
| MISSISSIPPI PSC | | | | Air and Water Pollution Control Commission | | Air and Water Pollution Control Commission |
| MISSOURI PSC | | | | Department of Natural Resources | | Department of Natural Resources |
| MONTANA PSC | | | | Board of Health, Fish and Game Commission | | Board of Health, Fish and Game Commission |
| NEBRASKA PSC | | | | Legislature | | Legislature |
| NEVADA PSC | | | | Commission of Environmental Protection | 9/ | |
| NEW HAMPSHIRE PUC | | | | Air Pollution Commission | | Water Supply and Pollution Control Commission |
| NEW JERSEY BPU | | | | State DEP | | State DEP |
| NEW MEXICO PSC | | | | Environmental Improvement Board | | Environmental Improvement Board |
| NEW YORK PSC | | | | Department of Environmental Conservation | 10/ | Department of Environmental Conservation |
| NORTH CAROLINA UC | | | | Dept. of Natural and Economic Resources | | Dept. of Natural and Economic Resources |
| NORTH DAKOTA PSC | | | | Health Department | | Health Department |
| NOVA SCOTIA PUB | | | | Department of Environment | | Department of Environment |
| OHIO PUC | | | | Environment (Air & Water Protection) Agency | | Environmental Protection Agency |
| OKLAHOMA CC | | | | | | State Health Department |
| OREGON PUC | | | | Department of Environmental Quality | | Department of Environmental Quality |
| PENNSYLVANIA PUC | | | | Department of Environmental Resources | | |
| PUERTO RICO PSC | | | | Puerto Rico Water Resources Authority | 11/ | |
| QUEBEC PSB | | | | | | |
| RHODE ISLAND PUC | | | | Health Department | | Health Department |
| SOUTH CAROLINA PSC | | | | Pollution Control Authority | | Pollution Control Authority |
| SOUTH DAKOTA PUC | | | | Water and Natural Resources | | Water and Natural Resources |
| TENNESSEE PSC | | | | 5/ | X12/ | 7/ |
| TEXAS PUC | | | | Air Pollution Control Board | | Water Pollution and Air Control Boards |
| UTAH PSC | | | | Bureau of Environmental Health | | |
| VERMONT PSB | | | | Agency of Environmental Conservation and Agency of Human Services | | Agency of Environmental Conservation and Agency of Human Services |
| VIRGIN ISLANDS PSC | | | | Federal Environmental Protection Agency | | Federal Environmental Protection Agency |
| VIRGINIA SCC | | | | | X4/ | |
| WASHINGTON UTC | | | | | X | Department of Ecology Pollution Control Hearing Board, Shoreline Management Hearing Board |
| WEST VIRGINIA PSC | | | | Air Pollution Control Commission | | Air Pollution Control Commission |
| WISCONSIN PSC | | | | Department of Natural Resources | | Department of Natural Resources |
| WYOMING PSC | | | | Department of Environmental Quality, | 3/ | Department of Environmental Quality |

1/ Air Quality Control Division, Natural Resources Department -- air pollution controls; Water Quality Control Board -- water pollution controls.

2/ Any one of several other state agencies depending on the circumstances.

3/ Promulgated environmental protection rules and required filing thereunder.

4/ The Commission accepts evidence from the State and Federal Agencies who have authority in these areas and incorporates their standards in Commission decisions.

5/ Most electric utilities in towns are under T.V.A. or municipal and we have no jurisdiction, nor do other state agencies.

6/ Notice of Proposed Rulemaking issued August 1, 1972 (36 FCC 2d 108).

7/ State Health Department checks municipal companies.

8/ [illegible] citation proceeding directed toward all electric and gas utilities.

9/ [illegible] legislation is required.

10/ Environmental control facilities and fuel parameters for new steam electric generating stations must be approved by a State Siting Board. Subsequent to approval, the Public Service Commission monitors, enforces and administers compliance with the terms and conditions set forth in the Board's decision. The facilities and fuel must be consistent with the requirements established by the Department of Environmental Conservation. The Commission encourages compliance with environmental requirements through informal meetings between staff and the utilities and through staff testimony regarding compliance with standards in rate cases and siting proceedings.

11/ Commission assures compliance by requiring clearing with Environmental Quality Board on any proposed action which needs commission's approval.

12/ Water quality standards are checked on companies under our jurisdiction. However, most water utilities are municipal companies.

# SECTION N

## SPECIAL PROVISIONS OF THE INCOME TAX LAWS

Table 46 shows the accounting and ratemaking treatment of the tax reductions attributable to various provisions of the income tax laws.

In deciding upon the treatment of tax reductions attributable to accelerated amortization, liberalized depreciation, guidelines depreciation, asset depreciation range, pollution control facilities, gain or loss on reacquired securities and the investment tax credit, agencies generally rule on the underlying question of whether these special provisions result in a tax saving or a tax deferral.

For accounting, either actual taxes to be paid for the period are included in the income statement (flow-through method), or the income statement includes the amount of tax to be paid for the period plus the tax saving from the use of the applicable special provision of the tax laws (deferral method).

For ratemaking, either "actual taxes" or "normalized" taxes are included in the utility's cost of service. The term actual taxes, is self-explanatory, only taxes actually to be paid for the period are included in the cost of service. Normalization, is the combination of (1) actual taxes to be paid for the period, and (2) a provision for deferred taxes due to a company's election of one of the special provisions of the tax laws.

Table 16 - SPECIAL PROVISIONS OF THE INCOME TAX LAWS

| AGENCY | Agency accounting and ratemaking treatment of the tax reductions attributable to the various provisions of the income tax laws is as follows - | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Ratemaking treatment - A = Actual 1/, N = Normalized 2/, E = Either, O = Other, U = Undecided | | | | | | | | | | | |
| | | Accelerated Amortization | | Interperiod Tax Allocation | | | | | | | | |
| | Investment tax credit | Certified defense facilities | Pollution Control facilities | Liberalized Depreciation | Guideline Depreciation | Asset depreciation range | Cost of removal | Repair Allowance | All other tax-to-book differences | Gain or loss on reacquired securities | Effective consolidated Federal Income tax | Accumulated deferrals are deducted in computing the rate base |
| FCC 3/ | E | 4/ | 4/ | E | E | E | E | E | 6/ | 4/ | | Yes |
| FERC | N 7/ | N | N | N | N | N | N | N | N | N | 21/ | Yes 8/ |
| ALABAMA PSC | E | | E | E | E | E | | | | E | E | |
| ALASKA PC 9 | | | | | | | | | | | | |
| ALASKA PUC 10/ | N | 48/ | 48/ | N 2/ | A 1/ | A 1/ | A 1/ | U 10/ | A 1/ | N | N | Yes |
| ALBERTA PMC | | | | | | | | | | | | |
| ALBERTA PUB 5/ | | | | | | | | | | | N/A | Yes |
| ARIZONA CC | E | E | E | E | E | E | E | E | E | E | E | Yes |
| ARKANSAS PSC | N | N | N | N | N | N | N | N | N | N | N | Yes |
| CALIFORNIA PUC | O 11/ | N | A 12 | A 13/ | A 13/ | A 13/ | A 13/ | A 13/ | O 13/ | A | A | Yes |
| COLORADO PUC | N | N | N | E | E | E | A | A | E | A | E | Yes 14/ |
| CONNECTICUT PUC | A | | N | A | A | A | | | | A | [illegible] | Yes |
| DELAWARE PSC | N | | E | N | N | E | | | | U | N | 15/ |
| D.C. PSC | N 16/ | U | N | N | N | N | A | N | A | A | A | Yes |
| FLORIDA PSC | N 17/ | N 17/ | N 17/ | N 17/ | N 17/ | N 17/ | N 17/ | N 17/ | N 17/ | N | N | No 25/ |
| GEORGIA PSC | N | | N | N | N | N | | | | A | N | Yes |
| GUAM PUC | U | U | U | U | U | U | U | U | U | U | U | |
| HAWAII PUC | N | | N | N | N | N | | | | 15/ | 15/ | Yes |
| IDAHO PUC | 18/ | 18 | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | Yes |
| ILLINOIS CC | N | N | N | N | E 53/ | N | N | N | A | A | N | Yes |
| INDIANA PSC | N | N | N | N | N | N | | | N | N | N | No 19/ |
| IOWA SCC | N | | N | N | N | N | E | E | A | A | 20/ | Yes |
| KANSAS SCC | N | | U | N | 15/ | U | | | | U | 15/ | No |
| KENTUCKY ERC & PSC | E | | U | E | E | U | E | E | E | U | 15/ | Yes |
| LOUISIANA PSC | N | N | N | N | N | N | A | A | A | N | N | Yes |
| MAINE PUC | N | N | | A | A | N | 22/ | N | E | 15/ | N | Yes 23/ |
| MARYLAND PSC | E | | 15/ | E | E | E | | | | 15/ | 15/ | Yes |
| MASSACHUSETTS DPU | N | | U | N | N | N | | | | U | 24/ | Yes |
| MICHIGAN PSC | N | N | N | N | A | N | A | A | E | A | A | Yes 34/ |
| MINNESOTA PSC | N | | U | U | U | U | A | A | N | U | N | Yes |
| MISSISSIPPI PSC | N | | N | N | N | N | | | | N | N | Yes |
| MISSOURI PSC | N | N 26/ | N 26/ | N 26/ | | N 26/ | A | N | A | A | A | Yes |
| MONTANA PSC | E | E | E | E | E | E | E | E | E | A | Use 48% | Yes |
| NEBRASKA PSC 3/ | N | | | | | | | | | A | 15/ | Yes |
| NEVADA PSC 28/ | U | U | U | U | U | U | U | U | U | U | U | Yes 29/ |
| NEW HAMPSHIRE PUC | E | | E | E | E | N | | | | 30/ | A | Yes 31/ |
| NEW JERSEY BPU | N | | 15/ | N | A | N | | | | A | A | Yes |
| NEW MEXICO PSC | 15/ | | 15/ | N | 15/ | 15/ | | | | 15/ | 15/ | Yes |
| NEW MEXICO SCC | | | | | | | | | | | | |
| NEW YORK PSC | 32/ | N | N | 32/ | 32/ | 32/ | N | N | 33/ | N | O | Yes 46/ |
| NORTH CAROLINA UC | N | N | N | N | N | N | N | N | N | U | A | Yes 54/ |
| NORTH DAKOTA PSC | N | N | N | N | N | N | N | N | N | N | N | Yes |
| OHIO PUC | N | N | N | N 35/ | A | N | A | A | A | E | E | Yes 50/ |
| OKLAHOMA CC | N | | | N | N | N | N | N | N | O | A | Yes |
| ONTARIO EB | | | | | | | | | | | | No |
| OREGON PUC | E | N | U | E | E | N | E | N | E | U | A | Yes36/ |
| PENNSYLVANIA PUC | N | N | N | N | N | N | A | A | E | O | A | Yes 23/ |
| PUERTO RICO PSC 37/ | | | | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | | | | No |
| RHODE ISLAND PUC | E | U | U | 52/ | 52/ | 52/ | E | E | | U | A | Yes |
| SOUTH CAROLINA PSC | N | | U | N | N | A | | | | U | A | Yes |
| SOUTH DAKOTA PUC | N | N | N | N | N | A | | | A | A | A | Yes |
| TENNESSEE PSC | E | U | U | E | E | E | E | E | A | U | A | Yes |
| TEXAS PUC | N | N | N | N | N | N | N | N | N | | N | Yes |
| TEXAS RC | N | | | N | | | | | | 4/ | E | Yes |
| UTAH PSC | N | | U | E | E | E | | | | A | E | 15/ |
| VERMONT PSB | E 22/ | | E | E | E | E | | | | E | E | Yes |
| VIRGIN ISLANDS PSC 38/ | A | A | A | A | A | A | A | A | A | 4/ | A | 4/ |
| VIRGINIA SCC | E | | E | E | E | E | | | | U | E | No |
| WASHINGTON UTC | E | U | U 4/ | E 39/ | E 39/ | E 39/ | U | U | E | U 4/ | U 4/ | Yes 39/ |
| WEST VIRGINIA PSC | E | | O | E | E | E | | | | A | A | Yes |
| WISCONSIN PSC | N | | N | N | N | N | N | N | A | A | A | Yes 46/ |
| WYOMING PSC | N | N | N | N | N | N | N | N | N | N | N | Yes |

Table 16 - SPECIAL PROVISIONS OF THE INCOME TAX LAWS (Continued)

| AGENCY | Agency accounting and ratemaking treatment of the tax reductions attributable to the various provisions of the income tax laws - | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Accounting treatment - FT = Flow through 42/, D = Deferral 43/, E = Either, O = Other, U = Undecided | | | | | | | | | | Practices indicated were established by a general rulemaking or on a case basis |
| | | Accelerated Amortization | | Interperiod Tax Allocation | | | | | | | |
| | Investment tax credit | Certified defense facilities | Pollution Control facilities | Liberalized Depreciation | Guideline Depreciation | Asset depreciation range | Cost of removal | Repair Allowance | All other tax-to-book differences | Gain or loss on reacquired securities | |
| FCC 3/ | E | 4/ | 4/ | E | E | E | E | E | 6/ | 4/ | Rulemaking 40/ |
| FERC | D 41/ | D | D | D | D | D | D | D | D | D 41/ | Rulemaking |
| ALABAMA PSC | E | | E | E | E | E | | | | | |
| ALASKA PC 9/ | | | | | | | | | | | |
| ALASKA PUC 10/ | D | | U | D | FT | U | | | | | Rulemaking |
| ALBERTA PMC 27/ | | | | | | | | | | | |
| ALBERTA PUB 5/ | | | | | | | | | | | Case basis |
| ARIZONA CC | E | E | E | E | E | E | E | E | E | E | Case basis |
| ARKANSAS PSC | D | D | D | D | D | D | D | D | D | D | Case basis |
| CALIFORNIA PUC | O 11/ | D | FT 12/ | FT 44/ | FT 44/ | FT 44/ | FT 44/ | FT 44/ | O 44/ | D | Case basis |
| COLORADO PUC | D | D | D | E | E | E | E | E | E | FT | Case basis |
| CONNECTICUT PUC | FT | | D | FT | FT | FT | | | | FT | Case basis |
| DELAWARE PSC | D | | E | D | D | E | | | | U | Case basis |
| D.C. PSC | D | D | D | D | D | D | FT | FT | FT | FT | Case basis |
| FLORIDA PSC | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | D 17/ | Both |
| GEORGIA PSC | D | | D | D | D | D | | | | FT | Both |
| GUAM PUC | U | U | U | U | U | U | U | U | U | U | |
| HAWAII PUC | D | | D | D | D | D | | | | 15/ | Case basis |
| IDAHO PUC | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | 18/ | Case basis |
| ILLINOIS CC | D | D | D | D | E 53/ | D | D | D | FT | FT | Case basis |
| INDIANA PSC | D | D | D | D | D | D | | | D | D | Both |
| IOWA SCC | D | | D | D | D | D | E | E | FT | D | Case basis |
| KANSAS SCC | D | | U | D | U | U | | | | U | Rulemaking |
| KENTUCKY ERC & URC | E | | U | E | E | U | E | E | E | U | Case basis |
| LOUISIANA PSC | D | D | D | D | D | D | FT | FT | FT | D | Both |
| MAINE PUC | D | | 15/ | D | 15/ | 15/ | | | | 15/ | Both |
| MARYLAND PSC | E | | 15/ | E | E | E | | | | 15/ | Rulemaking |
| MASSCHUSETTS DPU | D | | O | D | D | O | | | | O | Rulemaking |
| MICHIGAN PSC | D | D | D | D | FT | D | FT | FT | E | FT | Both 45/ |
| MINNESOTA PSC | D | | U | D | D | D | U | U | U | U | Case basis |
| MISSISSIPPI PSC | E | | U | E | E | E 47/ | | | | 48/ | Rulemaking |
| MISSOURI PSC | D 26/ | D 26/ | D 26/ | D 26/ | FT | D 26/ | FT | FT | FT | FT | Case basis |
| MONTANA PSC | E | E | E | E | E | E | E | E | E | A | Case basis |
| NEBRASKA PSC 3/ | D | | | | | | | | | FT | Case basis |
| NEVADA PSC | FT | 28/ | 28/ | E | 28/ | 28/ | 28/ | 28/ | 28/ | 28/ | Case basis |
| NEW HAMPSHIRE PUC | E | | E | E | E | E | | | | 30/ | Both |
| NEW JERSEY BPU | E | | E | E | E | E | | | | E | Case basis |
| NEW MEXICO PSC | D | | 15/ | D | 15/ | 15/ | | | | 15/ | Case basis |
| NEW MEXICO SCC | | | | | | | | | | | |
| NEW YORK PSC | 32/ | D | D | 32/ | 32/ | 32/ | D | D | 33/ | D | Rulemaking |
| NORTH CAROLINA UC | D | D | D | D | D | D | D | D | D | U | Both |
| NORTH DAKOTA PSC | D | D | D | D | D | D | D | D | D | D | Case basis |
| OHIO PUC | D | D | D | D 35/ | E | E | U | U | U | U | Case basis |
| OKLAHOMA CC | D | | | D | D | D | D | D | E | O | Case basis |
| ONTARIO EB | | | | E | | | | | | | Case basis |
| OREGON PUC | E | D | U | E | E | D | E | D | E | U | Case basis |
| PENNSYLVANIA PUC | D | D | D | D | D | D | FT | FT | E | O | Rulemaking |
| PUERTO RICO PSC 37/ | | | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | | | Case basis |
| RHODE ISLAND PUC | E | U | FT | D | D | D | D | D | | U | Case basis |
| SOUTH CAROLINA PSC | D | | U | D | D | D | | | | U | Case basis |
| SOUTH DAKOTA PUC | D | D | D | D | D | D | D | FT | FT | FT | Case basis |
| TENNESSEE PSC | E | | | E | E | E | E | E | FT | U | Rulemaking |
| TEXAS PUC | D | D | D | D | D | D | D | D | D | | Rulemaking |
| TEXAS RC | D | | | D | | | | | | 4/ | Case basis |
| UTAH PSC | D | | | E | E | E | | | | FT | Case basis |
| VERMONT PSB | E | | E | E | E | E | | | | E | Both 49/ |
| VIRGIN ISLANDS PSC 38/ | FT | FT | FT | FT | FT | FT | FT | FT | FT | 4/ | Case basis |
| VIRGINIA SCC | D | | U | D | D | D | | | | U | Case basis |
| WASHINGTON UTC | E | U | E | E | E | E | U | U | U | U | Both 51/ |
| WEST VIRGINIA PSC | FT | | O | FT | FT | FT | | | | O | Case basis |
| WISCONSIN PSC | D | | D | D | D | D | D | D | FT | FT | Rulemaking |
| WYOMING PSC | D | D | D | D | D | D | D | D | D | D | Case basis |

Footnotes - Table 46 - SPECIAL PROVISIONS OF THE INCOME TAX LAWS

1/ Actual tax - The cost of service includes for taxes only those taxes to be paid for the period.

2/ Normalized tax - The cost of service includes for taxes (1) taxes to be paid for the period and (2) taxes deferred to later periods. Also normalized tax is the amount of tax that would have been paid by the utility had it filed its tax return on an individual rather than on a consolidated basis.

3/ No commission regulation of electric or gas utilities.

4/ Question never arose.

5/ Currently under review.

6/ Commission authorization needed on an item by item basis.

7/ Investment tax credit is not considered in computing rates for gas utilities and is "normalized" for electric utilities. At present time "normalized" for gas utilities and "actual" for the electric utilities for Guidelines Depreciation and Asset Depreciation Range. Normalized rate treatment is generally applicable except when treated otherwise by a state commission having primary jurisdiction (Order Nos. 504, 530 A).

8/ Accelerated amortization and pollution control balances are included, with a zero cost of capital in return component. Amortized amount of gains or losses on reacquired debt is deducted from the actual charges for interest to determine the imbedded cost of debt.

9/ Policy on these matters under development.

10/ No case decided.

11/ Four utilities use rateable flow through for the four and six percent investment credit. Five utilities use rateable flow through for the additional six percent investment credit.

12/ May be treated same as accelerated amortization.

13/ Four utilities normalize.

14/ Treated as cost free funds in capitalization.

15/ Not reported.

16/ Except 4% investment tax credit on electric.

17/ The results of the FERC's Docket Nos. R-424 and R-446 coupled with the Florida Commission's Docket No. 72612-PU has changed the commission's policy from "partial" to "full" normalization for electric and gas utilities. Deferred tax accounting and ratemaking treatment prescribed by Order Nos. 2225, 2422, 3312, and 4489. Telephone company is still on partial normalization for some construction overheads.

18/ Commission requires consistent treatment of tax after election of method by each utility, based on latest Commission policy.

19/ Can be deducted from working capital which is included as part of rate base.

20/ Several companies operating in Iowa followed flow through accounting in past years. Iowa's position on consolidated effective tax rate is to reallocate back to the individual companies their proportionate tax.

21/ Statutory tax rates utilized.

22/ Case-by-case basis.

23/ Depends on what option the utility selected under 1971 Federal Income Tax Code.

24/ Practice to reallocate back to the individual companies their proportionate tax.

25/ Use zero cost capital approach.

26/ If a utility is flowing through the tax saving of the various tax saving devices, it may continue to do so.

27/ No regulation, however, the Commission has adopted flow-through method of income tax calculations.

28/ The Commission has not set any rules applicable to all utilities in the State in the treatment under new tax laws.

29/ Under old law only.

30/ Difference is entered in rates.

31/ Except for four percent investment tax credit.

32/ Flow-through state. Timely exercised IRS options respected in ratemaking and accounting. For flow-through companies investment credit is shared 50-50. Guideline depreciation on prior 1971 property is flowed-through. For property added after 1970, flow-through companies permitted to normalize difference in depreciation on a straight-line basis between book lives and minimum ADR lives.

33/ Generally flow-through. However, in certain major cases decided in 1979 utilities were allowed to defer the savings related to the interest component of the allowance for funds used during construction (AFC) on specific major generating facilities, because of restrictions on the amount of AFC which could be recognized for Bond Indenture purposes.

34/ Accumulated deferrals are used as cost free capital, except those related to the job development investment tax credit which is normalized and given either overall or full equity return.

35/ Certain companies flow-through for both accounting and ratemaking purposes under orders issued prior to the 1969 Tax Act Revision. Applications for deferral are generally accepted on new additions forward basis.

36/ Zero cost of capital is used more often.

37/ U.S. Revenue Act provisions not applicable to Puerto Rico.

38/ Electric facilities owned and operated by the Government and are regulated by the Commission only for rate increases. No natural gas service in the Virgin Islands other than "bottled gas" which is not regulated by the Commission.

39/ Flow-through state. Timely exercised IRS options respected in ratemaking.

40/ Practices required by the Internal Revenue Code.

41/ Deferral may be authorized for natural gas companies if requested by such companies. Electric utilities usually adopt the method allowed by their State Commissions for ratemaking purposes.

42/ Flow-through - Only actual taxes to be paid for the period are included in the income statement.

43/ Deferral - Actual taxes plus deferred taxes are included in the income statement.

44/ Allowed deferral in two telephone decisions but the decisions were remanded by the Supreme Court for review by the Commission.

45/ If there is a taxpayer election involved, we have a general rulemaking proceeding, but authorize accounting on a case-by-case basis.

46/ Except Option 2 (rateable flow-through) tax credits.

47/ Optional to utility but study is made for rate proceedings.

48/ No regulation.

Footnotes - Table 46 - SPECIAL PROVISIONS OF THE INCOME TAX LAWS (Continued)

49/ General rulemaking for electric and gas. Case basis for electric, gas and telephone.

50/ Reduction of rate base by the accumulated investment tax credit depends on the option elected by the utility under 1971 and/or 1975 Internal Revenue Codes.

51/ Investment credit first handled by general rulemaking proceedings. All others generally on case basis.

52/ For property added after 1970, flow-through companies permitted to normalize the difference between book and tax depreciation. The difference between book and tax guideline depreciation is flowed-through on pre-1971 property.

53/ If the difference between guideline depreciation and book depreciation is recognized in a rate proceeding, deferred accounting treatment and normalized rate treatment are prescribed; otherwise, flow through accounting and actual taxes are followed.

54/ Accumulated deferrals related to the job development investment tax credit are normalized and given either overall net of tax or full equity return.

## SECTION O

## MISCELLANEOUS MULTI-UTILITY TABLES

This Section contains data on agency regulation of multi-utilities not elsewhere contained in Part III.

Table 47 (a), (b) and (c) shows utility billing practices as required by the agencies.

Table 48 gives detailed information on State laws to prevent damage to underground utility plant.

Table 49 summarizes conservation activities of the State regulatory agencies.

Table 47(a) - UTILITY BILLING PRACTICES - Part I

| AGENCY | A1 | A2 | | A3 | A4 | | A5 | A6 | A7 | A8 Penalty | | A9 % late (c) | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Penalty Discount (type) (a) | Unif. Prac. for D+P Per. | Pen./ Disc. | Authority (b) | Lend Law | Up-held | No. Util. Using NG | D+P Period (days) | Late Pay Ok-No. | TO ALL | Class Excl. | Res. | Comm. |
| ALABAMA PSC | Yes, CC | Yes | Yes | CR,S | Yes | --- | 0 | 30 | No | --- | --- | --- | --- |
| ALASKA PUC | Yes, CC | No | No | CR | Yes | --- | --- | 30 | Yes | Yes | --- | U | U |
| ALBERTA PUB | Yes, NG | No | No | CR,S | No | --- | 1/ | 14-30 | 2/ | No | 3/ | U | U |
| ARIZONA CC | No----- | No | No | CR | No | --- | 0 | --- | --- | --- | --- | --- | --- |
| ARKANSAS PSC | Yes, NG | Yes | Yes | CR | No | --- | All | 25 CR | No | Yes | --- | U | U |
| CALIFORNIA PUC | No----- | Yes | No | T | N/A | N/A | 0 | 30 | 4/ | Yes | --- | U | U |
| COLORADO PUC | No----- | Yes | No | CR | No | --- | --- | --- | Yes | --- | --- | U | U |
| CONNECTICUT PUC | Yes, CC | No | No | CR | --- | --- | 0 | 28 | No | No | 5/ | U | U |
| DELAWARE PSC | No | No | No | --- | No | --- | 1 | 30 | Yes | --- | --- | U | U |
| D.C. PSC | Yes 6/ | Yes | Yes | T | No | --- | 0 | 20 | No | No | 7/ | U | U |
| FLORIDA PSC | Yes-NG | Yes | No | S,CR | No | --- | 4 | 10-15 | No | Yes | --- | U | U |
| GEORGIA PSC | No----- | No | No | --- | --- | --- | 0 | --- | --- | --- | --- | --- | --- |
| GUAM PUC | No----- | Yes | Yes | CR,S | No | --- | | 15 | No | | | U | U |
| HAWAII PUC | No | No | No | --- | --- | --- | 0 | --- | Yes | --- | --- | --- | --- |
| IDAHO PUC | No----- | Yes | No | CR | No | --- | 0 | --- | Yes | --- | --- | U | U |
| ILLINOIS CC | Yes 8/ | No<br>9/ | No | CR | No | --- | 36/ | 9/ | Yes, 2-4 | Yes | --- | U | U |
| INDIANA PSC | Yes 10/ | Yes | Yes | CR | No | --- | 33/ | 17 | Yes, 0-1 | No | 5/ | U | U |
| IOWA SCC | Yes-NG | Yes | Yes | CR | No | --- | 11/ | 15 | Yes | No | 12/ | U | U |
| KANSAS SCC | Yes-NG,CC | Yes<br>12/ | Yes<br>13/ | CR | No | --- | 14/ | 28-30 | No | Yes | --- | U | U |
| KENTUCKY ERC & URC | Yes-NG,CC | No | No | T | No | --- | --- | 10-30 | Yes | Yes | --- | U | U |
| LOUISIANA PSC | Yes 15/ | Yes | Yes | CR | No | --- | U | 20 | No | Yes | --- | U | U |
| MAINE PUC | Yes-CC | 17/ | No | S,CR | No | --- | 0 | --- | | --- | --- | U | U |
| MARYLAND PSC | Yes-NG | No | Yes | S,CR | No | --- | 15 | 20 | 18/ | Yes | --- | U | U |
| MASSACHUSETTS DPU | Yes 19/ | Yes | Yes | CR | --- | --- | 0 | 25 | Yes | No | 5/ | --- | --- |
| MICHIGAN PSC | No----- | Yes | Yes | CR,S | No | --- | 0 | --- | Yes | No | 5/ | --- | --- |
| MINNESOTA PSC | Yes-NG,CC | No | No | CR | No | --- | | 10-20 | Yes | No | 5/ | U | U |
| MISSISSIPPI PSC | No----- | Yes | Yes | CR | No | --- | 1 | --- | Yes | Yes | --- | U | U |
| MISSOURI PSC | Yes 16/ | Yes | --- | S | No | --- | 0 | 21 | Yes | Yes | --- | U | U |
| MONTANA PSC | No 21/ | No | No | CR | No | --- | 0 | 20-30 | Yes | --- | --- | U | U |
| NEBRASKA PSC | No----- | No | No | CR | No | --- | --- | --- | --- | --- | --- | --- | --- |
| NEVADA PSC | Yes 22/ | No | No | CR | Yes | --- | 0 | 30 | Yes<br>Varies | Yes | --- | U | U |
| NEW HAMPSHIRE PUC | Yes 22/ | No | No | T | --- | --- | 2 | 10 | Yes | No | 23/ | U | U |
| NEW JERSEY BPU | No----- | Yes | No | S,CR | No | --- | 0 | 10 | 24/ | --- | --- | --- | --- |
| NEW MEXICO PSC | No | No | No | S | Yes | --- | 0 | --- | Yes 1-2 | Yes | --- | U | U |
| NEW MEXICO SCC | No----- | No | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| NEW YORK PSC | Yes-LPR<br>CC 25/ | No | No | CR | No | --- | 26/ | 27/ | Yes<br>Varies | No | 28/ | U | Under<br>15% |
| NORTH CAROLINA UC | Yes 29/ | No | Yes | CR | No | --- | 0 | 25 | No | Yes | --- | U | U |
| NORTH DAKOTA PSC | Yes, CC | No | No | CR | No | --- | 0 | 10-15 | No | Yes | N/A | U | U |
| NOVA SCOTIA PUB | 20/ | --- | --- | S | No | --- | --- | 15-30 | No | Yes | --- | U | U |
| OHIO PUC | Yes-NG | No | No | T | No | --- | --- | 14-20 | No | Yes | --- | --- | --- |
| OKLAHOMA CC | Yes-NG | Yes | Yes | CR | No | --- | All | 30/ | No | No | --- | U | U |
| ONTARIO EB | Yes-NG | No | Yes | T | --- | --- | 3 | 10-12 | No | Yes | No | U | U |
| ONTARIO TSC | Yes, CC | No | No | CR | No | --- | 0 | 21 | Yes | Yes | --- | U | U |
| OREGON PUC | No----- | Yes | 31/ | CR | No | --- | 0 | 15 | Yes | Yes | --- | U | U |
| PENNSYLVANIA PUC | --- | --- | No | T,CR | No | --- | --- | --- | Yes | Yes | --- | 5 | 2-5 |
| QUEBEC BGB | Yes, NG | Yes | No | CR | No | --- | 34/ | 15 | No | No | 35/ | U | U |
| QUEBEC PSB | Yes-NG,CC | Yes | Yes | CR | --- | --- | 23 | 20 | No | Yes | --- | --- | --- |
| RHODE ISLAND PUC | Yes 37/ | Yes | Yes | CR | No | --- | --- | 30 | No | No | 5/ | U | U |
| SOUTH CAROLINA PSC | Yes----- | No | No | --- | --- | --- | 4 | 0 | No | Yes | --- | U | U |
| SOUTH DAKOTA PUC | Yes 38/ | No | No | CR | No | --- | --- | 30 | No | Yes | --- | --- | --- |
| TENNESSEE PSC | Yes-NG | No | No | CR | No | --- | 15 | 10-15 | No | Yes | --- | U | U |
| TEXAS PUC | Yes 22/ | Yes | Yes | CR | No | --- | 0 | 25 | No | No | 5/ | 0 | 5 |
| TEXAS RC | Yes-NG | Yes | Yes | CR | No | --- | --- | 10 | No | Yes | --- | U | U |
| UTAH PSC | Yes 39/ | No | Yes | CR,S | No | --- | 6 | 60 | Yes | Yes | --- | U | U |
| VERMONT PSB | No----- | No | No | N/A | No | --- | 0 | --- | --- | --- | --- | --- | --- |
| VIRGIN ISLANDS PSC | Yes-NG,CC | No | No | CR | No | --- | 1 | 15-25 | No | Yes | --- | 5 | 5 |
| VIRGINIA SCC | Yes 40/ | Yes | Yes | T,CR,S | No | --- | 0 | 28 | No | No | 23/ | U | U |
| WASHINGTON UTC | No----- | Yes | No | CR | No | --- | 0 | --- | Yes 2/ | --- | --- | 85 | 15 |
| WEST VIRGINIA PSC | Yes-NG,CC | Yes | Yes | CR | No | --- | 2 | 20 | Yes | No | 32/ | U | U |
| WISCONSIN PSC | Yes-NG | No | No | CR | No | --- | 41/ | 20 | No | Yes | --- | U | U |
| WYOMING PSC | Yes-NG | No | No | T | No | --- | 32 | 15 | No | Yes | --- | U | U |

NOTES: a. NG (net-gross), CC (collection charge), LPR (late payment rate)
b. CR (commission rules), S (statutes), T (tariffs)
c. U (unknown), N/A (not available)

SPECIAL NOTE: Complete questions can be obtained in appendix by number at head of each column.

## Footnotes - Table 17(a) - UTILITY BILLING PRACTICES - Part I

1/ All utilities except Alberta Government Telephone.
2/ At company's discretion.
3/ Industrial customers charged interest on overdue amounts.
4/ Procedure for eventual disconnection of service will begin unless utility or PUC informed of bill dispute. More than two late payments per year and deposit will not be returned.
5/ Residential.
6/ If bills are not paid within 20 days after rendition, a late payment charge will be added equal to one percent of the unpaid bill and at the end of each nominal 30-day billing interval thereafter an additional charge will be made equal to one and one-half percent of any total amount which remains unpaid at that time.
7/ Federal Government unlimited.
8/ Out of six major utilities in Illinois four use net-gross rates. The other two major utilities utilize the 1-1/2% per month of unpaid balance finahce charge. Water and sewer utilities use either net-gross rates, a collection charge or penalty charge as a part of the bill. Telephone companies do not utilize net-gross rates.
9/ The utilities must at least provide 21 days for residential customers and at least 10 days for commercial and industrial customers pursuant to minimums established by Commission General Order 172.
10/ Electric and gas--10% on first $3 and 3% on the excess of $3.
11/ All major electric and natural gas utilities.
12/ Some companies exclude municipal customers.
13/ Uniform billing practices for all electric and natural gas utilities.
14/ All gas, electric and telephone companies.
15/ Practices are not uniform but are limited by Commission Order to a 5% penalty levied not less than 20 days from date of billing.
16/ Percent of past due balance.
17/ Electric and water--yes; gas and telephone--no.
18/ Customers are allowed two late payments per year without incurring a penalty.
19/ None on residential; 1-1/2% on commercial after 25 days.
20/ Board sets rates for payment on due date, and rates 10% higher for payment after 30 days for power and water utilities; 1-1/2% interest for telephone utility.
21/ A few water companies use discounts to encourage prompt payment. The Commission has not eliminated these practices yet.
22/ Late penalty.
23/ Some utilities impose late charges on commercial or industrial only.
24/ New Jersey Administrative Code (NJAC 14) provides for other means of insuring payment.
25/ Rate and Late Payment Rate; Rate payable within specified period (10-20 days); Late Payment Charge--1-1/2% per month on arrears compounded, payable within 25 days; 05% per day-one gas company.
26/ The following utilities use Rate/Late Payment Rate: N.Y. State Electric & Gas Corp., Niagara Mohawk Power Corporation, Rochester Gas & Electric Corp., Pennsylvania Electric Company, C. of Jamestown, Corning Natural Gas Corp., Fillmore Gas Co., Inc., National Fuel Gas Distribution Corp., Pennsylvania & Southern Gas Co., Reserve Gas Co., Syracuse Gas Co., Inc., V. of Bath, V. of Woodhull. The following companies use 1-1/2% Late Payment Charge: Central Hudson Gas & Electric Corp., Consolidated Edison Co. of N.Y., Inc., Long Island Lighting Co., Orange & Rockland Utilities, Inc., The Brooklyn Union Gas Co.; .05% Late Payment Charge: St. Lawrence Gas Co., Inc.; 9% per annun: Southern Tier Gas Corp.
27/ Fifteen to twenty days for rate/late payment rate; 25 days for late payment charge.
28/ Residential (except Central Hudson Gas & Electric Corp.) not subject to 1-1/2% Late Payment Charge; generally primary, large industrial and street and area lighting customers are excluded from Late Payment Rate.
29/ One percent late payment charge.
30/ Five days if mailed and three days delivered.
31/ No penalty charges are allowed; compensation for average cost of disconnection is allowed.
32/ Churches are excluded.
33/ Rules and regulations provide that all utilities may use net-gross rates, however, many companies do not.
34/ All gas and electric utilities under the jurisdiction of the Electricity and Gas Board.
35/ The large-volume users are subject to interest of 1-1/2% per month on the outstanding balance after the due date.
36/ The following utilities use net-gross rates: Central Illinois Public Service Company, Illinois Power Company, Commonwealth Edison Company, and Iowa-Illinois Gas & Electric Company. The Central Illinois Light Company and Union Electric Company utilize 1-1/2% per month of unpaid balance finance charge.
37/ Some companies allow 1-1/4%.
38/ Various percent penalty if not paid within specified time.
39/ Eighteen percent of past due bill.
40/ Up to 1-1/2% per month on any charges. Each utility may impose a charge for late payment.
41/ Used by most water and electric utilities, the majority of which are municipally owned. Major gas and electric utilities are not uniform in use of this practice. Telephone utilities may not use late payment charges.

Table 47(b) - UTILITY BILLING PRACTICES - Part II

| AGENCY | A 10 | A11 Usual Billing Period (e) | | | | B1 | B2 Deposit | | B3 Deposit Returned | | | | B4 |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Studies | Elec. | Gas | Phone | Water | When Deposit Required | Earn Int. | % | Re-quest | Auto | When | Adopt NARUC | Authority (c) |
| ALABAMA PSC | No | M | M | M | M | application for service | Yes | 7 | Yes | No | after 12 months | No | CR |
| ALASKA PUC | No | M | M | M | Q | new customer | No | --- | --- | Yes | usually 2 years | No | CR |
| ABERTA PUB | No | M | M | M | M | varies | varies | | varies | | varies | No | T |
| ARIZONA CC | No | M | M | M | M | varies | Yes | 6 | No | Yes | termination 2/ | No | CR |
| ARKANSAS PSC | No | M | M | M | M | security deposit required | Yes | 6 | No | Yes | 1 year sat. payments | --- | CR |
| CALIFORNIA PUC | No | M | M | M | M | credit not established | Yes | 7 | No | Yes | 1 year or at disconnection | No | T |
| COLORADO PUC | No | M | M | M | M | PUC rules | Yes | 7 | No | Yes | credit established | Yes | CR |
| CONNECTICUT PUC | No | M | M | M | Q | credit not established | Yes | 5 | No | Yes | credit established | No | CR |
| DELAWARE PSC | No | M | M | M | M | credit not established | Yes | 6 | No | Yes | 1 year | No | CR |
| D.C. PSC | 8/ | M | M | M | N/A | 6/ | Yes | 6 | No | Yes | 7/ | No | T |
| FLORIDA PSC | Yes | M | M | M | M | credit not established | Yes | 6 | No | Yes | 1 year sat. payments | No | CR, T |
| GEORGIA PSC | No | M | M | M | --- | company discretion | Yes | 6 | No | Yes | 2 years sat. payments | No | CR |
| GUAM PUC | No | M | --- | M | M | varies | No | --- | Yes | Yes | termination | No | CR |
| HAWAII PUC | No | M,B | M | M | M | credit not established | Yes | 6 | No | Yes | credit established | No | CR |
| IDAHO PUC | No | M | M | M | B. | in accordance w/PUC rules | Yes | 10/ | No | Yes | 1 year sat. payments | No | CR |
| ILLINOIS CC | No | M | M | M | M,B | credit not established 11/ | Yes | 7 | No | Yes | 11/ | Yes | CR |
| INDIANA PSC | No | M | M | M | M | credit not established | Yes | 6 | No | Yes | 9 mos. sat. payments | No | CR |
| IOWA SCC | Yes13/ | M | M | M | M,Q | credit not established | Yes | 7 | Yes | Yes | 1 year, sat. payments | Yes | CR |
| KANSAS SCC | Yes14/ | M | M | M | M,B | 3 mos. of deliquency | Yes | 5-6 | No | 16/ | 16/ | No | 17/ |
| KENTUCKY ERC & URC | No | M | M | M | Q | credit not established | Yes | 6 | --- | Yes | 1 year sat. payments | No | S,CR |
| LOUISIANA PSC | 18/ | M | M | M | M | required by all utilities | Yes | 6 | No | Yes | credit est.,termination | No | S 19/, CR |
| MAINE PUC | No | M | M | M | Q | credit not established | Yes | 4-6 | --- | Yes | credit established | No | CR, T |
| MARYLAND PSC | Yes20/ | M,B | M,B | M | Q | credit not established | Yes | 6 | --- | Yes | credit established | --- | CR |
| MASSACHUSETTS DPU | No | M | M | M | Q | --- | Yes | 6 | --- | --- | --- | No | |
| MICHIGAN PSC | No | M | M | M | M,Q | 21/ | Yes | 6 | No | Yes | 9 months | No | CR |
| MINNESOTA PSC | Yes | M | M | M | 22/ | credit not established | Yes | 6 | No | Yes | credit established | No | CR |
| MISSISSIPPI PSC | No | M | M | M | M | new customer | Yes | 6 | Yes | --- | termination, 15/ | No | CR |
| MISSOURI PSC | No | M | M | M | M,Q | 15/ | Yes | 6 | No | Yes | 1 year satisfactory payments | No | S |
| MONTANA PSC | No | M | M | M | M,B,Q | credit not established | Yes | 6 | No | Yes | 1 year | No | S, CR |
| NEBRASKA PSC | No | --- | --- | M | --- | credit not established | Yes | 5 | No | Yes | 1 year | --- | CR |
| NEVADA PSC | No | M | M | M | M | when credit background indicates need | Yes | 23/ | No | Yes | 1 year, sat. payments | No | S |
| NEW HAMPSHIRE PUC | No | M | M | M | Q | PUC Rules | Yes | 8 | No | Yes | credit established, 12 month termination | No | CR |
| NEW JERSEY BPU | No | M | M | M | Q | 24/ | Yes | 9 | No | Yes | termination 36/ | --- | CR |
| NEW MEXICO PSC | No | M | M | --- | M | credit not established | Yes | 9 | | | varies 25/ | No | CR |
| NEW MEXICO SCC | No | --- | --- | M | | credit not established | Yes | 9 | No | Yes | 6 months sat. payment | No | T |
| NEW YORK PSC | No | M | M | M | Q | 3/ | Yes | 27/ | No | Yes | 28/ | No | S, CR |
| NORTH CAROLINA UC | Yes | M | M | M | M | 26/ | Yes | 29/ | No | Yes | 30/ | Yes | CR |
| NORTH DAKOTA PSC | No | M | M | M | --- | credit not established | Yes | 5-6 | No | Yes | 1 year satisfactory payments | No | CR |
| NOVA SCOTIA PUB | No | B | --- | B | Q | credit not established | Yes | 8 | --- | Yes | varies | No | T |
| OHIO PUC | No | M | M | M | 1-3M | credit not established | Yes | 6 | No | Yes | varies | No | T |
| OKLAHOMA CC | No | M | M | M | M | credit not established | Yes | 6 | No | Yes | credit established or final billing | No | CR |
| ONTARIO EB | No | --- | M | --- | --- | 1/ | Yes | 37/ | --- | --- | 38/ | --- | --- |
| ONTARIO TSC | No | --- | --- | M | --- | credit not established | Yes | Prime | No | Yes | --- | --- | CR |
| OREGON PUC | No | M | M | M | M | credit not established | Yes | 6 | No | Yes | 1 year | Yes | CR |
| PENNSYLVANIA PUC | No | M,B | M,B | M | Q,M | credit not established | Yes | 6 | No | Yes | 1 year | No | CR |
| QUEBEC EGB | No | M | M | | | 9/ | Yes | 39/ | No | Yes | 1 to 2 years | --- | CR |
| QUEBEC PSB | No | | | M | --- | credit not established 31/ | Yes | 8 | No | Yes | credit established | --- | CR |
| RHODE ISLAND PUC | No | M | M | M | M,Q32/ | credit not established | Yes | 4-6 | No | Yes | termination,credit est. | No | CR |
| SOUTH CAROLINA PSC | Yes | M | M | M | M | credit not established | Yes | 6 | No | Yes | credit established | No | S, CR |
| SOUTH DAKOTA PUC | No | M | M | M | | credit not established | Yes | 7 | No | Yes | credit est. - 1 year | No | CR |
| TENNESSEE PSC | No | M | M | M | M | credit not established | Yes | 6 | No | Yes | credit established | No | CR |
| TEXAS PUC | Yes | M | --- | M | M | credit not established | Yes | 6 | --- | Yes | after 1 year prompt payment | No | CR |
| TEXAS RC | No | --- | M | --- | --- | initial service | Yes | 6 | No | Yes | 4/ | No | CR |
| UTAH PSC | No | M | M | M | M | 1/ | Yes | 7 | --- | --- | termination, credit established (1-2 yrs.) | No | CR |
| VERMONT PSB | No | M,B,Q | M | M | 34/ | company discretion | Yes | 6 | No | Yes | credit est. 1 year | No | CR |
| VIRGIN ISLANDS PSC | Yes | M | --- | M | M | always | Yes | 5 | --- | Yes | 1 year | No | S, CR |
| VIRGINIA SCC | Yes | M | M | M | Q | 5/ | Yes | 33/ | No | Yes | credit est. - 1 year | No | CR, S |
| WASHINGTON UTC | No | B,M | M | M | B,M | 40/ | Yes | 8 | No | Yes | credit established | No | CR |
| WEST VIRGINIA PSC | No | M | M | M | M | new customer - credit not established | Yes | 8 | No | Yes | 1 year sat. payments | No | CR |
| WISCONSIN PSC | Yes | M | M | M | Q | 12/ | Yes | 12/ | Yes | Yes | 12/ | No | CR |
| WYOMING PSC | Yes | M | M | M | --- | 1/ | Yes | 6 | No | Yes | specified period | No | CR |

NOTES: c. CR (commission rules), S (statutes), T (tariffs)
d. M (monthly), B (bimonthly), Q (quarterly)

SPECIAL NOTE: Complete questions can be obtained in appendix by number at head of each column.

## Footnotes - Table 47(b) - UTILITY BILLING PRACTICES - Part II

1/ Credit not established or history of delinquent bills.

2/ After six months of prompt payments.

3/ Residential on basis of credit risk standards; existing customers -- if delinquent two or more times in recent 12-month period; new customers--if unable to provide three positive answers to six questions designed to establish credit worthiness.

4/ When customer has paid bill for 12 consecutive months without service being disconnected for nonpayment or more than two delinquent payments and current bill not delinquent.

5/ When credit not established or if disconnected for non-payment of bills. The amount of deposit cannot exceed the equivalent of the customer's estimated liability for two months usage.

6/ Gas residential--if had two delinquencies in 12-month period; gas commercial--credit not established; all electric--credit not established; telephone--a deposit or advance payment before installation of service.

7/ Gas--prompt payment for 12 months; electric--discontinuance of service.

8/ Gas company billing practices reviewed by staff; final Commission action pending.

9/ In exceptional cases specified in General Orders G-168 of June 9, 1977 for gas customers and General Order 929 for electricity customers under the jurisdiction of the Electricity and Gas Board.

10/ Six percent; or 7.5% if held over 24 months.

11/ General Order 172, (2nd Revised) Sec. 7, contains detailed information regarding deposits.

12/ Deposits for new residential service required if customer has outstanding debt with utility which occurred within past six months. Deposits bear interest at legal rate and are returned if not disconnected for 12-month period. For telephone companies deposit may be requested if any amount is owed to any Wisconsin telephone company.

13/ Evidence in Docket No. U-423 and U-503.

14/ Commission investigation regarding two formal complaints. See 222 Kan. 390.

15/ Credit established or good paying record for one year with some utilities.

16/ Deposits are returned to customer when satisfactory credit established, upon 12 months timely payments.

17/ General order of the Commission.

18/ Contact with utilities indicates that late payment charges have improved collections and prompt payments.

19/ Louisiana R.S. 45: 848.

20/ Case No. 6785, Order No. 61848.

21/ If new service, prior bill unpaid or tampering; existing service, termination for non-payment.

22/ Not regulated by the Commission.

23/ Average prime rate plus one percent.

24/ Credit not established or customer default in payments.

25/ Company policy must be approved by PSC.

26/ Credit not established, had service discontinued or if had two or more late payments in previous twelve billings.

27/ Ten percent--private utilities; seven percent--municipalities.

28/ Reviewed annually; refunded if customer is not a bad credit risk as defined by the Commission.

29/ Six percent per annum, beginning on 91st day deposit is held.

30/ Service discontinued; when bills paid for twelve consecutive payments without having service discontinued, or did not have more than two occasions in twelve consecutive billings in which a bill was not paid when it was due.

31/ A deposit can also be required when a subscriber's service has been re-established following disconnection for non-payment or when a subscriber's credit is not sufficiently established.

32/ Annually.

33/ Investor owned utilities pay 8%; non-profit utilities pay 6%.

34/ One month to one year.

35/ Telephone--delinquency on two of twelve consecutive billings; gas and electric--delinquency on five of twelve consecutive billings.

36/ Residential account review by company once a year; non-residential account review every two years.

37/ Three percentage points below the then current rate paid by the chartered banks on savings accounts.

38/ There will be an annual review of security deposits. If a deposit has been held for two years or more and if the customer has a satisfactory payment record, the deposit will be applied to the current gas bill at the time of the review.

39/ Prime rate at beginning of year less 1%.

40/ When credit requirements cannot be met on initial application or upon restoration of service following disconnect for non-payment; also may be requested for excess toll not paid in full upon demand.

Table 47(c) - UTILITY BILLING PRACTICES - Part III

| AGENCY | C1 | C2 | C3 | D1 | | | | D2 Bills Itemized | | | E | F |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Disc. Notice (days) | NG Disc. | Authority(c) | Method of Checking Bill | Back-up Info | Comm. Analysis | Billing Info | Service Charges | Recurring Charges | Special Charges | Conducted Analysis | Comments |
| ALABAMA PSC | 10 | --- | CR | Assistance of PSC or company | Yes | Yes | Yes | No | Yes | Yes | No | |
| ALASKA PUC | 10 | | CR | Assistance of company or through PUC's consumer protection | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| ALBERTA PUB | Varies | Yes | CR, T | Assistance of PUB or company | Yes | Yes | No | Yes | Yes | Yes | No | |
| ARIZONA CC | Varies | --- | CR, T | Assistance of ACC or company | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| ARKANSAS PSC | 5 | Yes | CR | Check rate tariffs | Yes | Yes | No | Yes | Yes | Yes | Yes | 43/ |
| CALIFORNIA PUC | 7-10 | --- | T | Reread meter, check with PUC or company | Yes | Yes | No | 47/ | 47/ | --- | Yes | 1/ |
| COLORADO PUC | 7 | No | CR | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | Yes | No | |
| CONNECTICUT PUC | 13 | --- | S, CR | Assistance of PUC or company | Yes | Yes | Some | No | No | No | No | |
| DELAWARE PSC | 2/ | --- | T | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| D.C. PSC | 15 | No | T | Assistance of PSC or company | Yes | No | No | Yes | Yes | Yes | Yes | 3/ |
| FLORIDA PSC | 5 | Yes | CR | Assistance of PSC or company | Yes | Yes | No | Yes | No | 4/ | Yes | |
| GEORGIA PSC | 5/ | --- | CR | Assistance of PSC or company | Yes | Yes | No | Yes | No | Yes | Yes | |
| GUAM PUC | 15 | | CR | Assistance of PUC or company | Yes | Yes | Yes | Yes | Yes | Some | No | |
| HAWAII PUC | 6/ | --- | CR | Assistance of PUC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| IDAHO PUC | 7 | --- | CR | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | Yes | No | |
| ILLINOIS CC | 7/ | Yes | CR 8/ | 9/ | Yes | Yes | No 10/<br>Yes, (water) | Yes | Yes | Yes 11/ | Yes | 12/ |
| INDIANA PSC | 13/ | Yes | CR | Assistance of PSC or company | Yes | Yes | No | Yes | Yes | Yes | Yes 14/ | |
| IOWA SCC | 12 | Yes | CR | Referee meter test or compute own bill, assistance of SCC | Yes | Yes | No | Yes | Yes | --- | No | 15/ |
| KANSAS SCC | 7 | Yes | 16/ | Assistance of KCC or company | Yes | Yes | No | Yes | Yes | --- | 17/ | |
| KENTUCKY ERC & URC | 48/ | Yes | CR | Assistance of ERC or URC or company | Yes | Yes | No | Yes | Yes | Yes | No | |
| LOUISIANA PSC | 5 18/ | 19/ | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes, tel. | Yes, tel. | --- | No | 21/ |
| MAINE PUC | 14 | --- | CR, T | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | Yes | Yes | |
| MARYLAND PSC | Varies | Yes | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | | |
| MASSACHUSETTS DPU | 18 | --- | CR | --- | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| MICHIGAN PSC | 10 | --- | CR | Assistance of PSC or company | Yes | Yes | Yes | No | Yes | Yes | Yes | |
| MINNESOTA PSC | 5 | Yes | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| MISSISSIPPI PSC | 5 | Yes | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | 22/ | Yes | |
| MISSOURI PSC | 5 | Yes | CR, S | Assistance of PSC or company | Yes | Yes | No | Yes | Yes | Yes | Yes | |
| MONTANA PSC | 3 24/ | Yes | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| NEBRASKA PSC | --- | Yes | CR | Assistance of PSC or company | Yes | Yes | No | Yes | Yes | Yes | No | |
| [illegible]VADA PSC | 5 | Yes | CR | Inquiry at utility or PSC | Yes | Yes | No | Yes | Yes | --- | No | |
| NEW HAMPSHIRE PUC | 12 | No | CR | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | --- | No | |
| NEW JERSEY BPU | 7 | --- | CR | Assistance of BPU or company | No | Yes | Yes | No | Yes | 25/ | Yes | |
| NEW MEXICO PSC | 15 | --- | CR | Assistance of company or PSC | Yes | Yes | No | Yes | Yes | Yes | | 27/ |
| NEW MEXICO SCC | 5 | --- | --- | Assistance of company and SCC | Yes | --- | Yes | Yes | No | --- | No | |
| NEW YORK PSC | 28/ | Yes 20/ | S, CR | Assistance of PSC or company 44/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 26/ |
| NORTH CAROLINA UC | 1+ | Yes | CR | Read meter or request assistance of company or NCUC | Yes | Yes | No | No | No | 29/ | Yes | |
| NORTH DAKOTA PSC | 7 | Yes | CR, T | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| NOVA SCOTIA PUB | 15-30 | --- | T | Assistance of PUB or company | | | | Yes | Yes | Yes | No | |
| OHIO PUC | 14 23/ | No | CR | Utilities and PUC have rate sheets available | Yes | Yes | Yes | Yes | Yes | 30/ | Yes | |
| OKLAHOMA CC | 10 | Yes | CR | Assistance of CC or company | Yes | Yes | No | Yes | Yes | Yes | Yes | |
| ONTARIO EB | 2 | Yes | --- | Assistance of EB or company | No | Yes | Yes | Yes | Yes | Yes | No | |
| ONTARIO TSC | Varies | --- | CR | --- | Yes | No | No | Yes | Yes | Yes | Yes | |
| OREGON PUC | 31/ | --- | CR | Assistance of PUC or company | Yes | Yes | 32/ | Yes | Yes | Some | Yes | 33/ |
| PENNSYLVANIA PUC | 5 | --- | T, CR | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | Yes | Yes 34/ | |
| QUEBEC EGB | | | 42/ | Assistance of EGB or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| QUEBEC PSB | 10 | Yes | CR | Assistance of PSB or company | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| RHODE ISLAND PUC | 10 | No | CR | Assistance of PUC or company | Yes | Yes | No | Yes | Yes | No | Yes | |
| SOUTH CAROLINA PSC | 5 | No | CR, T | Assistance of PSC or company | Yes | Yes | 35/ | No 36/ | No 36/ | Yes | No | |
| SOUTH DAKOTA PUC | 46/ | No | CR | Assistance of PUC or company | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| TENNESSEE PSC | Varies | Yes | CR | Assistance of PSC or company | Yes | Yes | No | No | No | --- | No | |
| TEXAS PUC | 5 | --- | CR | Assistance of company | Yes | --- | Yes | Yes | Yes | --- | No | |
| TEXAS RC | 5 | Yes | CR | Obtain rate schedule from RC or company | Yes | Yes | Yes | Yes | Yes | --- | No | |
| UTAH PSC | Varies | No | CR | Test meter, assistance of PSC or company | Yes | Yes | No | Yes | Yes | Yes | Yes | 37/ |
| VERMONT PSB | 14-20 | --- | CR | Assistance of company and PSB | Yes | Yes | No | Yes | Yes | Yes | Yes | |
| VIRGIN ISLANDS PSC | 10 | Yes | S, CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | No | |
| VIRGINIA SCC | 10 | | CR, S | Assistance of SCC or company | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| WASHINGTON UTC | 45/ | --- | CR | Assistance of UTC or company | Yes | Yes | No | Ye | Yes | Yes | Yes | 38/ |
| WEST VIRGINIA PSC | 2 | Yes | CR | Assistance of PSC or company | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 39/ |
| WISCONSIN PSC | 40/ | Yes | CR | Assistance of PSC or company 41/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 49/ |
| WYOMING PSC | 2-5 | Yes | CR | Assistance of PSC or company | Yes | Yes | No | Yes | Yes | Yes | No | |

NOTES: c. CR (commission rules), S (statutes), T (tariffs)

SPECIAL NOTE: Complete questions can be obtained in appendix by number at head of each column.

## Footnotes - Table 47(c) - UTILITY BILLING PRACTICES - Part III

1/ The Commission believes and has so ordered that customers be provided information so that they understand tariffs and calculation of bill. Project is in progress between staff and Pacific Gas and Electric Company to determine best method of billing. Likely outcome will be display of bill calculation, including unit price.

2/ Depends upon utility--State has Consumer Bill of Rights for utilities.

3/ Potomac Electric Power Company and Washington Gas Light Company reviews are completed.

4/ All except telephone equipment charges.

5/ Two billing periods.

6/ Five days exclusive of Sundays and holidays.

7/ Five days if delivered; eight days if mailed.

8/ General Order 172 - Revised.

9/ Utility must provide rate books and assistance in using them to any customer on demand. The customer may also contact the Commission or company for assistance. Some utilities periodically send sample calculation cards with sufficient data and information to calculate the bills.

10/ Not with each billing, but most electric and gas utilities follow the practice of providing an example of bill computations on a bill insert at least once in each year or when major rate changes take place.

11/ Electric--such charges as reconnection.

12/ This Commission revised its General Order 172 (In the Matter of Rules Governing the Establishment and Maintenance of Credit) after approximately two years of investigation and the holding of public hearings. This revision was effective January 6, 1979.

13/ Seven (7) days for gas, water and electric; five (5) days for telephone.

14/ Performed in conjunction with rule making. Copies of typical bill disconnect notices sent to Consumer Affairs Department for review.

15/ Future project is review of billing practices.

16/ General order of the Commission.

17/ Through a general investigation.

18/ Five days written notice except for telephone companies which are not subject to this policy.

19/ A customer's service can be disconnected for failure to pay the penalty.

20/ Net-gross rates designated as "rate-late payment rate."

21/ Tariffs constantly under review and policies revised as needed.

22/ Installation charges.

23/ At least 14 days; varies according to company and according to customer record.

24/ Excluding Saturday and Sunday.

25/ General description of telephone charges for equipment and servicing. Specific services not indicated as such.

26/ As a result of analyses, discount days were extended from 10 to 20 days; discontinuance of service rules, deposit rules and bill formats were revised.

27/ Most large utilities have no penalties, but nearly all cooperatives and smaller utilities have a collection charge.

28/ Five days written notice (8 days if mailed); 15 days written notice to multiple dwellings (18 days if mailed).

29/ Generally stated separately but not specifically described.

30/ Gas and Electric: All determinates are itemized.

31/ Revised rules will call for five-day minimum notice.

32/ Rules require supply of meter reading information...of the bill (except rate schedule).

33/ Net-gross billing not allowed.

34/ 76-PRMD-10 initiated November 22, 1976, "Proposed Standards and Billing Practices for Residential Utility Services."

35/ Gas only--not supplied on bill.

36/ Gas and electric only.

37/ Net-gross billing by most investor-owned utilities has been discontinued.

38/ Final Order and Opinion, Case No. 19589, issued January 10, 1977.

39/ Present rules result of a 1970-71 investigation.

40/ Eight days for gas, electric and water; five days for telephone.

41/ Or by use of information on bill.

42/ Gas, Water, and Electricity Companies Act, Section 73 (Ch. 285, R.S. of 1964).

43/ All Arkansas utilities are providing the Commission with information on billing procedures in accordance with new Commission Rules effective January 1, 1979.

44/ Rate cards are provided to each customer upon changes in base tariff rates; Commission requires each utility to show all automatic fuel and gas cost adjustments per unit, actual usage, and total amount on each bill.

45/ Eighth business day following mailed notice or 5:00 p.m. following business day if notice is personally delivered.

46/ Ten days with 30 additional days during winter months.

47/ Two California energy utilities provide itemized billing. Service charge, usage within each rate block and price per unit are shown. Staff is working with other utilities to provide the same information.

48/ ERC--at least 10 days written notice, but not before 27 days after the mailing date of the original bill; URC--at least 48 hours written notice but not before 20 days after the mailing date of the original bill.

49/ Expanding number of itemized billing components and providing energy conservation information.

# APPENDIX

## NARUC QUESTIONNAIRE RE UTILITY BILLING PRACTICES

Date ____________________

Name of State ____________________

Name of Agency ____________________

A. Time of Payment

1. Do billing practices in your State include any type of penalty for late payments, whether expressed as a "discount" for prompt payment, "net-gross" billing, or other. Yes ______ No ______
   If "yes", what type of penalty:
   - a. Net-gross rates ____________________
   - b. Collection charge (flat fee) ____________________
   - C. Other (please specify) ____________________

2. Does your State have uniform billing practices for all utilities on:
   - a. Due and payable periods? Yes ______ No ______
   - b. Late payment penalties (discounts)? Yes ______ No ______

3. What authority exists for use of such practices?
   - a. Statutes? Yes ______ No ______
   - b. Commission rules? Yes ______ No ______
   - c. Other (please specify) ____________________
     Please attach copies.

4. Are these charges subject to any State truth-in-lending law? Yes ______ No ______
   If "yes", has it been sustained in court in your State? Yes ______ No ______
   (If "yes", please give case citation.)

5. List utilities in your State which use net-gross rates: ____________________

6. What period of time is usually allowed before penalty is incurred ("due and payable" period)? ____________________
   If less than 30 days, please give reasons: ____________________

7. Are customers allowed any "late" payments without incurring any penalty? Yes ______ No ______
   If "yes", how many per year? ____________________

8. Do the utilities that have a late payment penalty apply it to all classifications of customers (residential, commercial, industrial, etc.)? Yes ______ No ______
   If "no", what classes are excluded? ____________________

9. What percentage of late payments are incurred by:
   - a. Residential customers? ____________________
   - b. Commercial and industrial customers? ____________________

10. Do you know of any studies which support the statement that "collection costs associated with late payments support the use of penalties"? Yes ______ No ______ If "yes", please identify and, if practicable, enclose a copy of the study. ____________________

11. What is the usual billing period for:
    - a. Electric utilities? ____________________
    - b. Gas utilities? ____________________
    - c. Telephone? ____________________
    - d. Water? ____________________

B. Deposits

1. When is a deposit required? (Specify by type of utility if differences exist.) ________________

________________________________________

2. Do deposits earn interest? Yes ________ No ________
If "yes", at what annual rate? ________________

3. When is a deposit returned:

a. At customer's request? Yes ________ No ________
b. Automatically by utility? Yes ________ No ________ If "yes", when? ________

________________________________________

4. What authority governs deposits?

a. Statute ________________
b. Commission rules ________________
(Has commission adopted NARUC model rule on deposits?) Yes ________ No ________
c. Other (please specify) ________________
Please provide copies.

C. Disconnections

1. How much notice must be given customers before disconnection for failure to pay bills? ________

________________________________________

2. Can a customer's service be disconnected for failure to pay the difference between net and gross, if net amount is paid? Yes ________ No ________

3. What authority governs disconnections?

a. Statutes ________________
b. Commission rules ________________
c. Other (Please specify) ________________
Please provide copies.

D. Rate Information

1. How can a customer check the accuracy of his billing? ________________

________________________________________

a. Is the utility required to supply the customer all the back-up information? Yes ______ No ______
b. Does your commission provide an analysis upon request? Yes ________ No ________
c. Is sufficient information provided with the billing to enable the customer to make this calculation (usage, block rates, etc.)?

2. Are bills itemized (separately stated and adequately described) for:

a. Service charges? Yes ________ No ________
b. Other recurring charges? Yes ________ No ________
c. Special charges (connect, reconnect, extension telephone, etc.)? (Please specify) ________

________________________________________

________________________________________

E. Has your Agency conducted an analysis of the billing procedures of the utilities under your jurisdiction? Yes ________ No ________ If "yes", please summarize. ________________

________________________________________

F. Comments ________________________________________

________________________________________
Name of Official completing questionnaire

________________________________________
Title of Official

## Introduction - State Laws to Prevent Damage to Underground Utility Plant

The following Table was compiled from responses to the following questionnaire:

"1. Does your State have a statute or regulation requiring every person who shall engage in nonemergency excavation or demolition to:

"(a) Take reasonable action to inform himself of the location of any underground electric, gas, telephone, water and sewerage plant in or near the area for which such operation is to be conducted;

"(b) Plan the excavation or demolition to avoid or minimize interference with such underground utility plant in or near the construction area;

"(c) Provide written or oral notice in advance of the start of the excavation or demolition to the known owners or operators of such underground utility plant;

"(d) Provide such support for existing underground utility plant as may be reasonably necessary for the protection of such plant;

"(e) Backfill all excavations in such manner and with such materials as may be reasonably necessary for the protection of existing underground utility plant; and

"(f) Immediately notify the owner or operator of such plant in the event of their damage or dislocation in connection with any excavation or demolition?

"2. Does your State have a statute or regulation requiring that every owner or operator of underground utility plant:

"(a) Establish procedures to publicize telephone numbers which may be used by contractors or excavators operating in their general service areas to give notice of excavation or demolition and to request the marking of the location of facilities, and

"(b) Locate the plant, when requested by the person engaged in excavation or demolition, by field marking on the surface by paint, dye, stakes or any other marking clearly visible which designates the horizontal course of such plant?"

In 1973, the Staff Subcommittee on Gas of the NARUC Committee on Gas prepared a Model Act Relating to the Prevention of Negligent or Unsafe Excavation or Demolition Operations Resulting in Damage to Gas Pipeline Facilities and a Supplementary One Call Notice System for Contractors and Excavators. 85th NARUC Annual Convention Proceedings, pp. 561-563, 587-596 (1973).

Table 48 - STATE LAWS TO PREVENT DAMAGE TO UNDERGROUND UTILITY PLANT

| Agency | Law Requires Excavator to Inform Himself of Underground (UG) location of: | | | | | Law Requires Excavator to: | | | | | Law Requires Utility to: | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Electric Plant | Gas Plant | Telephone Plant | Water Plant | Sewerage Plant | Minimize Interference With UG Plant | Notify Utility in Advance of Excavation | Provide Support for UG Plant | Backfill Excavation | Notify Utility of Damage to UG Plant | Encourage Excavator to Give Advance Notice to Utility | Mark Location of UG Plant on Request |
| Alabama PSC | no | no | no | no | no | no | no | no | no | no | yes | yes |
| Alaska PUC 1/ | no | no | no | no | no | no | no | no | no | no | no | no |
| Alberta PUB | no | no | no | no | no | no | no | no | no | no | no | no |
| Arizona CC | no | no | no | no | no | no | no | no | no | no | no | no |
| Arkansas PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| British Columbia METC | | | | | | | | | | | | |
| California PUC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | yes |
| Colorado PUC | no | no | no | no | no | no | no | no | no | no | no | no |
| Connecticut PUC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| Delaware PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| District of Columbia PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no |
| Florida PSC | no | yes | no | no | no | yes | yes | no | no | no | no | yes |
| Georgia PSC | no | yes | no | no | no | no | yes | no | no | no | yes | yes |
| Hawaii PUC | no | no | no | no | no | no | no | no | no | no | no | no |
| Idaho PUC | no | no | no | no | no | no | no | no | no | no | no | no |
| Illinois CC | no | no | no | no | no | no | no | no | no | no | no | no |
| Indiana PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Iowa SCC | no | no | no | no | no | no | no | no | no | no | no | no |
| Kansas SCC | no | no | no | no | no | no | no | no | no | no | no | no |
| Kentucky ERC & URC | no | no | no | no | no | no | no | no | no | no | no | yes |
| Louisiana PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Maine PUC | no | no | no | no | no | no | no | no | no | no | no | no |
| Maryland PSC | yes | yes | yes | yes | yes | yes | yes | no | no | yes | yes | yes |
| Massachusetts DPU | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | no | no |
| Michigan PSC | yes | yes | yes | yes | yes | yes | yes | yes | no | yes | yes | yes |
| Minnesota PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Mississippi PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Missouri PSC | yes | yes | yes | yes | yes | no | yes | no | no | yes | yes | yes |
| Montana PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| Nebraska PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Nevada PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| New Hampshire PUC | no | no | no | no | no | no | no | no | no | no | no | no |
| New Jersey BPU | no | yes | no | no | no | yes | yes | no | no | yes | yes | yes |
| New Mexico SCC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | yes |
| New York PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | yes |
| North Carolina UC 2/ | no | no | no | no | no | no | no | no | no | no | yes 3/ | yes 3/ |
| North Dakota PSC | yes | yes | yes | yes | yes | no | yes | no | no | no | no | yes |
| Nova Scotia PUB | no | no | no | no | no | no | no | no | no | no | no | no |
| Ohio PUC 6/ | no | no | no | no | no | no | no | no | no | no | no | no |
| Oklahoma CC | | | | | | | | | | | | |
| Ontario EB | | | | | | | | | | | | |
| Ontario TSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Oregon PUC 7/ | yes | yes | yes | yes | yes | no | yes | no | no | no | no | no |
| Pennsylvania PUC | yes | yes | yes | yes | yes | yes | yes | no | no | yes | yes | yes |
| Puerto Rico PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| Quebec PSB | N/A | N/A | no | N/A | N/A | no | no | no | no | no | no | no |
| Rhode Island PUC | yes | yes | no | yes | no | yes | no | yes | yes | yes | yes | no |
| South Carolina PSC | yes | yes | no | no | no | yes | yes | yes | yes | yes | yes | yes |
| South Dakota PUC | | | | | | | | | | | | |
| Tennessee PSC | no | no | no | no | no | no | no | no | no | no | no | yes 4/ |
| Texas PUC | | | | | | | | | | | | |
| Utah PSC | yes | yes | yes | yes | yes | yes | yes | no | no | yes | yes | yes |
| Vermont PSB | no | no | no | no | no | no | no | no | no | no | no | no |
| Virgin Islands PSC | | | | | | | | | | | | |
| Virginia SCC | no | no | no | no | no | yes | yes | yes | yes | yes | no | no |
| Washington UTC 5/ | no | no | no | no | no | no | no | no | no | no | yes 3/ | yes 3/ |
| West Virginia PSC | no | no | no | no | no | no | no | no | no | no | no | no |
| Wisconsin PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | yes |
| Wyoming PSC | yes | yes | yes | yes | yes | yes | yes | no | no | yes | yes | yes |

1/ The State of Alaska does not have a statute relating to damage to underground utility facilities. However, the Municipality of Anchorage (in which resides over 50% of the State's population) adopted an ordinance (No. AO-204-76) in 1976 relating to damage to underground facilities.

2/ Statewide one-call system effective October 1, 1978 to Utility Locating Company (ULOCO). Law requiring excavating notice was introduced in the 1979 Legislature and passed the House of Representatives. It will be presented to the Senate in 1980.

3/ Gas utility plant.

4/ Telephone utility plant.

5/ There are 26 local Utility Undergrounding Coordinating Councils voluntarily established throughout the State whose sole mission is to accomplish voluntarily the above objectives. This Commission actively supports these voluntary efforts.

6/ Legislation pending.

7/ There are 20 local utility coordinating councils voluntarily established throughout the state to accomplish these objectives. Thirteen of these councils are one-call systems.

## INTRODUCTION

## CONSERVATION ACTIVITIES OF THE STATE REGULATORY UTILITY COMMISSIONS

The following conservation policy survey and the Synopsis of Regulatory Utility Commission's Conservation Activities (with corresponding Matrix Key and Footnotes) were initially prepared by the California Energy Commission, Conservation Division, in November 1977. In January, 1980 the NARUC staff forwarded the questionnaire and accompanying table to each state regulatory agency for corrections or additions necessary to accurately reflect the information as of December 31, 1979.

## REGULATORY UTILITY COMMISSION CONSERVATION POLICY SURVEY

1. Has the Commission adopted energy conservation policies for gas and electric utility companies? Yes ______ No______

2. What State law, regulation or Commission policies have been enacted or adopted to effect energy conservation by utilities? (Check those which apply.)

| Rates | Existing | Proposed or Test Program |
|---|---|---|
| Time of Use Metering | ______ | ______ |
| Life Line Rates | ______ | ______ |
| Marginal Cost Pricing | ______ | ______ |
| Inverted Rate Structure | ______ | ______ |
| Flat Rate Structure | ______ | ______ |
| Other (Please Specify) | ______ | ______ |
| **Other Measures** | | |
| Insulation | ______ | ______ |
| Energy Audits/Surveys | | |
| Residential | ______ | ______ |
| Commercial/Industrial | ______ | ______ |
| Solar Energy Utilization | ______ | ______ |
| Waste Heat Utilization/ Cogeneration | ______ | ______ |
| Load Management (Specify Type) | ______ | ______ |
| Other (Please Specify) | ______ | ______ |

Table 49 - SYNOPSIS OF REGULATORY UTILITY
COMMISSIONS' ENERGY CONSERVATION ACTIVITIES[1]

| | POLICIES[2] | RATES[3] | | | | | | CONSERVATION MEASURES[3] | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CONSERVATION ACTIVITIES<br>STATE COMMISSION | COMMISSION HAS ADOPTED CONSERVATION POLICIES | TIME OF USE METERING | LIFE LINE RATES | MARGINAL COST PRICING | INVERTED RATE STRUCTURE | FLAT RATE STRUCTURE | OTHER RATES | INSULATION | ENERGY AUDITS RESID & C/I | SOLAR ENERGY UTILIZATION | WASTE HEAT UTILIZATION/ COGENERATION | LOAD MANAGEMENT | OTHER MEASURES |
| Alabama | | | | | X | | | Xa | | | | | |
| Alaska | | X | | | | X | | | | | | | |
| Arizona | | Xa | Xa | X | X | X | | | X | | | | |
| Arkansas | | Xa | | | | | | Xa | | | | | |
| California | X | Xf,Xa,u | Xe | Xd,9 | Xe | Xf,10 | Xh,i | Xe | X | Xa | X,11 | Xa,e,p,t,k | Xr,s |
| Colorado | | | | | | Xc | | | | | | | |
| Connecticut | X | Xd | | Xa,12 | | Xa,13 | Xm,14 | 15 | Xa,15,16 | 15 | Xa,17 | Xa,18 | Xp,19 |
| Delaware | | Xa,f | | | | | | | | | | X | |
| D.C. | X | Xa,e | | Xa,e | | Xg | | | Xe,Xa,d | | | Xa | |
| Florida | | Xb | | | Xq | | Xh | | Xa | | | Xa,n | |
| Georgia | | Xa | Xa | | | X | | | | | | | |
| Guam | X-electric | | X | | | X | | | | | | | |
| Hawaii | X | | | | | X | | | | | | | |
| Idaho | X | | | | | X | X | X | X | | | X | X |
| Illinois | X | Xa | | | Xa | X | | X | | | | Xa | |
| Indiana | | Xb | | | | | | Xa | Xa | | | | X20 |
| Iowa | X | X | | | | X | X | X | Xe | | | Xa,f | Xa,c,j |
| Kansas | X | | | | | X | | X | Xe | Xa,z | | Xu,z | |
| Kentucky | | | | | | X | | X | Xe | | | Xu | |
| Louisiana | | | | | | | | | | | | | |
| Maine | | Xa | Xq | Xa | | | | | | | | Xa | |
| Maryland | X | Xa | | | | | | | | | | Xa | |
| Massachusetts | X | X | | Xa | | | Xv | | X | X | X | | |
| Michigan | X | X | | | X | X | Xa,k | X | | X | | Xh | |
| Minnesota | | Xa | | | | | | | | | | | |
| Mississippi | | Xa | | Xa | | | | Xa | | | | Xa | |
| Missouri | | | | | | X | | | | | | | |
| Montana | X | Xa | X | | | X | | X | X | X | | | |
| Nebraska 5 | | | | | | | | | | | | | |
| Nevada | X | Xb | | Xb | Xa | X | | X | Xa | | | Xa,p | |
| New Hampshire | | X | | | Xd | Xd | | | | | | X | |
| New Jersey | X | Xb | Xq | | | X | | X | Xa | Xa | X | Xa,p | Xy |
| New Mexico 6 | | | | | | | | | | | | | |
| New York | X | X | | Xb | | X | | X | X | X | Xa | X | |
| North Carolina | X | Xa,d | Xa,d | Xa,d | | Xb | | Xb | | | | X | |
| North Dakota | | X | | | | X | X | | | | | | |
| Nova Scotia 4 | | | Xa | Xa | Xa | | | | | | | | |
| Ohio | | X | | | | X | Xl | X | X | | | Xa,n,y | |
| Oklahoma | X | Xa | Xa | Xa | | Xa | Xa | | | | | Xa | Xa,h,i |
| Oregon | X | X | | X | | X | | Xa | Xa,e | | Xa | Xa | |
| Pennsylvania | | Xa | | | | | Xl | Xc | X | | X | Xb,h | |
| Rhode Island | X | Xa | | | | | | X | Xe | X | | | X 21 |
| South Carolina | | Xa | | | X | X | Xw | X | | | | | Xr |
| South Dakota | | X | Xa | X | | X | | | | | | | |
| Tennessee | | Xa | | | | | | | | | | Xa | |
| Texas 7 | X | | | | | Xd | Xb,c | Xd | Xd | Xa,d | X | | |
| Utah 8 | X | | | | | Xd | | Xc | | | | Xq,i,n | |
| Vermont | X | Xb | | X | | | | | | | | Xb | |
| Virginia | X | Xa | | Xa | | | Xi | X | | | | Xb | |
| Washington | X | | | | Xa | X | | X | X | | | | X |
| West Virginia | | Xa | | | | | | X | | | | Xa,y | |
| Wisconsin | X | X | X | X | X | X | Xb,h | X | X | Xa | X | Xb,p | |
| Wyoming | X | | | Xc,f | | Xc | X | | | | | | |

## Footnotes - Table 49 - SYNOPSIS OF REGULATORY UTILITY COMMISSIONS' ENERGY CONSERVATION ACTIVITIES

1. Considering the changing nature of the regulatory commissions' conservation activities, the diversity and scarcity of informational sources, and the incompleteness of information from any one source, the purpose of this synopsis is to pool together available information concerning regulatory commissions and their utility-sponsored conservation activities.
2. The heading "Commission has Adopted Conservation Policies..." should be interpreted broadly. Commissions have indicated that they have adopted conservation policies through the (a) issuance of commission policy statements, (b) the enactment of a commission order for the implementation of specific conversation measures or rates by utilities, and (c) the enactment of commission orders to investigate the feasibility of implementing conservation rates or measures by utilities. Policies adopted by the Commissions ranged from the mandatory curtailment of non-essential uses of gas and electricity to the adoption of specific measures such as rate reform, load management guidelines and insulation retrofit and financing program implementation.
3. Refers to rates and conservation measures which have been enacted, adopted or proposed by State law, regulation or regulatory commission policy.
4. The Nova Scotia Public Utilities Board has ordered its largest electric utility to conduct a study relating to time-of-use, metering and other factors affecting conservation and rates. Other measures under the jurisdiction of Nova Scotia Energy Council.
5. The gas and electric utilities in Nebraska are publicly owned and therefore are not subject to regulation by the Nebraska Public Service Commission.
6. The New Mexico Public Service Commission initiated rulemaking proceedings (Case No. 1394 Proposed General Order No. 33) in November 1977. The goal of these proceedings is to establish comprehensive conservation policies which will consider the utility implementation of all of the conservation policies, rates and measures listed.
7. The conservation activities listed for Texas are a combination of the information recieved from the Texas Railroad Commission, which regulates the state's gas utilities and the Texas Public Utilities Commission which regulates the state's electric utilities.
8. The Utah Public Service Commission is currently undertaking generic hearings which will investigate time-of-use, lifeline, marginal cost pricing and flat rate structures.
9. Calculated for each rate case, but not yet fully used for rate-design.
10. Non-TOU rates are flat or being flattened except residential.
11. PG&E ordered to publish full avoided costs and authorized to pay such prices to cogenerators.
12. In dockets #790521 and #790522, marginal cost pricing was accepted in principle for use as a tool.
13. Residential rate 1, Northeast Utilities, per decision in dockets #790521 and #790522, provides for a 900 KWH first block. In effect, a flat rate prevails for most non-space heat electric customers.
14. As of 3/17/80, a cogeneration rate is in effect for CL&P and HELCO (Northeast Utilities).
15. To be addressed in State RCS plan to be developed by Connecticut Office of Policy and Management, Energy Division, Docket #800104, addressing cost recovery considerations, serves as input to this plan.
16. Energy audits/surveys for commercial/industrial facilities administered by Connecticut Department of Economic Development.
17. United Illuminating is conducting a district heating study.
18. Under consideration in Docket #780719.
19. Timer controlled electric hot water heater.
20. The Public Service Commission of Indiana has initiated hearings on the rate-design standards enumerated in PURPA's Section III.
21. Co-sponsor of U.S. Department of Energy - Wind Turbine Generator Demonstration.

### Matrix Key

a - proposed or test program
b - existing as well as proposed or test rates/measures
c - gas utilities only
d - electric utilities only
e - residential sector only
f - commercial/industrial sector only
g - activity undertaken by only one utility company in the state
h - controlled curtailable/interruptible rates
i - summer/winter differential rates or service
j - energy efficiency standards mandatory for new gas service
k - experimental storage rates
l - demand metering
m - self-generation
n - radio-controlled appliances
o - positive load control notification system
p - air conditioning and hot water heater controlled by utility
q - elderly residents only
r - voltage reduction
s - gas furnace pilot turn off
t - swimming pool tripper
u - voluntary irrigation
v - temperature sensitive rates
w - demand ratchet
y - heat storage program
z - measure in use or being tested by utility without being required to by commission order or state law

## SECTION P

## CABLE TELEVISION

Table 50 shows the scope of agency regulation over cable television companies, as well as agency regulation of pole attachment rates, terms and conditions.

Table 51 provides financial data for the cable television industry for the period January 1978 - December 1978. This information was released by the Federal Communications Commission on November 26, 1979.

## Table 50 - REGULATION OF CABLE TELEVISION COMPANIES

Scope of Agency Regulation of Cable Television Companies

| State/Agency | Entry | Operating Territory | Abandonment | Subscriber-Rates | Service | Accounting | Safety | Construction | Security Issues | Mergers and Acquisitions | Insurance | Plant Siting | Reporting | Pole Attachments 1/ Rates, Terms & Conditions |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FCC | Limited 2/ | no | no | no | yes | no | no | Limited 3/ | no | Limited 4/ | no | no | no | yes |
| Alabama PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Alaska PUC | yes | yes | yes | yes | yes | yes | yes | no | no | yes | no | no | yes | yes |
| Alberta PUB 5/ | | | | | | | | | | | | | | yes |
| Arizona CC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Arkansas PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| California | no | no | no | no | no | no | yes | no | no | no | no | no | no | yes |
| Colorado PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Connecticut PUC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | no | yes | yes |
| Delaware PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no |
| D. C. PSC | | | | | | | | | | | | | | no |
| Florida | | | | | | | | | | | | | | no |
| Georgia PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Guam PUC | Cable television not presently regulated in Guam. | | | | | | | | | | | | | |
| Hawaii 9/ | | | | | | | | | | | | | | yes |
| Idaho | | | | | | | | | | | | | | yes |
| Illinois | | | | | | | | | | | | | | yes |
| Indiana | | | | | | | | | | | | | | yes |
| Iowa SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Kansas SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Kentucky URC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Louisiana | | | | | | | | | | | | | | yes |
| Maine PUC | | | | | | | | | | | | | | no |
| Maryland PSC | | | | | | | | | | | | | | no |
| Massachusetts 6/ | | | | | | | | | | | | | | yes |
| Michigan PSC | | | | | | | | | | | | | | no |
| Minnesota 8/ | | | | | | | | | | | | | | no |
| Mississippi PSC | | | | | | | | | | | | | | no |
| Missouri PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Montana PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Nebraska | | | | | | | | | | | | | | yes |
| Nevada PSC | yes | yes | yes | yes | yes | yes | | | | | | | yes | yes |
| New Hampshire PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| New Jersey BPU | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| New Mexico PSC | | | | | | | | | | | | | | no |
| New York 10/ | | | | | | | | | | | | | | yes |
| North Carolina UC | | | | | | | | | | | | | | no |
| North Dakota PSC | | | | | | | | | | | | | | no |
| Nova Scotia PUB | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Ohio PUC | | | | | | | | | | | | | | no |
| Oklahoma CC | | | | | | | | | | | | | | no |
| Oregon PUC | no | no | no | no | no | no | 7/ | 7/ | no | no | no | no | no | yes |
| Pennsylvania | | | | | | | | | | | | | | yes |
| Puerto Rico PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| Quebec PSB | no | no | no | no | no | no | no | no | no | no | no | no | no | yes |
| Rhode Island PUC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no |
| South Carolina PSC | | | | | | | | | | | | | | no |
| South Dakota PUC | | | | | | | | | | | | | | no |
| Tennessee PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Texas PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Utah PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Vermont PSB | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | | yes | yes | yes |
| Virgin Islands PSC | | | | | | | | | | | | | | no |
| Virginia SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Washington | | | | | | | | | | | | | | yes |
| West Virginia PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no |
| Wisconsin | | | | | | | | | | | | | | yes |
| Wyoming PSC | | | | | | | | | | | | | | no |

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1/ The term "pole attachment" includes communications space on poles, conduits or other rights-of-way. States which have asserted jurisdiction over pole attachment matters pursuant to Section 1.1414 of the FCC Rules, 47 C.F.R. 1.1414 and 47 U.S.C., Sec. 224, have, by that assertion, indicated that they regulate terms, rates and conditions for pole attachments, and, in so regulating, have the authority to consider and do consider the interests of the subscribers of cable television services as well as the interests of the utility services. Such states are listed as "yes."

2/ "Entry" regulated by cross-ownership rules limiting local broadcast or telephone company ownership.

3/ "Construction" regulated by rules requiring systems with 3,500 or more subscribers to have a specified channel capacity.

4/ "Mergers and Acquisitions" regulated indirectly through control over microwave stations that are used by all major cable television system companies.

5/ During December 1978 the Lieutenant Governor in Council directed the Public Utilities Board to inquire into the provision of all local non-broadcasting telecommunications services and to make recommendations respecting the regulatory framework in which these services may be offered.

6/ Massachusetts CATV Commission.

7/ Regulation is limited to construction standards for safety considerations when facilities are on public streets and highways.

8/ Minnesota Cable Commission Board.

9/ Regulated by the Cable Television Division of Department of Regulatory Agencies.

10/ New York Commission on Cable Television.

### Uniform System of Accounts for Cable Television Companies

The following agencies have adopted a Uniform System of Accounts (USOA) for Cable Television Companies:

| | |
|---|---|
| Alaska PUC | NARUC USOA |
| Connecticut PUC | NARUC USOA, with modifications |
| Nevada PSC | NARUC USOA, with modifications |
| New Jersey BPU | Modified FCC Accounting System |
| Rhode Island PUC | NARUC USOA |

## Table 51

CATV INDUSTRY FINANCIAL DATA FISCAL YEAR ENDING BETWEEN JAN - DEC 1978
BY STATE

| STATE OR TERRITORY | NUMBER OF COMMU. | NUMBER FINAN. ENTIT. | NUMBER OF SUBSCRIBERS | AVERAGE SUBS RATE | INST FEES | TOTAL OPERATING REVENUES | PAY TV REVENUES | TOTAL OPERATING EXPENSES | NET INCOME BEFORE TAXES | TOTAL ASSETS | % OF SUBS NOT INCLUDED |
|---|---|---|---|---|---|---|---|---|---|---|---|
| ALABAMA | 117 | 55 | 272,344 | 7.52 | 18.17 | 29,817,566 | 4,902,551 | 16,890,579 | 6,394,056 | 55,161,329 | 23.9 |
| ALASKA | 13 | 10 | 13,779 | 18.35 | 23.50 | 3,741,703 | 221,517 | 2,872,353 | 391,597 | 3,168,902 | 3.7 |
| ARIZONA | 67 | 38 | 75,701 | 8.49 | 20.76 | 9,860,829 | 853,209 | 6,247,796 | 1,178,985 | 23,636,039 | 12.1 |
| ARKANSAS | 105 | 62 | 173,548 | 6.49 | 14.97 | 17,257,515 | 787,820 | 9,930,115 | -2,109,923 | 29,852,395 | 0.7 |
| CALIFORNIA | 479 | 168 | 1,700,164 | 7.34 | 15.96 | 195,098,161 | 33,504,972 | 111,964,141 | 18,801,976 | 400,845,122 | 11.0 |
| COLORADO | 77 | 33 | 98,316 | 7.61 | 17.85 | 9,327,047 | 317,915 | 5,548,242 | -373,790 | 19,196,092 | 2.5 |
| CONNECTICUT | 54 | 16 | 180,602 | 8.41 | 20.46 | 20,710,130 | 3,155,962 | 11,582,934 | 1,469,248 | 50,777,021 | 23.9 |
| DELAWARE | 42 | 8 | 98,516 | 7.61 | 23.11 | 10,518,044 | 1,273,937 | 5,298,319 | 2,911,135 | 24,405,395 | 0.0 |
| FLORIDA | 307 | 84 | 606,383 | 7.38 | 19.98 | 62,543,152 | 10,120,149 | 41,602,851 | 639,941 | 160,901,536 | 2.8 |
| GEORGIA | 149 | 81 | 319,645 | 7.19 | 15.03 | 32,780,375 | 4,248,972 | 18,205,973 | 5,820,243 | 52,105,601 | 5.3 |
| HAWAII | 16 | 8 | 57,165 | 6.83 | 32.46 | 4,816,514 | 184,914 | 3,361,397 | -76,090 | 8,391,406 | 39.7 |
| IDAHO | 47 | 22 | 46,328 | 7.40 | 22.41 | 5,347,007 | 755,464 | 2,922,652 | 461,034 | 7,928,896 | 26.4 |
| ILLINOIS | 181 | 72 | 386,549 | 7.03 | 16.43 | 36,365,981 | 3,693,522 | 22,291,210 | 4,557,141 | 64,162,790 | 9.0 |
| INDIANA | 113 | 52 | 221,705 | 6.65 | 16.26 | 19,502,972 | 1,665,202 | 11,081,389 | 856,293 | 37,860,512 | 18.1 |
| IOWA | 77 | 35 | 154,055 | 7.34 | 14.81 | 16,610,860 | 3,098,467 | 9,326,717 | 1,847,860 | 27,515,039 | 0.0 |
| KANSAS | 122 | 83 | 205,687 | 7.49 | 12.50 | 19,564,272 | 1,726,357 | 11,960,474 | 3,374,634 | 29,281,420 | 4.1 |
| KENTUCKY | 265 | 104 | 199,753 | 6.65 | 17.38 | 38,213,407 | 556,217 | 27,887,017 | 3,439,561 | 35,321,711 | 0.9 |
| LOUISIANA | 63 | 36 | 259,034 | 7.21 | 13.81 | 19,244,023 | 1,117,469 | 11,462,993 | 1,280,418 | 36,608,896 | 1.7 |
| MAINE | 61 | 19 | 63,553 | 7.02 | 14.78 | 7,051,164 | 66,035 | 4,389,259 | -569,435 | 16,370,959 | 12.1 |
| MARYLAND | 93 | 25 | 79,041 | 7.12 | 16.12 | 7,575,195 | 4[illegible],207 | 5,461,304 | 468,640 | 10,513,726 | 27.8 |
| MASSACHUSETTS | 98 | 34 | 272,651 | 7.45 | 17.66 | 28,487,768 | 2,498,469 | 15,973,146 | 2,499,583 | 41,383,820 | 0.0 |
| MICHIGAN | 245 | 56 | 355,332 | 6.85 | 16.36 | 38,105,698 | 3,562,777 | 22,511,651 | 1,157,534 | 70,914,050 | 14.0 |
| MINNESOTA | 135 | 87 | 172,571 | 7.13 | 16.18 | 16,068,796 | 1,354,109 | 9,030,963 | 2,597,232 | 35,884,547 | 0.0 |
| MISSISSIPPI | 90 | 49 | 190,754 | 7.03 | 15.67 | 16,458,363 | 472,083 | 8,977,043 | 4,036,117 | 24,146,768 | 9.7 |
| MISSOURI | 110 | 64 | 171,312 | 6.85 | 13.58 | 15,917,532 | 1,773,356 | 9,440,792 | 1,947,658 | 22,805,587 | 1.8 |
| MONTANA | 53 | 27 | 95,496 | 8.47 | 20.27 | 11,110,705 | 1,008,042 | 5,950,184 | 3,682,090 | 20,010,912 | 3.1 |
| NEBRASKA | 52 | 32 | 83,241 | 7.22 | 12.38 | 7,070,365 | 406,810 | 4,082,328 | -3,982,597 | 20,119,648 | 8.2 |
| NEVADA | 14 | 9 | 36,051 | 6.99 | 13.20 | 4,579,042 | 1,198,941 | 2,584,963 | 1,291,055 | 5,567,771 | 12.4 |
| NEW HAMPSHIRE | 55 | 15 | 75,430 | 6.74 | 18.47 | 6,472,095 | 237,797 | 3,552,668 | 1,513,798 | 8,737,055 | 22.5 |
| NEW JERSEY | 215 | 33 | 452,022 | 6.60 | 20.42 | 50,159,995 | 11,261,846 | 31,961,540 | 3,206,841 | 116,465,294 | 0.0 |
| NEW MEXICO | 61 | 29 | 115,800 | 7.91 | 15.79 | 11,902,348 | 1,126,520 | 7,238,977 | 2,267,863 | 22,011,576 | 0.0 |
| NEW YORK | 544 | 119 | 971,729 | 6.36 | 16.46 | 114,427,923 | 24,445,612 | 76,909,703 | 3,382,886 | 214,484,908 | 10.7 |
| NORTH CAROLINA | 92 | 45 | 255,031 | 6.93 | 13.17 | 22,442,201 | 1,562,971 | 12,562,969 | 3,550,120 | 44,975,878 | 7.5 |
| NORTH DAKOTA | 33 | 28 | 41,203 | 7.61 | 15.75 | 18,172,230 | 239,311 | 11,738,316 | -1,732,192 | 27,658,957 | 23.8 |
| OHIO | 491 | 116 | 725,684 | 6.81 | 14.45 | 69,969,907 | 9,108,266 | 51,621,666 | -8,743,591 | 166,384,115 | 0.0 |
| OKLAHOMA | 92 | 78 | 196,522 | 7.03 | 11.77 | 18,945,019 | 1,891,988 | 10,426,754 | 3,891,103 | 37,000,318 | 9.2 |
| OREGON | 128 | 59 | 159,074 | 7.40 | 18.95 | 14,971,727 | 1,412,962 | 8,559,598 | 3,977,826 | 25,882,565 | 28.0 |
| PENNSYLVANIA | 1,172 | 179 | 1,107,301 | 6.06 | 15.58 | 115,796,465 | 9,442,008 | 65,470,636 | 18,223,638 | 194,737,305 | 11.1 |
| SOUTH CAROLINA | 71 | 31 | 141,690 | 7.74 | 15.44 | 14,776,228 | 2,597,270 | 10,401,045 | -8,002,640 | 35,329,253 | 13.0 |
| SOUTH DAKOTA | 27 | 14 | 50,849 | 7.25 | 13.50 | 4,591,390 | 103,614 | 2,848,823 | 230,378 | 6,477,308 | 9.0 |
| TENNESSEE | 101 | 44 | 153,838 | 7.01 | 13.63 | 13,966,983 | 833,024 | 8,411,137 | -1,073,024 | 37,763,657 | 12.8 |
| TEXAS | 299 | 179 | 835,465 | 6.84 | 14.45 | 71,186,315 | 8,439,303 | 41,650,707 | 8,752,021 | 136,160,910 | 9.7 |
| UTAH | 7 | 3 | 16,338 | 7.55 | 15.62 | 1,709,380 | 193,266 | 1,769,357 | -1,435,894 | 6,610,405 | 12.6 |
| VERMONT | 55 | 18 | 49,403 | 6.13 | 18.16 | 4,459,178 | 323,159 | 2,289,156 | 1,454,248 | 4,110,283 | 19.6 |
| VIRGINIA | 128 | 55 | 191,653 | 6.83 | 14.12 | 19,895,768 | 2,524,171 | 12,424,887 | 858,329 | 44,803,593 | 12.1 |
| WASHINGTON | 207 | 62 | 346,281 | 7.71 | 18.91 | 42,363,319 | 9,475,455 | 24,536,447 | 7,706,193 | 68,479,845 | 5.4 |
| WEST VIRGINIA | 271 | 87 | 197,749 | 6.56 | 16.06 | 31,199,067 | 1,834,555 | 15,369,653 | 11,644,349 | 31,389,007 | 37.6 |
| WISCONSIN | 99 | 38 | 143,823 | 7.18 | 15.13 | 13,292,408 | 947,655 | 7,640,429 | 1,944,788 | 25,314,905 | 22.6 |
| WYOMING | 50 | 23 | 86,233 | 7.48 | 19.99 | 9,748,537 | 1,361,468 | 5,510,227 | 3,050,945 | 16,855,933 | 1.1 |
| OTHER | 9 | 5 | 35,460 | 11.90 | 22.25 | 6,333,219 | 548,407 | 4,506,844 | 256,274 | 8,670,906 | 0.0 |
| U.S. TOTALS | 7,470 | 2,610 | 12,857,804 | 7.03 | 16.35 | 1,376,847,004 | 174,859,503 | 836,320,924 | 124,916,456 | 2,615,244,029 | 8.9 |
| U.S. TOTALS PROJECTED TO 100% | 8,203 | 2,865 | 14,113,945 | 7.03 | 16.35 | 1,511,028,545 | 191,942,374 | 918,025,164 | 137,120,149 | 2,870,739,878 | 0.0 |

Table 51 - (Continued)

## SELECTED FINANCIAL DATA (CATV)
## 1975 THRU 1978

| | 1975 | 1976 | %CHANGE '75-'76 | 1977 | %CHANGE '76-'77 | 1978 | %CHANGE '77-'78 | %CHANGE '75-'78 |
|---|---|---|---|---|---|---|---|---|
| Communities | 6,265 | 7,198 | 14.9 | 8,369 | 16.3 | 8,200 | -2.0 | 30.9 |
| Financial Entities | 2,443 | 2,349 | -3.9 | 2,557 | 8.9 | 2,865 | 12.1 | 17.3 |
| Subscribers | 9,863,020 | 11,648,498 | 18.1 | 12,832,014 | 10.2 | 14,113,945 | 10.0 | 43.1 |
| Average Subscriber Rate | $6.21 | $6.49 | 4.5 | $6.85 | 5.6 | $7.03 | 2.6 | 13.2 |
| Operating Revenues | 894,918,101 | 999,753,785 | 11.7 | 1,205,875,773 | 20.6 | 1,511,028,545 | 25.3 | 68.9 |
| Operating Expenses | 567,360,186 | 615,882,714 | 8.6 | 716,950,304 | 16.4 | 918,025,164 | 28.1 | 61.8 |
| Net Income | 26,884,313 | 57,662,612 | 114.5 | 133,736,554 | 131.9 | 137,120,149 | 2.5 | 410.0 |
| Total Assets | 2,131,526,689 | 2,515,732,873 | 18.0 | 2,450,458,111 | -2.6 | 2,870,739,878 | 17.2 | 34.7 |

# SECTION Q

# COMMUNICATIONS

Table 52 shows the number of communications utilities under agency jurisdiction. Table 53 reflects the Bell System intrastate rate increases from January 1, 1970 through December 31, 1979. Tables 54 and 55 concern the regulation of radio common carriers and uniform system of accounts for same.

Tables 56 - 60 provide information concerning telephone subscriber privacy, telephone directory assistance charges, directory listing, interconnection of telephone equipment, and telephone company provision of free or reduced rate service.

Table 61 reflects statistics for all privately owned telephone utilities under agency jurisdiction.

Tables 62-64 provide information on usage sensitive pricing for local telephone service, coin telephone rates, and operations of the Bell System telephone companies for 1979.

Table 52 - NUMBER OF COMMUNICATIONS UTILITIES

| AGENCY | Number of communications utilities under agency jurisdiction - | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | 10/ Total Telephone | Citation of Jurisdictional Authority | Telephone | | | CATV | Citation of Jurisdictional Authority | Tele-graph | Citation of Jurisdictional Authority |
| | | | Cooperative | Private | Mobile Radio | | | | |
| FCC | 1/ | | | | | 3,407 | | 8 8/ | |
| ALABAMA PSC | 36 | Sec. 37, Code of Ala. 1975 | 3 | 33 | 13 | | Sec. 37, Code of Ala. 1975 | 1 | Sec. 37, Code of Ala. 1975 |
| ALASKA PUC | 21 | Sec. 6, Ch. 113 S.L.A. 1970 | 6 | 15 | 2 | X | | | |
| ALBERTA PUB | 1 | P.U.B. Act | | 1 7/ | | | | | |
| ARIZONA CC | 12 | Art. XV, Ariz. Const. | 1 | 11 | 9 | 0 | Art. XV, Ariz. Const. | 1 | Art. XV, Ariz. Const. |
| ARKANSAS PSC | 37 | Acts of Arkansas Act 324 of 1935 | 2 | 35 | | | | 1 | |
| CALIFORNIA PUC | 28 | Calif. P.U. Code, Sec. 233 and 234 | 0 | 28 | 50 2/ | 270 3/ | Calif. P.U. Code, Sec. 215.5, 768.5 | 1 | Calif. P.U. Code, Sec. 235 and 236 |
| COLORADO PUC | 36 | Sec. 40-1-103 CRS 1973 | 34 | 2 | 15 | 0 | | 1 | Sec. 40-1-103 CRS 1973 |
| CONNECTICUT PUC | 3 | Conn. Gen. Stats., Title 16 | 0 | 3 | 0 | 21 | Conn. Gen. Stats., Title 16 | 1 | Conn. Gen. Stats., Title 16 |
| DELAWARE PSC | 1 | Del. Code, Title 26 | 0 | 1 | 6 | 6 | Del. Code, Title 26 | 1 | Del. Code, Title 26 |
| D.C. PSC | 1 | D.C. Code, Title 43 et seq. | 0 | 1 | | 0 | | 1 | D.C. Code, Title 43 et seq. |
| FLORIDA PSC | 20 | Ch. 364 (Part I (Part II) | 0 | 20 | 88 | | | 1 | Ch. 364 (Part I) |
| GEORGIA PSC | 41 | Title 34B, Ga. Code | 0 | 41 | 27 | 0 | | 1 | Title 93, Ga. Code |
| GUAM PUC | 1 | Title XXI, Ch. 1, Government Code of Guam | | | | 0 | No jurisdiction | 0 | |
| HAWAII PUC | 1 | Ch. 269, Hawaii Rev. Stat. | 0 | 1 | | 0 | | | |
| IDAHO PUC | 16 | Title 61, Idaho Code | | 15 | 7 | 0 | Title 61, Idaho Code | 1 | Title 61, Idaho Code |
| ILLINOIS CC | 55 | Ill. Rev. Stats. Ch. 111 2/3, Sec. 1 et seq. | 6 4/ | 49 | 17 | | | 1 | Ill. Rev. Stats. Ch. 111 2/3, Sec. 1 et seq. |
| INDIANA PSC | 54 | Ind. Code of 1971, 8-1-2-1, 8-1-9-2 | 9 | 45 | 21 | 0 | Ind. Code of 1971, 8-1-17-1, 8-1-17-29 | 1 | Ind. Code of 1971, 8-1-2-1 |
| IOWA SCC | 174 6/ | 476 | 158 | 16 | 0 | 0 | | 1 | 476 |
| KANSAS SCC | 52 | Kans. Stats. Ann. Ch. 66 | 16 | 36 | 13 | 0 | Kans. Stats. Ann. Ch. 66 | 1 | Kans. Stats. Ann. Ch. 66 |
| KENTUCKY URC | 24 | 278.040(2) | 10 | 14 | 20 | 0 | | 1 | 278.040(2) |
| LOUISIANA PSC | 25 | State Constitution | 0 | 25 | 13 | 0 | La. Statutes | 1 | State Constitution |
| MAINE PUC | 20 | 35 M.R.S.A. | 0 | 20 | 6 | 0 | | 1 | 35 M.R.S.A. |
| MARYLAND PSC | 2 | Article 78 | 0 | 2 | 6 | 0 | | 1 | Article 78 |
| MASSACHUSETTS DPU | 5 | Ch. 159 and 160 | | 5 | 20 | | | 1 | Ch. 159 and 166 |
| MICHIGAN PSC | 50 | Act 206, PA 1913, as amended | 0 | 50 | 17 | 0 | | 0 | |
| MINNESOTA PSC | 94 | Chapter 237 | 17 | 77 | 14 | 0 | | 1 | Chapter 237 |
| MISSISSIPPI PSC | 23 | Public Utilities Act of 1956, as amended | 0 | 23 | 20 | 0 | | 1 | Public Utilities Act of 1956, as amended |
| MISSOURI PSC | 51 | Sec. 386.250, RSMo 1969 | 0 | 51 | 19 | 0 | | 1 | Sec. 386.250, RSMo 1969 |
| MONTANA PSC | 23 | Title 69, MCA 1979 | 9 | 14 | 15 | 0 | No jurisdiction | 1 | Title 69, MCA 1979 |
| NEBRASKA PSC | 45 | 75-601 to 75-615 | | 45 | 10 | 0 | | 1 | 75-601 to 75-615 |
| NEVADA PSC | 16 | | | | | 10 | | 1 | |
| NEW HAMPSHIRE PUC | 13 | RSA 362:2 | 0 | 13 | 3 | 0 | | 1 | RSA 362:2 |
| NEW JERSEY BPU | 8 | NJSA 48:213 et seq. | 0 | 8 | | 47 | NJSA 48:54-1 et seq. | 2 | NJSA 48:2-13 et seq. |
| NEW MEXICO SCC | 15 | Const., Art. 11, Sec. 7 and 8 | 8 | 7 | 11 | 0 | | 1 | Const., Art. 11, Sec. 7 and 8 |
| NEW YORK PSC | 48 | Pub. Ser. Law Sec. 2(17)(18), 5(1)(d) and Article 5 | 0 | 48 | 46 | 8 | | 1 | Pub. Ser. Law, Sec. 2(19)(20), 5(1)(e) and Article 5 |
| NORTH CAROLINA UC | 30 | N.C. Gen Statutes, Ch. 62, 3(23)a.6 | 9 | 21 | 15 | 0 | | 1 | |
| NORTH DAKOTA PSC | 40 | | | | | | | 1 | |
| NOVA SCOTIA PUB | 1 | P.U.B Act | 0 | 1 | 0 | 0 | | 0 | |
| OHIO PUC | 49 | Title 49, Ohio Rev. Code | 8 | 41 | 19 | 0 | | 1 | Title 49, Ohio Rev. Code |
| OKLAHOMA CC | 44 | | 4 | 40 | | 0 | | 1 | |
| ONTARIO TSC | 35 | Telephone Act, RSO 1970 Ch. 457 | 16 5/ | 19 | 0 | 0 | | 0 | |
| OREGON PUC | 36 | Oregon Rev. Stats., Ch. 756, 757 | 7 | 29 | 0 | 0 | | 0 | |
| PENNSYLVANIA PUC | 61 | | 0 | 61 | 33 | | | 3 | |
| PUERTO RICO PSC | 0 | | 0 | 9/ | 2 | 1 | | | |
| QUEBEC PSB | 23 | RSQ 1977, C.R-8 | 1 | 22 | 0 | | | 0 | |
| RHODE ISLAND PUC | 1 | Title 39, Ch. 2 | 0 | 1 | 0 | 2 | Title 39, Ch. 19 Sec. 1-8 | 1 | Title 39, Ch. 2 |
| SOUTH CAROLINA PSC | 30 | S.C. Code 58-351 | 6 | 24 | 15 | 0 | | 1 | S.C. Code 58-251 |
| SOUTH DAKOTA PUC | 33 | SDCL 49-31 | 14 | 19 | 7 | 0 | SDCL 49-31 | 1 | SDCL 49-31 |
| TENNESSEE PSC | 19 | 65-401, 65-404 | | 19 | 12 | 0 | 65-3001 | 1 | 65-401, 65-404 |
| TEXAS PUC | 82 | Pub. Utility. Regul. Act of 1975, Tex. Rev. Civ. Stat. Ann., Article 1446C | 25 | 57 | 105 | 0 | No jurisidiction | 0 | No jurisdiction |

Table 52 - NUMBER OF COMMUNICATIONS UTILITIES (Continued)

| AGENCY | Number of communications utilities under agency jurisdiction - | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | 10/ Total Telephone | Citation of Jurisdictional Authority | Telephone | | | CATV | Citation of Jurisdictional Authority | Tele-graph | Citation of Jurisdictional Authority |
| | | | Cooperative | Private | Mobile Radio | | | | |
| UTAH PSC | 15 | Utah Code, Sec. 54-2-1(21) | 8 | 7 | 4 | 0 | | 1 | Utah Code, Sec. 54-2-1(24) |
| VERMONT PSB | 9 | | 0 | 9 | 0 | 42 | | 2 | |
| VIRGIN ISLANDS PSC | 1 | Title 30 VIC, Sec. 1 | | 1 | | | | | |
| VIRGINIA SCC | 23 | | 5 | 18 | 8 | 0 | | 1 | Title 56, Vol. 8 Code - Va. |
| WASHINGTON UTC | 26 | Title 80, Rev. Code of Washington | 0 | 26 | 23 | 0 | | 1 | Title 80, Rev. Code of Washington |
| WEST VIRGINIA PSC | 13 | W. Va. Code, Ch. 24 | 2 | 11 | 5 | | | 1 | W. Va. Code, Ch. 24 |
| WISCONSIN PSC | 117 | Chapter 196 | 0 | 117 | | | | 1 | Sec. 195.02(3) |
| WYOMING PSC | 15 | W.S. 37-1-101 (a)(vi)(B) 1977 | 0 | 15 | 7 | 0 | | 1 | W.S. 37-1-101 (a)(vi)(B) 1977 |

1 Of the 1,552 telephone companies, 63 are subject to the full jurisdiction of FCC; the remainder are subject only to partial jurisdiction. These figures are as of 12/31/78.

2/ Includes VHF maritime stations.

3/ Regulated for safety only.

4/ Limited jurisdiction.

5/ Eleven of these systems are owned by subscribers, held in trust and operated by the municipal government. Five of the systems are owned and operated by the municipal government.

6/ Sixteen companies rate and service regulated; 158 companies are service regulated only.

7/ System owned by Government of Province of Alberta.

8/ Six overseas carriers and one domestic carrier.

9/ The Puerto Rico Telephone Authority, a state public corporation created by law May 6, 1974, purchased the Puerto Rico Telephone Company.

10/ This column reflects the total number of cooperative and private telephone utilities under agency jurisdiction as reported by NARUC member agencies.

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| ALABAMA PSC | South Central Bell Telephone Company | 4/15/71 | 7/12/71 | 19.9 | 17.8 |
| | | 1/19/73 | 12/26/74 | 29.3 | 29.3 |
| | | 2/05/75 | 1/06/76 | 59.0 | 59.0 |
| | | 8/22/77 | 9/15/77 | 50.0 | 52.0 |
| | | 8/22/77 | 4/22/77 | 55.0 | 22.4 |
| | | 4/27/79 | 9/28/79 | 63.7 | 38.7 |
| ARIZONA CC | Mountain Bell Telephone Company | 4/14/71 | 4/10/72 | 30.7 | 8.1 |
| | | 10/10/72 | 3/12/73 | 7.9 | 5.7 |
| | | 11/06/74 | 4/17/75 | 39.0 | 18.1 |
| ARKANSAS PSC | Southwestern Bell Telephone Company | 12/16/74 | 9/04/75 | 22.3 | 16.3 |
| | | 3/01/76 | 9/01/77 | 18.1 | 6.3 |
| | | 1/20/78 | 1/15/79 | 42.0 | 2.5 |
| | | 1/20/78 | 7/05/79 | | 1.0 |
| | | (additional amount on rehearing) | | | |
| CALIFORNIA PUC | Pacific Telephone and Telegraph Company | 2/17/70 | 6/22/71 | 194.9 | 143.0 |
| | | | 8/08/72 | | -143.0 |
| | | 6/21/72 | 8/08/72 | 198.2 | 55.4 |
| | | 8/05/71 | 4/04/72 | 77.4 | 68.9 |
| | | 4/25/73 | 7/23/74 | 290.0 | 183.3 |
| | | 9/30/74 | 12/30/75 | 97.9 | 65.2 |
| | | 2/13/75 | 12/13/77 | 119.0 | 12.8 |
| | | 7/14/78 | 10/12/79 | 303.0 | 1.3 |
| | | | 12/18/79 | | 36.6 |
| | | 11/13/79 | Pending | 345.4 | Pending |
| COLORADO PUC | Mountain Bell Telephone Company | 10/01/70 | 3/25/71 | 28.5 | 11.21 |
| | | 1/27/72 | 9/19/72 | 43.0 | 12.81 |
| | | 3/16/73 | 4/16/73 | 9.8 | 9.81 |
| | | 3/16/73 | 4/17/73 | 26.7 | 0.00 |
| | | 3/01/74 | 4/26/74 | 29.8 | 0.00 |
| | | 5/31/74 | 12/20/74 | 59.2 | 28.4 |
| | | 3/07/75 | 10/07/75 | 40.3 | 11.5 |
| | | 2/25/77 | 9/30/77 | 50.2 | 7.7 |
| | | 1/21/80 | Pending | 78.6 | Pending |
| CONNECTICUT PUC | Southern New England Telephone Company | 11/05/71 | 4/13/72 | 54.7 | 36.8 |
| | | 1/13/75 | 6/12/75 | 73.8 | 48.8 |
| | | 6/22/77 | 12/16/77 | 55.3 | 33.8 |
| | New York Telephone Company | 6/13/74 | 11/18/74 | 2.2 | 2.0 |
| DELAWARE PSC | Diamond State Telephone Company | 7/11/74 | 2/12/75 | 6.6 | 6.6 |
| | | 2/17/76 | 1/11/77 | 10.5 | 8.6 |
| | New York Telephone Company | 6/13/74 | 11/18/74 | 2.2 | 2.0 |
| D.C. PSC | Chesapeake & Potomac Tel. Company | 8/31/71 | 7/06/72 | 22.6 | 13.2 |
| | | | 6/06/73 | | 4.4 |
| | | 4/19/73 | 4/05/74 | 21.6 | 8.1 |
| | | 1/31/75 | 5/28/76 | 14.0 | 7.4 |
| FLORIDA PSC | Southern Bell Tel. & Tel Company | 8/11/69 | 3/30/70 | 32.0 | 21.1 |
| | | 6/28/71 | 1/04/72 | 54.2 | 47.1 |
| | | 12/15/72 | 8/02/73 | 105.1 | 32.8 |
| | | | 12/27/73 | | 48.6 |
| | | 11/22/74 | 2/06/75 | 216.5 | 85.1 |
| | | | 12/04/75 | | 71.7 |
| | | 11/29/76 | 8/10/77 | 226.5 | 133.6 |
| GEORGIA PSC | Southern Bell Tel. & Tel. Company | 8/05/69 | 12/11/70 | 25.5 | 20.8 |
| | | 7/30/71 | 9/05/72 | 24.4 | 11.3 |
| | | | 1/16/73 | | 10.4 |
| | | 6/14/74 | 12/09/74 | 81.6 | 64.0 |
| | | 8/15/75 | 1/20/76 | 46.3 | 0.0 |
| | | 6/11/76 | 11/24/76 | 103.0 | 62.2 |
| | | 1/05/79 | 6/19/79 | 52.6 | 50.9 |

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT (Continued)

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| IDAHO | Mountain Bell | 7/31/78 | 4/13/79 | 11.6 | 4.4 |
| ILLINOIS CC | Southwestern Bell Telephone Company | 12/11/69 | 11/06/70 | 86.5 | 77.9 |
| | | 12/18/70 | 12/15/71 | 2.2 | 1.5 |
| | Illinois Bell Telephone Company | 9/23/71 | 8/11/72 | 182.0 | 44.6 |
| | | 11/21/72 | 10/17/73 | 20.8 | 0.0 |
| | | 1/25/73 | 12/21/73 | 62.1 | 58.6 |
| | | 3/07/75 | 2/04/76 | 177.7 | 70.4 |
| | | 7/02/76 | 5/25/77 | 110.2 | 8.5 |
| | | 12/28/77 | 11/21/78 | 203.0 | -0- |
| INDIANA PSC | Indiana Bell Telephone Company | 12/11/70 | 7/09/71 | 26.6 | 24.0 |
| | | 12/01/71 | 8/04/72 | 14.8 | 13.2 |
| | | 12/06/72 | 5/11/73 | 3.5 | 2.1 |
| | | 12/06/72 | 10/05/73 | 30.5 | 23.4 |
| | | 11/20/74 | 9/03/75 | 44.5 | 38.4 |
| | | 11/30/76 | 5/25/77 | 14.2 | 13.7 |
| | | 12/15/77 | 12/13/78 | 42.0 | 25.2 |
| IOWA SCC | Northwestern Bell Telephone Company | 7/21/71 | 12/29/72 | 21.0 | 8.6 |
| | | 10/31/73 | 11/26/75 | 20.0 | 11.4 |
| | | 2/28/75 | Pending-Court | 12.1 | 12.1 |
| | | 10/31/75 | Pending-Court | 10.8 | 10.8 |
| | | 9/02/77 | Pending | 18.6 | 18.6 |
| KANSAS SCC | Southwestern Bell Telephone Company | 10/08/71 | 11/15/72 | 14.8 | 5.7 |
| | | 11/15/74 | 12/19/75 | 24.5 | 5.0 |
| | | 3/04/76 | 11/09/76 | 25.9 7/ | 5.7 |
| | | 3/04/77 | 3/07/78 | 24.6 | 8.5 |
| | | 1/11/79 | 2/19/80 | 33.3 | 26.8 |
| KENTUCKY URC | Cincinnati Telephone Company | 12/11/69 | 10/10/70 | 2.1 | 1.2 |
| | | 12/28/71 | 1/16/74 | 3.8 | 2.2 |
| | South Central Bell Telephone Company | 6/29/70 | 12/16/70 | 14.8 | 0.0 |
| | | 1/14/72 | 10/20/72 | 25.7 | 15.1 |
| | | 9/06/74 | 2/26/75 | 9.9 | 6.0 |
| | | 3/14/75 | 9/03/75 | 39.0 | 15.0 |
| LOUISIANA PSC | South Central Bell Telephone Company | 12/27/68 | 11/13/70 | 24.6 | 9.1 |
| | | 12/20/71 | 3/12/73 | 48.1 14/ | 18.2 |
| | | 1/04/74 | 3/13/74 | 23.7 | 9.8* |
| | | 1/03/75 | 3/19/75 | 52.8 | 15.4** |
| | | 4/18/75 | 6/15/76 | 89.0*** | 11.4 9/ |
| | | 4/12/77 | 4/10/78 | 120.5**** | 39.0 13/ |
| MAINE PUC | New England Tel. & Tel. Company | 10/15/71 | 7/14/72 | 10.0 | 7.8 |
| | | 9/13/73 | 6/13/74 | 6.3 | 4.0 |
| | | 10/08/74 | 7/03/75 | 21.0 | 9.5 |
| | | 9/10/76 | Pending | 27.0 | |
| MARYLAND PSC | Chesapeake & Potomac Telephone Co. | 4/23/71 | 1/06/72 | 42.6 | 27.5 |
| | | 4/23/73 | 10/19/73 | 39.2 | 20.8 |
| | | 12/16/74 | 6/16/75 | 63.6 | 21.9 |
| | | 9/15/75 | 2/25/76 | 22.3 | 20.6 |
| | | 11/05/76 | 5/05/77 | 86.3 | 19.1 |
| | | 9/07/77 | 2/24/78 | 11.4 | 7.8 |
| | | 4/16/79 | 11/19/79 | 36.3 | 16.3 |

* Increase in net operating income.
** Increase after income taxes.
*** Amended November 1975.
**** Amended.

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT (Continued)

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| MASSACHUSETTS DPU | New England Tel. & Tel. Company | 7/15/69 | 1/21/72 | 56.8 | 23.8 |
| | | 10/01/71 | 1/21/72 | 79.5 | 43.6 |
| | | 7/27/72 | 6/25/73 | 122.0 | 66.7 |
| | | 2/14/74 | 9/18/74 | 41.8 | 32.4 |
| | | 11/26/74 | 11/23/75 | 210.0 | 93.0 |
| | | | 6/08/77 | | 20.0 |
| MICHIGAN PSC | Michigan Bell Telephone Company | 8/01/68 | 8/31/70 | 50.3 | 14.8 |
| | | 12/10/70 | 12/01/71 2/ | 59.7 | 18.0 |
| | | | 4/28/72 3/ | | 25.8 |
| | | 2/15/73 | 12/21/73 | 29.7 | 24.8 |
| | | 4/23/74 | 12/19/74 2/ | 111.4 | 27.5 |
| | | | 2/20/75 3/ | | 32.2 |
| | | 4/24/75 | 5/04/76 | 88.1 | 52.2 |
| | | 6/17/76 | 4/04/77 | 178.2 | 59.0 |
| | | 8/23/77 | 1/23/78 | 32.7 | 27.4 |
| | | 12/01/78 | 6/05/79 2/ | 142.0 | 41.2 |
| MINNESOTA PSC | Northwestern Bell Telephone Company | 6/17/71 | 1/25/72 | 38.0 | 34.0 |
| | | 4/18/74 | 11/22/74 | 56.7 | 38.7 |
| | | 11/14/75 | 10/15/76 | 49.8 | 33.1 |
| | | 2/18/77 | 7/06/77 | 11.8 | 8.6 |
| | | 12/02/77 | Pending | 63.1 | |
| MISSISSIPPI PSC | South Central Bell Telephone Company | 6/28/71 | 11/30/71 | 20.1 | 12.9 |
| | | 10/18/73 | 4/11/74 | 18.8 | 11.5 |
| | | 11/12/75 | 5/10/76 | 44.5 | 29.7 |
| | | 10/03/77 | 2/17/78 | 46.9 | 27.9 |
| MISSOURI PSC | Southwestern Bell Telephone Company | 10/01/71 | 8/18/72 | 63.5 | 35.0 |
| | | 7/02/74 | 2/21/75 | 52.3 | 32.5 |
| | | 1/15/76 | 12/21/76 | 71.6 | 27.25 |
| MONTANA PSC | Mountain Bell | 3/77 | 11/78 | 11.8 | [illegible].1 |
| | | 2/79 | 12/79 | 16.6 | 3.2 |
| NEBRASKA PSC | Northwestern Bell Telephone Company | 6/11/71 | 1/31/72 | 13.5 | 6.7 |
| | | 3/06/72 | 6/19/72 | 4.4 | 2.0 |
| | | 8/31/73 | 1/03/74 | 6.0 | 2.2 |
| | | 11/07/74 | 7/03/75 | 16.0 | 5.3 |
| | | 8/04/75 | 4/05/76 | 7.1 | 5.3 |
| | | 9/06/77 | 8/05/78 | 17.0 | 4.9 |
| | | 10/23/79 | Pending | 14.8 | |
| NEVADA PSC | Bell Telephone Company of Nevada | 7/31/75 | 1/27/76 | 5.9 | 3.9 |
| NEW HAMPSHIRE PUC | New England Tel. & Tel. Company | 8/06/71 | 8/24/72 | 9.9 | 5.2 |
| | | 5/23/74 | 9/25/74 | 5.0 | 5.0 |
| | | 7/24/75 | 4/16/76 | 19.5 | 12.9 |
| NEW JERSEY BPU | New Jersey Bell Telephone Company | 9/15/70 | 1/13/72 | 79.0 | 48.6 |
| | | 2/28/72 | 12/29/72 | 137.1 | 55.2 |
| | | | 12/13/73 | | 17.0 6/ |
| | | 7/18/74 | 9/18/75 | 134.9 | 59.9 |
| | | 7/18/74 | 12/24/74 | | 19.4 6/ |
| | | 12/02/75 | | 174.6 | Denied<br>11/05/76 8/ |
| | | 11/30/77 | 1/07/79 | 148.8 | 51.2 |
| NEW MEXICO SCC | Mountain Bell Telephone Company | 5/15/73 | 11/14/73 | 6.5 | 6.0 |
| | | 4/16/75 | | | Denied<br>1/12/76 |

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT (Continued)

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| NEW YORK PSC | New York Telephone Company | 3/20/69 | 9/01/70 | 189.3 | 125.0 |
| | | 2/16/71 | 1/17/72 | 418.6 | 370.6 |
| | | 12/18/72 | 11/16/73 | 405.0 | 304.0 |
| | | 9/12/74 | 8/11/75 | 52.4 | 46.8 |
| | | 11/21/74 | 10/22/75 | 488.8 | 297.9 |
| | | | 8/01/76 | | 47.1 |
| | | 11/17/76 | 12/11/77 | 392.9 | 219.3 |
| | | | 8/06/78 | | 70.2 |
| | | 5/08/78 | 3/28/79 | 80.0 | 15.2 |
| | | 12/11/78 | 11/09/79 | 240.3 | 164.5 |
| NORTH CAROLINA UC | Southern Bell Tel. & Tel. Company | 11/27/70 | 8/02/71 | 23.1 | 13.3 |
| | | 10/15/71 | 6/30/72 | 29.7 | 6.1 |
| | | | 10/17/72 | | 5.8 |
| | | 6/20/74 | 4/30/74 | 33.2 | 8.3 |
| | | 7/19/74 | 12/19/75 | 53.2 | 36.2 |
| | | 8/18/77 | 3/24/78 | 53.7 | 28.7 |
| | | 7/03/79 | 2/07/80 | 45.3 | 25.5 |
| NORTH DAKOTA PSC | Northwestern Bell Telephone Company | 7/30/71 | 4/20/72 | 3.5 | 1.9 |
| | | 12/31/74 | 1/27/75 | 8.6 | .5 |
| | | | 6/12/75 | | 4.4 |
| | | | 8/77 | 8.8 | 7.4 |
| OHIO PUC | Cincinnati Telephone Company | 12/11/69 | 7/10/70 | 13.3 | 9.7 |
| | | 12/28/71 | 8/24/73 | 22.7 | 14.1 |
| | | 11/17/75 | 3/09/77 | 35.0 | 28.8 |
| | Ohio Bell Telephone Company | 8/01/69 | 3/27/70 | 80.0 | 55.0 |
| | | 8/09/71 | 8/07/73 | 165.0 | 98.0 |
| | | 11/07/74 | 8/13/76 | 216.0 | 200.0 |
| | | 2/29/80 | | 99.6 | |
| OKLAHOMA CC | Southwestern Bell Telephone Company | 4/10/75 | 12/03/75 | 35.3 | 14.5 |
| | | 3/01/76 | Pending | 18.5 | 5.9 |
| | | 2/18/77 | 11/21/77 | 34.9 | 21.5 |
| OREGON PUC | Pacific Northwest Bell Telephone Co. | 2/17/71 | 12/31/71 | 26.5 | 14.2 |
| | | 9/15/72 | 7/14/73 | 33.7 | 18.9 |
| | | 12/20/74 | 8/29/75 | 35.7 | 22.3 |
| | | 12/14/79 | Pending | 43.9 | |
| PENNSYLVANIA PUC | Pennsylvania Telephone Company | 12/03/70 | 12/14/71 | 73.0 | 52.6 |
| | | 12/07/72 | 12/12/73 | 55.7 | 36.6 |
| | | 11/15/74 | 3/11/75 | 116.4 | 47.5 |
| | | 11/04/76 | 4/20/78 | 138.9 | 48.6 |
| | | 12/10/78 | Pending | 77.3 | 1/ |
| RHODE ISLAND PUC | New England Tel. & Tel. Company | 4/01/69 | 1/30/70 | 9.2 | 5.9 |
| | | 4/16/71 | 5/04/72 | 14.8 | 8.0 |
| | | | 7/20/73 | | 6.8 |
| | | 8/30/74 | 6/27/75 | 19.5 | 7.2 |
| | | | 7/02/76 | | .6 |
| | | | 12/10/76 | | .7 10/ |
| | | | 1/18/77 | | 9.0 11/ |
| | | 11/05/76 | 9/04/77 | 25.0 | 3.5 |
| | | | (Supreme Court Remand) | | 7.4 |
| SOUTH CAROLINA PSC | Southern Bell Tel. & Tel. Company | 8/10/71 | 12/22/71 | 12.2 | 8.8 |
| | | 8/24/73 | 12/20/73 | 11.1 | 9.1 |
| | | 12/12/74 | 7/11/75 | 16.0 | 11.5 |
| | | 7/01/76 | 1/24/77 | 34.0 | 0.0 12/ |
| | | 8/01/78 | Pending | | |

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT (Continued)

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| SOUTH DAKOTA PUC | Northwestern Bell Telephone Company | 5/22/72 | 12/12/73 | 5.8 | .7 |
| | | | 3/19/74 | | .2 |
| | | 3/28/75 | 4/09/75 | 2.1 | 1.3 |
| | | 3/28/75 | 4/26/76 | 10.3 | 2.5 |
| | | 12/10/76 | 8/15/77 | .7 | .3 |
| | | 12/16/77 | 2/02/78 | 1.0 | - |
| | | 11/20/78 | 12/20/78 | 1.2 | 1.2 |
| | | 8/31/79 | | 3.8 | |
| TENNESSEE PSC | South Central Bell Telephone Company | 9/10/71 | 5/23/72 | 24.2 | 10.3 |
| | | | 12/29/72 | | 31.7 |
| | | 6/22/73 | 12/21/73 | 31.0 | 16.5 |
| | | 11/12/74 | 6/02/75 | 65.6 | 62.0 |
| | | | 5/05/76 | | -11.0 |
| | | 11/05/76 | 5/04/77 | 79.5 | 25.8 |
| | | 7/01/77 | 12/31/77 | 92.0 | -0- |
| | | | 6/28/79 | | 48.9 |
| TEXAS PUC | Mountain Bell | 11/30/70 | 2/25/71 | 1.2 | 1.2 |
| | | 1/04/73 | 7/19/73 | 1.3 | 1.3 |
| | | 12/16/77 | 3/15/78 | 6.3 | 4.9 |
| | Southwestern Bell | 9/01/76 | 1/10/77 | 298.3 | 57.8 |
| | | 3/09/78 | 8/02/78 | 214.3 | 124.5 |
| | | 6/28/79 | 11/09/79 | 145.2 | 138.7 |
| UTAH PSC | Mountain States Telephone and Telegraph Company | | 12/30/74 | 19.6 | 14.1 |
| | | | 1/28/76 | 1.7 | 1.3 |
| | | | 12/04/76 | 6.2 | 5.2 |
| | | | 7/14/77 | 19.2 | 8.1 |
| | | | 9/15/77 | | 2.9 |
| VERMONT PSB | New England Tel. & Tel. Company | 7/02/71 | 11/29/71 | 1.9 | 1.9 |
| | | 9/15/72 | 5/17/73 | 7.3 | 0.0 |
| | | 1/21/74 | 2/12/75 | 7.0 | 7.0 |
| | | 6/24/75 | 3/24/76 5/ | 7.2 | 4.0 |
| VIRGINIA SCC | Chesapeake & Potomac Telephone Company | 4/15/71 | 11/17/71 | 51.6 | 33.3 |
| | | 6/15/72 | 1/09/74 | 32.7 | 12.6 |
| | | 1/28/75 | 8/19/75 4/ | 87.8 | 45.4 |
| | | 3/23/76 | 4/21/76 | 42.0 | 0.0 |
| | | 4/29/76 | 2/18/77 | 77.3 | 40.5 |
| | | 8/25/78 | 3/03/79 15/ | 24.2 | 22.7 |
| WASHINGTON UTC | Pacific Northwest Bell Telephone Company | 1/29/71 | 12/23/71 | 36.2 | 19.0 |
| | | 6/16/72 | 4/16/73 | 44.9 | 23.6 |
| | | 3/01/74 | 1/17/75 | 25.6 | 19.2 |
| | | 7/09/75 | 4/23/76 | 74.0 | 27.5 |
| | | 11/28/77 | 10/10/78 | 52.3 | (4.8) |
| | | 9/19/79 | Pending | 48.5 | |
| WEST VIRGINIA PSC | Chesapeake & Potomac Telephone Company | 10/22/69 | 5/04/72 | 11.3 | 7.6 |
| | | 6/19/72 | 6/24/74 | 14.8 | 9.4 |
| | | 11/08/74 | 12/17/76 | 26.7 | 9.8 |
| | | 3/09/77 | 6/30/78 | 33.1 | |
| | | 5/15/78 | Pending | 37.0 | |
| WISCONSIN PSC | Wisconsin Telephone Company | 12/30/68 | 6/09/70 | 17.8 | 12.1 |
| | | 11/09/70 | 1/22/71 | 6.3 | 6.3 |
| | | 7/22/71 | 11/21/72 | 41.3 | 15.2 |
| | | 6/05/74 | 3/19/75 | 9.5 | 6.3 |
| | | 8/22/75 | 10/07/75 | 14.3 | 12.1 |
| | | 8/02/76 | 3/31/77 | 46.6 | 19.1 |
| | | 6/30/77 | 7/25/78 | 73.6 | 33.2 |
| | | 1/26/79 | 11/29/79 | 49.7 | 19.9 |

Table 53 - BELL SYSTEM INTRASTATE RATE INCREASES, JANUARY 1, 1970 TO PRESENT (Continued)

| Agency | Company | Date Filed | Date Ordered | Amount Requested (millions) | Amount Granted (millions) |
|---|---|---|---|---|---|
| WYOMING PSC | Mountain Bell | 11/04/71 | 5/10/72 | 4.3 | 1.8 |
| | | 12/09/74 | 5/19/75 | 4.0 | 1.4 |
| | | 7/22/75 | 3/11/76 | 2.0 | 1.2 |
| | | 6/04/76 | 12/22/76 | 6.8 | 3.5 |

1/ Initial request was $156.3 million but reduced to $77.3 million on 1/22/79.
2/ Partial interim increase.
3/ Additional final increase.
4/ Included in the permanent increase granted on August 19, 1975, was an interim increase of $28,749 through surcharge of 10.38% effective March 10, 1975.
5/ Remanded by the Vermont Supreme Court.
6/ Comprehensive adjustment clause.
7/ Reduced from 33.1 to 25.9 after Kansas SCC granted Southwestern permission to charge for directory assistance.
8/ Denied because 1975 rate of return was above allowed. Over recovery to be used to establish "Lifeline Service" (Low Use Measured Residence Service) effective 11/27/76.
9/ Amount awarded by the Supreme Court of state of Louisiana.
10/ Award stayed by Rhode Island Supreme Court.
11/ Amount authorized by Rhode Island Supreme Court in place of $.7 million.
12/ Appealed to the Supreme Court of South Carolina.
13/ 19th Judicial District Court granted company an additional increase of $4.4 million over $39 million granted by Commission. State Supreme Court reversed the lower Court's decision and upheld the Commission.
14/ Amended in October 1972--originally requested $36.1 million; granted $18.2 million increase in net operating income.
15/ Temporary surcharge of 5.72% subject to hearing at a later date.

Introduction

## REGULATION OF RADIO-COMMON CARRIERS

The following table was prepared from answers to the following questions submitted to regulatory commissions:

1. Do you regulate any aspect of radio-common carriers also known as miscellaneous common carriers or radiotelephone utilities?
2. If the answer to 1. is yes, indicate all of the aspects over which you assert jurisdiction:
   a. Certification of Public Convenience and Necessity
   b. Tariffs
   c. Rates
   d. Service (conditions of Service)
   e. Financing
3. Does your jurisdiction extend to:
   a. All radio-common carriers?
   b. Only those radio-common carriers which interconnect with the public message telephone network?
4. Indicate total number of RCC's that you regulate.
5. Which of the following services, when provided by radio-common carriers, do you regulate:
   a. Two-way mobile telephone service?
   b. Radio paging service?
   c. Other?
6. Is the statutory basis of your regulation over radio-common carriers:
   a. The general statutes of the state applying to telephone, telegraph, or communications utilities?
   b. A statute enacted for the specific purpose of regulating radio-common carriers?
7. If the answer to question 6 is b, please indicate the year in which such statute became law.
8. In what year did your Commission first assert jurisdiction over the regulation of radio-common carriers?
9. Do you regulate telephone answering service?
10. Has your state taken a position that it has authority to regulate Multi-Point Distribution Service (MDS) with respect to requiring a certificate of public convenience and necessity?
11. Have any proceedings been conducted before your Commission to determine the status of unregulated entities alleged to be operating in the manner of a radio-common carrier, e.g. pseudo-common carrier, quasi-common carrier, entrepreneur-operated community repeaters, radio paging by a telephone answering service, etc.?
12. If the answer to 11 is yes, please indicate the number of such proceedings.
13. Are radio-common carriers under your jurisdiction granted a specific service area?
14. Indicate the method of delineating the service area of radio-common carriers:
    a. By signal contours (e.g. 37dbu)
    b. Other
15. In granting new certificates, do you provide for substantially exclusive service areas?
16. Do you require possession of a valid FCC license or Construction Permit prior to granting certification?
17. With respect to accounting procedures for radio-common carriers do you use accounting procedures specifically designed for RCC's?
18. Do you have any state policies that would encourage or require provision of service to transient users (roamers)?
19. Do you regulate radio activity conducted by land-line telephone companies?
20. Do the same regulations pertinent to radiotelephone service apply to both RCC's and land-line telephone companies?

Table 54 - REGULATION OF RADIO-COMMON CARRIERS

| :Ques.: | Item | FCC | :Ala. | :Alaska | :Ariz. | :Ark. | :Calif. | :Colo. | :Conn. | :Del. | :D.C. | :Fla. | :Geo. | :Guam | :Hawaii | :Idaho | :Ill. | :Ind. | :Iowa | :Kan. |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Regulate RCC's | Yes1/ | Yes | Yes | Yes | No | Yes | Yes | No | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | No | Yes |
| 2.a | CPC&N | Yes2/ | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| b | Tariffs | Yes2/ | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| c | Rates | Yes2/ | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| d | Service | Yes2/ | Yes | Yes | Yes | - | Yes | Yes | - | Yes | - | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| e | Financing | Yes2/ | Yes | - | Yes | - | Yes | No | - | Yes | - | - | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| 3.a | Regulate all RCC's | Yes | Yes | Yes | - | - | Yes | - | - | Yes | - | Yes | Yes | - | - | - | - | Yes | - | Yes |
| b | Interconnected Only | - | - | - | Yes | Yes | - | Yes | - | - | Yes | - | - | - | Yes | Yes | Yes | - | - | - |
| 4. | Number RCC's Regulated | 581 | 23 | 3 | 9 | NA | 47 | 18 | - | 6 | 11 | 88 | 34 | - | 2 | 7 | 16 | 21 | - | 14 |
| 5.a | 2-Way Mobile | Yes | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| b | Radio Paging | Yes | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | - | Yes | Yes | - | Yes | Yes | Yes | Yes | - | Yes |
| c | Other | 3/ | - | Yes | - | - | Yes | - | - | Yes | - | Yes | - | - | - | - | - | - | - | Yes |
| 6.a | General Statute | - | - | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | - | - | Yes | Yes | Yes | Yes | - | - |
| b | Special RCC Statute | Yes | Yes | - | - | - | - | - | - | - | - | - | Yes | - | - | - | - | - | - | Yes |
| 7. | RCC Statute Year | 1934 | 1971 | - | - | - | - | - | - | - | - | - | 1972 | - | - | - | - | - | - | 1969 |
| 8. | Year of Regulation | 1948 | 1972 | 1964 | 1913 | NA | 1961 | 1962 | - | 1974 | 1968 | 1965 | 1970 | - | 1973 | 1966 | 1962 | 1972 | - | 1967 |
| 9. | Regulate TAS | No | No | No | No | No | No | No | - | No | No | No | No | - | No | No | No | No | - | No |
| 10. | MDS CPC&N Req'd | Yes | No | No | No | No | Yes | No | - | No | No | Yes | No | - | No | No | No | No | - | No |
| 11. | Status Proceeding | Yes | Yes | No | No | No | Yes | No | - | No | No | Yes | No | - | No | No | No | No | - | Yes |
| 12. | No. of Status Proc. | 4/ | 1 | - | - | - | 8 | - | - | - | - | 1 | - | - | - | - | - | - | - | 2 |
| 13. | Specif. Areas Granted | Yes | Yes | Yes | Yes | No | Yes | Yes | - | Yes | No | Yes | Yes | - | No | Yes | Yes | Yes | - | Yes |
| 14.a | Signal Contour Area | Yes | Yes | - | Yes | - | Yes | Yes | - | Yes | - | Yes | - | - | - | - | - | - | - | Yes |
| b | Other | - | - | Yes | Yes | - | - | - | - | - | Yes | - | Yes | - | - | Yes | Yes | Yes | - | - |
| 15. | Exclusive Areas | No | Yes | Yes | Yes | NA | No | No | - | No | No | Yes | Yes | - | NA | No | No | No | - | Yes |
| 16. | FCC License Req'd First | - | No | No | Yes | No | No | No | - | Yes | No | No | No | - | No | Yes | No | Yes | - | No |
| 17. | Special RCC Accounts | No | No | No | No | NA | Yes | No | - | No | No | Yes | No | - | No | No | Yes | No | - | No |
| 18. | Encourage Transient Svc. | No | Yes | No | No | No | Yes | No | - | No | No | Yes | No | - | No | No | No | Yes | - | No |
| 19. | Regulate Tel. Co. Mob. Radio | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | Yes | Yes |
| 20. | Same Regulation RCC, Tel. Co. | Yes | Yes | Yes | Yes | No | Yes | Yes | - | Yes | Yes | No | No | - | Yes | Yes | Yes | Yes | - | No |

- Item does not apply
NA No answer

1/ The FCC regulates the use of all radio spectrum.

2/ Where signals cross state lines or are interconnected into the public switched telephone network and are not regulated by any state or local authority the FCC assumes jurisdiction.

3/ Air-Ground Mobile Service, Rural Radio Service, Off-Shore Radio Telephone Service.

4/ Three major rulemakings (Dockets 18921, 18262, 20846) and multiple informal proceedings conducted by the Commission or its staff.

Table 54 . REGULATION OF RADIO-COMMON CARRIERS (Continued)

| Ques. | Item | Kent. | La. | Maine | Md. | Mass. | Mich. | Minn. | Miss. | Mo. | Mont. | Nebr. | Nev. | N.H. | N.J. | N.M. | N.Y. | N.C. | N.D. | Nova Scotia |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Regulate RCC's | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | No |
| 2.a | CPC&N | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| b | Tariffs | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| c | Rates | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| d | Service | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| e | Financing | Yes | Yes | Yes | - | Yes | Yes | - | - | Yes | - | - | - | Yes | - | - | Yes | Yes | Yes | - |
| 3.a | Regulate all RCC's | - | Yes | Yes | Yes | Yes | - | - | Yes | - | - | Yes | Yes | - | - | Yes | Yes | Yes | - | - |
| b | Interconnected Only | Yes | - | - | - | - | Yes | - | - | Yes | Yes | - | - | Yes | - | - | - | - | Yes | - |
| 4. | Number RCC's Regulated | 14 | 13 | 7 | 6 | 20 | 17 | - | 20 | 18 | 15 | 10 | 12 | 3 | - | 11 | 46 | 15 | 4 | - |
| 5.a | 2-Way Mobile | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| b | Radio Paging | Yes | Yes | Yes | Yes | Yes | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| c | Other | - | - | Yes | Yes | Yes | - | - | - | - | - | - | Yes | Yes | - | - | Yes | Yes | - | - |
| 6.a | General Stacute | Yes | - | Yes | - | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | - |
| b | Special RCC Statute | - | Yes | - | Yes | Yes | - | - | Yes | - | - | - | - | - | - | - | - | Yes | - | - |
| 7. | RCC Statute Year | - | 1968 | - | 1971 | 1974 | - | - | 1956 | - | - | - | - | - | - | - | - | 1969 | - | - |
| 8. | Year of Regulation | 1960 | 1968 | 1974 | 1972 | 1974 | NA | - | 1956 | 1963 | NA | 1968 | 1919 | 1963 | - | 1967 | 1962 | 1966 | 1960 | - |
| 9. | Regulate TAS | No | No | No | Yes | No | No | - | Yes | No* | Yes | No | No | No | - | No | No | No | No | - |
| 10. | MDS CPC&N Req'd | No | No | No | No | Yes | No | - | No | No | No | No | Yes | No | - | No | No | Yes | No | - |
| 11. | Status Proceeding | No | Yes | No | No | No | No | - | No | Yes | No | No | Yes | No | - | No | No | No | No | - |
| 12. | No. of Status Proc. | - | 2 | - | - | - | - | - | - | NA | - | - | 1 | - | - | - | - | - | - | - |
| 13. | Specific Areas Granted | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | No | Yes | Yes | Yes | - | Yes | Yes | Yes | No | - |
| 14.a | Signal Contour Area | Yes | - | - | Yes | - | - | - | - | - | - | - | Yes | - | - | - | Yes | - | - | - |
| b | Other | Yes | Yes | Yes | - | Yes | Yes | - | Yes | Yes | - | Yes | Yes | Yes | - | Yes | - | Yes | - | - |
| 15. | Exclusive Areas | No | Yes | - | Yes | No | No | - | Yes | No | No | Yes | Yes | Yes | - | No | No | Yes | No | - |
| 16. | FCC License Req'd First | Yes | Yes | No | No | Yes | No | - | No | Yes | Yes | No | Yes | Yes | - | No | No | No | No | - |
| 17. | Special RCC Accounts | No | No | No | No | No | No | - | No | No | No | Yes | No | No | - | No | Yes | Yes | Yes | - |
| 18. | Encourage Transient Svc. | No | No | No | No | No | Yes | - | No | No | No | Yes | No | No | - | No | No | Yes | No | - |
| 19. | Regulate Tel. Co. Mobile | Yes | Yes | No | No | Yes | Yes | - | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| 20. | Same Regulation RCC, Tel. Co. | Yes | No | No | Yes | Yes | Yes | - | Yes | Yes | Yes | Yes | Yes | Yes | - | Yes | Yes | Yes | No | - |

- Item does not apply
NA No answer

Table 54 - REGULATION OF RADIO-COMMON CARRIERS (Continued)

| Ques. | Item | Ohio | Okla. | Ore. | Penn. | Quebec PSB | R.I. | S.Car. | S.Dak. | Tenn. | Texas | Utah | Ver. | V.I. | Vir. | Wash. | W.Va. | Wisc. | Wyo. |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. | Regulate RCC's | Yes | No | No | Yes | No | No | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes | Yes |
| 2.a | CPC&N | Yes | - | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | Yes | Yes | - | Yes |
| b | Tariffs | Yes | - | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | - | Yes | Yes | Yes |
| c | Rates | Yes | - | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | - | Yes | Yes | Yes |
| d | Service | Yes | - | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | - | Yes | Yes | Yes |
| e | Financing | Yes | - | - | - | - | - | Yes | No | Yes | Yes | - | - | - | Yes | - | - | Yes | - |
| 3.a | Regulate all RCC's | - | - | - | Yes | - | - | Yes | No | Yes | Yes | - | - | - | Yes | - | Yes | Yes | Yes |
| b | Interconnected Only | Yes | - | - | - | - | - | - | Yes | - | - | Yes | - | - | - | Yes | - | - | - |
| 4. | No. RCC's Regulated | 19 | - | - | 33 | - | - | 11 | 9 | 12 | 105 | 4 | - | - | 8 | 23 | 9 | 12 | 7 |
| 5.a | 2-Way Mobile | Yes | Yes | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | Yes | Yes | Yes | Yes |
| b | Radio Paging | Yes | Yes | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | Yes | Yes | - | Yes |
| c | Other | - | No | - | Yes | - | - | - | Yes | - | Yes | Yes | - | - | Yes | Yes | Yes | Yes | - |
| 6.a | General Statute | Yes | - | - | - | - | - | Yes | Yes | - | Yes | Yes | - | - | - | Yes | Yes | Yes | Yes |
| b | Special RCC Statute | - | - | - | Yes | - | - | Yes | No | Yes | - | - | - | - | Yes | - | - | - | - |
| 7. | RCC Statute Year | - | - | - | 1939 | - | - | 1975 | - | 1972 | 1975 | - | - | - | 1970 | - | - | - | - |
| 8. | Year of Regulation | 1966 | 1975 | - | 1964 | - | - | 1966 | 1968 | 1965 | 1976 | 1962 | - | - | 1970 | 1963 | 1965 | 1952 | 1973 |
| 9. | Regulate TAS | No | No | - | No | - | - | No | No | No | No | No | - | - | No | No | No | No | No |
| 10. | MDS CPC&N Req'd | No | - | - | No | - | - | No | No | Yes | No | No | - | - | No | No | No | No | No |
| 11. | Status Proceeding | Yes | - | Yes | No | - | - | No | No | Yes | No | No | - | - | No | No | No | No | No |
| 12. | No. of Status Proc. | 6 | - | 0 | - | - | - | - | - | 1 | - | - | - | - | - | - | - | - | - |
| 13. | Specific Areas Granted | Yes | Yes | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | Yes | No | Yes | Yes | NA |
| 14.a | Signal Contour Area | Yes | No | - | Yes | - | - | Yes | Yes | Yes | Yes | Yes | - | - | - | - | - | - | Yes |
| b | Other | Yes | - | - | - | - | - | Yes | Yes | - | - | - | - | - | Yes | - | Yes | Yes | Yes |
| 15. | Exclusive Areas | No | - | - | No | - | - | No | No | No | No | Yes | - | - | Yes | - | Yes | No | Yes |
| 16. | FCC License Req'd First | Yes | - | - | No | - | - | No | Yes | No | Yes | No | - | - | No | - | No | Yes | Yes |
| 17. | Special RCC Accounts | No | Yes | - | Yes | - | - | Yes | Yes | Yes | No | No | - | - | Yes | NA | No | Yes | No |
| 18. | Encourage Transient Svc. | No | No | - | No | - | - | No | No | No | Yes | No | - | - | No | No | Yes | No | No |
| 19. | Regulate Tel Co. Mobile | Yes | Yes | Yes | Yes | - | - | Yes | Yes | Yes | Yes | No | - | - | Yes | Yes | Yes | Yes | Yes |
| 20. | Same Regulation RCC, Tel. Co. | Yes | No | - | No | - | - | No | Yes | No | Yes | No | - | - | No | No | Yes | Yes | Yes |

- Item does not apply
NA No answer

## Table 55 - UNIFORM SYSTEM OF ACCOUNTS FOR RADIO COMMON CARRIERS

Question - Has your Agency prescribed a Uniform System of Accounts (USOA) for Radio Common Carriers? Please list system prescribed.

| AGENCY | No Jurisdiction | SYSTEM PRESCRIBED | | | | Comments |
|---|---|---|---|---|---|---|
| | | NARUC USOA | No USOA Prescribed | Own USOA | FCC USOA | |
| ALABAMA PSC | | X | | | | |
| ALASKA PUC | | | X | | | |
| ALBERTA PUB | | | X | | | |
| ARIZONA CC | | X | | | | |
| ARKANSAS PSC | X | | | | | |
| CALIFORNIA PUC | | | | X | | |
| COLORADO PUC | | X | | | | |
| CONNECTICUT PUC | X | | | | | |
| DELAWARE PSC | | | X | | | |
| DISTRICT OF COLUMBIA PSC | | | X | | | |
| FLORIDA PSC | | X | | | | |
| GEORGIA PSC | | X | | | | |
| GUAM PUC | X | | | | | |
| HAWAII PUC | | | X | | | Currently in consideration for rulemaking. |
| IDAHO PUC | | X | | | | |
| ILLINOIS CC | | | X | | | |
| INDIANA PSC | | | X | | | NARUC USOA is being considered. |
| IOWA SCC | X | | | | | |
| KANSAS SCC | | X | | | | NARUC USOA with modifications. |
| KENTUCKY URC | | | | | | NARUC USOA with modifications. |
| LOUISIANA PSC | | X | | | | NARUC USOA with modifications. |
| MAINE PUC | | | | X | | Modified telephone. |
| MARYLAND PSC | | X | | | | |
| MASSACHUSETTS DPU | | | | | X | |
| MICHIGAN PSC | | | X | | | After rulemaking is promulgated, it will be with modifications. |
| MINNESOTA PSC | X | | | | | |
| MISSISSIPPI PSC | | | X | | | |
| MISSOURI PSC | | | X | | | |
| MONTANA PSC | | | X | | | |
| NEBRASKA PSC | | | | X | | |
| NEVADA PSC | | X | | | | NARUC USOA with modifications. |
| NEW HAMPSHIRE PUC | | | | | X | |
| NEW JERSEY BPU | X | | | | | |
| NEW MEXICO SCC | | | | | | |
| NEW YORK PSC | | | | X | | |
| NORTH CAROLINA UC | | | | | X | Required to file copy of FCC Form 1. |
| NORTH DAKOTA PSC | | | X | | | |
| NOVA SCOTIA PUR | X | | | | | |
| OHIO PUC | | | X | | | |
| OKLAHOMA CC | | X | | | | |
| OREGON PUC | X | | | | | |
| PENNSYLVANIA PUC | | X | | | | NARUC USOA with modifications. |
| QUEBEC PSB | X | | | | | |
| RHODE ISLAND PUC | X | | | | | |
| SOUTH CAROLINA PSC | | | | | | |
| SOUTH DAKOTA PUC | | | | | X | |
| TENNESSEE PSC | | X | | | | |
| TEXAS PUC | | | | | | |
| UTAH PSC | | | | | | |
| VERMONT PSB | X | | | | | |
| VIRGIN ISLANDS PSC | X | | | | | |
| VIRGINIA SCC | | X | | | | |
| WASHINGTON UTC | | | X | | | |
| WEST VIRGINIA PSC | | | X | | | |
| WISCONSIN PSC | | X | | | | NARUC USOA with modifications. |
| WYOMING PSC | | X | | | | NARUC USOA with modifications. |

## Introduction

## Telephone Subscriber Privacy

Tables 56 and 56a shows the results of a survey of the status of the law regarding privacy of a telephone subscriber's conversations and billing records.

Part I deals with subscriber conversation privacy. The following words, when used in Part I, shall have the following meanings:

(a) The words "service monitoring by telephone utility" shall mean surveillance by telephone utility employees of telephone conversations between other employees of that utility and members of the public for service monitoring purposes;

(b) The words "service monitoring by other than telephone utility" shall mean surveillance, by equipment furnished by a telephone utility, by business or government employees of telephone conversations between other employees of that business or government and members of the public for service monitoring purposes, but shall not include "service monitoring by telephone utility"; and

(c) The word "wiretapping" shall mean surveillance, by electronic or other means, by law enforcement officers, telephone utility employees or others of telephone conversations between two telephone users for purposes other than service monitoring.

Part II deals with subscriber records privacy. For purposes of this part, "subscriber records" are defined as credit information, toll billing records, unlisted numbers and addresses, etc., concerning subscribers, which the telephone utility gathers and maintains in the ordinary course of business.

In regard to the state of Federal law concerning privacy in telephone subscriber's conversations, it should be noted that the Federal Omnibus Crime Control and Safe Streets Act of 1968 expressly recognizes the lawfulness of official service observing by telephone companies and business subscribers. 18 U.S.C. §2510 (5)(a) and 2511 (2)(a), (d).

Table 56 - TELEPHONE SUBSCRIBER PRIVACY

Part I: Subscriber Conversation Privacy

| Y = Yes N = No<br>N/A = Not Applicable<br>Part I: Subscriber Conversation Privacy | | FCC | ALABAMA PSC | ALASKA PUC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | D.C. PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC | ILLINOIS CC | INDIANA PSC | IOWA SCC 11/ | KANSAS SCC | KENTUCKY URC | LOUISIANA PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. In your jurisdiction, are there any of the following which pertain to service monitoring by the telephone utility? | Statutory provisions | X1/ | | | X | | | X2/ | | | X | | | | | | X3/ | | | | | | |
| | Existing agency rules | | | | | | | | | | | | | | | | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | | | | | | | X4/ | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | X | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | X | | | | | X5/ | | | |
| | None | | X | | | | X | | X | X | | | X | X | | | | | X | | X | | X |
| 2. (a) Does the telephone utility report to your agency regarding its service monitoring activities? | Formal report required | | | | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | | | |
| | No report required, but utility voluntarily reports | | | | X | | | X6/ | | | | | | | | | | | | | | | |
| | No report required and utility does not voluntarily report | X | X | | | X | X | | X | X | X | 74/ | X | X | X | | X | | X | X | X | | X |
| (b) If so, to what extent does the utility report? | Complete report on the types and scope of service monitoring | | | | | | | | | | | X | | | | | | | | | | | |
| | Report on the types but not the scope of service monitoring | | | | | | | | | | | | | | | | | | | | | | |
| 3. (a) Does the telephone utility have any obligation to inform its subscribers and employees of the nature and extent of service monitoring? | Formal obligation to disclose | | | | | | | X4/ | | | | | | | | | | | | | | | |
| | Informal obligation to disclose | | | | | | | | | | | | | | | | | | | | | | |
| | No obligation to disclose | X | X | | X | X | X | | X7/ | X | X | X | X | X | X | | X | | X | X | X | | X |
| (b) If so, how is the obligation carried out? | Billing inserts to all subscribers | | | | | | | | | | | | | | | | | | | | | | |
| | Notice in telephone directories | | | | | | | X | | | | | | | | | | | | | | | |
| | Public notice posted at business office | | | | | | | | | | | | | | | | | | | | | | |
| | Published tariff provisions | | | | | | | | | | | | | | | | | | | | | | |
| | On specific request or complaint by subscriber | | | | | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | X8/ | | | X9/ | | | | | | | | | | | | |
| 4. (a) To what extent are the subscriber and employee informed of service monitoring by the telephone utility? | Complete disclosure of types and scope of service monitoring | | | | X9/ | X | | X8/ | | | X9/ | X9/ | | | | | X9/ | | | X9/ | | | |
| | Disclosure of types but not scope of service monitoring | | | | | | | | | | | | | | | | | | X10/ | | X12/ | | |
| | No disclosure | | X | | | | X | | X7/ | X | | X13/ | X | X | X | | X13/ | | | | | | X |
| (b) To what extent are the subscriber and employee informed of service monitoring by your agency? | Complete disclosure of types and scope of service monitoring | | | | | | | | | | | | | | | | | | | | | | |
| | Disclosure of types but not scope of service monitoring | X<br>14/ | | | | | | | | | | | | | | | | | | | | | |
| | No disclosure | | X | | X | | X | X | 15/ | X | X | X | X | X | | | X | | X | X | X | | X |
| 5. Which statement most closely corresponds to the opinion of your agency concerning service monitoring by the telephone utility? | | | | | | | | | | | | 16/ | | | | | | | | 17/ | | | |
| (a) Service monitoring is a business necessity which outweighs a subscriber's right to privacy, and which ordinarily should not be subject to governmental review or regulation. | | | | | | | | | X | | X | | | X | | | X | | X | | | | |
| (b) The business necessity of service monitoring and a subscriber's right to privacy are equally compelling values. At the least, service monitoring should be subject to government review and regulation to correct any abuses that may appear. | | X | | | X | X | X | | | X | | | X | | | | | | | | X | | |
| (c) The subscriber's right to privacy is so fundamental that service monitoring either should not be permitted at all, or should be strictly regulated by the government. | | | X | | | | | X | | | | | | | | | | | | | | | |
| 6. In your jurisdiction, are there any of the following which pertain to service monitoring by other than the telephone utility? | Statutory provision | | | | | | | X2/ | | | X | | | X | | | X | | | | X | | |
| | Existing agency rules | | | | | | | | | X | | | | X | | | | | | | | | |
| | Pending agency rules | X | | | | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | X | | | | | | X4/ | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | | X18/ | | | |
| | Other | | | | | | | | | | | X19/ | | | | | X | | X19/ | | | | |
| | None | | X | | | X | X | | X | | | | | | X | | | | | | | | X |
| 7. Does the telephone utility have an obligation to ensure that the service monitoring referred to in item 6 is conducted with reasonable safeguards for individual privacy? | | | N/A | | Y<br>20/ | Y | | Y<br>2/4/ | Y | N | Y21/ | Y19/ | Y20/ | Y22/ | N | | Y19/ | | Y23/ | N | N | | N |

Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part I: Subscriber Conversation Privacy

Y = Yes N = No
N/A = Not Applicable

| Part I: Subscriber Conversation Privacy | | MAINE PUC | MARYLAND PSC | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY BPU | NEW MEXICO SCC | NEW YORK PSC | NORTH CAROLINA UC | NORTH DAKOTA PSC | NOVA SCOTIA PUB | OHIO PUC | OKLAHOMA CC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. In your jurisdiction, are there any of the following which pertain to service monitoring by the telephone utility? | Statutory provisions | | | X | | X | | | X | X | | | | | | | | | | |
| | Existing agency rules | | | | | | | | | | | X | | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | X | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | | | | X | | | | | | | | X | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | X[25] | | | | | |
| | Other | | X[24] | | | X | | | | | | | | X | | | | | | |
| | None | X | | | | | X | X | | | | | | | | X | X | X | X | X |
| 2. (a) Does the telephone utility report to your agency regarding its service monitoring activities? | Formal report required | | | X | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | |
| | No report required, but utility voluntarily reports | | | | | | | | | | | | | | | | | | | |
| | No reports required and utility does not voluntarily report | X | X | | | X | X | X | X | X | | X | | X | X | X | X | X | X | X |
| (b) If so, to what extent does the utility report? | Complete report on the types and scope of service monitoring | | | X | | | | | | | | | | | | | | | | |
| | Report on the types but not the scope of service monitoring | | | | | | | | | | | | | | | | | | | |
| 3. (a) Does the telephone utility have any obligation to inform its subscribers and employees of the nature and extent of service monitoring? | Formal obligation to disclose | | | | X | | | | | | | | | | | | | | | |
| | Informal obligation to disclose | | | | | | | | X[26] | | | | | | | | | | | |
| | No obligation to disclose | X | X | X | | X | X | X | | X | | X | | X | X | X | X | X | | X |
| (b) If so, how is the obligation carried out? | Billing inserts to all subscribers | | | | | | | | | | | | | | | | | | | |
| | Notice in telephone directories | | | | X | | | | | | | | X | | | | | | | |
| | Public notice posted at business office | | | | | | | | | | | | | | | | | | | |
| | Published tariff provisions | | | X | | | | | X | | | | | | | | | | | |
| | On specific request or complaint by subscriber | | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | X[27] | | | | | | | | X[28] | | | |
| 4. (a) To what extent are the subscriber and employee informed of service monitoring by the telephone utility? | Complete disclosure of types and scope of service monitoring | X[9] | | X | X | | | X[9] | | | | X[9] | | | | | | | X[9] | |
| | Disclosure of types but not scope of service monitoring | | | | | X[29] | | | | | | | | | | | X[12] | | | |
| | No disclosure | | X | | | X[30] | X | | | X | | | | X | X | | | | | X |
| (b) To what extent are the subscriber and employee informed of service monitoring by your agency? | Complete disclosure of types and scope of service monitoring | | | X | | | | | | | | | | | | | | | | |
| | Disclosure of types but not scope of service monitoring | | | | | | | | | | | | | | | | | | X | |
| | No disclosure | X | X | | | X | X | X | X[31] | X | | X | | | X | | X | X | | X |
| 5. Which statement most closely corresponds to the opinion of your agency concerning service monitoring by the telephone utility? | | [32] | | | | | | | | | | | | | | | | | | |
| (a) Service monitoring is a business necessity which outweighs a subscriber's right to privacy, and which ordinarily should not be subject to governmental review or regulation. | | | | | | X | | | | | | | | | | | X | | | |
| (b) The business necessity of service monitoring and a subscriber's right to privacy are equally compelling values. At the least, service monitoring should be subject to government review and regulation to correct any abuses that may appear. | | | X | X | X | | | X | X | X | | | X | | X[33] | X | | | | X |
| (c) The subscriber's right to privacy is so fundamental that service monitoring either should not be permitted at all, or should be strictly regulated by the government. | | | | | | | X | | | | | | | | | | | | X | |
| 6. In your jurisdiction, are there any of the following which pertain to service monitoring by other than the telephone utility? | Statutory provision | | | X | | X | | | | | | | | | | | | | | |
| | Existing agency rules | | | | | | | | | | | | | | | X | | | | |
| | Pending agency rules | | | | | | | | | | | | X | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | | | | X | | | | | | | | X | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | | |
| | Other | | X[19] | | | | | X[34] | | X[35] | | X[19] | | | | | | | | |
| | None | X | | | | X | X | | X | | | | | X | X | | X | X | X | X |
| 7. Does the telephone utility have an obligation to ensure that the service monitoring referred to in item 6 is conducted with reasonable safeguards for individual privacy? | | | Y[36] | Y | | Y | | Y[34] | Y[37] | | | Y[19] | | | N | Y[38] | | | | Y |

Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part I: Subscriber Conversation Privacy

| Y = Yes N = No<br>N/A = Not Applicable<br>Part I: Subscriber Conversation Privacy | | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS PUC | UTAH PSC | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. In your jurisdication, are there any of the following which pertain to service monitoring by the telephone utility? | Statutory provisions | | X | | | | X | | | | | | | | x75/ | | | | X |
| | Existing agency rules | | | | | X | | | | | X | | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | | X | | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | X | x39/ | | | X | | |
| | None | X | | X | | | | | X | X | | X | | | | X | | X | |
| 2. (a) Does the telephone utility report to your agency regarding its service monitoring activities? | Formal report required | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | X | | | | |
| | No report required, but utility voluntarily reports | | | | | | | | X | | X | | | | | | | | |
| | No report required and utility does not voluntarily report | X | X | X | | X | X | | | X | | X | X | X | | X | X | X | X |
| (b) If so, to what extent does the utility report? | Complete report on the types and scope of service monitoring | | | | | | | | | | | | | | | | | | |
| | Report on the types but not the scope of service monitoring | | | | | | | | X | | | | | | | | | | |
| 3. (a) Does the telephone utility have any obligation to inform its subscribers and employees of the nature and extent of service monitoring? | Formal obligation to disclose | | x40/ | | | | | | | | | | X | | | | | | |
| | Informal obligation to disclose | | | | | | x41/ | | | | | | | | | | | | |
| | No obligation to disclose | X | | X | | X | | | X | X | X | X | | X | X | X | X | X | |
| (b) If so, how is the obligation carried out? | Billing inserts to all subscribers | | | | | | | | | | | | | | | | | | |
| | Notice in telephone directories | | | | | | | | | | | | | | | | | | |
| | Public notice posted at business office | | X | | | | | | | | | | | | | | | | |
| | Published tariff provisions | | X | | | | | | | | | | X | | | | | | |
| | On specific request or complaint by subscriber | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | |
| 4. (a) To what extent are the subscriber and employee informed of service monitoring by the telephone utility? | Complete disclosure of types and scope of service monitoring | | x9/ | | | | | | | X | | x9/ | | 42/ | | | | | x9/ |
| | Disclosure of types but not scope of service monitoring | | | | | x43/ | x41/ | | | | | | X | | X | | | | |
| | No disclosure | X | | X | | | | | X | | X | | | | | X | X | X | |
| (b) To what extent are the subscriber and employee informed of service monitoring by your agency? | Complete disclosure of types and scope of service monitoring | | | | | | | | | | | | | | | | | | |
| | Disclosure of types but not scope of service monitoring | | | | | | | | | | | | | | | | | | |
| | No disclosure | X | X | X | | | X | | X | X | X | | X | X | X | X | | X | |
| 5. Which statement most closely corresponds to the opinion of your agency concerning service monitoring by the telephone utility? | (a) Service monitoring is a business necessity which outweighs a subscriber's right to privacy, and which ordinarily should not be subject to governmental review or regulation. | | | | | | | | | | | | | | | X | | 76/ | |
| | (b) The business necessity of service monitoring and a subscriber's right to privacy are equally compelling values. At the least, service monitoring should be subject to government review and regulation to correct any abuses that may appear. | X | X | X - | | X | X | | X | X | X | X | X | X | X | | X | | |
| | (c) The subscriber's right to privacy is so fundamental that service monitoring either should not be permitted at all, or should be strictly regulated by the government. | | | | | | | | | | | | | | | | | | |
| 6. In your jurisdiction, are there any of the following which pertain to service monitoring by other than the telephone utility? | Statutory provision | | X | | | | X | | | | | | | | X | | | | |
| | Existing agency rules | | | | | X | | | | | | | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | | | | | |
| | Formal agency policy | | X | | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | |
| | Other | | x19/ | | | | x19/ | | | | | x19/ | | | | | X | 77/ | |
| | None | X | | X | | | | | X | X | X | | X | X | | X | | | X |
| 7. Does the telephone utility have an obligation to ensure that the service monitoring referred to in item 6 is conducted with reasonable safeguards for individual privacy? | | | N44/ | | | | Y45/ | | N | N/A | | Y | N/A | N/A | Y46/ | | | | Y |

Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part I: Subscriber Conversation Privacy

| Y = Yes N = No<br>N/A = Not Applicable<br>Part I: Subscriber Conversation Privacy | | FCC | ALABAMA PSC | ALASKA PUC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | D. C. PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC | ILLINOIS CC | INDIANA PSC | IOWA SCC 11/ | KANSAS SCC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 8. (a) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist FBI agents in wiretapping? | Court Order | X47/ | | | X | | X | X | X | X | X | X | X | X | | | X | | X | X | X |
| | Formal (written) request by FBI | | | | | | | X48/ | | | X49/ | | | | | | | | | | |
| | Informal request by FBI | | | | | | | | | | | | | | | | | | | | |
| (b) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist IRS/U.S. Treasury agents in wiretapping? | Court Order | X | | | N/A | | X | X | | X | | X | X | X | | | X | | | X | X |
| | Formal (written) request by IRS/U.S. Treasury officials | | | | | | | | | | | | | | | | | | | | |
| | Informal request by IRS/U.S. Treasury officials | | | | | | | | | | | | | | | | | | | | |
| (c) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist State police in wiretapping? | Court Order | X | | | X | | X | X | | | | | X | X | | | X | | | | X |
| | Formal (written) request by State police | | | | | | | | | X | | | | | | | | | | | |
| | Informal request by State police | | | | | | | | | | | | | | | | | | | | |
| (d) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist local police in wiretapping? | Court Order | X | | | X | | X | X | X | | | X | X | X | | | X | | | | X |
| | Formal (written) request by local police | | | | | | | | | X | | | | | | | | | | | |
| | Informal request by local police | | | | | | | | | | | | | | | | | | | | |
| (e) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist other law enforcement officers in wiretapping? | Court Order | X | | | X | | X | X50/ | | | | | | | | | X | | X51/ | | X |
| | Formal (written) request | | | | | | | | | | | | | | | | | | | | |
| | Informal request | | | | | | | | | | | | | | | | | | | | |
| No law in jurisdiction governs this subject. | | | X | | | | | | | X | | | X | X | | | | | | X | |
| 9. Does the telephone utility report to the agency regarding instances of cooperating with or assisting law enforcement officers in wiretapping? | Formal report required | | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | | | X | | | | | | | |
| | No report required and utility does not voluntarily report | X | X | | X | | X | X | X | X | X | X | X | | | | X | | X52/ | X | X |
| 10. Is the telephone utility required to maintain written internal records of its activities in cooperating with or assisting law enforcement officers in wiretapping? | | N | N | | N | | N | Y | Y | N | N | N | N | Y | | | N | | N | N | N |
| 11. Does the telephone utility cooperate with or assist persons (other than law enforcement officers) in wiretapping? | | | N | | N | | N | N | N | N | N | N | N | N | | | N | | N | N | N |
| 12. (a) Does the telephone utility engage in wiretapping for purposes of toll fraud detection? | | Y53/ | | | 54/ | | Y | Y55/ | N | N | Y | 54/ | | Y56/ | | | N | | 57/ | N | 58/ |
| (b) Does the telephone utility engage in wiretapping for other purposes? | | | | | N | | N | | | N | N | N | | | | | N | | N | N | N |
| 13. Does the telephone utility report to your agency when unauthorized wiretap equipment is found during maintenance activities? | Formal report required | | | | | | | Y59/ | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | 60/ | | X | | | | | 60/ | | |
| | No report required and utility does not voluntarily report | X | X | | X | | X | | X | X | X | | X | | | | X | | | X | X |
| 14. Which of the following statements concerning "Freedom of Information" laws and subscriber conversation privacy is true in your jurisdiction? | | | | | | | | | | | | | | | | | | | | | |
| (a) There is a "Freedom of Information" law, but it does not apply to telephone utility reports to this agency concerning service monitoring or wiretapping. | | | | | | | | X59/ | | | X | | | | | | | | | | X |
| (b) There is a "Freedom of Information" law which applies to telephone utility reports to this agency concerning service monitoring or wiretapping. | | X61/ | | | | | | | | X | | | | X | | | | | | | |
| (c) There is no "Freedom of Information" law. | | | X | | | | | | | | | | | | | | X | | X | X | |
| (d) The utility does not report to this agency concerning service monitoring or wiretapping. | | | | | X | | X | | X | X | X | X | X | | | | | | X | X | X |

Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part I: Subscriber Conversation Privacy

Y = Yes N = No
N/A = Not Applicable

| Part I: Subscriber Conversation Privacy | | KENTUCKY URC | LOUISIANA PSC | MAINE PUC | MARYLAND PSC | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY BPU | NEW MEXICO SCC | NEW YORK PSC | NORTH CAROLINA UC | NORTH DAKOTA PSC | NOVA SCOTIA PUB | OHIO PUC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 8. (a) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist FBI agents in wiretapping? | Court Order | | X | X | | X | X | X | | X | X | | | X | X | | X | X | X | X | |
| | Formal (written) request by FBI | | | | | | | X | | X62/ | X | | | | | | | | | | |
| | Informal request by FBI | | | | | | | | | | | | | | | | | | | | |
| (b) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist IRS/U.S. Treasury agents in wiretapping? | Court Order | | X | X | | X | X | X | | X | X | | | X | X | | X | X | X | N/A | |
| | Formal (written) request by IRS/U.S. Treasury officials | | | | | | | | | | | | | | | | | | | | |
| | Informal request by IRS/U.S. Treasury officials | | | | | | | X | | | | | | | | | | | | | |
| (c) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist State police in wiretapping? | Court Order | | X | X | | X | X | | | X | X | X | | X | X | | X | X | X | X | |
| | Formal (written) request by State police | | | | | | | | | | | | | | | | | | | | |
| | Informal request by State police | | | | | | | X | | | | | | | | | | | | | |
| (d) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist local police in wiretapping? | Court Order | | X | X | | X | X | | | X | X | X | | X | X | | X | X | X | X | |
| | Formal (written) request by local police | | | | | | | | | | | | | | | | | | | | |
| | Informal request by local police | | | | | | | | | | | | | | | | | | | | |
| (e) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist other law enforcement officers in wiretapping? | Court Order | | X | | | X | | | | X | X | X63/ | | X | X | | X | X | X | X | |
| | Formal (written) request | | | | | | | | | | | | | | | | | | | | |
| | Informal request | | | | | | | | | | | | | | | | | | | | |
| No law in jurisdiction governs this subject. | | | | | | | | | | X | | | | | | | | | | | X |
| 9. Does the telephone utility report to the agency regarding instances of cooperating with or assisting law enforcement officers in wiretapping? | **Formal report required** | | | | | | | | | | | | | | | | | | | | |
| | **Informal report required** | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | | | | | | | | | | |
| | No report required and utility does not voluntarily report | | X | X | X | X | X | X | | X | X | X | | X | X | X | X | X | X | X | X |
| 10. Is the telephone utility required to maintain written internal records of its activities in cooperating with or assisting law enforcement officers in wiretapping? | | | N | N | | N | N | N | | N | N | N | | N | | N | N | N | N | N | N |
| 11. Does the telephone utility cooperate with or assist persons (other than law enforcement officers) in wiretapping? | | | N | N | | N | N | N | | 64/ | N | N | | N | | | N | | N | | N |
| 12. (a) Does the telephone utility engage in wiretapping for purposes of toll fraud detection? | | | N | N | | N | | N | | N64/ | N | N65/ | | N | | | N | | Y66/ | | |
| (b) Does the telephone utility engage in wiretapping for other purposes? | | | N | N | | N | | N | | N64/ | N | N | | N | | | N | | N | | |
| 13. Does the telephone utility report to your agency when unauthorized wiretap equipment is found during maintenance activities? | Formal report required | | | | | | | | | | | | | N | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | | | | | | | | | | |
| | No report required and utility does not voluntarily report | | X | X | X | X | | X | | X | X | X | | X | X | X | X | X | | X | X |
| 14. Which of the following statements concerning "Freedom of Information" laws and subscriber conversation privacy is true in your jurisdiction? | | | | | | | | | | | | | | | | | | | | | |
| (a) There is a "Freedom of Information" law, but it does not apply to telephone utility reports to this agency concerning service monitoring or wiretapping. | | | | | | | | | | | | | | | | | | | | | |
| (b) There is a "Freedom of Information" law which applies to telephone utility reports to this agency concerning service monitoring or wiretapping. | | | | | | | | | | | | | | | | | | | | | |
| (c) There is no "Freedom of Information" law. | | | | | | | | | | | | X | | X | | | | | | | X |
| (d) The utility does not report to this agency concerning service monitoring or wiretapping. | | | X | X | X | | X | X | | X | X | X | | | | | X | X | X | X | X |

Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part I: Subscriber Conversation Privacy

| Y = Yes N = No<br>N/A = Not Applicable<br>Part I: Subscriber Conversation Privacy | | OKLAHOMA CC | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS PUC | UTAH PSC | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 8. (a) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist FBI agents in wiretapping? | Court Order | | | X | X | | | X | | | X | X | X | X | X | | X | 68/ | | |
| | Formal (written) request by FBI | | | X | | | | X67/ | | X | X | | | | | X67/ | | X67/ | | |
| | Informal request by FBI | | | | | | | | | | | | | | | | | | | |
| (b) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist IRS/U.S. Treasury agents in wiretapping? | Court Order | | | X | X | | | X | | | X | X | X | X | X | X | X | | | |
| | Formal (written) request by IRS/U.S. Treasury officials | | | X | | | | | | X | X | | | | | | | | | |
| | Informal request by IRS/U.S. Treasury officials | | | | | | | | | | | | | | | | | | | |
| (c) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist State police in wiretapping? | Court Order | | X | X | X | | X | X | | | X | X | X | X | X | X | X | | | |
| | Formal (written) request by State police | | | X | | | | | | X | | | | | | | | | | |
| | Informal request by State police | | | | | | | | | | | | | | | | | | | |
| (d) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist local police in wiretapping? | Court Order | | X | X | X | | X | X | | | X | X | X | X | X | | X | | | |
| | Formal (written) request by local police | | | X | | | | | | X | | | | | | | | | | |
| | Informal request by local police | | | | | | | | | | | | | | | | | | | |
| (e) Under what circumstances is the telephone utility permitted or required by law to cooperate with or assist other law enforcement officers in wiretapping? | Court Order | | X | | X | | X | | | | X | X | X | X | X | | | 68/ | | |
| | Formal (written) request | | | | | | | | | X | | | | | | | | | | |
| | Informal request | | | | | | | | | | | | | | | | | | | |
| No law in jurisdiction governs this subject. | | | | | | | | | | | | | | X | X | | X | X | X | |
| 9. Does the telephone utility report to the agency regarding instances of cooperating with or assisting law enforcement officers in wiretapping? | Formal report required | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | X | | | | | | | | | | |
| | No report required and utility does not voluntarily report | | X | X | X | | X | X | | | X | X | X | X | X | X | X | X | X | X |
| 10. Is the telephone utility required to maintain written internal records of its activities in cooperating with or assisting law enforcement officers in wiretapping? | | | N | 69/ | N | | N | N | | N | N | N | N70/ | Y | N | N | N | N | N | N |
| 11. Does the telephone utility cooperate with or assist persons (other than law enforcement officers) in wiretapping? | | | N | N | N | | N | N | | N | N | Y | N | N | N | N | Y71/ | N | N | N |
| 12. (a) Does the telephone utility engage in wiretapping for purposes of toll fraud detection? | | | N | N | N | | N | Y | | Y | N | Y | 72/ | N | N | Y73/ | | Y 73/ | N | N |
| (b) Does the telephone utility engage in wiretapping for other purposes? | | | N | N | N | | N | N | | N | N | Y | | N | N | N | | N | N | N |
| 13. Does the telephone utility report to your agency when unauthorized wiretap equipment is found during maintenance activities? | Formal report required | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | X | | | | | | | | | | |
| | No report required and utility does not voluntarily report | | X | X | X | | X | X | | | X | X | X | X | X | X | X | X | X | X |
| 14. Which of the following statements concerning "Freedom of Information" laws and subscriber conversation privacy is true in your jurisdiction? | | | | | | | | | | | | | | | | | | | | |
| (a) There is a "Freedom of Information" law, but it does not apply to telephone utility reports to this agency concerning service monitoring or wiretapping. | | | | | | | | | | | | | | | | X | | | | |
| (b) There is a "Freedom of Information" law which applies to telephone utility reports to this agency concerning service monitoring or wiretapping. | | | | | | | | | | | | | | | | | | | | |
| (c) There is no "Freedom of Information" law. | | | | | | | | | | | | | | | | | | X | | X |
| (d) The utility does not report to this agency concerning service monitoring or wiretapping. | | | X | X | X | | X | X | | X | X | X | | X | X | X | X | | X | |

## Footnotes - Table 56 - TELEPHONE SUBSCRIBER PRIVACY

### Part I: Subscriber Conversation Privacy

1/ 47 CFR 64.501.

2/ See Sections 630-637.2 of California Penal Code.

3/ See Idaho Code Section 18-6705.

4/ See California PUC Decisions D-69447(64 CPUC 526); D-73146(67 CPUC 528); and D-78442(72 CPUC 78).

5/ Per Northwestern Bell tariff--Part IV, Section 16, p. 36.

6/ The utilities must comply with the California PUC decisions listed in footnote 4.

7/ Affected employees know.

8/ See California PUC Decision D-73146, 67 PUC at 552.

9/ Telephone utility employees are informed as to nature and conditions under which service is monitored.

10/ Telephone company employees are informed that service monitoring does take place. Service monitoring is done solely to provide information concerning the quality of service rendered to customers.

11/ All answers relating to a specific utility have reference to Northwestern Bell which serves approximately 63% of the subscribers in Iowa. The remaining 37% are served by the other independent telephone companies of Iowa.

12/ Disclosure to employees.

13/ No disclosure to subscribers.

14/ The FCC forbids its employees from monitoring telephone communications by or to its officials and employees without the knowledge of both parties.

15/ No formal program to inform, but the Commission regularly informs people in handling complaints.

16/ The D.C. PSC stated, "Service monitoring is a business necessity which does not violate the subscriber's right to privacy, since his conversation is with a telephone employee concerning telephone business."

17/ The Iowa SCC states, "No opinion established."

18/ Per NWB Tariff--Part IV, Sec. 16, p.36.

19/ By tariff provisions.

20/ The obligation is carried out in accordance with company operating practices.

21/ Tariff restrictions exist as to conditions under which customers may observe service.

22/ The user of service monitoring equipment must obtain the approval of the PSC prior to installation and note in the directory the company's use of monitoring equipment.

23/ Telephone companies furnish service-monitoring equipment solely for the purpose of enabling a business subscriber to evaluate for quality control and employee training and development purposes the quality of service being rendered by those employees handling large volumes of routine calls to the business. Business subscribers are required to enter into written agreements to abide by the conditions spelled out in telephone companies' tariffs. Any complaint alleging improper use of service-monitoring equipment furnished to a business subscriber is promptly and thoroughly investigated and corrective action taken, if required.

24/ Utility provides "service monitoring" for its quality only.

25/ New York PSC has not found it necessary to institute a formal agency policy on service monitoring because abuses have not arisen. N.Y. PSC telephone inspectors routinely review the company's records on this matter.

26/ In accordance with rules filed with FCC.

27/ Employees are informed periodically that service monitoring is being done; customers are not informed.

28/ Obligation to disclose only to employees; companies do not monitor subscribers.

29/ Employees.

30/ Subscribers.

31/ No service monitoring is done by the Montana PSC.

32/ The Maine PUC states, "only monitor conversations involving employees."

33/ The New York PSC states, "We have not yet encountered any abuses of service monitoring which would force us to intervene and establish guidelines for the conducting and the evaluating of the company's quality of service."

34/ See Southwestern Bell Tariff, Section 30.

35/ Commission Decision did not allow.

36/ The tariff provides, "As a condition to the furnishing of supervisory observing equipment, the customer shall agree in writing to use the equipment solely for the purpose stated preceding and to keep all employees fully informed of its use."

37/ Company policy permits furnishing service monitoring equipment solely to assist a business subscriber in better evaluating for quality control and for employee training and development purposes.

38/ The Commission adopted the standard for equipment provided by Southern Bell on March 7, 1977. Docket P-100, Sub 41, was approved by the Commission which adopts standards governing the use of service monitoring equipment and is applicable to all telephone utilities serving North Carolina. The standards in part provides that the following language shall be included at the front of the telephone directory: "Service observing equipment is furnished to the subscriber solely for the purpose of determining the need for training or improving the quality of service rendered by his employees in the handling of telephone calls to or from the subscriber of an impersonal business nature."

39/ Utility's own rules for checking on quality of service.

40/ Tariff content re employees; Chief Operator plugs in on student operator's board; also, repair service clerks and business office representatives are monitored from time to time.

41/ It is the practice of the telephone company to ensure that all affected employees are individually informed, as part of their initial orientation and periodically thereafter, that their work is subject to service monitoring. There is complete disclosure to all such employees of both the type and scope of such service monitoring.

42/ Employee training sessions and public hearing disclosures.

43/ Telephone company employees know in general that their conversations are being monitored at random by phone company supervisors.

44/ Subscriber has exclusive control over use of telephone except where fraud, illegal acts or disruption of service is involved.

## Footnotes - Table 56 - TELEPHONE SUBSCRIBER PRIVACY (Continued)

### Part I: Subscriber Conversation Privacy

45/ It is Telephone Company policy to furnish service monitoring equipment solely to assist a business subscriber in better evaluating, for quality control and for employee training and development purposes, the quality of service being individually rendered by those of its employees handling large volumes of routine, impersonal calls to the business. The implementation of this policy relies on adherence to intrastate tariff -- PUC R.I. - No. 15 - Part III - General - Section 15, "Service Training and Assistance Equipment," and administrative practices which, in general, impose preconditions on the provision of this service.

46/ See Virginia SCC General Regulations Tariff.

47/ 47 U.S.C. 605 prohibits a carrier from disclosing to third parties information concerning content, the existence, meaning or purport of interstate communications with certain exceptions, including under subpoena or demand of other lawful authority. The courts have not been consistent in their interpretation of "other lawful authority."

48/ By Attorney General and FBI Director only.

49/ Written request from Director of FBI in cases involving national security.

50/ Other Federal enforcement agencies serving valid District Court Order pursuant to Title III of the Crime Control and Safe Streets Act.

51/ Drug Enforcement Administration.

52/ Disclosure by the telephone company is expressly prohibited without the consent of the Court [18 U.S.C. Sec. 2518(8)(b) ].

53/ Under 18 U.S.C. 2511 2(a) a carrier is permitted to intercept wire communications when it is necessary for the protection of the rights and property of the carrier of the communications.

54/ The telephone company conducts investigations of toll fraud to protect revenues. These investigations may include a sixty-second recording of conversation, but this does not constitute wiretapping.

55/ This is done in order to protect revenues from electronic toll fraud. It is performed only under authorization of the Legal Department and offices of the Company using strict Bell System and PT&T Standards. Only illegal calls (2600 cycle) are tape recorded for a maximum two minutes; there is no personal monitoring of calls.

56/ Electronic fraud equipment is connected to subject's line and a recording is made for one minute or less to document that telephone call actually exists and subject is connected to another.

57/ Telephone company security personnel do collect evidence of electronic toll fraud which entails recording a limited portion of a telephone conversation, customarily not more than 60 seconds, in order to prove the fact that a call was completed. Evidence relating to these cases is safeguarded, and, in connection with any prosecution, is disclosed only pursuant to subpoena.

58/ The telephone company has the ability to use (but has not in Kansas) an electronic device recording date, time, number called and frequency. The purpose of this is not to record the conversation but to verify that a call was completed by the use of the so-called "blue-box." The safeguards used are a matter of company policy involving legal and security personnel.

59/ See General Order No. 107A.

60/ A report is made to the appropriate law enforcement agency.

61/ The Freedom of Information Act, 5 U.S.C. 552 (80 Stat. 378) would pertain to any reports on file with the FCC but excluding those protected from disclosure by exceptions to the Act or the Privacy Act of 1974 (88 Stat. 1896).

62/ Southwestern Bell requires that FBI requests must be approved in writing by the U.S. Attorney General and involve national security.

63/ The attorney general and county attorney.

64/ Southwestern Bell will not cooperate or assist persons in wiretapping. The commission does not know how other companies under its jurisdiction handle this situation.

65/ Communications common carriers have the power to do so under Section 86-702(2)(A), R.R.S. 1943.

66/ Only for electronic toll fraud detection.

67/ Only upon specific written letter request personally signed by the United States Attorney General or the FBI Director, exercising the Presidential power to protect the national security.

68/ Only if permitted by 18 U.S.C. 2510-2520.

69/ Telephone company policy requires that records be maintained.

70/ Mountain Bell does keep records.

71/ The utility assists anyone who can secure a court order.

72/ Mountain Bell can't consider it wiretapping, but to get evidence.

73/ In order to provide admissable evidence that a fraudulent call has been completed, the telephone company does at times record the first thirty seconds of calls made from stations suspected of being the origin of fraudulent toll calls.

74/ Unless requested by Commission.

75/ Telephone utilities in Virginia conduct service observations to determine the percent call completion rate--results are forwarded to the Virginia SCC on quarterly basis.

76/ Agency has expressed no opinion.

77/ Pending Judicial decision.

Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | FCC | ALABAMA PSC | ALASKA PUC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | D. C. PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC | ILLINOIS CC | INDIANA PSC | IOWA SCC 1/ | KANSAS SCC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 15. In your jurisdiction, are there any of the following which pertain to the privacy of telephone service subscriber records, and/or disclosure of such records to third parties by the telephone utility? | Statutory provisions | X3/ | | | | | | X4/ | | | X | | | X | | | | | | | |
| | Existing agency rules | X | | | | | | X5/ | | X | | X | | X | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | X | | | | | | | | | | | | X | | | | | | | |
| | Formal agency policy | | | | | | | | | | | | | X | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | | X | |
| | None | | X6/ | | X | | X | | | | | | X | | X | | X | | X | | X |
| 16. To whom does the utility disclose subscriber records? | | | | | 8/ | | | | | | | | | | | | | | | 10/ | 7/ |
| (a) Law enforcement officials | Credit information | | | | | | X | X9/ | | | X | X9/ | | X | | | | | X | | |
| | Toll billing records | | | | | | X | X | | | X | X9/ | X | X | | | | | X | | |
| | Unlisted numbers and addresses | | | | | | X | X | | | X | X9/ | X | X | | | | | X | | |
| | Other records | | X12/ | | | | X | X9/ | | | | X9/ | | | | | X13/ | | X | | |
| (b) Non-law enforcement government officials | Credit information | | | | | | | | | X14/ | X | X9/ | | X | | | | | | | |
| | Toll billing records | | | | | | | | | X14/ | X | X9/ | X | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | X15/ | | | X | X9/ | | | | | | | | | |
| | Other records | | | | | | X10/ | X9/ | | X16/ | | X16/ | | | | | X13/ | | | | |
| (c) Credit agencies | Credit information | | | | | | | | X | | | | | | | | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | X10/ | X9/ | | | | | | | | | X13/ | | | | |
| (d) Any private party | Credit information | | | | | | | | | | | | | | | | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | X10/ | X9/ | | | | | | | | | X13/ | | | | |
| (e) Other | Credit information | X17/ | | | | | | | | | | | | | | | | | | X14/ | |
| | Toll billing records | | | | | | | X18/ | | | | | | | | | | | | X14/ | |
| | Unlisted numbers and addresses | | | | | | | X18/ | | | | | | | | | | | | X14/ | |
| | Other records | | | | | | | X19/ | | | | | | | | | X13/ | | X20/ | X14/ | |
| 17. Under what circumstances does the utility disclose subscriber records? | | | | | | | | | | | | | | | | | | | | | 7/ |
| (a) Law enforcement officials | Court Order or Subpoena | X | X | | X | | X | X | X | X | X | X | X | X | | | X | | X21/ | X | |
| | Formal (written) request by officials | | | | | | X | X15/ | | | | | X | X | | | | | | X22/ | |
| | Informal request by officials | | | | | | | | | | | | X | | | | | | | | |
| (b) Non-law enforcement officials (government) | Court Order or Subpoena | X | X | | | | X | X | X | X | X | X16/ | X | X | | | X | | X | X | |
| | Formal (written) request by officials | X23/ | | | | | | | | | | X14/ | | | | | | | | X 14/ | |
| | Informal request by officials | X23/ | | | | | | | | | | X14/ | | | | | | | | X 14/ | |
| (c) Private parties or businesses | Court Order or Subpoena | X | X | | | | X | X | X | X | X | X | X | X | | | X | | X | X | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | | | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | | | |
| (d) Other classes of parties | Court Order or Subpoena | X | X | | | | | X | X | | | | X | | | | X | | | | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | | | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | | | |
| 18. Does the utility challenge the validity of any court order or other government order or subpoena prior to disclosing the subscriber records sought by such order or subpoena? | | | 24/ | | | | | | | | | | | | | | | | | | |
| (a) Utility is required by law to challenge validity. | | | | | | | | | | | | | | | | | | | | | |
| (b) Utility is not required by law to challenge validity, but does so voluntarily. | | X25/ | | | X | | X | X | | X | X | X26/ | | X | | | X | | X | X | |
| (c) Utility is not required by law to challenge validity and does not do so voluntarily. | | | | | | | | | | | | | X | | | | X | | | | |

Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | KENTUCKY URC | LOUISIANA PSC | MAINE PUC | MARYLAND PSC | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC 2/ | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY SPU | NEW MEXICO SCC | NEW YORK PSC | NORTH CAROLINA UC | NORTH DAKOTA PSC | NOVA SCOTIA PUB | OHIO PUC | OKLAHOMA CC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 15. In your jurisdiction are there any of the following which pertain to the privacy of telephone service subscriber records, and/or disclosure of such records to third parties by the telephone utility? | Statutory provisions | | | X | | | | X27/ | | | X | | | X | | | | X | | | | |
| | Existing agency rules | | | | | | | | | | | | | | | | X | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | | | | X | | X | | | | | |
| | Formal agency policy | | | | | | | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | | | | |
| | None | | X | | | | | X28/ | X | X | | X | | | | | | | X | X | X | |
| 16. To whom does the utility disclose subscriber records? | | | | | | | | | 8/ | | | 29/ | | | | | | | | | | |
| (a) Law enforcement officials | Credit information | | X | | | X | | X | | X | X 9/ | | | | | | X | | X | | | |
| | Toll billing records | | X | | | | | X | | X | X 9/ | | | | X | | X | | X | | | |
| | Unlisted numbers and addresses | | X | | | | | X | | X | X 9/ | | | X | | | X | | X | | | |
| | Other records | | | | | | | | | X | X 9/ | | | | X | | | | X | | | |
| (b) Non-law enforcement [illegible] officials | Credit information | | | | | | | X | | X | X14/ | | | | | | | | X | | X | |
| | Toll billing records | | | | | | | X | | X | X14/ | | | | X | | X | | X | | X | |
| | Unlisted numbers and addresses | | | | | | | X | | X | X14/ | | | X | | | X | | X | | | |
| | Other records | | | | | | | | | X | X14/ | | | | X | | | | X | | | |
| (c) Credit agencies | Credit information | | | | | | | X | | X | | | | | | | | | X | | | |
| | Toll billing records | | | | | | | X | | X | | | | | | | | | X | | | |
| | Unlisted numbers and addresses | | | | | | | X | | X | | | | X | | | | | X | | | |
| | Other records | | | | | | | | | X | | | | | | | | | X | | | |
| (d) Any private party | Court Order or Subpoena | | | | | | | X | | X | | | | | X | | | | X | | | |
| | Toll billing records | | | | | | | X | | X | | | | | X | | | | X | | | |
| | Unlisted numbers and addresses | | | | | | | X | | X | | | | X | | | | | X | | | |
| | Other records | | | | | | | | | X | | | | | X | | | | X | | | |
| (e) Other | Credit information | | | X14/ | | | | | | X31/ | | | | | | | | | | | | |
| | Toll billing records | | | X14/ | | | | | | X31/ | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | X31/ | | | | | | | | | | | | |
| | Other records | | | | | | | | | X31/ | X32/ | | | | | | | | | | | |
| 17. Under what circumstances does the utility disclose subscriber records? | | | | | | | | | | | | 29/ | | | | | | | | | | |
| (a) Law enforcement officials | Court Order or Subpoena | | X | X | | X | | X | X | X | X | | | X | X | | X | X | X | | | |
| | Formal (written) request by officials | | | | | | | X33/ | | X34/ | X32/ | | | | | | X | | | | | |
| | Informal request by officials | | | | | X | | X33/ | | | | | | | | | | | | | | |
| (b) Non-law enforcement officials (government) | Court Order or Subpoena | | | X | | | | X | X | X | X | | | X | X | | X | X | X | | | |
| | Formal (written) request by officials | | | | | | | X33/ | | | | | | | | | X | | | | | |
| | Informal request by officials | | | | | | | X33/ | | | | | | | | | X | | | | | |
| (c) Private parties or businesses | Court Order or Subpoena | | | X | | | | X | X | X | X | | | X | X | | | X | X | | | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | | | | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | | | | |
| (d) Other classes of parties. | Court Order or Subpoena | | | | | | | | X | | X | | | X | X | | | X | X | | | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | | | X35/ | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | | | X35/ | |
| 18. Does the utility challenge the validity of any court order or other government order or subpoena prior to disclosing the subscriber records sought by such order or subpoena? | | | | | | | | | | | | | | | | | | | | | | |
| (a) Utility is required by law to challenge validity. | | | | | | | | | | | | | | | | | | | | | | |
| (b) Utility is not required by law to challenge validity, but does so voluntarily. | | | X | X | | | | X | | | | | | X | X | | | | X | | | |
| (c) Utility is not required by law to challenge validity and does not do so voluntarily. | | | | | | | | | X | X | | X | | | | | X | | | | X | |

Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS PUC | UTAH PSC | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 15. In your jurisdiction, are there any of the following which pertain to the privacy of telephone service subscriber records, and/or disclosure of such records to third parties by the telephone utility? | Statutory provisions | | X | | | | X | | | | | X | | | | | | | |
| | Existing agency rules | | | | | | | | | | | | | | | | | | |
| | Pending agency rules | | | | | | | | | | | | | | | | | | |
| | Judicial decisions | | | | | | | | | | | X | | | | | | | |
| | Formal agency policy | | | | | | | | | | | | | | | | | | |
| | Informal agency policy | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | |
| | None | X | | X | | X | | | X | X | X | | X | X | X | X | X | X | X |
| 16. To whom does the utility disclose subscriber records? | | | | | | | | | | | | | | | | | 8/ | | 8/ |
| (a) Law enforcement officials | Credit information | | X | X | | | X | | X | X | | X36/ | X | | X | | | | |
| | Toll billing records | | X | X | | | X | | X | X | | | | | X | | | | |
| | Unlisted numbers and addresses | | X | X | | | X | | X | X | | | | | X | | | | |
| | Other records | | | | | | X | | | | | | | | | | | | |
| (b) Non-law enforcement government officials | Credit information | | | | | | | | | | | | | | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | | | | | | | | | | | | | |
| (c) Credit agencies | Credit information | | X | | | | | | | | | | | X | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | | | | | | | | | | | | | |
| (d) Any private party | Credit information | | | | | | | | | | | | | | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | | | | | | | | | | | | | |
| (e) Other | Credit information | | | | | | | | | | | | | | | | | | |
| | Toll billing records | | | | | | | | | | | | | | | | | | |
| | Unlisted numbers and addresses | | | | | | | | | | | | | | | | | | |
| | Other records | | | | | | | | | | | | | | | | | | |
| 17. Under what circumstances does the utility disclose subscriber records? | | | | | | | | | | | | | | | | | 8/ | | 8/ |
| (a) Law enforcement officials | Court Order or Subpoena | | X | X | | | X | | | X | X | X | X | X | X | X | X | | |
| | Formal (written) request by officials | | X | | | | X | | X | | | X | | | | | | | |
| | Informal request by officials | | | | | | | | | | | | | | | | | | |
| (b) Non-law enforcement officials (government) | Court Order or Subpoena | | X | X | | | X | | | X | X | | X | X | X | X | X | | |
| | Formal (written) request by officials | | | | | | | | X | | | | | X14/ | | | | | |
| | Informal request by officials | | | | | | | | | | | | | | | | | | |
| (c) Private parties or businesses | Court Order or Subpoena | | X | X | | | X | | | | X | | X | X | X | X | X | | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | |
| (d) Other classes of parties | Court Order or Subpoena | | | X | | | X | | | | X | | X | X | X | | X | | |
| | Formal (written) request by parties | | | | | | | | | | | | | | | | | | |
| | Informal request by parties | | | | | | | | | | | | | | | | | | |
| 18. Does the utility challenge the validity of any court order or other government order or subpoena prior to disclosing the subscriber records sought by such order or subpoena? (a) Utility is required by law to challenge validity. | | | | | | | | | | | | | X | | | | | | |
| (b) Utility is not required by law to challenge validity, but does so voluntarily. | | | X | | | | X | | | X | X | | | | X | | X | | X |
| (c) Utility is not required by law to challenge validity and does not do so voluntarily. | | X | | X | | X | | | X | | | | | X | | | | | |

Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | FCC | ALABAMA PSC | ALASKA PUC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | D. C. PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC | ILLINOIS CC | INDIANA PSC | IOWA SCC 1/ |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19. Does the utility report to your agency regarding instances of results or formal demands for disclosure of subscriber records? | Formal report required | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | | | | | | | | | |
| | No report required and utility does not voluntarily report | X | X38/ | | X | | X | X | X | X | X | X | X | X | X | | X | | X | X |
| 20. Does the utility notify the subscriber when requests or demands have been made for his records? | | | | | | | | | | | | | | | | | | | | |
| (a) In the case of a formal demand (i.e., court order, subpoena) etc. | Notification is required by law | | | | | | | | X | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | 39/ | | | | X | X40/ | | | X | X42/ | | X43/ | | | X44/ | | X45/ | X46/ |
| | No notification to subscriber | | | | X | | | | | X | | | X | | | | X47/ | | | |
| (b) In the case of a formal (written) request | Notification is required by law | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | 39/ | | | | | | | | | | | | | | | | | |
| | No notification to subscriber | | | | | | | | | X | X | 48/ | X | | | | | | | X |
| (c) In the case of an informal request | Notification is required by law | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | | | | | | | | | | | | |
| | No notification to subscriber | | X | | | | | | | X | | 48/ | X | | | | | | | X |
| 21. Which of the following statements concerning "Freedom of Information" laws and subscriber records is true in your jurisdiction? | | | | | | | | | | | | | | | | | | | | |
| (a) There is a "Freedom of Information" law, but it does not apply to subscriber records which are maintained by a utility and subject to agency inspection. | | | | | | | X | X49/ | X | X | X | X | | X | | | | | | |
| (b) There is a "Freedom of Information" law which applies to subscriber records maintained by the utility. | | | | | | | | | | | | | | | | | | | | |
| (c) There is no "Freedom of Information" law. | | | 41/ | | X | | | | | | | | X | | | | X | | X | X |

Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | KANSAS SCC | KENTUCKY URC | LOUISIANA PSC | MAINE PUC | MARYLAND PSC | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC 2/ | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY BPU | NEW MEXICO SCC | NEW YORK PSC | NORTH CAROLINA UC | NORTH DAKOTA PSC | NOVA SCOTIA PUB |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19. Does the utility report to your agency regarding instances of requests or formal demands for disclosure of subscriber records? | Formal report required | | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | | | | | | | | | | | |
| | No report required and utility does not voluntarily report | X | | X | X | | X | X | X | X | X | X | X | | X | X | | X | X | X | X |
| 20. Does the utility notify the subscriber when requests or demands have been made for his records? | | 7/ | | | | | | | | | | | | | | | | | | | |
| (a) In the case of a formal demand (i.e., court order, subpoena) etc. | Notification is required by law | | | | | | | | 50/ | | | | | | | | | | | 51/ | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | | | | X | | | | | | | | | |
| | No notification to subscriber | | | X | X | | X | | | X | X | | X | | X | X | | X | | | |
| (b) In the case of a formal (written) request | Notification is required by law | | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | | | | | | | | | | | | | |
| | No notification to subscriber | | | X | X | | X | | | X | X | X | X | | X | | | X | | | |
| (c) In the case of an informal request | Notification is required by law | | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | | | | | | | | | | | | | |
| | No notification to subscriber | | | X | X | | X | | | X | X | X | X | | X | | | X | | | |
| 21. Which of the following statements concerning "Freedom of Information" laws and subscriber records is true in your jurisdiction? | | | | | | | | | | | | | | | | | | | | | |
| (a) There is a "Freedom of Information" law, but it does not apply to subscriber records which are maintained by a utility and subject to agency inspection. | | X | | | | | X | | | | | | | | | X | | X | | X | |
| (b) There is a "Freedom of Information" law which applies to subscriber records maintained by a utility. | | | | | | | | | | | | | | | X | | | | | | |
| (c) There is no "Freedom of Information" law. | | | | X | X | X | | | X | X | | X | X | | | | | | X | | |

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Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY (Continued)

Part II: Subscriber Records Privacy

| Part II: Subscriber Records Privacy | | OHIO PUC | OKLAHOMA CC | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS PUC | UTAH PSC | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 19. Does the utility report to your agency regarding instances of results or formal demands for disclosure of subscriber records? | Formal report required | | | | | | | | | | | | | | | | | | | | |
| | Informal report required | | | | | | | | | | | | | | | | | | | | |
| | No report required but utility voluntarily reports | | | | | | | | | | X | | | | | | | | | | |
| | No report required and utility does not voluntarily report | X | | X | X | X | | X | X | | | X | X | X | X | X | X | X | X | X | X |
| 20. Does the utility notify the subscriber when requests or demands have been made for his records? | | | | | | | | | | | | | | | x | | | | | | |
| (a) In the case of a formal demand (i.e., court order, subpoena) etc. | Notification is required by law | | | | | | | | | | | | | | x | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | X52/ | | | | X53/ | | | | | X54/ | | | X55/ | | X55/ | | X |
| | No notification to subscriber | | | | | | | X | | | X | X | | | | | | | | | |
| (b) In the case of a formal (written) request | Notification is required by law | | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | X53/ | | | | | | | | | | | | X |
| | No notification to subscriber | | | | | | | X | | | X | X | | | | 30/ | | | | | |
| (c) In the case of an informal request | Notification is required by law | | | | | | | | | | | | | | | | | | | | |
| | Notification is not required by law but utility voluntarily notifies | | | | | | | | | | | | | | | | | | | | X |
| | No notification to subscriber | | | | | | | | | | X | X | | | | | | | | | |
| 21. Which of the following statements concerning "Freedom of Information" laws and subscriber records is true in your jurisdiction? (a) There is a "Freedom of Information" law, but it does not apply to subscriber records which are maintained by a utility and subject to agency inspection. | | | | | X | | | X | | | | | X | | X | | X | X11/ | | | |
| (b) There is a "Freedom of Information" law which applies to subscriber records maintained by the utility. | | | | | | | | | | | | | | | | | | | | | |
| (c) There is no "Freedom of Information" law. | | 37/ | | X | | X | | | X | | X | X | | X | | X | | | | X | X |

## Footnotes - Table 56(a) - TELEPHONE SUBSCRIBER PRIVACY

### Part II: Subscriber Records Privacy

1/ All answers relating to a specific utility have reference to Northwestern Bell which serves 63% of the subscribers in Iowa. The remaining 37% are served by the other independent telephone companies of Iowa.

2/ Missouri replies in Part II involving telephone company policy and internal practice apply only to Southwestern Bell.

3/ 47 U.S.C. 605 prohibits a carrier from disclosing to third parties information concerning content, the existence, meaning or purport of interstate communications with certain exceptions, including under subpoena or demand of other lawful authority. The courts have not been consistent in their interpretation of "other lawful authority."

4/ Federal Privacy Acts.

5/ Bell System Practices.

6/ However, utilities will not furnish except to the subscriber or authorized representative.

7/ Dependent upon individual company policy.

8/ Records are not disclosed to anyone except by Court order or subpoena.

9/ Under subpoena.

10/ By Court order, subpoena, or government administrative summons; records released according to Court order.

11/ The Washington UTC does not administer that portion of the State law applicable to wiretapping; service monitoring utilities are exempt from it. The Commission has no law or rules regarding the release of credit information or unlisted numbers; the utilities within its jurisdiction, however, make it a practice not to release this information without a court order. The Washington UTC states that it has no knowledge regarding questions 16, 18, and 20.

12/ Requires Court order.

13/ All customer-related information pursuant to valid court order or subpoena.

14/ Disclosure to the Commission only.

15/ Unlisted numbers and addresses to certain limited and designated law enforcement and emergency service agencies.

16/ Any records requested by the Commission.

17/ Pertains to connecting carriers which participate in a thorough interstate service and perform the billing for the interstate communications.

18/ The utility may also disclose to the California PUC and the FCC under subpoena or administrative order.

19/ The "other records" include equipment and services; these may be disclosed to the California PUC and the FCC under subpoena or administrative order.

20/ Independent consultants with written consent of the subscriber.

21/ In connection with "National Security" investigations, records may be disclosed on the authority of a specific letter request personally signed by the Attorney General or his designee.

22/ Disclosure only to the Director of the FBI, where national security is involved.

23/ To the FCC in response to customer complaints and inquiries.

24/ Not required by law to challenge action of utility; depends on circumstances in each case.

25/ AT&T has challenged the authority of the Federal Government to require it to disclose subscriber records.

26/ If the legal document appears invalid on its face.

27/ Federal level.

28/ State level.

29/ Records are made available only upon Court order; subscribers have access to their own records.

30/ From time to time, subscribers are notified by copies of utility's letters to Virgin Islands PSC.

31/ Disclosure to the Missouri PSC and the FCC.

32/ Name and address upon written request.

33/ Listing information.

34/ Only by the U.S. Attorney General alleging national security.

35/ Requests by the Commission.

36/ Place of employment only.

37/ Section 4905.07 O.R.C. provides that "All facts and information in the possession of the Public Utilities Commission shall be public..."

38/ Except in special circumstances.

39/ Notification is not required by law and it is unknown if utility notifies.

40/ Voluntary notification unless law enforcement agency certifies otherwise. Notice is verbal within 24 hours, with written confirmation thereafter of subpoena requesting customer toll records.

41/ There is no enabling legislation; the Commission policy is to protect the subscriber's right to privacy.

42/ Utility voluntarily notifies unless otherwise directed by law enforcement officer. Notification is achieved immediately by telephone and followed up within 24 hours with a written confirmation.

43/ Utility volunteers notification on toll records; notification is accomplished by telephone and letter.

44/ For toll billing records only.

45/ Utility voluntarily notifies except where the agency requests non-disclosure and certifies that notification could impede or obstruct its investigation or interfere with law enforcement. Notification is by telephone call and letter.

46/ Utility voluntarily notifies only on toll records released. Notification is by telephone and letter on release of toll records unless the subpoena specified not to do so.

47/ No known requirement by law for notification.

48/ As regards requests by the District of Columbia PSC.

49/ See Gov. C 8250, et seq. Gen. Order 66 C.

50/ For toll records unless stopped by Court order.

51/ Notification is made within 24 hours by telephone and in writing unless Court order requests waiver of notification.

52/ Notification is in writing.

53/ For toll billing, notification is achieved by mail within 24 hours (this is the policy, but not required by law or regulations).

54/ Notification by telephone call.

55/ Voluntary notification unless law enforcement agency certifies that notication could impede or obstruct its investigation or interfere with law enforcement. When notification will not interfere with law enforcement activities, notice is attempted by one telephone call and a written mailed confirmation. For C&P of Virginia and West Virginia, this would apply in case of subpoena for toll records.

## TELEPHONE DIRECTORY ASSISTANCE CHARGES

The following table was developed from answers to the following questions:

1. If any telephone company within your State currently has a directory assistance charge for information calls, what is the name of the Company, the charge per billed call, how many free calls per month are included in the basic rate, and is there an exemption for the disabled or handicapped (D/H) within this charge system?

2. If there are exemption provisions for the disabled or handicapped within the charge system, what are the requirement procedures?

3. If there is currently a directory assistance charge for information calls in your State, please answer the following questions: (a) What was the estimated built-in charge per telephone subscriber for directory assistance services, prior to the directory assistance charge plan? (b) What percentage of your State's telephone subscribers are billed for directory assistance? Of this percentage, how many are residential users (%) and how many are commercial users (%)? (c) Has the number of directory assistance calls diminished in your State? If "yes", by what percentage? (d) What is the Statewide annual savings due to directory assistance charging? (e) What is the estimated savings per subscriber in your State?

Table 57 - TELEPHONE DIRECTORY ASSISTANCE CHARGES

| Agency | Companies With Directory Assistance Charges | Charge Per Call | Number of Free Calls | Disabled or Handicapped Exemption | Built-In Charge Per Subscriber Prior to DA Charge | % of Subscribers Billed For DA | % of Column 6 Who Are Residential Subscribers | % of Column 6 Who Are Commercial Subscribers | % Directory Assistance Calls Have Diminished | Statewide Annual Savings Due to DA Charges | Savings Per Subscriber Due to DA Charges |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Alabama PSC | | 20¢ | 5 | Yes | | | | | | | |
| Alaska PUC | None | | | | | | | | | | |
| Alberta PUB | None | | | | | | | | | | |
| Arizona CC | Bell | 10¢ | 5 | Yes | | 7.0% | | | 28% | | |
| Arkansas PSC | Bell | 10¢ | 3 | Yes | | | | | | | |
| British Columbia METC | | | | | | | | | | | |
| California PUC | None | | | | | | | | | | |
| Colorado PUC | Mt. Bell | 20¢ | 5 | Yes 1/ | 19¢ | 5.1% | | | 43% | $2,222,400 | $2.22 yr. |
| Connecticut PUC | None | | | | | | | | | | |
| Delaware PSC | Diamond St. | 20¢ | 3 | Yes 2/ | | | | | | | |
| District of Columbia PSC | C&P Tel. Co. | 10¢ 6/ | 6 | Yes 18/ | 34¢ mo. | less than 10% | | | 26% | $375,000 | $4.08 yr. |
| Florida PSC | Southern Bell | 15¢ | 6 | Yes | $1.06 mo. | 10% | 78% | 22% | | | |
| | General Tel. | 15¢ | 6 | Yes 3/ | 71¢ mo. | 4% | 48% | 52% | | | |
| | Winter Park | 15¢ | 0 | Yes 3/ | 77¢ mo. | 15% | 85% | 15% | | | |
| | United Tel. 21/ | 15¢ | 6 | Yes 3/ | 15¢ mo. | 21/ | 21/ | 21/ | 21/ | $370,000 | 20¢ mo. |
| Georgia PSC | 4/ | 20¢ | 5 | Yes 5/ | 65¢ mo. | 5.6% | | | 65% | $8,700,000 | $6.15 yr. |
| Guam PUC | None | | | | | | | | | | |
| Hawaii PUC | None | | | | | | | | | | |
| Idaho PUC | Mountain Bell | 20¢ | 5 | 15/ | 19¢ mo. | 10% | 60% | 40% | 35% | $500,000 | $1.10 yr. |
| Illinois CC | Illinois Bell | 20¢ | 8 | Yes | 25¢ mo. credit started 1/1/80 | | | | | | |
| Indiana PSC | Indiana Bell | 20¢ | 5 | Yes | | | | | | | |
| Iowa SCC | None | | | | | | | | | | |
| Kansas SCC | SW Bell | 10¢ | 5 | Yes 7/ | $2.70 yr. | 6.1% | 83% | 17% | 65% | $1,533,000 | $2.11 yr. |
| Kentucky URC | So. Central Bell | 20¢ | 5 | | | | | | | | |
| Louisiana PSC | So. Central Bell | 20¢ | 5 | Yes | N/A | 10% | N/A | N/A | N/A | N/A | $2.76 yr. |
| Maine PUC | None | | | | | | | | | | |
| Maryland PSC | 8/ | | | | | | | | | | |
| Massachusetts DPU | None | | | | | | | | | | |
| Michigan PSC | None | | | | | | | | | | |
| Minnesota PSC | NW Bell | 20¢ | 5 | Yes | 53¢ mo. | | | | 40% | $7,700,000 | 53¢ mo. |
| Mississippi PSC | None | | | | | | | | | | |
| Missouri PSC | SW Bell | 20¢ | 5 | Yes 11/ | $1.04 mo. | 6.7% | 95% | 5% | 69% | $10,409,962 | 62¢ |
| Montana PSC | None | | | | | | | | | | |
| Nebraska PSC | None | | | | | | | | | | |
| Nevada PSC | None | | | | | | | | | | |
| New Hampshire PUC | None | | | | | | | | | | |
| New Jersey BPU | None 12/ | | | | | | | | | | |
| New Mexico SCC | Bell | 10¢ | 3 13/ | Yes 7/ | | | | | | | |
| New York PSC | N.Y. Tel. | 10¢ | 3 13/ | Yes 7/ | $1.00 mo. | 8.1% | 33-1/3% | 66-2/3% | 32.4% | $570,000 | |
| North Carolina UC | Statewide | 20¢ | 5 | Yes 14/ | | N/A | N/A | N/A | N/A | N/A | N/A |
| North Dakota PSC | None | | | | | | | | | | |
| Nova Scotia PUB | Maritime T.&T. | 25¢ | 3 | Yes | | | | | | | |
| Ohio PUC | Bell | 20¢ 16/ | 5 | Yes 17/ | | | | | | | |
| Oklahoma CC | | | | | | | | | | | |
| Ontario TSC | Independents | 25¢ | None | Yes 14/ | | | | | | | |
| Oregon PUC | Bell(Most Independents) | 20¢ | 5 | Yes | | 5% | | | 54% | $1,209,000 | 25¢ mo. |
| Pennsylvania PUC | Bell of PA. | 10¢ | 3 | Yes | | | | | | | |
| | Quaker State | 10¢ | 3 | Yes | | | | | | | |
| Quebec PSB | All | 25¢ | None | Yes 19/ | | | | | | | |
| Rhode Island PUC | None | | | | | | | | | | |
| South Carolina PSC | Bell | 20¢ | 5 | Yes | | | | | | | |
| South Dakota PUC | None | | | | | | | | | | |
| Tennessee PSC | None | | | | | | | | | | |
| Texas PUC | Bell | 20¢ | 10 | Yes 7/ | 70¢ | 7.6% | 74% | 26% | | | |
| Utah PSC | Bell | 20¢ | 5 | | | | | | | | |
| Vermont PSB | New Eng. Tel. | 20¢ | 3 | Yes 20/ | 67¢ mo. | 7.10% | 67.8% | 32.2% | 50% | $760,000 | 38¢ mo. |
| Virgin Islands PSC | None | | | | | | | | | | |
| Virginia SCC | All | 10¢ | 6 | Yes 18/ | 98¢ mo. | 7% | | | 50% | $4,536,000 | 23¢ mo. |
| Washington UTC | Bell | 15¢ | 6 | Yes 10/ | 73¢ mo. | 4.2% | | | 47% | $4,300,000 | 37¢ mo. |
| | General | 15¢ | 6 | Yes 10/ | 14¢ mo. | 2.8% | 62% | 38% | 57.5% | $501,000 | $1.80 yr. |
| West Virginia PSC | None | | | | | | | | | | |
| Wisconsin PSC | Wisconsin Tel | 9/ | 5 | Yes 17/ | $1.00 yr. | 5% | | | 50% | $5,000,000 | $4.16 yr. |
| Wyoming PSC | Bell | 20¢ | 5 | Yes 7/ | 10¢ mo. | | | | | $108,000 | $10.00 yr. |

## Footnotes - Table 57 - TELEPHONE DIRECTORY ASSISTANCE CHARGES

1/ Legally blind.

2/ Charges for Directory Assistance Service are not applicable to the following types of calls to Directory Assistance: (1) calls placed from public and semi-public coin telephones; (2) calls from guests of hotels and motels placed over semi-public branch exchange trunks; (3) calls from patients of hospitals that have as their principal undertaking the medical and surgical care of the sick and disabled and which provide telephones in the majority of the patient rooms; (4) calls placed from residence telephones where a member of the customer's household has been certified by a registered physician or a designated agency as unable to use a directory because of a visual or physical handicap, or from the business telephone of a certified handicapped customer where assistance is otherwise not available; (5) calls placed through mobile telephone service base stations; (6) calls placed from interconnected lines of radio common carriers.

3/ Self-certification of visual or physical handicap precluding normal use of the printed directory.

4/ Southern Bell Telephone Company, General Telephone Company, Continental Telephone Company, Hart County Telephone Company, Pineland Telephone Cooperative, Progressive Rural Telephone Cooperative, Nelson-Ball Ground Telephone Company.

5/ Customer notifies telephone company of disability for permanent billing record adjustment. Directory assistance requests from pay telephones are also exempt.

6/ Twenty cents to "0" - operator.

7/ Certification from a social service agency or physician.

8/ C & P Telephone Company of Maryland, Armstrong Telephone Company.

9/ Ten cents per call for calls 6 to 10 and 20¢ per call thereafter.

10/ Subscriber completes and signs printed coupon attesting to handicap or illiteracy, which is accepted without verification.

11/ Exemption for physical, visual, mental or reading handicaps are obtained by completing the exemption form provided by the telephone company and acceptance of the application.

12/ The New Jersey Board has twice denied petition by New Jersey Bell Telephone Company for directory assistance charges.

13/ The plan also provides a $.30 monthly credit for each customer line, as well as the free three call directory assistance allowance.

14/ Blind or handicapped subscribers to the extent they are unable to use the directory.

15/ Not applicable to hotel, motel, coin, hospital, and handicapped by attestation of that person.

16/ The charge per call is 40¢ when subscriber places call to directory assistance attendant via an operator.

17/ Certification by physician that subscriber is unable to use directory because of visual or physical handicap.

18/ Subscriber submits written affirmation of handicaps to telephone company.

19/ Section 9.2 of General Ordinance No. 5 states that:

(1) A subscriber or user who is incapable of consulting the telephone directory because of blindness, illiteracy, infirmity or other similar reason, is entitled to an exemption from the charge fixed in section 9.1.

(2) An application form for exemption must be given to every person requesting it at a business office of the public telephone utility.

(3) The public telephone utility shall, within 10 days following the receipt of an application for exemption:

(a) either send a certificate of exemption to the person for whom the request is made;
(b) or send a refusal stating the reasons therefor and indicating that the subscriber may contest the utility's refusal at the Board.

Section 9.1 states that:

A charge of $0.25 applies to every request for a telephone number clearly listed in the telephone directory placed at the disposal of the subscriber from whose telephone the call is made, provided the information requested is supplied.

This charge does not apply when the request:

(a) is made by a subscriber or user to whom an exemption was granted under section 9.2: or
(b) is made from a public or semi-public telephone; or
(c) is made from a telephone in the room of a hospital, hotel or similar establishment; or;
(d) is made in an emergency related to public protection services such as fire, police, medical or ambulance services or any other similar emergency service.

20/ A New England Telephone brochure states that:

"Under the plan approved by the PSB, visually or physically handicapped persons who cannot use the directory will be exempt from charges for directly dialed calls to 1-555-1212. No charge will be made for calls from coin telephones or for calls from qualified hotel, motel and hospital lines.

"To determine if you or a member of your household qualifies for an exemption, the following guidelines from the Federal Register, Vol. 35, #126, June 30, 1970, should be used:

"Those whose visual acuity is 20/200 or less in the better eye with corrective glasses, or whose widest diameter of visual field subtends an angular distance no greater than 20 degrees.

"Those whose visual disability, with correction and regardless of optical measurement with respect to 'legal blindness,' are certified as unable to read normal printed material.

"Those who are certified by competent authority as unable to read or use ordinary printed materials as a result of physical limitations.

"For telephone company exemption, 'ordinary printed materials' include telephone book size characters.

"When a user's handicap prevents the dialing of a telephone in a conventional manner or permits only the dialing of '0', the exemption will also apply to calls placed to Directory Assistance through the regular operator from an exempt line.

"If you or a member of your household meets the handicapped guidelines, an exemption form--available from the telephone business office and at public and private agencies serving the handicapped--should be filled out and returned to the telephone company.

"If you have service in more than one location, a separate exemption form will have to be submitted for each location."

21/ United Tel. D/A plan approved 12/79; data on effects not yet collected.

Table 58 - TELEPHONE DIRECTORY LISTING

| AGENCY | Regulates yellow pages contents (maps, public info., etc.)? | Regulates yellow pages advertising rates? | Regulates yellow pages advertising contents? | Regulates white pages contents (maps, public info., etc.)? | Regulates white pages listing charges? | Does Commission require white pages charges be based on cost of service? | Range of charges for additional listings in white pages. | Do any companies in State provide additional listings at no charge? | Do any companies provide free listings of additional persons with same last name and address as subscriber? | If any companies charge for additional listings of persons with same last name and address, what is the charge? | Has any person filed a complaint in the last two years alleging sex discrimination Re: directory listing practices? | Has any person filed a complaint alleging discrimination on any other grounds Re: directory listing practices? | What % of directory assistance calls originating in State are local or intrastate? | What is the range of monthly charges assessed for listing persons who are not within the locality covered by a directory? |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ALABAMA PSC | No | No | No | Yes | No | -- | .25-.75 | No | No | .25-.75 | No | No | -- | .25-.75 |
| ALASKA PUC | No | No | No | No | Yes | Yes | -- | No | Yes1/ | -- | No | No | 40% | -- |
| ALBERTA PU3 | No | No | No | No | Yes | -- | -- | -- | -- | -- | No | No | -- | -- |
| ARIZONA CC | No | No | No | No | Yes | Yes | .37-.60 | No | Yes | -- | No | No | 68% | -- |
| ARKANSAS PSC | No | No | No | No | Yes | Yes | .30-1.00 | No | No | -- | No | No | 59% | .35-1.15 |
| CALIFORNIA PUC | No14/ | No14/ | No14/ | Yes | Yes | No | .25-.75 | No | Yes3/ | .25-.403/ | No | No | -- | .35-.75 |
| COLORADO PUC | No | No | No | No | Yes | No4/ | .75 | No | Yes | None | No | No | 77% | .75 |
| CONNECTICUT PUC | No | No | No | Yes | Yes | Yes | .50-1.74 | No | Yes | .87 | No | No | -- | .50-1.74 |
| DELAWARE PSC | No | No | No | Yes | Yes | Yes | .70 | No | Yes5/ | -- | No | No | 56% | -- |
| D.C. PSC | No | No | No | No | Yes | No | .30 | No | No | .30 | No | No | -- | .30 |
| FLORIDA PSC | No | No | No | Yes | Yes | No7/ | .25-1.10 | No | Yes12/ | .25-1.10 | No | No | -- | .25-1.10 |
| GEORGIA PSC | No | No | No | Yes | Yes | Yes | .25-1.15 | No | Yes | 5.00-6.50 8/ | No | No | -- | .25-1.15 |
| GUAM PUC | No | No | No | No | No | -- | -- | No | No | No | No | No | -- | -- |
| HAWAII PUC | No | No | No | No | Yes | -- | .75 | No | Yes | -- | No | -- | -- | -- |
| IDAHO PUC | No | No | No | No | Yes | No4/ | .25-.30 | No | No | .25-.50 | Yes | No | 78% | .25-.50 |
| ILLINOIS CC | No | No | No | Yes | Yes | No | .35-.75 | Yes | Yes | -- | No | No | 86% | 0-1.10 |
| INDIANA PSC | No | No | No | No | Yes | Yes | .25-1.50 | Yes | No | -- | No | No | 80% | .25-1.50 |
| IOWA SCC | Yes | No | Yes | Yes | Yes | Yes | .25-1.50 | No | Yes | .25 | Yes | Yes | 87% | .35-.75 |
| KANSAS SCC | No | No | No | No | No | -- | .25-.75 | No | Yes | .25-1.10 | No | No | 87% | .25-.50 |
| KENTUCKY URC | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| LOUISIANA PSC | No | No | No | Yes | Yes | Yes | .65-1.00 | No | Yes | -- | No | No | -- | .65-1.00 |
| MAINE PUC | No | No | No | No | Yes | No4/ | .80 | No | Yes | .80 | No | No | 88% | .80 |
| MARYLAND PSC | No | No | No | Yes | Yes | No4/ | .60-1.00 | No | Yes | -- | No | No | 80% | .60-1.00 |
| MASSACHUSETTS DPU | No | No | No | No | Yes | Yes | 0-1.60 | No | Yes1/ | No | No | No | -- | 0-1.60 |
| MICHIGAN PSC | No | No | No | Yes | Yes | No4/ | .25-.97 | No | Yes | None | No | No | 94% | .25-.97 |
| MINNESOTA PSC | No | No | No | No | Yes | Yes | .25-1.00 | No | Yes | .50-.75 | Yes | No | 94% | .50-1.60 |
| MISSISSIPPI PSC | No | No | No | No | Yes | Yes | .75 | No | No | .75 | No | Yes | 84% | .75 |
| MISSOURI PSC | Yes | No | Yes | Yes | Yes | Yes | .25-1.00 | No | Yes | .25-1.00 | No | No | 78% | .70-1.00 |
| MONTANA PSC | No | No | No | No | Yes | Yes | .50 | No | Yes | .50 | No | No | -- | .75 |
| NEBRASKA PSC | No | No | No | Yes | Yes | Yes | .25-.50 | No | Yes | None | No | No | -- | .25-1.00 |
| NEVADA PSC | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| NEW HAMPSHIRE PUC | No | No | No | Yes | Yes | Yes | .75-1.00 | No | Yes | 9/ | No | No | -- | -- |
| NEW JERSEY BPU | No | No | No | Yes | Yes | Yes | .34-.65 | No | Yes | -- | No | No | -- | .34-.65 |
| NEW MEXICO SCC | No | No | No | No | Yes | -- | .58-.96 | -- | -- | -- | No | No | -- | -- |
| NEW YORK PSC | No | No | No | No | Yes | Yes | .25-1.07 | No | Yes | None | No | Yes | 84% | .25-1.07 |
| NORTH CAROLINA UC | No | No | No | Yes | Yes | No | .25-1.25 | Yes2/ | Yes12/ | .25-1.25 | No | No | 84% | .25-1.25 |
| NORTH DAKOTA PSC | No | No | No | No | Yes | Yes | .40-.90 | No | Yes | .40-.90 | No | No | 83%13/ | .40-.90 |
| NOVA SCOTIA PUB | No | No | No | No | Yes | No | .40-.60 | No | No | -- | No | No | -- | .55-2.10 |
| OHIO PUC | No | No | No | No | Yes | Yes | .25-1.50 | No | Yes | -- | No | No | -- | .25-1.30 |
| OKLAHOMA CC | No | No | No | No | Yes | No4/ | .25-1.00 | No | No | .25-1.00 | No | No | 91% | .25-1.00 |
| ONTARIO TSC | No | No | No | No | Yes | No | -- | No | No | -- | No | No | -- | -- |
| OREGON PUC | No | No | No | Yes | Yes | No4/ | .35-1.00 | No | Yes | None | No | No | 67% | 0-1.00 |
| PENNSYLVANIA PUC | No | No | No | No | Yes | No | .25-1.00 | Yes | Yes | -- | No | No | -- | .25-1.00 |
| PUERTO RICO PSC | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| QUEBEC PSB | No | No | No | Yes | Yes | No | .50-1.60 | Yes | No | .50-.95 | No | No | -- | .50-1.60 |
| RHODE ISLAND PUC | No | No | No | Yes | Yes | No4/ | 1.05-1.31 | No | Yes10/ | 10/ | No | No | 90% | 1.05-1.31 |
| SOUTH CAROLINA PSC | No | No | No | Yes | Yes | Yes | .35-1.00 | No | No | .35-.75 | No | No | 80% | .35-.75 |
| SOUTH DAKOTA PUC | No | No | No | No | Yes | -- | .25-.50 | Yes | Yes | -- |  |  |  | 1.00 |
| TENNESSEE PSC | No | No | No | No | Yes | No | .75-1.00 | No | Yes | -- | No | Yes | -- | .70-1.00 |
| TEXAS PUC | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| UTAH PSC | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- | -- |
| VERMONT PSB | No | No | No | Yes | No | No | -- | No | Yes | -- | No | Yes | -- | -- |
| VIRGIN ISLANDS PSC | No | No | No | No | Yes | No | 1.35 | No | No | 1.35 | No | No | 88% | 1.35 |
| VIRGINIA SCC | No | No | No | Yes | Yes | Yes | .25-1.00 | No | Yes | 11/ | No | No | 79% | .25-3.00 |
| WASHINGTON UTC | No | No | No | Yes | Yes | No | .25-1.00 | No | Yes | None | No | No | 56% | .50-1.00 |
| WEST VIRGINIA PSC | No | No | No | No | Yes | No | .25-1.00 | Yes | Yes | .45-1.00 | No | No | 60% | .25-1.00 |
| WISCONSIN PSC | No | No | No | Yes | Yes | No | 6/ | No | Yes | None | Yes | Yes | -- | 6/ |
| WYOMING PSC | No | No | No | No | No | -- | -- | Yes | Yes | None | No | -- | -- | -- |

## Footnotes - TABLE 58 - TELEPHONE DIRECTORY LISTING

1. "Residence primary listings may contain an additional name in the case of (1) two adults who share a common surname and live at the same address, (2) women whose husbands are deceased and (3) persons known by more than one name, provided that the surname is the same."

2. Where a subscriber is located in an exchange border area and requests a foreign listing for better identification in order to facilitate the completion of calls.

3. PT&T and General Tel. Co. which account for 98% of service plus some independents, allow two names in one listing. Other small independents may charge about $.25-.40 for such additional listings.

4. Uses value of service method.

5. Proposed tariff providing free dual listing was approved.

6. Twenty five cents to $1.10 per month or $5.00 one-time charge.

7. Cost plus contribution to overall revenues based on value of service.

8. Non-recurring charges.

9. Dual listings for no charge 90 days from subscription. After 90 days non-recurring charge of $6.00.

10. New England Tel. charges an initial non-recurring charge of $7.50.

11. Dual name listings as primary listing--no charge with initial order. C&P, Continental, Central, United Inter-Mountain cover 95% of subscribers and charge $3.00 non-recurring fee for subsequent listing change to dual name listing.

12. Only when second listing appears on the same line(s).

13. Calls handled in the North Dakota D.A. Bureau--study made in 1976.

14. Regulation of yellow pages terminated by legislation effective January 1, 1980.

## Table 59 - INTERCONNECTION OF TELEPHONE EQUIPMENT

Note: The information contained in the following table was developed on the basis of a questionnaire submitted to the State Commissions by the NARUC Committee on Communications, and updated by survey for the 1979 Annual Report. Questions numbered 4, 5, 6, 7, and 8 (listed in the headings) are reproduced in full following the table, as well as representative comments concerning Questions 8 and 9 from members of the Commissions.

| Agency | Formal Action ? (4) | Informal Action ? (5) | General Order ? (6) | Comply with FCC 19528? (7) | What If Remanded to State? (8) |
|---|---|---|---|---|---|
| Alabama PSC | no | yes | no | no | will study |
| Alaska PUC | yes, U-74-50 | yes | no | no | Open Docket, U-77-5 |
| Arizona CC | no | no | no | yes | studies would be made |
| Arkansas PSC | no | no | no | yes | burden of proof on supplier |
| California PUC | yes 3/ | no | yes, G.O.138 | yes | Open Docket, 9625 |
| Colorado PUC | no | no | no | yes | |
| Connecticut PUC | yes, 770526 | no | no | yes | would hold hearings |
| Delaware PSC | yes 1/ | no | no | yes | would be studied |
| District of Columbia PSC | yes(Order 5997) | no | no, tariff filings | no | probably studied extensively |
| Florida PSC | yes,770865-TP | yes | yes,order 8043 | yes | would continue docket |
| Georgia PSC | no | yes | no | yes | burden of proof on supplier |
| Guam PUC | no | no | no | no | |
| Hawaii PUC | yes, docket 2187 | no | no | yes | see 2187 |
| Idaho PUC | no | no | no | no | undetermined |
| Illinois CC | yes, 55472 | no | no, under consideration | no | hearing being held, 55472 |
| Indiana PSC | no | no | no | yes | maintain present situation |
| Iowa SCC | yes, RPU-76-48 | yes | no | yes | not applicable |
| Kansas SCC | no | yes | no | yes | studied and possibly authorized |
| Kentucky URC | no | no | no | yes | tend to eliminate customer owned |
| Louisiana PSC | no | no | no | no | would be studied |
| Maine PUC | no | yes | no | yes | possibly would authorize |
| Maryland PSC | no 1/ | no | no | yes | would study |
| Massachusetts DPU | | | | | |
| Michigan PSC | yes, U-5185 | yes | no | no | undetermined |
| Minnesota PSC | yes, M-5391 | yes | no | yes | would be studied |
| Mississippi PSC | no | no | no | yes | no action taken |
| Missouri PSC | yes 2/ | yes | no | yes | undetermined |
| Montana PSC | no | no | no | yes | would be studied |
| Nebraska PSC | yes, resolution | no | no | no | likely prohibited |
| Nevada PSC | no | yes | no | yes | unknown |
| New Hampshire PUC | yes, accepted filings | no | no | yes | would be studied |
| New Jersey BPU | yes | no | no | yes | will be studied |
| New Mexico SCC | no | no | no | yes | would be studied |
| New York PSC | yes, 26894 | yes | yes, 26894 | yes | would certify |
| North Carolina UC | yes, P-100,Sub.47 | no | yes | yes | |
| North Dakota PSC | no | yes | no | no | probably allow |
| Nova Scotia PUB | no | no | no | -- | -- |
| Ohio PUC | no 4/ | no | no | yes | would be studied |
| Oklahoma CC | no | yes | no | no | would be studied |
| Oregon PUC | no | no | no | yes | moot |
| Pennsylvania PUC | no | no | no | no, voluntary | indefinite |
| Quebec PSB 5/ | yes | yes | yes | -- | -- |
| Rhode Island PUC | no | yes | no | yes | would be studied |
| South Carolina PSC | yes, hearing | no | yes, RUT 505 | yes | probably hold hearings |
| South Dakota PUC | yes | no | no | yes | probably couplers while studying |
| Tennessee PSC | no | no | yes, couplers | yes | unknown |
| Texas PUC | no | no | yes | no | not known |
| Utah PSC | yes, 98A | no | yes | yes | may eliminate interconnection devices |
| Vermont PSB | yes, 4080 | no | no | yes | similar to prior decision |
| Virginia SCC | no | yes | no | yes | would be studied |
| Washington UTC | yes, U-72-4 | yes | no | no | would be studied |
| West Virginia PSC | yes | no | no | no | would be reviewed |
| Wisconsin PSC | no | no | no | yes | interconnecting unlikely |
| Wyoming PSC | no | no | no | no | follows NARUC |

Footnotes

1/ Accepted tariffs incorporating interconnect policy.
2/ Order of Commission in Case TR-78-112, stayed under review in Circuit Court of Cole County, Missouri.
3/ Case No. 9625, G.O. 138.
4/ The Commission has approved tariff revisions as proposed by many telephone companies, said filings being made to place the involved utility in compliance with FCC rulings. To date, no independent action has been instituted by this Commission and none is presently anticipated.
5/ Interconnection is only allowed if it is authorized by the Board and reflected in the general tariff of a specific utility. Art. 4.2 of General Ordinance No. 5.

## NARUC COMMUNICATION COMMITTEE ON INTERCONNECTION QUESTIONNAIRE

(4) Has your Commission taken any formal action in regard to interconnection of customer owned telephone equipment?

Yes ________ No ________

If yes, please enclose a copy of the order or resolution entered by your Commission.

(5) Has your Commission taken any informal action in regard to interconnection of customer owned telephone equipment?

Yes ________ No ________

If yes, please explain what action is being taken in this regard.

(6) Does your Commission have any General Order or standards relating to interconnection of customer owned telephone equipment?

Yes ________ No ________

If yes, please enclose a copy. If the General Order or standards are pending, please enclose a copy.

(7) Does your Commission require telephone companies under your jurisdiction to comply with the interconnection provisions of the FCC as set forth in Docket #19528 as it relates to intrastate service within your jurisdiction?

Yes ________ No ________

Question (8) - If the Federal Court rules to dismiss the authority of the FCC as it relates to interconnection of customer owned telephone equipment, will interconnection be authorized or studied on an intrastate level with your Commission? Please explain if possible what direction your Commission might take if this happens.

### Representative Comments

We have Docket U-77-5 open to establish a policy for interconnection. We are currently studying the feasibility of authorizing interconnection of equipment that is approved by a professional engineer who is registered in Alaska.

P. Sokolov - Alaska

If the Courts dismiss the authority of the FCC, then the authorization of the interconnection of customer-owned telephone equipment will rest upon the State (Public Service Commission). Due to the lack of funds and staff, this Commission would have to so structure the responsibility format so that the interconnecting customer would place the burden of proving equipment compatability upon the company offering the interconnect device. Final connection approval would require customer's ability to notify the utility of his desire to be on that system and the equipment supplier's ability to prove compatibility. The company offering the interconnect device would thus have to so design that device for exact compatibility or relatively easy adaptability to the overall system. This would then eliminate the responsibility of the utility to adopt equipment and would then allow simple connection by the customer. Although not a Commission position, the Staff is in substantial agreement with current FCC policy in regards to competition and customer-owned terminal equipment.

Randy Wood - Arkansas

If the FCC interconnect rules become invalid, this Commission will continue its proceeding Case No. 9625 to consider the interconnection of telephones, key systems and PBX systems. General Order No. 138 is at present, limited to ancillary and data equipment. The proceeding remained open, however, to review extension of the rules to all areas of terminal equipment.

Paul Popenoe, Jr. - California

The Commission has initiated a formal docket to determine the proper billing method to recognize customer-owned equipment; i.e., whether by a credit or other means. If the state was given total authority, we would expand our existing docket to cover the question of the propriety of customer-owned equipment.

David L. Swafford - Florida

Interconnection would be studied on an intrastate level. Some interconnection would probably still be allowed; however, the burden of proof of no harm to the network would rest with the customer or the interconnect company instead of the telephone company.

R. C. Evans - Georgia

Under this condition, we would, very likely, continue to permit interconnection under existing rules and regulations with appropriate tariff charges for authorized connecting arrangements.

At present, we have had very little indicated concern about expansion or liberalization of interconnection. Our telephone companies have, in general, provided good service and have been able to meet most customer demands. In the interest of continuing this experience, we are not proposing any significant study efforts to change existing interconnection requirements.

W. O. Seabury, Jr. - Indiana

It is my belief that the Commission will not authorize nor study interconnection of customer owned telephone equipment. I believe that the Commission will tend to eliminate customer owned telephone equipment.

A. F. Humphries - Kentucky

The Commission has made no statement as to what it may do under the above conditions. My own opinion is yes it would.

Guy E. Twombly - Maine

The Commission may authorize interconnection subject to the findings of its own studies.

Joseph H. Ismail - Maryland

Would be studied, but likely prohibited.

Herbert J. Sherdon - Nebraska

As stated on page 3 of the February 11, 1977 Order in Case 26894, the New York Public Service Commission intends to continue the benefits of the federal program, should it be eliminated, by implementing a statewide certification program using FCC part 68 standards with certification by authorized independent laboratories

Neil A. Swift - New York

## NARUC COMMUNICATIONS COMMITTEE ON INTERCONNECTION QUESTIONNAIRE (Continued)

Yes, I believe the North Dakota Public Service Commission would definitely want to study the matter of interconnection at interstate level and it would appear to me that they would very possibly establish rules and regulations which would allow such arrangements to continue and it would be my recommendation that they do so on the basis of the telephone company's providing service on a regulated utility basis to the line protector, with the customer owning everything, distribution wire, as well as terminal equipment and, further, that the regulated companies be allowed to compete for this end of the business on a non-regulated basis.

Wallace M. Owen - North Dakota

We see no possibility of that occurring.

A. N. Gross - Oregon

Probably will revert back to protective coupler arrangement with studies to be undertaken as to what type of permanent arrangements should be taken.

Lee Larscheid - South Dakota

It is my opinion that if a case were being decided today on the matter of interconnection of privately owned extension telephones and other telephones to the network, that this Commission would rule that this could be done without interconnection devices.

Ray L. Pruett - Utah

The issue has already been addressed in one case before the Board. A copy of that decision is enclosed. It is expected that the Board may follow a similar course in the future.

Charles F. Larkin - Vermont

The Public Service Commission of Wisconsin generally follows the position of the National Association of Regulatory Utility Commissioners. If the courts rule to dismiss the authority of the FCC as it relates to interconnection, it is unlikely that interconnection will be authorized in Wisconsin.

W. J. Green - Wisconsin

Question (9) - Do you have any further comments on the matter of interconnection as viewed from the State level?

### Representative Comments

No findings or facts related to the aforementioned docket (U-77-5) have been made to date, although we are concerned with the potential proliferation of unauthorized equipment.

P. Sokolov - Alaska

If it is determined that the FCC does not have direct jurisdiction over terminal equipment, it may nevertheless be appropriate for the FCC to publish terminal equipment standards for implementation by the states.

Paul Popenoe, Jr. - California

We most likely would favor some sort of certification program that could be established for the nation as a whole.

Ralph E. Reuss - Connecticut

In response to individual complaints concerning customer provided equipment this Commission attempts to insure the integrity and safety of the network by imposing one of the following requirements:

1. Interconnect through telephone company provided protection equipment.
2. Interconnect equipment must meet the Bell System's conformance program requirements.
3. Interconnect equipment must meet FCC Part 68 requirements.

(above was in answer to Question 5)

R. C. Evans - Georgia

The staff proposal is that approval would be by laboratory certification or by FCC registration based on FCC part 68.

B. Dunkel - Illinois

We are concerned about the inroads that competition may ultimately have on service rendered by the telephone companies to their customers, as well as any ultimate impact on the rate structures that might tend to raise the cost of basic telephone service.

W. O. Seabury, Jr. - Indiana

The division of intrastate revenues is a constant concern of this Commission. We find that this erosion is causing the residential customers to assume more of the burden.

Edward L. Gallegos - Louisiana

We are not aware of any problems in Maine between the regulated and interconnect companies. We have not had major complaints.

Guy E. Twombly - Maine

I believe federally mandated interconnection continues the erosion of State regulation. While not unequivocally opposed to interconnection, I feel the registration program proposed by the FCC does little to insure the integrity of the network. I believe State registration programs, provided commissions can get the staff needed to administer them, would be much preferred over the Federal program. I think pushing for State programs is somewhat premature, however, until the courts have decided the issue at the Federal level.

Gordon L. Persinger - Missouri

Under the circumstances which have developed recently, regulation of terminal equipment is simply not feasible, and a national deregulation procedure is urgently needed.

A. N. Gross - Oregon

There has been a rather large amount of information published and distributed regarding interconnection. Almost every study has been contradicted by yet another study. Perhaps the best course would be to pick a test state and closely follow the situation. After all, even NASA makes test flights.

Oscie O. Brown, III - South Carolina

We will utilize the minimum rules and regulations of the FCC concerning interconnection, but only as a minimum. If deemed necessary to require more stringent requirements, this state would promulgate rules to warrant the proper solution to the problem.

Ron Reddington - Rhode Island

Interconnection has brought about new innovative telephone equipment which probably would not have happened if competition were banned. However, I see increasing problems with the sharing of telephone end use responsibility being separated from the telephone companies. Maybe the benefits outweigh the problems. At this time it is hard to tell.

Lee Larscheid - South Dakota

In the long run, the present conditions of what I refer to as regulated competition can not be sustained. We must either allow complete competition or eliminate the limited competition we presently have.

Richard Bibb - Tennessee

We believe that those States favoring interconnection should proceed. Then, after adequate experience, definite findings would be available, allowing other State Commissions to base decisions on hard facts. In this way, the rest of the country would be spared the consequence of a questionable experiment which may not work.

Edward C. Addison - Virginia

We believe that competition for competition sake is not realistic. The Commission must take cognizance of the economic impact of such an approach.

W. J. Green - Wisconsin

The Commission has generally followed the lead of the NARUC in this question.

Frank L. Rauchfuss - Wyoming

## Introduction

### Telephone Company Provision of Free or Reduced Rate Service to Its Employees

The following table seeks to reflect regulatory or industry rules or policies governing telephone companies providing free or reduced rate telephone service to their employees, with specific reference to:

1. Length of service, e.g. after five years or ten years continuous service. Is it granted from half-rate to full rate after a certain number of years?

2. Is free or reduced rate service granted to employees, essentially craftsmen, who are on call nights, Sundays, or on statutory holidays? If so, is it at half-rate or full rate.

3. Is free or reduced rate service granted to management personnel increasing with management levels attained in a telephone company?

4. Is free or reduced rate service, if granted, limited to basic service or does it include extensions and what are the conditions under which extensions are granted and the number of extensions?

5. Do any telephone companies grant free or reduced rate toll service to their employees--to what amount or extent in a month, and under what circumstances?

6. Is free or reduced rate service, where granted to employees, continued when an employee retires and if so, for how long?

7. Do companies granting free or reduced rate service assess service connections and move charges?

8. Do any companies go beyond granting basic telephone services, e.g. color and other non-basic items of equipment?

Table 60 - TELEPHONE COMPANY PROVISION OF FREE OR REDUCED RATE SERVICE TO ITS EMPLOYEES

(M = Management employees, N = Non-management employees, O = On-call employees, R = Retired employees receiving pension or disability payment from company.)

| Agency | Companies Providing Free Service | Residential Exchange Service | | | | | | | | Toll Service | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Class of Employee | Length of Service | % of Rate Reduction | No. of Main Stations | No. of Extensions | % of Service Connection Reduction | % of Move Charge Reduction | % of Other Service Reduction | Class of Employee | Length of Service | % of Rate Reduction | Amount of Toll Service |
| Alabama PSC | Bell | M, N | 6 mos. | 40% | 1 | | 100% | 100% | small no. of non-basic items | M,N,O,R | none | 100% | reasonable |
| | | M,N,R | 30 yrs. | 100% | 1 | 2 | 100% | 100% | | | | | |
| | | O | none | 100% | | | 100% | 100% | | | | | |
| Alaska PUC 1/ | | | | | | | | | | | | | |
| Alberta PUB | Alberta Gov't. Tel. | N,R 2/ | 6 mos. | $1 per mo. | | | | | | | | | |
| | | O | none | 100% | 1 | | 100% | 100% | | | | | |
| | | M,6 & higher, R 2/ | none | 100% | 1 | as required | 100% | 100% | EFRC 3/ | M, 6 & higher, R 2/ | | | reasonable |
| | | M,5,R 2/ | | | 1 | 1 | 100% | 100% | | M,5,R 2/ | | | reasonable |
| | | M,3 & 4, R 2/ | none | 100% | 1 | none | 100% | 100% | EPRC 3/ | M, 3 & 4, R 2/ | | | reasonable |
| | | M, 1 & 2, R 2/ | none | $1 per mo. | | none | 50% dis. | 50% dis. | | | | | |
| Arizona CC 4/ | | | | | | | | | | | | | |
| Arkansas PSC | | M, R | none | 100% | 1 | 1 5/ | 100% | 100% | 50% | M, R | none | | $10-$15 mo. |
| | | N 6/ | none | 50% | 1 | 1 | 50% | 50% | 50% | N | none | | reasonable |
| British Columbia METC | Okanaga Tel. | N | none | 50% | 1 | 1 | | | 50% | | | | |
| | | M | none | 100% | 1 | 1 (50%) | 100% | | 50% | | | | |
| | | M(Senior) | | 100% | 1 | 1 | 100% | | 50% | | | | |
| California PUC | Bell | M(District), O,R | none | 100% | 1 | 3 | 100% | 100% | -- | M(District), O,R | none | -- | $20 mo. |
| | | M,N | 30 yrs. | 100% | 1 | 3 | 100% | 100% | -- | M,N | 30 yrs. | -- | $20 mo. |
| | | M,N | less 30 yrs. | 50% | 1 | 3 | 50% | 50% | -- | | | | |
| | Continental | O | none | 100% | 1 | 3 | 100% | 100% | -- | M,N,O,R | none | 50% | |
| | | M,N,R | none | 50% | 1 | 3 | none | none | -- | | | | |
| | General | M | none | 100% | 1 | 2 | 100% | 100% | 50% | | | | |
| | | N,O,R | none | 50% | 1 | 3 | 50% | 50% | -- | | | | |
| Colorado PUC | Bell | M,N,O,R | 30 yrs. | 100% | 1 | 1 | | | | M,N,R | 30 yrs. | | $25 mo. |
| | | M,N | less 30 yrs. | 50% | 1 | 1 | | | | | | | |
| Connecticut PUC | S. New Eng. | M,R | | 100% | 1 | as required | 100% | 100% | | M,R | | | reasonable |
| | | N | 25 yrs. | 100% | 1 | as required | 100% | 100% | | N | 25 yrs. | | reasonable |
| | | N | less 25 yrs. | 50% | 1 | as required | 100% | 100% | | | | | |
| Delaware PSC | | M,N,O,R | 30 yrs. | 100% | 1 | 1 | 100% | 100% | 50% | M,N,O,R | | | reasonable |
| | | N | less 30 yrs. | 50% | 1 | 1 | 100% | 100% | 50% | | | | |
| District of Columbia PSC | Bell | M,N,R | 30 yrs. | 100% | 1 | 2 | 100% | 100% | 50% | | | | none |
| | | N | less 30 yrs. | 50% | 1 | none | 50% | 50% | 50% | | | | none |
| Florida PSC | Bell | M,N,O,R | 30 yrs. | 100% | 1 | 16/ | 100% | 100% | 16/ | M,N,O,R | 3 yrs. | | reasonable |
| | | N | less 30 yrs. | 40% | 1 | 16/ | 100% | 100% | 16/ | N | over 6 mos. | | reasonable |
| Georgia PSC | | | | | | | | | | | | | |
| Guam PUC | None | | | | | | | | | | | | |
| Hawaii PUC | Hawaiian Tel. | M,N,R | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | | | | | |
| | | M,N,R | less 30 yrs. | 50% | 1 | reasonable | 50% | 50% | | | | | |
| Idaho PUC | | None | | | | | | | | | | | |
| Illinois CC | | | | | | | | | | | | | |
| Indiana PSC | Bell | M,N,O,R | 30 yrs. | 100% | 1 | 3 | 100% | 100% | 50% | | | | reasonable |
| | | N,R | 6 mos. | 50% | 1 | 2 | 50% | 50% | 50% | | | | none |
| | | M | 6 mos. | 100% | 1 | 3 | 50% | 50% | 50% | | | | reasonable |
| | General | O | none | 100% | 1 | 0 | 100% | 100% | | | | | none |
| | | M,N,R | none | 50% | 1 | 1 | 50% | 50% | | | | | none |
| | United | R | none | 100% | 1 | 0 | 100% | 100% | small no. basic items | | | | |
| | (Continental, Public, Ind. Tel.) | N,O | none | 100% | 1 | 0 | 100% | 100% | none | | | | none |
| | | M | none | 100% | 1 | 2 | 100% | 100% | none | | | | none |
| | | R | none | Existing concession will continue. | | | | | | | | | |
| | (Hendricks, Central Indiana, Smithville, Princeton) | No concession rates | | | | | | | | | | | |

Table 60 - TELEPHONE COMPANY PROVISION OF FREE OR REDUCED RATE SERVICE TO ITS EMPLOYEES (Continued)

(M = Management employees, N = Non-management employees, O = On-call employees, R = Retires employees receiving pension or disability payment from company.)

| Agency | Companies Providing Free Service | Residential Exchange Service | | | | | | | | Toll Service | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Class of Employee | Length of Service | % of Rate Reduction | No. of Main Stations | No. of Extensions | % of Service Connection Reduction | % of Move Charge Reduction | % of Other Service Reduction | Class of Employee | Length of Service | % of Rate Reduction | Amount of Toll Service |
| Iowa SCC | Bell | M,N | 6 mos. | 50% 24/ | 1 | reasonable | 50% | 50% | 50% | | | | - |
| | General Tel. | M,N,R | 6 mos. | 50% | 1 | reasonable | 50% | 50% | | | | | |
| | | M,N,O,R | 20 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| | Continental | M | none | 100% | 1 | 2 | | | | | | | |
| | | N | none | 100% | 1 | none | | | | | | | |
| | United | M,R | none | 100% | 1 | reasonable | 100% | 100% | | | | | |
| | | N | none | 50% | 1 | reasonable | 50% | 50% | | | | | |
| Kansas SCC | Bell | N,O | 6 mos. | 50% | | all | | | | N | none | | none |
| | | M,R | none | 100% | | 1+50% all others | | | | M | none | | $10-20 mo. |
| Kentucky URC | Bell Gen Tel. | M,N,O,R | 3-6 mos. | 50% | 1 | 2 | 100% | 100% | 100% | | | | |
| Louisiana PSC | Bell | M,N,R | 30 yrs. | 100% | 1 | | 100% | 100% | | | | | none |
| | | M,N | 6 mos. | 60% | 1 | | 60% | 60% | | | | | none |
| | Central La. Tel. Co. | M,N | 3 mos. | 50% | 1 | | | | | | | | none |
| | | O | none | 100% | 1 25/ | | | | | | | | none |
| | Kaplan Tel. Co. | M,N | 12 mos. | 40% | 1 | | | | | | | | none |
| | | O | none | 100% | 1 25/ | | | | | | | | none |
| Maine PUC | | | | | | | | | | | | | |
| Maryland PSC | | M,N(Sr.),R | | 100% | 1 | reasonable | | | 50% | | | | |
| | | N | | 50% | 1 | reasonable | | | 50% | | | | |
| Massachusetts DPU | New Eng. T&T | M,N,R | 30 yrs. | 100% | 1 | 2 | 100% | 100% | 50% | M,N,R | 30 yrs. | | $30 mo. |
| | | N | 6 mos. | 50% | 1 | 2 | 50% | 50% | 50% | N | 6 mos. | | 7/ |
| Michigan PSC | Bell | M,N,R | 1 yr. | 50% | 1 | | | | 50% | | | | |
| | General Tel. | M,N,R | 1 yr. | 50% | 1 | | | | 50% | | | | |
| Minnesota PSC | | | | | | | | | | | | | |
| Mississippi PSC | Bell | M,N | 30 yrs. | 100% | 1 | | 100% | 100% | 40% | M,N | 30 yrs. | 100% | limited |
| Missouri PSC | Central | M,N,R | 6 yrs. | 50% | 1 | | 100% | 100% | | | | | |
| | Continental | M,N | 3 yrs. | 100% | 1 | | | | | | | | |
| | General | M,R | 6 yrs. | 100% | 1 | 1 | 100% | 100% | | | | | |
| | | N | 6 yrs. | 50% | 1 | 1 | 100% | 100% | | | | | |
| | SW Bell | M,N,R | | 100% | | | | | | | | | |
| | United | M | | 100% | 1 | 2 | 100% | 100% | | | | | |
| | | N | | 50% | 1 | 2 | 100% | 100% | | | | | |
| Montana PSC | Bell | M,N,O,R | 30 yrs. | 100% | 1 | reasonable | | | | M,N,R | 30 yrs. | 100% | reasonable |
| | | | less 30 yrs. | 50% | 1 | reasonable | | | | | | | |
| Nebraska PSC | | | | | | | | | | | | | |
| Nevada PSC | | | | | | | | | | | | | |
| New Hampshire PUC | NE T&T | N | 6 mos. | 50% | 1 | 2 | 50% | 50% | 50% | N | 6 mos. | | $60 |
| New Jersey BPU | Bell | M,N,O,R | 6 mos. | 50% | 1 | 2 | 50% | 50% | limited | | | | |
| | | M | 5 yrs. | 100% | 1 | 2 | 100% | 100% | limited | M | 5 yrs. | 100% | reasonable |
| | | N | 30 yrs. | 100% | 1 | 2 | 100% | 100% | limited | N | 30 yrs. | 100% | reasonable |
| | | R | 20 yrs. | 100% | 1 | 2 | 100% | 100% | limited | R | 20 yrs. | 100% | reasonable |
| New Mexico SCC | | | | | | | | | | | | | |
| New York PSC 8/ | | | | | | | | | | | | | |
| North Carolina UC 15/ | Bell | M,N,O,R | 6 mos. | 40% | 1 | reasonable | 40% | 40% | 40% | M,N,O,R | 30 yrs. | 100% | reasonable |
| | | | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | M | 6 mos. | 40% | reasonable |
| | | | | | | | | | | N | 6 mos. | 40% | occasional |
| | Carolina | N | | 50% | 1 | reasonable | | | | M,N,O,R | | | $5 mo. |
| | | O,R,M | | 100% | 1 | reasonable | | | | | | | |
| | | M,O,R,N | 30 yrs. | 100% | 1 | reasonable | | | | | | | |
| | Central | M,N,O | 6 mo. | 50% | 1 | reasonable | | | | | | | |
| | | R | 30 yrs. | 50% | 1 | reasonable | | | | | | | |
| North Dakota PSC | | | | | | | | | | | | | |
| Nova Scotia PUB | Mar. T&T | O | - | 50% | - | - | - | - | - | O | - | 50% | - |
| | | N | 12 mos. | 25% | - | - | - | - | - | | | | |
| Ohio PUC | Bell | N | 6 mos. | 50% | 1 | reasonable | 50% | 50% | 50% | N | 6 mos. | 100% | occasional |
| | | M,N | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | M,N,R | 30 yrs. | 100% | reasonable |
| | General Tel. | N | 6 mos. | 50% | 1 | reasonable | 50% | 50% | 50% | | | | |
| | | M,N | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| | United | N | 6 mos. | 50% | 1 | reasonable | 50% | 50% | 50% | | | | |
| | | M,N | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| Oklahoma CC | | | | | | | | | | | | | |
| Ontario TSC | 16 companies | M,N,O,R 20/ | | 100% | 1 | 21/ | 100% | 100% | | M 22/ | | | $25-35 mo. 12/ |
| Oregon PUC | | M,N | none | 50% | 1 | none | | | | | | | |
| Pennsylvania PUC | Bell | M,N,O,R | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | M,N,O,R | 30 yrs. | 100% | reasonable |
| | | O | none | 50% | 1 | reasonable | 50% | 50% | 50% | | | | |

Table 60 - TELEPHONE COMPANY PROVISION OF FREE OR REDUCED RATE SERVICE TO ITS EMPLOYEES (Continued)

(M = Management employees, N = Non-management employees, O = On-Call employees, R = Retired employees receiving pension or disability payment from company.)

| Agency | Companies Providing Free Service | Residential Exchange Service | | | | | | | | Toll Service | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | Class of Employee | Length of Service | % of Rate Reduction | No. of Main Stations | No. of Extentions | % of Service Connection Reduction | % of Move Charge Reduction | % of Other Service Reduction | Class of Employee | Length of Service | % of Rate Reduction | Amount of Toll Service |
| Puerto Rico PSC | | | | | | | | | | | | | |
| Quebec PSB 26/ | | | | | | | | | | | | | |
| Rhode Island PUC | New Eng. T&T | N | 6 mos | 50% | 1 | 2 | 50% | 50% | 50% | N | 6 mos. | | $60 mo. |
| | | M,N,R | 30 yrs. | 100% | 1 | 2 | 50% | 50% | 50% | M,N,R | 30 yrs. | | $30 mo. |
| South Carolina PSC | | | | | | | | | | | | | |
| South Dakota PUC | Bell | N | 6 mos. | 50% | 1 | reasonable | 50% | 50% | 50% | | | | |
| | | M | 6 mos. | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| Tennessee PSC | | M,N,R,O | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| | | M,N | 6 mos. | 40% | 1 | reasonable | 40% | 40% | 40% | | | | |
| Texas PUC | SW Bell | M-1,R | none | 100% | 1 | 1 | 100% | 100% | 50% | M-1,R | none | 100% | limited |
| | | N | 6 mos. | 50% | 1 | 1 | 50% | 50% | 50% | N | 30 yrs. | 100% | limited |
| Utah PSC | | M,R | 30 yrs. | 100% | 1 | reasonable | 100% | 100% | 100% | R | | | limited |
| Vermont PSB | New Eng. T&T | N,A17/ | 6 mos. | 50% | 1 | 2 | 50% | 50% | 50% | N,A17/ | 6 mos. | 50% | $30 Mo. |
| | | M,R<br>D18/A17/<br>N19/ | 6 mos. | 100% | 1 | 2 | 100% | 100% | 100% | M,R<br>D18/A17/<br>N19/ | 6 mos. | 100% | $90 qtr. |
| | Continental | N | 6 mos. | 50% | 1 | 1 | 0 | 0 | 0 | | N/A | | |
| Virgin Islands PSC | Vitelco | Union 9/ | 6 mos. | 50% | 1 | 10/ | 11/ | | | | | | |
| | | M,R 9/ | | 100% | 1 | 10/ | 11/ | | | M,R 9/ | none | | $5-$30 mo. 12/ |
| Virginia SCC | Bell | M,R 9/ | none | 100% | 1 | reasonable | 100% | 100% | 100% | | | | |
| | Central | N,R 9/ | none | 50% | 1 | reasonable | 50% | 50% | 50% | | | | |
| | General Tel. | M,N,R | 3 mos. | 50% | 1 | no limit | 50% | 50% | 50% | | | | |
| Washington UTC 13/ | | | | | | | | | | | | | |
| West Virginia PSC 23/ | | | | | | | | | | | | | |
| Wisconsin PSC 14/ | | | | | | | | | | | | | |
| Wyoming PSC | | | | | | | | | | | | | |

## Footnotes - Table 60 - TELEPHONE COMPANY PROVISION OF FREE OR REDUCED RATE SERVICE TO ITS EMPLOYEES

1/ Although the Commission has issued no regulations or general orders which prohibit telephone utilities from providing free service to its employees as a fringe benefit, the Commission has informally expressed its disapproval of such a practice. It has permitted, however, free local service to telephone employees who are required to be on call during off duty hours. Requests to provide free telephone services other than local exchange have never been brought before the Commission.

2/ Employees who retire on pension retain the same concession class and rate for the duration of their retirement, providing they continue to reside within the limits of AGT territory. When a pensioner dies, the spouse receives the same concession class and rate as the pensioner received, provided he/she does not move from AGT territory or remarry.

3/ Extended Flat Rate Calling, and color telephone.

4/ This Commission has not directed rules nor policies pertaining to employee concessions, however, each company's policies have been reviewed: Since the operating companies use employee concessions as a bargaining issue in labor contract negotiations, this Commission's view has been to allow latitude to the companies to utilize this bargaining point within reason.

5/ All other extensions and supplemental services are 50% of the actual rates.

6/ After 30 years, non-management employees receive the same benefits as management employees.

7/ Employee pays 50% of rate for all toll calls made to points served by New England Telephone and Telegraph Company.

8/ The New York Public Service Commission has not set forth specific rules governing the provision of free telephone service to telephone company employees. Rather, the individual companies have been permitted to set the conditions under which free or reduced rate service is offered to their employees. Of course, the reasonableness of these costs for setting rates is subject to review in Commission investigations involving general rate applications.

In a 1973 New York Telephone Company rate case, the Staff of the Commission proposed a disallowance of some of the costs of free service to company employees. Basically, New York Telephone Company offers two types of employee discounts--Class A or 100% discount and Class B or 50% discount. It was proposed that the revenue loss resulting from the granting of Class A rather than Class B discounts not be allowed for ratemaking. The Staff argued that the 100% discount is unduly generous and that it constituted an open-ended fringe benefit to a select group of employees.

The Commission rejected this adjustment determining that the discount is an understood form of compensation, that its withdrawal would affect employee moral and require offsetting wage increases, and that the net benefit, if any, did not warrant the labor relations and other difficulties that would follow. Since that time and at our behest, tighter controls have been implemented to prevent abuses of the discounts. Our monitoring of these efforts show that gradual improvements have been achieved.

9/ Retired employees are entitled to the same telephone benefits and rate as those received when they were employed at the time of retirement.

10/ No monthly charge for first extension, supplemental extensions billed at 50%.

11/ No installation charge for first extension, supplemental installation charges billed at 50% of regular rate.

12/ Monthly toll allowance increases with management grade.

13/ Washington State law provides that telephone companies may grant free or reduced rate service to their employees or to the employees of other telephone, telegraph and railroad companies. (RCW 80.36.130) No limits or restrictions to the authority are included in the statute. Since this is a statutory exemption from the general anti-rebate provisions, the companies are not required to file this information with their tariffs.

14/ Wisconsin Statutes, Sec. 196.60, provides in part that: "Nothing in this section nor in s. 196.63 or any other provision of law shall be construed to prohibit any public utility engaged in the conveying of telephone messages from furnishing service to its employes, pensioners and officers, at no charge or at charges less than those prescribed in its published schedules or tariffs. The public service commission may prescribe rules except that such rules shall not prohibit or restrict the furnishing of service to employes, pensioners or officers or the receiving of service by employes, pensioners and officers at no charge or charges less than those prescribed in the utility's published schedules or tariffs. No revenue shall accrue or be credited to the accounts of such utility with respect to such service furnished at no charges nor with respect to any amounts by which any charges for such service are less than those prescribed in the utility's published schedules or tariffs."

15/ Virtually all telephone companies operating in North Carolina provide "concession rates" of one form or another to their employees or former employees.

16/ Depends on level of employment.

17/ American Tel. & Tel. employees residing in New England Tel. & Tel. territory.

18/ American Tel. & Tel. and New England Tel. & Tel. Directors residing in New England Tel. & Tel. territory.

19/ With 30 years.

20/ Most concessions are to on-call employees.

21/ With few exceptions, only one extension.

22/ One company only.

23/ West Virginia Code Sec. 24-3-4 provides that telephone companies may grant free or reduced rate service to their employees.

24/ Rate reduction is permitted by Section 476 of the Iowa Code.

25/ When in judgement of company the employee is contacted concerning business after hours.

26/ Approximately seven telephone companies operating under Quebec PSB regulation provide "Concession Rates" of one form or another to their employees or former employees.

Table 61 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED TELEPHONE UTILITIES UNDER AGENCY JURISDICTION
(As of December 31, 1979 unless otherwise noted)

|  | Plant - Intrastate Only (000 omitted) |  |  |  |  |  |  |  | Revenues and Expenses - Intrastate Only (000 omitted) |  |  |  |  |  |  |  |  |  |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
|  |  |  |  |  |  | Less: |  |  |  |  |  |  |  |  |  |  |  |  |
| Agency | Plant in Service | Construction Work in Progress | Plant Acquisition Adjustment | Plant Held For Future Use | Materials and Supplies | Depreciation and Amortization Reserves | Contributions in Aid of Construction | Net Book Costs | Operating Revenues | Depreciation and Amortization Expenses | Income Taxes | Other Taxes | Other Operating Expenses | Total Operating Expenses | Net Operating Income | Other Income | Other Deductions | Net Income |
| ALA. PSC1/ | 70,140 | 5,755 | -0- | -0- | 1,618 | 15,187 | -0- | 62,326 | 20,341 | 4,697 | 1,157 | 1,531 | 9,061 | 16,446 | 3,895 | 7 | -0- | 3,902 |
| ALAS. PUC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ARIZ. CC | 1,498,978 | 39,371 | (34) | 229 | 16,963 | 261,830 | -0- | 1,293,677 | 614,382 | 87,213 | 52,073 | 61,824 | 302,749 | 503,859 | 110,523 | 3,229 | 43,477 | 70,275 |
| ARK. PSC | 33,829 | 1,677 | 72 | -0- | 437 | 4,540 | 3 | 31,472 | 8,084 | 1,299 | 369 | 494 | 4,431 | 6,593 | 1,491 | 41 | 256 | 1,276 |
| CAL. PUC | 12,429,806 |  |  |  |  |  |  |  | 4,651,296 |  |  |  |  |  |  |  |  |  |
| COL. PUC | 1,279,932 | 54,284 | 307 | 49 | 11,174 | 211,109 | -0- | 1,134,637 | 507,403 | 73,559 | 37,958 | 37,072 | 259,503 | 408,092 | 99,311 | 4,285 | 38,703 | 64,893 |
| CONN. PUC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| DEL. PSC | 363,925 | 11,377 |  | 37 | 2,457 | 57,711 |  | 320,085 | 133,417 | 3,295 | 13,258 | 8,119 | 83,166 | 107,838 | 25,579 | 204 | 54 | 25,729 |
| D.C. PSC | 514,543 | 12,881 |  |  | 1,075 | 140,069 |  | 388,430 | 210,316 | 29,087 | 21,360 | 14,348 | 109,881 | 174,676 | 35,640 | 2,171 | 10,913 | 26,898 |
| FLA. PSC | 7,269,475 | 288,667 | 226 | 1,183 | 104,471 | 1,172,660 | -0- | 6,491,362 | 2,814,701 | 417,774 | 309,032 | 173,097 | 1,353,459 | 2,253,362 | 561,339 | 10,606 | 190,692 | 381,253 |
| GA. PSC | 3,298,555 | 159,371 | 1,635 | 326 | 34,484 | 567,581 |  | 2,926,790 | 1,229,761 | 193,271 | 101,752 | 82,134 | 609,930 | 987,087 | 242,674 | 1,067 | 9,320 | 234,421 |
| HI. PUC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| IDA. PUC | 527,012 | 32,321 |  | 295 | 5,986 | 91,824 |  | 473,790 | 205,452 | 31,416 | 15,161 | 14,144 | 105,996 | 166,717 | 38,735 | 1,787 | 4,844 | 35,678 |
| ILL. CC | 6,899,304 | 146,366 |  | 1,676 |  | 1,394,190 |  | 5,653,156 | 2,684,922 | 380,363 | 241,387 | 247,628 | 1,339,307 | 2,208,685 | 476,237 | 3,321 | 154,962 | 324,596 |
| IND. PSC5/ | 3,172,382 | 102,249 | 146 | 826 | 21,482 | 662,491 |  | 2,634,594 | 1,115,804 | 171,178 | 113,067 | 76,543 | 523,326 | 884,114 | 231,690 | 6,371 | 81,142 | 156,919 |
| IOWA SCC8/ | 1,586,555 | 52,014 | 2,215 | 38 | 10,509 | 294,623 | -0- | 1,356,708 | 561,109 | 79,588 | 50,783 | 44,437 | 276,116 | 450,924 | 110,185 | 2,438 | 7,769 | 104,854 |
| KAN. SCC | 979,744 | 10,828 | 906 | 130 | 23,340 | 181,124 | 300 | 833,524 | 343,087 | 48,861 | 16,098 | 33,292 | 192,701 | 290,952 | 52,135 | 104,260 | 24,980 | 131,415 |
| KY. URC | 1,893,058 | 79,255 | 618 | 1,443 | 20,345 | 347,199 |  | 1,647,520 | 612,820 | 99,556 | 39,368 | 39,174 | 322,035 | 500,133 | 112,687 | 4,697 | 45,582 | 71,802 |
| LA. PSC | 1,948,797 | 92,162 | 7,940 | 592 | 13,836 | 32,671 |  | 2,030,656 | 710,841 | 102,386 | 52,645 | 53,552 | 390,190 | 598,773 | 112,068 | 47 | 5,319 | 106,796 |
| ME. PUC | 456,701 | 7,762 |  |  | 3,981 | 126,138 | 268 | 342,038 | 164,376 | 23,528 | 11,945 | 14,315 | 90,040 | 139,828 | 24,548 |  |  |  |
| MD. PSC | 2,334,740 | 55,697 |  |  |  | 542,937 |  | 1,847,500 | 878,791 | 117,418 | 10,217 | 132,110 | 472,569 | 732,314 | 146,477 | 625 | 42,358 | 104,744 |
| MASS. DPU | 2,733,156 | 37,778 | -0- | -0- | 47,624 | 665,738 | -0- | 2,152,820 | 1,136,670 | 149,076 | 92,071 | 99,962 | 595,027 | 936,136 | 200,534 | 1,248 | 679 | 201,103 |
| MICH PSC11/ | 4,264,950 | 124,756 |  | 895 | 25,732 | 857,479 |  | 3,558,854 | 1,509,662 | 215,197 | 108,246 | 112,868 | 808,484 | 1,244,795 | 264,867 | 1,766 | 98,565 | 168,068 |
| MINN. PSC | 2,442,991 | 83,102 | 792 | 616 | 17,495 | 459,513 | 152 | 2,085,331 | 865,249 | 125,032 | 86,982 | 67,439 | 428,147 | 707,600 | 157,649 | 5,468 | 9,658 | 153,459 |
| MISS. PSC13/ | 840,899 | 33,783 | -0- | 210 | 8,571 | 148,988 | -0- | 734,475 | 321,881 | 47,883 | 27,713 | 20,381 | 167,859 | 263,836 | 58,045 | 3,403 | 19,272 | 42,176 |
| MO. PSC | 2,962,500 | 117,595 | 5,988 | 472 | 23,527 | 436,038 | 3 | 2,674,040 | 1,064,027 | 145,192 | 61,603 | 116,293 | 552,972 | 876,060 | 187,966 | 5,117 | 74,919 | 118,164 |
| MONT. PSC | 6,486,864 |  |  |  |  |  |  |  | 2,364,376 |  |  |  |  | 1,569,173 |  |  |  | 795,203 |
| NEB. PSC | 1,087,823 | 59,576 | 1,991 | 86 | 4,821 | 232,873 |  | 921,424 | 356,312 | 55,546 | 32,546 | 28,887 | 164,395 | 281,374 | 74,938 | 1,236 | 12,316 | 63,858 |
| NEV. PSC | 387,994 | 30,796 | 251 | 388 | 4,630 | 176,967 |  |  | 271,108 | 40,808 | 13,976 | 10,747 | 156,401 | 221,932 | 49,176 | 19,768 | 13,267 | 55,677 |
| N.H. PUC | 606,317 | 20,935 | -0- | -0- | 3,208 | 137,420 | -0- | 493,040 | 220,781 | 32,845 | 13,662 | 17,404 | 118,437 | 182,348 | 38,433 | 221 | 1,792 | 36,862 |
| N.J. BPU | 4,495,670 | 96,933 | -0- | 50 | 17,716 | 922,079 | -0- | 3,687,660 |  |  |  |  |  |  |  |  |  |  |
| N.M. SCC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| N.Y. PSC15/ | 12,514,334 | 299,839 | 1,179 | 1,042 | 53,136 | 2,503,863 |  | 10,365,668 | 5,201,238 | 662,04216/ | 352,413 | 682,913 | 2,683,701 | 4,381,069 | 820,169 | 43,442 | 287,742 | 575,869 |
| N.C. UC20/ | 3,219,017 | 121,880 | 1,247 | 1,397 | 36,334 | 728,533 |  | 2,651,342 | 1,060,122 | 166,456 | 104,326 | 95,072 | 486,003 | 851,857 | 208,265 | 4,149 | 76,993 | 135,421 |
| N.D. PSC | 390,390 | 16,881 | 77 | 168 | 5,653 | 85,288 |  | 327,881 | 119,772 | 20,547 | 10,562 | 7,589 | 56,738 | 95,436 | 24,336 |  |  |  |
| OHIO PUC | 5,717,384 | 77,445 | 524 | 85,416 | 52,034 | 1,141,760 | 434,103 | 4,356,940 | 2,337,128 | 300,480 | 150,019 | 253,787 | 1,124,596 | 1,828,882 | 508,246 | 11,140 | 129,632 | 389,754 |
| OKLA. CC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| ORE. PUC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| PA. PUC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| R.I. PUC8/ | 459,311 | 1,873 | -0- | -0- | 2,440 | 138,882 | -0- | 324,742 | 182,535 | 24,647 | 8,255 | 25,693 | 99,122 | 157,717 | 24,818 | 6 | 164 | 24,660 |
| S.C. PSC | 1,779,016 | 76,605 | 696 | 298 | 23,478 | 364,781 | -0- | 1,515,312 | 577,244 | 89,489 | 13,474 | 44,518 | 314,960 | 462,441 | 114,803 | 3,097 | 36,533 | 81,367 |
| S.D. PUC27/ |  |  |  |  |  |  |  | 200,442 | 88,756 | 12,922 | 7,718 | 5,004 | 43,412 | 69,056 | 19,700 |  |  | 18,236 |
| TENN. PSC | 2,492,101 | 68,732 | 1,995 | 979 | 21,669 | 408,017 | -0- | 2,177,459 | 877,910 | 121,207 | 83,130 | 80,836 | 431,890 | 717,063 | 160,846 | 1,191 | 60,658 | 101,379 |
| TEXAS PUC | 9,300,470 | 428,867 | 11,024 | 1,562 | 288,81121/ | 1,325,797 |  |  |  |  |  |  |  |  |  |  |  |  |
| UTAH PSC | 478,420 | 14,043 |  | 2 | 3,360 | 85,835 |  | 409,990 | 183,461 | 25,692 | 12,733 | 12,983 | 98,561 | 149,969 | 33,492 | 127 | 95 | 33,524 |
| VT. PSB | 335,037 | 8,829 | -0- | (7) | 464 | 74,733 |  | 269,590 | 125,633 | 16,624 | 589 | 10,322 | 79,350 | 106,885 | 18,748 | 167 | 93 | 18,822 |
| VA. SCC | 2,424,831 | 85,861 |  | 847 | 15,355 | 458,313 | 713 | 2,067,868 | 833,144 | 120,761 | 74,487 | 55,722 | 409,674 | 660,644 | 172,500 | 4,814 | 241 | 177,073 |
| WASH. UTC |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| W. VA. PSC | 1,036,669 |  |  |  |  |  |  |  | 350,437 | 49,634 | 48,57124/ |  | 192,849 | 291,054 | 59,383 |  |  |  |
| WISC. PSC | 2,662,189 | 80,450 | 89 | 171 | 22,552 | 595,510 | 10 | 2,169,931 | 876,814 | 146,002 | 82,011 | 73,583 | 401,892 | 703,488 | 173,326 | 3,173 | 63,440 | 113,059 |
| WYO. PSC | 418,318 |  |  |  | 104,158 | 60,030 |  | 462,446 | 1,144,223 | 21,176 | 19,209 |  | 1,072,787 | 1,113,172 | 31,051 |  |  |  |

Table 61 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED TELEPHONE UTILITIES UNDER AGENCY JURISDICTION (Continued)
(As of December 31, 1978, unless otherwise noted)

| | Customers (Intrastate only) | | | | Telephones in Service (Intrastate only) | | | | | | | Avg. No. Calls Per Tel. | | | Other Statistics (Intrastate only) | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AGENCY | Residential-Yearly Average | Business-Yearly Average | Others-Yearly Average | Total | Residential Main Stations | Business Main Stations | Sub-Total | PBX Telephones | Residence Extensions | Business Extensions | Total Number of Telephones | Local | Intra-State Toll | Inter-State Toll | Average Residential Monthly Bill | Gross Plant Investment Per Customer ($) |
| ALABAMA PSC 1/ | 57,920 | 8,784 | | 66,704 | 57,920 | 8,784 | 66,704 | 4,564 | [illegible] | [illegible] | 98,[illegible] | | | | 15.00 | 934.36 |
| ALASKA PUC | | | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | | | | | | | |
| ARKANSAS PSC | | | | | 18,811 | 3,139 | 21,950 | 3,340 | [illegible] 730 | 3,854 | 38,874 | | | | | 1,294.60 2/ |
| CALIFORNIA PUC | | | | 10,461,421 | | | 10,461,421 | | | | 20,021,918 | 1,795 | 287 3/ | | | |
| COLORADO PUC | | | | | 987,232 | 262,670 | 1,249,902 | 289,865 | [illegible],662 | 118,840 | 2,317,269 | | | | 33.83 26/ | 1,067.00 |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | |
| DELAWARE PSC | | | | | 379,774 | 134,627 | 514,401 | 75,846 | | | | 1,559 | 165 | | | |
| D.C. PSC | 263,827 | 39,235 | 2,398 | 305,460 | 275,622 | 86,420 | 362,042 | 451,292 25/ | 230,409 | 44,128 | 1,087,871 | 2,460 | | 88 | 13.18 | 1,730.00 |
| FLORIDA PSC | 3,413,868 | 517,074 | 75,385 | 4,006,327 | 3,514,991 | 1,324,158 | 4,839,149 | 757,328 | 2,263,081 | 519,203 | 8,378,761 | 1,860 | 54 | 43 | 35.00 | 1,814.00 |
| GEORGIA PSC | | | | | 1,533,217 | 451,739 | 1,984,956 | 420,305 | 1,244,473 | 420,674 | 4,070,408 | 2,100 | 196 | | 8.01 4/ | 1,474.49 2/ |
| HAWAII PUC | | | | | | | | | | | | | | | | |
| IDAHO PUC | 300,951 | 104,060 | 5,892 | 410,903 | 300,951 | 40,614 | 341,565 | 63,446 | 191,147 | 63,717 | 659,875 | 1,499 | 216 3/ | | | 1,282.00 |
| ILLINOIS CC | | | | | | | 5,323,369 | | 4,889,400 | | | | | | | |
| INDIANA PSC 5/ | | | | | | | 2,063,923 6/ | 433,608 | 1,630,318 7/ | | 4,127,849 | | | | | |
| IOWA SCC 8/ | | | | 1,247,945 | 898,697 | 127,208 | 1,025,905 | 195,592 | 629,835 | 148,391 | 1,999,723 | | | | | |
| KANSAS SCC | 778,836 | 116,317 | 20,196 | 915,349 | 785,344 | 132,762 | 918,106 | 164,763 | 603,264 | 159,180 | 1,864,654 10/ | | | | 6.12 | 910.61 |
| KENTUCKY URC | 1,020,900 | 184,113 | | 1,205,013 | 1,034,511 | 188,284 | 1,222,795 | 188,873 | 641,744 | 146,567 | 2,199,979 | 2,049 | 149 | 31 | 7.94 9/ | 1,614.64 |
| LOUISIANA PSC | 1,300,793 | 219,402 | 21,005 | 1,541,200 | 1,300,793 | 219,402 | 1,520,195 | 21,005 | 863,129 | 163,075 | 2,567,404 | 2,527 | 197 3/ | | 21.37 | 1,264.00 |
| MAINE PUC | | | | | 386,793 | 51,546 | 438,339 | 61,478 | 226,161 | 57,301 | 783,279 | | | | | |
| MARYLAND PSC | 2,630,923 | 801,503 | 51,800 | 3,484,226 | 1,461,838 | 260,949 | 1,722,787 | 187,131 | 1,168,965 | 153,649 | 3,232,532 | 1,904 | 135 3/ | | | |
| MASSACHUSETTS DPU | 3,317,778 | 1,162,515 | 70,749 | 4,551,042 | | | | | | | | 1,630 | 268 3/ | | | 1,135.21 |
| MICHIGAN PSC 11/ | | | | | 3,519 12/ | 529 12/ | 4,048 12/ | 784 12/ | 2,276 12/ | 385 12/ | 7,493 12/ | | | | | |
| MINNESOTA PSC | 1,425,132 | 213,112 | 8,440 | 1,646,684 | 1,414,929 | 223,239 | 1,638,168 | 393,148 | 889,078 | 209,833 | 3,130,227 | 1,800 | 63 | 27 | 24.39 | 1,495.33 |
| MISSISSIPPI PSC | | | | | | | | | | | | | | | | |
| MISSOURI PSC | | | | | 1,589,754 | 436,316 | 2,026,070 | 404,257 | 1,031,081 | 406,886 | 3,868,294 | 107 | 26 3/ | | | 1,462.00 2/ |
| MONTANA PSC | 400,146 | 100,000 | 60,195 | 560,341 | | | | | | | | | | | | |
| NEBRASKA PSC | | | | 659,387 | | | 666,679 | 129,633 | 493,887 7/ | | 1,290,199 | | | | | 1,649.75 |
| NEVADA PSC | | | | | | | 350,833 | 120,400 | 270,902 7/ | | 742,135 | 1,690 | 210 3/ | | | 823.00 |
| NEW HAMPSHIRE PUC | 546,174 | 171,837 | 8,456 | 727,467 | 347,645 | 68,639 | 416,284 | 62,625 | 218,652 | 55,888 | 753,449 | | | | | 863.43 |
| NEW JERSEY BPU | 4,873,278 | 1,572,961 | 119,183 | 6,565,422 | | | 3,281,218 14/ | 746,712 | | 2,507,870 14/ | 6,535,800 | | | | 16.30 | 698.20 |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | |
| NEW YORK PSC 15/ | | | | | | | 7,391,963 17/ | 1,864,374 | 3,857,992 17/ | | 13,114,329 | 1,775 | | | 18/ | 977.28 19/ |
| N. CAROLINA UC 20/ | 1,714,163 | 305,993 | | 2,020,156 | 1,745,594 | 319,498 | 2,065,092 | 373,022 | 1,245,882 | 264,941 | 3,948,937 | 1,782 | | | | 846.02 |
| N. DAKOTA PSC | | | | | 219,556 | 57,451 | 277,007 | 41,955 | 162,633 | 55,560 | 537,155 | | | | | |
| OHIO PUC | | | | | | | 4,280,454 | 876,853 | 2,941,315 7/ | | 8,098,622 | | | | | |
| OKLAHOMA CC | | | | | | | | | | | | | | | | |
| OREGON PUC | | | | | | | | | | | | | | | | |
| PENNSYLVANIA PUC | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC 8/ | 535,376 | 166,873 | 9,631 | 711,880 | | | 387,001 | 77,141 | 247,408 7/ | | 711,550 | | | | | 645.21 |
| S. CAROLINA PSC | 886,927 | 151,453 | 549 | 1,038,929 | 983,304 | 152,384 | 1,045,688 | 177,893 | 664,535 | 178,381 | 2,066,497 | | | | | 1,712.36 |
| S. DAKOTA PUC | | | | | | | 235,948 | | | | 456,056 | | | | | |
| TENNESSEE PSC | 1,365,986 | 311,327 | | 1,677,313 | 1,387,852 | 322,058 | 1,709,910 | 190,480 | 948,282 | 245,125 | 3,093,797 | | | | | 1,485.77 |
| TEXAS PUC | | | | | | | 8,485,365 | | 493,134 7/ | | 8,978,499 | | | | | |
| UTAH PSC | 685,055 22/ | 135,848 22/ | 94,088 22/ | 914,991 22/ | 406,26[illegible] | 75,310 | 481,579 | 98,076 | 340,393 | 68,208 | 988,256 | 1,878 22/ | 81 22/ | 71 22/ | 33.27 22/ | 464.84 22/ |
| VERMONT PSB | | | | 214,253 | | | | | | | 363,744 | | | | | 1,563.74 |
| VIRGINIA SCC | 1,607,285 | 174,698 | 10,224 | 1,853,199 23/ | | | 2,015,971 | 225,443 | 1,327,668 7/ | | 3,569,082 | 1,344 | 120 3/ | | | |
| WASHINGTON UTC | | | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | | | | | | | | | | | | | | | | |
| WISCONSIN PSC | | | | | | | 1,897,686 | 399,102 | 1,191,688 7/ | | 3,488,476 | | | | | |
| WYOMING PSC | | | | 188,733 | | | | | | | | | | | | 2,450.00 |

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## Footnotes - Table 61

## COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED TELEPHONE UTILITIES UNDER AGENCY JURISDICTION

1/ Data for Continental Telephone Company only.
2/ Gross plant investment per main station.
3/ Intrastate and interstate tolls.
4/ Local service only, excluding tolls.
5/ Statistics have been compiled from 53 telephone companies.
6/ No breakdown available between residential and business main stations.
7/ Residential and business extensions.
8/ Fiscal year ended June 30, 1979.
9/ Basic bill; does not include toll charges, extensions or extra equipment charges.
10/ Included in this total is 19,341 official stations, service stations or mobil stations.
11/ Fiscal year ended September 30, 1979.
12/ (000) omitted.
13/ Data for South Central Bell in Mississippi.
14/ No differentiation made between residential and business.
15/ Composite of statistics for all Class A and B privately owned telephone utilities under agency jurisdiction (systemwide).
16/ Includes extraordinary retirements.
17/ Companies report total main stations and total extensions--not broken down further.
18/ Not reported in form requested. Monthly revenue per average main station furnished in its place. Main revenue per average main station: Local - $26.91, Toll - $18.66.
19/ Gross plant investment per telephone service.
20/ Data covers the twelve largest telephone companies serving North Carolina.
21/ Figure available for total system only.
22/ 1978 data.
23/ Includes 60,992 which is not separated as to residential, business and other.
24/ Income taxes and other taxes.
25/ PBX telephones - 94,307: Centrex - 356,985.
26/ Average monthly bill represents booked revenues per customer.
27/ South Dakota Public Utilities Commission had rate regulation of telephone cooperatives removed by the State Legislature effective July 1, 1979.

## Introduction - Usage Sensitive Pricing for Local Telephone Service

The following table was compiled from State commission responses to a questionnaire regarding usage sensitive (measured service) pricing for local telephone service.

The questionnaire developed to obtain the information contained in the table is reproduced below. The number at the head of each column of the table indicates the number of the question in the questionnaire.

Additional data concerning consumer response, description of usage sensitive pricing and the results of studies follows the table.

### Usage Sensitive Pricing for Local Telephone Service

1. Has the Commission instituted any form of usage sensitive pricing for local telephone service, i.e. measured service pricing? Yes ☐ No ☐
   If yes, please describe.

2. Is such measured service on an optional or non-optional basis?
   Optional ☐ Non-Optional ☐

3. Please describe the billing formula used for local measured telephone service, e.g. rate bills are based upon: number of calls; length of calls; time of day; day of the week; and/or distance.

4. Has measured service been instituted on an experimental basis?
   Yes ☐ No ☐
   If yes, please describe the parameters of this experiment.

5. Have any studies been instituted regarding measured service?
   Yes ☐ No ☐
   If yes, what were the results?

6. Were duplicate bills provided to customers to allow comparison of rates under a flat-rate structure and a measured-rate structure? Yes ☐ No ☐

7. Has the impetus for measured service come from within the Commission itself, or from consumers, from telephone companies, or from others?
   Commission ☐ Consumers ☐ Telephone Companies ☐ Others ☐

8. Has there been any change in overall quality of service caused by measured service? Yes ☐ No ☐
   If yes, please describe.

9. If measured service has been instituted on any basis, what has been the consumer response?

Table 62 - USAGE SENSITIVE PRICING (USP) FOR LOCAL TELEPHONE SERVICE

| Agency | (1) USP Instituted | (2) Optional or Non-Optional Basis | (3) Billing Formula Used | (4) USP Experimental Basis | (5) USP Studies | (6) Duplicate Bills Provided | (7) Impetus for USP | (8) Change in Quality of Service |
|---|---|---|---|---|---|---|---|---|
| Alabama PSC | No | | | No | Underway | | | |
| Alaska PUC | | | | | | | | |
| Alberta PUB | | | | | | | | |
| Arizona CC | Yes | Optional | Number of Calls 1/ | No | Yes | Not Yet | Commission | |
| Arkansas PSC | Yes | Optional | | Yes | No | No | Commission | No |
| California PUC | Yes | Business/Non-Optional Residence/Optional | Length of Calls 2/ | No | No | No | Commission | No |
| Colorado PUC | Yes | Optional | Number of Calls 27/ | No | Underway | No | Commission | Unknown |
| Connecticut PUC | Yes | Optional | Number of Calls | No | Yes | No | Tel. Cos. | No |
| Delaware PSC | No | | | No | | | | |
| D.C. PSC | Yes | Commercial/Non-Optional, Residence/Optional | Number of Calls | No | No | No | | No |
| Florida PSC | Yes | Optional | Number of Calls<br>Length of Calls 3/ | Yes 4/ | Yes | No | Commission<br>Consumers & Tel. Cos. | |
| Georgia PSC | | | | | | | | |
| Guam PUC | No | | | No | No | | | |
| Hawaii PUC | No | | | No | No | | | |
| Idaho PUC | No | | | | No | | | |
| Illinois CC | Yes | Optional | Number of Calls 5/ | Yes | Yes | Yes | Tel. Cos. | No |
| Indiana PSC | Yes | Optional | Number of Calls 6/ | Yes 7/ | No | No | Commission | No |
| Iowa SCC | No | | | No | No | | | |
| Kansas SCC | No | | | No | No | | | |
| Kentucky URC | No | | | No | No | | | |
| Louisiana PSC | No | | | No | No | | | |
| Maine PUC | No | | | | | | | |
| Maryland PSC | Yes 28/ | Business/Non-Optional | Number of Calls | No | No | No | Commission & Tel. Cos. | No |
| Massachusetts DPU | Yes | Optional | Number of Calls 8/ | No | No | No | Tel. Cos. | No |
| Michigan PSC | Yes | Business/Non-Optional Residence/Optional | Number of Calls | No | Yes | No | Tel. Cos. | No. |
| Minnesota PSC | Yes | Optional | Number of Calls | No 9/ | Yes | No | Commission<br>Consumers<br>Tel. Cos.<br>Others | Unknown |
| Mississippi PSC | No | | | No | Yes | | | |
| Missouri PSC | Yes | Optional | Number of Calls 10/ | No | Yes | No | Commission<br>Tel. Cos. | No |
| Montana PSC | Yes | Optional | Number of Calls | No | No | No | | No |
| Nebraska PSC | No | | | No | No | | | |
| Nevada PSC | No | | | No | No | | | |
| New Hampshire PUC | Yes | Optional | | No | No | | Tel. Cos. | |
| New Jersey BPU | Yes | Business/Non-Optional | 11/ | No | No | No | Tel. Cos. | No |
| New Mexico SCC | | | | | | | | |
| New York PSC | Yes | Business/Non-Optional Residence/Optional | 12/ | No | Yes | No | Commission | No |
| North Carolina UC | Yes | Optional | Time-of-Day<br>Length of Call<br>Distance<br>Number of Calls | No 13/ | Yes | No | Consumers<br>Tel. Cos.<br>Commission | No |
| North Dakota PSC | No | | | No | No | | | |
| Nova Scotia PUB | No | | | No | | | | |
| Ohio PUC | Yes | Commercial/Non-Optional, Residence Optional | Number of Calls 14/ | No | Yes | No | Tel. Cos. | No |
| Oklahoma CC | No | | | | | | | |
| Ontario TSC | | | | | | | | |
| Oregon PUC | Yes | Optional | Length of Calls 15/<br>Number of Calls | No | No | Yes | Tel. Cos. | No |
| Pennsylvania PUC | 25/ | Optional | 26/ | No | No | No | Tel. Cos. | No |
| Quebec PSB | No | | | No | No | | | |
| Rhode Island PUC | Yes | Optional | Number of Calls<br>Length of Calls | No | No | No | Consumers | Unknown |
| South Carolina PSC | Yes | Optional | Number of Calls<br>Length of Calls | 16/ | No | | Commission<br>Tel. Cos. | |
| South Dakota PUC | Yes | Non-Optional | Distance | No | No | | Consumers | No |
| Tennessee PSC | Yes | Business/Optional | Number of Calls 17/ | No | No | | Commission<br>Consumers | |
| Texas PUC | Yes | Optional | Number of Calls 18/ | Yes 19/ | No | No | Consumers | No |
| Utah PSC | Yes | Optional | Number of Calls | No | No | No | Commission<br>Consumers | No |
| Vermont PSB | Yes | Optional | | Yes | Yes | No | 24/ | No |
| Virginia SCC | Yes | Business/Non-Optional in N. Va. Residence/Optional | Number of Calls | No | No | | Tel. Cos. | No |
| Washington UTC | Yes | Optional | Number of Calls<br>Length of Calls 20/ | No | No | No | Consumers | No |
| West Virginia PSC | Yes | Optional | Number of Calls 21/ | No | Yes | No | Commission<br>Consumers | No |
| Wisconsin PSC | Yes | Business/Non-Optional 22/<br>Residence/Optional | Number of Calls 23/ | No | No | No | Tel. Cos.<br>Commission | No |
| Wyoming PSC | No | | | No | No | | | |

## Footnotes - Table 62 - USAGE SENSITIVE PRICING (USP) FOR LOCAL TELEPHONE SERVICE

1/ Basic call count, 50 free plus 8¢ per call over 50.
2/ Rate is 5¢ for the first five minutes, 1¢ per additional minute from 8:00 a.m. to 5.00 p.m., Monday through Friday. All other hours 5¢ per call untimed.
3/ For the Southern Bell experiments: Number of calls and length of calls--rates are per initial minute plus additional minutes; time-of-day and day of week--off peak discounts are provided, distance-non-toll calling area is divided into tiers and rates increase for greater distance.
4/ Southern Bell has six experimental usage senstive pricing options approved. The locations have available various options including flat rate, low use measured, extended distance calling at a flat rate, and flat rate local calling with measured calling outside the local area. Duration, distance, time and number of calls are all measured.
5/ $3.50 per month plus 5-3/4¢ per call local. Now instituting a 3¢ per call off-peak (8:00 p.m.-8:00 a.m.). Adjacent exchanges at multiple unit rates time.
6/ Experiment Plan: Indiana Bell Telephone Co. Inc. is based on number of calls-out at a reduced rate over a flat rate service.
7/ Based on 30 calls out and 15¢ each for overcalls; incoming unlimited, on private party service only.
8/ Also length of calls, distance, time-of-day; number of message units for number of dollars.
9/ Experiment being discussed in current Northwestern Bell rate case.
10/ Basic number of calls included in flat rate; excess calls billed on a per call basis.
11/ No time-of-day or day-of-week restrictions; rates based on number of customers in local calling area--Group A (0-40,000); Group B (40,001-115,000). See also New Jersey description of pricing.
12/ Number of calls, length of calls, time-of-day, day of the week and distance are all recognized in the rate structure.
13/ The measured optional service began December 1, 1979, on an experimental basis.
14/ Optional residential measured rate: 30 calls, 9¢ per call. Non-optional measured business rate: 80 calls, 9¢ per call.
15/ A. Individual measured (outgoing): Basic rate includes $3.00 worth of calls at 10¢, five minute duration or fraction, 2¢ each additional minute--35% discount from 5:00 p.m. to 8:00 a.m. weekends and holidays. B. Two-party measured (outgoing): Allowance of 10 calls per month, additional calls at 10¢ per call.
16/ Measured service on an experimental basis has been ordered, however, it has not been instituted to date.
17/ Minimum of 60% of flat rates with a 75-call allowance included; additional out-going local calls--10¢.
18/ The local message allowance is 25 calls for residential service, 100 calls for business service.
19/ Measured service is only available to customers served by ESS Central offices in major metropolitan areas.
20/ Residence individual measured service recognizes number and length of calls with a discount between 5:00 p.m. and 8:00 a.m. weekdays, and all day Saturday, Sunday and holidays. Residence two-party measured service--number of calls.
21/ Billing is based on number of calls only and each completed local or EAS call equals one message unit.
22/ Non-optional for business service in certain exchanges. Optional for residence in certain exchanges.
23/ Various call allowances under a flat rate element, with additional local call charges per call.
24/ Commission ordered experiment; consumers requested service; telephone companies initiated study.
25/ Measured service exists as an optional plan in two largest cities--Philadelphia and Pittsburgh.
26/ Billing Formula: number of calls, length of calls, time of day, day of the week, distance.
27/ Duration of calls and time of day.
28/ For business customers; all rate classes; elimination of allowance.

### (9)

## CONSUMER RESPONSE TO MEASURED SERVICE

| | |
|---|---|
| California PUC | Residence customers have option. Business customers have generally accepted the plan. |
| Colorado PUC | Limited response. |
| Connecticut PUC | Subscribers to optional measured service have been increasing steadily. |
| Florida PSC | Customer acceptance increasing steadily in areas where available. |
| Illinois CC | About a 50-50 difference in opinion regarding measured service. |
| Indiana PSC | Rather limited, but elderly and poor have not responded as expected. |
| Masschusetts DPU | Not yet determined. |
| Michigan PSC | Favorable. |
| Montana PSC | There have been no complaints. |
| New York PSC | Initially unfavorable; positive and favorable in the longer run. |
| North Carolina UC | There has been no response to date. |
| Ohio PUC | There have been many complaints from high users and many requests for proof of usage. There is also considerable pressure to exempt certain commercial customers and the legislature is considering several proposals to modify or remove measured rates. |
| Oregon PUC | One-party residential--9/10 of 1% of total one-party where offered. Two-party (life-line)--12.9% of total two-party where offered. |
| Pennsylvania PUC | Nominal acceptance with flat rate service available at only slightly higher rates in the two locations. |
| Rhode Island PUC | Unfavorable. |
| Texas PUC | Moderate. |
| Utah PSC | The service was started March 1, 1979, and through September 1979 the number of subscribers has increased two and one-half times. Favorable. |
| Vermont PSB | Very little reaction or reception by eligible subscribers. |
| Virginia SCC | Consumers were generally opposed to timed message rate service as proposed by C&P Telephone Company of Virginia. The legislature reacted as follows: "§ 56-241.1 Certain types of telephone rates not to be approved. --The Commission shall not approve any mandatory tariff for local service of any telephone company based on the number of calls, length of call, distance or time of day, not in effect on January one, nineteen hundred seventy-nine. Provided, however, nothing contained herein shall prohibit the Commission from approving the voluntary tariff of any telephone company based on the number of calls, length of call, distance or time of day. (1979, c.91.)" |
| Washington UTC | Few consumers subscribe to service. |
| West Virginia PSC | Fairly small consumer response, due in part to minimal company efforts to publicize the availability of such service. |
| Wisconsin PSC | Positive response when on an optional basis; some negative reaction with non-optional measured service. |

## Table 62 - USAGE SENSITIVE PRICING (USP) FOR LOCAL TELEPHONE SERVICE (Continued)

### DESCRIPTION OF USAGE SENSITIVE (MEASURED SERVICE) PRICING

| | |
|---|---|
| Arkansas PSC | One-party residential. |
| California PUC | Provided in Los Angeles, San Francisco, Orange, San Diego and Sacramento metropolitan areas. |
| Colorado PUC | Measured residential and business service is available. |
| Connecticut PUC | Measured service rate if requested by customers. |
| D. C. PSC | Commercial customers are charged 6¢ per local call above 110 allowance. Two of four residential rates have usage sensitive pricing. |
| Florida PSC | General Telephone Co. of Florida has a measured service option available in several of its electronic central offices. The rate is approximately 60% of the flat rate and includes 30 messages with additional messages billed at 10¢ each.<br>Southern Bell offers MRS and USP service on an optional basis. MRS is available only to business subscribers and allows 75 messages with a charge of 10¢ for each additional message. It is available in all rate groups above III with a rate of approximately 60% of the applicable B-1 rate.<br>USP is available to both business and residence. Various plans are available but generally incorporate some credit for MU's. Additional MU's are charged based upon distance and duration. Discounts may be available based upon time of day. Experimental offerings are available in Miami, Jupiter, Delray Beach, Pembrok Pines and Orange Park. |
| Illinois CC | MRO optional service has a charge of $3.50 per month plus 5-3/4¢ per call. In three towns measured service is mandatory on an experimental basis. |
| Indiana PSC | Limited measured service--30 calls out with unlimited incoming calls for reduced flat rate per month; offered by Indiana Bell Telephone Company, Inc. only in state. |
| Michigan PSC | Some business non-optional; residence optional based on number of calls but untimed. |
| Minnesota PSC | Two-party measured residence (frequency only), one-party measured business (frequency only), in Minneapolis, St. Paul and Duluth. |
| Missouri PSC | Message rate service provided in the Kansas City and St. Louis metropolitan areas; experimental in other areas. |
| Montana PSC | Measured business service in larger exchanges. |
| New Hampshire PUC | Low use measured residential service tariff approved 12/1/79 for certain ESS exchanges. |
| New Jersey BPU | Business Individual Service-service charge includes 75 local message units (5 minutes each); Low Usage Message Rate Residence Individual Service-includes 20 message units. |
| New York PSC | Time-of-day, call duration and number of messages are directly reflected in the local usage sensitive pricing structure. |
| North Carolina UC | Measured service pricing for one exchange in the Southern Bell service area only. Available to residential and business subscribers at a reduced flat rate with usage allowance and a charge for usage above a pre-determined number of calls. |
| Ohio PUC | On July 8, 1976, the Commission approved non-optional measured rate service to OBT commercial customers and optional residential measured service. Case No. 74-761-TP-AIR. |
| Oregon PUC | Measured one-party residential service (optional) offered from ESS offices only by Associated Bell companies. Two-party measured (life-line) $3.95, includes ten out calls, additional calls 10¢. |
| Rhode Island PUC | Measured service pricing for residential customers. |
| South Carolina PSC | Optional point-to-point EAS on a measured basis. |
| South Dakota PUC | Extended calling plan. |
| Tennessee PSC | Usage sensitive pricing instituted only for business customers in the metropolitan areas. |
| Texas PUC | Southwestern Bell Telephone Company has instituted measured one-party service on an experimental basis in major metropolitan areas. |
| Utah PSC | Business service is allowed 100 messages/month - additional messages at 7¢ each; residential two-party service allowed 50 messages/month, additional messages 7¢ each. |
| Virginia SCC | Non-optional message rate service for business customers in Northern Virginia (D.C. Metropolitan area)--optional for remainder of State. |
| West Virginia PSC | Chesapeake & Potomac Telephone Company of West Virginia offers "Message 1" and "Message 2" services. Under "Message 1" a residence subscriber gets a message unit allowance of 45; business lines and PBX trunks get an allowance of 75 message units. Additional message units for each type subscriber cost 7¢ each. Under "Message 2" (which is only offered to residential subscribers) there is no message unit allowance included in the basic monthly rate and each message unit costs 7¢. Under both plans, each completed local and EAS call compiles one message unit regardless of length, distance, or time of day. |
| Wisconsin PSC | Measured residence and business local services have been authorized for Wisconsin Telephone Company (AT&T). These are of the "flat rate call allowance" type, with additional local message charges applicable. Business services are on measured basis only. |

### RESULTS OF STUDIES REGARDING MEASURED SERVICE

| | |
|---|---|
| Arizona CC | Phoenix Metro Exchange will start full measured concept by late 1982. |
| Delaware PSC | Study performed by utility; not released. |
| Florida PSC | Traffic studies indicated wide disparity in amount of calling between customers for General Tel. Co. and Southern Bell offerings. |
| Illinois CC | About a 50-50 difference in opinion regarding measured service. |
| Michigan PSC | Studies incomplete. Consideration was given to timing non-optional business. Not in effect and not pending before Commission at this time. |
| Minnesota PSC | Study of usage, cost and demographic factors conducted by Northwestern Bell. |
| Mississippi PSC | Results favorable; will institute service within two years. |
| Missouri PSC | Study concluded Summer 1979--results have not yet been analyzed. |
| New York PSC | Tracking Studies indicate that customers' bills are lower under a usage sensitive rate structure than under a flat rate system. |
| Ohio PUC | Results of the study not available until December, 1979. Ohio Bell Telephone Company is required to file periodic reports relative to the impact. |
| Vermont PSB | Study instituted by New England Telephone in Burlington January 1, 1979--no results to date. |
| West Virginia PSC | C & P Telephone Co. of West Virginia has been directed to study the feasibility of a four-point USP Plan in one of their metropolitan areas. A report is due on September 15, 1979. |

## Table 63 - COIN TELEPHONE RATES

| AGENCY | Major telephone companies under agency jurisdiction and coin telephone rate for each company - | |
|---|---|---|
| | Major Telephone Companies | Coin Rate |
| ALABAMA PSC | Continental Telephone Company of the South | .10 |
| | General Telephone Company of the Southeast | .10 |
| | South Central Bell | .10 |
| ALASKA PUC | Anchorage Telephone Company | .10 |
| | Cooper Valley Telephone Association, Inc. | .10 |
| | General Telephone Company of Alaska | .10 |
| | Glacier State Telephone Company | .10 |
| | Interior Telephone Company | .25 |
| | Matanuska Telephone Association, Inc. | .10 |
| ALBERTA PUB | Alberta Government Telephones | .10 |
| ARIZONA CC | Citizens Utilities | .10 |
| | Continental Telephone Company of the West | .10 |
| | Mountain Bell | .20 |
| | Navajo Telephone | .10 |
| | (all other telephone companies) | .10 |
| ARKANSAS PSC | Allied Telephone Company of Arkansas | .10 |
| | Continental Telephone Company of Arkansas | .10 |
| | General Telephone Company of the Southwest | .10 |
| | Southwestern Bell Telephone Company | .10 |
| CALIFORNIA PUC | Continental Telephone | .10 |
| | General Telephone | .10 |
| | Pacific Telephone | .10 |
| COLORADO PUC | Mountain Bell | .20 |
| CONNECTICUT PUC | New York Telephone Company (Connecticut operations) | .10 |
| | Southern New England Telephone Company | .10 |
| | Woodbury Telephone Company | .10 |
| DELAWARE PSC | Diamond State Telephone Company | .20 |
| D.C. PSC | Chesapeake & Potomac Telephone Company | .15 |
| FLORIDA PSC | Central Telephone Company of Florida | .10 |
| | Continental Telephone Company of the South | .10 |
| | General Telephone Company of Florida | .10 1/ |
| | Southern Bell Telephone & Telegraph Co. | .25 2/ |
| | United Telephone Company of Florida | .10 1/ |
| | Winter Park Telephone Company | .25 3/ |
| GEORGIA PSC | General Telephone Company | .10 |
| | Southern Bell Telephone & Telegraph Co. | .10 |
| GUAM PUC | Guam Telephone Authority | .25 |
| HAWAII PUC | Hawaiian Telephone Company | .15 |
| IDAHO PUC | Continental Telephone | .10 |
| | Gem State Utilities | .10 |
| | General Telephone Co. of the Northwest | .10 |
| | Mountain States Telephone & Telegraph Company (Mountain Bell) | .25 |
| | Pacific Northwest Bell | .10 |
| ILLINOIS CC | Central Telephone Company of Illinois | .10 |
| | Continental Telephone Company of Illinois | .10 |
| | General Telephone Company of Illinois | .10 |
| | Illinois Bell Telephone Company | .20 |
| | Illinois Consolidated Telephone Company | .10 |
| INDIANA PSC | Continental Telephone Co. of Indiana | .10 |
| | General Telephone Co. of Indiana | .10 |
| | Indiana Bell Telephone Co., Inc. | .20 |
| | United Telephone Co. of Indiana | .10 |
| IOWA SCC | Central Telephone | .10 |
| | Continental Telephone | .10 |
| | General Telephone Company | .10 4/ |
| | Northwestern Bell Telephone | .10 4/ |
| | United Telephone Company | .10 |
| KANSAS SCC | Continental Telephone Co. of Kansas | .10 |
| | Southwestern Bell Telephone Company | .10 |
| | United Telephone Co. of Kansas, Inc. | .10 |

Table 63 - COIN TELEPHONE RATES (Continued)

| AGENCY | Major telephone companies under agency jurisdiction and coin telephone rate for each company - | |
|---|---|---|
| | Major Telephone Companies | Coin Rate |
| KENTUCKY URC | General Telephone | .10 |
| | Continental Telephone | .10 |
| | South Central Bell Telephone | .10 |
| LOUISIANA PSC | Athens Telephone Company | .05 |
| | Caddoan Telephone Company | .05 |
| | Campti-Pleasant Hill Telephone Co., Inc. | .05 |
| | Cameron Telephone Company | .05 |
| | Central La. Telephone Co., Inc. | .05 |
| | South Central Bell Telephone | .10 |
| MAINE PUC | New England Telephone | .20 |
| | (All Independents) | .10 |
| MARYLAND PSC | Chesapeake & Potomac Tel. Co. of Maryland | .15 |
| MASSACHUSETTS DPU | New England Telephone & Telegraph Co. | .10 |
| MICHIGAN PSC | Central Telephone Company | .10 |
| | Continental Telephone Company of Michigan | .10 |
| | General Telephone Company of Michigan | .20 |
| | Michigan Bell Telephone Company | .20 |
| | Mid-Michigan Telephone Company | .10 |
| MINNESOTA PSC | Central Telephone Company | .10 |
| | Continental Telephone Company | .10 |
| | General Telephone Company | .10 |
| | Northwestern Bell Telephone Company | .10 |
| | United Telephone Company | .10 |
| MISSISSIPPI PSC | South Central Bell Telephone Company | .10 |
| | (21 independent telephone companies) | .10 |
| MISSOURI PSC | Central Telephone Company of Missouri | .10 |
| | Continental Telephone Company of Missouri | .10 |
| | General Telephone Company of the Midwest | .10 |
| | Southwestern Bell Telephone Company | .20 |
| | United Telephone Company of Missouri | .10 |
| | (all other telephone companies) | .10 |
| MONTANA PSC | Continental Telephone Company of the West | .10 |
| | General Telephone Company | .10 |
| | Mountain States Telephone Company | .10 |
| | Northwestern Telephone Systems | .10 |
| NEBRASKA PSC | Continental Telephone Company of Nebraska | .10 |
| | General Telephone Company of the Midwest | .10 |
| | Lincoln Telephone & Telegraph Company | .10 |
| | Northwestern Bell Telephone Company | .10 |
| | United Telephone Company of the West | .10 |
| NEVADA PSC | Bell Telephone Company of Nevada | .15 |
| | Central Telephone Company | .10 |
| | Continental Telephone Company | .15 |
| | CP National Corporation | .05 |
| | Nevada Telephone-Telegraph Company | .10 |
| NEW HAMPSHIRE PUC | Continental Telephone Co. of New Hampshire | .10 |
| | Granite State Telephone Company | .10 |
| | New England Telephone & Telegraph Company | .10 |
| NEW JERSEY BPU | Continental Telephone | .10 5/ |
| | Hillsborough & Montgomery | .10 5/ |
| | New Jersey Bell Telephone | .10 6/ |
| | New Jersey Telephone | .10 5/ |
| | United Telephone | .10 5/ |
| | West Jersey Telephone | .10 5/ |
| NEW MEXICO SCC | | |
| NEW YORK PSC | Midstate Telephone Corporation (including Jamestown territory) | .10 |
| | New York Telephone Company | .10 |
| | Rochester Telephone Corporation | .10 |
| | The Continental Companies (Upstate, Chenango & Unadilla, Iroquois, Western Counties, Red Hook) | .10 |

Table 63 - COIN TELEPHONE RATES (Continued)

| AGENCY | Major telephone companies under agency jurisdiction and coin telephone rate for each company - | |
|---|---|---|
| | Major Telephone Companies | Coin Rate |
| NORTH CAROLINA UC | Carolina Telephone & Telegraph | .20 |
| | Central Telephone Company | .20 |
| | General of the Southeast | .20 |
| | Mid-Carolina Telephone Company | .20 |
| | Southern Bell Telephone & Telegraph | .20 |
| NORTH DAKOTA PSC | North Dakota Telephone | .15 |
| | Northern States Power | .15 |
| | Northwestern Bell Telephone | .15 |
| NOVA SCOTIA PUB | Maritime Telephone & Telegraph Company | .10 |
| OHIO PUC | Cincinnati Bell Telephone Company | .20 |
| | General Telephone Company | .20 |
| | Ohio Bell Telephone Company | .20 |
| | United Telephone Company | .20 |
| OKLAHOMA CC | Allied Telephone Company | .15 |
| | General Telephone Co. of S.W. | .15 |
| | Southwestern Bell Telephone Company | .15 |
| | Telephone and Data Systems, Inc. | .15 |
| ONTARIO TSC | City of Thunder Bay Telephone Department | .10 |
| | Community Telephone Co. of Ontario Limited | .10 |
| | Northern Telephone Limited | .20 |
| OREGON PUC | Continental Telephone of the Northwest | .10 |
| | General Telephone of the Northwest | .10 |
| | Pacific Northwest Bell | .10 7/ |
| | Telephone Utilities, Inc. | .10 |
| | United Telephone of the Northwest | .10 |
| PENNSYLVANIA PUC | Bell of Pennsylvania | .10 |
| | Commonwealth Telephone Company | .10 |
| | Continental Telephone Company | .10 |
| | General Telephone Co. of Pennsylvania | .10 |
| | United Telephone Company | .10 |
| QUEBEC PSB | Co-op de Telephone de Valcourt | .25 |
| | La Compagnie du Telephone de Nicolet | .25 |
| | Quebec-Telephone | .25 |
| | SOTEL, Inc. | .25 |
| | Telebec Limitae | .25 |
| | La Compagnie of Telephone Warwick | .25 |
| | Telephone Milos, Inc. | .25 |
| RHODE ISLAND PUC | New England Telephone Company | .10 |
| SOUTH CAROLINA PSC | | |
| SOUTH DAKOTA PUC | Continental via Bison State | .10 |
| | Northwestern Bell | .10 |
| TENNESSEE PSC | South Central Bell and all independents operating in the state | .10 |
| TEXAS PUC | Central Telephone Company (Centel) | .10 |
| | Continental Telephone Company of Texas | .25 |
| | General Telephone Co. of the Southwest | .25 |
| | Gulf States - United Telephone Company | .25 |
| | Southwestern Bell Telephone Company | .25 |
| UTAH PSC | | .10 |
| VERMONT PSB | Continental Telephone Company | .20 |
| | New England Telephone Company | .20 |
| | Northfield Telephone Company | .10 |
| VIRGIN ISLAND PSC | Virgin Islands Telephone Corporation | .25 |
| VIRGINIA SCC | C & P Telephone Company of Virginia | .20 |
| | Central Telephone Company of Virginia | .20 |
| | Continental Telephone Co. of Va. | .20 |
| | United Inter-Mountain Tel. Co. of Va. | .20 |
| | (other) | .20 |
| WASHINGTON UTC | Continental Telephone Company of the Northwest | .15 |
| | General Telephone Company of the Northwest | .15 |
| | Pacific Northwest Bell Telephone Company, Inc. | .15 |
| | Telephone Utilities of Washington, Inc. | .10 |
| | United Telephone Company of the Northwest | .15 |

Table 63 - COIN TELEPHONE RATES (Continued)

| AGENCY | Major telephone companies under agency jurisdiction and coin telephone rate for each company - | |
|---|---|---|
| | Major Telephone Companies | Coin Rate |
| WEST VIRGINIA PSC | C & P Telephone Company | .10 |
| | General Telephone Company of the Southeast | .10 |
| | West Virginia Telephone Company | .10 |
| WISCONSIN PSC | Fennimore Telephone Company | .20 |
| | General Telephone Company | .20 |
| | LaCrosse Telephone Corporation | .20 |
| | Wisconsin Telephone Company | .20 |
| | Northwest Telephone Company | .20 |
| | (Of the 115 telephone utilities in Wisconsin, five charge 20 cents, all others charge 10 cents.) | |
| WYOMING PSC | Mountain Bell | .20 |

1/ Filed for general application of 25¢ charge and Commission denied proposal.

2/ Ten cents at nursing homes, public elementary and secondary schools, city, county and state hospitals, Veterans Administration Hospital and government owned low-income housing projects.

3/ Twenty five cents applicable only at hotel/motel or convention centers.

4/ Bell & General have filed for 20¢ coin phone rates.

5/ Ten cents first five minutes.

6/ Ten cents first four minutes.

7/ Filed for general application of 25¢ charge.

## Introduction - OPERATIONS OF THE BELL SYSTEM TELEPHONE COMPANIES--1979

Pursuant to a decision reached by the Special Telephone Committee of the NARUC at its meeting on January 17, 1952, the Federal Communications Commission was requested to prepare, on an annual basis, certain data relating to the operations of the Bell System telephone companies, said data to be of the type set forth in Table VIII-4 of the Report of the NARUC-FCC Telephone Toll Rate Subcommittee - 1951. In accordance with that request, there has been prepared the following six-sheet set of tables for the year 1979. A sheet of notes to the tables is also appended as Sheet 7.

The Bell System submitted the following statement regarding data set forth herein:

> The earnings ratios shown on these sheets are of little significance and do not provide a valid measure of the earnings of individual companies. Proper interpretation of the data shown would require an analysis of all factors affecting the results for individual companies.
>
> In addition to this general qualification, it is to be noted that the bases used are understated and the ratios consequently overstated by reason of the fact that such proper components of a rate base as Working Capital and Materials and Supplies are omitted.
>
> Furthermore, the amounts of Federal Income Taxes are affected by items not included in expenses.
>
> The data do not disclose the varying effects of rate and wage increases which became effective at different dates within the year and no recognition is given to revenues collected under bond and subject to refund.
>
> There is the danger that the ratios shown may be widely mistaken for rates of return by those making casual reference to the data.

## Table 64

### BELL SYSTEM - AVERAGE PLANT, REVENUES AND EXPENSES

Sheet 1 of 7

By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|
| | (THOUSANDS OF DOLLARS) | | | | | | |
| Associated Companies (Majority Stock Interest): | | | | | | | |
| New England Tel. & Tel. Co. | $5,362,659 | $4,060,598 | $2,199,186 | $1,675,465 | $157,638 | $366,083 | 9.0% |
| New York Tel. Co. | 11,487,940 | 9,268,674 | 4,875,309 | 3,801,561 | 330,357 | 743,392 | 8.0 |
| New Jersey Bell Tel. Co. | 4,248,202 | 3,383,448 | 1,733,160 | 1,275,515 | 155,072 | 302,573 | 8.9 |
| Bell Tel. Co. of Penna. | 4,853,872 | 3,933,196 | 1,842,681 | 1,352,707 | 146,919 | 343,054 | 8.7 |
| Diamond State Tel. Co. | 360,372 | 304,374 | 133,612 | 94,580 | 12,334 | 26,698 | 8.8 |
| C&P Tel. Co. of D.C. | 811,774 | 601,462 | 343,986 | 263,118 | 25,643 | 55,226 | 9.2 |
| C&P Tel. Co. of Md. | 2,285,044 | 1,759,279 | 879,404 | 670,381 | 61,933 | 147,089 | 8.4 |
| C&P Tel. Co. of Va. | 2,421,487 | 1,990,677 | 913,111 | 653,517 | 79,179 | 180,415 | 9.1 |
| C&P Tel. Co. of W. Va. | 822,486 | 653,629 | 307,942 | 236,595 | 19,638 | 51,708 | 7.9 |
| Southern Bell Tel. & Tel. Co. | 9,932,146 | 8,437,885 | 3,926,693 | 2,813,239 | 348,673 | 764,781 | 9.1 |
| South Central Bell Tel. Co. | 8,516,175 | 7,194,387 | 3,175,761 | 2,337,290 | 242,532 | 595,939 | 8.3 |
| Ohio Bell Tel. Co. | 3,348,359 | 2,754,556 | 1,404,115 | 1,054,264 | 106,726 | 243,125 | 8.8 |
| Michigan Bell Tel. Co. | 4,420,822 | 3,557,920 | 1,649,173 | 1,239,230 | 122,257 | 287,686 | 8.1 |
| Indiana Bell Tel. Co. | 1,837,603 | 1,485,802 | 719,232 | 492,920 | 78,077 | 148,235 | 10.0 |
| Wisconsin Tel. Co. | 1,705,260 | 1,414,055 | 620,954 | 446,510 | 54,913 | 119,530 | 8.5 |
| Illinois Bell Tel. Co. | 5,416,472 | 4,402,037 | 2,237,520 | 1,685,106 | 168,733 | 383,681 | 8.7 |
| Northwestern Bell Tel. Co. | 4,474,087 | 3,715,010 | 1,668,846 | 1,191,418 | 149,103 | 328,325 | 8.8 |
| Southwestern Bell Tel. Co. | 12,988,432 | 11,199,633 | 5,029,548 | 3,752,091 | 357,270 | 920,187 | 8.2 |
| Mountain States Tel. & Tel. Co. | 6,061,762 | 5,039,898 | 2,358,443 | 1,759,333 | 162,806 | 436,304 | 8.7 |
| Pacific N.W. Bell Tel. Co. | 3,128,225 | 2,560,522 | 1,234,305 | 931,839 | 83,079 | 219,387 | 8.6 |
| Pacific Tel. & Tel. Co. & Sub. | 13,422,076 | 10,844,625 | 5,019,974 | 4,031,940 | 184,242 | 803,791 | 7.4 |
| Bell Tel. Co. of Nevada | 298,016 | 234,032 | 135,032 | 102,331 | 12,638 | 20,063 | 8.6 |
| Total | 107,905,255 | 88,561,667 | 42,272,955 | 31,758,619 | 3,047,124 | 7,467,209 | 8.4 |
| Other Associated Companies (Minority Stock Interest): | | | | | | | |
| So. New England Tel. Co. | 1,849,144 | 1,418,430 | 737,381 | 585,484 | 38,174 | 113,723 | 8.0 |
| Cincinnati Bell, Inc. | 745,073 | 591,188 | 300,796 | 219,175 | 26,129 | 55,492 | 9.4 |
| Total | 2,594,217 | 2,009,618 | 1,038,177 | 804,659 | 64,303 | 169,215 | 8.4 |
| Total Associated Companies | 110,499,472 | 90,571,285 | 43,311,132 | 32,563,278 | 3,111,427 | 7,636,424 | 8.4 |
| AT&T Co., Long Lines Dept. | 7,678,388 | 5,557,008 | 3,218,099 | 2,335,522 | 366,682 | 515,895 | 9.3 |
| Total | 118,177,860 | 96,128,293 | 46,529,231 | 34,898,800 | 3,478,109 | 8,152,319 | 8.5 |
| Bell System Consolidated 4/ | 115,565,117 | 94,111,823 | 45,513,837 | 34,126,744 | 3,250,496 | 8,136,597 | 8.6 |

## Table 64

**BELL SYSTEM - AVERAGE PLANT, REVENUES AND EXPENSES**

(Continued)

Sheet 2 of 7

Per Average Company Station
By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average No. Company Telephones (Thousands) (0) | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|---|
| Associated Companies (Majority Stock Interest): | | | | | | | | |
| New England Tel. & Tel. Co. | 6,864 | $781.27 | $5[illegible]1.59 | $320.40 | $244.10 | $22.97 | $53.33 | 9.0% |
| New York Tel. Co. | 11,925 | 953.36 | 777.25 | 408.84 | 318.79 | 27.70 | 62.35 | 8.0 |
| New Jersey Bell Tel. Co. | 6,268 | 677.76 | 539.80 | 276.51 | 203.50 | 24.74 | 48.27 | 8.9 |
| Bell Tel. Co. of Penna. | 7,793 | 622.85 | 504.71 | 236.45 | 173.58 | 18.85 | 44.02 | 8.7 |
| Diamond State Tel. Co. | 511 | 705.23 | 595.64 | 261.47 | 185.09 | 24.14 | 52.24 | 8.8 |
| C&P Tel. Co. of D.C. | 1,075 | 755.12 | 559.49 | 319.98 | 244.76 | 23.85 | 51.37 | 9.2 |
| C&P Tel. Co. of Md. | 3,410 | 670.01 | 515.85 | 257.85 | 196.57 | 18.16 | 43.12 | 8.4 |
| C&P Tel. Co. of Va. | 2,922 | 828.57 | 681.16 | 312.44 | 223.62 | 27.09 | 61.73 | 9.1 |
| C&P Tel. Co. of W. Va. | 951 | 864.79 | 687.25 | 323.78 | 248.77 | 20.65 | 54.36 | 7.9 |
| Southern Bell Tel. & Tel. Co. | 11,849 | 838.23 | 712.12 | 331.39 | 237.42 | 29.43 | 64.54 | 9.1 |
| South Central Bell Tel. Co. | 10,105 | 842.77 | 711.96 | 314.28 | 231.30 | 24.00 | 58.98 | 8.3 |
| Ohio Bell Tel. Co. | 4,956 | 675.62 | 555.80 | 283.32 | 212.72 | 21.53 | 49.07 | 8.8 |
| Michigan Bell Tel. Co. | 6,213 | 711.54 | 572.66 | 265.44 | 199.46 | 19.68 | 46.30 | 8.1 |
| Indiana Bell Tel. Co. | 2,632 | 698.03 | 564.39 | 273.21 | 187.24 | 29.66 | 56.31 | 10.0 |
| Wisconsin Tel. Co. | 2,393 | 712.64 | 590.95 | 259.50 | 186.60 | 22.95 | 49.95 | 8.5 |
| Illinois Bell Tel. Co. | 8,218 | 659.13 | 535.69 | 272.28 | 205.06 | 20.53 | 46.69 | 8.7 |
| Northwestern Bell Tel. Co. | 5,465 | 818.72 | 679.81 | 305.38 | 218.02 | 27.28 | 60.08 | 8.8 |
| Southwestern Bell Tel. Co. | 15,790 | 822.57 | 709.28 | 318.53 | 237.62 | 22.63 | 58.28 | 8.2 |
| Mountain States Tel. & Tel. Co. | 7,250 | 836.11 | 695.16 | 325.30 | 242.67 | 22.46 | 60.17 | 8.7 |
| Pacific N.W. Bell Tel. Co. | 3,716 | 841.80 | 689.03 | 332.15 | 250.76 | 22.36 | 59.03 | 8.6 |
| Pacific Tel. & Tel. Co. & Sub. | 15,470 | 867.63 | 701.01 | 324.50 | 260.63 | 11.91 | 51.96 | 7.4 |
| Bell Tel. Co. of Nevada | 231 | 1,290.11 | 1,013.13 | 584.55 | 442.99 | 54.71 | 86.85 | 8.6 |
| Total | 135,776 | 794.72 | 652.26 | 311.34 | 233.90 | 22.44 | 55.00 | 8.4 |
| Other Associated Companies (Minority Stock Interest): | | | | | | | | |
| So. New England Tel. Co. | 2,536 | 729.16 | 559.32 | 290.77 | 230.87 | 15.05 | 44.85 | 8.0 |
| Cincinnati Bell, Inc. | 1,173 | 635.19 | 504.00 | 256.43 | 186.85 | 22.28 | 47.30 | 9.4 |
| Total | 3,709 | 699.44 | 541.82 | 279.91 | 216.95 | 17.34 | 45.62 | 8.4 |
| Total Associated Companies | 139,485 | 792.20 | 649.33 | 310.51 | 233.45 | 22.31 | 54.75 | 8.4 |
| AT&T Co., Long Lines Dept. | * | 56.55 | 40.93 | 23.70 | 17.20 | 2.70 | 3.80 | 9.3 |
| Total | 139,485 | 847.24 | 689.17 | 333.58 | 250.20 | 24.94 | 58.45 | 8.5 |
| Bell System Consolidated 4/ | 135,776 | 851.15 | 693.14 | 335.21 | 251.34 | 23.94 | 59.93 | 8.6 |

*Based on consolidated telephones

## Table 64

### BELL SYSTEM - INTERSTATE OPERATIONS 5/
### Included Under Division of Revenues Contracts
### (Continued)

Sheet 3 of 7

By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|
| Associated Companies (Majority Stock Interest): | | | | | | | |
| New England Tel. & Tel. Co. | $1,536,066 | $1,165,010 | $616,531 | $470,185 | $44,650 | $101,696 | 8.7% |
| New York Tel. Co. | 3,194,479 | 2,601,207 | 1,341,275 | 1,006,615 | 106,277 | 228,383 | 8.8 |
| New Jersey Bell Tel. Co. | 1,223,909 | 983,254 | 482,147 | 353,251 | 43,226 | 85,670 | 8.7 |
| Bell Tel. Co. of Penna. | 1,025,572 | 832,743 | 400,774 | 297,673 | 31,088 | 72,013 | 8.6 |
| Diamond State Tel. Co. | 127,231 | 107,190 | 50,409 | 36,688 | 4,367 | 9,354 | 8.7 |
| C&P Tel. Co. of D.C. | 299,885 | 223,974 | 132,276 | 104,619 | 8,358 | 19,299 | 8.6 |
| C&P Tel. Co. of Md. | 486,534 | 374,182 | 207,111 | 159,347 | 14,637 | 33,127 | 8.9 |
| C&P Tel. Co. of Va. | 625,452 | 513,072 | 245,766 | 179,913 | 19,958 | 45,895 | 8.9 |
| C&P Tel. Co. of W. Va. | 200,319 | 157,136 | 81,007 | 60,222 | 6,551 | 14,234 | 9.1 |
| Southern Bell Tel. & Tel. Co. | 2,984,344 | 2,525,136 | 1,143,807 | 814,276 | 103,257 | 226,274 | 9.0 |
| South Central Bell Tel. Co. | 2,055,033 | 1,724,681 | 785,255 | 558,229 | 71,099 | 155,927 | 9.0 |
| Ohio Bell Tel. Co. | 635,035 | 522,078 | 273,262 | 206,395 | 21,104 | 45,763 | 8.8 |
| Michigan Bell Tel. Co. | 738,744 | 592,216 | 293,179 | 216,152 | 24,533 | 52,494 | 8.9 |
| Indiana Bell Tel. Co. | 437,553 | 353,296 | 167,560 | 120,082 | 15,699 | 31,779 | 9.0 |
| Wisconsin Tel. Co. | 348,979 | 287,254 | 138,574 | 100,949 | 12,255 | 25,370 | 8.8 |
| Illinois Bell Tel. Co. | 1,328,553 | 1,080,935 | 542,834 | 405,939 | 42,008 | 94,887 | 8.8 |
| Northwestern Bell Tel. Co. | 1,416,707 | 1,162,605 | 507,086 | 354,641 | 48,517 | 103,928 | 8.9 |
| Southwestern Bell Tel. Co. | 3,153,813 | 2,688,101 | 1,220,641 | 869,923 | 106,484 | 244,234 | 9.1 |
| Mountain States Tel. & Tel. Co. | 2,210,128 | 1,824,997 | 848,089 | 620,534 | 64,074 | 163,481 | 9.0 |
| Pacific N.W. Bell Tel. Co. | 973,368 | 777,787 | 371,955 | 271,566 | 29,583 | 70,806 | 9.1 |
| Pacific Tel. & Tel. Co. & Sub. | 3,314,282 | 2,651,126 | 1,298,118 | 984,313 | 78,261 | 235,544 | 8.9 |
| Bell Tel. Co. of Nevada | 189,872 | 144,782 | 80,419 | 61,675 | 5,300 | 13,444 | 9.3 |
| Total | 28,315,986 | 23,147,980 | 11,147,656 | 8,191,512 | 895,986 | 2,060,158 | 8.9 |
| Other Associated Companies (Minority Stock Interest): | | | | | | | |
| So. New England Tel. Co. | 549,318 | 418,790 | 225,510 | 174,323 | 14,292 | 36,895 | 8.8 |
| Cincinnati Bell, Inc. | 148,407 | 118,278 | 64,528 | 49,223 | 4,882 | 10,423 | 8.8 |
| Total | 697,725 | 537,068 | 290,038 | 223,546 | 19,174 | 47,318 | 8.8 |
| Total Associated Companies | 29,013,711 | 23,685,048 | 11,437,694 | 8,415,058 | 915,160 | 2,107,476 | 8.9 |
| AT&T Co., Long Lines Dept. | 7,659,987 | 5,540,274 | 3,218,099 | 2,335,522 | 366,682 | 515,895 | 9.3 |
| Total | 36,673,698 | 29,225,322 | 14,655,793 | 10,750,580 | 1,281,842 | 2,623,371 | 9.0 |
| Bell System Consolidated, D.I.R. Portion 4/ | 35,975,973 | 28,688,254 | 14,365,755 | 10,527,034 | 1,262,668 | 2,576,053 | 9.0 |

## Table 64

Sheet 4 of 7

### BELL SYSTEM - INTERSTATE OPERATIONS 5/
### Included Under Division of Revenues Contracts
### (Continued)

Per Average Company Station
By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average No. Company Telephones (Thousands) (0) | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|---|
| **Associated Companies (Majority Stock Interest):** | | | | | | | | |
| New England Tel. & Tel. Co. | 6,864 | $223.79 | $169.73 | $89.82 | $68.50 | $6.50 | $14.82 | 8.7% |
| New York Tel. Co. | 11,925 | 267.88 | 218.13 | 112.48 | 84.41 | 8.91 | 19.16 | 8.8 |
| New Jersey Bell Tel. Co. | 6,268 | 195.26 | 156.87 | 76.92 | 56.36 | 6.90 | 13.66 | 8.7 |
| Bell Tel. Co. of Penna. | 7,793 | 131.60 | 106.86 | 51.43 | 38.20 | 3.99 | 9.24 | 8.6 |
| Diamond State Tel. Co. | 511 | 248.98 | 209.77 | 98.65 | 71.80 | 8.55 | 18.30 | 8.7 |
| C&P Tel. Co. of D.C. | 1,075 | 278.96 | 208.35 | 123.05 | 97.32 | 7.77 | 17.96 | 8.6 |
| C&P Tel. Co. of Md. | 3,410 | 142.68 | 109.73 | 60.74 | 46.73 | 4.29 | 9.72 | 8.9 |
| C&P Tel. Co. of Va. | 2,922 | 214.05 | 175.59 | 84.11 | 61.57 | 6.83 | 15.71 | 8.9 |
| C&P Tel. Co. of W. Va. | 951 | 210.64 | 165.23 | 85.18 | 63.32 | 6.89 | 14.97 | 9.1 |
| Southern Bell Tel. & Tel. Co. | 11,849 | 251.86 | 213.11 | 96.53 | 68.72 | 8.71 | 19.10 | 9.0 |
| South Central Bell Tel. Co. | 10,105 | 203.37 | 170.68 | 77.71 | 55.24 | 7.04 | 15.43 | 9.0 |
| Ohio Bell Tel. Co. | 4,956 | 128.13 | 105.34 | 55.14 | 41.65 | 4.26 | 9.23 | 8.8 |
| Michigan Bell Tel. Co. | 6,213 | 118.90 | 95.32 | 47.19 | 34.79 | 3.95 | 8.45 | 8.9 |
| Indiana Bell Tel. Co. | 2,632 | 166.24 | 134.23 | 63.66 | 45.62 | 5.96 | 12.08 | 9.0 |
| Wisconsin Tel. Co. | 2,393 | 145.83 | 120.04 | 57.91 | 42.19 | 5.12 | 10.60 | 8.8 |
| Illinois Bell Tel. Co. | 8,218 | 161.66 | 131.53 | 66.05 | 49.40 | 5.11 | 11.54 | 8.8 |
| Northwestern Bell Tel. Co. | 5,465 | 259.23 | 212.74 | 92.79 | 64.89 | 8.88 | 19.02 | 8.9 |
| Southwestern Bell Tel. Co. | 15,790 | 199.73 | 170.24 | 77.30 | 55.09 | 6.74 | 15.47 | 9.1 |
| Mountain States Tel. & Tel. Co. | 7,250 | 304.85 | 251.72 | 116.98 | 85.59 | 8.84 | 22.55 | 9.0 |
| Pacific N.W. Bell Tel. Co. | 3,716 | 261.94 | 209.31 | 100.10 | 73.08 | 7.96 | 19.06 | 9.1 |
| Pacific Tel. & Tel. Co. & Sub. | 15,470 | 214.24 | 171.37 | 83.91 | 63.63 | 5.06 | 15.22 | 8.9 |
| Bell Tel. Co. of Nevada | 231 | 821.96 | 626.76 | 348.13 | 266.93 | 22.94 | 58.20 | 9.3 |
| Total | 135,776 | 208.55 | 170.49 | 82.10 | 60.33 | 6.60 | 15.17 | 8.9 |
| **Other Associated Companies (Minority Stock Interest):** | | | | | | | | |
| So. New England Tel. Co. | 2,536 | 216.61 | 165.14 | 88.92 | 68.74 | 5.64 | 14.54 | 8.8 |
| Cincinnati Bell, Inc. | 1,173 | 126.52 | 100.83 | 55.01 | 41.96 | 4.16 | 8.89 | 8.8 |
| Total | 3,709 | 188.12 | 144.80 | 78.20 | 60.27 | 5.17 | 12.76 | 8.8 |
| Total Associated Companies | 139,485 | 208.01 | 169.80 | 82.00 | 60.33 | 6.56 | 15.11 | 8.9 |
| AT&T Co., Long Lines Dept. | * | 56.55 | 40.93 | 23.70 | 17.20 | 2.70 | 3.80 | 9.3 |
| Total | 139,485 | 262.92 | 209.52 | 105.07 | 77.07 | 9.19 | 18.81 | 9.0 |
| Bell System Consolidated, D.I.R. Portion 4/ | 135,776 | 264.97 | 211.29 | 105.80 | 77.53 | 9.30 | 18.97 | 9.0 |

*Based on consolidated telephones

# Table 64

## BELL SYSTEM - STATE AND MISCELLANEOUS OPERATIONS 5/
(Continued)

By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|
| **Associated Companies (Majority Stock Interest):** | | | | | | | |
| New England Tel. & Tel. Co. | $3,826,593 | $2,895,588 | $1,582,655 | $1,205,280 | $112,988 | $264,387 | 9.1% |
| New York Tel. Co. | 8,293,461 | 6,667,466 | 3,534,034 | 2,794,946 | 224,080 | 515,009 | 7.7 |
| New Jersey Bell Tel. Co. | 3,024,293 | 2,400,194 | 1,251,013 | 922,264 | 111,846 | 216,903 | 9.0 |
| Bell Tel. Co. of Penna. | 3,828,300 | 3,100,453 | 1,441,907 | 1,055,034 | 115,831 | 271,041 | 8.7 |
| Diamond State Tel. Co. | 233,141 | 197,184 | 83,203 | 57,892 | 7,967 | 17,344 | 8.8 |
| C&P Tel. Co. of D.C. | 511,889 | 377,488 | 211,710 | 158,499 | 17,285 | 35,927 | 9.5 |
| C&P Tel. Co. of Md. | 1,798,510 | 1,385,097 | 672,293 | 511,034 | 47,296 | 113,962 | 8.2 |
| C&P Tel. Co. of Va. | 1,796,035 | 1,477,605 | 667,345 | 473,604 | 59,221 | 134,520 | 9.1 |
| C&P Tel. Co. of W. Va. | 622,167 | 496,493 | 226,935 | 176,373 | 13,087 | 37,474 | 7.5 |
| Southern Bell Tel. & Tel. Co. | 6,947,802 | 5,912,749 | 2,782,886 | 1,998,963 | 245,416 | 538,507 | 9.1 |
| South Central Bell Tel. Co. | 6,461,142 | 5,469,706 | 2,390,506 | 1,779,061 | 171,433 | 440,012 | 8.0 |
| Ohio Bell Tel. Co. | 2,713,324 | 2,232,478 | 1,130,853 | 847,869 | 85,622 | 197,362 | 8.8 |
| Michigan Bell Tel. Co. | 3,682,078 | 2,965,704 | 1,355,994 | 1,023,078 | 97,724 | 235,192 | 7.9 |
| Indiana Bell Tel. Co. | 1,400,050 | 1,132,506 | 551,672 | 372,838 | 62,378 | 116,456 | 10.3 |
| Wisconsin Tel. Co. | 1,356,281 | 1,126,801 | 482,380 | 345,561 | 42,658 | 94,160 | 8.4 |
| Illinois Bell Tel. Co. | 4,087,919 | 3,321,102 | 1,694,686 | 1,279,167 | 126,725 | 288,794 | 8.7 |
| Northwestern Bell Tel. Co. | 3,057,380 | 2,552,405 | 1,161,760 | 836,777 | 100,586 | 224,397 | 8.8 |
| Southwestern Bell Tel. Co. | 9,834,619 | 8,511,533 | 3,808,907 | 2,882,168 | 250,786 | 675,953 | 7.9 |
| Mountain States Tel. & Tel. Co. | 3,851,634 | 3,214,901 | 1,510,354 | 1,138,799 | 98,732 | 272,823 | 8.5 |
| Pacific N.W. Bell Tel. Co. | 2,154,857 | 1,782,735 | 862,350 | 660,273 | 53,496 | 148,581 | 8.3 |
| Pacific Tel. & Tel. Co. & Sub. | 10,107,794 | 8,193,499 | 3,721,856 | 3,047,627 | 105,981 | 568,247 | 6.9 |
| Bell Tel. Co. of Nevada | 108,144 | 89,250 | 54,613 | 40,656 | 7,338 | 6,619 | 7.4 |
| Total | 79,589,269 | 65,413,687 | 31,125,299 | 23,567,107 | 2,151,138 | 5,407,051 | 8.3 |
| **Other Associated Companies (Minority Stock Interest):** | | | | | | | |
| So. New England Tel. Co. | 1,299,826 | 999,640 | 511,871 | 411,161 | 23,882 | 76,828 | 7.7 |
| Cincinnati Bell, Inc. | 596,666 | 472,910 | 236,268 | 169,952 | 21,247 | 45,069 | 9.5 |
| Total | 1,896,492 | 1,472,550 | 748,139 | 581,113 | 45,129 | 121,897 | 8.3 |
| Total Associated Companies | 81,485,761 | 66,886,237 | 31,873,438 | 24,148,220 | 2,196,267 | 5,528,948 | 8.3 |
| AT&T Co., Long Lines Dept. | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 81,485,761 | 66,886,237 | 31,873,438 | 24,148,220 | 2,196,267 | 5,528,948 | 8.3 |
| Bell System Consolidated, excl. D.I.R. portion 4/ | 79,589,144 | 65,423,569 | 31,148,083 | 23,599,709 | 1,987,828 | 5,560,546 | 8.5 |

## Table 64

**BELL SYSTEM - STATE AND MISCELLANEOUS OPERATIONS 6/** Sheet 6 of 7

(Continued)

Per Average Company Station
By Companies - Year 1979
Based on Company Reports

| Operating Telephone Companies | Average No. Company Telephones (Thousands) (0) | Average Gross Tel. Plant 1/ (1) | Avg. Net Telephone Plant (2) | Operating Revenues 2/ (3) | Expenses & Taxes (Except Fed. Income Taxes) (4) | Federal Income Taxes 3/ (5) | Net Operating Income 2/ (6)=(3-4-5) | Ratio Net Oper. Inc. to Average Net Plant (7)=(6÷2) |
|---|---|---|---|---|---|---|---|---|
| Associated Companies (Majority Stock Interest): | | | | | | | | |
| New England Tel. & Tel. Co. | 6,864 | $557.50 | $421.86 | $230.58 | $175.60 | $16.47 | $38.51 | 9.1% |
| New York Tel. Co. | 11,925 | 695.48 | 559.12 | 296.36 | 234.38 | 18.79 | 43.19 | 7.7 |
| New Jersey Bell Tel. Co. | 6,268 | 482.50 | 382.93 | 199.59 | 147.14 | 17.84 | 34.61 | 9.0 |
| Bell Tel. Co. of Penna. | 7,793 | 491.25 | 397.85 | 185.02 | 135.38 | 14.86 | 34.78 | 8.7 |
| Diamond State Tel. Co. | 511 | 456.25 | 385.87 | 162.82 | 113.29 | 15.59 | 33.94 | 8.8 |
| C&P Tel. Co. of D.C. | 1,075 | 476.16 | 351.14 | 196.93 | 147.44 | 16.08 | 33.41 | 9.5 |
| C&P Tel. Co. of Md. | 3,410 | 527.33 | 406.12 | 197.12 | 149.84 | 13.87 | 33.41 | 8.2 |
| C&P Tel. Co. of Va. | 2,922 | 614.52 | 505.57 | 228.33 | 162.05 | 20.26 | 46.02 | 9.1 |
| C&P Tel. Co. of W. Va. | 951 | 654.15 | 522.02 | 238.60 | 185.45 | 13.76 | 39.39 | 7.5 |
| Southern Bell Tel. & Tel. Co. | 11,849 | 586.37 | 499.01 | 234.86 | 168.70 | 20.72 | 45.44 | 9.1 |
| South Central Bell Tel. Co. | 10,105 | 639.40 | 541.28 | 236.57 | 176.06 | 16.96 | 43.55 | 8.0 |
| Ohio Bell Tel. Co. | 4,956 | 547.49 | 450.46 | 228.18 | 171.07 | 17.27 | 39.84 | 8.8 |
| Michigan Bell Tel. Co. | 6,213 | 592.64 | 477.34 | 218.25 | 164.67 | 15.73 | 37.85 | 7.9 |
| Indiana Bell Tel. Co. | 2,632 | 531.79 | 430.16 | 209.55 | 141.62 | 23.70 | 44.23 | 10.3 |
| Wisconsin Tel. Co. | 2,393 | 566.81 | 470.91 | 201.59 | 144.41 | 17.83 | 39.35 | 8.4 |
| Illinois Bell Tel. Co. | 8,218 | 497.47 | 404.16 | 206.23 | 155.66 | 15.42 | 35.15 | 8.7 |
| Northwestern Bell Tel. Co. | 5,465 | 559.49 | 467.07 | 212.59 | 153.13 | 18.40 | 41.06 | 8.8 |
| Southwestern Bell Tel. Co. | 15,790 | 622.84 | 539.04 | 241.23 | 182.53 | 15.89 | 42.81 | 7.9 |
| Mountain States Tel. & Tel. Co. | 7,250 | 531.26 | 443.44 | 208.32 | 157.08 | 13.62 | 37.62 | 8.5 |
| Pacific N.W. Bell Tel. Co. | 3,716 | 579.86 | 479.72 | 232.05 | 177.68 | 14.40 | 39.97 | 8.3 |
| Pacific Tel. & Tel. Co. & Sub. | 15,470 | 653.39 | 529.64 | 240.59 | 197.00 | 6.85 | 36.74 | 6.9 |
| Bell Tel. Co. of Nevada | 231 | 468.15 | 386.37 | 236.42 | 176.06 | 31.77 | 28.59 | 7.4 |
| Total | 135,776 | 586.17 | 481.77 | 229.24 | 173.57 | 15.84 | 39.83 | 8.3 |
| Other Associated Companies (Minority Stock Interest): | | | | | | | | |
| So. New England Tel. Co. | 2,536 | 512.55 | 394.18 | 201.85 | 162.13 | 9.41 | 30.31 | 7.7 |
| Cincinnati Bell, Inc. | 1,173 | 508.67 | 403.17 | 201.42 | 144.89 | 18.12 | 38.41 | 9.5 |
| Total | 3,709 | 511.32 | 397.02 | 201.71 | 156.68 | 12.17 | 32.86 | 8.3 |
| Total Associated Companies | 139,485 | 584.19 | 479.53 | 228.51 | 173.13 | 15.75 | 39.64 | 8.3 |
| AT&T Co., Long Lines Dept. | * | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 139,485 | 584.19 | 479.53 | 228.51 | 173.13 | 15.75 | 39.64 | 8.3 |
| Bell System Consolidated, excl. D.I.R. portion 4/ | 135,776 | 586.18 | 481.85 | 229.41 | 173.81 | 14.64 | 40.96 | 8.5 |

*Based on consolidated telephones

## Footnotes - Table 64 - OPERATIONS OF THE BELL SYSTEM TELEPHONE COMPANIES--1979

1/ Includes: Account 100.1, Telephone Plant in Service
Account 100.2, Telephone Plant Under Construction
Account 100.3, Property Held for Future Telephone Use
Account 100.4, Telephone Plant Acquisition Adjustment
Account 100.5, Satellite Earth Station (Long Lines)

2/ Column (3) and (6) include Interest Charged Construction.

3/ Federal taxes on income accrued on the books of each associated company, including net charges to Investment Credits - Net, were used on Sheets 1 and 2. Federal taxes on income as allocated under the division of revenues contracts by each associated company, including net charges to Investment Credits - Net allocable to interstate, were used on Sheets 3 and 4. Federal taxes on income for the Long Lines Dept. used on Sheets 1, 2, 3, and 4 represent an allocation of AT&T Co. taxes, including net charges to Investment Credits - Net.

4/ Bell System consolidated includes the 21 associated companies in which AT&T owns a majority stock interest, the Long Lines Dept., and the General Dept. It does not include the 2 associated companies, So. New England Tel. Co. and Cincinnati Bell, Inc. in which AT&T owns a minority stock interest. Data for the General Dept. of AT&T are not shown separately in these tables.

5/ The Bell System division of revenues contracts provide for the inclusion in the settlement procedures of Account 122 (Materials and Supplies). The contracts also provide for the inclusion as expenses certain miscellaneous income charges. However, for consistency with the data shown on Sheets 1 and 2 for total operations these items are excluded from the interstate data on Sheets 3 and 4.

6/ State and Miscellaneous Operations include all state operations and those interstate operations not included under the division of revenue contracts. Included in the latter are payments by Long Lines to the associated companies as follows:

| | |
|---|---|
| Companies in which AT&T owns a majority stock interest | $2,679,806 |
| Companies in which AT&T owns a minority stock interest | $ 73,899 |

The dollar figures on Sheets 5 and 6 were derived by subtraction of interstate data from total company data.

# SECTION R

## ELECTRICITY

Tables 65(a), 65(b), and 65(c) reflect the results of a survey conducted by the National Economic Research Associates, Inc., New York, New York, during October/November 1977. In January 1980 the tables were forwarded to each State agency for corrections or additions necessary to accurately reflect the information as of December 31, 1979. The tables provide detailed information on costing, ratemaking, and rate structure revision.

Table 66 provides information on long-range electric utility load forecasting.

Table 67 contains statistics for all privately owned electric utilities under agency jurisdiction. The data includes plant, revenues and expenses, customers, average annual residential use, average residential cost per kwh, average residential monthly bill, and gross plant investment per customer.

Table 68 is a summary of state statutes related to power plant siting. Information concerning certification of electric transmission lines and electric generating equipment and facilities is contained in Table 69.

Table 70 shows average voltage level requirements.

Table 71 provides information concerning commission regulatory jurisdiction over the provision of private outdoor lighting service by electric utilities.

## INTRODUCTION

## RATE STRUCTURE REVISION

The following survey and accompanying tables were initially prepared by the National Economic Research Associates, Inc., Consulting Economists, 80 Broad Street, New York, New York, during October/November 1977. In January 1980 the tables were forwarded to each state agency for corrections or additions necessary to accurately reflect the information as of December 31, 1979.

Some of the items in the following tables are subject to a range of interpretation such that, in certain cases, the choice of a "yes" or "no" answer may appear somewhat arbitrary. For this reason each table has an extensive set of footnotes which contain additional or explanatory information. The reader is urged to review the footnote (where applicable) in interpreting any individual response, and to consider the information contained in the footnotes before performing a tabulation of responses to any item.

The footnotes for each table are separate, and within each table are grouped by state. Thus, for example, footnote 1 for Alabama is not likely to be the same as footnote 1 for Alaska.

## TABLE 65(a)

## COSTING

1. Column Heading: "Commission specifies method(s) for determining cost of service by class."

   Explanation: Indicates whether the commission has prescribed or specifically approved one or more methods for determining costs associated with providing electric service to classes of electric consumers.

2. Column Heading: "Required method measures TOD, seasonal cost differences."

   Explanation: If the column entry in Column (1) is "Yes," this column indicates whether (any of) the specific methods required measure the differences in the cost of providing service to each class at various times of day and in various seasons. (If only TOD or seasonal differences are measured but not both, please answer "No" and indicate which is measured in the footnote.)

3. Column Heading: "Required method measures marginal or incremental costs."

   Explanation: If the column entry in Column (1) is "Yes," this column indicates whether (any of) the specific methods required measure the extent to which total utility costs are likely to change if capacity is added to meet peak demand, or additional kilowatt hours of electricity are delivered to electric consumers.

4. Column Heading: "Utilities measure cost of serving each customer class."

   Explanation: Indicates whether any of the investor-owned electric utilities in the state measure the cost of providing service to each class of customers, for rate case or other purposes.

5. Column Heading: "Utilities measure TOD, seasonal cost differences for each class."

   Explanation: If the column entry in Column (4) is "Yes," this column indicates whether any of the methods used to measure the cost of serving each customer class also measures differences in the cost of providing service to each class at different times of day and in various seasons. If only TOD or seasonal differences are measured, please indicate which in the footnote. If some party other than the utility (or its consultants)--e.g., commission staff--measures or has measured either or both of these cost differences, please so indicate in the footnote.

6. Column Heading: "Utilities measure marginal or incremental costs for each class."

   Explanation: If the column entry in Column (4) is "Yes," this column indicates whether any of the methods used to measure the cost of serving each customer class also measures or has measured the cost of providing additional service to each class, i.e., meeting additional demand, providing additional energy and serving additional customers. Please indicate in the footnote what specific method is used. If some party other than a utility (or its consultants) measures or has measured these costs, please so indicate in the footnote.

7. Column Heading: "Commission requires cost-based rate structures."

   Explanation: Indicates whether the commission requires that the structure or design of rates for each class of customers of investor-owned electric utilities be based on the structure of the cost of providing service to each class.

## TABLE 65(b)

## RATEMAKING

1. Column Heading: "Lifeline or inverted rates approved."

   Explanation: Indicates whether the commission has approved lifeline or inverted rates or treatment of rates currently in effect, for any class of retail customers of any investor-owned electric utility.

2. Column Heading: "Declining block rates approved."

   Explanation: Indicates whether the commission has approved any rates currently in effect, for any class of retail customers of any investor-owned electric utility, with declining blocks for energy and/or demand.

3. Column Heading: "Cost justification of declining block rates."

   Explanation: Indicates whether utilities with declining block rates have offered evidence that such rates are currently cost-justified, i.e., that the unit costs of providing electric service actually decline with volume.

4. Column Heading: "Commission policy discouraging declining block rates."

   Explanation: Indicates whether the commission has a policy, stated or informal, of discouraging declining block rate design by flattening blocks or eliminating declining block rates and (in the footnote) how this policy is being implemented, e.g., in individual rate cases.

5. Column Heading: "Flat rates approved."

   Explanation: Indicates whether the commission has approved any flat rates currently in effect, for any class of retail customers of any investor-owned electric utility. Flat rates include a rate which embodies a minimum charge or customer charge with a fixed rate per kilowatt-hour (and per kilowatt). Note that a "Yes" entry in this column need not be inconsistent with a "Yes" entry in Column (3).

6. Column Heading: "Commission policy encouraging flat rates."

   Explanation: Indicates whether the commission has a policy, stated or informal, encouraging flat rates as a rate design concept and (in the footnote) how this policy is being implemented, e.g., in individual rate cases.

7. Column Heading: "Time-of-day rates approved."

   Explanation: Indicates whether the commission has ever approved time-of-day rates--experimental or permanent, optional or mandatory--for any class of retail customers of any investor-owned electric utility. Details on the cost basis of time-of-day differentials and on the applicability of the rates are in the footnote.

8. Column Heading: "Seasonal rates approved."

   Explanation: Indicates whether the commission has approved seasonally varying rates currently in effect, for any class of retail customers of any investor-owned electric utility. Details on the cost basis of seasonal differentials and on the applicability of the rates are in the footnote.

9. Column Heading: "Rates for interruptible service approved."

   Explanation: Indicates whether any investor-owned electric utility in the state offers rates, on either a contract or tariff basis, for interruptible retail electric service. The footnote indicates whether the rates are optional or mandatory for the eligible customers, whether interruptions are optional or mandatory, whether the rates reflect the utility's cost saving associated with the interruptible load and how frequent interruptions are.

10. Column Heading: "Contracts for retail service."

    Explanation: Indicates whether any investor-owned electric utility provides service to any customer with rates set by contract. Details on the commission's involvement in such contracts (e.g., hearings, approval, none) are in the footnote.

11. Column Heading: "Commission policy discouraging master metering."

    Explanation: Indicates whether the commission has any policy regarding master or bulk metering.

## TABLE 65(c)

## RATE STRUCTURE REVISION

1. Column Heading: "Rate structure revision activity."

   Explanation: Indicates whether, and how, the commission has considered or is considering general rate structure design concepts.

2. Column Heading: "Evidence on cost effectiveness of TOD, seasonal rates."

   Explanation: Indicates whether the commission has seen any evidence on the cost effectiveness of time-of-day and/or seasonal rates for electric utilities under its jurisdiction. Rates are considered cost effective if they result in long-run benefits (cost savings) which exceed the long-run costs of their implementation.

3. Column Heading: "Evidence on consumer response to TOD, seasonal rates."

   Explanation: Indicates whether the commission has seen any evidence (and, if so, what type) on consumer reaction to mandatory time-of-day and/or seasonal rates or on likely consumer response to optional time-of-day or seasonal rates.

4. Column Heading: "Load management activity."

   Explanation: Indicates whether the commission encourages and/or any investor-owned electric utility in the state has undertaken implementation of a load management system (especially one using technical control devices). Details in the footnote include the type(s) of system involved, whether there has been any study on the cost effect of such a system, and whether the cost effect is reflected in the rates to customers with such systems.

5. Column Heading: "Commission policy on rates for customers with solar, wind, small generation."

   Explanation: Indicates what policy the commission has adopted to ensure that electric rates do not discriminate against or discourage the use of solar, wind or other small generation for supplemental power. Details on the guidelines, rates or conditions under which excess power generated by such small units is sold to electric utilities are in the footnote.

Table - 65(a)

COSTING

| State | Commission Specifies Method(s) for Determining Cost of Service by Class (1) | Required Method Measures TOD, Seasonal Cost Differences (2) | Required Method Measures Marginal or Incremental Costs (3) | Utilities Measure Cost of Serving Each Customer Class (4) | Utilities Measure TOD, Seasonal Cost Differences for Each Class (5) | Utilities Measure Marginal or Incremental Costs for Each Class (6) | Commission Requires Cost-Based Rate Structures (7) |
|---|---|---|---|---|---|---|---|
| Alabama | Yes | No[1] | Yes | Yes | Yes[2] | Yes[3] | No |
| Alaska | No[1] | | | Yes[2] | No | No | Yes |
| Arizona | No | | | Yes | No | Yes | Yes |
| Arkansas | Yes | No[1] | No | Yes | Yes[2] | Yes[3] | Yes[4] |
| California | Yes[1] | Yes[2] | Yes | Yes[3] | Yes[4] | Yes[4] | No[5] |
| Colorado | No[1] | | | Yes | Yes[2] | Yes[2] | Yes |
| Connecticut | Yes | Yes[1] | No[2] | Yes | Yes[3] | No[2] | Yes |
| Delaware | No | | | Yes | No | No | Yes |
| Dist. of Col. | No | | | Yes | Yes[1] | No | n.a.[2] |
| Florida | No | | | Yes | No | No | Yes[1] |
| Georgia | No | | | No | | | No |
| Guam | No | | | Yes | | Yes | Yes |
| Hawaii | No | No | No | Yes[1] | No | No | Yes |
| Idaho | No | | | Yes | No | No | Yes[1] |
| Illinois | No | | | Yes[1] | Yes | Yes[2] | No |
| Indiana | No | | | Yes | Yes[1] | Yes[2] | No[3] |
| Iowa | No[1] | | | Yes[2] | Yes[3] | No[4] | No[5] |
| Kansas | No | | | Yes | Yes[1] | No | Yes |
| Kentucky | No | | | Yes | No | Yes | Yes |
| Louisiana | No | | | Yes | No | No | Yes[1] |
| Maine | No | | | Yes | No | No | Yes |
| Maryland | n.a. | | | n.a. | | | n.a. |
| Massachusetts | No[1] | | | Yes[2] | No | No | No[3] |
| Michigan | Yes[1] | No[2] | No | Yes[3] | Yes | No | Yes[4] |
| Minnesota | No[1] | | | Yes | No[2] | No[3] | Yes |
| Mississippi | No | | | No | | | No |
| Missouri | No | | | No | | | No |
| Montana | No[1] | | | Yes[2] | Varies[3] | Varies | Yes |
| Nebraska[1] | - | | | - | - | - | - |
| Nevada | No | | | No | | | No |
| New Hampshire | No | No | No | Yes | No | No | Yes |
| New Jersey | No[1] | | | Yes | Yes[2] | No[3] | Yes |
| New Mexico | No | | | Yes | Yes[1] | No[2] | No |
| New York | Yes[1] | Yes[2] | Yes[3] | Yes[4] | Yes[5] | Yes[6] | Yes[7] |
| North Carolina | Yes[1] | No[2] | No | Yes | Yes[3] | Yes[4] | Yes |
| North Dakota | No | | | No | | | No |
| Nova Scotia | No | No[1] | No[1] | Yes | No | No | Yes |
| Ohio | No | | | Yes | Yes[1] | No[2] | No |
| Oklahoma | No | | | Yes | No[1] | n.a. | No |
| Oregon | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| Pennsylvania | No | | | Yes[1] | Yes[2] | No | Yes |
| Puerto Rico[1] | - | | | - | - | - | - |
| Rhode Island | No | | | Yes[1] | Yes[2] | No | No |
| South Carolina | No | | | Yes | No | No | Yes |
| South Dakota | No | | | Yes | No | No | Yes |
| Tennessee[1] | No | | | No | | | No |
| Texas | Yes[1] | No[2] | No[3] | Yes[4] | No[5] | No | Yes |
| Utah | Yes | | | Yes[1] | No | No | Yes |
| Vermont | No | | | Yes | Yes[1] | No | Yes |
| Virginia | Yes | Yes[1] | No | Yes | Yes[2] | Yes | Yes |
| Washington | No | | | No | | | No |
| West Virginia | No | | | Yes | Yes[1] | No | Yes |
| Wisconsin | Yes | Yes | Yes | Yes | Yes | Yes[1] | Yes |
| Wyoming | No | | | Yes | No | No | Yes[1] |

n.a. - Commission did not provide response.

## Footnotes - Table 65(a) - COSTING

### Alabama

1 Seasonal-residential only.
2 Seasonal.
3 Providing additional energy.

### Alaska

1 In one proceeding, the commission approved peak allocation method.
2 Costs are analyzed and allocated on the basis of stated assumptions.

### Arkansas

1 Only annual test-year costs by rate class are required.
2 Costs by TOD/Season were measured for development of experimental TOD rates in FEA-funded demand management project. Such measures are generally not mandatory.
3 Unclear regarding specific response desired.
4 Adherence to cost structures may be modified based upon various circumstances.

### California

1 The commission has generally accepted the MPR method for production and transmission expenses and NCD method for distribution expenses, though less emphasis is placed upon embedded costs overtime. MC methods being developed and used in current rate cases. Commission will adopt a MC method by September (Rulemaking).
2 Marginal cost method.
3 Embedded and marginal cost method do so.
4 Utilities are required to submit marginal cost data.
5 This is only one of the factors considered by the commission.

### Colorado

1 Generally use fully distributed embedded cost.
2 Colorado Public Service submitted TOD marginal cost study for generic hearing. This has not been used to make rates.

### Connecticut

1 Time-of-day and seasonal.
2 True incremental cost methodology is not used. Fully allocated cost is first determined then rates are designed to meet other objectives. LRIC study underway for United Illuminating; others planned.
3 Both.

### District of Columbia

1 TOD has been adopted for customers using 1000 KW per month for two months during a 12-month period, with rates to be effective on deciding the current general rate case (FC 715). Seasonal cost differences have been and still are measured.
2 Unable to respond.

### Florida

1 Rates structure generally track embedded cost but there are some exceptions.

### Hawaii

1 In rate case.

### Idaho

1 Where there are deviations from cost-based rates, the commission has given notice this action will not continue.

### Illinois

1 Generally, all of the major electric utilities have submitted cost of service studies as part of rate proceedings or as a result of a prior rate case order.
2 Several major electric utilities have been ordered by the commission to conduct long-run incremental cost studies and have included them with rate filings.

### Indiana

1 Seasonal differences for commercial space heating rate and TOD experimental residential energy storage rate.
2 Diversity credit through rate design to discourage on-peak energy usage.
3 Indiana Court decision requires "across the board" adjustment in rates in absence of cost of service evidence.

### Iowa

1 Fully allocated and LRMC cost of service studies have been used for rate design, although not specifically approved or prescribed. One specific LRMC approach has been rejected. Current rate design investigation will include the possibility of prescribing methods.
2 Nearly all of the major investor-owned utilities have either recently completed or are in process of completing such studies for rate case and planning purposes. Moreover, many of the rural electric cooperatives routinely conduct such studies.
3 Cost studies currently being completed contain these differentials. Moreover, the commission staff will conduct such studies this year. Studies have included both fully allocated and LRMC methods.

Iowa (Continued)
4 A method similar to this, presented by an intervenor, has been specifically rejected by the commission. The economic concept of LRMC has, however, been encouraged by the commission and will be used in staff's studies.
5 Cost-based rate structures are not specifically required given the size and number of rate regulated utilities and the frequency of rate cases. However, rate structures are developed based upon cost studies or reference to such studies for comparable utilities such that rates are reasonably based upon costs. The commission staff is undertaking LRMC studies for four major electric utilities this fiscal year.

Kansas
1 Seasonal only.

Louisiana
1 Tariffs accepted under assumption that they are cost-based by customer class.

Massachusetts
1 Commission has adopted TOD pricing but has retained for the present the existing allocation of revenues among customer classes. Companies will be required to submit at least two cost of service studies, one based on MC, the other on historic cost. Companies to submit plans and proposals under recent TOD order.
2 Some utility companies have filed proposed cost of service studies for the purpose of allocating future rate increases. There are no mandatory standards or methods.
3 The commission did require in one case that the rate increase granted be distributed among customer classes in accordance with their respective contribution to growth [illegible] peak demand as predicted in long-range demand forecasts filed by the utility with the Massachusetts Energy Facilities Siting Council.

Michigan
1 General guidelines.
2 Cost of service based on on-peak and off-peak differentials.
3 Mainly rate case purposes.
4 Cost is primary factor but other factors such as relative costs and benefits of metering, conservation, and efficient use of facilities are also considered.

Minnesota
1 Accepted some proposals based on specific methods but none "chosen."
2 PSC has accepted seasonally differentiated rates but not on the basis of a specific cost study but rather on the basis of load curves.
3 Cost measurement is not sufficiently refined to stratify the "additional" services to each class.

Montana
1 Costing methodologies have been determined on a case-by-case basis.
2 Coincidental peak is most common currently accepted method because of utilities' lack of load study data.
3 TOD marginal rates are optional in some cases.

Nebraska
1 The commission does not regulate electric utilities.

New Jersey
1 New Jersey Department of Energy has recommended to the BPU long-range incremental cost.
2 Each electric company has seasonal rates. Two electric companies are presently experimenting with TOD rates.
3 Recent TOD experiment; Jersey Central submitted LRIC study covering residential customers.

New Mexico
1 Seasonal cost differences are measured.
2 Intervenors have measured these costs.

New York
1 The commission approves various methods depending on the load characteristics of the utility. Fully allocated studies are used to determine overall revenue requirements for service classifications. Marginal cost studies are used to assist in the design of rates for specific classes of customers.
2 Marginal cost studies are used to measure the costs of providing service by season and time of day.
3 This is determined in the incremental or marginal cost study.
4 All seven utilities have provided in rate cases fully allocated cost studies.
5 The commission has not generally used a fully allocated class cost of service study to measure the cost differences by season or time of day. (See footnote 2.)
6 A fully allocated study, as used by the commission, does not measure the cost of providing additional service to each class. Marginal cost studies are used for this purpose.
7 The commission has had a long-standing policy of designing cost-based rates. Recently the commission has utilized marginal cost principles in designing rates.

North Carolina
1 Approved use of coincidental peak method of allocating demand cost in fully distributed cost of service studies. Other studies have been presented but no formal decisions issued.
2 Studies dealing with cost differences by season and TOD have been presented but no decision has been made. This matter is currently being studied in generic proceedings.
3 Utilities, commission staff and consultants hired by both have measured the seasonal and TOD cost differences as a part of an FEA experiment, a generic rate proceeding, or a general rate proceeding (for seasonal only).
4 Utilities, commission staff and consultants hired by both, have measured the seasonal and TOD cost differences as a part of an FEA experiment and a generic rate proceeding.

# Footnotes - Table 65(a) - COSTING (Continued)

Nova Scotia
1 TOD and other methods under study by electric utility.

Ohio
1 Special studies have been carried out by both the commission staff and the utilities to determine cost differences. TOD and seasonal.
2 Long-run marginal cost studies underway for all electric utilities.

Oklahoma
1 Edmond Municipal Electric Co. carrying out similar study under FEA grant. But Edmond not under commission jurisdiction.

Pennsylvania
1 Cost of service.
2 Seasonal cost of service required.

Puerto Rico
1 The commission does not regulate any electric utilities.

Rhode Island
1 Only generally.
2 Seasonal.

Tennessee
1 The commission regulates only one small, nongenerating investor-owned electric utility.

Texas
1 The commission requires that a fully allocated historical cost of service study be included in rate filings.
2 The cost of service studies are not required to measure winter/summer differential by class. This is implicit in some studies and other studies have attempted to recognize it explicitly.
3 Because the cost of service studies are fully allocated and historical, they do not measure marginal cost.
4 Cost of service studies are categorized by customer class.
5 The fully allocated cost studies can recognize seasonal cost differentials.

Utah
1 For rate cases.

Vermont
1 TOD/seasonal/interruptible/storage-heat/off-peak water heater.

Virginia
1 It depends upon the customer class: residential and commercial-seasonal, industrial-TOD and seasonal.
2 Depends upon the customer class. See footnote 1.

West Virginia
1 Seasonal by VEPCO (disallowed seasonal rates in last rate case--Case #8410). TOD by Monongahela Power Co.--customer's option.

Wisconsin
1 Many variations of LRIC and marginal for added loads and customers.

Wyoming
1 Commission may vary from cost basis by some flattening of rates.

Table - 65(b)

RATEMAKING

| State | Lifeline or Inverted Rates Approved (1) | Declining Block Rates Approved (2) | Cost Justification of Declining Block Rates (3) | Commission Policy Discouraging Declining Block Rates (4) | Flat Rates Approved (5) | Commission Policy Encouraging Flat Rates (6) | Time-of-Day Rates Approved (7) | Seasonal Rates Approved (8) | Rates for Interruptible Service Approved (9) | Contracts for Retail Service (10) | Commission Policy Discouraging Master Metering (11) |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Alabama | No[1] | Yes | Yes[2] | Discouraging | Yes[3] | Encouraging | No | Yes[4] | Yes[5] | No[6] | No Policy[7] |
| Alaska | No | Yes | Yes | No Policy | Yes | No Policy | No | No | Yes[1] | No[2] | Discouraging[3] |
| Arizona | Yes[1] | Yes | No | Discouraging | Yes | No Policy | No[2] | Yes[3] | Yes | No | No Policy |
| Arkansas | No | Yes | No | Discouraging[1] | Yes | Encouraging | Yes[2] | Yes[3] | Yes[4] | Yes[5] | No Policy |
| California | Yes | Yes[1] | No | Discouraging | Yes | Encouraging | Yes[2] | Yes[3] | Yes[4] | Yes | Discouraging[5] |
| Colorado | No | Yes | Yes[1] | No Policy[2] | No | No Policy[2] | No | Yes[3] | Yes[4] | Yes[5] | Discouraging[6] |
| Connecticut | No[1] | Yes | Yes[2] | Discouraging[3] | Yes[4] | Encouraging | Yes[5] | Yes[6] | Yes[7] | Yes[8] | Policy[9] |
| Delaware | No | Yes | Yes[1] | No Policy | Yes[3] | No Policy | No | Yes | Yes[2] | No | Yes |
| Dist. of Col. | Yes[1] | No[2] | No[3] | Discouraging[6] | No | Encouraging | No[4] | Yes[5] | No | No | No Policy |
| Florida | Yes[1] | Yes | Yes | Discouraging[2] | Yes | Encouraging[2] | Yes[3] | Yes[4] | Yes[5] | Yes[6] | Discouraging |
| Georgia | No[1] | Yes | No | Discouraging[2] | No | No Policy | Yes[3] | Yes[4] | No | No | No Policy |
| Guam | Yes | Yes | Yes | Discouraging | Yes | Encouraging | No | No | No | No | No Policy |
| Hawaii | No | Yes | Yes | No Policy[1] | No | No Policy | No | No | No[2] | Yes[3] | No Policy |
| Idaho | Yes[1] | Yes | No | Discouraging | Yes | Encouraging | No | Yes[2] | Yes[3] | Yes | Discouraging |
| Illinois | No | Yes | Yes[1] | No Policy[5] | Yes[3] | No Policy[2] | Yes[4] | Yes | Yes[6] | Yes[7] | Discouraging[8] |
| Indiana | No | Yes | Yes | Discouraging[1] | No | No Policy | Yes[2] | Yes[3] | No | No | Discouraging[4] |
| Iowa | No[1] | No[2] | No[3] | Discouraging[4] | Yes[5] | Yes[6] | Yes[7] | Yes[8] | Yes[9] | Yes[10] | Prevented[11] |
| Kansas | No | Yes | Yes | Discouraging[1] | Yes | No Policy | No | Yes[2] | Yes | Yes[3] | Yes[4] |
| Kentucky ERC | No | Yes | No | Discouraging | No | Encouraging | Yes[1] | Yes | Yes | Yes[2] | No Policy |
| Louisiana | No | Yes | Yes[1] | No Policy[2] | No | No Policy | No | Yes[3] | Yes[4] | No | Yes[5] |
| Maine | No[1] | Yes | Yes | Discouraging | No | Encouraging | No[2] | No | Yes | No | No Policy |
| Maryland | No | Yes | Yes | No Policy | No | No Policy | No | Yes[1] | No | Yes | Discouraging[2] |
| Massachusetts | No | Yes[1] | No[2] | Discouraging[3] | No | No Policy | Yes[4] | Yes[5] | No[6] | No | No Policy |
| Michigan | Yes[1] | Yes[2] | Yes | Discouraging[3] | Yes | Encouraging | Yes[4] | Yes[5] | Yes[6] | Yes[7] | Discouraging[8] |
| Minnesota | No | Yes[1] | Yes[2] | Discouraging[3] | Yes | Encouraging[4] | No[5] | Yes[6] | Yes[7] | Yes[8] | Discouraging[9] |
| Mississippi | No | Yes | Yes | No Policy | No | No Policy | Yes[1] | Yes | No | No | Discouraging[2] |
| Missouri | No | Yes | No | Discouraging | Yes[1] | No Policy | No[2] | Yes[3] | Yes[4] | Yes | Discouraging |
| Montana | No[1] | Yes | Yes[2] | Discouraging[3] | Yes[3] | Encouraging[3] | Yes[4] | No[5] | No[6] | Yes | Discouraging |
| Nebraska[1] | - | - | - | - | - | - | - | - | - | - | - |
| Nevada | No | Yes | NA | Discouraging | Yes[1] | Encouraging[1] | Yes[2] | Yes[3] | No | No[4] | No Policy[5] |
| New Hampshire | No | Yes | Yes[1] | No Policy | Yes | No Policy | Yes[2] | Yes[2] | No | Yes | No Policy |
| New Jersey | Yes[6] | Yes | No | Discouraging[1] | Yes[1] | Encouraging[1] | Yes[2] | Yes | Yes[3] | No[4] | No Policy[5] |
| New Mexico | No | Yes | No | No Policy[1] | No | Encouraging[1] | Yes[2] | Yes | No | Yes | Discouraging[3] |
| New York | No | Yes[1] | Yes[2] | Discouraging[3] | Yes[4] | Encouraging[5] | Yes[6] | Yes[7] | Yes[8] | Yes[9] | Discouraging[10] |
| North Carolina | No[1] | Yes[2] | Yes[2] | No Policy | Yes[3] | No Policy[3] | Yes[4] | Yes | No[5] | No[6] | Discouraging[7] |
| North Dakota | No | Yes | Yes | Discouraging | Yes | Encouraging[1] | Yes[2] | Yes | No[3] | No | Discouraging[4] |
| Nova Scotia | No | Yes | Yes | No Policy | Yes[1] | Discouraging[1] | Yes[2] | No | Yes | No | No Policy |
| Ohio | No | Yes | Yes | No Policy[1] | Yes[2] | No Policy[3] | Yes | Yes | Yes[4] | Yes[5] | No Policy[6] |
| Oklahoma | No | Yes | No | No Policy[1] | No | No Policy[1] | No | Yes | No | No[2] | Discouraging[3] |
| Oregon | No | Yes[1] | Yes | Discouraging | Yes | Encouraging | Yes | Yes | No | Yes[2] | Discouraging[3] |
| Pennsylvania | Yes[1] | Yes | Yes | Discouraging | Yes | Encouraging | Yes | Yes | Yes | Yes[2] | Discouraging |
| Puerto Rico[1] | - | - | - | - | - | - | - | - | - | - | - |
| Rhode Island | Yes[1] | Yes | No | No Policy | No | No Policy | Yes[2] | Yes | Yes[3] | Yes[4] | Discouraging[5] |
| South Carolina | No[1] | Yes | Yes[2] | No Policy[3] | Yes | No Policy[3] | No | Yes | Yes[4] | Yes[5] | No Policy |
| South Dakota | No | Yes | No | Discouraging[1] | Yes | Encouraging[1] | Yes[2] | Yes | Yes | Yes | No Policy[3] |
| Tennessee[1] | No | Yes | No | No Policy | Yes | No Policy | No | No | Yes | No | No Policy |
| Texas | No | No[1] | Yes[2] | Discouraging[3] | Yes | Encouraging[3] | No | Yes[4] | Yes[5] | Yes[6] | Discouraging[7] |
| Utah | No | Yes | Yes | No Policy | Yes | No Policy[1] | No | No | Yes | Yes | Discouraging[2] |
| Vermont | No[1] | No[2] | No | Discouraging | Yes | Encouraging[3] | Yes[4] | Yes | Yes[5] | Yes[6] | No Policy[7] |
| Virginia | No | Yes | Yes | No Policy[1] | No | No Policy | Yes[2] | Yes | No[3] | No[4] | Discouraging |
| Washington | No | Yes[1] | No[2] | Discouraging[3] | Yes | Yes[3] | No | Yes[4] | Yes[5] | Yes[6] | No Policy[7] |
| West Virginia | No | Yes[1] | Yes[2] | Discouraging[3] | Yes | Encouraging[3] | Yes[4] | No | Yes | No[5] | No Policy[6] |
| Wisconsin | No | Yes | Yes | Discouraging | Yes[1] | Encouraging | Yes[2] | Yes[3] | Yes[4] | No[5] | Discouraging[6] |
| Wyoming | No | Yes[1] | Yes | No Policy | Yes[2] | No Policy | No | Yes[3] | Yes[4] | Yes[5] | Discouraging[6] |

NA-Not applicable.

## Footnotes - Table 65(b) - RATEMAKING

**Alabama**

1 Commission considered the subject but found that lifeline rates would not necessarily aid the low-income person.
2 In the past declining block rates were economically justifiable.
3 The Alabama Power Company has flat rates in summer for residential customers.
4 The Alabama Power Company has mandatory seasonal rates for all classes except large industrial.
5 The PSC has approved an optional interruptible rate. It is available to large industrial customers and certain commercial customers. Persons using this service have had their power interrupted. The rates for interruptible service are discounted from the usual rates, but no study has been performed of the cost saving to the utility. The PSC encourages the use of this rate.
6 Individuals can contract for a level of service but not for a rate. The PSC must approve all contracts.
7 The PSC permits master metering as long as the electricity is not resold at a profit.

**Alaska**

1 Interruptible rates are available to large industrial customers. No study has been done of the cost savings accruing from interruptible rates and the customers using this tariff have not had their power interrupted.
2 Commission must approve all special contracts.
3 Master metering arrangements are discouraged.

**Arizona**

1 A lifeline experiment is being tried in the Tucson Gas & Electric Company. It is a one-year test. If a customer uses under 700 Kwh a month, then the customer charge will be forgiven. The rates apply only to residential customers.
2 FEA experiments have implemented TOD rates on an experimental basis.
3 The Arizona Corporation Commission (ACC) has approved a summer-winter differential. It is mandatory and applies to all classes. The rate reflects the cost differentials as determined by the fuel saving from the use of other than combustion turbine plants.

**Arkansas**

1 Commission believes that there are instances where declining blocks are appropriate.
2 Arkansas Public Service Company has had a TOD pricing experiment in which sample groups of customers were charged TOD rates. Arkansas Power & Light will offer optional TOD rates "in the near future." The rates will apply only to the residential class and have not yet been filed.
3 The PSC has approved seasonal rate differentials for all utilities with seasonal demand peaks. The seasonal rates are mandatory for all classes. The demand charges are tied to the demand at peak and the rates tend to reflect the costs of serving customers in the various seasons, although some peak costs are shifted to off-peak.
4 Air conditioning.
5 All retail contracts are regulated by the commission.

**California**

1 Phasing out.
2 Mandatory for customers whose use exceeds 1000 Kw/month.
3 Through lifeline and TOU rates.
4 Large industrials are offered interruptible rates by way of lifeline on an optional basis. The power has never been interrupted to customers using this rate.
5 Issue not yet resolved.

**Colorado**

1 Justified in cost of service studies.
2 Generic hearings currently underway.
3 Mandatory cost-based rates apply only to irrigation and vacation homes.
4 Optional rate for large industrials. Power has been interrupted to customers on this rate.
5 Contracts subject to commission review and approval.
6 Unofficial policy.

**Connecticut**

1 Commission studied in generic hearings but found inverted rates not justified at this time. Docket No. 760204.
2 Cost justification for declining blocks was shown no longer valid in recent generic hearings. The Authority decision found that declining block rates did not provide consumers with appropriate price signals.
3 See decision Northeast rate case 10/25/77.
4 Northeast decision 10/25/77.
5 Optional TOD rates are available for all investor owned electrics in Connecticut and for all customer classes. TOD is mandatory for new space heating customers as of January 1, 1979.
6 Industrials have an optional summer-winter rate differential which reflects costs.
7 Small number of interruptible customers in the state. They are all industrial. PUCA is advocating use of interruptible rates.
8 Commission approves.
9 Generic hearings held; revised building code prohibits master metering of new electric service. Hearings and investigations proceeding to determine feasibility of submetering in existing structures.

## Footnotes - Table 65(b) - RATEMAKING (Continued)

Delaware
1 In rate cases only.
2 The interruptible rate is available to industrial customers. It is an optional rate and customers have had their power interrupted.
3 One utility has a flat electric space heating rate.

District of Columbia
1 Rates on the first 400 Kwh were held constant for three cases and on the first 450 Kwh for two cases. In the last case all customers received the same percentage increase. Also the last block of the PEPCO residential tariff is inverted.
2 Rates have been substantially flattened. Latest residential tariff has "U"-shaped rates.
3 Cost justification is no longer valid.
4 TOD rates will be effective on deciding the current general rate case (FC 715). Rates have been submitted.
5 Have mandatory summer-winter differential for all classes. Rate is designed to reflect costs.
6 Declining block rates are a subject in the current rate case (FC 715).

Florida
1 Florida Power & Light has inverted residential conservation rates.
2 The Commission is formally discouraging declining block rates and encouraging flat rates. Formal Commission policy will be formulated on these subjects by way of generic investigation which is now being conducted. Florida is encouraging one-step customer energy and/or demand schedules.
3 Four major investor-owned utilities have TOD optional rate schedules available to large industrial customers. Rates are based on time differentiated costs. The Commission is currently investigating by a generic case time of use and seasonal rates.
4 Gulf Power Company has mandatory winter/summer differentials which applies to all non-demand metered classes. Rates are based on time differentiated embedded costs. The Commission is currently investigating by generic case time of use and seasonal rates.
5 Rates optional; interruptions rate mandatory and reflect cost savings to utility, interruptions can be offset by customer reimbursing utility for cost of purchasing power from an interconnecting utility and interruptions may not occur for more than several months in a row.
6 Staff review.

Georgia
1 In rate case #2663-U, all residential customers using less than 350 Kwh/month were exempted from a rate increase.
2 In most recent Georgia Power Case #3002-U, an inverted residential rate design was approved.
3 Optional residential TOD rates experiment is underway; the rates are not purely cost-based. There is also an off-peak rider for industrial customers.
4 There is a mandatory summer-winter differential for residential customers. There is also a seasonal service rider for certain nonresidential customers.

Hawaii
1 Commission may develop policy in generic hearing.
2 Limited to purchase power agreements with sugar plantations.
3 On a very limited basis.

Idaho
1 Inverted rates for peak-load season.
2 Residential class only.
3 Only for industrials.

Illinois
1 Limited evidence has been submitted in various rate cases concerning lower costs associated with higher usage.
2 No stated policy exists; however, the commission has approved in recent rate cases flat rate structures as proposed by either the companies or the staff.
3 The commission has ordered flat residential rates generally with seasonal variations.
4 The commission approved a mandatory TOD rate for Commonwealth Edison's large commercial and industrial customers. Edison also has a TOD pricing experiment under way for some residential customers.
5 Commission is conducting hearings on PURPA declining block standard.
6 Most Illinois utilities have optional interruptible rates. Interruptions are mandatory at utility's discretion.
7 Changes in such contracts are filed with the commission.
8 Informal policy.

Indiana
1 Unofficial policy.
2 Indiana & Michigan Electric Co. has an experiment with TOD rates.
3 Northern Indiana Public Service Co. has a commercial space heating rate applicable from October through April.
4 Not permitted by state statute--applies to sale for resale by individual.

Iowa
1 Such proposals have been rejected as lacking cost justification and providing false price signals.
2 Rates do not generally reflect declining block rates for energy or demand components when rates are adjusted for implicit customer charges in the initial blocks. Implicit customer charges range from $2 to $8 a month.

## Footnotes - Table 65(b) - RATEMAKING (Continued)

### Iowa (Continued)

3 Flattening of rates has been accomplished through staff agreements with companies with limited formal cost justification. Although combined with customer charges in block rates, energy and demand rate components do not normally decline with volume.
4 Commission has approved rate design changes which place greatest increase on tail blocks such that current declining block rates are nearly flat or, in some instances, are inverted when implicit customer charges in initial blocks are considered.
5 Nearly all existing declining block rates for major utilities embody a minimal implicit customer charge and flat energy/demand charges.
6 Commission has approved rates which place greatest increase on tail blocks and has approved block rate structures which have minimal implicit customer charges with generally flat rates for demand and energy components.
7 Currently proposed experimental rates are expected to be approved by commission and are currently in effect subject to refund.
8 Mandatory summer-winter rate differential for residential and commercial customers for four utilities with pronounced summer peaks.
9 Rates are optional. If accepted, interruptions are mandatory and frequent during peak periods with savings flowing, in part, to the customer.
10 Such contracts are normally filed in tariffs and are approved formally after general rate increase hearings.
11 A formal rulemaking proceeding established guidelines. RMU-78-7. Such metering has been precluded by tariff.

### Kansas

1 On case-by-case basis depending on analysis unique to that utility.
2 Mandatory for all classes.
3 All such contracts subject to KCC approval. Public hearing may be required.
4 Master metering is being investigated in a generic hearing. At the present time master metering for electric service in new structures has been eliminated except for special exemptions. A decision has not been made on master metering for gas service.

### Kentucky

1 On voluntary, experimental basis.
2 Approval.

### Louisiana

1 Reasons offered when rates first approved.
2 Studying it now in rate case proceedings.
3 Most utilities are getting away from it.
4 For only one customer.
5 Commission general order prohibiting master metering.

### Maine

1 Had a one-year lifeline demonstration project ending early in 1977.
2 Peak-load pricing or other load management proposals are required in all rate case filings.

### Maryland

1 Summer-winter differential is a mandatory cost-based rate applying to residential and commercial customers.
2 State legislation mandated that all multiple occupancy residential construction begun after July 1, 1978 have individual meters.

### Massachusetts

1 The commission has recently issued proposed regulations in which declining block rates are specifically prohibited unless cost-justified.
2 Utility companies did not offer any cost justifications for declining block rates during the generic electric rate structure case.
3 See footnote 1.
4 Western Massachusetts has a TOD tariff on file. Massachusetts Electric has a TOD experiment. The commission has also issued proposed regulations adopting mandatory TOD for all customers.
5 Boston Edison has a summer-winter differential for large users.
6 The commission's proposed regulations require utility companies to submit a proposal for interruptible retail electric service.

### Michigan

1 Inverted but not lifeline rates for residential customers.
2 Residential space heating: A few small utilities have declining block rates. Most utilities have declining block demand rate for industrial and large commercial customers.
3 In individual rate cases. Burden of proving cost justification for any declining block rate is on the company.
4 Industrials in the state have peak-load pricing, including energy charges. Several experimental TOD rates in effect for residential and commercial customers.
5 For domestic space heating.
6 Large industrials have this rate available. Special rates reflect costs of interruptible service. Interruptible service is also available to residential customers with electric water heaters and central air conditioning.
7 Rates subject to approval in rate case.
8 Prohibits master metering for new buildings.

## Footnotes - Table 65(b) - RATEMAKING (Continued)

### Minnesota
1 PSC trying to move away from them; reducing the number of rate blocks.
2 Industrial.
3 Issue being faced on a case-by-case basis. Possibility of future generic hearings on the issue.
4 On a case-by-case basis.
5 Optional interruptible rates for industrial customers with Northern States Power with penalty for not getting off line during interruptible period.
6 Mandatory summer-winter rate differential for all customers of NSP, only company with summer peak.
7 Optional, rates reflect cost saving. Number of interuptions not available.
8 Long-term customer contracts exist; commission seeks to minimize or eliminate these contracts in rate case proceedings.
9 Master metering is not permitted in new residential construction by statute.

### Mississippi
1 Two TOD experiments are underway. The programs are optional and are for residential customers. Rates are not cost-based.
2 Master metering is not permitted in new construction.

### Missouri
1 Missouri P&L has flat rates.
2 Industrial customers have a forgiveness of demand charge policy for off-peak hours.
3 While the rates do not have a summer-winter differential as such, the last step of the declining block is dropped off in the summer so that summer electricity is more expensive for larger users. The rate is cost-based and applies to residential and small commercial customers.
4 Interruptible rates are available to large industrial customers. The rate does not reflect the cost of service and no power has ever been interrupted except for voluntary rescheduling of furnace firings to suit the schedule of the utility.

### Montana
1 The move to flat rates has had a modified lifeline-like effect as a result of shifting revenue requirements among classes.
2 Cost justification has been offered, but it is suspect because of the poor quality of load data.
3 The commission has a policy, first expressed two and a half years ago, of moving to a flat rate structure. This policy is being implemented in rate cases as they come up.
4 One utility has been ordered to file marginal cost-based optional time-of-day rates in the near future (Montana-Dakota Utilities, Docket No. 6441, Order No. 4369, August 17, 1977).
5 There is no real interest in seasonal rates in winter-peaking Montana because interconnected systems to the west are summer-peaking and there is no seasonal pressure on capacity.
6 Available exclusively on a special contract basis.

### Nebraska
1 The commission does not regulate electric utilities.

### Nevada
1 The commission has had and is continuing a policy of implementing flat rates by order in rate cases.
2 One utility's large industrial customers have a marginal cost-based time-of-day demand rate during the summer period only.
3 Only for irrigation customers.
4 The commission is converting all rate contracts to tariffs. Old contracts may still be in effect.
5 The commission is investigating the issue but has no policy at this time.

### New Hampshire
1 The cost justification of declining block rates was explained in generic hearings on rate design.
2 On an optional basis only.

### New Jersey
1 The commission has a policy of gradually flattening rates in individual rate cases.
2 On an experimental basis only. Two utilities had planned TOD experiments, one under the auspices of the FEA. The latter has been withdrawn. In addition, there is a day/night rider under which customers whose maximum demand customarily occurs during the utility's off-peak period are billed for demand at the maximum of: (1) maximum demand during off-peak, (2) 50 percent of maximum demand during peak, or (3) 20 kilowatts.
3 A recently approved (January 1977) contract rider offers a discount for curtailable load; interruption is mandatory (but has not yet been required) and failure to curtail subjects the user to a stiff penalty. The discount and penalty are loosely cost-based.
4 Commission policy dictates that all rates be tariffed, although there may still be one or two contracts due to peculiar circumstances.
5 The New Jersey Department of Energy has recommended a ban on master metering in new buildings and a commission source said he doubts any new applications would be approved.
6 Residential--senior citizens and disabled only, based upon income limitation.

### New Mexico
1 The commission has been flattening rates, but has no formal policy.
2 On an experimental basis only; one utility is conducting its own TOD experiment. In addition, the FERC has approved permanent TOD rates for wholesale service by one utility.
3 The commission totally discourages master metering.

## Footnotes - Table 65(b) - RATEMAKING (Continued)

### New York

1 Nearly all rate structures are declining block, but the commission is committed to the gradual flattening of rate blocks.

2 All utilities provide some form of cost justification for retention of declining block rates.

3 The commission is opposed to declining blocks unless they can be shown to be cost-based and economically justifiable.

4 Con Ed-S.C.1-Residential Service (nonreligious), and Niagara Mohawk Power Corporation. S.C.1.

5 See footnote 1.

6 For most NYS utilities, TOD rates are awaiting Commission decision or have been approved for the largest commercial and industrial customers on a mandatory or optional basis. For residential cusumers, three TOD rate experiments are being evaluated, with LILCO having a mandatory rate for its largest customers. Also, residential mandatory and optional TOD rates are being considered for certain utilities.

7 Seasonal rate differentials at Con Ed, Orange & Rockland, LILCO and Central Hudson.

8 Interruptible rates have been approved for three NYS utilities, with approximately 42 customers opting for this service.

9 Some street lighting and services to some governmental authorities are provided by contract. The Commission reviews the cost justification for the contracts and has, in some instances, required that customers be charged the appropriate tariff rate.

10 Master metering has been banned in all new apartment buildings. For residential units a case-by-case approach has been adopted for determining if sub-metering is beneficial.

### North Carolina

1 One utility has a "U"-shaped residential rate in summer. A generic proceeding investigating lifeline rates has been started. During a recent rate case the Commission placed no increase in monthly rates for up to 350 Kwh of consumption for residential customers receiving Supplemental Security Income(SSI).

2 Declining block rates are used to recognize load factor characteristics of customers with different consumption levels.

3 The commission has accepted the staff's position that rates should include a separate customer charge with flattened rates for variable-cost components. Only one utility has a flat rate although all tariffs have been flattened considerably.

4 Apart from a time-of-day ratchet provision in one utility's large general service rate and a special off-peak demand rate for cotton gins (which are used seasonally in a way that can distort demand billing), mandatory time-of-day rates have been approved on an experimental or test basis only. Optional TOD rates have been approved on an experimental or test basis only. Optional TOD rates have been approved for one major electric utility.

5 The commission recently required the major utilities to file implementation plans and rates for utility control of industrial interruptible loads.

6 The commission is trying to convert contracts to tariffed service; only some contracts for peculiar situations, primarily cablevision, still exist.

7 Banned by state legislature in spring 1977.

### North Dakota

1 The commission has no declared policy but has been flattening rates.

2 One utility has a special time-of-day rate for one very large customer, and a second utility has now filed experimental time-of-day residential and general service rates.

3 One interruptible rate schedule is on file, but no customers are served under it.

4 Allowed in special cases.

### Nova Scotia

1 Flat rates only used by a few water utilities and full metering encouraged or required.

2 Time-of-day rates offered for telephone utility only; use of such rates under study by electric utility.

### Ohio

1 Staff has challenged the cost justification of the steepness of existing declining blocks but not the declining block concept of rate design.

2 One utility has a flat rate for residential summer use.

3 The process of flattening blocks may lead to customer charge/flat rate tariffs, but there is no policy.

4 Available on special contract basis.

5 Commission approval required.

6 Proceeding initiated to restrict availability of master metered service.

### Oklahoma

1 The blocks are being flattened somewhat but not as part of a flat rate policy.

2 A few special contracts exist for unusual situations, but all contracts must be approved by the commission through the hearing process. Contracts for rates below the tariffed level are prohibited.

3 Master metering has been prohibited for two or three years.

### Oregon

1 Only to the extent that is cost-justified.

2 Contracts are subject to tariff rate structure.

3 New master metering has been banned for several years and the commission is trying to do away with master metering in old buildings.

### Pennsylvania

1 Philadelphia Electric ordered to exempt first 500 Kwh from rate increase in residential class.

2 Borderline service.

### Puerto Rico

1 The commission does not regulate any electric utilities.

## Footnotes - Table 65(b) - RATEMAKING (Continued)

### Rhode Island
1 A year ago a quasi-lifeline provision was made in a rate case, limiting the residential rate increase to blocks above 300 Kwh.
2 On an experimental basis only.
3 Optional rates for interruptible. Mandatory interruption--seldom used. Utility's cost savings reflected.
4 PUC approval not required.
5 Ban on master metering on apartments and tenements of 10 units or more as of July 1, 1977.

### South Carolina
1 One utility has a "U"-shaped rate.
2 From cost of service studies.
3 The commission has recently become interested in the issue. Staff would like to move toward flat rates and has proposed a flat rate in a rate case, on which there is as yet no ruling.
4 On contract basis.
5 Limited number of contract rates for cogenerators, subject to commission approval.

### South Dakota
1 The legislature has directed the commission to examine and develop alternatives to declining block rates. Rates have been flattened in the last two rate cases.
2 For Montana-Dakota Utilities Co., all classes are offered marginal cost-based TOD rates, but no customers have opted for them.
3 The commission is examining the issue.

### Tennessee
1 The commission regulates only one small, nongenerating investor-owned electric utility.

### Texas
1 Some declining block rates were "grandfathered" or accepted on September 1, 1976.
2 In one case evidence was offered that declining block rates were cost-based, while in other cases no evidence was offered. Therefore, declining block rates are being phased out.
3 The commission is phasing out declining block rates and moving toward flat rates in rate cases.
4 Winter/summer differentials have typically been approved for residential rates of approximately a one cent per Kwh differential.
5 The majority of customers with interruptible rates are served under contracts. This arrangement is continuously being reviewed by the commission to assure that the rates are cost-justified given the number and likelihood of interruptions.
6 Predominantly for interruptible service.
7 Legislation has recently been passed phasing out master metering and the commission has adopted rules governing submetering.

### Utah
1 The commission has discussed the issue but taken no action.
2 The commission believes that master metering is unfair and is trying to discourage it in new buildings.

### Vermont
1 The commission has approved a noninverted "conservation" rate based on the low cost of PASNY power.
2 Only some small municipal utilities still have any declining block rates.
3 In individual rate cases.
4 Two utilities offer optional TOD rates to all customers.
5 One special contract available.
6 The commission must approve special contract tariffs.
7 The commission prefers individual metering but has no policy.

### Virginia
1 If rates are cost-based then they are acceptable.
2 VEPCO has TOD rates for 10,000 of its large residential customers. The rate applies to anyone using over 3,500 Kwh a month in any one of the four summer (June-September) months during the past two years. For the first year this is a pilot program. The rate is designed using LRMC.
3 VEPCO is in the process of developing an interruptible rate schedule.
4 If the SCC has jurisdiction.

### Washington
1 No as to residential.
2 The utilities are not averse to the gradual elimination of declining block rates.
3 In individual rate cases.
4 Available to those whose electric energy requirements are significantly greater or are required in months other than peak winter months. The rates therefore are less than would otherwise be applicable.
5 Rates are optional but once applied interruptions are mandatory as required. Cost savings apparently are recognized. No knowledge as to frequency of interruptions.
6 A true copy of each must be filed with the commission.
7 A rule prohibits sales for resale unless covered in utilities' tariff.

### West Virginia
1 Used to capture demand characteristics.
2 Studied in rate design study, found to be cost-justified.
3 The commission has no formal policy, but is on record as favoring a flattening of rates. They are considering requiring the presentation of two-part rates (customer charge plus flat energy charge).

## Footnotes - Table 65(b) - RATEMAKING (Continued)

West Virginia (Continued)

4 A time-of-day rate is offered by two utilities: one as an incentive in a test of customer acceptance of a new heat storage type of furnace, the other strictly a customer's option.

5 Over the last 15-20 years the commission has required that almost all contracts be converted to tariffs. The commission has a policy against special contracts and is expected to convert the few remaining highly specialized contracts.

6 Policy in formulative stages.

Wisconsin

1 Most utilities have flat rates.

2 Wisconsin Power and Light Company has TOD rates for customers with demand exceeding 200 Kw. The TOD rates are mandatory and are cost-based. The peak period is defined as 8:00 a.m.-10:00 p.m. Monday through Saturday. Customer class revenue levels are based on embedded cost. Madison Gas & Electric has 110 electric customers on mandatory TOD: optional TOD available to 300 customers. Wisconsin Electric Power has mandatory TOD for 3000 commercial and industrial customers and 3,577 residential customers. Northern States Power Co. has 95 large industrial and commercial customers on mandatory TOD rates. Mandatory and optional TOD being considered for all other large private electric utilities.

3 Seasonal rate differentials occur in all Wisconsin utilities having major differences between summer and winter peak. The rate differential applies to all classes and is mandatory. The rates are cost-based.

4 WEP, WP&L, MG&E and NSP have interruptible rates in effect for large industrial customers.

5 Contracts must comply with commission-authorized rates and rules.

6 November, 1979 Commission Rule requires individual unit metering for new construction.

Wyoming

1 Declining blocks are a common rate structure.

2 The commission has used "pass-on" of wholesale purchased power and gas costs as an intermediate means of rate flattening.

3 Predominantly for vacation homes and irrigators.

4 Partial requirements, supplementary or standby electric service as well as by contract.

5 Only large use contracts with special provisions required to be filed.

6 The commission has been discouraging master metering in trailer courts.

Table 65(c)

RATE STRUCTURE REVISION

| State | Rate Structure Revision Activity (1) | Evidence on Cost Effectiveness of TOD, Seasonal Rates (2) | Evidence on Consumer Response to TOD, Seasonal Rates (3) | Load Management Activity (4) | Commission Policy on Rates for Consumers with Solar, Wind Small Generation (5) |
|---|---|---|---|---|---|
| Alabama | In Rate Cases[1] | No | No | No | None |
| Alaska | None[1] | NA | NA | No | None |
| Arizona | Generic[1] | Yes | Yes | Yes[2] | None |
| Arkansas | In Rate Cases | Yes | Yes | Yes[1] | None |
| California | In Rate Cases | Yes | Yes[1] | Yes[2] | Encouraging[3] |
| Colorado | Generic | No | No | Yes[1] | Nondiscrimination |
| Connecticut | Generic | Yes[1] | Study Underway[2] | Yes[3] | Encouraging[4] |
| Delaware | In Hearings[1] | No | No | Yes[2] | None |
| Dist. of Col | Yes[1] | Yes[1] | Yes | No | None |
| Florida | Yes[1] | Yes[2] | Yes[3] | Yes[4] | Yes[5] |
| Georgia | Yes[1] | Yes[2] | Yes | Yes[3] | None |
| Hawaii | Generic | Yes | No[1] | No[2] | None |
| Idaho | None | No | No | No | None |
| Illinois | Generic[1] | No[2] | No | Yes[3] | Solar Rates[4] |
| Indiana | In Rate Hearings | No | No | Yes[1] | Nondiscrimination[2] |
| Iowa | Generic[1] | No[2] | No[3] | Yes[4] | None[5] |
| Kansas | Generic and Rate Cases | Yes[1] | Yes[1] | Yes[1] | Nondiscrimination |
| Kentucky | Generic and Rate Cases | No | No | Yes[1] | None |
| Louisiana | In Rate Cases | No | No | Yes | None[1] |
| Maine | In Rate Cases[1] | No | No | Yes[2] | None |
| Maryland | Generic | No[1] | No[1] | Yes[2] | Nondiscrimination |
| Massachusetts | Generic | Yes[1] | No[2] | Yes[3] | Yes[4] |
| Michigan | In Rate Cases | Yes[1] | Yes[2] | Yes[3] | Yes[4] |
| Minnesota | None[1] | No | Study Underway[2] | Yes[3] | None[4] |
| Mississippi | In Rate Cases | No | No | Yes | None |
| Missouri | Commission Study | No | Study Underway | Yes | None |
| Montana | Informal[1] | No | No | No[2] | Encouraging[3] |
| Nebraska[1] | - | - | - | - | - |
| Nevada | In Rate Cases[1] | No | No | No[2] | None |
| New Hampshire | Generic | No | No | Yes[1] | None |
| New Jersey | Investigation[1] | No[2] | No[3] | Yes[4] | Nondiscrimination[5] |
| New Mexico | In Rate Cases[1] | No[2] | No | Yes[3] | None |
| New York | In Rate Cases[1] | Yes[2] | Yes[3] | Yes[4] | Solar: Wind Rates[5] |
| North Carolina | Generic[1] | No[2] | Yes[3] | Yes[4] | Encouraging[5] |
| North Dakota | None[1] | No | No | No[2] | None[3] |
| Nova Scotia | Yes[1] | Study Underway[1] | Study Underway[1] | Study Underway | None |
| Ohio | Hearings[1] | Yes[2] | Yes[2] | Yes[3] | None |
| Oklahoma | In Rate Cases | No | No | Yes[1] | None |
| Oregon | Generic[1] | No | No | No | None |
| Pennsylvania | In Rate Cases[1] | Yes[2] | Yes[2] | Yes | Yes[2] |
| Puerto Rico[1] | - | - | - | - | - |
| Rhode Island | Generic[1] | No[2] | No[3] | Yes[4] | None[5] |
| South Carolina | Generic[1] | No[2] | No | Yes[3] | Investigating[4] |
| South Dakota | In Rate Cases[1] | No | No | Yes[2] | None |
| Tennessee[1] | None | No | No | No | None |
| Texas | Study[1] | No | No | No | None[2] |
| Utah | Generic[1] | Yes | Yes | Yes[2] | Solar Rates |
| Vermont | In Rate Cases[1] | No | Yes[2] | Yes[3] | None[4] |
| Virginia | Commission Study[1] | [2] | Yes[3] | Yes[4] | Investigating |
| Washington | Generic[1] | No | No | Yes[2] | Encouraging[3] |
| West Virginia | Report[1] | Yes[2] | No[3] | No[4] | None |
| Wisconsin | Generic & Rate Cases | Yes | Yes | Yes[1] | Yes[2] |
| Wyoming | In Rate Cases[1] | No | No | Yes[2] | Nondiscrimination |

NA - Not applicable

## Footnotes - Table 65(c) - RATE STRUCTURE REVISION

Alabama

1 Considered all aspects of rate structure reform in rate cases with Alabama Power Co. resulting in changes in rate design. No generic hearings have been held. Hearings are being held for PURPA.

Alaska

1 Rate structure concepts are considered on a case-by-case basis.

Arizona

1 Scheduled.
2 Study being performed by private consulting firm.

Arkansas

1 An optional air conditioner load control rate is available to residential customers with central air conditioning. The air conditioner is controlled by a radio device which can shut off power at the discretion of the utility. The discount for this tariff equals the savings in peak demand costs.

California

1 Evidence acquired from mandatory TOD rates and TOD experiments.
2 Load shedding experiments have been undertaken with radio control on water heaters and air conditioners. Load management standards approved by Energy Commission (includes use of load cycling devices and marginal costs).
3 Recent decision orders PG&E to publish avoided costs and authorizes payment of such for cogenerated power.

Colorado

1 A load management study with irrigation is now underway. Load management experiments are being performed.

Connecticut

1 All utilities were ordered to offer optional TOD rates. The PUCA will decide whether the rates should be made mandatory when sufficient evidence is gathered. Mandatory in 1979 for certain classes. Results of TOD residential experiment were significant shifts in peak demand and energy usage. Connecticut Peak Load Pricing Test, 5/77, available upon request.
2 Information resulting from the optional TOD rate schedules is being examined. Reception and availability of rates has been slowed by (1) rate design constrained by excess capacity, (2) long on peak periods, and (3) meter availability.
3 PUCA ordered load management program to include load control, TOD pricing, voltage control and research using bi-directional control hardware for United Illuminating Company in Docket No. 780701. Generic load control hearings are scheduled for 1979.
4 Self-generator tariffs are under review in two utility rate dockets, Dockets No. 780701 and Docket No. 780719.

Delaware

1 Cursory discussion of rate structure reform activity in hearings. No generic hearings have been held.
2 The PSC has undertaken a study of the feasibility of implementing load management systems. The study is being performed by a private consulting firm.

District of Columbia

1 Final decision by commission pending.

Florida

1 By generic and individual case review.
2 TOD offered.
3 Florida Power Corporation TOD Experimental rate has had favorable response. Gulf Power Company's seasonal rate for non-demand metered classes is mandatory.
4 Florida Power Corporation and Tampa Electric Company have experimental general air-conditioning and refrigeration load control rates. Interruptible and/or curtailable rates are offered by Florida Power and Light, Florida Power Corporation, Tampa Electric Company.
5 The Commission informally encourages the development and use of alternative energy sources and will continue to review in a statewide generic proceeding.

Georgia

1 Commission is considering the basis, if any, for separate public school rate design. TOD residential rate study is also in progress.
2 Since 1976, TOD experiments have been underway. Results indicate that the TOD rates can affect consumption patterns and lower on-peak consumption.
3 Special rate change guidelines apply to nonresidential customers with different load factors, penalizing those customers with low load factors. Also, several different studies are underway, such as positive load control notification systems with differential energy metering and load interrupting devices.

Hawaii

1 Utilities have conducted some consumer surveys.
2 Will examine utilities' plans for load management in the generic hearing.

Illinois

1 Commission is conducting a generic investigation into the applicability of peak-load pricing for six major electric utilities and is beginning PURPA cases for all major electric utilities.
2 Such rates have not been in effect long enough to be evaluated.

## Footnotes - Table 65(c) - RATE STRUCTURE REVISION (Continued)

### Illinois (Continued)
3 Central Illinois Light Company had an experimental load management program for central air conditioning. Commonwealth Edison is involved in weather-sensitive load control devices. The commission is encouraging utilities to undertake load management experiments and has ordered several utilities to evaluate load control techniques and determine the feasibility of applying load control to each class.
4 Commission has approved solar-assisted heating rates for Commonwealth Edison and Central Illinois Light Co.

### Indiana
1 A study is underway.
2 "Our stated policy is to encourage supplemental generation of power."

### Iowa
1 Generic--PURPA related investigation of load data and rate structure alternatives is in progress.
2 Evidence is being developed as part of generic investigation. Optional time-of-day being encouraged.
3 No specific evidence on time-of-day or seasonal rate impact in this jurisdiction has been developed.
4 TOD load data for such customers have been gathered and are being evaluated.
5 Issue has not been raised in a formal proceeding.

### Kansas
1 Generic investigation underway on load management, including TOD and seasonal.

### Kentucky
1 Encourages.

### Louisiana
1 Solar Energy Information Conference in January 1978.

### Maine
1 Generic investigation in process. Select working committee appointed by commission.
2 A study is underway.

### Maryland
1 Being studied.
2 A study is underway.

### Massachusetts
1 Evidence presented in generic hearing. Recently proposed regulations require TOD rates.
2 The commission will order utility companies to begin the experiments and research necessary to collect these data.
3 The proposed regulations require each utility company to file a comprehensive load management program.
4 The proposed regulations also require each utility to file proposals concerning such rates.

### Michigan
1 In the case of industrials evidence of cost effectiveness has been presented.
2 Generally favorable.
3 Experiments with control of air conditioning and other load limiting devices have occurred in major utilities. Detroit Edison has had radio controlled water heating since 1938. Also has experimental heat storage rates for residential customers.
4 Special rate for auxiliary services to residential customers generating their own power, with sale of excess power by customer to utility at reasonable cost.

### Minnesota
1 PSC now has Federal Energy Dept. contract to implement TOD rates within a two-year period.
2 Study underway as a result of considerable customer complaint about summer rate differentials.
3 Cost study will be included in Otter Tail Power case now under staff investigation.
4 PSC has not faced this issue to date.

### Montana
1 The commission has not held generic hearings on rate design, but rate reform issues have been raised in rate cases and in meetings among interested parties. These meetings were inspired by activity a year and a half ago in which a proposal for a rate design experiment was prepared for the FEA. It is difficult to study rate design concepts in Montana because of the poor quality of available load data, so there is an effort underway to develop load data which would allow a more thorough examination of the issues. (Two utilities have been ordered to submit a load study.) The commission has heard some experts on marginal cost pricing and has ordered one utility to offer a time-differentiated rate to general service industrial and residential customers. The rates will be marginal cost-based and have been filed.
2 The cost effectiveness of load management systems is being studied in mandated management audits.
3 The commission is encouraging the development of small supplemental hyrdo, solar and wind generation: it opposes standby charges and considers treating these sources of power like gas (in determining eligibility for certain rates) to be discriminatory.

## Footnotes - Table 65(c) - RATE STRUCTURE REVISION (Continued)

**Nebraska**

1 The commission does not regulate electric utilities.

**Nevada**

1 The issues have been addressed in rate cases but limited staffing makes more complete consideration difficult. Marginal cost pricing has been presented by the staff but has not been generally accepted by the commission.
2 The commission is interested in load management and may hold a generic hearing on techniques.

**New Hampshire**

1 One utility is studying load management on its own initiative. There is no formal commission involvement. The load management techniques reviewed include electric thermal storage devices in single-family homes to provide off-peak space heating service at a special rate reflecting the lower cost of off-peak energy. Also, Order No. 12557 in the generic proceeding Docket No. 75-20 directed all Class A electric utilities to study load management programs.

**New Jersey**

1 Legislation enacted in July 1977 created the state's Department of Energy, which includes the commission and directed it to "evaluate...prices for energy." There have also been two generic hearings on lifeline rates but none on general rate design concepts.
2 For TOD, evidence presented in each rate proceeding for cost effectiveness of seasonal rates.
3 For TOD, consumer response to seasonal is large as New Jersey has a large number of all-electric customers who are severely affected.
4 Two utilities' load management activities are described in their construction practices reports. Jersey Central has been conducting a successful voluntary load management program, and has tested two-way ripple control devices for water heating which were developed with funding from the company's parent, General Public Utilities.
5 One utility has a solar tariff on file under which customers with supplemental power are treated nondiscriminatorily as eligible for the all-electric rate. Two utilities also have windmill tariffs on file.

**New Mexico**

1 The commission regards generic hearings as academic and looks at the issues in rate cases. The commission has ordered the development of marginal cost-based rates by a water utility. One utility is conducting a time-of-day experiment.
2 There are not yet any results from the experiment.
3 Two of the utilities are conducting load management studies.

**New York**

1 An opinion issued by the commission on August 10, 1976 in a generic rate design case discusses the relevance of marginal costs to electric rate structures. Revision activity has shifted to individual cases where principles adopted in generic case are being applied.
2 Has been studied only generally so far, results from actual implementation of rates are still coming in.
3 Generally, customers that save money on their bills with TOD rates favor them. Some customers with mandatory TOD rates (large commercial and industrial for LILCO) have installed load management equipment to take further advantage of the off-peak rate. There has been some negative reaction, particularly from customers with inflexible load whose rates have increased under TOD rates. The commission has not approved widespread application of voluntary TOD rates.
4 Each utility has been required to submit a load management study. Studies include load shedding devices, storage equipment and information programs. The commission is requiring periodic reports on progress in load management.
5 Windmill rates have been approved for Con Edison, Central Hudson and Orange and Rockland. Solar rates have been approved for Con Edison and Orange and Rockland.

**North Carolina**

1 The legislature directed the commission in 1975 to conduct an all-encompassing investigation of rate structures and load management, and the commission has ordered the three major utilities to file optional and mandatory time-of-day rates, interruptible rates, load management programs, and plans to implement marginal cost-based and embedded cost-based TOD rates. The commission also ordered the utilities to file plans for peak-load pricing rate experiments; two utilities have undertaken FEA experiments.
2 The commission requested information in its rate structure investigation, but the companies were unable to present any. Two experiments have not yet produced results.
3 Some effect of seasonal rates has been seen but it is difficult to distinguish these effects from those of temperature and general economic conditions. Some comments from customers have been received expressing opinions of TOD rates during the generic proceedings but no actual usage data is available at this time.
4 The commission has requested information from the utilities in the generic proceeding, which should include cost-benefit and incentive rate considerations. Two utilities are engaged in experiments or tests, including two-way load control and meter reading, radio control and interlock devices. The commission has required the utilities to develop implementation plans for utility control of residential water heating and interruptible industrial loads.
5 Commission required that the provisions of residential rate schedules be adjusted so that customers utilizing nonfossil energy sources would not be disqualified from a rate (water heating or all-electric) for which they would otherwise be qualified.

**North Dakota**

1 The commission has tried unsuccessfully to win legislative approval of an appropriation for a commission rate design/pricing division.
2 The utilities are "dabbling" in load management but there is no commission involvement.

Footnotes - Table 65(c) - RATE STRUCTURE REVISION (Continued)

**North Dakota** (Continued)

3 One commissioner is on record as favoring the development of "soft energy technologies, and has suggested nondiscriminatory solar rates as one way in which the commission could encourage such technology.

**Nova Scotia**

1 Matter under study by largest electric utility.

**Ohio**

1 A group at Ohio State University prepared a study of rate design concepts for the commission, and the commission has opened a formal investigation to determine long-run marginal costs for each utility (but is avoiding general generic hearings). The commission is expected to move more and more toward LRMC in the future. Marginal cost-based time-of-day rates are being used in experiments. In other areas, the commission has adopted a more sophisticated fuel clause, is flattening rates, and is instigating broader use of seasonal rates.

2 Experiments currently in progress.

3 The utilities are quite active in evaluating large customer load management capability and are close to actual implementation of various techniques.

**Oklahoma**

1 Most large industrial customers' demand is monitored. There is no commission activity.

**Oregon**

1 The commission has had generic hearings and has ordered LRIC rates in rate cases, first in 1975. Seasonal and time-of-day rates were ordered in 1978 for PGE and PP&L.

**Pennsylvania**

1 Based on completed generic investigation.

2 Experiments in progress.

**Puerto Rico**

1 The commission does not regulate any electric utilities.

**Rhode Island**

1 Generic hearings are scheduled on LRIC-based inverted rates. One utility is conducting an FEA time-of day experiment.

2 The experiment has not yet begun.

3 Hearings were held on the experimental rates but were used as a forum by life-line advocates.

4 Two utilities will be testing home thermal storage devices and two-way automatic communication.

5 "We have begun investigations."

**South Carolina**

1 A generic proceeding is gathering information on pricing and forecasting.

2 The commission is awaiting the results of marginal cost and time-of-day studies.

3 Two utilities are experimenting with an AMRAC program and ripple.

4 One utility has filed experimental solar rates.

**South Dakota**

1 The commission has been ordered to consider rate reform.

2 One utility is engaged in load management activity, but there is no study of the cost effect.

**Tennessee**

1 The commission regulates only one small, nongenerating investor-owned electric utility.

**Texas**

1 At the legislature's direction the commission has completed a study of the implications and impacts of various rate designs on residential customers. Generic hearings were held in Docket No. 1776. The commission was also directed to implement the type of rates which is found to be most advantageous to residential customers. In addition, municipalities are looking at rate reform: the city of Dallas has ordered Dallas Power & Light to study peak-load pricing.

2 Staff analysis of issue underway.

**Utah**

1 The commission is considering holding generic hearings on general rate design concepts. The issues have also been addressed in rate cases in the past.

2 The only activity is on the part of the utilities.

**Vermont**

1 The commission has been working toward seasonal and time-of-day pricing in rate cases since 1970. In addition, extensive rate experimentation has taken place under the auspices of the FEA.

2 Seasonal rates have been poorly received because they have led to large increases in rates during the winter. The optional time-of-day rates are quite popular, more so than had been expected.

3 Load management activities have been found to be quite effective, and have included ripple control experiments and the offering of a special rate for use with heat storage devices.

4 The commission has not yet addressed the issue but feels certain it will come up.

Footnotes - Table 65(c) - RATE STRUCTURE REVISION (Continued)

Virginia
1 Issues of rate structure reform have been studied extensively but not in official generic hearings.
2 Potential evidence based upon a number of assumptions.
3 Surveys indicate a mixed response.
4 Load management studies underway at this time are examining interruptible rates and load control devices. No mandatory rates for these load managment systems have yet been approved. APCo has a residential energy storage program currently limited to 50 customers. Other time-of-day programs are voluntary. Other programs include direct and indirect control of space conditioning and water equipment, i.e., radio controls, ripple control, time clock control, etc.

Washington
1 The commission is holding generic hearings on general rate design concepts.
2 The utilities are "looking at" load management.
3 No policy at present, but is subject to consideration as circumstances justify. Pacific Power & Light offers credits to residential customers generating power up to the amount of their consumption.

West Virginia
1 In compliance with 1975 legislation the commission prepared a report for the governor on the cost justification of various rate designs and the possibility of implementing nontraditional rates. The report covered declining block rates (justified) and lifeline rates, and looked at the load factors of West Virginia utilities vis-a-vis time-of-day rates. It did not cover marginal cost or LRIC pricing. The commission expects much activity on rate design in the next several months and may initiate generic hearings on rate design.
2 The rate structure study concluded that seasonal rates encourage needle peaking by reducing total consumption during the summer, but not peak demand.
3 The commission is trying to get the utilities to work on the question.
4 The commission is very interested in load management but has seen no evidence of activity by the utilities.

Wisconsin
1 Each large utility has been ordered to implement a program. Generic proceeding underway.
2 Three companies have an experimental rate that provides lower cost off-peak to provide incentives for development of supplemental heating sources with off-peak electric heat backup. The commission does not discriminate against users of alternate energy sources. Each large utility has been ordered to submit cogeneration and parallel generation tariffs.

Wyoming
1 The commission staff reviews the appropriateness of rate structures in rate case hearings.
2 Utility studies underway.

## Table 66 - LONG-RANGE ELECTRIC UTILITY LOAD FORECASTING

The information contained in the following table was developed on the basis of a questionnaire submitted to each State Commission engaged in utility regulation by the West Virginia Public Service Commission. Questions numbered 1, 2, 3, 4, and 5 (listed in the headings) are reproduced in full following the table.

| AGENCY | Utility Forecasts (1) | Commission Analyses (2) | No. Full-Time Positions (2) | In-House Forecasting (3) | No. Full-Time Positions (3) | Consultants Utilized (4) | Other (5) |
|---|---|---|---|---|---|---|---|
| ALABAMA PSC | yes | | | | | | |
| ALASKA PUC | | | | | | | |
| ARIZONA CC | | | | | | | |
| ARKANSAS PSC | yes | | | | | | |
| CALIFORNIA PUC | | | | | | | |
| COLORADO PUC | | | | | | | (See footnote 1) |
| CONNECTICUT DPUC | | | | | | | |
| DELAWARE PSC | yes | | | | | yes | |
| D. C. PSC | | | | | | yes | |
| FLORIDA PSC | | | | yes | 2 | | |
| GEORGIA PSC | yes | | | | | yes | |
| HAWAII PUC | yes | | | | | | |
| IDAHO PUC | | yes | 1/2 | | | yes | |
| ILLINOIS CC | yes | | | | | | (See footnote 2) |
| INDIANA PSC | | | | | | | (See footnote 3) |
| IOWA SCC | | | | | | | |
| KANSAS SCC | yes | | | | | | (See footnote 4) |
| KENTUCKY ERC | yes | | | | | | |
| LOUISIANA PSC | yes | | | | | | |
| MAINE PUC | yes | yes | | | | yes | |
| MARYLAND PSC | | yes | 1 (as necessary) | | | | (See footnote 5) |
| MASSACHUSETTS DPU | | | | | | | |
| MICHIGAN PSC | | yes | 1 | | | | |
| MINNESOTA PSC | yes 6/ | | | | | | |
| MISSISSIPPI PSC | yes | | | | | | |
| MISSOURI PSC | | yes | 3 | yes 7/ | 4 7/ | | |
| MONTANA PSC | yes | | | | | | |
| NEBRASKA PSC | The Nebraska Commission does not regulate electricity. | | | | | | |
| NEVADA PSC | yes | | | | | yes | The Commission is currently developing in-house expertise in load forecasting and modeling. |
| NEW HAMPSHIRE PUC | yes | | | | | | |
| NEW JERSEY BPU | yes | | | | | | |
| NEW MEXICO PSC | yes | | | | | yes | In the process of developing in-house forecasting capability. |
| NEW YORK PSC | | | | yes | 1/2 8/ | | |
| NORTH CAROLINA UC | | | | yes | 2 | | (See footnote 9) |
| NORTH DAKOTA PSC | yes | | | | | | |
| OHIO PUC | | | | | | | Load forecasting is the responsibility of Ohio Dept. of Energy--a separate agency with independent capability. |
| OKLAHOMA CC | | | | | | | |
| OREGON PUC | | | | yes | 1 | | |
| PENNSYLVANIA PUC | | | | | | | |
| RHODE ISLAND PUC | | | | | | | |
| SOUTH CAROLINA PSC | | | | yes | 1 | | |
| SOUTH DAKOTA PUC | yes | | | | | | |
| TENNESSEE PSC | | | | | | | (See footnote 10) |
| TEXAS PUC | yes | | | | | | |
| UTAH PSC | | | | | | yes | |
| VERMONT PSB | | | | | | | Review of utility forecasts plus cooperative effort between utilities and Board. |
| VIRGINIA SCC | | | | | | yes | Review utility load forecasts. Developing load forecast capability in-house. |
| WASHINGTON UTC | yes | | | | | | |
| WEST VIRGINIA PSC | | | | | | | |
| WISCONSIN PSC | | yes | 1.5 | | | | |
| WYOMING PSC | yes | | | | | | |

1/ The State of Colorado has energy forecasting legislation which will initiate energy forecasting in the State. This program is presently in the formation stage.

2/ The Illinois Commerce Commission does not currently require a formal 10-year load forecast from all of the public utilities. We do receive and use the annual report for the Mid-America Interpool Network which includes load forecast, capacity additions, reserve margins, etc. We also instituted in 1976, a generic investigation into load forecasting which has been completed but an order from the Commission has not been entered as of this time. The concensus report from the parties involved in this case, agreed to provide annually, long range (about 10 years) forecasts for staff review. The Staff is then to prepare a summary report of the forecasts, however this has not been officially approved by the Commission. We have recently or are currently reviewing many load forecasts in various individual cases such as our investigation into Commonwealth Edison's construction of the Byron and Braidwood plants Central Illinois Public Service Company's construction of Newton Unit #2, the Illinois Power rate case, etc.

3/ Previously relied primarily on load forecasts made by utilities but are now beginning a procedure to forecast electric and gas loads through 2000--which will be updated periodically. We will be using outside consultants at the outset.

4/ Under the Facility Siting Act (recently passed) the Commission is developing in-house forecasting capabilities. They are not yet in place, however.

## Footnotes - Table 66 - LONG-RANGE ELECTRICITY UTILITY LOAD FORECASTING (Continued)

5/ By law, the Commission must prepare a 10-year report every year on utility forecasts of load, new power plants and transmission lines. In addition, the State Department of Planning must prepare an independent 10-year forecast of peak demands every other year, essentially from raw data.

6/ Conducts few independent load forecast studies.

7/ Pending, subject to budget approval.

8/ Previously two full-time positions were utilized, however, beginning in 1979 primary responsibility for long-range forecasting was transferred to the State Energy Office.

9/ Consultants have been hired in the past to perform specific tasks associated with the forecast such as estimating the impact of solar heating on in-house "base case" forecast.

10/ The Tennessee public Service Commission has not done a long-range electric load forecast. The Commission regulates only one small privately-owned electric company which has no generating capabilities.

### Questionnaire Re Long-Range Electric Utility Load Forecasting

Please check the box(es) below which best summarizes your Commission's activities in the area of long-range (10-year) electric utility load forecasting:

(1) ☐ Our Commission relies heavily on load forecasts prepared by our utility system(s) and others and conducts no independent load forecast studies.

(2) ☐ Our Commission analyzes our systems' (and possibly other) load forecasts carefully by independently testing all or a sample of their data and assumptions and thus makes in-house revisions to such forecasts where appropriate. We have _____ full-time equivalent positions devoted exclusively to this work.

(3) ☐ Our Commission has an in-house load forecasting section which conducts independent load studies essentially from raw data. We have _____ full-time equivalent positions devoted exclusively to this work.

(4) ☐ Our Commission hires consultants to make load forecasts when required.

(5) ☐ Other (please explain).

## Table 67 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED ELECTRIC UTILITIES UNDER AGENCY JURISDICTION

(As of December 31, 1979, unless otherwise noted)

| Agency | Plant - Intrastate Only (000 omitted) | | | | | | | | Revenues and Expenses - Intrastate Only (000 omitted) | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | Less: | | | | | | | | | | | | |
| | Plant in Service | Construction Work in Progress | Plant Acquisition Adjustment | Plant Held For Future Use | Materials and Supplies | Depreciation and Amortization Reserves | Contributions in Aid of Construction | Net Book Costs | Operating Revenues | Depreciation and Amortization Expenses | Income Taxes | Other Taxes | Other Operating Expenses | Total Operating Expenses | Net Operating Income | Other Income | Other Deductions | Net Income |
| ALA. PSC 1/ | 3,625,884 | 956,521 | 164,518 | 6,981 | 201,948 | 817,461 | 1,855 | 4,136,536 | 1,163,623 | 123,075 | 58,759 | 74,592 | 210,090 | | | 40,775 | 158,666 | |
| ARIZ. CC | 2,477,953 | 1,256,052 | 3,408 | 2,542 | 95,251 | 573,941 | 7,509 | 3,253,756 | 892,949 | 81,580 | 5,452 | 98,977 | 503,292 | 689,301 | 203,647 | 54,201 | 87,286 | 170,562 |
| ARK. PSC | 301,864 | 197,408 | 60 | 730 | 3,617 | 84,250 | | 419,249 | 145,108 | 10,000 | 3,768 | 6,272 | 107,382 | 127,422 | 17,686 | 11,154 | 9,547 | 19,293 |
| CAL. PUC | 12,896,296 | 4,807,720 | 354 | 123,834 | 672,016 | 3,956,311 | | 14,543,909 | 5,638,737 | 404,670 | 171,486 | 145,029 | 4,051,255 | 4,772,440 | 866,297 | 267,491 | 343,637 | 790,151 |
| COL. PUC | 1,517,143 | 336,022 | 101 | 1,551 | 78,510 | 411,440 | -0- | 1,521,887 | 551,528 | 44,782 | 24,768 | 30,982 | 375,218 | 475,750 | 75,778 | 674 | 944 | 75,508 |
| CONN. PUC | | | | | | | | | | | | | | | | | | |
| DEL. PSC | 1,011,677 | 292,598 | | | 55,038 | 263,622 | 843 | 1,094,848 | 364,347 | 30,673 | 23,650 | 15,495 | 228,139 | 297,957 | 66,390 | 13,765 | 693 | 79,462 |
| D.C. PSC | 977,291 | 134,722 | 149 | 5,735 | 59,234 | 296,837 | | 880,294 | 319,860 | 30,577 | 15,960 | 35,999 | 178,959 | 261,495 | 58,365 | 3/ | 3/ | 58,365 |
| FLA. PSC | 7,661,258 | 1,384,593 | -0- | 121,568 | 453,455 | 1,793,833 | | 7,827,041 | 3,472,249 | 265,346 | 261,171 | 236,359 | 2,191,539 | 2,954,415 | 517,834 | 52,153 | 234,162 | 335,825 |
| GA. PSC | 4,683,019 | 846,910 | | 10,279 | | 1,063,243 | | 4,476,965 | 1,621,069 | 146,620 | 116,774 | 71,721 | 1,004,048 | 1,339,163 | 281,906 | 83,766 | 179,114 | 186,558 |
| HI. PUC | 778,705 | 50,859 | 717 | 2,643 | 7,293 | 193,601 | 36,159 | 610,457 | 335,796 | 21,137 | 19,415 | 30,193 | 241,842 | 312,587 | 43,209 | 2,856 | 18,799 | 27,266 |
| IDA. PUC | 1,405,056 | 261,319 | | 2,650 | 21,581 | 243,385 | 3,701 | 1,443,520 | 306,098 | 26,983 | 13,781 | 17,676 | 167,960 | 226,400 | 79,698 | | | |
| ILL. CC | 11,378,018 | 4,356,367 | | 174.396 | 116,021 | 3,398,680 | 101,131 | 12,524,991 | 4,101,127 | 378,751 | 238,525 | 407,695 | 2,521,037 | 3,546,008 | 555,119 | | | |
| IND. PSC 5/ | 4,519,366 | 1,076,354 | | 5,891 | 221,966 | 1,168,890 | | 4,654,687 | 1,529,560 | 161,987 | 140,514 | 65,283 | 842,594 | 1,210,378 | 319,182 | 62,167 | 153,265 | 228,084 |
| IOWA SCC 6/ | 2,701,919 | 352,029 | 875 | 6,871 | 112,743 | 658,644 | | 2,515,793 | 833,034 | 82,164 | 73,272 | 51,129 | 459,526 | 666,091 | 166,943 | | | |
| KAN. SCC | 1,973,759 | 716,493 | -0- | 473 | 112,779 | 523,346 | -0- | 2,280,158 | 661,552 | 64,351 | 30,611 | 36,233 | 422,718 | 553,913 | 107,639 | 32,829 | 52,574 | 87,894 |
| KY. ERC | 2,086,264 | 410,151 | | 722 | 152,072 | 597,939 | | 2,051,270 | 795,323 | 65,527 | 61,846 | 13,366 | 517,722 | 658,461 | 136,862 | 4,060 | 63,570 | 77,352 |
| LA. PSC 7/ | 2,860,155 | 1,711,640 | 1,544 | 12,244 | 71,960 | 821,831 | 777 | 3,834,935 | 1,311,312 | 100,335 | 37,179 | 51,119 | 948,628 | 1,137,261 | 174,051 | 121,044 | 84,939 | 210.156 |
| ME. PUC | 809,810 | 89,054 | 168 | 4,016 | 29,517 | 231,420 | 174 | 700,971 | 351,537 | 24,873 | 19,340 | 15,254 | 237,420 | 296,887 | 54,650 | 11,061 | 29,285 | 36,426 |
| MD. PSC | 5,338,918 | 794,087 | 1,453 | 15,900 | 295,252 | 1,320,607 | | 5,125,003 | 1,858,338 | 167,995 | 16,126 | 271,666 | 1,032,042 | 1,487,929 | 370,409 | 325,055 | 455,226 | 240,238 |
| MASS. DPU | 2,942,912 | 353,467 | -0- | -0- | 69,222 | 794,875 | 39 | 2,570,687 | 1,751,512 | 95,156 | 15,443 | 51,282 | 1,192,104 | 1,353,985 | | 31,796 | 96,173 | |
| MICH. PSC 8/ | 8,339,047 | 3,135,490 | -0- | 36,383 | 444,618 | 1,872,002 | -0- | 10,083,536 | 2,943,113 | 209,757 | 45,140 | 155,273 | 1,768,545 | 2,178,715 | 764,397 | 58,663 | 1,806 | 821,254 |
| MINN. PSC | 2,824,780 | 652,926 | | 1,336 | 117,470 | 783,033 | | 2,813,479 | 967,584 | 85,984 | 112,963 | 79,550 | 504,288 | 782,785 | 184,799 | 41,593 | 83,566 | 142,826 |
| MISS. PSC | 1,372,906 | 38,728 | 2,406 | 3,432 | 68,727 | 392,203 | 227 | 1,093,769 | 655,148 | 41,754 | 21,251 | 32,679 | 490,220 | 585,905 | 69,243 | 5,090 | 39,918 | 34,415 |
| MO. PSC | 4,136,794 | 1,247,552 | 42 | 2,680 | 202,093 | 1,169,348 | -0- | 4,419,813 | 1,512,261 | 119,298 | 69,539 | 156,702 | 937,871 | 1,283,410 | 228,852 | 57,380 | 110,776 | 175,456 |
| MONT. PSC | 3,307,707 | | | | | | | | 178,411 | | | | | 131,449 | 46,962 | | | |
| NEB. PSC | Not Applicable. | | | | | | | | | | | | | | | | | |
| NEV. PSC | 867,021 | 102,131 | 16 | 5 | 31,525 | 195,142 | | 805,556 | 321,518 | 25,745 | (1,378) | 11,232 | 215,443 | 251,042 | 70,476 | 4,814 | 29,964 | 45,326 |
| N.H. PUC | 625,584 | 485,019 | 840 | 1,850 | 33,289 | 171,236 | 277 | 975,068 | 372,702 | 18,905 | (2,166) | 35,640 | 270,503 | 322,882 | 49,820 | 18,073 | 24,511 | 43,382 |
| N.J. BPU | 6,332,450 | 1,864,029 | | 7,651 | 104,639 | 1,737,630 | 1,900 | 6,569,240 | 2,705,162 | 206,288 | (9,408) | 392,086 | 1,685,199 | 2,274,165 | 430,996 | 71,493 | 200,898 | 301,591 |
| N.M. PSC | | | | | | | | | 389,247 | 23,788 | | 25,415 | 249,614 | | 90,429 | | | |
| N.Y. PSC 9/ | 14,001,975 | 3,322,752 | 2,741 | 41,338 | 463,388 | 3,814,334 | | 14,017,860 | 5,950,630 | 373,196 | 190,578 | 904,077 | 3,547,352 | 5,015,203 | 935,426 | 394,219* | 514,517* | 815,129* |
| N.C. UC | 5,110,325 | 2,647,024 | 9,293 | 8,018 | 222,183 | 1,438,609 | | 6,558,234 | 1,984,699 | 177,304 | 192,455 | 169,103 | 1,109,246 | 1,648,108 | 336,591 | 139,232 | 149,202 | 326,621 |
| N.D. PSC | 393,044 | 88,865 | | 85 | 13,436 | 109,815 | | 385,615 | 135,454 | 12,591 | 15,820 | 8,671 | 72,486 | 109,568 | 25,886 | 5,748 | 167 | 31,467 |
| OHIO PUC | 11,744,602 | 3,848,474 | 9,688 | 26,145 | 621,119 | 3,142,325 | -0- | 13,107,703 | 4,636,042 | 355,310 | 175,306 | 382,938 | 2,901,451 | 3,815,005 | 821,037 | 369,013 | 547,916 10/ | 642,134 |
| OKLA. CC | | | | | | | | | | | | | | | | | | |
| ORE. PUC | | | | | | | | | 674,978 | 69,318 | 2,453 | 50,985 | 402,146 | 524,901 | 150,077 | | | |
| PA. PUC | | | | | | | | | | | | | | | | | | |
| R.I. PUC 6/ | 384,049 | 3,758 | -0- | 10,056 | 16,028 | 131,282 | -0- | 282,609 | 278,627 | 12,855 | 16,034 | 13,992 | 213,288 | 256,169 | 22,458 | 1,293 | 194 | 23,557 |
| S.C. PSC | 2,822,437 | 1,254,708 | 2,958 | 1,626 | 136,904 | 476,064 | -0- | 3,742,569 | 1,122,136 | 85,862 | 39,820 | 53,382 | 758,365 | 937,429 | 184,707 | 69,004 | 61,142 | 192,569 |
| S.D. PUC | 428,516 | 54,754 | 6 | 56 | 14,737 | 93,012 | 78 | 404,979 | 120,631 | 12,853 | 10,016 | 8,131 | 64,022 | 95,022 | 25,609 | 6,326 | 11,870 | 20,065 |
| TENN. PSC | 32,034 | 1,219 | 137 | 302 | -0- | 9,257 | 312 | 24,123 | 34,708 | 969 | (96) | 1,547 | 30,290 | 32,710 | 1,998 | 6 | 2,051 | (47) |
| TEX. PUC | | | | | | | | | | | | | | | | | | |
| UTAH PSC | 1,352,791 | 210,627 | | 9,461 | 41,429 | 217,364 | | 1,396,944 | 401,559 | 30,333 | 29,669 | 18,145 | 222,938 | 301,085 | 100,474 | 4,975 | 49,596 | 55,853 |
| VT. PSB | 194,406 | 42,551 | | 826 | 3,44[illegible] | 53,108 | | 188,114 | 128,106 | 5,613 | 5,434 | 6,935 | 93,829 | 111,812 | 16,294 | 6,113 | 8,095 | 14,312 |
| VA. SCC | 5,433,909 | 280,222 | 108 | 6,095 | 142,303 | 1,046,595 | 257,602 | 4,558,440 | 1,690,710 | 130,584 | 87,432 | 99,290 | 1,027,993 | 1,345,299 | 345,411 | 84,674 | 166 | 429,919 |
| WASH. UTC | | | | | | | | | | | | | | | | | | |
| W. VA. PSC | 3,068,912 | | | | | | | | 673,696 | 46,439 | 31,841 12/ | | 295,255 | 373,535 | 300,160 | | | |
| WISC. PSC | 3,704,769 | | | | | 1,514,360 | | | 1,571,585 | 171,866 | 114,461 | 64,297 | 989,013 | 1,339,637 | 231,948 | | | |
| WYO. PSC | 1,033,597 | | | | 16,358 | 140,664 | | 909,291 | 106,079 | 10,538 | 5,076 | | 65,551 | 81,165 | 24,914 | | | |

Table 67 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED ELECTRIC UTILITIES UNDER AGENCY JURISDICTION (Continued)
(As of December 31, 1979 unless otherwise noted)

| Agency | Customers (Intrastate Only) | | | | | Other Statistics (Intrastate Only) | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Residential Yearly Average | Commercial Yearly Average | Industrial Yearly Average | Others-Yearly Average | Total | Avg. Annual Residential Use - Kwh | Avg. Residential Cost Per Kwh (¢) | Avg. Residential Monthly Bill ($) | Gross Plant Investment Per Customer ($) |
| ALABAMA PSC 1/ | 856,193 | 115,435 | 4,572 | -0- | 976,200 | 10,231 | 4.44 | 37.84 | 3,714.00 |
| ARIZONA CC | 584,355 | 77,858 | 2,621 | 5,669 | 670,503 | 6,998 | 5.79 | 33.78 | 2,417.00 |
| ARKANSAS PSC | 105,582 | 13,514 | 2,947 | 636 | 122,679 | 8,373 | 4.07 | 28.28 | 2,200.38 |
| CALIFORNIA PUC | 6,425,351 | 682,349 2/ | | 15,902 | 7,123,602 | 8,259 | 4.00 | 28.00 | 1,810.00 |
| COLORADO PUC | 719,284 | 90,159 | 7,308 | 1,193 | 817,939 | 5,856 | 4.25 | 20.76 | 1,855.00 |
| CONNECTICUT PUC | | | | | | | | | |
| DELAWARE PSC | 158,000 | 16,000 | 3 | 125 | 174,128 | | 5.9 | 55.00 | 7,812.00 |
| D.C. PSC | 165,442 | 24,278 2/ | | 26 | 189,746 | 5,960 | 4.59 | 22.81 | 6,203.00 |
| FLORIDA PSC | 2,971,578 | 327,595 | 17,561 | 8,155 | 3,324,889 | 11,333 | 4.79 | 45.24 | 2,721.00 |
| GEORGIA PSC | 1,116,089 | 146,913 | | 1,919 | 1,264,921 | 8,520 | 4.7 | 33.37 | 3,539.22 |
| HAWAII PUC | 284,744 | 37,676 | 649 | 1,534 | 288,603 | 7,442 | 5.044 4/ | 40.00 | 2,698.00 |
| IDAHO PUC | 286,755 | 50,769 | 2,842 | 566 | 340,932 | 15,544 | 2.32 | 30.05 | 4,121.00 |
| ILLINOIS CC | 3,687,775 | 359,300 | 2,366 | 12,848 | 4,062,289 | 7,118 | 5.05 | 29.96 | 3,916.00 |
| INDIANA PSC 5/ | 853,225 | 104,168 | 5,267 | 2,287 | 964,947 | | | | |
| IOWA SCC 6/ | 777,472 | 116,214 | 2,311 | 5,223 | 901,220 | 7,946 | 5.01 | 33.17 | 2,998.00 |
| KANSAS SCC | 588,357 | 73,204 | 5,727 | 2,262 | 669,550 | 8,816 | 4.378 | 31.54 | 3,406.00 |
| KENTUCKY ERC | 741,543 | 93,397 | 4,024 | 10,367 | 849,331 | 9,562 | 3.70 | 29.47 | 2,940.12 |
| LOUISIANA PSC 7/ | 899,711 | 101,459 | 14,193 | 7,577 | 1,022,940 | 11,972 | 3.57 | 35.35 | 4,483.00 |
| MAINE PUC | 438,980 | 53,071 | 1,250 | 27,586 | 520,887 | 6,712 | 4.71 | 26.34 | 1,734.00 |
| MARYLAND PSC | 1,489,130 | 164,297 | 4,697 | 1,003 | 1,659,127 | 8,285 | 4.862 | 33.57 | 3,089.00 |
| MASSACHUSETTS DPU | 1,745,530 | 196,445 | 6,531 | -0- | 1,948,506 | 5,695 | | 45.76 | 1,510.34 |
| MICHIGAN PSC 8/ | 2,969,248 | 286,301 | 10,975 | 3,497 | 3,270,021 | 6,994 | 4.311 | 24.47 | 2,953.05 |
| MINNESOTA PSC | 884,539 | 103,491 | 5,021 | 6,161 | 999,212 | 7,034 | 4.4 | 25.79 | 2,827.00 |
| MISSISSIPPI PSC | 389,605 | 60,845 | 3,623 | 2,479 | 456,552 | 10,725.5 | 4.15 | 37.13 | 3,776.61 |
| MISSOURI PSC | 1,250,191 | 163,678 | 137 | 6,070 | 1,420,076 | 8,323 | 4.68 | 32.48 | 2,913.00 |
| MONTANA PSC | | | | | | | | | |
| NEBRASKA PSC | Not Applicable. | | | | | | | | |
| NEVADA PSC | 269,535 | 38,547 | 235 | 170 | 308,487 | 9,601 | 4.69 | 37.49 | 2,811.00 |
| NEW HAMPSHIRE PUC | 350,074 | 42,771 | 3,807 | 2,495 | 399,147 | 6,970 | 5.7 | 33.07 | 2,782.44 |
| NEW JERSEY BPU | 2,463,631 | 246,204 | 68,736 | 5,944 | 2,784,515 | 6,341 | 6.557 | 35.41 | 2,943.60 |
| NEW MEXICO PSC | 299,457 | 41,323 2/ | | 923 | 341,703 | 6,019 | 5.79 | 29.33 | |
| NEW YORK PSC 9/ | 5,519,596 | 674,541 | | 22,954 | 6,217,091 | 5,336 | 6.78 | 30.13 | 2,793.72 |
| NORTH CAROLINA UC | 1,396,028 | 214,792 | 8,824 | 7,494 | 1,627,138 | 11,717 | 4.01 | 39.17 | 4,778.00 |
| NORTH DAKOTA PSC | 152,169 | 26,922 | 1,147 | 1,129 | 181,367 | 8,640 | 4.13 | 29.76 | 2,146.18 |
| OHIO PUC | 3,372,288 | 343,639 | 22,235 | 15,812 | 3,753,974 | 8,360 | 4.83 | 33.68 | 4,163.30 |
| OKLAHOMA CC | | | | | | | | | |
| OREGON PUC | 177,605 | 136,299 | 1,217 | 2,058 | 917,179 | 13,331 | 2.79 | 31.00 | |
| PENNSYLVANIA PUC | | | | | | | | | |
| RHODE ISLAND PUC 6/ | 325,795 | 35,570 | 1,871 | 745 | 363,981 | 5,604 | 6.1 | 28.51 | 1,209.05 |
| SOUTH CAROLINA PSC | 662,696 | 154,255 | 2,913 | 3,623 | 823,487 | 12,104 | 4.10 | 41.35 | 3,427.42 |
| SOUTH DAKOTA PUC | 136,994 | 25,155 2/ | | 730 | 162,879 | 8,506 | 4.7 | 33.32 | 2,631.00 |
| TENNESSEE PSC | 29,777 | 3,197 | 119 | 114 | 33,207 | 16,462 | 3.17 | 43.47 | 964.66 11/ |
| TEXAS PUC | 3,760,514 | 559,929 2/ | | 27,129 | 4,347,572 | 10,277 | 4.28 | 36.21 | 2,512.00 |
| UTAH PSC | 332,282 | 29,758 | 8,658 | 1,298 | 371,996 | 7,442 | 5.12 | 37.75 | 3,637.00 |
| VERMONT PSB | 155,367 | 17,406 | 658 | 2,688 | 176,119 | | 4.65 | 31.04 | 1,103.83 |
| VIRGINIA SCC | 1,467,606 | 148,811 | 3,158 | 3,508 | 1,623,083 | 9,145 | 4.88 | 34.56 | 3,524.00 |
| WASHINGTON UTC | | | | | | | | | |
| WEST VIRGINIA PSC | 690,932 | 88,892 13/ | | | 779,824 | | | | |
| WISCONSIN PSC | 1,468,000 | 180,100 2/ | | 143,700 | 1,791,800 | 6,970 | 4.38 | 25.40 | |
| WYOMING PSC | 115,673 | 25,287 | 1,735 | 644 | 143,339 | | | | 7,210.00 |

## Footnotes - Table 67 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED ELECTRIC UTILITIES UNDER AGENCY JURISDICTION

1/ Data for Alabama Power Company.

2/ Commercial and Industrial Customers--yearly average.

3/ Not available.

4/ Average total cost per Kwhr sold. Average residential cost not available.

5/ Statistics compiled from six private electric utilities.

6/ Fiscal year ended June 30, 1979.

7/ Data does not include Arkansas Power & Light Company.

8/ Fiscal year ended September 30, 1979.

9/ Composite of statistics for all Class A and B privately owned electric utilities under agency jurisdiction (systemwide). Please note that this is a composite of the seven combination companies - companies which sell electricity, gas and for two companies, steam also. The figures shown are electric only with the exception of the starred items which are total figures.

10/ Includes $550,364 interest charges.

11/ Average customers.

12/ Income taxes and other taxes.

13/ Commercial, industrial and other customers--yearly average.

## Table 68 - SUMMARY OF STATE STATUTES RELATED TO POWER PLANT SITING AND ENVIRONMENTAL PROTECTION

| State | Power Plant Siting Law | One-Stop Process | Need Determination 1/ | Environmental Review |
|---|---|---|---|---|
| Alabama | No | - | No | No |
| Alaska | No | - | No | No |
| Arizona | Yes | Yes | Yes | Partial |
| Arkansas | Yes | No | Yes | No |
| California | Yes | Yes | Yes | Yes |
| Colorado | No 2/ | No | Yes | No |
| Connecticut | Yes | Yes | Yes | Yes |
| Delaware | No | - | Yes | Partial |
| Florida | Yes | Yes | Yes | No |
| Georgia | No 2/ | - | No | Partial |
| Hawaii | No | - | Yes | Yes |
| Idaho | No | - | No | No |
| Illinois | 3/ | - | Yes | No |
| Indiana | No | - | No | Yes |
| Iowa | Yes | Yes | Yes | Yes |
| Kansas | Yes | Yes | Yes | No |
| Kentucky | Yes | Yes | Yes | Yes |
| Louisiana | No | - | No | No |
| Maine | No | - | Yes | No |
| Maryland | Yes | Yes | Yes | Yes |
| Massachusetts | Yes | Yes | Yes | Yes |
| Michigan | No | - | Yes | Yes |
| Minnesota | Yes | Yes | Yes | Yes |
| Mississippi | No | - | Yes | No |
| Missouri | No | - | Yes | No |
| Montana | Yes | No | Yes | Yes |
| Nebraska | No | - | Yes | Partial |
| Nevada | Yes | Yes | Yes | Partial |
| New Hampshire | Yes | Yes | Yes | No |
| New Jersey | No 2/ | - | No | Yes |
| New Mexico | Yes | Yes | No | No |
| New York | Yes | Yes | Yes | Yes |
| North Carolina | No | - | Yes | Yes |
| North Dakota | Yes | Yes | Yes | No |
| Nova Scotia 4/ | Yes | - | No | Yes |
| Ohio | Yes | Yes | Yes | Yes |
| Oklahoma | No | - | No | No |
| Oregon | Yes | Yes | Yes | Yes |
| Pennsylvania | No 2/ | - | No 2/ | No |
| Rhode Island | No | - | No | No |
| South Carolina | Yes | Yes | Yes | No |
| South Dakota | Yes | Yes | No | Yes |
| Tennessee | No | - | No | No |
| Texas | No | - | Yes | Yes |
| Utah | No | - | No | No |
| Vermont | Yes | Yes | Yes | Yes |
| Virginia | Yes | No | Yes | Yes |
| Washington | Yes | Yes | Yes | Yes |
| West Virginia | No | - | Yes | No |
| Wisconsin | Yes | No | Yes | Yes |
| Wyoming | Yes | Yes | Yes | No |

1/ Several states include the determination of need in a certificate of public convenience and necessity.
2/ States with pending legislation for power plant siting.
3/ Public Utilities Commission has review authority. State has no siting legislation.
4/ The Board has jurisdiction over construction and equipment cost approvals, but all engineering and environmental considerations are under jurisdiction of the Department of the Environment.

Table 69 - SITING BULK POWER SUPPLY FACILITIES

| AGENCY | Electric Generating Equipment & Facilities | | | | | | Electric Transmission Lines | | | | | | Certification is: | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Certificate: | | | | | | Certificate: | | | | | | | |
| | Con-struc-tion | Oper-ation | Mainte-nance | Investor Owned | Public Owned | Co-op Owned | Con-struc-tion | Oper-ation | Mainte-nance | Investor Owned | Public Owned | Co-op Owned | Contingent on other Agency Approval | Manda-tory |
| FERC | Yes57/ | Yes | Yes | Yes | Yes | Yes | Yes57/ | Yes | Yes | Yes | Yes | Yes | No | Yes |
| ALABAMA PSC | | | | | | | | | | | | | | |
| ALASKA PUC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| ALBERTA PUB 1/ | | | | | | | | | | | | | | |
| ARIZONA CC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| ARKANSAS PSC | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | No | Yes | No | Yes |
| CALIFORNIA PUC | Yes3/ | Yes | Yes | Yes | No | Yes | Yes3/ | Yes | Yes | Yes | No | Yes | 3/ | Yes |
| COLORADO PUC | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | No |
| CONNECTICUT PUC | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes | No | No | Yes5/ | Yes |
| DELAWARE PSC | Yes | Yes | Yes | Yes | No | Yes | Yes | No | No | Yes | No | Yes | No | No |
| D. C. PSC | | | | | | | | | | | | | | |
| FLORIDA PSC | No9/ | No | No | No | No | No | No9/ | No | No | No | No | No | | |
| GEORGIA PSC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| GUAM PUC | No | No | No | | No | | No | No | No | | No | | | |
| HAWAII PUC | | | | | | | | | | | | | | |
| IDAHO PUC | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes | No | No | No | Yes |
| ILLINOIS CC | Yes | Yes | Yes | Yes | No | 11/ | Yes | Yes | Yes | Yes | No | 11/ | Yes12/ | Yes |
| INDIANA PSC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| IOWA SCC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| KANSAS SCC | Yes | No | No | Yes | No | Yes | Yes | No | No | Yes | No | Yes | Yes | |
| KENTUCKY ERC | Yes | | | Yes | | Yes | Yes | | | Yes | | Yes | Yes19/ | Yes |
| LOUISIANA PSC | | | | | | | | | | | | | | |
| MAINE PUC | Yes | No | No | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | No | Yes |
| MARYLAND PSC | Yes | No | No | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | No | Yes |
| MASSACHUSETTS 61/ | | | | | | | | | | | | | | |
| MICHIGAN PSC | No26/ | No | No | No | No | No | No26/ | No | No | No | No | No | | |
| MINNESOTA PSC 27/ | Yes27/ | Yes | No | Yes | Yes | Yes | Yes27/ | Yes | Yes | Yes | Yes | Yes | Yes27/ | Yes |
| MISSISSIPPI PSC | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | No | Yes | No | Yes |
| MISSOURI PSC | Yes31/ | Yes | Yes | Yes | No | No | Yes31/ | Yes | Yes | Yes | No | No29/ | Yes30/ | No31/ |
| MONTANA PSC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| NEBRASKA PSC | No | No | No | No | No | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes32/ | Yes33/ |
| NEVADA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes |
| NEW HAMPSHIRE PUC | Yes | No | No | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes35/ | No |
| NEW JERSEY BPU 37/ | No | No | No | No | No | No | No | No | No | No | No | No | | |
| NEW MEXICO PSC | Yes38/ | Yes | No | Yes | Yes | Yes | Yes38/ | Yes | No | Yes | Yes | Yes | Yes | Yes38/ |
| NEW YORK PSC | Yes40/ | | | Yes | Yes | Yes | Yes40/ | | | Yes | Yes | Yes | 40/ | Yes |
| NORTH CAROLINA UC | Yes | Yes | Yes | Yes | Yes | Yes | No | No | No | No | No | No | No | Yes |
| NORTH DAKOTA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| NOVA SCOTIA PUB 63/ | | | | | | | | | | | | | | |
| OHIO PUC 60/ | Yes | No | No | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | No | Yes |
| OKLAHOMA CC | No | No | No | Yes | No | Yes | No | No | No | Yes | No | Yes | No | No |
| OREGON PUC 45/ | Yes45/ | Yes | Yes | Yes | Yes | Yes | Yes45/ | Yes | Yes | Yes | Yes | Yes | Yes46/ | Yes |
| PENNSYLVANIA PUC | | | | | | | | | | | | | | |
| PUERTO RICO PSC | | | | | | | | | | | | | | |
| QUEBEC ESB | Yes | | | Yes | | | Yes | | | Yes | | | | Yes |
| RHODE ISLAND PUC | No49/ | | | | | | No49/ | | | | | | | |
| SOUTH CAROLINA PSC | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes | No | No | No | Yes |
| SOUTH DAKOTA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |
| TENNESSEE PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No |
| TEXAS PUC | Yes | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | | Yes | No | Yes |
| UTAH PSC | Yes | No | No | Yes | No | Yes | Yes | No | No | Yes | No | Yes | Yes | Yes |
| VERMONT PSB | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes |
| VIRGIN ISLANDS PSC | No | No | No | | | | No | No | No | | | | | |
| VIRGINIA SCC | Yes | Yes | No | Yes | No | Yes | Yes | Yes64/ | No | Yes | No | Yes | No | Yes |
| WASHINGTON UTC | No | No | No | No | No | No | No | No | No | No | No | No | | |
| WEST VIRGINIA PSC | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes |
| WISCONSIN PSC | Yes | | | Yes | Yes | Yes | Yes | | | Yes | Yes | | Yes | Yes |
| WYOMING PSC | Yes56/ | Yes | Yes | Yes | Yes | Yes | Yes56/ | Yes | Yes | Yes | Yes | Yes | No | Yes |

Table 69 - SITING BULK POWER SUPPLY FACILITIES (Continued)

| AGENCY | Certification Standards | | | | Public Participation | | | | | | | Procedures to Form Regional Certifying Bodies | Procedures to Consider Multi-State Impact | Cert. Grant Right of Eminent Domain |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Description and/or Source | For Impact on Environment | For Envir. Impact of Alternative Sites | For Evaluation of Need | Public Notice | Optional Hearing | Mandatory Hearing | Required Pre-Hearing Consultation | Right of Intervention | Right of Appeal | Other Safeguards | | | |
| FERC | 57/ | Yes | Yes | Yes | Yes | Yes | Yes 58/ | No | Yes | Yes | 59/ | No | No | Yes |
| ALABAMA PSC | | | | | | | | | | | | | | |
| ALASKA PUC | | No | No | No | No | No | No | No | No | No | No | No | No | No |
| ALBERTA PUB 1/ | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | | | | | |
| ARKANSAS PSC | 2/ | Yes | Yes | Yes | Yes | | Yes | No | Yes | Yes | | No | Yes | Yes |
| CALIFORNIA PUC | 4/ | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Yes | | No | No | Yes |
| COLORADO PUC | | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Yes | | No | No | No |
| CONNECTICUT PUC | 6/ | Yes 7/ | Yes 7/ | Yes 7/ | Yes 7/ | Yes 7/ | Yes 7/ | No | Yes 7/ | Yes 7/ | | No | No | Yes |
| DELAWARE PSC | 8/ | No | No | Yes | Yes | Yes | No | No | No | Yes | | No | No | No |
| D. C. PSC | | | | | | | | | | | | | | |
| FLORIDA PSC | 9/ | | | | | | | | | | | | | |
| GEORGIA PSC | | | | | | | | | | | | | | |
| GUAM PUC | | | | | | | | | | | | | | |
| HAWAII PUC | | | | | | | | | | | | | | |
| IDAHO PUC | 10/ | No | No | No | Yes | Yes | No | No | Yes | Yes | | Yes | No | No |
| ILLINOIS CC | 13/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | 14/ | No | No | No14/ |
| INDIANA PSC | | | | | | | | | | | | | | |
| IOWA SCC | 15/ | Yes | Yes | Yes | Yes | Yes | 16/ | Yes | Yes | Yes | 17/ | No | No | 18/ |
| KANSAS SCC | | Yes | No | Yes | Yes | | Yes | No | Yes | Yes | | No | No | No |
| KENTUCKY ERC | 20/ | Yes | No | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| LOUISIANA PSC | | | | | | | | | | | | | | |
| MAINE PUC | 21/ | No | No | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes22/ |
| MARYLAND PSC | 23/ | No 24/ | No 24/ | No 24/ | Yes | Yes | Yes | No | Yes | Yes | 25/ | No | No | Yes |
| MASSACHUSETTS 61/ | | | | | | | | | | | | | | |
| MICHIGAN PSC | | | | | | | | | | | | | | |
| MINNESOTA PSC 27/ | 27/ | Yes | Yes | Yes 27/ | Yes | Yes | Yes | No | Yes | Yes | | No | Yes | Yes |
| MISSISSIPPI PSC | 28/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| MISSOURI PSC | 31/ | No | No | No | Yes | Yes | No | No | Yes | Yes | | No | No | Yes |
| MONTANA PSC | | | | | | | | | | | | | | |
| NEBRASKA PSC | 32/ | No | No | No | Yes | Yes | No | Yes | Yes | Yes | | No | No | Yes |
| NEVADA PSC | 34/ | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Yes | | No | No | Yes |
| NEW HAMPSHIRE PUC | 36/ | Yes 36/ | Yes 36/ | Yes 36/ | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| NEW JERSEY BPU 37/ | | | | | | | | | | | | | | |
| NEW MEXICO PSC | 38/ | No | No | No | Yes | Yes | Yes | No | Yes | Yes | 39/ | No | No | |
| NEW YORK PSC | 41/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | No | Yes | 62/ |
| NORTH CAROLINA UC | 42/ | Yes | Yes | Yes | Yes | Yes | No 43/ | No | Yes | Yes | | No | No | Yes |
| NORTH DAKOTA PSC | 44/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| NOVA SCOTIA PUB 63/ | | | | | | | | | | | | | | |
| OHIO PUC | 60/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | No |
| OKLAHOMA CC | | No | No | No | No | No | No | No | Yes | Yes | No | No | No | No |
| OREGON PUC 45/ | 47/ | Yes | No | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | Yes | 48/ |
| PENNSYLVANIA PUC | | | | | | | | | | | | | | |
| PUERTO RICO PSC | | | | | | | | | | | | | | |
| QUEBEC EGB | | | | | | | | | | | | | | |
| RHODE ISLAND PUC | 49/ | | | | | | | | | | | | | |
| SOUTH CAROLINA PSC | 50/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | Yes | Yes | Yes |
| SOUTH DAKOTA PUC | | No | No | No | Yes | No | Yes | | Yes | Yes | | No | No | No |
| TENNESSEE PSC | 51/ | No | No | No | No | No | No | No | Yes | Yes | | No | No | |
| TEXAS PUC | 52/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | |
| UTAH PSC | 28/ | No | No | Yes | Yes | No | Yes | No | Yes | Yes | | No | No | Yes |
| VERMONT PSB | 53/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | |
| VIRGINIA SCC | 54/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | | No | No | Yes |
| WASHINGTON UTC | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | 55/ | No | No | No | Yes | Yes | No | No | Yes | Yes | | No | No | Yes |
| WISCONSIN PSC | 54/ | Yes | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | | No | No | |
| WYOMING PSC | 56/ | Yes 56/ | Yes 56/ | Yes | Yes | Yes | No | No | Yes | Yes | | No | Yes | Yes |

## Footnotes - Table 69 - SITING BULK POWER SUPPLY FACILITIES

1/ Siting under jurisdiction of Alberta Energy Resources Conservation Board.

2/ Arkansas Statutes Chapters 73-276, 73-240; agency regulations and judicial decisions.

3/ California Energy Resources Conservation and Development Commission also has certification authority over the siting of thermal power plants and associated transmission lines for which construction is planned to commence after 1/7/78. For such facilities, prior authorization by the Development Commission will be required.

4/ G.O. 131-B, PUC Rule 17.1.

5/ Contingent on prior approval of NRC and State Power Facilities Evaluation Council.

6/ Connecticut PUCA has authority, but does not exercise it.

7/ Power Facilities Evaluation Council determines location of plant or transmission facility. PUC determines need and alowance of funds for construction.

8/ In Paragraph 7, Delaware Public Service Commission Docket No. 829, p. 4, Order No. 1536 (Delmarva Power and Light Company), the Applicant was ordered to enter into no contracts for construction of generating capacity and associated transmission facilities not presently under construction until such contracts have been approved by the Commission. In seeking such approval, the Applicant should be prepared to demonstrate a need for the construction and to present evidence of the capacity of the customers to pay the costs associated with the construction. It was the intention of the Commission that such application not be made until the annual system peak of Applicant for 1976 has been experienced and analyzed. The applicant was directed to report to the Commission within thirty (30) days as to the changes in method of computation of anticipated demand proposed by the Applicant. This paragraph was based on the authority vested in the Commission by 26 Del. C. §§201, 215 and 302.

9/ Certifying authority resides with the Cabinet pursuant to the Florida Electric Power Plant Site Act. The Commission provides statements as to the necessity for the plant based on either kilowatt demand and/or economics resulting from improved efficiencies.

10/ Idaho Code Section 61-528: financial ability, good faith of applicant, need for additional service, public convenience and necessity. In addition, Commission would consider environmental questions under its residual jurisdiction.

11/ Co-ops must get an order from the Commission for the right to exercise eminent domain.

12/ From NRC, when applicable.

13/ Section 55 of the Illinois Public Utilities Act; Illinois CC General Orders 154 and 160; judicial decisions.

14/ Individual landowners are notified by mail. Staff inspects site.

15/ Conformance to Iowa Law: Section 489.2 (necessary to serve a public use, fits into a program of comprehensive utility planning, meets land use and zoning ordinances); Section 489.18 (routing requirements); Section 489.20 (clearance from buildings); and Sections 489.25 and .26 (clearance over railroads). In addition, require conformance to Iowa Electrical Safety Code. Agency regulations and judicial decisions also provide guidelines.

16/ Mandatory hearing if written objection is filed after notice.

17/ On projects involving over one mile of line over 34.5 kV, there must be an "Informational Meeting" held in each county. A commission representative reads a summary of legal rights and utility representatives answer questions about the line. Utility may not negotiate easements prior to this meeting.

18/ Request for eminent domain is in addition to the one for certification, but both are heard at the same hearing.

19/ Contingent on prior recommendation of Department of Natural Resources, another State agency,on environmental compatibility.

20/ Demand and need; feasibility; environmental compatibility. Standards are in statutes, agency regulations; and judicial decisions.

21/ The facility must be justified in terms of need, as detailed in General Order No. 39.

22/ Applies to transmission lines of 5000 volts or more.

23/ Article 78 of the Annotated Code of Maryland, Sections 54A and 54B; Commission regulations.

24/ Commission regulations, however, propound extensive information requests and studies.

25/ By law, a local governing body has the opportunity to participate during the hearings with the Commission but not in the decision-making process. Commission must consider recommendations of such local governing body and of other State agencies including Department of Natural Resources, Department of Health and Mental Hygiene, Department of Transportation, Department of Economic and Community Development, and Department of State Planning. In addition, applicants are required to notify affected property owners of a proposed high voltage transmission line at least 30 days prior to a hearing.

26/ The only circumstance under which the Michigan Commission might be considered to have certification authority is where the utility proposes to initiate operations in a municipality where another utility or agency is already engaged in rendering the same sort of service.

27/ The Minnesota Environmental Quality Board (MEQB) certifies the site of large (50,000 Kw or more) generating plants and issues construction permits for high voltage (200 Kv. or more) transmission lines (Minn. Stat., Sec. 116C.51-69). Various state agencies issue water appropriation, NPDES, etc. permits. Siting and routing criteria are contained in Minn. Reg. MEQC 71-75. Before the siting or routing process can be completed, the Minnesota Energy Agency must issue a Certificate of Need.

28/ The Public Service Commission grants certificates of public convenience and necessity for construction of all bulk power plant facilities and transmission lines. The Commission holds public hearings to determine the need, feasibility, and environmental impact of bulk power plants and transmission lines. Agency regulations prescribe criteria.

29/ Commission regulates only safety of co-op owned transmission lines.

30/ Need approval of NRC, when applicable, and State Air and Water Commissions.

31/ Investor-owned systems do not meet certification if proposed construction is within their certificated service areas. As far as the operation and maintenance is concerned, no separate "certificate" is necessary, but all of this is included when such construction certificate is issued. In addition, any expenses for operation and maintenance are reviewed to see if proper and reasonable. Standards for issuing certificates are contained in statutes and judicial decisions.

32/ Approval contingent on approval of another State agency. Standards for certification are set forth in State statutes and commission regulations.

33/ Mandatory for publicly-owned systems.

34/ Nevada Revised Statutes, Chapter 704, and Commission regulations.

35/ Prior approval required of State Site Evaluation Committee.

## Footnotes - Table 69 - SITING BULK POWER SUPPLY FACILITIES (Continued)

36/ The PUC must find that the proposed facility is required to meet the present and future demand for electric power and will not adversely affect system stability and reliability and economic factors. Environmental criteria are not within jurisdiction of the PUC, but New Hampshire has a Site Evaluation Committee comprised of 13 members who are representatives of key state agencies, including the Chairman of PUC and Chief Engineer of PUC. This Committee holds joint hearings with the full PUC. The Site Committee must find thatthe proposed project: (1) Will not unduly interfere with the orderly development of the region with due consideration having been given to the views of municipal and regional planning commissions and municipal legislative bodies; and (2) Will not have an unreasonable adverse effect on aesthetics, historic sites, air and water quality, the natural environment, and the public health and safety. These findings are sent to the PUC. The PUC makes its own findings and then issues a decision incorporating all findings and all licenses of other state agencies. This decision is the Certificate of Site and Facility and it is final subject to judicial review.

37/ The New Jersey BPU has not promulgated any rules or regulations requiring prior certification of construction, operation or maintenance of electric generating equipment and transmission lines of electric utilities under its jurisdiction. However, pursuant to the authority under N.J.S.A. 48:2-13, the Board has general supervision and regulation of and jurisdiction and control over all public utilities and their property, property rights, equipment, facilities and franchises so far as may be necessary for the purpose of maintaining safe, adequate and proper utility services. Further, in accordance with N.J.S.A. 48:2-23, the Board is authorized to require performance and furnishing of such services in a manner that tends to conserve and preserve the quality of the environment and prevent the pollution of the waters, land and air of this State.

In accordance with various statutory and regulatory requirements, the construction, operation and maintenance programs of electric utilities in New Jersey are closely monitored through several aspects such as financing, zoning and planning, power of eminent domain and condemnation proceedings to acquire a right of way or construct electric generating facilities, and safety in general where not preempted by federal regulation.

Under Docket No. 762-194, the Board has commenced an inquiry into the reasonableness of present and planned construction programs of all electric utilities.

38/ Concerning location, generating stations capable of 300,000 kilowatts or more or transmission lines of 230 kilovolts or more must receive commission approval, which shall not be denied if air and water standards (generating) or important environmental standards (transmission) are met. Except for extensions, no public utilities shall begin the construction or operation of any plant or system without first obtaining a certificate from the Commission.

39/ By statute, the Attorney General may intervene on behalf of consumers.

40/ For generating equipment with a capacity of 50,000 Kw. or more; for transmission lines with voltage of 125 Kv. or more for a distance of at least one mile and 100-124 Kv. for a distance of more than 10 miles. The New York Public Service Commission is solely responsible for certifying transmission lines. Certification of generating facilities is done by a Siting Board, in the Department of Public Service, with the PSC Chairman being one of five members on the board and also chairman of the Siting Board. The PSC staff participates fully in both certification proceedings.

41/ The decision must be based upon the record and must find and determine in regard to construction applications: (1) the basis of need for the facility; (2) the nature of the probable environmental impact; (3) that the facility represents the minimum adverse environmental impact considering available technology and economics of alternatives; and (4) that the facility is consistent with long range planning objectives. In regard to operation and maintenance, the New York Public Service Commission has issued orders on required maintenance to assure reliable and adequate capacity.

42/ North Carolina Utilities Commission Rule R8-42 and R8-43 and N.C.G.S. 62-110.1. The Utilities Commission has confined its review and investigations primarily to the following areas: (1) the need for new power generating facilities; (2) economic justification for the type of facility; (3) site specific environmental impact; (4) alternative types of facilities and sites; and (5) safety.

43/ Hearing mandatory if requested by complainant.

44/ PSC Regulation R49-22-10.

45/ Certificates issued by Oregon Department of Energy.

46/ By Governor.

47/ Oregon statutes, Chapter 469; Rules and Regulations of Energy Facilities Siting Council.

48/ Varies with facility involved.

49/ The authority of the Rhode Island Public Utilities Commission and Division of Public Utilities in regard to facility siting is derived from its oversight of eminent domain and the issuance of securities. All utilities are required to obtain the agency's permission prior to exercising any power of condemnation or issuing securities. No certificate for construction is required, however, public hearings and commission decisions on construction issues may result from these two authorities.

50/ South Carolina Statutes 58-1801 through 58-1832.

51/ Property dedicated to public use, as set out in statutes and judicial decisions.

52/ The general criteria are set out in the Texas Public Utility Regulatory Act and Substantive Rules. They are: nondiscrimination, adequacy of existing service, need for additional service, effect of the grant on the recipient and other like utilities, and factors such as community values, recreational and park areas, historical and aesthetic values, environmental integrity, cost.

53/ 30 VSA §248.

54/ Construction and operation of the proposed facilities must be for the public convenience and necessity. The location and construction of facilities must minimize adverse environmental impact. Criteria are set out in statutes, regulations and judicial decisions.

55/ Statutes require that the proposed facility must be in the public interest, required by the public convenience and necessity, and not adversely affecting another utility.

56/ The Wyoming PSC has authority over transmission lines only. Authority with respect to plant siting was transferred to a new agency created for this purpose - the Industrial Siting Council. Air Quality and water quality administered by the Department of Environmental Quality; also water quality by the Health Department, and water use by the State engineer.

Concerning transmission lines, the Commission evaluates and rules upon any matters relating to certification, including: jurisdiction; public need and purpose; construction, operation, maintenance and financial feasibility; adequacy of financing; ownership, management and operations arrangements; prospective rate impact; and pricing.

Footnotes - Table 69 - SITING BULK POWER SUPPLY FACILITIES (Continued)

57/ The Commission is empowered to issue licenses pursuant to Sections 4(e) and 15 of the Federal Power Act (FPA). Such licenses apply only to hydroelectric generating facilities constructed by all private and non-Federal public entities.

The Commission's authority with respect to construction and operation is pursuant to Sections 9, 10, 11, and 13 of the Federal Power Act.

Regulations under the Federal Power Act applicable to its authority to license hydroelectric facilities are contained in 18 CFR 4 through 9, 12, and 16.

The Commission's jurisdiction extends to all hydroelectric projects which occupy navigable waters of the United States, affect government lands, use water or water power from a government dam, or affects the interests of interstate commerce. Transmission lines licensed by the Commission must be primary lines as defined in Section 3(11) of the Federal Power Act, i.e., a line connecting the hydroelectric plant with a distribution system or interconnected transmission system.

58/ May be waived at request of applicant.

59/ All applications for license and amendment of license are circulated for comments to all appropriate Federal, State, and local agencies. Any person may also comment on applications. Section 4(e) of the Federal Power Act specifically requires comments from the Department of the Interior, Department of Agriculture and the U.S. Corps of Engineers.

60/ Separate Power Siting Commission.

61/ Separate Power Siting Council.

62/ A certificate issued under Article VII or Article VIII of the Public Service Law cannot grant the right of eminent domain to an applicant. However, an applicant who already possesses this right must obtain a certificate before it may exercise its right of eminent domain.

63/ The Board has jurisdiction over construction and equipment cost approvals, but all engineering and environmental considerations are under jurisdiction of the Department of the Environment.

64/ Certificate required for transmission lines operated at voltage levels of 200 KV and higher.

Table 70 - VOLTAGE LEVELS

| AGENCY | Average voltage levels requirements |
|---|---|
| PERI | |
| ALABAMA PSC | 120 ± 5 percent. |
| ALASKA PUC | Not established. |
| ALBERTA PUB | As established by C.S.A. standard C235-69. |
| ARIZONA CC | None established. |
| ARKANSAS PSC | For lighting - ± 5 percent of normal voltage. For power - ± 10 percent of normal voltage. |
| CALIFORNIA PUC | 114 to 122 volts established by Commission policy letter to all electric utilities dated 2/6/77. The Commission established voltage levels within the range of 114 to 120 volts for Pacific Gas & Electric and South California Edison Company. |
| COLORADO PUC | Company is to maintain voltage at ± 5 percent of standard voltage filed in its tariff (normally 120 volts) for lighting purposes and ± 10 percent of filed standard power purposes. |
| CONNECTICUT PUC | 3 percent above and 5 percent below standard voltages. |
| DELAWARE PSC | 120 ± 10 percent. |
| D. C. PSC | 120. |
| FLORIDA PSC | Res. Purposes: 5%, Ind. Power Purposes: 7½%. |
| GEORGIA PSC | 120 ± 10 percent. |
| GUAM PUC | No rule established. |
| HAWAII PUC | 1. Secondary voltages - Retail Service Except Power Service - ± 5 percent.<br>Retail/Power Service - ± 7½ percent.<br>2. Primary voltages - ± 5 percent.<br>3. Transmission voltages - ± 10 percent. |
| IDAHO PUC | ± 10% |
| ILLINOIS CC | 120 ± [illegible] volts. |
| INDIANA PSC | With 5% plus or minus of standard adopted by each utility and filed with the Commission. |
| IOWA SCC | Primary and secondary voltages are filed with this Commission subject to its acceptance and this Commission established allowable voltage variations under various operating conditions. |
| KANSAS SCC | 120 ± 6% secondary, Primary ± 10%, Resale ± 7½%. Three phase balanced. Recommended 108-127. |
| KENTUCKY ERC | 120 ± 6 percent. |
| LOUISIANA PSC | |
| MAINE PUC | ± 5 percent. |
| MARYLAND PSC | 120 ± 5 percent, also 12 other standard levels (higher and/or bilevel). |
| MASSACHUSETTS DPU | |
| MICHIGAN PSC | Allowable variation from standard specified. |
| MINNESOTA PSC | Not established (rules in process). |
| MISSISSIPPI PSC | ± 10 percent nominal voltage adopted. |
| MISSOURI PSC | 4 CSR, 240-10.030 |
| MONTANA PSC | 120 ± 6 percent. |
| NEBRASKA PSC | No regulation. |
| NEVADA PSC | |
| NEW HAMPSHIRE PUC | Secondary voltages -- minimum 110, maximum 125 and multiples thereof: primary voltages -- plus or minus 10 percent of nominal voltage. |
| NEW JERSEY BPU | ± 4 periods of 5 minutes or greater. ± 4% for periods. |
| NEW MEXICO PSC | Commission has authority but has not regulated. |
| NEW YORK PSC | Commission has authority to prescribe voltage levels but has not done so because the nominal voltage levels specified in utility tariffs have been acceptable. Informally, a range of 117-126V has been considered appropriate. |
| NORTH CAROLINA UC | 120-240V required, any other voltage levels must be on file. ± 5 percent variation allowed on residential or specific lighting service, ± 10 percent variation allowed on any other service. |
| NORTH DAKOTA PSC | 120-240. |
| NOVA SCOTIA PUB | No regulation. |
| OHIO PUC | Allowable optimum voltage 120/240 ± 5 percent. |
| OKLAHOMA CC | As established by ASI C 84-1-1970. |
| OREGON PUC | 110-220 ± 5 percent. |
| PENNSYLVANIA PUC | ± 5 percent of each utility's standard voltage. |
| PUERTO RICO PSC | |
| QUEBEC PSB and EGB | No regulation. |
| RHODE ISLAND PUC | 120 ± 10 percent. |
| SOUTH CAROLINA PSC | 120V ± 5 percent. |
| SOUTH DAKOTA PUC | Regulation effective July 1, 1975. No voltage level requirements have been set. |
| TENNESSEE PSC | ± 5 percent of each utility's standard voltage. |
| TEXAS PUC | ± 5 percent of each utility's standard voltage. |
| UTAH PSC | ± 6 percent. There are exceptions at times but most of our utilities maintain variations less than stated. |
| VERMONT PSB | 120 ± 5 percent. |
| VIRGIN ISLANDS PSC | None established. |
| VIRGINIA SCC | 120 volts ± 5 percent in urban areas, ± 7½ percent in rural areas. |
| WASHINGTON UTC | WAC 480-100-191. |
| WEST VIRGINIA PSC | Ten percent above and 10 percent below standard voltages. |
| WISCONSIN PSC | Various. |
| WYOMING PSC | By rule each utility must adopt a "standard nominal voltage" for each of its "districts" and maintain the voltage within prescribed limits. |

## Table 71 - State Regulation of Private Outdoor Lighting Service

The following table was compiled from State commission responses regarding the exercise of regulatory jurisidiction over the provision of private outdoor lighting service by electric utilities. "Private outdoor lighting service" is defined as commission approved tariffs or contracts whereby an electric utility provides non-government customers with outdoor lighting fixtures (under lease, lease-purchase or otherwise) and the energy with which to operate them.

| | Commission Regulates Private Outdoor Lighting Service |
|---|---|
| Alabama PSC | Yes |
| Alaska PUC | Yes |
| Alberta PUB | Yes |
| Arizona CC | Yes |
| Arkansas PSC | Yes |
| California PUC | Yes |
| Colorado PUC | Yes |
| Connecticut PUC | Yes |
| Delaware PSC | Yes |
| District of Columbia | Yes |
| Florida PSC | |
| Georgia PSC | Yes |
| Guam PUC | Yes |
| Hawaii PUC | Yes |
| Idaho PUC | Yes |
| Illinois CC | Yes |
| Indiana PSC | Yes |
| Iowa SCC | Yes |
| Kansas SCC | Yes |
| Kentucky ERC | Yes |
| Louisiana PSC | Yes |
| Maine PUC | Yes |
| Maryland PSC | Yes |
| Massachusetts DPU | Yes |
| Michigan PSC | Yes |
| Minnesota PSC | Yes |
| Mississippi | Yes |
| Missouri PSC | Yes |

| | Commission Regulates Private Outdoor Lighting Service |
|---|---|
| Montana PSC | Yes |
| Nebraska PSC | No |
| Nevada PSC | Yes |
| New Hampshire PUC | Yes |
| New Jersey BPU | Yes |
| New Mexico PSC | Yes |
| New York PSC | Yes |
| North Carolina UC | Yes |
| North Dakota PSC | No 1/ |
| Nova Scotia PUB | Yes |
| Ohio PUC | Yes |
| Oklahoma CC | Yes |
| Oregon PUC | Yes |
| Pennsylvania PUC | Yes |
| Puerto Rico PSC | |
| Rhode Island PUC | Yes |
| South Carolina PSC | Yes |
| South Dakota PUC | Yes |
| Tennessee PSC | Yes |
| Texas PUC | Yes |
| Utah PSC | Yes |
| Vermont PSB | Yes |
| Virgin Islands PSC | Yes |
| Virginia SCC | No 2/ |
| Washington UTC | Yes |
| West Virginia PSC | Yes |
| Wisconsin PSC | Yes |
| Wyoming PSC | Yes |

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1/ The Commission by informal ruling has determined that the provision of private outdoor lighting service is non-utility in nature.

2/ The Virginia State Corporation on March 27, 1978, ordered that Virginia Electric and Power Company (VEPCO) stop offering and furnishing outdoor lighting service, equipment and facilities pursuant to tariff provisions. In the two-to-one decision, the SCC found that the cost necessary to provide the service is not an expense properly attributable to the cost of installing and maintaining facilities devoted to public use. "Accordingly, we find that this property should not be included in the utility's rate base," said the majority SCC opinion. Case has been appealed to Virginia Supreme Court and has been sustained.

# SECTION S

# GAS

Authority of agencies to regulate natural gas production is shown in Table 72. There is no natural gas production in many states. In only Alaska, Kansas, Michigan, Oklahoma and Texas do the agencies have the authority to regulate the volume and rate of take of natural gas production. In 23 states the authority to regulate natural gas production rests, to some degree, with another State agency.

Table 73 reflects the State policy on attachment of new gas customers and new gas loads by natural gas distribution companies under State jurisdiction.

Tables 74 and 75 show State participation in the State Gas Pipeline Safety Program pursuant to Section 5 of the Natural Gas Pipeline Safety Act of 1968 (49 U.S.C.A., Sec. 1674).

Table 76 reflects the extent of State safety jurisdiction over hazardous, liquid pipelines.

Table 77 shows agency participation in safety regulation of rural gas gathering.

Table 78 describes agency policy as to requiring flow-through to consumers of refunds received by gas distributors from interstate natural gas pipeline companies.

Authority of agencies to set safety standards regarding the installation and operation of "bottled gas" service is shown in Table 79.

Table 80 contains statistics for all privately owned gas utilities under agency jurisdiction. The data includes plant, revenues and expenses, customers, average annual residential use, average residential cost per Mcf, average residential monthly bill, and gross plant investment per customer.

Table 81 provides a state-by-state analysis of prevailing policies in effect or proposed for the handling of high cost incremental gas supplies.

Tables 82 and 83 provide information on gas and oil prices for selected cities.

Table 84 reflects data concerning gas metric conversion.

Table 72 - NATURAL GAS PRODUCTION

| AGENCY | The Agency has the authority to - Regulate the volume and rate of production | Regulate the spacing of wells | Regulate rates on well-head contracts | No natural gas production | No Agency regulation of natural gas | Foregoing authority rests with another State agency |
|---|---|---|---|---|---|---|
| FERC | | | X 6/ | | | |
| ALABAMA PSC | | | | | | Oil and Gas Board |
| ALASKA PC | X | | X | | | |
| ALASKA PUC | | | | | | Department of Natural Resources |
| ALBERTA PMC | | | X | | | Alberta Energy Resources Conservation Board |
| ALBERTA PUB | | | | | | Energy Resources Conservation Board. |
| ARIZONA CC | | | | | | Oil and Gas Conservation Commission |
| ARKANSAS PSC | | | | | | Oil and Gas Commission 10/ |
| CALIFORNIA PUC | | | | | | Division of Oil and Gas 10/ |
| COLORADO PUC | | | | | | Oil and Gas Conservation Commission 11/ |
| CONNECTICUT PUC | | | | X | | |
| DELAWARE PSC | | | | X | | |
| D. C. PSC | | | | | | Congress of the United States |
| FLORIDA PSC | | | | | | Internal Improvement Board |
| GEORGIA PSC | | | | X | | |
| GUAM PUC | | | | X | | |
| HAWAII PUC | | | | X | | |
| IDAHO PUC | | | | X | | |
| ILLINOIS CC | | | | | | Department of Mines and Minerals 12/ |
| INDIANA PSC | | | | | | Natural Resources Commission and State Board of Health |
| IOWA SCC | | | | X | | Natural Resources Council |
| KANSAS SCC | X 1/ | X | | | | |
| KENTUCKY ERC | | | X 7/ | | | Department of Mines and Minerals |
| LOUISIANA PSC | | | | | | Conservation Department |
| MAINE PUC | | | | X | | |
| MARYLAND PSC | | | | | X | |
| MASSACHUSETTS DPU | | | | X | | |
| MICHIGAN PSC | X 2/ | | X 8/ | | | Department of Natural Resources 13/ |
| MINNESOTA PSC | | | | | X | |
| MISSISSIPPI PSC | | | | | | Oil and Gas Board |
| MISSOURI PSC | | | | X | | |
| MONTANA PSC | | | | | | |
| NEBRASKA PSC | | | | | X | |
| NEVADA PSC | | | | | X | |
| NEW HAMPSHIRE PUC | | | | X | | |
| NEW JERSEY BPU | | | | X | X | |
| NEW MEXICO PSC | | | | | | Oil Conservation Commission 10/ |
| NEW YORK PSC | | X 5/ | | | | Department of Environmental Conservation |
| NORTH CAROLINA UC | | | | X | | Department of Natural and Economic Resources |
| NORTH DAKOTA PSC | | | | | | Industrial Commission 10/ |
| NOVA SCOTIA PUB 16/ | | | | | | |
| OHIO PUC | | | | | | Department of Natural Resources regulates spacing of wells. |
| OKLAHOMA CC | X 3/ | X | | | | |
| ONTARIO EB | | | | | X | Ministry of Natural Resources |
| OREGON PUC | | | | X | | |
| PENNSYLVANIA PUC | | | | | X | |
| PUERTO RICO PSC | | | | X | | |
| QUEBEC EGB | | | | | | |
| RHODE ISLAND PUC | X 17/ | | | X | | |
| SOUTH CAROLINA PSC | | | | X | X | |
| SOUTH DAKOTA PUC | | | | | X | |
| TENNESSEE PSC | | | | | | Dept. of Gas Conservation, Geology Div., Oil and Gas Board |
| TEXAS RC | X 4/ | X | | | | |
| UTAH PSC | | | | | | The Division of Oil and Gas Conservation 7/ |
| VERMONT PSB | | | | X | | |
| VIRGIN ISLAND PSC | | | | X | X | |
| VIRGINIA SCC | | | | 9/ | | |
| WASHINGTON UTC | | | | X | | |
| WEST VIRGINIA PSC | | | | | | |
| WISCONSIN PSC | | | | X | X | |
| WYOMING PSC | | | 15/ | | | Oil and Gas Commission 14/ |

1/ Rateable take is on a reservoir basis. Rate of production is determined by hearings based on market demands.

2/ Maximum rate of gas well gas production based on percentage of open flow capacity. Oil well gas production, where restricted, based on gas oil ratios. No rateable take for oil well gas production. Rateable take for gas well gas production under standard commission rules, based on formula giving equal weight to open flow capacity and acreage factor. Acreage factor is dependent on size of producing unit and location of well on unit. Majority of gas well gas production is state pro-rated, based on formula giving dominant weight to pay rock volume attributable to producing unit.

3/ Rateable take is on a reservoir and statewide basis. Rate of production is determined by market demand.

4/ Rateable take is on a reservoir basis.

5/ Well spacing is regulated on fields discovered after October 1963, by New York Department of Environmental Conservation.

6/ "Yes," if for resale to interstate commerce.

7/ Some jurisdiction.

8/ Limited to changes in intially filed rates and conditions of service.

9/ Minor.

10/ Authority to regulate the volume and rate of production and the spacing of wells.

11/ Regulates volume and rate of production, with rateable take on a statewide basis and regulates the spacing of wells.

12/ Regulates the spacing of wells and well-head prices.

13/ Oil well gas production and well spacing jurisdiction.

14/ Unitizing and flaring control.

15/ Authority eliminated by 1976 Wyoming Supreme Court ruling.

16/ Legislation is under consideration by Government.

17/ LPG and LNG only.

## Introduction - STATE POLICY ON ATTACHMENT OF NEW GAS CUSTOMERS, AND NEW GAS LOADS BY NATURAL GAS DISTRIBUTION COMPANIES UNDER STATE JURISDICTION

The information reflected in Table 73 was developed in response to the following questions.

1. (a) Number of major gas distribution companies serving the State.

   (b) Number of major gas distribution companies in the State attaching new customers or additional loads during period covered by this General Report.
   Approximate percentage of Mcf in State sold by these utilities.

   (c) Number of major gas distribution companies attaching the following categories of customers: (A single company may be listed in more than one category.)

   Category
   Residential
   Small commercial
   Large commercial
   Industrial
   Interruptible

   (d) Number with waiting list of applicants.

   (e) Number without waiting list of applicants.

2. (a) Does State law require gas distribution companies to provide service on request?

   (b) Do State Commission rules and regulations require gas distribution companies to provide service on request?

   (c) May a distribution utility, on its own authority, refuse to provide service within its service area if gas supplies are unlimited?

3. Number of major gas distribution companies with "gas limitations" or "controlled attachment plans."
   Approximate percentage of State population covered.

4. Number of major gas distribution companies with "gas curtailment plans."
   Approximate percentage of State population covered.

5. For those major companies in the State adding new customers or loads, indicate the source of gas supply to service such customers. (A single company may be listed in more than one category.)

   Category
   Interstate pipeline supply
   Intrastate supply
   Underground storage
   LNG
   SNG
   LPG
   Exploration and development (all types)
   Conservation
   Converting interrputible
   Converting boiler fuel
   Other (specify)

6. Has the State Commission made a policy statement, by order or decision, as to attachment of new customers or new loads by gas distribution companies within the State during the national gas shortage?

Table 73 - STATE POLICY ON ATTACHMENT OF NEW GAS CUSTOMERS, AND NEW GAS LOADS BY NATURAL GAS DISTRIBUTION COMPANIES UNDER STATE JURISDICTION

| STATE | Number of Companies Serving State | Number of Companies Attaching Customers/ New Loads | Percent of MCF Sold By These Companies | Number of Companies Adding in Categories | | | | | Number With Waiting Lists | Number Without Waiting Lists |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Residential | Small Commercial | Large Commercial | Industrial | Inter-ruptible | | |
| ALABAMA | | 5 | N/A | 5 | 5 | 5 | 5 | -- | N/A | N/A |
| ALASKA | 3 | 3 | 100% | 3 | 3 | 2 | 2 | 1 | 0 | 3 |
| ALBERTA PUB | 3 1/ | 3 | 95% | 3 | 3 | 3 | 3 | 3 | 0 | 3 |
| ARIZONA | 12 | 11 | DK | 11 | 11 | 10 | 10 | 0 | 2 | 10 |
| ARKANSAS | 7 | 4 | 90% | 4 | 4 | -- | -- | 3 | | |
| CALIFORNIA 1/ | 3 | 3 | 100% | 3 | 3 | 3 | 3 | 3 | | |
| COLORADO | 23 | 23 | 100% | 23 | 23 | 0 | 0 | 0 | 23 | 0 |
| CONNECTICUT | 6 | 6 | 100% | 6 | 6 | 6 | 6 | 5 | 6 | 0 |
| DELAWARE | 2 | 2 | 100% | | | | | | 2 | 0 |
| DIST. OF COLUMBIA | 1 | 1 4/ | 100% | 1 | 1 | 1 | 1 | 1 | 1 | 0 |
| FLORIDA | 77 | 77 | 85% | 77 | 77 | 77 | 77 | -- | N/A | N/A |
| GEORGIA | 4 | 4 | 90% | 4 | 4 | 3 | 3 | 3 | 0 | 4 |
| HAWAII | | | | | | | | | | |
| IDAHO | 2 | 2 | 100% | 2 | 2 | 2 6/ | 2 6/ | 0 | 0 | 2 |
| ILLINOIS | 7 | 7 | 90% | 7 | 7 | 5 | 4 | 0 | 7 | 0 |
| INDIANA | 46 | 46 | 100% | 46 | 46 | 0 | 0 | 0 | DK | DK |
| IOWA | 11 | 11 | 95% | 11 | 11 | 11 | 11 | | 11 | 0 |
| KANSAS | 5 | 5 | 75% | 5 | 5 | 0 | 0 | 0 | 5 | 0 |
| KENTUCKY | 4 | -- | -- | 0 | 0 | 0 | 0 | 0 | 4 | 0 |
| LOUISIANA | 42 | 42 | DK | 42 | 42 | 42 | -- | -- | 0 | 0 |
| MAINE | 1 | 1 | 100% | 1 | 1 | 1 | 1 | 0 | 0 | 1 |
| MARYLAND | 11 | 0 | -- | 0 | 0 | 0 | 0 | 0 | | |
| MASSACHUSETTS | 13 | 5 | 45% | 5 | 5 | 5 | 5 | 5 | 5 | 8 |
| MICHIGAN | 10 | 9 | 99% | 9 | 9 | 5 | 4 | 4 | 10 | 0 |
| MINNESOTA | 11/ | 21 | 100% | 21 | 21 | 5 | 5 | 3 | 21 | 0 |
| MISSISSIPPI | 3 | 3 | 70% | 3 | 3 | 0 | 0 | 0 | 3 | 0 |
| MISSOURI | 14 | DK | -- | | | | | | | |
| MONTANA 12/ | 2 | 2 | 98% | 2 | 2 | 0 | 0 | 0 | 1 | 1 |
| NEBRASKA 13/ | | | | | | | | | | |
| NEVADA | 3 | 0 | -- | | | | | | | |
| NEW HAMPSHIRE | 4 | 4 | 100% | 4 | 4 | 4 | 4 | 0 | 4 | 0 |
| NEW JERSEY | 4 | 4 | 100% | 4 | 4 | 4 | 4 | 0 | 4 | 0 |
| NEW MEXICO | 6 | 0 | -- | 0 | 0 | 0 | 0 | 0 | N/A | N/A |
| NEW YORK | 14 3/ | 14 | 99.7% | 14 | 14 | 14 15/ | 14 15/ | 5 | 4 | |
| NORTH CAROLINA | 5 | 5 | 97% | 5 | 5 | 5 | 5 | 5 | 0 16/ | 5 16/ |
| NORTH DAKOTA | 3 | 3 | 100% | 3 | 3 | 1 | 1 | 2 | 1 | 2 |
| NOVA SCOTIA 24/ | | | | | | | | | | |
| OHIO | 8 | 8 | | | | | | | 8 | 0 |
| OKLAHOMA | 4 | 4 | 80% | 4 | 4 | 1 | 1 | 1 | | |
| ONTARIO EB | | | | | | | | | | |
| OREGON | 3 | 3 | 100% | 3 | 3 | 3 | 3 | 3 | 17/ | 17/ |
| PENNSYLVANIA | 43 | 10 | 90% | 10 | 10 | 10 | 10 | 10 | 43 | 0 |
| QUEBEC EGB | 3 | 3 | 100% | 3 | 3 | 3 | 3 | 3 | DK | DK |
| RHODE ISLAND | 6 18/ | 6 18/ | 100% | 6 | 6 | 5 | 5 | 0 | 0 | 6 |
| SOUTH CAROLINA | 6 | 6 | 85% | 6 | 6 | 6 | 6 | 6 | 6 | 0 |
| SOUTH DAKOTA | 4 | 4 | N/A | 4 | 4 | 0 | 0 | N/A | 4 | 0 |
| TENNESSEE | 7 | 4 | N/A | 4 | 4 | 0 | 0 | 0 | | |
| TEXAS | 59 20/ | 59 | 100% | 59 | 59 | 59 | 59 | 59 | 0 | 59 |
| UTAH | 2 | 2 | 100% | 2 | 2 | 21/ | 2 | 1 | 0 | 2 |
| VERMONT | 7 | 7 | 100% | 7 | 7 | 7 | 7 | 1 | 1 | 6 |
| VIRGINIA | 12 | 12 | 100% | 12 | 12 | 12 | 12 | 12 | 0 | 12 |
| WASHINGTON | 4 | 4 | 99% | 4 | 4 | 4 | 4 | 4 | 0 | 4 |
| WEST VIRGINIA | 5 | -- | -- | 1 | 1 | -- | -- | -- | 5 | 0 |
| WISCONSIN | 7 | 6 | 92% | 6 | 6 | 4 | 4 | 4 | 3 | 3 |
| WYOMING | 5 | 5 | 88% | 5 | 5 | 4 | 3 | 3 | 0 | 5 |

DK -- Don't Know   N/A -- Not Available

1/ Major gas distribution companies.
2/ When unreasonable costs are involved.
3/ All companies with sales in 1979 of one million Mcf or more.
4/ Firm customers limited to average daily consumption in a peak month to less than 500 therms.
5/ For reasons other than service, such as cost of service line.
6/ Limited to top management approval if load exceeds 500 therms/day of maximum usage.
7/ By gas limitation orders for each of the companies.

Table 73 - STATE POLICY ON ATTACHMENT OF NEW GAS CUSTOMERS, AND NEW GAS LOADS BY NATURAL GAS DISTRIBUTION COMPANIES UNDER STATE JURISDICTION (Cont.)

| STATE | State Law - Gas on Request | Commission Rules - Gas on Request | Can Companies Refuse Service if Gas Unlimited | Number of Companies with Gas Limitation Plans | Percentage of State Population - Covered | Number of Companies with Curtailment Plans | Percentage of State Population |
|---|---|---|---|---|---|---|---|
| ALABAMA | Yes | Yes | No | 4 | 65% | 4 | 65% |
| ALASKA | Yes | No | No | 0 | -- | 0 | -- |
| ALBERTA PUB | Yes | Yes | No | 3 | -- | 3 | |
| ARIZONA | No | Yes | No | 12 | 100% | 12 | 100% |
| ARKANSAS | No | Yes | Yes 2/ | 0 | -- | 7 | 30% |
| CALIFORNIA | Yes | Yes | No | 3 | 95% | 3 | 95% |
| COLORADO | Yes | Yes | No | 23 | 100% | 23 | 100% |
| CONNECTICUT | No | Yes | No | 0 | -- | 6 | 57% |
| DELAWARE | | Yes | No | 0 | -- | 2 | DK |
| DIST. OF COLUMBIA | No | No | No 5/ | 1 | 100% | 1 | 100% |
| FLORIDA | Yes | Yes | Yes | 77 | 85% | 77 | 85% |
| GEORGIA | Yes | Yes | Yes | 4 | 75% | 4 | 75% |
| HAWAII | | | | | | | |
| IDAHO | Yes | Yes | No | 0 | -- | 2 | 80% |
| ILLINOIS | Yes | N/Ap. | No | 7 | 90% | 2 | |
| INDIANA | No | No | Yes | | | | |
| IOWA | No | No | No | 11 | 75% | 11 | 75% |
| KANSAS | Yes | Yes | No | 1 | 1% | 5 | 85% |
| KENTUCKY | No | No | No | 4 | 70% | 4 | 70% |
| LOUISIANA | No | No | Yes 8/ | 0 | -- | -- | -- |
| MAINE | Yes | Yes | Yes | 0 | -- | 1 | 2% |
| MARYLAND | | | Yes 8/ | 11 | 75% | 11 | 75% |
| MASSACHUSETTS | Yes | Yes | Yes | 10 | 80% | 13 | 80% |
| MICHIGAN | No | Yes | Yes 9/ | 10 | 75% | 10 | 75% |
| MINNESOTA | Yes | Yes | No | 13 | 70% | 8 | 65% |
| MISSISSIPPI | Yes | Yes | No | 3 | 70% | 3 | 70% |
| MISSOURI | No | | No | 14 | 90% | 14 | 90% |
| MONTANA | Yes | No | No | 2 | 85% | 1 | 20% |
| NEBRASKA 13/ | | | | | | | |
| NEVADA | | | No | 0 | -- | 0 | -- |
| NEW HAMPSHIRE | Yes 14/ | Yes 14/ | No | 4 | 25% | 4 | 40% |
| NEW JERSEY | Yes | Yes | No | 4 | 95% | 4 | 95% |
| NEW MEXICO | | | No | 6 | 100% | 6 | 100% |
| NEW YORK | No | No | No | 14 | 85% | 14 | 85% |
| NORTH CAROLINA | Yes | Yes | No | 5 | 20% | 5 | 20% |
| NORTH DAKOTA | No | No | No | 2 | 95% | 3 | 100% |
| OHIO | No | No | No | 0 | | 25/ | |
| OKLAHOMA | No | Yes | Yes 2/ | 0 | -- | 0 | -- |
| ONTARIO EB | | | No | | | | |
| OREGON | Yes | Yes | No | 3 | 90% | 3 | 90% |
| PENNSYLVANIA | No | Yes | No | 43 | 90% | 43 | 90% |
| QUEBEC EGB | No | No | Yes | DK | -- | 0 | -- |
| RHODE ISLAND | Yes | Yes 22/ | No | 0 | 99% | 6 | 99% |
| SOUTH CAROLINA | Yes 19/ | Yes 19/ | Yes 19/ | 6 | 50% | 5 | 50% |
| SOUTH DAKOTA | No | No | Yes | 1 | N/A | 4 | N/A |
| TENNESSEE | No | No | Yes | 7 | N/A | 7 | N/A |
| TEXAS | Yes | No | No | 0 | -- | All | 100% |
| UTAH | No | Yes | Yes | 2 | 90% | 2 | 90% |
| VERMONT | | Yes | No | 0 | 4% | 0 | -- |
| VIRGINIA | No | Yes 19/ | Yes | 10 | 33% | 12 | 33% |
| WASHINGTON | Yes | Yes | No | 4 | 90% | 4 | 90% |
| WEST VIRGINIA | | | No | 5 | 90% | 5 | 90% |
| WISCONSIN | Yes | Yes | No | 7 | 95% | 6 | 90% |
| WYOMING | Yes | Yes | No | 5 | 88% | 2 | 4% |

DK -- Don't Know N/A -- Not Available N/Ap. -- Not Applicable

8/ If in compliance with extension plan of distribution company.
9/ Authority must be granted by the Commission.
10/ Six utilities presently buying intrastate produced gas under new contracts.
11/ Thirteen private and eighteen municipal; twelve private and nine municipal may be considered major.
12/ Montana-Dakota Utilities is now in a curtailment program and can add no more than 4,000 (system-wide) new customers per year. MDU has applied to increase the minimum to 7,500 (system-wide).
13/ Nebraska Public Service Commission has no jurisdiction in this area.
14/ Subject to tariff.

Table [illegible] - STATE POLICY ON ATTACHMENT OF NEW GAS CUSTOMERS, AND NEW GAS LOADS BY NATURAL GAS DISTRIBUTION COMPANIES UNDER STATE JURISDICTION (Cont.)

| STATE | Source of Gas Supply | | | | | | | | | | | Commission Made a Policy Statement | Statement Number |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Interstate Pipeline | Intrastate Pipeline | Underground Storage | LNG | SNG | LPG | Exploration/ Development | Conservation | Converting Interruptible | Converting Boiler Fuel | Other | | |
| ALABAMA | X | X | - | X | - | X | X | - | X | - | - | No | |
| ALASKA | 0 | 3 | 1 | 0 | 0 | 0 | 1 | 3 | 0 | 0 | 0 | No | |
| ALBERTA PUB | | | | | | | | | | | | | |
| ARIZONA | 1 | 0 | 0 | 0 | 1 | 0 | 1 | 12 | 12 | 12 | 0 | Yes | General Order |
| ARKANSAS | 3 | 3 | 1 | 1 | 0 | 0 | 3 | 3 | 3 | 3 | 0 | No | |
| CALIFORNIA | 3 | 3 | 2 | 1 | 0 | | 2 | 3 | 3 | 3 | 0 | Yes | |
| COLORADO | 15 | 8 | 3 | 0 | 0 | 3 | 2 | 23 | 23 | 23 | 0 | No | |
| CONNECTICUT | 2 | 0 | 0 | 5 | 6 | 1 | 1 | 0 | 0 | 0 | 0 | Yes | 11070 |
| DELAWARE | | | | | | | | | | | | Yes | Order #1102 |
| DIST. OF COLUMBIA | 1 | | | | | | | | | | | Yes | Formal Case #571 |
| FLORIDA | X | X | - | - | - | X | X | - | X | - | - | No | |
| GEORGIA | 4 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | No | |
| HAWAII | | | | | | | | | | | | | |
| IDAHO | 2 | 0 | 2 | 2 | 0 | 0 | 0 | 0 | 2 | 0 | 0 | No | |
| ILLINOIS | 7 | 1 | 0 | 0 | 0 | 0 | 0 | 7 | 5 | 0 | 0 | Yes | 7/ |
| INDIANA | X | X | X | X | 0 | 0 | X | 0 | 0 | 0 | 0 | No | |
| IOWA | 11 | 0 | 2 | 3 | 0 | 9 | 1 | 11 | 0 | 0 | 0 | No | |
| KANSAS | 5 | 2 | 2 | 0 | 0 | 0 | 2 | 5 | 0 | 5 | 5 | No | |
| KENTUCKY | X | X | X | X | X | X | | | | | | No | |
| LOUISIANA | X | X | - | - | - | - | X | - | X | X | | No | |
| MAINE | 1 | 0 | 0 | 1 | 0 | 1 | 0 | 0 | 0 | 0 | 0 | No | |
| MARYLAND | 9 | 0 | 2 | 1 | 1 | 2 | 0 | 11 | 0 | 0 | 0 | No | |
| MASSACHUSETTS | 10 | 0 | 0 | 5 | 5 | 5 | 0 | 5 | 0 | 0 | 0 | No | |
| MICHIGAN | 10 | 6 10/ | 5 | 0 | 1 | 2 | 0 | 10 | 0 | 0 | 0 | No | |
| MINNESOTA | 0 | 0 | 1 | 2 | 0 | 20 | 0 | 21 | 5 | 3 | 0 | No | |
| MISSISSIPPI | 3 | 3 | 2 | 0 | 0 | 0 | 2 | 3 | 0 | 3 | 0 | No | |
| MISSOURI | 8 | 0 | 1 | 0 | 0 | 2 | 1 | 14 | 0 | 0 | 0 | No | |
| MONTANA | 1 | 1 | 2 | 0 | 0 | 0 | 2 | 2 | 2 | 0 | 0 | No | |
| NEBRASKA 13/ | | | | | | | | | | | | | |
| NEVADA | | 0 | 0 | 0 | 0 | 0 | 1 | X | X | X | - | No | |
| NEW HAMPSHIRE | 2 | 0 | 1 | 3 | 0 | 4 | 1 | 0 | 0 | 0 | 0 | Yes | NHPUC I-R 14384, #11957 |
| NEW JERSEY | 4 | 0 | 4 | 4 | 2 | 4 | 4 | 4 | 0 | 4 | 4 | Yes | 748-639 |
| NEW MEXICO | 1 | X | 2 | 2 | 0 | X | 0 | X | 0 | 0 | - | Yes | Docket #1205 |
| NEW YORK | 12 | 2 | 7 | 4 | 1 | 5 | 11 | 14 | 6 | 6 | 3 23/ | Yes | 25766 |
| NORTH CAROLINA | X | 0 | X | X | 0 | X | X | X | X | X | | Yes | G100 Sub. 33 |
| NORTH DAKOTA | 2 | 0 | 1 | 1 | 0 | 2 | 1 | 3 | 1 | 1 | 0 | No | |
| OHIO | X | | X | X | X | X | X | X | X | X | | Yes | 71-757-G |
| OKLAHOMA | 3 | 1 | 3 | 0 | 0 | - | 4 | 4 | 4 | 4 | 0 | No | |
| ONTARIO EB | X | X | X | | | | X | | | | | | |
| OREGON | 3 | 0 | 3 | 3 | 0 | 3 | 0 | 3 | 0 | 0 | 0 | Yes | OR-75-336 |
| PENNSYLVANIA | X | X | X | X | X | 0 | X | X | 0 | 0 | 0 | Yes | 124 |
| QUEBEC EGB | X | | | | | | | | | | | | |
| RHODE ISLAND | 6 | 6 | 2 | 2 | 4 | 6 | 1 | 6 | 0 | 2 | 0 | Yes | Contingency Plan |
| SOUTH CAROLINA | 3 | 0 | 1 | 2 | 0 | 3 | 1 | 0 | 0 | 0 | 0 | Yes | 17511 |
| SOUTH DAKOTA | 4 | 0 | DK | 3 | 0 | 0 | 1 | DK | 1 | 3 | 0 | No | |
| TENNESSEE | 7 | 0 | 5 | 3 | 0 | 2 | | 7 | 0 | 0 | 0 | No | |
| TEXAS | X | X | X | | | | | | | | | Yes | GUD 489, GUD 600 |
| UTAH | 2 | 2 | 1 | 0 | 0 | 0 | 2 | 0 | 0 | 0 | 0 | No | |
| VERMONT | 1 | | | | | 6 | | | | | | No | |
| VIRGINIA | 9 | 3 | 7 | 5 | 3 | 6 | 2 | 12 | 3 | 0 | 0 | Yes | Case 20104 |
| WASHINGTON | 4 | 0 | 4 | 4 | 0 | 2 | 2 | 4 | 4 | 4 | 0 | No | |
| WEST VIRGINIA | 1 | 1 | 1 | - | - | - | 1 | - | - | - | - | No | |
| WISCONSIN | 6 | | | 1 | | 7 | | 7 | 0 | 0 | | No | |
| WYOMING | 4 | 2 | 3 | 0 | 0 | 0 | 3 | 5 | 5 | 0 | 0 | No | |

15/ Large commercial and industrial customers above certain set limits specified in tariffs must have Commission approval.
16/ Based on gas supply. Waiting lists exist only to the extent of time required to physically attach new customers.
17/ Companies required to maintain waiting lists of requests for firm service in excess of 500 therms per day.
18/ Six companies due to the fact that an operating division of a gas company is considered a separate company for the purposes of this table.
19/ Unless otherwise ruled by the Commission.
20/ Distribution companies; many other transmission companies.
21/ Applicants using more than 150 Mcf/day require Commission approval.
22/ Subject to line extension policy.
23/ Two companies with local production, one with International Pipeline from Canada.
24/ Extension of natural gas pipeline to Nova Scotia under consideration by National Energy Board, with decision expected in 1980.
25/ Although the eight major gas companies have curtailment plans on file, they are all currently suspended. If shortages arise, they will be reactivated.

### Table 74 STATE GAS PIPELINE SAFETY PROGRAM - Part I

State participation in intrastate safety program under Section 5(a) (b) of the Natural Gas Pipeline Safety Act of 1968 /49 U.S.C.A., Sec.1674 (a) (b)/

| AGENCY | (C) Certification (A) Agreement | States acting as Agent of the Secretary of Transportation to exercise safety jurisdiction over interstate transmission facilities under 49 C.F.R., Sec. 190.6 | Gas Safety Investigators Employed - | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Full-Time | | | | Part-Time | | | |
| | | | Number | Annual Salary Range | Average Annual Salary | Average Years of Service | Number | Annual Salary Range | Average Annual Salary | Average Years of Service |
| ALABAMA PSC | C | No | 8 | $12,805-$26,052 | $17,605 | 6 | | | | |
| ALASKA PUC | A | No | 1 | $22,668-$27,252 | | | | | | |
| ARIZONA CC | C | No | 1 | $19,162-$26,040 | $23,000 | 5 | | | | |
| ARKANSAS PSC | C | No | 3 | $14,896-$20,240 | $18,293 | 5 | | | | |
| CALIFORNIA PUC | C | No | 5 | $15,000-$27,000 | $23,000 | | | | | |
| COLORADO PUC | C | No | 2 | $16,896-$22,656 | $20,568 | 6 | | | | |
| CONNECTICUT PUC | C | Yes | | | | | 4 | $14,768-$23,365 | $19,762 | 11 |
| DELAWARE PSC 6/ | | Yes | | | | | | | | |
| D.C. PSC | C | No | 1 | $27,500-$35,700 | $28,400 | | | | | |
| FLORIDA PSC | C | Yes | 6 1/ | $14,240-$19,000 | $17,087 | 4.6 | | | | |
| GEORGIA PSC | C | No | 3 | $11,118-$16,026 | $12,934 | 4 | | | | |
| HAWAII PUC 8/ | | | | | | | | | | |
| IDAHO PUC | C | | 1 | $13,104-$17,568 | $15,936 | 10 | | | | |
| ILLINOIS CC | C | No | 8 | $16,884-$27,864 | $13,992 | 5 | | | | |
| INDIANA PSC | C | No | 3 | $15,202-$23,000 | $21,736 | 8 | | | | |
| IOWA SCC | C | Yes | | | | | 3 | $13,832-$17,888 | $16,328 | 11 |
| KANSAS SCC | C | Yes | 3 | $14,892-$20,496 | $18,028 | 4.5 | | | | |
| KENTUCKY ERC | C | Yes | 2 | $ 7,716-$23,712 | $13,350 | 7 | | | | |
| LOUISIANA 3/ 7/ | | | | | | | | | | |
| MAINE PUC | C | No | | | | | 1 | $13,915-$18,658 | $11,452 5/ | 14 |
| MARYLAND PSC | C | No | 2 1/ | $10,000-$23,300 | $15,500 | 6 | | | | |
| MASSACHUSETTS DPU | C | Yes | 9/ | | | | | | | |
| MICHIGAN PSC | C | Yes | 3 10/ | $16,787-$25,786 | $24,000 | 5 | | | | |
| MINNESOTA DPS 4/ | - | | | | | | | | | |
| MISSISSIPPI PSC | C | No | 3 | $10,980-$17,340 | $13,728 | 4.3 | | | | |
| MISSOURI PSC | C | No | 1 | $18,648-$21,338 | | 10 | | | | |
| MONTANA PSC | C | Yes | | | | | 2 | $14,000-$21,000 | $16,000 | 8 |
| NEBRASKA PSC | | | | | | | | | | |
| NEVADA PSC | C | No | 1 | $15,038-$20,808 | $17,500 | 1 | | | | |
| NEW HAMPSHIRE PUC | C | Yes | 1 1/ | $17,364-$21,237 | | 3 | | | | |
| NEW JERSEY BPU | A | No | 5 | $15,131-$17,131 | $16,815 | 3 | | | | |
| NEW MEXICO PSC | A | Yes | 2 | $12,700-$17,900 | $13,000 | 4 | | | | |
| NEW YORK PSC | C | No | 24 2/ | $13,720-$22,240 | $17,196 | 14.5 | | | | |
| NORTH CAROLINA UC | C | No | 3 | $14,772-$25,860 | $19,716 | 17 | | | | |
| NORTH DAKOTA PSC | C | No | | | | | 2 | | | |
| OHIO PUC | C | Yes | 5 | $10,000-$14,000 | $11,500 | 3 | | | | |
| OKLAHOMA CC | C | Yes | 2 | $10,000-$14,000 | $13,000 | 10 | | | | |
| OREGON PUC | C | No | 2 1/ | $15,768-$28,056 | $23,690 | 17 | | | | |
| PENNSYLVANIA PUC | C | No | 7 | $14,000-$23,000 | $17,500 | 7 | | | | |
| PUERTO RICO PSC | C | No | 6 | $ 5,760-$ 6,840 | $ 6,150 | 12 | | | | |
| RHODE ISLAND PUC | C | Yes | 2 | $12,000-$17,000 | $14,000 | 3 | 7 | $10,000-$22,000 | $16,000 | 3 |
| SOUTH CAROLINA PSC | | | 3 | $11,521-$15,919 | $12,000 | 5 | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | |
| TENNESSEE PSC | C | No | 5 | $15,252-$16,932 | $16,596 | 20 | | | | |
| TEXAS RC | C | No | 11 | $17,000-$30,000 | $20,000 | 4 | | | | |
| UTAH PSC | C | Yes | 1 | $19,000 | $19,000 | 10 | | | | |
| VERMONT PSB | A | Yes | 1 | $12,000-$16,000 | $16,000 | 2 | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | |
| VIRGINIA SCC | C | Yes | 1 | $15,000-$18,700 | $17,150 | 2 | 2 | $20,500-$28,000 | $25,650 | 11 |
| WASHINGTON UTC | C | Yes | 2 | $21,864-$30,888 | $27,504 | 11 | | | | |
| WEST VIRGINIA PSC | C | Yes | 1 | $14,460-$23,544 | $23,544 | 4 | | | | |
| WISCONSIN PSC | C | Yes | | | | | 3 | $16,975-$30,576 | $24,217 | 12 |
| WYOMING PSC | C | Yes | 2 | $17,616-$19,428 | $18,522 | 4 | 3 | $17,616-$22,488 | $19,240 | 5 |

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1/ Gas Safety Engineers.
2/ Five levels of Gas and Petroleum Inspectors.
3/ Administered by Louisiana Department of Conservation.
4/ Regulated by the Department of Public Safety, State Fire Marshal Division, Minnesota Statutes, Chapter 299 F.
5/ Represents 60% of full year's salary.
6/ Public Utility Engineer employed in fiscal year 1976; one-half time on gas pipeline safety.
7/ Limited to odorization.
8/ Administered by Consumer Advocate, Department of Regulatory Agencies.
9/ Functions performed by engineers as required.
10/ All investigators are engineering graduates; a fourth engineering position is authorized but is not filled.

Table 75 - STATE GAS PIPELINE SAFETY PROGRAM - Part II
Calendar Year Ending December 31, 1979 (Unless otherwise noted)

Cost of Administering State Safety Program Independently or Pursuant to Section 5 of the Natural Gas Pipeline Safety Act of 1968 (49 U.S.C.A., Sec. 1674):

| | Full-Time Personnel Year-End | | | | | | | | | Part-Time Personnel Year-End | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AGENCY | No. Profes-sional Members | State Funds ($) | Federal Funds ($) | No. Other Members (non-clerical) | State Funds ($) | Federal Funds ($) | Number Cler-ical Members | State Funds ($) | Federal Funds ($) | No. Profes-sional Members | State Funds ($) | Federal Funds ($) | No. Other Members (non-clerical) | State Funds ($) | Federal Funds ($) | Number Cler-ical Members | State Funds ($) | Federal Funds ($) |
| ALABAMA PSC | 7 | 63,867 | 63,868 | 1 | 14,720 | 14,717 | 1 | 7,203 | 7,203 | | | | | | | | | |
| ALASKA PUC | | | | | | | | | | | | | | | | | | |
| ARIZONA CC | | | | | | | | | | | | | | | | | | |
| ARKANSAS PSC | 3 | 34,650 | 34,650 | | | | 1 | 4,779 | 4,779 | | | | | | | | | |
| CALIFORNIA PUC | 5 | 81,556 | 81,556 | | | | | | | 2 | 23,388 | 23,388 | | | | | | |
| COLORADO PUC 8/ | 2 | 27,186 | 27,186 | | | | | | | 1 | 865 | 865 | 1 | 325 | 325 | 1 | 784 | 784 |
| CONNECTICUT PUC | | 14,634 | 14,634 | | | | | 1,950 | 1,950 | | | | | | | | | |
| DELAWARE PSC | | 3,827 | 3,827 | | | | | 325 | 325 | | | | | | | | | |
| D.C. PSC | | | 30,686 | | | | | | 9,844 | | | | | | | | | |
| FLORIDA PSC 8/ | 7 | 156,279 | | | | | 1 | 13,170 | | | | | | | | | | |
| GEORGIA PSC | | 7,355 | 7,355 | | 26,063 | 26,063 | | 3,193 | 3,193 | | | | | | | | | |
| HAWAII PUC | Data unavailable. | | | | | | | | | | | | | | | | | |
| IDAHO PUC | | 10,312 | 10,312 | | | | | | | | 1,031 | 1,031 | | | | | | |
| ILLINOIS CC | 8 | 68,402 | 68,402 | | | | 1 | 4,643 | 4,643 | 2 | 1,525 | 1,525 | | | | 1 | 23 | 23 |
| INDIANA PSC | | 9,855 | 9,855 | | 29,671 | 29,671 | | 4,433 | 4,433 | | | | | | | | | |
| IOWA SCC 8/ | | | | | | | | | | 2 | 1,542 | 1,542 | 3 | 11,324 | 11,324 | 1 | 610 | 610 |
| KANSAS SCC 8/ | | 25,154 | 25,154 | | | | | | | | | | | 3,440 | 3,440 | | 355 | 355 |
| KENTUCKY ERC | 1 | 14,822 | 14,822 | 4 | 39,539 | 39,539 | 1 | 5,244 | 5,244 | | | | | | | | | |
| LOUISIANA PSC | Program not under jurisdiction of the PSC. | | | | | | | | | | | | | | | | | |
| MAINE PUC | | 9,179 | 7,095 | | | | | 142 | 109 | | 459 | 355 | | | | | | |
| MARYLAND PSC 8/ | | 8,864 | 8,864 | | 333 | 333 | | 1,348 | 1,348 | | | | | | | | | |
| MASSACHUSETTS DPU | 12/ | | | | | | | | | 3 13/ | 14,723 | 14,723 | 16 14/ | 18,400 | 18,400 | 2 | 3,154 | 3,154 |
| MICHIGAN PSC 15/ | | 8,072 | 8,073 | | 50,394 | 50,393 | | 2,957 | 2,957 | | | | | | | | | |
| MINNESOTA 16/ | | | | | | | | | | | | | | | | | | |
| MISSISSIPPI PSC | 1 | 8,919 | 8,919 | 3 | 24,676 | 24,676 | 2 | 7,522 | 7,522 | | | | | | | | | |
| MISSOURI PSC | | 40,268 | 40,268 | | | | | | | | | | | | | | 2,847 | 2,847 |
| MONTANA PSC | | 11,951 | 11,951 | | | | | | | | 1,176 | 1,176 | | | | | 265 | 265 |
| NEBRASKA PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| NEVADA PSC 8/ | | | | | 7,648 | 7,649 | | 352 | 353 | | | | | | | | | |
| NEW HAMPSHIRE PUC | | 7,294 | 2,431 | | 7,744 | 2,581 | | | | | | | | | | | | |
| NEW JERSEY BPU 8/ | | 49,271 | 49,271 | | | | | | | | 1,613 | 1,613 | | | | | 1,479 | 1,479 |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | |
| NEW YORK PSC | 3 | 32,397 | 32,397 | | 194,137 | 194,137 | 1 | 4,976 | 4,976 | | | | | | | 1 | 1,018 | 1,018 |
| NORTH CAROLINA UC | 3 | 23,288 | 23,288 | | | | 1 | 3,612 | 3,612 | | | | 2 | 2,397 | 2,397 | | | |
| NORTH DAKOTA PSC | | 5,844 | 5,844 | | | | | 113 | 113 | | | | | | | | | |
| OHIO PUC | 5 | 38,683 | 38,683 | | | | | | | 4 | 25,568 | 25,568 | | | | 1 | 3,805 | 3,805 |
| OKLAHOMA CC | | | | | | | | | | | | | | | | | | |
| OREGON PUC | 2 | 16,946 23/ | 16,946 23/ | 2 | 4,189 | 4,189 | | 2,839 24/ | 2,839 24/ | | | | | | | | | |
| PENNSYLVANIA PUC | | | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC 8/ | | 15,835 | 15,835 | | | | | | | | 1,454 | 1,454 | | | | | 1,198 | 1,198 |
| SOUTH CAROLINA PSC | | | | | | | | | | | | | | | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | | | | | |
| TENNESSEE PSC 8/ | | | | 5 | 45,745 | 45,745 | | | | 1 | 13,919 | 13,919 | | | | 1 | 5,799 | 5,799 |
| TEXAS RC 28/ | 6 | 131,912 | | 6 | 80,989 | | 4 | 32,069 | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | 29/ | 13,628 | 13,628 | | 1,772 | 1,773 | | 3,829 | 3,828 |
| VERMONT PSB | | 12,056 | 12,056 | | | | | 2,664 | 2,664 | | | | | | | | | |
| VIRGINIA SCC | | | | | | | | | | 3 | 13,689 | 13,689 | 4 | 8,371 | 8,371 | 3 | 990 | 990 |
| WASHINGTON UTC | 2 | 25,391 | 25,391 | | | | | | | | | | | 29 | 29 | | 1,019 | 1,019 |
| WEST VIRGINIA PSC | | 17,813 | 17,813 | | 20,998 | 20,998 | | 5,868 | 5,868 | | | | | | | | | |
| WISCONSIN PSC | | 38,382 | 38,382 | | 1,894 | 1,894 | | | | | | | | | | | | |
| WYOMING PSC | 1 | 6,289 | 6,289 | 2 | 22,518 | 22,518 | | | | | | | | | | | | |

Table 75 STATE GAS PIPELINE SAFETY PROGRAM - Part II (Continued)
Calandar Year Ending December 31, 1979 (Unless otherwise noted)

| Agency | Equipment Expenses | | | | | | | | Activities | | | | | | | | Total Expenditures | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Testing | | Motor Vehicle | | Office Equipment | | Other | | Travel Expenses | | Educ. Expenses | | Pro Rata of Over-all Admin. Expenses | | Other Expenses | | Total State Funds ($) | Total Federal Funds ($) | Total State & Federal Funds ($) |
| | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | | | |
| ALA. PSC | 400 | 400 | | | (607) | (606) | | | [illegible],501 | 14,501 | 1,035 | 1,035 | 4,728 | 4,728 | 510 | 510 | 106,357 | 106,356 | 212,713 |
| ALAS. PUC | | | | | | | | | | | | | | | | | | | |
| ARIZ. CC | | | | | | | | | | | | | | | | | | | |
| ARK. PSC | 12 | 12 | 2,152 | 2,152 | 1,536 | 1,536 | 471[1/] | 471[1/] | 3,512 | 3,512 | 17 | 17 | 1,261[2/] | 1,261[2/] | 2,683[3/] | 2,683[3/] | 51,073 | 51,073 | 102,146 |
| CAL. PUC | 244 | 244 | | | | | | | 5,815 | 5,815 | 130 | 130 | | | 26,236[4/] | 26,236[4/] | 137,369 | 137,369 | 274,738 |
| COL. PUC[8/] | | | | | | | | | 948 | 948 | | | 250 | [illegible]0 | 1,503 | 1,503 | 31,861[33/] | 31,861[33/] | 63,722 |
| CONN. PUC | | | 5/ | 5/ | | | | | 890 | 890 | | | 7,312 | 7,312 | 5/ | 5/ | 24,786 | 24,786 | 49,572 |
| DEL. PSC | | | | | | | | | 300 | 300 | 765 | 765 | 375 | 375 | | | 5,592 | 5,592 | 11,184 |
| D.C. PSC | | | | 3,430 | | | | 701[6/] | | 2,022 | | 445 | | 479 | | 705[7/] | | 48,312 | |
| FLA. PSC[8/] | | | 4,781 | | | | 530 | | 32,781 | | 1,020 | | 16,945 | | | | 225,506 | | |
| GA. PSC | | | 2,720 | 2,720 | | | 183 | 183 | 4,035 | 4,035 | 80 | 80 | 4,113 | 4,113 | 2,533 | 2,533 | 50,275 | 50,275 | 100,550 |
| HI. PUC | Data unavailable. | | | | | | | | | | | | | | | | | | |
| IDAHO PUC | | | 956 | 956 | | | 594 | 594 | 1,187 | 1,187 | | | | | | | 14,080 | 14,080 | 28,160 |
| ILL. CC | 274 | 274 | | | 321 | 321 | 141[9/] | 141[9/] | 15,718 | 15,718 | 2,396 | 2,396 | 9,431 | 9,431 | 2,835 | 2,835 | 105,709 | 105,709 | 211,418 |
| IND. PSC | | | | | | | | | 1,762 | 1,762 | 494 | 494 | 11,429 | 11,429 | 702[10/] | 702[10/] | 58,346 | 58,346 | 116,692 |
| IOWA SCC[8/] | | | 2,454 | 2,454 | | | | | 1,465 | 1,465 | 797 | 797 | | | | | 18,192 | 18,192 | 36,384 |
| KAN. SCC[8/] | 2,665 | 2,665 | | | | | | | 3,798 | 3,798 | 1,094 | 1,094 | | | 1,086 | 1,086 | 37,592 | 37,592 | 75,184 |
| KY. ERC | | | | | 96 | 96 | 135 | 135 | 5,020 | 5,020 | 370 | 370 | 49,509 | 49,509 | 8,731[11/] | 8,731[11/] | 123,466 | 123,466 | 246,932 |
| LA. PSC | Program not under jurisdiction of the PSC. | | | | | | | | | | | | | | | | | | |
| ME. PUC | | | | | | | | | 538 | 416 | | | | | | | 10,318 | 7,975 | 18,293 |
| MD. PSC[8/] | | | 473 | 473 | | | | | 652 | 652 | | | 1,722 | 1,722 | | | 13,392 | 13,392 | 26,784 |
| MASS. DPU | | | | | | | | | 1,751 | 1,751 | 1,999 | 1,999 | 11,696 | 11,696 | | | 51,723 | 51,723 | 103,446 |
| MICH. PSC[15/] | 423 | 422 | | | | | 406 | 405 | 8,991 | 8,992 | 366 | 366 | 19,569 | 19,570 | 1,193 | 1,194 | 92,371 | 92,372 | 184,743 |
| MINN.[16/] | | | | | | | | | | | | | | | | | | | |
| MISS. PSC | 998 | 998 | | | | | 287[9/] | 287[9/] | 11,208 | 11,208 | 1,726 | 1,726 | | | 8,684 | 8,683 | 64,020 | 64,019 | 128,039 |
| MO. PSC | 12 | 12 | 1,326 | 1,326 | 120 | 120 | 256 | 256 | 1,002 | 1,002 | 1,019 | 1,019 | | | 768 | 768 | 47,618 | 47,618 | 95,236 |
| MONT. PSC | | | 5 | 5 | | | 30 | 30 | | | | | 164 | 164 | 561[17/] | 561[17/] | 14,152 | 14,152 | 28,304 |
| NEB. PSC | Not applicable. | | | | | | | | | | | | | | | | | | |
| NEV. PSC [8/] | 814 | 814 | 4,220 | 4,220 | | | 775 | 775 | 636 | 636 | | | 1,295 | 1,295 | 133 | 132 | 15,873 | 15,873 | 31,746 |
| N.H. PUC | | | | | 288 | 96 | | | 558 | 186 | | | | | 2,773[18/] | 925[18/] | 18,657 | 6,219 | 24,876 |
| N.J. BPU[8/] | | | | | | | | | 1,614 | 1,614 | 271 | 271 | 2,363 | 2,363 | 1,573[19/] | 1,573[19/] | 58,184 | 58,184 | 116,368 |
| N.M. SCC | | | | | | | | | | | | | | | | | | | |
| N.Y. PSC | | | | | | | | | 25,545 | 25,545 | | | 149,166[20/] | 149,166[20/] | 68,991[21/] | 68,991[21/] | 476,230 | 476,230 | 952,460 |
| N.C. UC | 2,244 | 2,244 | 8,790 | 8,790 | 1,160 | 1,160 | 376 | 376 | 4,597 | 4,597 | 1,025 | 1,025 | 13,832 | 13,832 | 1,934 | 1,934 | 63,255 | 63,255 | 126,510 |
| N.D. PSC | 342 | 342 | | | | | | | 900 | 900 | | | 1,706 | 1,706 | 67 | 67 | 8,972 | 8,972 | 17,944 |
| OHIO PUC | | | | | | | | | 11,269 | 11,269 | | | 7,241 | 7,241 | 5,819[22/] | 5,819[22/] | 92,385 | 92,385 | 184,770 |
| OKLA. CC | | | | | | | | | | | | | | | | | | | |
| ORE. PUC | | | | | | | 3 | 3 | 2,516 | 2,516 | 25/ | 25/ | 7,002 | 7,002 | 1,744 | 1,744 | 35,239 | 35,239 | 70,478 |
| PA. PUC | | | | | | | | | | | | | | | | | | | |
| R.I. PUC[8/] | 164 | 164 | | | 65 | 65 | | | 3,682 | 3,682 | | | | | 3,210 | 3,210 | 25,608 | 25,608 | 51,216 |
| S.C. PSC | | | | | | | | | | | | | | | | | | | |
| S.D. PUC | | | | | | | | | | | | | | | | | | | |
| TENN. PSC[8/] | | | 7,579 | 7,579 | | | | | 11,149 | 11,149 | | | 30,657 | 30,657 | 368[26/] | 368[26/] | 115,216 | 115,216 | 230,432 |
| TEXAS RC[28/] | 1,801 | | 5,060 | | 35,388 | | 640 | | 30,536 | | 27 | | 51,759 | | 2,017[27/] | | 372,198 | | |
| UTAH PSC | | | 1,084 | 1,085 | | | | | 325 | 324 | | | 1,665 | 1,665 | | | 22,303 | 22,303 | 44,606 |
| VT. PSB | | | | | 251 | 251 | | | 2,083 | 2,083 | | | | | | | 17,054 | 17,054 | 34,108 |
| VA. SCC | | | | | 172 | 172 | 103 | 103 | 1,521 | 1,521 | 665 | 665 | 7,249 | 7,249 | | | 32,760 | 32,760 | 65,520 |
| WASH. UTC | | | | | | | | | 2,513 | 2,513 | | | 15,804 | 15,804 | 1,136 | 1,136 | 45,892 | 45,892 | 91,784 |
| W. VA. PSC | | | | | 357 | 357 | 1,262[9/] | 1,262[9/] | 1,768 | 1,768 | 750 | 750 | 536[30/] | 536[30/] | 21,052[31/] | 21,052[31/] | 70,404 | 70,404 | 140,808 |
| WISC. PSC | | | 32/ | 32/ | 32/ | 32/ | | | 1,194 | 1,194 | 501 | 501 | 14,808 | 14,808 | | | 56,779 | 56,779 | 113,558 |
| WYO. PSC | | | | | | | | | 5,793 | 5,793 | | | 20,137 | 20,137 | | | 54,737 | 54,737 | 109,474 |

## Footnotes - Table 75 - STATE GAS PIPELINE SAFETY PROGRAM, PART II

1/ Safety equipment and supplies.
2/ Printing, subscriptions and communications.
3/ Moving and lab fees.
4/ Indirect costs: Full time clerical support is included in the dollar amount computed as indirect costs.
5/ Included in the figure for Pro Rata of Overall Administrative Expenses; the individual figures were not available.
6/ Supplies and safety clothing.
7/ Telephone, memberships and subscriptions.
8/ Fiscal year ending June 30, 1979.
9/ Supplies.
10/ Telephone.
11/ Audits, communication, office rent, utilities, motor vehicle fuel and maintenance and operation.
12/ No staff member assigned to program full-time.
13/ Includes time of three Registered Professional Engineers only.
14/ Includes time of five Public Utilities Engineers and eleven Technicians involved in various aspects of the program.
15/ Fiscal year ending September 30, 1979.
16/ Pipeline safety is not under jurisdiction of the Minnesota Public Utilities Commission or the Department of Public Service. A limited effort is conducted through the office of the State Fire Marshall.
17/ Research.
18/ Current expenses, benefits and indirect costs.
19/ Rent.
20/ Approved indirect cost.
21/ Employee fringe benefits.
22/ Telephone and building rental.
23/ Covers one member 8½ months; two members 3½ months.
24/ First six months only, second six months the charges are in the administrative support group, i.e., administrative overhead expense.
25/ Travel for education is included in travel expense, i.e., education expense in Oregon is tuition and fees only.
26/ Printing.
27/ Research costs and other expenses.
28/ Fiscal year ending August 31, 1979.
29/ One - 90%, one - 20%.
30/ Communications.
31/ Includes printing and reproduction, office rent, postage and freight, rental expense (duplicating machine and cars), workmen's compensation, social security matching funds, retirement matching funds, health insurance and civil service funds.
32/ See travel expenses.
33/ Funding for the pipeline safety program is included in the total appropriation to the Colorado Public Utilities Commission. All expenses are from State funds. The 50% reimbursement from federal funds becomes part of the Fixed Utilities Fund Balance, which in turn reduces the following year's cash assessment against the fixed utilities.

Table 76

## Introduction - State Safety Jurisdiction Over Hazardous Liquid Pipelines

The Congress enacted the Pipeline Safety Act of 1979 (Public Law 96-129), which was signed into law by the President on November 30, 1979. Title II of the Act established a Federal-State partnership for the safety regulation of the transportation of hazardous liquids by pipeline (49 U.S.C., Sec. 2001, *et seq*.), which is similar to that established by the Natural Gas Pipeline Safety Act of 1968 (49 U.S.C., Sec. 1671, *et seq*.).

The 1979 Act defined "hazardous liquid" to mean: "(A) petroleum or any petroleum product, and (B) any substance or material which is in liquid state (excluding liquefied natural gas) when transported by pipeline facilities and which, as determined by the Secretary, may pose an unreasonable risk to life or property when transported by pipeline facilities". 49 U.S.C., Sec. 2001(2).

The following table reflects State safety jurisdiction over "hazardous liquid" to the extent reported to the NARUC.

Table - STATE SAFETY JURISDICTION OVER HAZARDOUS LIQUID PIPELINES

| | Agency Regulates Safety of Petroleum Pipelines | Agency Regulates Safety of Other Hazardous Liquid Pipelines |
|---|---|---|
| Alabama PSC | No | No |
| Alaska | | |
| Arizona | | |
| Arkansas PSC | No | No |
| California PUC | No | No |
| Colorado | | |
| Connecticut | | |
| Delaware PSC | No | No |
| District of Columbia DOT | Yes | Yes |
| Florida PSC | No | No |
| Georgia PSC | No | No |
| Hawaii | | |
| Idaho | | |
| Illinois | | |
| Indiana | | |
| Iowa SCC | Yes | Yes |
| Kansas | | |
| Kentucky | | |
| Louisiana | | |
| Maine PUC | No | No |
| Maryland PSC | No | No |
| Massachusetts DPS | Yes | Yes |
| Michigan PSC | No | Yes |
| Minnesota PS | Yes | Yes |
| Mississippi PSC | No | No |
| Missouri PSC | No | No |
| Montana PSC | Yes | No |
| Nebraska | | |
| Nevada PSC | No | No |
| New Hampshire PUC | Yes | Yes |
| New Jersey BPU | Yes | Yes |
| New Mexico SCC | No | No |
| New York PSC | Yes | No |
| North Carolina UC | No | No |
| North Dakota | | |
| Ohio PUC | No | No |
| Oklahoma | | |
| Oregon PUC | No | No |
| Pennsylvania | | |
| Puerto Rico PSC | Yes | Yes |
| Rhode Island | | |
| South Carolina PSC | No | No |
| South Dakota DPS | Yes | Yes |
| Tennessee PSC | No | No |
| Texas RC | No | No |
| Utah PSC | No | No |
| Vermont PSB | No | No |
| Virgin Islands | | |
| Virginia SCC | No | No |
| Washington UTC | No | No |
| West Virginia | | |
| Wisconsin PSC | No | No |
| Wyoming | | |

Table 77 - SAFETY REGULATION OF RURAL GAS GATHERING

| | State Has Rural Gas-Gathering Pipelines* | Agency Regulates Safety of Such Lines |
|---|---|---|
| ALABAMA PSC | Yes | No |
| ALASKA PUC | No | |
| ALBERTA PUB | Yes | No |
| ARIZONA CC | Yes | No |
| ARKANSAS PSC | No | No |
| CALIFORNIA PUC | Yes | Yes |
| COLORADO PUC | Yes | No |
| CONNECTICUT PUC | No | |
| DELAWARE PSC | No | |
| FLORIDA PSC | Yes | No |
| GEORGIA PSC | No | |
| GUAM PUC | No | |
| HAWAII PUC | | |
| IDAHO PUC | No | |
| ILLINOIS CC | Yes | Yes |
| INDIANA PSC | Yes | No |
| IOWA SCC | No | |
| KANSAS SCC | Yes | No |
| KENTUCKY ERC | Yes | Yes |
| LOUISIANA PSC | | No |
| MAINE PUC | No | |
| MARYLAND PSC | No | |
| MASSACHUSETTS DPU | No | |
| MICHIGAN PSC | Yes | Yes |
| MINNESOTA PSC | No | |
| MISSISSIPPI PSC | Yes | No |
| MISSOURI PSC | No | |
| MONTANA PSC | Yes | No |
| NEBRASKA PSC | Yes | No |
| NEVADA PSC | No | No |
| NEW HAMPSHIRE PUC | No | |
| NEW JERSEY BPU | No | |
| NEW MEXICO | Yes | No |
| NEW YORK PSC | Yes | Yes |
| NORTH CAROLINA UC | No | No |
| NORTH DAKOTA PSC | Yes | No |
| OHIO PUC | Yes | No |
| OKLAHOMA CC | Yes | No |
| OREGON PUC | Yes | No |
| PENNSYLVANIA PUC | Yes | No |
| RHODE ISLAND PUC | No | |
| SOUTH CAROLINA PSC | | |
| SOUTH DAKOTA PUC | No | |
| TENNESSEE PSC | Yes | No |
| TEXAS | Yes | Partial |
| UTAH PSC | Yes | Yes |
| VERMONT PSB | No | |
| VIRGIN ISLANDS PSC | No | |
| VIRGINIA SCC | Yes | No |
| WASHINGTON UTC | No | |
| WEST VIRGINIA PSC | Yes | Yes |
| WISCONSIN PSC | No | |
| WYOMING PSC | Yes | Yes |

* The term "rural gas-gathering pipelines" is defined in the exception contained in Sec. 2(3) of the Natural Gas Pipeline Safety Act of 1968 (49 U.S.C., Sec. 1671(3)).

Table 78 - FLOW-THROUGH TO CONSUMERS OF REFUNDS

| AGENCY | Agency policy as to requiring flow-through to consumers of refunds received by gas distributors from interstate natural gas pipeline companies |
|---|---|
| ALABAMA PSC | All refunds passed on to customers. |
| ALASKA PUC | Not applicable. |
| ALBERTA PUB | Not applicable. |
| ARIZONA CC | Proportioned on revenue basis. |
| ARKANSAS PSC | All refunds passed on to customers, plus interest. |
| CALIFORNIA PUC | All refunds passed on to consumer, plus interest. |
| COLORADO PUC | Refunds passed on to consumers, plus interest. |
| CONNECTICUT PUC | Timely and pro rata distribution to all customers on an equitable basis. |
| DELAWARE PSC | All refunds passed on to customer. |
| D.C. PSC | All refunds passed on to customer, plus interest. |
| FLORIDA PSC | All refunds passed on to consumer, plus interest. |
| GEORGIA PSC | Credit given on customer's bill; total amount plus interest in PGA. |
| GUAM PUC | Not applicable. |
| HAWAII PUC | None. |
| IDAHO PUC | Each case decided on individual basis; usually adjusted in next increase unless refund is significant. Some have been ordered to refund to customers. |
| ILLINOIS CC | Automatic refund to customers. |
| INDIANA PSC | Refunds made on order of Commission when cost of gas is reduced from that at time of hearing in rate proceeding, and reduction of "tracked" gas costs. |
| IOWA SCC | All refunds passed back to customers either in the form of a bill credit or as a reduction of P.G.A. over an authorized specified time period. |
| KANSAS SCC | All of the refund except the out-of-pocket expense of refunding is flowed through to the consumers. |
| KENTUCKY ERC | May be offset against increases or credit to future billing. |
| LOUISIANA PSC | May be offset against purchased gas adjustment increases or credited to future billings. |
| MAINE PUC | Flow-through of refunds through provisions of purchased gas adjustment clause. |
| MARYLAND PSC | Total amounts received are refunded. |
| MASSACHUSETTS DPU | Usually refunded over a period of months by credits and charges. |
| MICHIGAN PSC | Authorized rates are based on pipeline rates. When such are subsequently reduced by FERC, refunds are made to customers. Standard refund policy and procedure is presently being developed. |
| MINNESOTA PSC | All refunds passed on to consumer, plus interest at prime rate. |
| MISSISSIPPI PSC | Cash refunds based on average consumption to present customers. |
| MISSOURI PSC | Pass all refunds back to ultimate customers consuming the gas or to present customers. |
| MONTANA PSC | No experience. |
| NEBRASKA PSC | None. Telephone is only utility regulated. |
| NEVADA PSC | Subject to investigation and hearing. |
| NEW HAMPSHIRE PUC | Only if financial condition does not require retention. Each case decided on its own merits. |
| NEW JERSEY BPU | Through adjustment clause. |
| NEW MEXICO PSC | If the rate has a purchased cost or gas adjustment clause, it works for increases and decreases. |
| NEW YORK PSC | Principal plus interest, less company use, through G.A.C. |
| NORTH CAROLINA UC | Refunds are accumulated and then dispersed periodically. |
| NORTH DAKOTA PSC | Case by case basis. |
| NOVA SCOTIA PUB | Not applicable. |
| OHIO PUC | To existing customers at time refund is received as a bill discount. |
| OKLAHOMA CC | Refund either to customer who used the gas or present customer. |
| ONTARIO EB | Not applicable. |
| OREGON PUC | Utilities may be required to flow-through refunds if earnings are adequate. |
| PENNSYLVANIA PUC | With the adoption of this Commission's purchased gas adjustment clause, all refunds are now flowed-through to the consumer. |
| PUERTO RICO PSC | None. |
| QUEBEC EGB | N/A |
| RHODE ISLAND PUC | Direct refund to customers as credit on subsequent billings. |
| SOUTH CAROLINA PSC | Equally to all classifications of customers. |
| SOUTH DAKOTA PUC | Total amounts received are refunded to present customers. |
| TENNESSEE PSC | Refunded over a 12-month period. |
| TEXAS RC | None. |
| UTAH PSC | Refunds are required to consumers in cases where an increased rate charged by the pipeline company has been included in the consumer rate pursuant to Commission authorization. |
| VERMONT PSB | N/A |
| VIRGIN ISLANDS PSC | Not applicable. |
| VIRGINIA SCC | Flow-through of refunds through automatic provision of purchased gas adjustment clause. |
| WASHINGTON UTC | The Commission shall have power after a hearing, upon its own motion, upon complaint, or upon the application of such company, to determine whether or not such refund should be passed on, in whole or in part, to the consumers of such company and to order such company to pass such refund on to its consumers, in the manner and to the extent determined just and reasonable by the Commission. |
| WEST VIRGINIA PSC | All refunds passed on to consumers. |
| WISCONSIN PSC | All refunds are passed on to customers. |
| WYOMING PSC | On basis of use with money refunded if large enough or otherwise bill adjustment. Company allowed expenses for cost of refund. |

## Table 79 - BOTTLED GAS

| AGENCY | The Agency - | Safety standards regarding the installation and operation of "bottled gas" service has been established by another State or local authority -- | |
|---|---|---|---|
| | Has set safety standards regarding the installation and operation of "bottled gas" service | | Name of Agency |
| ALABAMA PSC | | X | Liquefied Petroleum Gas Board. |
| ALASKA PUC | | X | State Division of Fire Prevention. |
| ALBERTA PUB | | X | Department of Labor - Alberta. |
| ARIZONA CC | | | |
| ARKANSAS PSC | X | X | State Liquefied Petroleum Gas Board. |
| CALIFORNIA PUC | | X | Division of Industrial Safety. |
| COLORADO PUC | | X | State Inspector of Oils. |
| CONNECTICUT PUC | | X | State Fire Marshall. |
| DELAWARE PSC | | | Department of Public Safety. |
| D. C. PSC | | X | Fire Department. |
| FLORIDA PSC | | X | L. P. Gas Division of State Insurance Commission. |
| GEORGIA PSC | | X | State Fire Marshal. |
| GUAM PUC | | X | Department of Public Safety. |
| HAWAII PUC | | X | Fire Marshal. |
| IDAHO PUC | | | |
| ILLINOIS CC | | X | State Fire Marshal. |
| INDIANA PSC | | X | State Fire Marshal. |
| IOWA SCC | | | State Fire Marshal's Office (only for public buildings). |
| KANSAS SCC | | X | State Fire Marshal. |
| KENTUCKY ER | | X | Department of Public Safety. |
| LOUISIANA PSC | | X | Liquefied Petroleum Gas Commission. |
| MAINE PUC | | X | |
| MARYLAND PSC | | | |
| MASSACHUSETTS DPU | X | | |
| MICHIGAN PSC | | | State Police Fire Marshal. |
| MINNESOTA PSC | | | State Fire Marshal. |
| MISSISSIPPI PSC | | | |
| MISSOURI PSC | | X | Missouri State Department of Revenue. |
| MONTANA PSC | | X | Divisions of Weights and Measures. |
| NEBRASKA PSC | | | |
| NEVADA PSC | | X | Liquefied Petroleum Gas Board. |
| NEW HAMPSHIRE PUC | | X | Fire Marshal. |
| NEW JERSEY BPU | | X | Dept. of Law and Public Safety or Dept. of Labor and Industry. |
| NEW MEXICO PSC | | X | Liquefied Petroleum Gas Commission. |
| NEW YORK PSC | | X | Municipality building codes and other local jurisdiction. |
| NORTH CAROLINA UC | | X | Department of Agriculture. |
| NORTH DAKOTA PSC | | X | State Fire Marshal. |
| NOVA SCOTIA PUB 1/ | | X | Fire Marshal. |
| OHIO PUC | | | State Fire Marshal. |
| OKLAHOMA CC | | | Liquefied Petroleum Gas Board. |
| ONTARIO EB | | | |
| OREGON PUC | | X | State Fire Marshal. |
| PENNSYLVANIA PUC | | X | Department of Labor and Industry (Pressure Vessels). |
| PUERTO RICO PSC | X | | |
| QUEBEC EGB | X | | |
| RHODE ISLAND PUC 1/ | | | |
| SOUTH CAROLINA PSC | | X | L. P. Gas and Anhydrous Ammonia Services, Department of General Service Division. |
| SOUTH DAKOTA PUC | | | |
| TENNESSEE PSC | | X | State Fire Marshal - Dept. of Insurance & State Labor Dept. for Tanks. |
| TEXAS RC | X | | |
| UTAH PSC | | X | State Fire Marshal's Office. |
| VERMONT PSB | | | |
| VIRGIN ISLANDS PSC | | X | Department of Public Safety. |
| VIRGINIA SCC | X | | |
| WASHINGTON UTC | | X | Fire Marshal and Department of Labor and Industries. Companies observe NFPA Standards. |
| WEST VIRGINIA PSC | | | |
| WISCONSIN PSC | | X | Department of Labor, Industry and Human Relations. |
| WYOMING PSC | | X | State Fire Marshal. |

1/ No jurisdiction.

## Table 80 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED GAS UTILITIES UNDER AGENCY JURISDICTION
(As of December 31, 1979, unless otherwise noted)

| | Plant - Intrastate Only (000 omitted) | | | | | | | | Revenues and Expenses - Intrastate Only (000 omitted) | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | Less: | | | | | | | | | | | | |
| Agency | Plant in service | Construction Work in Progress | Plant Acquisition Adjustment | Plant Held for Future Use | Materials and Supplies | Depreciation and Amortization Reserves | Contributions in Aid of Construction | Net Book Costs | Operating Revenues | Depreciation and Amortization Expenses | Income Taxes | Other Taxes | Other Operating Expenses | Total Operating Expenses | Net Operating Income | Other Income | Other Deductions | Net Income |
| ALA. PSC[1/] | 162,496 | 885 | -0- | -0- | 16,097 | 58,692 | -0- | 120,786 | 237,348 | 5,478 | 2,765 | 13,238 | 21,229 | | | | | |
| ARIZ. CC | 220,779 | 8,034 | 4,210 | 31 | 67,090 | 80,934 | 3,697 | 215,513 | 238,922 | 7,863 | 3,733 | 15,234 | 198,282 | 225,112 | 13,810 | 149 | 4,178 | 9,781 |
| ARK. PSC | 57,411 | 435 | 2 | 28 | 1,471 | 20,078 | -0- | 39,269 | 58,179 | 2,185 | 3,675 | 1,601 | 45,681 | 53,142 | 5,037 | 2,686 | 3,327 | 4,396 |
| CAL. PUC | 3,764,019 | 47,262 | | 639 | 27,799 | 1,366,012 | | 2,473,707 | 4,468,234 | 124,735 | 91,814 | 51,592 | 3,995,186 | 4,263,327 | 204,907 | 107,235 | 167,521 | 144,621 |
| COL. PUC | 399,463 | 7,178 | 1,157 | 4,039 | 8,960 | 139,026 | -0- | 281,771 | 431,564 | 12,060 | 7,395 | 8,081 | 391,781 | 419,317 | 12,247 | 420 | 1,477 | 11,190 |
| CONN. PUC | | | | | | | | | | | | | | | | | | |
| DEL. PSC | 63,985 | 689 | | 374 | | 20,099 | | 44,949 | 53,858 | 2,364 | 2,075 | 2,133 | 42,366 | 48,938 | 4,920 | 61 | 51 | 4,930 |
| D.C. PSC | 133,288 | | | 83 | 8,477 | 44,726 | 128 | 96,994 | 95,146 | 3,882 | (1,966) | 6,684 | 83,152 | 91,752 | 3,394 | | | 3,394 |
| FLA. PSC | 207,005 | 3,876 | 1,504 | 250 | 3,631 | 61,672 | 295 | 154,299 | 149,889 | 6,158 | 3,954 | 5,771 | 123,279 | 139,162 | 10,727 | 1,870 | 6,388 | 6,209 |
| GA. PSC | 489,838 | 2,021 | (154) | 285 | | 137,088 | | 354,902 | 580,307 | 16,697 | 13,728 | 7,722 | 514,607 | 552,754 | 27,553 | 2,091 | 14,797 | 14,847 |
| HI. PUC | 50,150 | 70 | (17) | | 515 | 12,438 | 83 | 38,197 | 32,940 | 1,049 | 1,307 | 2,632 | 23,855 | 28,843 | 4,097 | 1,703 | 2,834 | 2,966 |
| IDA. PUC | 123,987 | 148 | | | 809 | 35,844 | 638 | 88,462 | 144,434 | 3,836 | 3,868 | 3,484 | 124,974 | 136,162 | 8,272 | 559 | 3,729 | 5,102 |
| ILL. CC | 3,086,576 | 37,388 | | 455 | 19,472 | 802,828 | 34,196 | 2,306,867 | 3,218,388 | 103,038 | 131,553 | 244,476 | 2,522,165 | 3,001,232 | 217,156 | | | |
| IND. PSC[7/] | 359,961 | 3,166 | 5,168 | 455 | 7,785 | 101,708 | | 274,827 | 376,877 | 11,012 | 18,265 | 10,395 | 309,218 | 348,890 | 27,987 | 756 | 7,451 | 21,292 |
| IOWA SCC[9/] | 413,870 | 6,905 | 215 | 121 | 36,338 | 136,756 | -0- | 320,693 | 615,974 | 13,155 | 13,523 | 12,111 | 552,568 | 591,357 | 24,617 | | | |
| KANS. SCC | 411,932 | 3,769 | 5,179 | 4,457 | 13,896 | 147,389 | -0- | 291,844 | 518,753 | 13,329 | 7,801 | 9,861 | 471,329 | 502,320 | 16,433 | 80,356 | 50,233 | 46,556 |
| KY. ERC | 475,205 | 6,654 | 1,577 | 2,209 | 8,313 | 230,701 | | 263,257 | 421,256 | 16,346 | 4,570 | 14,727 | 359,823 | 395,466 | 25,790 | 34,455 | 22,653 | 37,592 |
| LA. PSC | | | | | | | | | | | | | | | | | | |
| ME. PUC[10/] | 14,952 | 107 | | | 341 | 2,406 | 23 | 12,971 | 8,342 | 444 | (43) | 367 | 6,743 | 7,511 | 831 | 3 | 691 | 143 |
| MD. PSC | 879,165 | 7,980 | 23 | 559 | 15,640 | 268,114 | | 635,253 | 696,743 | 24,461 | 7,066 | 48,570 | 563,564 | 643,661 | 53,082 | 742,154 | 648,592 | 146,644 |
| MASS. DPU | 741,887 | 3,934 | -0- | -0- | 54,069 | 224,620 | 309 | 574,961 | 715,353 | 28,292 | 27,820 | 52,442 | 554,389 | 662,943 | 52,410 | | | |
| MICH. PSC[10/] | 2,429,020 | 39,813 | | 162,964 | 33,270 | 830,311 | | 1,834,756 | 2,232,072 | 89,721 | 13,075 | 68,262 | 1,940,919 | 2,111,977 | 120,095 | 7,174 | 57,870 | 69,399 |
| MINN. PSC | 513,684 | 7,386 | 620 | | 21,587 | 167,107 | | 376,170 | 607,424 | 17,190 | 23,764 | 26,189 | 504,631 | 571,774 | 35,650 | 2,924 | 13,151 | 25,423 |
| MISS. PSC | | | | | | | | | | | | | | | | | | |
| MO. PSC | 643,260 | 2,206 | 1,178 | 89 | 30,360 | 219,628 | -0- | 457,465 | 732,686 | 18,508 | 18,892 | 57,792 | 597,766 | 692,958 | 39,727 | 4,307 | 18,877 | 25,157 |
| MONT. PSC | 288,586 | | | | | | | | 182,222 | | | | | 176,738 | | | | 5,484 |
| NEB. PSC | Not applicable | | | | | | | | | | | | | | | | | |
| NEV. PSC | 162,397 | 13,065 | | 1,126 | 4,210 | 40,587 | | 140,211 | 220,619 | 4,467 | 1,441 | 1,287 | 199,504 | 206,699 | 13,920 | 1,105 | 5,050 | 9,975 |
| N.H. PUC | 40,191 | 137 | (207) | -0- | 3,170 | 13,592 | 108 | 29,591 | 30,162 | 1,288 | 381 | 1,549 | 25,255 | 28,474 | 1,688 | 456 | 1,285 | 859 |
| N.J. BPU | 1,307,688 | 13,501 | | 1,656 | 13,165 | 479,330 | 443 | 856,237 | 1,102,190 | 51,836 | 34,838 | 160,491 | 769,344 | 1,016,510 | 85,680 | 8,763 | 36,135 | 58,308 |
| N.M. PSC | | | | | | | | | 277,083 | 6,544 | | 8,420 | 254,714 | | 7,404 | | | |
| N.Y. PSC[12/] | 2,595,758 | 68,587 | 2 | 190 | 59,861 | 593,854 | | 2,130,545 | 2,459,745 | 64,828 | 36,553 | 250,036 | 1,927,476 | 2,278,894 | 180,852 | 1,230,962* | 551,422* | 860,392* |
| N.C. UC | 345,110 | 3,009 | (26) | 444 | 9,592 | 103,895 | | 254,234 | 343,889 | 9,709 | 13,864 | 24,835 | 270,758 | 319,166 | 24,723 | 2,094 | 12,401 | 14,416 |
| N.D. PSC | 83,076 | 1,806 | | 104 | 2,522 | 31,061 | | 56,447 | 67,512 | 2,993 | 2,487 | 2,270 | 54,015 | 61,765 | 5,747 | 3,471 | 285 | 8,933 |
| OHIO PUC | 1,511,955 | 14,748 | 549 | 2,160 | 14,844 | 550,066 | 487 | 993,703 | 2,463,954 | 43,802 | 48,538 | 124,927 | 2,116,645 | 2,333,912 | 130,042 | 7,028 | 27,188[14/] | 109,882 |
| OKLA. CC | | | | | | | | | | | | | | | | | | |
| ORE. PUC | | | | | | | | | 310,924 | 11,026 | 8,592 | 12,623 | 252,923 | 285,164 | 25,760 | | | |
| PA. PUC | | | | | | | | | | | | | | | | | | |
| R.I. PUC[9/] | 106,194 | 309 | (50) | 51 | 2,053 | 29,568 | -0- | 78,989 | 102,404 | 2,730 | 3,870 | 3,918 | 85,522 | 96,040 | 6,364 | 21 | 109 | 6,276 |
| S.C. PSC | 230,147 | 2,203 | -0- | -0- | 7,343 | 78,041 | -0- | 161,652 | 282,467 | 8,191 | 7,685 | 5,713 | 245,102 | 266,691 | 15,776 | 6,017 | 13,603 | 8,190 |
| S.D. PUC | 65,186 | 917 | 22 | 51 | 1,758 | 22,867 | 604 | 44,463 | 60,735 | 1,947 | 914 | 1,350 | 53,512 | 57,723 | 3,012 | 1,009 | 1,655 | 2,366 |
| TENN. PSC | 111,334 | 636 | 23 | 146 | 1,447 | 35,609 | 2,253 | 75,724 | 150,428 | 3,697 | 2,997 | 6,893 | 129,956 | 143,543 | 6,885 | 1,033 | 4,064 | 3,854 |
| TEX. RC | 3,430,588 | | | | | | | | 6,891,936 | | | | | 6,699,360 | 192,576 | | | |
| UTAH PSC[15/] | 424,748 | 22,030 | 391 | 35,289 | 10,342 | 146,726 | | 346,074 | 261,354 | 12,756 | 6,571 | 7,015 | 210,807 | 237,150 | 24,204 | 16,240 | 14,557 | 25,887 |
| VT. PSB | 16,105 | | (403) | | | 5,393 | | 10,308 | 17,445 | 573 | 833 | 364 | 14,609 | 16,378 | 1,067 | 229 | 394 | 902 |
| VA. SCC | 351,296 | 1,630 | 445 | 102 | 16,924 | 118,493 | | 251,904 | 406,914 | 10,419 | 9,762 | 16,489 | 344,205 | 380,875 | 26,039 | 150 | 90 | 26,099 |
| WASH. UTC | | | | | | | | | | | | | | | | | | |
| W. VA. PSC | 856,233 | | | | | | | | 429,465 | 25,736 | 33,415[16/] | | 569,065 | 628,216 | (198,751) | | | |
| WIS. PSC | 875,667 | | | | | 304,584 | | | 987,121 | 37,752 | 31,881 | 16,209 | 847,137 | 932,979 | 54,142 | | | |
| WYO. PSC | 119,329 | | | | 10,940 | 40,687 | | | 167,814 | 3,268 | 641 | | 157,104 | 161,013 | 6,801 | | | |

### Table 80 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED GAS UTILITIES UNDER AGENCY JURISDICTION (Continued)

(As of December 31, 1979, unless otherwise noted)

| | Customers (Intrastate Only) | | | | | Other Statistics (Intrastate Only) | | | |
|---|---|---|---|---|---|---|---|---|---|
| AGENCY | Residential Yearly Average | Commercial Yearly Average | Industrial Yearly Average | Others - Yearly Average | Total | Avg. Annual Residential Use - MCF | Avg. Residential Cost Per MCF ($) | Avg. Residention Monthly Bill ($) | Gross Plant Investment Per Customer ($) |
| ALABAMA PSC 1/ | 322,848 | 26,818 | 43 | -0- | 349,709 | | 3.18 | 24.50 | 345.40 |
| ARIZONA CC | 509,955 | 40,080 | 1,090 | 1,782 | 552,907 | 71.27 | 3.46 | 20.62 | 474.09 |
| ARKANSAS PSC | 61,956 | 7,765 | 234 | 16 | 69,971 | 108 | 2.14 | 19.34 | 676.77 |
| CALIFORNIA PUC | 9,567,608 | 366,814 | 31,831 | 9 | 6,966,262 | 88 | 2.471 | 18.00 | 540.00 |
| COLORADO PUC | 659,974 | 76,850 | 1,693 | 66 | 738,583 | 154 | 2.16 | 27.61 | 541.00 |
| CONNECTICUT PUC | | | | | | | | | |
| DELAWARE PSC | 72,000 | 4,000 | 165 | | 76,165 | 96 | 4.00 | 32.00 | 854.00 |
| DISTRICT OF COLUMBIA PSC | 133,458 2/ | 15,624 2/ | | | 149,082 2/ | 1,017 3/ | .3743 3/ | 31.72 | 951.80 4/ |
| FLORIDA PSC | 19,830 | 1,823 | 45 | 37 | 21,735 | | | | 611.69 |
| GEORGIA PSC | 758,506 | 65,690 | 671 | 283 | 825,150 | 89.23 | 2.81 | 20.89 | 430.11 |
| HAWAII PUC | 29,053 | 4,288 5/ | | | 33,341 | 252 3/ | .8179 6/ | 23.00 | 1,504.00 |
| IDAHO PUC | 93,929 | 14,470 | 460 | | 108,859 | 90 | 3.85 | 28.92 | 1,143.00 |
| ILLINOIS CC | 2,963,256 | | 246,792 | 980 | 3,211,028 | 175 | 2.96 | 43.25 | 973.00 |
| INDIANA PSC | 414,355 | 45,004 | 1,059 | 425 | 460,843 8/ | | | | |
| IOWA SCC 9/ | 626,047 | 73,127 | 1,573 | 13 | 700,760 | 146 | 2.68 | 32.73 | 591.00 |
| KANSAS SCC | 628,532 | 35,862 | 3,239 | 55 | 667,688 | 167 | 1.80 | 23.90 | 437.00 |
| KENTUCKY ERC | 493,434 | 43,917 | 760 | 1,112 | 539,223 | 139.5 | 2.41 | 27.99 | 900.64 |
| LOUISIANA PSC | | | | | | | | | |
| MAINE PUC 10/ | 13,646 | 1,354 11/ | | | 15,000 | 42 | 5.40 | 18.90 | 996.80 |
| MARYLAND PSC | 1,002,294 | 75,141 | 5,204 | 6 | 1,082,645 | 99 | 3.862 | 31.86 | 586.76 |
| MASSACHUSETTS DPU | 859,238 | 69,744 | 2,542 | 916 | 932,440 | | | 37.58 | 795.64 |
| MICHIGAN PSC 10/ | 2,206,264 | 157,933 | 9,375 | 30 | 2,373,602 | 174.8 | 2.65 | 38.65 | 1,023.35 |
| MINNESOTA PSC | 674,409 | 62,530 | 5,505 | 4 | 742,448 | 156 | 2.87 | 37.31 | 692.00 |
| MISSISSIPPI PSC | | | | | | | | | |
| MISSOURI PSC | 999,919 | 72,531 | 765 | 19 | 1,073,234 | 150.9 | 2.58 | 32.50 | 599.00 |
| MONTANA PSC | | | | | 184,852 | 26 | 3.21 | 38.53 | 1,561.00 |
| NEBRASKA PSC | Not Applicable | | | | | | | | |
| NEVADA PSC | 144,991 | 5,997 | 20 | 7 | 151,015 | 95.2 | 2.92 | 23.15 | 1,075.00 |
| NEW HAMPSHIRE PUC | 41,590 | 3,865 | 245 | 459 | 46,159 | | | 30.12 | 873.68 |
| NEW JERSEY BPU | 1,655,613 | 188,070 11/ | | 10 | 1,843,693 | 86.59 | 4.198 | 24.89 | 716.60 |
| NEW MEXICO PSC | 257,473 | 28,571 11/ | | 2,775 | 288,819 | 103 | 2.76 | 23.80 | |
| NEW YORK PSC 12/ | 3,859,423 | 253,563 11/ | | 6,252 | 4,119,238 | 93.5 | 3.96 | 30.87 | 646.85 |
| NORTH CAROLINA UC | 280,289 | 36,162 | 1,809 | 14,794 | 333,054 | 97 D.t. | 3.55 13/ | 28.80 | 1,046.00 |
| NORTH DAKOTA PSC | 72,919 | 9,713 | 37 | | 82,669 | 164 | 2.79 | 38.11 | 1,004.92 |
| OHIO PUC | | | | | 2,693,037 | | | | 567.91 |
| OKLAHOMA CC | | | | | | | | | |
| OREGON PUC | 233,421 | 32,062 | 610 | | 266,093 | | | 31.07 | |
| PENNSYLVANIA PUC | | | | | | | | | |
| RHODE ISLAND PUC 9/ | 151,500 | 10,242 | 1,500 | -0- | 163,242 | 88.7 | 4.22 | 31.24 | 700.95 |
| SOUTH CAROLINA PSC | 205,915 | 19,420 | 781 | 2,590 | 228,706 | | | | |
| SOUTH DAKOTA PUC | 84,130 | 11,096 11/ | | | 95,226 | 140 | 2.59 | 30.22 | 685.00 |
| TENNESSEE PSC | 101,353 | 19,647 | 1,288 | 126,439 | 248,727 | 113.49 | 2.67 | 24.88 | 880.54 |
| TEXAS RC | 2,884,527 | 258,462 | 1,568 | 7,366 | 3,151,923 | 88.65 | 2.95 | 21.83 | |
| UTAH PSC | 360,391 | | 625 | 1 | 361,017 | 170 | 2.23 | 31.56 | 1,096.07 |
| VERMONT PSB | 14,158 | 1,455 | 25 | | 15,638 | | 4.50 | 36.07 | 1,029.84 |
| VIRGINIA SCC | 391,490 | 30,495 | 567 | 3,843 | 426,395 | 100 | 4.57 | 30.01 | 828.98 |
| WASHINGTON UTC | | | | | | | | | |
| WEST VIRGINIA PSC | 359,840 | 31,856 5/ | | | 391,696 | | | | |
| WISCONSIN PSC | 924,800 17/ | 85,900 18/ | | | 1,010,700 | 137 | 3.02 | 34.45 | |
| WYOMING PSC | 113,403 | 12,943 | 113 | 417 | 126,876 | | 2.50 | 32.00 | 1,248.00 |

## Footnotes - Table 80 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED GAS UTILITIES UNDER AGENCY JURISDICTION

1/ Data for Alabama Gas Corporation.

2/ Meters.

3/ Therm.

4/ Gross plant investment per meter.

5/ Commercial, industrial and other customers combined--yearly average.

6/ Average total cost per therms sold; MCF and average residential cost unavailable.

7/ Statistics have been compiled from 10 A-B, 6 C and 9 D private gas utilities.

8/ No information on 3 Class D companies.

9/ Fiscal year ended June 30, 1979.

10/ Fiscal year ended September 30, 1979.

11/ Commercial and industrial customers combined--yearly average.

12/ Composite of statistics for all Class A and B privately owned gas utilities under agency jurisdiction (systemwide). *Please note that this statement is a composite of fourteen companies - seven companies which sell gas only and seven companies which sell gas, electricity, and, for two companies, steam also. The figures shown are gas only, with the exception of the starred items, which are total figures.

13/ Average residential cost per D.t.

14/ Includes $24,526 interest charges.

15/ Approximately 7% of the "Plant" figures represent Wyoming plant; company does not segregate plant by state. Approximately 8% of "Revenues" represents Wyoming revenue. The major company, Mountain Fuel Supply Co., does not segregate expenses by state.

16/ Income taxes and other taxes.

17/ General and spaceheating.

18/ Firm interruptible and spaceheating.

## Introduction - Supplemental Gas Supply Pricing Practices

The NARUC Staff Subcommittee on Gas, in its meeting during May 1977, decided to undertake a state-by-state analysis of prevailing policies in effect or proposed for the handling of high cost incremental gas supplies.

A telephone survey was conducted of individual state regulatory agencies to determine (1) the present practices of the states with respect to rolled-in pricing of supplemental gas supply vs. incremental pricing of supplemental gas supply and (2) if there are any changes in policy progress.

The questionnaire below was designed to basically determine the types of supplemental gas supplies added to state distribution systems over the last three years and the method of pricing such gas to the ultimate consumer.

Question 1. What types of supplemental gas supplies have been added by your states's distribution utilities in the past three years, approximately?

Question 2. How was the supplemental gas priced to the ultimate consumer for each type of supplemental supply reported under Question 1: rolled-in, incrementally, or other?

Question 3. If the state agency has issued a statewide pricing policy for supplemental gas, what is the pricing policy with respect to rolled-in, incrementally or other pricing methods?

Question 4. Is the state agency currently studying or contemplating a change in the method of pricing any new supplemental supply to the ultimate consumer?

Question 5. If the answer to Question 4 is yes, which types of supplemental supply are being considered for a change in pricing policy?

Question 6. If a pricing policy is being considered, what pricing method is under consideration: rolled-in, incremental or other?

In January 1980 a copy of the questionnaire and accompanying table were forwarded to the state regulatory agencies. Each agency was requested to make any corrections or additions necessary to accurately reflect the information as of December 31, 1979.

Results of the survey indicates the following:

1. Types of supplemental gas supplies added:

| Number of States | Type of Supply |
|---|---|
| 15 | SNG |
| 20 | LNG |
| 22 | LPG |
| 19 | Local Field Gas |
| 22 | Emergency Gas |
| 2 | Ethane |
| 27 | Increased utilization of unused, available gas supply through storage. |
| 26 | Gas supply resulting from investment in exploration and development. |
| 3 | Other |

2. Method of Pricing:

| | Number of States | |
|---|---|---|
| Type of Supply | Rolled-in | Incrementally |
| SNG | 15 | -- |
| LNG | 20 | 2 Both |
| LPG | 21 | 2 Both, 1 Incrementally Only |
| Local Field Gas | 19 | 2 Both |
| Emergency Gas | 21 | 4 Both, 1 Incrementally Only |
| Ethane | 2 | -- |
| Increased Utilization | 26 | 1 Both, 1 Incrementally Only |
| Exploration & Development | 25 | -- 1 Incrementally Only |
| Other | 3 | -- |

3. State agency pricing policy if applicable:

| Pricing Method | Number of States |
|---|---|
| Rolled-in | 7 |
| Incrementally | 2 |

4. Are changes in method of pricing contemplated by the State agency?

| Answer | Number of States |
|---|---|
| No | 36 |

5. If changes are contemplated, which type of supplemental supplies will be affected?

| Type of Supply | Number of States |
|---|---|
| Local Gas | 1 |
| Storage | 1 |
| Exploration | 1 |

6. If a change in pricing is under consideration, what pricing method is being considered?

| Pricing-Method | Number of States |
|---|---|
| Rolled-in | 2 |
| Incrementally | 3 |

Table 81 - SUPPLEMENTAL GAS SUPPLY PRICING PRACTICES-BY STATES

Questions 1 and 2 — Question 3 — Questions 4 and 5 — Question 6

| STATE | SNG | | | | LNG | | | | LPG | | | | LOCAL GAS | | | | EMERGENCY GAS | | | | ETHANE | | | | STORAGE | | | | EXPLORATION | | | | OTHER | | | | STATEWIDE POLICY | | | | UNDER STUDY - SUPPLY | | | | | | | | | | UNDERSTUDY - TYPE OF PRICING | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Response Received | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | Footnote | Rolled-in | Incrementally | Other | None | Rolled-in | Incrementally | Other | No | SNG | LNG | LPG | Local Gas | Emergency Gas | Ethane | Storage | Explor. | Other | None | Rolled-in | Incrementally | Other |
| Alabama | X |  |  |  |  | X | X |  |  | X | X |  |  | X | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Alaska | X |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Arizona | X | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  |  | X |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Arkansas | X |  |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| California | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |
| Colorado | X |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Connecticut | X | X |  |  |  | X |  |  |  | X |  |  | NA |  |  |  | NA |  |  |  | NA |  |  |  |  | X |  |  | NA |  |  |  | NA |  |  |  |  | X |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Delaware |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| D.C. | X | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Florida | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Georgia | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Hawaii (18) | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Idaho | X |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Illinois | X | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | (1) |  |  |  |  |  |  |  |  |  |  |  | (1) |  |
| Indiana | X |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | (2) |  | (2) | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Iowa | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | NA |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Kansas | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Kentucky | X | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | (3) | (4) |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Louisiana | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | (5) |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Maine | X |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Maryland | X | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Massachusetts | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Michigan | X |  |  | (6) |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Minnesota | X |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Mississippi | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Missouri | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Montana | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Nebraska | (7) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | (7) |  |  |  | (7) |  |  |  |  |  |  |  |  |  | (7) |  |  |  |
| Nevada | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| New Hampshire | X |  |  |  |  |  |  |  | (17) |  |  |  | (17) |  |  |  | (17) |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | NA |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| New Jersey | X | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| New Mexico | X |  |  |  | (8) |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | (19) |  |  |  |  |  |  |  |  | (9) |  |  | (9) |  |
| New York | X | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  | X |  |  |  | (10) |  |  |  |  |  |  |  |  |  |  | (10) | (10) |  |
| No. Carolina | X | (11) |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | (11) |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| No. Dakota | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Ohio | X | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | (12) | (13) |  | X |  |  |  |  |  |  |  |  |  | X |  |  |  |
| Oklahoma | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  |  |  |  |  | X |  |  | X | X |  |  | X |  |  |
| Oregon | X |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  | NA |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Pennsylvania | X | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  | X |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |
| Rhode Island | X | X |  |  |  | X |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |
| So. Carolina | X | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| So. Dakota | X |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Tennessee | X |  |  |  |  | X | X |  |  | X | X |  |  | X | X |  |  | X | X |  |  |  |  |  |  | X | X |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Texas | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  | (15) |  |  | NA |  |  |  | (20) |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Utah | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  | (16) |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Vermont | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | NA |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Virginia | X | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  | X | X |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Washington | X |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  | NA |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| West Virginia | X | X |  |  |  | X |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Wisconsin | X |  |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  | X |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |
| Wyoming | X |  |  |  |  |  |  |  |  |  |  |  |  | X |  |  | (14) |  |  |  |  |  |  |  |  | X |  |  |  | X |  |  |  | (16) |  |  | X |  |  |  | X |  |  |  |  |  |  |  |  |  | NA |  |  |  |

Footnotes: NA) No Response. Not Applicable. No Answer.

1) Informal discussions only. 2) Individual case by case. 3) Distribution. 4) Industrial. 5) United Gas Company only. 6) Fixed costs to commercial & industrial customers, feedstock cost to all customers: partial incremented pricing. 7) Does not regulate prices. 8) One company is in the testing stage. 9) Planning to hold generic hearings. 10) Commission's decision in the long-range planning case with respect to gas pricing was to study incremental vs. rolled-in pricing on a rate case-by-rate case basis. 11) Emergency gas purchased for the 1977-1978 winter season was fully rolled-in to all customers on a prorated basis by a formula giving weight to the benefits which the respective classes of customers may receive from the purchase of this gas. (G. 100, Sub. 33). In addition, during the summer of 1979, emergency gas was purchased for sale to industrial customers who otherwise would have experienced interrupted service. 12) Long term. 13) Short term. 14) In one case cost charged incrementally to industrial customers, which otherwise would have been interrupted. 15) From Mexico. 16) Exchange gas through trades, pipeline purchases. 17) Information not available. 18) Have only a limited propane system, survey does not apply. 19) Applied to all new gas that is above average price. 20) Hearings to prove cost.

## Introduction - Gas Prices in Comparison With Competing Fuel Prices

During May 1979, the NARUC Gas Subcommittee made a telephone survey of state regulatory agencies to determine the current rate charges for residential, commercial, industrial, interruptible and steam electric generation gas service across the nation. The Committee also requested information from the agencies as to current prices of Number 2 and Number 6 fuel oil and propane. Data was requested for the major metropolitan areas for each state.

In January, 1980, a copy of the summary table and accompanying data were forwarded to the regulatory agencies. Each agency was requested to make any corrections or additions necessary to accurately reflect the information as of December 31, 1979.

Oil and propane prices reported were gathered on a best effort basis and in some cases may represent posted prices rather than actual prices paid by consumers in a highly competitive market place. In cases where more than one gas rate option existed for a customer classification, data was requested for the rate classification with the majority of the customers served thereunder. Therefore, some special rate charges designed to meet the requirements of a few specific industrial customers will not be included in the reported data. Persons using the data presented herein should be cognizant of these limitations of the data and make judgments deemed appropriate for the circumstances.

Using the rate data obtained, the average unit charge for each rate classification for each state and for each metropolitan area was determined. Customer consumption levels were chosen for each rate classification which represents an average consumption for the classification. Consumption levels used were: residential, 70; commercial, 500; industrial, 2,000; interruptible, 5,000 and steam electric generation, 50,000 therms per month. These computations were submitted to each state to verify the accuracy of the data reported and to permit additions and corrections of data.

Oil and propane charges reported by the regulatory agencies were converted to equivalent charges for gas for comparative purposes.

The following table summarizes the results of the computations made for each rate classification, for each state and its metropolitan areas. Comparisons of gas prices and competing fuel prices may be made directly from the table showing the summary of prices by states. A second table lists the states with higher gas prices than oil prices for the following comparisons.

Of the 40 states reporting both Industrial gas prices and No. 2 oil prices, 7 reported gas prices higher than No. 2 oil prices.

Of the 26 states reporting both Industrial gas prices and No. 6 oil prices, 17 reported gas prices higher than No. 6 oil prices.

Of the 21 states reporting both Interruptible gas prices and No. 2 oil prices, 3 reported gas prices higher than No. 2 oil prices.

Of the 14 states reporting both Interruptible gas prices and No. 6 oil prices, 5 reported gas prices higher than No. 6 oil prices.

Table 82 - SUMMARY OF AVERAGE GAS PRICES AND COMPETING FUEL PRICES FOR SELECTED CITIES ACROSS THE NATION

| | | MONTHLY AVERAGE GAS PRICES-¢/THERM | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| STATE | CITY | RESIDENTIAL (70 T) | COMMERCIAL (500 T) | INDUSTRIAL (2,000 T) | INTERRUPTIBLE (5,000 T) | STEAM ELECTRIC (50,000 T) | NO. 2 OIL (Equiv. ¢/T) | NO. 6 OIL (Equiv. ¢/T) | PROPANE (Equiv. ¢/T) |
| Alabama | | 33.34 | 28.33 | 24.56 | 18.98 | | 30.3 | 20 to 22 | 35 to 45 |
| Arizona | Phoenix | 29.53 | 23.89 | 21.39 | 20.42 | 19.76 | 25.7 | N/A | 59.2 |
| Arkansas | | | | | | | | | |
| California | Los Angeles | 26.7 | 33.1 | 38.9 | 38.5 | 31.4 | (28.9 | 25.2 | 44 to 47 |
| | San Francisco | 24.7 | 35.0 | 40.0 | 40.0 | 30.1 | | | |
| Colorado | Denver | 32.27 | 27.48 | - | 22.36 | - | 51.8 | 35.0 | 48.4 |
| Connecticut | Hartford | 44.31 | 38.89 | 43.57 | 27.75 | - | 31.91 | 27.25 | N/A |
| | New Haven | 50.45 | 47.87 | 42.92 | 25.71 | - | 30.84 | 26.23 | |
| Delaware | Delmar | 43.40 | 40.62 | 36.90 | - | - | 38.9 | N/A | 59.3 |
| Florida | Tallahasse | 31.43 | 36.02 | 26.98 | 18.17 | | 27.4 | 26.4 | 55.6 to 70.8 |
| Georgia | Atlanta | 27.70 | 26.72 | 25.15 | | | 32.45 | N/A | 47.25 |
| Idaho | Boise | 37.46 | 35.20 | 34.32 | 34.13 | - | N/A | N/A | 47 to 49 |
| Illinois | Chicago | 29.68 | 25.59 | 24.29 | - | 21.92 | 35.2 | 26 to 28 | 33.5 |
| Indiana | Indianapolis | 28.90 | 27.74 | 26.1 | 23.1 | | 62.30 | 37.94 | 58.6 |
| Iowa | Des Moines | 28.89 | 23.72 | 23.09 | - | - | 68.4 | 28 | 58.84 |
| Kansas | Kansas City | 23.45 | 21.30 | 21.03 | 20.45 | - | 58.40 | 24.19 | 60.88 |
| | Wichita | 21.83 | 19.62 | 19.35 | 18.77 | - | 58.40 | 24.19 | 60.88 |
| Kentucky | Lexington | 36.64 | 27.05 | 25.36 | - | - | (33.9 | N/A | 44.7 |
| | Louisville | 15.40 | 15.09 | 14.95 | - | - | | | |
| Louisiana | Baton Rouge | 24.68 | 23.75 | 20.74 | - | - | 32.1 | 23.5 | 40.8 |
| Maine | Portland | 53.19 | 47.25 | 46.26 | - | - | 37.4 to 39.7 | N/A | 34.6 |
| Maryland | Baltimore | 38.96 | 36.98 | 35.58 | - | - | 46.47 | 34.3 | 55.72 |
| Massachusetts | Boston | 43.38 | 46.47 | 39.95 | 25.00 | - | 43.17 | 24.13 | 64.52 |
| Michigan | Detroit | 26.99 | 23.73 | 45.7(22.1)[3] | 36.76(18.33)[4] | - | (36 | 22.3 | 43.1 |
| | Lansing | 30.06 | 28.44 | 39.4(23.6)[3] | 42.45(22.27)[4] | | | | |
| Minnesota | Minneapolis | 28.33 | 24.64 | 24.19 | - | - | 37.4 | 22.1 to 23.5 | 40.8 |
| Mississippi | Jackson | 27.90 | 26.43 | 24.05 | | | 28.9 | 18 | 44.8 |
| Missouri | St. Louis | 33.36 | 31.08 | 28.79 | 22.33 | - | 35.3 | N/A | N/A |
| Montana | Butte | 24.20 | 23.04 | 21.31 | 11.96 | - | 28.7 | N/A | 57.2 |
| Nebraska | Omaha | 23.71 | 21.25 | 21.00 | - | - | 28.1 | 17.9 | 38.7[1] 33.5[2] |
| Nevada | Las Vegas | 20.53 | 18.20 | 17.20 | - | 15.38 | 24.7 to 26.1 | N/A | 67.9 |
| New Hampshire | Concord | 47.3 | 40.6 | 40.6 | - | - | 74.1 | N/A | N/A |
| New Jersey | Newark | 41.82 | 40.31 | 36.09 | - | - | 31.6 to 40.2 | 30 to 31.4 | 56.6 |
| New Mexico | Albuquerque | 32.08 | 28.58 | 29.33 | - | - | N/A | N/A | N/A |
| New York | New York | 77.38 | 65.79 | 59.85 | 49.63 | 43.03 | 66.26 to 71.95 | 58.13 | N/A |
| | Albany/Syracuse | 47.60 | 41.55 | 39.72 | - | 26.99 | 68.50 to 71.31 | 39.58 to 39.76 | 75.58 to 104.97 |
| North Carolina | Raleigh | 37.55 | 28.85 | 28.35 | - | - | 60.7 | 35.8 | 69.1 |
| North Dakota | Fargo | 51.50 | 47.87 | 47.28 | 40.70 | - | 70.0 | 34.5 | .63 |
| Ohio | Columbus | 37.7 | 33.71 | 30.59 | 18.48 | - | 50.0 | 28.0 to 30.6 | 49.2 - 74.8 |
| Oklahoma | Tulsa/Oklahoma City | 48.26 | 21.88 | 20.40 | - | - | 32.4 to 33.8 | 22.3 to 23.9 | 38.7 to 41.8 |
| Oregon | Portland | 47.69 | 47.61 | 45.30 | 33.14 | | 25 | N/A | 40 |
| Pennsylvania | | | | | | | N/A | N/A | N/A |
| Rhode Island | Providence | 56.01 | 52.00 | 51.31 | 23.1 | - | 72.00 | 52.00 | 65.00[1] 75.00[2] |
| South Carolina | | 29.53 | 29.09 | 24.03 | | | 26.6 to 31 | 16.9 to 23.5 | 11.8 18 |
| South Dakota | Sioux Falls | 28.73 | 27.02 | | 21.32 | - | 65.0 | N/A | 59.8 - 61.9 |
| Tennessee | Nashville | 2[illegible].11 | 25.77 | 25.56 | 21.46 | - | 29.2 | N/A | 44.5[1] 33.5[2] |
| Texas | Dallas | 25.93 | 21.50 | 25.84 | - | - | N/A | N/A | N/A |
| Utah | Salt Lake | 31.57 | 24.76 | 22.79 | 22.39 | 18.13 | 60.76 | N/A | 67.43 |
| Vermont | Burlington | 41.60 | 34.99 | 34.18 | - | - | 40.3 to 41.8 | N/A | 68[1] 41.8[2] |
| Virginia | Lynchburg | 41.4 | 32.4 | 31.3 | 23.1 | - | 70 | 50 | 62 |
| Washington | Seattle | 48.17 | 45.32 | 41.24 | - | - | 86 | N/A | 73.2 |
| West Virginia | Charleston | 26.56 | 26.17 | 25.48 | - | - | 31.7 to 35.3 | 19.4 to 24.8 | 27 to 37.6 |
| Wisconsin | Milwaukee | 33.92 | 31.35 | 30.25 | 29.12 | 28.89 | 65.0[1] 58.0[2] | 32.0 to 34.3 | 47.0[1] to 39.3[2] |
| Wyoming | | | | | | | 31[1] 27.2[2] | 18.6 to 22 | 53.6[1] 42.5[2] |

N/A - Not Available
(1) - Low Volume
(2) - High Volume
(3) Avg. Price in ¢/Therm for 5,000 T
(4) Avg. Price in ¢/Therm for 50,000 T

Note: The above data is based on the following equivalencies: LP Gas - 4,001,000 Btu/Barrel; No. 2 Fuel Oil - 5,825,000 Btu/Barrel; and No. 6 Fuel Oil - 6,200,000 Btu/Barrel.

Table 83 - STATES WITH HIGHER GAS PRICES THAN OIL PRICES ¢/THERM

States Reporting Industrial (2000T) higher than No. 2 oil

| | Cost of Gas | Cost of Oil |
|---|---|---|
| California (San Francisco) | 40.00 | 28.9 |
| Connecticut (Hartford) | 43.57 | 31.91 |
| Maine | 46.26 | 37.4 to 39.7 |
| Michigan (Detroit) | 45.7 | 36 |
| New Jersey | 36.09 | 31.6 to 40.2 |
| Oregon | 45.30 | 25 |

Total (6) out of (40)

States Reporting Industrial (2000T) higher than No. 6 oil

| | | |
|---|---|---|
| Alabama | 24.56 | 20 to 22 |
| California (San Francisco) | 40.00 | 25.2 |
| Connecticut (Hartford) | 43.57 | 27.25 |
| Florida | 26.98 | 26.4 |
| Maryland | 35.58 | 34.3 |
| Massachusetts | 39.95 | 24.13 |
| Michigan (Detroit) | 45.7 | 22.3 |
| Minnesota | 24.19 | 22.1 to 23.5 |
| Mississippi | 24.05 | 18 |
| Nebraska | 21.00 | 17.9 |
| New Jersey | 36.09 | 30 to 31.4 |
| New York (New York) | 59.85 | 58.13 |
| North Dakota | 47.28 | 34.5 |
| Ohio | 30.59 | 28.0 to 30.6 |
| South Carolina | 24.03 | 16.9 to 23.5 |
| West Virginia | 25.48 | 19.4 to 24.8 |

Total (16) out of (26)

States Reporting Interruptible (5000T) higher than No. 2 oil

| | | |
|---|---|---|
| California (San Francisco) | 40.0 | 28.9 |
| Michigan (Detroit) | 38.76 | 36 |
| Oregon | 33.14 | 25 |

Total (3) out of (21)

States Reporting Interruptible (5000T) higher than No. 6 oil

| | | |
|---|---|---|
| California (Los Angeles) | 38.5 | 25.2 |
| Connecticut | 27.75 | 27.25 |
| Massachusetts | 25.00 | 24.13 |
| Michigan (Detroit) | 38.76 | 22.3 |
| North Dakota | 40.70 | 34.5 |

Total (5) out of (14)

## Table. 84 - GAS METRIC CONVERSION

The following table was initially prepared by the NARUC Staff Subcommittee on Gas. In January, 1980, the NARUC staff forwarded the questionnaire and accompanying table to each regulatory agency for corrections or additions necessary to accurately reflect the information as of December 31, 1979. The questionnaire used to obtain the information is reproduced below.

QUESTIONS

| | 1 | | 2 | | 3 | | 4 | |
|---|---|---|---|---|---|---|---|---|
| NAME OF STATE | Yes | No | Yes | None | Yes | No | Yes | No Ans. |
| Alabama | | X | | X | | X | | None |
| Alaska | | X | | X | | X | | X |
| Arizona | | X | | X | | X | | X |
| Arkansas | | X | | X | | X | | X |
| California | X | | | X | X | | | X |
| Colorado | | X | | X | | X | | None |
| Connecticut | | X | | X | | X | | X |
| Delaware | | | | | | | | |
| Florida | | X | | X | | X | 4 | |
| Georgia | X | | X | | | X | | X |
| Hawaii | | | | | | | | |
| Idaho | | X | | X | | X | | X |
| Illinois | X | | | X | | X | X | |
| Indiana | | | | | | | | |
| Iowa | | X | | X | | X | | X |
| Kansas | | X | | X | | X | | X |
| Kentucky | | X | | X | | X | X | |
| Louisiana | | X | | X | | X | 4 | |
| Maine | | | | | | | | |
| Maryland | | X | | X | | X | 1 | |
| Massachusetts | | | | | | | | |
| Michigan | | X | | X | | X | X | |
| Minnesota | | | | | | | | |
| Mississippi | | X | | X | | X | | X |
| Montana | | | | | | | | |
| Nebraska | | X | | X | | X | | None |
| Nevada | | X | | X | | X | | X |
| New Hampshire | | X | | X | | X | | None |
| New Jersey | | | | | | | | |
| New Mexico | | X | | X | | X | | None |
| New York | | | | | | | | X |
| North Carolina | | X | | X | | X | | None |
| North Dakota | | | | | | | | |
| Ohio | | | | | | | | None |
| Oklahoma | | X | | X | | X | | None |
| Oregon | X | | X | | | X | X | |
| Pennsylvania | | | | | | | | |
| Rhode Island | | X | | X | | X | | X |
| South Carolina | | X | | X | | X | | X |
| South Dakota | | X | | X | | X | X | |
| Tennessee | X | | X | | | X | | |
| Texas | X | | X | | | X | | X |
| Utah | X | | | X | | X | | X |
| Vermont | | X | | | | | | |
| Virginia | | X | | X | | X | | |
| Washington | X | | X | | X | | X | |
| West Virginia | | | | | | | | |
| Wisconsin | | X | | X | | X | | X |
| Wyoming | | X | | X | | X | | None |

QUESTIONS

| | 1 | | 2 | | 3 | | 4 | |
|---|---|---|---|---|---|---|---|---|
| OUT OF STATE | Yes | No | Yes | None | Yes | No | Yes | No Ans. |
| **District of Columbia** | | | | | | | | |
| Guam | | X | | X | | X | | None |
| Jamaica | | | | | | | | |
| Puerto Rico | X | | | X | | X | | None |
| Virgin Islands | | | | | | | | |

1. Does your State have a central office in charge of metric conversion? If so, please provide address.
2. Who in your Commission is responsible for metric conversion? Please provide name and address.
3. Are other State agencies responsible for gas metric conversion? If so, provide address.
4. List Commission forms being used which would require metric conversion.

Note: Summarizations of the above questions follows.

## Table 84 - GAS METRIC CONVERSION
(Continued)

### Questionnaire Summarizations

Question No. 1 - Does your state have a central office in charge of metric conversion? If yes, provide address.

California
Barbara Bloch (Manager)
The Metric Conversion Council
8500 Fruitvale Road
Sacramento, California 95826

Georgia
Mr. Gordon Harrison
Office of Planning and Budget
Atlanta, Georgia 30334

Illinois
Mr. Robert Jones
Chief of Project Management Section
Bureau of Operations Administration
Illinois Department of Transportation
2300 South Dirkson Parkway
Springfield, Illinois

Oregon
Metric Conversion Study Committee
Leonard Kunzman, Chairman
State Department of Agriculture
Agriculture Building
Salem, Oregon 97310

Puerto Rico
Hon. Carmen Pesquera de Busquets
Secretary
Department of Consumer Affairs
P. O. Box 41059
Santurce, Puerto Rico 00940
and
Hon. Carlos E. Chardon, Secretary
Department of Education
P. O. Box 759
Hato Rey, Puerto Rico 00919

Tennessee
Mr. Robert Reeves, Director
Food and Drug Division
State of Tennessee Department of Agriculture
Ellington Agricultural Center
P. O. Box 40627
Nashville, Tennessee 37204

Texas
Hon. William Clements
Governor of Texas

Utah
State Board of Education
Technical Assistance Division
250 East 500 South
Salt Lake City, Utah 84111

Washington
Departmental Level of
Washington Utilities & Transportation Commission
Highways - License Building
Olympia, Washington 98504

Question No. 2 - Who in your Commission is responsible for metric conversion? Provide name and address.

Georgia
B. G. Collier
244 Washington Street, S.W.
Atlanta, Georgia 30334

Oregon
Sallie Goggins, PUC
Labor & Industries Building
Salem, Oregon 97310

Tennessee
Mr. Fred A. Joyner
Director of Engineering
Tennessee Public Service Commission
C1-104 Cordell Hull Building
Nashville, Tennessee 31219

Texas
Hon. John Poerner, Chairman
Railroad Commission of Texas
Drawer 12967, Capitol Station
Austin, Texas 78711

Washington
Mr. Lester L. Leslie, Utilities Engr.
Washington Utilities and Transportation Commission
Highways - License Building
Olympia, Washington 98504

Question No. 3 - Are other State agencies responsible for gas metric conversion? If so, provide address.

California
Energy Commission
1111 Howe Avenue
Sacramento, California 98525

Washington
Mr. Eldon Egbers
Supv., Mathematics & Metrics
Supt. of Public Instructions
Old Capitol Building
Olympia, Washington 98504

and

Mr. John H. Lewis, Chief
Weights and Measures Section
Department of Agriculture
406 General Administration Building
Olympia, Washington 98504

Question No. 4 - List Commission forms being used which would require metric conversion.

Florida
Annual Reports, Fuel Adjustment Calculation
Tariff Filings - utilities
Engineering Reports: electrical, water, gas

Kentucky
Too numerous to list.

Louisiana
Fuel Adjustment Calculation
Annual Reports
Tariff Filings: gas, water
Odorization Reports

Maryland
Monthly report to PSC of Maryland (gas service).

Michigan
A very long list was submitted.

Oregon
Numerous lists dealing with rates and tariffs.

South Dakota
Annual (FPC Forms 1, 2) and monthly financial reports
PGA factor quarterly filings

Tennessee
Annual Reports, NARUC Manual, Tariffs
Pipeline Safety Forms

Texas
Too numerous to list.

Washington
Numerous list submitted.

# SECTION T

## WATER AND SEWERAGE

Table 85 shows the number of water and sewer utilities regulated (investor and municipal), the number of combined water and sewer utilities, and the minimum criteria for an agency's regulation.

Table 86 contains information on uniform system of accounts for water and sewer utilities.

Table 87 contains statistics for all privately owned water utilities under agency jurisdiction. The data includes plant, revenues and expenses, customers, average residential use, average residential cost per M-gallons, average residential monthly bill, and gross plant investment per customer.

## Table 85 - REGULATION OF WATER AND SEWER UTILITIES

| Agency | Number of Regulated Water Utilities | | Number of Regulated Sewer Utilities | | No. of Combined Water & Sewer | Minimum Criteria for Regulation |
|---|---|---|---|---|---|---|
| | Investor | Municipal | Investor | Municipal | | |
| Alabama PSC | 35 | N/A | N/A | N/A | 0 | |
| Alaska PUC | 18 | 1 | 3 | 1 | 0 | 10 Customers |
| Alberta PUB | 7 | 254 1/ | 0 | 234 1/ | 0 | |
| Arizona CC | 475 | N/A | 19 | N/A | 3 | None |
| Arkansas PSC | 12 | N/A | 2 | N/A | 1 | None |
| California PUC | 350 | N/A | 18 | N/A | 11 | None |
| Colorado PUC | 12 | N/A | N/A | N/A | 0 | None |
| Connecticut PUC | 111 | N/A | 3 | N/A | 2 | 50 Customers (water), None (sewer) 6/ |
| Delaware PSC | 14 | N/A | N/A | N/A | 0 | None |
| District of Columbia PSC 3/ | 0 | 0 | 0 | 0 | 0 | |
| Florida PSC | 290 | N/A | 255 | N/A | 0 | 100 Persons - 30 Connections |
| Georgia PSC 3/ | - | - | - | - | - | - |
| Guam PUC | | | | | 1 | None |
| Hawaii PUC | 6 | N/A | 2 | N/A | 1 | None |
| Idaho PUC | 22 | N/A | N/A | N/A | 0 | None |
| Illinois CC | 111 | N/A | 54 | N/A | 35 | None |
| Indiana PSC | 123 | 360 | 145 | 3/ | 24 | None |
| Iowa SCC | 15 | N/A | N/A | N/A | N/A | None |
| Kansas SCC | 7 | 0 | N/A | N/A | N/A | None |
| Kentucky URC | 37 | 181 | 172 | 5 | 8 | None |
| Louisiana PSC | 132 | - | 131 | - | 61 | 10 Customers |
| Maine PUC | 61 | 90 | N/A | N/A | 0 | None |
| Maryland PSC | 60 | 0 | 16 | 0 | 14 | None |
| Massachusetts DPU | 51 | N/A | N/A | N/A | N/A | None |
| Michigan PSC | 25 | N/A | N/A | N/A | N/A | 75 Customers |
| Minnesota PSC 3/ | - | - | - | - | - | - |
| Mississippi PSC | 108 | 45 4/ | 78 | 2 | 9 | None |
| Missouri PSC | 75 | N/A | 73 | N/A | 21 2/ | 2 Customers - Water<br>25 Customers - Sewer |
| Montana PSC | 30 | 121 | 0 | 100 | 0 | None |
| Nebraska PSC 3/ | - | - | - | - | - | - |
| Nevada PSC | 13 | N/A | 2 | N/A | 0 | 25 Customers and $11,000 Revenue |
| New Hampshire PUC | 31 | 11 | 0 | 0 | 0 | 10 Customers |
| New Jersey BPU | 93 | 191 | 22 | N/A | 1 2/ | None |
| New Mexico PSC | 30 | 3 5/ | N/A | N/A | N/A | None |
| New York PSC | 461 | N/A | N/A | N/A | N/A | 7/ |
| North Carolina UC | 357 | N/A | 10 | N/A | 40 | 10 Customers |
| North Dakota PSC 3/ | 0 | N/A | 0 | N/A | 0 | |
| Nova Scotia PUB | 0 | 78 | 0 | 0 | 0 | Obligation to serve public |
| Ohio PUC | 44 | N/A | 10 | N/A | 15 | None |
| Oklahoma CC | 46 | - | - | - | - | - |
| Ontario EB 3/ | 0 | 0 | 0 | 0 | 0 | |
| Oregon PUC | 20 | N/A | N/A | N/A | N/A | 200 Customers or $20,000 Revenue |
| Pennsylvania PUC | 346 | 80 | 55 | 6 | 0 | None |
| Puerto Rico PSC | 1 | 0 | 0 | 0 | 0 | |
| Quebec EGB 3/ | 0 | 0 | 0 | 0 | 0 | |
| Quebec PSB 3/ | 0 | 0 | 0 | 0 | 0 | |
| Rhode Island PUC | 8 | 4 | N/A | N/A | N/A | None |
| South Carolina PSC | 51 | N/A | 81 | N/A | 42 | None |
| South Dakota 3/ | - | - | - | - | - | |
| Tennessee PSC | 17 | N/A | 5 | N/A | 1 | None |
| Texas PUC | 445 | 448 8/ | 78 | 405 8/ | 450 est. | None |
| Utah PSC | 19 | N/A | - | N/A | - | None |
| Vermont PSB | 70 | N/A | N/A | N/A | 0 | None |
| Virgin Islands PSC | 1 | 0 | 0 | 0 | 0 | |
| Virginia SCC | 67 | N/A | 11 | N/A | 17 | 50 Customers |
| Washington UTC | 48 | N/A | N/A | N/A | N/A | 60 Customers |
| West Virginia PSC | 71 | 242 | 22 | 144 | 36 | None - Water, Sewer - 25 Customers |
| Wisconsin PSC | 16 | 527 | 0 | - | 116 | None |
| Wyoming PSC | 17 | 0 | N/A | N/A | N/A | None |

N/A (Not Applicable)

Footnotes - Table 85 - REGULATION OF WATER AND SEWER UTILITIES

1/ Partial jurisdiction.
2/ Included in sewer total.
3/ Does not regulate.
4/ Districts - 8.
5/ Sanitation districts. No jurisdiction except for filing of an annual report with the Commission. See Public Service Law Sec. 89(1).
6/ Whose treated effluent is discharged into any waterway of the state.
7/ Commission in process of removing 49 homeowners associations from its jurisdiction.
8/ Optional certification only.

## Table 86 - UNIFORM SYSTEM OF ACCOUNTS FOR WATER AND SEWER UTILITIES

| AGENCY | Has your Agency prescribed a Uniform System of Accounts (USOA) for water and sewer utilities? Please list system prescribed. | |
|---|---|---|
| | Water | Sewer |
| ALABAMA PSC | NARUC USOA | No Jurisdiction |
| ALASKA PUC | NARUC USOA | No USOA Prescribed 4/ |
| ALBERTA PUB | No USOA Prescribed | No USOA Prescribed |
| ARIZONA CC | NARUC USOA | NARUC USOA |
| ARKANSAS PSC | NARUC USOA | NARUC USOA |
| CALIFORNIA PUC | Own USOA 1/ | No USOA Prescribed |
| COLORADO PUC | NARUC USOA | Not Applicable |
| CONNECTICUT PUC | NARUC USOA (with modifications) | NARUC USOA (with modifications) |
| DELAWARE PSC | NARUC USOA | No Jurisdiction |
| D.C. PSC | No Jurisdiction | No Jurisdiction |
| FLORIDA PSC | NARUC USOA | NARUC USOA |
| GEORGIA PSC | No Jurisdiction | No Jurisdiction |
| GUAM PUC | No USOA Prescribed | No USOA Prescribed |
| HAWAII PUC | No USOA Prescribed 2/ | No USOA Prescribed 2/ |
| IDAHO PUC | NARUC USOA | No Jurisdiction |
| ILLINOIS CC | NARUC USOA (with modifications) | No USOA Prescribed |
| INDIANA PSC | NARUC USOA | NARUC USOA 3/ |
| IOWA SCC | NARUC USOA | No Jurisdiction |
| KANSAS SCC | NARUC USOA (with modifications) | No Jurisdiction |
| KENTUCKY URC | NARUC USOA | NARUC USOA |
| LOUISIANA PSC | NARUC USOA (with modifications) | NARUC USOA (with modifications) |
| MAINE PUC | Own USOA | No Jurisdiction |
| MARYLAND PSC | NARUC USOA | NARUC USOA |
| MASSACHUSETTS DPU | Own USOA | No Jurisdiction |
| MICHIGAN PSC | NARUC USOA (with modifications) | No Jurisdiction |
| MINNESOTA PSC | No Jurisdiction | No Jurisdiction. |
| MISSISSIPPI PSC | No USOA Prescribed | No USOA Prescribed |
| MISSOURI PSC | NARUC USOA | NARUC USOA |
| MONTANA PSC | No USOA Prescribed | No jurisdiction |
| NEBRASKA PSC | No Jurisdiction | No Jurisdiction |
| NEVADA PSC | NARUC USOA (with modifications) | NARUC USOA (with modifications) |
| NEW HAMPSHIRE PUC | NARUC USOA (with modifications) | No Jurisdiction |
| NEW JERSEY BPU | NARUC USOA | Own USOA |
| NEW MEXICO PSC | NARUC USOA | No Jurisdiction |
| NEW YORK PSC | Own USOA | No Jurisdiction |
| NORTH CAROLINA UC | NARUC USOA | Own USOA |
| NORTH DAKOTA PSC | No Jurisdiction | No Jurisdiction |
| NOVA SCOTIA PUB | Own USOA | No Jurisdiction |
| OHIO PUC | NARUC USOA | No USOA Prescribed 4/ |
| OKLAHOMA CC | NARUC USOA | No Jurisdiction |
| OREGON PUC | NARUC USOA | No Jurisdiction |
| PENNSYLVANIA PUC | Own USOA | Water Systems where applicable |
| PUERTO RICO PSC | | No Jurisdiction |
| RHODE ISLAND PUC | NARUC USOA | No Jurisdiction |
| SOUTH CAROLINA PSC | | |
| SOUTH DAKOTA PUC | No Jurisdiction | No Jurisdiction |
| TENNESSEE PSC | NARUC USOA | NARUC USOA |
| TEXAS PUC | NARUC USOA | NARUC USOA |
| UTAH PSC | NARUC USOA 3/ | |
| VERMONT PSB | NARUC USOA (with modifications) | No Jurisdiction |
| VIRGIN ISLANDS PSC | No USOA Prescribed | No Jurisdiction |
| VIRGINIA SCC | NARUC USOA | NARUC USOA |
| WASHINGTON UTC | NARUC USOA | No Jurisdiction |
| WEST VIRGINIA PSC | NARUC USOA | Own USOA |
| WISCONSIN PSC | NARUC USOA (with modifications) | Wisconsin Designed System |
| WYOMING PSC | NARUC USOA (with modifications) | No Jurisdiction |

1/ Class A, B, and C--effective January 1, 1955. Class D - effective January 1, 1966.
2/ Currently in consideration for rulemaking.
3/ Class C and D only.
4/ No system prescribed in regulations, however, we refer to NARUC USOA.

Table 87 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED WATER UTILITIES UNDER AGENCY JURISDICTION

(As of December 31, 1979, unless otherwise noted)

| | Plant - Intrastate Only (000 omitted) | | | | | | | | Revenues and Expenses - Intrastate Only (000 omitted) | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | Less: | | | | | | | | | | | | |
| AGENCY | Plant in Service | Construction Work in Progress | Plant Acquisition Adjustment | Plant Held for Future Use | Materials and Supplies | Depreciation and Amortization Reserves | Contributions in Aid of Construction | Net Book Costs | Operating Revenues | Depreciation and Amortization Expenses | Income Taxes | Other Taxes | Other Operating Expenses | Total Operating Expenses | Net Operating Income | Other Income | Other Deductions | Net Income |
| ALA. PSC | Information not available. | | | | | | | | | | | | | | | | | |
| ALAS. PUC | Not applicable. | | | | | | | | | | | | | | | | | |
| ARIZ. CC | 73,049 | 196 | (770) | 90 | 746 | 13,342 | 2,066 | 57,903 | 15,543 | 1,660 | 1,219 | 2,345 | 6,955 | 12,179 | 3,362 | 616 | 1,115 | 2,863 |
| ARK. PSC | 2,328 | 16 | -0- | -0- | 33 | 522 | 326 | 1,529 | 418 | 54 | 46 | 30 | 232 | 362 | 56 | 10 | (49) | 17 |
| CAL. PUC | 695,084 | 16,333 | 6,869 | 161 | 4,174 | 173,037 | 39,864 | 509,720 | 155,752 | 13,083 | 6,936 | 9,037 | 94,568 | 123,624 | 32,128 | 8,988 | 16,319 | 24,797 |
| COL. PUC | 2,415 | 19 | 825 | -0- | 26 | 688 | 1,224 | 1,373 | 659 | 70 | -0- | 39 | 560 | 669 | (10) | 30 | 41 | (21) |
| CONN. PUC | | | | | | | | | | | | | | | | | | |
| DEL. PSC | 54,590 | 634 | | | 555 | 10,337 | 12,477 | 32,965 | 12,901 | 930 | 1,412 | 849 | 6,373 | 9,564 | 3,337 | 40 | | 3,377 |
| D.C. PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| FLA. PSC | 164,803 | 23,193 | 2,656 | 2,458 | 524 | 23,308 | 64,592 | 105,734 | 27,772 | 3,581 | 1,450 | 2,183 | 16,625 | 23,839 | 3,933 | 1,643 | 3,175 | 2,401 |
| GA. PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| HI. PUC | Information not available. | | | | | | | | | | | | | | | | | |
| IDA. PUC | 33,585 | 1,051 | (2) | 14,419 | 411 | 6,721 | 12,305 | 30,438 | 5,730 | 714 | 497 | 730 | 2,721 | 4,662 | 1,068 | 65 | 517 | 616 |
| ILL. CC | Information not available. | | | | | | | | | | | | | | | | | |
| IND. PSC 1/ | 314,438 | 5,860 | 1,241 | 187 | 2,302 | 56,065 | 18,451 | 249,512 | 72,750 | 5,053 | 10,528 | 6,619 | 30,688 | 52,888 | 19,862 | 2,050 | 8,674 | 13,238 |
| IOWA SCC 2/ | 26,301 | 65 | -0- | 107 | 103 | 4,802 | 39 | 21,735 | 6,042 | 334 | 39 | 693 | 3,159 | 4,225 | 1,817 | 10 | 1,125 | 702 |
| KAN. SCC | 5,281 | 2 | -0- | -0- | 209 | 2,128 | 8 | 3,356 | 1,429 | 104 | 68 | 102 | 1,011 | 1,285 | 144 | 22 | 3 | 163 |
| KY. URC | 70,697 | 633 | (1,555) | 73 | 488 | 10,960 | 4,484 | 54,892 | 13,205 | 1,039 | 1,128 | 627 | 6,384 | 9,178 | 4,027 | 70 | 2,290 | 1,807 |
| LA. PSC | Data not summarized--137 companies subject to the jurisdiction of the PSC. | | | | | | | | | | | | | | | | | |
| ME. PUC | 30,957 | 278 | 1 | 5 | 481 | 7,511 | 1,270 | 22,941 | 6,528 | 456 | 299 | 772 | 3,679 | 5,206 | 1,322 | 309 | 963 | 668 |
| MD. PSC | 5,887 | | | | 26 | 1,658 | | 4,255 | 1,254 | 125 | 11 | 91 | 865 | 1,092 | 162 | 6 | 122 | 46 |
| MASS. DPU | 34,925 | 626 | -0- | -0- | 280 | 9,338 | 5,205 | 21,289 | 8,094 | 582 | 279 | 1,308 | 4,168 | 6,337 | 1,757 | 136 | 930 | 963 |
| MICH. PSC 4/ | 1,910 | | | | 38 | 911 | 29 | 1,008 | 399 | 45 | 4 | 16 | 282 | 347 | 52 | 27 | | 79 |
| MINN. PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| MISS. PSC | | | | | | | | | | | | | | | | | | |
| MO. PSC | 271,618 | 1,099 | 494 | 182 | 2,860 | 54,274 | 16,561 | 205,418 | 51,774 | 4,234 | 4,539 | 4,914 | 24,730 | 38,417 | 13,356 | 1,378 | 2,097 | 12,637 |
| MONT. PSC | 25,055 | | | | | | | | 3,532 | | | | | 3,491 | 41 | | | |
| NEB. PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| NEV. PSC 16/ | 57,517 | 3,422 | 19 | -0- | 831 | 7,925 | 1,494 | 52,370 | 10,912 | 1,184 | 583 | 422 | 4,860 | 7,049 | | | | |
| N.H. PUC | 35,330 | 5,452 | -0- | -0- | 365 | 6,097 | 5,127 | 29,923 | 6,091 | 585 | 437 | 951 | 2,621 | 4,593 | 1,498 | 258 | 1,051 | 705 |
| N.J. BPU | 572,123 | 11,958 | 1,967 | 563 | 4,329 | 111,674 | 33,235 | 446,031 | 143,957 | 8,638 | 10,132 | 22,324 | 69,483 | 110,577 | 33,380 | 3,661 | 18,380 | 18,661 |
| N.M. PSC | | | | | | | | | | | | | | | | | | |
| N.Y. PSC 6/ | 223,937 | 4,650 | 998 | 259 | 1,594 | 53,923 | | 177,515 | 68,847 | 3,319 | 1,325 | 20,509 | 31,029 | 56,183 | 12,664 | 186 | 7,399 | 5,451 |
| N.C. UC | 47,345 | | | | | 10,364 9/ | 15,781 9/ | 21,200 | 7,344 | 1,039 9/ | 209 9/ | 683 9/ | 5,457 9/ | 7,442 | (98) | | | |
| N.D. PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| OHIO PUC | 103,975 | 4,216 | (700) | 88 | 752 | 20,191 | 12,585 | 75,555 | 22,311 | 1,500 | 723 | 3,137 | 13,091 | 18,451 | 3,860 | 481 | 2,666 11/ | 1,675 |
| OKLA. CC | Not applicable. | | | | | | | | | | | | | | | | | |
| ORE. PUC | 23,884 | 1,699 | 135 | 13 | 230 | 4,598 | 2,383 | 18,980 | 4,000 | 494 | 259 | 247 | 1,940 | 2,940 | 1,060 | 80 | 71 | 1,069 |
| PA. PUC | | | | | | | | | | | | | | | | | | |
| R.I. PUC 2/ | 11,994 | 124 | -0- | 52 | 101 | 2,869 | 1,007 | 8,395 | 2,379 | 171 | (251) | 456 | 1,700 | 2,076 | 303 | 232 | 546 | (11) |
| S.C. PSC | 23,573 | 1 | (1,445) | -0- | 62 | 2,791 | 9,989 | 9,411 | 4,140 | 509 | 41 | 387 | 3,223 | 4,160 | (20) | 40 | 177 | (157) |
| S.D. PUC | Not applicable. | | | | | | | | | | | | | | | | | |
| TENN. PSC | 58,846 | 341 | (759) | -0- | 288 | 10,094 | 2,721 | 45,901 | 12,786 | 930 | 213 | 1,751 | 6,296 | 9,190 | 3,596 | 63 | 2,629 | 1,030 |
| TEX. PUC 13/ | 29,149 | | | | 163 | 5,804 14/ | | 23,508 | 5,419 | 759 | 53 | 125 | | | (134) | 564 | 271 | (245) |
| UTAH PSC | 6,002 | | | | 4 | 896 | | 5,110 | 502 | 171 | 3 | 41 | 604 | 818 | (316) | 69 | 131 | (378) |
| VT. PSB | | | | | | | | | | | | | | | | | | |
| VA. SCC | 55,952 | 143 | 37 | 18 | 336 | 8,355 | 11,559 | 36,572 | 9,560 | 1,033 | (232) | 750 | 7,696 | 9,247 | 313 | 1,054 | 1,072 | 295 |
| WASH. UTC | | | | | | | | | | | | | | | | | | |
| W.VA. PSC | 123,888 | | | | | | | | 29,912 | 1,814 | 5,199 15/ | | 14,942 | 21,956 | 7,956 | | | |
| WISC. PSC | 16,888 | | | | | 4,211 | | | 3,585 | 345 | 86 | 325 | 2,285 | 3,041 | 544 | | | |
| WYO. PSC | 14,748 | | | | 284 | 2,135 | | 12,897 | 2,266 | 564 | 172 | | 1,279 | 2,015 | 251 | | | |

Table 87 - COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED WATER UTILITIES UNDER AGENCY JURISDICTION (Continued)
(As of December 31, 1979, unless otherwise noted)

| | Customers (Intrastate Only) | | | | | Other Statistics (Intrastate Only) | | | |
|---|---|---|---|---|---|---|---|---|---|
| AGENCY | Residential Yearly Average | Commercial Yearly Average | Industrial Yearly Average | Others-Yearly Average | Total | Avg. Annual Residential Use M - Gallons | Avg. Residential Cost Per M-Gallons | Avg. Residential Monthly Bill ($) | Gross Plant Investment Per Customer($) |
| ALABAMA PSC | Information not available. | | | | | | | | |
| ALASKA PUC | Not applicable. | | | | | | | | |
| ARIZONA CC | 71,088 | 3,802 | 20 | 205 | 75,115 | 184.07 | 1.15 | 14.79 | 845.00 |
| ARKANSAS PSC | 2,688 | 297 | 11 | 110 | 3,106 | 57.74 | 1.21 | 7.52 | 855.22 |
| CALIFORNIA PUC | | | | | 969,865 | | | | 717.00 |
| COLORADO PUC | 4,492 | -0- | -0- | 1 | 4,493 | 191 | 0.71 | 12.20 | 538.00 |
| CONNECTICUT PUC | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | |
| D.C. PSC | Not applicable. | | | | | | | | |
| FLORIDA PSC | 121,820 | 7,658 | 358 | 4,490 | 134,326 | 91.65 | 1.15 | 8.78 | 1,441.00 |
| GEORGIA PSC | Not applicable. | | | | | | | | |
| HAWAII PUC | Information not available. | | | | | | | | |
| IDAHO PUC | 38,480 | 3,683 | | 719 | 42,882 | | | 9.89 | 783.00 |
| ILLINOIS CC | Information not available. | | | | | | | | |
| INDIANA PSC 1/ | 345,208 | 33,791 | 1,774 | 4,363 | 385,136 | | | | |
| IOWA SCC 2/ | 44,597 | 3,813 | 113 | 525 | 49,048 | 64.99 | 1.30 | 7.05 | 536.22 |
| KANSAS SCC | 10,759 | 1,105 | -0- | 58 | 11,932 | 102 | 1.02 | 8.73 | 281.00 |
| KENTUCKY URC | 75,831 | 6,443 | 118 | 1,041 | 83,433 | 66.98 | 1.41 | 7.89 | 837.18 |
| LOUISIANA PSC | Data not summarized--137 companies subject to the jurisdiction of the PSC. | | | | | | | | |
| MAINE PUC | 36,825 3/ | 4,204 3/ | 245 3/ | 643 3/ | 41,917 3/ | | | | 739.00 |
| MARYLAND PSC | 7,980 | 432 | 44 | 58 | 8,514 | 59 | 1.67 | 8.20 | 515.69 |
| MASSACHUSETTS DPU | | | | | 47,660 | | | | 732.80 |
| MICHIGAN PSC 4/ | 3,646 | 256 | 2 | 28 | 3,932 | 39 | 2.59 | 13.39 | 485.02 |
| MINNESOTA PSC | Not applicable. | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | |
| MISSOURI PSC | 368,591 | 25,351 | 505 | 7,128 | 401,575 | 94.4 | 0.95 | 7.48 | 676.00 |
| MONTANA PSC | | | | | 38,770 | | 2.00 | 15.00 | 645.98 |
| NEBRASKA PSC | Not applicable. | | | | | | | | |
| NEVADA PSC 16/ | 37,102 | 5,430 | -0- | 361 | 42,893 | 134.9 | 1.01 | 13.84 | |
| NEW HAMPSHIRE PUC | 29,670 | 204 | 22 | 198 | 30,094 | | | 13.07 | 1,355.16 |
| NEW JERSEY BPU | 672,625 | 21,241 | 7,115 | 7,042 | 708,023 | 80 | 0.92 | 11.19 | 850.11 |
| NEW MEXICO PSC | 34,919 | 4,940 5/ | | | 39,859 | 103 | 1.38 | 11.86 | |
| NEW YORK PSC 6/ | Customers not classified in this manner--see footnote 7. | | | | | | | 8/ | 672.38 |
| NORTH CAROLINA UC | | | | | 76,664 10/ | 76 | 1.34 | 7.98 | 618.00 |
| NORTH DAKOTA PSC | Not applicable. | | | | | | | | |
| OHIO PUC | | | | | 134,437 | | | | 800.21 |
| OKLAHOMA CC | Not applicable. | | | | | | | | |
| OREGON PUC | | | | | | | | 12/ | 1,028.00 |
| PENNSYLVANIA PUC | | | | | | | | | |
| RHODE ISLAND PUC 2/ | 15,090 | 2,451 | 39 | 312 | 17,892 | 61 | | 8.26 | 794.83 |
| SOUTH CAROLINA PSC | | | | | | | | | |
| SOUTH DAKOTA PUC | Not applicable. | | | | | | | | |
| TENNESSEE PSC | 58,994 | 5,323 | 193 | 934 | 65,444 | 68.92 | 1.34 | 7.68 | 899.18 |
| TEXAS PUC 13/ | | | | | 37,842 | | | | 770.00 |
| UTAH PSC | 4,623 | 10 | | | 4,633 | | | 9.00 | 1,295.00 |
| VERMONT PSB | | | | | | | | | |
| VIRGINIA SCC | 17,746 | 298 | | 781 | 18,825 | | | | |
| WASHINGTON UTC | | | | | | | | | |
| WEST VIRGINIA PSC | | | | | 147,273 | | | | |
| WISCONSIN PSC | 24,942 | 2,044 | 127 | 203 | 27,316 | 64 | 1.16 | 6.20 | |
| WYOMING PSC | | | | | | | | | |

## Footnotes - Table 87

## COMPOSITE OF STATISTICS FOR ALL PRIVATELY OWNED WATER UTILITIES UNDER AGENCY JURISDICTION

1/ Statistics have been compiled from 13 A-B, 4 C and 14 D private water utilities. For "Plant" and "Revenues and Expenses"--no information on 2 Class D companies. For "Customers"--no information on 1 A-B, 1 C and 5 D companies.

2/ Fiscal year ended June 30, 1979.

3/ Year-end data.

4/ Fiscal year ended September 30, 1979.

5/ Commercial, industrial and other customers--yearly average.

6/ Composite of statistics for all Class A and B privately owned water utilities under agency jurisdiction (systemwide).

7/ Customers are reported as metered sales to general customer and unmetered sales to general customer (systemwide)--total 341,838.

8/ Average monthly bill per customer (metered general customers)--$16.82; average monthly bill per customer (unmetered general customers)--$10.34.

9/ Estimated.

10/ Residential-year-end (metered)--59,468; residential-year-end (flat rate)--17,196.

11/ Includes $2,436 interest charges.

12/ Range $9.00 - $16.50/month, flat rate and metered.

13/ Water utilities with operating revenues in excess of $100,000.

14/ Depreciation and Amortization and Contributions in Aid of Construction.

15/ Income taxes and other taxes.

16/ Largest Water Company regulated by PSC is an unmetered system, statistics are not available for consumption so are not included in customer use statistics but are included in other applicable areas.

# PART IV

# NATIONAL TABLES ON TRANSPORTATION REGULATION

Part IV is composed of national tables listing jurisdictional and other general aspects of transportation regulation by the State and Federal agencies.

The tables include information on air carriers, motor carriers, and railroads.

Table 110 shows agency jurisdictional involvement in highway-railroad crossings, as well as additional information, comments and explanatory notes.

Table 112 lists prescribed systems of accounts for transportation companies.

Table 35 - REGULATION OF AIR TRANSPORTATION

| AGENCY | Scope of Agency Regulation of Air Transportation - | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Issuance of certificates or permits for service | Flight schedules | Quality of service | Discontinuance of service | Rates | Safety | Accounting | Security issues | Mergers and acquisitions | Bodily injury and property damage liability insurance | Cargo insurance | Reporting to agency | Investigation of accidents | Licensing of pilots | Licensing of airfields | Regulation of airfields | Other |
| CAB 32/ | Yes | No | Yes | Yes | Yes | No | Yes | No | Yes | Yes | Yes | Yes | No | No | No | No | |
| ALABAMA PSC 48/ | Yes | Yes | Yes | Yes | Yes | No | Yes | No | No | Yes | Yes | Yes | No | No | No | No | |
| ALASKA TC | Yes | No | Yes | Yes | Yes | No | 27/ | No | Yes | Yes | Yes | Yes | No | No | No | No | |
| ARIZONA CC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| ARKANSAS TC | Yes | No | No | No | No | No | No | No | No | Yes | Yes | No | No | No | No | No | |
| CALIFORNIA PUC 21/ | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No 2/ | No | |
| COLORADO PUC 1/ | Yes | Yes | Yes | Yes | Yes | No | Yes | No | Yes | Yes | No | Yes | No | No | No | No | |
| CONNECTICUT DOT 4/ | | | | | | | | | | | | | | | | | |
| DELAWARE TA | No | No | No | No | No | No | No | No | No | No | No | No | Yes | No | No | No | |
| FLORIDA PSC | Yes | Yes | Yes | Yes | Yes | No 5/ | Yes | No | Yes | Yes | Yes | Yes | No | No 5/ | No 5/ | No 5/ | |
| GEORGIA PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| HAWAII PUC | No | No | No | No | No | No | No | Yes | No | No | No | Yes | No | No | No | No | |
| IDAHO PUC 9/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | No | No | No | No | |
| ILLINOIS DOT 6/ | Yes | Yes | No | Yes | Yes | Yes | Yes | No | Yes | Required | No | Yes | Yes | No | Yes | Yes | |
| INDIANA AC 7/ | No | No | No | No | No | No | No | No | No | No | No | Yes | Yes 37/ | No | Yes | Yes | |
| IOWA TRB | No | No | No | No | No | No | No | No | No | No | No | No | No | No | Yes | Yes | |
| KANSAS CC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| KENTUCKY DOT | | | | | | | | | | | | | | | | | |
| LOUISIANA DTD 3/ | Yes | Yes | yes | Yes | No | Yes | Yes | No | Yes | Yes | No | Yes | No | | | | |
| MAINE DOT 10/ | No | No | | No | No | Yes | | No | | | | | Yes | No | Yes | Yes | |
| MARYLAND PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| MASSACHUSETTS AC 11/ | No | No | No | No | No | Yes | No | No | No | No | No | No | Yes | No | Yes | Yes | |
| MICHIGAN PSC | No | No | No | No | No | No | No | Yes | No | No | No | No | No | No | No | No | |
| MINNESOTA DOT 12/ | Yes | No | No | No | No | Yes | No | No | No | Yes | Yes | Yes | Yes | No | Yes | Yes | 13/ |
| MISSISSIPPI PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| MISSOURI DOT 14/ | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| MONTANA AD 39/ | Yes | Yes | Yes | Yes | Yes | No | No | No | No | Yes | No | Yes | No | Yes | No | No | |
| NEBRASKA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | No | No | No | No | 38/ |
| NEVADA PSC | | | | | | | | | | | | | | | | | |
| NEW HAMPSHIRE PUC | Yes 15/ | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| NEW JERSEY DOT | | | | | | | | | | | | | | | | | |
| NEW MEXICO SCC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| NEW YORK DOT | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | Yes | |
| NORTH CAROLINA UC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| NORTH DAKOTA AC 41/ | Yes | Yes | Yes | Yes | Yes | Yes 42/ | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | No | No | 43/ |
| OHIO DOT 8/ | | | | | | | | | | | | | | | | | |
| OKLAHOMA CC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| OREGON PUC 21/ | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| PENNSYLVANIA PUC | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | No | No | No | No | |
| PUERTO RICO PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| RHODE ISLAND PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | No | No | No | |
| SOUTH CAROLINA AC 16/ | Yes | | Yes | Yes | | Yes | | | Yes | Yes | | Yes | Yes | | | | |
| SOUTH DAKOTA PUC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| TENNESSEE PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| TEXAS AC | Yes | | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | | | | |
| UTAH PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| VERMONT AT 18/ | | | | | | | | | | | | | | | | | |
| VIRGIN ISLANDS PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| VIRGINIA SCC | Yes | Yes | Yes | Yes | Yes | Yes | | | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| WASHINGTON STATE AC 20/ | | | | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | Yes | | | | Yes | | | | | | | | | | | | |
| WISCONSIN TC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | |
| WYOMING PSC | Yes | Yes | Yes | Yes | Yes | No 28/ | Yes | No | Yes | No 28/ | No 28/ | Yes | Yes 19/ | No 28/ | No 28/ | No 28/ | |

Table [illegible] - REGULATION OF AIR TRANSPORTATION (Continued)

| AGENCY | Types of Carriers Regulated by Agency - | | | | | | | Agency authorized to seek intervention or other participation in proceedings of the Civil Aeronautics Board | Citation of the statute or legal authority to regulate the foregoing | Adoption of NARUC Model Act | | Adoption of NARUC Model Rules | | Willing to assist FAA with safety |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Passenger | Cargo | Trunk line carriers | Feeder or local service lines | Third level schedule carriers | Nonschedule air taxis | Charter carriers | | | Substantially in full | Or in part | Substantially in full | Or in part | |
| CAB 32/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | N/A | 49 U.S.C., XX 1301 et. seq. | N/A | N/A | N/A | N/A | Yes |
| ALABAMA PSC 48/ | | | | | | | | Yes | Ch. 37-9, Alabama Code | No | | No | | No |
| ALASKA TC | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Title 2, Ch. 5 Alaska Statutes, Alaska Air Commerce Act, 1960 | | Yes | | Yes | Yes |
| ARIZONA CC | No | No | No | No | No | No | No | No | No Jurisdiction | No | No | No | No | |
| ARKANSAS TC | Yes | No | No | No | No | No | No | No | Act 252 of 1945 | No | | No | | No |
| CALIFORNIA PUC 21/ | 22/ | 22/ | 22/ | 22/ | | 22/ | 22/ | Yes | 24/ | Yes | | | Yes | Yes |
| COLORADO PUC 1/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 40-1-102, Col. Rev. Stats. 1973 | No | | No | Yes | Yes |
| CONNECTICUT DOT 4/ | | | | | | | | | | | | | | |
| DELAWARE TA | No | No | No | No | No | No | No | No | | No | | No | | Yes |
| FLORIDA PSC | Yes | Yes | No | No | Yes | No | No | Yes | Secs. 330.45-330.53, Fla. Stats. | | Yes | | Yes | Yes |
| GEORGIA PSC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| HAWAII PUC | No | No | No | No | | No | No | Yes | Ch. 269, Hawaii Rev. Stats. | No | | No | | Yes |
| IDAHO PUC 9/ | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Ch. 11, Title 61, I.C. | No | | No | | N/A |
| ILLINOIS DOT 6/ | Yes | No | No | No | Yes | Yes | No | Yes | Ill. Air Carriers Act, Ch. 15 1/2, Sec. 503-519 of Illinois Revised Statutes | No | | No | | 29/ |
| INDIANA AC 7/ | No | No | No | No | No | No | No | Yes | | No | | No | | Yes |
| IOWA TRB | No | No | No | No | No | No | No | Yes | Ch. 307.18(b), 328.19 Code of Iowa 1977 | No | | No | | Yes |
| KANSAS CC | No | No | No | No | No | No | No | Yes | | No | | No | | Yes |
| KENTUCKY DOT | | | | | | | | | | | | | | |
| LOUISIANA DTD 3/ | Yes | Yes | No | No | Yes | No | No | Yes | | No | Yes | No | | Yes |
| MAINE DOT 10/ | No | No | No | No | No | No | No | Yes | Title 6 MRSA | | | | | |
| MARYLAND PSC | Yes | Yes | No | Yes | Yes | No | No | Yes | Article 78, Ann. Code of Md. | No | No | No | No | Yes |
| MASSACHUSETTS AC 11/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Gen. laws, Ch. 90 Sec. 39, 39B, 40, 41, 44, 47, and 48 | No | | Yes | | Yes |
| MICHIGAN PSC | No | No | No | No | No | No | No | No | | No | | No | | No |
| MINNESOTA DOT 12/ | | | No | No | Yes 23/ | Yes 23/ | No | Yes | Minn. Stats., Ch. 360 | | | | | Yes |
| MISSISSIPPI PSC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | |
| MISSOURI DOT 14/ | No | No | No | No | No | No | No | Yes | | No | | No | | Yes |
| MONTANA AD 39/ | Yes | No | No | No | Yes | No | No | Yes | Mont. Aeronautics Division Regulation 7-101 | No | | No | | |
| NEBRASKA PSC 17/ | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 25/ | No | | No | | |
| NEVADA PSC | | | | | | | | | | No | No | No | No | 30/ |
| NEW HAMPSHIRE PUC | Yes | Yes | No | Yes | No | No | No | No | RSA 422:24-a | No | No | No | No | 31/ |
| NEW JERSEY DOT | | | | | | | | | | | | | | |
| NEW MEXICO SCC | | | | | | | | | | | | | | |
| NEW YORK DOT | No | No | No | No | No | No | No | Yes | | No | No | No | No | Yes |
| NORTH CAROLINA UC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| NORTH DAKOTA AC 41/ | Yes 44/ | Yes 44/ | No 45/ | No 45/ | Yes | No 46/ | No 47/ | Yes | Secs. 2-05-15; 2-05-15.1; 2-05-16; 2-05-17 and 2-05-18 of the N.D. Century Code | No | | No | | Yes |
| OHIO DOT 8/ | | | | | | | | | | | | | | |
| OKLAHOMA CC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| OREGON PUC 21/ | No | No | No | No | No | No | No | Yes | | | | | | Yes |
| PENNSYLVANIA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Title 52 Pennsylvania Code | No 33/ | No | No | 34/ | 35/ |
| PUERTO RICO PSC | No | No | No | No | No | No | No | No | Act 109, June 28, 1962, as amended, Article 2(K) | No | No | No | No | Yes |
| RHODE ISLAND PUC | Yes | Yes | Yes | Yes | No | No | No | No | R.I. General Laws, Sec. 39-11-1 to 39-11-27 | Yes | | Yes | | No |
| SOUTH CAROLINA AC 16/ | Yes | | | | | | | Yes | Sec. 2-81, Uniform State Law for Aeronautics | No | | No | | Yes |
| SOUTH DAKOTA PUC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| TENNESSEE PSC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| TEXAS AC | Yes | No | Yes 40/ | Yes 40/ | Yes | No | No | Yes | Art. 46 c-1, et. seq. Vernon's Texas Civil Statutes | No | No | No | No | Yes |
| UTAH PSC | No | No | No | No | No | No | No | No | | No | No | No | No | No |
| VERMONT AT 18/ | | | | | | | | Yes | Title 5, Vt. Stats. Annotated, Ch. 1 - 5 | No | No | No | No | Yes |
| VIRGIN ISLANDS PSC | No | No | No | No | No | No | No | No | N/A | N/A | N/A | N/A | N/A | N/A |
| VIRGINIA SCC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 26/ | No | No | No | No | Yes |
| WASHINGTON STATE AC 20/ | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | W. Va. Code, 24-1-1 | | | | | |
| WISCONSIN TC | No | No | No | No | No | No | No | No | | | | | | |
| WYOMING PSC | Yes | Yes | No | No | Yes | No | No | Yes | Secs. 17-236-238 Wyo. Statutes, 1957, as amended | No | | No | | 36/ |

## Footnotes - Table 88 - Regulation of Air Transportation

1/ Where a "Yes" answer is given it applies only to those carriers who have no Federal Authority.

2/ Licensing of airfields performed by California Department of Transportation.

3/ Louisiana Department of Transportation and Development, Office of Aviation, P.O. Box 44245, Baton Rouge, Louisiana 70804.

4/ Connecticut Department of Transportation -- Bureau of Aeronautics, 24 Wollcott Hill Road, Wethersfield, Connecticut 06109. There are no airlines incorporated in the state which furnish intrastate service.

5/ "Safety," "Licensing of pilots," "Licensing of airfields" and "Regulation of airfields" are under purview of other Florida agencies.

6/ Illinois Department of Transportation, Division of Aeronautics, Capitol Airport, Box 218, Springfield, Illinois 62705.

7/ Aeronautics Commission of Indiana, Room 1025, State Office Building, 100 North Senate Avenue, Indianapolis, Indiana 46204.

8/ Limited regulation in Ohio Department of Transportation.

9/ Does not apply to carriers that have Federal Certificates.

10/ Maine Department of Transportation, State Office Building, Augusta, Maine 04330.

11/ Massachusetts Aeronautics Commission, Boston-Logan Airport, East Boston, Massachusetts 02128.

12/ Minnesota Department of Transportation, Transportation Building, Aeronautics Division, St. Paul, Minnesota 55155.

13/ All commuter air carriers must have a commercial operator's license.

14/ Missouri Department of Transportation P.O. Box 1250, Jefferson City, Missouri 65101.

15/ The PUC only has statutory jurisdiction for issuance of certificates or permits for service for aircraft of less than 12,500 lbs., gross weight only.

16/ South Carolina Aeronautics Commission, P.O. Box 1769, Columbia, South Carolina 29202.

17/ See Pioneer Airways, Inc. v. City of Kearney 256 M.W. 2d 324 (1977).

18/ State of Vermont, Vermont Transportation Board, Montpelier, Vermont 05602 - telephone - (802) 828-2828. The Vermont Transportation Board has the authority to regulate intrastate air transportation. The authority is broad and could conveivably cover all of the aspects cited. We do not, however, regulate an intrastate carrier nor do we contemplate such regulation since the state is so small.

19/ Joint authority with Wyoming Aeronautics Commission.

20/ Washington State Aeronautics Commission, 8600 Perimeter Road, Boeing Field, Seattle, Washington 98108. The State of Washington does not regulate intrastate air transportation. The Washington State Aeronautics Commission does have the statutory authority to license airports, however, we do not currently exercise that authority.

21/ Oregon PUC and California PUC were enjoined from regulating routes, rates and services on 6/1/79 by U.S. District Court, Northern District of California, having authority under Title IV including those carriers exempt pursuant to 49 USC 1386(b) or 14 CFR Part 298.

22/ Insurance only.

23/ Must be licensed.

24/ Re insurance--California Public Utilities Code, Sec. 5500-5511.

25/ Nebraska Revised Statutes, Section 75-201; Article IV, Sec. 20 Constitution of State of Nebraska, Chapter II, Rules of Regulation of Nebraska Public Service Commission.

26/ Code of Virginia, Title 5.1, Chapter 1, Sec. 5.1-1 through Ch. 9, Sec. 5.1-151.

27/ Have statutory authority but no regulations.

28/ Regulated by Wyoming Aeronautics Commission.

29/ Open for discussion.

30/ Depending on nature of program.

31/ No statutory authority.

32/ No regulation of routes or freight rates; some economic regulation or passenger fare control exercised.

33/ The Pennsylvania Code predates the Uniform State Air Carrier Model Act.

34/ The Pennsylvania Regulations (revised) substantially agree with part of Model Rules.

35/ The Pennsylvania Department of Transportation has a cooperative program with FAA.

36/ Undecided.

37/ Qualified.

38/ Accident reports are filed with this Commission.

39/ Montana Aeronautics Division, P.O. Box 1698, Helena, Montana 59601.

40/ Intrastate only.

41/ Aeronautics Commission, State of North Dakota, Box "U", Bismark, North Dakota 58505.

42/ Limited to type of equipment and qualifications of pilots.

43/ Require financial statements to be filed on a semi-annual basis showing, among other items, current assets and current liabilities.

44/ If on schedule of at least five round-trips per week.

45/ If certificated by CAB.

46/ Aircraft and pilots registered only.

47/ If not on schedule.

48/ Alabama Public Service Commission has jurisdiction over entry, rates and service of intrastate air commerce when the intrastate service is not incidental to interstate service.

## Table 89 - REGULATION OF MOTOR PASSENGER CARRIERS

| AGENCY | Scope of Agency regulation of motor passenger carriers - | | | | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Common Carriers | | | | | | | | | | | | | Contract Carriers | | | | | | | | | | | | |
| | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Construction | Security Issues | Mergers and Acquisitions | Insurance | Reporting | Interstate Motor Carrier Registration | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Construction | Security Issues | Mergers and Acquisitions | Insurance | Reporting | Interstate Motor Carrier Registration |
| ICC | X | X | X | X | X | X | | | X | X | X | X | | X | X | X | X | X | X | | | X | X | X | X | |
| ALABAMA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| ALASKA TC | X | X | X | X | X | X | X | | | X | X | X | X | | | | | X1/ | | X | | | | X | X1/ | |
| ALBERTA PUB 10/ | | | | | | | | | | | | | | | | | | | | | | | | | | |
| ARIZONA CC | X | X | X | X | X | X | X | X2/ | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| ARKANSAS TC | X | X | X | X | | X | X | | | X | X | X | X | X | X | X | X | | X | X | | | X | | X | X |
| CALIFORNIA PUC | X | X | X | X | X | X | 16/ | | X | X | X | X | | X | X | | | | 16/ | | | | | X | X | |
| COLORADO PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | | X | X | | X | | X | X | X | X | X |
| CONNECTICUT PUC | X | X | X | X | X | X | X | | | X | 3/ | X | 4/ | X | X | X | X | X | X | X | | | X | 3/ | X | 4/ |
| DELAWARE TA | X | X | X | X | X | X | X | X | X9/ | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | |
| FLORIDA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | 7/ | X | X | X | | | X | X | X | X |
| GEORGIA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| HAWAII PUC | X | X | X | X | X | X | 11/ | | X | X | X | X | X | X | X | X | X | X | X | 11/ | | X | X | X | X | X |
| IDAHO PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| ILLINOIS CC | X | X | X | X | X | X | 11/ | | X | X | X | X | | | | | | | | | | | | | | |
| INDIANA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | | | | X | | | | X | X | X |
| IOWA TRB | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | | X | | X |
| KANSAS SCC | X | X | X | X | X | X | X | | | X | X | X5/ | X | X | X | X | X | X | X | X | | | X | X | | X |
| KENTUCKY DOT 14/ | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| LOUISIANA PSC | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | | | X | X | X |
| MAINE PUC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | | X | X | | X | | | X | X | X | |
| MARYLAND PSC 6/ | X | X | X | X | X | X | X | | X | | | X | X | X | X | X | X | X | X | X | | X | X | | X | |
| MASSACHUSETTS DPU | X | X | X | X | X | X | X | | | | X | X | | X | X | X | X | X | X | X | | | X | X | X | |
| MICHIGAN PSC | X | X | X | X | X | X | X | | X | | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| MINNESOTA DOT | | | | | X | X | X | | | | X | X | X | | | | | X | X | X | | | | X | X | X |
| MINNESOTA PSC | X | X | X | X | | | X | | | X | | | | X | X | X | X | | | | | | X | | | |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| MISSOURI PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | | X |
| MONTANA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | | X | X | X | | | X | X | X | X |
| NEBRASKA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| NEVADA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| NEW HAMPSHIRE PUC | X | X | X6/ | X | X | X | X | | X | X | X | X | | X | X | | X | | | X | | | X | X | | |
| NEW JERSEY DOT | X | X | X | X | X | X | X | | X | X | X | X | | X | X | X | X | X | X | X | | X | X | X | X | |
| NEW MEXICO SCC | X | X | X | X | | X | | | | | X | | X | X | X | X | X | | X | | | | | X | | X |
| NEW YORK DOT | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X 12/ | | | X | X | | X | X | | |
| NORTH CAROLINA UC | X | X | X | X | X | X | X | X | X | X | X | X5/ | X | X | X | X | X | X | X | X | | X | X | X | X5/ | X |
| NORTH DAKOTA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X |
| OHIO PUC | X | X | X | X | | X | X | | X | X | X | X | | X | X | | | | | X | | X | X | X | | |
| OKLAHOMA CC | X | X | X | X | X | X | | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| OREGON PUC | X | X | X | X | X | X | X | | | | X | X | X | X | X | X | X | X | X | X | | | | X | X | X |
| PENNSYLVANIA PUC | X | X | X | X | X | X | X | | X | X | X | X | | X | X | X | X | X | X | X | | X | X | X | X | |
| PUERTO RICO PSC | X | X | X | X | X | X | X | | X | | X | X | | X | X | X | X | X | X | X | | X | | X | X | |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| SOUTH CAROLINA PSC | X | X | | X | | X | X | | | X | X | X | X | X | X | | X | | X | X | | | X | X | X | X |
| SOUTH DAKOTA PUC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | | X7/ | X | | X | | | | X | X | X |
| TENNESSEE PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| TEXAS RC | X | X | X | X | X | X | | | | X | X | X | X | X | X | X | X | X | X | | | | X | X | X | X |
| UTAH PSC | X | X | X | X | X | X | 13/ | X | | X | X | X | X | X | X | X | X | X | X | 13/ | X | | X | X | X | X |
| VERMONT AT 6/ 15/ | X | X | X | X | X | X | X | | X | X | X | X | | | | | | | | | | | | | | |
| VIRGIN ISLANDS PSC | X | X | X | X | X | X | X | X | X | X | X | X | | | | | | | | | | | | | | |
| VIRGINIA SCC | X | X | X | X | | X | | | X | | X | | X | 8/ | 8/ | 8/ | 8/ | | 8/ | | | | | 8/ | 8/ | 8/ |
| WASHINGTON UTC | X | X | X | X | X | X | X | | X9/ | X | X | X | X | X | X | X | X | X | X | X | | X9/ | X | X | X | X |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| WISCONSIN TC | X | X | X | X | X | X | | | | | X | X | X | | | | | | | | | | | | | |
| WYOMING PSC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | | X | X | | | | X | | X |

1/ May require filing of operating statement and other reports.
2/ For ambulances only.
3/ Filed with Connecticut Motor Vehicle Department.
4/ Regulate only passenger carriers domiciled outside Connecticut who operate over regular scheduled routes.
5/ Annual reports are required to be filed showing intrastate operations.
6/ Regular route carriers.
7/ Contract (charter) carrier rates filed with Agency. Agency prescribes minimum rates upon petition.
8/ Charter and sightseeing only.
9/ No jurisdiction if securities subject to ICC regulations.
10/ The Board's regulation is limited to the approval of municipal franchises granted to contract carriers.
11/ Safety regulations for motor carriers under Department of Transportation, Office of Highway Safety Coordinator.
12/ Must file copy of contract(s).
13/ Under jurisdiction of Utah State Department of Transportation.
14/ Bureau of Vehicle Regulation.
15/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602
16/ California Highway Patrol.

Table 90 - NUMBER OF MOTOR PASSENGER CARRIERS UNDER AGENCY JURISDICTION

| AGENCY | Motor Passenger Carriers | | | | | |
|---|---|---|---|---|---|---|
| | Common Carriers | | | Contract Carriers | | |
| | Number of Carriers | Number of Vehicles | Citation of Jurisdictional Authority | Number of Carriers | Number of Vehicles | Citation of Jurisdictional Authority |
| ICC | 1,255 | | 49 U.S.C. 1000-1199 | 61 | | 49 U.S.C. 301 1000-1199 |
| ALABAMA PSC | 33 | | Title 37, Code of Alabama | 0 | | Title 37, Code of Alabama |
| ALASKA TC | 36 | 943 | AS 42.15 | | | Not regulated |
| ALBERTA PUB | | | | | | Municipal Government Act |
| ARIZONA CC | 89 2/ | 700 | ARS. 40-601, Rules | 46 | 231 | ARS. 40-601, Rules |
| ARKANSAS TC | 32 | | Act 397-1955 | | | |
| CALIFORNIA PUC | 197 | 1,870 | Public Utilities Act | 687 | 3,779 | Charter Party Carriers Act |
| COLORADO PUC | 133 | | Sec. 40-1-102 CRS 1973 | 4 | | Sec. 40-11-101 CRS 1973 |
| CONNECTICUT PUC | 162 9/ | 2,419 | | 184 3/ | 745 | |
| DELAWARE TA | 2 | 2 | Title 2, Del. Laws, Ch. 18 | 21 | 204 | Title 2, Del. Laws, Ch. 18 |
| FLORIDA PSC | 90 | 2,800 | F.S. 323.02, 323.03 & 323.032 | 5 | 13/ | F.S. 323.02 and 323.03 |
| GEORGIA PSC | 46 2/ | | Ga. Code, Ch. 68-6 | | | Ga. Code, Ch. 68-5-6 |
| HAWAII PUC | 288 | 1,547 | Ch. 271 HRS | 1 | 3 | |
| IDAHO PUC | 30 | | Ch. 8, Title 61, Idaho Code | 44 10/ | | Ch. 8, Title 61, Idaho Code |
| ILLINOIS CC | 75 1/ | | Ill. Rev. Stat., Ch. 111 2/3, Sec. 1, et. seq. | | | |
| INDIANA PSC | 95 | | Ind. Code 1971, 8-2-7-1 | 2 | | Ind. Code 1971, 8-2-7-1 |
| IOWA TRB | 16 3/ | | C.H. 325, Iowa Code 1977 | 0 | 0 | |
| KANSAS SCC | 21 | 1,815 | Kan. Stats. Ann., Ch. 66 | 5 | 58 | Kan. Stats. Ann., Ch. 66 |
| KENTUCKY DOT 14/ | 505 11/ | 2,322 | KRS 281.600 | 0 | 0 | KRS 281.600 |
| LOUISIANA PSC | 54 | | Constitutional and Statutory | 0 | | |
| MAINE PUC | 20 | | 35 M.R.S.A. Chapter 97 | 30 | | |
| MARYLAND PSC | 71 | 3,542 | Article 78 | 14 | 32 | Article 78 |
| MASSACHUSETTS DPU | 117 | 4,608 | Ch. 159 A, Mass. General Laws | 167 | 2,087 | Ch. 159 A, Mass. General Laws |
| MICHIGAN PSC | 188 | 3,516 12/ | Act 254, P.A. 1933, Amended | | | Act 254, P.A. 1933, Amended |
| MINNESOTA DOT | 38 | | Minn. Statutes, Ch. 221 | 109 | | Minn. Statutes, Ch. 221 |
| MISSISSIPPI PSC | 8 | | M.C. Regulatory Act of 1938, as Amended | | | |
| MISSOURI PSC | 36 | | Ch. 390 RSMo. | 3 | | Ch. 390 RSMo. |
| MONTANA PSC | | | Title 69, MCA | | | Title 69, MCA |
| NEBRASKA PSC | 68 4/ | 354 | 75-301 et. seq. | | | |
| NEVADA PSC | 296 | | Nev. Revised Stats., Ch. 706 | 7 | | Nev. Revised Stats., Ch. 706 |
| NEW HAMPSHIRE PUC | 62 | 301 | RSA 376 | 26 | 87 | RSA 376 |
| NEW JERSEY DOT | 394 4/ | 5,602 | NJSA 48:2-1 et. seq. | | | NJSA 48:2-1 et. seq. |
| NEW MEXICO SCC | 102 | | N.M. Motor Carrier Act (65-2-1) et. seq. | 2 | | N.M. Motor Carrier Act (65-2-1) et. seq. |
| NEW YORK DOT | 115 | 4,800 | Trans. Law and Title 17, NYCRR | 650 | | Trans. Law and Title 17, NYCRR |
| NORTH CAROLINA UC | 38 | | N.C. Gen. Stat., Ch. 62-3(23)a.3,4 | 5 | | N.C. Gen. Stat., Ch. 62-3(23)a.3,4 |
| NORTH DAKOTA PSC | 28 | 640 | 49-0701 NDCC | 1 | 1 | |
| OHIO PUC | 63 2/ | 3,139 6/ | Title 49, Ohio Revised Code | 10 2/ | 62 6/ | Title 49, Ohio Revised Code |
| OKLAHOMA CC | 8 | | | 2 | | |
| OREGON PUC | 37 2/ | 1,490 | ORS, Ch. 767 | 1 2/ | 3 | ORS, Ch. 767 |
| PENNSYLVANIA PUC | 658 5/ | | Pa. Public Utility Law | | | |
| PUERTO RICO PSC | 12,619 | 21,426 | | | | |
| RHODE ISLAND PUC | 4 | | Title 39, Ch. 13, Sec. 1-17 | | | |
| SOUTH CAROLINA PSC | 333 4/ | 493 | S.C. Code Ann., Sec. 58-1401 et. seq. (1962) Amended | | | |
| SOUTH DAKOTA PUC | 8 2/ | 4,113 | S.D.C.L. 49-28 | | | |
| TENNESSEE PSC | 207 4/ | | 65-1501 et. seq. | | | |
| TEXAS RC | 76 2/ | 2,342 2/ | Tex. Rev. Civil Stats. Ann. (1925) Article 911(a) | 0 | 0 | |
| UTAH PSC | 37 9/ | | Utah Code, Sec. 54-6-4 | 1 | | Utah Code, Sec. 54-6-8 |
| VERMONT AT 15/ | 10 | | Title 30, VSA Sec. 203 | 0 | 0 | |
| VIRGIN ISLANDS PSC | 2 | 27 | Title 30, VIC, Sec. 1 | | | |
| VIRGINIA SCC | 59 | | Va. Code, Title 56, Ch. 12 | 123 7/ | | Va. Code Title 56, Ch. 12.3 & 12.4 |
| WASHINGTON UTC | 38 3/ | | Title 81, Rev. Code of Washington | | | |
| WEST VIRGINIA PSC | 1,127 | 1,627 | Chapter 24-A, W. Va. Code | 23 | 631 | |
| WISCONSIN TC | 44 | | Chapter 194, Wisconsin Statutes | | | |
| WYOMING PSC | 11 | | Sec. 37-8-201, Wyo. Statutes 1977 | 43 8/ | | Sec. 37-8-108, Wyo. Statutes 1977 |

1/ Includes Medicar companies.
2/ Intrastate.
3/ Charter Carriers.
4/ Total motor passenger carriers.
5/ Taxi - 431; bus - 227.
6/ Includes buses used for combination intrastate and interstate.
7/ Charter and Sightseeing.
8/ 24 passenger and 19 taxi.
9/ Includes motor bus and taxi, interstate and intrastate.
10/ School bus contractors.
11/ Includes 377 taxicab companies--authorizing 1,581 cabs.
12/ No distinction between common and contract.
13/ Contract carrier number of vehicles are not maintained separately--number of vehicles included in common carriers.
14/ Bureau of Vehicle Regulation.
15/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.

## Table 91 - MOTOR CARRIER ENFORCEMENT FOR YEAR ENDED DECEMBER 31, 1979

| AGENCY | Road Checks for State Operating Authority | | | | Road Checks for Interstate Carrier Registration | | | | Road Checks for Safety Violations | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Trucks and Buses Observed | Violations Disclosed | Arrests Made | Fines Collected ($) | Trucks and Buses Observed | Violations Disclosed | Arrests Made | Fines Collected ($) | Trucks and Buses Observed | Violations Disclosed | Arrests Made | Fines Collected ($) |
| ALABAMA PSC | | | 235 1/ | | | | 460 1/ | | | | 744 1/ | |
| ALASKA TC | Data not available. | | | | | | | | | | | |
| ARIZONA CC | | | | | 229 | 229 | | | 52,389 | 59,543 | | |
| ARKANSAS TC | | | | | | | | | | | | |
| CALIFORNIA PUC | 1,433 | 225 | 18 | 4,090 | -0- | | | | Safety responsibility of California Highway Patrol. | | | |
| COLORADO PUC | 4,278 2/ | 234 | -0- | -0- | 4,278 2/ | 132 | -0- | -0- | 4,278 3/ | 6,242 | -0- | -0- |
| CONNECTICUT PUC | | | | | | | | | | | | |
| DELAWARE TA | | | | | | | | | | | | |
| FLORIDA PSC | | | | | 62,529 4/ | 19,227 4/ | 5,107 5/ | 477,482 | 12,417 | 3,812 | | |
| GEORGIA PSC | 6/ | 7/ | 53 | 11,950 | 6/ | 7/ | 1,091 | 224,384 | Safety inspections function of Ga. Dept. of Public Safety | | | |
| HAWAII PUC | Data unavailable at PUC. Records available at Department of Transportation--Motor Vehicle Safety Office. | | | | | | | | | | | |
| IDAHO PUC | 2,402 8/ | 2,512 8/ | 129 8/ | 9/ | | | | | | | | |
| ILLINOIS CC | | 2,452 10/ | 2,452 10/ | 99,714 11/ | | 1,071 10/ | 1,071 10/ | | Road checks for safety violations not applicable. | | | |
| INDIANA PSC | | | 765 | | | | 2 | | | | 12,144 | |
| IOWA TRB 13/ | 684,852 4/ | 16,821 | 12/ | 309,110 | 14/ | 720 | 12/ | 14,978 | 14/ | 9,615 | 12/ | 130,000 |
| KANSAS SCC | | 1,314 4/ | 1,133 4/ | 47,953 4/ | | | | | | 10,429 | 9,614 | 187,520 |
| KENTUCKY BVR | | | | | | | | | | | | |
| LOUISIANA PSC | 30,010 4/ | 3,032 4/ | | 526,026 4/ | | | | | | | | |
| MAINE PUC | | | | | | | | | 7,110 | 2,4[illegible]2 15/ | 1,400 | 70,000 |
| MARYLAND PSC | 44 | 25 | -0- | -0- | | | | | 831 | | -0- | -0- |
| MASSACHUSETTS DPU | 4,000 27/ | 420 23/ | -0- | 3,068 | | | | | 28/ | | | |
| MICHIGAN PSC | 64,085 4/ | 5,925 4/ | 2,743 4/ | 44,338 4/ | | | | | 64,085 4/ | 31,200 | 1,480 | 43,135 |
| MINNESOTA DOT | 2,260 16/ | 200 | 261 | 11,745 | | 87 | 131 | 4,725 | | 151 | 107 | 5,890 |
| MISSISSIPPI PSC | 80,448 | 3,413 | 3,263 | 120,221 | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | | |
| MONTANA PSC | 103,599 16/ | 3,483 4/ | | 239,856 17/ | | | | | | 283 | | |
| NEBRASKA PSC | 142 | 128 | 3 | 75 | 11,128 | 274 | 39 | 975 | -0- | -0- | -0- | -0- |
| NEVADA PSC | 492 | 260 | 140 | 6,495 | 1,234 | 259 | 212 | 10,550 | 1,497 | 280 | 144 | 2,735 |
| NEW HAMPSHIRE PUC | 280 | 12 | 12 | 875 | 770 | 15 | 15 | 1,125 | 1,050 | 83 | -0- | -0- |
| NEW JERSEY DOT | 2,710 | 2,072 18/ | -0- | -0- | -0- | -0- | -0- | -0- | 2,463 | 1,302 18/ | -0- | -0- |
| NEW MEXICO SCC | | | | | | | | | | | | |
| NEW YORK DOT | | | | | | | | | | | | |
| NORTH CAROLINA UC | 8,629 4/ | 631 | -0- | -0- | | 1,321 | 842 19/ | 21,050 | 19,321 | 20,119 | 20/ | -0- |
| NORTH DAKOTA PSC | 6,399 21/ | 69 | 69 | 3,000 | | | | | | | | |
| OHIO PUC 13/ | 50,000 22/ | 365 | 282 23/ | 28,267 | 150,000 22/ | 637 | 519 23/ | 48,078 | 200,000 22/ | 45,368 | 4,402 23/ | 253,070 |
| OKLAHOMA CC | | | | | | | | | | | | |
| OREGON PUC | 410,856 24/ | | 9,619 | | | | | | 1,938 | 4,923 | 494 | |
| PENNSYLVANIA PUC | | | | | | | | | | | | |
| RHODE ISLAND PUC | 62 | 9 | -0- | -0- | 210 | 82 | 54 | -0- | 150 | 122 | -0- | -0- |
| SOUTH CAROLINA PSC | | | | | | | | | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | | | |
| TENNESSEE PSC | 15,026 16/ | 402 | 402 | 32,190 | | 513 | 513 | 41,040 | | 51,914 | 1,965 | 114,461 |
| TEXAS | | | | | | | | | | | | |
| UTAH PSC | Under jurisdiction of Department of Transportation. | | | | | | | | | | | |
| VERMONT AT 25/ | | | | | | | | | | | | |
| VIRGINIA SCC | | 5,213 | 4,744 | 386,465 | | | | | | | | |
| WASHINGTON UTC | 94,511 | 22,891 | 1,682 | 105,031 | 75,608 | 18,312 | 1,345 | 85,025 | 22,656 | 17,928 | 815 | 46,455 |
| WEST VIRGINIA PSC | 3,322 16/ | 27 | -0- | -0- | | 13 | -0- | -0- | | 26/ | -0- | -0- |
| WISCONSIN TC | | | | | | | | | | | | |
| WYOMING PSC | Not a jurisdictional function of the Wyoming PSC. | | | | | | | | | | | |

Footnotes

1/ Not broken down in inter/intrastate violations. 2/ Inspected 1,928. 3/ Inspected 949 for safety. 4/ Interstate and intrastate. 5/ Includes citations to appear before the Commission--564. 6/ No records kept, but numbers in the thousands. 7/ 4,984 violations including the number of arrests shown, together with 423 warnings issued involving illegal transportation. 8/ Figures reflect data for state, interstate and safety violations combined. Separate figures not available. 9/ Fines are collected through the county magistrate courts. 10/ Does not include Illinois State Police contacts and arrests. 11/ Includes interstate fines. 12/ Included with violations disclosed. 13/ Data for fiscal year ended June 30, 1979. 14/ Included in total reported for State Operating Authority. 15/ Road checks for economic and safety violations for both intrastate and interstate motor carriers are performed simultaneously as pertaining to trucks. Approximately 338 such road checks were held. Buses are checked only at their terminals to avoid inconvenience to passengers. About 350 buses were inspected for safety. 16/ Total figure for state operating authority, interstate registration and safety. 17/ Includes fines collected for safety violations. 18/ Violations include warning citations. 19/ Payment of fines in lieu of arrest. 20/ Arrests not made for safety violations, but if vehicles meet specified criteria as being unsafe, they are placed out of service. In 1979, 854 such vehicles were placed out of service. 21/ Total of all road checks conducted. 22/ Estimate. 23/ Citations issued. 24/ Requirement for plate or pass only. 25/ During 1979 the Agency checked 7,836 trucks. 299 citations were issued for safety violations. The average fine for safety violation is $75.00--total fines collected for safety violations approximately $22,425. 26/ Out of service - 688, vehicle defect - 546. 27/ Total of intrastate and interstate buses checked for authority. Record-keeping procedures do not distinguish between intrastate and interstate. 28/ Safety violations were not kept in Department records, because all safety enforcement programs were carried out on a joint basis with Federal BOMC officials and Mass. State Police.

Table 91 - MOTOR CARRIER SAFETY INVESTIGATORS

| AGENCY | Motor Carrier Investigators Employed - | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | | Full-time | | | Part-time | | | |
| | Number | Annual Salary Range | Average Annual Salary | Average Years of Service | Number | Annual Salary Range | Average Annual Salary | Average Years of Salary |
| ICC | 80 | $13,925 - $45,126 | | 6 | | | | |
| ALABAMA PSC | 10 | $15,795 - $25,961 | $19,805 | 6 | | | | |
| ALASKA TC | 6 | $25,584 - $35,436 | $31,938 | 5 | | | | |
| ARIZONA CC | 21 | $13,090 - $19,900 | $15,600 | 5 | | | | |
| ARKANSAS TC | 18 | $ 9,906 - $14,404 | $12,948 | 7 | | | | |
| CALIFORNIA PUC | 5 | $24,612 - $29,700 | $27,757 | 21 | | | | |
| | 29 | $21,384 - $25,788 | $24,100 | 17 | | | | |
| | 29 | $17,784 - $21,384 | $20,365 | 9 | | | | |
| COLORADO PUC | 11 2/ | $14,000 - $22,624 | $16,772 | 3.2 | | | | |
| CONNECTICUT PUC | 5 | $11,909 - $14,441 | $10,329 | 8 | | | | |
| DELAWARE TA | 1 | $ 9,320 - $14,220 | $10,100 | 2 | | | | |
| FLORIDA PSC 7/ | 9 4/ | $15,117 - $20,337 | $18,948 | 16 | | | | |
| | 9 5/ | $12,653 - $16,871 | $15,367 | 9 | | | | |
| | 55 6/ | $10,669 - $14,114 | $12,308 | 5.5 | | | | |
| GEORGIA PSC | 18 | $ 9,750 - $22,806 8/ | $13,140 | 6 | | | | |
| HAWAII DOT 19/ | | | | | | | | |
| IDAHO PUC | 3 | $11,040 - $16,320 | $13,445 | 4 | | | | |
| ILLINOIS CC | 19 9/ | $11,748 - $24,204 | $15,841 | 8.4 | | | | |
| INDIANA 10/ | | | | | | | | |
| IOWA TRB | | | | | | | | |
| KANSAS SCC | 3 | $10,884 - $13,032 | | 12 | | | | |
| KENTUCKY DOT 18/ | 103 | $ 8,520 - $12,576 | | 5.9 | | | | |
| LOUISIANA PSC | 17 | $10,200 - $18,492 | $14,346 | 13 | | | | |
| MAINE PUC | 6 11/ | $ 7,904 - $10,587 | $ 8,540 | 4 2/3 | | | | |
| | 1 12/ | $ 8,590 - $11,523 | $10,686 | 29 | | | | |
| | 1 13/ | $10,337 - $13,873 | $12,360 | 9 | | | | |
| MARYLAND PSC | 9 | $10,231 - $13,340 | $12,005 | 3.4 | | | | |
| MASSACHUSETTS DPU | 14 16/ | | $14,160 | 8.5 | | | | |
| MICHIGAN PSC | 100 15/ | $13,989 - $23,155 1/ | $18,185 1/ | 9.2 | | | | |
| MINNESOTA DOT | 8 | $12,090 - $14,950 | $13,217 | 11 | | | | |
| MISSISSIPPI PSC | 15 | $ 9,660 - $15,420 | $12,084 | 10 | | | | |
| MISSOURI PSC | 19 | $13,296 - $16,795 | $13,823 | 5 | | | | |
| MONTANA PSC | 5 | $10,854 - $13,226 | $11,400 | 5 | | | | |
| NEBRASKA PSC | 7 | $ 8,508 - $12,000 | $ 9,500 | 12 | | | | |
| NEVADA PSC | 9 9/ | $13,341 - $22,104 | $17,320 | 7 1/2 | | | | |
| NEW HAMPSHIRE PUC | 4 | $14,287 - $17,304 | $16,546 | 5.3 | | | | |
| NEW JERSEY DOT | 21 | $11,418 - $15,416 | $14,000 | 10 | | | | |
| | 3 | $13,219 - $17,843 | $15,000 | 11 | | | | |
| | 1 | $14,574 - $19,579 | $19,000 | 4 | | | | |
| NEW MEXICO MTD 14/ | | | | | | | | |
| NEW YORK DOT | 1 | $19,600 - $22,600 | $19,948 | 28 | | | | |
| | 2 | $14,300 - $18,400 | $17,017 | 24 | | | | |
| | 19 17/ | $12,000 - $16,400 | $14,408 | 14 | | | | |
| NORTH CAROLINA UC | 11 | $11,125 - $14,578 | $13,500 | 19 | | | | |
| NORTH DAKOTA PSC | 2 | | $20,000 | 6 | | | | |
| OHIO PUC | 30 | $11,150 - $15,900 | $13,500 | 7.2 | | | | |
| OKLAHOMA CC | 2 | | | | | | | |
| OREGON PUC | 12 | $14,856 - $24,204 | $18,936 | 9 | | | | |
| PENNSYLVANIA PUC | 37 | $11,364 - $14,690 | $15,015 | 11.8 | | | | |
| PUERTO RICO PSC | 47 | $ 4,800 - $ 7,080 | $ 6,080 | 10 | | | | |
| RHODE ISLAND PUC | 5 | $ 9,000 - $11,000 | $10,500 | 11 | | | | |
| SOUTH CAROLINA PSC | 36 | $ 9,000 - $12,000 | $10,000 | 10 | | | | |
| SOUTH DAKOTA PUC | 3 | $ 8,990 - $14,500 | $12,000 | 8 | | | | |
| TENNESSEE PSC | 40 | $ 8,808 - $15,132 | | 4 | | | | |
| TEXAS RC | 21 | $12,816 - $23,952 | | 6 | | | | |
| UTAH PSC | 3 | $13,284 - $21,588 | $17,456 | 5.6 | | | | |
| VERMONT MVD 3/ | | | | | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | |
| VIRGINIA SCC | 30 9/ | $16,400 - $30,600 | $18,965 | 10 | | | | |
| WASHINGTON UTC | 62 | $11,796 - $27,300 | $16,500 | 7.2 | | | | |
| WEST VIRGINIA PSC | 18 | $ 7,656 - $14,460 | $10,357 | 7 1/2 | | | | |
| WISCONSIN TC | 3 | $13,134 - $16,579 | $16,067 | 24 | | | | |
| WYOMING PSC | 5 | $13,464 - $18,048 | $17,184 | 7 | | | | |

1/ Includes district supervisor's salary.
2/ Motor Carrier Transportation Representatives.
3/ Enforcement under jurisdiction of Motor Vehicle Department and State Police.
4/ District Supervisors.
5/ Assistant District Supervisors.
6/ Transportation Investigation Officers.
7/ The Director, Assistant Director, and State Enforcement Supervisor of the PSC's Transportation Department are also commissioned as transportation investigators and authorized to make arrests in enforcement of applicable laws and PSC regulations.
8/ From trainee to chief enforcement officer.
9/ Supervisors included.
10/ Vehicle Inspection Division of the Indiana State Police employs motor carrier investigators.
11/ Public Utility Investigator I.
12/ Public Utility Investigator II.
13/ Public Utility Investigator III.
14/ Enforcement under jurisdiction of Motor Transportation Department.
15/ Includes officers and supervisors.
16/ Includes one supervisor and one examiner.
17/ Authorized positions: 14 positions filled.
18/ Bureau of Vehicle Regulation.
19/ Effective 7/1/77 function of Department of Transportation, Office of Highway Safety Coordinator.

Table 93 MOTOR TRUCK CARGO INSURANCE FILING REQUIREMENTS

| AGENCY | Must Intrastate Carriers File? | Will State Accept NARUC? | MINIMUM LIMITS Per Vehicle & Catastrophe | Endorsement Form No. | Cert. of Ins. Form No. | Expiration Term of Certificate | Cancellation Certificate Form No. | Cancellation Notice Requirement | Reimbursement Agreement Required? | Must Interstate Carriers File? |
|---|---|---|---|---|---|---|---|---|---|---|
| ICC | No | N/A | $5,000 $10,000 | BCM-32 | BCM-34 | Cont.Until Cancelled | BCM-35 | 30 days | Yes | Yes (6) |
| ALABAMA PSC | Yes | Yes | $5,000 $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| ALASKA TC | Yes | Yes* | $5,000 $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| ARIZONA CC | (Mobile Home Operators Only) | Yes | $7.500 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | --- | No | No |
| ARKANSAS TC | Yes | Yes | $1,000 $ 1,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| CALIFORNIA PUC | Yes (HHG Carrier only) | No | $5,000 $ 5,000 | TL-671 | TL-672 | Policy Expiration | TL-673 | 30 days | No | No |
| COLORADO PUC | Yes | Yes | $2,500 --- | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| CONNECTICUT PUC | No | --- | --- --- | --- | --- | --- | --- | --- | --- | No |
| DELAWARE TA | No | --- | --- --- | --- | --- | --- | --- | --- | --- | No |
| FLORIDA PSC | Yes | Yes | $2,500 $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| GEORGIA PSC | Yes | Yes | $5,000 $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| HAWAII PUC | Yes | No | $1,500 $ 3,000 | MCB 9 | MCB 8 | Policy Expiration | MCB 10 | 15 days | No | No |
| IDAHO PUC | No | --- | --- --- | --- | --- | --- | --- | --- | --- | No |
| ILLINOIS CC | Yes | Yes | 10,000 $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| INDIANA PSC | Yes | Yes | $2,500 $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| IOWA TRB | Yes | Yes | See Special Information(Item 2 last page of table) | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| KANSAS SCC | Yes | Yes | $1,000 --- | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| KENTUCKY DOT (BVR) | Yes | Yes* | $5,000 $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 15 days | No | No |
| LOUISIANA PSC | No | --- | --- --- | --- | --- | --- | --- | --- | -- | Yes |
| MAINE PUC | Yes | No | Up to 3 tons<br>$2,000 $ 5,000<br>Over 3-5 tons<br>$3,000 $ 5,000<br>Over 5-7 tons<br>$4,000 $ 5,000<br>Over 7 tons<br>$5,000 $ 5,000 | MC2400b | X-21A | Policy Expiration | Company Letterhead | 30 days | No | No |
| MARYLAND PSC | No | --- | --- --- | --- | --- | --- | --- | --- | --- | No |
| MASSACHUSETTS DPU | Yes | No | $1,000 $ 1,000 | MC2418 | MC2417 | Cont.Until Cancelled | MC 2419 | 30 days | No | No |
| MICHIGAN PSC | Yes | Yes | None specified | NARUC-I | NARUC-H<br>P-250 | Cont.Until Cancelled or Policy Expiration | NARUC-K | 10 days | No | Yes |
| MINNESOTA PSC | Yes | Yes | Reg. Rt. Common Carrier<br>Less than 1½ tons<br>$2,000 $ 2,000<br>Over 1½ tons<br>$5,000 $ 5,000<br>Petro. Carrier<br>Less than 1½ tons<br>$1,000 $ 1,000<br>Over 1½ tons<br>$2,000 $ 2,000 | | Form E | | Form K | 30 days | | Yes |
| MISSISSIPPI PSC | Yes | No | 3 tons or under<br>$5,000 $ 5,000<br>Over 3 tons<br>$10,000 $10,000 | MPSC Form MC 28 | MPSC Form 26 | Policy Expiration | MC 2425 | 10 days | Yes | Yes |
| MISSOURI PSC | Yes | Yes | $ 2,500 $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| MONTANA PSC | Yes | No | $1,000 $ 1,000 | MV-2 | 2-50 | Policy Expiration | WC 3221 | 30 days | No | No |
| NEBRASKA PSC | Yes | Yes | $3,000 $ 3,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| NEVADA PSC | Yes | Yes | $1,000 $ 2,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| NEW HAMPSHIRE PUC | Yes | Yes | Up to 3 tons<br>$1,000 $ 1,000<br>Over 3-4 tons<br>$1,500 $ 1,500<br>Over 4-5 tons<br>$2,000 $ 2,000<br>Over 5-6 tons<br>$2,500 $ 2,500<br>Over 6-7 tons<br>$3,000 $ 3,000<br>Over 7-8 tons<br>$4,000 $ 4,000<br>Over 8 tons<br>$5,000 $ 5,000<br>H.G. Movers<br>30¢ per pound | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |

Table 93 - MOTOR TRUCK CARGO INSURANCE FILING REQUIREMENTS (Continued)

| AGENCY | Must Intrastate Carriers File? | Will State Accept NARUC? | MINIMUM LIMITS | | Endorsement Form No. | Cert. of Ins. Form No. | Expiration Term of Certificate | Cancellation Certificate Form No. | Cancellation Notice Requirement | Reimbursement Agreement Required? | Must Interstate Carriers File? |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Per Vehicle | & Catastrophe | | | | | | | |
| NEW JERSEY DOT | Yes (H.H.G. Carrier only) | Yes | $5,000 | $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| NEW MEXICO SCC | Yes | Yes* | $1,000 | $ 2,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| NEW YORK DOT | Yes | No | $2,500 | $10,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| NORTH CAROLINA UC | Yes | Yes* | $2,500 | $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| NORTH DAKOTA PSC | Yes | Yes | $5,000 | $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 15 days | No | No |
| OHIO PUC | Yes | Yes | $5,000 | Not Stated | NARUC-I | NARUC-H | Cont.Until Cancelled preferred but not required by rule | NARUC-K | 30 days | Yes | Yes |
| OKLAHOMA CC | Yes | Yes | $2,500 | Not Stated | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| OREGON PUC | Yes | Yes | $1,000 | $ 2,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 15 days | No | Yes |
| PENNSYLVANIA PUC | Yes | No | Cargo<br>$2,500 | | UCPC-32 | Cargo | Cont.Until Cancelled | | 30 days | No | No |
| RHODE ISLAND PUC | Yes | Yes* | $2,000 | $ 2,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| SOUTH CAROLINA PSC | Yes | Yes | $5,000 | None | IRB-35393 | 3539B | Cont.Until Cancelled | MC 2445 | 30 days | No | Yes |
| SOUTH DAKOTA PUC | Yes | Yes | $2,500 | $ 5,000 | Form E | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| TENNESSEE PSC | Yes | Yes | Up to 3 tons<br>$2,000<br>Over 3-4 tons<br>$3,000<br>Over 4-5 tons<br>$4,000<br>Over 5-6 tons<br>$5,000<br>Over 6-7 tons<br>$6,000<br>Over 7-8 tons<br>$8,000<br>Over 8-9 tons<br>$10,000<br>Over 9 tons<br>$12,000 | <br>$ 2,000<br><br>$ 3,000<br><br>$ 4,000<br><br>$ 5,000<br><br>$ 6,000<br><br>$ 8,000<br><br>$10,000<br><br>$12,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| TEXAS RC | Yes | Yes | $1,000 | $ 2,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | Yes |
| UTAH PSC | Yes | Yes | $2,500 | $ 5,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |
| VERMONT AT (7) | No | --- | --- | --- | --- | --- | --- | --- | --- | --- | No |
| VIRGINIA SCC | Yes | Yes | $7,500 | $ 5,000 | MC-10(b) | MC-10(b) | Policy Expiration or Cont.Until Cancelled | Company Letterhead | 30 days | No | No |
| WASHINGTON UTC | No | --- | --- | --- | --- | --- | --- | --- | --- | --- | No |
| WEST VIRGINIA PSC | Yes | No | $2,000 | $ 4,000 | MC-23 | MC-25 | Policy Expiration | MC-17 | 30 days | No | No |
| WISCONSIN TC | No | --- | --- | --- | --- | --- | --- | --- | --- | --- | No |
| WYOMING PSC | Yes | Yes | $2,000 | $ 4,000 | NARUC-I | NARUC-H | Cont.Until Cancelled | NARUC-K | 30 days | No | No |

SPECIAL INFORMATION

* - States of Alaska, Kentucky, New Mexico, North Carolina, and Rhode Island will accept either NARUC or their own forms.

(1) California - Notice of Reinstatement TL-674 required.

(2) Iowa -

a. Notice of Reinstatement NARUC-F required.
b. To show evidence of Liquid Cargo Coverage, it is necessary to type "Liquid Coverage" in the upper right-hand corner of the certificate (NARUC-H).
c. To show evidence of Passenger Cargo Coverage, it is necessary to type "Passenger Coverage" in the upper right-hand corner of the certificate (NARUC-H).
d. Limits of Liability as follows:

Passenger Equipment - Vehicle used to transport passenger: $1,000. Vehicle used to transport passenger and freight: $1,000/$10,000. Freight in limited amounts transported by motor carriers of passengers by reason of authority issued by the commission is considered to be express. Minimum insurance limits on express which motor carrier of passengers may be transported, as authorized shall be $1,000.

Freight Equipment - All vehicles transporting motor carrier freight $10,000 and $10,000. All motor trucks used to transport truck operator freight $2,000 and $2,000. All truck tractors and semi-trailers used to transport truck operator freight $5,000 and $5,000.

Liquid Transport - All vehicles used to transport liquid transport carrier freight $10,000 and $10,000.

(3) New Mexico -

a. Rescinder of cancellation MTD-2-C (MC2421) required.
b. Notice of reinstatement MTD-2-D (MC2422) required.

(4) Michigan - Must "fully cover and protect all property."

(5) The Virgin Islands have no interstate motor truck carriers.
(6) ICC - Applies only to motor common carriers of property with certain exceptions (carriers of low value commodities.)
(7) Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.

## Introduction - STATE ADOPTION OF UNIFORM MOTOR CARRIER STANDARDS

Columns 1 - 5 of Table 94 reflect the extent of State agency adoption of the Uniform Interstate Motor Carrier Standards (49 CFR, Part 1023) which were determined by the NARUC and promulgated into law by the Interstate Commerce Commission (ICC) pursuant to Public Law 89-170 [79 Stat. 648, 49 U.S.C., Sec. 302(b)]. The Fee for Original Registration of ICC Authority, Column 2, and the Fee for Supplemental Registration of ICC Authority, Column 3, are governed by 49 CFR, Sec. 1023.13. The fee for the issuance of an identification stamp for the Uniform Identification Cab Card, Form D, Column 4, and the fee for the assignment of an identification number (in lieu of such stamp) for the Cab Card, Form D, Column 5, are governed by 49 CFR, Secs. 1023.32 and 1023.33.

Columns 6 - 10 of Table 94 reflect the extent of State agency adoption of the Model State Standards Governing Operations of Interstate Motor Carriers Exempt from ICC Regulation which were adopted by the NARUC on November 14, 1968 (80th NARUC Annual Convention Proceedings, pp. 267-280). The Fee for Registration of Operation, Column 7, is provided for in Section 2.3 of the Model Standards. The fee for the issuance of an identification stamp for the Uniform Identification Cab Card, Form D-1, Column 9, and the fee for the assignment of an identification number (in lieu of such stamp) for the Cab Card, Form D-1, Column 10, is provided for in Section 4.2 and 4.3 of the Model Standards.

Column 11 of Table 94 reflects the extent State agencies reduce the amount of any fee specified in Columns 2 - 5, 7, 9 and 10 in accordance with reciprocity agreements with other States. Reciprocity as to ICC regulated carriers is permitted by 49 CFR, Sec. 1023.106. Reciprocity for interstate carriers, exempt from ICC regulation, is governed by State law.

Column 12 of Table 94 reflects the extent State agencies require carriers to annually file lists identifying the vehicles which they intend to operate within the respective States during the ensuing year pursuant to 49 CFR, Sec. 1023.32(d), for ICC regulated carriers and Section 4.2(d) of the Model State Standards for interstate carriers exempt from ICC regulation.

Columns 13 - 20 of Table 94 reflect the extent State agencies accept from ICC regulated carriers (identified in the Table as "IC"). interstate carriers exempt from ICC regulation (identified as "I"), and intrastate carriers (identified as "S"): (a) The Uniform Motor Carrier Bodily Injury and Property Damage Liability Certificate of Insurance, Form E; (b) The Uniform Motor Carrier Bodily Injury and Property Damage Liability Insurance Endorsement, Form F; (c) The Uniform Motor Carrier Bodily Injury and Property Damage Liability Surety Bond, Form G; (d) The Uniform Motor Carrier Cargo Certificate of Insurance, Form H; (e) The Uniform Motor Carrier Cargo Insurance Endorsement, Form I; (f) The Uniform Motor Carrier Cargo Surety Bond, Form J; (g) The Uniform Notice of Cancellation of Motor Carrier Insurance Policies, Form K; and (h) The Uniform Notice of Cancellation of Motor Carrier Surety Bonds, Form L.

The text of these forms is stated in the Appendix to 49 CFR, Part 1023, for ICC regulated carriers, and is incorporated by reference in the Model State Standards Governing Operations of Interstate Motor Carriers Exempt from ICC Regulation. The NARUC on November 30, 1972, adopted a resolution recommending that the State agencies adopt these forms for intrastate motor carrier operations, 84th NARUC Annual Convention Proceedings, p. 236 (1972).

The National Conference of State Transportation Specialists (NCSTS) has adopted a model State act for the implementation of Public Law 89-170 [79th NARUC Annual Convention Proceedings, pp. 412-416 (1967)]. NCSTS has also prepared a model State motor carrier act [83rd NARUC Annual Convention Proceedings, pp. 470-493 (1971)].

The NARUC has published Public Law 89-170 and Standards for Operations of Interstate Motor Carriers at $3.50 per copy. This is a 66 page compilation as of February 1, 1978, of Public Law 89-170 and of the standards adopted thereunder to require evidence of the lawfulness of interstate operations of motor carriers with a State by (a) filing and maintaining current records of the certificates and permits issued by the ICC, (b) registering and identifying vehicles as operating under such certificates and permits, (c) filing and maintaining evidence of currently effective insurance or qualifications as a self-insurer under rules and regulations of the ICC, and (d) filing designations of local agents for service of process. In addition, the compilation includes: (a) Annotations to interpretations of the standards which have been made by the courts and the NARUC; (b) The Model State Standards Governing Operations of Interstate Motor Carriers Exempt from ICC Regulation; and (c) A list of the States requiring the use of the Uniform Identification Cab Card, Form D or Form D-1, or both, under such standards.

Uniform Identification Cab Card, Form D or Form D-1, may be purchased from the NARUC at .30¢ each.

Table 94 - STATE ADOPTION OF UNIFORM MOTOR CARRIER STANDARDS

| | Interstate Carriers (ICC Regulated) | | | | | Interstate Carriers (ICC Exempt) | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| Agency | -1- Apply 89-170 Standards to ICC Carriers | -2- Fee for Original Registration of ICC Authority | -3- Fee for Supplemental Registration of ICC Authority | -4- Stamp Fee for Form D Cab Card | -5- Fee For Number for Form D Cab Card | -6- Apply Model State Standards to Non-ICC Interstate Carrier | -7- Fee for Registration of Operation | -8- Period Registration Effective | -9- Stamp Fee for Form D-1 Cab Card | -10- Fee for Number for Form D-1 Cab Card |
| ALABAMA PSC | Yes | 25.00 | -0- | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| ALASKA TC | Yes | 25.00 | 10.00 | No | No | Yes | 25.00 | Continuous | No | No |
| ARIZONA CC | Yes | 25.00 | -0- | 5.00 | NA | Yes | 25.00 | Continuous | 5.00 | NA |
| ARKANSAS TC | Yes 28/ | 50.00 | 50.00 | NA 2/ | NA | 3/ | 50.00 | Continuous | NA | NA |
| CALIFORNIA PUC | Yes | 25.00 | 10.00 | 2.00 34/ | NA | Yes | 25.00 | Continuous | 2.00 34/ | NA |
| COLORADO PUC | Yes | 20.00 | -0- | NA | -0- | Yes | 20.00 | Continuous | NA | -0- |
| CONNECTICUT PUC | Yes | 25.00 | 10.00 | 10.00 | NA | Yes | 25.00 | Continuous | 10.00 | NA |
| DELAWARE TA | No | | | | | No | | | | |
| D. C. PSC | No | | | | | No | | | | |
| FLORIDA PSC | Yes | 25.00 | 10.00 | 5.00/8.00 | NA | Yes | 25.00 | Continuous | 5.00/8.00 | NA |
| GEORGIA PSC | Yes | 25.00 | 5.00 | 5.00 | NA | Yes | 25.00 | Continuous | 5.00 | NA |
| HAWAII PUC | No | | | | | No | | | | |
| IDAHO PUC | Yes | 25.00 29/ | -0- | NA | -0- | Yes | 25.00 29/ | 1 Year | NA | -0- |
| ILLINOIS CC | Yes | 25.00 | -0- | 10.00 4/ | NA | Yes | 25.00 | Continuous | 10.00 35/ | NA |
| INDIANA PSC | Yes | 25.00 | 10.00 | 15.00 | NA | No | | | | |
| IOWA TRB | Yes | 25.00 | 10.00 | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| KANSAS SCC | Yes | 10.00 | 10.00 | 10.00 | NA | No | 10.00 | 1 year | NA | NA |
| KENTUCKY DOT (BVR) | Yes | 25.00 29/ | -0- | 2.00 30/ | NA | Yes | 25.00 | 1 year | 2.00 30/ | NA |
| LOUISIANA PSC | Yes | 25.00 | 10.00 | 5.00 5/ | NA | Yes | 25.00 | Continuous | 5.00 | NA |
| MAINE PUC | Yes | 25.00 | -0- | 5.00 6/ | NA | Yes | 25.00 | 1 Year | 5.00 6/ | NA |
| MARYLAND PSC | No | | | | | No | | | | |
| MASSACHUSETTS DPU | Yes | 10.00 11/ | -0- | 10.00 7/ | NA | Yes | 10.00 11/ | Continuous | 10.00 7/ | NA |
| MICHIGAN PSC | Yes | 20.00 | 10.00 | NA | -0- | No | | | | |
| MINNESOTA DOT | Yes | 25.00 9/ | -0- | 5.45 8/ | NA | Yes | 25.00 9/ | 1 Year | 5.00 8/ | NA |
| MISSISSIPPI PSC | Yes | | | | | No | | | | |
| MISSOURI PSC | Yes | -0- | -0- | 25.00 10/ | -0- | Yes | -0- | Continuous | 25.00 10/ | -0- |
| MONTANA PSC | Yes | 25.00 | -0- | 5.00 | NA | No | | | | |
| NEBRASKA PSC | Yes | 10.00 | 10.00 | 12/ | NA | Yes | 10.00 | Continuous | 12/ | NA |
| NEVADA PSC | Yes | | | | | No | | | | |
| NEW HAMPSHIRE PUC | Yes | 10.00 | 10.00 | 5.00 | NA | No | | | | |
| NEW JERSEY DOT | No | | | | | No | | | | |
| NEW MEXICO SCC | Yes | 25.00 | 10.00 | NA | -0- | Yes | 25.00 | Continuous | NA | -0- |
| NEW YORK DOT | No | | | | | No | | | | |
| NORTH CAROLINA UC | Yes | 25.00 | 5.00 | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| NORTH DAKOTA PSC | Yes | 25.00 | 10.00 | 2.50 | NA | No | | | | |
| OHIO PUC | Yes | 25.00 | 10.00 | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| OKLAHOMA CC | Yes | 25.00 | 10.00 | 26/ | NA | No | 25.00 | | 26/ | NA |
| OREGON PUC | No | | | | | No | | | | |
| PENNSYLVANIA PUC | No | | | | | No | | | | |
| RHODE ISLAND PUC | Yes | 20.00 | -0- | 7.00 | NA | Yes | 20.00 | Continuous | 7.00 | NA |
| SOUTH CAROLINA PSC | Yes | 25.00 | 10.00 14/ | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| SOUTH DAKOTA PUC | Yes | 25.00 | -0- | 4.00 | NA | Yes | 25.00 | 1 Year | 4.00 | NA |
| TENNESSEE PSC | Yes | 25.00 | 10.00 | 5.00 | NA | Yes | 25.00 | Continuous | 5.00 | NA |
| TEXAS RC | Yes | 25.00 | 10.00 | 33/ | NA | No | 25.00 | 1 year | | |
| UTAH PUC | Yes | 25.00 | -0- | 1.00 | NA | Yes | 25.00 | Continuous | 1.00 | NA |
| VERMONT AT 32/ | No | | | | | No | | | | |
| VIRGINIA SCC | Yes | -0- | -0- | 3.00 | | No | | | | |
| WASHINGTON UTC | Yes | 25.00 | 10.00 | 3.00 19/ | NA | Yes | 25.00 | Continuous | 3.00 19/ | NA |
| WEST VIRGINIA PSC | Yes | -0- | -0- | 3.00 | NA | No 21/ | -0- | 1 Year | 3.00 | NA |
| WISCONSIN TC | Yes | 25.00 | 5.00 | 23/ | -0- | Yes | 25.00 | Continuous | 10.00 | -0- |
| WYOMING PSC | Yes | -0- | -0- | -0- | NA | Yes | -0- | 1 Year | -0- | NA |

Table 94 - STATE ADOPTION OF UNIFORM MOTOR CARRIER STANDARDS (Continued)

| | All Interstate Carriers | | Interstate Carriers (ICC Regulated) (IC); Interstate Carriers (ICC Exempt) (I); Intrastate Carriers (S) | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | -11- | -12- | -13- | -14- | -15- | -16- | -17- | -18- | -19- | -20- |
| Agency | Apply Reciprocity to Reduce Fees | Require List of Vehicles Operated | Accept Insurance Form E | Accept Insurance Form F | Accept Insurance Form G | Accept Insurance Form H | Accept Insurance Form I | Accept Insurance Form J | Accept Insurance Form K | Accept Insurance Form L |
| ALABAMA PSC | No | No | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| ALASKA TC | No | No | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| ARIZONA CC | Yes | IC, I | IC, I, S | IC, I, S | IC, I | S1/ | S1/ | S1/ | IC, I, S | IC, I, S |
| ARKANSAS TC | 2/ | No | IC, I, S | I | IC, I, S | IC, I, S | | IC, I, S | IC, I, S | IC, I, S |
| CALIFORNIA PUC | Yes | No | I | | I | | | | I | I |
| COLORADO PUC | No | No | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| CONNECTICUT PUC | No | Yes | IC, S | | IC, S | | | | IC, S | IC, |
| DELAWARE TA | | | | | | | | | | |
| D. C. PSC | | | | | | | | | | |
| FLORIDA PSC | No | Yes | IC S | IC S | IC S | IC S | IC S | IC S | IC S | IC S |
| GEORGIA PSC | No | Yes | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| HAWAII PUC | | Yes | IC, I, S | I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| IDAHO PUC | No | No | IC, I, S | N/A | N/A | N/A | N/A | N/A | N/A | N/A |
| ILLINOIS CC | No | No | I, S | I. S | I, S | S | S | S | I, S | I, S |
| INDIANA PSC | Yes 25/ | No | IC S | | IC S | IC S | | IC S | IC S | IC |
| IOWA TRB | Yes 24/ | No | IC, I, S | IC, I, S | IC, I, S | S | S | S | IC, I, S | IC, I, S |
| KANSAS SCC | No | Yes | IC, I, S | No | No | S | No | No | IC, I, S | No |
| KENTUCKY DOT (BVR) | No | Yes | IC, I, S | IC, I, S | No | S | S | No | IC, I, S | No |
| LOUISIANA PSC | No | No | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| MAINE PUC | No | Yes | No | No | No | No | No | No | No | No |
| MARYLAND PSC | | | | | | | | | | |
| MASSACHUSETTS DPU | Yes 7/ | Yes | No | No | No | No | No | No | No | No |
| MICHIGAN PSC | | No | IC | | IC | IC | IC | IC | IC | IC |
| MINNESOTA DOT | Yes 8/ | No | IC, I, S | | IC, I, S | IC, I, S | | IC, I, S | IC, I, S | IC, I, S |
| MISSISSIPPI PSC | No | No | IC. S | IC S | IC S | IC S | IC S | IC S | IC S | IC S |
| MISSOURI PSC | Yes 11/ | No | IC, I, S | IC, I, S | IC, I, S | S | S | S | IC, I, S | IC, I, S |
| MONTANA PSC | No | No | IC | IC | | | | | IC S | |
| NEBRASKA PSC | Yes | No | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| NEVADA PSC | | | | | | | | | | |
| NEW HAMPSHIRE PUC | No | Yes | | | | | | | | |
| NEW JERSEY DOT | | | | | | | | | | |
| NEW MEXICO SCC | No 31/ | No 31/ | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| NEW YORK DOT | No | No | S | S | S | S | S | S | S | S |
| NORTH CAROLINA UC | Yes 13/ | Yes | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| NORTH DAKOTA PSC | No | No | S | S | S | S | S | S | S | S |
| OHIO PUC | No | No | IC, I, S | IC, I, S | IC, I, S | IC, S | IC, S | IC, S | IC, I, S | IC, I, S |
| OKLAHOMA CC | Yes 27/ | No | IC, I, S | IC, I | IC, I | | | | IC, I | IC, I |
| OREGON PUC | | Yes 36/ | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| PENNSYLVANIA PUC | No | No | S | S | | | | | | |
| RHODE ISLAND PUC | No | Yes | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| SOUTH CAROLINA PSC | No | No | IC, I | IC, I | IC, I | | | | IC, I, S | IC, I, |
| SOUTH DAKOTA PUC | Yes 15/ | No | IC, I, S | IC, I, S | IC, I, S | S | S | I, S | IC, I, S | IC, I, S |
| TENNESSEE PSC | No | Yes | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| TEXAS RC | Yes 16/ | Yes | IC S | IC S | IC S | S | S | S | IC S | IC S |
| UTAH PUC | Yes 17/ | 18/ | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S | IC, I, S |
| VERMONT AT 32/ | N/A | No | | | | | | | | |
| VIRGINIA SCC | No | Yes | | | | | | | | |
| WASHINGTON UTC | No | Yes | IC | IC, I, S | IC, I, S | 20/ | 20/ | 20/ | IC, I, S | IC, I, S |
| WEST VIRGINIA PSC | Yes 22/ | Yes | No | No | No | No | No | No | No | No |
| WISCONSIN TC | Yes 23/ | No | IC, I, S | | IC, I, S | | | | IC, I, S | IC, I, S |
| WYOMING PSC | No | No | IC, I, S | IC, I, S | IC, I, S | I, S | I, S | IC, I, S | IC, I, S | IC, I, S |

## Footnotes - Table 94 - STATE ADOPTION OF UNIFORM MOTOR CARRIER STANDARDS

1/ Cargo insurance required on intrastate carriers of mobile homes.

2/ A regulatory fee of $5.00 per power unit is charged carriers where legal domicile is in those states with which Arkansas does not have a reciprocal agreement. Evidence of payment is issued in the form of a small decal to be affixed to the right door of the vehicle.

3/ Arkansas TC will accept the application form, but has not adopted it.

4/ Plus $1.00 per vehicle.

5/ Fourteen-day trip permits at $2.00 each (in lieu of stamps) are available to registered carriers to allow for flexibility of operations.

6/ $10.00 for tractor; $5.00 for regular truck.

7/ The amount of the fee reduced by reciprocity is .50¢.

8/ The amount of the reciprocal fee is .45¢, $1.45 or $2.45.

9/ No charge for some reciprocal States.

10/ $5.00 for a 72-hour trip permit. No charge to carriers from reciprocal states.

11/ No charge for reciprocal States.

12/ The stamp fee equals the fee charged a Nebraska domiciled carrier by another State. If the other State does not register ICC exempt carriers, the stamp fee is .25¢.

13/ No stamp fee is charged a carrier whose vehicles are licensed in reciprocal States.

14/ Mobile home transporters are charged $5.00.

15/ No charge for registration or stamps for carriers of reciprocal States.

16/ Stamp fee waived or reduced for carriers resident or domiciled in reciprocal States.

17/ No stamp fees for carriers based in California, Missouri, North Carolina, Oklahoma or Michigan with vehicles base plated in one of these States, if complete schedule of equipment on the vehicles is filed.

18/ List only required if stamps obtained without charge through reciprocity.

19/ Single Trip Transit Permits: A for-hire carrier engaged exclusively in interstate or foreign commerce who crosses the State line, and who does not hold a valid registration from this Commission, may as an alternative to all other requirements apply for Single Trip Transit Permits, valid for ten days, for a one-way trip into or out of the State. The fee is $10.00 and the permit will be issued at ports of entry upon presentation of an insurance policy or a certificate of insurance in the minimum amounts of $25,000.00, $100,000.00 liability and $10,000.00 property damage.

Single Trip Regulatory Fee Card: A for-hire carrier registered with this Commission to engage in interstate or foreign commerce across or between points in this State and points outside of this State may, as an alternative to other options, purchase single trip regulatory fee cards, valid for five (5) days, authorizing a one-way trip into, out of or across this State for a fee of $10.00 each. Such regulatory fee cards must be purchased in advance from this Commission's Olympia office only, and no refund will be allowed for unused cards. The card shall be carried in the vehicle operating under this type of permit, shall be under the control and direction of the motor carrier issuing same and shall be used only within the scope of authority of that motor carrier. At the end of each calendar month, a report shall be prepared and sent to the Commission, showing the card number, dates used, origin and destination of shipment and vehicle number.

Identification Cab Cards and Identification Stamps: Every power unit operated in the State, except those using single trip regulatory fee cards, must have an Identification Cab Card with a WUTC identification stamp attached and carried in the vehicle. The identification stamp fee is $3.00

Regulatory Fees: In addition to the identification cab card and stamp, proof of payment of regulatory fees must also be carried. Regulatory fees are based on the licensed gross weight plus additional tonnage of each power unit or combination. The following options are available as to regulatory fees:
Option 1: Assigned Regulatory Fees: Indicated by a colored identification stamp with gross weight stamped thereon which is to be placed on the identification cab card and serves as both the identification stamp and proof of regulatory fee payment.
Option 2: Floater Regulatory Fees: Indicated by a Floater Regulatory Fee Card which must be carried in the power unit in addition to the identification cab card and identification stamp. Said card may be used on any power unit or combination operated by the carrier. On vehicles which are to use the Floater Card, the carrier should order from this Commission sufficient $3.00 colored identification stamps to go on each vehicle's identification cab card which, repeat, must be carried in addition to the Floater Regulatory Fee Card. Lost or stolen floater cards are a total loss to the carrier and will only be replaced by payment of full fees.
Option 3: Single Cab Card through Department of Motor Vehicles: On equipment eligible for prorate, carriers who have previously registered with this commission, and who have been assigned a registration number, may apply for Assigned Regulatory fees and identification stamps on the Single Cab Card application to the Department of Motor Vehicles. The regulatory fee will be printed on the WUTC portion of the single cab card. In addition, the applicant will receive a colored identification stamp which is to be placed on a NARUC identification cab card and carried with the Single Cab Card.

Where it is desired to use Floater Regulatory Fee Cards with the Single Cab Cards, the application to the Department of Motor Vehicles should omit WUTC fees, and application for identification stamps and floater regulatory fee cards should be made directly to the WUTC. In this case, the equipment will carry the Single Cab Card, a NARUC identification cab card with attached identification stamp and a floater regulatory fee card.

20/ Cargo insurance not required unless advertising as insured carrier.

21/ ICC exempt carriers required to register vehicles with PSC and pay $3.00 fee for each vehicle, except where reciprocity applies.

22/ PSC has complete reciprocity with Arkansas, Kentucky, Ohio and Wisconsin and charges no fee to their domiciliaries for vehicles licensed in their respective States.

23/ Contract carriers $10.00; common carriers $20.00; and $2.00 (South Dakota), $2.50 (North Dakota), and $5.00 (Florida, Georgia, Louisiana and Tennessee) reciprocal.

24/ A Minnesota carrier is not required to purchase stamps, but the Iowa registration number must be written in Iowa square on the cab card.

25/ Fees for Public Service Commission of Indiana Vehicle Identification Stamps.

The Public Service Commission Motor Vehicle Registration Identification Stamps must be displayed and fees paid by all common and contract carriers unless specifically waived by reciprocal agreement. Reciprocal agreements acknowledged herein are agreements between the State of Indiana and other states and foreign governments only, in accordance with their respective statutory authority.

Indiana has reciprocity on the above mentioned fees for vehicles base-plated in the following states: Arizona, California, Kentucky, Maryland, Massachusetts, Michigan, Missouri, Nebraska, New Jersey, North Carolina, Oklahoma, Pennsylvania, South Dakota, Texas and Wisconsin.

Public Service Commission vehicle identification Reciprocity Stamps are required of common and contract carriers in interstate moves by operators of vehicles base-plated in the following states; and at the fees stated: Alabama - $1.00; Arkansas - $5.00; Florida - $5.00; Georgia - $5.00; Illinois (Inter Only) - $1.00 - Illinois with Inter and Indiana Intrastate authority shall be charged an annual fee of $15.00; Iowa - $1.00; Louisiana - $5.00; Minnesota - $1.00; Mississippi - $13.00; Ohio - $1.00; South Carolina - $1.00; Tennessee - $5.00; Virginia - $3.00; West Virginia - $3.00.

Rules and Regulations of the Public Service Commission of Indiana Provide the following annual fees shall be charged Interstate Motor Carriers of P.S.C.I. identification stamps: (a) $15.00 for Tractors; (b) $12.00 for Trucks or Buses; (c) $2.50 for Temporary Tractors; (d) $2.00 for Temporary Trucks or Buses.

26/ $3.00 for straight truck or passenger vehicles, and $5.00 for truck tractor vehicle.

27/ No fees are charged to carriers of reciprocal States, nor are such carriers required to obtain Oklahoma stamps.

28/ Except cab cards.

29/ Initial registration fee -- renewed annually for $25.00.

30/ Do not use Form D or D-1 Cab Card -- An identification card is required for each out-of-state licensed vehicle.

31/ Responsibility of another Department - not SCC.

32/ Vermont Agency of Transportation, Department of Bus, Rail, Waterways and Motor Carrier Services, State Administration Building,
Montpelier, Vermont 05602.

33/ Fee varies according to reciprocity agreements.

34/ Effective January 1, 1980 motor carriers who also hold intrastate operating authorities issued by the California Public Utilities Commission are required to identify their power equipment by their MC number assigned by the Interstate Commerce Commission or by their Cal "T" identification number assigned by the California Commission. They may also, as an identification method, obtain stamps for cab cards. In such cases, the stamps will be issued without charge.

35/ Plus $19.00 per vehicle.

36/ All power units operating over Oregon highways required to have pass or PUC plates. Ten-day pass fee - $4.00; passes over 10 days or plates - $10.00.

Table 95- STATE CAB CARD REQUIREMENTS

| State | Requires Form D for ICC Carriers | Requires Form D-1 for Exempt Carriers |
|---|---|---|
| Alabama[1/] | Yes | Yes |
| Alaska[2/] | No | No |
| Arizona[1/] | Yes | Yes |
| Arkansas | Yes | Yes |
| California[3/] | Yes | Yes |
| Colorado | No | No |
| Connecticut[1/] | Yes | Yes |
| Delaware | No | No |
| District of Columbia | No | No |
| Florida | No | No |
| Georgia | Yes | Yes |
| Hawaii | No | No |
| Idaho[4/] | No | No |
| Illinois | Yes | Yes |
| Indiana | Yes | No |
| Iowa | Yes | Yes |
| Kansas[5/] | Yes | No |
| Kentucky | No | No |
| Louisiana[6/] | Yes | Yes |
| Maine | Yes | Yes |
| Maryland | No | No |
| Massachusetts | Yes | Yes |
| Michigan[7/] | No | No |
| Minnesota[1/] | Yes | Yes |
| Mississippi | Yes | No |
| Missouri | Yes | Yes |
| Montana | Yes | No |
| Nebraska[8/] | Yes | Yes |
| Nevada | No | No |
| New Hampshire | Yes | No |
| New Jersey | No | No |
| New Mexico | Yes | Yes |
| New York | No | No |
| North Carolina[1/] | Yes | Yes |
| North Dakota | Yes | No |
| Ohio[9/] | Yes | Yes |
| Oklahoma[10/] | Yes | No |
| Oregon | No | No |
| Pennsylvania | No | No |
| Rhode Island | Yes | Yes |
| South Carolina | Yes | Yes |
| South Dakota[1/] | Yes | Yes |
| Tennessee | Yes | Yes |
| Texas | Yes | Yes |
| Utah | Yes | Yes |
| Vermont | No | No |
| Virginia | Yes | No |
| Washington | Yes | Yes |
| West Virginia | Yes | Yes |
| Wisconsin[11/] | Yes | Yes |
| Wyoming | Yes | Yes |

[1/] Carriers possessing ICC authority and also carrying exempt commodities need only carry Form D.

[2/] A copy of approved registration application is required to be carried in each vehicle except those operating under trip permits obtained at border when permit is issued.

[3/] California allows interstate or foreign carriers who also hold authority as highway carriers issued by the California PUC to identify their equipment by their assigned California T Number identification or their MC number assigned in lieu of cab cards and stamps. The affected carriers may, however, also use the cab cards and stamps if they desire to do so. In such case the stamps will be provided at no charge.

[4/] Idaho requires that each carrier carry an acknowledged copy of Form A or A-1 in each vehicle plus a copy of the receipt issued for the annual registration fee.

[5/] Kansas regulates interstate carriers hauling exempt commodities. Kansas issues license plates and corresponding identification cab cards to all carriers granted ICC Exempt authority by the Kansas Corporation Commission.

[6/] An ICC regulated carrier who files Form A-1 to backhaul exempt commodities may do so with Form D and current stamp issued by the Louisiana PSC. No additional D-1 card or stamp is required.

[7/] The Michigan Public Service Commission recommends that carriers insert their Michigan file number in the space on the cab card provided for the stamp.

[8/] Private and Exempt carriers may use either Form D-1 with stamp, or Nebraska cab card IR-1 with stamp.

[9/] Stamps may be purchased only by a carrier (regulated or exempt) who is properly registered. Proper registration includes current valid insurance and filing of an agent for process for a non-resident.

[10/] The Oklahoma Commission does not accept Form D-1 cab cards. Exempt carriers must carry copy of certificate issued by the Commission. Exempt carriers may place Oklahoma stamp on D-1 card carried for other States.

[11/] Wisconsin recognizes reciprocity for Form D cab cards with 22 other States.

Table 96 - AGENCY RATE JURISDICTION OVER CERTAIN TRAFFIC OR MOTOR COMMON AND CONTRACT CARRIERS

| AGENCY | Agency Exercises Rate Jurisdiction Over the Following Traffic of Motor Common and Contract Carriers | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Passenger | | Passenger Charters | | General Commodities | | Bulk Commodities | | Household Goods | | Agricultural Commodities | | Parcel | | Baggage | | Other | |
| | Common | Contract | Common | Contract | Common | Contract | Common | Contract | Common | Contract | Common | Contract | Common | Contract | Common | Contract | Common | Contract |
| ICC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | No | Yes | Yes | Yes | Yes | | |
| ALABAMA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes 1/ | Yes 1/ | Yes | Yes | Yes | Yes | | |
| ALASKA TC | Yes | | Yes | | Yes | | Yes | | Yes | | Yes 1/ | | 2/ | | Yes | | | |
| ARIZONA CC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| ARKANSAS TC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| CALIFORNIA PUC | Yes | No | No | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 33/ | 33/ |
| COLORADO PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes 3/ | Yes 3/ |
| CONNECTICUT PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| DELAWARE TA | Yes | Yes | Yes | Yes | | | | | | | | | | | | | | |
| DELAWARE PSC | | | | | | | | | | | | | | | | | | |
| D. C. PSC | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC | Yes4/ | | | | Yes | | Yes | | Yes | | | | Yes | | No | | | |
| GEORGIA PSC | Yes | | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| HAWAII PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| IDAHO PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 18/24/ | |
| ILLINOIS CC | Yes | | | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | |
| INDIANA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | Yes7/ | Yes 7/ | Yes | Yes | Yes | Yes | | |
| IOWA TRB | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | | |
| KANSAS SCC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| KENTUCKY DOT (BVR) | Yes | Yes | Yes | Yes | Yes | Yes | Yes 30/ | Yes 30/ | Yes | Yes | 2/ | | Yes | Yes | Yes | Yes | 31/ | 31/ |
| LOUISIANA PSC | Yes | | Yes | | Yes | Yes | Yes | Yes | Yes | | | | Yes | Yes | | | | |
| MAINE PUC | Yes | Yes 8/ | Yes8/ | Yes 8/ | Yes | Yes 8/ | Yes | Yes 8/ | Yes | Yes 8/ | | 2/ | Yes | Yes8/ | Yes | Yes | | |
| MARYLAND PSC | Yes | Yes | | | Yes | Yes | Yes 9/ | Yes 9/ | | | | | Yes | Yes | | | | |
| MASSACHUSETTS DPU | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 28/ | 28/ |
| MICHIGAN PSC 10/ | Yes11/ | Yes11/ | | | Yes | Yes | Yes11/ | Yes11/ | Yes | Yes | Yes11/ | Yes 11/ | Yes | Yes | Yes | Yes | | |
| MINNESOTA DOT | Yes | 12/ | 12/ | 12/ | Yes | 12/ | Yes | 12/ | Yes | 12/ | | 12/ | | 12/ | | 12/ | | |
| MISSISSIPPI PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | | | |
| MISSOURI PSC | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | Yes | | | |
| MONTANA PSC | Yes | | Yes | | Yes | | Yes | | Yes | | Yes1/ | | Yes | | Yes | | | |
| NEBRASKA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes34/ | Yes34/ | Yes | Yes | | | Yes | Yes | | | Yes35/ | Yes 35/ |
| NEVADA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| NEW HAMPSHIRE PUC | Yes | Yes | Yes | Yes | Yes 12/ | Yes 12/ | Yes 12/ | Yes 12/ | Yes 12/ | | Yes 12/ | Yes 12/ | Yes12/ | Yes12/ | | | | |
| NEW JERSEY DOT | Yes5/ | Yes5/ | Yes5/ | Yes5/ | Yes6/ | Yes 6/ | | Yes 5/ | Yes 5/ | | | | | | | | | |
| NEW MEXICO SCC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 27/ | 27/ | Yes | Yes | Yes | Yes | | |
| NEW YORK DOT 29/ | Yes 1/ | No | No | No | Yes | Yes 17/ | Yes | Yes 17/ | Yes | No | No | No | Yes | Yes17/ | Yes | Yes17/ | Yes | Yes 17/ |
| NORTH CAROLINA UC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes13/ | Yes 13/ | Yes | Yes | Yes | Yes | | |
| NORTH DAKOTA PSC | Yes | Yes 14/ | Yes | Yes 14/ | Yes | Yes 14/ | Yes | Yes 14/ | Yes | Yes 14/ | Yes13/ | Yes 13/ | Yes | Yes | Yes | Yes | | |
| OHIO PUC | Yes14/ | 17/ | 15/ | 17/ | Yes14/ | 17/ | Yes14/ | 17/ | Yes14/ | 17/ | Yes16/ | 17/ | Yes14/ | Yes17/ | Yes14/ | 17/ | | |
| OKLAHOMA CC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | | |
| OREGON PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 18/ | 18/ |
| PENNSYLVANIA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| PUERTO RICO PSC | Yes | Yes | Yes | Yes | | | Yes | Yes | | | | | | | | | | |
| RHODE ISLAND PUC | Yes | Yes | | | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| SOUTH CAROLINA PSC | Yes19/ | Yes19/ | Yes19/ | Yes19/ | Yes20/ | Yes 20/ | Yes | Yes | Yes | Yes | Yes21/ | Yes 21/ | Yes | Yes | Yes | Yes | | |
| SOUTH DAKOTA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| TENNESSEE PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| TEXAS RC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | |
| UTAH PSC | Yes | No | Yes | No | Yes | No | Yes | No | Yes | No | Yes16/ | No | Yes | No | Yes | No | | |
| VERMONT AT 32/ | Yes | | | | Yes | | Yes | | | | | | Yes | | | | | |
| VIRGIN ISLANDS PSC | Yes | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC | Yes | | Yes | Yes | Yes | | Yes | | Yes | | | | Yes | | Yes | | | |
| WASHINGTON UTC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 22/ | 22/ |
| WEST VIRGINIA PSC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 23/ | 23/ |
| WISCONSIN TC | Yes | | | | Yes | Yes | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | Yes | | |
| WYOMING PSC | Yes | Yes | Yes | Yes | Yes | | Yes24/ | Yes 24/ | Yes | Yes | Yes18/ | Yes 18/ | Yes25/ | | | | 26/ | 26/ |

## Footnotes - Table 96 - AGENCY RATE JURISDICTION OVER CERTAIN TRAFFIC OF MOTOR COMMON AND CONTRACT CARRIERS

1/ Some are exempt.

2/ Exempt.

3/ Petroleum commodities, heavy hauling commodities.

4/ Bus.

5/ Intrastate only.

6/ Solid waste.

7/ Depends on size of vehicle.

8/ Limited.

9/ Flammables.

10/ Certain common carriers filing rates with this Commission have established rates under the "collective rate making" process for years. However, recently the Michigan Attorney General's office filed suit in court against a group of salt (common) carriers and attorneys for fixing transportation prices, etc. The Michigan PSC response is based on this and because there are no provisions applicable on Michigan intrastate traffic similar to Sec. 5-A of the ICC Act.

11/ With qualifications; see exemptions under Sec. 2 Art. 5 of P.A. 1933 Motor Carrier Act.

12/ Rates filed.

13/ Farm-to-market is exempt.

14/ Not all operations; only those outside an exempt zone.

15/ While the law apparently requires filing of schedule of rates for all service, we do not require a filing of charter rates.

16/ Limited commodities.

17/ Rate jurisdiction is limited to the filing of the contract, stating the compensation to be paid, and a prohibition against charging rates other than those on file.

18/ Livestock.

19/ Except within city limits and five miles thereof; except when for schools or churches.

20/ Includes all commodities except bulk commodities in tank trucks, household goods, and dangerous and explosive articles.

21/ Except from farm to first market when sold in South Carolina.

22/ Garbage collection.

23/ Trash, wrecked or disabled motor vehicles, and heavy equipment.

24/ Petroleum and petroleum products, bulk cement.

25/ Bus express.

26/ Oil field equipment and supplies.

27/ Cotton only.

28/ Covered under the heading "General Commodities."

29/ Transportation of property within commercial zones as defined in Section 850.1 of Title 17 of the Official Compilation of Codes, Rules and Regulations of the State of New York, except for movements of household goods within the New York City commercial zone, is exempt from regulation. In addition, there are certain other exemptions for specific commodities as listed in Section 160 of the Transportation Law.

30/ Petroleum and cement.

31/ Mobile homes, heavy steel and metal products.

32/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.

33/ Livestock, petroleum and petroleum products, cement, uncrated new furniture, trailer coaches and campers and heavy-specialized operations.

34/ Includes tank transport, dump truck, cement and salt.

35/ Includes retail store delivery, explosives, theatre films, bus express, towing of vehicles, heavy hauling, bank messengers, race horses, auto transport, oilfield equipment, and joint land-air carrier commodity service.

## Table 97 - State Regulation of Intrastate Motor Common Carrier Rates for General Commodities

The following table was compiled from State commission responses to a questionnaire regarding State regulation of intrastate motor common carrier rates for general commodities. The questionnaire used to obtain the information is reproduced in full following the table.

| Agency | Agency Regulates Rates | Average No. of Days to Decide Uncontested Rate Cases | Average No. of Days to Decide Contested Rate Cases | Average Operating Ratio Granted (%) | Intrastate Rate Level Above or Below Comparable Interstate Rate Level | % of Variation Between Intrastate and Interstate Rate Levels |
|---|---|---|---|---|---|---|
| Alabama PSC | Yes | 30 | 60-120 | 92-93 | Below | 6 |
| Alaska TC | Yes | 5 | | 90 | Same | None |
| Arizona CC | Yes | 118 | 118 | 93 | Below | |
| Arkansas TC | Yes | 45 | 55 | 90-93 | Below | 10 |
| California PUC | Yes | 60 | 180 | 93 | Below | |
| Colorado PUC | Yes | 30 | 90 | 93 | Below | 5-10 |
| Connecticut | Yes | 60 | 120 | 93 | Below | 24 |
| Delaware | | | | | | |
| Florida PSC | Yes | | | | | |
| Georgia PSC | Yes | 30 | 90 | 93 | Below | |
| Hawaii PUC | Yes | 30 | 90 | 93 | | |
| Idaho PUC | Yes | 30 | 120 | 90-93 | Below | 12 |
| Illinois CC | Yes | | | | | |
| Indiana PSC | Yes | 30 | 180 | | | |
| Iowa TRB | Yes | | | | | |
| Kansas SCC | Yes | 119 | 122 | | Below | 5.5 |
| Kentucky DOT | Yes | | | | | |
| Louisiana PSC | Yes | | | | | |
| Maine PUC | Yes | 30 | 120 | 93 | Below | 22-26 |
| Maryland PSC | Yes | 30 | 180 | | | |
| Massachusetts DPU | Yes | | | | | |
| Michigan DOT | Yes | | | | | |
| Minnesota DOT | Yes | | | | | |
| Mississippi PSC | Yes | 1 | 5 | 98.5 | Below | 20 |
| Missouri PSC | Yes | | | | | |
| Montana PSC | Yes | | | | | |
| Nebraska PSC | Yes | 30 | 90 | 93 | Below | 20 |
| Nevada PSC | Yes | 45 | 105 | 94 | Below | |
| New Hampshire PUC | Yes | 30 | 30 | | | |
| New Jersey DOT | No | | | | | |
| New Mexico SCC | Yes | 5 | 30 | 90 | Below | |
| New York DOT | Yes | 20 | 180 | 94 | Below | 15 |
| North Carolina UC | Yes | 30 | 180 | 99 | Below | 20 |
| North Dakota PSC | Yes | | | | | |
| Ohio PUC | Yes | | | | | |
| Oklahoma CC | Yes | | | | | |
| Oregon PUC | Yes | 45 | 70 | 93-96 | Same | None |
| Pennsylvania PUC | Yes | 30 | 270 | 93 | Below | 7 |
| Rhode Island PUC | Yes | | | | | |
| South Carolina PSC | Yes | 15 | 90 | 92-93 | Below | 55 |
| South Dakota PUC | Yes | 20 | 30 | 95 | Below | 20 |
| Tennessee PSC | Yes | 30-60 | 60-90 | | Below | 6-8 |
| Texas RC | Yes | 50 | 60 | 92 | Below | 7-8 |
| Utah PSC | Yes | 75 | 75 | 95 | | |
| Vermont AT | Yes | 3-5 | | | | |
| Virginia SCC | Yes | 30 | 60 | | Below | 35 |
| Washington UTC | Yes | 15 | 120 | 93.5 | Same | None |
| West Virginia PSC | Yes | 90 | 140 | 89-93 | Below | 5 |
| Wisconsin TC | Yes | 120 | 180 | 95 | Below | 10 |
| Wyoming PSC | Yes | 19 | 115 | 93 | Below | 25 |

1. Does your Agency regulate the rates of motor common carriers of general commodities engaged in intrastate operations? Yes ____ No ____
(If "no", please disregard remaining questions.)

2. In general, what is the average number of days required by your Agency to decide such motor carrier rate change applications, computed from the date the application is filed?
Unopposed cases: ____ days. Contested cases: ____ days.

3. In general, what is the average operating ratio (percentage of net income, before taxes, in relation to gross income) currently being granted by your Agency to such motor carriers? ____%

4. In general, is the intrastate rate level of such motor carriers above ( ) or below ( ) the comparable interstate rate level under ICC jurisdiction and, if so, what is the average percent of variation? ____%

Table 98 - AGENCY RATE, SERVICE AND SAFETY JURISDICTION OVER MISCELLANEOUS MOTOR CARRIERS

| AGENCY | Agency Exercises Rate, Service or Safety Jurisdiction Over the Following Motor Carriers | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Air Terminal Buses | | | Ambulances | | | Emergency Tow Trucks | | | Hotel Buses | | | School Buses | | | Taxicabs | | |
| | Rates | Service | Safety | Rates | Service | Safety | Rates | Service | Safety | Rates | Service | Safety | Rates | Service | Safety | Rates | Service | Safety |
| ICC | Yes 4/ | Yes 4/ | | | | | | | | | | | | | | | | |
| ALABAMA PSC | Yes | Yes | Yes | No | No | No | No | No | No | No | No | No | No | No | No | Yes | Yes | Yes |
| ALASKA TC | Yes | | Yes | | | Yes | Yes | Yes | Yes | | | Yes | | | | | | |
| ARIZONA CC | Yes | Yes | Yes | Yes | Yes | Yes | | | | | | | | | | Yes | Yes | Yes |
| ARKANSAS TC | Yes | Yes | | | | | | | | | Yes | | | | | | | |
| CALIFORNIA PUC | | | | | | | | | | | | | | | | | | |
| COLORADO PUC | Yes | Yes | Yes | | | | | | Yes | | | | | | | Yes | Yes | Yes |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | Yes | Yes | Yes |
| DELAWARE PSC | | | | | | | | | | | | | | | | Yes | Yes | Yes |
| DELAWARE TA | Yes | Yes | Yes | | | | | | | | | | Yes 8/ | Yes 8/ | Yes 8/ | | | |
| D. C. PSC | | | | | | | | | | | | | | | | Yes | | |
| FLORIDA PSC | Yes | Yes | Yes | | | | Yes15/ | | | | | | | | | | | Yes |
| GEORGIA PSC | Yes | Yes | 16/ | | | | | | | | | | | | | | | |
| HAWAII PUC | Yes | Yes | No13/ | | | No13/ | | | No13/ | | | No13/ | | | No13/ | | | |
| IDAHO PUC | No | No | No | No | No | No | No | No | No | No | No | No | Yes | Yes | Yes | No | No | No |
| ILLINOIS CC | Yes | Yes | Yes | | | | | | | | | | | | | Yes2/ | Yes2/ | |
| INDIANA PSC | Yes | Yes | Yes | | | | | | | | | | | | | | | |
| IOWA TRB | | 12/ | | | | | Yes 3/ | | | | | | | | | | | |
| KANSAS SCC | | | | | | | Yes | Yes | Yes | | | | | | | Yes | Yes | Yes |
| KENTUCKY DOT (BVR) | Yes 10/ | Yes10/ | Yes 10/ | | | | | | Yes | | | | | | | | | |
| LOUISIANA PSC | | | | | | | | | | | | | | | | | | |
| MAINE PUC | Yes | Yes | Yes | | | | Yes | Yes | Yes | | | | | | | | | |
| MARYLAND PSC | Yes | Yes | Yes | | | | | | | | | | | | | Yes | Yes | Yes |
| MASSACHUSETTS DPU | Yes 2/ | Yes 2/ | Yes | | | Yes | Yes | Yes | Yes | Yes 2/ | Yes 2/ | Yes | | | | Yes 2/ | Yes 2/ | Yes |
| MICHIGAN PSC | Yes10/ | Yes10/ | Yes | No | No | No | Yes 4/ | Yes 4/ | Yes | No | No | Yes | No | No | 14/ | No | No | No |
| MINNESOTA PSC | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No |
| MISSISSIPPI PSC | | | | | | | | | | | | | | | | | | |
| MISSOURI PSC | | | | | | | | | | | | | | | | | | |
| MONTANA PSC | | | | | | | | | | | | | | | | Yes | Yes | Yes |
| NEBRASKA PSC | | | | | | | | | | | | | | | | Yes | Yes | Yes |
| NEVADA PSC | Yes | Yes | Yes | | | | Yes | Yes | Yes | | | | | | | Yes | Yes | Yes |
| NEW HAMPSHIRE PUC | | | | | | | Yes | Yes | Yes | | Yes | Yes | | | | | | |
| NEW JERSEY DOT | | | | | | | | | | | | | | | | | | |
| NEW MEXICO SCC | Yes | No | No | Yes | Yes | No | Yes | Yes | No | No | No | No | No | No | No | Yes | Yes | No |
| NEW YORK DOT | Yes 1/ | Yes 1/ | Yes 1/ | No | No | No | No | No | No | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | Yes 1/ | No | No | No |
| NORTH CAROLINA UC | | | Yes 9/ | | | | | | | | | | | | Yes 9/ | | | |
| NORTH DAKOTA PSC | Yes 4/ | Yes 4/ | Yes 4/ | No | No | No | No | No | No | No | No | No | No | No | No | Yes 4/ | Yes 4/ | Yes 4/ |
| OHIO PUC | Yes 4/ | Yes 4/ | Yes 4/ | | | | | | | | | | | | | No 4/ | No | No |
| OKLAHOMA CC | | | | | | | Yes | | | | | | | | | | | |
| OREGON PUC | | | | | | | | | | | | | | | | Yes5/ | | |
| PENNSYLVANIA PUC | Yes | Yes | Yes | | | | | | | | | | | | | Yes | Yes | Yes |
| PUERTO RICO PSC | | | | Yes | Yes | Yes | Yes | Yes | Yes | | | | Yes | Yes | Yes | Yes | Yes | Yes |
| RHODE ISLAND PUC | Yes | Yes | Yes | | | | Yes | Yes | Yes | Yes | Yes | Yes | | | | Yes | Yes | Yes |
| SOUTH CAROLINA PSC | | | Yes | | | | | | | | | Yes | | | | Yes | Yes | Yes |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | | | Yes 4/ | Yes 4/ | Yes 4/ |
| TENNESSEE PSC | Yes | Yes | Yes | | | | | | | | | | | | | | | |
| TEXAS RC | Yes | Yes | Yes | | 4/ | | Yes | Yes | Yes | 4/ | 4/ | 4/ | | | | | | |
| UTAH PSC | | | 6/ | No | No | 6/ | | | 6/ | No | No | 6/ | No | No | 6/ | 7/ | 7/ | 6/ |
| VERMONT AT 11/ | | | | | | | | | | | | | | | Yes | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC | Yes | Yes | | | | | | Yes | | | | | | | | | Yes | |
| WASHINGTON UTC | Yes | Yes | Yes | | | | | | | | | | | | | | | |
| WEST VIRGINIA PSC | Yes | Yes | Yes | No | No | No | Yes | Yes | Yes | No | No | No | No | No | No | Yes | Yes | Yes |
| WISCONSIN TC | | | | | | | | | | | | | | | | | | |
| WYOMING PSC | | | | | | | | Yes | Yes | | Yes | Yes | | | | | Yes | Yes |

1/ Over eight passengers.
2/ Only to the extent that they transport parcels, etc.
3/ Partial.
4/ Outside the exempt zones.
5/ Only with respect to specific items of property and only to ten pounds per shipment..
6/ All safety matters are under the jurisdiction of the Department of Transportation of Utah.
7/ Statewide jurisdiction only.
8/ School buses used in charter work.
9/ If over nine passengers and for hire.
10/ Airport limousine.
11/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.
12/ Only if inter-city.
13/ Effective 7/1/77, function of Department of Transportation, Office of Highway Safety Coordinator.
14/ Michigan State Police have jurisdiction.
15/ Common carrier tow truck.
16/ Vehicles subject to PSC safety rules -- enforced by State patrol.

Table 99 - COLLECTIVE MAKING AND PUBLICATION OF RATES BY MOTOR CARRIERS

| AGENCY | Common Carrier Rate Bureaus 1/ | Agency Regulation of Rate Bureaus 2/ |
|---|---|---|
| ICC | Yes | Yes |
| ALABAMA PSC | Yes | No |
| ALASKA TC | Yes | Yes |
| ARIZONA CC | No | N/A |
| ARKANSAS TC | Yes | Yes |
| CALIFORNIA PUC | Yes | Yes |
| COLORADO PUC | Yes | No |
| CONNECTICUT PUC | Yes | Yes |
| DELAWARE TA | No | N/A |
| DISTRICT OF COLUMBIA PSC | | |
| FLORIDA PSC | Yes | Yes |
| GEORGIA PSC | Yes | Yes |
| HAWAII PUC | Yes | Yes |
| IDAHO PUC | Yes | Yes |
| ILLINOIS CC | Yes | Yes |
| INDIANA PSC | Yes | No |
| IOWA TRB | Yes | No |
| KANSAS SCC | Yes | Yes |
| KENTUCKY DOT (BVR) | Yes | No |
| LOUISIANA PSC | | |
| MAINE PUC | Yes | Yes |
| MARYLAND PSC | Yes | No |
| MASSACHUSETTS DPU | Yes | Yes |
| MICHIGAN PSC | No 8/ | No 8/ |
| MINNESOTA PSC | Yes | No |
| MISSISSIPPI PSC | Yes | No 4/ |
| MISSOURI PSC | Yes 3/ | N/A |
| MONTANA PSC | Yes | Yes |
| NEBRASKA PSC | No 7/ | N/A |
| NEVADA PSC | Yes | Yes |
| NEW HAMPSHIRE PUC | Yes | No |
| NEW JERSEY DOT | | |
| NEW MEXICO SCC | Yes | |
| NEW YORK DOT | Yes 3/ | No |
| NORTH CAROLINA UC | Yes | Yes 6/ |
| NORTH DAKOTA PSC | Yes | Yes |
| OHIO PUC | Yes | No |
| OKLAHOMA CC | Yes | |
| OREGON PUC | Yes | Yes |
| PENNSYLVANIA PUC | Yes | No |
| PUERTO RICO PSC | | |
| RHODE ISLAND PUC | Yes | No |
| SOUTH CAROLINA PSC | Yes | No |
| SOUTH DAKOTA PUC | No | N/A |
| TENNESSEE PSC | Yes | Yes |
| TEXAS RC | Yes | Yes |
| UTAH PSC | Yes | Yes |
| VERMONT AT 5/ | Yes | No |
| VIRGIN ISLANDS PSC | | |
| VIRGINIA SCC | Yes | No |
| WASHINGTON UTC | Yes | Yes |
| WEST VIRGINIA PSC | Yes | Yes |
| WISCONSIN TC | Yes | Yes |
| WYOMING PSC | No 7/ | |

1/ Motor common carriers operating under rate jurisdiction of agency are authorized to engage in collective ratemaking through carrier rate bureaus subject to final determination of rates by agency.

2/ Agency regulates the agreements and procedures for such collective ratemaking.

3/ Carrier's right of independent action assured by statute.

4/ Only evidence presented at hearings is used to make final determination.

5/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.

6/ GS 62 - 152.1-1977.

7/ Commission prescribes the rates, rules and regulations and publishes the tariffs.

8/ Certain common carriers filing rates with the PSC have established rates under the "collective rate making" process for years. However, recently the Michigan Attorney General's office filed suit in court against a group of salt (common) carriers and attorneys for fixing transportation prices, etc. The Michigan PSC response is based on this and because there are no provisions applicable to Michigan intrastate traffic similar to Sec. 5-a of the ICC Act.

Table 100 - REGULATION OF MOTOR CARRIERS ENGAGED IN GARBAGE COLLECTION

| Agency | Scope of Agency regulation of motor carriers engaged in garbage collection | | | | | | | | | | | | | | | | | | | | | | Citation of Jurisdictional Authority |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Motor Common Carriers | | | | | | | | | | | Motor Contract Carriers | | | | | | | | | | | |
| | Entry | Operating Territory | Abandonment of Service | Rates | Accounting | Service | Safety | Security Issues | Mergers and Acquisitions | Insurance | Reporting | Entry | Operating Territory | Abandonment of Service | Rates | Accounting | Service | Safety | Security Issues | Mergers and Acquisitions | Insurance | Reporting | |
| Alabama PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Alaska TC 1/ | | | | | | | | | | | | | | | | | | | | | | | |
| Arizona CC | no | no | no | no | no | no | yes | no | no | no | no | no | no | no | no | no | no | yes | no | no | no | no | Arizona CC Rule No. R-14-5-309. |
| Arkansas TC | | | | | | | | | | | | | | | | | | | | | | | |
| California PUC | | | | | | | | | | | | | | | | | | | | | | | |
| Colorado PUC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | Title 40 of the Colorado Revised Statutes, 1973; Rules of Practice & Procedure Before the PUC, Rules & Regulations Governing Common Carriers by Motor Vehicle; and Rules and Regulations Governing Contract Carriers by Motor Vehicle. |
| Connecticut PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Delaware TA | | | | | | | | | | | | | | | | | | | | | | | |
| D. C. PSC | | | | | | | | | | | | | | | | | | | | | | | |
| Florida PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Georgia PSC | | | | | | | | | | | | | | | | | | | | | | | |
| Hawaii PUC | no | no | no | no | no | no | no5/ | no | no | no | no | no | no | no | no | no | no | no5/ | no | no | no | no | Section 271-5(9), Hawaii Revised Statutes. |
| Idaho PUC 6/ | no | no | no | no | no | no | yes | no | no | no | no | no | no | no | no | no | no | yes | no | no | no | no | Table 19, Rules & Regulations Governing Transportation of Persons or Property Over Highways of the State of Idaho by Motor Vehicles, by virtue of Section 61-807, Idaho Code. |
| Illinois CC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Indiana PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Iowa SCC & TRB | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Kansas SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Kentucky BVR | | | | | | | yes | | | yes | | | | | | | | yes | | | yes | | KRS 281 |
| Louisiana PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Maine PUC 2/ | | | | | | | | | | | | yes | yes | no | yes | yes | no | yes | no | yes | yes | yes | Title 35 MRSA Sec. 1555, and Rules & Regulations of the Commission. |
| Maryland PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Massachusetts DPU | yes | yes | yes | yes | yes | yes | yes | no | yes | no | no | yes | yes | yes | yes | yes | yes | yes | no | yes | no | no | Mass. General Laws, Chapter 159B. |
| Michigan PSC | no | no | no | no | no | no | yes | no | no | no | no | no | no | no | no | no | no | yes | no | no | no | no | |
| Minnesota PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Mississippi PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Missouri PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Montana PSC | yes | yes | yes | no | yes | yes | yes | no | yes | yes | yes | yes | yes | yes | no | yes | yes | yes | no | yes | yes | yes | Title 69 MCA |
| Nebraska PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Nevada PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| New Hampshire PUC | yes | yes | no | 7/ | no | yes | yes | no | yes | yes | no | yes | yes | no | 7/ | no | yes | yes | no | yes | yes | no | RSA 375-B. |
| New Jersey DOT | | | | | | | | | | | | | | | | | | | | | | | |
| New Mexico SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| New York DOT 4/ | yes | yes | yes | yes | yes | yes | yes 3/ | no | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes 3/ | no | yes | yes | yes | Transportation Law, Book 61A, Laws of New York. |
| North Carolina UC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| North Dakota PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Ohio PUC | | | | | | | | | | | | | | | | | | | | | | | |
| Oklahoma CC | | | | | | | | | | | | | | | | | | | | | | | |
| Oregon PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Pennsylvania PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Puerto Rico PSC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | |
| Rhode Island PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| South Carolina PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| South Dakota PUC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Tennessee PSC | no | no | no | no | | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Texas RC | | | | | | | | | | | | | | | | | | | | | | | |
| Utah PSC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Vermont AT | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Virginia SCC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | Exempt from regulation per Section 56-274(14) of the Code of Virginia. |
| Washington UTC | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | Chapter 81.77 RCW; Contract carriers also covered under Chapter 81.80 RCW. |
| West Virginia PSC | yes | yes | yes | yes | yes | yes | yes | no | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes | no | yes | yes | yes | West Virginia Code, Chapter 24-A. |
| Wisconsin TC | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | no | |
| Wyoming PSC | yes | yes | no | no | no | no | yes | no | no | yes | no | yes | yes | no | no | no | no | yes | no | no | yes | no | 37-8-101,(B) (XV)<br>37-8-201, 37-8-301 |

1/ Jurisdiction over motor carrier garbage collection was transferred to the Alaska Public Utilities Commission several years ago. 2/ The PUC exercises jurisdiction over contract carriers for distances over 30 miles. There is an exemption in Title 35, Section 1560, MRSA: "While engaged exclusively in the transportation of refuse, garbage and trash to dispersal areas within a distance of 30 miles by highway thereof." 3/ Limited jurisdiction. 4/ Data applies only to garbage that might have some value, i.e., garbage to be recycled. Garbage taken to a landfill or incinerator would not be considered to have value and would not be regulated. 5/ Effective 7/1/77, function of Department of Transportation, Office of Highway Safety Coordinator. 6/ Regulate as a private carrier. 7/ Rates filed.

## Table 101 - REGULATION OF MOTOR FREIGHT CARRIERS

| AGENCY | Scope of Agency regulation of motor freight carriers - | | | | | | | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Common Carriers | | | | | | | | | | | | Contract Carriers | | | | | | | | | | |
| | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Security Issues | Mergers and Acquisitions | Insurance | Reporting | Interstate Motor Freight Carrier Registration | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Security Issues | Mergers and Acquisitions | Insurance | Reporting | Interstate Motor Freight Carrier Registration |
| ICC | X | X | X | X | X | X | | X | X | X | X | | X | X | X | X | X | X | | X | X | X | X | |
| ALABAMA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| ALASKA TC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| ARIZONA CC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X |
| ARKANSAS TC | X | X | X | X | | X | X | | X | X | X | X | X | X | X | X | | X | X | | X | X | X | X |
| CALIFORNIA PUC | X | X | X | X | X | X | | X | X | X | X | X | X | X | | X | X | | | | X | X | X | X |
| COLORADO PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | | X | X | X | X | X | X |
| CONNECTICUT PUC | X | X | X | X | X | X | 6/ | | X | X | X1/ | X | X | X | X | X | X | X | 6/ | | X | X | X1/ | X |
| DELAWARE TA | | | | | | | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC | X | X | X | X9/ | X | X | X | | X | X | X | X | X | X | X | 4/ | X | X | X | | X | X | X | X |
| GEORGIA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| HAWAII PUC | X | X | X | X | X | X | 10/ | | X | X | X | X | X | X | X | X | X | X | 10/ | | X | X | X | X |
| IDAHO PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| ILLINOIS CC | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X |
| INDIANA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | | | | | | X | | | X | | X |
| IOWA TRB | X2/ | X2/ | | X2/ | | X2/ | X | | | X2/ | | X | | | | | | | X | | | X | | X |
| KANSAS SCC | X | X | X | X | X | X | X | | X | X | X1/ | X | X | X | X | X | X | X | X | | X | X | | X |
| KENTUCKY DOT (BVR) | X | X | X | X | X | X | X | | X | X | X1/ | X | X | X | X | X | X | X | X | | X | X | | X |
| LOUISIANA PSC | X | X | X | X | X | X | | X | X | X | X | X | X | X | | X | X | X | | X | X | X | X | X |
| MAINE PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | | X | | X | X | X | X |
| MARYLAND PSC 2/ | X | X | X | X | X | X | X | X | X | X3/ | X | | X | X | X | X | X | X | X | X | X | X3/ | X | |
| MASSACHUSETTS DPU 11/ | X | X | X | X | X | X | X | X | X | X8/ | X 1/ | X | X | X | X | X | X | X | X | X | X | X8/ | X1/ | X |
| MICHIGAN PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MINNESOTA DOT | | | | | X | X | X | | | X | X | X | | | | | X | X | X | | | X | X | X |
| MINNESOTA PSC | X | X | X | X | | | | | X | | | | X | X | X | 13/ | | | | | X | | | |
| MISSISSIPPI PSC | | X | X | X | X | X | X | | X | X | X | X | | X | X | X | X | X | X | | X | X | X | X |
| MISSOURI PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | | X |
| MONTANA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | 4/ | X | X | X | | X | X | X | X |
| NEBRASKA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| NEVADA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| NEW HAMPSHIRE PUC | X | X | X | X7/ | | | | | X | X8/ | | X | X | X | | X7/ | | | | | X | X8/ | | X |
| NEW JERSEY DOT | | | | | | | | | | | | | | | | | | | | | | | | |
| NEW MEXICO SCC | X | X | X | X | | X | | | | X | | X | X | X | X | X | | X | | | | X | | X |
| NEW YORK DOT | X | X | X | X | X | X | X | | X | X | X | | X | X | X | X | X | X | X | | X | X | X | |
| NORTH CAROLINA UC | X | X | X | X | X | X | X | X | X | X | X1/ | X | X | X | X | X | X | X | X | X | X | X | X1/ | X |
| NORTH DAKOTA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X4/ | X | X | X | X | X | X | X | X |
| OHIO PUC | X | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X |
| OKLAHOMA CC | X | X | X | X | X | X | | | X | X | | X | X | X | X | X | X | | | | X | X | | X |
| OREGON PUC | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | | | X | X | X |
| PENNSYLVANIA PUC | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | |
| PUERTO RICO PSC | X | X | X | X | X | X | X | X | | X | X | | X | X | X | X | X | X | X | X | | X | X | |
| RHODE ISLAND PUC | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | X | | X | | X | X | | X | X | X | X | X | X | | X | | X | X | | X | X | X | X |
| SOUTH DAKOTA PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| TENNESSEE PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| TEXAS RC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| UTAH PSC | X | X | X | X | X | X | | | X | X | X | X | X | X | X | | X | X | | | X | X | X | X |
| VERMONT AT 2/12/ | 1/ | X | X | X | X | X | X | 5/ | X | | | | | | | | | | | | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC | X | X | X | X | | X | | X | | X | | X | X | X | | | | X | | | | X | | X |
| WASHINGTON UTC | X | X | X | X | X | X | X | X5/ | X | X | X | X | X | X | X | X | X | X | X | X5/ | X | X | X | X |
| WEST VIRGINIA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| WISCONSIN TC | X | X | X | X | X | X | | | | X | X | X | X | X | X | X | X | X | | | | X | | X |
| WYOMING PSC | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | | | | X | | | X | | X |

1/ Annual reports are required to be filed showing intrastate operations.
2/ Regular route carriers of general commodities including common and contract flammable carriers.
3/ Flammable tank truck carriers only.
4/ Contract (charter) carrier rates filed with the PSC. PSC prescribes minimum rates upon petition.
5/ No jurisdiction if securities subject to ICC regulation.
6/ Under jurisdiction of Connecticut Motor Vehicle Department.
7/ Requires filing only.
8/ Cargo only.
9/ Except road building and construction aggregates.
10/ Effective 7/1/77, function of Department of Transportation, Office of Highway Safety Coordinator.
11/ Commercial Motor Vehicle Division; intrastate operations only.
12/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.
13/ Contract carrier rates filed with the DOT; PSC prescribes minimum rates upon petition.

Table 102 - NUMBER OF MOTOR FREIGHT CARRIERS UNDER AGENCY JURISDICTION

| AGENCY | Motor Freight Carriers | | | | | |
|---|---|---|---|---|---|---|
| | Common Carriers | | | Contract Carriers | | |
| | Number of Carriers | Number of Vehicles | Citation of Jurisdictional Authority | Number of Carriers | Number of Vehicles | Citation of Jurisdictional Authority |
| ICC | 12,415 | | 49 U.S.C. 1000-1199 | 4,134 | | 49 U.S.C. 1000-1199 |
| ALABAMA PSC | 318 | | Code of Ala., Chapter 37-3 | 103 | | Code of Ala., Chapter 37-3 |
| ALASKA TC | 200 | 6,438 | A.S. 42.10 | 190 | 1,016 | A.S. 42.10 |
| ARIZONA CC | 5,850 16/ | 72,790 | ARS. 40-601, Rule R-14-5-303 | 135 | 4,050 | ARS. 40-608, Rule R-14-5-304 |
| ARKANSAS TC | 2,100 | | Act 397 - 1955 | 200 | | Act 397 - 1955 |
| CALIFORNIA PUC | 660 | | Calif. P.U. Code 1063 | 22,974 | | Calif. P.U. Code 3541-5319 |
| COLORADO PUC | 4,648 | | Sec. 40-1-102 CRS 1973 | 1,419 | | Sec. 40-11-101 CRS 1973 |
| CONNECTICUT PUC | 559 11/ | 161,518 6/ | | 50 10/ | | |
| DELAWARE TA | | | | | | |
| FLORIDA PSC | 2,196 10/ | 35,184 10/ | F.S. 323.02 & 323.03 | 90 10/ | 15/ | F.S. 323.04 |
| GEORGIA PSC | 1,753 8/ | 17,314 9/ | Ga. Code, Ch. 68-6 | 198 | | Ga. Code, Ch. 68-5 |
| HAWAII PUC | 208 | 2,497 | Ch. 271, HRS | 21 | 122 | |
| IDAHO PUC | 658 10/ | | Ch. 8,Title 61, Idaho Code | 47 10/ | | Ch. 8, Title 61, Idaho Code |
| ILLINOIS CC | 6,975 | | Ch. 95½, Ill. Rev. Stat., Sec. 18 | 953 | | Ch. 95½, Ill. Rev. Stat. Sec. 18 |
| INDIANA PSC | 755 1/ | | Ind. Code 1971, 8-2-7-1, 8-2-7-51 | 428 2/ | | Ind. Code 1971, 8-2-7-1 |
| IOWA TRB | 72 3/ | | Ch. 325, 327 Iowa Code, 1977 | 2,500 | | Ch. 327 Iowa Code, 1977 |
| KANSAS SCC | | | Kan. Stats. Ann., Ch. 66 | | | Kans. Stats. Ann., Ch. 66 |
| KENTUCKY DOT (BVR) | 29,000 12/ | 435,291 12/ | KRS 281.600 | 90 10/ | | KRS 281.600 |
| LOUISIANA PSC | 326 | 12,461 4/ | Constitutional and Statutory | 110 | | Constitutional and Statutory |
| MAINE PUC | 63 | 695 | 35 M.R.S.A., Ch. 93 | 375 | 1,350 | 35 M.R.S.A., Ch. 93 |
| MARYLAND PSC | 57 | 2,797 | Article 78 | 20 | 368 | Article 78 |
| MASSACHUSETTS DPU | | | Mass. General Law 159B | | | Mass. General Law 159B |
| MICHIGAN PSC | 3,003 | 24,666 | Act 254, P.A. 1933, Amended | 1,373 | 3,639 | Act 254, P.A. 1933, Amended |
| MINNESOTA PSC | 113 | | Minn. Stats. Ch. 221 | 2,376 | | Minn. Stats., Ch. 221 |
| MISSISSIPPI PSC | 187 | | | 26 | | |
| MISSOURI PSC | 1,289 | | Ch. 390 RSMo. | 146 | | Ch. 390 RSMo. |
| MONTANA PSC | | | Title 69, MCA | | | Title 69, MCA |
| NEBRASKA PSC | 1,143 | 9,150 | 75-301 et seq. | | | |
| NEVADA PSC | 42 | | Nev. Revised Stats., Ch. 706 | 2 | | Nev. Revised Stats., Ch. 706 |
| NEW HAMPSHIRE PUC | 640 | 1,500 | RSA 375-A and 375-B | 950 | 1,759 | RSA 375-B |
| NEW JERSEY DOT | | | | | | |
| NEW MEXICO SCC | 6,150 | 13/ | N.M. Motor Carrier Act, (65-2-1) et seq. | 28 | 13/ | N.M. Motor Carrier Act (65-2-1) et seq. |
| NEW YORK DOT | 1,521 14/ | | Trans. Law: Title 17, NYCRR | 318 | | Trans. Law: Title 17, NYCRR |
| NORTH CAROLINA UC | 567 21/ | | N.C. Gen. Stats., Ch. 62-3(23) a. 3, 4 | 118 | | N.C. Gen. Stats., Ch. 62-3(23) a. 3, 4 |
| NORTH DAKOTA PSC | 293 | 2,400 | 49-07-01 NDCC | 34 | 140 | 49-07-01 NDCC |
| OHIO PUC | 960 18/ | 64,740 19/ | Title 49, Ohio Revised Code | 704 20/ | 12,680 19/ | Title 49, Ohio Revised Code |
| OKLAHOMA CC | | | | | | |
| OREGON PUC 5/ | 418 10/ | 21,600 | | 108 10/ | 1,297 | |
| PENNSYLVANIA PUC | 3,015 | | Pa. Public Utility Law | 312 | | Pa. Public Utility Law |
| PUERTO RICO PSC | 7,056 | 10,167 | | | | |
| RHODE ISLAND PUC | 796 | 1,597 | Title 39, Ch. 12, Secs. 1 44 | 4 | 117 | Title 39, Ch. 12, Sec.9 R.I.G.L. |
| SOUTH CAROLINA PSC | 588 | 6,585 | | 145 | 484 | S.C. Code Ann., Sec. 58-1401 et seq. 1962, Amended |
| SOUTH DAKOTA PUC | 967 10/ | 3,118 | S.D. C.L. 49-28 | 96 | 995 | S.D. C.L. 49-28 |
| TENNESSEE PSC | 2,746 6/ | | 65-1501 et seq. | | | |
| TEXAS RC | 1,729 22/ | 49,290 | Tex. Rev. Civil Stat. Ann., Art. 911b | 173 | 2,931 | Tex. Rev. Civil Stat. Ann., Art. 911b |
| UTAH PSC | 3,886 6/ | | Utah Code, Sec. 54-6-4 | 6/ | | Utah Code, Sec. 54-6-8 |
| VERMONT AT 17/ | 14 | | Title 30, VSA Sec. 203 | | | |
| VIRGIN ISLANDS PSC | | | | | | |
| VIRGINIA SCC | 22 | | Va. Code, Title 56, Ch. 12 | 6,500 | 18,700 | Va. Code, Title 56, Ch. 12 |
| WASHINGTON UTC | 8,011 | | Title 81, Rev. Code of Wash. | 218 | | Title 81, Rev. Code of Wash. |
| WEST VIRGINIA PSC | 1,568 6/ | 10,000 | Ch. 24-A, W. Va. Code | | | |
| WISCONSIN TC | 216 7/ | | Wisc. Statutes, Ch. 194 | 22,237 7/ | | Wisc. Statutes, Ch. 194 |
| WYOMING PSC | 61 | | Sec. 37-8-201, Wyo. Stats., 1977 | 1,839 | | Sec. 37-8-108, Wyo. Stats. 1977 |

1/ Intrastate only: Interstate = 2,741.
2/ Intrastate only: Interstate = 1,006.
3/ 15,000 irregular route truck operators.
4/ This figure includes all intrastate vehicles, both freight and passenger.
5/ An additional 2,835 carriers transporting logs, sand and gravel subject to entry and safety regulation.
6/ Total number motor freight carriers (common and contract).
7/ Motor carriers.
8/ Intrastate. In addition approximately 17,000 additional carriers, both regulated and exempt, operating in excess of 320,000 vehicles solely in interstate operations which must register authority vehicles and comply with insurance requirements and safety regulations.
9/ Figure includes motor freight common and contract carriers, and motor passenger common carriers -- breakdown unknown.
10/ Intrastate only.
11/ Intrastate only. In addition 2,235 interstate (ICC regulated).
12/ Total for-hire motor carriers, common and contract; and private carriers for purposes of motor fuel tax.
13/ Number of vehicles reported to Motor Transportation Department.
14/ Includes New York City household goods movers.
15/ Number of contract carrier vehicles are included in total common carrier vehicles.
16/ Intrastate - 615; interstate - 5,235.
17/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.
18/ Intrastate only; interstate registered - 3,419.
19/ Intrastate only; interstate registered - unknown.
20/ Intrastate only; interstate registered - 1,503.
21/ Includes 27 carriers which held both common and contract carrier authority and 5 rail motor freight carriers.
22/ Intrastate only; interstate registered and exempt - 6,889.

Table 103 - REGULATION OF FREIGHT FORWARDERS

| AGENCY | No. of Forwarders | Citation of Jurisdictional Authority | Scope of agency regulation of freight forwarders - | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Security Issues | Mergers and Acquisitions | Insurance | Reporting |
| ICC | 180 | 49 USC 1001 et seq. | X | X | X | X | X | X | | X | X | X | X |
| ALASKA TC | 3 | AS 42.10 | X | X | X | X | X | X | X | X | X | X | X |
| CALIFORNIA PUC | 41 | California P.U. Code | X | X | X | X | X | X | | X | X | X | X |
| FLORIDA PSC | 7 | F S 323.54 | X | X | X | X | X | X | X | | | X | X |
| NEW MEXICO SCC | 1 | | | | | | | | | | | | |
| OREGON PUC | | ORS-767 | X | X | | X | | | | | | | |
| PENNSYLVANIA PUC | 4 | Pa. Public Utility Law | X | X | X | X | X | X | | X | X | X | X |
| WASHINGTON UTC | 3 | Title 81, Revised Code of Wash. | X | X | X | X | X | X | X | X | X | X | X |

Table 104 - REGULATION OF RAILROADS

| AGENCY | Number of Railroad Carriers | Citation of Jurisdictional Authority | Scope of Agency regulation of railroads - | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | Entry | Operating Territory | Abandonment | Rates | Accounting | Service | Safety | Construction | Security Issues | Mergers and Acquisitions | Insurance | Reporting |
| ICC | 493 | 49 U.S.C. 1 et seq. | X | X | X | X | X | X | | X | X | X | X | X |
| ALABAMA PSC | 21 | Title 37, Code of Alabama | X 20/ | X 20/ | X 20/ | X | X | X | X | X 20/ | | X 20/ | | X |
| ALASKA TC 7/ | | | | | | | | | | | | | | |
| ALBERTA PUB | 2 | PUB Act, Alberta Resources Railway Act, | | | X | X | X | | | | | X | | X |
| ARIZONA CC | 6 | Constitution, Article XV | | X | X | X | X | X | X | X | X | X | X | X |
| ARKANSAS TC | 27 | | X | X | X | X | X | X | | | | X | | X |
| CALIFORNIA PUC | 34 | State Constitution and Public Utilities Act | | | | X | X | X | X | | | | | |
| COLORADO PUC | 12 | Sec. 40-1-102 CRS 1973 | X | X | X | X | X | X | X | X | X | X | | X |
| CONNECTICUT DOT | 7 | | | X | | | X | X | X | X | | X | | X |
| DELAWARE TA | 4 | Title 2, Del. Laws, Ch. 18 | X | X | X | X | X | X | X | X | X | X | X | X |
| FLORIDA PSC | 9 | F.S. 350, Ch. 350 | | | X 9/ | X | X | X | 17/ | 21/ | | | | 21/ |
| GEORGIA PSC | 26 | | | X | X | X | | X | | | | X | X | X |
| HAWAII PUC | 1 14/ | Ch. 269, 273-HRS | | | | | | | | | | | | |
| IDAHO PUC | 6 | Title 61, Idaho Code | | | X | X | X | X | X | X | | X | | X |
| ILLINOIS CC | 61 | Ch. 111 2/3, Sec. 1 et seq. | X | X | X | X | X | X | X | X | X | X | | X |
| INDIANA PSC | 24 | Ind. Code 1971, 8-1-2-3, 8-3-1 | | X | X | X | X | X | X | | X | | | X |
| IOWA TRB | 5 | Ch. 327C-327H, Code of Iowa, 1977 | | | X 16/ | X | X | X | X | | | | | X |
| KANSAS SCC | 14 | Kansas Stats. Ann., Ch. 66 | X | X | 12/ | X | X | X | X 1/ | | | | | X |
| KENTUCKY RC | | | | | | | | | | | | | | |
| LOUISIANA PSC | 24 | Constitutional & Statutory | | 2/ | 12/16/ | X | X | X | | | | X | | X |
| MAINE PUC | 8 | 35 M.R.S.A., Chapter 51 | | | | X | X | X | X | | | | | X |
| MARYLAND PSC | 11 | Article 78 | X | X | X | X | X | X | X | X | X | X | | X |
| MASSACHUSETTS DPU | 14 | Ch. 159-163,Mass.Gen.Laws | X | X | X | X | X | X | X | X | X | X | | X |
| MICHIGAN DSHT 8/ | 23 | Act 300, P.A. 1909, Amended | | | X | X | X | X | X | | X | X | X | X |
| MINNESOTA DOT | 15 | Minn. Statutes, Ch. 218, 219 | | | X 19/ | | X | X | X | X | | | | X |
| MINNESOTA PSC | | Minn. Statutes, Ch. 218, 219 | X | X | | X | | | | | | | | |
| MISSISSIPPI PSC | 13 | | X | X | X | X | X | X | X | | | X | | X |
| MISSOURI PSC | 15 | Chapters 388, 389 RSMO | | | X | X | X | X | X | X | X | X | | X |
| MONTANA PSC | 6 | Title 69, MCA | X | X | X | X | X | X | X | X | | X | | X |
| NEBRASKA PSC | 6 | 75-301 to 75-440 | X | X | X | X | X | X | X | | | X | X | X |
| NEVADA PSC | 4 | Nev. Revised Stats., Ch. 705 | X | X | X | X | X | X | X | X | X | X | X | X |
| NEW HAMPSHIRE PUC | 9 | RSA 367-374, 377-382, 372A | X | X | X | X | X | X | X | X | X | X | | X |
| NEW JERSEY DOT 11/ | 12 | NJSA 48:12-1 et seq. | X | X | X | X | X | X | X | X | X | X | | X |
| NEW MEXICO SCC | 5 | Constitution | | | X | X | 1/ | X | X | 13/ | | | | |
| NEW YORK DOT | 38 | Trans. Law; Title 17, NYCRR | X | X | X | X | X | X | X 6/ | X 5/ | X | X | | X |
| NORTH CAROLINA UC | 23 | N.C. Gen. Statutes, Ch. 62-3(23) a. 3,4 | X | X | X | X | X | X | X | X | X | X | | X 1/ |
| NORTH DAKOTA PSC | 4 | Title 49 N.D. CC | | | | X | X | X | X | | X | X | | |
| OHIO PUC | 23 | Title 49, Ohio Rev. Code | X | X | X | X | 18/ | X | X | X | X | X | | X |
| OKLAHOMA CC | 11 | | | | | X | X | | X | | | | | |
| OREGON PUC | 23 | ORS Ch.756,760,761,763,764 | | | X | X | X | X | X | X 5/ | | | | 1/10/ |
| PENNSYLVANIA PUC | 45 | Pa. Public Utility Law | X 3/ | X 3/ | X 3/ | X 3/4/ | X | X 4/ | X | X 5/ | | X | X | X 6/ |
| PUERTO RICO PSC | None | | | | | | | | | | | | | |
| RHODE ISLAND PUC | 5 | Title 39, Chapter 7, 8, 9 | X | X | X | X | X | X | X | X | | X | X | X |
| SOUTH CAROLINA PSC | 13 | S.C. Code 58-851 | X | X | X | X | | X | X | X | | X | | X |
| SOUTH DAKOTA PUC | 5 | S.D.C.L. 49 | X | X | X | X | X | X | X | X | | X | | X |
| TENNESSEE PSC | 12 | 63-301 et seq. | X | X | | X | X | X | X | X | X | | X | X |
| TEXAS RC | 33 | Texas Rev. Civil Statutes Ann. (1925) Article 6445 | | | X | X | X | X | X | X | | | | |
| UTAH PSC | 9 | Utah Code, Sec. 54-3-6 and 54-2-1(9) | X | X | X | X | X | X | | X | | X | | X |
| VERMONT AT 15/ | 13 | Title 30, VSA Chapter 31 | X | X | | X | | X | X | X | X | X | | X |
| VIRGIN ISLANDS PSC | None | | | | | | | | | | | | | |
| VIRGINIA SCC | 21 | Code of Virginia and Virginia Constitution | X | X | X | X | X | X | X | X | X | | | X |
| WASHINGTON UTC | 14 | Title 81, Revised Code of Washington | X | X | X | X | X | X | X | X | X | X | X | X |
| WEST VIRGINIA | 15 | Code of West Virginia | X | | 12/ | X 3/ | | X 3/ | X | | | | | X 6/ |
| WISCONSIN TC | 16 | Wisconsin Statutes, Ch. 190-192, 195 | X | X | X | X | X | X | X | X | X | X | | X |
| WYOMING PSC | 5 | Sec. 37-1-101, (a)(vi)(A), Wyoming Statutes 1977 | | | X | X | | X | X | X | | | | X |

## Footnotes - Table 104 - REGULATION OF RAILROADS

1/ Annual reports are required to be filed showing intrastate operations.
2/ Sidings.
3/ Intrastate.
4/ Does not apply to authorities of Amtrak.
5/ Public crossings.
6/ Accidents, derailments, collisions, main track blockages in excess of two hours.
7/ Not subject to State regulation.
8/ Michigan Department of State Highways and Transportation.
9/ Stations and tracks.
10/ All grade crossing accidents; all railroad accidents and bridge failures resulting in loss of life or serious injury and accidents resulting in loss of property of $750 or greater.
11/ Under a reorganization plan submitted to the Legislature on September 18, 1978, all autobus and railroad regulatory and safety functions formerly performed by the New Jersey Board of Public Utilities are the responsibility of the New Jersey Department of Transportation effective January 1, 1979.
12/ Abandonments of stations and agencies only; line abandonments by other authority.
13/ Certain structures.
14/ No railroads operating under PUC jurisdiction in State of Hawaii. The PUC does have authority to regulate railroads. The only railroad now operating in Hawaii is being operated on private property--a scenic attraction.
15/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.
16/ Intrastate spur trackage of less than three miles in length or over which the ICC has not exercised its jurisdiction.
17/ Safety jurisdiction repealed in 1978.
18/ Annual statement required - Section 4907.13.
19/ Abandonments of stations and agencies; abandonments of any spur, industrial, team, switching or side track which has been used directly by the shipping public.
20/ Jurisdiction does not apply to railroads which are required to obtain a certificate of convenience and necessity from the Interstate Commerce Commission.
21/ Under jurisdiction of Florida Department of Transportation.

Table 105 - REGULATION OF RAILROAD SAFETY

| AGENCY | Scope of Agency regulation of railroad safety - | | | | | | | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Trackage | Roadbed | Grade Crossing Protection Devices | Other Stationary Railroad Structures | Weed and Vegetation Control | Grade Separation | Location of New Crossings | Closing of Existing Crossings | Train Blocking of Crossings | Vertical and Horizontal Clearance Requirements | Rolling Stock | Other Movable Rail Equipment | Speed Limits | Audible Signals of Trains | Railroad Operating Rules & Practices | Inspections to Determine Compliance with Safety Standards | Investigations to Determine Probable Causes of Railroad Accidents |
| ALABAMA PSC | X | X | | X | X | | | | | 31/ | X | X | 24/ | X | X | X | X |
| ALASKA TC 1/ | | | | | | | | | | | | | | | | | |
| ARIZONA CC 2/ | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| ARKANSAS TC | | | | | | | X | X | X | X | | | | X | | | |
| CALIFORNIA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| COLORADO PUC | | | X | X | X | X | X | X | 3/ | X | | | 3/ | 3/ | X4/ | X4/ | 5/ |
| CONNECTICUT DOT | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X |
| DELAWARE TA | X | X | X | X | X | X | X | X | X | X | | | X | X | | X | |
| FLORIDA PSC | 34/ | 34/ | | X | 34/ | | | | 34/ | 34/ | 34/ | 34/ | | | 34/ | 34/ | 34/ |
| GEORGIA PSC | | | | X7/ | | | | X7/ | | | | | | | X8/ | | 9/ |
| HAWAII PUC 35/ | | | | | | | | | | | | | | | | | |
| IDAHO PUC | | | X | X | | X | X | X | | X | | | | | | | X |
| ILLINOIS CC | X | X | X | X | X | X | X | X | 10/ | X | | | X11/ | X | X | X | X12/ |
| INDIANA PSC | X | X | X | X | X | X | X | X | X | X | | X | | X | X | X | X |
| IOWA TCA | X | X | X | X | X | | X | X | X | X | X | | X | X | X | X | |
| KANSAS SCC | X | X | X | X | X | | | X | X | X | X | | X | X | X | X | X |
| KENTUCKY RC | | | | | | | | | | | | | | | | | |
| LOUISIANA DOTD 6/ | | | | | | | | | | | | | | | | | |
| MAINE PUC | X | X | | X | | | | | | X | | | | | | | X |
| MARYLAND PSC | X | X | | X13/ | X | | | | | X | X | | | | X | X | X |
| MASSACHUSETTS DPU | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| MICHIGAN DSHT 29/ | X | X | X | X | X | X | X | X | | X | 14/ | 15/ | | | X | X | X |
| MINNESOTA DOT | X | X | X | X | X | X | X | X | X | X | X | X | 16/ | X | | X | |
| MISSISSIPPI PSC | X | X | X | X | X | X | X | X | X | X | X | | X | X | | X | X |
| MISSOURI PSC | X17/ | X17/ | X | X | X | X | X | X | X | X | | | 18/ | X | | X | X |
| MONTANA PSC | X | X | 19/ | X | X | 19/ | X | X | X | X | X | X | X27/ | X | X | X | X |
| NEBRASKA PSC | X | X | 10/ | X | X | | 3/ | 3/ | 3/ | X | X | X | 3/ | | X | X | |
| NEVADA PSC | | | | | | | | | | | | | | | | | |
| NEW HAMPSHIRE PUC | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X |
| NEW JERSEY DOT | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| NEW MEXICO SCC 19/ | | | X | X | X | | X | X | X | X | | | | | | 32/ | |
| NEW YORK DOT | X | X | X | 13/ | | X | X | X | X | X | X | | X | X | X | X | X |
| NORTH CAROLINA UC | X | X | X | | X | | X | X | X | | X | | X20/ | | X | X | X |
| NORTH DAKOTA PSC | | | X | X | | X | X | X | X | X | | | | X | | X | X 36/ |
| OHIO PUC | X | X | X | X | X | X | | | 30/ | X | X | X | X | X | X | X | X |
| OKLAHOMA CC | | | X | X | X | X | X | X | X | X | | | | | | X | X |
| OREGON PUC | X | X | X | | | X | X | X | X | X | X | X | X | | X | X | X |
| PENNSYLVANIA PUC | X | X | X | X | X | X | X | X | 21/ | X | X | X | X | | X | X | X |
| PUERTO RICO PSC 22/ | | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X |
| SOUTH CAROLINA PSC | X | X | 19/ | X13/ | 19/ | X | 19/ | 19/ | X | 19/ | X | X | X27/ | X | X | X | X |
| SOUTH DAKOTA PUC | X | X | X | X | | X | X | X | | X | | X | | X | X | X | |
| TENNESSEE PSC | X | X | X | | X | | | | | X | | | | | | X | X |
| TEXAS RC | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| UTAH PSC | | | | | | | | | | | | | | | | | |
| VERMONT AT 33/ | X | X | X | X | X | X | X | X | X | X | | | | X | | X | X |
| VIRGIN ISLANDS PSC 23/ | | | | | | | | | | | | | | | | | |
| VIRGINIA SCC | | | | X | X | | | | | X | X | | | X | X | | X |
| WASHINGTON UTC | X24/ | X24/ | X | X 26/ | X26/ | X | X | X | | X26/ | X25/ | X26/ | X26/ | X26/ | X | X25/ | X26/ |
| WEST VIRGINIA PSC | X | X | | X | X | | | | | X | X | X | X | X | X | X | X |
| WISCONSIN TC 28/ | X | X | X | X | X | X | X | X | X27/ | X | | | X | X | X | X | X |
| WYOMING PSC | 31/ | 31/ | X | 31/ | X | X | X | X | 31/ | X | 31/ | 31/ | 31/ | 31/ | 31/ | 31/ | X |

1/ Not subject to state regulation.
2/ Clarification can be made by reference to ARS. 40-801 through 40-896.02.
3/ Regulation has been left to local community through local ordinance.
4/ Inspection upon complaint.
5/ Investigation but no determination of probable cause.
6/ Louisiana Department of Transportation and Development, P.O. Box 44245, Baton Rouge, La. 70804.
7/ Removal of any trackage of facility must be authorized by the PSC.
8/ Railroads must publish all rates, rules and charges and be authorized by the PSC.
9/ Railroads must report all accidents with the PSC although jurisdiction is established in the Department of Transportation.
10/ Regulated by separate statute; however, agency does investigate complaints.
11/ Only at crossings or as track conditions warrant.
12/ Participate with NTSB and FRA.
13/ For clearance requirements.
14/ Cabooses.
15/ Locomotives.
16/ At crossings.
17/ Regulated according to provisions of agreement between FRA and the State of Missouri.
18/ It has been the practice of the PSC to refer complaints to officials of the cities and municipalities as provided in RSMo. 1969 - Sections 79.420 and 77.540.
19/ Jurisdiction between Commission and State Highway Department.
20/ Speed limits may be set by municipalities, but railroad companies may appeal to NCUC if they feel the ordinance is unreasonable.
21/ Statutory.
22/ No railroads in Puerto Rico.
23/ No regulation.
24/ Federal Certification 49 CFR 213.
25/ 49 CFR 215 FRA certification.
26/ Rules and Regulations, Washington Utilities and Transportation Commission.
27/ Local control in cities and villages.
28/ While the scope of TC regulation includes all of the items indicated, the preponderance of TC action is in the areas dealing with crossings and clearances, with selected involvement in railroad accidents.
29/ Michigan Department of State Highways and Transportation.
30/ No jurisdiction unless violation observed by Ohio PUC railroad inspector.
31/ Probable authority--has never been exercised.
32/ Lighting requirements.
33/ Vermont Agency of Transportation, State Administration Bldg., Montpelier, Vermont 05602.
34/ Under jurisdiction of Florida Department of Transportation.
35/ No railroads operating under PUC jurisdiction in Hawaii. The PUC does have authority to regulate railroads. The only railroad now operating in Hawaii is being operated on private property--a scenic attraction.
36/ Grade crossing accidents.

## Table 106 - STATE RAILROAD SAFETY PROGRAM (Part I)

Federal Railroad Administration representatives in meeting with members of the NARUC Staff Subcommittee on Railroad Safety in Washington, D. C., on March 29, 1979, released the following information on State participation under the Federal Railroad Safety Act of 1970 (45 U.S.C.A., Section 435). The information was updated by a survey distributed by the NARUC staff to reflect State participation as of December 31, 1979.

### State Participation Status Summary

| | Track | | Equipment | | Summaries | |
|---|---|---|---|---|---|---|
| | Level of Authorized Allocation | Currently on Board | Level of Authorized Allocation | Currently on Board | Total Authorized Allocation | Total on Board |
| Alabama | 2 | 2 | 2 | 2 | 4 | 4 |
| Arizona | 1 | 2 | 1 | 2 | 2 | 4 |
| Arkansas | 2 | - | 1 | - | 3 | - |
| California | 3 | - | 2 | - | 5 | - |
| Colorado | 2 | - | 1 | - | 3 | - |
| Connecticut | 1 | 1 | 1 | - | 2 | 1 |
| Delaware | 1 | - | 1 | - | 2 | - |
| Florida | 3 | 1 | 3 | 2 | 6 | 3 |
| Georgia | 2 | - | 2 | - | 4 | - |
| Idaho | 1 | - | 1 | - | 2 | - |
| Illinois | 5 | 3 | 4 | - | 9 | 3 |
| Indiana | 3 | 1 | 2 | - | 5 | 1 |
| Iowa | 3 | 3 | 1 | - | 4 | 3 |
| Kansas | 3 | 1 | 1 | - | 4 | 1 |
| Kentucky | 2 | - | 2 | - | 4 | - |
| Louisiana | 2 | - | 2 | - | 4 | - |
| Maine | 1 | - | 1 | - | 2 | - |
| Maryland | 1 | - | 1 | - | 2 | - |
| Massachusetts | 1 | - | 1 | 1 | 2 | 1 |
| Michigan | 4* | 3 | 3 | 1 | 7 | 4 |
| Minnesota | 3 | 2 | 2 | - | 5 | 2 |
| Mississippi | 2 | - | 1 | - | 3 | - |
| Missouri | 3 | 3 | 2 | - | 5 | 3 |
| Montana | 2 | - | 1 | - | 3 | - |
| Nebraska | 2 | 1 | 1 | 1 | 3 | 2 |
| Nevada | 1 | - | 1 | - | 2 | - |
| New Hamshire | 1 | 1 | 1 | - | 2 | 1 |
| New Jersey | 1 | 3 | 1 | - | 2 | 1 |
| New Mexico | 1 | - | 1 | - | 2 | - |
| New York | 5* | 3 | 4 | 5 | 9 | 8 |
| North Carolina | 2 | 1 | 2 | 0 | 4 | 1 |
| North Dakota | 2 | - | 1 | - | 3 | - |
| Ohio | 4 | 1 | 6 | 2 | 10 | 3 |
| Oklahoma | 2 | - | 1 | - | 3 | - |
| Oregon | 2 | 2 | 2 | 2 | 4 | 4 |
| Pennsylvania | 4 | 3 | 4 | 4 | 8 | 7 |
| Rhode Island | 1 | 1 | 1 | 1 | 2 | 2 |
| South Carolina | 2 | - | 1 | - | 3 | - |
| South Dakota | 2 | - | 1 | - | 3 | - |
| Tennessee | 2 | - | 1 | - | 3 | - |
| Texas | 5 | - | 4 | - | 9 | - |
| Utah | 1 | 1 | 1 | 1 | 2 | 2 |
| Vermont | 1 | 2 | 1 | - | 2 | 2 |
| Virginia | 2 | - | 2 | - | 4 | - |
| Washington | 2 | 2 | 2 | 2 | 4 | 4 |
| West Virginia | 3 | 3 | 2 | 2 | 5 | 5 |
| Wisconsin | 2 | - | 2 | - | 4 | - |
| Wyoming | 1 | - | 1 | - | 2 | - |
| Totals | 104 | 46 | 83 | 28 | 186 | 72 |

Upon the request of a State, the Administrator may authorize up to double the inspector allocation shown in the State Participation Regulations and as noted in the above (*denotes two examples where increased allocation has been authorized).

## Table 106 - STATE RAILROAD SAFETY PROGRAM (Part I)
(Continued)

States participating in the rail safety program under Section 206 of the Federal Railroad Safety Act of 1970 as of December 31, 1979, are:

| | TRACK | | EQUIPMENT | |
|---|---|---|---|---|
| | Inspectors | Participation | Inspectors | Participation |
| Alabama | 2 | Certification | 2 | Certification |
| Arizona | 2-1* | Full Certification | 2 | Full Certification |
| Connecticut | 1* | Agreement | | |
| Florida | 1 | Certification | 2 | Certification |
| Illinois | 3 | Agreement | | |
| Indiana | 1 | Agreement | | |
| Iowa | 3 | Full Certification | | |
| Kansas | 1 | Agreement | | |
| Louisiana | | Agreement | | |
| Massachusetts | | | 1 | Certification |
| Michigan | 3 | Certification | 1 | Certification |
| Minnesota | 2 | Agreement | | |
| Missouri | 3 | Agreement | | |
| Nebraska | 1 | Agreement | 1 | Agreement |
| New Hampshire | 1* | Agreement | | |
| New Jersey | 3* | Agreement | | |
| New York | 3 | Agreement | 5 | Agreement |
| North Carolina | 1* | Agreement | 0 | Agreement |
| Ohio | 1-2* | Agreement | 2-1* | Agreement |
| Oregon | 2 | Full Certification | 2 | Full Certification |
| Pennsylvania | 3 | Certification | 4 | Full Certification |
| Rhode Island | 1* | Agreement | 1 | Certification |
| Utah | 1* | Agreement | 1* | Agreement |
| Vermont | 2 | Agreement | | |
| Washington | 2 | Full Certification | 2 | Full Certification |
| West Virginia | 1-2* | Certification | 2 | Full Certification |

*Denotes trainee (13 track - 2 equipment)

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Full Certification--total allocation of inspectors aboard.
Certification--less than full complement, has evidenced intent for Full Certification.
Agreement--token participation.

| | State Salaries | |
|---|---|---|
| | Track | Freight Car |
| Alabama | $18,733-23,920 | $15,964-20,293 |
| Arizona | 18,328 | 17,822 |
| Connecticut | (T) 10,893 | |
| Illinois | 18,504-27,864 | |
| Iowa | 18,386-21,225 | |
| Indiana | 14,953 | |
| Kansas | 13,620-17,076 | |
| Maine | (T) 15,850 | |
| Massachusetts | | 15,263 |
| Minnesota | 17,205-20,943 | |
| Missouri | (T) 12,591-20,640 | |
| Nebraska | 17,822 | 17,500 |
| New Hampshire | (T) 14,557 | |
| New York | (T) 10,714-14,191 | 12,396-14,798 |
| North Carolina | (T) 13,248 | |
| Ohio | (T) 11,554-17,992 | (T) 11,337-14,476 |
| Oregon | 23,050-24,211 | 20,875-23,050 |
| Pennsylvania | 17,005-26,269 | 17,237-21,851 |
| Utah | 11,921 | (T) 11,921 |
| Vermont | 17,200 | |
| Washington | 21,864 | 21,864 |
| West Virginia | (T) 14,000-17,100 | 16,700 |

(T) Trainee involved

## Table 107 - STATE RAILROAD SAFETY PROGRAM - (Part II)
Fiscal Year Ending June 30, 1979, (Unless otherwise noted)

Cost of Administering State Safety Program Independently or Pursuant to Section 206 of the Federal Railroad Safety Act of 1970 (45 U.S.C.A., Sec. 435):

| | Full-Time Personnel Year-End | | | | | | | | | Part-time Personnel Year-End | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AGENCY | No. Professional Members | State Funds ($) | Federal Funds ($) | No. Other Members (non-clerical) | State Funds ($) | Federal Funds ($) | Number Clerical Members | State Funds ($) | Federal Funds ($) | No. Professional Members | State Funds ($) | Federal Funds ($) | No. Other Members (non-clerical) | State Funds ($) | Federal Funds ($) | Number Clerical Members | State Funds ($) | Federal Funds ($) |
| ALABAMA PSC 1/ | 2 | 42,909 | 42,909 | | | | | | | | | | 4 | 11,327 | 11,327 | 2 | 6,229 | 6,229 |
| ALASKA TC | | | | | | | | | | | | | | | | | | |
| ARIZONA CC | 4½ | 79,277 | | | | | 1 | 10,680 | | 1 | 10,000 | | | | | | | |
| ARKANSAS TC | | | | | | | | | | | | | | | | | | |
| CALIFORNIA PUC | 1 | | 6,958 | | | | | | | | | | | | | | | |
| COLORADO PUC | 1 | 26,200 | | | | | 1/3 | 5,103 | | | | | | | | | | |
| CONNECTICUT PUC | | | | | | | | | | | | | | | | | | |
| DELAWARE TA | | | | | | | | | | | | | | | | | | |
| FLORIDA PSC | 2 | 26,535 | | | | | | 3,651 | | | | | | | | | | |
| GEORGIA PSC 2/ | | | | | | | | | | | | | | | | | | |
| HAWAII PUC | Not applicable. | | | | | | | | | | | | | | | | | |
| IDAHO PUC | | | | | | | | | | | | | | | | | | |
| ILLINOIS CC 1/ | 3 | 37,216 | 37,216 | | | | | | | 2 | 6,107 | 6,107 | | | | 2 | 1,295 | 1,295 |
| INDIANA PSC 1/ | | 6,684 | 6,683 | | | | | | | | 618 | 619 | | | | | 1,287 | 1,287 |
| IOWA TRB 1/ | | 35,611 | 35,611 | | | | | | | | | | | 772 | 772 | | 2,123 | 2,123 |
| KANSAS SCC | | 14,689 | 14,689 | | | | | | | | | | | | | | | |
| KENTUCKY RC | | 9,600 | | | | | | 17,556 | | | 11,000 | | | | | | | |
| LOUISIANA PSC | Program under development by Department of Transportation and Development. | | | | | | | | | | | | | | | | | |
| MAINE PUC | | | | | | | | | | | | | | | | | | |
| MARYLAND PSC 3/ | | | | | | | | | | | | | | | | | | |
| MASSACHUSETTS DPU | | | | | | | | | | | | | | | | | | |
| MICHIGAN DOT 1/ | 5 | 49,847 | 49,847 | | | | | | | 1 | 2,465 | 2,465 | | | | 2 | 3,557 | 3,557 |
| MINNESOTA DOT | | 23,996 | 23,995 | | | | | | | | | | | | | | | |
| MISSISSIPPI PSC | | | | | | | | | | | | | | | | | | |
| MISSOURI PSC | 6 | 96,691 | 39,058 | | | | 1 | 7,867 | 2,622 | | | | | | | | | |
| MONTANA PSC | Not applicable. | | | | | | | | | | | | | | | | | |
| NEBRASKA PSC 5/ | | 18,690 | | | | | | | | | | | | | | | | |
| NEVADA PSC | | 1,546 | 1,545 | | | | | | | | | | | | | | | |
| NEW HAMPSHIRE PUC 1/ | 1 | 2,150 | 2,150 | | | | | | | | | | | | | | | |
| NEW JERSEY DOT 6/ | | | | | | | | | | | 1,080 | 1,080 | | 14,225 | 14,225 | | 1,075 | 1,075 |
| NEW MEXICO SCC | | | | | | | | | | | | | | | | | | |
| NEW YORK DOT | | | | | | | | | | | | | | | | | | |
| NORTH CAROLINA UC 7/ | 1[8] | 10,707 | 10,707 | | | | | | | | | | | | | 1 | 2,639 | 2,639 |
| NORTH DAKOTA PSC | | 9,000 | | | | | | 230 | | | | | | | | | | |
| OHIO PUC 1/ | 5 | 17,920 | 17,920 | | | | | | | 2 | 2,377 | 2,377 | | | | 1 | 690 | 690 |
| OKLAHOMA CC | | | | | | | | | | | | | | | | | | |
| OREGON PUC 10/ | 2[11] | 36,027 | 36,027 | 3 | 5,186 | 5,186 | 1 | 1,491[12] | 1,491[12] | | | | | | | 1 | 527[12] | 527[12] |
| PENNSYLVANIA PUC | | | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC 1/ | 2 | 13,753 | 13,753 | | 14,253 | 14,253 | | 2,833 | 2,833 | | | | | | | | | |
| SOUTH CAROLINA PSC | | | | | | | | | | | | | | | | | | |
| SOUTH DAKOTA PUC | | | | | | | | | | | | | | | | | | |
| TENNESSEE PSC 7/ | 11 | 185,000 | | 2 | 42,000 | | | | | 1 | 19,000 | | 1 | 7,000 | | 1 | 7,000 | |
| TEXAS RC | | | | | | | | | | | | | | | | | | |
| UTAH PSC | Railroad Safety Program not under the jurisdiction of the PSC. | | | | | | | | | | | | | | | | | |
| VERMONT AT 7/ | | 6,700 | 6,700 | | | | | | | | 100 | 100 | | | | | | |
| VIRGINIA SCC | | | | | | | | | | | | | | | | | | |
| WASHINGTON UTC 1/ | 2 | 39,234 | 39,234 | | | | | | | | | | 4 | 9,635 | 9,635 | 2 | 3,668 | 3,668 |
| WEST VIRGINIA PSC | | 25,505 | 9,918 | | 103,377 | 43,713 | | 7,708 | 2,998 | | | | | | | | | |
| WISCONSIN TC | 3 | 75,020 | | 2 | 24,675 | | | | | | | | | | | | | |
| WYOMING PSC | Not applicable. | | | | | | | | | | | | | | | | | |

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Footnotes:

1/ Fiscal year ending September 30, 1979.
2/ Georgia does not presently have a specific railroad safety program. Railroad safety is investigated at the present time upon complaint. However, Georgia is in the process of being certified by the Federal Railroad Administration and expects to be fully certified within six months. The Commission expects to have four full-time safety inspectors.
3/ This agency participated in the Equipment and Track Safety Programs until June and July respectively of 1979 at which time the certified personnel was hired by the Federal Government and participation was terminated.
4/ Projected costs--not acutal.
5/ No additional Federal funds have been requested under this program as the period covered is 10/1/79 to 9/30/80. The reported costs are actual costs for the current fiscal year ending June 30, 1980.
6/ Track Safety Program--fiscal year ending September 30, 1980.
7/ Data reported for year ending December 31, 1979.
8/ Two staff members until June 1, 1979.
9/ Telephone and building rental.
10/ Track Program--fiscal year ending September 30, 1979.
11/ Inspectors.
12/ No direct charges for clerical after 6/30/79. Starting 7/1/79 clerical support from Administrative Support Center and charges included in Administrative Expenses.

Table 107 - STATE RAILROAD SAFETY PROGRAM - (Part II) Continued
Fiscal Year Ending June 30, 1979, (Unless otherwise noted)

| | EQUIPMENT EXPENSES | | | | | | | | ACTIVITIES | | | | | | | | | | TOTAL EXPENDITURES | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Testing | | Motor Vehicle | | Office Equipment | | Other | | Travel Expenses | | Educ. Expenses | | Pro Rata of Over-all Admin. Expenses | | Research Costs | | Other Expenses | | Total State Funds ($) | Total Federal Funds ($) | Total State & Federal Funds ($) |
| AGENCY | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | State Funds ($) | Federal Funds ($) | | | |
| ALA. PSC 1/ | 71 | 71 | | | 1,139 | 1,139 | 18 | 18 | 8,541 | 8,541 | 155 | 155 | 3,984 | 3,984 | | | 898 | 898 | 75,271 | 75,271 | 150,542 |
| ALAS. TC | | | | | | | | | | | | | | | | | | | | | |
| ARIZ. CC | 15,000 | | 800 | | | | | | 7,382 | | | 8,800 | | | | | | | 123,139 | 8,800 | 131,939 |
| ARK. TC | | | | | | | | | | | | | | | | | | | | | |
| CALIF. PUC | | | | | | | | | | | | | | | | | | | | 8,865 | |
| COLO. PUC | | | 964 | | | | | | 200 | | | | | | | | | | 32,467 | | |
| CONN. PUC | | | | | | | | | | | | | | | | | | | | | |
| DEL. TA | | | | | | | | | | | | | | | | | | | | | |
| FLA. PSC | | | | | | | | | 5,668 | | 162 | | | | | | | | 36,026 | | |
| GA. PSC 2/ | | | | | | | | | | | | | | | | | | | | | |
| HI. PUC | Not applicable. | | | | | | | | | | | | | | | | | | | | |
| IDA. PUC | | | | | | | | | | | | | | | | | | | | | |
| ILL. CC 1/ | | | | | 48 | 48 | 122 | 122 | 5,576 | 5,576 | | | 1,500 | 1,500 | | | 1,299 | 1,299 | 53,163 | 53,163 | 106,326 |
| IND. PSC | | | 972 | 972 | 58 | 58 | | | 1,956 | 1,957 | | | 1,895 | 1,894 | | | 227 | 228 | 13,697 | 13,697 | 27,394 |
| IOWA TRB 1/ | | | 899 | 899 | | | | | 6,816 | 6,816 | | | | | | | 401 | 401 | 46,622 | 46,622 | 93,244 |
| KAN. SCC | 122 | 122 | | | | | 1,771 | 1,771 | 6,044 | 6,044 | | | 3,666 | 3,666 | | | | | 25,992 | 25,992 | 51,984 |
| KY. RC | | | | | 15,000 | | | | 7,000 | | | | 8,144 | | | | 1,200 | | 69,500 | | |
| LA. PSC | | | | | | | | | | | | | | | | | | | | | |
| MAINE PUC | | | | | | | | | | | | | | | | | | | | | |
| MD. PSC 3/ | | | | | | | | | | | | | | | | | | | | | |
| MASS. DPU | | | | | | | | | | | | | | | | | | | | | |
| MICH. DOT 1/ | | | | | | | | | 14,700 | 14,700 | | | | | | | | | 70,569 4/ | 70,569 4/ | 141,138 4/ |
| MINN. DOT | | | 2,187 | 2,187 | | | 73 | 73 | 2,556 | 2,556 | | | | | | | 1,050 | 1,050 | 29,862 | 29,862 | 59,724 |
| MISS. PSC | | | | | | | | | | | | | | | | | | | | | |
| MO. PSC | | | | | | | | | 11,066 | 6,190 | | | | | | | | | 115,624 | 47,870 | 163,494 |
| MONT. PSC | Not applicable. | | | | | | | | | | | | | | | | | | | | |
| NEB. PSC 5/ | | | 1,481 | | 608 | | | | 1,518 | | | | | | | | 1,220 | | 23,517 | | |
| NEV. PSC | | | | | | | | | 297 | 297 | | | | | | | | | 1,843 | 1,842 | 3,685 |
| N.H. PUC 1/ | | | | | | | | | 520 | 520 | | | | | | | 775 | 775 | 3,445 | 3,445 | 6,890 |
| N.J. DOT 6/ | | | 2,100 | 2,100 | 282 | 282 | 650 | 650 | 2,000 | 2,000 | | 2,200 | 3,093 | 3,093 | | | | | 24,505 | 26,705 | 51,210 |
| N.M. SCC | | | | | | | | | | | | | | | | | | | | | |
| N.Y. DOT | | | | | | | | | | | | | | | | | | | | | |
| N.C. UC 7/ | | | | | 298 | 298 | 895 | 895 | 2,025 | 2,025 | | 5,000 | 1,720 | 1,720 | | | | | 18,284 | 23,284 | 41,568 |
| N.D. PSC | | | | | | | | | 400 | | | | 2,270 | | 300 | | | | 12,200 | | |
| OHIO PUC 1/ | | | | | | | | | 4,875 | 4,875 | | | 4,125 | 4,125 | | | 2,578 9/ | 2,578 9/ | 32,565 | 32,565 | 65,130 |
| OKLA. CC | | | | | | | | | | | | | | | | | | | | | |
| ORE. PUC 10/ | | | | | | | 318 | 318 | 2,161 | 2,161 | | | 4,557 | 4,557 | | | 2,560 | 2,560 | 52,827 | 52,827 | 105,654 |
| PA. PUC | | | | | | | | | | | | | | | | | | | | | |
| R.I. PUC 1/ | | | | | | | 59 | 59 | 1,139 | 1,139 | | 1,621 | | | | | 1,351 | 1,351 | 33,388 | 35,009 | 68,397 |
| S.C. PSC | | | | | | | | | | | | | | | | | | | | | |
| S.D. PUC | | | | | | | | | | | | | | | | | | | | | |
| TENN. PSC 7/ | 4,000 | | 78,000 | | 7,500 | | 27,500 | | 62,500 | | 7,000 | | 80,000 | | 6,000 | | 17,000 | | 549,500 | | |
| TEX. RC | | | | | | | | | | | | | | | | | | | | | |
| UTAH PSC | | | | | | | | | | | | | | | | | | | | | |
| VT. AT 7/ | | | | | | | | | 1,200 | 1,200 | | | 1,500 | | | | | | 9,500 | 8,000 | 17,500 |
| VA. SCC | The State of Virginia is not a participant in the State Safety Program. | | | | | | | | | | | | | | | | | | | | |
| WASH. UTC 1/ | 2,393 | 2,393 | | | | | 185 | 185 | 7,484 | 7,484 | | | 36,232 | 36,232 | | | 4,957 | 4,957 | 103,788 | 103,788 | 207,576 |
| W.VA. PSC | | | | | 320 | 407 | 301 | 384 | 23,336 | 11,983 | | 1,800 | | | | | | | 160,547 | 71,203 | 231,750 |
| WISC. TC | | | | | 407 | | | | 5,500 | | | | 49,600 | | | | | | 155,202 | | |
| WYO. PSC | | | | | | | | | | | | | | | | | | | | | |

NOTE: See previous page for footnotes.

Table 108 - RAILROAD SAFETY INVESTIGATORS

| AGENCY | Railroad Safety Investigators Employed - | | | | | | | |
|---|---|---|---|---|---|---|---|---|
| | Full-time | | | | Part-time | | | |
| | Number | Annual Salary Range | Average Annual Salary | Average Years of Service | Number | Annual Salary Range | Average Annual Salary | Average Years of Service |
| ALABAMA PSC | 4 9/ | $15,964 - $26,052 | $21,008 | 3 | | | | |
| ALASKA TC 1/ | | | | | | | | |
| ARIZONA CC | 5 | $16,000 - $18,328 | $17,192 | 22 | | | | |
| ARKANSAS TC | | | | | | | | |
| CALIFORNIA PUC | 1 | $30,444 - $36,780 | $36,780 | 26 | | | | |
| | 2 | $26,436 - $31,908 | $31,908 | 15 | | | | |
| | 5 | $22,956 - $27,684 | $25,500 | 5.5 | | | | |
| COLORADO PUC | | | | | 1 | $19,056 - $25,512 | | 6 |
| CONNECTICUT DOT | 1 | $ 9,414 - $11,693 | $11,693 | 5 | | | | |
| DELAWARE TA | | | | | | | | |
| FLORIDA DOT | | | | | | | | |
| GEORGIA PSC | 0 | | | | | | | |
| HAWAII PUC 11/ | | | | | | | | |
| IDAHO PUC | | | | | | | | |
| ILLINOIS CC | 13 2/ | $14,700 - $33,312 | $22,000 | 6.2 | | | | |
| INDIANA PSC | 2 | $ 7,436 - $15,626 | $ 9,200 | 2 | | | | |
| IOWA TRB | 3 | $15,000 - $19,175 | $14,333 | | | | | |
| KANSAS SCC | 1 | $13,620 - $17,076 | $14,892 | 5 3/ | | | | |
| KENTUCKY RC | | | | | | | | |
| LOUISIANA 6/ | | | | | | | | |
| MAINE PUC | | | | | | | | |
| MARYLAND PSC | 11 | $11,697 - $15,322 | $13,894 | 11 | 2 | $10,231 - $13,340 | $11,750 | 8 |
| MASSACHUSETTS DPU | 7 | $11,839 - $15,766 | $15,766 | 19 | | | | |
| MICHIGAN DSHT 5/ | 9 | $10,666 - $15,991 | $15,184 | 7 | | | | |
| MINNESOTA DOT | 1 | $15,000 - $25,982 | $20,500 | 4.5 | | | | |
| MISSISSIPPI PSC | 6 | $ 9,660 - $15,420 | $12,360 | 6 | | | | |
| MISSOURI PSC | 5 | $17,024 - $22,684 | $19,594 | 9 | | | | |
| MONTANA PSC | 0 | | | | | | | |
| NEBRASKA PSC | 1 | | $17,822 | 4 | | | | |
| NEVADA PSC | 1 | $13,341 - $18,353 | $13,341 | | | | | |
| NEW HAMPSHIRE PUC | 1 | $16,349 - $20,062 | $17,279 | 9½ | | | | |
| NEW JERSEY DOT | 9 | $10,358 - $20,660 | $15,777 | 11 | | | | |
| NEW MEXICO SCC | 0 | | | | | | | |
| NEW YORK DOT | 11 | $12,540 - $19,040 | $15,600 | 8½ 3/ | | | | |
| NORTH CAROLINA UC | 1 | $13,293 - $17,797 | $15,435 | 1 | | | | |
| NORTH DAKOTA PSC | | | | | | | | |
| OHIO PUC | 10 | $11,150 - $15,900 | $13,500 | 8 | | | | |
| OKLAHOMA CC | | | | | | | | |
| OREGON PUC | 7 7/ | $18,936 - $25,428 | $21,066 | 5 | | | | |
| PENNSYLVANIA PUC | 8 10/ | 2- $17,174 - $22,338 | $22,338 | 33 | | | | |
| | | 4- $14,474 - $18,778 | $16,680 | 35 | | | | |
| | | 2- $16,470 - $21,399 | $17,976 | 30 | | | | |
| PUERTO RICO PSC 4/ | | | | | | | | |
| RHODE ISLAND PSC | 1 | $15,400 - $17,000 | $16,000 | 1 | | | | |
| SOUTH CAROLINA PSC | 2 | $12,000 - $18,000 | $15,000 | 2 | | | | |
| SOUTH DAKOTA PUC | | | | | | | | |
| TENNESSEE PSC | 10 | $11,000 - $13,000 | $11,500 | 4 | | | | |
| TEXAS RC | | | | | | | | |
| UTAH PSC | | | | | | | | |
| VERMONT AT 8/ | 1 | $13,858 - $20,150 | $14,092 | 4 | | | | |
| VIRGIN ISLANDS PSC | | | | | | | | |
| VIRGINIA SCC | | | | | | | | |
| WASHINGTON UTC | 8 | $17,076 - $21,864 | $19,470 | 4.8 3/ | | | | |
| WEST VIRGINIA PSC | 9 | $12,144 - $19,812 | $16,160 | 7 | | | | |
| WISCONSIN TC | 2 - | $16,182 - $20,588 | $17,174 | 8 | | | | |
| WYOMING PSC | | | | | | | | |

1/ The Alaska Railroad, an organizational unit of the Federal Railroad Administration, U.S. Department of Transportation, is not subject to State Regulation.
2/ Engineers - inspections part of engineering work load.
3/ Had previous experience before joining the commission.
4/ No railroads in Puerto Rico.
5/ Michigan Department of State Highways and Transportation.
6/ La. Dept. of Transportation and Development, P. O. Box 44245, Baton Rouge 70804.
7/ Includes track and equipment inspectors, but does not include crossing safety investigators.
8/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.
9/ Includes safety, track and equipment inspectors.
10/ Includes track, equipment, communications and signals, and operating rules.
11/ No railroads operating under PUC jurisdiction in Hawaii. The PUC does have authority to regulate railroads.

Table 109 - RAILROAD ACCIDENT REPORTING REQUIREMENTS

| AGENCY | Authorized to Require Reporting of Railroad Accidents | Exercise Authority to Require Reports | Require Rapid Reporting of Accidents Causing Death or Serious Injury 1/ | Require Rapid Reporting of Certain Other Accidents 2/ | Require Periodic Summaries of Accidents | Authorized to Investi-gate Accidents |
|---|---|---|---|---|---|---|
| ALABAMA PSC | Yes | Yes | Yes | Yes | No | Yes |
| ALASKA TC 5/ | No | No | No | No | No | No |
| ARIZONA CC | Yes | Yes | Yes | Yes | Yes | Yes |
| ARKANSAS TC | No | No | No | No | No | No |
| CALIFORNIA PUC | Yes | Yes | Yes | Yes | Yes | Yes |
| COLORADO PUC | Yes | Yes | Yes | No | Yes | Yes |
| CONNECTICUT DOT | Yes | Yes | Yes | Yes | Yes | Yes |
| DELAWARE TA | Yes | Yes | Yes | No | No | No |
| FLORIDA DOT | | | | | | |
| GEORGIA PSC | Yes | Yes | Yes | No | Yes | Yes |
| HAWAII PUC | | | | | | |
| IDAHO PUC | Yes | Yes | Yes | No | Yes | Yes |
| ILLINOIS CC | Yes | Yes | Yes | Yes | Yes | Yes |
| INDIANA PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| IOWA TRB | Yes | Yes | Yes | No | Yes | Yes |
| KANSAS SCC | Yes | Yes | Yes | Yes | Yes | Yes |
| KENTUCKY RC | Yes | Yes | No | No | No | No |
| LOUISIANA PSC | Yes | Yes | Yes | No | Yes | |
| MAINE PUC | Yes | Yes | Yes | Yes | Yes | Yes |
| MARYLAND PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| MASSACHUSETTS DPU | Yes | Yes | No 3/ | Yes | No | Yes |
| MICHIGAN DSHT 6/ | Yes | Yes | Yes | Yes | Yes | Yes |
| MINNESOTA DOT | Yes | Yes | No | No | No | Yes |
| MISSISSIPPI PSC | Yes | Yes | Yes | Yes | No | Yes |
| MISSOURI PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| MONTANA PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| NEBRASKA PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| NEVADA PSC | Yes | Yes | Yes | Yes | Yes | Yes |
| NEW HAMPSHIRE PUC | Yes | Yes | Yes | Yes | Yes | Yes |
| NEW JERSEY DOT | Yes | Yes | Yes | Yes | Yes | Yes |
| NEW MEXICO SCC | Yes | Yes | No | No | Yes | Yes |
| NEW YORK DOT | Yes | Yes | Yes | Yes | Yes | Yes |
| NORTH CAROLINA UC | Yes | Yes | No | No | No | Yes |
| NORTH DAKOTA PSC | No | No | No | No | No | Yes 7/ |
| OHIO PUC | Yes 4/ | Yes | Yes | Yes | Yes | Yes |
| OKLAHOMA CC | Yes | Yes | Yes | Yes | Yes | Yes |
| OREGON PUC | Yes | Yes | Yes | No | Yes | Yes |
| PENNSYLVANIA PUC | Yes | Yes | Yes | Yes | Yes | Yes |
| RHODE ISLAND PUC | Yes | Yes | Yes | Yes | No | Yes |
| SOUTH CAROLINA PSC | Yes | Yes | Yes | Yes | No | Yes |
| SOUTH DAKOTA PUC | Yes | Yes | Yes | No | No | No |
| TENNESSEE PSC | Yes | Yes | Yes | No | No | Yes |
| TEXAS RC | Yes | Yes | Yes | Yes | Yes | Yes |
| UTAH PSC | No | No | No | No | No | No |
| VERMONT AT 9/ | Yes | Yes | Yes | Yes | No | Yes |
| VIRGIN ISLANDS PSC | | | | | | |
| VIRGINIA SCC | Yes | Yes | No | No | No | Yes |
| WASHINGTON UTC | Yes 8/ | Yes 8/ | Yes 8/ | No | Yes 8/ | Yes |
| WEST VIRGINIA PSC | Yes | Yes | Yes | No | Yes | Yes |
| WISCONSIN TC | Yes | Yes | Yes | Yes | No | Yes |
| WYOMING PSC | Yes | Yes | Yes | No | No | Yes |

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1/ I.E., by telephone or telegraph.
2/ May include requirements to report rapidly all derailments or bridge failures regardless of any personal injuries.
3/ Not required, but often implemented.
4/ Reporting threshhold increased to $2,900.
5/ Not subject to State regulation.
6/ Michigan Department of State Highways and Transportation.
7/ Grade crossing accidents.
8/ To level of limitations imposed by 49 CFR 225.
9/ Vermont Agency of Transportation, State Administration Building, Montpelier, Vermont 05602.

Table 110 - HIGHWAY-RAILROAD CROSSING SURVEY

| AGENCY | JURISDICTION-INVOLVEMENT | | | | | | Crossing Fund | Priority System | 5 - year Accident Trend |
|---|---|---|---|---|---|---|---|---|---|
| | Crossing Jurisdiction | | | | Train Speed | Crossing Blockage | | | |
| | Con-struction | Alter-ation | Signali-zation | Separa-tion | | | | | |
| ALABAMA PSC | No | No | No | No | No | No | No | No | Down |
| ALASKA TC | | | | | | | | | |
| ARIZONA CC | Yes | Yes | Yes | Yes | a | Yes | No | Yes[a] | Up |
| ARKANSAS TC | Yes | Yes | Yes | No | No | Stat | a | No | N/A |
| CALIFORNIA PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes[a] | Yes | Down |
| COLORADO PUC | Yes | Yes | Yes | Yes | No | No | Yes[a] | Yes[a] | |
| CONNECTICUT DOT | Yes | Yes | Yes | Yes | Yes[a] | Yes[a] | No | No | Down |
| DELAWARE TA | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Down |
| FLORIDA DOT | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Up |
| GEORGIA PSC | No | No | No | No | No | No | No | No | Up |
| IDAHO PUC | Yes | Yes | Yes | Yes | No | No | No | a | No |
| ILLINOIS CC | Yes | Yes | Yes | Yes | Yes[a] | Stat[a] | Yes[a] | Yes[a] | Down |
| INDIANA PSC | Yes | Yes | Yes | Yes | No | No | Yes | Yes | No Trend |
| IOWA TRB | Ltd[a] | Ltd | Ltd | Yes | Yes | Yes | Yes[a] | Yes | Down |
| KANSAS SCC | Yes | Yes | Yes | No | Yes[a] | Stat[a] | Yes[a] | No | ▲Down |
| KENTUCKY DOT | No | Yes | Yes | | No | No | a | Yes[a] | Level |
| LOUISIANA PSC[a] | Ltd | Ltd | | | No | Ltd | No | | |
| Hwy Dept[a] | Ltd | Ltd | Ltd | ▲Ltd | No | | No | Yes[a] | N/A |
| MAINE PUC | No[a] | No | No | No | Yes | Yes[a] | Yes[a] | No | Down |
| MARYLAND | | | | | | | | | |
| Hwy Admin[a] | No | No | Yes | Ltd | No | Yes[a] | No | No | Up |
| MASSACHUSETTS | Yes | Yes | Yes | Yes | Yes | Yes | No | No | Up |
| MICHIGAN DSHT | Yes | Yes | Yes | Yes | Yes | No | No | No | Down |
| MINNESOTA DOT[a] | Yes | Yes | Yes | Yes | Yes | Stat | Yes | Yes | Down |
| MISSISSIPPI PSC[a] | No | No | Yes[a] | No | Yes | Ltd[a] | No | No | Up |
| MISSOURI PSC | Yes | Yes | Yes | Yes | No | Yes | Yes[a] | Yes | Up |
| MONTANA | Yes | Yes | Yes | Ltd | Yes | Yes | Yes | Yes | Up |
| NEBRASKA PSC | Yes | Yes | Ltd[a] | No | Yes[a] | No[a] | Yes[a] | No | Up |
| NEVADA PSC | Yes | Yes | Yes | Ltd | No | No | No[a] | No | Down |
| NEW HAMPSHIRE PUC | Yes | Yes | Yes | Ltd | Yes[a] | Yes[a] | No | Yes | Down |
| NEW JERSEY DOT | Yes | Yes | Yes | Yes | Yes | Yes | Yes[a] | Yes[a] | ▲Down |
| NEW MEXICO CC[a] | Yes | No | No | No | No | No | | | |
| Hwy Dept[a] | Ltd | Ltd | Yes | a | No | Yes | Yes[a] | Yes | No Trend |
| NEW YORK DOT | Yes | Yes | Yes[a] | Yes | No | No[a] | Yes | Yes | Level |
| NORTH CAROLINA UC | No | No | No | Ltd[a] | Yes[a] | | | | |
| NORTH DAKOTA PSC | Yes | Yes | Yes | Yes | No | Stat[a] | Yes[a] | No | No Trend |
| OHIO PUC | Yes | Yes | Yes | Yes | Yes | Ltd | Yes | Yes[a] | Up |
| OKLAHOMA CC | Yes | Yes | Yes | Yes | No | Yes[a] | No[a] | Yes[a] | Down |
| OREGON PUC | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Down |
| PENNSYLVANIA PUC | Yes | Yes | Yes | Yes | Yes | Stat | No | a | Down |
| RHODE ISLAND PUC | Yes | Yes | Yes | Yes | Ltd[a] | Stat[a] | No[a] | Yes | Down |
| SOUTH CAROLINA PSC | Ltd[a] | Ltd | Ltd | No | No | Ltd[a] | | | |
| SOUTH DAKOTA PUC | Yes | Yes | Yes | No | No | No | No | Yes | Up |
| TENNESSEE PSC | Ltd[a] | Ltd | No | No | No | No | No | No | Down |
| TEXAS RC | No | No | No[a] | No | No | No | No | No | No |
| UTAH PSC[a] | No | No | No | No | No | No | No | No | |
| VERMONT AT | Yes | Yes | Yes | Yes | No | Stat[a] | Yes | Yes | No Trend |
| VIRGINIA SCC | | Ltd | Ltd | Ltd | No | Stat | a | | N/A |
| WASHINGTON UTC | Ltd | Ltd | Ltd | Ltd[a] | Ltd[a] | No | Yes[a] | No[a] | Level |
| WEST VIRGINIA PSC[a] | No | No | No | No | No | Yes | No | No | No Trend |
| WISCONSIN TC | Yes | Yes | Yes | Yes | Yes | No | Yes[a] | Yes[a] | Down |
| WYOMING PSC | Yes | Yes | Yes | Yes | Yes[a] | Yes[a] | Yes[a] | Yes | Up |

Footnotes

Abbreviations

Ltd = Limited jurisdiction and/or involvement

Stat = Controlled by statute

N/A = Not available

[a]= See explanatory information under individual state headings on the supplementary sheets.

▲= Slight change

# HIGHWAY-RAILROAD CROSSING SURVEY

## ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

## ALABAMA

### Personnel

Robert A. Jones, Supervisor, Transportation Rates and Services; Alabama Public Service Commission, P.O. Box 991, Montgomery 36130.

### Funding

Signalization projects are funded by the city, county, state or federal governmental agency involved at the particular crossing in conjunction with the railroads. Federal funds are administered through Alabama State Highway Department.

### Problems and Solutions

Problem is failure of vehicular and train traffic to observe established laws and speed limits and lack of automatic gate protection.

## ALASKA TC

Highway-railroad crossing is not subject to state regulation.

## ARIZONA

### Personnel

Richard Porter, Railroad Safety Supervisor, Arizona Corporation Commission, 2222 W. Encanto Blvd., Phoenix 85009.

### Jurisdiction

Speed--Statutory authority has been granted to Commission, but no legislation has been enacted to control train speeds.

### Funding

Signalization projects are funded 50% by railroad, 50% by the public agency involved (city, county, state), or 90% Federal and 10% ACC. Priority lists are prepared by the Arizona Corporation Commission in cooperation with the Arizona Department of Transportation.

### Problems and Solutions

Major problem is appropriation of money for adequate protection. Most state funds are spent for highway improvement. One solution would be establishment of a grade crossing improvement fund.

## ARKANSAS

### Personnel

John W. Corbett, Administrator, Arkansas Transportation Commission, Justice Building, Little Rock 72201.

### Funding

Questions regarding existence of grade crossing protection fund and funding of signalization of crossings should be referred to Arkansas Highway Department, P.O. Box 2779, Little Rock.

## CALIFORNIA

### Personnel

William L. Oliver, Principal, Railroad Operations and Safety Branch, Public Utilities Commission, 350 McAllister Street, San Francisco 94102.

### Funding

State Crossing Protection Fund consists of annual State contribution of $1,000,000 which reimburses 50-percent of local agencies' cost up to a maximum of 25-percent of the total signal cost.
Signalization costs, not funded by Federal sources, are assigned 100-percent to the moving party for new grade crossings and 50-percent to the railroad and 50-percent to the local agency for existing crossing improvements. The maintenance costs for signals installed are allocated on the same basis as installation costs and the State maintains a Protection Maintenance Fund to pay the local agencies' entire share for maintenance on signals installed after October 1, 1965. There is also an annual appropriation for grade separation projects.

### Problems and Solutions

The current annual State appropriations for the Protection and Maintenance Funds, together with Federal funds, are adequate to meet the demand for improved at-grade warning devices, however, the demand for grade separation funds far outweighs the available resources and such appropriations should be increased to meet the need and spiraling construction costs.

## COLORADO

### Personnel

Ralph H. Knoll, Chief of Transportation, Colorado Public Utilities Commission, 500 State Services Building, 1525 Sherman Street, Denver 80203.

### Funding and Priorities

Grade crossing protection fund receives $20,000 per month from highway user tax fund (Stat. 120-12-5). State Highway Department has developed a hazard rating system which is helpful in setting priorities; however, city and county officials usually set their own priorities based on their more intimate awareness of local needs.
Signalization projects are funded by the crossing protection fund only if no federal funds are available. The railroad is required to pay at least 10% of the cost of installation and 100% of future maintenance. At new crossings in conjunction with private residential developments the development company usually funds the installation of required protective devices.

### Problems

The greatest problem at crossings is motorist carelessness and disregard for crossing protection devices.

# ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

## CONNECTICUT

### Personnel

Department of Transportation, 24 Wolcott Hill Road, Wethersfield 06109.

### Jurisdiction

Speed--Train speed jurisdiction limited to cities and boroughs only. Blockage--Crossings blockage is controlled after five minutes.

## DELAWARE

### Personnel

John Wallace, Director of Transportation, P.O. Box 778, Dover, Delaware 19901.

### Funding

The Transportation Authority uses a priority system developed by the Highway Department. Signalization projects are funded through the Highway Department.

## FLORIDA

The Florida Public Service Commission has no jurisdiction in railroad safety--effective October 1, 1979. All positions in railroad safety have been abolished.

### Jurisdiction

General--The Florida DOT has regulatory jurisdiction over railroad safety and all public railroad crossings in Florida, including the authority to issue a permit to open and close such crossings.

## GEORGIA

### Personnel

Horace F. Hartley, Director, Transportation Rates and Services, Georgia Public Service Commission, 244 Washington Street, Atlanta 30334.

## IDAHO

### Personnel

Jim Kincaid, Director, Regulated Carrier Division, Idaho Public Utilities Commission, Statehouse, Boise 83720.

## ILLINOIS

### Personnel

Ray L. Peterson, Chief Railroad Engineer, Illinois Commerce Commission, 527 East Capitol Avenue, Springfield 62706.

### Jurisdiction

Speed--Commission has the authority to control train speeds at crossings, but uses it sparingly in special cases.

Blockage--Covered by a state statute--limited to ten minutes.

### Funding

The grade crossing protection fund receives $500,000 per month from the motor fuel tax. This money is paid out by the Illinois DOT as required by orders of the Illinois Commerce Commission. Fund money may be used to pay part of the cost of automatic signalization, approach grade work and crossing construction work in conjunction with protection projects. It is now also beginning to be used for separation projects. The fund may also be used to help construct connecting roads made necessary by closure of existing grade crossings.

At the inception of the fund an index of hazard was developed to assist in determining priorities for funding. This index has been reduced to the point where, if the product of the number of highway vehicles per day and the number of trains per day is 3,000 or more, the crossing is worthy of consideration for protection. Accident records also play a role in determining fund eligibility.

### Problems and Solutions

Lack of observance of signs and signals by motorists. A possible solution would be better driver education when application is made for driver's license. The Commission has instituted an operation lifesaver program to promote education, engineering and enforcement in all areas of grade crossing safety.

## INDIANA

### Personnel

B.E. Modinger, Director, Railroad Division, Public Service Commission of Indiana, 901 State Office Building, Indianapolis 46204.

### Solutions

A federally-sponsored education safety program on rail-highway safety is needed. Indiana has been very active in the "Operation Lifeline Program." Through this program greater safety should be gained through education, engineering and enforcement.

## IOWA

### Personnel

Leslie Holland, Director, Railroad Division, Iowa Department of Transportation, Ames, Iowa 50010.

### Jurisdiction

The Transportation Regulation Board (TRB), Department of Transportation has jurisdiction.

### Funding

Iowa's grade crossing safety fund consists of $350,000 per year appropriation to be used for crossing protection after a formal hearing has been held. Regarding priorities, the TRB has held that highway grade crossing safety funds will be used primarily for signalization of dangerous crossings rather than for separations structures. A bill was passed in the 1976 Legislature which permits repairs and maintenance to grade crossings at a cost not to exceed one-third contribution from the DOT - The Highway Railroad Grade Crossing Surface Repair Fund.

## IOWA (continued)

### Problems and Solutions

**The basic problems appears to be a definite lack of concern and/or education on the part of the driver concerning grade crossings in general and their associated hazards. One practical solution would be for the railroad and the TRB to make an on-the-ground inspection, a determination of the street or road to be protected with automatic signalization, and then to close several streets or roads in each direction, thus funneling the vehicular traffic to the protected crossings.**

## KANSAS

### Personnel

Director of Transportation, Kansas Corporation Commission, State Office Building, Topeka 66612.

### Jurisdiction

General--Kansas statute 66-156 gives the Corporation Commission broad general powers to supervise public utility companies in the interests of safety. It is under this authority that speed of trains at crossings may be regulated in Kansas.

Blockage--Limited to ten minutes by statute (66-273).

### Funding

Kansas has a grade crossing protection fund, with $300,000 per year available from the State Highway Fund. The cost of signalization projects is borne by the railroad (not less than 20% nor more than 50% to the railroad) and the protection fund.

## KENTUCKY

### Personnel

O.R. Cecil, Assistant Director, Division of Planning, Kentucky Department of Transportation, Bureau of Highways, 419 Ann Street, Frankfort 40601.

### Funding

All crossing improvements programmed for federal-aid participation are funded 100% federal. All other crossings on the state system are funded 90% state and 10% railroad. No crossing improvements, except for TOPICS, are made off the state system. Under Kentucky law the state pays all maintenance costs for those improvements negotiated by the Bureau of Highways. Priorities are developed through the diagnostic team and hazard rating approach.

### Problems and Solutions

The most important problem in grade crossing safety is driver responsibility. A program aimed at increasing driver awareness and concern should be instituted. The present apathy is the result of a combination of familiarity with the crossing and the idea that crossing safety is someone else's responsibility. The passive signing study now underway may help somewhat.

## LOUISIANA

### Personnel

Joseph L. Wax, Project Control Engineer, Louisiana Department of Highways, P.O. Box 44245, Capitol Station, Baton Rouge 70804.

James W. Henderson, **Assistant Director of Transportation, Louisiana Public Service Commission, Suite 1630 One American Place, Baton Rouge 70804.**

### Jurisdiction

PSC--Jurisdiction over crossing construction to statutory degree only. Highway--Jurisdiction over crossing limited to agreement negotiations only.

### Funding Priorities

Highway--Crossing protection is provided (a) for specific public requests; (b) as required by highway improvements; and (c) in order of preference established in the List of Hazard Index Ratings as prepared by the FHWA.

### Problems and Solutions

Railroad signal suppliers need to up-date their signals to use lenses, reflectors and lamps in accordance with standards included in the Manual on Uniform Traffic Control Devices. Existing signal lights are inferior.

## MAINE

### Personnel

Robert W. True, Director of Transportation, Maine Public Utilities Commission, State House Annex, Augusta 04333.

### Jurisdiction

Crossings--Effective July 1, 1972, crossing jurisdiction was transferred to the Maine State Department of Transportation.

Train Speed--Transferred to Maine State Department of Transportation in 1975.

### Funding

State crossing protection fund receives $10,000 per year.

### Problems and Solutions

The typical highway user doesn't pay attention to his driving. More educational programs are needed.

## MARYLAND

### Personnel

Joseph S.A. Giardina, Director of Transportation, Public Service Commission of Maryland, 231 E. Baltimore Street, Baltimore 21201.

Thomas Hicks, Assistant Chief Engineer, Traffic, Maryland State Highway Administration, 300 West Preston, Baltimore 21201.

### Jurisdiction

Crossings--Jurisdiction in hands of Highway Administration.

Blockage--Controlled by agreement with the railroad involved.

### Funding

Signalization projects are funded by agreement between the Highway Administration and the railroad, utilizing federal funds, if on the federal-aid highway system, and state funds if off the federal-aid system.

### Problems and Solutions

**Better passive signing is needed. It is hoped that a solution will be developed in the pooled-fund project on railroad-highway grade crossing safety now being conducted by the FHWA.**

## HIGHWAY-RAILROAD CROSSING SURVEY (continued)

### ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

MICHIGAN

**Jurisdiction**

Department of State Highways and Transportation.

**Funding**

For other than federal-aid projects, state law requires an equal division of costs for signalization projects between the railroad and public authority in interest. Costs for gates borne by railroad.

**Problems and Solutions**

(1) Need to bring additional funds into picture to relieve local public authority's share of costs.
(2) Need for a form of automatic protection that, costs and safety-wise, will be about midway between passive signing and present automatic protective devices.
(3) Greater authority to close crossings for regulatory agencies.

MINNESOTA

**Personnel**

L. F. McNamara, Director, Office of Railroads, Ports and Pipeline Administration; Gordon W. Boldt, Chief, Railroad Operations Section; Cecil L. Selness, Chief, Railroad Development Section.

**Jurisdiction**

Minnesota Department of Transportation.

MISSISSIPPI

**Personnel**

Paul Gaither, Director, Department of Transportation, Mississippi Public Service Commission, P.O. Box 1174, Jackson 39205.

**Jurisdiction**

Signalization Projects--Mississippi law (Sec. 7857) provides that it is the duty of the railroad, whenever the MPSC requires it, to maintain a gate at a public crossing.

Crossing Blockage--Controlled by MPSC except for crossings within corporate limits of municipalities.

Construction and Alteration--Municipalities have complete jurisdiction over railroads and crossings within corporate limits.

**Problems**

Not enough separated crossings and not enough grade crossings protected with automatic signalization.

MISSOURI

**Personnel**

E.L. Shackelford, Railroad Safety Supervisor, Missouri Public Service Commission, Jefferson Building, Jefferson City 65101.

**Funding**

Apportionment--In instances where moving party is not the State Highway Commission or a railroad, the party makes application to signalize a crossing and costs are apportioned on the basis of relative benefits received by each interested party.

Grade Crossing Protection Fund--Receives 50% of amount of 3% railroad car rental tax collected by director of revenue.

NEBRASKA

**Personnel**

Ralph Saathoff, Acting Director; Everett W. Green, Executive Secretary, Charles Faulder, Freight Car Safety Inspector, Motor Transportation Department, Nebraska Public Service Commission, 301 Centennial Mall South, Lincoln 68509.

**Jurisdiction**

Signalization--Commission has jurisdiction except for automatic crossings.

Speed--Commission has authority to control train speeds at crossings, but has never exercised it.

Blockage--Commission has no penalty power, but does contact railroad for explanation on specific occurrences.

**Funding**

Crossing Protection fund is administered by the Department of Roads. Signalization projects are funded 80% by the Department of Roads, 10% by the railroads and 10% by the political subdivision in interest (Statute 39 - 6194 and 6195).

**Problems**

How to make the public more aware of crossing safety.

NEVADA

**Personnel**

Harold Peterson, Director of Transportation, Nevada Public Service Commission, 505 East King Street, Carson City 89701.

**Jurisdiction**

Separation Projects--Commission may order construction of a minimum type of crossing installation, but has no jurisdiction over the actual construction project. May make inspections to see that no less than minimum type ordered is being constructed.

# HIGHWAY-RAILROAD CROSSING SURVEY (continued)

## ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

## NEVADA (continued)

### Funding

Signalization Projects--Nevada Rev. Stat. 704-305 sets forth the procedures which are followed in apportionment of costs as follows:

(1) New grade crossing: 100% to moving party.
(2) New grade separation that will eliminate a grade crossing or reconstruction of existing separation structure: 13% to railroad, 87% to public. (If reconstruction involves adding additional highway lanes, then railroads only liable for 13% of cost of reconstructing structure having the same number of highway lanes.)
(3) Upgrading of signalization: 87% to public; 13% to railroad.
(4) Maintenance of (3) above: 50% to public; 50% to railroad.
(5) Surface maintenance: railroad responsibility extends to two feet outside outermost rail at crossing.
(6) Where federal funds used apportionment according to federal procedures.
(7) Above apportionments may be altered by agreements between the interested parties.

## NEW HAMPSHIRE

### Personnel

Donald L. Jackson, Transportation Director, New Hampshire Public Utilities Commission, 8 Old Suncook Road, Concord 03301.

### Jurisdiction

Separated Crossings--If clearance above rail is less than 22 feet, project must be authorized by Commission.

Speed--Controlled whenever Commission feels required in interest of safety.

Blockage--A statutory limitation of five minutes may be extended by the Commission, after hearing, up to nine minutes.

### Problems

Railroad deferred maintenance and slowdown in installation of automatic devices.

## NEW JERSEY

### Personnel

Joseph Schumacher, Chief-Bureau of Utilities, Department of Transportation, 1035 Parkway Avenue, Trenton 08625.

### Jurisdiction

Transferred to DOT from PUC effective 1/1/79.

### Funding

Crossing protection fund receives annual appropriation from legislature. Spent for grade crossing elimination, improved protection and improvements in crossing surfaces.

Priorities for improvement based on frequency and speeds of trains, degree of visibility in all approach quadrants, volume of vehicular traffic, and accident experience.

### Problems and Solutions

(1) Lack of illumination at crossings with passive protection during hours of darkness and under adverse weather conditions. A possible solution would be installation of high density lights, beamed toward flow of traffic, but located on far side of crossing. The movement of a train would give a strobe effect to the lighting and thus alert drivers to the danger.

(2) An improved method or system of alerting drivers that they are approaching a crossing and that a train is also approaching or using the crossing is needed.
A possible solution would be illuminated, train activated advance warning signs.

## NEW MEXICO

### Personnel

R.L. Platt, Railroad and Utilities Supervisor, New Mexico State Highway Department, P.O. Box 1149, Santa Fe 87501.

F.B. Smith, Director, State Corporation Commission, Transportation Division, P.O. Box 1269, Santa Fe 87503.

Robert Qluintana, Chief Transportation Analyst, State Corporation Commission, Transportation Division, P.O. Box 1269, Santa Fe 87503.

### Jurisdiction

Crossings--Corporation Commission limited to crossings off state highway system. Highway department has complete jurisdiction for those on state system.

Funding--Highway Department budgets about $50,000 per year for grade crossing protection. Roads on state system are funded 100% by the state with federal reimbursement. Crossings off the state system may receive up to 50% from the state on signalization projects.

### Problem

"Money."

## NEW YORK

### Personnel

William G. Galloway, Director, New York State Department of Transportation, 1220 Washington Avenue, State Campus, Albany 12232.

### Jurisdiction

Crossings--Construction and alteration must go through hearings conducted by DOT. Signalization is ordered by the Traffic and Safety Division with no public hearing required.

### Funding

Separation Projects--100% state financing regardless of road system involved with railroad liable for determined net benefits.

Blockage--Section 53-C of state's railroad law prohibits excessive blockage. Municipalities have basic responsibility to enforce, but DOT does investigate complaints and attempts to alleviate the problem.

### Problems

Most crossings involve railroads which are bankrupt. These railroads do not have the personnel to accomplish the installations and do not wish to commit funds for their statutory share for off-federal-aid system crossings. Expect strong future resistance to railroad participation in future maintenance costs which are now borne by the railroads. Union rules prohibiting subcontracting of work have also severely hampered the construction of protection projects.

# HIGHWAY-RAILROAD CROSSING SURVEY (continued)

## ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

## NORTH CAROLINA

### Personnel
I.H. Hinton, Director, Transportation Division, North Carolina Utilities Commission, P.O. Box 991, Raleigh 27602

### Jurisdiction
Separated Crossings--Jurisdiction limited to crossings off the state highway system.

Speed--(Stat. 62-239) Municipalities may set speed restriction by ordinance, but railroad may appeal to Utilities Commission if it feels ordinance is unreasonable. Commission holds hearings and makes decision on matter.

### Funding
Jurisdiction over state highway system roads and links thereto, including proration of costs of relocation and signal devices, is under Highway Commission with a statutory provision that 90% of such costs are to be borne by the Highway Commission.

## NORTH DAKOTA

### Personnel
Wallace M. Owen, Chief Engineer, North Dakota Public Service Commission, State Capitol Building, Bismarck 58505.

### Jurisdiction
Blockage--State law sets limit of 15 minutes on all crossings.

### Funding
$50,000 per year of Highway Department funds are designated for use in paying for the protection improvements on crossings off the state system. Commission determines need and apportionment.

## OHIO

### Personnel
Charles R. Geer, Chief Railroad Inspector, Public Utilities Commission of Ohio, 180 East Broad Street, Columbus 43215.

### Jurisdiction
Blockage--State law sets limit of five minutes, unless the train involved is in continuous motion, as a traffic statute. PUC has general jurisdiction under general powers.

### Funding
$100,000 per month from motor vehicle fuel tax transferred to protection fund, to be expanded to pay public share of costs for reducing hazards at crossings on all road systems. Provides that in some cases rail share may consist of agreement to pay for future maintenance. Signalization projects have been funded 90% to public; 10% to railroad. Priorities established and fund administered by State DOT.

## OKLAHOMA

### Personnel
William Mounger, Utility Engineer, Oklahoma Corporation Commission, Jim Thorpe Capitol Office Building, Oklahoma City 73105.

### Jurisdiction
Blockage--By order in 1972 the Commission made it unlawful for a crossing to be blocked more than 10 minutes unless the train involved was in continuous motion, either forward or backward.

### Funding
Priorities--State Highway Department performs this function. Priority is set up on basis of exposure factor with other factors to develop a hazard index. Factors considered are accident experience, visibility conditions, approach gradient, etc.

Signalization projects are funded on the federal-aid system 100% federal funds; state-aid system 90% state, 10% railroad; county road system 75% public, 25% maximum to railroad.

### Problems and Solutions
(1) Alternate methods for more economical protection should be considered, such as train-activated advance warning signs.

(2) A uniform driving manual showing all types of protection at railroad crossings, similar to that used by Missouri should be published.

## OREGON

### Personnel
David J. Astle, Assistant Commissioner, Rail-Air Program; Craig J. Reiley, Administrator, Rail Rate & Service Division; George E. Hardy, Jr., Administrator, Rail Safety Division; 418 Labor & Industries Building, Salem 97310.

### Juridsiction
Speed--Statewide jurisdiction over speed of trains at all crossings. All aspects relating to public grade crossings.

### Funding
Crossing fund of $600,000 per year from State Highway Fund. $500,000 for improved protection at grade crossings: $100,000 for alterations at separate crossings. Allocation formula: 75% fund, 5% public authority, 20% railroad. Priorities for state and federally funded projects established by PUC.

## PENNSYLVANIA

### Personnel
R. A. Peteritas, Director, Bureau of Rail Transportation, Pennsylvania Public Utility Commission. P. O. Box 3265, Harrisburg 17120.

### Funding
Priorities based on accident frequency, empirical formula not used. Costs are allocated by the Commission in accordance with benefits received by each party in interest.

# HIGHWAY-RAILROAD CROSSING SURVEY (continued)

## ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

### RHODE ISLAND

**Personnel**

Edward F. Burke, Chairman, Public Utilities Commission, 100 Orange Street, Providence 02903.

**Jurisdiction**

Speed--State law allows cities and towns to regulate speed, but this is seldom done. Commission also has power to control speed at crossings.

Blockage--Limited by law to 5-minute maximum.

**Funding**

On private crossings, party making request for new or improved crossing must fund the project. Public crossings are funded by the state Department of Transportation.

**Problems and Solutions**

Rhode Island is involved in project to eliminate main line grade crossings via separated structures and closures.

Some private crossings are still a problem.

Enforcement of present traffic regulations at crossings should be increased with violators brought to court. Advance warning signs are a must.

### SOUTH CAROLINA

**Personnel**

Ben E. Alverson, Chief, Rails and Tariffs, Public Service Commission of South Carolina, P. O. Drawer 11649, Columbia 29211.

**Jurisdiction**

In South Carolina the Highway Department is the state agency which deals with grade crossing construction, alterations, funding, etc. The PSC has authority to order grade crossing changes as it sees fit, but due to the Highway Department's extensive activities in the field, the PSC has not been involved. Blockage--Commission has limited control over crossing blockage which to date has not proved to be very effective.

**Funding**

Jurisdiction with Highway Department.

### SOUTH DAKOTA

**Personnel**

Public Utilities Commission, Capitol Building, Pierre 57501.

### TENNESSEE

**Personnel**

W.B. Pemberton, Director, Railroad Division, Tennessee Public Service Commission, Cordell Hull Building, Nashville 37219.

**Jurisdiction**

Commission's crossing jurisdiction very limited and does apply within municipalities. See Div. III Rules and Regulations Governing Railroads, TPSC, 1971 (§65-1101 to 1105).

### TEXAS

**Personnel**

Owen Kinney, Acting Director of Transportation, Railroad Commission of Texas, P. O. Drawer 12967, Capitol Station, Austin 78711.

**Jurisdiction**

Railroad Commission jurisdiction limited to requiring crossbuck signs at grade crossings. Highway Department apparently has jurisdiction for most crossing matters in Texas.

### UTAH

**Jurisdiction**

Highway-railroad crossing is under the direction of a separate Department of Transportation, located at 748 West South Street, Salt Lake City, Utah.

### VERMONT

**Personnel**

Robert L. Merchant, Transportation Agency, 133 State Street, Montpelier 05602.

**Jurisdiction**

Blockage--Limited by statute (§1381, Title 30) to five minutes.

### VIRGINIA

**Personnel**

William E. Elmore, Division of Railroad Regulation, State Corporation Commission, P.O. Box 1197, Richmond 23209.

Stuart M. Duffer, Railroad Operating Specialist, Division of Railroad Regulation, State Corporation Commission, P.O. Box 1197, Richmond 23209.

**Funding**

Under jurisdiction of Department of Highways and Transportation, Richmond.

## HIGHWAY-RAILROAD CROSSING SURVEY (continued)

## ADDITIONAL INFORMATION, COMMENTS, AND EXPLANATORY NOTES

### WASHINGTON

#### Personnel

A. G. Duclos, Administrator of Transportation, Alan R. Scott, Supervisor, Railroad Section, Washington Utilities and Transportation Commission, 7th Floor, Highway-Licenses Building, Olympia 98504.

#### Jurisdiction

Crossings--Grade crossing construction, alteration and signalization under jurisdiction of Commission except in first class cities.

Separated Crossings--Commission holds occasional formal hearings regarding allocation of cost when parties unable to agree.

Speed--Controlled outside first class cities.

#### Funding

Allocations on Grade Crossing Fund Projects--On existing crossings the crossing fund pays 60%, the public 30%, and the railroad 10%; at new crossings the fund pays 60%, the public 40%; on improvements with closures the public's percentage shall be reduced by 10% for each crossing closed and the fund increased accordingly; annual maintenance is split 25% fund, 75% railroad.

#### Problems

Driver inattention believed to be greatest cause of crossing accidents.

### WEST VIRGINIA

#### Personnel

J. J. Dwyer, Director, Railroad Safety Division, Public Service Commission of West Virginia, Room E-217, State Capitol, Charleston 25305.

#### Jurisdiction

PSC has no jurisdiction in crossing safety field. Refer inquiries to Department of Highways, State of West Virginia, Charleston 25305. [However, when crossings are in unsafe condition, PSC does contact railroad for correction of bad conditions.]

### WISCONSIN

#### Personnel

William R. Brumfield, Administrator, Division of Transport Regulation, Transportation Commission, P.O. Box 7957, Hill Farms State Office Building, Madison 53707.

#### Funding

Crossing protection fund of $2,950,000 annually. State pays railroad 100% of new construction and 50% of maintenance of all signal installation that are in operation in the state. State appropriation from highway user taxes. Priorities established on basis of investigation.

### WYOMING

#### Personnel

William L. Johnson, Director, Rate and Tariff Department, Public Service Commission of Wyoming, Supreme Court Building, Cheyenne 82001.

#### Jurisdiction

Speed--Commission generally allows railraods own rules regarding speed to prevail, but can control where found necessary.

Blockage--Authority to control blockage is inherent in safety and general statutory responsibilities of Commission, but has been exercised infrequently.

#### Funding

Grade crossing fund consists of legislative appropriation of $10,000 per month up to balance of $240,000. These monies are available to defray the expenses of installation of automatic and other safety appliance signals and devices at public railroad grade crossings. The Commission apportions cost--maximum 33⅓% to railroad. The fund money cannot be used at separations.

Table 111 - PRESCRIBED REGULATIONS GOVERNING PRESERVATION OF RECORDS FOR TRANSPORTATION COMPANIES

| Code: CAB (1), ICC (2), with modification (3), without modification (4), own (5), other (6). | The agency has prescribed regulations governing the preservation of records for: | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Motor Freight carriers | | Motor passenger carriers | | | | | |
| AGENCY | Air Carriers | Freight Forwarders | Common Carriers | Contract Carriers | Common Carriers | Contract Carriers | Railroads | Street Railroads | Water Carriers | COMMENTS |
| CAB | (1) | (1) | | | | | | | | Only air freight forwarders. |
| ICC | | (2) | (2) | (2) | (2) | (2) | (2) | | (2) | |
| ALABAMA PSC | | | (3) (6) | (3) (6) | (3) (6) | (3) (6) | (6) | | (6) | |
| ALASKA TC | | | | | | | | | | No regulations governing preservation of records for for transportation companies have been adopted. |
| ARIZONA CC | (5) | (5) | (5) | (5) | (5) | (5) | | | | ARS. 40-655. |
| ARKANSAS TC | | | | | | | | | | No regulations prescribed. |
| CALIFORNIA PUC | | | (6) | | (6) | (6) | (6) | (6) | (6) | As required by General Order 28 and various tariffs. |
| COLORADO PUC | (5) | | (5) | (5) | (5) | (5) | (5) | | | |
| CONNECTICUT PUC | | | (2) | (2) | (2) | (2) | (5) | | | |
| DELAWARE TA | | | | | (2) (3) | (2) (3) | (2) (3) | (2) (3) | | |
| FLORIDA PSC | (1) | (2) (4) | (2) (4) | | (2) (4) | | | | | |
| GEORGIA PSC | | | (5) | (5) | (5) | (5) | (5) | | | |
| HAWAII PUC | | | (5) | (5) | (5) | (5) | | | (5) | Hawaii PUC G.O.5(motor) and G.O.5-A(water). |
| IDAHO PUC | | | | | | | | | | No regulations governing the preservation of records for transportation companies have been adopted. |
| ILLINOIS CC | | | (2) | (2) | (2) | | (2) | | (2) | |
| INDIANA PSC | | (2) | (2) | (2) | (2) | (2) | (2) | | | |
| IOWA TRB | | | (5) | (5) | (5) | (5) | (2) | | | |
| KANSAS SCC | | | | | | | | | | The SCC adopted the drivers' log for all motor carriers and has informally advised the intrastate railroads to maintain their records for five years. |
| KENTUCKY DOT (BVR) | | | (5) | (5) | (5). | (5) | | | | Air carriers, freight forwarders, railroads, and street railroads are not regulated by the DOT (BVR). |
| KENTUCKY RC | | | | | | | | | | |
| LOUISIANA PSC | | | (2) (3) | (2) (3) | (2) (3) | (2) (3) | (2) | | | |
| MAINE PUC | | | | | | | | | | Drivers' logs and maintenance records must be maintained for one year. |
| MARYLAND PSC | | | | | | | | | | The PSC has prescribed its own regulations for transportation companies under its jurisdiction. |
| MASSACHUSETTS DPU | | | | | (5) | (5) | (5) | (5) | | |
| MICHIGAN PSC | | | | | | | | | | |
| MINNESOTA | | | | | | | | | | The PSC and DOT have prescribed the ICC regulations, without modification, for transportation companies under its jurisdiction. |
| MISSISSIPPI PSC | | | (2) (4) | (2) (4) | (2) (4) | | (2) (4) | | | |
| MISSOURI PSC | | | (2) (4) | | (2) (4) | | (2) (4) | | | |
| MONTANA PSC | | | (5) | (5) | (5) | (5) | (5) | | | |
| NEBRASKA PSC | | | | | | | | | | The PSC has a five-year requirement for the preservation of records for transportation companies. |
| NEVADA PSC | | | | | | | | | | |
| NEW HAMPSHIRE PUC | | | (2) | (2) | (5) | (5) | (2) | NA | NA | |
| NEW JERSEY BPU | | | | (2) | (2) | (2) | (2) | | | |
| NEW MEXICO SCC | | | | | | | | | | The SCC has not established any rule or regulation for the preservation of records for transportation companies beyond that which might be established by State or Federal Statute. |
| NEW YORK DOT | | | (2) (4) | (2) (4) | (2) (4) | | (2) (4) | | | |
| NORTH CAROLINA UC | | | (5) | (5) | (5) | (5) | (5) | | | |
| NORTH DAKOTA PSC | | | | | | | | | | The PSC has not established any rule or regulation for the preservation of records for transportacion companies beyond that which might be established by State or Federal Statute. |
| OHIO PUC | | | | | | | (2) | | | |
| OKLAHOMA CC | | | (2) | (2) | (2) | (2) | (2) | | | |
| OREGON PUC | | | (5) | (5) | (5) | (5) | (5) | | | |
| PENNSYLVANIA PUC | | (2) | (2) | (2) | (2) | (2) | (2) | | | |
| PUERTO RICO PSC | | | | | | | | | | No regulations prescribed. |
| RHODE ISLAND PUC | | | (5) | (5) | (5) | (5) | (2) | NA | (5) | |
| SOUTH CAROLINA PSC | | | (5) | (5) | (5) | (5) | (2) (3)<br>(5) | | | |
| SOUTH DAKOTA PUC | | | (5) | (5) | (5) | (5) | | | | |
| TENNESSEE PSC | | | | | | | | | | The PSC does not have regulations governing preservation of records. |
| TEXAS AC | (5) | | | | | | | | | |
| TEXAS RC | | | (5) | (5) | (5) | (5) | (5) | | | |
| UTAH PSC | | | (2) | (2) | (2) | (2) | | | | |
| VERMONT TA | | | | | | | | | | No regulations prescribed. |
| VIRGIN ISLANDS PSC | | | | | | | | | | No regulations prescribed. |
| VIRGINIA SCC | | | (5) | (5) | (5) | | | | | |
| WASHINGTON UTC | | | (2) (5) | (2) (5) | (2) (5) | | | | | |
| WEST VIRGINIA PSC | | | | | | | | | | No regulations prescribed. |
| WISCONSIN TC | | | (5) | (5) | (5) | (5) | (5) | | | Wisconsin Administrative Code PSC 13. |
| WYOMING PSC | (5) | | (5) | (5) | (5) | (5) | (2) (4) | | | |

Table 112 - PRESCRIBED SYSTEMS OF ACCOUNTS FOR TRANSPORTATION COMPANIES

| Code: CAB(1), ICC(2), with Modification(3), without Modification(4), Own(5), Other (6), None (7). | The Agency has prescribed the systems of accounts as indicated for: | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | | | Motor Freight Carriers | | Motor Passenger Carriers | | | | | |
| AGENCY | Air Carriers | Freight Forwarders | Common Carriers | Contract Carriers | Common Carriers | Contract Carriers | Railroads | Street Railroads | Water Carriers | COMMENTS |
| CAB | (1) | (1) | | | | | | | | Only air freight forwarders who have more than $10 million in gross air freight forwarder revenues. |
| ICC | | (2) | (2) | (2) | (2) | (2) | (2) | | (2) | |
| ALABAMA PSC | | (5) | (2)(5) | (2)(5) | (2)(5) | (2)(5) | (2)(3) | | (5) | |
| ALASKA TC | (5) | (5) | (2)(5) | (2)(5) | (5) | | | | (5) | |
| ARIZONA CC | (7) | (2) | (2) | (7) | (2) | (7) | (2) | (7) | (7) | |
| ARKANSAS TC | | | | | | | | | | No system of accounts has been prescribed. |
| CALIFORNIA PUC | | | (2)(3) | (2)(3) | (2)(4) | (2)(4) | (2)(4) | (2)(4) | (2)(4) | |
| COLORADO PUC | (1) | | (2)(3) | (2)(3) | (2)(3) | (2)(3) | (2) | | | |
| CONNECTICUT PUC | | | (2) | (2) | | | | | | |
| DELAWARE TA | | | | | (2)(3) | | (2)(3) | (2)(3) | | |
| FLORIDA PSC | (1)(6) | (2)(4) | (2)(4) | | (2)(4) | | (2)(4) | | | |
| GEORGIA PSC | | | (2)(5) | (2)(5) | (2)(5) | (2)(5) | (2) | | | |
| HAWAII PUC | | | (5) | (5) | (5) | (5) | | | (5) | Hawaii PUC G.O.5(Motor) and G.O.5-A(Water). |
| IDAHO PUC | | | | | | | | | | The PUC has prescribed its own system of accounts for all transportation companies under its jurisdiction except Class I railroads. |
| ILLINOIS CC | | | (2) | (2) | | | (2) | | | |
| INDIANA PSC | | (2) | (2) | (2) | (2) | (2) | (2) | | | |
| IOWA TRB | | | (3) | | (3) | | (2) | | | |
| KANSAS SCC | | | (5) | (5) | (5) | (5) | (2)(3) | | | |
| KENTUCKY DOT (BVR) | | | (2)(3) | (2)(3) | (2)(3) | (2)(3) | | | | |
| KENTUCKY RC | | | | | | | | | | |
| LOUISIANA PSC | | | (2)(3) | (2)(3) | | | (2) | | | |
| MAINE PUC | | | (2)(3) | (2)(3) | (2)(3) | (2)(3) | (2)(3) | | (2)(3) | |
| MARYLAND PSC | (5) | | (2)(3) | (2)(3) | (2) | | (2) | | | |
| MASSACHUSETTS DPU | | | | | | | | | | |
| MICHIGAN PSC | (1)(3) | | (2)(3) | (2)(3) | (2)(3) | (2)(3) | | | | |
| MINNESOTA | | | | | | | | | | The PSC and DOT have prescribed their own system of accounts for smaller motor carriers and the ICC system of accounts for Class I and II carriers. |
| MISSISSIPPI PSC | | | (2)(4) | (2)(4) | (2)(4) | | (2)(4) | | | |
| MISSOURI PSC | | (2)(4) | (2)(3) | | (2)(3) | | (2)(3) | | | ICC system of accounts, with modification, for Class I and II common carriers; own system of accounts for Class III motor carriers. |
| MONTANA PSC | | | (5) | (5) | (5) | (5) | (5) | | | Railroad system in process of revision. |
| NEBRASKA PSC | | | (2) | (2) | (2) | (2) | (2) | | | An annual report is the only requirement for intrastate air carriers. |
| NEVADA PSC | | | | | | | | | | |
| NEW HAMPSHIRE PUC | (7) | (7) | (7) | (7) | (5) | (5) | (2) | NA | NA | |
| NEW JERSEY BPU | | | | (2) | (2) | (2) | (2) | | | |
| NEW MEXICO SCC | | | (2) | (2) | (2) | (2) | (2) | | | |
| NEW YORK DOT | | | (2)(3)<br>(5) | (2)(3)<br>(5) | (2)(3)<br>(5) | (7) | (2)(3) | | | |
| NORTH CAROLINA UC | | | (2) | (2) | (2) | (2) | (2) | | | |
| NORTH DAKOTA PSC | | | (2)(4) | (2)(4) | (2)(4) | (2)(4) | (2)(4) | | | |
| OHIO PUC | | | (7) | (7) | (7) | (7) | (7) | | | |
| OKLAHOMA CC | | | (2) | (2) | (2) | (2) | (2) | | | |
| OREGON PUC | | | (2) | (2) | (2) | (2) | (2)(3) | | | |
| PENNSYLVANIA PUC | (7) | (2) | (2) | (2) | (2) | (2) | (2) | (2) | (7) | |
| PUERTO RICO PSC | | | | | | | | | | No system of accounts has been prescribed. |
| RHODE ISLAND PUC | (1) | (2) | (2) | (2) | (2) | (2) | (2) | NA | (2) | |
| SOUTH CAROLINA PSC | | | (2) | (2) | (2) | (2) | (2) | | | The PSC does not have prescribed systems of accounts except as indicated. |
| SOUTH DAKOTA PUC | | | (5) | (5) | (5) | (5) | (5) | | | |
| TENNESSEE PSC | | | (2) | (2) | (2) | (2) | (7) | | | The PSC does not have prescribed systems of accounts for companies except as indicated. |
| TEXAS AC | (5) | | | | | | | | | |
| TEXAS RC | | | (5) | (5) | (5) | (5) | (5) | | | |
| UTAH PSC | | | (2) | (2) | (2) | (2) | | | | |
| VERMONT TA | | | (2) | | (2) | | (2) | | | |
| VIRGIN ISLANDS PSC | | | | | (5) | | | | | |
| VIRGINIA SCC | | | | | | | (2) | | | |
| WASHINGTON UTC | | | (5)(2) | (5)(2) | (5)(2) | | (2) | | (5)(2) | The WUTC has prescribed the ICC system of accounts for Class I and II motor freight carriers, Class I motor passenger carriers, and for Class A railroads. It prescribed its own system of accounts for Class III and IV motor carriers, and for Class II and III motor passenger carriers. |
| WEST VIRGINIA PSC | | | (2)(4) | (2)(4) | (2)(4) | (2)(4) | (2)(4) | | | |
| WISCONSIN TC | | | (2)(3) | (2)(3) | (2)(3) | | (2)(3) | | | |
| WYOMING PSC | (5) | | (7) | (7) | (7) | (7) | (7) | | | |

# PART V

# MISCELLANEOUS REGULATORY TABLES

Part V contains national tables treating miscellaneous subjects such as commissioner terms and methods of selection, summary of utility and transportation regulation, agency salaries, assessment of costs of regulation, annual budget, judicial review, eligibility to practice before the agency, assistance to intervenors, news media coverage, administrative law judges, and consumer representation.

## SECTION U

## OTHER FUNCTIONS PERFORMED BY AGENCIES, AND OTHER BUSINESSES UNDER AGENCY JURISDICTION

Table 113 lists the functions performed by agencies other than regulation of the various utilities within their jurisdiction. Many of the agencies perform such functions as assessment of utilities for tax purposes, collection of motor vehicle tax, enforcement of "blue sky" laws, and regulation of insurance.

Table 114 shows the extent of agency jurisdiction over docks and wharves, toll roads and bridges, warehouses, weights and measures, street railways, water carriers and other businesses.

Table 115 provides a brief summary of fields of regulation covered by the agency.

## Table 113 - OTHER FUNCTIONS PERFORMED BY AGENCIES

| AGENCY | Assessment of utilities for tax purposes | Tax equalization of utility property | Collection of motor vehicle tax | Enforcement of blue sky laws | Regulation of insurance | Other |
|---|---|---|---|---|---|---|
| ALABAMA PSC | | | | | X 1/ | |
| ALASKA PUC | | | | | | |
| ALASKA TC | | | 6/ | X | 9/ | Right of entry, safety, economic and rate regulation. 11/ |
| ALBERTA PMC | | | | | | Processing of oil and natural gas payments: administration of natural gas export flowback fund: provide national and international energy information to Energy Minister. |
| ALBERTA PUB 16/ | | | | | | Under certain circumstances determination of compensation in expropriation matters. |
| ARIZONA CC | | | X | | | |
| ARKANSAS PSC | X | X | | | | |
| ARKANSAS TC | | | | | | |
| CALIFORNIA PUC | | | | | | Determination of just compensation in condemnation proceedings. |
| COLORADO PUC | | | | | | |
| CONNECTICUT PUC | | | | | X 8/ | |
| DELAWARE PSC | | | | | | |
| D.C. PSC | | | 2/ | 3/ | 4/ | |
| FLORIDA PSC | | | X | | X 8/ | |
| GEORGIA PSC | | | | | | None |
| GUAM PUC | | | | | | None |
| HAWAII PUC | X 13/ | | | | 14/ | |
| IDAHO PUC | 5/ | | 6/ | | 9/ | |
| ILLINOIS CC | | | 6/ | | | |
| INDIANA PSC | | | 6/ | | 9/ | |
| IOWA SCC | | | | | | |
| IOWA TRB | | | | | | |
| KANSAS SCC | | | X | X | | |
| KENTUCKY DOT (BVR) | | | X | | 9/ | |
| KENTUCKY ERC | | | | | | |
| KENTUCKY RC | | | | | | |
| KENTUCKY URC | | | | | | |
| LOUISIANA PSC | | | 6/ | | X 8/ | 12/ |
| MAINE PUC | | | | | | |
| MARYLAND PSC | | | | | | Collect certain fees. |
| MASSACHUSETTS DPU | X | | | | | |
| MICHIGAN PSC | | | 6/ | 7/ | | |
| MINNESOTA PSC | | | | | | |
| MISSISSIPPI PSC | | | X | | | |
| MISSOURI PSC | 5/ | | 5/ | | 9/ | |
| MONTANA PSC | | | X | | 9/ | |
| NEBRASKA PSC | | | | | | |
| NEVADA PSC | X | | | | 14/ | |
| NEW HAMPSHIRE PUC | | | | | | Determination of just compensation in condemnation proceedings. Acquisition of rail property. |
| NEW JERSEY BPU | | | | | | |
| NEW MEXICO PSC | | | | | | |
| NEW MEXICO SCC | | | | | X | |
| NEW YORK DOT | | | | | X 1/ | |
| NEW YORK PSC | | | | | | |
| NORTH CAROLINA UC | | | | | X 1/ | |
| NORTH DAKOTA PSC | | | | | | Auctioneers: Weight and Measure Dept.: Spoil Bank Reclamation, energy conversion and transmission facility siting. |
| NOVA SCOTIA PUB | | | | | X 17/ | |
| OHIO PUC | | | 9/ | | X 1/ | |
| OKLAHOMA CC | | | | | | None |
| ONTARIO EB | | | | | | |
| ONTARIO TSC | | | | | | |
| OREGON PUC | | | X | | 1/ | |
| PENNSYLVANIA PUC | | | | | | |
| PUERTO RICO PSC | | | | | | |
| QUEBEC EGB | | | | | | Collection of tax based on sales dollars. Issues permits to distribute electricity. |
| QUEBEC PSB | | | | | | |
| RHODE ISLAND PUC | X | | | | | |
| SOUTH CAROLINA PSC | | | X | | 9/ | |
| SOUTH DAKOTA PUC | | | | | | |
| TENNESSEE PSC | X | | | | | |
| TEXAS AC | | | | | | Airport construction grants & engineering. |
| TEXAS PUC | X | | | | | |
| TEXAS RC | X | | | | 9/ | |
| UTAH PSC | | | | | | |
| VERMONT PSB | X 15/ | | | | | |
| VIRGIN ISLANDS PSC | | | | | | |
| VIRGINIA SCC | X | X | X | X | X | Regulation of banks, chartering of corp. |
| WASHINGTON UTC | | | 10/ | | | |
| WEST VIRGINIA PSC | | | | | | |
| WISCONSIN PSC | | | | | | |
| WISCONSIN TC | | | | | | |
| WYOMING PSC | | | | | X 11/ | |

## Footnotes - Table 113 - OTHER FUNCTIONS PERFORMED BY AGENCIES

1/ Carriers must file proof of cargo and liability insurance.
2/ Bus mileage tax only.
3/ By the Securities Division.
4/ Public vehicles except bus.
5/ Regulatory fees.
6/ Filing fees and annual fees are assessed against motor carriers; however, these are not considered taxes.
7/ Securities.
8/ Motor carrier.
9/ Intrastate and interstate motor carrier must file proof of insurance.
10/ Bux mileage tax.
11/ Includes motor carriers and air carriers, in intrastate and in interstate to a limited degree.
12/ Adjudication of pilotage fees for river and barge pilots. L.R.S.34: 1121.
13/ Public utility fees only.
14/ Intrastate motor carriers must file proof of insurance.
15/ The PSB receives property valuation forms which in turn are forwarded to the towns in which the property is located.
16/ Approves supply contracts entered into by municipal utilities. Hears appeals against cash determinations by Alberta PMC and complaints against charges of Alberta Gas Transit Line Company Limited.
17/ Automobile insurance rates are filed with Board and Board may inquire into and adjust rates.

Table 114 - OTHER BUSINESSES UNDER AGENCY JURISDICTION

| Agency | Other Businesses Under Agency Jurisdiction - | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|
| | Number Steam Heating Companies | Docks and Wharves | Securities | Toll Roads and Bridges | Warehouses | Weights and Measures | Street Railways | Water Carriers | Other |
| CAB | | | | | | | | | |
| FCC | | | | | | | | | Miscellaneous microwave & specialized common carriers |
| FERC | | | | | | | | | Water Power Projects |
| ICC | | | | | | | | X | |
| ALABAMA PSC | 1 | | | | | | | | |
| ALASKA PUC | 1 | | | | | | | | |
| ALASKTA TC | | | | | | | | X 7/ | None |
| ALBERTA PMC | | | | | | | | | 11/ |
| ALBERTA PUB | 0 | | | | | | | X | 9/ |
| ARIZONA CC | 0 | | 2,933<br>358 1/ | | | | X | | Corporation |
| ARKANSAS PSC | | | | | | | | | |
| ARKANSAS TC | | | | | | | | | |
| CALIFORNIA PUC | 2 | X | | X 2/ | 295 | | 0 | 425 | Carloading: For-hire vessel (property) |
| COLORADO PUC | 1 | | | | | | | 0 | None |
| CONNECTICUT PUC | 0 | | | | | | | | None |
| DELAWARE PSC | 0 | | | | | | | | New Castle Oil Company |
| D.C. PSC | 0 | | X | | | | | | |
| FLORIDA PSC | 0 | | X | | | | X | X | |
| GEORGIA PSC | 0 | | | | | | | | |
| GUAM PUC | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | None |
| HAWAII PUC | 0 | | | | | | | 1 | |
| IDAHO PUC | 0 | | | | | | | 1 | |
| ILLINOIS CC | 1 | | | | | | | 6 | |
| INDIANA PSC | 2 | | | | | | | | None |
| IOWA SCC | 2 | | | | 910 4/ | | | | Grain dealers |
| IOWA TRB | | | X | | | | | | Motor vehicle dealer franchises |
| KANSAS SCC | 0 | | X | | | | 0 | 0 | |
| KENTUCKY DOT (BVR) | | | | | | | | | None |
| KENTUCKY ERC & URC | | | | | | | | | None |
| KENTUCKY RC | | | | | | | | | |
| LOUISIANA PSC | 0 | | | | | | | X | Railway Express Agency |
| MAINE PUC | | | | | | | | 2 | |
| MARYLAND PSC | 1 | | | 1 3/ | 0 | | 0 | 9 | |
| MASSACHUSETTS DPU | | | | | | | 6 | | |
| MICHIGAN PSC | 2 | | | | | | | 10 | |
| MINNESOTA DOT | | | | | | | | | Local cartage carriers<br>Building Movers<br>Specialized Transportation Services |
| MINNESOTA PSC | 0 | | | | | 60,000 est. | | | |
| MISSISSIPPI PSC | 0 | | | | | | | | None |
| MISSOURI PSC | 4 | | | | | | 0 | | Recreational & mobile home manufacturers: Railway Express Agency |
| MONTANA PSC | 0 | | | | | | X | X | |
| NEBRASKA PSC | 0 | | | | 757 5/ | MT Program | | | |
| NEVADA PSC | | | | | 19 | | | | |
| NEW HAMPSHIRE PUC | 1 | | X | X | | | | X | |
| NEW JERSEY BPU | 0 | | | | | | | | Solid waste |
| NEW MEXICO PSC | | | | | | | | | None |
| NEW MEXICO SCC | | | | | | | 0 | X | |
| NEW YORK DOT | | | | | | | | | None |
| NEW YORK PSC | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | None |
| NORTH CAROLINA UC | 0 | | | | | | | 1 8/ | None |
| NORTH DAKOTA PSC | 0 | | | | 600 | 550 | | | |
| NOVA SCOTIA PUB | | | | | | | | | Municipal boundaries; gasoline and fuel oil (licensing or receiving); salvage yard; motor carriers. |
| OHIO PUC | 3 | | | 1 3/ | | | | | Artificial gas |
| OKLAHOMA CC | | | | | | | X | | Depot companies |
| ONTARIO EB | | | | | | | | | None |
| ONTARIO TSC | | | | | | | | | None |
| OREGON PUC | 1 | | | | | | | | None |
| PENNSYLVANIA PUC | 7 | 1 | X | 0 | | 0 | 0 | 10 | |
| PUERTO RICO PSC | | | | | 49 | | | 19 | Travel agencies; dry dock & transportation brokers 10/ |
| QUEBEC EGB | 12 | | | | | | | | |
| QUEBEC PSB | 0 | | | | | | | | |
| RHODE ISLAND PUC | | | | | | | X | 2 | |
| SOUTH CAROLINA PSC | | | | | | | | | None |
| SOUTH DAKOTA PUC | 0 | | | | 6/ | | X | | Truck grain buyers |
| TENNESSEE PSC | 0 | | | | | | | | None |
| TEXAS AC | | | | | | | | | None |
| TEXAS PUC | | | | | | | | | None |
| TEXAS RC | | | | | | | | | |
| UTAH PSC | | | | | | | | | None |
| VERMONT PSB | | | | | | | | | |
| VIRGIN ISLANDS PSC | | X | | | 1 | | | 1 | |
| VIRGINIA SCC | 0 | | X | X | | | X | X | |
| WASHINGTON UTC | 0 | 6 | | | 150 | | 0 | 11 7/ | 109 garbage & refuse collection companies |
| WEST VIRGINIA PSC | | | | | 4 | | | 7 | Ferries |
| WISCONSIN PSC | 3 | | | | | | | | Reservoir companies |
| WISCONSIN TC | | | | | | | | | |
| WYOMING PSC | | | | | | | | | |

## Footnotes - Table 114 - OTHER BUSINESSES UNDER AGENCY JURISDICTION

1/ Salespeople.

2/ Regulation of toll-bridge corporations.

3/ Bridge company.

4/ Grain warehouses.

5/ For license purposes.

6/ Public storage warehouses--49 general storage licenses. Public grain warehouses--546 licenses issued to grain elevators. Public terminal grain warehouses--only one (license for two-year period).

7/ Not including state owned ferries.

8/ Franchised but not active-motor boat common carrier.

9/ Under the authority vested by the Dairy Board Act being Chapter 234 of the Revised Statutes of Alberta 1970, the Board may prescribe for milk the minimum prices (a) that are to be paid to producers by processors or distributors; (b) that are to be charged by distributors, processors, and sellers thereof; and (c) that a distributor carrying on businesses in a controlled area is to charge when furnishing, delivering, keeping for sale or selling milk outside a controlled area.

10/ Also liquefied petroleum gas bottling plants and distributors.

11/ Sets wellhead price of crude oil monthly. Accepts delivery and sells provincial royalty share of petroleum.

Table - 115

SUMMARY OF UTILITY AND TRANSPORTATION REGULATION

| Agency | Fields of Regulation Covered by the Agency - |
|---|---|
| Alabama PSC | Privately-owned or investor-owned electric, gas, water, and steam heating utilities; radio common carriers, transportation companies, railroads; telephone, and telegraph companies; intrastate air carriers; motor vehicle carriers of persons and property for compensation. |
| Alaska PC | Common carrier petroleum pipelines and common carrier gas pipelines. |
| Alaska PUC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; cable television; radio-common carriers; water (municipal); sewer (investor and municipal); steam heating companies. |
| Alaska TC | Water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; motor freight carriers; freight forwarders. |
| Alberta PMC | Gas pipelines; gas. |
| Alberta PUB | Electric (private); gas (private); cooperative electric utilities; telephone; water (investor); sewer (municipal); water carriers. |
| Arizona CC | Electric (private); gas (private); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); securities; street railways; intrastate air transportation; motor passenger carriers; household goods movers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; ambulances-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads; securities; street railways. |
| Arkansas PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; water (investor); sewer (investor). |
| Arkansas TC | Intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads. |
| British Columbia METC | |
| California PUC | Electric (private); gas (private); telephone; radio common carriers; telegraph; water (investor); sewer (investor) steam heating companies; docks and wharves; toll bridge corporation; warehouses; water carriers; intrastate air transportation; motor passenger carriers; household goods movers-rate jurisdiction; parcel carriers-rate jurisdiction; motor freight carriers; freight forwarders; railroads. |
| Colorado PUC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); steam heating companies; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor carriers engaged in garbage collection; taxicabs-rate jurisdiction; motor freight carriers; railroads. |
| Connecticut PUC | Electric (private); gas (private); telephone; cable television; telegraph; water (investor); water (municipal); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers. |
| Delaware DOT | Legislation as of August 1979 transferred the regulation of taxicabs from the Delaware PSC to the Delaware State Department of Transportation. |
| Delaware PSC | Electric (private); gas (private); cooperative electric utilities; telephone; cable television; radio-common carriers; telegraph; water (investor). |
| D.C. PSC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; taxicabs; enforcement of blue-sky laws. |

## Table - 115

## SUMMARY OF UTILITY AND TRANSPORTATION REGULATION
(Continued)

| Agency | Fields of Regulation Covered by the Agency - |
|---|---|
| Florida PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities-basic rate structure regulation only; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); securities; street railways; water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; air terminal buses-rate regulation; emergency tow trucks-rate regulation; motor freight carriers; freight forwarders; railroads. |
| Georgia PSC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads. |
| Guam PUC | Public transportation, freight, telephone and telegraph, power, heat, water, gas, oil, warehousing, sewage, intra-island air transportation, taxis, and buses. The PUC regulates public as well as private operations in the aforementioned areas. |
| Hawaii PUC | Electric (private); gas (private); telephone; radio-common carriers; water (investor); sewer (investor); water carriers; motor passenger carriers; household goods movers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers. |
| Idaho PUC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; water (investor); water carriers; intrastate air transportation; motor passenger carriers; household goods movers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads. |
| Illinois CC | Electric (private); gas (private); gas (municipal); telephone; radio-common carriers; telegraph; water (investor); sewer (investor); water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads. |
| Indiana PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); water (municipal); sewer (investor); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads. |
| Iowa SCC | Electric (private); gas (private); gas and electric (municipal)-regulation is limited to service rules, plant siting and safety matters--municipal utilities are exempt from rate regulation in accordance with Chapter 476, Code of Iowa; cooperative electric utilities; telephone; telegraph; water (investor); steam heating companies; grain warehouses; grain dealers. |
| Iowa TRB | Securities; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; emergency tow trucks-partial jurisdiction; motor freight carriers-limited jurisdiction; railroads. |
| Kansas SCC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads; enforcement of blue sky law. |
| Kentucky DOT(BVR) | Motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; airport limousines; motor freight carriers. |
| Kentucky ERC (established effective April 1, 1979) | Electric (private); gas (private); cooperative electric utilities. Note: If a utility which is an energy utility also renders service as a non-energy utility, it shall be regulated by the Energy Commission with respect to all of its rates and services. |

Table - 115

SUMMARY OF UTILITY AND TRANSPORTATION REGULATION
(Continued)

| Agency | Fields of Regulation Covered by the Agency |
|---|---|
| Kentucky RC | The Kentucky RC possesses broad powers to regulate intrastate railroad, express and water transportation companies. These include regulation of rates, issuance of certificates, authorizing or directing establishment or curtailment of services, receiving and filing carrier reports, and enforcing Kentucky laws applicable to rail, express and water service. |
| Kentucky URC | Telephone; radio-common carriers; telegraph; water (investor); water districts; water associations; sewer (investor); sewer districts. |
| Louisiana PSC | Electric (private); gas (private); telephone; radio common carriers; telegraph; water (investor); sewer (investor); water carriers; railway express agency; motor passenger carriers; household goods carriers-rate regulation; parcel carriers-rate jurisdiction; motor freight carriers; railroads. |
| Maine PUC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water utilities (investor); water utilities (municipal); water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; motor freight carriers; railroads. |
| Maryland PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); steam heating companies; water carriers; motor passenger carriers; air terminal buses-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads. |
| Massachusetts DPU | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; water (investor); street railways; motor passenger carriers; household goods carriers-rate jurisdiction; baggage carriers-rate jurisdiction; emergency tow trucks-rate jurisdiction; regulation of motor carriers engaged in garbage collection; motor freight carriers; railroads; parcel carriers (rate-jurisdiction). |
| Michigan PSC | Electric (private); gas (private); cooperative electric utilities; telephone; radio-common carriers; water (investor); steam heating companies; water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; airport limousines; emergency tow trucks-rate jurisdiction outside the exempt zone; motor freight carriers. |
| Minnesota DOT | Intrastate air transportation-limited; motor passenger carriers-safety regulation only; household goods carriers-rate jurisdiction; motor freight carriers-limited; railroads-limited. |
| Minnesota PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; weights and measures; motor passenger carriers; motor freight carriers-limited; railroads-limited. |
| Mississippi PSC | Electric (private); gas (private); gas (municipal); telephone; radio-common carriers; telegraph; water (investor); water (municipal districts); sewer (investor); sewer (municipal); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads. |
| Missouri PSC | Electric (private); gas (private) plus municipal gas utilities for safety; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); steam heating companies; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads. |
| Montana PSC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; water (investor); water (municipal); street railways; water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; taxicabs-rate jurisdiction; motor carriers engaged in garbage collection; motor freight carriers; railroads, water carriers. |

## Table - 115

### SUMMARY OF UTILITY AND TRANSPORTATION REGULATION
(Continued)

| Agency | Fields of Regulation Covered by the Agency - |
|---|---|
| National Energy Board (Canada) | |
| Nebraska PSC | Telephone; radio-common carriers; telegraph; public warehouse licenses; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; taxicabs; motor freight carriers; grain warehouse licenses; railroads. |
| Nevada PSC | Electric (private); gas (private); cooperative electric utilities; telephone; cable television; radio-common carriers; telegraph; water (investor); sewer (investor); warehouses; motor passenger carriers; household goods carriers-rate regulation; parcel carriers-rate regulation; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads. |
| New Hampshire PUC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; telegraph; radio-common carriers; water (investor); water (municipal); steam heating companies; securities; toll roads and bridges; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; emergency tow trucks-rate jurisdiction; regulation of motor carriers engaged in garbage collection-limited; motor freight carriers; railroads. |
| NEW JERSEY BPU | Electric (private); gas (private); gas (municipal)-however, no municipal gas utilities within the State; electric (municipal); cooperative electric utilities; telephone; cable television; telegraph; water (investor); water (municipal); sewer (investor). |
| NEW JERSEY DOT | Motor passenger carriers; household goods carriers-rate jurisdiction; railroads. |
| New Mexico PSC | Electric (private); gas (private); gas and electric (municipal)-NMPSC only allowed to regulate if municipality petitions for such regulation--there has not been any such petition; cooperative electric utilities; water (investor). |
| New Mexico SCC | Telephone; radio-common carriers; telegraph; water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; ambulances-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; freight forwarders; railroads. |
| New York PSC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; water (investor); steam heating companies. |
| New York SDOT | Airfields; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses over eight passengers-rate jurisdiction; motor freight carriers; railroads. |
| North Carolina UC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; water (investor); sewer (investor); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads; transportation brokers; certificate of convenience and necessity for housing authorities; and flume companies which exercise the right of eminent domain. |
| North Dakota PSC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; weights and measures; warehouse; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; taxicabs (rate jurisdiction); motor freight carriers; railroads. |
| Nova Scotia PUB | Electric, telephone and water utilities; motor carriers (freight and passenger); gasoline and fuel oil pricing; automobile insurance, municipal boundaries, salvage yards. |
| Ohio PUC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; water (investor); sewer (investor); steam heating companies; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses outside exempt zones; motor freight carriers; railroads. |

## Table - 115

## SUMMARY OF UTILITY AND TRANSPORTATION REGULATION
(Continued)

| Agency | Fields of Regulation Covered by the Agency - |
|---|---|
| Oklahoma CC | Electric (private); gas (private); cooperative electric utilities; telephone; radio-common carriers; telegraph; street railways; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; emergency tow trucks-rate jurisdiction; motor freight carriers; railroads-limited; private water carriers. |
| Ontario EB | Gas (private). |
| Ontario TSC | Telephone. |
| Oregon PUC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; water (investor); steam heating companies; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; freight forwarders; railroads. |
| Pennsylvania PUC | Electric (private); gas (private); gas and electric (municipal)-rates and service apply only to service rendered beyond a municipality's corporate limits telephone; radio-common carriers; telegraph; water (investor); water (municipal); sewer (investor); sewer (municipal); steam heating companies; docks and wharves; securities; water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; buses-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; freight forwarders; railroads. |
| Puerto Rico PSC | Gas (private); cable television; water (investor); warehouses; water carriers; motor passenger carriers; ambulances-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers. |
| Quebec EGB | Electric (private); gas (private); steam heating companies. |
| Quebec PSB | Telephone; closed circuit pay television undertakings. |
| Rhode Island PUC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; cable television; telegraph; water (investor); water (municipal); street railways; water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads. |
| South Carolina PSC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; water (investor); sewer (investor); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; taxicabs-rate jurisdiction; motor freight carriers; railroads. |
| South Dakota PUC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; street railways; warehouses-for license purposes; grain delaers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads. |
| Tennessee PSC | Electric (private); gas (private); telephone; radio-common carriers; telegraph; water (investor); sewer (investor); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads. |
| Texas AC | Intrastate air transportation. |
| Texas PUC | Electric (private); electric (municipal)-appellate jurisdiction over municipal rates outside city limits; cooperative electric utilities; telephone; radio-common carriers; water (investor); sewer (investor). |

Table - 115

SUMMARY OF UTILITY AND TRANSPORTATION REGULATION
(Continued)

| Agency | Fields of Regulation Covered by the Agency - |
|---|---|
| Texas RC | Gas (private); gas (municipal); motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; motor freight carriers; railroads. |
| Utah PSC | Electric (private); gas (private); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads. |
| Vermont PSB | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; cable television; telegraph; water (investor). |
| Virgin Islands PSC | Electric (private); telephone; radio-common carriers; water (investor); docks and wharves; warehouses; water carriers; motor passenger carriers. |
| Virginia SCC | Electric (private); gas (private); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); sewer (investor); securities; toll roads and bridges; street railways; water carriers; intrastate air transportation; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; motor freight carriers; railroads; enforcement of blue sky laws. |
| Washington UTC | Electric (private); gas (private); gas (municipal); telephone; radio-common carriers; telegraph; water (investor); docks and wharves; warehouses; water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; regulation of motor carriers engaged in garbage collection; motor freight carriers; freight forwarders; railroads, petroleum pipelines. |
| West Virginia PSC | Electric (private); gas (private); gas (municipal); electric (municipal); cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); water (municipal); sewer (investor); sewer (municipal); toll roads and bridges; water carriers; ferries; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; air terminal buses-rate jurisdiction; emergency tow trucks-rate jurisdiction; taxicabs-rate jurisdiction; regulation of motor carriers engaged in garbage collection; motor freight carriers; railroads. |
| Wisconsin PSC | Electric (private); gas (private); gas (municipal); electric (municipal); telephone; radio-common carriers; telegraph; water (investor); water (municipal); steam heating companies. |
| Wisconsin TC | The Transportation Commission was created by Ch. 29, Laws of 1977 to perform quasi-judicial responsibilities for carrier and rail regulation. Summary: Water carriers; motor passenger carriers; household goods carriers-rate jurisdiction; parcel carriers-rate jurisdiction; baggage carriers-rate jurisdiction; motor freight carriers; railroads; miscellaneous highway matters. |
| Wyoming PSC | Electric (private); gas (private); gas and electric (municipal)-authority not exercised; cooperative electric utilities; telephone; radio-common carriers; telegraph; water (investor); steam heating companies; intrastate air transportation; pipelines; common, contract, interstate and private motor carriers, property ahd passengers; railroads. |

# SECTION V

## TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS

## TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS

## RESTRAINTS PLACED UPON COMMISSIONERS DEPARTING COMMISSION

The number of commissioners, their terms of office, principal previous occupations, the methods of selection for commissioners and chairmen, and minority party representation are shown in Tables 116, 117, and 118. As can be seen in the tables, the method of selection, term of office and number of commissioners vary from State to State.

These tables also contain information on the time limitation within which a rate case must be decided, suspension of rates, interim rate increases, and method of appeals for rate decisions.

Table 119 provides information on restraints placed upon commissioners departing commission to work for regulated industry.

Table 116 - TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS

I = Indefinite
V = Various
NA = Not Applicable
NS = Not Specified

| | | CAB | FCC | FERC | ICC | ALABAMA PSC | ALASKA PC | ALASKA PUC | ALASKA TC | ALBERTA PMC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | ARKANSAS TC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | DELAWARE TA |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Commissioner's Term: | Staggered | X | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | |
| | Concurrent | | | | | | | | | X | | | | | | | | | |
| Number of Commissioners: | | 5 | 7 | 5 | 11 | 3 | 3 | 5 | 3 | 3 | 9 | 3 | 3 | 3 | 5 | 3 | 5 | 5 | 1 7/ |
| Term of Office of Commissioners: | (years) | 6 | 7 | 4 | 7 | 4 | 6 | 6 | 6 | NS | 10 | 6 | 6 | 6 | 6 | 6 | 4 | 5 | |
| (b) Appointing authority: 1/ | Governor | | | | | | X36/ | X36/ | X36/ | | | | | | | | | | |
| | Legislature | | | | | | | | | | | | | | | | | | |
| | Gov. Consent Senate | | | | | | | | | | | | X | X | X | X | X | X | |
| | Other | X 2/ | X 2/ | X 2/ | X 2/ | | | | | X 34/ | X 34/ | | | | | | | | |
| (c) Elected: | At large | | | | | X | | | | | | X | | | | | | | |
| | Districts | | | | | | | | | | | | | | | | | | |
| | Legislature | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | |
| (d) Statutory qualifications? | Yes | | | | | X | X | X | X39/ | | NS | | X | X | | X | X | X | |
| | No | X | X | X | X | | | | | X | | | | | X | | | | |
| (e) Minority party representation is provided for either by law or by practice? | Yes | X | X | X | X | | | | | NA | NA | | | | | X 5/ | X | X 38/ | |
| | No | | | | | X | X | X | X | | | X | X | X | 4/ | | | | |
| (f) Date of term beginning established: | Statute | X | | | | X | | | X | | | | X | X | | X | X | X | |
| | Date of app't. | | X | X | X | | X | X | | X | X | | | | X | | | | |
| | Other | | | | | | | | | | | X | | | | | | | |
| (g) Terms expire at given date? | Yes | X | X | X | X | X | | | X | | | X | X | X | X | X | X | X | |
| | No | | | | | | X | X | | X | X | | | | | | | | |
| (h) Chairman's term: | Fixed | X | X | X | X | X | X | | X | NS | | | | | | | X | | |
| | Subject to change | | | | | | | X | | | X | X | X | X | X | X | | X | |
| Term of Office of Chairman or President: | (years) | 1 | | | | 4 | 2 17/ | 4 | 2 | NS | NS | | I | I | 1 | I | I | 5 | |
| The Chairman is designated by: | Direct election by voters | | | | | X | | | | | | | | | | | | | |
| | Governor | | | | | | X | X | X | | | | X | X | | X | | X | |
| | Elected by Commissioners | | | | | | | | | | | X | | | X | | X | | |
| | Rotation | | | | | | | | | | | | | | | | | | |
| | Other | X 3/ | X 3/ | X 3/ | X 3/ | | | | | X 34/ | X 34/ | | | | | | | | X 8/ |
| (i) If vacancy during existing term, does new appointee serve until expiration of that term? | Yes | X | X | X | X | X | X | X | X | NA | | | X | X | X | X | X | X | |
| | No | | | | | | | | | | X 35/ | X | | | | | | | |
| (j) If vacancy occurs in Commission is replacement: | Appointed | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | |
| | Elected | | | | | | | | | | | | | | | | | | |
| (k) Does Commissioner not reelected or reappointed cease office at end of term if departure: | Creates a vacancy | | | | | X | | | | X | X | X | | | X | X | X | | |
| | Until successor assumes office | X | X | X | X | | X | X | X | | | | X | X | | | | X | |
| 2. Retirement plan for Commissioners? | Yes | X | X | X | X | | X | X | X | X | X | | X 13/ | NA | X | X | X | | |
| | No | | | | | X | | | | | | X | | | | | | X | |

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1/ The majority of the commissioners are appointed by the Governor with approval of the Senate. We do not have information if commissioners are appointed from districts or at large.
2/ Appointed by the President of the United States with advice and consent of the Senate.
3/ By President.
4/ Not necessarily.
5/ By law.
6/ By practice.
7/ Director of Transportation.
8/ The Director of Transportation is appointed by Secretary of Highways and Transportation.
9/ Appointed by the Mayor of the District of Columbia by and with the advice and consent of the Council.
10/ The President and Vice Presidents are chosen from among the Judges of the Sessions or of the Provincial Court or the members of the Bar of the Province of Quebec.

Table IIc TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS (Continued)

| I = Indefinite<br>V = Various<br>NA = Not Applicable<br>NS = Not Specified | | D.C PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC | ILLINOIS CC | INDIANA PSC | IOWA SCC | IOWA TRB | KANSAS SCC | KENTUCKY DOT | KENTUCKY ERC | KENTUCKY RC | KENTUCKY URC | LOUISIANA PSC | MAINE PUC | MARYLAND PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1.(a) Commissioner's Term: | Staggered | X | X | X | X | X | X | X | X | X | X | X | | X | | X | X | X | X |
| | Concurrent | | | | | | | | | | | | | | | | | | |
| Number of Commissioners: | | 3 | 5 | 5 | 9 | 3 | 3 | 5 | 3 | 3 | 3 | 3 | 2 | 3 | 3 | 3 | 5 | 3 | 5 |
| Term of Office of Commissioners: | (years) | 3 | 4 | 6 | 4 | 6 | 6 | 5 | 4 | 6 | 6 | 4 | I | 14/ | 4 | 14/ | 6 | 7 | 6 |
| (b) Appointing authority: 1/ | Governor | | X | | | | | X | X | | | | X | | | | | | |
| | Legislature | | | | | | | | | | | | | | | | | | |
| | Gov. Consent Senate | | | | X | X | X | | | X | X | X | | X | | X | | | X |
| | Other | 9/ | | | | | | | | | | | | | | | | X 15/ | |
| (c) Elected: | At large | | | X | | | | | | | | | | | X | | | | |
| | Districts | | | | | | | | | | | | | | | | X | | |
| | Legislature | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | |
| (d) Statutory qualifications? | Yes | | X | X | | X | | | X | | | | X | X | | X | | | X |
| | No | X | | | X | | X | X | | X | X | X | | | | | X | X | |
| (e) Minority party representation is provided for either by law or by practice? | Yes | | | | X | | X | X | X | X | X | X | X | | | | | X 6/ | |
| | No | X | X | X | | X | | | | | | | | X | | X | X | | X |
| (f) Date of term beginning established: | Statute | X | X | X | | | X | X | X | X | | X | | X | | X | X | | X |
| | Date of app't. | | | | X | X | | | | | X | | X | | | | | X | |
| | Other | | | | | | | | | | | | | | | | | | |
| (g) Terms expire at given date? | Yes | X | X | X | X | X | X | X | X | X | X | X | | | | | X | X | X |
| | No | | | | | | | | | | | | X | X | | X | | | |
| (h) Chairman's term: | Fixed | | X | X | | X | | | X | X | | | | | | | X | X | X |
| | Subject to change | X | | | | | X | X | | | X | X | | X | | X | | X | |
| Term of Office of Chairman or President: | (years) | 1 | 2 | 2 | | 6 | NS | NS | 4 | 1 | NS | I | NS | 4 | | 4 | 2 | 16/ | 6 |
| The Chairman is designated by: | Direct election by voters | | | | | | | | | | | | | | | | | | |
| | Governor | | | | | X | | X | X | | | | X | X | | X | | X | X |
| | Elected by Commissioners | X | X | X | X | | X | | | X | X | X | | | | | X | | |
| | Rotation | | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | | |
| (i) If vacancy during existing term, does new appointee serve until expiration of that term? | Yes | X | | | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| | No | | X | X 11/ | | | | | | | | | | | | | | | |
| (j) If vacancy occurs in Commission is replacement: | Appointed | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| | Elected | | | | | | | | | | | | | | | | | | |
| (k) Does Commissioner not reelected or reappointed cease office at end of term if departure: | Creates a vacancy | X | X | X | X | | | | | X | X | | | | | | X | | X |
| | Until successor assumes office | | | | | X | X | 12/ | X | | | X | X | X | | X | | X | |
| 2. Retirement plan for Commissioners? | Yes | X | | X | | X | X | X | X | X | X | X | X | X | | X | X | X | X |
| | No | | X | | X | | | | | | | | | | | | | | |

11/ Governor fills vacancy but appointee must stand for election at next general election for unexpired term.
12/ Until successor appointed and qualified; confirmation by Senate is necessary qualification.
13/ Available at option of Commissioner.
14/ Four years and until a successor is appointed.
15/ Appointed by Governor with advice and consent of Executive Council.
16/ At pleasure of Governor.
17/ Chairman may be reappointed by Governor for successive two-year terms.
18/ Until next general election.
19/ Coincident with term of appointing Governor.
20/ Concurrent with appointment as commissioner.

Table 116 - TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS (Continued)

| I = Indefinite<br>V = Various<br>NA = Not Applicable<br>NS = Not Specified | | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY BPU | NEW MEXICO PSC | NEW MEXICO SCC | NEW YORK DOT | NEW YORK PSC | NORTH CAROLINA UC | NORTH DAKOTA PSC | NOVA SCOTIA PUB |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1.(a) Commissioner's Term: | Staggered | | X | X | | X | X | X | X | X | X | X | X | | X | X | X | |
| | Concurrent | X | | | X | | | | | | | | | | | | | X |
| Number of Commissioners: | | 3 | 3 | 5 | 3 | 5 | 5 | 5 | 3 | 3 | 3 | 3 | 3 | 1 | 7 25/ | 7 | 3 | 7 |
| Term of Office of Commissioners: | (years) | 4 | 6 | 6 | 4 | 6 | 4 | 6 | 4 | 6 | 6 | 24/ | 6 | 33/ | 6 | 8 | 6 | 40/ |
| (b) Appointing authority: 1/ | Governor | X | | | | | | | X | 22/<br>X 21/ | | | | | | X | | |
| | Legislature | | | | | | | | | | | | | | | 37/ | | |
| | Gov. Consent Senate | | X | X | | X | | | | | X | X | | X | X | | | |
| | Other | | | | | | | | | | | | | | | | | 40/ |
| (c) Elected: | At large | | | | | | | | | | | | X | | | | X | |
| | Districts | | | | X | | X | X | | | | | | | | | | |
| | Legislature | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | |
| (d) Statutory qualifications? | Yes | | | X | X | X | | X | X | | X | | | | X 25/ | | X | |
| | No | X | X | | | | X | | | X | | X | X | X | | X | | X |
| (e) Minority party representation is provided for either by law or by practice? | Yes | X | X | X | | 6/ | | | X | X | X | X 23/ | | X | X | | | |
| | No | | | | X | | X | X | | | | | X | | | X | X | X |
| (f) Date of term beginning established: | Statute | X | | X | X | X | X | X | | X | | | | | X | | X | |
| | Date of app't. | | | | | | | | | | X | X | | X | | X | | |
| | Other | | X | | | | | | X | | | | X | | | | | 40/ |
| (g) Terms expire at given date? | Yes | X | X | X | X | X | X | X 18/ | X | X | X | X | X | | | X | X | X |
| | No | | | | | | | | | | | | | X | X | | | |
| (h) Chairman's term: | Fixed | X | | | X | | | | | | | | X | | | X | X | |
| | Subject to change | | | X | | X | X | X | X | X | X | X | | X | X | | | |
| Term of Office of Chairman or President: | (years) | 4 | 16/ | 1 | 2 | 16/ | 2 | | 20/ | 6 16/ | 16/ | 16/ | 1 | 16/ | 16/ | 4 | 2 | |
| The Chairman is designated by: | Direct election by voters | | | | | | | | | | | | | | | | | |
| | Governor | X | X | | | X | | | X | X 22/ | X | X | | X | X | X | | |
| | Elected by Commissioners | | | X | X | | X | X | | | | | X | | | | X | |
| | Rotation | | | | X | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | 40/ |
| (i) If vacancy during existing term, does new appointee serve until expiration of that term? | Yes | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | |
| | No | | | | | | | | | | | | X | X | | | | |
| (j) If vacancy occurs in Commission is replacement: | Appointed | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X | X |
| | Elected | | | | | | | | | | | | | | | | | |
| (k) Does Commissioner not reelected or reappointed cease office at end of term if departure: | Creates a vacancy | | | | X | | | | 21/ | | | | | X | | | | X |
| | Until successor assumes office | X | X | X | | X | X | X | | X | X | X | X | | X | X | X | |
| 2. Retirement plan for Commissioners? | Yes | X | X | X | X | | | X | X | X | X | X | X | X | X | X | X | X |
| | No | | | | | X | X | | | | | | | | | | | |

21/ At direction of Governor.
22/ With council approval.
23/ By practice usually, not always.
24/ Statute provides for staggered six-year term, but Supreme Court has held it meaningless.
25/ Statutory Qualifications: No more than three Commissioners may be members of the same political party unless the number of Commissioners shall exceed five, and in such event no more than four Commissioners may be members of the same political party. Statute provides for five Commissioners, unless need for additional Commissioners is certified, in which case two additional members may be appointed. Public Service Law, Article 1, Sec. 4, subdivisions 1 and 2.
26/ Appointment by the Governor with the approval of the Senate.

Table 116 - TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS (Continued)

| I = Indefinite<br>V = Various<br>NA = Not Applicable<br>NS = Not Specified | | OHIO PUC | OKLAHOMA CC | ONTARIO EB | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC EGB | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS AC | TEXAS PUC | TEXAS RC | UTAH PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Commissioner's Term: | Staggered | X | X | | | | X | X | X | X | X | X | X | X | X | X | X | X |
| | Concurrent | | | X | X | X | | | | | | | | | | | | |
| Number of Commissioners: | | 3 | 3 | 8 | 5 | 1 | 5 | 3 | 4 | 9 | 3 | 7 | 3 | 3 | 6 | 3 | 3 | 3 |
| Term of Office of Commissioners: | (years) | 6 | 6 | 2 | 1 | 4 | 10 | 4 | 10 | 10 | 6 | 4 | 6 | 6 | 6 | 6 | 6 | 6 |
| (b) Appointing authority 1/ | Governor | | | | | X | | | | | | | | | | | | |
| | Legislature | | | | | | | | | | | X | | | | | | |
| | Gov. Consent Senate | X | | | | | X | X | | | X | | | | X | X | | X |
| | Other | | | 34/ | 34/ | | | | 34/ | 34/ | | | | | | | | |
| (c) Elected: | At large | | X | | | | | | | | | | | X | | | X | |
| | Districts | | | | | | | | | | | | X | | | | | |
| | Legislature | | | | | | | | | | | | | | | | | |
| | Other | | | | | | | | | | | | | | | | | |
| (d) Statutory qualifications? | Yes | X | X | | | | | | | 10/ | X | | | | X | X | X | X |
| | No | | | | X | X | X | X | | | | X | X | X | | | | |
| (e) Minority party representation is provided for either by law or by practice? | Yes | X | | | | | | | | | | | | | | | | X |
| | No | | X | | X | X | X | X | | X | X | X | X | X | X | X | X | |
| (f) Date of term beginning established: | Statute | X | X | | | | X | X | | | X | | X | X | X | X | X | X |
| | Date of app't. | | | X | X | X | | | X | X | | | | | | | | |
| | Other | | | | | | | | | | | X | | | | | | |
| (g) Terms expire at given date? | Yes | X | X | X | X | X | X | X | X | X | X | X | X | X | X28/ | X | X | X |
| | No | | | | | | | | | | | | | | | | | |
| (h) Chairman's term: | Fixed | | | X | | | X | | | X | X | | | X | X | | X | |
| | Subject to change | X | X | | X | X | | | | | | X | X | | | | | X |
| Term of Office of Chairman or President: | (years) | 16/ | | 3 | 1 | | 10 | 4 | 10 | 10 | 6 | 1 | 2 | 2 | 1 29/ | NS | | NS |
| The Chairman is designated by: | Direct election by voters | | | | | | | | | | | | | | | | | |
| | Governor | X | | | | | X | | | | X | | | | | | | X |
| | Elected by Commissioners | | X | | | | | | | | | | X | X | X | X | X | |
| | Rotation | | | | | | | | | | | X | | | | | | |
| | Other | | | 34/ | 34/ | | | X 26/ | 34/ | 34/ | | | | | | | | |
| (i) If vacancy during existing term, does new appointee serve until expiration of that term? | Yes | X | X | | | | X | X | | | X | X | X | | X | X | | X |
| | No | | | X | | X | | | X | X | | | | X27/ | | | 11/ | |
| (j) If vacancy occurs in Commission is replacement: | Appointed | X | X | X | X | X | X | X | X | X | X26/ | | X | X | X | X | 11/ | X |
| | Elected | | | | | | | | | | | X | | | | | | |
| (k) Does Commissioner not reelected or reappointed cease office at end of term if departure: | Creates a vacancy | | X | X | X | X | X | | | | | | | | | | | 30/ |
| | Until successor assumes office | X | | | | | | X | X | X | X | X | X | X | 28/ | X | X | |
| 2. Retirement plan for Commissioners? | Yes | X | X | X | | X | X | X | | X | X | X | X | X | X | X | X | X |
| | No | | | | X | | | | | | | | | | | | | |

27/ Serves until next general election and then must run for office.
28/ Serves until the appointment and qualifications (i.e. Senate confirmation) of his successor.
29/ One year term of office for all officers with no limitation on number of terms of office.
30/ May stay at pleasure of Governor.
31/ Commission annually elects one of its members chairman.
32/ No vacancy would occur since successor would be commissioned without hiatus.
33/ Commissioner of Transportation and assistant Commissioner: no fixed term of office.
34/ Lieutenant-Governor in Council.
35/ As vacancies occur new appointees are appointed for a term of ten years.

Table 116 - TERMS OF OFFICE AND PROVISIONS FOR RETIREMENT OF COMMISSIONERS (Continued)

I = Indefinite
V = Various
NA = Not Applicable
NS = Not Specified

| | | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WISCONSIN TC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Commissioner's Term: | Staggered | X | X | X | X | X | X | X | X |
| | Concurrent | | | | | | | | |
| Number of Commissioners: | | 3 | 9 | 3 | 3 | 3 | 3 | 3 | 3 |
| Term of Office of Commissioners: | (years) | 6 | 3 | 6 | 6 | 6 | 6 | 6 | 6 |
| (b) Appointing authority: 1/ | Governor | | | | | X | | | |
| | Legislature | | | X | | | | | |
| | Gov. Consent Senate | X | X | | X | | X | X | X |
| | Other | | | | | | | | |
| (c) Elected: | At large | | | | | | | | |
| | Districts | | | | | | | | |
| | Legislature | | | | | | | | |
| | Other | | | | | | | | |
| (d) Statutory qualifications? | Yes | | X | X | X | X | | | X |
| | No | X | | | | | X | X | |
| (e) Minority party representation is provided for either by law or by practice? | Yes | X6/ | | | X5/ | X | | | X |
| | No | | X | X | | | X | X | |
| (f) Date of term beginning established: | Statute | X | | X | X | | X | X | X |
| | Date of app't. | | X | | | | | | |
| | Other | | | | | X | | | |
| (g) Terms expire at given date? | Yes | X | X | X | X | X | X | X | X |
| | No | | | | | | | | |
| (h) Chairman's term: | Fixed | X | X | | X | X | X | X | X |
| | Subject to change | | | 31/ | | | | | |
| Term of Office of Chairman or President: | (years) | 2 | 1 | 1 | 19/ | 1 | 2 | 2 | 2 |
| The Chairman is designated by: | Direct election by voters | | | | | | | | |
| | Governor | X | | | X | X | X | X | |
| | Elected by Commissioners | | X | X | | | | | X |
| | Rotation | | | X | | | | | |
| | Other | | | | | | | | |
| (i) If vacancy during existing term, does new appointee serve until expiration of that term? | Yes | X | X | X | X | X | X | X | X |
| | No | | | | | | | | |
| (j) If vacancy occurs in Commission, is replacement: | Appointed | X | X | | X | X | X | X | X |
| | Elected | | | X | | | | | |
| (k) Does Commissioner not reelected or reappointed cease office at end of term if departure: | Creates a vacancy | | X | 32/ | | | | | |
| | Until successor assumes office | X | | | X | X | X | X | X |
| 2. Retirement plan for Commissioners? | Yes | X | | X | X | X | X | X | |
| | No | | X | | | | | | X |

36/ Confirmed by Legislature in Joint Session.
37/ Legislature confirmation.
38/ No more than three commissioners may be from the same political party.
39/ Fitness and ability to exercise power and duties based upon experience and familiarity with principles of public utility regulation and the fields of engineering, transportation, law, business administration, finance or accounting.
40/ Chairman and Commissioners appointed by Governor-in-Council until age 70 (Statute).

Table 117 - TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS

| | | CAB | FCC | FERC | ICC | Ala. PSC | Alas. PC | Alas. PUC | Alas. TC | Alb. PMC | Alb. PUB | Ariz. CC | Ark. PSC | Ark. TC | Calif. PUC | Colo. PUC | Conn. PUC | Del. PSC | D.C. PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Time limitation, after the date of filing of a rate increase, within which your agency must decide a rate case? If yes, time limitation. | | Yes<br>1/ | No | No | Yes | Yes<br>6 M | | Yes<br>6 M | No | No | No | No | Yes<br>6 M | Yes | Yes<br>365 D | Yes<br>120 D<br>8/ | Yes<br>150 D | No<br>3/ | No |
| (b) Can the limitation be extended by agency action in suspending the original effective date of the rate increase? If yes, how long can the suspension apply? | | 4/ | | | 5/ | 6/ | | Yes<br>6 M | | | | NA | Yes | No | 7/ | No | 9/ | 10/ | |
| (c) If suspension is permitted, can it be continued upon expiration of the first suspension period? If yes, how long can the resuspension run? | | 4/ | | | 5/ | No | Yes<br>12 M | | 11/ | | | NA | No | No | NA | Yes<br>90 D<br>12/ | | NA | |
| (d) If agency action is not completed at the end of the suspension period, does the rate increase become effective automatically? | | Yes | | | Yes<br>2/ | Yes | Yes<br>13/ | | Yes | | | NA | Yes<br>UB | Yes | No | Yes | Yes<br>14/<br>UB | Yes<br>UB | |
| 2. (a) Can applicant impose interim rate increase under bond without agency action, pending final adjudication of the case by the agency? | | No | No | No | No | No | No | No | No | No | No | No | No | Yes | No | No | No | Yes | No |
| (b) Do your laws permit your agency to grant interim rate increase pending final adjudication of the case? | | | | | | Yes | Yes<br>13/ | Yes | Yes | | | Yes | Yes | | Yes | Yes<br>15/ | Yes | | Yes |
| 3. (a) Do your laws impose time limit on courts in deciding appeals? | | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No |
| (b) Laws giving rate case appeals priority over other court cases? | | No | No | No | No | No | No | No | No | No | No | No | No | No | Yes | Yes | Yes | No | |
| 4. Do your statutes or regulations provide administrative means, other than formal adversary proceedings, for clarifying and narrowing issues in rate cases? | | Yes | Yes | 16/ | 16/ | No | Yes | Yes | Yes | Yes | Yes | No | Yes | No | Yes | No | No | No | No |
| 5. Average time (last 5 years) for decision on major rate cases? | Before your agency | 17/ | 17/ | 17/ | 17/ | 6 M | 10-12M | 6 M | 6 M | 5 M | 8 M | 9 M | 8-10M | 4 M | 12 M | 7 M | | 6 M | 18 M |
| | Before the courts | 17/ | 2 Y | 17/ | 17/ | 3 Y | | NA | NA | 18 M | 2 Y | | 8 M | 8 M | 8 M | 23 M | 2 Y | 1 Y | |
| 6. Does your agency rule on present rate cases when there is a prior case of same utility currently on appeal to the courts? | | Yes | Yes | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes |

D = Days, M = Months, Y = Years, UB = Under Bond, I = Indefinite, NA = Not Applicable

Table 117 - TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS (Continued)

| | | Fla. PSC | Ga. PSC | Guam PUC | Hawi. PUC | Ida. PUC | Ill. CC | Ind. PSC | Iowa SCC | Iowa TRB | Kan. SCC | Ky. DOT (BVR) | Ky. ERC & URC | Ky. RC | La. PSC | Me. PUC | Md. PSC | Mass. DPU | Mich. PSC | Minn. PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Time limitation, after the date of filing of a rate increase, within which your agency must decide a rate case? If yes, time limitation. | | Yes<br>30 D | Yes | No | Yes<br>9 M | Yes | Yes<br>120 D | No | No | Yes<br>30 D | No | No | Yes | Yes<br>210 D | Yes<br>1 Y | Yes | Yes | Yes<br>25/ | 18/ | Yes<br>90 D |
| (b) Can the limitation be extended by agency action in suspending the original effective date of the rate increase? If yes, how long can the suspension apply? | | Yes<br>8 M | Yes<br>5 M | | Yes<br>I | Yes<br>7 M | Yes<br>19/ | | | Yes<br>120 D | | | Yes<br>5 M | Yes | No | No | Yes<br>5 M | No | NA | Yes<br>90 D |
| (c) If suspension is permitted, can it be continued upon expiration of the first suspension period? If yes, how long can the resuspension run? | | No | No | | No | Yes | | | | No | | | No | Yes | | | Yes<br>30 D | | NA | Yes<br>27/ |
| (d) If agency action is not completed at the end of the suspension period, does the rate increase become effective automatically? | | Yes<br>UB | No | | No | Yes | Yes | | | Yes | | | Yes | No | Yes<br>UB | | Yes<br>24/ | Yes | NA | Yes |
| 2. (a) Can applicant impose interim rate increase under bond without agency action, pending final adjudication of the case by the agency? | | No | No | No | No | No | No | No | Yes | No | No | No | No | No | Yes<br>21/ | No | No | No | No | Yes |
| (b) Do your laws permit your agency to grant interim rate increase pending final adjudication of the case? | | Yes | | Yes | Yes | Yes | Yes | Yes | | Yes | Yes<br>20/ | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | |
| 3. (a) Do your laws impose time limit on courts in deciding appeals? | | No | No | No | No | No | No | No | No | No | No | No | No | | No | No | No | No | No | |
| (b) Laws giving rate case appeals priority over other court cases? | | No | No | No | No | No | Yes | No | No | No | Yes | No | Yes | | Yes | No | Yes | 26/ | No | 28/ |
| 4. Do your statutes or regulations provide administrative means, other than formal adversary proceedings, for clarifying and narrowing issues in rate cases? | | Yes | No | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | | Yes | Yes | Yes | Yes | Yes | No |
| 5. Average time (last 5 years) for decision on major rate cases? | Before your agency | 9 M | 4 M | 3 M | 1 Y | 7 M | 10 M | 10 M | 22 M | 4 M | 7 M | 6 M | 8 M | | 9 M | 9 M | 200 D | 6 M | 1 Y | 9 M |
| | Before the courts | 8 M | 1 Y | | 2 Y | 1 Y | 1 Y | 15 M | 26 M | | 1 Y | | NA | | 7 M | 23/ | 23/ | 1 Y | 1 Y | NA |
| 6. Does your agency rule on present rate cases when there is a prior case of same utility currently on appeal to the courts? | | Yes | Yes | | Yes | Yes | Yes | Yes | | | Yes | Yes | Yes | | 22/ | Yes | Yes | Yes | Yes | Yes |

D = Days, M = Months, Y = Years, UB = Under Bond, I = Indefinite, NA = Not Applicable

## Table 117 - TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS (Continued)

| | | Miss. PSC | Mo. PSC | Mont. PSC | Neb. PSC | Nev. PSC | N.H. PUC | N.J. BPU | N.M. PSC | N.M. SCC | N.Y. DOT | N.Y. PSC | N.C. UC | N.D. PSC | Nova Scotia PUB | Ohio PUC | Okla. CC | Ont. EB | Ont. TSC | Ore. PUC | Pa. PUC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Time limitations, after the date of filing of a rate increase, within which your agency must decide a rate case? If yes, time limitations. | | 29/ | Yes<br>30/ | Yes<br>9 M<br>31/ | Yes<br>30 D | Yes | Yes | Yes<br>32/ | Yes<br>10 M | Yes<br>60 D | Yes<br>30 D | 34/ | Yes<br>270 D | Yes<br>12 M | No | Yes<br>275 D<br>35/ | No | | No | Yes<br>44/ | Yes<br>9 M |
| (b) Can the limitation be extended by agency action in suspending the original effective date of the rate increase? If yes, how long can the suspension apply? | | No | Yes<br>120 D | No | Yes | Yes<br>150 D | 43/ | 33/ | Yes<br>10 M | No | Yes<br>120 D | Yes<br>120 D | No | No | NA | | NA | | NA | 45/ | No |
| (c) If suspension is permitted, can it be continued upon expiration of the first suspension period? If yes, how long can the resuspension run? | | Yes<br>90 D | Yes<br>6 M | | | No | | | No | | Yes<br>6 M | Yes<br>6 M | | | NA | | NA | | NA | | |
| (d) If agency action is not completed at the end of the suspension period, does the rate increase become effective automatically? | | Yes | Yes | Yes<br>31/ | | Yes | Yes | No | Yes<br>UB | Yes | Yes | Yes | Yes | Yes | NA | | NA | | NA | Yes | Yes |
| 2. (a) Can applicant impose interim rate increase under bond without agency action, pending final adjudication of the case by the agency? | | Yes | No | No | No | No | No | No | No | No | No | No | Yes | No | No | 35/ | No | No | No | No | No |
| (b) Do your laws permit your agency to grant interim rate increase pending final adjudication of the case? | | | Yes | Yes | Yes | No | Yes | Yes | Yes | Yes | Yes | Yes | | Yes | No | | Yes | | | No | No |
| 3. (a) Do your laws impose time limit on courts in deciding appeals? | | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No |
| (b) Laws giving rate case appeals priority over other court cases? | | Yes | Yes | Yes | No | Yes | No | No | No | No | | Yes | No | No | No | No | Yes | | No | No | No |
| 4. Do your statutes or regulations provide administrative means, other than formal adversary proceedings, for clarifying and narrowing issues in rate cases? | | No | Yes | Yes | Yes | No | No | Yes | No | No | Yes | No | Yes | Yes | Yes | No | No | | Yes | Yes | Yes |
| 5. Average time (last 5 years) for decision on major rate cases? | Before your agency | 5 M | 7 M | 9 M | 90 D | 5 M | 1 Y | 8 M | 6 M | 6 M | | 11 M | 1 Y<br>46/ | 6 M | 1-3M | 9 M | 3 M | 1 Y | 6 M | 7 M | 9 M |
| | Before the courts | 2 Y | | 12 M | 12 M | 1 Y | 1 Y | 1½ Y | 2 Y | | | 9 M | NA | 23/ | 1-3M | 6 M | 1 Y | | NA | | |
| 6. Does your agency rule on present rate cases when there is a prior case of same utility currently on appeal to the courts? | | Yes | Yes | Yes | No | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | Yes | Yes | No |

D = Days, M = Months, Y = Years, UB = Under Bond, I = Indefinite, NA = Not Applicable

Table 117 - TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS (Continued)

| | | P.R PSC | Que. EGB | Que. PSB | R.I. PUC | S.C. PSC | S.D. PUC | Tenn. PSC | Tex. AC | Tex. PUC | Tex. RC | Utah PSC | Vt. PSB | V.I. PSC | Va. SCC | Wash. UTC | W.Va. PSC | Wisc. PSC | Wisc. TC | Wyo. PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. (a) Time limitation, after the date of filing of a rate increase, within which your agency must decide a rate case? If yes, time limitation. | | No | No | No | Yes<br>1 M | Yes<br>36/ | Yes<br>15 M | Yes<br>6 M | No | Yes<br>180 D | Yes | Yes<br>240 D | No | Yes | 38/ | Yes<br>10 M | Yes<br>39/ | No | No | Yes<br>10 M<br>40/ |
| (b) Can the limitation be extended by agency action in suspending the original effective date of the rate increase? If yes, how long can the suspension apply? | | | | NA | Yes<br>5 M | No | Yes<br>12 M | Yes<br>3-3 M Periods | | Yes<br>120 D | | No | | Yes<br>5 M | Yes<br>60 D | No | No | | | No<br>41/ |
| (c) If suspension is permitted, can it be continued upon expiration of the first suspension period? If yes, how long can the resuspension run? | | | | NA | Yes<br>3 M | | Yes<br>12 M | Yes<br>3 M | | Yes<br>30 D | | | | Yes | Yes<br>10 M | | | | | No |
| (d) If agency action is not completed at the end of the suspension period, does the rate increase become effective automatically? | | | | NA | Yes | Yes | Yes | Yes<br>UB | | Yes<br>UB | | Yes | | Yes | Yes | Yes | Yes<br>UB | | | Yes<br>42/ |
| 2. (a) Can applicant impose interim rate increase under bond without agency action, pending final adjudication of the case by the agency? | | | No | No | No | Yes | No | No | | Yes | No | No | No | No | No | No | No | No | No | No |
| (b) Do your laws permit your agency to grant interim rate increase pending final adjudication of the case? | | | | Yes | Yes | | Yes | Yes | | | | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes |
| 3. (a) Do your laws impose time limit on courts in deciding appeals? | | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No | No |
| (b) Laws giving rate case appeals priority over other court cases? | | No | No | No | No | No | Yes | Yes | No | No | Yes | Yes | No | Yes | Yes | No | No | No | No | No |
| 4. Do your statutes or regulations provide administrative means, other than formal adversary proceedings, for clarifying and narrowing issues in rate cases? | | No | Yes | Yes | No | Yes | Yes | Yes | No | Yes | Yes | No | 37/ | Yes | Yes | Yes | No | Yes | Yes | Yes |
| 5. Average time (last 5 years) for decision on major rate cases? | Before your agency | | 1 Y | 3 M | 9 M | 10 M | 9 M | 5 M | | 5 M | 6 M | 6 M | 1 Y | 6 M | 5 M | 7.5 M | 2 Y | 9 M | 6 M | 4 M |
| | Before the courts | | | | 6 M | 1 Y | 6 M | 12 M | | 18 M | 18 M | 23/ | 6 M | 17 M | 6 M | 6-12 M | | 1 Y | | 6 M |
| 6. Does your agency rule on present rate cases when there is a prior case of same utility currently on appeal to the courts? | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | | Yes | Yes | Yes | Yes | Yes | Yes | Yes | No | Yes | Yes | Yes |

D = Days, M = Months, Y = Years, UB = Under Bond, I = Indefinite, NA = Not Applicable

## Footnotes - Table 117 - TIME REQUIREMENTS FOR AGENCY RATE DECISIONS AND APPEALS

1/ No control over freight rates: must act 15-30 days before effective date of passenger tariff.
2/ Subject to refund upon final agency action.
3/ Commission attempts to decide rate case within seven months.
4/ Domestic tariffs may be suspended initially for 90 days, but the suspension may be extended up to an additional 90 days. International tariffs may be suspended up to one year.
5/ Up to a three-month extension of the original seven-month suspension period in rail rate proceedings only.
6/ The law allows a maximum of six months from effective date. Effective date is required to be 30 days. Total of seven months from date of filing.
7/ Since the "law" is a Rule of Practice and Procedure of the Commission it could be suspended in an appropriate case. This has not occurred.
8/ Initially suspended for 120 days from the proposed effective date.
9/ Agency can extend only if company and other parties so stipulate.
10/ Suspension period is in effect during Commission investigation. Utilities have a right to place rates in effect under bond.
11/ Rates submitted by air carriers may be suspended for two 90-day periods. Motor carrier rates limited to one 90-day period.
12/ However, the intial suspension can be for the entire 210 days.
13/ Subject to refund pending a final decision of the Commission. Carrier or shipper may post bond, depending on the refund circumstances.
14/ At the end of the original 150 days.
15/ But not subject to refund.
16/ By rulemaking.
17/ No study made.
18/ Time limitations not mandatory.
19/ After original 120 days can be further suspended for a period not exceeding six months. This applies to railroads, electric, gas, telephone, water and sewer utilities.
20/ Case law permission; no statutory authority.
21/ After one year from filing.
22/ It could happen; would depend on the issues and relief sought.
23/ None in past few years.
24/ Unless waived by Applicant-Utility.
25/ Six months for gas, electric and telephone; all others ten months.
26/ Takes precedence over anything except election laws. Cases go directly to Supreme Court.
27/ Telephone companies--12 months from date of original filing; gas and electric companies--9 months from date rates were originally to go into effect.
28/ Telephone cases have no priority. Gas and electric rate cases "shall be preferred over all other civil causes except election causes," per Minnesota Statute 216 B, Sec. 55.
29/ Two 90-day suspension periods for a total of 180 days.
30/ No rate may go into effect except after 30 days notice to the Commission and publication, except where the Commission for good cause shown allows the rate to go into effect on less notice.
31/ In both cases, expiration of 9 months, or the granting of an interim rate increase during the 9-month period, a utility would refund any revenue over collection plus 10% annual interest on the revenue over collection, should the Commission's final order be less than the interim increase.
32/ Eight months from suspended proposed effective date.
33/ There is an initial four months suspension period after which the rates may be suspended for an additional four months.
34/ After notice is given to Commission, the public, and published in the New York State Register in accordance with statutes; time for notices is approximately 30 to 60 days, except where the Commission for good cause shown authorizes the rate to become effective on short notice.
35/ The statute provides that if the Commission has not issued an order within 275 days of the filing of an application, the proposed increase can go into effect upon the filing of an undertaking containing a promise to refund any amount collected in excess of the increase granted by the Commission. If the Commission has not issued an order within 545 days, the utility has no obligation to refund.
36/ Sixty days for gas, water and sewerage utilities; 6 months for telephone utilities; 12 months for electric utilities.
37/ Pre-hearing proceedings are used as a general rule to narrow issues.
38/ After notice is given to Commission and public in accordance with statutes increased rates will automatically go into effect unless suspended--time for notice is approximately 60 to 90 days.
39/ Effective July 1, 1981: Rate cases having over 7,500 customers - 270 days maximum suspension; rate cases having ~~2,500 or less - 120 days maximum suspension;~~ rate cases having more than 2,500 customers, but less than 5,000 customers - 150 days maximum suspension; rate cases having more than 5,000 customers, but less than 7,500 customers - 180 days maximum suspension.
40/ Wyoming has two extension periods after 30-day base period, i.e., six months and three months respectively.
41/ The ten-months cannot be extended.
42/ This has never occurred. The Commission can require a bond or waive the same.
43/ One year from the effective date of the proposed increase.
44/ Six months from time rates would go into effect.
45/ Suspension period can be extended for a period of three months.
46/ Since 1977 the average time has dropped to 6.74 months.

Table 118 - COMMISSIONERS' PRINCIPAL PREVIOUS OCCUPATION OR PROFESSION

| AGENCY | Commissioners' principal previous occupation or profession - |
|---|---|
| CAB | Attorney, economist, transportation specialist, legislator |
| FCC | Attorney, broadcasting, business, government |
| FERC | Attorney, business, legislator |
| ICC | Attorney, legislator, economist |
| ALABAMA PSC | Legal, business, government |
| ALASKA PC | Attorney, engineer, accountant or transportation economist (required) |
| ALASKA PUC | Attorney, business and engineer |
| ALASKA TC | Background and experience in transportation and business |
| ALBERTA PMC | Utility executive, oil executive, accountant |
| ALBERTA PUB | Legal, financial, engineering, government, management |
| ARIZONA CC | Self-employed |
| ARKANSAS PSC | Attorneys-2, economist |
| ARKANSAS TC | Attorney |
| CALIFORNIA PUC | Attorneys, legislator, business, scientist |
| COLORADO PUC | Attorney, economist, financial consultant |
| CONNECTICUT PUC | Attorney, economist, finance, business, teacher |
| DELAWARE PSC | Attorney, business |
| D.C. PSC | Attorneys |
| FLORIDA PSC | Self-employed, members of legislature, Judge, accountant, engineer, attorney |
| GEORGIA PSC | Attorney and self-employed |
| GUAM PUC | Various |
| HAWAII PUC | Accounting, business, engineer, government, finance, law |
| IDAHO PUC | Attorney, businessman, newspaper editor |
| ILLINOIS CC | Attorneys, business executives and university economics professor |
| INDIANA PSC | Attorneys, State Senator |
| IOWA SCC | Legislator, business, commercial banking (investments) |
| IOWA TRB | Attorneys, government administrator, business |
| KANSAS SCC | Attorneys-2, CPA-1 |
| KENTUCKY DOT (BVR) | Attorneys, government, transportation |
| KENTUCKY ERC | Attorney, government, economist |
| KENTUCKY RC | Judge, businessman |
| KENTUCKY URC | Attorneys-2, educator |
| LOUISIANA PSC | Attorney, business executive-4 |
| MAINE PUC | Attorney, educator, economist |
| MARYLAND PSC | Attorneys, businessman |
| MASSACHUSETTS DPU | Attorney-educator, attorney-state senator, attorney-legislative |
| MICHIGAN PSC | Attorneys |
| MINNESOTA PSC | Attorneys-2, business-2, research coordinator |
| MISSISSIPPI PSC | Mayor, sheriff, legislator, private business |
| MISSOURI PSC | Attorneys, business executive, utility consumer representative, Judge |
| MONTANA PSC | Executive, engineer, government, business, educator |
| NEBRASKA PSC | Self-employed |
| NEVADA PSC | Attorney, accountant, and engineer |
| NEW HAMPSHIRE | Attorney, business |
| NEW JERSEY BPU | Judge, State Assemblyman-2 |
| NEW MEXICO PSC | Land developer, attorney, energy specialist |
| NEW MEXICO SCC | Business, regulatory affairs |
| NEW YORK DOT | Regulatory affairs, career civil servant, accountant |
| NEW YORK PSC | Attorneys (5), public administrator, economist |
| NORTH CAROLINA UC | Attorneys-2, economist-1, engineer-1, businessman-2, Administrator-2 |
| NORTH DAKOTA PSC | Farmer, businessman, legislator, governmental administrator |
| NOVA SCOTIA PUB | Attorneys-4, chartered accountants-2, engineer-1 |
| OHIO PUC | Attorneys (2), State Representative |
| OKLAHOMA CC | Oil field service, attorney, city government |
| ONTARIO EB | Lawyers, engineers, economists, utility cost engineer |
| ONTARIO TSC | Barrister, nurse, telecommunications, farmer, chiropractor |
| OREGON PUC | Governmental administrator |
| PENNSYLVANIA PUC | Attorneys-3, labor leader |
| PUERTO RICO PSC | Attorney, CPA |
| QUEBEC EGB | Attorney, engineer |
| QUEBEC PSB | Attorney, engineer |
| RHODE ISLAND PUC | Attorney, government worker |
| SOUTH CAROLINA PSC | Self-employed |
| SOUTH DAKOTA PUC | CAP counselor, congressional aide, farmer |

Table 118 - COMMISSIONERS' PRINCIPAL PREVIOUS OCCUPATION OR PROFESSION (Cont'd)

| AGENCY | Commissioners' principal previous occupation or profession - |
|---|---|
| TENNESSEE PSC | Attorney |
| TEXAS AC | Varied |
| TEXAS PUC | Attorney, university professor (finance) |
| TEXAS RC | Attorney, legislator, government administrator |
| UTAH PSC | Attorney, business |
| VERMONT PSB | Attorney with private law firm |
| VIRGIN ISLANDS PSC | Attorneys, retired engineer, real estate agent, priest |
| VIRGINIA SCC | Attorneys |
| WASHINGTON UTC | Attorney, self employed, public administrator |
| WEST VIRGINIA PSC | Attorneys-2, business |
| WISCONSIN PSC | Attorney, public administrator, university professor |
| WISCONSIN TC | University professor, pilot, legislator |
| WYOMING PSC | Attorney, administrator, and auditor |

## Table 119 - TIME RESTRICTIONS ON COMMISSIONERS DEPARTING COMMISSION TO WORK FOR REGULATED INDUSTRY

| Agency | Restraints Placed Upon Commissioners | Statutory Restraints | Commission Regulation Restraints | Citation |
|---|---|---|---|---|
| FCC | Yes | Yes | Yes | P.L. 95-521, Ethics and Government Act of 1978 |
| ALABAMA PSC | No | | | |
| ALASKA PC | No | | | |
| ALASKA PUC | | | | |
| ALASKA TC | No | | | |
| ARIZONA CC | Yes | Yes | | Arizona Revised Statutes, Section 38-504 |
| ARKANSAS PSC | No | | | |
| ARKANSAS TC | | | | |
| CALIFORNIA PUC | No | | | |
| COLORADO PUC | No | | | |
| CONNECTICUT PUC | Yes | | Yes | Section 16-1-31 of Regulations of Connecticut State Agencies |
| DELAWARE PSC | No | | | |
| DISTRICT OF COLUMBIA PSC | Yes | Yes | | 18 U.S.C. Section 207 as amended by Title V of the Ethics in Government Act of 1978, P.L. 95-521 (Oct. 26, 1978) |
| FLORIDA PSC | Yes | Yes | | Section 350.0605, Florida Statutes |
| GEORGIA PSC | | | | |
| GUAM PUC | No | | | |
| HAWAII PUC | Yes | Yes | | HRS, Section 84-18 |
| IDAHO PUC | No | | | |
| ILLINOIS CC | No | | | |
| INDIANA PSC | No | | | |
| IOWA SCC | Yes | Yes | | Iowa Code Section 68B.7 (1979) |
| IOWA TRB | Yes | Yes | | Iowa Code Section 68B.7 (1979) |
| KANSAS SCC | No | | | |
| KENTUCKY DOT (BVR) | No | | | |
| KENTUCKY ERC | No | | | |
| KENTUCKY RC | No | | | |
| KENTUCKY URC | No | | | |
| LOUISIANA PSC | Yes | Yes | | RS 42:1141 *et seq*. |
| MAINE PUC | No | | | |
| MARYLAND PSC | Yes | Yes | | Md. Ann. Code Art. 78, Sec. 16A(c) (1979 Cum. Supp.) |
| MASSACHUSETTS DPU | Yes | Yes | | M.G.L. C 26BA |
| MICHIGAN PSC | Yes | Yes | No | PA3, 1939, Section 1; MCLA 460.1 |
| MINNESOTA DOT | | | | |
| MINNESOTA PSC | No | | | |
| MISSISSIPPI PSC | No | | | |
| MISSOURI PSC | Yes | | Yes | 4 CSR 240-4.020(3) |
| MONTANA PSC | No | | | |
| NEBRASKA PSC | No | | | |
| NEVADA PSC | Yes | | Yes | General Order No. 3, Rule 5.060 |
| NEW HAMPSHIRE PUC | Yes | Yes | | Legislative House Bill 261 passed in June, 1979, under Chapter 494 |
| NEW JERSEY BPU | Yes | Yes | | NJSA 52:13D-17; NJAC 14:1-3.4 |
| NEW MEXICO PSC | | | | |
| NEW MEXICO SCC | No | | | |
| NEW YORK PSC | Yes | | Yes | 16 NYCRR Section 1.7 |
| NEW YORK STATE DOT | No | | | |
| NORTH CAROLINA UC | No | | | |
| NORTH DAKOTA PSC | No | | | |
| NOVA SCOTIA PUB | No | No | | |
| OHIO PUC | Yes | Yes | | Ohio Revised Code, Chapters 102 and 2921 |
| OKLAHOMA CC | No | | | |
| OREGON PUC | No | | | |
| PENNSYLVANIA PUC | Yes | Yes | | Public Utility Code (66 Pa. C.S. §300) |
| RHODE ISLAND PUC | Yes | Yes | | RI General Laws, Section 36-14-4(e)(2) |
| SOUTH CAROLINA PSC | No | | | |
| SOUTH DAKOTA PUC | No | | | |
| TENNESSEE PSC | No | | | |
| TEXAS AC | | | | |
| TEXAS PUC | Yes | Yes | | Tex. Rev. Civ. Stat. Ann. Art. 144 6(c) Sec. 6(b), Vernon Supp. 1978 |
| TEXAS RC | | | | |
| UTAH PSC | No | | | |
| VERMONT PSB | No | | | |
| VIRGINIA SCC | No | | | |
| WASHINGTON UTC | Yes | Yes | | RCW 32:18.220 |
| WEST VIRGINIA PSC | No | | | |
| WISCONSIN PSC | Yes | Yes | | Section 19.45(8)(b) |
| WISCONSIN TC | No | | | |
| WYOMING PSC | No | | | |

Table 119 - TIME RESTRICTIONS ON COMMISSIONERS DEPARTING COMMISSION TO WORK FOR REGULATED INDUSTRY
(Continued)

| | Restraints Placed Upon Commissioners |
|---|---|
| ARIZONA CC | Arizona Revised Statutes, Section 38.504. Prohibited Acts.<br>A. No public officer or employee may represent another person for compensation before a public agency by which he is or was employed within the preceding twelve months or on which he serves or served within the preceding twelve months concerning any matter with which such officer or employee was directly concerned and in which he personally participated during his employment or service by a substantial and material exercise of administrative discretion.<br>B. During the period of his employment or service and for two years thereafter, no public officer or employee may disclose or use for his personal profit, without appropriate authorization, any information acquired by him in the course of his official duties which has been clearly designated to him as confidential when such confidential designation is warranted because of the status of the proceedings or the circumstances under which the information was received and preserving its confidentiality is necessary to the proper conduct of government business. No public officer or employee shall disclose or use, without appropriate authorization, any information acquired by him in the course of his official duties which is declared confidential by law.<br>C. No public officer or employee may use or attempt to use his official position to secure any valuable thing or valuable benefit for himself that would not ordinarily accrue to him in the performance of his official duties, which thing or benefit is of such character as to manifest a substantial and improper influence upon him with respect to his duties. |
| CONNECTICUT PUC | Sec. 16-1-31. Former commissioners and employees.<br>Except when specially authorized by the commissioners, no person who has served as a commissioner or employee of the commission shall practice or act as attorney, agent or representative in any contested case before the commission or by any means aid in the preparation or prosecution of any such contested case which was pending before the commission while that person was so serving, if such representation or other employment in the contested case does or may involve the disclosure of confidential information acquired while serving as such commissioner or employee of the commission. In all cases except upon individual application and showing that such subsequent employment is not contrary to the public interest, no former commissioner or employee of the commission shall appear before the commission or accept employment in connection with any contested case before the commission within six months after the termination of such employment. The restrictions of this rule are in addition to and are not a limitation upon the provisions of the general statutes and the canons of ethics of any profession. |
| HAWAII PUC | Sec. 84-18 Restrictions on post employment.<br>(a) No former legislator or employee shall disclose any information which by law or practice is not available to the public and which he acquired in the course of his official duties or use the information for his personal gain or the benefit of anyone.<br>(b) No former legislator or employee shall, within twelve months after termination of his employment, assist any person or business or act in a representative capacity for a fee or other consideration, on matters in which he participated as an employee.<br>(c) No former legislator or employee shall, within twelve months after termination of his employment, assist any person or business or act in a representative capacity for a fee or other consideration, on matters involving official action by the particular state agency or subdivision thereof with which he had actually served.<br>(d) This section shall not prohibit any agency from contracting with a former legislator or employee to act on a matter on behalf of the State within the period of limitations stated herein, and shall not prevent such legislator or employee from appearing before any agency in relation to such employment. /L 1972, c 163, pt of §1/ |
| IOWA SCC AND<br>IOWA TRB | 68B.7 Ban for two-year period after service.<br>No person who has served as an official or employee of a state agency shall within a period of two years after the termination of such service or employment appear before such state agency or receive compensation for any services rendered on behalf of any person, firm, corporation, or association in relation to any case, proceeding, or application with respect to which such person was directly concerned and in which he personally participated during the period of his service or employment.<br>No person who has served as the head of or on a commission or board of a regulatory agency or as a deputy thereof, shall within a period of two years after the termination of such service receive compensation for any services rendered on behalf of any person, firm, corporation, or association in any case, proceedings, or application before the department with which he so served wherein his compensation is to be dependent or contingent upon any action by such agency with respect to any license, contract, certificate, ruling, decision, opinion, rate schedule, franchise, or other benefit, or in promoting or opposing, directly or indirectly, the passage of bills or resolutions before either house of the general assembly. /C71, 73, 75, 77 §68B.7/<br>68B.8 Additional penalty.<br>In addition to any penalty contained in any other provision of law, a person who knowingly and intentionally violates the provisions of section 68B.3 to 68B.6 and this section shall be guilty of a serious misdemeanor and may be suspended from his or her position. /C71, 73, 75, 77, §68B.8; 67GA, ch 147, §114/ |
| LOUISIANA PSC | Restrained from working on matters which had been before the Commission. |
| MARYLAND PSC | Article 78, Section 16A(c) of the Annotated Code of Maryland prohibits a commissioner from representing a public service company in any way or appearing before the Commission on behalf of any party to a Commission proceeding or in any matter within the jurisdiction of the Commission until at least 2 years after the commissioner has left the Commission. |
| MISSOURI PSC | After termination of service as a commissioner, no former commissioner shall appear before the Commission in relation to any case, proceeding or application with respect to which he or she was directly involved and in which he or she personally participated or had substantial responsibility in during his or her tenure of service with the Commission. |
| NEVADA PSC | General Order No. 3, Rules of Practice and Procedure before the PSC. Rule 5.060 states that no former employee of the PSC may produce or act as an expert or other representative with respect to a docket before the PSC if that person worked on that docket while with the Commission. |
| NEW HAMPSHIRE PUC | Chapter 494-363:12b. No Commissioner shall accept any employment with any utility under the control of the Commission until two years after separated from the Commission. |

Table 119 - TIME RESTRICTIONS ON COMMISSIONERS DEPARTING COMMISSION TO WORK FOR REGULATED INDUSTRY
(Continued)
Restraints Placed Upon Commissioners

| | |
|---|---|
| NEW JERSEY BPU | There are such restraints in the sense that a Commissioner cannot appear before the agency as any representative for six (6) months after he has left the agency's employment. There are no restrictions upon a Commissioner if he wishes to work for private industry but not appear before the agency. Also, a Commissioner may never appear before the agency in a matter in which he participated as a public official. |
| NEW YORK PSC | No work or appearances on matters pending while a Commissioner; no appearances before Commission for six months after departure. |
| RHODE ISLAND PUC | 2. Section 36-14-4(e)(2) of the Rhode Island General Laws states, "(e) No person enumerated in Section 36-14-2 or any business associate of such person unless otherwise provided by law: (2) shall after serving his official position represent himself or any other person or act as an expert witness before the state or municipal agency of which said person was a member or was employed, elected or engaged for one (1) year after he has officially severed his position; provided, however, that this prohibition shall not pertain to a matter of public record in a court of law..." |
| TEXAS PUC | Two-year prohibition against having any pecuniary interest in a public utility or affiliated interest or in any business entity whose business a significant portion consists of furnishing goods or services to public utilities or affiliated interests. |
| WASHINGTON UTC | Cannot practice before Commission for two years or be compensated directly or indirectly for such practice. |
| WISCONSIN PSC | For twelve months following leaving office, Commissioners may not appear before or negotiate with Commission or staff for pay in connection with any matter which was under his or her official responsibility. |

The preceding table and description of restraints placed upon Commissioners was developed on the basis of the following questionnaire submitted to NARUC member agencies.

1. Are there any restraints placed upon Commissioners leaving the Commission to work for regulated industry? ☐ Yes ☐ No
2. If the answer to Question 1 is yes, please describe such restraints.
3. If the answer to Question 1 is yes, what authority governs such restraints? Statutory? Commission regulation? Other? Please give citation.

## SECTION W

## STATE, FEDERAL AND ASSOCIATE COMMISSION OFFICIALS AND STAFF

Tables 120 and 121 contain information on the Chairman, Commissioners and staff members of the State, Federal and Associate Commission members of the NARUC. Data for this Section was obtained through a survey conducted by the NARUC staff during September, 1980.

The information in this section includes annual salary, method of selection, tenure of office, and number of full-time employees.

## Table 120

## 1980 SURVEY OF SALARIES FOR KEY
## STATE, FEDERAL AND ASSOCIATE COMMISSION OFFICIALS AND STAFF

### ALABAMA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 81

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| President | $27,151 | Elected | 4 years | F |
| Commissioners (2) | $26,554 | Elected | 4 years | F |
| Chief Administrative Law Judge | $34,467-44,366 | Merit System | Permanent | F |
| Executive Director | $34,467-44,366 | Merit System | Permanent | F |
| Deputy Executive Director | $31,372-42,224 | Merit System | Permanent | F |
| Public Utilities Research Director | $31,372-42,224 | Merit System | Permanent | F |
| Public Utilities Engineering Director | $29,966-39,701 | Merit System | Permanent | F |
| Director of Utilities | $32,115-36,097 | Merit System | Permanent | F |
| Utility Rate Supervisor | $25,331-32,882 | Merit System | Permanent | F |
| Utility Engineering Supervisor | $25,331-32,882 | Merit System | Permanent | F |
| Transportation Rate Supervisor | $24,239-31,372 | Merit System | Permanent | F |
| Secretary | $24,239-31,372 | Merit System | Permanent | F |
| Accountant IV | $24,239-31,372 | Merit System | Permanent | F |
| Natural Gas Safety Administrator | $23,342-29,966 | Merit System | Permanent | F |
| Railroad Safety Inspector | $23,342-29,966 | Merit System | Permanent | F |
| Public Utilities Auditor | $22,445-28,621 | Merit System | Permanent | F |
| Railroad Track Inspector | $21,548-27,514 | Merit System | Permanent | F |
| Transportation Enforcement Supv. | $22,600-29,862 | Merit System | Permanent | F |

### ALASKA PIPELINE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 8

| | | | | |
|---|---|---|---|---|
| Chairman | $54,480 | Appt. by Governor | 6 years as Commissioner<br>2 years as Chairman | F |
| Commissioners (2) | $54,480 | Appt. by Governor | 6 years | F |
| Executive Director | $54,144 | Partially Exempt Civil Service | Permanent | F |

### ALASKA PUBLIC UTILITIES COMMISSION 1/
NUMBER OF FULL-TIME EMPLOYEES: 37

| | | | | |
|---|---|---|---|---|
| Chairman | $41,340 | Appt. by Governor | 4 yrs. as Chairman | F |
| Commissioners (4) | $40,848 | Appt. by Governor | 6 years | F |
| Executive Director* | $43,404 | Appt. by Commissioners | At the pleasure of the Commissioners | F |
| Deputy Director* | $36,120 | Appt. by Commissioners | At the pleasure of the Commissioners | F |
| Assistant Attorney General* | $48,000** | Appt. by Atty. General | At the pleasure of the Atty. General | F |
| Chief of Engineers*** | $37,932 | Merit System | Permanent | F |
| Communications Common Carrier Bureau Chief*** | $32,736 | Merit System | Permanent | F |
| Consumer Protection and Information Officer Chief*** | $32,736 | Merit System | Permanent | F |
| Utility Financial Analyst IV Chief*** | $33,960 | Merit System | Permanent | F |

*In the partially exempt service.

**Approximate.

***In the State classified service.

1/ Information not updated--no response to 1980 Survey of Salaries.

### ALASKA TRANSPORTATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 30

| | | | | |
|---|---|---|---|---|
| Chairman | $54,480 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $54,480 | Appt. by Governor | 6 years | F |
| Executive Director | $45,372 | Partially Exempt | Permanent | F |
| Chief Hearing Officer | $48,792 | Partially Exempt | Permanent | F |
| Hearing Officer | $45,372 | Partially Exempt | Permanent | F |
| Tariff Specialists (3) | $36,660 | Civil Service | Permanent | F |
| Field Agent IV | $34,140 | Civil Service | Permanent | F |
| Field Agent III - (Fairbanks) | $34,140 | Civil Service | Permanent | F |
| Field Agent II | $27,468 | Civil Service | Permanent | F |
| Field Agent I | $25,584 | Civil Service | Permanent | F |
| Assistant Attorney General | --- | Furnished by Department of Law | | |

### ALBERTA PETROLEUM MARKETING COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 42

The Alberta Petroleum Marketing Commission is composed of a Chairman, Vice Chairman and one Member, all appointed by the Lieutenant Governor in Council of the Province of Alberta. The tenure of office is at the pleasure of the Lieutenant Governor in Council, status is full-time. Commission staff members engaged by the Commission are not covered by the usual civil service requirements.

### ALBERTA PUBLIC UTILITIES BOARD 1/

NUMBER OF FULL-TIME EMPLOYEES: 53

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $40,500-53,200 | Lt. Governor in Council | 10 yrs., reappt. possible | F |
| Members (8) | $35,700-45,300 | Lt. Governor in Council | 10 yrs., reappt. possible | F |
| Secretary/Executive Director | $32,172-42,336 | Civil Service | Permanent | F |
| Legal Counsel | $17,940-34,500 | Civil Service | Permanent | F |
| Director Administrative Services | $23,580-29,928 | Civil Service | Permanent | F |
| Asst. Dir. Regulatory Admin. | $19,608-25,620 | Civil Service | Permanent | F |
| Asst. Dir. General Administration | $23,580-29,928 | Civil Service | Permanent | F |
| Supervisor, Inquiries & Complaints | $17,940-22,596 | Civil Service | Permanent | F |
| Director Technical Services | $28,776-37,200 | Civil Service | Permanent | F |
| Asst. Dir. Technical Services (2) | $24,840-32,952 | Civil Service | Permanent | F |
| Special Projects Officer/ Engineering | $28,776-37,200 | Civil Service | Permanent | F |
| Regulatory Analyst/Accounting (4) | $23,640-29,796 | Civil Service | Permanent | F |
| Regulatory Analyst/Economics (1) | $20,112-25,308 | Civil Service | Permanent | F |
| Regulatory Analyst/Engineering (2) | $24,180-30,492 | Civil Service | Permanent | F |
| Rebate Analyst (2) | $17,940-22,596 | Civil Service | Permanent | F |

1/ Information not updated--no response to 1980 Survey of Salaries.

### ARIZONA CORPORATION COMMISSION

NUMBER OF FULL-TIME EMPLOYEES: 194 POSITIONS ALLOTTED

| | | | | |
|---|---|---|---|---|
| Chairman | $36,000 | Elected | 6 years | F |
| Commissioners (2) | $36,000 | Elected | 6 years | F |
| Executive Secretary | $45,279 | Appt. by Commission | Indefinite | F |
| District Representative | $34,394 | Exempt* | Indefinite | F |
| Controller | $37,700 | Exempt | Indefinite | F |
| Incorporating Director | $21,795 | Exempt | Indefinite | F |
| Motor Carrier Director | $34,394 | Exempt | Indefinite | F |
| Securities Director | $45,279 | Exempt | Indefinite | F |
| Utilities Director | $37,682 | Exempt | Indefinite | F |

Salaries effective July 1, 1980.
*Merit System: Exempt status requested but not yet approved.

### ARKANSAS PUBLIC SERVICE COMMISSION

NUMBER OF FULL-TIME EMPLOYEES: 53

| | | | | |
|---|---|---|---|---|
| Chairman | $34,567 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $33,285 | Appt. by Governor | 6 years | F |
| Executive Director | $32,000 | Appt. by Commission | Permanent | F |
| Chief Accountant | $18,642-28,626 | Appt. by Commission | Permanent | F |
| Chief Counsel | $18,642-28,626 | Appt. by Commission | Permanent | F |
| Chief Safety Engineer, Pipeline Safety | $18,642-28,626 | Appt. by Commission | Permanent | F |
| Chief Engineer | $17,680-27,144 | Appt. by Commission | Permanent | F |
| Chief of Rates | $17,680-27,144 | Appt. by Commission | Permanent | F |
| Chief of Finance and Research | $17,680-27,144 | Appt. by Commission | Permanent | F |
| Hearing Examiner | $16,744-25,717 | Appt. by Commission | Permanent | F |
| Assistant Chief Accountant | $16,744-25,717 | Appt. by Commission | Permanent | F |
| Accountant II | $11,128-17,108 | Appt. by Commission | Permanent | F |
| Public Utility Auditor Supervisor | $15,782-24,206 | Appt. by Commission | Permanent | F |
| Public Utility Auditor II | $13,884-21,320 | Appt. by Commission | Permanent | F |
| Public Utility Auditor I | $12,922-19,864 | Appt. by Commission | Permanent | F |
| Rate Analyst III | $15,782-24,206 | Appt. by Commission | Permanent | F |
| Rate Analyst II | $13,884-21,320 | Appt. by Commission | Permanent | F |
| Inspection Engineer II | $14,846-22,776 | Appt. by Commission | Permanent | F |
| Financial Analyst | $13,884-21,320 | Appt. by Commission | Permanent | F |
| Research Project Assistant | $12,922-19,864 | Appt. by Commission | Permanent | F |
| Statistician/Statistician II | $11,128-17,108 | Appt. by Commission | Permanent | F |
| Executive Secretary/Administrative Secretary | $ 9,256-14,248 | Appt. by Commission | Permanent | F |

### ARKANSAS TRANSPORTATION COMMISSION

NUMBER OF FULL-TIME EMPLOYEES: 32

| | | | | |
|---|---|---|---|---|
| Chairman | $26,484 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $25,197 | Appt. by Governor | 6 years | F |
| Secretary | $17,061 | Appt. by Commission | Indefinite | F |
| General Counsel | $20,078 | Appt. by Commission | Indefinite | F |
| Administrator | $24,155 | Appt. by Commission | Indefinite | F |
| Director of Enforcement | $22,114 | Appt. by Commission | Indefinite | F |
| Rate Consultant | $13,485 | Appt. by Commission | Indefinite | F |

## CALIFORNIA PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 979

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| President | $54,022 | Appt. by Governor | 6 years | F |
| Commissioners (4) | $51,468 | Appt. by Governor | 6 years | F |
| Executive Director | $51,612 | Appt. by Commission | Indefinite | F |
| General Counsel | $50,340 | Appt. by Commission | Indefinite | F |
| Assistant Executive Director | $49,980 | Civil Service | Permanent | F |
| Chief Administrative Law Judge | $42,504 | Civil Service | Permanent | F |
| Director Transportation Division | $49,980 | Civil Service | Permanent | F |
| Director Utilities Division | $49,980 | Civil Service | Permanent | F |
| Director Communications Division | $47,676 | Civil Service | Permanent | F |
| Director Revenue Requirements Division | $49,980 | Civil Service | Permanent | F |

Note: The Operations and Finance Divisions are now consolidated under Revenue Requirements Division.

## COLORADO PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 95

| | | | | |
|---|---|---|---|---|
| Chairman | $39,996 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $39,996 | Appt. by Governor | 6 years | F |
| Executive Secretary | $31,008-41,556 | Civil Service | Permanent | F |
| Chief of Administration | $24,972-33,456 | Civil Service | Permanent | F |
| Chief of Transportation | $28,908-38,724 | Civil Service | Permanent | F |
| Chief of Fixed Utilities | $28,908-38,724 | Civil Service | Permanent | F |
| Chief Hearings Examiner | $25,512-34,188 | Civil Service | Permanent | F |
| Hearings Examiner I-A | $18,144-24,300 | Civil Service | Permanent | F |
| Hearings Examiner I-B | $21,000-28,128 | Civil Service | Permanent | F |
| Hearings Examiner I-C | $24,300-32,556 | Civil Service | Permanent | F |
| Supv. Public Utilities Financial Analyst | $26,220-35,124 | Civil Service | Permanent | F |
| Supv. Public Utilities Rate Analyst | $26,220-35,124 | Civil Service | Permanent | F |
| Supv. Public Utilities Engineering Analyst | $29,532-39,576 | Civil Service | Permanent | F |
| Supv. Transportation Representative | $23,784-31,860 | Civil Service | Permanent | F |
| Public Utilities Rate Analyst I-A | $13,236-17,736 | Civil Service | Permanent | F |
| Public Utilities Rate Analyst I-B | $15,324-20,544 | Civil Service | Permanent | F |
| Public Utilities Rate Analyst I-C | $17,736-23,784 | Civil Service | Permanent | F |
| Public Utilities Rate Analyst II | $20,544-27,528 | Civil Service | Permanent | F |

## CONNECTICUT DEPARTMENT OF PUBLIC UTILITY CONTROL
NUMBER OF FULL-TIME EMPLOYEES: 107

| | | | | |
|---|---|---|---|---|
| Chairperson* | $44,144-54,189 | Appt. by Governor | ** | F |
| Vice-Chairperson | $40,815-50,101 | Appt. by Governor | ** | F |
| Commissioners (3) | $40,815-50,101 | Appt. by Governor | ** | F |
| Executive Secretary | $22,227-27,284 | Civil Service | Permanent | F |
| Director of Utilities Accounting and Finance | $31,013-38,069 | Civil Service | Permanent | F |
| Public Utility Chief Engineer | $31,013-38,069 | Civil Service | Permanent | F |
| Director of Rate Analysis, Statistics and Research | $31,013-38,069 | Civil Service | Permanent | F |
| Public Utility Director, Consumer Assistance and Information | $26,508-32,539 | Civil Service | Permanent | F |
| Director of Utilities Management Analysis | $29,820-36,604 | Civil Service | Permanent | F |
| Administrative Services Officer | $22,227-27,284 | Civil Service | Permanent | F |

*Elected by Commission. **Effective 7/1/79, three members were appointed to serve a term of four years and two members to serve a term of six years. Thereafter, all members shall serve a term of four years.

## DELAWARE PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 18

| | | | | |
|---|---|---|---|---|
| Chairman | $ 6,000 | Appt. by Governor | 5 years | P |
| Commissioners (4) | $ 6,000 | Appt. by Governor | 5 years | P |
| Executive Director | $ 27,400 | Appt. by Commission | Indefinite | F |
| Chief Accountant | $18,846-29,792 | Merit System | Indefinite | P |
| Counsel | $ 29,242 | Appt. by Atty. General | Indefinite | F |
| Rate Design Coordinator | $21,587-33,603 | Merit System | Indefinite | F |
| Auditor | $15,900-24,810 | Merit System | Indefinite | F |
| Analyst II | $15,900-24,810 | Merit System | Indefinite | F |
| Analyst I (2) | $13,311-20,815 | Merit System | Indefinite | F |
| Engineer (2) | $14,544-22,726 | Merit System | Indefinite | F |
| Investigator (2) | $11,390-17,340 | Merit System | Indefinite | F |
| Administrative Assistant II | $12,178-19,078 | Merit System | Indefinite | F |

## DISTRICT OF COLUMBIA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 31

| | | | | |
|---|---|---|---|---|
| Chairman | $50,112 | Appointed by Mayor | 3 years | F |
| Commissioner | $50,112 | Appointed by Mayor | 3 years | F |
| Commissioner | $50,112 | Appointed by Mayor | 3 years | F |
| Executive Director | $40,832 | Civil Service | Permanent | F |
| Chief Accountant | $38,184 | Civil Service | Permanent | F |
| Securities Director | $42,812 | Civil Service | Permanent | F |
| Chief Economist | $34,713 | Civil Service | Permanent | F |

### FLORIDA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 354*

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Commissioners (5) | $49,748 | Appt. by Governor | 4 years | F |
| Assistant to Commissioners (5) | $27,324 | Appt. by Commissioners | 4 years | F |
| General Counsel (1) | $43,000 | Appt. by Commissioners | Indefinite | F |
| Executive Director (1) | $43,000 | Appt. by Commissioners | Indefinite | F |
| Deputy Executive Director/Technical | $41,000 | Appt. by Exec. Director | Indefinite | F |
| Deputy Executive Director/ Administration | $38,700 | Appt. by Exec. Director | Indefinite | F |
| Legal Director | $38,500 | Appt. by General Counsel | Indefinite | F |
| Research and Management Studies Director | $35,000 | Appt. by Exec. Director | Indefinite | F |
| Administration Director | $31,500 | Appt. by Exec. Director | Indefinite | F |
| Consumer Affairs Director | $30,300 | Appt. by Exec. Director | Indefinite | F |
| Commission Clerk | $30,700 | Appt. by Exec. Director | Indefinite | F |
| Auditing and Financial Analysis Director | $35,000 | Appt. by Exec. Director | Indefinite | F |
| Rail Transportation Director | $27,000 | Appt. by Exec. Director | Indefinite | F |
| Electric and Gas Director | $40,000 | Appt. by Exec. Director | Indefinite | F |
| Communications Director | $39,000 | Appt. by Exec. Director | Indefinite | F |
| Water and Sewer Director | $38,000 | Appt. by Exec. Director | Indefinite | F |

*Authorized

### GEORGIA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 108

| | | | | |
|---|---|---|---|---|
| Chairman | $41,220 | Elected by Commission | 2 years | F |
| Commissioners (4) | $41,220 | Elected | 6 years | F |
| Executive Secretary | $39,804 | Non-Merit System | Permanent | F |
| Director, Motor Carrier Certification and Enforcement | $21,354-33,096 | Merit System | Permanent | F |
| Director, Transportation Rates and Services | $22,320-34,620 | Merit System | Permanent | F |
| Director, Utilities Engineering | $21,354-33,096 | Merit System | Permanent | F |
| Director, Utilities Financial Analysis | $23,322-36,210 | Merit System | Permanent | F |
| Administrative Services | $18,756-28,980 | Merit System | Permanent | F |
| Legal Counsel* | | | | |

*Provided by the State Law Department.

### GUAM PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 6

| | | | | |
|---|---|---|---|---|
| Commissioners (9) | $50 per meeting | Appt. by Governor with concurrence by Legislature | 4 years | P |
| Administrative Director | $30,000 | Appt. by Commission | 4 years | F |
| Administrative Officer | $13,930 | Appt. by Adm. Director | 1 year contract | F |
| Administrative Secretary | $ 6,063 | Appt. by Adm. Director | 1 year contract | P |
| Clerk Typist IV | $ 9,734 | Appt. by Adm. Director | 1 year contract | F |
| Chief Regulatory Investigator | $17,600 | Appt. by Adm. Director | 1 year contract | F |
| Investigator II | $16,950 | Appt. by Adm. Director | 1 year contract | F |
| Investigator I | $15,950 | Appt. by Adm. Director | 1 year contract | F |

### HAWAII PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 17, 1 on Contract

| | | | | |
|---|---|---|---|---|
| Chairman | $42,500 | Appt. by Governor | 6 years* | F |
| Commissioners (2) | $40,375 | Appt. by Governor | 6 years* | F |
| Assistants to Commissioners (3) | $16,752 | Appt. by Chairman | ** | F |
| Administrative Director | $35,600 | Appt. by Chairman | ** | F |
| Legal Counsel | $35,000 | Appt. by Chairman | ** | Contract |
| Chief Clerk | $16,056 | Civil Service | Permanent | F |

*May be reappointed, maximum of 12 years. Note that the terms of the members first appointed shall be for two, four and six years respectively, as designated by the Governor at the time of appointment.
**May be reappointed, indefinite period.

### IDAHO PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 56

| | | | | |
|---|---|---|---|---|
| President | $32,340 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $28,000 | Appt. by Governor | 6 years | F |
| Executive Assistant | $28,000 | Appt. by Commission | Indefinite | F |
| Chief Counsel | $29,200 | Appt. by Commission | Indefinite | F |
| Director of Policy and Administration | $29,300 | Appt. by Commission | Indefinite | F |
| Director of Rates and Forecast | $29,900 | Appt. by Commission | Indefinite | F |
| Director of Accounting and Finance | $25,400 | Appt. by Commission | Indefinite | F |
| Director of Consumer Services | $24,800 | Appt. by Commission | Indefinite | F |
| Commission Secretary | $21,100 | Appt. by Commission | Indefinite | F |
| Director of Transportation | $25,300 | Appt. by Commission | Indefinite | F |

## ILLINOIS COMMERCE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 269

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $40,500 | Appt. by Governor | 5 years | F |
| Commissioners (4) | $30,000-37,300 | Appt. by Governor | 5 years | F |
| Special Assistant Attorney General | $33,732-51,204 | Selected by Atty.General | Indefinite | F |
| Manager, Transportation Division | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Public Utility Division | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Policy Analysis and Research Division | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Hearing Examiners Division (Chicago) | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Hearing Examiners Division (Springfield) | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Administration Division | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Manager, Consumer Assistance | $33,732-51,204 | Appt. by Commission | Indefinite | F |
| Chief Clerk | $17,592-26,040 | Appt. by Commission | Indefinite | F |

## INDIANA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 103

| | | | | |
|---|---|---|---|---|
| Chairman | $35,800-54,700 | Appt. by Governor | 4 years | F |
| Commissioners (2) | $32,600-49,700 | Appt. by Governor | 4 years | F |
| Secretary | $20,150-30,100 | Appt. by Governor | At pleasure of Governor | F |
| Director of Consumer Affairs | $17,900-26,000 | Appt. by Commission | Commission's Pleasure | F |
| Public Information Officer | $14,000-21,000 | Appt. by Commission | Commission's Pleasure | F |
| Chief Engineer | $26,900-41,950 | Appt. by Commission | Commission's Pleasure | F |
| Chief Accountant | $26,900-41,950 | Appt. by Commission | Commission's Pleasure | F |
| Hearing Steno | $12,000-17,800 | Appt. by Commission | Commission's Pleasure | F |
| Director of Transportation | $23,600-35,700 | Appt. by Commission | Commission's Pleasure | F |
| Director of Motor Carriers | $20,150-30,100 | Appt. by Commission | Commission's Pleasure | F |
| Director of Railroad Department | $20,150-30,100 | Appt. by Commission | Commission's Pleasure | F |
| Director of Pipeline Safety | $20,150-30,100 | Appt. by Commission | Commission's Pleasure | F |
| Accountant | $15,900-30,100 | Appt. by Commission | Commission's Pleasure | F |
| Administrative Law Judge | $17,900-26,000 | Appt. by Commission | Commission's Pleasure | P |
| Administrative Assistant to Commissioner | $21,800-32,500 | Appt. by Commission | Commission's Pleasure | F |
| Economist | $17,900-26,000 | Appt. by Commission | Commission's Pleasure | F |

## IOWA STATE COMMERCE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 129

| | | | | |
|---|---|---|---|---|
| Chairman | $30,000-40,000 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $30,000-40,000 | Appt. by Governor | 6 years | F |
| Commerce Counsel | $33,072-44,345 | Appt. by Commission with Senate Approval | 4 years | F |
| Commerce Solicitor | $30,014-40,248 | Civil Service | Permanent | F |
| Attorneys | $18,179-36,524 | Civil Service | Permanent | F |
| Director, Warehouse and Grain Dealers Division | $20,592-27,248 | Civil Service | Permanent | F |
| Director, Utilities Division | $26,000-34,777 | Civil Service | Permanent | F |
| Director, Rates Research | $30,014-40,248 | Civil Service | Permanent | F |
| Utility Analysts | $13,894-33,072 | Civil Service | Permanent | F |
| Chief Utility Engineer | $24,793-33,072 | Civil Service | Permanent | F |
| Utility Regulation Engineer | $16,016-30,014 | Civil Service | Permanent | F |
| Director Administration | $16,016-20,592 | Civil Service | Permanent | F |
| Executive Secretary | $30,014-40,248 | Appt. by Commission | 1 year | F |

## IOWA TRANSPORTATION REGULATION BOARD
NUMBER OF FULL-TIME EMPLOYEES: 13

| | | | | |
|---|---|---|---|---|
| Board Chairperson | $18,000-30,000 | Appt. by Governor | 6 years (2)* | F |
| Board Member | $18,000-30,000 | Appt. by Governor | 6 years (4)* | F |
| Board Counsel | $28,579-38,334 | Appt. by Board | Indefinite | F |

*The Board Members began with staggered terms of 2, 4, and 6 years, but in the future all terms will be for 6 years.

## KANSAS STATE CORPORATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 192.5

| | | | | |
|---|---|---|---|---|
| Chairman* | $40,000 | Appt. by Governor | 4 years | F |
| Commissioners (2)* | $37,500 | Appt. by Governor | 4 years | F |
| General Counsel | $27,852-38,244 | Appt. by Commission | Indefinite | F |
| Executive Secretary | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Director of Utilities | $29,136-40,044 | Appt. by Commission | Indefinite | F |
| Transportation Administrator | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Conservation Administrator | $25,644-33,624 | Merit System | Permanent | F |
| Securities Commissioner | $27,852-38,244 | Appt. by Commission | Indefinite | F |
| Attorney III | $25,644-33,624 | Appt. by Commission | Indefinite | F |
| Attorney II | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Attorney I | $17,800-26,076 | Appt. by Commission | Indefinite | F |
| Executive Director - Mined Land | $21,924-28,224 | Appt. by Commission | Indefinite | F |
| Chief, Engineering Department | $27,744-36,360 | Appt. by Commission | Indefinite | F |
| Utility Compliance Administrator | $22,800-29,328 | Appt. by Commission | Indefinite | F |

***By Legislative action, these salaries will increase July 1, 1981 to: Chairman - $45,500, Commissioners - $44,000.**

## KANSAS STATE CORPORATION COMMISSION (Continued)

| Key Position | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Utilities Engineer II | $18,648-24,000 | Appt. by Commission | Indefinite | F |
| Utilities Engineer I | $16,344-21,012 | Appt. by Commission | Indefinite | F |
| Rate Design and Dep. Admin. | $24,660-32,316 | Appt. by Commission | Indefinite | F |
| Rate Design and Dep. Analyst | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Senior Utilities Economist* | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Administrator, Special Projects | $25,844-33,624 | Appt. by Commission | Indefinite | F |
| Utilities System Analyst | $21,084-27,120 | Appt. by Commission | Indefinite | F |
| Utilities Economist II* | $22,800-29,328 | Appt. by Commission | Indefinite | F |
| Utilities Economist I | $21,084-27,120 | Appt. by Commission | Indefinite | F |
| Chief Regulatory Auditor | $27,744-36,360 | Appt. by Commission | Indefinite | F |
| Senior Regulatory Auditor | $24,660-32,316 | Appt. by Commission | Indefinite | F |
| Utility Regulatory Auditor II | $18,648-24,000 | Appt. by Commission | Indefinite | F |
| Utility Regulatory Auditor I | $15,636-19,740 | Appt. by Commission | Indefinite | F |

*Special two-year project. Project: Load Management.

## KENTUCKY DEPARTMENT OF TRANSPORTATION
NUMBER OF FULL-TIME EMPLOYEES: 365

| | | | | |
|---|---|---|---|---|
| Secretary of Transportation | $47,500 | Appt. by Governor | Indefinite | F |
| Commissioner, Bureau of Vehicle Regulation | $43,500 | Appt. by Governor | Indefinite | F |
| Deputy Commissioner, Bureau of Vehicle Regulation | $39,000 | Appt. by Trans. Dept. | Indefinite | F |
| Directors (4) | $39,000 | Appt. by Trans. Dept. | Indefinite | F |
| Hearing Examiners | $19,512 | Merit System | Permanent | F |

## KENTUCKY ENERGY AND UTILITY REGULATORY COMMISSIONS*
NUMBER OF FULL-TIME EMPLOYEES: 73

### ENERGY REGULATORY COMMISSION

| | | | | |
|---|---|---|---|---|
| Chairman | $42,200 | Appt. by Governor | 4 years | F |
| Vice Chairman | $41,200 | Appt. by Governor | 3 years | F |
| Commissioner | $41,200 | Appt. by Governor | 2 years | F |

### UTILITY REGULATORY COMMISSION

| | | | | |
|---|---|---|---|---|
| Chairman | $29,400 | Appt. by Governor | 4 years | P |
| Vice Chairman | $28,400 | Appt. by Governor | 3 years | P |
| Commissioner | $28,400 | Appt. by Governor | 2 years | P |

### STAFF SERVING BOTH COMMISSIONS

| | | | | |
|---|---|---|---|---|
| Executive Director | $37,000 | Appt. by Governor | Indefinite | F |
| Assistant to the Executive Director | $30,216 | Appt. by Commissions | Indefinite | F |
| Secretary | $34,900 | Appt. by Commissions | Indefinite | F |
| Director of Engineering | $36,000 | Appt. by Commissions | Indefinite | F |
| Director of Utility Accounting | $34,500 | Appt. by Commissions | Indefinite | F |
| Dep. Exec. Dir. for Legal Affairs | $34,500 | Appt. by Commission | Indefinite | F |
| Finance and Personnel Officer | $26,124 | Appt. under Merit System | Indefinite | F |

*Information not updated--no response to 1980 Survey of Salaries.

## KENTUCKY RAILROAD COMMISSION
NUMBER OF EMPLOYEES: 7

| | | | | |
|---|---|---|---|---|
| Chairman | $ 3,600* | Elected | 4 years | P** |
| Commissioners (2) | $ 3,600* | Elected | 4 years | P** |
| Executive Secretary | $14,556 | Appointment | Indefinite | F |
| Counsel | $ 6,000 | Appointment | Indefinite | P |
| Rate Consultant | $ 5,000 | Appointment | Indefinite | P |
| Court Reporter | $ 3,500 | Appointment | Indefinite | P |

*Excludes travel expenses.
**On-call full-time.

## LOUISIANA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 99

| | | | | |
|---|---|---|---|---|
| Chairman | $35,000 | Elected | 6 years | P |
| Commissioners (4) | $35,000 | Elected | 6 years | P |
| Assistant to Commissioners | $27,360 | Appt. by Commissioners | Indefinite | F |
| Exec. Asst. to Commissioners | $24,780 | Appt. by Commissioners | Indefinite | F |
| Secretary | $45,984 | Appt. by Commissioners | Indefinite | F |
| Assistant Secretary | $39,888 | Appt. by Commissioners | Indefinite | F |
| Accountant | $18,504-33,372 | Appt. by Commissioners | Indefinite | F |
| Administration Specialist | $18,636-26,724 | Appt. by Commissioners | Indefinite | F |
| Engineer | $25,320-36,288 | Appt. by Commissioners | Indefinite | F |
| General Counsel | $34,888 | Appt. by Commissioners | Indefinite | F |
| Rate/Tariff Analyst | $13,572-29,076 | Appt. by Commissioners | Indefinite | F |

### MAINE PUBLIC UTILITIES COMMISSION
### NUMBER OF FULL-TIME EMPLOYEES: 76

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $32,137 | Appt. by Governor* | 7 years | F |
| Commissioners (2) | $27,107 | Appt. by Governor* | 7 years | F |
| Secretary | $21,445-31,408 | Appt. by Commission | Indefinite | F |
| General Counsel | $25,230-36,982 | Appt. by Commission** | Indefinite | F |
| Director of Transportation | $25,230-36,982 | Appt. by Commission | Indefinite | F |
| Chief Utility Engineer (Electric) | $21,486-28,246 | Civil Service | Permanent | F |
| Chief Utility Engineer (Communications) | $21,486-28,246 | Civil Service | Permanent | F |
| Chief Utility Engineer (Water and Gas) | $21,486-28,246 | Civil Service | Permanent | F |
| Director of Finance | $25,230-36,982 | Appt. by Commission | Indefinite | F |

*With confirmation by Senate.
**With approval of Attorney General.

### MARYLAND PUBLIC SERVICE COMMISSION
### NUMBER OF FULL-TIME EMPLOYEES: 107

| | | | | |
|---|---|---|---|---|
| Chairman | $45,400 | Appt. by Governor | 6 years | F |
| Commissioners (4) | $44,300 | Appt. by Governor | 6 years | F |
| General Counsel | $28,400 | Appt. by Governor | Indefinite | P |
| People's Counsel | $43,200 | Appt. by Governor | Indefinite | F |
| Executive Secretary | $38,000 | Civil Service | Permanent | F |
| Senior Hearing Examiner | $26,433-34,736 | Civil Service | Permanent | F |
| Chief Engineer | $28,561-37,516 | Civil Service | Permanent | F |
| Chief Auditor | $26,443-34,736 | Civil Service | Permanent | F |
| Director of Transportation | $26,443-34,736 | Civil Service | Permanent | F |
| Chief, Rate Research and Economics | $28,561-37,516 | Civil Service | Permanent | P |
| Accountants | $13,455-29,781 | Civil Service | Permanent | F |
| Administration Specialists | $13,455-23,760 | Civil Service | Permanent | F |
| Attorneys | $19,483-32,163 | Civil Service | Permanent | F |
| Economist Statisticians | $16,791-27,574 | Civil Service | Permanent | F |
| Engineers | $14,482-32,163 | Civil Service | Permanent | F |
| Rate/Securities Analysts | $15,591-27,574 | Civil Service | Permanent | F |

### MASSACHUSETTS DEPARTMENT OF PUBLIC UTILITIES
### NUMBER OF FULL-TIME EMPLOYEES: 122

| | | | | |
|---|---|---|---|---|
| Chairman | $33,146 | Appt. by Governor | Co-Terminus | F |
| Commissioners (2) | $28,021 | Appt. by Governor | Co-Terminus | F |
| Department of Public Utilities Secretary | $19,066-23,640 | Civil Service | Permanent | F |
| Counsel III | $20,886-25,844 | Appt. by Commission | At Will | F |
| Director, Railway and Bus | $18,192-22,479 | Civil Service | Permanent | F |
| Director, Telecommunications Service | $20,010-24,711 | Civil Service | Permanent | F |
| Director, Telephone and Telegraph Utilities | $18,192-22,479 | Civil Service | Permanent | F |
| Director, Utility Accounting | $20,886-25,844 | Civil Service | Permanent | F |
| Chief Public Utilities Engineer | $20,886-25-844 | Civil Service | Permanent | F |
| Director, Rates and Tariffs | $18,192-22,479 | Civil Service | Permanent | F |
| Assistant to DPU | $23,487-29,163 | Civil Service | Permanent | F |
| Director, Long-Range Utility Planning | $19,066-23,640 | Civil Service | Permanent | F |
| Director, Public Utilities Consumer Division | $18,192-22,479 | Civil Service | Permanent | F |

### MICHIGAN DEPARTMENT OF TRANSPORTATION (MDOT)
### OFFICE OF TRANSPORTATION SAFETY AND TARIFFS
### NUMBER OF FULL-TIME EMPLOYEES: 29

| | | | | |
|---|---|---|---|---|
| Director - MDOT | $50,600 | Appt. by Governor | Serves at pleasure of Governor | F |
| Administrator | $38,064 | Civil Service | Permanent | F |

### MICHIGAN PUBLIC SERVICE COMMISSION
### NUMBER OF FULL-TIME EMPLOYEES: 315

| | | | | |
|---|---|---|---|---|
| Chairman | $42,800* | Appt. by Governor | 6 years | F |
| Commissioners (2) | $38,800* | Appt. by Governor | 6 years | F |
| Secretary | $34,535 | Civil Service | Permanent | F |
| Director of Regulatory and Consumer Affairs | $44,704 | Civil Service | Permanent | F |
| Chief of Staff | $48,065 | Civil Service | Permanent | F |
| Counsel-Assistant Attorney Generals (10) | $27,624-51,527 | Civil Service | Permanent | F |
| Chief Hearing Examiner | $47,585 | Civil Service | Permanent | F |
| Gas Division Director | $41,279 | Civil Service | Permanent | F |
| Electric Division Director | $41,279 | Civil Service | Permanent | F |
| Communications Division Director | $41,279 | Civil Service | Permanent | F |
| Technical Services Division Director | $41,279 | Civil Service | Permanent | F |
| Federal Compliance and Analytical Support Division Director | $38,064 | Civil Service | Permanent | F |
| Transportation Office Director | $44,704 | Civil Service | Permanent | F |
| Management and Organizational Development Director | $41,279 | Civil Service | Permanent | F |

*Governor has recommended that the salaries of appointed officials not be increased in fiscal year 1980-81.

### MINNESOTA PUBLIC UTILITIES COMMISSION*
NUMBER OF FULL-TIME EMPLOYEES: 133

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $34,000 | Appt. by Governor** | 6 years | F |
| Commissioners (4) | $34,000 | Appt. by Governor | 6 years | F |
| Director | $34,000 | Appt. by Governor | 4 years | F |
| Assistant Director | $25,682-32,300 | Appt. by Director | Indefinite | F |
| Utilities Division Director | $27,732-32,300 | Civil Service | Permanent | F |
| Commission Support Director | $22,944-32,300 | Civil Service | Permanent | F |
| Transportation Rate Director | $22,092-29,904 | Civil Service | Permanent | F |
| Weights and Measures Division Director | $20,508-27,732 | Civil Service | Permanent | F |
| Accountants | $13,128-23,820 | Civil Service | Permanent | F |
| Engineers | $15,768-31,090 | Civil Service | Permanent | F |
| Analysts (Financial and Rates) | $15,204-32,218 | Civil Service | Permanent | F |
| Rate/Tariff Analysts | $16,992-22,944 | Civil Service | Permanent | F |
| Weights & Measures Investigators | $13,992-22,092 | Civil Service | Permanent | F |
| Clerical | $ 8,800-14,448 | Civil Service | Permanent | F |
| Stenographic | $ 8,376-14,448 | Civil Service | Permanent | F |

*Information not updated--no response to 1980 Survey of Salaries.
**Chairman selected by Commission members.

### MISSISSIPPI PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 89

| | | | | |
|---|---|---|---|---|
| Chairman | $31,000 | Elected | 4 years | F |
| Commissioners (2) | $31,000 | Elected | 4 years | F |
| Assistant Attorney General | $31,000 | Appt. by Atty. General | Permanent | F |
| Transportation Rate Technician | $14,280 | Appt. by Commission | Permanent | F |
| Chief Accountant | $26,820 | Appt. by Commission | Permanent | F |
| Director III | $23,220 | Appt. by Commission | Permanent | F |
| Enforcement Officer III | $20,400 | Appt. by Commission | Permanent | F |
| Executive Secretary | $20,000 | Appt. by Commission | Permanent | F |
| Engineer III | $26,820 | Appt. by Commission | Permanent | F |

### MISSOURI PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 254

| | | | | |
|---|---|---|---|---|
| Chairman/Commissioner | $40,000 | Appt. by Governor | Governor's Pleasure<br>6 years | F |
| Commissioners (4) | $40,000 | Appt. by Governor | 6 years | F |
| Secretary | $33,248 | Appt. by Commission | Commission's Pleasure | F |
| Commission General Counsel | $32,550 | Appt. by Commission | Commission's Pleasure | F |
| Director of Utilities | $34,000 | Appt. by Commission | Commission's Pleasure | F |
| Director, Transportation | $32,749 | Appt. by Commission | Commission's Pleasure | F |
| Chief Hearing Examiner | $29,098 | Appt. by Commission | Commission's Pleasure | F |
| Accountant | $16,231-29,647 | Appt. by Commission | Commission's Pleasure | F |
| Administrative Specialist | $14,871-19,398 | Appt. by Commission | Commission's Pleasure | F |
| Attorney | $17,740-28,332 | Appt. by Commission | Commission's Pleasure | F |
| Clerical | $ 8,225-14,091 | Appt. by Commission | Commission's Pleasure | F |
| Economist/Statistician | $16,230-30,000 | Appt. by Commission | Commission's Pleasure | F |
| Engineer | $17,740-30,000 | Appt. by Commission | Commission's Pleasure | F |
| Inspector/Investigator | $13,090-16,963 | Appt. by Commission | Commission's Pleasure | F |
| Rate/Tariff Analyst | $14,243-25,449 | Appt. by Commission | Commission's Pleasure | F |
| Stenographic | $ 8,954-13,242 | Appt. by Commission | Commission's Pleasure | F |
| EDP Systems Analyst | $17,740-23,032 | Appt. by Commission | Commission's Pleasure | F |

### MONTANA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 44

| | | | | |
|---|---|---|---|---|
| Chairman | $28,699 | Elected | 4 years | F |
| Commissioners (4) | $28,699 | Elected | 4 years | F |
| Executive Director | $27,686 | Appointed | Permanent | F |
| Administrator, Utility Division | $23,264 | Appointed | Permanent | F |
| Administrator, Transportation Division | $24,055 | Appointed | Permanent | F |
| Administrator, Legal Division | $23,589 | Appointed | Permanent | F |
| Administrator, Centralized Services Division | $22,188 | Appointed | Permanent | F |
| Legal Counsel (2) | $19,385-22,112 | Appointed | Permanent | F |

### NEBRASKA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 56

| | | | | |
|---|---|---|---|---|
| Chairman* | $25,000 | Elected | 6 years | F |
| Commissioners (4) | $25,000 | Elected | 6 years | F |
| Executive Secretary | $19,620 | Appt. by Commission | Indefinite | F |
| Director, Motor Transportation | $19,236 | Appt. by Commission | Indefinite | F |
| Director, Accounting and Communications | $21,426 | Appt. by Commission | Indefinite | F |
| Supervisor, Warehouse Dept. | $21,425 | Appt. by Commission | Indefinite | F |
| Hearing Reporters | $10,395-11,499 | Appt. by Commission | Indefinite | F |
| Attorneys (2) | $20,680-21,331 | Appt. by Commission | Indefinite | F |

*Elected by Commissioners.

## NEBRASKA PUBLIC SERVICE COMMISSION
(Continued)

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Engineer | $20,843 | Appt. by Commission | Indefinite | F |
| Rate Analysts (2) | $15,795-17,320 | Appt. by Commission | Indefinite | F |
| Track Safety Inspector | $19,500 | Appt. by Commission | Indefinite | F |
| Freight Car Safety Inspector | $19,600 | Appt. by Commission | Indefinite | F |
| Accountants (2) | $13,304-13,898 | Appt. by Commission | Indefinite | F |
| Inspector/Investigator | $10,520-16,287 | Appt. by Commission | Indefinite | F |
| Clerical | $ 8,280-11,070 | Appt. by Commission | Indefinite | F |
| Other | $ 7,875-11,316 | Appt. by Commission | Indefinite | F |

## NEVADA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 72

| | | | | |
|---|---|---|---|---|
| Chairman | $34,815 | Appt. by Governor | 4 years | F |
| Commissioners (2) | $33,233 | Appt. by Governor | 4 years | F |
| Deputy Commissioner | $31,650 | Appt. by Commission | Permanent | F |
| Secretary | $21,110-29,267 | Civil Service | Permanent | F |
| Assistant Secretary | $14,032-19,251 | Civil Service | Permanent | F |
| Staff Counsel | $26,375 | Appt. by Commission | Permanent | F |
| Assistant Staff Counsel | $25,320 | Appt. by Commission | Permanent | F |
| Deputy Attorney General | $30,279 | Appt. by Atty. General | Permanent | F |
| Deputy Attorney General | $29,224 | Appt. by Atty. General | Permanent | F |
| Administrative Assistants (2) | $21,100 | Appt. by Commission | Permanent | F |
| Administrative Assistant (1) | $20,256 | Appt. by Commission | Permanent | F |
| Director, Consumer Affairs | $24,001 | Appt. by Commission | Permanent | F |
| Chief Public Utility Auditor | $35,026 | Appt. by Commission | Permanent | F |
| Chief Engineer | $25,424-35,366 | Civil Service | Permanent | F |
| Director of Transportation | $19,251-26,638 | Civil Service | Permanent | F |

## NEW HAMPSHIRE PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 45

| | | | | |
|---|---|---|---|---|
| Chairman | $42,450 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $42,450 | Appt. by Governor | 6 years | F |
| Executive Director and Secretary | $24,634-30,304 | Civil Service | Permanent | F |
| Chief Engineer | $22,962-28,110 | Civil Service | Permanent | F |
| Transportation Director | $20,206-24,969 | Civil Service | Permanent | F |
| Finance Director | $20,206-24,969 | Civil Service | Permanent | F |
| Transportation Analyst | $16,320-19,977 | Civil Service | Permanent | F |
| Clerical/Stenographic | $ 8,225- 9,448 | Civil Service | Permanent | F |
| Economist | $19,632-24,182 | Civil Service | Permanent | F |
| Engineer | $18,492-22,617 | Civil Service | Permanent | F |
| Transportation Tariff Investigator | $15,215-18,429 | Civil Service | Permanent | F |
| Consumer Assistant | $19,060-23,399 | Civil Service | Permanent | F |

## NEW JERSEY BOARD OF PUBLIC UTILITIES
NUMBER OF FULL-TIME EMPLOYEES: 227

| | | | | |
|---|---|---|---|---|
| President | $52,500 | Appt. by Governor | 6 years | F |
| Members of the Board (2) | $52,500 | Appt. by Governor | 6 years | F |
| Chief Public Utility Economist | $41,188-55,608 | Appt. by Commission | Indefinite | F |
| Executive Officer | $41,188-55,608 | Civil Service | Permanent | F |
| Assistant Executive Officer | $33,885-45,747 | Civil Service | Permanent | F |
| Secretary of the Board | $37,109 | Appt. by Commission | Indefinite | F |
| Chief Regulatory Officer | $41,188-55,608 | Civil Service | Permanent | F |
| Director of Administration | $29,272-39,522 | Civil Service | Permanent | F |
| Director, Office Management | $29,272-39,522 | Appt. by Commission | Indefinite | F |
| Director, Division of Engineering | $29,272-39,522 | Civil Service | Permanent | F |
| Director, Division of Common Carriers | $29,272-39,522 | Civil Service | Permanent | F |
| Director, Division of Audits | $30,736-41,489 | Civil Service | Permanent | F |
| Director, Division of Rates and Accounts | $29,272-39,522 | Civil Service | Permanent | F |
| Director, Office of CATV | $33,885-45,747 | Appt. by Governor | Indefinite | F |
| Chief, Bureau of Service Inspection | $21,842-29,499 | Civil Service | Permanent | F |
| Personnel Officer | $22,935-30,964 | Civil Service | Permanent | F |
| Assistant Secretary I | $19,811-26,748 | Appt. by Commission | Indefinite | F |
| Assistant Secretary II | $17,113-23,105 | Appt. by Commission | Indefinite | F |
| Confidential Agent | $19,815 | Appointment | Indefinite | F |
| Confidential Secretary | $23,420 | Appointment | Indefinite | F |

## NEW MEXICO PUBLIC SERVICE COMMISSION*
NUMBER OF FULL-TIME EMPLOYEES: 29

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $32,644 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $31,404 | Appt. by Governor | 6 years | F |
| Executive Director | $31,404 | Appt. by Commission | Discretion of Commission | F |
| Commission Counsel | $33,612 | Merit System | Permanent | F |
| Head of Legal Department | $29,016 | Merit System | Permanent | F |
| Head of Engineering Department | $29,016 | Merit System | Permanent | F |
| Head of Rate Analysts | $23,892 | Merit System | Permanent | F |
| Head of Auditing Department | $19,644 | Merit System | Permanent | F |
| Administrator | $17,820 | Merit System | Permanent | F |
| Accountant | $13,308-19,644 | Merit System | Permanent | F |
| Administration Specialist | $17,820-31,404 | | Permanent | F |
| Attorney | $12,060-33,612 | | Permanent | F |
| Engineer | $26,340-29,016 | | Permanent | F |
| Rate Analyst | $18,708-23,892 | | Permanent | F |
| Other | $ 7,776-12,672 | | Permanent | F |

*Information not updated--no response to 1980 Survey of Salaries.

## NEW MEXICO STATE CORPORATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 97

| | | | | |
|---|---|---|---|---|
| Chairman | $35,000 | Elected | 6 years | F |
| Commissioners (2) | $35,000 | Elected | 6 years | F |
| Chief Clerk | $34,368 | Appt. by Commission | Indefinite | F |
| Superintendent of Insurance | $34,368 | Appt. by Commission | Indefinite | F |
| Director, Pipeline Department | $23,256 | Appt. by Commission | Indefinite | F |
| Director, Franchise and Corporation | $23,256 | Appt. by Commission | Indefinite | F |
| Deputy Fire Marshal | $21,108 | Appt. by Commission | Indefinite | F |
| Director, Aviation Department | $20,088 | Appt. by Commission | Indefinite | F |
| Director, Motor Carrier Department | $19,140 | Appt. by Commission | Indefinite | F |

## NEW YORK PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 640*

| | | | | |
|---|---|---|---|---|
| Chairman | $57,500 | Appt. by Governor | 6 years | F |
| Commissioners (6) | $49,300 | Appt. by Governor | 6 years | F |
| Executive Assistant to Chairman | $41,271 | Appt. by Chairman | Indefinite | F |
| Assistant to Chairman | $39,486 | Appt. by Chairman | Indefinite | F |
| Secretary | $53,955 | Appt. by Chairman | Indefinite | F |
| Deputy Secretary | $44,940 | Appt. by Chairman | Indefinite | F |
| Counsel | $53,955 | Appt. by Chairman | Indefinite | F |
| Deputy Counsel | $50,488 | Appt. by Chairman | Indefinite | F |
| Director, Office of Administrative Hearings | $50,489 | Appt. by Chairman | Indefinite | F |
| Director, Office of Opinions and Review | $45,821 | Appt. by Chairman | Indefinite | F |
| Director, Communications Division | $53,157 | Appt. by Chairman | Indefinite | F |
| Deputy Director, Communications Division | $44,969 | Appt. by Chairman | Indefinite | F |
| Director, Power Division | $52,665 | Appt. by Chairman | Indefinite | F |
| Deputy Director, Power Division | $47,936 | Appt. by Chairman | Indefinite | F |
| Director, Gas Division | $51,521 | Appt. by Chairman | Indefinite | F |
| Deputy Director, Gas Division | $47,266 | Appt. by Chairman | Indefinite | F |
| Director, Water Division | $49,231 | Appt. by Chairman | Indefinite | F |
| Director, Office of Research | $46,277 | Appt. by Chairman | Indefinite | F |
| Director, Office of Environmental Planning | $46,941 | Appt. by Chairman | Indefinite | F |
| Director, Office of Accounting and Utility Finance | $52,823 | Appt. by Chairman | Indefinite | F |
| Deputy Director, Office of Accounting and Utility Finance | $44,969 | Appt. by Chairman | Indefinite | F |

*As of September 10, 1980.
Note: Salaries effective April 1, 1981.

## NEW YORK STATE DEPARTMENT OF TRANSPORTATION
NUMBER OF FULL-TIME EMPLOYEES: 66*

| | | | | |
|---|---|---|---|---|
| Commissioner of Transportation | $57,500 | Appointed | Indefinite | F |
| Assistant Commissioner for Regulatory Affairs | $47,436 | Appointed | Indefinite | F |
| Executive Assistant | $31,101 | Civil Service | Permanent | F |
| Director, Hearing Bureau | $46,962 | Appointed | Indefinite | F |
| Director, Financial Review Bureau | $43,419 | Appointed | Indefinite | F |
| Director, Regulatory Service Bureau | $42,068 | Appointed | Indefinite | F |
| Administrative Law Judges (9) | $24,652-40,153 | Appointed | Indefinite | F |
| Principal Accountant | $31,744 | Civil Service | Permanent | F |
| Staff Accountants (5) | $17,570-26,585 | Civil Service | Permanent | F |
| Chief Rates Examiner | $29,673 | Civil Service | Permanent | F |
| Staff Examiners (4) | $17,570-26,585 | Civil Service | Permanent | F |
| Supervisor of Motor Carriers Services | $21,345 | Civil Service | Permanent | F |
| Motor Carrier Investigators (16) | $14,045-19,535 | Civil Service | Permanent | F |
| Attorneys | $23,288-34,449 | Civil Service | Permanent | F |

*Authorized positions; 64 filled.

## NORTH CAROLINA UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: TOTAL 168
COMMISSION STAFF-80, PUBLIC STAFF-88
DATA AS OF JULY 1, 1980

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $46,636 | Appt. by Governor* | 8 years | F |
| Commissioners (6) | $45,636 | Appt. by Governor* | 8 years | F |
| COMMISSION STAFF | | | | |
| General Counsel | $32,820-45,852 | Appt. by Chairman | Permanent | F |
| Chief Clerk | $13,572-18,612 | Appt. by Chairman | Permanent | F |
| Director, Accounting | $27,132-37,860 | Appt. by Chairman | Permanent | F |
| Director, Engineering | $27,132-37,860 | Appt. by Chairman | Permanent | F |
| Director, Finance/Statistics | $28,452-39,755 | Appt. by Chairman | Permanent | F |
| Director, Transportation | $27,132-37,860 | Appt. by Chairman | Permanent | F |
| PUBLIC STAFF | | | | |
| Executive Director | $45,636 | Appt. by Governor* | 6 years | F |
| Chief Counsel | $32,820-45,852 | Appt. by Exec. Director | Permanent | F |
| Director, Accounting Division | $28,452-39,756 | Appt. by Exec. Director | Permanent | F |
| Director, Consumer Services | $14,868-20,412 | Appt. by Exec. Director | Permanent | F |
| Director, Economic Research | $27,132-37,860 | Appt. by Exec. Director | Permanent | F |
| Director, Electric Division | $29,796-41,676 | Appt. by Exec. Director | Permanent | F |
| Director, Gas Division | $29,796-41,676 | Appt. by Exec. Director | Permanent | F |
| Director, Communications Division | $29,796-41,676 | Appt. by Exec. Director | Permanent | F |
| Director, Transportation Rates | $19,476-27,132 | Appt. by Exec. Director | Permanent | F |
| Director, Water Division | $22,428-31,284 | Appt. by Exec. Director | Permanent | F |

*Confirmed by Legislature.

## NORTH DAKOTA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 50*

| | | | | |
|---|---|---|---|---|
| President** | $33,500*** | Elected | 6 years | F |
| Commissioners (2) | $33,500*** | Elected | 6 years | F |
| Chief Engineer | $26,820-39,624 | Appt. by Commission | Permanent | F |
| Chief Accountant | $23,172-34,236 | Appt. by Commission | Permanent | F |
| Commerce Counsel | $26,820-39,624 | Appt. by Commission | Permanent | F |
| Administrative Officer I | $11,160-16,464 | Appt. by Commission | Permanent | F |
| Administrative Officer II | $13,548-20,016 | Appt. by Commission | Permanent | F |
| Administrative Officer III | $16,464-24,336 | Appt. by Commission | Permanent | F |
| Attorney II | $18,156-26,820 | Appt. by Commission | Permanent | F |

*Excluding Commissioners.
**The Commission reorganizes and elects a President every two years with no additional salary.
***Includes unvouchered expenses.

## NOVA SCOTIA BOARD OF COMMISSIONERS OF PUBLIC UTILITIES
NUMBER OF FULL-TIME EMPLOYEES: 56

| | | | | |
|---|---|---|---|---|
| Chairman | $50,000 | Governor-in-Council | Permanent | F |
| Commissioners (6) | $43,000-44,000 | Governor-in-Council | Permanent (age 70) | F |
| Clerk | $18,300 | Governor-in-Council | Permanent | F |
| Financial Policy Advisor | $33,800 | Appointed by Board | Permanent | F |
| Board Administrator | $32,345 | Appointed by Board | Permanent | F |
| Motor Carrier Director (Freight) | $22,300 | Civil Service | Permanent | F |
| Motor Carrier Director (Public Passenger) | $20,300 | Civil Service | Permanent | F |
| Gasoline and Salvage Yards (Supervisor) | $22,300 | Civil Service | Permanent | F |

## OHIO PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 332

| | | | | |
|---|---|---|---|---|
| Chairman | $39,000 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $36,000 | Appt. by Governor | 6 years | F |
| Director, Office of Administration | $29,787 | Appt. by Commission | Permanent | F |
| Director, Utilities Department | $37,298 | Appt. by Commission | Permanent | F |
| Director, Transportation Dept. | $31,159 | Appt. by Commission | Permanent | F |
| Director, Legal Department | $32,782 | Appt. by Commission | Permanent | F |
| Director, Public Interest Center | $24,420 | Appt. by Commission | Permanent | F |
| Chief Counsel | $32,656 | Asst. Atty. General assigned to Commission | Permanent | F |

## OKLAHOMA CORPORATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 223

| | | | | |
|---|---|---|---|---|
| Chairman | $25,000-31,500 | Elected | 6 years | F |
| Commissioner (1) | $27,000 | Elected | 6 years | F |
| Commissioner (1) | $30,000 | Elected | 6 years | F |
| General Counsel | $32,016 | Appt. by Commission | Permanent | F |
| Director, Public Utilities | $30,696 | Appt. by Commission | Permanent | F |
| Director, Motor Carriers | $27,984 | Appt. by Commission | Permanent | F |
| Director, Fuel Inspection | $29,376 | Appt. by Commission | Permanent | F |
| Pipeline Engineer and Director Pipeline Safety | $26,150 | Appt. by Commission | Permanent | F |
| Director, Oil & Gas Conservation | $30,696 | Appt. by Commission | Permanent | F |

## ONTARIO TELEPHONE SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 5

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $50,000-55,000 | Appointed | 1 year | F |
| Commissioners (5) | $85 per diem | Appointed | 1 year | F |
| Manager of Operations | $29,850-37,575 | Civil Servant | Permanent | F |
| Research Officer | $16,550-19,275 | Civil Servant | Permanent | F |
| Secretary/Registrar | $19,125-22,600 | Civil Servant | Permanent | F |
| Rate Analyst | $26,275-29,566 | Civil Servant | Permanent | F |

## OREGON PUBLIC UTILITY COMMISSIONER
NUMBER OF FULL-TIME EMPLOYEES: 351

| | | | | |
|---|---|---|---|---|
| Commissioner | $48,456 | Appt. by Governor | 4 years | F |
| Deputy Commissioner | $37,956 | Appt. by Commissioner | Indefinite | F |
| Assistant Commissioner for Administrative Services | $36,132 | Appt. by Commissioner | Indefinite | F |
| Assistant Commissioner for Motor Carriers | $37,956 | Appt. by Commissioner | Indefinite | F |
| Assistant Commissioner for Rail/Air | $36,132 | Appt. by Commissioner | Indefinite | F |
| Assistant Commissioner for Proceedings | $37,956 | Appt. by Commissioner | Indefinite | F |
| Assistant Commissioner for Utility | $37,956 | Appt. by Commissioner | Indefinite | F |
| Accountant | $19,152 | Appt. by Commissioner | Permanent | F |
| Attorney | $33,780 | Appt. by Commissioner | Permanent | F |
| Senior Attorney | $37,260 | Appt. by Commissioner | Permanent | F |
| Economist | $30,636 | Appt. by Commissioner | Permanent | F |
| Engineer | $29,196 | Appt. by Commissioner | Permanent | F |
| Rate Tariff Analyst | $19,704 | Appt. by Commissioner | Permanent | F |
| Transportation Specialist | $19,704 | Appt. by Commissioner | Permanent | F |
| Revenue Auditor | $19,704 | Appt. by Commissioner | Permanent | F |
| Utility Auditor | $23,988 | Appt. by Commissioner | Permanent | F |

Note: Maximum annual salaries.

## PENNSYLVANIA PUBLIC UTILITY COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 538

| | | | | |
|---|---|---|---|---|
| Chairman | $42,500 | Appt. by Governor | 10 years | F |
| Commissioners (4) | $40,000 | Appt. by Governor | 10 years | F |
| Director of Operations | $33,154-39,863 | Appt. by Commission | Indefinite | F |
| Secretary | $29,105-37,770 | Appt. by Commission | Indefinite | F |
| Counsel | $30,396-39,374 | Appt. by Commission | Indefinite | F |
| Chief Administrative Law Judge | $35,000 | Appt. by Commission | Indefinite | F |
| Bureau Directors: | | | | |
| Rates | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Transportation, Non-Rail | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Transportation, Rail | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Conservation, Economics and Energy Planning | $30,396-39,374 | Appt. by Commission | Indefinite | F |
| Consumer Services | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Safety and Compliance | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Special Offices: | | | | |
| Director, Office of Special Assistants | $29,105-37,770 | Appt. by Commission | Indefinite | F |
| Director, Office of Intergovernmental Affairs | $27,853-36,186 | Appt. by Commission | Indefinite | F |
| Director, Office of Public Information | $24,548-31,746 | Appt. by Commission | Indefinite | F |

## PUERTO RICO PUBLIC SERVICE COMMISSION*
NUMBER OF FULL-TIME EMPLOYEES: 256

| | | | | |
|---|---|---|---|---|
| Chairman | $27,000 | Appt. by Governor | 4 years | F |
| Commissioners (2) | $24,700 | Appt. by Governor | 4 years | F |
| Secretary | $12,360-17,160 | Appt. by Commission | Permanent | F |
| Counsel | $15,660-20,460 | Civil Service | Permanent | F |
| Chief Hearing Examiner | $13,860-18,660 | Civil Service | Permanent | F |
| Chief Attorney for Public Interest | $13,860-18,660 | Civil Service | Permanent | F |
| Director of Planning | $11,760-16,560 | Civil Service | Permanent | F |
| Director of Administration | $11,760-16,560 | Civil Service | Permanent | F |
| Regional Coordinator | $16,860-21,660 | Civil Service | Permanent | F |
| Director of Information | $11,760-16,560 | Civil Service | Permanent | F |
| Director, Rules and Regulations | $15,660-20,460 | Civil Service | Permanent | F |

*Information not updated--no response to 1980 Survey of Salaries.

## QUEBEC ELECTRICITY AND GAS BOARD
NUMBER OF FULL-TIME EMPLOYEES: 80

| | | | | |
|---|---|---|---|---|
| President | $62,000 | Legislature | 10 years | F |
| Vice President | $49,500 | Legislature | 10 years | F |
| Commissioners (2) | $47,700 | Legislature | 10 years | F |
| Secretary | $46,000 | Civil Service | Permanent | F |
| Technical | $38,000 | Civil Service | Permanent | F |
| Finance | $38,000 | Civil Service | Permanent | F |

### QUEBEC PUBLIC SERVICE BOARD
NUMBER OF FULL-TIME EMPLOYEES: 68

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $63,700 | Lt. Governor-in-Council | 10 years | F |
| Vice Chairmen (2) | $54,236 | Lt. Governor-in-Council | 6 years | F |
| Members (6) | $51,578 | Lt. Governor-in-Council | 10 years | F |
| Executive Assistant to Chairman | $31,775-44,590 | Civil Service | Permanent | F |
| Secretary | $35,533-49,075 | Civil Service | Permanent | F |
| Head - Technical Department | $35,533-49,075 | Civil Service | Permanent | F |
| Head - Tariffs and Economics Department | $35,533-49,075 | Civil Service | Permanent | F |
| Head - Sociology and Programming Department | $35,533-49,075 | Civil Service | Permanent | F |
| Head - Public Relations Department | $31,775-44,590 | Civil Service | Permanent | F |
| Head - Personnel and Administration Department | $31,134-37,949 | Civil Service | Permanent | F |

### RHODE ISLAND PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 35

| | | | | |
|---|---|---|---|---|
| Chairman | $44,260 | Appt. by Governor | 6 years | F |
| Commissioner | $33,672 | Appt. by Governor | 6 years | F |
| Commissioner | $27,915 | Appt. by Governor | Permanent | F |
| Deputy Administrator | $24,310 | Civil Service | Permanent | F |
| Legal Counsel | $30,746 | Civil Service | Permanent | F |
| Chief Accountant | $26,935 | Civil Service | Permanent | F |
| Chief Engineer | $22,501 | Civil Service | Permanent | F |
| Highway Freight Carrier Examiner | $17,053 | Civil Service | Permanent | F |
| Administrative Assistant | $12,906 | Civil Service | Permanent | F |
| Commission Clerk | $18,075 | Civil Service | Permanent | F |
| Associate Administrator for Operations | $22,106 | Civil Service | Permanent | F |
| Associate Administrator for Consumer Affairs | $24,496 | Civil Service | Permanent | F |
| Utility Economist | $21,510 | Civil Service | Permanent | F |
| Chief Field Investigator | $18,361 | Civil Service | Permanent | F |
| Railroad Track Safety Inspector | $20,662 | Civil Service | Permanent | F |
| Track Safety Inspector | $18,852 | Civil Service | Permanent | F |
| Senior Accountant | $20,941 | Civil Service | Permanent | F |
| Public Utility Analyst III | $18,187 | Civil Service | Permanent | F |
| Chief Consumer Agent | $14,928 | Civil Service | Permanent | F |

### SOUTH CAROLINA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 145

| | | | | |
|---|---|---|---|---|
| Chairman | $36,306 | Rotates every 2 years | 4 years | F |
| Commissioners (6) | $33,366 | General Assembly | 4 years | F |
| Executive Director | $40,241 | Appt. by Commission | Permanent | F |
| Chief Counsel | $27,225-38,885 | Appt. by Commission | Permanent | F |
| Director, Utilities Division | $27,225-38,885 | Appt. by Commission | Permanent | F |
| Director, Transportation Division | $27,225-38,885 | Appt. by Commission | Permanent | F |
| Director of Research | $22,376-31,716 | Appt. by Commission | Permanent | F |

### SOUTH DAKOTA PUBLIC UTILITIES COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 35

| | | | | |
|---|---|---|---|---|
| Chairman | $30,000 | Elected | 6 years | F |
| Commissioners (2) | $30,000 | Elected | 6 years | F |
| Executive Secretary | $23,800 | Appt. by Commission | Exempt* | F |
| Director of Fixed Utilities | $22,000 | Appt. by Commission | Exempt* | F |
| Deputy Director of Fixed Utilities | $18,000 | Appt. by Commission | Exempt* | F |
| Director of Transportation | $23,200 | Appt. by Commission | Exempt* | F |
| Assistant Attorney General (2) | $17,900** | | | |

*All exempt positions serve at the pleasure of the Commission. All other positions (not listed) are under Career Service.

**Appointed by Attorney General and assigned to Commission.

### TENNESSEE PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 142

| | | | | |
|---|---|---|---|---|
| Chairman | $46,524 | Elected | 6 years | F |
| Commissioners (2) | $46,524 | Elected | 6 years | F |
| Executive Secretary | $39,864 | Appt. by Commission | Indefinite | F |
| General Counsel | $39,864 | Appt. by Commission | Indefinite | F |
| Director of Accounting Division | $37,405 | Appt. by Commission | Indefinite | F |
| Director of Utility Rates | $37,405 | Appt. by Commission | Indefinite | F |
| Director, Assessment | $37,405 | Appt. by Commission | Indefinite | F |
| Director, Utility Service Division | $27,504 | Appt. by Commission | Indefinite | F |
| Director, Railroad Division | $31,584 | Appt. by Commission | Indefinite | F |
| Director, Motor Carrier Division | $34,644 | Appt. by Commission | Indefinite | F |
| Director, Public Information and Research | $18,564 | Appt. by Commission | Indefinite | F |
| Director, Engineer Division | $31,584 | Appt. by Commission | Indefinite | F |
| Director, Transportation Rate Division | $33,084 | Appt. by Commission | Indefinite | F |

## TEXAS AERONAUTICS COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 38

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Commissioners (6) | Per Diem | Appointed by Governor | 6 years | P |
| Chairman | Per Diem | Elected by Commissioners | 6 years | P |
| Executive Director | $32,300 | Appt. by Commission | Permanent | F |
| Chief Airport Engineer | $31,200 | Appointed by Director | Permanent | F |
| Administrator, Air Carrier Regulation | $31,200 | Appointed by Director | Permanent | F |
| Director, Special Programs | $30,204 | Appointed by Director | Permanent | F |

## TEXAS PUBLIC UTILITY COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 110

| | | | | |
|---|---|---|---|---|
| Chairman (2) | $46,200 | Appt. by Governor | 6 years | F |
| Commissioners | $46,200 | Appt. by Governor | 6 years | F |
| Director of Public Utilities | $39,100 | Appt. by Commission | Permanent | F |
| General Counsel | $37,600 | Appt. by Commission | Permanent | F |
| Director, Hearings Division | $37,600 | Appt. by Commission | Permanent | F |
| Chief Engineer | $37,600 | Appt. by Commission | Permanent | F |
| Director, Economic Research | $37,600 | Appt. by Commission | Permanent | F |
| Chief Accountant | $37,600 | Appt. by Commission | Permanent | F |

## TEXAS RAILROAD COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 700

| | | | | |
|---|---|---|---|---|
| Commissioners (3) | $51,000-51,500 | Elected | 6 years | F |
| Director, Oil and Gas Division | $33,700-34,800 | Appt. by Commission | Indefinite | F |
| Assistant Director, Oil and Gas Division | $32,000-33,100 | Appt. by Commission | Indefinite | F |
| Director, Transportation Division | $30,200-31,200 | Appt. by Commission | Indefinite | F |
| Asst. Dir., Transportation Div. | $28,300-29,300 | Appt. by Commission | Indefinite | F |
| Director, Gas Utilities Division | $30,200-31,200 | Appt. by Commission | Indefinite | F |
| Director, Surface Mining and Reclamation | $30,200-31,200 | Appt. by Commission | Indefinite | F |
| Director, Liquefied Petroleum Gas Division | $29,208-30,168 | Appt. by Commission | Indefinite | F |
| Director, Administrative Services Division | $29,208-30,168 | Appt. by Commission | Indefinite | F |
| Director, Personnel Division | $29,208-30,168 | Appt. by Commission | Indefinite | F |
| Director, Finance and Procurement Division | $29,208-30,168 | Appt. by Commission | Indefinite | F |
| Director, Automatic Data Processing | $29,208-30,168 | Appt. by Commission | Indefinite | F |
| Director, Field Operations (Oil and Gas) | $30,200-31,200 | Appt. by Commission | Indefinite | F |
| Chief Legal Counsel (Oil & Gas) | $30,200-31,200 | Appt. by Commission | Indefinite | F |
| Special Counsel | $34,200-39,100 | Appt. by Commission | Indefinite | F |
| Director, Information Services | $25,600 | Appt. by Commission | Indefinite | F |
| Senior Legal Examiners (5) | $29,300 | Appt. by Commission | Indefinite | F |
| Senior Staff Engineers (4) | $29,300 | Appt. by Commission | Indefinite | F |
| Senior Staff Geologists (2) | $29,300 | Appt. by Commission | Indefinite | F |
| Accountant | $12,000-28,248 | Appt. by Commission | Indefinite | F |
| Administrative Specialities | $25,600-31,200 | Appt. by Commission | Indefinite | F |
| Attorney | $14,628-29,300 | Appt. by Commission | Indefinite | F |
| Economist/Statistician | $10,512-24,768 | Appt. by Commission | Indefinite | F |
| Engineer | $17,832-29,300 | Appt. by Commission | Indefinite | F |
| Rate/Tariff Analyst | $12,816-26,448 | Appt. by Commission | Indefinite | F |
| Other | $ 6,624-30,168 | Appt. by Commission | Indefinite | F |

## UTAH PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 26

| | | | | |
|---|---|---|---|---|
| Chairman | $29,868-43,620 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $29,868-43,620 | Appt. by Governor | 6 years | F |
| Administrative Secretary | $20,592-30,048 | Appt. by Commission | Permanent | F |
| Docket Secretary | $10,128-14,772 | Merit System | Permanent | F |
| Division of Public Utilities: | | | | |
| Director | $29,868-43,620 | Appt. by Governor | Permanent | F |
| Mgr. Util. Accounts & Finance | $24,000-35,040 | Merit System | Permanent | F |
| Asst. Mgr. Util. Accounts & Finance | $20,592-30,048 | Merit System | Permanent | F |
| Util. Economist & Util. Engineers | $20,592-33,275 | Merit System | Permanent | F |
| Manager, Regulated Carriers | $20,592-30,048 | Merit System | Permanent | F |
| Rates and Tariff Specialist | $16,680-24,348 | Merit System | Permanent | F |
| Compliance Officers | $13,212-21,588 | Merit System | Permanent | F |
| Pipeline Inspector | $16,680-24,348 | Merit System | Permanent | F |
| Auditors | $18,516-27,012 | Merit System | Permanent | F |

### VERMONT PUBLIC SERVICE BOARD
NUMBER OF FULL-TIME EMPLOYEES: 26

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $23,200-37,600 | Appt. by Governor | 2 years | F |
| Board Members (2) | $15,000 | Appt. by Governor | 6 years | P |
| General Counsel | $25,000-30,000 | Appt. by Chairman | Indefinite | F |
| Clerk of the Board | $19,000 | Appt. by Board | Indefinite | F |
| Chief Engineer | $19,214-31,694 | Civil Service | Permanent | F |
| Chief, Economics Division | $18,070-29,932 | Civil Service | Permanent | F |
| Chief, Consumer Affairs Division | $14,456-23,452 | Civil Service | Permanent | F |
| Accounting (4) | $10,868-21,918 | Civil Service | Permanent | F |
| Attorneys (2) | $15,000-25,000 | Appt. by Chairman | Indefinite | F |
| Clerical (1) | $ 7,072-11,388 | Civil Service | Permanent | F |
| Engineers (4) | $15,288-28,132 | Civil Service | Permanent | F |
| Hearing Examiner (1) | $14,456-23,452 | Civil Service | Permanent | F |
| Inspector/Investigator (2) | $11,570-18,564 | Civil Service | Permanent | F |
| Stenographic (4) | $ 7,410-14,794 | Civil Service | Permanent | F |

### VIRGIN ISLANDS PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 2

| | | | | |
|---|---|---|---|---|
| Chairman* | | | 1 year | P |
| Commissioners (7) (Voting) | $30 stipend for meeting attended | Appt. by Governor | 3 years | P |
| Commissioners (2) (Non-Voting) | -- | Appt. by Senate President | Indefinite | P |
| Executive Director | $21,000 | Appt. by Governor | Indefinite | F |
| Other | $14,000 | Appt. by Commission | Indefinite | F |

*Chairman elected annually from among the Members.

### VIRGINIA STATE CORPORATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 456
EFFECTIVE: SEPTEMBER 15, 1980

| | | | | |
|---|---|---|---|---|
| Chairman | $52,360 | Appt. by Legislature | 6 years | F |
| Commissioners (2) | $51,360 | Appt. by Legislature | 6 years | F |
| Clerk | $40,890 | Appt. by Commission | Indefinite | F |
| Director of Securities and Retail Franchising | $31,290 | Appt. by Commission | Indefinite | F |
| Commissioner of Financial Institutions | $46,730 | Appt. by Commission | Indefinite | F |
| Commissioner of Insurance | $42,750 | Appt. by Commission | Indefinite | F |
| Director of Accounting and Finance | $35,770 | Appt. by Commission | Indefinite | F |
| Director of Energy Regulation | $35,770 | Appt. by Commission | Indefinite | F |
| Director of Communications | $32,720 | Appt. by Commission | Indefinite | F |
| General Counsel | $42,750 | Appt. by Commission | Indefinite | F |
| Director of Economic Research and Development | $32,720 | Appt. by Commission | Indefinite | F |
| General Director of Utilities | $39,100 | Appt. by Commission | Indefinite | F |
| Director of Public Service Taxation | $34,210 | Appt. by Commission | Indefinite | F |
| Director of Motor Carrier Compliance and Services | $35,770 | Appt. by Commission | Indefinite | F |
| Director of Motor Carrier Operations | $34,210 | Appt. by Commission | Indefinite | F |
| Director of Motor Carrier Regulatory Planning and Control | $35,770 | Appt. by Commission | Indefinite | F |
| Director of Motor Carrier Enforcement | $31,290 | Appt. by Commission | Indefinite | F |
| Director of Railroad Regulation | $32,720 | Appt. by Commission | Indefinite | F |
| Comptroller | $39,100 | Appt. by Commission | Indefinite | F |
| Director of Personnel | $31,290 | Appt. by Commission | Indefinite | F |
| Director of Public Information | $31,290 | Appt. by Commission | Indefinite | F |
| Director of Planning and Development | $37,400 | Appt. by Commission | Indefinite | F |

### WASHINGTON UTILITIES AND TRANSPORTATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 203

| | | | | |
|---|---|---|---|---|
| Chairman | $47,700 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $42,900 | Appt. by Governor | 6 years | F |
| Administrative Manager and Secretary | $29,676-37,980 | Civil Service | Permanent | F |
| Executive Officer | $36,156 | Appt. by Commission | Indefinite | F |
| Utilities and Accounting Administrator | $32,748-41,928 | Civil Service | Permanent | F |
| Transportation Administration | $31,176-39,900 | Civil Service | Permanent | F |
| Chief Hearing Examiner | $29,676-37,980 | Civil Service | Permanent | F |

Note: Salaries effective October 1, 1980.

## WEST VIRGINIA PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 151

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $35,000 | Appt. by Governor | 1 year | F |
| Commissioners (2) | $32,500 | Appt. by Governor | 6 years | F |
| Executive Secretary | $24,096-41,196 | Civil Service | Permanent | F |
| Director, Accounting | $32,280-54,888 | Civil Service | Permanent | F |
| Director, Engineering | $37,368-63,384 | Civil Service | Permanent | F |
| Director, Motor Carrier | $19,812-32,484 | Civil Service | Permanent | F |
| Director, Railroad Safety | $19,812-32,484 | Civil Service | Permanent | F |
| General Counsel | $24,096-41,196 | Civil Service | Permanent | F |
| Utilities Analyst I | $13,704-22,296 | Civil Service | Permanent | F |
| Utilities Analyst II | $16,464-26,904 | Civil Service | Permanent | F |
| Senior Utilities Analyst | $19,812-32,484 | Civil Service | Permanent | F |
| Chief Utilities Analyst | $24,096-41,196 | Civil Service | Permanent | F |
| Research Analyst and Examiner I | $16,464-26,904 | Civil Service | Permanent | F |
| Research Analyst and Examiner II | $18,060-29,556 | Civil Service | Permanent | F |
| Research Analyst and Examiner III | $19,812-32,484 | Civil Service | Permanent | F |
| Research Analyst and Examiner IV | $20,808-35,724 | Civil Service | Permanent | F |
| Engineer-in-Training I | $16,464-26,904 | Civil Service | Permanent | F |
| Engineer-in-Training II | $18,060-29,556 | Civil Service | Permanent | F |
| Engineering Technician | $10,452-16,872 | Civil Service | Permanent | F |
| Utility Engineer I | $19,812-32,484 | Civil Service | Permanent | F |
| Utility Engineer II | $20,808-35,724 | Civil Service | Permanent | F |
| Utility Engineer III | $24,096-41,196 | Civil Service | Permanent | F |
| Utility Engineer IV | $27,888-47,544 | Civil Service | Permanent | F |
| Utility Engineer V | $32,280-54,888 | Civil Service | Permanent | F |
| Technical Assistant I | $11,436-18,516 | Civil Service | Permanent | F |
| Technical Assistant II | $12,516-20,304 | Civil Service | Permanent | F |
| Section Chief I | $ 9,084-15,384 | Civil Service | Permanent | F |
| Section Chief II | $11,436-18,516 | Civil Service | Permanent | F |
| Section Chief III | $12,516-20,304 | Civil Service | Permanent | F |
| Section Chief IV | $16,464-26,904 | Civil Service | Permanent | F |
| Clerical | $ 6,768-14,700 | Civil Service | Permanent | F |
| Utility Investigator I | $ 8,652-14,700 | Civil Service | Permanent | F |
| Utility Investigator II | $10,452-16,872 | Civil Service | Permanent | F |
| Administrative Assistant I | $12,516-20,304 | Civil Service | Permanent | F |
| Administrative Assistant II | $13,704-22,296 | Civil Service | Permanent | F |
| Administrative Assistant III | $15,012-24,492 | Civil Service | Permanent | F |
| Data Processing Manager I | $18,060-29,556 | Civil Service | Permanent | F |

## WISCONSIN PUBLIC SERVICE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 146

| | | | | |
|---|---|---|---|---|
| Chairman | $44,309 | Appt. by Governor | 6 years | F |
| Commissioners (2) | $40,709 & 46,700 | Appt. by Governor | 6 years | F |
| Administrator, Public Utility Accounting Division | $33,428-46,464 | Civil Service | Permanent | F |
| Administrator, Public Utility Rates Division | $33,428-46,464 | Civil Service | Permanent | F |
| Administrator, Public Utility Engineering Division | $33,428-46,464 | Civil Service | Permanent | F |
| Chief Hearing Examiner | $22,170-40,668 | Civil Service | Permanent | F |
| Chief Counsel | $22,412-45,290 | Civil Service | Permanent | F |
| Accountant | $14,586-30,205 | Civil Service | Permanent | F/P |
| Attorney | $19,192-45,290 | Civil Service | Permanent | F/P |
| Economist | $23,690-32,942 | Civil Service | Permanent | F |
| Engineer | $16,881-28,538 | Civil Service | Permanent | F/P |
| Rate Analyst | $14,868-26,446 | Civil Service | Permanent | F/P |

## WISCONSIN TRANSPORTATION COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 53 CLASSIFIED

| | | | | |
|---|---|---|---|---|
| Chairman | $33,000-47,000 | Appt. by Governor* | 6 years* | F |
| Commissioners (2) | $30,000-42,000 | Appt. by Governor | 6 years | F |

*The Commission appointments are for six-year terms with one expiring each two years. The Governor also designates which appointment is Chairperson.

## WYOMING PUBLIC SERVICE COMMISSION*
NUMBER OF FULL-TIME EMPLOYEES: 37

| | | | | |
|---|---|---|---|---|
| Chairman | $34,440-46,152 | Appt. by Governor | 6 years | F |
| Deputy Chairman | $31,200-41,820 | Appt. by Governor | 6 years | F |
| Commissioner | $31,200-41,820 | Appt. by Governor | 6 years | F |
| Chief Counsel/Administrative Asst. | $28,272-37,884 | Appt. by Commission | Permanent | F |
| Staff Counsel | $19,512-26,148 | Civil Service | Permanent | F |
| Legal Officer | $19,512-26,148 | Civil Service | Permanent | F |
| Director, Motor Transportation | $17,232-23,088 | Civil Service | Permanent | F |
| Director, Rates and Tariff | $17,232-23,088 | Civil Service | Permanent | F |
| Director, Utilities Department | $16,812-22,524 | Civil Service | Permanent | F |
| Assistant Director, Motor Transportation | $14,148-18,960 | Civil Service | Permanent | F |
| Assistant Director, Rates and Tariff | $14,148-18,960 | Civil Service | Permanent | F |
| Rate Analysts | $19,032-28,188 | Civil Service | Permanent | F |

*Information not updated--no response to 1980 Survey of Salaries.

## CIVIL AERONAUTICS BOARD
## NUMBER OF FULL-TIME EMPLOYEES: 743

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 6 years | F |
| Vice Chairman | $52,750 | Appt. by President | 6 years | F |
| Members (3) | $52,750 | Appt. by President | 6 years | F |

## FEDERAL COMMUNICATIONS COMMISSION
## NUMBER OF FULL-TIME EMPLOYEES: 2,184

| | | | | |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 7 years | F |
| Commissioners (6) | $52,750 | Appt. by President | 7 years | F |
| Plans and Policy Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Opinions and Review Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Review Board Chairman | $50,112 | Civil Service | Permanent | F |
| Chief Administrative Law Judge | $50,112 | Civil Service | Permanent | F |
| Executive Director | $50,112 | Senior Exec. Service | Indefinite | F |
| General Counsel | $50,112 | Senior Exec. Service | Indefinite | F |
| Chief Scientist | $50,112 | Senior Exec. Service | Indefinite | F |
| Broadcast Bureau Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Cable Television Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Common Carrier Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Field Operations Chief | $50,112 | Senior Exec. Service | Indefinite | F |
| Chief, Private Radio Bureau | $50,112 | Senior Exec. Service | Indefinite | F |
| Accountant | $26,951-50,112 | Civil Service | Permanent | F |
| Administrative Specialist | $ 7,960-50,112 | Civil Service | Permanent | F |
| Attorney | $22,486-50,112 | Civil Service | Permanent | F |
| Clerical | $ 9,766-21,875 | Civil Service | Permanent | F |
| Economist/Statistician | $ 9,766-50,112 | Civil Service | Permanent | F |
| Engineer | $15,193-50,112 | Civil Service | Permanent | F |
| Rate/Tariff Analyst | $18,585-50,112 | Civil Service | Permanent | F |
| Stenographic | $10,963-15,947 | Civil Service | Permanent | F |
| Other | $16,826-49,229 | Civil Service | Permanent | F |
| Legal Assistant | $ 9,766-19,747 | Civil Service | Permanent | F |

Note: Salaries reflect the proposed 9.1% increase effective October 5, 1980.

## FEDERAL ENERGY REGULATORY COMMISSION
## NUMBER OF FULL-TIME EMPLOYEES: 1,621

| | | | | |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 4 years | F |
| Commissioners (4) | $52,750 | Appt. by President | 4 years | F |
| Executive Director | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| General Counsel | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Congressional and Public Affairs | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Opinions and Reviews | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Enforcement | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Regulatory Analysis | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Electric Power Regulation | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Director, Office of Pipeline and Producer Regulations | $47,889-50,112* | Senior Exec, Service Appt. Authorities | General SES Position** | F |
| Chief Accountant | $47,889-50,112* | Senior Exec. Service Appt. Authorities | General SES Position** | F |
| Chief Administrative Law Judge | $50,112 | Office of Personnel Management Register | Absolute Appointment | F |
| Secretary of the Commission | $40,832-50,112* | Office of Personnel Management Register | Career | F |

*Current salary range payable. 5 U.S.C. 5308 limits rate payable to $50,112.
**Service is at the pleasure of the agency head unless incumbent has Career SES Status.

## INTERSTATE COMMERCE COMMISSION
## NUMBER OF FULL-TIME EMPLOYEES: 2,024

| | | | | |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 7 years | F |
| Commissioners | $52,750 | Appt. by President | 7 years | F |
| General Counsel | $50,112 | Civil Service | Permanent | F |
| Managing Director | $50,112 | Civil Service | Permanent | F |
| Director, Office of Proceedings | $50,112 | Civil Service | Permanent | F |
| Assistant Managing Director | $50,112 | Civil Service | Permanent | F |
| Chief Administrative Law Judge | $50,112 | Civil Service | Permanent | F |
| Directors: Bureaus of Accounts, Office of Consumer Protection and Traffic | $50,112 | Civil Service | Permanent | F |
| Secretary | $50,112 | Civil Service | Permanent | F |
| Director, Office of Policy and Analysis | $50,112 | Civil Service | Permanent | F |
| Accountant | $12,226-50,112 | Civil Service | Permanent | P/F |
| Administrative Specialists | $12,226-50,112 | Civil Service | Permanent | P/F |

## INTERSTATE COMMERCE COMMISSION (Continued)

| Key Positions | Annual Salary | Method of Selection | Tenure of Office | Status |
|---|---|---|---|---|
| Analyst | $12-226-50,112 | Civil Service | Permanent | P/F |
| Attorney | $18,585-50,112 | Excepted Service | Permanent | P/F |
| Clerical | $ 7,960-17,776 | Civil Service | Permanent | P/F |
| Computer Specialist | $12,266-50,112 | Civil Service | Permanent | P/F |
| Economist/Statistician | $12,226-50,112 | Civil Service | Permanent | P/F |
| Engineer | $22,486-41,660 | Civil Service | Permanent | P/F |
| Inspector/Investigator | $12,226-41,660 | Civil Service | Permanent | P/F |
| Legal Assistant | $12,226-19,747 | Civil Service | Permanent | P/F |
| Rate/Tariff Analyst | $12,226-50,112 | Civil Service | Permanent | P/F |
| Stenographic | $ 9,766-15,947 | Civil Service | Permanent | P/F |
| Urban Environmental Planner | $15,193-35,033 | Civil Service | Permanent | P/F |
| Word Processing | $ 9,766-15,947 | Civil Service | Permanent | P/F |

## NUCLEAR REGULATORY COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 3,067*

| | | | | |
|---|---|---|---|---|
| Chairman | $60,663 | Appt. by President | 5 years | F |
| Commissioners (4) | $55,388 | Appt. by President | 5 years | F |
| Director, Office of Inspector and Auditor | $50,113 | Appt. by Commission | Indefinite | F |
| Director, Office of Policy Evaluation | $50,113 | Appt. by Commission | Indefinite | F |
| General Counsel | $50,113 | Appt. by Commission | Indefinite | F |
| Secretary | $50,113 | Appt. by Commission | Indefinite | F |
| Director, Office of Public Affairs | $50,113 | Appt. by Chairman | Indefinite | F |
| Director, Office of Congressional Affairs | $50,113 | Appt. by Chairman | Indefinite | F |
| Executive Director for Operations | $52,750 | Appt. by Commission | Indefinite | F |
| Director, Office of Standards Development | $50,113 | Appt. by Commission | Indefinite | F |
| Director, Office of Nuclear Material Safety and Safeguards | $52,750 | Appt. by Commission | Indefinite | F |
| Director, Office of Nuclear Reactor Regulation | $52,750 | Appt. by Commission | Indefinite | F |
| Director, Office of Nuclear Regulatory Research | Vacant | Appt. by Commission | Indefinite | F |
| Director, Office of Inspection and Enforcement | $50,113 | Appt. by Commission | Indefinite | F |

Note: All positions except the Chairman and the Commissioners are Senior Executive Service (SES) positions. Therefore, within the SES salary range, specific salary is a matter of negotiation between the incumbent and the appointing official. Method of selection is in accordance with the President's Reorganization Plan for NRC effective October 1, 1980. Tenure of office is governed by applicable laws and regulations.

*This figure is the current full-time permanent position ceiling for FY 1980.

## POSTAL RATE COMMISSION
NUMBER OF FULL-TIME POSITIONS: 78

| | | | | |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 6 years | F |
| Commissioners (4) | $52,750 | Appt. by President | 6 years | F |
| Chief Administrative Officer/ Secretary | $50,112 | Appt. by Commission* | Permanent | F |
| General Counsel | $50,112 | Appt. by Commission* | Permanent | F |
| Director, Technical Analysis and Planning | $50,112 | Appt. by Commission* | Permanent | F |
| Officer of the Commission | $50,112 | Appt. by Commission* | Permanent | F |

*Excepted (non-career) positions.

## SECURITIES AND EXCHANGE COMMISSION
NUMBER OF FULL-TIME EMPLOYEES: 1,953*

| | | | | |
|---|---|---|---|---|
| Chairman | $55,387 | Appt. by President | 5 years | F |
| Commissioners (4) | $54,750 | Appt. by President | 5 years | F |
| Executive Director | $50,112 | Merit Selection by Chairman | Permanent | F |
| Division Directors (5) | $50,112 | Merit Selection by Chairman | Permanent | F |
| General Counsel | $50,112 | Merit Selection by Chairman | Permanent | F |
| Chief Accountant | $50,112 | Merit Selection by Chairman | Permanent | F |
| Director of Economics and Policy Analysis | $50,112 | Merit Selection by Chairman | Indefinite | F |
| Regional Administrators (9) | $50,112 | Merit Selection by Chairman | Permanent | F |
| Secretary | $50,112 | Merit Selection by Chairman | Permanent | F |

*As of September 24, 1980.

Table 121

## SALARIES, CHAIRMEN AND COMMISSIONERS (1980)

| State, Federal and Associate Commissions | Chairmen | Commissioners |
|---|---|---|
| Quebec Public Service Board | $63,700 | $51,578 |
| Quebec Electricity and Gas Board | 62,000 | 49,500 |
| Nuclear Regulatory Commission | 60,663 | 55,388 |
| New York Public Service Commission | 57,500 | 49,300 |
| New York State Department of Transportation | 57,500 | 47,436 |
| Civil Aeronautics Board | 55,387 | 52,750 |
| Federal Communications Commission | 55,387 | 52,750 |
| Federal Energy Regulatory Commission | 55,387 | 52,750 |
| Interstate Commerce Commission | 55,387 | 52,750 |
| Postal Rate Commission | 55,387 | 52,750 |
| Securities and Exchange Commission | 55,387 | 54,750 |
| Ontario Telephone Service Commission | 50,000-55,000 | $85.00 per diem |
| Indiana Public Service Commission | 35,800-54,700 | 32,600-49,700 |
| Alaska Pipeline Commission | 54,480 | 54,480 |
| Alaska Transportation Commission | 54,480 | 54,480 |
| Connecticut Department of Public Utility Control | 44,144-54,189 | 40,815-50,101 |
| California Public Utilities Commission | 54,022 | 51,468 |
| Alberta Public Utilities Board | 40,500-53,200* | 35,700-45,300* |
| New Jersey Board of Public Utilities | 52,500 | 52,500 |
| Virginia State Corporation Commission | 52,360 | 51,360 |
| Texas Railroad Commission | 51,000 51,500 | 51,000-51,500 |
| District of Columbia Public Service Commission | 50,112 | 50,112 |
| Michigan Department of Transportation | 50,000 | 38,064 |
| Nova Scotia Board of Commissioners of Public Utilities | 50,000 | 43,000-44,000 |
| Florida Public Service Commission | 49,748 | 49,748 |
| Oregon Public Utility Commissioner | 48,456 | 37,956 |
| Washington Utilities and Transportation Commission | 47,700 | 42,900 |
| Kentucky Department of Transportation | 47,500 | 43,500 |
| Wisconsin Transportation Commission | 33,000-47,000 | 30,000-42,000 |
| North Carolina Utilities Commission | 46,636 | 45,636 |
| Tennessee Public Service Commission | 46,524 | 46,524 |
| Texas Public Utility Commission | 46,200 | 46,200 |
| Wyoming Public Service Commission | 34,440-46,152* | 31,200-41,820* |
| Maryland Public Service Commission | 45,400 | 44,300 |
| Wisconsin Public Service Commission | 44,309 | 40,709 & 46,700 |
| Rhode Island Public Utilities Commission | 44,260 | 27,915-33,672 |
| Utah Public Service Commission | 29,868-43,620 | 29,868-43,620 |
| Michigan Public Service Commission | 42,800 | 38,800 |
| Hawaii Public Utilities Commission | 42,500 | 40,375 |
| Pennsylvania Public Utility Commission | 42,500 | 40,000 |
| New Hampshire Public Utilities Commission | 42,450 | 42,450 |
| Kentucky Energy Regulatory Commission | 42,200* | 41,200* |
| Alaska Public Utilities Commission | 41,340* | 40,848* |
| Georgia Public Service Commission | 41,220 | 41,220 |
| Illinois Commerce Commission | 40,500 | 30,000-37,300 |
| Iowa State Commerce Commission | 30,000-40,000 | 30,000-40,000 |
| Kansas State Corporation Commission | 40,000 | 37,500 |
| Missouri Public Service Commission | 40,000 | 40,000 |
| Colorado Public Utilities Commission | 39,996 | 39,996 |
| Ohio Public Utilities Commission | 39,000 | 36,000 |
| Vermont Public Service Board | 23,200-37,600 | 15,000** |
| South Carolina Public Service Commission | 36,306 | 33,366 |
| Arizona Corporation Commission | 36,000 | 36,000 |
| Louisiana Public Service Commission | 35,000 | 35,000 |
| New Mexico State Corporation Commission | 35,000 | 35,000 |
| West Virginia Public Service Commission | 35,000 | 32,500 |
| Nevada Public Service Commission | 34,815 | 33,233 |
| Arkansas Public Service Commission | 34,567 | 33,285 |
| Minnesota Public Utilities Commission | 34,000* | 34,000* |
| North Dakota Public Service Commission | 33,500 | 33,500 |
| Massachusetts Department of Public Utilities | 33,146 | 28,021 |
| New Mexico Public Service Commission | 32,644* | 31,404* |
| Idaho Public Utilities Commission | 32,340 | 28,000 |
| Maine Public Utilities Commission | 32,137 | 27,107 |
| Oklahoma Corporation Commission | 25,000-31,500 | 27,000-30,000 |
| Mississippi Public Service Commission | 31,000 | 31,000 |
| Iowa Transportation Regulation Board | 18,000-30,000 | 18,000-30,000 |
| South Dakota Public Utilities Commission | 30,000 | 30,000 |
| Kentucky Utility Regulatory Commission | 29,400* ** | 28,400* ** |
| Montana Public Service Commission | 28,699 | 28,699 |
| Alabama Public Service Commission | 27,151 | 26,554 |
| Puerto Rico Public Service Commission | 27,000* | 24,700* |
| Arkansas Transportation Commission | 26,484 | 25,197 |
| Nebraska Public Service Commission | 25,000 | 25,000 |
| Delaware Public Service Commission | 6,000** | 6,000** |
| Kentucky Railroad Commission | 3,600** | 3,600** |
| Guam Public Utilities Commission | $50 per meeting** | $50 per meeting** |
| Virgin Islands Public Services Commission | $30 per meeting** | $30 per meeting** |
| Texas Aeronautics Commission | per diem** | per diem** |

*Information not updated--no response to 1980 Survey of Salaries.
**Part-time.

## SECTION X

## ASSESSMENT OF COSTS OF REGULATION

This Section contains information on the assessment of costs of regulation and the annual budget of the regulatory agencies.

The ability of the agencies to assess the cost of regulatory activities against the regulated utilities within their jurisdiction is shown in Table 122. Only 19 of the agencies listed receive 100 percent of their funding from general tax receipts. The other agencies receive at least part of their budget requirement from levies and charges made against the activities they regulate.

The annual budget of the various agencies--receipts and expenditures--is listed in Table 123.

## Table 122 - ASSESSMENT OF COSTS OF REGULATION

| AGENCY | The Agency has authority to assess - | | The current appropriation is sufficient to finance the operation of the Agency | When negative - the percentage of budget increase deemed necessary | Percent of Agency expenditures in last fiscal year paid from - | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Cost of special investigation | Fees in connection with special transactions and proceedings including provision for consumer consel and experts | | | General tax funds | | Taxes and fees specifically levied on utilities for general regulatory purposes | | Fees and charges for specific transactions or special investigations | |
| | | | | | Amount (Thousand) | Percent | Amount (Thousand) | Percent | Amount (Thousand) | Percent |
| CAB | | | | | 27,694 1/ | 100 | | | | |
| FCC | | | | | 70,335 2/ | 100 | | | | |
| FERC | | | | | 51,430 | 100 | | | | |
| ICC | | | | | 72,484 | 100 | | | | |
| ALABAMA PSC | X | | | | | | 2,588 | 89.67 | | |
| ALASKA PC | X | X | | | 516.3 | 100 | | | | |
| ALASKA PUC | X | X | X | | 1,523 | 100 | | | | |
| ALASKA TC | | | | | | | | | | |
| ALBERTA PMC | | | X | | 1,727 | 100 | | | | |
| ALBERTA PUB | X | X | X | | 1,535 | 100 | | | As assessed | |
| ARIZONA CC | | | X | | | | 2,665 | | | |
| ARKANSAS PSC | | | X | | | | 1,353 | 100 | | |
| ARKANSAS-TC | | | X | | 682 4/ | 100 | | | | |
| CALIFORNIA PUC | X | | X | | 15,787 | 58.9 | | | 11,006 | 41.1 5/ |
| COLORADO PUC | 6/ | X | X | | | | | | | |
| CONNECTICUT PUC | | | X | | 1,913 9/ | 100 | | | | |
| DELAWARE PSC | X | X 7/ | X | | | | 694 | 48 | 741 | 52 |
| D.C. PSC | X | X 7/ | | | 772.4 | 47.2 | 791.2 | 48.4 | 70.4 | 4.3 |
| FLORIDA PSC | X | X | X | | | | 7,628 | 100 | | |
| GEORGIA PSC | | | X | | 2,480 | 97 | | | | |
| GUAM PUC | X | | X | | | | 120 | 100 | | |
| HAWAII PUC | | | | | 492 | 100 | | | | |
| IDAHO PUC | | | | | 166 | 8 | 1,247 | 92 | | |
| ILLINOIS CC | | | X | | | | 6,053.9 | 100 | | |
| INDIANA PSC | X | | X | | | | 3,024 | | 360 | |
| IOWA SCC | X | X | | | | | 1,374 10/ | 79 | 371 | 21 |
| IOWA TRB | | 11/ | | | | | | | | |
| KANSAS SCC | X | X | X | | | | 1,300 | | 713 | |
| KENTUCKY DOT (BVR) 8/ | | | X | | 337 | 100 | | | | |
| KENTUCKY ERC & URC | X | | | 50% | | | | 100 | | |
| KENTUCKY RC | | | | | | | | | | |
| LOUISIANA PSC | X | X | X | | | | | | | |
| MAINE PUC | | | | | | | | | | |
| MARYLAND PSC 22/* | | | | | | | 2,672 | 98 | 54 | 2 |
| MASSACHUSETTS DPU | | X | | | | | | | 245 | 100 |
| MICHIGAN PSC | X | X | X 12/ | | | | | | | |
| MINNESOTA PSC | X | | X | | 3,169 | 94 | 1,173 | 4 | 52 | 2 |
| MISSISSIPPI PSC | | | X | | | | | 100 | | |
| MISSOURI PSC | X | | | | 114 | 3 | 2,471 | 63 | 1,362 | 34 |
| MONTANA PSC | X 15/ | | | 30% | | | | | | |
| NEBRASKA PSC | | | X | | 1,053 | 100 | 469 | | | |
| NEVADA PSC | | | X | | | | 1,326 | 74 | 476 | 26 |
| NEW HAMPSHIRE PUC | X | X | | 25% | 103 | 19 | 426 | 80 | 5 | 1 |
| NEW JERSEY BPU | X | | | 11% | | | 6,162 | 100 | | |
| NEW MEXICO PSC | | | 16/ | | 958 | 100 | | | | |
| NEW MEXICO SCC | | | | | 1,014.7 | 98 | | | | |
| NEW YORK DOT | X | X | | 15% | 1,500 17/ | 100 | | | | |
| NEW YORK PSC | X | X | X | | | | 19,276 | 100 | | |
| NORTH CAROLINA UC 13/ | | | | | 2,764 | 70 | 318 | 8 | 94 | 2 |
| NORTH DAKOTA PSC | X | X | X | | | | | | | |
| NOVA SCOTIA PUB | X | X | X | | 448 | 59 | 311 | 41 | | |
| OHIO PUC | X | X | X | | | | 5,543 | 71.8 | | |
| OKLAHOMA CC | | | X | | | | 18/ | | | |
| ONTARIO EB | X | X | X | | 1,331 | 100 | | | | |
| ONTARIO TSC | X | X | X | | 354 | 100 | | | | |
| OREGON PUC | X | | X | | 22 | .3 | 8,683 | 99.2 | 41 14/ | .5 |
| PENNSYLVANIA PUC | | | | | | | 19/ | 100 | | |
| PUERTO RICO PSC | X | X | | 15% | | | | | | |
| QUEBEC EGB | X | | | | | | | | | |
| QUEBEC PSB | X | X | X | | 1,872.3 | 100 | 27 20/ | | | |
| RHODE ISLAND PUC | | | X 21/ | | 278 | 30 | 500 | 53 | 157 | 17 |
| SOUTH CAROLINA PSC | | | X | | | | | | | |
| SOUTH DAKOTA PUC | | | | 50% | 533 | 62 | 330 | 38 | | |
| TENNESSEE PSC | | | X | | | | 2,991 | 100 | | |
| TEXAS AC | X | X | | | 184 | 100 | | | | |
| TEXAS PUC | | | | | | | 9,091 23/ | 100 | | |
| TEXAS RC | | X | X | | | | | | | |
| UTAH PSC | X | | X | | 86 | 7 | 1,092 | 82 | 150 | 1 |
| VERMONT PSB | X | X | X | | | | 1,127 | | 30 | |
| VIRGIN ISLANDS PSC | X | X | | 100% | 46 | 72 | | | 18 | 28 |
| VIRGINIA SCC | X | X | X | | 1,296 | 11 | 1,764 | 15 | | |
| WASHINGTON UTC | X | X | X | | | | | | | |
| WEST VIRGINIA PSC | | | X | | | | | 100 | | |
| WISCONSIN PSC | X | X | X | | | | 3,331 | 85 | 558 | 15 |
| WISCONSIN TC | X | X | X | | | | | | 476 | 32 |
| WYOMING PSC | X 24/ | | X | | | | 747 | 74 | 237 | 33 |

## Footnotes - Table 122 - ASSESSMENT OF COSTS OF REGULATION

1/ Fiscal year 1979 "Salaries and Expenses" appropriation.
2/ This is annual appropriation for all Commission Activities including the regulation of communications common carriers.
3/ All expenditures of the FERC are made from the annual appropriation.
4/ Fees are assessed against rail and motor carriers to finance operation of Arkansas TC.
5/ Included in this amount are fees assessed highway carriers subject to the Commission's regulation, charges for preparation of Environmental Impact Reports, cost of administering the Uniform Business License Tax, cost of providing dailies and transcripts to litigants, and cost of participating in programs which are partially funded by the Federal government or other state agencies.
6/ No specific statute on this matter.
7/ Not for consumer counsel.
8/ Expenditures relate to Division of Motor Carriers only.
9/ Seventy percent of expenses are assessed back to the utilities on a gross revenue basis. Payment of this assessment is deposited directly to the general fund.
10/ The entire amount is assessed back to the utilities operating in Iowa on a gross revenue basis. The payment of their direct assessments is then refunded to the State of Iowa General Fund. Taxpayers in Iowa therefore do not pay any tax dollars for utility regulation. The amount shown is a remainder assessment.
11/ Not specifically authorized by statute, although TRB counsel has express authority to represent the public interest.
12/ Commission must operate within limits of appropriation.
13/ Includes both Commission and Public Staff costs of regulation.
14/ Amount recovered for out of state motor and utility audits.
15/ If office is out of state.
16/ Current appropriation adequate for customary expenditures, but due, to new problems arising from additional responsibility given us by the legislature we will be required to retain specialists in the ecology field as well as training our present staff in this new field.
17/ For fiscal year ending March 31, 1980.
18/ Approximately one-half of Agency operations financed by levy on oil and gas production.
19/ Entire Commission expenditures are paid from advanced assessment on utilities regulated in accordance with Act 33, March 3, 1972, which amended Section 1201 of the Public Utility Law.
20/ All fees and dues received from the undertakings are paid into the Consolidated Revenue Fund (Minister of Finance). The Quebec PSB operates completely on an appropriation.
21/ Commission operates within appropriation.
22/ Amounts are for fiscal year ended June 30, 1979.
23/ Assessment of 1/6 of one percent levied by State; Legislature then appropriates from fund.
24/ Hearing costs taxed against "parties in interest."

Table 123 - ANNUAL BUDGET OF THE AGENCY

| AGENCY | Annual Budget of the Agency (000) | | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|
| | Receipts - | | | | | | Expenditures - | | | | |
| | Date of Budget (Fiscal Year Ending) | From Appropriations | Assessments | Fees Collected | Other | Total | Authorized For Expenditures | Actual Spent | Transferred to the State Treasury | Put into the Revolving Fund | Total 5/ |
| CAB | 9/30/79 | 27,694 | 1/ | 1/ | 1/ | 27,694 | 27,694 | 27,509 | 1/ | | 27,509 |
| FCC | 9/30/79 | 70,335 | | | | 70,335 | 70,446 | 70,335 | | | 70,335 |
| FERC | 9/30/79 | 51,430 | | | | 51,430 | 51,430 | 51,430 | | | 51,430 |
| ICC | 9/30/79 | 72,875 | | | | 72,875 | 72,875 | 72,484 | | | 72,484 |
| ALABAMA PSC | 9/30/79 | | | 2,824 | 62 | 2,886 | 2,580 | 2,257 | 629 | | 2,886 |
| ALASKA PC | 7/01/79 | 516.3 | | | | 516.3 | 516.3 | 211.5 6/ | | | 211.5 6/ |
| ALASKA PUC | 7/01/79 | 1,523 | | | | | 1,523 | | | | |
| ALASKA TC | 6/30/78 | 1,235 | | | | 1,235 | 1,235 | 1,234 | 1 | | 1,235 |
| ALBERTA PUB | 1978/79 | 1,535 | | 7/ | | 1,535 | 1,535 | 1,433 | 7/ | | 1,433 |
| ARIZONA CC | FY 79/80 | 5,852 | | | | | | | | | |
| ARKANSAS PSC | 7/78 | | 1,353 | 30 | 44 8/ | 1,427 | | | | | |
| ARKANSAS TC | | | | | | | | | | | |
| CALIFORNIA PUC | 6/30/79 | 28,588 9/ | | 2,198 10/ | 11,608 11/ | 28,588 | 30,706 | 26,793 | 3,913 12/ | | 30,706 |
| COLORADO PUC | 1978/79 | 2,292 14/ | 982 | 31 | 1,210 | 2,223 | 2,229 | 2,112 | | 117 | 2,229 |
| CONNECTICUT DPUC | | | | | | | | | | | |
| DELAWARE PSC | 6/30/79 | | 694 | 741 | | 1,435 | 968 | 867 | | | 867 |
| D.C. PSC | 9/30/79 | 772.4 | 791.7 | 70.4 | | 1,634.5 | | | | | |
| FLORIDA PSC | 6/30/79 | | 7,628 | 3,618 | 731 | 11,977 | 8,932 | 8,465 | | | 8,465 |
| GEORGIA PSC | 6/30/79 | 2,480 | | | 66 | 2,546 | 2,773 | 2,546 | 227 | | 2,773 |
| GUAM PUC | 6/30/78 | | 172 | | | 172 | 171 | 120 | | | 120 |
| HAWAII PUC | 6/30/79 | 492 | | | | 492 | 492 | | | | 492 |
| IDAHO PUC | 6/30/79 | 166 | 1,247 | | | 1,413 | 1,413 | 1,016 | | | 1,016 |
| ILLINOIS CC | 6/30/79 | | 8,245.7 | 2,234.8 | 272.5 | 10,753.0 | 6,509.6 | 6,053.9 | | | 6,053.9 |
| INDIANA PSC | 6/30/79 | | | 3,024 17/ | 360 | 3,384 | 2,142 | 1,786 | 356 18/ | | 2,142 |
| IOWA SCC | 6/30/79 | 1,959 | | | 123 | 2,082 | 2,082 | 1,745 19/ | 337 | | 2,082 |
| IOWA TRB | 6/30/78 | 330 | | | | 330 | 330 | 326 | 3 | | 329 |
| KANSAS SCC | 6/30/79 | | 1,310 | 6,063 | 98 | 7,461 | 5,248 | 4,128 | 543 10/ | | 4,671 |
| KENTUCKY DOT (BVR) 22/ | 6/30/79 | 337 | | | | 337 | 337 | 306 | 31 | | 337 |
| KENTUCKY ERC | | | | | | | | | | | |
| KENTUCKY RC | | | | | | | | | | | |
| KENTUCKY URC | | | | | | | | | | | |
| LOUISIANA PSC | 6/30/79 | 1,757 | | 1,611 | | 3,368 | 1,757 | 1,756 | 1,612 | | 3,368 |
| MAINE PUC | | | | | | | | | | | |
| MARYLAND PSC | 6/30/79 | 2,830 | | | | 2,830 | | 2,672 | 158 | | 2,830 |
| MASSACHUSETTS DPU | 6/30/78 | 1,700 | 250 | 220 | | 2,170 | 1,700 | 1,643 | | | 1,643 |
| MICHIGAN PSC | | | | | | | | | | | |
| MINNESOTA PSC | 6/30/78 | 3,505 | 1,173 | 52 | 138 | 4,868 | | | | | |
| MISSISSIPPI PSC | 6/30/79 | | 875 | 1,763 | 64 | 2,702 | 1,912 | 1,759 | 700 | | 2,459 |
| MISSOURI PSC | 6/30/78 | 4,375 | 2,765 | 1,809 | 591 | 9,540 | 4,375 | 3,947 | 27 | 401 | 4,375 |
| MONTANA PSC | 6/30/79 | 844 | | 649 | | 1,493 | 844 | 836 | 8 | | 844 |
| NEBRASKA PSC | 1977-1978 | 1,067 | | | | 1,067 | 1,067 | 1,053 | | | 1,053 |
| NEVADA PSC | 6/30/79 | 414 | 1,142 | 44 | 60 | 1,660 | 1,660 | 1,593 | | | 1,593 |
| NEW HAMPSHIRE PUC | 6/30/78 | 103 | 426 | 527 | 5 | 1,061 | 621 | 534 | 527 | | 1,061 |
| NEW JERSEY BPU | 6/30/78 | | 5,529 | | | 5,529 | 4,912 | 4,525 | 1,004 | | 5,529 |
| NEW MEXICO PSC | 6/30/79 | 958 | | 10/ | | 958 | 958 | 952 | 6 | | 958 |
| NEW MEXICO SCC | 6/30/79 | 485.8 | | | | 485.8 | 485.8 | 485.8 | | | 485.8 |
| NEW YORK DOT | 3/31/80 | 1,500 | 10 28/ | 10 28/ | | 1,520 | | | | | |
| NEW YORK PSC | 3/31/79 | 19,809 | 25/ | 30 26/ | | 19,839 | 19,809 | 19,374 | | | 19,374 |
| NORTH CAROLINA UC 29/ | 6/30/79 | 3,282 30/ | | 412 | 279 31/ | 3,973 | 3,973 | 3,973 | | | 3,973 |
| NORTH DAKOTA PSC | 6/30/79 | 2,373 | 261 | | | 2,634 | 2,373 | 2,087 | | | 2,087 |
| NOVA SCOTIA PUB | 1980 | 448 | 311 | 34/ | | 759 | 759 | | | | 759 |
| OHIO PUC | 6/30/79 | | 5,587 | 2,973 | 309 | 8,869 | 8,889 | 7,801 | 846 | 222 35/ | 8,869 |
| OKLAHOMA CC | 6/30/80 | 2,471 | | | 955 40/ | 3,426 | | | | | |
| ONTARIO EB | | | | | | | | | | | |
| ONTARIO TSC | | | | | | | | | | | |
| OREGON PUC | 6/30/79 | 25 | | 56,053 | 259 | 56,337 | 9,596 | 8,746 | 47,621 | | 56,367 |
| PENNSYLVANIA PUC | 6/30/79 | | 16,032 | 35 | 83 | 16,150 | 16,150 | 15,441 | | 709 | 16,150 |
| QUEBEC EGB | 4/01/78 | 1,743 | | 93 | | 1,836 | 1,743 | 1,514 | 322 | | 1,836 |
| QUEBEC PSB | 4/01/79 | 1,872.3 | | 27 | | 1,899.3 | 1,872.3 | 1,592.5 | 307 | | 1,899.5 |
| RHODE ISLAND PUC | 6/30/79 | 778 | 500 | 1,127 | | 2,405 | 778 | 778 | | 20 | 798 |
| SOUTH CAROLINA PSC | | | | | | | | | | | |
| SOUTH DAKOTA PUC | 6/30/79 | 533 | | 333 | | 866 | 866 | 866 | | | 866 |
| TENNESSEE PSC | 6/30/79 | | | 2,641 | 440 | 3,081 | 3,050 | 3,009 | | | 3,009 |
| TEXAS AC | 8/31/79 | 184 | | | | 184 37/ | 184 | 177 | | | 177 37/ |
| TEXAS PUC | 8/31/79 | 3,060 | | | 268 | 3,328 | 3,328 | 2,850 | | | 2,850 |
| TEXAS RC | | | | | | | | | | | |
| UTAH PSC | 6/30/79 | 86 | 1,070 | 150 | 22 | 1,328 | | | | | |
| VERMONT PSB | 6/30/79 | | 1,127 | 30 | 1,042 | 2,199 | 1,118 | 715 | 357 | 1,127 | 2,199 |
| VIRGIN ISLANDS PSC | | | | | | | | | | | |
| VIRGINIA SCC | 6/30/78 | 3,934 | 6,524 | 1,780 | | 12,238 | 12,223 | 11,605 | 36 | 582 | 12,223 |
| WASHINGTON UTC | 6/30/79 | | 154 | 5,861 | | 6,016 | 5,471 | 5,247 | | 224 | 5,471 |
| WEST VIRGINIA PSC | 6/30/78 | | 3,305 | | | 3,305 | 4,039 | 3,400 est. | | | 3,400 est. |
| WISCONSIN PSC | 6/30/79 | | 4,179 | 16 10/ | 8 | 4,187 | 4,058 | 3,890 | 168 | | 4,058 |
| WISCONSIN TC | 6/30/79 | | 357 | 108 | 12 | 477 | 1,574 | 1,470 | 104 | | 1,574 |
| WYOMING PSC | 6/30/78 | | 747 | 237 | 30 | 1,014 | 1,156 | 854 | 138 39/ | | 992 |

Table 123 - ANNUAL BUDGET OF THE AGENCY (Continued)

| Agency | Annual Budget of the Agency Thousand (000) | | | | | |
|---|---|---|---|---|---|---|
| | Analysis of Actual Expenses | | | | | |
| | Salaries | Materials & Supplies - Office Use | Legal and Professional Service | Travel Expenses | Employee Benefits | Other |
| CAB | 19,916 | 306 | | 566 | 1,822 | 4,796 2/ |
| FCC 3/ | 47,960 | 1,081 | | 1,075 | 4,553 | 15,343 2/ |
| FERC | 35,115 | 938 | | 1,782 | 3,335 | 10,260 2/ |
| ICC | 49,248 | 784 | | 2,281 | 4,800 | 15,371 2/ |
| ALABAMA PSC | 1,461 | 30 | 72 | 118 | 293 | 283 4/ |
| ALASKA PC | | | | | | |
| ALASKA PUC | | | | | | |
| ALASKA TC | | | | | | |
| ALBERTA PUB | 1,009 | 26 | 77 | 52 | 35 | 234 |
| ARIZONA CC | 3,029 | 88 | 855 | 204 | 602 | 464 |
| ARKANSAS PSC | | | | | | |
| ARKANSAS TC | | | | | | |
| CALIFORNIA PUC | 17,836 | 545 | | 792 | 4,319 | 3,301 13/ |
| COLORADO PUC | 1,757 | 145 | 171 | 27 | | 12 15/ |
| CONNECTICUT DPUC | 2,004 | | | | | 247 |
| DELAWARE PSC | 188 | 4 | 582 | 18 | 32 | 43 |
| D.C. PSC | 566.1 | 3.2 | | 1.4 | 50.3 | 51.9 |
| FLORIDA PSC | 5,457 | 106 | 99 | 608 | 907 | 1,288 16/ |
| GEORGIA PSC | 1,656 | 63 | 146 | 85 | 289 | 307 |
| GUAM PUC | 69 | 3 | 68 | 5 | 8 | 18 |
| HAWAII PUC | | | | | | |
| IDAHO PUC | 584 | 198 | 116 | 55 | 155 | |
| ILLINOIS CC | 3,920.5 | 208.7 | 32.1 | 264.5 | 588.0 | 1,040.1 |
| INDIANA PSC | | | | | | |
| IOWA SCC | 1,389 | 85 | 140 | 79 | | 52 |
| IOWA TRB | 292 | 15 | 10 | 8 | | |
| KANSAS SCC | 2,208 20/ | 138 | 713 21/ | 192 | | 887 |
| KENTUCKY DOT (BVR) 22/ | 324 20/ | 6.9 | | 5.9 | | |
| KENTUCKY ERC | | | | | | |
| KENTUCKY RC | | | | | | |
| KENTUCKY URC | | | | | | |
| LOUISIANA PSC | 1,170 | 220 | | 124 | 120 | 122 |
| MAINE PUC | | | | | | |
| MARYLAND PSC | 1,515 | 12 | 582 | 50 | 83 | 430 23/ |
| MASSACHUSETTS DPU | 1,425 | 63 | 58 | 37 | | 27 |
| MICHIGAN PSC | | | | | | |
| MINNESOTA PSC | 1,956 | 23 | 511 24/ | 108 | 318 | 444 |
| MISSISSIPPI PSC | 954 | 131 | 111 | 297 | 169 | 97 |
| MISSOURI PSC | 2,953 | 175 | 59 | 269 | | 491 |
| MONTANA PSC | 600 | 11 | 21 | 18 | 87 | 99 |
| NEBRASKA PSC | 715 | 33 | | 43 | 95 | 167 |
| NEVADA PSC | 1,180 | 12 | 143 | 92 | | 166 |
| NEW HAMPSHIRE PUC | 373 | 38 | 23 | 10 | 37 | 53 |
| NEW JERSEY BPU | 3,576 | 76 | 434 | 41 | 776 | 686 |
| NEW MEXICO PSC | 577.4 | 9.0 | 150.0 | 40.2 | 81.8 | 99.6 |
| NEW MEXICO SCC | 658.1 | 11.9 | 35.0 | 17.6 | 95.6 | 221.0 |
| NEW YORK DOT | | | | | | |
| NEW YORK PSC | 12,307 | 1,053 | 455 | 533 | 3,636 | 1,390 27/ |
| NORTH CAROLINA UC 29/ | 3,237 | 37 | 59 | 106 | 428 | 534 |
| NORTH DAKOTA PSC | 926 | 84 32/ | 893 33/ | | 116 | 68 |
| NOVA SCOTIA PUB | 490 | 9 | 3 | 15 | 39 | 203 |
| OHIO PUC | 4,222 | 65 | 454 | 366 36/ | 1,482 | 1,212 |
| OKLAHOMA CC | | | | | | |
| ONTARIO EB | 767 | 55.3 | 398.1 | 19 | 136.6 | |
| ONTARIO TSC | | | | | | |
| OREGON PUC | 4,766 | 374 | 330 | 224 | 866 | 2,186 |
| PENNSYLVANIA PUC | 9,831 | 161 | 390 | 270 | 3,181 | 1,608 |
| QUEBEC EGB | 1,353 | | | 67 | | 55 |
| QUEBEC PSB | | 25 | 55 | 129.8 | | |

Table 123 - ANNUAL BUDGET OF THE AGENCY (Continued)

| Agency | Annual Budget of the Agency Thousand (000) | | | | | |
|---|---|---|---|---|---|---|
| | Analysis of Actual Expenses | | | | | |
| | Salaries | Materials & Supplies - Office Use | Legal and Professional Service | Travel Expenses | Employee Benefits | Other |
| RHODE ISLAND PUC | 514 | 122 | 53 | 8 | 81 | |
| SOUTH CAROLINA PSC | | | | | | |
| SOUTH DAKOTA PUC | 492 | 15 | 133 | 32 | 57 | 4 |
| TENNESSEE PSC | 2,105 | 44 | 34 | 227 | 312 | |
| TEXAS AC | 129 | 9 | | 9 | 25 | 29 |
| TEXAS PUC | 1,980 | 390 | 155.2 | 85 | 4 | 25 |
| TEXAS RC | | | | | | |
| UTAH PSC | 813 | 97 | 87 | 40 | 197 | 89 |
| VERMONT PSB | 432 | 5 | 94 | 26 | 63 | 91 |
| VIRGIN ISLANDS PSC | | | | | | |
| VIRGINIA SCC | 7,491 | 70 | 727 | 734 | 578 | 2,005 |
| WASHINGTON UTC | 3,241 | 1,152 | (6) | 186 | 594 | 80 |
| WEST VIRGINIA PSC | 2,591 | 649 | 136 | 179 | 474 | |
| WISCONSIN PSC | 2,516 | 623 | 186 | 95 | 572 | 30 38/ |
| WISCONSIN TC | 1,018 | 33 | | 38 | 220 | 265 |
| WYOMING PSC | 531 | 71 | 113 | 45 | 84 | 10 |

## Footnotes - Table 123 - ANNUAL BUDGET OF THE AGENCY

1/ All collections by the CAB are deposited in the U.S. Treasury. None of the $1,137,000 in filing and license fees, and $134,000 in fines and penalties collected during fiscal year 1979 was available for expenditure by the CAB.
2/ Transportation of items, rents, communications, utilities, printing, equipment and other services.
3/ Data shown are for all commission activities including the regulation of communication common carriers.
4/ Repairs and maintenance, rentals and leases, utilities and communications, transportation equipment operations, equipment purchases.
5/ Total includes actual spent and amounts transferred to the State Treasury and/or put into the Revolving Fund.
6/ Expenditures for July 1 - December 31, 1979.
7/ At the end of each fiscal year unexpended balances are allowed to lapse. In the case of long-term projects a revote is required each year to cover funds required for completion, or estimated to be expended on the project in the ensuing year. Fees collected are deposited with Alberta Treasury.
8/ Reimbursed by Federal Grant.
9/ General Fund $18,709; Rate Fund $9,761; Federal Funds $119.
10/ Revenues collected and deposited to the General Fund. Fees collected are not for Agency's use.
11/ Revenues collected and deposited in the Transportation Rate Fund.
12/ $1,312 of amount returned to the State Treasury was the result of permanent expense reductions mandated by the Budget Act.
13/ Communication equipment, data processing, facilities operation, and printing.
14/ Total amount of annual budget is appropriated by the State Legislature from two receipt sources.
15/ Fixed assets.
16/ Includes rentals, communication, data processing, facilities operation, and printing.
17/ Statute requires fee collections to cover both PSC and Office of Public Counselor.
18/ Statute requires credit to utilities in following year's utility fee billings.
19/ Entire amount is assessed back to the utilities operating in Iowa on a gross revenue basis. These assessments are deposited to the Iowa General Fund. Taxpayers in Iowa, therefore, do not pay any tax dollars in utility regulation.
20/ Includes salaries and benefits.
21/ Part of this amount reimbursed to the Commission.
22/ Amounts are based on the budget for the Division of Motor Carriers--this Division is responsible for common carrier regulation.
23/ Vehicle expense, furniture, printing, telephone, postage, etc.
24/ Does not include the Attorney General's budget.
25/ The entire costs of the PSC are to be assessed against the regulated utilities under Commission jurisdiction.
26/ The total amount assessed utilities subject to Commission regulation is reduced by the amount collected in fees.
27/ Rental of buildings.
28/ Money deposited directly into State's General Fund and not credited to DOT.
29/ Includes the budgets of the Commission Staff and Public Staff.
30/ Includes General Fund Appropriation of $2,764 (000) and Highway Fund Appropriation of $518 (000).
31/ Includes $33 (000) carry-over from 1978 fiscal year.
32/ Includes office equipment and vehicles.
33/ Includes equipment rental, data processing, telephone, microfilming, travel expenses, court reporters, legal and technical consultant services, repair services, and other miscellaneous fees.
34/ Fees collected are not for Agency's use.
35/ Represents cash balance in all rotaries as of June 30, 1979.
36/ Includes automobile expenses.
37/ Figures include only that portion of agency budget allocated for regulatory functions. It does not include funds allocated for administrative functions or aviation facilities and services which represent 78% of Agency's total budget.
38/ Permanent property only.
39/ Amount carried over for next budget period.
40/ Oil and Gas Conversion Fund.

## SECTION Y

## REVIEW OF AGENCY DECISIONS, LEGAL STATUS OF AGENCY ELIGIBILITY TO PRACTICE BEFORE THE AGENCY ASSISTANCE TO INTERVENORS AND FILING REQUIREMENTS ADMINISTRATIVE LAW JUDGES

The courts authorized to review agency decisions and types of procedures are listed in Table 124.

Table 125 describes in detail the legal status of the various agencies.

Eligibility to practice before the regulatory agency is shown in Table 126, as well as limitations or requirements on appearance/representation.

The policies for providing assistance to intervenors in regulatory proceedings are listed in Table 127. The assistance provided on behalf of the agencies includes providing copies of transcripts, providing duplication facilities, allowing access to agency records and providing aid and advice in obtaining information from agency files.

Table 128 lists the requirements for filing and distribution of intervenors' testimony and documents.

Table 129, Administrative Law Judges or Hearing Examiners, includes a detailed introduction.

Table 124 - COURTS AUTHORIZED TO REVIEW AGENCY DECISIONS

| | Court[illegible]orized to review Agency decisions - | | | |
|---|---|---|---|---|
| | | Types of procedures | | |
| AGENCY | State Courts | De Novo | On Agency Record | Other |
| CAB | U.S. Circuit Court of Appeals | | X | |
| FCC | U.S. Circuit Court of Appeals | | X | |
| FERC | U.S. Circuit Court of Appeals | | X | |
| ICC | U.S. Circuit Court of Appeals | | X | |
| ALABAMA PSC | Circuit Court of Montgomery County and Supreme | | X | |
| ALASKA PC | Superior and Supreme | | | |
| ALASKA PUC | Superior and Supreme | | X | |
| ALASKA TC | Superior and Supreme | | X | |
| ALBERTA PMC | | | | |
| ALBERTA PUB | Appelate Div., Supreme Court-Alberta and Supreme Court of Canada | | X | |
| ARIZONA CC | Superior, Appellate and Supreme | | X | |
| ARKANSAS PSC | Circuit and Supreme | | X | |
| ARKANSAS TC | Circuit and Appellate | | X | |
| CALIFORNIA PUC | State Supreme | | X | |
| COLORADO PUC | District and Supreme | | X | |
| CONNECTICUT PUC | Superior and Supreme Court of Errors | | X | |
| DELAWARE PSC | Superior and Supreme | | X | |
| DELAWARE TA | Superior and Supreme | | X | |
| D.C. PSC | D.C. Court of Appeals and U.S. Supreme | | X | |
| FLORIDA PSC | State Supreme | | X | |
| GEORGIA PSC | Superior Court of Fulton County | | X | |
| GUAM PUC | Superior Court | | X | |
| HAWAII PUC | State Supreme 1/ | | X | |
| IDAHO PUC | State Supreme | | X | |
| ILLINOIS CC | Circuit, Appellate and Supreme | | X | |
| INDIANA PSC | Appeals and Supreme | | X | |
| IOWA SCC | District and State Supreme 8/ | | X | X 2/ |
| IOWA TRB | District and State Supreme | | X | X 2/ |
| KANSAS SCC | District Court and Appeals Court and State Supreme | | X 3/ | |
| KENTUCKY DOT | Franklin Circuit and Supreme | | X | |
| KENTUCKY ERC & URC | Franklin Circuit Court | X | | |
| KENTUCKY RC | | | | |
| LOUISIANA PSC | District and State Supreme | | X | X 6/ |
| MAINE PUC | State Supreme | | X | |
| MARYLAND PSC | Circuit and Appeals | | X | |
| MASSACHUSETTS DPU | Supreme Judicial | | X | |
| MICHIGAN PSC | Circuit of Ingham County | | X 4/ | |
| MINNESOTA PSC | District Court | | X | |
| MISSISSIPPI PSC | Chancery, Circuit and Supreme | | X | |
| MISSOURI PSC | Circuit, Appeals and Supreme | | X | |
| MONTANA PSC | District and Supreme | | X | |
| NEBRASKA PSC | State Supreme | | X | |
| NEVADA PSC | District and Supreme | X | | |
| NEW HAMPSHIRE PUC | State Supreme | | X | |
| NEW JERSEY BPU | Appellate Division of Superior Court | | X | |
| NEW MEXICO PSC | District and Supreme | | X | |
| NEW MEXICO SCC | District and Supreme | | X | |
| NEW YORK DOT | Supreme, Appellate and Appeals | | | |
| NEW YORK PSC | Supreme, Appellate and Appeals | | X | |
| NORTH CAROLINA UC | Supreme and Appeals | | X | |
| NORTH DAKOTA PSC | District and Supreme | | X | |
| NOVA SCOTIA PUB | Nova Scotia Supreme Court (Appeal Division) | | X | |
| OHIO PUC | State Supreme | | X | |
| OKLAHOMA CC | Supreme | | X | |
| ONTARIO EB | Supreme Court of Ontario | | X | |
| ONTARIO TSC | Ontario Court of Appeal | | X | |
| OREGON PUC | Circuit, Appeals and Supreme | | X | |
| PENNSYLVANIA PUC | Commonwealth and Pa. Supreme Court by special leave | | X | |
| PUERTO RICO PSC | Superior and Supreme | | X | |
| QUEBEC EBG | Superior and Appeals | | | |
| QUEBEC PSB | Superior and Appeals | | | |
| RHODE ISLAND PUC | Supreme and Appeals | | X | |
| SOUTH CAROLINA PSC | Circuit Court | | X | |
| SOUTH DAKOTA PUC | Circuit and Supreme | | X | |
| TENNESSEE PSC | Chancery, Court of Appeals and Supreme | | X | 5/ |
| TEXAS AC | District, Civil Appeals and Supreme | | | X 7/ |
| TEXAS PUC | District, Civil Appeals and Supreme | | X | |
| TEXAS RC | District, Civil Appeals and Supreme | | X | |
| UTAH PSC | State Supreme | | X | |
| VERMONT PSB | Supreme | X | X | |
| VIRGIN ISLANDS PSC | District Court of the Virgin Islands | | X | |
| VIRGINIA SCC | Supreme | | X | |
| WASHINGTON UTC | Superior, Supreme, Court of Appeals | | X | |
| WEST VIRGINIA PSC | Supreme Court of Appeals | | X | |
| WISCONSIN PSC | Circuit Courts of State Appellate and Supreme | | X | |
| WISCONSIN TC | Circuit of Dane County, Appeals and Supreme | | X | |
| WYOMING PSC | District and Supreme | | X | |

1/ An appeal of Commission's Order shall lie to the Supreme Court in like manner as an appeal lies from an order of decision of a circuit judge at chambers.

2/ Can apply to court for leave to adduce additional evidence which if shown to be material and good reason exists for failure to present it in the proceeding before the Agency, may be ordered to be adduced before the Agency.

3/ The Court can remand to the Commission for further evidence.

4/ Court may take additional testimony in which case the matter is remanded back to Commission for consideration of such testimony.

5/ Remanded to Commission for rehearing;

6/ Statute allows introduction of additional evidence in District Court; but if such evidence is introduced, the matter is remanded to Congress.

7/ Decision of agency upheld unless no substantial evidence existed at time of award. Evidence not limited to record before administrative agency.

8/ Review by Appeals Court only upon referral by Supreme Court.

Table 125 LEGAL STATUS OF AGENCY

| AGENCY | Constitutional Body | Solely Statutory Body | Arm of Legislature | Part of Executive Branch | Agency is Independent | Decision Subject to Revision by Higher Administrative Authority | Exercises Quasi-Judicial Power | Exercises Quasi-Legislative Power |
|---|---|---|---|---|---|---|---|---|
| CAB | No | Yes | Yes | No | Yes | No | Yes | Yes |
| FCC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| FERC | No | Yes | No | No | Yes | No | Yes | Yes |
| ICC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| ALABAMA PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| ALASKA PC | No | Yes | No | No | Yes | No | Yes | Yes |
| ALASKA PUC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| ALASKA TC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| ALBERTA PMC | No | Yes | No | No | Yes | Yes | Yes | No |
| ALBERTA PUB | No | Yes | No | No | Yes | No | Yes | No |
| ARIZONA CC | Yes | No | No | No | Yes | No | Yes | Yes |
| ARKANSAS PSC | No | Yes | No | No | Yes | No | Yes | Yes |
| ARKANSAS TC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| CALIFORNIA PUC | Yes | No | No | No | Yes | No | Yes | Yes |
| COLORADO PUC | | | | | | | | |
| CONNECTICUT PUC | No | Yes | No | Yes | Yes | No | Yes | No |
| DELAWARE PSC | No | Yes | No | No | Yes | No | Yes | Yes |
| DELAWARE TA | No | Yes | No | Yes | No | Yes | Yes | Yes |
| D. C. PSC | No | Yes | No | No | Yes | No | Yes | Yes |
| FLORIDA PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| GEORGIA PSC | Yes | No | Yes | No | Yes | No | Yes | Yes |
| GUAM PUC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| HAWAII PUC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| IDAHO PUC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| ILLINOIS CC | No | Yes | No | Yes | Yes | No | Yes | |
| INDIANA PSC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| IOWA SCC | No | Yes | No | No | Yes | No | Yes | Yes |
| IOWA TRB | No | Yes | No | Yes | Yes | No | Yes | Yes |
| KANSAS SCC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| KENTUCKY DOT | No | Yes | | | | | Yes | Yes |
| KENTUCKY ERC & URC | No | Yes | No | No | Yes | No | Yes | Yes |
| KENTUCKY RC | | | | | | | | |
| LOUISIANA PSC | Yes | No | No | Yes | Yes | No | Yes | Yes |
| MAINE PUC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| MARYLAND PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| MASSACHUSETTS DPU | No | Yes | No | Yes | Yes | No | Yes | Yes |
| MICHIGAN PSC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| MINNESOTA PSC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| MISSISSIPPI PSC | No | Yes | Yes | No | Yes | No | Yes | No |
| MISSOURI PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| MONTANA PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| NEBRASKA PSC | Yes | | No | No | Yes | No | Yes | Yes |
| NEVADA PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| NEW HAMPSHIRE PUC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| NEW JERSEY BPU | No | Yes | No | Yes | Yes | No | Yes | Yes |
| NEW MEXICO PSC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| NEW MEXICO SCC | Yes | No | | | Yes | | Yes | Yes |
| NEW YORK PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| NEW YORK DOT | No | Yes | No | Yes | | No | Yes | Yes |
| NORTH CAROLINA UC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| NORTH DAKOTA PSC | Yes | No | Yes | No | Yes | No | Yes | Yes |
| NOVA SCOTIA PUB | No | Yes | Yes | | Yes | No | Yes | Yes |
| OHIO PUC | No | Yes | No | No | Yes | No | Yes | Yes |
| OKLAHOMA CC | Yes | No | No | Yes | Yes | No | Yes | Yes |
| ONTARIO EB | No | Yes | No | No | Yes | Yes | Yes | Yes |
| ONTARIO TSC | No | Yes | No | Yes | Yes | Yes | Yes | Yes |
| OREGON PUC | No | Yes | No | No | Yes | No | Yes | Yes |
| PENNSYLVANIA PUC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| PUERTO RICO PSC | No | Yes | No | Yes | | | Yes | Yes |
| QUEBEC EBG | | Yes | | | Yes | No | Yes | Yes |
| QUEBEC PSB | | Yes | | | Yes | No | Yes | Yes |
| RHODE ISLAND PUC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| SOUTH CAROLINA PSC | No | Yes | Yes | No | Yes | No | Yes | No |
| SOUTH DAKOTA PUC | No | Yes | Yes | No | Yes | Yes | Yes | Yes |
| TENNESSEE PSC | No | Yes | No | No | Yes | No | Yes | Yes |
| TEXAS AC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| TEXAS PUC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| TEXAS RC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| UTAH PSC | No | Yes | No | Yes | Yes | No | Yes | Yes |
| VERMONT PSB | No | Yes | No | Yes | Yes | No | Yes | Yes |
| VIRGIN ISLANDS PSC | No | Yes | | | Yes | No | Yes | Yes |
| VIRGINIA SCC | Yes | No | No | No | Yes | No | Yes | Yes |
| WASHINGTON UTC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| WEST VIRGINIA PSC | No | Yes | Yes | No | Yes | No | Yes | Yes |
| WISCONSIN PSC | No | Yes | No | No | Yes | No | Yes | Yes |
| WISCONSIN TC | No | Yes | No | No | Yes | No | Yes | Yes |
| WYOMING PSC | No | Yes | Yes | Yes | Yes | No | Yes | Yes |

## Table 126 - ELIGIBILITY TO PRACTICE BEFORE THE REGULATORY AGENCY

"L" (column 1) indicates that members of the state bar (lawyers) are eligible to practice in a representative capacity. "E" (column 2) indicates that employees of a company which is party-in interest, whether or not lawyers, may appear. "P" (column 3) indicates that non-lawyer practitioners who are not employees of a party-in-interest may represent others before the agency. A "blank space" indicates no response to the question was received. Those agencies which responded in the negative to questions 2 and 3 (columns 2 and 3) are so noted.

| Agency | (1) | (2) | (3) |
|---|---|---|---|
| Alabama PSC | L | E | P |
| Alaska PC | L | E | P |
| Alaska PUC | L | E | |
| Alaska TC | L | E | (no) |
| Arizona CC | L | (no) | (no) |
| Arkansas PSC | L | E | (no) |
| Arkansas TC | | | |
| California PUC | L | E | P |
| Colorado PUC | L | (no) | (no) |
| Connecticut PUC | L | E | P |
| Delaware PSC | L | E | P |
| District of Columbia PSC | L | E | (no) |
| Florida PSC | L | E | P |
| Georgia PSC | L | E | P |
| Guam PUC | | | |
| Hawaii PUC | L | (no) | (no) |
| Idaho PUC | L | E | (no) |
| Illinois CC | L | E | (no) |
| Indiana PSC | L | E | (no) |
| Iowa SCC | L | E | P |
| Iowa TRB | L | E | P |
| Kansas SCC | L | (no) | (no) |
| Kentucky ERC | L | E | P |
| Kentucky RC | L | E | P |
| Kentucky URC | L | E | P |
| Louisiana PSC | L | E | P |
| Maine PUC | L | (no) | (no) |
| Maryland PSC | L | (no) | (no) |
| Massachusetts DPU | L | E | P |
| Michigan PSC | L | (no) | (no) |
| Minnesota PSC | L | E | P |
| Mississippi PSC | L | (no) | (no) |
| Missouri PSC | L | (no) | (no) |
| Montana PSC | L | E | P |
| Nebraska PSC | L | | |
| Nevada PSC | L | E | (no) |
| New Hampshire PUC | L | E | P |
| New Jersey BPU | L | (no) | (no) |
| New Mexico PSC | | | |
| New Mexico SCC | L | E | (no) |
| New York PSC | L | E | P |
| New York SDOT | L | E | P |
| North Carolina UC | L | E | (no) |
| North Dakota PSC | L | E | P |
| Nova Scotia PUB | L | (no) | (no) |
| Ohio PUC | L | (no) | (no) |
| Oklahoma CC | L | (no) | (no) |
| Oregon PUC | L | E | P |
| Pennsylvania PUC | L | E | (no) |
| Quebec PSB | L | E | P |
| Rhode Island PUC | L | | |
| South Carolina PSC | L | (no) | (no) |
| South Dakota PUC | L | E | (no) |
| Tennessee PSC | L | E | P |
| Texas AC | L | E | P |
| Texas PUC | L | E | P |
| Texas RC | | | |
| Utah PSC | L | E | P |
| Vermont PSB | L | E | (no) |
| Virginia SCC | L | (no) | (no) |
| Washington UTC | L | E | (no) |
| West Virginia PSC | L | E | P |
| Wisconsin PSC | L | E | |
| Wisconsin TC | L | E | P |
| Wyoming PSC | L | E | (no) |

Note: Additional comments regarding limitations or requirements on appearances and representation before the agency, as well as questions 2 and 3 (columns 2 and 3), are reproduced below.

### Limitations or Requirements on Appearance/Representation

### Column 2

(2) Are employees of a company which is a party-in-interest, whether or not lawyers, allowed to appear before the agency? Are there any limitations or requirements placed upon such practice?

| | |
|---|---|
| Alabama PSC | Must be an officer of the company. |
| Alaska TC | The principal of a proprietorship or a partner may appear. Corporations must be represented by Counsel. |
| California PUC | Legitimate party and interest. |
| Connecticut PUC | Any person other than an attorney who appears before the commission in any contested case shall be deemed to have appeared as the agent or representative of a person, firm, corporation or association and, as such, shall file with the written notification of appearance the written authorization of the person, firm, corporation or association being represented and shall be fully bound to proceed in accordance with these rules in the contested case. |
| District of Columbia PSC | An individual, receiver, trustee, or official may appear in his own behalf, a member of the partnership may represent the partnership, an officer of a corporation or association may represent the corporation or association, and an officer or employee of a Federal, District, or State commission or other governmental agency may represent the commission or governmental agency. |
| Georgia PSC | A party may represent himself. If a corporation or partnership, a duly authorized representative may appear. |
| Indiana PSC | Employee must be called as a witness by the attorney representing the company, or counsel of one of the other parties in the case. |
| Iowa SCC | Employees of a company which is a party-in-interest can only present evidence. |
| Minnesota PSC | Corporations may only be represented by attorneys. |
| Missouri PSC | Employees of a company which is a party-in-interest, who also are attorneys, are allowed to appear before the Commission. Employees of a company which is a party-in-interest but who are not lawyers are not allowed to practice before the Commission. Participation without formal intervention or designation as a party in a hearing is allowed under the conditions set out in 4 CSR 240-2.110(17). Also, each party has the right to call and examine witnesses in any hearing before the Commission. |

Table 126 - ELIGIBILITY TO PRACTICE BEFORE THE REGULATORY AGENCY (Continued)

| | |
|---|---|
| Montana PSC | 38-2.2(2)-P2040 PRACTICE BEFORE THE COMMISSION (1) Any person may appear at hearings before the Commission in his own behalf, by attorney authorized to practice in this State, or by an agent thereunto authorized in writing, or by a Class B Interstate Commerce Commission practitioner. |
| Nevada PSC | A party may represent himself. If a corporation or partnership, a duly authorized representative may appear. |
| New Jersey BPU | Appearances in formal hearings in a representative capacity must be made by an attorney at law. An exception exists for a corporation; may be represented by an officer thereof. |
| New Mexico SCC | Employees of a company which is a party-in-interest are allowed to appear before the Commission only at informal proceedings. To appear during formal proceedings, employees must have an attorney who is licensed to practice in New Mexico. |
| North Carolina UC | Only lawyers and individuals pleading their own cases are allowed to call and examine witnesses. |
| North Dakota PSC | 69-02-01-05. PERSONAL AND REPRESENTATIVE APPEARANCES. Participants may appear in any proceeding in person or by an attorney or other qualified representative. The following persons may appear in any proceeding: (1) an individual in the individual's own behalf; (2) a member of a partnership; (3) a bona fide officer or duly authorized employee of a corporation, association, or group; and (4) an officer or employee of a state agency, department, or political subdivision of the state or other governmental authority representing the state agency, department, or political subdivision in any proceeding. General Authority NDCC 28-32-02, Law Implemented NDCC 49-01-07 |
| Oregon PUC | An individual may represent himself or other persons in transportation matters. ORS 756.519. |
| Virginia SCC | Company employees may appear as witnesses to state position of company but cannot appear in a representative capacity. |
| Washington UTC | The Commission urges representation by Counsel for company employees. |
| West Virginia PSC | A party may appear for and represent himself, a partner may represent his partnership, and a corporation or association may be represented by a duly authorized executive thereof, upon permission granted at the discretion of the presiding officer. |
| Wyoming PSC | Company employee must be a full-time employee or bona fide general officer. |

Column 3 (Preceding Table)

(3) Are non-lawyer practitioners, who are not employees of a party-in-interest, allowed to represent others before the agency? If the answer is yes, are there any limitations or requirements placed upon them?

| | |
|---|---|
| Alabama PSC | This has been allowed on occasion but such practice is not encouraged. The person so appearing is usually an ICC practitioner. |
| Alaska PC | The person must satisfy the commission that they possess the qualifications necessary to render service and competent to advise or assist in the presentation of matters before the commission. |
| California PUC | Legitimate party and interest. |
| Connecticut PUC | Any person other than an attorney who appears before the commission in any contested case shall be deemed to have appeared as the agent or representative of a person, firm, corporation or association and, as such, shall file with the written notification of appearance the written authorization of the person, firm, corporation or association being represented and shall be fully bound to proceed in accordance with these rules in the contested case. |
| District of Columbia PSC | A member of the partnership may represent the partnership, an officer of a corporation or association may represent the corporation or association, and an officer or employee of a Federal, District, State commission or other governmental agency may represent the commission or governmental agency. |
| Florida PSC | See Rule 25-2.14 - Class B Practitioners. |
| Georgia PSC | No restrictions. |
| Iowa SCC | Natural persons; must have express consent of Commission. |
| Louisiana PSC | Must have five years experience; permission of Commission. |
| Massachusetts DPU | On occasion an accountant may represent a small company. |
| Minnesota PSC | Allowed at the discretion of the Hearing Examiner. |
| Montana PSC | 38-2.2(2) - P2040 PRATICE BEFORE THE COMMISSION (1) Any person may appear at hearings before the Commission in his own behalf, by attorney authorized to practice in this State, or by an agent thereunto authorized in writing, or by a Class B Interstate Commerce Commission practitioner. |
| New Hampshire PUC | Consumer groups (New Hampshire Energy Coalition is an example); usually it is a limited appearance. |
| New York PSC | There are no rules or regulations barring such practice. |
| New York SDOT | Any individual may represent others before the Department; there are no limitations or requirements to be met. |
| North Dakota PSC | 69-02-01-05. PERSONAL AND REPRESENTATIVE APPEARANCES. Participants may appear in any proceeding in person or by an attorney or other qualified representative. The following persons may appear in any proceeding: (1) an individual in the individual's own behalf; (2) a member of a partnership; (3) a bona fide officer or duly authorized employee of a corporation, association, or group; and (4) an officer or employee of a state agency, department, or political subdivision of the state or other governmental authority representing the state agency, department, or political subdivision in any proceeding. General Authority NDCC 28-32-02, Law Implemented NDCC 49-01-07. |
| Oregon PUC | ORS 756.519 limits representation to transportation matters--any individual. |
| Tennessee PSC | Limited to motor carrier cases. |
| Utah PSC | Seldom done; no special restrictions. |
| West Virginia PSC | A party may appear for and represent himself, a partner may represent his partnership, and a corporation or association may be represented by a duly authorized executive officer thereof, upon permission granted at the discretion of the presiding officer. |

Table 127 - ASSISTANCE TO INTERVENORS

| AGENCY | The Agency | | | | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|
| | Makes available to | | | | | | Provides assistance | | | |
| | | Cost to purchaser | | | | | | | | |
| | Intervenors, copies of transcripts | By page | By transcript | Other | Has requirements for filing and distribution by intervenors | Provides duplication facilities | To participants in proceedings before it or another agency | When positive it includes advice and help in obtaining information from the Agency files | Allows public access to agency reports | Makes available experts whose testimony would be helpful to another agency's proceeding |
| CAB | X | 40/ | 40/ | | X | 41/ | X | X | X | X |
| FCC | X | 34/ | 34/ | | X | X | X | X | X | X |
| FERC | X | .18 32/ | .10 33/ | | X | X | X | X | X | X |
| ICC | X | .75 | | | X | X | X | X | X | |
| ALABAMA PSC | X | X | | | X | X | X | X | X | X |
| ALASKA PC | X | .15 | | | X | X | X | X | X | 44/ |
| ALASKA PUC | X | .10 | | 1/ | 2/ | X | X | X | X | |
| ALASKA TC | X | | X | 1/ | 2/ | X | X | X | X | |
| ALBERTA PMC | | | | | X | X | X | X | X 49/ | |
| ALBERTA PUB | X | 1/ | | | X | | X | X | X | |
| ARIZONA CC | X | .50 | | .20 3/ | | X | X | X | X | X |
| ARKANSAS PSC | X | .10 | | | X | | X | X | X | X |
| ARKANSAS TC | X | .75 | | | X | X | X | X | X | X |
| CALIFORNIA PUC | X | .90 | | | X | X | X 4/ | X | X | |
| COLORADO PUC | X 5/ | 5/ | | | | X | X | X | X | X |
| CONNECTICUT PUC | X | 6/ | 6/ | 6/ | | X | | | X | |
| DELAWARE PSC | X | | | 1/ | X | | | | X | |
| DELAWARE TA | X | 1.00 43/ | | | X | | X | X | X | X |
| D.C. PSC | X 28/ | | | 8/ | X | | | X | X | |
| FLORIDA PSC | X | 1.00 | | | X | X 9/ | X | X | X | X |
| GEORGIA PSC | X | .75 | | | | X | X | X | X | X |
| GUAM PUC | X | | | | | X | X | | X | |
| HAWAII PUC | X | 1.75 | | | X | X | | | X | X |
| IDAHO PUC | X 12/ | | | 45/ | X | X 24/ | | | X | |
| ILLINOIS CC | X 10/ | 1.40 11/ | | | X | X | X | X | X | X |
| INDIANA PSC | X | .25 | 21/ | | X | X | X | X | X | X |
| IOWA SCC | X 12/ | .60 | | | X | X | X | X | X | |
| IOWA TRB 7/ | X 12/ | .60 | | | X | X | X | X | X | |
| KANSAS SCC | X 12/ | 2.00 | | | | | X | X | X | |
| KENTUCKY DOT | X 38/ | .10 | 38/ | | X | X | X | X | X | |
| KENTUCKY ERC & URC | X 12/ | | | | X | X | X | X | X | |
| KENTUCKY RC | | | | | | | | | | |
| LOUISIANA PSC | X | .50 | | | X | X | X | X | X | X |
| MAINE PUC | X | .75 | | | X | X 13/ | X | X | X | X 14/ |
| MARYLAND PSC | X | 1.75 31/ | | | | X | X 15/ | X | | |
| MASSACHUSETTS DPU | X 16/ | | | | | | X 17/ | X | | |
| MICHIGAN PSC | X | X | | 1/ | X | X | X | X | X | X |
| MINNESOTA PSC | X | 46/ | | | X | X | X | X | X | |
| MISSISSIPPI PSC | X | | | | | X | X | X | X | X |
| MISSOURI PSC | X | .30 | | | X | X | X | X | X | X |
| MONTANA PSC | X 18/ | 19/ | 19/ | 19/ | X | X | X 4/ | X | X | X |
| NEBRASKA PSC | X | 36/ | | | | X | | | X | X |
| NEVADA PSC | | | | | | X | | | X | |
| NEW HAMPSHIRE PUC | X | .15 1/ | | | X | X | X | X | X | |
| NEW JERSEY BPU | X | 1.00 | | | X | X | X | X | X | |
| NEW MEXICO PSC | X | .75 | | 20/ | | X | X | X | X | X |
| NEW MEXICO SCC | X | .75 | | | | X | X | X | X | X |
| NEW YORK DOT | X | .25 | | | X | X | X | X | X | X |
| NEW YORK PSC | | .80 50/ | | | X | X | X | X | X | X |
| NORTH CAROLINA UC | X | 1.00 | | | X | X | X | X | X | X |
| NORTH DAKOTA PSC | 22/ | | | | X | X | X | X | X | X |
| NOVA SCOTIA PUB | X | .15 | .15 | | X | X | X | X | X | |
| OHIO PUC | 23/42/ | .25 | | 47/ | X | X | | | X | |
| OKLAHOMA CC | X | | X | | X | X | X | X | X | X |
| ONTARIO EB | X 51/ | | | | X | X | X | X | X | |
| ONTARIO TSC | X | .20 | | | X | X | X | X | X | |
| OREGON PUC | | .75 | | | X | | X | X | X | X |
| PENNSYLVANIA PUC | X | .75 | | | X | X 24/ | X | X | X | 25/ |
| PUERTO RICO PSC | X | 1.00 | | | | | | | X | X |
| QUEBEC EGB | | | | | | | | | | |
| QUEBEC PSB | X | | | | X | X | X | X | X | X |
| RHODE ISLAND PUC | 26/ | X | | | X | X | X | X | X | |
| SOUTH CAROLINA PSC | X | .75 | | | | | X | X | X | X |
| SOUTH DAKOTA PUC | X | | | | | | X | X | X | X |
| TENNESSEE PSC | X | .75 | | | X | X | X | X | X | X |
| TEXAS AC | X | | | 1/ | X | X | X | X | X | X |
| TEXAS PUC | X | | | 1/ | X | X | X | X | X | X |
| TEXAS RC | X | 1.00 | | | X | X 24/ | X 48/ | X | X | X |
| UTAH PSC | X | .75 27/ | | | | X | X | X | X | |
| VERMONT PSB | X | 39/ | | | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | X | .25 | | | | X | | | X | |
| VIRGINIA SCC | X | 1.50 37/ | 1/ | | X | X | X | X | X | |
| WASHINGTON UTC | 35/ | | | | | 29/ | X | X | X | X |
| WEST VIRGINIA PSC | X | 1.60 | | | X | X | X | X | X | X |
| WISCONSIN PSC | X | 8/ | 8/ | 8/ | X | X | X | X | X | |
| WISCONSIN TC | X | 8/ | 8/ | 8/ | X | X | X | X | X | X |
| WYOMING PSC | X 28/ | 2.00 | | | X | 30/ | X | X | | |

## Footnotes - Table 127 - ASSISTANCE TO INTERVENORS

1/ Purchase from reporter.
2/ No specific requirements.
3/ Folio.
4/ Not monetary.
5/ Charge is made by reporter, not commission. $1.50 per page for complete transcript or miscellaneous pages. Additional copies at time of original order, 60¢ per page.
6/ Various.
7/ The Iowa SCC and TRB provide the same assistance to intervenors except that the TRB, as part of the Department of Transportation, can coordinate experts from the appropriate DOT divisions.
8/ No charge.
9/ $1.00 per page.
10/ At a cost of $.25 per page, minimum of $1.00.
11/ Transcript is available from court reporting firm which is under contract with commission.
12/ May be purchased from court reporter.
13/ Cost, 50¢ per page or sheet.
14/ Seldom done, but would do so when required.
15/ Through People's Counsel.
16/ Available for examination in docket or proceeding. Copies must be purchased from stenographic firm.
17/ To a limited extent.
18/ Furnished by the Commission Reporter.
19/ Cost not specified.
20/ Purchaser may have transcript reproduced at less cost at a commercial copier.
21/ Transcripts of hearings affected by PURPA available from Commission at 25¢ per page reproduction cost to all parties. In other instances, $1.50 to $1.75 per page; 40¢ and 50¢ for additional copies.
22/ Through and by independent reporter.
23/ Copy available for review in Docketing Office; transcript can be purchased from court reporting firm which is under contract with the Commission.
24/ At specified costs.
25/ Certain records only.
26/ Copies are made available by official court reporter who charges rates customary for court transcripts per page.
27/ Original, $1.50.
28/ Transcripts are available to outside parties for use in the Commission's offices but may not be taken out. Intervenors who require an individual copy arrange to purchase direct from reporting firm.
29/ Charge is made for records reproduced for outside parties.
30/ Limited duplication by State.
31/ This is our cost for two copies. Additional copies beyond first two are 50¢ a page.
32/ Charge made by stenographic firm for delivery within 14 days of request; 41.4¢ per page for delivery not later than 8:00 a.m. of the day following the proceeding; 54¢ per page for delivery by 9:00 p.m. of the day of the proceeding.
33/ Reproduction by commercial copier.
34/ Purchase from reporter: 10¢ per page in Washington, D.C.; 20¢ per page outside Washington, D.C.; provided the order is the same as ordered by the commission.
35/ Transcripts are available to outside parties for use in the UTC's offices but may not be taken out. Intervenors who require an individual copy may arrange to purchase direct from reporting firm or from UTC at cost of reproduction.
36/ Rates prescribed by District Court.
37/ Price of single copy; approximately 60¢ per page when total copies ordered equals two or more.
38/ Transcript made available through court reporter.
39/ Intervenors buy copies from independent stenographer employed to transcribe agency proceedings.
40/ Purchase from reporter: 4¢ per page provided the order is the same as ordered by the Board.
41/ Fee for duplicating is 15¢ per page.
42/ Statutory procedure as to Consumers' Counsel.
43/ $1.00 for original; 10¢ for each additional page.
44/ Policy has not been developed.
45/ At fees approved by Commission.
46/ If State reporters are used, the first copy is $1.35 per page, the second is 85¢ per page. If outside reporters are used, prices range from $1.50-$2.95 per page.
47/ One microfische with 60 pages at $.25.
48/ Municipal assistance is allowed by statute; however, requests for funding of such assistance programs have not been approved by the Legislature.
49/ Regulatory determinations and library material available.
50/ Transcripts are furnished by the Commission's reporting service. Charges range as follows: intervenors from the general public--80¢ per page; intervenors from other New York State departments and agencies--12½¢ per page; intervenors from local and federal government agencies and municipalities--40¢ per page.
51/ Copy available for review in Docketing Office; personal copies available for purchase from Court Reporter.

Table 128 - FILING AND DISTRIBUTION REQUIREMENTS FOR INTERVENORS

| AGENCY | Requirements for filing and distribution by intervenors |
|---|---|
| CAB | Pleadings, documents, or other papers other than correspondence filed with the CAB require 19 conformed copies and exhibits.<br>When service made by Intervenor, one copy each to all parties on official service list plus original and 19 conformed copies to the CAB.<br>Except where different number is permitted or directed by CAB or presiding officer, 20 copies of each brief shall be filed with CAB: and at least one copy each to all parties on official service list.<br>An original and 20 copies of Briefs on Exceptions filed with CAB, and one copy each to all parties listed on official service list. |
| FCC | One copy each to all parties on official service list and 6 to 20 copies to Commission. |
| FERC | Pleadings, documents, or other papers other than correspondence filed with the Commission require original and 19 conformed copies and exhibits.<br>When service made by Intervenor, one copy each to all parties on official service list plus original and 14 conformed copies to the Commission.<br>Commission may order, on an ad hoc basis, various numbers of copies of pleadings or documents filed.<br>Except where different number is permitted or directed by Commission or presiding officer, 20 copies of each brief shall be filed with Commission: and at least one copy each to all parties on official service list.<br>Fifteen copies of Briefs on Exceptions filed with Commission, and one copy each to all parties listed on official service list. |
| ICC | Same requirements as other parties. |
| ALABAMA PSC | No specific requirements; rules being formulated. |
| ALASKA PC | Three copies to Commission and one copy each to all parties of record. |
| ALASKA PUC | No specific requirements. |
| ALASKA TC | No specific requirements. |
| ALBERTA PMC | Copies served and furnished to all interested parties. Petition to intervene must be filed within 20 days for special application. |
| ALBERTA PUB | Copies to all parties of record. |
| ARIZONA CC | None. |
| ARKANSAS PSC | Eleven copies to Commission and one copy each to all parties of record. |
| ARKANSAS TC | Five copies to Commission and one copy each to all parties of record. |
| CALIFORNIA PUC | Permission to intervene will not be granted except when pertinent to issues already presented, otherwise same as applicant. |
| COLORADO PUC | None. |
| CONNECTICUT PUC | Original and 19 copies to Commission. |
| DELAWARE PSC | Seven copies to Commission and one copy to each party of record. |
| DELAWARE TA | Under review. |
| D. C. PSC | Number of copies varies; distributed by the Commission. |
| FLORIDA PSC | To all parties of record including three copies to Commission. |
| GEORGIA PSC | None. |
| GUAM PUC | None. |
| HAWAII PUC | Copies served and furnished to all interested parties. |
| IDAHO PUC | Petition to intervene must be filed, with proof of service of copies, to all parties not less than five days prior to hearing or within 10 days after receipt of notice of application. |
| ILLINOIS CC | File three copies on or before first day of hearing and serve copy on all parties. |
| INDIANA PSC | Five days prior to hearing a petition to intervene must be filed. Five copies of filings required. |
| IOWA SCC | Intervenors are required to file a petition to intervene (original and three copies) at least five days prior to hearing -- to be served on all parties of record. |
| IOWA TRB | Five copies and original to Board and certificate of service to all parties of record: sufficient copies for all parties represented at hearing--one for Board if intervention is sought at hearing. |
| KANSAS SCC | Set forth in detail in "Rules of Practice and Procedure before the State Corporation Commission." |
| KENTUCKY DOT | File original and one copy with Department and copies to all parties of record. |
| KENTUCKY ERC & URC | File notice of intervention with Commission with copies to all parties of record. |
| KENTUCKY RC | |
| LOUISIANA PSC | Copy of pleading to known parties plus statement of interest and list of witnesses, if known. |
| MAINE PUC | Intervenors are required to file petitions to intervene seven days prior to the hearing with the Commission and Petitioner. |
| MARYLAND PSC | None. |
| MASSACHUSETTS DPU | Nine copies filed with the Department and one copy to each intervenor. |
| MICHIGAN PSC | Same as for all other parties. |
| MINNESOTA PSC | To parties of record. |
| MISSISSIPPI PSC | None. |
| MISSOURI PSC | Set forth in "Rules of Practice and Procedure Before the PSO of the State of Missouri" (4CSR 240-2). |
| MONTANA PSC | Same as petitioners. |
| NEBRASKA PSC | None. |
| NEVADA PSC | To all parties of record and six copies to Commission. |
| NEW HAMPSHIRE PUC | Must have standing to participate, either pro se or by attorney. |
| NEW JERSEY BPU | Pay statutory fee and distribute at own expense. |
| NEW MEXICO PSC | None. |
| NEW MEXICO SCC | None. |
| NEW YORK DOT | Three copies for DOT and one each for other parties to proceeding. |
| NEW YORK PSC | The minimum requirements for exhibits and testimony are: one each to the Commission, Hearing Examiner, Staff Counsel, each petitioner and each of the active parties. |
| NORTH CAROLINA UC | Original and 25 copies of pleadings, exhibits and testimony to be served on all parties of record. |
| NORTH DAKOTA PSC | Five copies of pleading and serve all parties. |
| NOVA SCOTIA PUB | Original for file and copy for each Board Member and Party. |
| OHIO PUC | Must file formal petition for leave to intervene. Subsequent motions, pleadings, etc. must be served upon all parties of record. Requirements as to number of copies available from Docketing Division. |
| OKLAHOMA CC | Same requirement for all parties of record. |
| ONTARIO EB | File notice of intervention with copies to all parties of record. |
| ONTARIO TSC | File notice of intervention with copies to all parties of record. |
| OREGON PUC | File notice of intervention with copies to all parties of record. |
| PENNSYLVANIA PUC | Same requirements for all parties. |
| PUERTO RICO PSC | None. |
| QUEBEC EBG | Ten copies to Board and one copy to other parties to proceeding. |
| QUEBEC PSB | Service to all parties and filing of two copies of intervention. |
| RHODE ISLAND PUC | Ten copies unless waived by Chairman. |
| SOUTH CAROLINA PSC | None. |
| SOUTH DAKOTA PUC | None. |
| TENNESSEE PSC | May file intervention five days before hearing. |
| TEXAS AC | Original and six copies to Commission and one copy each to all parties of record. |
| TEXAS PUC | All parties of record and four to PUC. |
| TEXAS RC | All parties of record and three copies to RC of all pleadings except briefs, exceptions, and replies to examiner's report and proposals for decision which require the filing of five copies. |
| UTAH PSC | None. |
| VERMONT PSB | Original and five copies to Board and one copy to each party of record. |
| VIRGIN ISLANDS PSC | Must file a formal request to intervene. |
| VIRGINIA SCC | Original and four copies, plus copy served upon applicant (or party instituting proceeding). |
| WASHINGTON UTC | None. |
| WEST VIRGINIA PSC | File motion to intervene. |
| WISCONSIN PSC | Original and three copies, plus copy to all other parties and staff. |
| WISCONSIN TC | Register as a party of record. |
| WYOMING PSC | Original and as many copies as required by the Commission with copies to all parties of record. |

# INTRODUCTION

## ADMINISTRATIVE LAW JUDGES AND HEARING EXAMINERS

Table 129 shows which agencies use examiners,[1] what types of proceedings examiners are used in, what qualifications are required of examiners, and what the scope of an examiner's authority is. The table also shows how many examiners the agencies use on a full-time basis and how many on a part-time basis, and what the professional backgrounds of examiners are.

In 49 agencies, examiners are full-time employees of the agency; 6 agencies employ examiners on a part-time basis. The most frequently required qualification of an examiner is a background in law. Admittance to the bar is required by 19 agencies; a law degree is required by an additional 15 agencies.

In 48 agencies, examiners control the course of the proceedings by fixing hearing dates and responses by the parties. Examiners rule on the admissibility of evidence in 55 agencies. In 45 agencies examiners make findings of fact, and in 40 agencies they make conclusions of law.

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1/ Administrative law judges and hearing examiners are hereinafter referred to collectively as "examiners."

Table 129 - ADMINISTRATIVE LAW JUDGES OR HEARING EXAMINERS 1/

| Y = Yes, N = No, NA = Not Applicable<br>a = The qualifications required of all Federal administrative law judges pursuant to U.S. Civil Service Commission Announcement No. 318.<br>b = Member of the State bar.<br>c = Law degree.<br>d = Undergraduate degree. | CAB | FCC | FERC | ICC | NRC | SEC | ALABAMA PSC | ALASKA PC | ALASKA PUC | ALASKA TC | ALBERTA PMC | ALBERTA PUB | ARIZONA CC | ARKANSAS PSC | ARKANSAS TC | CALIFORNIA PUC | COLORADO PUC | CONNECTICUT PUC | DELAWARE PSC | DELAWARE TA | D.C. PSC | FLORIDA PSC | GEORGIA PSC | GUAM PUC | HAWAII PUC | IDAHO PUC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. Are the examiners: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time employees of the Agency? | Y | Y | Y | Y | N6/ | Y | Y | 2/ | 2/ | Y | 2/ | 2/ | Y | Y | 2/ | Y | Y | Y | Y | Y | | Y | 2/ | 2/ | N | Y |
| (b) Employed by Agency on part-time basis as needed? | | N | N | N | | N | N | | | Y | | | N | N | | N | | | N | | | N | | | Y | |
| (c) Employees of a central State or Federal office of examiners? | | N | N | N | | N | N | | | N | | | N | N | | N | | | N | | | N | | | N | |
| (d) Selected by other means? | 3/ | 3/ | N | N | | N | N | | | N | | | N | N | | N | | | N | | | N | | | Y | |
| 2. If a central office is used, is such office: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) An independent agency with the authority to make rules regarding the employment of examiners? | NA | NA | NA | NA | | NA | NA | | | NA | | | NA | NA | | NA | NA | | NA | | | NA | | | NA | NA |
| (b) A part of another agency which determines such rules? | NA | NA | NA | NA | | NA | NA | | | NA | | | NA | NA | | NA | NA | | NA | | | NA | | | NA | NA |
| 3. If a central office is used: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Are examiners permanently assigned to particular agencies? | NA | NA | NA | NA | | NA | NA | | | NA | | | NA | NA | | NA | NA | | NA | | | NA | | | NA | NA |
| (b) Are examiners rotated among various agencies? | NA | NA | NA | NA | | NA | NA | | | NA | | | NA | NA | | NA | NA | | NA | | | NA | | | NA | NA |
| 4. Are examiners used in: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Rule-making proceedings? | Y | Y | N | Y | | Y | Y | | | Y | | | Y | Y | | Y | Y | | N | Y | | Y | | | N | |
| (b) Adjudicatory proceedings? | Y | Y | Y$^{a}$ | Y | | Y | Y | | | Y | | | Y | Y | | Y | Y | Y | Y | Y | | Y | | | Y | Y |
| 5. What is the scope of an examiner's authority? Does the examiner: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Control the course of the proceedings by fixing hearing dates and responses by the parties? | Y | Y | Y | Y | | Y | Y | | | Y | | | 10/ | Y | | Y | Y | | Y | Y | | Y | | | Y | |
| (b) Rule on the admissibility of evidence? | Y | Y | Y | Y | | Y | Y | | | Y | | | Y | Y | | Y | Y | | Y | Y | | Y | | | Y | Y |
| (c) Make findings of fact? | Y | Y | Y | Y | | Y | Y | | | Y8/ | | | Y | N | | Y | Y | | Y | Y | | Y | | | Y | |
| (d) Make conclusions of law? | Y | Y | Y | Y | | Y | Y | | | Y8/ | | | Y | N | | Y | Y | | N | Y | | Y | | | Y | |
| (e) Render only advisory opinions which have no force unless approved by Agency? | N | N | N | N | | N | Y | | | Y | | | Y | Y | | Y | Y | Y | Y | Y | | Y | | | Y | Y |
| (f) Certify procedural requirements such as prior notice and publication? | | N | N | N | | Y | Y | | | N | | | Y | Y | | Y | Y | | Y | Y | | N | | | N | |
| 6. How many days does an aggrieved party have to appeal an examiner's decision: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) A rule-making proceeding? | 4/ | 30 | 30 | 30 | | 15 | 20 | | | 20 | | | 20 | 30 | | | 20 | | NA | 30 | | 15 | | | NA | |
| (b) An adjudicatory proceeding? | 4/ | 30 | 30 | 30 | | 15 | 20 | | | 20 | | | 20 | 30 | | | 20 | | 30 | 30 | | 15 | | | | |
| 7. Is an examiner's decision effective pending an appeal unless stayed by the Agency? | N5/ | Y | N | N | | N | Y | | | N | | | N | N | | N | N | | N | NA | | N | | | NA | |
| 8. (a) What qualifications are required of an examiner in terms of education and experience? | a | a | a | a | | a | b7/ | | | c9/ | | | | b11/ | | b12/ | | 14/ | d15/ | d | | b | | | b | |
| (b) Are there other significant qualifications required? | N | N | N | N | | N | N | | | N | | | | | | | | | NA | N | | N | | | NA | |
| (c) Are the same qualifications required of examiners for both rule-making and adjudicatory proceedings? | Y | Y | Y | Y | | Y | Y | | | Y | | | Y | Y | | Y | Y | | NA | Y | | Y | | | NA | |
| 9. How many examiners are presently used by your Agency? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time? | 10 | 13 | 21 | 50 | | 9 | 3 | | | 2 | | | 3 | 4 | | 30 | 6 | 0 | 0 | 1 | | 5 | | | 0 | 1 |
| (b) Part-time? | 0 | 0 | 0 | 0 | | 0 | 0 | | | 0 | | | 0 | 0 | | 0 | 0 | 12 | 1 | 0 | | 0 | | | 0 | 0 |
| 10. How many full-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | 10 | 13 | 21 | 50 | | 9 | 2 | | | 2 | | | 3 | 4 | | 17 | 6 | | | | | 5 | | | | |
| (b) Accountants | | | | | | | | | | | | | | | | 1 | | | | | | | | | | |
| (c) Economists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (d) Engineers | | | | | | | | | | | | | | | | 8 | | | | | | | | | | |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | | | | | | | | | | | | | | | | 413/ | | | | 1 | | | | | | |
| 11. How many part-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (b) Accountants | | | | | | | | | | | | | | | | | | 5 | 1 | | | | | | | |
| (c) Economists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (d) Engineers | | | | | | | | | | | | | | | | | | 4 | | | | | | | | |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | | | | | | | | | | | | | | | | | | 3 | | | | | | | | |

Table 129 - ADMINISTRATIVE LAW JUDGES OR HEARING EXAMINERS 1/ (continued)

| Y = Yes, N = No, NA = Not Applicable<br>a = The qualifications required of all Federal administrative law judges pursuant to U.S. Civil Service Commission Announcement No. 318.<br>b = Member of the State bar.<br>c = Law degree.<br>d = Undergraduate degree. | ILLINOIS CC | INDIANA PSC | IOWA SCC | IOWA TRd | KANSAS SCC | KENTUCKY DOT (BVR) | KENTUCKY ERC & URC | KENTUCKY RC | LOUISIANA PSC | MAINE PUC | MARYLAND PSC | MASSACHUSETTS DPU | MICHIGAN PSC | MINNESOTA PSC | MISSISSIPPI PSC | MISSOURI PSC | MONTANA PSC | NEBRASKA PSC | NEVADA PSC | NEW HAMPSHIRE PUC | NEW JERSEY BPU | NEW MEXICO PSC | NEW MEXICO SCC | NEW YORK DOT | NEW YORK PSC | NORTH CAROLINA UC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. Are the examiners: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time employees of the Agency? | Y | N | | | | Y | N | | Y | Y | Y | Y | Y | N | 2/ | Y | 32/ | Y | Y | Y | N | 2/ | 2/ | Y | Y | Y |
| (b) Employed by Agency on part-time basis as needed? | | Y | | | Y | N | Y | | N | | N | | | N | | N | N | N | N | N | N | | | | N | N |
| (c) Employees of a central State or Federal office of examiners? | | N | | | N | N | N | | N | | N | | N | Y | | N | N | N | N | N | Y | | | | N | N |
| (d) Selected by other means? | | N | 18/ | 18/ | | N | N | | N | | N | | | | | N | N | N | N | N | N | | | | N | N |
| 2. If a central office is used, is such office: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) An independent agency with the authority to make rules regarding the employment of examiners? | NA | NA | | NA | NA | NA | NA | | NA | | | | | Y | | NA | NA | | NA | | Y | | | N | NA | NA |
| (b) A part of another agency which determines such rules? | NA | NA | | NA | NA | NA | NA | | NA | | | | | | | NA | NA | | NA | | | | | | NA | NA |
| 3. If a central office is used: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Are examiners permanently assigned to particular agencies? | NA | NA | | NA | NA | NA | NA | | NA | | | | | N | | NA | NA | | NA | | N | | | Y | NA | NA |
| (b) Are examiners rotated among various agencies? | NA | NA | | NA | NA | NA | NA | | NA | | | | | Y | | NA | NA | | NA | | Y | | | | NA | NA |
| 4. Are examiners used in: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Rule-making proceedings? | Y | Y | | NA | N | Y | Y | | Y | Y | Y | Y | Y | Y | | Y | Y | N | Y | Y | Y | | | Y | Y | Y |
| (b) Adjudicatory proceedings? | Y | Y | | NA | Y | Y | Y | | Y | Y | Y | Y | Y | Y | | Y | Y | Y | Y | Y | Y | | | Y | Y | Y |
| 5. What is the scope of an examiner's authority? Does the examiner: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Control the course of proceedings by fixing hearing dates and responses by the parties? | Y | Y | | | Y | Y | Y | | Y | N | Y | Y | Y | Y | | Y30/ | Y | N | N | Y | Y | | | Y | Y | Y |
| (b) Rule on the admissibility of evidence? | Y | Y | | | Y | Y | Y | | Y | Y | Y | Y | Y | Y | | Y31/ | Y | Y | Y | Y | Y | | | Y | Y | Y |
| (c) Make findings of fact? | N | Y | | | N | Y | Y | | N22/ | Y | Y | Y | Y | Y | | N22/ | Y | Y | N | N22/ | Y | | | Y | Y | Y |
| (d) Make conclusions of law? | N | Y | | | N | Y | Y | | N22/ | Y | Y | Y | Y | Y | | N22/ | Y | Y | N | N22/ | Y | | | Y | Y | Y |
| (e) Render only advisory opinions which have no force unless approved by Agency? | Y | Y | 19/ | 19/ | N | N | Y | | Y | Y | N | Y | N | Y | | Y | Y | Y | Y | | Y | | | Y | Y | Y41/ |
| (f) Certify procedural requirements such as prior notice and publication? | Y | Y | | | Y | N | N | | Y | N | N | N | Y | Y | | N | Y | N | Y | | Y | | | Y | N | Y |
| 6. How many days does an aggrieved party have to appeal an examiner's decision: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) A rule-making proceeding? | | NA | NA | NA | NA | 20 | 20 | | NA | | 30 | NA | 28/ | 30 | | NA | | NA | NA | | 35/ | | | 37/ | NA | 20 |
| (b) An adjudicatory proceeding? | | NA | 30<br>20/ | 30<br>20/ | NA | 20 | 20 | | NA | | 30 | NA | 28/ | 30 | | NA | 30 | NA | NA | | | | | 30 | NA | 20 |
| 7. Is an examiner's decision effective pending an appeal unless stayed by the Agency? | | NA | Y | Y | NA | N | N | | NA | | N | NA | Y | N | | N | N | NA | NA | | 58/ | | | Y | N | N |
| 8. (a) What qualifications are required of an examiner in terms of education and experience? | C16/ | C | | | b | b | 57/ | | d23/ | b25/ | b | | b | c | | c | N | c | c33/ | | b36/ | | | d | c39/ | 42/ |
| (b) Are there other significant qualifications required? | | b | | | Y21/ | | N | | | Y26/ | | | Y29/ | N | | N | N | Y33/ | Y34/ | | | | | Y38/ | | N |
| (c) Are the same qualifications required of examiners for both rule-making and adjudicatory proceedings? | Y | Y | | | NA | Y | Y | | | Y27/ | Y | | Y | Y | | Y | | Y | Y | | Y | | | Y | Y | Y |
| 9. How many examiners are presently used by your Agency? | 17/ | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time? | 18 | | | | 0 | 3 | 0 | | | 5 | 8 | 15 | 11 | 0 | | 5 | 0 | 2 | 4 | | | | | 8 | 17 | 8 |
| (b) Part-time? | 1 | 10 | | | 1 | 0 | 3 | | 24/ | 3 | 0 | 0 | 0 | 0 | | 0 | 0 | 0 | 0 | | | | | 0 | 0 | 0 |
| 10. How many full-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | 18 | | | | | 3 | | | | 5 | 8 | 12 | 11 | | | 5 | | 2 | 2 | | | | | 5 | 14 | 4 |
| (b) Accountants | | | | | | | | | | | | 2 | | | | | | | | | | | | 2 | 0 | 1 |
| (c) Economists | | | | | | | | | | | | | | | | | | | 1 | | | | | 0 | 59/ | |
| (d) Engineers | | | | | | | | | | | | | | | | | | | | | | | | 1 | 340/ | 1 |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | | | | | | | | | | | | 1 | | | | | | | | | | | | | | 2 |
| 11. How many part-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | 1 | 10 | | | 1 | | 3 | | | 1 | | | | | | | | | | | | | | | | |
| (b) Accountants | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (c) Economists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (d) Engineers | | | | | | | | | | 2 | | | | | | | | | | | | | | | | |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | | | | | | | | | | | | | | | | | | | | | | | | | | |

Table 129 - ADMINISTRATIVE LAW JUDGES OR HEARING EXAMINERS 1/ (continued)

| Y = Yes, N = No, NA = Not Applicable<br>a = The qualifications required of all Federal administrative law judges pursuant to U.S. Civil Service Commission Announcement No. 318.<br>b = Member of the State bar.<br>c = Law degree.<br>d = Undergraduate degree. | NORTH DAKOTA PSC | NOVA SCOTIA PUB | OHIO PUC | OKLAHOMA CC | ONTARIO EB | ONTARIO TSC | OREGON PUC | PENNSYLVANIA PUC | PUERTO RICO PSC | QUEBEC PSB | RHODE ISLAND PUC | SOUTH CAROLINA PSC | SOUTH DAKOTA PUC | TENNESSEE PSC | TEXAS AC | TEXAS PUC | TEXAS RC | UTAH PSC | VERMONT PSB | VIRGIN ISLANDS PSC | VIRGINIA SCC | WASHINGTON UTC | WEST VIRGINIA PSC | WISCONSIN PSC | WISCONSIN TC | WYOMING PSC |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| 1. Are the examiners: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time employees of the Agency? | Y | 44/ | Y | Y | 2/ | 44/ | Y | Y | Y | 2/ | 2/ | 2/ | Y | Y | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y | Y |
| (b) Employed by Agency on part-time basis as needed? | N | | N | N | | | N | N | N | | | | | N | N | N | N | N | N | Y | | | | N | N | |
| (c) Employees of a central State or Federal office of examiners? | N | | N | N | | | N | N | N | | | | | N | N | N | N | N | N | N | | | | N | N | |
| (d) Selected by other means? | | | N | 43/ | | | | | Y47/ | | | | | N | N | N | N | N | N | | | | | | | Y55/ |
| 2. If a central office is used, is such office: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) An independent agency with the authority to make rules regarding the employment of examiners? | NA | | NA | NA | | | NA | NA | Y | | | | | | NA | NA | NA | | NA | NA | | | N | | | |
| (b) A part of another agency which determines such rules? | NA | | NA | NA | | | NA | NA | N | | | | | | NA | NA | NA | | NA | NA | | | | | | |
| 3. If a central office is used: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Are examiners permanently assigned to particular agencies? | NA | | NA | NA | | | NA | NA | Y | | | | Y | | NA | NA | NA | | NA | NA | | | N | | | |
| (b) Are examiners rotated among various agencies? | NA | | NA | NA | | | NA | NA | N | | | | | | NA | NA | NA | | NA | NA | | | N | | | |
| 4. Are examiners used in: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Rule-making proceedings? | Y | | Y | Y | | | Y | Y | Y | | | | | Y | Y | Y | Y | N | N | N | Y | Y | Y | Y | Y | Y |
| (b) Adjudicatory proceedings? | Y | | Y | Y | | | Y | Y | Y | | | | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y |
| 5. What is the scope of an examiner's authority? Does the examiner: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Control the course of proceedings by fixing hearing dates and responses by the parties? | Y | | Y | Y | | | Y | Y | Y | | | | Y | Y | Y | Y | Y | N | Y | N | N | Y | Y | Y | Y | Y |
| (b) Rule on the admissibility of evidence? | Y | | Y | Y | | | Y | Y | Y | | | | Y | Y | Y | Y | Y | Y | Y | Y | N | Y | Y | Y | Y | Y |
| (c) Make findings of fact? | N | | Y22/ | Y | | | Y | Y | Y | | | | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | Y | N | |
| (d) Make conclusions of law? | N | | Y22/ | Y | | | Y | Y | Y | | | | Y | Y | Y | Y | Y | Y | Y | Y | | Y | N | Y | N | |
| (e) Render only advisory opinions which have no force unless approved by Agency? | Y | | Y | Y | | | | 45/ | Y | | | | Y | Y | Y | Y | Y | Y | Y | Y | Y | | Y | Y | Y | Y |
| (f) Certify procedural requirements such as prior notice and publication? | Y | | Y | Y | | | Y | Y | N | | | | Y | Y | Y | Y | Y | N | Y | Y | N | | Y | N | N | Y |
| 6. How many days does an aggrieved party have to appeal an examiner's decision: | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) A rule-making proceeding? | NA | | NA | 10 | | | 15 | NA | 15 | | | | | NA | NA | NA | 30 | NA | NA | NA | NA | 20 | 10 | 54/ | 54/ | NA |
| (b) An adjudicatory proceeding? | NA | | NA | 10 | | | 15 | 15 | 15 | | | | 30 | 48/ | 15 | 30 | 30 | | 14 | NA | NA | 20 | 10 | 54/ | 54/ | NA |
| 7. Is an examiner's decision effective pending an appeal unless stayed by the Agency? | NA | | NA | Y | | | N | Y | Y | | | | | N | N | 51/ | N | N | N | NA | N | N | N | N | N | |
| 8. (a) What qualifications are required of an examiner in terms of education and experience? | | | b | b<br>43/ | | | c | b<br>46/ | b | | | | | c<br>49/ | a<br>50/ | b | c | c | 52/ | c | 53/ | b | b | b | d | c |
| (b) Are there other significant qualifications required? | N | | | N | | | N | Y | Y | | | | | Y | N | N | N | 52/ | | N | | | | N | N | Y56/ |
| (c) Are the same qualifications required of examiners for both rule-making and adjudicatory proceedings? | NA | | Y | Y | | | Y | Y | Y | | | | | NA | Y | Y | Y | NA | NA | Y | Y | Y | Y | Y | Y | Y |
| 9. How many examiners are presently used by your Agency? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Full-time? | 0 | | 25 | 10 | | | 9 | 18 | 8 | | | | | 1 | 1 | 7 | 10 | 1 | 1 | 0 | | 7 | 13 | 3 | 7 | 3 |
| (b) Part-time? | 7 | | 0 | 0 | | | 0 | 1 | 0 | | | | 2 | 0 | 1 | | 0 | 0 | 1 | 2 | | 0 | 0 | 0 | 0 | 0 |
| 10. How many full-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | | | 25 | 10 | | | 9 | 18 | 8 | | | | | 1 | 1 | 7 | 10 | 1 | | | | 7 | 13 | 3 | 4 | 3 |
| (b) Accountants | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (c) Economists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (d) Engineers | | | | | | | | | | | | | | | | | | | 1 | | | | | | | |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | | | | | | | | | | | | | | | | | | | | | | | | | 3 | |
| 11. How many part-time examiners have the following professional backgrounds? | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (a) Attorneys | 1 | | | | | | | 1 | | | | | | 4 | 1 | | | | | 1 | | | | | | |
| (b) Accountants | 1 | | | | | | | | | | | | 1 | 2 | | | | | | | | | | | | |
| (c) Economists | | | | | | | | | | | | | 1 | | | | | | | | | | | | | |
| (d) Engineers | 1 | | | | | | | | | | | | | 2 | | | | | 1 | | | | | | | |
| (e) Environmentalists | | | | | | | | | | | | | | | | | | | | | | | | | | |
| (f) Other | 4 | | | | | | | | | | | | | | | | | | | 1 | | | | | | |

## Footnotes - Table 129 - ADMINISTRATIVE LAW JUDGES OR HEARING EXAMINERS 1/

1/ Administrative law judges and hearing examiners are hereinafter referred to collectively as "examiners."

2/ The agency does not use examiners.

3/ Administrative law judges are selected from the U.S. Civil Service Commission Register of qualified persons.

4/ Twenty-one days to file petitions for review of initial decisions or recommended decisions, except Administrative Law Judge may set different time for petitions for review of recommended decisions involving authority for foreign air carriers.

5/ Examiner's decision is automatically stayed if review is sought.

6/ Section 191 of the Atomic Energy Act of 1954, as amended, authorizes the NRC to establish Atomic Safety and Licensing Boards consisting of three members, one of whom shall be qualified in the conduct of administrative proceedings and two of whom shall have such other qualifications as the Commission deems appropriate.

7/ Examiners are also required to have three years of experience in their fields.

8/ Proposed.

9/ An examiner must be a member of the American Bar Association and have two years experience in the transportation field or as an examiner in a similar capacity.

10/ Only the response dates.

11/ Two-to-three years experience as an attorney is also required.

12/ For attorneys, membership in the State Bar is required plus five years of experience including two years of public utilities work or judicial or quasijudicial hearing experience. For non-lawyers, such as accountants, engineers, and rate experts, requirements are degrees and certification and an extensive public utilities background, including participation in formal proceedings before the Commission.

13/ Transportation rate experts.

14/ Examiners are senior staff members of Commission's Accounting, Engineering and Transportation Divisions.

15/ Undergraduate degree in accounting, economics, or finance.

16/ Generally, the examiners employed by the Illinois CC are attorneys.

17/ Does not include engineers and accountants who sometimes serve as examiners.

18/ The Iowa Administrative Procedure Act which became effective July 1, 1975, provides that the agency may retain full-time or part-time examiners, borrow them from other agencies, or draw them from a pool. At present the program has not been implemented by the Iowa SCC and TRB.

19/ Recommended order becomes final if no exceptions are filed.

20/ Thirty days for appeal; 20 days for rehearing.

21/ Other qualifications are expertise in subject, judicial temperament, objectivity, the ability to recognize and rule on the admissibility of evidence, and a working knowledge of Commission policy.

22/ Recommends findings of fact and conclusions of law; Commission has final authority.

23/ In addition to an undergraduate degree, three years experience at a professional level in the interpretation of laws, rules and regulations is required. A law degree may be substituted for experience.

24/ Staff is utilized on a part-time basis.

25/ Two years of experience in general legal work or one year of experience in the public utility field is required.

26/ Other qualifications include a thorough knowledge of legal documents, court procedures, rules of evidence, federal and state laws and rules involved in administration of motor carrier regulation program.

27/ The Maine PUC also employs an examiner who carries the title of Director of Economics and Finance Division which requires four years of responsible supervisory experience in accounting, financing, business administration, or economics, and an undergraduate degree in one of those fields.

28/ The number of days varies; it is usually 20 days.

29/ Various periods of experience in legal work and/or decision-making are required, dependent upon the level at which the examiner is employed.

30/ Commission confirms dates and has final authority.

31/ If parties object, they can require examiner to have Commission rule.

32/ A Commissioner or staff attorney performs this duty when necessary.

33/ Experience in the field of law is required.

34/ Actual experience at hearings presided over by the Commissioners when examiner assists.

35/ Fifteen days to file Notice of Intention to file Exception to Examiner's Report and Recommendations, and 15 days thereafter to file exceptions.

36/ Appointed by the Governor with the advice and consent of the Senate. Most are attorneys.

37/ The number of days varies.

38/ Some specialized experience and a background in economics, accounting or engineering.

39/ Individual applicants are judged on an overall basis in terms of education, temperament, character, and experience.

40/ Two of the attorneys are also engineers.

41/ Examiner renders advisory opinion which has force unless appealed.

42/ No specific level of education specified.

43/ Selection of examiners is through State Merit System and must pass the merit exam.

44/ Commission members officiate and examine during hearings. Commissioners may be assisted by staff and consultants before and during proceedings.

45/ If no exceptions are filed or if no appeal is taken from the ruling on the exceptions within 15 days after any such decision or ruling is issued, the examiner's decision or ruling shall become final without further Commission action.

46/ Five years experience in the practice of law, including at least three years of specialized experience.

## Footnotes - Table 129 - ADMINISTRATIVE LAW JUDGES OR HEARING EXAMINERS 1/(Cont'd)

47/ The agency recruits examiners through the State Personnel Office, and the agency itself, through a screening, determines if an attorney is qualified.

48/ Set by examiner--five to twenty days.

49/ Equivalent professional expertise may be substituted for law degree.

50/ An examiner is required to have a law degree and/or extensive experience in administrative law.

51/ Examiner recommends; PUC accepts or rejects.

52/ Examiners must have experience in regulatory matters.

53/ There are no statutory qualifications; however, an examiner is generally a member of the General Counsel Division of the State Corporation Commission.

54/ Fifteen days to file exceptions to examiner's recommendation.

55/ Special Assistant Attorney General assignad to Wyoming PSC.

56/ The Commission assigns an examiner to a case according to the examiner's expertise and experience.

57/ No statutory qualifications.

58/ Initial Decision of an Administrative Law Judge becomes effective 45 days after issuance unless the agency rejects or modifies it before the end of the period.

59/ One of the attorneys is also an economist.

## SECTION Z

## PUBLIC INFORMATION FACILITIES AND OFFICERS
## NEWS MEDIA COVERAGE, STATE INFORMATION STATUTES
## REPRESENTATION OF CONSUMER INTEREST
## STATE ENERGY OFFICES

The public information facilities provided by the various agencies are shown in Table 130. The agencies provide information through public information offices, the Secretary's office, through agency staff members or by making the records of the agency open to public inspection. Table 130 also contains information on the number of agencies having a permanent research staff and research library.

Table 131 shows that many of the agencies permit microfilming or other reproduction of agency records.

Table 132 shows detailed information concerning public information officers and operations. The table includes the number of special public information officers, number of personnel, news releases and brochures issued, and other means of keeping the public informed.

Table 133 shows the extent to which regulatory agencies permit news media coverage of public proceedings. Data presented in this table includes the agency's definition of "news media," method of recording events, and the agency's policy on whether presiding officers may discuss the subject matter or conduct of the proceeding with the news media. An "X" in the column entitled "Educational Non-preferential Comments" denotes that the agency's presiding officers may explain the subject matter or conduct of a proceeding with the news media only for the purpose of educating the media as to the subject matter and issues involved, without in any way predicting outcome or implying preference. Table 133 also shows the extent to which non-news media members of the public may record events of regulatory proceedings.

Table 134 shows information obtained from a NARUC survey of State freedom of information statutes.

Table 135 lists the state representatives of consumer interest other than by the regulatory agencies.

Table 136 lists the State Energy Offices.

Table 130 - PUBLIC INFORMATION FACILITIES

| AGENCY | The Agency | | | | | |
|---|---|---|---|---|---|---|
| | Has a research section or a permanent research staff | Has a research library | | Publishes an annual report | Files are open to the public | Facilities provided by the Agency for public information |
| | | | Number of volumes available | | | |
| CAB | X | X | 14/ | X | X | Docket Section, CAB Library, Records Services Section. |
| FCC | | X | 39,650 | X | X | Public Information Division. |
| FERC | | X | 50,000 23/ | X | X | Public Information Division. |
| ICC | | X | 94,000 | X | X | Docket Section, ICC Library. |
| ALABAMA PSC | X | | | X | X | Public Information Office. |
| ALASKA PC | X | X | 21/ | X | X | Commission staff provides information. Space is made available as necessary to examine records and make copies. |
| ALASKA PUC | | X | 1/ | X | X 2/ | Commission staff provides information. Space is made available as necessary to examine records. |
| ALASKA TC | | X | 11/ | X | X 5/ | Staff provides information. Space is made available as necessary to examine records. |
| ALBERTA IMC | X | X | 1,500 | X | X 5/ | Docket Section, Commission staff provides information and space to examine records. |
| ALBERTA PUB | | X | 15/ | X | X | Staff provides information. Space is made available as necessary to examine records. |
| ARIZONA CC | X | | | X | X 3/ | Utility Safety and Tariff Divisions. |
| ARKANSAS PSC | | X | 3,000 | X | X | Conference room and tables. All offices open to public for visits or by telephone. |
| ARKANSAS TC | | X | 3,000 | X | X | Conference room and tables. All offices open to public for visits or by telephone. |
| CALIFORNIA PUC | 4/ | | | X | X 3/ | Public Information Office. |
| COLOARDO PUC | | X | | | X | Records available at Commission office. |
| CONNECTICUT PUC | | | | X | X | Public Information Office. |
| DELAWARE PSC | | | | X | X | Records available at office. |
| DELAWARE TA | | | | X | X | Records available at office. |
| D.C. PSC | | | | X | 5/ | Access to public documents. |
| FLORIDA PSC | X | | | X | X | Public Information Office. |
| GEORGIA PSC | | | | X | X | |
| GUAM PUC | | | | X | X | Upon request to PUC. |
| HAWAII PUC | | X | | X | X | Records available at Commission office. |
| IDAHO PUC | X | | | X | X | Public citizens are welcome in Commission office to obtain information or inspect records anytime. |
| ILLINOIS CC | X | X | 24,000 | X 6/ | X | Public Information Office. |
| INDIANA PSC | | X | | X | X | The department having authority for keeping the specific record. |
| IOWA SCC | X | X | 7,000 | X | X | Records available at Commission office, File Room. |
| IOWA TRB | X | X | | X | X | Records available at TRB Office. |
| KANSAS SCC | | X | | 7/ | X | Records available at Commission office. |
| KENTUCKY DOT | | X | | X | X | Records available at office. |
| KENTUCKY ERC & URC | | | | | X | Records available at Commission's office. |
| KENTUCKY RC | | | | | | |
| LOUISIANA PSC | | X 13/ | | X | X | Secretary's office provides information. Records are available for public inspection. |
| MAINE PUC | | 8/ | | 20/ | X | Secretary's office provides information. Records available at Commission offices. |
| MARYLAND PSC | | X | | X | X | Records available for public inspection in the various departments. |
| MASSACHUSETTS DPU | | | | X | X 3/ | Direct contact with clerks or staff. |
| MICHIGAN PSC | | X | | X | X | Public Information Office. 16/ |
| MINNESOTA PSC | X | X | | 7/ | X | Records and personnel are available at Commission office. |

Table 130 - PUBLIC INFORMATION FACILITIES (Continued)

| AGENCY | The Agency |  |  |  |  |  |
|---|---|---|---|---|---|---|
|  | Has a research section or a permanent research staff | Has a research library | Number of volumes available | Publishes an annual report | Files are open to the public | Facilities provided by the Agency for public information |
| MISSISSIPPI PSC |  | X |  | X | X | Public Information Office. |
| MISSOURI PSC |  |  |  | X | X | Public Information Office. |
| MONTANA PSC |  | X | 12,000 | X | X | Records available at Commission Office. |
| NEBRASKA PSC | X | X |  | X | X | Public Information Office. |
| NEVADA PSC |  | X | 1,500 | X | X | Space available for private research of records at Commission office. |
| NEW HAMPSHIRE PUC |  | X 9/ | 2,000 | 7/ | X | Through office of Commission's Exec. Director and Secretary. |
| NEW JERSEY BPU | 22/ | X 8/ |  | X | X 3/ | Public Information Office and staff. |
| NEW MEXICO PSC |  | X | 10/ | X | X | May question staff members. |
| NEW MEXICO SCC |  |  |  |  | X | Records available in various departments. |
| NEW YORK DOT | X | X |  | X | X 3/ | Public Information Office. |
| NEW YORK PSC | X |  | 17/ | X | X | Public Information Office. |
| NORTH CAROLINA UC | X | X | 1,790 | X | X | Public information generally supplied through office of clerk. |
| NORTH DAKOTA PSC |  |  |  | 7/ | X | Regular office space and facilities made available at Commission office. |
| NOVA SCOTIA PUB | X | X | 900 | X | X | Records available at Board's office. |
| OHIO PUC |  | X 8/ | 15,500 | X | X | Public Information Office. |
| OKLAHOMA CC |  | X 18/ |  | X | X | Records available for public inspection. |
| ONTARIO EB |  | X |  | X | X | Staff provides information and makes space available, as necessary to examine documents. |
| ONTARIO TSC | X | X | 500 | X | X 3/ | May question staff. |
| OREGON PUC | X | X |  |  | X | Public Information Office. |
| PENNSYLVANIA PUC |  | 8/ | 500 |  | X 3/5/ | Public Information Office. |
| PUERTO RICO PSC | X | X |  | X |  | Public Information Office. |
| QUEBEC EBG |  |  |  | X |  |  |
| QUEBEC PSB | X | X | 300 | X | 19/ |  |
| RHODE ISLAND PUC |  |  |  | X | X | Records may be inspected at PUC office with assistance of staff. |
| SOUTH CAROLINA PUC | X | X | 700 | X | X | Public Information Office. |
| SOUTH DAKOTA PUC |  | X | 200 | X | X | Through the office of Commission's Secretary. |
| TENNESSEE PSC | X |  |  | X | X | Executive Directer's office provides general information and records. |
| TEXAS AC | X | X | 6,500 | X | X | Records available for public inspection and use. |
| TEXAS PUC | X | X | 3,800 | X | X | Public Information Office; open records. |
| TEXAS RC | X | X | 15/ | X | X | Public may deal directly with the office maintaining the records. |
| UTAH PSC |  | X | 1,300 | X | X | Information may be obtained by contacting the Secretary of the Commission. |
| VERMONT PSB |  |  |  | 7/ | X | By permission, information available at PSB office. |
| VIRGIN ISLANDS PSC |  |  |  | X | X | By permission, information available at PSC office. |
| VIRGINIA SCC |  | X |  | X | X | Public Information Office. |
| WASHINGTON UTC |  |  |  | X | X 12/ | Assistance is given by individual sections as circumstance indicates, with the records being made available. |
| WEST VIRGINIA PSC |  |  |  | X | X | Secretary's office provides information. |
| WISCONSIN PSC | X |  |  | 7/ | X | Copies of opinions, orders and notices are sent to news media. Records and information available during regular office hours at Commission Office. |
| WISCONSIN TC | X | X | 300 | 7/ | X | Obtained through staff under Commission Secretary's control. |
| WYOMING PSC |  | X | 200 | X | X |  |

## Footnotes - Table 130 - PUBLIC INFORMATION FACILITIES

1/ P.U.R. series.
2/ Information may be treated as confidential upon request.
3/ Certain files not open to public.
4/ Not by that name. All Commission units do their own research.
5/ All formal Commission actions are public.
6/ Not regularly.
7/ Biennial report.
8/ Legal Library.
9/ Library for legal research (FERC, ICC, P.U.R., etc.).
10/ P.U.R. reports, C.C.H. texts, etc.
11/ Library consists of MCC, FCC, CAB, aviation cases, CFR, State codes and regulations and numerous special publications.
12/ Except as limited by statute.
13/ Reports and law library.
14/ Number of volumes available: Library -- 21,000.
15/ In process of building up volumes available.
16/ Staffed by personnel of Department of Commerce.
17/ The Commission maintains a Legal Library and a Central Library, the latter containing various periodicals and technical publications dealing with public utilities. The Central Library also maintains subscription services for the Commission's Weekly Bulletin, Weekly Calendar of Hearings, publications by its Office of Research, and the PSC Reports (Opinions, Orders, Rules and Regulations).
18/ Partial--in Accounting and Legal Divisions.
19/ Judicial records only are available for inspection by the public.
20/ Annual Report which is part of the Maine State Government Annual Report.
21/ Changes daily - no set inventory figure.
22/ No formal research staff; most research is performed in the Bureau of Rates.
23/ Number of volumes available: Division of Public Information reference room - 20,000; Central Files - 200,000; Library - 50,200.

## Table 131- REPRODUCTION OF ORIGINAL RECORDS

| AGENCY | The Agency, in lieu of original records for retention purposes, allows - | |
|---|---|---|
| | Microfilming of Records | Reproduction of records by any media |
| CAB | X 2/ | X 2/ |
| FCC | X | X |
| FERC | X | X |
| ICC | X | X |
| ALABAMA PSC | | X |
| ALASKA PC | | 3/ |
| ALASKA PUC | | |
| ALASKA TC | | X |
| ALBERTA PMC | | |
| ALBERTA PUB | 3/ | 3/ |
| ARIZONA CC | X | X |
| ARKANSAS PSC | | X |
| ARKANSAS TC | X | X |
| CALIFORNIA PUC | X | 1/ |
| COLORADO PUC | X | X |
| CONNECTICUT PUC | X | X |
| DELAWARE PSC | | 1/ |
| DELAWARE TA | | X |
| D.C. PSC | | |
| FLORIDA PSC | X | X |
| GEORGIA PSC | X | X |
| GUAM PUC | X | X |
| HAWAII PUC | X | X |
| IDAHO PUC | X | X |
| ILLINOIS CC | X | |
| INDIANA PSC | X | |
| IOWA SCC | X | X |
| IOWA TRB | X | X |
| KANSAS SCC | X | X |
| KENTUCKY DOT | X | X |
| KENTUCKY ERC & URC | X | |
| KENTUCKY RC | | |
| LOUISIANA PSC | X | X |
| MAINE PUC | | |
| MARYLAND PSC | X 2/ | X 2/ |
| MASSACHUSETTS DPU | X | X |
| MICHIGAN PSC | X | |
| MINNESOTA PSC | X | X |
| MISSISSIPPI PSC | X | X |
| MISSOURI PSC | X | X |
| MONTANA PSC | X | X |
| NEBRASKA PSC | X | X |
| NEVADA PSC | | X |
| NEW HAMPSHIRE PUC | | X |
| NEW JERSEY BPU | X | X |
| NEW MEXICO PSC | | X |
| NEW MEXICO SCC | | X |
| NEW YORK DOT | X | X |
| NEW YORK PSC | X | X |
| NORTH CAROLINA UC | | X |
| NORTH DAKOTA PSC | X | X |
| NOVA SCOTIA PUB | | 3/ |
| OHIO PUC | X | X |
| OKLAHOMA CC | X | X |
| ONTARIO EB | | |
| ONTARIO TSC | X 4/ | X 4/ |
| OREGON PUC | X | X |
| PENNSYLVANIA PUC | | X |
| PUERTO RICO PSC | | X |
| QUEBEC EGB | | |
| QUEBEC PSB | | |
| RHODE ISLAND PUC | | |
| SOUTH CAROLINA PSC | X | X |
| SOUTH DAKOTA PUC | X | X |
| TENNESSEE PSC | X | X |
| TEXAS AC | X | X |
| TEXAS PUC | X | X |
| TEXAS RC | X | X |
| UTAH PSC | X | X |
| VERMONT PSB | X | X |
| VIRGIN ISLANDS PSC | X | X |
| VIRGINIA SCC | | X |
| WASHINGTON UTC | X | X |
| WEST VIRGINIA PSC | X | X |
| WISCONSIN PSC | X | X |
| WYOMING PSC | X | |

1/ If more than one set of records is to be kept, reproduction by a permanent process would be allowed. Destruction of original records without Commission approval is not allowed. 2/ As prescribed. 3/ Policy has not been developed, but photo-copy facilities are available. 4/ Will be allowed if required.

## Table 132 - PUBLIC INFORMATION OFFICERS AND OPERATIONS

| | | | | | | | | | METHODS FOR GETTING STORY ACROSS OTHER THAN BY NEWS MEDIA | | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AGENCY | SPECIAL PIO | NO. OF PIO PERSONNEL | PIO OFFICE IS A SEPARATE STAFF OPERATION | COMMISSIONERS AND STAFF MEMBERS AVAILABLE FOR INTERVIEWS | MAIN CHANNELS FOR INFORMATION PROGRAM* | ISSUES RELEASES REGULARLY | ISSUES PERIODIC NEWSLETTER OR SUMMARIES OF AGENCY ACTION | ISSUES BROCHURE DESCRIBING IN LAY TERMS AGENCY ROLE | PUBLICATIONS | PANEL DISCUSSIONS | DISPLAYS AT FAIRS | SPEECHES BY COMMISSIONERS OR STAFF | ATTENTION TO CONSUMER INQUIRIES | TOLL FREE "HOTLINE" ANSWERING SERVICE | PAID ADVERTISING |
| CAB | yes | 6 | no | yes | a,b,c | yes | no | yes | yes | yes | | yes | yes | no | no |
| FCC | yes | 14 | no | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | no | no |
| FERC | yes | 22 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | no | no |
| ICC | yes | 9 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | yes | |
| ALABAMA PSC | yes | 1 | yes | yes | a,b,c | yes | no | no | yes | yes | | yes | yes | yes | no |
| ALASKA PC | No | | | yes | a,b,c | no | yes | no | 3/ | | | yes | yes | | |
| ALASKA PUC | yes | 2 | yes | yes | a,b,c | no | no | no | no | no | no | yes | yes | no | yes |
| ALASKA TC | no | | no | yes | b | no | yes | no | yes | no | | yes | yes | | |
| ALBERTA PMC | no | | | | b | yes | yes | no | no | no | no | yes | yes | no | no |
| ALBERTA PUB | no | | no | yes | a,b,c | no | no | no | no | yes | no | yes | yes | no | no |
| ARIZONA CC | no | | | yes | a,b,c | yes | yes | yes | yes | yes | no | yes | yes | no | no |
| ARKANSAS PSC | no | | | yes | b | no | no | yes | | | | | | | |
| ARKANSAS TC | | | | | | | no | no | | | | | | | |
| CALIFORNIA PUC | yes | 4 | yes | yes | a,b,c | yes | yes | yes | yes | yes | no | yes4/ | yes | no | no |
| COLORADO PUC | no | | no | yes | a,b,c | no | | | | yes | | yes | yes | | |
| CONNECTICUT PUC | yes | 1 | yes | yes | a,b,c | no | no | no | | | | | yes | | |
| DELAWARE PSC | yes6/ | | no | yes | b | yes | yes | no | | | | | yes | yes | |
| DELAWARE TA | | | | | | no | no | | | | | | | | |
| D. C. PSC | no | | no | yes | a,b,c | yes | | no | | yes | | yes | yes | | |
| FLORIDA PSC | yes | 2 | yes | yes | a,b,c | yes | no | yes | yes | | no | yes | yes | yes | no |
| GEORGIA PSC | no | | no | yes | | no | no | no | yes | yes | | yes | yes | yes | |
| GUAM PUC | no | | no | yes | a,b,c | no | no | no | no | no | no | yes | yes | no | no |
| HAWAII PUC | no | | no | yes | a,b,c | no | no | no | no | no | no | yes | yes | no | no |
| IDAHO PUC | yes | 1 | yes | yes | a,b,c | yes | yes | no | yes | yes | no | yes | yes | no | no |
| ILLINOIS CC | yes | 1 | yes | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | no | no |
| INDIANA PSC | yes | 1 | yes | yes | a,b,c | yes | yes | yes | yes | yes | no | yes | yes | no | no |
| IOWA SCC | no | | no | yes | a,b,c | yes | no | no | no | yes | no | yes | yes | no | no |
| IOWA TRB | no5/ | | yes | yes | a,b,c | yes | yes | yes | yes | yes | no | yes | yes | yes5/ | no |
| KANSAS SCC | yes | 1 | no | yes | a,b,c | yes | no | yes | yes | yes | no | yes | yes | no | no |
| KENTUCKY DOT | no | | no | yes | a,b,c | yes | no | yes | | | | yes | yes | | |
| KENTUCKY ERC & URC | yes | 1 | no | yes | b | no | no | no | no | no | no | yes | yes | no | no |
| KENTUCKY RC | no | | no | yes | | | | | | | | | | | |
| LOUISIANA PSC | | | | yes | a,b,c | yes | yes | no | yes | no | no | yes | yes | no | no |
| MAINE PUC | no | | no | yes | a,b,c | yes | no | yes | yes | yes | | yes | yes | | |
| MARYLAND PSC | | | | | | no | no | | | | | | | | |
| MASSACHUSETTS DPU | yes | 1 | yes | yes | a,b,c | no | yes | yes | yes | yes | no | yes | yes | yes | no |
| MICHIGAN PSC | no | | no | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | yes | |
| MINNESOTA PSC | no | | no | | a,b,c, | yes | yes | yes | yes | | | yes | yes | | 2/ |
| MISSISSIPPI PSC | no | | no | yes | a,b,c | yes | yes | yes | yes | | | yes | yes | | |
| MISSOURI PSC | yes | 2 | yes | yes | a,b,c | yes | no | yes | yes | yes | | yes | yes | yes | no |
| MONTANA PSC | no | | no | yes | a,b,c | yes | no | no | yes | yes | no | yes | yes | no | no |
| NEBRASKA PSC | | | | yes | a,b,c | no | yes | yes, | yes | | | yes | yes | | |
| NEVADA PSC | no | | no | yes | a,b,c | | no | yes | | | | yes | yes | yes | |
| NEW HAMPSHIRE PUC | no | | no | yes | b | yes | no | yes8/ | | yes | | | yes | | |
| NEW JERSEY BPU | yes | 2 | yes | yes | a,b,c | yes | no | yes | no | yes | no | yes | yes | 9/ | no |
| NEW MEXICO PSC | yes | 1 | yes | yes | a,b,c | no | yes | yes | | | | yes | yes | | |
| NEW MEXICO SCC | yes | 2 | no | yes | a,b,c | yes | | | yes | yes | | yes | yes | | |
| NEW YORK DOT | yes | | yes | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| NEW YORK PSC | yes | 2 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | yes | |
| NORTH CAROLINA UC | no | | no | yes | b,c | no | yes | yes | yes | yes | no | yes | yes | no | no |
| NORTH DAKOTA PSC | no | | no | yes | a,b,c | yes | no | yes | | | | yes | yes | | |
| NOVA SCOTIA PUB | no10/ | | | no | | no | no | no | no | no | no | no | yes | no | no |
| OHIO PUC | yes | 4 | yes | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | yes | no |
| OKLAHOMA CC | no | | no | yes | a,b,c | yes | no | no | no | no | no | yes | yes | no | no |
| ONTARIO EB | no | | no | yes | a,b,c | no | no | no | no | no | no | yes | yes | no | no |
| ONTARIO TSC | yes | 4 | yes | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | | yes |
| OREGON PUC | yes | 1 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | yes | |
| PENNSYLVANIA PUC | yes | 5 | yes | yes | a,b,c | yes | yes | yes | | yes | | yes | yes | yes | yes |
| PUERTO RICO PSC | yes | 5 | yes | yes | b,c | yes | | | | yes | | yes | yes | | |
| QUEBEC EGB | yes | 1 | no | | | | | | | | | | | | |
| QUEBEC PSB | yes | 6 | yes | yes | b,c | yes | yes | yes | yes | yes | | yes | yes | no | no |
| RHODE ISLAND PUC | no | | no | yes | | yes | no | no | | yes | yes | yes | yes | | |
| SOUTH CAROLINA PSC | no | | no | yes | | no | no | no | | | | | | | |
| SOUTH DAKOTA PUC | no | | no | yes | a,b,c | yes | no | yes | | | no | yes | yes | yes | |
| TENNESSEE PSC | yes | 1 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | yes | |
| TEXAS AC | yes | 2 | no | yes | a,b,c | yes | yes | yes | yes | no | no | yes | yes | no | no |
| TEXAS PUC | yes | 2 | yes | yes | a,b,c | yes | yes | yes | yes | yes | | yes | yes | no | no |
| TEXAS RC | yes | 4 | yes | yes | a,b,c | yes | yes | yes | yes | no | no | yes | yes | no | no |
| UTAH PSC | no | | no | yes | a,b,c | yes | no | no | no | no | no | yes | yes | no | no |
| VERMONT PSB | no | | no | yes | b | no | no | no | yes | yes | | yes | yes | yes | |
| VIRGIN ISLANDS PSC | no | | no | no | a,b,c | yes | no | no | | | | yes | yes | no | |
| VIRGINIA SCC | yes | 3 | yes | yes | a,b,c | yes | yes | yes | yes | yes | yes | yes | yes | yes | yes |
| WASHINGTON UTC | no | | no | yes | a,b,c | yes | yes | yes | | | | yes | yes | yes7/ | |
| WEST VIRGINIA PSC | no | | no | yes | a,b,c | yes | no | yes | | | | yes | yes | yes | no |
| WISCONSIN PSC | yes | 1 | yes | yes | a,b,c | yes | yes | yes | yes | yes | no | yes | yes | yes | no |
| WISCONSIN TC | yes | 1 | yes | yes | a,b,c | no | yes | no | no | no | no | yes | yes | no | no |
| WYOMING PSC | | | | yes | a,b,c | yes | no | yes1/ | | | | | yes | | |

*(a) = News Wire Services
(b) = Daily, Weekly Papers
(c) = Radio, TV

1/ Contained as introductory material in Annual Report. 2/ Requires utility to provide advertising on certain matters. 3/ Annual report no longer required. Agency issues no other activity reports or descriptions except as requested by Governor, Legislature, or other authorized agencies. 4/ Slide Show and public speaking. 5/ Public information is released by and through the Iowa Dept. of Transportation—Office of Public Affairs. 6/ PIO employed on a contractual basis. 7/ Railroad employee safety and health and truck accident reporting. 8/ Biennial report. 9/ "Lifeline" program for senior citizens and certain qualified participants. 10/ Public information released by Nova Scotia Communications and Information Centre and notice of hearings advertised.

## Medium Used to Alert Public of Proceedings of Substantial Consumer Concern

| | |
|---|---|
| CAB | Federal Register, news releases, telephone to news media in affected geographic areas, Board Orders, Consumer Alerts, Community Air Service Bulletins |
| FCC | Public notices, Federal Register, news releases, special public interest publications, Feedback, Actions Alert |
| FERC | Federal Register and news releases. Telephone to news media and consumer groups in affected geographic areas. |
| ICC | Federal Register and news releases |
| ALABAMA PSC | Public notices to news media |
| ALASKA PC | Public notices to news media |
| ALASKA TC | Public notices to newspapers and bi-monthly journal |
| ALBERTA PMC | Public notices in newspapers and trade journals |
| ALBERTA PUB | Published notice, direct mail to interested parties and municipalities |
| ARIZONA CC | Public notices to news media and paid ads |
| ARKANSAS PSC | Orders made available to news media |
| ARKANSAS TC | Notices and publication in newspapers |
| CALIFORNIA PUC | Daily calendar, bill insert for rate increases, publication in newspapers of general circulation, news releases |
| CONNECTICUT PUC | Legal notices, news releases |
| DELAWARE PSC | Legal notices, news releases |
| DELAWARE TA | Public notices in newspapers of general circulation |
| D.C. PSC | D.C. Register, public notices, press releases |
| FLORIDA PSC | Newspapers, radio, television, bill-stuffers |
| GEORGIA PSC | At least two newspaper publications before each rate case |
| GUAM PUC | Notices to media |
| HAWAII PUC | Newspaper coverage |
| IDAHO PUC | Formal news release to all daily and weekly newspapers, radio and television stations in service area; notice to all chambers of commerce, city and county governments in service area. |
| ILLINOIS CC | Newspaper notice by utility |
| INDIANA PSC | Newspaper publication, radio, television, news releases |
| IOWA SCC | Providing the news media with copies of all Decisions and Orders of the Commission |
| IOWA TRB | Direct mail to concerned parties; public information is released by and through the Iowa Department of Transportation-Office of Public Affairs |
| KANSAS SCC | Newspaper publication, news releases |
| KENTUCKY DOT | Legal notices, news releases |
| KENTUCKY ERC & URC | Newspaper publication; direct notice by utility |
| LOUISIANA PSC | Direct mail to parties and public officials, news releases |
| MAINE PUC | News releases, legal notices in newspapers, service of orders to local governments |
| MARYLAND PSC | News releases, newspaper ads |
| MASSACHUSETTS DPU | News releases, legal notices in newspapers |
| MICHIGAN PSC | Notice to municipalities, newspaper ads, and in some cases bill inserts |
| MINNESOTA PSC | Weekly calendar, news releases to utility service area legislators, media and local government officials. Utility required to provide notice through bill enclosures and paid advertising. |
| MISSISSIPPI PSC | News releases |
| MISSOURI PSC | Notice to news media, mayors, State legislature, county officials in utility service area, and utilities required to give notice via bill inserts |
| MONTANA PSC | News media, legal notices |
| NEBRASKA PSC | Notices to mayors, commercial clubs and newspapers; statutory notice in legal newspapers |
| NEVADA PSC | Newspaper ads in areas affected, notices to news wire services |
| NEW HAMPSHIRE PUC | Newspaper publication, newsletter, news releases |
| NEW JERSEY BPU | News releases, direct mail, notice to affected parties |
| NEW MEXICO PSC | Notices published in newspapers in area of rate increase requests, news releases |
| NEW MEXICO SCC | Notices published in newspapers in area of rate increase requests, news releases |
| NEW YORK DOT | News releases |
| NEW YORK PSC | News releases, radio and television, interviews, press conferences |
| NORTH CAROLINA UC | Formal notice of hearing in newspapers, other releases to all media, bill inserts as direct notice |
| NORTH DAKOTA PSC | Notice to statewide media, bill inserts |
| NOVA SCOTIA PUB | Notice of hearings by paid advertising in local newspapers |
| OHIO PUC | News releases, legal notices in newspapers, weekly calendar |
| OKLAHOMA CC | News releases, legal notices in newspapers |
| ONTARIO EB | Notices published |
| ONTARIO TSC | Notices to newspapers, direct mail |

## Medium Used to Alert Public of Proceedings of Substantial Consumer Concern (Continued)

| | |
|---|---|
| OREGON PUC | Direct mailing, newspapers, radio, television, advertising, bill-stuffers |
| PENNSYLVANIA PUC | By close, daily contact with the state and regional news media (newspapers, television and radio) through our public information office directed by a public utility information specialist. Such contact is through issuance of news releases, telephone and personal discussion. |
| QUEBEC EGB | Public Notices |
| QUEBEC PSB | Public notices |
| RHODE ISLAND PUC | News releases |
| SOUTH CAROLINA PSC | Legal notices in daily newspapers |
| SOUTH DAKOTA PUC | News releases |
| TENNESSEE PSC | Notices to news media |
| TEXAS AC | Newspaper, Commission Bulletins, personal mail and Texas Register |
| TEXAS PUC | Newspaper publication, Commission bulletin (printed monthly), and personal notice by mail to affected persons, Texas Register |
| TEXAS RC | Newspaper, Commission Bulletins, personal mail and Texas Register |
| UTAH PSC | Legal ads in newspaper of general statewide circulation and a mailed notice from the utility to each of its regular customers |
| VERMONT PSB | Public notices in press |
| VIRGIN ISLANDS PSC | Legal notices in newspapers, news releases |
| VIRGINIA SCC | Legal ads in newspapers, news releases, service of orders on local governments |
| WASHINGTON UTC | Notice to news media; utilities notify customers by mail of rate filings |
| WEST VIRGINIA PSC | Legal notices in newspapers, notices to news media, and personal contact when possible |
| WISCONSIN PSC | News releases, legal notices (not paid ads), mandatory bill inserts, personal mail for names on case list |
| WISCONSIN TC | News releases, legal notices (not paid ads), mandatory bill inserts, personal mail for names on case list |
| WYOMING PSC | Legal notice in newspapers, news releases to all communications media, and personal contact when possible |

Tab. 133 - NEWS MEDIA COVERAGE OF REGULATORY PROCEEDINGS

| AGENCY | Agency permits news media to record events by: | | | | | | | Space reserved for news media | Agency defines news media to include: | | | | | | | | | | | Presiding Officer's Comments to news media: | | | Agency permits non-news media members of public to record events by: | | | | | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | Shorthand | Tape Recorder | Stenotype | Stenomask | Photographs | Live TV | Videotape | | Daily Newspapers | Weekly Newspapers | Magazines | Trade Press | Industry News Organ | Freelance Journalists | Freelance Movie Procedures | Radio Stations | TV Stations | CATV Stations | College Newspapers | Educational Non-preferential Comments | No Comments | No Policy | Shorthand | Tape Recorder | Stenotype | Stenomask | Photographs | Videotape |
| CAB | X | X | | | | | | X | X | X | X | X | X | X | | X | X | X | X | X | X | | X | X | X | X | | |
| FCC | X | X | | | x10/ | | x9/ | X | X | X | X | X | X | X | | X | X | X | X | | | | X | X | X | | x10/ | x9/ |
| FERC | X | 8/ | 8/ | 8/ | 8/ | | 8/ | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | 8/ | 8/ | 8/ | 8/ | 8/ |
| ICC | X | | | | | | | | X | X | X | X | X | X | X | X | X | X | X | | | | | | | | | |
| ALABAMA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| ALASKA PC | X | X | | | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | | | X | |
| ALASKA PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| ALASKA TC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | | | | |
| ALBERTA PUB | X | X | X | | | | | X | X | X | X | X | X | X | X | X | X | X | X | | X | | X | | X | | | |
| ARIZONA CC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| ARKANSAS PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| ARKANSAS TC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| CALIFORNIA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| COLORADO PUC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| CONNECTICUT PUC | X | X | | | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | | | | | |
| DELAWARE PSC | X | X | | | X | 6/ | 6/ | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | | | X | |
| DELAWARE TA | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| D. C. PSC | X | X | | | | | X | | X | X | X | X | X | | | X | X | X | X | X | | | X | | | | | |
| FLORIDA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| GEORGIA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| GUAM PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| HAWAII PUC | X | X | X | X | X | X | X | | X | X | | | | | | X | X | | | X | | | X | X | X | | X | X |
| IDAHO PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | | | X | X | | | X | X |
| ILLINOIS CC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | | | X | X | X | X | X | X | X |
| INDIANA PSC | X | X | | | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | | | | |
| IOWA SCC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | | | X | X | X | X | X | X |
| IOWA TRB | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | | | | X | X | X | X | X | X |
| KANSAS SCC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | [illegible] | | | X | | | | | |
| KENTUCKY DOT | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| KENTUCKY ERC & URC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| KENTUCKY RC | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| LOUISIANA PSC | X | X | X | X | X | X | X | | X | X | X | X | | X | | X | X | X | X | | | X | X | X | | | X | X |
| MAINE PUC | X | X | | | X | X | X | X | X | X | | | | | | X | X | X | X | X | | | X | | | | | |
| MARYLAND PSC | X | X | | | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | X | | X | | | | | |
| MASSACHUSETTS DPU | X | | | | | | | | X | X | | X | | | | X | X | | | 11/ | | | X | | | | | |
| MICHIGAN PSC | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ | | X | X | X | X | X | X | X | X | X | X | X | | X | | 6/ | 6/ | 6/ | 6/ | 6/ | 6/ |
| MINNESOTA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| MISSISSIPPI PSC | X | X | | | X | X | X | X | X | X | X | X | | X | | X | X | | X | X | | | X | X | | | X | X |
| MISSOURI PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| MONTANA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| NEBRASKA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| NEVADA PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| NEW HAMPSHIRE PUC | X | X | X | | | | | | X | X | | | | | | X | X | X | | | X | | | | | | | |
| NEW JERSEY BPU | X | X | X | X | X | X | X | | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | X | | | X | | | | | |
| NEW MEXICO PSC | X | X | X | X | X | | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | 2/ | 2/ |
| NEW MEXICO SCC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | 2/ | 2/ | 2/ | 2/ | 2/ | 2/ |
| NEW YORK DOT | X | X | | | X7/ | | | | X | X | X | X | X | | | X | X | X | X | X | | | X | X | | | X7/ | |
| NEW YORK PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| NORTH CAROLINA UC | X | | | | | | | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | | | | | |
| NORTH DAKOTA PSC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| NOVA SCOTIA PUB | X | X | X | X | | | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | | |
| OHIO PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | X | X | X | X | | | X | X | X | X | X | X |
| OKLAHOMA CC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| ONTARIO EB | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | | X | | X | | | |
| ONTARIO TSC | X | | | | | | | X | X | X | X | X | X | X | | X | X | X | X | | | X | | | | | | |
| OREGON PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | | X | X | X | X | X | X |
| PENNSYLVANIA PUC | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | | X | X |
| PUERTO RICO PSC | | | | | | | | | | | | | | | | | | | | | | | | | | | | |
| QUEBEC EGB | X | X | X | X | X | | X | | X | X | X | X | X | | | X | X | | | | X | | | | | | | |
| QUEBEC PSB | X | X | X | | X | | X | X | X | X | X | X | X | X | | X | X | X | X | X | | | X | X | | | X | |
| RHODE ISLAND PUC | X | X | X | X | 3/ | 3/ | 3/ | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | | | | | |
| SOUTH CAROLINA PSC | X | X | X | X | X | X | X | X | X | X | | | | | | X | X | | X | | X | | X | X | X | X | | |
| SOUTH DAKOTA PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| TENNESSEE PSC | X | X | | | X | X | | | X | X | | | | | | X | X | | | | | X | X | X | | | | |
| TEXAS AC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| TEXAS PUC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| TEXAS RC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | X | | X | X | X | X | X | X |
| UTAH PSC | X | X4/ | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | | | | | |
| VERMONT PSB | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| VIRGIN ISLANDS PSC | X | X | X | | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | | X | X |
| VIRGINIA SCC | X | | | | | | | X | X | X | X | X | X | X | X | X | X | X | X | | X | | X | | | | | |
| WASHINGTON UTC | X | X | X | X | X | X | X | | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X |
| WEST VIRGINIA PSC | X | | X | X | | | | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | | X | X | | |
| WISCONSIN PSC | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | X | | | X | X | X | X | X | X | X |
| WYOMING PSC 5/ | | | | | | | | | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | 1/ | | | X | X | X | X | X | X | X |

## Footnotes - Table 133
## NEWS MEDIA COVERAGE OF REGULATORY PROCEEDINGS

1/ No Agency definition has been adopted.
2/ No request has been made.
3/ Cannot be taken of a witness while testifying.
4/ Short segments only.
5/ The Wyoming Commission responded that it "has not established any rules or guidelines in this area but has adhered to the principle that any reasonable approach by news media will be allowed. To date news media have not in any way been obtrusive or disruptive in covering Commission hearings. In all cases to date news media have reported such hearings by a shorthand method. The few photographs taken were during breaks."
6/ If proceedings are not disrupted.
7/ Permitted before and after actual proceedings, but not during public hearings or meetings.
8/ Permitted during course of public Commission meetings, but special permission is required for public hearings conducted by Administrative Law Judges. No flash or special lighting permitted. Proceedings must not be disrupted.
9/ By special arrangement, on a conditional basis.
10/ No flash permitted and proceedings must not be disrupted.
11/ General information only.

## Table 134 - STATE AND FEDERAL FREEDOM OF INFORMATION (SUNSHINE) STATUTES

| NA = Not Applicable<br>AGENCY | | DOES YOUR STATE HAVE A SUNSHINE STATUTE? | IF SO, DOES IT APPLY TO YOUR STATE AGENCY? | IF NOT, HAS YOUR AGENCY ADOPTED SUNSHINE REGULATIONS ON ITS OWN INITIATIVE? | IF THE STATUTE APPLIES, DOES IT REQUIRE YOUR AGENCY TO ISSUE REGULATIONS? | IF SO, HAVE SUCH REGULATIONS BEEN ISSUED? | HAS THE STATUTE BEEN INTERPRETED BY THE STATE ATTORNEY GENERAL? | HAVE THE COURTS REVIEWED THE STATUTE? | ARE ANY COURT CASES WHICH INVOLVE YOUR AGENCY PENDING? | DOES THE STATUTE OR REGULATION REQUIRE: | | | | HAS THE STATE ATTORNEY GENERAL INTERPRETED YOUR REGULATIONS? | HAVE THE REGULATIONS BEEN SUBJECT TO JUDICIAL REVIEW? | ARE ANY COURT CASES PENDING RE: SUCH REGULATIONS? |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | | | ALL PROCEEDINGS IN PUBLIC? | ONLY SOME PROCEEDINGS IN PUBLIC? | ALL DOCUMENTS OPEN TO PUBLIC? | ONLY SOME DOCUMENTS OPEN TO PUBLIC? | | | |
| FCC | 42/ | Yes | Yes | NA | Yes | Yes | | Yes | No | No | Yes | No | Yes | | Yes | No |
| ALABAMA PSC | 1/ | Yes | Yes | NA | No | NA | No | No | No | Yes | NA | No | Yes | No | | No |
| ALASKA PC | 2/ | Yes | Yes | NA | Yes | Yes | No | No | No | Yes | NA | No | Yes | Yes | No | No |
| ALASKA PUC | 2/ | Yes | Yes | NA | Yes | Yes | No | No | No | No | Yes | No | Yes | No | No | No |
| ALASKA TC | 3/ | Yes | Yes | NA | Yes | Yes | No | No | No | No | Yes | No | Yes | No | No | No |
| ALBERTA PMC | | No | NA | | | | | | | | | | | | | |
| ARIZONA CC | 4/ | Yes | Yes | NA | No | NA | No | No | No | No | Yes | Yes | NA | Yes | Yes | No |
| ARKANSAS PSC | 5/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | NA | Yes | NA | No | No | No |
| ARKANSAS TC | 5/ | Yes | Yes | NA | | | | | | | | | | | | |
| CALIFORNIA PUC | 6/ | Yes | Yes | NA | Yes | Yes | Yes | Yes | No | No | Yes | No | Yes | No | No | No |
| COLORADO PUC | 7/ | Yes | Yes | NA | No | NA | Yes | No | No | Yes | NA | No | Yes | No | No | No |
| CONNECTICUT PUC | 8/ | Yes | Yes | NA | | | | | | | | | | | | |
| DELAWARE PSC | | Yes | Yes | NA | Yes | No | No | No | No | Yes | NA | No | Yes | NA | NA | No |
| DELAWARE TA | | Yes | Yes | NA | No | NA | Yes | No | No | Yes | No | No | No | NA | NA | NA |
| D.C. PSC | | Yes | Yes | NA | Yes | Yes 8-a/ | Yes | Yes | No | No | Yes | No | Yes | No | No | No |
| FLORIDA PSC | 9/ | Yes | Yes | NA | [illegible] | NA | Yes | Yes | No | Yes | NA | Yes | NA | Yes | Yes | No |
| GEORGIA PSC | 10/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | | | | NA | NA | No |
| GUAM PUC | | Yes | Yes | | No | | | No | No | Yes | No | Yes | No | No | No | No |
| HAWAII PUC | | Yes | Yes | NA | Yes | Yes | Yes | No | No | Yes | NA | No | Yes | No | No | No |
| IDAHO PUC | | Yes | Yes | NA | No | NA | NA | Yes | No | No | Yes | Yes | NA | NA | NA | NA |
| ILLINOIS CC | 11/ | No | NA | Yes | Yes | Yes | NA | NA | No | No | Yes | No | Yes | No | No | NA |
| INDIANA PSC | 12/ | Yes | Yes | NA | No | NA | No | | Yes | 12/ | 12/ | 12/ | 12/ | NA | NA | NA |
| IOWA SCC & TRB | 13/ | Yes | Yes | NA | No | NA | Yes | Yes | No | No | Yes | No | Yes | NA | NA | NA |
| KANSAS SCC | 15/ | Yes | Yes | NA | No | NA | Yes | No | No | Yes | NA | Yes 14/ | No | NA | NA | No |
| KENTUCKY DOT | | Yes | Yes | NA | No | NA | Yes | Yes | No | No | Yes | Yes | No | NA | No | No |
| KENTUCKY ERC & URC | 16/ | | | | | | | | | | | | | | | |
| LOUISIANA PSC | 17/ | Yes | Yes | NA | No | NA | Yes | No | Yes | No | Yes | No | Yes | No | Yes | Yes |
| MAINE PUC | 18/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | NA | No | Yes | No | No | No |
| MARYLAND PSC | 19/ | Yes | No | No | | | | | | | | | | | | |
| MASSACHUSETTS DPU | | Yes | Yes | No | No | NA | Yes | Yes | No | NA | Yes | NA | Yes | NA | NA | NA |
| MICHIGAN PSC | | Yes | Yes | NA | Yes | Yes | No | No | No | Yes | NA | No | Yes | No | No | No |
| MINNESOTA PSC | 20/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | NA | Yes | NA | NA | NA | NA |
| MISSISSIPPI PSC | 21/ | Yes | Yes | NA | No | NA | No | No | No | Yes | NA | Yes | NA | No | No | No |
| MISSOURI PSC | 22/ | Yes | Yes | NA | No | NA | No | Yes | No | Yes | NA | No | Yes | NA | Yes | No |
| MONTANA PSC | | Yes | Yes | NA | Yes | No | Yes | Yes | No | Yes | NA | Yes | No | Yes | No | No |
| NEBRASKA PSC | 23/ | Yes | Yes | NA | No | NA | No | No | No | Yes | NA | Yes | NA | Yes | No | No |
| NEVADA PSC | 24/ | Yes | Yes | NA | No | NA | Yes | No | No | Yes | NA | Yes | NA | NA | NA | NA |
| NEW HAMPSHIRE PUC | 25/ | Yes | Yes | NA | No | NA | Yes | Yes | No | No | Yes | Yes | NA | No | No | No |
| NEW JERSEY BPU | 26/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | No | No | Yes | No | Yes | No |
| NEW MEXICO PSC | | Yes | Yes | NA | No | NA | Yes | Yes | No | No | Yes | No | Yes | Yes | No | No |
| NEW MEXICO SCC | | Yes | Yes | NA | | | Yes | | | Yes | No | Yes | No | | | |
| NEW YORK DOT | 27/ | Yes | Yes | NA | Yes | Yes | No | No | No | No | Yes | No | Yes | NA | NA | NA |
| NEW YORK PSC | 27/ | Yes | Yes | NA | Yes | Yes | No | No | No | Yes | No | Yes | No | No | No | No |
| NORTH CAROLINA UC | 28/ | Yes | Yes | NA | No | Yes | | | No | No | Yes | No | Yes | | | |
| NORTH DAKOTA PSC | | Yes | Yes | NA | Yes | Yes | Yes | No | No | Yes | No | Yes | No | Yes | Yes | No |
| NOVA SCOTIA PUB | | No | NA | | | | | | | | | | | | | |
| OHIO PUC | 29/ | Yes | Yes | NA | Yes | Yes | Yes | Yes | Yes | Yes | NA | Yes | NA | Yes | Yes | No |
| OKLAHOMA CC | | Yes | NA | NA | NA | NA | NA | NA | NA | NA | Yes | Yes | NA | NA | NA | NA |
| OREGON PUC | 30/ | Yes | Yes | NA | No | NA | Yes | No | No | Yes | NA | No | Yes | Yes | No | No |
| PENNSYLVANIA PUC | 31/ | Yes | Yes | NA | No | NA | Yes | Yes | Yes | Yes | No | No | Yes | No | No | No |
| PUERTO RICO PSC | | | | | | | | | | | | | | | | |
| RHODE ISLAND PUC | | | | | | | | | | | | | | | | |
| SOUTH CAROLINA PSC | 32/ | Yes | Yes | NA | No | NA | Yes | Yes | No | | Yes | | Yes | NA | NA | NA |
| SOUTH DAKOTA PUC | 33/ | Yes | Yes | NA | No | NA | Yes | No | No | No | Yes | Yes | NA | Yes | No | No |
| TENNESSEE PSC | 34/ | Yes | Yes | NA | Yes | Yes | Yes | Yes | Yes | Yes | NA | Yes | NA | Yes | Yes | No |
| TEXAS AC | 35/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | No | No | Yes | No | No | No |
| TEXAS PUC | 35/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | No | No | Yes | No | No | No |
| TEXAS RC | 35/ | Yes | Yes | NA | No | NA | Yes | Yes | No | Yes | No | No | Yes | NA | NA | NA |
| UTAH PSC | | Yes | No | NA | NA | NA | Yes | Yes | No | No | Yes | Yes | No | Yes | Yes | Yes |
| VERMONT PSB | | Yes | Yes | NA | No | NA | Yes | No | | | | | Yes | Yes | No | No |
| VIRGIN ISLANDS PSC | 36/ | Yes | Yes | NA | | | | | Yes | No | Yes | Yes | No | No | No | No |
| VIRGINIA SCC | 37/ | Yes | | | | | | | | | | | | | | |
| WASHINGTON UTC | 38/ | Yes | Yes | NA | Yes | Yes | No | No | No | Yes | NA | No | Yes | No | No | No |
| WEST VIRGINIA PSC | 39/ | Yes | NA | Yes | NA | NA | NA | Yes | Yes | | | Yes | NA | No | No | |
| WISCONSIN PSC | 40/ | Yes | Yes | NA | NA | NA | Yes | Yes | No | Yes | NA | Yes | NA | No | No | No |
| WISCONSIN TC | | Yes | Yes | NA | No | No | Yes | Yes | No | No | Yes | Yes | NA | NA | No | No |
| WYOMING PSC | 41/ | Yes | Yes | NA | Yes | Yes | Yes | No | No | No | Yes | Yes | NA | No | No | No |

## Footnotes - Table 134 - STATE FREEDOM OF INFORMATION (SUNSHINE) STATUTES

1/ The reply cited Title 14, Alabama Code, Secs. 393-394, which prohibit executive sessions by the Alabama PSC and other State or local boards except "where the character or good name of a man or woman is involved." Violation is a misdemeanor carrying a fine of not less than $10 nor more than $500. Any person who remains in attendance of any secret or executive session shall be deemed guilty of violating the Code. The reply also stated that the PSC is subject to other State laws, as well as rules and regulations, pertaining to promulgation and publication of rules. Any official record, such as transcripts and docket files, is open to public inspection.

2/ Formal hearings are open to the public. All documents, except those designated by regulation to be non-public, are open to public inspection at reasonable times. Alaska Public Utilities Commission Act (AS 42.05.671). Alaska Administrative Code, Title 3, Sec. 48.040 provides in part that, except for specifically designated subjects, all facts, information, reports, orders, memoranda, books, accounts, and papers of every nature in the possession of the PUC are available for examination by the public. The designated exceptions include such subjects as certain communications relating to personnel matters, including medical records, which under governing personnel practices in the State are not public information. Staff papers unless entered as evidence in adjudicatory proceedings; intraorganizational written drafts of orders and findings and communications concerning such drafts; staff notes and memoranda summarizing routine telephone calls and conferences; budgetary and contractual documents pending formal publication; tape recordings, transcripts, notes, minutes and other information relating to conferences which are privileged either by order of the commissioner or by mutual agreement of the parties; confidential legal advice or assistance; and any information which the commission may, for good cause, specifically designate by order as privileged. Provision is made for Commission determination as to release of information requested by subpoena duces tecum.

3/ All formal hearings are open to the public and new media. All files and documents, except personnel files and records, all inter agencies memoranda, communications, and directives, investigation and audit files are non-public. However, the investigative and audit reports may be subpoenaed or presented by the Staff at formal proceedings before the Commission. Also, at the discretion of the Commission and upon request, carrier operating statements may be kept confidential. Provisions for this treatment of files and records are contained in each act for all modes of transportation.

4/ Arizona Revised Statutes 38-431, known as the Arizona Open Meeting Law, became effective August 9, 1974, and has not yet been reviewed by the Attorney General or the courts. Sec. 38-431.01, Par. A provides: "All meetings at which any legal action is taken by governing bodies shall be open meetings and all persons so desiring shall be permitted to attend and listen to the deliberations and proceedings." Par. B thereof states: "Governing bodies, except for subcommittees shall provide for the taking of written minutes of all their meetings. Such minutes shall be properly and accurately recorded as to all legal action taken and open to public inspection except as otherwise specifically provided by statute." Public notice of all regular meetings is required. Sec. 38-431.03 permits executive sessions for specified purposes, such as personal matters, discussion or consideration of records exempt by law from public inspection, legal advice, and international or interstate negotiations. Final actions or final decisions must be made in a public session.

5/ This tabulation represents a synthesis of the replies of the Arkansas PSC and ATC, which had minor differences in certain items. Both Commissions are under the Arkansas Freedom of Information Act (Act 93 of 1967), Arkansas Statutes 12-2801 to 12-2807. The Attorney General's opinion involved the State Banking Commission. For court decisions involving other official bodies see Arkansas State Police Commission v. Davidson (1973) 490 S.W.(2d) 788; Loman v. McCord (1968) 245 Ark. 389, 432 SW (2d) 753; Pointer v. State (1970), 248 Ark. 710; 454 S.W. (2d) 91; cert. denied, 400 U.S. 959, 27 L. ed. (2d) 268; 91 Sup. Ct. 359. Arkansas Statutes, Sec. 12-2805, specifically provides that except as specifically authorized by law, all meetings of public bodies, except grand juries, shall be public, and spells out provisions for public notice. It permits executive sessions to discuss "employment, appointment, promotion, demotion, disciplining, or resignation of any public officer or employee." It continues:

> Executive sessions must never be called for the purpose of defeating the reason of the Spirit of the Freedom of Information Act.
>
> No resolution, ordinance, rule, contract, regulation or motion considered or arrived at in executive session will be legal unless following the executive session, the public body reconvenes in public session and presents and votes on such resolution, ordinance, rule, contract, regulation or motion.

Arkansas Statutes, Sections 12-2806 and 12-2807, provide civil enforcement and criminal penalties for violation of a misdemeanor (i.e., a fine of not more than $200, or 30 days in jail, or both). The Arkansas Transportation Commission reply stated that all State agency documents, including staff recommendations and working papers, are open to public inspection; the Arkansas PSC reply indicated that its staff recommendations and working papers are not open to public inspection.

6/ Section 306(b) of the Public Utilities Code provides that meetings of the Commission shall be open and public in accordance with the provisions of Article 9 (commencing with Section 11210) of Chaper I of Part 1 of Division 3 of Title 2 of the Government Code. Section 11126 of the Government Code provides that a state agency may hold executive sessions during a regular or special meeting to consider the appointment, employment or dismissal of a public employee or to hear complaints or charges brought against such employee by another person or employee unless such employee requests a public hearing. Chapter 3.5, Inspection of Public Records of the Government Code (Section 6250-6260) spells out statutory requirements for inspection of public records and authorizes state agencies, including the PUC, to establish guidelines and regulations consistent with it.

7/ The Colorado Sunshine Act of 1972 was initiated and approved by the people of Colorado at the general election held November 7, 1972, and became effective January 1, 1973. It is cited as Chapter 3, Colorado Revised Statutes 1963, Article 37, Colorado Sunshine Law. It contains provision for public financial disclosure by public officials, registration of lobbyists, and provides that all meetings of two or more members of any board, committee, commission, or other policy-making or rule-making body of any state agency or authority or of the legislature, at which any public business is discussed, or at which any formal action is taken by such board, committee, commission, or other policy-making or rule-making body, are declared to be public meetings open to the public at all times, except as may be otherwise provided in the constitution. In order to be valid, a resolution, rule, regulation, or other formal action of any policy-making body, must be made at an open meeting that is held after timely notice to the public. In Opinion No. 73-0003, dated January 18, 1973, the Colorado Attorney General ruled, among other things, that the Colorado PUC is a rulemaking authority within the intent of lobbying provisions of the Colorado Sunshine Act. Colorado Revised Statutes, Chapter 113, Article 2, provides that all public records be open to inspection at reasonable times; exceptions include such matters as certain personnel and medical records, trade secrets and addresses and telephone numbers of public elementary and secondary school students. Although the Colorado Sunshine Act does not require the PSC to issue regulations acting to fulfill the intent of that law the PSC issued such regulations on its own initiative. See Decision No. 84540, dated February 22, 1974, in Case No. 5409, which amended PSC Rule 32 to clarify its policy concerning open meetings.

8/ The Connecticut PUC indicated in a letter dated June 26, 1974, that Connecticut has a "Right to Know" law which affords public access to State records (Conn. Gen. Stat. Sec. 1-19).

8-a/ The D.C. PSC reported that the statute requires the agency, through rulemaking, to adopt regulations. However, until such time as the agency issues regulations, the regulations promulgated by the Office of the Mayor governing the flow of information from the Executive Department apply.

9/ The Florida "Government in Sunshine" law is Section 286.011, Florida Statutes. It requires all meetings at which official acts are taken, except as otherwise provided by the State constitution, to be open to the public at all times, and requires the minutes of such meetings to be open to public inspection. Violations are misdemeanors of the second degree. Chapter 119, Florida Statutes, provides that all public records of the State or any county or municipality shall be open at all times to public inspection by Florida citizens. Violations of the statute are misdemeanors of the first degree. By letter of September 13, 1973, 073-344, the Attorney General interpreted the foregoing statutes to prohibit executive sessions to discuss personnel matters, and to require prompt publication of decisions. Times Publishing Company, et. al., v. Williams, et. al., (1969) 222 So. 2d 470, Board of Public Instruction of Broward County v. Doran (1969) 224 So. 2d 693, and Conney v. Board of Public Instruction of Alachua County (1973) 278 So. 2d 260, involve court decisions holding that as public agencies School Boards must hold public meetings. As provided in the statute, all official "filings" and the PSC minute book are public records. Staff recommendations are also public records.

10/ Meetings dealing with personnel matters may be closed to the public.

11/ A Freedom of Information bill was introduced in the Illinois General Assembly in 1974 but was not enacted.

12/ The Indiana Open Door Law basically requires that "all meetings of the governing bodies of public agencies must be open at all times for the purpose of permitting members of the public to observe and record them." The main points are as follows: 1) An agenda must be posted at the entrance to the location of the meeting prior to the meeting.. 2) Minutes of meetings must be taken and must include the date, time and place of the meeting, the general substance of all the matters proposed, discussed or decided, and must contain a record of all votes taken. These minutes must be available to the public within a reasonable period of time. 3) Notice to the news media who annually and in writing request notice, must be posted and delivered at least 48 hours prior to the meeting. 4) If regular meetings are held, notice need only be given once each year. In addition, all files, records, or other information at the Public Service Commission of Indiana are open for public review during business hours.

13/ Iowa has two freedom of information statutes. Chapter 28A of the Iowa Code is the "open meetings" statute and Chapter 68A is the "public records" statute. Chapter 68A, Iowa Code Annotated states that "public records" include all records and documents of or belonging to the State or any political subdivision, grants citizens and news media the right to examine and copy such records, and authorizes news media to publish them unless expressly prohibited elsewhere in the Code. Violations of the provisions are a misdemeanor, subject to a fine of not more than $100. Certain information, including records which represent the work product of any attorney, which are related to litigation or claim made by or against a public body, and personnel records, are confidential documents. Under the statutory exception only the work product of attorneys for the Commission are not open to public inspection. Iowa Code, Chapter 28A, requires that all meetings of public agencies, including the State Commerce Commission, but excluding any court, jury or military organizations, be public with advance public notice, unless closed meetings are expressly permitted by law. By a vote of two-thirds members present a public agency may hold a closed (executive) meeting concerning personnel matters, procurement of property or "for some other exceptional reason so compelling as to override the general public policy in favor of public meetings." Violations are a misdemeanor subject to a fine of not more than $100.

14/ If documents means "official documents" such as orders, etc. Correspondence is not considered a part of official file. Entire "official files" are open to the public.

15/ The Kansas "Open Meeting" law, Chapter 319 of the 1972 Session Laws of Kansas provides for public meetings, and for no binding action by secret ballot. Executive sessions are permitted if the purpose is stated but no binding action may be taken, nor can executive sessions be used to defeat the purposes of the Act. By letter of September 13, 1972, the Attorney General informed the Executive Officer, Kansas State Board of Regents, that the Board cannot exclude audio or visual equipment unless its operation "so seriously and substantially disrupts the meeting as to thwart the very purpose of the meeting."

Footnotes - Table 134 - STATE FREEDOM OF INFORMATION (SUNSHINE) STATUTES (Continued)

16/ Kentucky referenced Kentucky House Bill 100, which was enacted into law effective June 21, 1974. The agency indicated that further clarification of the legisation is needed. In this regard the statutory definition of "public agency" specifically excludes judicial or quasi-judicial bodies. Other than these exceptions, all public bodies are required to hold public meetings whenever any public business is discussed or action taken, or any subject other than such matters as proposed or pending litigation involving the body, collective bargaining between public employers and employee representatives, personnel matters, meetings between public agencies and industry propects, meetings which Federal or State law specifically require to be conducted in privacy, and meetings which the State Constitution provides shall be held in secrecy.

17/ Constitution allows PSC to adopt own procedures; records are open to public; hearings are public.

18/ Maine Revised Statutes Annotated, 401-406, requires that all public proceedings be open to the public, and permits written, taped or filmed records of the proceedings so long as such recordation does not interfere with the orderly conduct of the proceedings. Executive sessions are permitted but shall not be used to defeat the purposes of the statute; and no official action can be finally taken in executive session. Written decisions are required to be made and to be open to public inspection. Willful removal, alteration, mutilation or secretion of such public records is subject to a fine of not more than $5,000 and by a prison term of one to three years. On June 6, 1974, the Maine Attorney General expressed the opinion that although the Maine Guarantee Authority is not required to tape record its meetings or to keep any record other than such minutes as are required by law (and such minutes are expressly open to the public), it would be capricious to hold that the public may not hear the tapes of a public meeting not properly held as an executive meeting, under reasonable conditions to safeguard the tapes. He added:

> Maine's Freedom of Access Law, one of the broadest and most liberal of any state, was designed to subject the workings of public agencies to public scrutiny as fully as possible and to limit strictly the records that could be withheld from public view. The importance of this objective is particularly evident when an agency has the Guarantee Authority's extraordinary power to pledge the credit of the State in guaranteeing a loan to a private enterprise and thereby commit substantial public funds. Not only is its business the peoples' business, its money is the peoples' money.

In Sulloway v. Town of Kittery (1973) Docket No. 60/73 the Maine Superior Court held on May 21, 1974, that in holding closed meetings the Kittery Town Council and the Board of Zoning Appeals violated the statutes.

19/ Article 76A of the Annotated Code of Maryland makes provision for public information. Sec. 22(b), of Article 78 thereof, provides: "All proceedings of the Commission, and all records in its possession, shall be public records open to inspection by the public at all reasonable times."

20/ Minnesota Statutes, Sec. 15.17 provides for recordkeeping by all State and local officers and requires easy access to them. Except as otherwise expressly provided by law, all public records are open to public inspection under reasonable rules and regulations. Minnesota Statutes, Sec. 471.705, the Minnesota Open Meeting Law, provides that all meetings of State and local public bodies for transaction of public business including executive sessions, shall be open to the public. The statute does not apply to the board of pardons, the adult corrections commission and the youth commission, or to any State agency when exercising quasi-judicial functions involving disciplinary proceedings. Recorded votes are required. Violations are subject to a civil penalty of not to exceed $100 for a single occurence. Upon a third violation by the same person, such person forfeits the right to hold the office.

21/ The laws governing jurisdiction of the PSC provide for public hearings on all matters that require any type of hearing or taking of testimony; and that all records of the PSC are available for public inspection.

22/ The Missouri Sunshine Law is Chapter 610, Revised Statutes of Missouri (Supp. 1973). The statutes (1) applies to any constitutional or statutory governmental body of the State or any local subdivision, and any other governmental deliberative body under direction of three or more elected or appointed members having rulemaking or quasi-judicial power; (2) requires any meeting at which public business is discussed, decided or public policy formulated to be open to the public, subject to certain statutory exceptions (generally applicable exceptions involve legal actions or litigation, land purchases or sales; and other meetings, records or votes which as otherwise provided by law may be closed, have a closed record or a closed vote); and (3) requires reasonable notification of special meetings. The Commission on November 15, 1974 adopted its General Order No. 62 (4 CSR 240-2.010) providing for regular open meetings of the Missouri Commission.

23/ Nebraska Revised Statutes Secs. 84-902 requires each State agency to file a copy of its rules and regulations with the State Revisor of Statutes and Rules and Regulations, who shall keep a permanent file of them open to public inspection during his regular business hours. Secs. 84-1401 et. seq., provide that except as otherwise expressly provided by the State constitution or statutes, all State and local public bodies shall hold public meetings and give reasonable public notice concerning them. Notes, abstracts and broadcast of the meetings are permitted. Executive sessions may be called by vote taken in open session. Votes taken in executive session need not be recorded. Only actions taken in public sessions are legal.

24/ NRS Sec. 703.190 states that all biennial reports, records, proceedings, papers and files of the PSC shall be open at all reasonable times to the public, but when it is necessary in the public interest the PSC may withhold any facts or information in its possession for not to exceed 90 days. NRS Sec. 704.190 provides that accident reports filed with the PSC, or reports of any accident investigation made by the PSC are not open to public inspection and may not be disclosed to any person except on PSC order. None of such reports, or any portion thereof may be used in civil actions for damage. The PSC posts on the public bulletin board in its offices, one week advance notice of all meetings, informal conferences, etc. that are scheduled.

25/ New Hampshire Revised Statutes Annotated, Vol. 2 (1973 Cumulative Supplement), Sec. 91-A: 1 et seq. requires all public proceedings of the State and local boards or commissions to be open to the public. Minutes of such meetings are open to public inspection. Reasonable notice of meetings is required, except in emergencies. Executive sessions are permitted for consideration of personnel matters, land acquisition or sale, or for matters affecting the character of any person. Any body or agency violating the statute may be charged attorneys' fees and court costs incurred in making the information available. Governor Thomson issued Executive Order No. 74-1, dated January 10, 1974, to implement the statute. The New Hampshire Attorney General has issued guidelines (copy not dated) designed to aid fulfillment of the statutory objectives. In Herron v. Northwood (1971) 111 N.H. 324, the court ruled a town's budget committee cannot approve its recommendations in secret executive sessions. The ruling was prospective only and did not invalidate earlier action. In Mann v. Lebanon School Board (1972) 112 N.H. 160, the court ruled, with one dissent, that teachers salaries are subject to disclosure.

26/ The Right to Know Law is New Jersey Statutes Annotated 47: 1A-1, et seq. It states that "Except as otherwise provided in this act or by any other statute, resolution of either or both houses of the Legislature, executive order of the Governor, rule of court, any Federal law, regulation or order, or by any regulation promulgated under the authority of any statute or executive order of the Governor, all records which are required by law to be made, maintained or kept on file..." by any State or local body are deemed to be public records that are subject to public inspection and copying. It establishes copying prices to be applied where none have been established. Records of investigations in progress need not be disclosed if inimical to the public interest. The NJBPUC regulations (Sec. 14.3-6.5) require disclosure of all BPUC records except reports of accidents, of investigations of accidents, of safety inspections, and surveys of utility property and equipment. However, in Irval Realty v. NJBPUC, 1971, the Supreme Court of New Jersey held that owners of property damaged by a gas explosion had legitimate interest and were possessed of status entitling them to inspect and copy accident report records the gas supplier was required to file with the BPUC, notwithstanding the agency's regulation that accident reports are not deemed public records for purpose of public inspection. 115 New Jersey Super. 338. In Brzozowski v. Pennsylvania-Reading Seashore Lines (1969) the Superior Court of New Jersey, Law Division, ordered the BPUC to open its grade crossing records for inspection under the "Right to Know Law."

27/ The New York Freedom of Information Law became effective September 1, 1974. Section 88-1 of the Act requires each agency to publish rules and thereby make available for public inspection and copying (1) final opinions, including concurring and dissenting opinions, as well as orders; (2) statements of policy and interpretations adopted by the agency and any documents, memoranda, data and other materials which led to their formulation; (3) minutes of meetings and of public hearings; (4) internal and external audits and statistical or factual tabulations made by or for the agency; (5) administrative staff manuals and instructions to staff that affect members of the public; (6) information concerning officers' and employees' positions and salaries; and (7) any other files, records, papers or documents required by any other provision of law to be made available for public inspection and copying. An amendment to the statute created a committee on public access to records to promulgate rules and to advise affected agencies through guidelines and advisory opinions. The Committee is to consist of the Attorney General, Commissioner of the Office of General Services, Director of the Division of Budget, Commissioner of the Office of Local Government, and four other persons (who are not elected or appointed officials or employees) two of whom must be news media representatives.

28/ The North Carolina Code, Chapter 132, spells out requirements for retention of public records, which comprise all written and printed books, papers, letters, documents and maps made and received in pursuance of law by the State and its political subdivisions. It requires public inspection at reasonable times. Chapter 143, Article 33B, declares open meetings as a public policy, directs all official meetings of State and local governments to be open to the public; permits executive session and excludes the public while considering, among other subjects, personnel matters and conferences with legal counsel. Exceptions include all State agencies, commissions or boards exercising quasi-judicial functions during any meeting or session held solely for the purpose of making a decision in an adjudicatory action or proceeding, and other specifically identified exceptions. The NCUC can meet in executive session to make decisions in adjudicatory proceedings and in performing law enforcement and investigative functions.

29/ Section 4901.12, Ohio Revised Code, provides that all proceedings of the PUCO and all documents in its possession are public records. Section 4901.13 provides that all hearings shall be open to the public.

30/ The Oregon PUC forwarded a copy of the Oregon Attorney General's Public Meetings and Records Manual, (Attorney General's Opinion No. 6996), dated August 15, 1973. The Manual cites Oregon Laws 1973, chapters 172, 794, and Oregon Revised Statutes, chapter 192, as the Public Meeting Law and the Public Records Law, respectively. The Manual points out that executive sessions are permitted for personnel matters, labor negotiations, real property procurement, consideration of records exempt from public inspection law, and certain preliminary negotiations involving matters of trade or commerce in which the governing body is in competition with governing bodies of other States or nations. The statute encourages the agencies to permit the press to attend executive sessions subject to the understanding that sensitive matters will not be reported.

31/ The Pennsylvania Sunshine Act (Act of the General Assembly No. 175) was enacted in July of 1974. The Act declares that meetings or hearings of every agency at which formal action is scheduled or taken are public meetings and shall be open to the public at all times. Formal action is defined as the taking of any vote on any resolution, rule, order, motion, regulation or ordinance, or the setting of any official policy. Every agency is required to hold public meetings at specified times and places and give public notice thereof. The minutes of the public meeting of an agency are a public record under and subject to the Act of June 21, 1957 (P.L. 390, No. 212).

32/ The South Carolina Freedom of Information Act was approved in 1972. It requires that meetings of each State public agency be open to the public, permits executive sessions for personnel matters, administrative briefings and committee reports, negotiations concerning property purchasing, legal advice, and private matters presented by individuals or groups. Executive sessions shall not be called to defeat the purposes of the Act. In Opinion No. 3348, dated July 20, 1972, the Attorney General stated an opinion on executive sessions.

33/ South Dakota Statutes, Chapter 1-27, provides for retention and reproduction of public records; and requires that they be open to public inspection during regular business hours. The opinions of the Attorney General state that officials may establish reasonable regulations governing inspection of public records in this office (September 4, 1940); use of office space and equipment (June 7, 1946);

that public records are open to inspection by a person during office hours (March 27, 1940); and that County Commissioners may not refuse access to public records in the custody of a county official (August, 1938).

34/ The Tennessee Sunshine Law, Chapter 442, Public Acts of 1974, approved February 21, 1974, became effective May 1, 1974. It specifies that all meetings of any governing body (which consists of two or more members with authority to make decisions for or recommendations to a public body on policy or administration) shall be open at all times; that advance notice of such meetings be given, and that minutes be taken. On April 8, 1974, the State Attorney General commented on a proposed order of the PSC and suggested minor revisions. The suggested revisions were incorporated in PSC Administrative Order A-1-74, issued April 17, 1974.

35/ Article 6252-17, Texas R.C.S., spells out requirements for open meetings, including public notice thereof, by State and local governmental bodies, and permits executive sessions for such matters as legal advice with respect to pending or contemplated litigation, real property procurement, or personnel matters. Article 6252-17a, Texas R.C.S., spells out requirements for public access to information and records in the custody of governmental agencies and bodies. Exceptions are similar to the exceptions applicable to public meetings.

36/ The PSC's rules and regulations state that all data on file is open to the public.

37/ The Virginia SCC, in a letter dated June 25, 1974, stated:

> Virginia has adopted legislation identified as "The Virginia Freedom of Information Act," being §§ 2.1-340 through 2.1-346, inclusive, Code of 1950, as amended....
>
> It will be noted that the State Corporation Commission is not specifically precluded from the application of the provisions of that Act, nevertheless, it has never been suggested that they do apply, or were so intended.
>
> It is our conviction that the aforesaid Act does not establish a right of public access to our records and proceedings. We first observe that the Commission does not hold "meetings" for the purpose of discharging its primary responsibilities. Rather, the Commission conducts hearings, within a framework of public sessions, following such notices as shall be proper. Further, and most significant, there are Constitutional provisions and numerous statutes relating solely to the Commission which are intended to protect the public from secret, arbitrary or capricious acts of the Commission, and to assure fair and open hearings to those on whom we sit in judgment as a court of record.

38/ The Washington Open Meetings Act is Chapter 43.20, Revised Code of Washington. The Washington Public Disclosure Law is Chapter 1, Washington Session Laws, 1973; the regulations are found in Chapter 480-04; and related provisions of other chapters under the same title of the Washington Administrative Code. Executive sessions are permitted for consideration of national security matters, and personnel matters, unless the employee involved requests a public hearing. Any writing containing information relating to the conduct of the government or the performance of any governmental or propriety function prepared, owned, used or retained by any State or local agency regardless of physical forms or characteristics is required to be open to public inspection unless specifically exempted by Sec. 31, Chapter 1, Laws of 1973. The exceptions include such subjects as personal and medical data; personnel files; preliminary drafts, notes, recommendations, and intraagency memoranda in which opinions are expressed or policies formulated, except that a specific record shall not be exempt when publicly cited by an agency in connection with any agency action; certain legal documents; and specific intelligence or investigative files compiled by law enforcement agencies, including identity of complainants unless authorized by the individual.

39/ West Virginia PSC General Order No. 165 calls for public sessions for hearing motions, evidence or oral arguments, or for public conferences. The PSC Secretary, upon request, will advise any party as to the form of petition, complaint, answer or other paper the party desires to file; and will furnish from the PSC files any information required for a full presentation of the facts material to any controversy, investigation or other proceeding. Public utilities are required to file tariffs with the PSC, and its Secretary shall keep all tariffs open to public inspection. Upon payment of fees fixed by law, any person may obtain certified copies of papers on file in the Secretary's office. West Virginia Code Sec. 24-2-9 authorizes the PSC to require utilities to file information concerning rates, service charges and practices; and Sec. 24-3-5 requires every public utility to file with the PSC, and keep open to public inspection, schedules showing rates, charges and tolls for services rendered.

40/ All proceedings are open to the public except deliberations following quasi-judicial hearings, conferences with counsel and personnel matters. Chapter 297, Laws of Wisconsin 1973, contains provision for open meetings, subject to the exceptions indicated and others which do not relate to a regulatory agency.

41/ The Wyoming Freedom of Information Act, Wyoming Statutes, 1973 Cum. Supp. Sec. 9-692.10 et seq., requires agencies of the State and local subdivisions to hold meetings, including special meetings, open to the public based on public notice. Executive sessions not open to the public may be held for specifically enumerated purposes, such as personnel matters, litigation, national security, information classified as confidential by law, and disciplinary actions affecting students as provided by law. Persons disrupting any public meeting can be removed. In Opinion No. 17, of August 3, 1973, the Attorney General concluded that the enactment applies to the PSC and other State agencies, as well as to many local agencies. Even before that opinion was expressed, on May 29, 1973, the PSC adopted a resolution setting forth its policy concerning meetings in conformity with the statute. Wyoming Statutes, 1973 Cum. Supp. Sec. 9-692.1 et seq. spells out requirements for public inspection of public records, and identifies certain exceptions.

42/ 5 USC 552b, adopted 1976.

## Table 135 - STATE REPRESENTATION OF CONSUMER INTEREST OTHER THAN BY REGULATORY AGENCY*

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*Source: Consumer's Resource Handbook, published by The White House, Office of the Special Assistant for Consumer Affairs, December 1979

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